EXTENDED TO AUGUST 15, 2016

Form **990-PF** — Department of the Treasury, Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No 1545-0052 — **2015** — Open to Public Inspection

For calendar year 2015 or tax year beginning , and ending

Name of foundation: THE UNGER FOUNDATION C/O ROBERT P. MCMANUS

A Employer identification number: 34-1929633

Number and street (or P O box number if mail is not delivered to street address): P.O. BOX 926 — Room/suite:

B Telephone number: 216-387-1892

City or town, state or province, country, and ZIP or foreign postal code: HUDSON, OH 44236

C If exemption application is pending, check here ▶ [ ]

G Check all that apply: [ ] Initial return [ ] Initial return of a former public charity [ ] Final return [ ] Amended return [ ] Address change [ ] Name change

D 1. Foreign organizations, check here ▶ [ ]

2 Foreign organizations meeting the 85% test, check here and attach computation ▶ [ ]

H Check type of organization: [X] Section 501(c)(3) exempt private foundation [ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ [ ]

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶$ 1,673,107.

J Accounting method: [X] Cash [ ] Accrual [ ] Other (specify) (Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ [ ]

Part I Analysis of Revenue and Expenses
(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check ▶ [X] if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	101,860.	96,817.		STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	4,091.			
	b Gross sales price for all assets on line 6a 385,138.				
	7 Capital gain net income (from Part IV, line 2)		4,091.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income	3,531.	3,531.		STATEMENT 2
	12 **Total.** Add lines 1 through 11	109,482.	104,439.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	36,000.	0.		36,000.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees STMT 3	38,245.	38,245.		0.
	b Accounting fees STMT 4	15,699.	15,699.		0.
	c Other professional fees STMT 5	8,000.	8,000.		0.
	17 Interest				
	18 Taxes STMT 6	33,404.	30.		0.
	19 Depreciation and depletion				
	20 Occupancy	3,900.	3,900.		0.
	21 Travel, conferences, and meetings	6,881.	6,350.		0.
	22 Printing and publications				
	23 Other expenses STMT 7	1,791.	1,791.		0.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	143,920.	74,015.		36,000.
	25 Contributions, gifts, grants paid	36,000.			36,000.
	26 **Total expenses and disbursements.** Add lines 24 and 25	179,920.	74,015.		72,000.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	<70,438.>			
	b **Net investment income** (if negative, enter -0-)		30,424.		
	c **Adjusted net income** (if negative, enter -0-)			N/A	

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523501 11-24-15 LHA For Paperwork Reduction Act Notice, see instructions. G49 Form **990-PF** (2015)

10390803 350450 19296U 2015.04010 THE UNGER FOUNDATION C/O RO 19296U1 17

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	35,273.	4,500.	4,500.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 8	1,478,754.	1,471,824.	1,383,235.
	c Investments - corporate bonds STMT 9	293,773.	261,624.	256,132.
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 10	30,998.	30,412.	29,240.
	14 Land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	1,838,798.	1,768,360.	1,673,107.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [X] and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	1,838,798.	1,768,360.	
	30 **Total net assets or fund balances**	1,838,798.	1,768,360.	
	31 **Total liabilities and net assets/fund balances**	1,838,798.	1,768,360.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,838,798.
2 Enter amount from Part I, line 27a	2	<70,438.>
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	1,768,360.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,768,360.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SEE ATTACHED STATEMENT	P		
b	SEE ATTACHED STATEMENT	P		
c	SEE ATTACHED STATEMENT	P		
d	CAPITAL GAINS DIVIDENDS			
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	22,978.		30,009.	<7,031.>
b	357,039.		349,490.	7,549.
c	1,548.		1,548.	0.
d	3,573.			3,573.
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				<7,031.>
b				7,549.
c				0.
d				3,573.
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 } 2 4,091.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter -0- in Part I, line 8 3 N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2014	90,500.	1,883,082.	.048060
2013	152,316.	1,893,817.	.080428
2012	114,043.	1,953,000.	.058394
2011	131,546.	1,871,317.	.070296
2010	81,975.	1,795,042.	.045667

2	**Total** of line 1, column (d)	2	.302845
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.060569
4	Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	4	1,746,344.
5	Multiply line 4 by line 3	5	105,774.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	304.
7	Add lines 5 and 6	7	106,078.
8	Enter qualifying distributions from Part XII, line 4	8	72,000.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ (attach copy of letter if necessary-see instructions)				
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	608.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).				
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0.
3 Add lines 1 and 2			3	608.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	608.
6 Credits/Payments:				
a 2015 estimated tax payments and 2014 overpayment credited to 2015	6a	1,640.		
b Exempt foreign organizations - tax withheld at source	6b			
c Tax paid with application for extension of time to file (Form 8868)	6c			
d Backup withholding erroneously withheld	6d			
7 Total credits and payments. Add lines 6a through 6d			7	1,640.
8 Enter any **penalty** for underpayment of estimated tax. Check here ☒ if Form 2220 is attached			8	
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	1,032.
11 Enter the amount of line 10 to be: **Credited to 2016 estimated tax** ▶	1,032.	**Refunded** ▶	11	0.

Part VII-A Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?	1b		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		X
If "Yes," attach a detailed description of the activities			
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		X
If "Yes," attach the statement required by General Instruction T			
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ OH			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation* SEE STATEMENT 11	8b		X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ (NONE)

14 The books are in care of ▶ ROBERT P. MCMANUS Telephone no. ▶216-387-1892
Located at ▶P.O. BOX 926, HUDSON, OH ZIP+4 ▶44236

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception**. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ______, ______, ______, ______			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ______, ______, ______, ______			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2015 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.)* N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No: X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SONJA F. UNGER 2181 AMBLESIDE DRIVE, #904 CLEVELAND, OH 44106	TRUSTEE 1.00	0.	0.	0.
ALAN F. UNGER 16 BAYVIEW AVENUE MILL VALLEY, CA 94941	PRESIDENT/TRUSTEE 20.00	36,000.	0.	0.
ROBERT P. MCMANUS P.O. BOX 926 HUDSON, OH 44236	SECRETARY/TRUSTEE 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	0.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 NONE	0.
2	
All other program-related investments. See instructions. 3 NONE	0.
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a	Average monthly fair market value of securities		1a	1,747,954.
b	Average of monthly cash balances		1b	24,984.
c	Fair market value of all other assets		1c	
d	**Total** (add lines 1a, b, and c)		1d	1,772,938.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.		
2	Acquisition indebtedness applicable to line 1 assets		2	0.
3	Subtract line 2 from line 1d		3	1,772,938.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)		4	26,594.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4		5	1,746,344.
6	**Minimum investment return.** Enter 5% of line 5		6	87,317.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6		1	87,317.
2a	Tax on investment income for 2015 from Part VI, line 5	2a 608.		
b	Income tax for 2015. (This does not include the tax from Part VI.)	2b		
c	Add lines 2a and 2b		2c	608.
3	Distributable amount before adjustments. Subtract line 2c from line 1		3	86,709.
4	Recoveries of amounts treated as qualifying distributions		4	0.
5	Add lines 3 and 4		5	86,709.
6	Deduction from distributable amount (see instructions)		6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1		7	86,709.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	72,000.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	72,000.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	72,000.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII **Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				86,709.
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only			0.	
b Total for prior years: ____, ____, ____		0.		
3 Excess distributions carryover, if any, to 2015:				
a From 2010				
b From 2011				
c From 2012 17,113.				
d From 2013 59,443.				
e From 2014				
f Total of lines 3a through e	76,556.			
4 Qualifying distributions for 2015 from Part XII, line 4: ▶ $ 72,000.				
a Applied to 2014, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2015 distributable amount				72,000.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a).)	14,709.			14,709.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	61,847.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2014. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2016.** Subtract lines 7 and 8 from line 6a	61,847.			
10 Analysis of line 9:				
a Excess from 2011				
b Excess from 2012 2,404.				
c Excess from 2013 59,443.				
d Excess from 2014				
e Excess from 2015				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2015	(b) 2014	(c) 2013	(d) 2012	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

SEE STATEMENT 12

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
CITY CLUB OF CLEVELAND 850 EUCLID AVENUE CLEVELAND, OH 44114	N/A	N/A	TO ASSIST 501(C)(3) ORGANIZATION	8,000.
JUDSON SMART LIVING 2181 AMBLESIDE DRIVE CLEVELAND, OH 44106	N/A	N/A	TO ASSIST 501(C)(3) ORGANIZATION	8,000.
UNIVERSITY OF CALIFORNIA, BERKELEY 2484 SHATTUCK AVENUE BERKELEY, CA 94720	N/A	N/A	TO ASSIST 501(C)(3) ORGANIZATION	20,000.
Total			▶ 3a	36,000.
b Approved for future payment NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities					101,860.
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					3,531.
8 Gain or (loss) from sales of assets other than inventory					4,091.
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		0.	109,482.

13 **Total.** Add line 12, columns (b), (d), and (e) 13 109,482.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).
4	INVESTMENT INCOME TO PROVIDE FUNDS ONLY
8	INVESTMENT INCOME TO PROVIDE FUNDS ONLY

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee: X [signature] Date: 8/12/16 Title: TRUSTEE

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
DENNIS A. LINDEN	[signature]	AUG 03 2016		P00008147

Firm's name ▶ CBIZ MHM, LLC — Firm's EIN ▶ 34-1513062

Firm's address ▶ 6050 OAK TREE BLVD S STE 500
CLEVELAND, OH 44131 — Phone no. 216-447-9000

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
PUBLICLY TRADED PARTNERSHIP-NET	1,570.	0.	1,570.	1,570.	
UBS FINANCIAL SERVICES	103,863.	3,573.	100,290.	95,247.	
TO PART I, LINE 4	105,433.	3,573.	101,860.	96,817.	

FORM 990-PF OTHER INCOME STATEMENT 2

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
OTHER INCOME	3,531.	3,531.	
TOTAL TO FORM 990-PF, PART I, LINE 11	3,531.	3,531.	

FORM 990-PF LEGAL FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ATTORNEY FEES	38,245.	38,245.		0.
TO FM 990-PF, PG 1, LN 16A	38,245.	38,245.		0.

FORM 990-PF ACCOUNTING FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING AND TAX SERVICES	15,699.	15,699.		0.
TO FORM 990-PF, PG 1, LN 16B	15,699.	15,699.		0.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
CONSULTING FEES	8,000.	8,000.		0.
TO FORM 990-PF, PG 1, LN 16C	8,000.	8,000.		0.

FORM 990-PF TAXES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN INCOME TAX	30.	30.		0.
FEDERAL EXCISE TAX	33,374.	0.		0.
TO FORM 990-PF, PG 1, LN 18	33,404.	30.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT FEES	160.	160.		0.
OHIO REGISTRATION FEE	200.	200.		0.
SUPPLIES	102.	102.		0.
UTILITIES	1,329.	1,329.		0.
TO FORM 990-PF, PG 1, LN 23	1,791.	1,791.		0.

FORM 990-PF — CORPORATE STOCK — STATEMENT 8

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED STATEMENT-UBS FINANCIAL SERVICES	1,471,824.	1,383,235.
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,471,824.	1,383,235.

FORM 990-PF — CORPORATE BONDS — STATEMENT 9

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED STATEMENT-UBS FINANCIAL SERVICES	261,624.	256,132.
TOTAL TO FORM 990-PF, PART II, LINE 10C	261,624.	256,132.

FORM 990-PF — OTHER INVESTMENTS — STATEMENT 10

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED STATEMENT-UBS FINANCIAL SERVICES	COST	30,412.	29,240.
TOTAL TO FORM 990-PF, PART II, LINE 13		30,412.	29,240.

FORM 990-PF — EXPLANATION CONCERNING PART VII-A, LINE 8B — STATEMENT 11

EXPLANATION

THE STATE OF OHIO REQUIRES AN ONLINE ANNUAL REPORT FILING BASED ON FORM 990-PF, BUT DOES NOT REQUIRE A COPY.

FORM 990-PF GRANT APPLICATION SUBMISSION INFORMATION STATEMENT 12
PART XV, LINES 2A THROUGH 2D

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

ROBERT P. MCMANUS
P.O. BOX 926
HUDSON, OH 44236

TELEPHONE NUMBER

216-387-1892

FORM AND CONTENT OF APPLICATIONS

A LETTER EXPLAINING THE PURPOSE OF ORGANIZATION AND COPY OF EXEMPTION LETTER

ANY SUBMISSION DEADLINES

NONE

RESTRICTIONS AND LIMITATIONS ON AWARDS

NONE

Your assets

Some prices, income and current values shown may be approximate As a result, gains and losses may not be accurately reflected See *Important information about your statement* at the end of this document for more information

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC Money market sweep balances are protected by SIPC but are not insured by the FDIC See the *Important information about your statement* at the end of this document for details about those balances

Holding	Opening balance on Dec 1 ($)	Closing balance on Dec 31 ($)	Price per share on Dec 31 ($)	Average rate	Dividend/Interest period	Days in period	Cap amount ($)
Cash	4 26	10 79					
UBS BANK USA BUS ACCT	29,788 41	31,811 17					250,000 00
Total	**$29,792.67**	**$31,821.96**					

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
AT&T INC								
Symbol T Exchange NYSE								
EAI $960 Current yield 5 58%	Oct 20, 11	200 000	29 321	5,864 32	34 410	6,882 00	1,017 68	LT
	Dec 15, 15	300 000	34 519	10,355 87	34 410	10,323 00	-32 87	ST
Security total		500 000	32 440	16,220 19		17,205 00	984 81	
CENTURYLINK INC								
Symbol CTL Exchange NYSE								
EAI $972 Current yield 8 59%	May 10, 13	150 000	38 408	5,761 21	25 160	3,774 00	-1,987 21	LT
	Jan 7, 14	300 000	32 073	9,621 95	25 160	7,548 00	-2,073 95	LT
Security total		450 000	34 185	15,383 16		11,322 00	-4,061 16	

continued next page

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
CHEMOURS CO								
Symbol CC Exchange NYSE								
EAI $5 Current yield 2 33%	Sep 20, 12	40 000	13 360	534 43	5 360	214 40	-320 03	LT
DOW CHEMICAL								
Symbol DOW Exchange NYSE								
EAI $552 Current yield 3 57%	May 30, 12	100 000	31 947	3,194 71	51 480	5,148 00	1,953 29	LT
	Jul 11, 12	200 000	30 872	6,174 42	51 480	10,296 00	4,121 58	LT
Security total		300 000	31 230	9,369 13		15,444 00	6,074 87	
FORD MOTOR CO COM NEW								
Symbol F Exchange NYSE								
EAI $720 Current yield 4 26%	Jan 14, 14	800 000	16 588	13,270 57	14 090	11,272 00	-1,998 57	LT
	Oct 7, 15	400 000	15 032	6,012 81	14 090	5,636 00	-376 81	ST
Security total		1,200 000	16 069	19,283 38		16,908 00	-2,375 38	
GENL ELECTRIC CO								
Symbol GE Exchange NYSE								
EAI $460 Current yield 2 95%	Jan 26, 15	500 000	24 975	12,487 77	31 150	15,575 00	3,087 23	ST
MERCK & CO INC NEW COM								
Symbol MRK Exchange NYSE								
EAI $1,472 Current yield 3 48%	May 25, 10	100 000	32 732	3,273 23	52 820	5,282 00	2,008 77	LT
	Jun 28, 11	100 000	35 608	3,560 81	52 820	5,282 00	1,721 19	LT
	Oct 20, 11	200 000	33 138	6,627 77	52 820	10,564 00	3,936 23	LT
	Oct 7, 15	100 000	52 080	5,208 08	52 820	5,282 00	73 92	ST
	Oct 14, 15	300 000	50 537	15,161 18	52 820	15,846 00	684 82	ST
Security total		800 000	42 289	33,831 07		42,256 00	8,424 93	
METLIFE INC								
Symbol MET Exchange NYSE								
EAI $600 Current yield 3 11%	Jul 9, 14	200 000	57 326	11,465 32	48 210	9,642 00	-1,823 32	LT
	Oct 14, 15	200 000	48 386	9,677 31	48 210	9,642 00	-35 31	ST
Security total		400 000	52 857	21,142 63		19,284 00	-1,858 63	
OCCIDENTAL PETROLEUM CRP								
Symbol OXY Exchange NYSE								
EAI $300 Current yield 4 44%	Feb 20, 15	100 000	81 400	8,140 09	67 610	6,761 00	-1,379 09	ST

continued next page

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
PACCAR INC								
Symbol PCAR Exchange OTC								
EAI $192 Current yield 2 03%	May 27, 15	200 000	67 687	13,537 59	47 400	9,480 00	-4,057 59	ST
PJT PARTNERS INC - A								
Symbol PJT Exchange NYSE	Oct 1, 15	10 000	3 809	38 09	28 290	282 90	244 81	ST
	Oct 1, 15	10 000	3 809	38 09	28 290	282 90	244 81	ST
	Oct 1, 15	5 000	3 810	19 05	28 290	141 45	122 40	ST
Security total		25 000	3 809	95 23		707 25	612 02	
ROCHE HLDG LTD SPONS ADR SWITZ ADR								
Symbol RHHBY Exchange OTC								
EAI $165 Current yield 2 39%	Sep 12, 14	200 000	37 346	7,469 33	34 470	6,894 00	-575 33	LT
STAG INDL INC SBI								
Symbol STAG Exchange NYSE								
EAI $1,390 Current yield 7 53%	Mar 11, 15	380 000	23 654	8,988 63	18 450	7,011 00	-1,977 63	ST
	Mar 11, 15	120 000	23 654	2,838 51	18 450	2,214 00	-624 51	ST
	Jul 9, 15	500 000	20 967	10,483 84	18 450	9,225 00	-1,258 84	ST
Security total		1,000 000	22 311	22,310 98		18,450 00	-3,860 98	
UNTD TECHNOLOGIES CORP								
Symbol UTX Exchange NYSE								
EAI $256 Current yield 2 66%	Sep 12, 14	100 000	110 392	11,039 26	96 070	9,607 00	-1,432 26	LT
W P CAREY INC REIT								
Symbol WPC Exchange NYSE								
EAI $3,858 Current yield 6 54%	Nov 6, 14	200 000	68 327	13,665 46	59 000	11,800 00	-1,865 46	LT
	Mar 4, 15	200 000	69 524	13,904 86	59 000	11,800 00	-2,104 86	ST
	Mar 11, 15	200 000	67 078	13,415 71	59 000	11,800 00	-1,615 71	ST
	Jun 15, 15	400 000	62 495	24,998 18	59 000	23,600 00	-1,398 18	ST
Security total		1,000 000	65 984	65,984 21		59,000 00	-6,984 21	
Total				**$256,828.45**		**$249,107.65**	**-$7,720.80**	

Total estimated annual income: $11,902

Your assets › **Equities** (continued)

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
ADVENT CLAYMORE CONV SECS &									
INCOME FUND									
Symbol AVK									
Trade date May 4, 11	300 000	19 699	5,909 83	5,909 83	13 520	4,056 00	-1,853 83		LT
Trade date May 11, 11	400 000	19 701	7,880 54	7,880 54	13 520	5,408 00	-2,472 54		LT
Trade date Oct 20, 11	300 000	14 942	4,482 65	4,482 65	13 520	4,056 00	-426 65		LT
Trade date Jul 27, 12	300 000	16 201	4,860 37	4,860 37	13 520	4,056 00	-804 37		LT
EAI $1,465 Current yield 8 34%									
Security total	1,300 000	17 795	23,133 39	23,133 39		17,576 00	-5,557 39	-5,557 39	
ALPS ETF TR ALERIAN MLP									
Symbol AMLP									
Trade date Nov 9, 12	1,000 000	14 884	14,884 75	14,884 75	12 050	12,050 00	-2,834 75		LT
Trade date Nov 16, 12	600 000	14 657	8,794 22	8,794 22	12 050	7,230 00	-1,564 22		LT
Trade date May 10, 13	400 000	17 284	6,913 67	6,913 67	12 050	4,820 00	-2,093 67		LT
EAI $2,372 Current yield 9 84%									
Security total	2,000 000	15 296	30,592 64	30,592 64		24,100 00	-6,492 64	-6,492 64	
LAZARD WORLD DIVID & INCOME FD									
Symbol LOR									
Trade date Jun 27, 05	500 000	20 012	10,006 00	10,006 00	9 110	4,555 00	-5,451 00	-5,451 00	LT
EAI $459 Current yield 10 08%									
NUVEEN ALL CAP ENERGY MLP									
Symbol JMLP									
Trade date Apr 25, 14	200 000	19 465	3,893 14	3,893 14	6 970	1,394 00	-2,499 14		LT
Trade date Jun 25, 14	300 000	19 757	5,927 38	5,927 38	6 970	2,091 00	-3,836 38		LT
EAI $684 Current yield 19 63%									

continued next page

Your assets › Equities › Closed end funds & Exchange traded products (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
Security total	500 000	19 641	9,820 52	9,820 52		3,485 00	-6,335 52	-6,335 52	
Total			**$73,552.55**	**$73,552.55**		**$49,716.00**	**-$23,836.55**	**-$23,836.55**	

Total estimated annual income: $4,980

Other equity investments

Cost basis and gains and losses have not been adjusted automatically for return of capital payments
Restricted security values are estimated for informational purposes See *Important information about your statement* at the end of this document for additional information

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
BLACKSTONE GROUP LP/THE UNIT REPSTG LTD PARTNERSHIP INT Symbol BX Exchange NYSE EAI $2,900 Current yield 9 92%	Apr 25, 14	200 000	31 880	6,376 14	29 240	5,848 00	-528 14	LT
	Oct 24, 14	400 000	30 816	12,326 75	29 240	11,696 00	-630 75	LT
	Nov 6, 14	400 000	30 737	12,295 02	29 240	11,696 00	-599 02	LT
Security total		1,000 000	30 998	30,997 91		29,240 00	-1,757 91	

(95.23) SPINOFF - ADJUSTED JAN., 2016
(491.00) ADJUST TO PTP K-1
30,411.68 CORRECTED COST BASIS - 12/31/15

Your assets (continued)

Fixed income

Corporate bonds and notes

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values Actual market values may vary and thus gains/losses may not be accurately reflected Cost basis has been adjusted for accreted original issue discount (OID) Cost basis has been automatically adjusted for amortization of bond premium using the constant yield method If you have made a tax election to deduct the premium amortization on taxable debt securities, you may request UBS adjust cost basis for the bond premium amortization

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Adjusted cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
INTL PAPER CO								
DEB								
RATE 06 875% MATURES 11/01/23								
ACCRUED INTEREST $57 29								
CUSIP 460146AP8								
Moody Baa2 S&P BBB								
EAI $344 Current yield 6 12%								
Original cost basis $5,001 15	May 20, 08	5,000 000	100 014	5,000 73	112 297	5,614 85	614 12	LT
BELLSOUTH TELECOMMUNCTNS								
MW+15BP B/E								
RATE 06 375% MATURES 06/01/28								
ACCRUED INTEREST $212 50								
CUSIP 079867AW7								
Moody WR S&P BBB+								
EAI $2,550 Current yield 5 85%	Aug 12, 08	25,000 000	99 120	24,780 00	108 945	27,236 25	2,456 25	LT
	Mar 18, 09	15,000 000	93 464	14,019 60	108 945	16,341 75	2,322 15	LT
Security total		40,000 000		38,799 60		43,578 00	4,778 40	
HEINZ (H J) CO								
NOTES								
RATE 06 375% MATURES 07/15/28								
ACCRUED INTEREST $293 95								
CUSIP 423074AF0								
Moody Baa3 S&P BBB-								
EAI $638 Current yield 5 61%								
Original cost basis $10,005 25	Apr 10, 07	10,000 000	100 038	10,003 88	113 737	11,373 70	1,369 82	LT

continued next page

Account name: ALAN UNGER TTEE
Account number: AX 84158 JD

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Your assets › **Fixed income** › **Corporate bonds and notes** (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Adjusted cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
R R DONNELLEY & SONS M-W+25BP RATE 06 625% MATURES 04/15/29 ACCRUED INTEREST $69 93 CUSIP 257867AG6 Moody Ba3 S&P BB- EAI $331 Current yield 8 08%	May 22, 07	5,000 000	99 881	4,994 08	82 000	4,100 00	-894 08	LT
MASCO CORP MW@+30BP RATE 06 500% MATURES 08/15/32 ACCRUED INTEREST $245 55 CUSIP 574599AY2 Moody Ba2 S&P BBB EAI $650 Current yield 6 44%	May 22, 07	10,000 000	98 390	9,839 05	101 000	10,100 00	260 95	LT
CINCINNATI GAS & ELEC CO B/E RATE 05 400% MATURES 06/15/33 ACCRUED INTEREST $24 00 CUSIP 172070CP7 Moody Baa1 S&P A- EAI $540 Current yield 5 15%	Mar 30, 11	10,000 000	97 208	9,720 85	104 754	10,475 40	754 55	LT
US WEST COMMUNICATIONS INC B/E RATE 06 875% MATURES 09/15/33 ACCRUED INTEREST $80 97 CUSIP 912920AC9 Moody Baa3 S&P BBB- EAI $275 Current yield 7 16%	Sep 16, 11	4,000 000	99 355	3,974 20	95 960	3,838 40	-135 80	LT
US WEST COMMUNICATIONS B/E RATE 06 875% MATURES 09/15/33 ACCRUED INTEREST $283 40 CUSIP 912920AR6 Moody A3 S&P AA- EAI $963 Current yield 6 84%	Mar 31, 10	7,000 000	99 550	6,968 53	100 502	7,035 14	66 61	LT
	Nov 16, 11	7,000 000	100 425	7,029 75	100 502	7,035 14	5 39	LT
Security total		14,000 000		13,998 28		14,070 28	72 00	

continued next page

Your assets › **Fixed income** › **Corporate bonds and notes** (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Adjusted cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
SBC COMMUNICATIONS NTS MW +20BP B/E RATE 06 150% MATURES 09/15/34 ACCRUED INTEREST $362 16 CUSIP 78387GAQ6 Moody Baa1 S&P BBB+ EAI $1,230 Current yield 5 77%	Mar 18, 08	20,000 000	97 819	19,563 85	106 603	21,320 60	1,756 75	LT
CLEVEL& ELEC ILLUM CO CALL@MW+25BP RATE 05 950% MATURES 12/15/36 ACCRUED INTEREST $26 44 CUSIP 186108CE4 Moody Baa3 S&P BBB- EAI $595 Current yield 5 69% Original cost basis $10,084 30	May 11, 11	10,000 000	100 766	10,076 67	104 496	10,449 60	372 93	LT
AT&T INC NTS B/E CALL@ MW+25BP RATE 05 350% MATURES 09/01/40 ACCRUED INTEREST $178 33 CUSIP 04650NAB0 Moody Baa1 S&P BBB+ EAI $535 Current yield 5 42%	Oct 15, 13	10,000 000	98 653	9,865 35	98 772	9,877 20	11 85	LT
US WEST COMMUNICATIONS DEBS RATE 07 125% MATURES 11/15/43 ACCRUED INTEREST $91 04 CUSIP 912920AG0 Moody Baa3 S&P BBB- EAI $713 Current yield 7 50% Original cost basis $10,267 75	Jul 30, 12	10,000 000	102 615	10,261 50	94 950	9,495 00	-766 50	LT
BELLSOUTH TELECOMMUNCTNS PUT BOND RATE 05 850% MATURES 11/15/45 ACCRUED INTEREST $299 00 CUSIP 079867AN7 Moody WR S&P BBB+ EAI $2,340 Current yield 6 00%	Aug 24, 09	30,000 000	88 517	26,555 25	97 464	29,239 20	2,683 95	LT

continued next page

Account name: ALAN UNGER TTEE
Account number: AX 84158 JD

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Your assets › **Fixed income** › **Corporate bonds and notes** (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Adjusted cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Jun 16, 11	10,000 000	99 552	9,955 25	97 464	9,746 40	-208 85	LT
Security total		40,000 000		36,510 50		38,985 60	2,475 10	
WACHOVIA CAPITAL B/E RATE 05 569% MATURES 03/29/49 ACCRUED INTEREST $24 75 CUSIP 92978AAA0 Moody Baa2 S&P BBB EAI $557 Current yield 5 78%								
Original cost basis $10,067 75	Feb 20, 15	10,000 000	100 671	10,067 16	96 325	9,632 50	-434 66	ST
WELLS FARGO & CO NEW DEP SHS SER J RATE 05 900% MATURES 12/29/49 CALLABLE ACCRUED INTEREST $26 22 CUSIP 949746RG8 Moody Baa2 S&P BBB EAI $590 Current yield 5 85%								
Original cost basis $10,505 25	Feb 20, 15	10,000 000	105 010	10,501 07	100 875	10,087 50	-413 57	ST
FPL GROUP CPTL INC CALL@MW T+25BP BE RATE 06 350% MATURES 10/01/66 ACCRUED INTEREST $476 25 CUSIP 302570AW6 Moody Baa2 S&P BBB								
EAI $1,905 Current yield 8 87%	Jan 29, 10	30,000 000	95 375	28,617 75	71 550	21,465 00	-7,152 75	LT
WISCONSIN ENERGY CORP CALL @ MW +25 BP RATE 06 250% MATURES 05/15/67 ACCRUED INTEREST $199 65 CUSIP 976657AH9 Moody Baa1 S&P BBB EAI $1,563 Current yield 8 39%								
Original cost basis $10,305 25	Mar 04, 15	10,000 000	103 045	10,304 55	74 500	7,450 00	-2,854 55	ST
Original cost basis $15,380 25	Apr 09, 15	15,000 000	102 529	15,379 48	74 500	11,175 00	-4,204 48	ST
Security total		25,000 000		25,684 03		18,625 00	-7,059 03	

continued next page

Your assets › **Fixed income** › **Corporate bonds and notes** (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Adjusted cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
FPL GROUP CPTL INC CALL @ MW T + 30 RATE 06 650% MATURES 06/15/67 ACCRUED INTEREST $11 82 CUSIP 302570AX4 Moody Baa2 S&P BBB EAI $266 Current yield 8 74% Original cost basis $4,145 25	Mar 04, 15	4,000 000	103 624	4,144 96	76 088	3,043 52	-1,101 44	ST
Total		**$267,000.000**		**$261,623.51**		**$256,132.15**	**-$5,491.36**	

Total accrued interest: $2,963.25

Total estimated annual income: $16,585

Asset backed securities

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values Actual market values may vary and thus gains/losses may not be accurately reflected The cost basis for asset backed securities has been adjusted automatically for return of principal payments, and if issued at a discount, accreted original issue discount (OID)

Holding	Trade date	Quantity	Purchase price($)	Adjusted cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
FHR 2696 DG RATE 05 5000% MATURES 10/15/33 CURRENT PAR VALUE 8,503 ACCRUED INTEREST $38 97 CUSIP 31394KR89 EAI $468 Current yield 4 98%	Aug 29, 05	10,000 000	100 000	8,502 55	110 364	9,384 25	881 70	LT
FNR 2005-109 GD RATE 06 0000% MATURES 10/25/35 CURRENT PAR VALUE 15,140 ACCRUED INTEREST $75 70 CUSIP 31394UZA3 EAI $908 Current yield 5 78%	Nov 30, 05	20,000 000	100 000	15,140 25	103 720	15,703 20	562 95	LT
Total		**30,000.000**		**$23,642.80**		**$25,087.45**	**$1,444.65**	

Total accrued interest: $114.67

Total estimated annual income: $1,376

Account name: ALAN UNGER TTEE
Account number: AX 84158 JD

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Your assets › Fixed income (continued)

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
BLACKROCK CORE BOND TRUST									
Symbol BHK									
Trade date Dec 16, 11	1,600 000	13 553	21,685 30	21,685 30	12 640	20,224 00	-1,461 30		LT
Trade date Jun 20, 12	400 000	14 466	5,786 74	5,786 74	12 640	5,056 00	-730 74		LT
Trade date Aug 1, 12	500 000	15 398	7,699 22	7,699 22	12 640	6,320 00	-1,379 22		LT
EAI $2,130 Current yield 6 74%									
Security total	2,500 000	14 069	35,171 26	35,171 26		31,600 00	-3,571 26	-3,571 26	
COHEN & STEERS SELECT PFD & INC FD INC									
Symbol PSF									
Trade date Feb 6, 15	300 000	26 757	8,027 33	8,027 33	24 900	7,470 00	-557 33		ST
Total reinvested	19 000	24 700		469 31	24 900	473 10	3 79		
EAI $658 Current yield 8 28%									
Security total	319 000	26 635	8,027 33	8,496 64		7,943 10	-553 54	-84 23	
COHEN & STEERS LTD DURATION PFD & INCOME FD INC									
Symbol LDP									
Trade date Feb 20, 15	300 000	24 213	7,264 05	7,264 05	22 520	6,756 00	-508 05	-508 05	ST
EAI $562 Current yield 8 32%									
ISHARES US PFD STOCK ETF INDEX FUND									
Symbol PFF									
Trade date Apr 25, 13	300 000	41 209	12,362 81	12,362 81	38 850	11,655 00	-707 81		LT
Trade date May 10, 13	300 000	41 735	12,520 71	12,520 71	38 850	11,655 00	-865 71		LT

continued next page

Your assets › **Fixed income** › **Closed end funds & Exchange traded products** (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
Trade date Jun 27, 13	250 000	39 943	9,985 97	9,985 97	38 850	9,712 50	-273 47		LT
Trade date Jan 7, 14	150 000	38 295	5,744 31	5,744 31	38 850	5,827 50	83 19		LT
EAI $2,239 Current yield 5 76%									
Security total	1,000 000	40 614	40,613 80	40,613 80		38,850 00	-1,763 80	-1,763 80	
JOHN HANCOCK PFD INCOME II									
Symbol HPF									
Trade date Sep 3, 04	400 000	25 465	10,186 00	10,186 00	19 610	7,844 00	-2,342 00		LT
Trade date Sep 28, 04	400 000	25 700	10,280 00	10,280 00	19 610	7,844 00	-2,436 00		LT
Trade date Dec 28, 04	200 000	25 715	5,143 00	5,143 00	19 610	3,922 00	-1,221 00		LT
Trade date Jun 15, 11	500 000	20 660	10,330 35	10,330 35	19 610	9,805 00	-525 35		LT
Trade date Sep 12, 14	400 000	20 390	8,156 01	8,156 01	19 610	7,844 00	-312 01		LT
EAI $3,192 Current yield 8 57%									
Security total	1,900 000	23 208	44,095 36	44,095 36		37,259 00	-6,836 36	-6,836 36	
JOHN HANCOCK PREFERRED INCOME FUND III									
Symbol HPS									
Trade date Mar 15, 12	300 000	18 582	5,574 73	5,574 73	17 860	5,358 00	-216 73		LT
Trade date Jul 30, 12	698 000	19 861	13,863 09	13,863 09	17 860	12,466 28	-1,396 81		LT
Total reinvested	2 000	19 180		38 36	17 860	35 72	-2 64		
EAI $1,466 Current yield 8 21%									
Security total	1,000 000	19 476	19,437 82	19,476 18		17,860 00	-1,616 18	-1,577 82	
NUVEEN BUILD AMERN BOND									
Symbol NBB									
Trade date May 4, 11	500 000	18 835	9,417 69	9,417 69	20 090	10,045 00	627 31	627 31	LT
EAI $672 Current yield 6 69%									
NUVEEN CREDIT STRATEGIES INCOME FUND									
Symbol JQC									
Trade date Jun 25, 03	500 000	15 006	7,503 12	7,503 12	7 840	3,920 00	-3,583 12	-3,583 12	LT
EAI $309 Current yield 7 88%									
NUVEEN PFD INCOME									

continued next page

Your assets › **Fixed income** › **Closed end funds & Exchange traded products** (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
OPPORTUNITIES FUND									
Symbol JPC									
Trade date Mar 26, 03	1,900 000	15 002	28,504 32	28,504 32	9 160	17,404 00	-11,100 32	-11,100 32	LT
EAI $1,528 Current yield 8 78%									
NUVEEN QUALITY PREFERRED									
INCOME FUND 2									
Symbol JPS									
Trade date Sep 23, 02	2,000 000	15 000	30,000 00	30,000 00	9 110	18,220 00	-11,780 00		LT
Trade date Mar 15, 12	400 000	8 928	3,571 56	3,571 56	9 110	3,644 00	72 44		LT
Trade date Mar 11, 14	900 000	8 748	7,873 71	7,873 71	9 110	8,199 00	325 29		LT
Trade date Sep 12, 14	700 000	9 118	6,382 92	6,382 92	9 110	6,377 00	-5 92		LT
EAI $2,784 Current yield 7 64%									
Security total	4,000 000	11 957	47,828 19	47,828 19		36,440 00	-11,388 19	-11,388 19	
NUVEEN QUALITY PFD INCOME FD									
Symbol JTP									
Trade date Jun 25, 02	500 000	15 000	7,500 00	7,500 00	8 280	4,140 00	-3,360 00		LT
Trade date. Mar 15, 12	400 000	8 553	3,421 54	3,421 54	8 280	3,312 00	-109 54		LT
Trade date Jul 24, 12	550 000	8 839	4,861 58	4,861 58	8 280	4,554 00	-307 58		LT
Trade date Jul 30, 12	900 000	8 951	8,056 23	8,056 23	8 280	7,452 00	-604 23		LT
Trade date Sep 12, 14	650 000	8 437	5,484 17	5,484 17	8 280	5,382 00	-102 17		LT
EAI $1,980 Current yield 7 97%									
Security total	3,000 000	9 775	29,323 52	29,323 52		24,840 00	-4,483 52	-4,483 52	
PUTNAM MASTER INTERMED INCOME									
TRUST									
Symbol PIM									
Trade date Dec 15, 03	460 000	6 587	3,030 08	3,030 08	4 550	2,093 00	-937 08		LT
Trade date Dec 15, 03	400 000	6 516	2,606 48	2,606 48	4 550	1,820 00	-786 48		LT
Trade date Jan 19, 05	600 000	6 684	4,010 65	4,010 65	4 550	2,730 00	-1,280 65		LT
Trade date Jun 20, 12	840 000	5 067	4,256 81	4,256 81	4 550	3,822 00	-434 81		LT
EAI $718 Current yield 6 86%									
Security total	2,300 000	6 045	13,904 02	13,904 02		10,465 00	-3,439 02	-3,439 02	

continued next page

Your assets › **Fixed income** › **Closed end funds & Exchange traded products** (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
PUTNAM PREMIER INCOME									
TRUST SBI									
Symbol PPT									
Trade date Dec 15, 03	1,185 000	6 753	8,003 24	8,003 24	4 950	5,865 75	-2,137 49		LT
Trade date Jan 6, 09	1,015 000	4 355	4,420 51	4,420 51	4 950	5,024 25	603 74		LT
Trade date Jan 29, 10	800 000	6 292	5,034 06	5,034 06	4 950	3,960 00	-1,074 06		LT
EAI $936 Current yield 6 30%									
Security total	3,000 000	5 819	17,457 81	17,457 81		14,850 00	-2,607 81	-2,607 81	
WESTERN ASSET INVT GRADE									
DEFINED OPPORTUNITY TR INC									
Symbol IGI									
Trade date Aug 17, 12	500 000	23 023	11,511 85	11,511 85	20 170	10,085 00	-1,426 85		LT
Trade date Nov 15, 12	500 000	21 915	10,957 80	10,957 80	20 170	10,085 00	-872 80		LT
Trade date May 10, 13	500 000	23 319	11,659 57	11,659 57	20 170	10,085 00	-1,574 57		LT
Trade date Nov 6, 14	500 000	21 301	10,650 84	10,650 84	20 170	10,085 00	-565 84		LT
EAI $2,400 Current yield 5 95%									
Security total	2,000 000	22 390	44,780 06	44,780 06		40,340 00	-4,440 06	-4,440 06	
Total			**$353,328.35**	**$353,836.02**		**$298,572.10**	**-$55,263.92**	**-$54,756.25**	

Total estimated annual income: $21,574

Mutual funds

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
DEUTSCHE GLOBAL HIGH									
INCOME FUND CLASS A									
Symbol SGHAX									

continued next page

Account name: ALAN UNGER TTEE
Account number: AX 84158 JD

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Your assets › **Fixed income** › **Mutual funds** (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
Trade date May 26, 06	1,250 000	7 994	9,993 50	9,993 50	6 250	7,812 50	-2,181 00		LT
Total reinvested	695 884	6 649		4,627 06	6 250	4,349 27	-277 79		
EAI $642 Current yield 5 28%									
Security total	1,945 884	7 514	9,993 50	14,620 56		12,161 77	-2,458 79	2,168 27	
LORD ABBETT FLOATING RATE FUND A									
Symbol LFRAX									
Trade date Sep 13, 12	1,050 420	9 524	10,005 25	10,005 25	8 800	9,243 69	-761 56		LT
Trade date Sep 20, 12	1,572 327	9 543	15,005 25	15,005 25	8 800	13,836 48	-1,168 77		LT
Trade date Nov 21, 12	210 084	9 544	2,005 25	2,005 25	8 800	1,848 74	-156 51		LT
Trade date Dec 27, 12	260 960	9 600	2,505 25	2,505 25	8 800	2,296 45	-208 80		LT
Total reinvested	486 314	9 358		4,551 32	8 800	4,279 56	-271 76		
EAI $1,432 Current yield 4 55%									
Security total	3,580 105	9 517	29,521 00	34,072 32		31,504 92	-2,567 40	1,983 92	
LORD ABBETT INCOME FUND CLASS A									
Symbol LAGVX									
Trade date Feb 23, 12	3,367 003	2 971	10,005 25	10,005 25	2 660	8,956 22	-1,049 03		LT
Trade date Mar 15, 12	1,887 661	2 952	5,573 85	5,573 85	2 660	5,021 18	-552 67		LT
Trade date Jun 20, 12	3,367 003	2 971	10,005 25	10,005 25	2 660	8,956 22	-1,049 03		LT
Trade date Aug 17, 12	1,114 950	3 014	3,361 25	3,361 25	2 660	2,965 77	-395 48		LT
Trade date Sep 13, 12	657 895	3 047	2,005 25	2,005 25	2 660	1,750 00	-255 25		LT
Total reinvested	400 487	2 897		1,160 57	2 660	1,065 30	-95 27		
EAI $1,360 Current yield 4 74%									
Security total	10,794 999	2 975	30,950 85	32,111 42		28,714 69	-3,396 73	-2,236 16	
LORD ABBETT BOND DEBENTURE FUND CL A									
Symbol LBNDX									
Trade date Aug 31, 00	4,000 000	9 241	36,964 50	36,964 50	7 400	29,600 00	-7,364 50		LT
Trade date Sep 8, 00	28 474	8 779	250 00	250 00	7 400	210 71	-39 29		LT
Trade date Oct 6, 00	29 448	8 549	251 78	251 78	7 400	217 92	-33 86		LT

continued next page

Your assets › **Fixed income** › **Mutual funds** (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
Trade date Nov 7, 00	30 374	8 349	253 62	253 62	7 400	224 77	-28 85		LT
Trade date Dec 6, 00	31 702	8 060	255 52	255 52	7 400	234 59	-20 93		LT
Trade date Jan 4, 01	31 288	8 229	257 50	257 50	7 400	231 53	-25 97		LT
Trade date Feb 7, 01	30 311	8 559	259 46	259 46	7 400	224 30	-35 16		LT
Trade date Mar 7, 01	30 639	8 529	261 35	261 35	7 400	226 73	-34 62		LT
Trade date Apr 5, 01	32 262	8 160	263 26	263 26	7 400	238 74	-24 52		LT
Trade date May 9, 01	31 846	8 330	265 28	265 28	7 400	235 66	-29 62		LT
Trade date Jun 6, 01	30 361	8 310	252 30	252 30	7 400	224 67	-27 63		LT
Trade date Jul 11, 01	31 604	8 040	254 10	254 10	7 400	233 87	-20 23		LT
Trade date Aug 8, 01	31 445	8 139	255 96	255 96	7 400	232 69	-23 27		LT
Trade date Sep 6, 01	30 437	8 039	244 71	244 71	7 400	225 23	-19 48		LT
Trade date Oct 10, 01	32 001	7 700	246 41	246 41	7 400	236 81	-9 60		LT
Trade date Nov 7, 01	31 537	7 870	248 20	248 20	7 400	233 37	-14 83		LT
Trade date Dec 6, 01	31 482	7 940	249 97	249 97	7 400	232 97	-17 00		LT
Trade date Jan 4, 02	31 784	7 920	251 73	251 73	7 400	235 20	-16 53		LT
Trade date Feb 7, 02	32 881	7 709	253 51	253 51	7 400	243 32	-10 19		LT
Trade date Mar 7, 02	33 034	7 729	255 35	255 35	7 400	244 45	-10 90		LT
Trade date Apr 5, 02	33 403	7 699	257 20	257 20	7 400	247 18	-10 02		LT
Trade date May 9, 02	33 733	7 680	259 07	259 07	7 400	249 62	-9 45		LT
Trade date Jun 6, 02	30 820	7 560	233 00	233 00	7 400	228 07	-4 93		LT
Trade date Jul 11, 02	32 711	7 170	234 54	234 54	7 400	242 06	7 52		LT
Trade date Aug 8, 02	33 837	6 979	236 18	236 18	7 400	250 39	14 21		LT
Trade date Aug 29, 02	268 097	7 459	2,000 00	2,000 00	7 400	1,983 92	-16 08		LT
Trade date Aug 30, 02	1,732 000	7 490	12,972 68	12,972 68	7 400	12,816 80	-155 88		LT
Trade date Sep 6, 02	47 656	7 089	337 88	337 88	7 400	352 65	14 77		LT
Trade date Oct 10, 02	50 186	6 779	340 26	340 26	7 400	371 38	31 12		LT
Trade date Nov 7, 02	49 037	6 990	342 77	342 77	7 400	362 87	20 10		LT
Trade date Dec 6, 02	44 296	7 169	317 60	317 60	7 400	327 79	10 19		LT
Trade date Jan 9, 03	43 907	7 279	319 64	319 64	7 400	324 91	5 27		LT
Trade date Jan 17, 03	1,963 351	7 642	15,005 00	15,005 00	7 400	14,528 80	-476 20		LT
Trade date Feb 6, 03	57 457	7 170	411 97	411 97	7 400	425 18	13 21		LT
Trade date Mar 7, 03	57 427	7 219	414 62	414 62	7 400	424 96	10 34		LT

continued next page

Account name: ALAN UNGER TTEE
Account number: AX 84158 JD

Your Financial Advisor:
BURK AND BURK
404-760-3000/800-234-9928

Your assets › **Fixed income** › **Mutual funds** (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
Trade date Apr 9, 03	57 003	7 319	417 26	417 26	7 400	421 82	4 56		LT
Trade date May 8, 03	55 687	7 540	419 88	419 88	7 400	412 08	-7 80		LT
Trade date Jun 6, 03	51 845	7 669	397 65	397 65	7 400	383 65	-14 00		LT
Trade date Jul 9, 03	51 799	7 720	399 89	399 89	7 400	383 31	-16 58		LT
Trade date Aug 7, 03	53 617	7 500	402 13	402 13	7 400	396 77	-5 36		LT
Trade date Sep 11, 03	52 391	7 720	404 46	404 46	7 400	387 69	-16 77		LT
Trade date Oct 9, 03	52 211	7 789	406 72	406 72	7 400	386 36	-20 36		LT
Trade date Nov 7, 03	52 166	7 839	408 98	408 98	7 400	386 03	-22 95		LT
Trade date Dec 11, 03	51 598	7 970	411 24	411 24	7 400	381 83	-29 41		LT
Trade date Jan 9, 04	50 734	8 149	413 48	413 48	7 400	375 43	-38 05		LT
Trade date Feb 6, 04	51 700	8 040	415 67	415 67	7 400	382 58	-33 09		LT
Trade date Mar 9, 04	51 594	8 099	417 91	417 91	7 400	381 80	-36 11		LT
Trade date Apr 8, 04	52 257	8 040	420 15	420 15	7 400	386 70	-33 45		LT
Trade date May 7, 04	54 086	7 809	422 41	422 41	7 400	400 24	-22 17		LT
Trade date Jun 8, 04	54 316	7 819	424 75	424 75	7 400	401 94	-22 81		LT
Total reinvested	11,053 828	7 729		85,442 67	7 400	81,798 33	-3,644 34		
EAI $7,300 Current yield 4 72%									
Security total	20,917 660	7 989	81,659 50	167,102 17		154,790 68	-12,311 50	73,131 17	
Total			**$152,124.85**	**$247,906.47**		**$227,172.06**	**-$20,734.42**	**$75,047.21**	

Total estimated annual income: $10,734

Preferred securities

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
AFLAC INC 5 500% DUE 09/15/52 CALLABLE Symbol AFSD Exchange NYSE EAI $413 Current yield 5 33%	Sep 28, 12	300 000	25 457	7,637 25	25 840	7,752 00	114 75	LT

continued next page

Account name: ALAN UNGER TTEE
Account number: AX 84158 JD

Your Financial Advisor:
BURK AND BURK
404-760-3000/800-234-9928

Your assets › **Fixed income** › **Preferred securities** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
ASSURED GUARNTY MUNI HLD 6 250% DUE 11/01/02 CALLABLE Symbol AGO PRE Exchange NYSE EAI $313 Current yield 6 15%	Nov 11, 02	200 000	25 000	5,000 00	25 440	5,088 00	88 00	LT
BAC CAP TR VIII 6 000% DUE 08/25/35 CALLABLE Symbol BAC PRZ Exchange NYSE EAI $900 Current yield 5 85%	Oct 10, 05	600 000	25 090	15,054 00	25 639	15,383 40	329 40	LT
BARCLAYS BANK PLC ADR PFD SR 5 SER 5 8 125% PREFERRED CLBL PAR VALUE - 25 00 USD Symbol BCS PRD Exchange NYSE EAI $812 Current yield 7 65%	Apr 9, 08	400 000	25 000	10,000 00	26 530	10,612 00	612 00	LT
BB&T CORPORATION (BBT) 5 850% PREFERRED CLBL PAR VALUE -25 00 USD Symbol BBT PRD Exchange NYSE EAI $878 Current yield 5 54%	Nov 22, 13	600 000	21 879	13,127 99	26 420	15,852 00	2,724 01	LT
BB&T CORPORATION (BBT) 5 200% PREFERRED CLBL PAR VALUE - 25 00 USD Symbol BBT PRF Exchange NYSE EAI $390 Current yield 5 18%	Nov 27, 12	300 000	24 837	7,451 25	25 100	7,530 00	78 75	LT
ENTERGY MISS INC 1ST MTG 6 000% DUE 05/01/51 CALLABLE Symbol EMZ Exchange NYSE EAI $675 Current yield 5 78%	May 12, 11	450 000	25 361	11,412 75	25 970	11,686 50	273 75	LT
FPL GROUP CAP TR I 5 875% DUE 03/15/44 CALLABLE Symbol NEE PRC Exchange NYSE EAI $1,469 Current yield 5 65%	Sep 28, 04	400 000	24 765	9,906 00	25 998	10,399 20	493 20	LT
	Oct 15, 04	400 000	24 965	9,986 00	25 998	10,399 20	413 20	LT

continued next page

Your assets › **Fixed income** › **Preferred securities** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Mar 16, 05	200 000	24 730	4,946 00	25 998	5,199 60	253 60	LT
Security total		1,000 000		24,838 00		25,998 00	1,160 00	
GOLDMAN SACHS GROUP SER B 6 200% PREFERRED CLBL PAR VALUE - 25 00 USD Symbol GS PRB Exchange NYSE EAI $620 Current yield 5 96%	Oct 21, 05	400 000	25 015	10,006 00	25 990	10,396 00	390 00	LT
HSBC HLDGS PLC SER A 6 200% PREFERRED CLBL PAR VALUE - 25 00 USD Symbol HSBC PRA Exchange NYSE EAI $2,170 Current yield 6 06%	Oct 10, 05	400 000	25 415	10,166 00	25 570	10,228 00	62 00	LT
	Sep 2, 11	1,000 000	24 395	24,395 27	25 570	25,570.00	1,174 73	LT
Security total		1,400 000		34,561 27		35,798 00	1,236 73	
J P MORGAN CHASE & CO (JPM) 5 450% PRF CLBL PAR VALUE - 25 00 USD Symbol JPM PRA Exchange NYSE EAI $545 Current yield 5 40%	May 28, 13	400 000	25 909	10,363 65	25 240	10,096 00	-267 65	LT
JPMORGAN CHASE & CO 6 300% PREFERRED CLBL PAR VALUE - 25 00 USD Symbol JPM PRE Exchange NYSE EAI $630 Current yield 6 00%	Nov 6, 14	400 000	25 462	10,184 85	26 260	10,504 00	319 15	LT
JPMORGAN CHASE & CO 6 125% PREFERRED CLBL PAR VALUE 25 00 USD Symbol JPM PRF Exchange NYSE EAI $612 Current yield 5 86%	Feb 20, 15	227 000	25 373	5,759 70	26 120	5,929 24	169 54	ST
	Feb 23, 15	173 000	25 363	4,387 93	26 120	4,518 76	130 83	ST
Security total		400 000		10,147 63		10,448 00	300 37	

continued next page

Your assets › **Fixed income** › **Preferred securities** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
JPMORGAN CHASE & CO 6 100% PREFERRED CLBL PAR VALUE - 25 00 USD Symbol JPM PRG Exchange NYSE EAI $1,449 Current yield 5 89%	Aug 17, 15	950 000	25 137	23,880 49	25 890	24,595 50	715 01	ST
KIMCO REALTY CORP (KIM) RATE 6 000% QUARTERLY PREFERRED CLBL Symbol KIM PRI Exchange NYSE EAI $1,200 Current yield 5 79%	Nov 9, 12	440 000	26 363	11,599 92	25 891	11,392 04	-207 88	LT
	Nov 16, 12	360 000	26 305	9,470 15	25 891	9,320 76	-149 39	LT
Security total		800 000		21,070 07		20,712 80	-357 27	
METLIFE FLOATER CALLABLE 09/15/10 4% FLOATER Symbol MET PRA Exchange NYSE EAI $1,719 Current yield 4 04%	Sep 14, 11	200 000	23 612	4,722 54	25 050	5,010 00	287 46	LT
	Jun 15, 12	300 000	24 982	7,494 89	25 050	7,515 00	20 11	LT
	Apr 28, 14	800 000	23 802	19,041 73	25 050	20,040 00	998 27	LT
	Feb 20, 15	400 000	24 971	9,988 45	25 050	10,020 00	31 55	ST
Security total		1,700 000		41,247 61		42,585 00	1,337 39	
MORGAN STANLEY CAP TR IV 6 250% DUE 04/01/33 CALLABLE Symbol MWG Exchange NYSE EAI $781 Current yield 6 16%	Apr 10, 03	500 000	25 010	12,505 00	25 370	12,685 00	180 00	LT
PNC FINL SERV GRP INC SER Q 5 375% PREFERRED CLBL PAR VALUE - 25 00 USD Symbol PNC PRQ Exchange NYSE EAI $1,075 Current yield 5 26%	Sep 20, 12	400 000	25 253	10,101 25	25 550	10,220 00	118 75	LT
	Oct 2, 12	400 000	25 279	10,111 76	25 550	10,220 00	108 24	LT
Security total		800 000		20,213 01		20,440 00	226 99	

continued next page

Your assets › **Fixed income** › **Preferred securities** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
PRUDENTIAL FINL INC								
PRH 5 700%								
DUE 03/15/53 CALLABLE								
Symbol PRH Exchange OTC								
EAI $1,354 Current yield 5 52%	Mar 19, 13	300 000	25 267	7,580 25	25 840	7,752 00	171 75	LT
	May 28, 13	300 000	26 156	7,846 95	25 840	7,752 00	-94 95	LT
	Jun 12, 13	235 000	24 239	5,696 37	25 840	6,072 40	376 03	LT
	Nov 22, 13	115 000	22 478	2,585 07	25 840	2,971 60	386 53	LT
Security total		950 000		23,708 64		24,548 00	839 36	
PRUDENTIAL PLC CLBL								
9/23/09@25 00 6 75%								
PREFERRED CLBL								
Symbol PUK PR Exchange NYSE								
EAI $338 Current yield 6 38%	Aug 3, 04	200 000	25 030	5,006 00	26 480	5,296 00	290 00	LT
QWEST CORPORATION (CTL)								
7 000%								
DUE 07/01/52 CALLABLE								
Symbol CTU Exchange NYSE								
EAI $1,050 Current yield 6 74%	Jul 12, 12	300 000	26 447	7,934 25	25 980	7,794 00	-140 25	LT
	Nov 21, 12	300 000	27 088	8,126 46	25 980	7,794 00	-332 46	LT
Security total		600 000		16,060 71		15,588 00	-472 71	
QWEST CORPORATION (CTL)								
6 125% SR NTS								
DUE 06/01/53 CALLABLE								
Symbol CTY Exchange NYSE								
EAI $612 Current yield 6 16%	Aug 20, 14	200 000	24 347	4,869 45	24 840	4,968 00	98 55	LT
	Feb 6, 15	200 000	25 161	5,032 22	24 840	4,968 00	-64 22	ST
Security total		400 000		9,901 67		9,936 00	34 33	
STATE STREET CORP 6 000%								
PREFERRED CLBL PAR VALUE -								
25 00 USD								
Symbol STT PRE Exchange NYSE								
EAI $600 Current yield 5 65%	Mar 4, 15	200 000	25 805	5,161 05	26 570	5,314 00	152 95	ST
	May 12, 15	200 000	25 792	5,158 45	26 570	5,314 00	155 55	ST

continued next page

Your assets › **Fixed income** › **Preferred securities** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
Security total		400 000		10,319 50		10,628 00	308 50	
WELLS FARGO & COMPANY PREFERRED CLBL PAR VALUE -25 0 RATE 6 000% Symbol WFC PRT Exchange NYSE EAI $600 Current yield 5 61%	Mar 4, 15	400 000	25 793	10,317 25	26 740	10,696 00	378 75	ST
WELLS FARGO REAL EST INV CUML SER A 6 375% PREFERRED CLBL PAR VALUE - 25 00 USD Symbol WFE PRA Exchange NYSE EAI $558 Current yield 6 09%	Sep 10, 15	350 000	26 081	9,128 35	26 160	9,156 00	27 65	ST
Total				**$373,142.94**		**$384,010.20**	**$10,867.26**	

Total estimated annual income: $21,763

Other

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
EATON VANCE TAX ADVANTAGED									
DIVID INCOME FUND									
Symbol EVT									
Trade date Apr 25, 13	600 000	19 352	11,611 62	11,611 62	19 340	11,604 00	-7 62		LT
Trade date May 10, 13	400 000	19 956	7,982 68	7,982 68	19 340	7,736 00	-246 68		LT
Trade date Jun 25, 14	600 000	20 875	12,525 14	12,525 14	19 340	11,604 00	-921 14		LT
Trade date Nov 6, 14	300 000	20 791	6,237 42	6,237 42	19 340	5,802 00	-435 42		LT
EAI $3,306 Current yield 9 00%									
Security total	1,900 000	20 188	38,356 86	38,356 86		36,746 00	-1,610 86	-1,610 86	
EATON VANCE TAX ADVANTAGED									

continued next page

Your assets › **Other** › **Closed end funds & Exchange traded products** (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
GLOBAL DIVID INCOME FUND									
Symbol ETG									
Trade date Jul 11, 12	200 000	13 627	2,725 48	2,725 48	15 520	3,104 00	378 52		LT
Trade date Oct 6, 14	800 000	16 994	13,595 80	13,595 80	15 520	12,416 00	-1,179 80		LT
EAI $1,230 Current yield 7 93%									
Security total	1,000 000	16 321	16,321 28	16,321 28		15,520 00	-801 28	-801 28	
JOHN HANCOCK TAX ADVANTAGED DIVID INCOME FUND									
Symbol HTD									
Trade date Aug 6, 09	500 000	11 804	5,902 11	5,902 11	20 570	10,285 00	4,382 89		LT
Trade date Jan 29, 10	500 000	13 315	6,657 94	6,657 94	20 570	10,285 00	3,627 06		LT
Trade date Aug 27, 10	400 000	14 660	5,864 01	5,864 01	20 570	8,228 00	2,363 99		LT
Trade date Jul 17, 12	400 000	18 978	7,591 40	7,591 40	20 570	8,228 00	636 60		LT
Trade date Jul 19, 12	300 000	19 182	5,754 86	5,754 86	20 570	6,171 00	416 14		LT
Trade date Jan 9, 13	400 000	18 917	7,566 91	7,566 91	20 570	8,228 00	661 09		LT
Trade date Nov 22, 13	500 000	18 109	9,054 61	9,054 61	20 570	10,285 00	1,230 39		LT
EAI $4,356 Current yield 7 06%									
Security total	3,000 000	16 131	48,391 84	48,391 84		61,710 00	13,318 16	13,318 16	
NUVEEN TAX ADV TOTAL RETURN STRATEGY FUND									
Symbol JTA									
Trade date Sep 12, 14	500 000	14 350	7,175 26	7,175 26	11 670	5,835 00	-1,340 26		LT
Trade date Oct 24, 14	500 000	13 451	6,725 96	6,725 96	11 670	5,835 00	-890 96		LT
Trade date Nov 6, 14	1,000 000	13 656	13,656 17	13,656 17	11 670	11,670 00	-1,986 17		LT
Trade date Dec 15, 15	825 000	11 483	9,474 15	9,474 15	11 670	9,627 75	153 60		ST
Total reinvested	225 000	12 503		2,813 30	11 670	2,625 75	-187 55		
EAI $3,318 Current yield 9 32%									
Security total	3,050 000	13 064	37,031 54	39,844 84		35,593 50	-4,251 34	-1,438 04	
Total			**$140,101.52**	**$142,914.82**		**$149,569.50**	**$6,654.68**	**$9,467.98**	

Total estimated annual income: $12,210

Your assets (continued)

Your total assets

		Value on Dec 31 ($)	Percentage of your account	Cost basis ($)	Estimated annual income ($)	Unrealized gain or loss ($)
Cash	**Cash and money balances**	**31,821.96**	**1.87%**	**31,821.96**		
Equities	Common stock	249,107 65		256,828 45	11,902 00	-7,720 80
	Closed end funds & Exchange traded products	49,716 00		73,552 55	4,980 00	-23,836 55
	Other equity investments	29,240 00		30,411.68 ~~30,997.91~~	2,900 00	-1,757 91
	Total equities	**328,063.65**	**19.26%**	~~**361,378.91**~~	**19,782.00**	**-33,315.26**
Fixed income	Corporate bonds and notes	256,132 15		261,623 51	16,585 00	-5,491 36
	Asset backed securities	25,087 45		23,642 80	1,376 00	1,444 65
	Closed end funds & Exchange traded products	298,572 10		353,836 02	21,574 00	-55,263 92
	Mutual funds	227,172 06		247,906 47	10,734 00	-20,734 42
	Preferred securities	384,010 20		373,142 94	21,763 00	10,867 26
	Total accrued interest	3,077 92				
	Total fixed income	**1,194,051.88**	**70.09%**	**1,260,151.74**	**72,032.00**	**-69,177.79**
Other	**Closed end funds & Exchange traded products**	**149,569.50**	**8.78%**	**142,914.82**	**12,210.00**	**6,654.68**
Total		**$1,703,506.99**	**100.00%**	~~**$1,796,267.43**~~	**$104,024.00**	**-$95,838.37**

1,795,681.20
LESS: CASH (31,821.96)
1,763,859.24

Account activity this month

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value ($)	Cash amount ($)	Cash and money balance ($)
Nov 30		**Cash and money balance**					**$29,792.67**
Dec 1	Dividend	BB&T CORPORATION (BBT) 5 850% PREFERRED CLBL PAR VALUE - 25 00 USD PAID ON 600				219 38	
Dec 1	Dividend	BB&T CORPORATION (BBT) 5 200% PREFERRED CLBL PAR VALUE - 25 00 USD PAID ON 300				97 50	
Dec 1	Interest	QWEST CORPORATION (CTL) 6 125% SR NTS DUE 06/01/53 CALLABLE PAID ON 400 CUSIP 74913G600				153 13	
Dec 1	Dividend	FORD MOTOR CO COM NEW PAID ON 1200				180 00	

continued next page