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For calendar year 2015, or tax year beginning 01-01-2015 , and ending 12-31-2015

Name of foundation GOCHNAUER FAMILY FOUNDATION		A Employer identification number 33-0833479	
Number and street (or P O box number if mail is not delivered to street address) 210 HAZEL DRIVE		Room/suite	
City or town, state or province, country, and ZIP or foreign postal code CORONA DEL MAR, CA 92625		B Telephone number (see instructions) (949) 752-2190	
G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☒ Address change ☐ Name change		C If exemption application is pending, check here ☐ D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐ E If private foundation status was terminated under section 507(b)(1)(A), check here ☐ F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation			
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 4,466,578		J Accounting method ☐ Cash ☒ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis)	

Part I	Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))	Revenue and expenses per books (a)	Net investment income (b)	Adjusted net income (c)	Disbursements for charitable purposes (d) (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	8,099	8,099		
	4 Dividends and interest from securities	66,838	66,838		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10 _____	525,182			
	b Gross sales price for all assets on line 6a _____ 5,286,055				
	7 Capital gain net income (from Part IV, line 2)		525,182		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances _____				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	 23,705	23,705		
	12 Total. Add lines 1 through 11	623,824	623,824		
	13 Compensation of officers, directors, trustees, etc	52,000			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule).				
	b Accounting fees (attach schedule).				
	c Other professional fees (attach schedule)	 30,941	30,941		
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	 8,026	8,026		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings.	9,465	9,465		
	22 Printing and publications				
	23 Other expenses (attach schedule).	 2,609	2,609		
	24 Total operating and administrative expenses. Add lines 13 through 23	103,041	51,041		0
	25 Contributions, gifts, grants paid	275,219			275,219
	26 Total expenses and disbursements. Add lines 24 and 25	378,260	51,041		275,219
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	245,564			
	b Net investment income (if negative, enter -0-)		572,783		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	184,577	574,446	574,446
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions).	1,875	3,750	3,750
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)			
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	4,314,201	4,159,021	3,888,382
	Liabilities	14	Land, buildings, and equipment basis ▶ 3,566 Less accumulated depreciation (attach schedule) ▶ 3,566		
15		Other assets (describe ▶ _____)			
16		Total assets(to be completed by all filers—see the instructions Also, see page 1, item I)	4,500,653	4,737,217	4,466,578
17		Accounts payable and accrued expenses			
Net Assets or Fund Balances	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule).			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities(add lines 17 through 22)		0	
Foundations that follow SFAS 117, check here ☐ and complete lines 24 through 26 and lines 30 and 31.	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ☒ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	4,500,653	4,737,217	
	30	Total net assets or fund balances(see instructions)	4,500,653	4,737,217	
	31	Total liabilities and net assets/fund balances(see instructions)	4,500,653	4,737,217	

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1 4,500,653
2	Enter amount from Part I, line 27a	2 245,564
3	Other increases not included in line 2 (itemize) ▶ _____	3
4	Add lines 1, 2, and 3	4 4,746,217
5	Decreases not included in line 2 (itemize) ▶ _____	5 9,000
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6 4,737,217

Part IV Capital Gains and Losses for Tax on Investment Income

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)		How acquired P—Purchase (b) D—Donation	Date acquired (c) (mo , day, yr)	Date sold (d) (mo , day, yr)
1 a	MERRILL LYNCH #472	P	2015-01-01	2015-12-31
b	MERRILL LYNCH #472	P	2001-01-01	2015-12-31
c	NOTE RECEIVABLE-FIELDSTONE	P	2001-01-01	2015-01-01
d	MERRILL LYNCH #445	P	2001-01-01	2015-12-01
e	MERRILL LYNCH #445	P	2001-01-01	2015-12-31

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
a 2,231,415		2,124,313	107,102
b 2,753,149		2,207,560	545,589
c 29,449		279,000	-249,551
d 52,539			52,539
e 219,503		150,000	69,503

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FM V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
a			107,102
b			545,589
c			-249,551
d			52,539
e			69,503

2	Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	525,182
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)
If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2014	306,566	5,096,881	0 06015
2013	264,123	4,843,225	0 05454
2012	211,590	4,687,058	0 04514
2011	198,450	3,901,374	0 05087
2010	151,100	3,016,999	0 05008

2	Total of line 1, column (d).	2	0 260776
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 052155
4	Enter the net value of noncharitable-use assets for 2015 from Part X, line 5.	4	4,669,939
5	Multiply line 4 by line 3.	5	243,561
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	5,728
7	Add lines 5 and 6.	7	249,289
8	Enter qualifying distributions from Part XII, line 4.	8	275,219

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See
the Part VI instructions

Part VI

Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	5,728
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3 Add lines 1 and 2.		3	5,728
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	
5 Tax based on investment income.Subtract line 4 from line 3 If zero or less, enter -0-		5	5,728
6 Credits/Payments			
a 2015 estimated tax payments and 2014 overpayment credited to 2015	6a	2,497	
b Exempt foreign organizations—tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868).	6c	22,000	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d.		7	24,497
8 Enter any penalty for underpayment of estimated tax Check here ☒ if Form 2220 is attached		8	12
9 Tax due.If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10 Overpayment.If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	18,757
11 Enter the amount of line 10 to be Credited to 2015 estimated tax 18,757 Refunded		11	

Part VII-A

Statements Regarding Activities

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	Yes	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities	1b		No
c Did the foundation file Form 1120-POL for this year?	1c		No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ (2) On foundation managers \$			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2		No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b		No
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T	5		No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7 Did the foundation have at least \$5,000 in assets at any time during the year?If "Yes," complete Part II, col (c), and Part XV	7	Yes	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) CA			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? If "Yes," complete Part XIV	9		No
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► http://www.gochnauer.org/gochnauer-foundation	13	Yes	
14	The books are in care of ► <u>RICHARD W GOCHNAUER</u> Telephone no ► <u>(949) 752-2190</u> Located at ► <u>210 HAZEL DRIVE CORONA DEL MAR CA</u> ZIP+4 ► <u>92625</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ► ☐ and enter the amount of tax-exempt interest received or accrued during the year ► 15			
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ►	16	Yes	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? ☐ Organizations relying on a current notice regarding disaster assistance check here. ► ☐	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? ☐ Yes ☒ No If "Yes," list the years ► 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015</i>).	3b		No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a

During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions).

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

No

Organizations relying on a current notice regarding disaster assistance check here. ☐

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

☐ Yes ☒ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d)

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

No

If "Yes" to 6b, file Form 8870

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

No

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
RICHARD W GOCHNAUER 210 HAZEL DR CORONA DEL MAR, CA 92625	PRES & TREAS 0 00	0		
BETH A GOCHNAUER 210 HAZEL DR CORONA DEL MAR, CA 92625	CHAIR/SECRETARY 0 00	0		
GRANT D GOCHNAUER 210 HAZEL DR CORONA DEL MAR, CA 92625	VICE PRESIDENT 0 00	0		
MEG LEHMANN 210 HAZEL DR CORONA DEL MAR, CA 92625	Vice President 0 00	52,000		

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
NONE				

Total number of other employees paid over \$50,000. ☐

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Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services. ▶

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3 ▶

Part X Minimum Investment Return

(All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	3,817,613
b	Average of monthly cash balances.	1b	896,761
c	Fair market value of all other assets (see instructions).	1c	26,681
d	Total (add lines 1a, b, and c).	1d	4,741,055
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	4,741,055
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	71,116
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	4,669,939
6	Minimum investment return. Enter 5% of line 5.	6	233,497

Part XI Distributable Amount(see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	233,497
2a	Tax on investment income for 2015 from Part VI, line 5.	2a	5,728
b	Income tax for 2015 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	5,728
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	227,769
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	227,769
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	227,769

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	275,219
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	275,219
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	5,728
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	269,491

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				227,769
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only.				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2015				
a From 2010.				2,548
b From 2011.				7,948
c From 2012.				
d From 2013.				27,676
e From 2014.				58,402
f Total of lines 3a through e.	96,574			
4 Qualifying distributions for 2015 from Part XII, line 4 ► \$ 275,219				
a Applied to 2014, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2015 distributable amount.				227,769
e Remaining amount distributed out of corpus	47,450			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	144,024			
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions). . .	2,548			
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a	141,476			
10 Analysis of line 9				
a Excess from 2011. . . .				7,948
b Excess from 2012. . . .				
c Excess from 2013. . . .				27,676
d Excess from 2014. . . .				58,402
e Excess from 2015. . . .				47,450

Part XIV

Private Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling. . . . ▶

b

Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a

Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.

Tax year	Prior 3 years			(e) Total
(a) 2015	(b) 2014	(c) 2013	(d) 2012	
b 85% of line 2a				
c Qualifying distributions from Part XII, line 4 for each year listed				
d Amounts included in line 2c not used directly for active conduct of exempt activities				
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c				

3 Complete 3a, b, or c for the alternative test relied upon

a "Assets" alternative test—enter

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.

c "Support" alternative test—enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV

Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

RICHARD W GOCHNAUER

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a

The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

RICHARD GOCHNAUER
335 WOODLEY ROAD
WINNETKA, IL 60093
(949) 752-2190
meg@gochnauer.org

b

The form in which applications should be submitted and information and materials they should include

SEE [http //www.gochnauer.org/grant-information-2](http://www.gochnauer.org/grant-information-2)

c

Any submission deadlines

N/A

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

SEE [http //www.gochnauer.org/grant-information-2](http://www.gochnauer.org/grant-information-2)

Form 990-PF (2015)

Part XV

Supplementary Information(continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			▶ 3a	275,219
b Approved for future payment				
Total			▶ 3b	

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2015)

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

Yes	No
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No

	No
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	No
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	No
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	No
--	----

	No
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	No
--	----

	No
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No

e

gements

S

Discuss this

May the IRS discuss this return with the preparer shown below

(see instr)? ☒ Yes ☐ No

(see instr)? ☒ Yes ☐ No

PTIN	P00801170
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Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
NATIONAL CHRISTIAN FOUNDATION CALIF 19742 MACARTHUR BLVDSTE 230 IRVINE,CA 92612	NONE	501C3	GENERAL	8,750
THE WOODEN FLOOR 1810 NORTH MAIN STREET SANTA ANA,CA 92706	NONE	501C3	GENERAL	10,000
CHILDREN'S DENTAL CENTER 300 EAST BUCKTHORN STREET INGLEWOOD,CA 90301	NONE	501C3	GENERAL	2,500
RUSH UNIVERSITY MEDICAL CTR 1700 W VAN BUREN ST STE 250 CHICAGO,IL 60612	NONE	501C3	GENERAL	10,000
ONEGOAL 215 W SUPERIOR STREET SUITE 700 CHICAGO,IL 60654	NONE	501C3	GENERAL	10,000
OPPORTUNITY INTERNATIONAL 2122 YORK ROAD SUITE 150 OAK BROOK,IL 60523	NONE	501C3	GENERAL	67,700
CARROLL COLLEGE 1601 N BENTON AVE HELENA,MT 59625	NONE	501C3	GENERAL	15,000
CITY OF HOPE 1500 E DUARTE RD DUARTE,CA 91010	NONE	PF	GENERAL	10,000
SERVING PEOPLE IN NEED 151 KALMUS H-2 COSTA MESA,CA 92626	NONE	501C3	GENERAL	1,000
FREE WHEELCHAIR MISSION 15279 ALTON PKWY STE 300 IRVINE,CA 92618	NONE	501C3	GENERAL	5,000
CASA 1505 E 17TH ST STE 214 SANTA ANA,CA 92705	NONE	501C3	GENERAL	3,500
IMPACT GIVING 34177 PACIFIC COAST HWY DANA POINT,CA 92629	NONE	501C3	GENERAL	2,000
EQUALITY ILLINOIS EDUCATION PROJECT 3318 N HALSTED ST CHICAGO,IL 60657	NONE	501C3	GENERAL	150
UNIVERSITY OF WISCONSIN GREEN BAY F 2420 NICOLET DRIVE GREEN BAY,WI 54311	NONE	501C3	GENERAL	50,000
EQUEST THERAPEUTIC HORSEMANSHIP PO BOX 192747 DALLAS,TX 75219	NONE	501C3	GENERAL	3,000
Total ▶ 3a				275,219

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
CHICAGO HOUSE SOCIAL SERVICES 1925 N CLYBOURN ST STE 401 CHICAGO,IL 60614	NONE	501C3	GENERAL	10,100
NORTHWESTERN UNIVERSITY 1201 DAVIS STREET EVANSTON,IL 60208	NONE	501C3	GENERAL	1,500
SPARK CHICAGO 223 W JACKSON SUITE 520 CHICAGO,IL 60606	NONE	501C3	GENERAL	6,000
CHILDREN'S HOME AID 125 SOUTH WACKER DRIVE CHICAGO,IL 60606	NONE	501C3	GENERAL	2,500
AIDS FOUNDATION OF CHICAGO 200 WEST JACKSON BLVD SUITE 2100 CHICAGO,IL 60606	NONE	501C3	GENERAL	115
EVERY FAMILY EDUCATION PROJECT 3712 N BROADWAY PMB 125 CHICAGO,IL 60613	NONE	501C3	GENERAL	12,700
SERVICE DOGS INC 4925 BELL SPRINGS ROAD DRIPPING SPRINGS,TX 78620	NONE	501C3	GENERAL	3,500
DESIGNS FOR DIGNITY 445 NORTH WELLS STREET SUITE 402 CHICAGO,IL 60654	NONE	501C3	GENERAL	3,080
PLANT WITH PURPOSE 4747 MORENA BLVD SUITE 100 SAN DIEGO,CA 92117	NONE	501C3	GENERAL	5,000
FRIENDSHIP SHELTER 1335 S COAST HWY LAGUNA BEACH,CA 92651	NONE	501C3	GENERAL	1,568
KOENIG FAMILY CHARITABLE FOUNDATION 1925 BURR OAK DR E GLENVIEW,IL 60025	NONE	501C3	GENERAL	400
CENTER ON HALSTED 3656 N HALSTED CHICAGO,IL 60613	NONE	501C3	GENERAL	600
PHI DELTA THETA FOUNDATION 2 SOUTH CAMPUS AVENUE OXFORD,OH 45056	NONE	501C3	GENERAL	1,300
ALL TOGETHER FOUNDATION 11503 WATERHAVEN CT RESTON,VA 20191	NONE	501C3	GENERAL	1,500
ART WITH IMPACT 848 PERALTA AVE SAN FRANCISCO,CA 94110		501C3	GENERAL	2,500
Total ▶ 3a				275,219

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
GREATER GREEN BAY FOUNDATION 320 N BROADWAY SUITE 260 GREEN BAY, WI 54303		501C3	GENERAL	250
ABZYM RESEARCH FOUNDATION 67 IRVING PLACE NEWYIRK,NY 10003		501C3	GENERAL	10,000
COMMUNITY LAW IN ACTION 520 W FAYETTE STREET SUITE 140 BALTIMORE,MD 21201		501C3	GENERAL	1,000
PEROT MUSEUM OF NATURE AND SCIENCE 2201 N FIELD STREET DALLAS,TX 75201	NONE	501C3	GENERAL	110
LAGUNA DANCE FESTIVAL 24112 MOULTON PARKWAY LAGUNA WOODS,CA 92637	NONE	501C3	GENERAL	1,000
HEALTHCARE FOR THE HOMELESS P O BOX 60427 NASHVILLE,TN 37206	NONE	501C3	GENERAL	250
SCOUTS 4 EQUALITY 415 RICHARDSON PL NW DISTRICT OF COLUMBIA,DC 20001	NONE	501C	GENERAL	250
KOHR CHILDREN'S MUSEUM 2100 PATRIOT BLVD GLENVIEW,IL 60026	NONE	501C3	GENERAL	500
MOVEMBER FOUNDATION P O BOX 1595 CULVER CITY,CA 90232	NONE	501C3	GENERAL	101
PRETEND CITY CHILDREN'S MUSEUM 29 HUBBLE IRVINE,CA 92618	NONE	501C3	GENERAL	295
ST ANNE SCHOOL 32451 BEAR BRAND ROAD LAGUNA NIGUEL,CA 92677	NONE	501C3	GENERAL	10,500
Total ▶ 3a				275,219

**TY 2015 Land, Etc.
Schedule****Name:** GOCHNAUER FAMILY FOUNDATION**EIN:** 33-0833479**Software ID:** 15000324**Software Version:** 2015v2.0

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
Machinery and Equipment	3,566	3,566		

TY 2015 Other Decreases Schedule**Name:** GOCHNAUER FAMILY FOUNDATION**EIN:** 33-0833479**Software ID:** 15000324**Software Version:** 2015v2.0

Description	Amount
FEDERAL TAX PAYMENT	9,000

TY 2015 Other Expenses Schedule**Name:** GOCHNAUER FAMILY FOUNDATION**EIN:** 33-0833479**Software ID:** 15000324**Software Version:** 2015v2.0

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BANK CHARGES	150	150		
GOVERNMENT FEES	75	75		
INVESTMENT EXPENSES	1,719	1,719		
OFFICE EXPENSES	665	665		

TY 2015 Other Income Schedule**Name:** GOCHNAUER FAMILY FOUNDATION**EIN:** 33-0833479**Software ID:** 15000324**Software Version:** 2015v2.0

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
Other Investment Income	23,705	23,705	

TY 2015 Other Professional Fees Schedule**Name:** GOCHNAUER FAMILY FOUNDATION**EIN:** 33-0833479**Software ID:** 15000324**Software Version:** 2015v2.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
MANAGEMENT FEES	30,941	30,941	0	0

TY 2015 Taxes Schedule**Name:** GOCHNAUER FAMILY FOUNDATION**EIN:** 33-0833479**Software ID:** 15000324**Software Version:** 2015v2.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	1,906	1,906		
PAYROLL TAXES	6,120	6,120		

EMA Fiscal Statement

GOCHNAUER FAMILY FOUNDATION

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/15	Unrealized Gain or (Loss)	Est Accrued Interest	Est Annual Income
<i>Cash and Money Funds</i>							
25,000	CASH ML BANK DEPOSIT PROGRAM	01/30/15	1 41 25,000 00	1 41 25,000 00			2 50
	Total Cash and Money Funds		25 001 41	25,001 41			2 50

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/15	Unrealized Gain or (Loss)	Est Annual Income
<i>Equities</i>						
5,000	R2000 STEPUP ISSUER DB STEP 25 00% SV 1,202 438 DUE 12/22/17 CALL	12/23/14	50,000 00	47,800 00	(2,200 00)	
7,500	SX5E ARN ISSUER SBK CAP 15 15% SV 3,574 94 DUE 04/29/2016	02/26/15	75 000 00	67,800 00	(7,200 00)	
7,500	SX5E STEPUP ISSUER CS STEP 35 00% SV 3,574 94 DUE 02/23/18 CALL	02/26/15	75 000 00	58,752 50	(6,247 50)	
5,000	SPSIRBK ARN ISSUER CS CAP 18 00% SV 1,262 15 DUE 04/29/16	02/26/15	50 000 00	52,585 00	2 585 00	

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Fiscal Statement

GOCHNAUER FAMILY FOUNDATION

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/15	Unrealized Gain or (Loss)	Est Annual Income
Equities						
5.000	FIN BSKT ARN ISS HSBC CAP 13.35% SV 100.00 DUE 06/24/16	04/23/15	50,000.00	49,750.00	(250.00)	
5.000	R2000 STEPUP ISSUER CS STEP 25.00% SV 1,271.535 DUE 04/27/18 CALL	04/23/15	50,000.00	44,000.00	(6,000.00)	
2.500	3X7P ARN ISSUER HSBC CAP 19.20% SV 221.65 DUE 05/26/16	06/25/15	25,000.00	19,675.00	(5,325.00)	
2.500	SX6E STEPUP ISSUER CS STEP 17.75% SV 3,610.91 DUE 06/30/17	06/25/15	25,000.00	21,292.50	(3,707.50)	
	Total Equities		400,000.00	371,655.00	(28,345.00)	0.00
Mutual Funds/Closed End Funds/UIT						
100	WESTERN ASSET MIDDLE MKT DEBT FD INC	12/20/12	101,750.00	70,844.00	(30,906.00)	8,801.00
	Total Mutual Funds/Closed End Funds/UIT		101,750.00	70,844.00	(30,906.00)	8,801.00

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Statement Period

Year Ending 12/31/15

Account No

695-02445

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Fiscal Statement

GOCHNAUER FAMILY FOUNDATION

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/15	Unrealized Gain or (Loss)	Est Accrued Interest	Est Annual Income
Cash and Money Funds							
	CASH		3,848 16	3,848 16			
537,991	ML BANK DEPOSIT PROGRAM	05/08/14	537,991 00	537,991 00			107 60
	Total Cash and Money Funds		541,839 16	541,839 16			107 60

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/15	Unrealized Gain or (Loss)	Est Annual Income
Mutual Funds/Closed End Funds/UIT						
7,861	ISHARES MSCI USA ESG SELECT ETF	05/23/15	677,432 08	655,450 18	(21,981 90)	9,324 00
2,033	ISHARES MSCI ACWI LOW CARBON TARGET ETF	06/23/15	201,795 60	189,129 99	(12,665 61)	3,794 00
2,833	ISHARES MSCI KLD 400 SOCIAL INDEX FUND	09/23/15	220,724 51	214,486 43	(6,238 08)	3,128 00
12 196	WESTERN ASSET CORE PLUS BOND FD CL I	06/23/15	140,306 91	139,400 28	(906 63)	4,390 00
	2770 Fractional Share	11/30/15	3 20	3 17	(0 03)	1 00
104 516	DOMINI INTERNATIONAL SOCIAL EQUITY FD CL INV	06/23/15	834,204 02	759,831 32	(74,372 70)	4,696 00
	3060 Fractional Share	12/18/15	2 20	2 22	0 02	1 00

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Year Ending 12/31/15Account No
695-02472

EMA Fiscal Statement

GOCHNAUER FAMILY FOUNDATION

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/15	Unrealized Gain or (Loss)	Est Annual Income
Mutual Funds/Closed End Funds/UIT						
24.928	NEUBERGER BERMAN SOCIAL RESPONSIVE FUND CL INST	06/23/15	869,197.61	806,670.08	(62,527.53)	7,148.00
	2950 Fractional Share	12/18/15	9.34	9.55	0.21	1.00
29.410	PAX WORLD SMALL CAP FUND INSTITUTIONAL CL	06/23/15	424,004.46	393,605.80	(31,398.66)	2,263.00
	5120 Fractional Share	12/23/15	6.83	6.85	0.02	1.00
15.713	TIAA-CREF SOCIAL CHOICE BOND FUND PREMIER	06/23/15	160,312.18	159,016.56	(1,296.62)	3,489.00
	9250 Fractional Share	12/11/15	9.44	9.36	(0.08)	1.00
Total Mutual Funds/Closed End Funds/UIT			3,528,908.38	3,317,520.79	(211,387.59)	38,237.00

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