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**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

Department of the Treasury  
Internal Revenue Service

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Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

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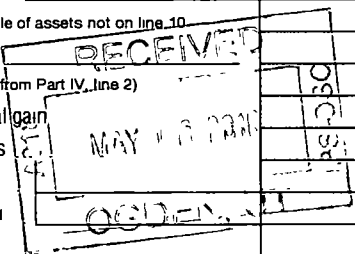
For calendar year 2015 or tax year beginning

, and ending

|  |  |  |
|--|--|--|
| Name of foundation<br><b>THE PREUSS FOUNDATION, INC</b>  |  | A Employer identification number<br><b>33-0085513</b>  |
| Number and street (or P O box number if mail is not delivered to street address)<br><b>2223 AVENIDA DE LA PLAYA STE 220</b>  | Room/suite   | B Telephone number<br><b>(858) 454-0200</b>  |
| City or town, state or province, country, and ZIP or foreign postal code<br><b>LA JOLLA, CA 92037</b>  |  | C If exemption application is pending, check here <input type="checkbox"/>   |
| G Check all that apply:<br><input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity<br><input type="checkbox"/> Final return <input type="checkbox"/> Amended return<br><input type="checkbox"/> Address change <input type="checkbox"/> Name change |  | D 1. Foreign organizations, check here <input type="checkbox"/><br>2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> |
| H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation<br><input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation  |  | E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>  |
| I Fair market value of all assets at end of year (from Part II, col (c), line 16)<br>\$ <b>26,113.</b>   | J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual<br><input type="checkbox"/> Other (specify) _____ | F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>   |

| Part I Analysis of Revenue and Expenses<br><small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))</small> |  | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|--|------------------------------------|---------------------------|-------------------------|---|
| <b>Revenue</b>  |  |                                    |                           |                         |   |
| 1   | Contributions, gifts, grants, etc., received                                     | 419,160.                           |                           | N/A                     |   |
| 2   | Check <input type="checkbox"/> if the foundation is not required to attach Sch B |                                    |                           |                         |   |
| 3   | Interest on savings and temporary cash investments                               |                                    |                           |                         |   |
| 4   | Dividends and interest from securities   |                                    |                           |                         |   |
| 5a  | Gross rents  |                                    |                           |                         |   |
| b   | Net rental income or (loss)  |                                    |                           |                         |   |
| 6a  | Net gain or (loss) from sale of assets not on line 10                            |                                    |                           |                         |   |
| b   | Gross sales price for all assets on line 6a                                      |                                    |                           |                         |   |
| 7   | Capital gain net income (from Part IV, line 2)                                   |                                    | 0.                        |                         |   |
| 8   | Net short-term capital gain  |                                    |                           |                         |   |
| 9   | Income modifications   |                                    |                           |                         |   |
| 10a   | Gross sales less returns and allowances  |                                    |                           |                         |   |
| b   | Less Cost of goods sold  |                                    |                           |                         |   |
| c   | Gross profit or (loss)   |                                    |                           |                         |   |
| 11  | Other income   |                                    |                           |                         |   |
| 12  | <b>Total.</b> Add lines 1 through 11   | 419,160.                           | 0.                        |                         |   |
| <b>Operating and Administrative Expenses</b>  |  |                                    |                           |                         |   |
| 13  | Compensation of officers, directors, trustees, etc                               | 1,300.                             | 0.                        |                         | 1,300.  |
| 14  | Other employee salaries and wages  | 113,155.                           | 0.                        |                         | 113,155.  |
| 15  | Pension plans, employee benefits   | 38,816.                            | 0.                        |                         | 38,816.   |
| 16a   | Legal fees <b>STMT 1</b>   | 983.                               | 0.                        |                         | 983.  |
| b   | Accounting fees <b>STMT 2</b>  | 1,679.                             | 0.                        |                         | 1,679.  |
| c   | Other professional fees  |                                    |                           |                         |   |
| 17  | Interest   |                                    |                           |                         |   |
| 18  | Taxes <b>STMT 3</b>  | 549.                               | 0.                        |                         | 549.  |
| 19  | Depreciation and depletion   | 2,952.                             | 0.                        |                         |   |
| 20  | Occupancy  | 25,591.                            | 0.                        |                         | 0.  |
| 21  | Travel, conferences, and meetings  | 117,508.                           | 0.                        |                         | 117,508.  |
| 22  | Printing and publications  |                                    |                           |                         |   |
| 23  | Other expenses <b>STMT 4</b>   | 22,835.                            | 0.                        |                         | 22,835.   |
| 24  | <b>Total operating and administrative expenses.</b> Add lines 13 through 23      | 325,368.                           | 0.                        |                         | 296,825.  |
| 25  | Contributions, gifts, grants paid  | 126,620.                           |                           |                         | 126,620.  |
| 26  | <b>Total expenses and disbursements</b><br>Add lines 24 and 25                   | 451,988.                           | 0.                        |                         | 423,445.  |
| 27  | Subtract line 26 from line 12:   |                                    |                           |                         |   |
| a   | Excess of revenue over expenses and disbursements                                | -32,828.                           |                           |                         |   |
| b   | <b>Net investment income</b> (if negative, enter -0-)                            |                                    | 0.                        |                         |   |
| c   | <b>Adjusted net income</b> (if negative, enter -0-)                              |                                    |                           | N/A                     |   |

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| <b>Part II Balance Sheets</b> <small>Attached schedules and amounts in the description column should be for end-of-year amounts only</small> |  | Beginning of year |  | End of year           |  |
|--|--|-------------------|--|-----------------------|--|
|  |  | (a) Book Value    | (b) Book Value   | (c) Fair Market Value |  |
| <b>Assets</b>  | 1 Cash - non-interest-bearing  | 40,209.           | 9,662.   | 9,662.                |  |
|  | 2 Savings and temporary cash investments   |                   |  |                       |  |
|  | 3 Accounts receivable ▶  |                   |  |                       |  |
|  | Less: allowance for doubtful accounts ▶  |                   |  |                       |  |
|  | 4 Pledges receivable ▶   |                   |  |                       |  |
|  | Less: allowance for doubtful accounts ▶  |                   |  |                       |  |
|  | 5 Grants receivable  |                   |  |                       |  |
|  | 6 Receivables due from officers, directors, trustees, and other disqualified persons             |                   |  |                       |  |
|  | 7 Other notes and loans receivable ▶   |                   |  |                       |  |
|  | Less: allowance for doubtful accounts ▶  |                   |  |                       |  |
|  | 8 Inventories for sale or use  |                   |  |                       |  |
|  | 9 Prepaid expenses and deferred charges  | 2,265.            | 2,043.   | 2,043.                |  |
|  | 10a Investments - U.S. and state government obligations  |                   |  |                       |  |
|  | b Investments - corporate stock  |                   |  |                       |  |
|  | c Investments - corporate bonds  |                   |  |                       |  |
|  | 11 Investments - land, buildings, and equipment, basis ▶   |                   |  |                       |  |
| Less accumulated depreciation ▶  |  |                   |  |                       |  |
| 12 Investments - mortgage loans  |  |                   |  |                       |  |
| 13 Investments - other   |  |                   |  |                       |  |
| 14 Land, buildings, and equipment, basis ▶   | 98,221.  |                   |  |                       |  |
| Less accumulated depreciation <b>STMT 6</b> ▶  | 87,898.  | 12,382.           | 10,323.  | 10,323.               |  |
| 15 Other assets (describe ▶ <b>STATEMENT 7</b> )   |  | 4,085.            | 4,085.   | 4,085.                |  |
| 16 <b>Total assets</b> (to be completed by all filers - see the instructions. Also, see page 1, item I)                                      |  | 58,941.           | 26,113.  | 26,113.               |  |
| <b>Liabilities</b>   | 17 Accounts payable and accrued expenses   |                   |  |                       |  |
|  | 18 Grants payable  |                   |  |                       |  |
|  | 19 Deferred revenue  |                   |  |                       |  |
|  | 20 Loans from officers, directors, trustees, and other disqualified persons                      |                   |  |                       |  |
|  | 21 Mortgages and other notes payable   |                   |  |                       |  |
|  | 22 Other liabilities (describe ▶ )   |                   |  |                       |  |
|  | 23 <b>Total liabilities</b> (add lines 17 through 22)  |                   | 0.   | 0.                    |  |
| <b>Net Assets or Fund Balances</b>   | <b>Foundations that follow SFAS 117, check here</b> ▶ <input type="checkbox"/>                   |                   | <b>and complete lines 24 through 26 and lines 30 and 31.</b> |                       |  |
|  | 24 Unrestricted  |                   |  |                       |  |
|  | 25 Temporarily restricted  |                   |  |                       |  |
|  | 26 Permanently restricted  |                   |  |                       |  |
|  | <b>Foundations that do not follow SFAS 117, check here</b> ▶ <input checked="" type="checkbox"/> |                   | <b>and complete lines 27 through 31</b>                      |                       |  |
|  | 27 Capital stock, trust principal, or current funds  | 58,941.           | 26,113.  |                       |  |
|  | 28 Paid-in or capital surplus, or land, bldg., and equipment fund                                | 0.                | 0.   |                       |  |
| 29 Retained earnings, accumulated income, endowment, or other funds  | 0.   | 0.                |  |                       |  |
| 30 <b>Total net assets or fund balances</b>  |  | 58,941.           | 26,113.  |                       |  |
| 31 <b>Total liabilities and net assets/fund balances</b>   |  | 58,941.           | 26,113.  |                       |  |

**Part III Analysis of Changes in Net Assets or Fund Balances**

|  |   |          |
|--|---|----------|
| 1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 58,941.  |
| 2 Enter amount from Part I, line 27a   | 2 | -32,828. |
| 3 Other increases not included in line 2 (itemize) ▶   | 3 | 0.       |
| 4 Add lines 1, 2, and 3  | 4 | 26,113.  |
| 5 Decreases not included in line 2 (itemize) ▶   | 5 | 0.       |
| 6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30  | 6 | 26,113.  |

**Part IV Capital Gains and Losses for Tax on Investment Income**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)   |  | (b) How acquired<br>P - Purchase<br>D - Donation                                  | (c) Date acquired<br>(mo., day, yr.)  | (d) Date sold<br>(mo., day, yr.) |
|--|--|---|---|----------------------------------|
| <b>1a</b>  |  |   |   |                                  |
| <b>b</b> NONE  |  |   |   |                                  |
| <b>c</b>   |  |   |   |                                  |
| <b>d</b>   |  |   |   |                                  |
| <b>e</b>   |  |   |   |                                  |
| (e) Gross sales price  | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale                                   | (h) Gain or (loss)<br>(e) plus (f) minus (g)  |                                  |
| <b>a</b>   |  |   |   |                                  |
| <b>b</b>   |  |   |   |                                  |
| <b>c</b>   |  |   |   |                                  |
| <b>d</b>   |  |   |   |                                  |
| <b>e</b>   |  |   |   |                                  |
| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69  |  |   | (i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h)) |                                  |
| (i) F.M.V. as of 12/31/69  | (j) Adjusted basis<br>as of 12/31/69       | (k) Excess of col. (i)<br>over col. (j), if any                                   |   |                                  |
| <b>a</b>   |  |   |   |                                  |
| <b>b</b>   |  |   |   |                                  |
| <b>c</b>   |  |   |   |                                  |
| <b>d</b>   |  |   |   |                                  |
| <b>e</b>   |  |   |   |                                  |
| <b>2</b> Capital gain net income or (net capital loss)   |  | { If gain, also enter in Part I, line 7<br>If (loss), enter -0- in Part I, line 7 | <b>2</b>  |                                  |
| <b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):<br>If gain, also enter in Part I, line 8, column (c).<br>If (loss), enter -0- in Part I, line 8 |  |   | <b>3</b>  |                                  |

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

Yes  No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see the instructions before making any entries.

| (a)<br>Base period years<br>Calendar year (or tax year beginning in)  | (b)<br>Adjusted qualifying distributions | (c)<br>Net value of noncharitable-use assets | (d)<br>Distribution ratio<br>(col. (b) divided by col. (c)) |
|---|--|--|---|
| 2014  | 304,567.                                 | 39,815.                                      | 7.649554  |
| 2013  | 242,050.                                 | 40,605.                                      | 5.961089  |
| 2012  | 229,008.                                 | 47,141.                                      | 4.857937  |
| 2011  | 324,684.                                 | 49,702.                                      | 6.532614  |
| 2010  | 265,212.                                 | 58,745.                                      | 4.514631  |
| <b>2</b> Total of line 1, column (d)  |  |  | <b>2</b> 29.515825  |
| <b>3</b> Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years |  |  | <b>3</b> 5.903165   |
| <b>4</b> Enter the net value of noncharitable-use assets for 2015 from Part X, line 5   |  |  | <b>4</b> 37,030.  |
| <b>5</b> Multiply line 4 by line 3  |  |  | <b>5</b> 218,594.   |
| <b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)   |  |  | <b>6</b> 0.   |
| <b>7</b> Add lines 5 and 6  |  |  | <b>7</b> 218,594.   |
| <b>8</b> Enter qualifying distributions from Part XII, line 4   |  |  | <b>8</b> 423,445.   |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

Table with 11 rows for excise tax calculation. Includes questions about exempt foundations, tax under section 511, and credits/payments. Total tax due is 0.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Includes questions about political campaigns, unrelated business income, and substantial contributors. Most 'Yes' boxes are checked.

**Part VII-A** Statements Regarding Activities (continued)

|  | Yes | No |
|--|-----|----|
| 11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)   |     | X  |
| 12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)  |     | X  |
| 13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?<br>Website address ► N/A  | X   |    |
| 14 The books are in care of ► M. AUSTIN, DIR OF FINANCE Telephone no. ► (858) 454-0200<br>Located at ► 2223 AVENIDA DE LA PLAYA, LA JOLLA, CA ZIP+4 ► 92037-3218   |     |    |
| 15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here<br>and enter the amount of tax-exempt interest received or accrued during the year  |     |    |
| 16 At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?<br>See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ► |     | X  |

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

|   | Yes | No |
|---|-----|----|
| 1a During the year did the foundation (either directly or indirectly):  |     |    |
| (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No  |     |    |
| (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No  |     |    |
| (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? SEE STMT 5 <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No   |     |    |
| (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? SEE STMT 5 <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No   |     |    |
| (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No   |     |    |
| (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No   |     |    |
| b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here   |     | X  |
| c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?   |     | X  |
| 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):  |     |    |
| a At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No<br>If "Yes," list the years ►   |     |    |
| b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A   |     |    |
| c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.<br>►   |     |    |
| 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No   |     |    |
| b If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.) N/A |     |    |
| 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?  |     | X  |
| b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?   |     | X  |

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?  Yes  No

(3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)  Yes  No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **N/A**  **5b**

Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? **N/A**  Yes  No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b**  Yes  No **X**

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **N/A** **7b**

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

**1** List all officers, directors, trustees, foundation managers and their compensation.

| (a) Name and address   | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|--|---|---|---|---------------------------------------|
| PETER G. PREUSS<br>2223 AVENIDA DE LA PLAYA, STE 220<br>LA JOLLA, CA 92037 | PRESIDENT<br>3.00   | 1,300.                                    | 0.  | 0.                                    |
| PEGGY L. PREUSS<br>2223 AVENIDA DE LA PLAYA, STE 220<br>LA JOLLA, CA 92037 | SECRETARY<br>1.00   | 0.  | 0.  | 0.                                    |
|  |   |   |   |                                       |
|  |   |   |   |                                       |
|  |   |   |   |                                       |
|  |   |   |   |                                       |

**2** Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000  | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|--|---|------------------|---|---------------------------------------|
| MEREDITH AUSTIN - 2223 AVENIDA DE LA PLAYA, LA JOLLA, CA 92037 | DIR OF FINANCE<br>24.00                                   | 50,076.          | 22,614.   | 0.                                    |
| FARNAZ ALAVIKIA - 2223 AVENIDA DE LA PLAYA, LA JOLLA, CA 92037 | DIR OF ADMIN<br>24.00                                     | 51,140.          | 15,647.   | 0.                                    |
|  |   |                  |   |                                       |
|  |   |                  |   |                                       |
|  |   |                  |   |                                       |

Total number of other employees paid over \$50,000 **0**

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
|---|---------------------|------------------|
| NONE  |                     |                  |
|   |                     |                  |
|   |                     |                  |
|   |                     |                  |
|   |                     |                  |
|   |                     |                  |

Total number of others receiving over \$50,000 for professional services ▶ 0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

|                 | Expenses |
|-----------------|----------|
| 1               |          |
| SEE STATEMENT 8 | 117,508. |
| 2               |          |
| 3               |          |
| 4               |          |

**Part IX-B** Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

|       | Amount |
|-------|--------|
| 1 N/A |        |
| 2     |        |
| 3     |        |

All other program-related investments. See instructions.

|  |    |
|--|----|
| 3  |    |
| <b>Total.</b> Add lines 1 through 3 <span style="float: right;">▶</span> | 0. |

**Part X**

**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

|          |   |           |         |
|----------|---|-----------|---------|
| <b>1</b> | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: |           |         |
| <b>a</b> | Average monthly fair market value of securities   | <b>1a</b> | 0.      |
| <b>b</b> | Average of monthly cash balances  | <b>1b</b> | 21,392. |
| <b>c</b> | Fair market value of all other assets   | <b>1c</b> | 16,202. |
| <b>d</b> | <b>Total</b> (add lines 1a, b, and c)   | <b>1d</b> | 37,594. |
| <b>e</b> | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)   | <b>1e</b> | 0.      |
| <b>2</b> | Acquisition indebtedness applicable to line 1 assets  | <b>2</b>  | 0.      |
| <b>3</b> | Subtract line 2 from line 1d  | <b>3</b>  | 37,594. |
| <b>4</b> | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)   | <b>4</b>  | 564.    |
| <b>5</b> | <b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4 | <b>5</b>  | 37,030. |
| <b>6</b> | <b>Minimum investment return.</b> Enter 5% of line 5  | <b>6</b>  | 1,852.  |

**Part XI**

**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

|           |   |           |        |
|-----------|---|-----------|--------|
| <b>1</b>  | Minimum investment return from Part X, line 6   | <b>1</b>  | 1,852. |
| <b>2a</b> | Tax on investment income for 2015 from Part VI, line 5  | <b>2a</b> |        |
| <b>b</b>  | Income tax for 2015. (This does not include the tax from Part VI.)  | <b>2b</b> |        |
| <b>c</b>  | Add lines 2a and 2b   | <b>2c</b> | 0.     |
| <b>3</b>  | Distributable amount before adjustments. Subtract line 2c from line 1                                     | <b>3</b>  | 1,852. |
| <b>4</b>  | Recoveries of amounts treated as qualifying distributions   | <b>4</b>  | 0.     |
| <b>5</b>  | Add lines 3 and 4   | <b>5</b>  | 1,852. |
| <b>6</b>  | Deduction from distributable amount (see instructions)  | <b>6</b>  | 0.     |
| <b>7</b>  | <b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | <b>7</b>  | 1,852. |

**Part XII**

**Qualifying Distributions** (see instructions)

|          |   |           |          |
|----------|---|-----------|----------|
| <b>1</b> | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:  |           |          |
| <b>a</b> | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26   | <b>1a</b> | 423,445. |
| <b>b</b> | Program-related investments - total from Part IX-B  | <b>1b</b> | 0.       |
| <b>2</b> | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes                         | <b>2</b>  |          |
| <b>3</b> | Amounts set aside for specific charitable projects that satisfy the:  |           |          |
| <b>a</b> | Suitability test (prior IRS approval required)  | <b>3a</b> |          |
| <b>b</b> | Cash distribution test (attach the required schedule)   | <b>3b</b> |          |
| <b>4</b> | <b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4                 | <b>4</b>  | 423,445. |
| <b>5</b> | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b | <b>5</b>  | 0.       |
| <b>6</b> | <b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4   | <b>6</b>  | 423,445. |

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

|  | (a)<br>Corpus | (b)<br>Years prior to 2014 | (c)<br>2014 | (d)<br>2015 |
|--|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2015 from Part XI, line 7   |               |                            |             | 1,852.      |
| 2 Undistributed income, if any, as of the end of 2015  |               |                            |             |             |
| a Enter amount for 2014 only   |               |                            | 0.          |             |
| b Total for prior years:   |               | 0.                         |             |             |
| 3 Excess distributions carryover, if any, to 2015:   |               |                            |             |             |
| a From 2010  | 265,212.      |                            |             |             |
| b From 2011  | 322,199.      |                            |             |             |
| c From 2012  | 226,651.      |                            |             |             |
| d From 2013  | 240,020.      |                            |             |             |
| e From 2014  | 302,576.      |                            |             |             |
| f Total of lines 3a through e  | 1,356,658.    |                            |             |             |
| 4 Qualifying distributions for 2015 from Part XII, line 4: ▶ \$ 423,445.   |               |                            |             |             |
| a Applied to 2014, but not more than line 2a   |               |                            | 0.          |             |
| b Applied to undistributed income of prior years (Election required - see instructions)  |               | 0.                         |             |             |
| c Treated as distributions out of corpus (Election required - see instructions)  | 0.            |                            |             |             |
| d Applied to 2015 distributable amount   |               |                            |             | 1,852.      |
| e Remaining amount distributed out of corpus   | 421,593.      |                            |             |             |
| 5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a))   | 0.            |                            |             | 0.          |
| 6 Enter the net total of each column as indicated below:   | 1,778,251.    |                            |             |             |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5  |               |                            |             |             |
| b Prior years' undistributed income. Subtract line 4b from line 2b   |               | 0.                         |             |             |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed |               | 0.                         |             |             |
| d Subtract line 6c from line 6b. Taxable amount - see instructions   |               | 0.                         |             |             |
| e Undistributed income for 2014. Subtract line 4a from line 2a. Taxable amount - see instr.  |               |                            | 0.          |             |
| f Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016  |               |                            |             | 0.          |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)       | 0.            |                            |             |             |
| 8 Excess distributions carryover from 2010 not applied on line 5 or line 7   | 265,212.      |                            |             |             |
| 9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a  | 1,513,039.    |                            |             |             |
| 10 Analysis of line 9:   |               |                            |             |             |
| a Excess from 2011   | 322,199.      |                            |             |             |
| b Excess from 2012   | 226,651.      |                            |             |             |
| c Excess from 2013   | 240,020.      |                            |             |             |
| d Excess from 2014   | 302,576.      |                            |             |             |
| e Excess from 2015   | 421,593.      |                            |             |             |

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

**1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling ▶

**b** Check box to indicate whether the foundation is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

|  | Tax year |          | Prior 3 years |          | (e) Total |
|--|----------|----------|---------------|----------|-----------|
|  | (a) 2015 | (b) 2014 | (c) 2013      | (d) 2012 |           |
| <b>2 a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed                     |          |          |               |          |           |
| <b>b</b> 85% of line 2a  |          |          |               |          |           |
| <b>c</b> Qualifying distributions from Part XII, line 4 for each year listed   |          |          |               |          |           |
| <b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities   |          |          |               |          |           |
| <b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c                                   |          |          |               |          |           |
| <b>3</b> Complete 3a, b, or c for the alternative test relied upon:  |          |          |               |          |           |
| <b>a</b> "Assets" alternative test - enter:  |          |          |               |          |           |
| <b>(1)</b> Value of all assets   |          |          |               |          |           |
| <b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)   |          |          |               |          |           |
| <b>b</b> "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed                              |          |          |               |          |           |
| <b>c</b> "Support" alternative test - enter:   |          |          |               |          |           |
| <b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) |          |          |               |          |           |
| <b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)                                      |          |          |               |          |           |
| <b>(3)</b> Largest amount of support from an exempt organization   |          |          |               |          |           |
| <b>(4)</b> Gross investment income   |          |          |               |          |           |

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

**SEE STATEMENT 9**

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

**NONE**

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

**b** The form in which applications should be submitted and information and materials they should include:

**c** Any submission deadlines:

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV** Supplementary Information (continued)

**3** Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient<br>Name and address (home or business)      | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution | Amount   |
|---|--|--------------------------------------|-------------------------------------|----------|
| <i>a Paid during the year</i><br><br>SEE STATEMENT 10 |  |                                      |                                     | 126,620. |
|   |  |                                      |                                     |          |
|   |  |                                      |                                     |          |
|   |  |                                      |                                     |          |
|   |  |                                      |                                     |          |
| <b>Total</b>  |  |                                      | ▶ 3a                                | 126,620. |
| <i>b Approved for future payment</i><br><br>NONE      |  |                                      |                                     |          |
|   |  |                                      |                                     |          |
|   |  |                                      |                                     |          |
| <b>Total</b>  |  |                                      | ▶ 3b                                | 0.       |

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Table with 5 main columns: (a) Business code, (b) Amount, (c) Exclusion code, (d) Amount, (e) Related or exempt function income. Rows include 1 Program service revenue, 2 Membership dues and assessments, 3 Interest on savings and temporary cash investments, 4 Dividends and interest from securities, 5 Net rental income or (loss) from real estate, 6 Net rental income or (loss) from personal property, 7 Other investment income, 8 Gain or (loss) from sales of assets other than inventory, 9 Net income or (loss) from special events, 10 Gross profit or (loss) from sales of inventory, 11 Other revenue, 12 Subtotal, 13 Total.

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Table with 2 columns: Line No., Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code...
a Transfers from the reporting foundation to a noncharitable exempt organization of:
(1) Cash
(2) Other assets
b Other transactions:
(1) Sales of assets to a noncharitable exempt organization
(2) Purchases of assets from a noncharitable exempt organization
(3) Rental of facilities, equipment, or other assets
(4) Reimbursement arrangements
(5) Loans or loan guarantees
(6) Performance of services or membership or fundraising solicitations
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees
d If the answer to any of the above is "Yes," complete the following schedule.

Table with columns Yes and No, rows 1a(1), 1a(2), 1b(1), 1b(2), 1b(3), 1b(4), 1b(5), 1b(6), 1c

Table with columns (a) Line no, (b) Amount involved, (c) Name of noncharitable exempt organization, (d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? Yes No

Table with columns (a) Name of organization, (b) Type of organization, (c) Description of relationship

Sign Here Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge. Signature of officer or trustee Date Title

Paid Preparer Use Only Print/Type preparer's name Preparer's signature Date Check self-employed if PTIN Firm's name Firm's EIN Firm's address Phone no.

May the IRS discuss this return with the preparer shown below (see instr)? Yes No

**Schedule B**

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury  
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.  
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No 1545-0047

**2015**

Name of the organization

THE PREUSS FOUNDATION, INC

Employer identification number

33-0085513

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

 501(c)( ) (enter number) organization 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation 527 political organization

Form 990-PF

 501(c)(3) exempt private foundation 4947(a)(1) nonexempt charitable trust treated as a private foundation 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

**Special Rules**

- For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2015)

|   |   |
|---|---|
| Name of organization<br><b>THE PREUSS FOUNDATION, INC</b> | Employer identification number<br><b>33-0085513</b> |
|---|---|

**Part I Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

| (a)<br>No. | (b)<br>Name, address, and ZIP + 4  | (c)<br>Total contributions | (d)<br>Type of contribution  |
|------------|--|----------------------------|--|
| 1          | <u>PREUSS FAMILY FOUNDATION</u><br><br><u>2223 AVENIDA DE LA PLAYA, STE 220</u><br><br><u>LA JOLLA, CA 92037</u> | \$ <u>413,080.</u>         | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions) |
| 2          | <u>PETER &amp; PEGGY PREUSS</u><br><br><u>2223 AVENIDA DE LA PLAYA, STE 220</u><br><br><u>LA JOLLA, CA 92037</u> | \$ <u>5,000.</u>           | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions) |
|            | _____<br>_____<br>_____  | \$ _____                   | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions)            |
|            | _____<br>_____<br>_____  | \$ _____                   | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions)            |
|            | _____<br>_____<br>_____  | \$ _____                   | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions)            |
|            | _____<br>_____<br>_____  | \$ _____                   | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions)            |

Name of organization

Employer identification number

**THE PREUSS FOUNDATION, INC**

**33-0085513**

**Part II Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed

| (a)<br>No.<br>from<br>Part I | (b)<br>Description of noncash property given | (c)<br>FMV (or estimate)<br>(see instructions) | (d)<br>Date received |
|------------------------------|--|--|----------------------|
|                              | <hr/> <hr/> <hr/> <hr/>                      | \$ _____                                       | _____                |
|                              | <hr/> <hr/> <hr/> <hr/>                      | \$ _____                                       | _____                |
|                              | <hr/> <hr/> <hr/> <hr/>                      | \$ _____                                       | _____                |
|                              | <hr/> <hr/> <hr/> <hr/>                      | \$ _____                                       | _____                |
|                              | <hr/> <hr/> <hr/> <hr/>                      | \$ _____                                       | _____                |
|                              | <hr/> <hr/> <hr/> <hr/>                      | \$ _____                                       | _____                |
|                              | <hr/> <hr/> <hr/> <hr/>                      | \$ _____                                       | _____                |
|                              | <hr/> <hr/> <hr/> <hr/>                      | \$ _____                                       | _____                |
|                              | <hr/> <hr/> <hr/> <hr/>                      | \$ _____                                       | _____                |
|                              | <hr/> <hr/> <hr/> <hr/>                      | \$ _____                                       | _____                |
|                              | <hr/> <hr/> <hr/> <hr/>                      | \$ _____                                       | _____                |
|                              | <hr/> <hr/> <hr/> <hr/>                      | \$ _____                                       | _____                |

|   |   |
|---|---|
| Name of organization<br><b>THE PREUSS FOUNDATION, INC</b> | Employer identification number<br><b>33-0085513</b> |
|---|---|

**Part III** Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this info once) ▶ \$ \_\_\_\_\_  
Use duplicate copies of Part III if additional space is needed

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---------------------|---------------------|-----------------|-------------------------------------|
|                     |                     |                 |                                     |

| (e) Transfer of gift                    |  |
|---|--|
| Transferee's name, address, and ZIP + 4 | Relationship of transferor to transferee |
|   |  |

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---------------------|---------------------|-----------------|-------------------------------------|
|                     |                     |                 |                                     |

| (e) Transfer of gift                    |  |
|---|--|
| Transferee's name, address, and ZIP + 4 | Relationship of transferor to transferee |
|   |  |

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---------------------|---------------------|-----------------|-------------------------------------|
|                     |                     |                 |                                     |

| (e) Transfer of gift                    |  |
|---|--|
| Transferee's name, address, and ZIP + 4 | Relationship of transferor to transferee |
|   |  |

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---------------------|---------------------|-----------------|-------------------------------------|
|                     |                     |                 |                                     |

| (e) Transfer of gift                    |  |
|---|--|
| Transferee's name, address, and ZIP + 4 | Relationship of transferor to transferee |
|   |  |

| FORM 990-PF                | LEGAL FEES                   |                                   |                               | STATEMENT 1                   |
|----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| DESCRIPTION                | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
| LEGAL FEES                 | 983.                         | 0.                                |                               | 983.                          |
| TO FM 990-PF, PG 1, LN 16A | 983.                         | 0.                                |                               | 983.                          |

| FORM 990-PF                  | ACCOUNTING FEES              |                                   |                               | STATEMENT 2                   |
|------------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| DESCRIPTION                  | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
| ACCOUNTING FEES              | 1,679.                       | 0.                                |                               | 1,679.                        |
| TO FORM 990-PF, PG 1, LN 16B | 1,679.                       | 0.                                |                               | 1,679.                        |

| FORM 990-PF                 | TAXES                        |                                   |                               | STATEMENT 3                   |
|-----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| DESCRIPTION                 | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
| BUSINESS PROPERTY TAXES     | 414.                         | 0.                                |                               | 414.                          |
| FILING FEES                 | 135.                         | 0.                                |                               | 135.                          |
| TO FORM 990-PF, PG 1, LN 18 | 549.                         | 0.                                |                               | 549.                          |

| FORM 990-PF                 | OTHER EXPENSES               |                                   |                               | STATEMENT 4                   |
|-----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| DESCRIPTION                 | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
| OFFICE EXPENSE & UTILITIES  | 21,136.                      | 0.                                |                               | 21,136.                       |
| INSURANCE                   | 1,699.                       | 0.                                |                               | 1,699.                        |
| TO FORM 990-PF, PG 1, LN 23 | 22,835.                      | 0.                                |                               | 22,835.                       |

PART VII-B, QUESTION 1(A)(3).

STATEMENT REGARDING ACTIVITIES

PETER PREUSS SERVES AS PRESIDENT OF THE FOUNDATION. IN THAT CAPACITY, HE IS PROVIDED OFFICE SPACE AT THE FOUNDATION TO PERFORM HIS DUTIES.

PART VII-B, QUESTION 1(A)(4).

STATEMENT REGARDING ACTIVITIES

PETER PREUSS SERVES AS PRESIDENT OF THE FOUNDATION. IN THAT CAPACITY, HE WAS PROVIDED WITH A SALARY PAYMENT IN THE AMOUNT OF \$1,300.

FORM 990-PF DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT STATEMENT 6

| DESCRIPTION                        | COST OR OTHER BASIS | ACCUMULATED DEPRECIATION | BOOK VALUE |
|------------------------------------|---------------------|--------------------------|------------|
| COMPUTER EQUIPMENT                 | 25,104.             | 23,333.                  | 1,771.     |
| FURNITURE & FIXTURES               | 50,461.             | 48,258.                  | 2,203.     |
| LEASEHOLD IMPROVEMENTS             | 22,656.             | 16,307.                  | 6,349.     |
| TOTAL TO FM 990-PF, PART II, LN 14 | 98,221.             | 87,898.                  | 10,323.    |

FORM 990-PF OTHER ASSETS STATEMENT 7

| DESCRIPTION                      | BEGINNING OF YR BOOK VALUE | END OF YEAR BOOK VALUE | FAIR MARKET VALUE |
|----------------------------------|----------------------------|------------------------|-------------------|
| RENT SECURITY DEPOSIT            | 4,085.                     | 4,085.                 | 4,085.            |
| TO FORM 990-PF, PART II, LINE 15 | 4,085.                     | 4,085.                 | 4,085.            |

FORM 990-PF SUMMARY OF DIRECT CHARITABLE ACTIVITIES STATEMENT 8

ACTIVITY ONE

CHANGING THE GAME: OPPORTUNITIES & CHALLENGES FOR A NEW GENERATION OF PRIMARY BRAIN TUMOR THERAPEUTICS - SEMINAR HELD NOV. 11 - 13, 2015 IN LA JOLLA, CA. SEMINAR INCLUDED 31 RESEARCH PARTICIPANTS REPRESENTING VARIOUS WORLDWIDE UNIVERSITIES AND RESEARCH INSTITUTES.

EXPENSES

TO FORM 990-PF, PART IX-A, LINE 1 117,508.

FORM 990-PF PART XV - LINE 1A LIST OF FOUNDATION MANAGERS STATEMENT 9

NAME OF MANAGER

PETER G. PREUSS  
PEGGY L. PREUSS

2015 DEPRECIATION AND AMORTIZATION REPORT

FORM 990-PF PAGE 1

990-PF

| Asset No | Description              | Date Acquired | Method | Life | Line No | Unadjusted Cost Or Basis | Bus % Excl | * Reduction In Basis | Basis For Depreciation | Accumulated Depreciation | Current Sec 179 | Current Year Deduction |
|----------|--------------------------|---------------|--------|------|---------|--------------------------|------------|----------------------|------------------------|--------------------------|-----------------|------------------------|
| 1        | COMPUTER EQUIPMENT       |               |        | .000 | 17      | 25,104.                  |            |                      | 25,104.                | 22,014.                  |                 | 1,319.                 |
| 2        | FURNITURE & FIXTURES     |               |        | .000 | 17      | 50,461.                  |            |                      | 50,461.                | 47,897.                  |                 | 361.                   |
| 3        | LEASEHOLD IMPROVEMENTS   |               |        | .000 | 17      | 22,656.                  |            |                      | 22,656.                | 15,035.                  |                 | 1,272.                 |
|          | * TOTAL 990-PF PG 1 DEPR |               |        |      |         | 98,221.                  |            | 0.                   | 98,221.                | 84,946.                  | 0.              | 2,952.                 |

THE PREUSS FOUNDATION, INC.  
CONTRIBUTIONS DETAIL  
YEAR ENDED 12/31/15

FORM 990-PF  
PART XV -3 Grants and Contributions Paid During the Year

|              | RECIPIENT NAME & ADDRESS  | RELATIONSHIP | PURPOSE   | AMOUNT           |
|--------------|---|--------------|---|------------------|
| 1            | University of California San Diego Foundation<br>9500 Gilman Drive, #0940<br>La Jolla, CA 92093-0940    | **           | Support for Academic Programs                               | \$100,000        |
| 2            | Lucile Packard Foundation for Childrens' Health<br>400 Hamilton Avenue, Ste. 340<br>Palo Alto, CA 94301 | NONE         | Support for Pediatric Brain Tumor<br>Research and Treatment | \$25,000         |
| 3            | OBERWOLFACH STIFTUNG *<br>Lorenzenhoff<br>D-77719 Oberwolfach-Walke<br>Germany                          | NONE         | Mathematic Research   | \$1,620          |
| <b>TOTAL</b> |   |              |   | <b>\$126,620</b> |

Note: All grant recipients are public charities under IRC Section 509(a)(1), (a)(2) or (a)(3).  
\* Rev Proc 92-94 Equivalency  
\*\* Joint Board Membership