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Department of the Treasury
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

2015

Open to Public Inspection

For calendar year 2015 or tax year beginning , 2015, and ending , 20

Name of foundation VAN NICE FOUNDATION C/O JEANETTE HUNT VAN NICE		A Employer identification number 31-1604783
Number and street (or P O box number if mail is not delivered to street address) 1209 N ASTOR STREET		B Telephone number (see instructions) (312) 266-1120
City or town, state or province, country, and ZIP or foreign postal code CHICAGO, IL 60610		
G Check all that apply	Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change ☐	D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 2,242,094.		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐
J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis)		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants etc., received (attach schedule)					
2 Check ☒ if the foundation is not required to attach Sch. B.					
3 Interest on savings and temporary cash investments.		14,009.	14,009.	14,009.	ATCH 1
4 Dividends and interest from securities		40,059.	40,059.	40,059.	ATCH 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		80,116.			
b Gross sales price for all assets on line 6a 623,872.			80,116.		
7 Capital gain net income (from Part IV, line 2)					
8 Net short-term capital gain.					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11		134,184.	134,184.	54,068.	
13 Compensation of officers, directors, trustees, etc.		0.			
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees (attach schedule)					
b Accounting fees (attach schedule) ATCH 3		5,500.			5,500.
c Other professional fees (attach schedule) [4]		18,332.	18,332.		
17 Interest ATCH 5		2,365.	2,365.		
18 Taxes (attach schedule) (see instructions) [6]		3,241.	798.		
19 Depreciation (attach schedule) and depletion.					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses (attach schedule) ATCH 7		15.			15.
24 Total operating and administrative expenses. Add lines 13 through 23.		29,453.	21,495.		5,515.
25 Contributions, gifts, grants paid		103,500.			103,500.
26 Total expenses and disbursements. Add lines 24 and 25		132,953.	21,495.	0.	109,015.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		1,231.			
b Net investment income (if negative, enter -0-)			112,689.		
c Adjusted net income (if negative, enter -0-)				54,068.	

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Part II Balance Sheets

Attached schedule and amounts in the description column should be for end-of-year amounts only (See instructions)

Beginning of year

End of year

		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	53,086.	71,221.	71,221.
	3 Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S and state government obligations (attach schedule) . .	104,300.	74,535.	75,259.
	b Investments - corporate stock (attach schedule)	562,409.	580,747.	628,951.
	c Investments - corporate bonds (attach schedule)	37,770.	47,847.	47,674.
	11 Investments - land, buildings, and equipment basis Less accumulated depreciation (attach schedule) ▶			
	12 Investments - mortgage loans			
	13 Investments - other (attach schedule)	989,508.	953,153.	1,418,989.
	14 Land, buildings, and equipment basis Less accumulated depreciation (attach schedule) ▶			
15 Other assets (describe ▶ ATCH 8)	1,277.			
16 Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	1,748,350.	1,727,503.	2,242,094.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons . .			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here . ▶ ☐			
	and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒			
	27 Capital stock, trust principal, or current funds	1,748,350.	1,727,503.	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds . .			
30 Total net assets or fund balances (see instructions)	1,748,350.	1,727,503.		
31 Total liabilities and net assets/fund balances (see instructions)	1,748,350.	1,727,503.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,748,350.
2 Enter amount from Part I, line 27a	2	1,231.
3 Other increases not included in line 2 (itemize) ▶ ATCH 9	3	512.
4 Add lines 1, 2, and 3	4	1,750,093.
5 Decreases not included in line 2 (itemize) ▶ ATCH 10	5	22,590.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,727,503.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE PART IV SCHEDULE					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	80,116.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8			3	0.	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2014	110,740.	2,053,942.	0.053916
2013	112,225.	1,992,324.	0.056329
2012	104,610.	1,886,071.	0.055465
2011	103,247.	1,910,304.	0.054047
2010	96,510.	1,821,470.	0.052985
2 Total of line 1, column (d)			2 0.272742
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.054548
4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5			4 1,977,519.
5 Multiply line 4 by line 3			5 107,870.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 1,127.
7 Add lines 5 and 6			7 108,997.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions			8 109,015.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)		1	1,127.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3 Add lines 1 and 2		3	1,127.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	1,127.
6 Credits/Payments			
a 2015 estimated tax payments and 2014 overpayment credited to 2015	6a	2,255.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	2,255.	
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	1,128.	
11 Enter the amount of line 10 to be Credited to 2016 estimated tax ☒ 1,128. Refunded ☐	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☒ \$ _____ (2) On foundation managers ☒ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☒ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ IL, _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11	Yes	No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions).	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	13	X	
14	The books are in care of ▶ JEANETTE HUNT VAN NICE Telephone no ▶ 312-266-1120 Located at ▶ 1209 N. ASTOR STREET, CHICAGO, IL ZIP+4 ▶ 60610			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ and enter the amount of tax-exempt interest received or accrued during the year ▶ 15			
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ▶ ☐	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015? ☐	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? ☐ Yes ☒ No If "Yes," list the years ▶		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) ☐	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.) ☐	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015? ☐	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐Organizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 11		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000. ☐

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 DIRECT GRANTS TO OTHER CHARITABLE ORGANIZATIONS	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3	▶

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	1,962,707.
b	Average of monthly cash balances	1b	44,927.
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	2,007,634.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	2,007,634.
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	30,115.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,977,519.
6	Minimum investment return. Enter 5% of line 5	6	98,876.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	98,876.
2a	Tax on investment income for 2015 from Part VI, line 5	2a	1,127.
b	Income tax for 2015 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	1,127.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	97,749.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	97,749.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	97,749.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	109,015.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	109,015.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	1,127.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	107,888.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				97,749.
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only.				
b Total for prior years 20 <u>13</u> , 20 <u>12</u> , 20 <u>11</u>				
3 Excess distributions carryover, if any, to 2015				
a From 2010	5,968.			
b From 2011	8,589.			
c From 2012	10,866.			
d From 2013	13,914.			
e From 2014	9,918.			
f Total of lines 3a through e	49,255.			
4 Qualifying distributions for 2015 from Part XII, line 4 ▶ \$ <u>109,015.</u>				
a Applied to 2014, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions).				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2015 distributable amount.				97,749.
e Remaining amount distributed out of corpus.	11,266.			
5 Excess distributions carryover applied to 2015 . (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	60,521.			
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2015 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2016.				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions)	5,968.			
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a	54,553.			
10 Analysis of line 9				
a Excess from 2011	8,589.			
b Excess from 2012	10,866.			
c Excess from 2013	13,914.			
d Excess from 2014	9,918.			
e Excess from 2015	11,266.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 1)

NOT APPLICABLE

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years				(e) Total
	(a) 2015	(b) 2014	(c) 2013	(d) 2012	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed.

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information *(continued)***3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE ATTACHED SCHEDULE	NONE		VARIOUS	103,500.
Total ▶ 3a				103,500.
b Approved for future payment				
Total ▶ 3b				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue					
a	_____					
b	_____					
c	_____					
d	_____					
e	_____					
f	_____					
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments			14	14,009.	
4	Dividends and interest from securities			14	40,059.	
5	Net rental income or (loss) from real estate					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory			18	80,116.	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue a _____					
b	_____					
c	_____					
d	_____					
e	_____					
12	Subtotal. Add columns (b), (d), and (e)				134,184.	
13	Total. Add line 12, columns (b), (d), and (e)					134,184.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Van Nice Foundation
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Description	42369	12/31/2014	12/31/2015	
	End of Year	Beginning of Year	End Of Year	
	# of share	Book Value	Book Value	Fair Market Value
Investments - Corporate Stock				
Abiomed Inc	25	-	1,500.13	2,257.00
Acadia Pharmaceuticals Inc	17	-	580.38	606.05
ACE LTD CHF	23	2,592.22	2,055.90	2,687.55
Advance Auto Parts	8	2,550.08	1,377.76	1,204.08
Agilent Technologies	0	303.59	-	-
Aegon NV ADR	637	-	4,972.36	3,611.79
Agios Pharmaceuticals	6	-	581.67	389.52
Akorn Inc	44	-	1,267.00	1,641.64
Alder Biopharmaceuticals Inc	10	-	459.80	330.30
Alphabet Inc CL A	9	-	3,396.89	7,002.09
Alphabet Inc CL C	9	-	3,371.15	6,829.92
Amazon Com	20	10,874.05	6,175.13	13,517.80
American Express	152	7,428.05	7,681.81	10,571.60
American States Water	0	657.02	-	-
America Movil S.A.B	239	1,435.06	5,004.93	3,360.34
American Towner Cap	16	-	1,428.30	1,551.20
AMN Healthcare Services	37	-	1,295.53	1,148.85
Amphenol Corporation	59	2,360.96	2,246.72	3,081.57
Amtrust Financial Services	11	-	620.51	677.38
Anacor Pharmaceuticals Inc	5	-	623.98	564.85
Anhui Conch	284	4,820.47	5,025.95	3,781.46
ANI Pharmaceuticals Inc	16	-	1,006.56	722.00
ANIKA Therapeutics	0	835.34	-	-
Apache Corp	157	-	6,988.45	6,981.79
Apogee Enterprises	0	223.62	-	-
Arch Capital Group	50	2,681.00	2,681.00	3,487.50
ARRIS Group Inc	38	-	1,159.96	1,161.66
Artisan Partners	0	995.94	-	-
Astrazeneca PLC SPON	28	-	892.24	950.60
Astronics Corp	25	-	1,594.30	1,017.75
ATOS Origin SA ADR	250	-	3,820.97	4,225.00
Australia & New Zealand BKG	194	3,231.83	4,341.50	3,922.68
AXA ADR	227	-	5,938.89	6,200.50
Axis Capital Holdings	42	3,342.68	1,614.43	2,361.24
AZZ INC	21	-	922.53	1,166.97
B & G Foods Inc CL A	26	-	951.61	910.52
BAE Systems	126	3,694.57	2,882.99	3,711.33
Banco Bradesco S.A	0	3,970.20	-	-
Bank of Hawaii	0	1,889.87	-	-
Bank of New York Mellon	278	9,260.95	6,806.07	11,459.16
Bank of Yokohama LTD	93	-	2,199.19	2,279.89

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Description	42369	12/31/2014	12/31/2015	
	End of Year	Beginning of Year	End Of Year	
	# of share	Book Value	Book Value	Fair Market Value
Barclays	0	1,653.03	-	-
BBCN Bancorp	0	722 99	-	-
Beach Energy LTD	0	2,293 50	-	-
Beijing Enterprises Holdings	29	-	1,842 60	1,738 55
Belle Intl Holdings	211	276.50	2,361.04	1,574 06
Berkley W R	80	3,712.06	3,300 36	4,380 00
Berkshire Hathaway	96	6,309 60	7,660.80	12,675 84
BITAuto Holdings LTD Spon	13	-	659 34	367 64
BIO Reference	0	1,241 50	-	-
Bio Techne Corp	0	469 08	-	-
Biomed Realty	0	720 65	-	-
BLACKBAUD	0	515 55	-	-
Bluebird Bio Inc	5	-	612 34	321 10
BOC Hong Kong	64	5,120 22	4,207 08	3,886.08
BOFI Holdings Inc	40	-	1,242.19	842.00
Boston Beer Co Inc Clas A	5	-	1,109 66	1,009 55
Bright Horizons Family	0	516.45	-	-
British Sky Broadcast	0	2,258 64	-	-
Broadsoft Inc	13	-	471 49	459 68
Brookfield Asset Mgmt Inc	187	7,469 82	4,507.44	5,896 11
Brown & Brown INC	230	5,771.74	7,170 83	7,383.00
Brown Forman	15	1,034 70	1,034.70	1,489 20
Bruker Corp	0	1,332.40	-	-
Burlington Store Inc	35	-	2,072 65	1,501 50
Cabot Oil & Gas Corp	162	-	5,132 70	2,865.78
Caesarston SDOT-YAM Ltd	23	-	1,442 12	996 82
Calgon Carbon Corp	0	719 47	-	-
Cambrex Corp	29	-	1,020 51	1,365 61
Cameron International	0	3,071.26	-	-
Canadian NAT Resources	0	4,241.02	-	-
Cantel Medical	0	270 92	-	-
Capital One Ficl Corp	34	-	2,785 62	2,454 12
CAP Gemini	0	1,360 73	-	-
Carbo Creamics	0	966 97	-	-
Carlsberg AS Spon	0	3,953 37	-	-
Carmax INC	144	7,115.18	7,203 43	7,771 68
Carrizo Oil & Gas Inc	28	-	1,265 04	828 24
Casey's Gen Store Inc	15	-	1,553.21	1,806 75
Catamaran Corp	0	3,277 28	-	-
CDW	105	4,041 66	3,309 31	4,414 20
Centrica PLC	146	4,595 21	2,397 35	1,860 77
Charles River Laboratories	24	-	1,960 96	1,929 36

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Description	42369	12/31/2014	12/31/2015	
	End of Year	Beginning of Year	End Of Year	
	# of share	Book Value	Book Value	Fair Market Value
Chart Industries	0	1,351.15	-	-
Cheesecake Factory	0	1,099.93	-	-
Chefs WHSE INC	0	673.29	-	-
Chemed Corp New	11	-	1,287.55	1,647.80
Cheung Kong	0	4,151.31	-	-
China Biologic Products Inc	12	-	1,011.60	1,709.52
China Constr Bk	430	4,711.29	6,405.95	5,852.30
China Mobile	65	4,596.93	3,404.23	3,661.45
China Petroleum	0	4,180.41	-	-
Choice Hotels	0	702.17	-	-
CIA Paranaense	0	4,260.29	-	-
Ciena Corp New	52	-	1,099.69	1,075.88
Cimpress N V EUR	11	-	921.03	892.54
Cirrus Logic Inc	35	-	1,161.36	1,033.55
City National Corp	0	406.05	-	-
Citizens Financial Group	114	-	2,785.40	2,985.66
CK Hutchison Holdings	296	-	4,845.51	3,978.24
Clarcor INC	0	1,374.09	-	-
Cohen & Steers INC	0	993.14	-	-
Coherent INC	0	487.59	-	-
Colfax Corp	0	2,583.93	-	-
Columbia Sportswear	21	-	1,303.04	1,023.96
Comscore Inc	26	-	1,235.45	1,069.90
Cooper Tire & Rubber Co	31	-	1,220.93	1,173.35
Commerce Bancshares	0	479.47	-	-
Commercial Metals	0	908.02	-	-
Commvault Systems	0	1,258.56	-	-
Companhia De Saneamento	0	732.34	-	-
Computer Programs & Systems	0	988.54	-	-
Copart INC	160	5,552.18	5,222.78	6,081.60
Coresite Reality	0	847.93	-	-
Corvel Corp	0	372.94	-	-
Costco Corp	60	4,168.29	4,116.40	9,690.00
Crown Castke Int Corp	18	-	1,408.11	1,556.10
Crown Holdings	95	4,629.45	4,188.55	4,816.50
Cyberonics	0	703.10	-	-
Darling Intl	0	635.99	-	-
Davita Healthcare	55	3,592.48	3,592.48	3,834.05
Dentsply	0	3,041.17	-	-
Deutsche Lufthansa AG Spon	190	-	3,023.84	2,983.95
Deutsche Boerse	0	1,857.83	-	-
Diageo PLC	36	3,435.52	3,044.99	3,926.52

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Description	42369	12/31/2014	12/31/2015	
	End of Year	Beginning of Year	End Of Year	
	# of share	Book Value	Book Value	Fair Market Value
Diamondback Energy Inc	13	-	913 51	869 70
Dime Community	0	418.07	-	-
Discovery Communications Inc	155	5,280.98	5,313.73	3,909 10
DNB ASA SPON ADR	14	902 04	2,041 06	1,730 75
Dorman Products	0	693 50	-	-
DRIL-QUIP INC	0	607.29	-	-
DSW	0	1,339.11	-	-
Dupont	0	1,190 95	-	-
E-House China Holdings LTD	58	-	325 09	364 82
Eagle Bancorp	0	518 68	-	-
Ecolab Inc	24	3,483 59	2,226.19	2,745 12
Enanta Pharmaceuticals Inc	20	-	690.23	660.40
Encana Corp	627	4,633 52	6,450 63	3,191.43
ENN Entery Holdings LTD Spon	87	-	1,795 80	1,809 60
Encore Capital	0	1,928 55	-	-
EOG Resources	0	3,965 05	-	-
Esco Technologies	0	966 46	-	-
Euronet Worldwilde	35	-	1,910 85	2,535.05
Expeditors Intl	115	3,711 10	5,143 74	5,186.50
Express Scripts	52	5,535 46	3,169 47	4,545.32
Facebook Inc Cl A	23	-	2,395 11	2,407.18
Fastenal Co	70	3,318.42	3,098 48	2,857.40
Financial Engines Inc	26	-	1,140 10	875 42
First Financial	0	308 73	-	-
First National Bank	0	498 93	-	-
Flowers Foods Inc	0	823.06	-	-
Forum Energy	0	1,444 00	-	-
Franklin Electric	0	1,012.20	-	-
G-III Apparel Group LTD	29	-	1,543.67	1,283 54
General Holdings	0	3,012.08	-	-
Genther INC	0	466.84	-	-
Geospace Tech	0	1,194.37	-	-
Glacier Bancorp	115	2,732.86	2,856.67	3,050 95
Glomus Med Inc Cls A	25	-	627 00	695 50
Golden Agr	0	4,764 38	-	-
Google INC CLA	0	4,767.07	-	-
Google INC CL C	0	4,744.28	-	-
Green Dot Corp	52	-	845.00	853 84
Haemonetics Corp	0	1,015 93	-	-
Heartland Payment Systems Inc	43	-	2,095 39	4,077 26
Herman Miller	0	620 07	-	-
Hershey co	35	-	3,016 73	3,124 45

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Description	42369	12/31/2014	12/31/2015	
	End of Year	Beginning of Year	End Of Year	
	# of share	Book Value	Book Value	Fair Market Value
Hexcel Corp New	24	-	1,168.56	1,114.80
HFF INC	21	663.63	726.81	652.47
Hibbett Sports	0	416.01	-	-
Hitachi LTD	0	3,751.50	-	-
Horizon Pharma PLC	53	-	1,219.72	1,148.51
HSBC	0	6,798.70	-	-
Hub Group	0	1,127.85	-	-
ICU Medical	0	276.11	-	-
ICICI Bank LTD Spon Adr	470	-	4,456.50	3,680.10
Icon Plc Eur	33	-	2,257.20	2,564.10
IHS Inc Cls A	34	-	3,987.87	4,026.62
IMAX Corp CAD	28	-	1,007.66	995.12
Imperial Tobacco Group	36	3,717.17	2,574.76	3,807.00
Infinera Corp	72	-	1,373.66	1,304.64
Independent Bank	0	499.83	-	-
Insulet Corp	17	-	580.18	642.77
Interactive Brokers Group Inc	30	-	1,028.02	1,308.00
Interdigital INC	0	1,192.87	-	-
Interval Leisure Group	0	676.16	-	-
IPC The Hospitalist CO	0	894.41	-	-
IPG Photonics	0	1,601.10	-	-
Israel Chemicals	308	138.64	2,295.80	1,251.40
ISUZU Motor	344	4,419.55	4,186.12	3,698.00
Janus Capital Group Inc	72	-	1,236.74	1,014.48
Jacobs Engineering	0	4,661.00	-	-
Johnson Controls Inc	88	-	3,457.41	3,475.12
JP Morgan Chase	148	4,671.88	8,443.54	9,772.44
J2 Global Inc	18	-	1,255.39	1,481.76
Kapstone Paper And Packaging	42	-	1,353.91	948.78
Keysight	0	112.74	-	-
KDDI CORP	318	-	3,681.80	4,116.51
Keppel Corp LTD	419	-	5,249.99	3,823.37
KOC Holdings	85	2,022.56	1,947.54	1,601.40
Komatsu LTD	0	2,185.18	-	-
Korea Electric Power CRP	183	-	3,836.65	3,874.11
Korn Ferry Int Cal	36	-	1,148.76	1,194.48
LA-Z-Boy Inc	46	-	1,274.58	1,123.32
Laboratory Corp	70	9,273.58	6,728.43	8,654.80
Las Vegas Sands Corp	94	8,310.88	6,663.06	4,120.96
Liberty Global	238	14,368.62	9,663.95	9,703.26
Liberty Lilac Group CL C	9	-	371.37	387.00
Liberty Interactive	34	1,062.15	802.51	928.88

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Description	42369	12/31/2014	12/31/2015	
	End of Year	Beginning of Year	End Of Year	
	# of share	Book Value	Book Value	Fair Market Value
Liberty Ventures Service	140	348 05	5,836 45	6,315.40
Lithia Motors Inc CL A	140	-	1,303 54	1,493 38
Lindsay Corp	0	1,236.28	-	-
Loews Corp	68	3,445.62	2,412 17	2,611 20
Lukoil Oil Co	95	4,576 13	5,406.75	3,086 07
M & T Bank	27	3,390.30	3,051 27	3,271 86
Magna Intl	83	1,273 33	3,071 38	3,366 48
Man Group PLC	896	-	2,401 09	2,222 08
Manhattan Assoc Inc	31	-	1,643.48	2,051 27
Manulife Financial	235	3,209 41	3,438.66	3,520.30
Markel Corp	8	6,227 40	4,151 60	7,066 80
Marketaxess Holdings	9	804 39	775.71	1,004 31
Marks & Spencer Group	276	3,854.11	3,489 64	3,685 98
Matador Res Co	31	-	618 13	612 87
Matrix Service Co	38	-	667 30	780 52
Maximus Inc	24	-	1,543 68	1,350 00
Medidata Solutions Inc	26	-	1,254 51	1,281.54
Mentor Graphics Corp	42	-	1,002 96	773 64
Microsoft Corp	45	-	1,322.32	2,496 60
Mellanox Tech	0	1,535.70	-	-
Methanex	0	2,198.16	-	-
Microsoft Corp	0	2,018.62	-	-
Minerals Tech	0	905 76	-	-
Mitsubishi Elec Corp	81	-	1,752 73	1,700 19
Mitsui & Co	8	4,052.08	2,255 45	1,904.80
Mizuho Financial	0	4,128.34	-	-
Momenta Pharmaceutocals Inc	22	-	329 58	326 48
Monsanto Co	76	-	6,972 51	7,487 52
Moodys	22	1,826 17	1,826 17	2,207 48
Motorola Solutions Inc	60	-	3,708 78	4,107 00
Natural Gas Services	0	423 10	-	-
Natus Medical Inc	32	-	1,170 79	1,537 60
Nordea Bank Swed	347	-	4,570 37	3,862 11
New Jersey resources	0	438 49	-	-
NSK Ltd	153	-	4,030 00	3,339 99
Occidental Petroleum	58	-	4,255 15	3,921.38
Oceaneering Intl Inc	40	-	2,092 86	1,500 80
Omnicom Group	62	3,489 02	3,965 71	4,690 92
One Gas	0	1,235.52	-	-
Open Text Corp	38	1,831.44	1,831 44	1,821.34
Ophthotech Corp	17	-	899.30	1,335 01
Oracle	49	1,938 02	1,519.95	1,789 97

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	End of Year	Beginning of Year	End Of Year	
	# of share	Book Value	Book Value	Fair Market Value
Orange Sponsor	0	1,401.27	-	-
Paccar Inc	64	2,691.24	3,225.14	3,033.60
Perrigo CO PLC	18	3,883.49	2,591.58	2,604.60
Persimmon ADR	68	4,899.23	2,789.81	4,130.32
Pinnacle Entertainment Group	19	-	666.14	591.28
Plantronics	0	747.00	-	-
Polycom Inc	69	724.72	-	-
Polypore Intl	19	802.46	-	-
Power Integrations Inc	20	833.61	1,122.80	972.60
PRA Group Inc	19	-	1,002.63	659.11
Praxair	38	5,779.66	4,991.52	3,891.20
Precision Castparts Corp	15	-	3,003.43	3,480.15
Priceline Group	2	3,416.63	2,279.31	2,549.90
Proto Labs Inc	15	-	1,023.60	955.35
PT BK RAKYAT ADR	109	2,109.68	1,846.04	1,785.42
Quaker Chemical	0	198.18	-	-
Quest Diagnostics Inc	40	2,874.18	2,299.34	2,845.60
Questar Copr	0	1,033.37	-	-
Radius Health Inc	8	-	559.87	492.32
Restoration Hardware Holdings	17	-	1,557.03	1,350.65
Renaissancere Holdings	0	1,474.48	-	-
RIO Tinto PLC	118	4,908.97	6,009.81	3,436.16
Riverbed Tech	0	785.37	-	-
Ross Stores	150	5,710.64	5,019.22	8,071.50
Royal Dutch Shell	119	5,185.78	7,114.09	5,449.01
RPC Inc	0	553.26	-	-
Saia Inc	29	-	1,302.87	645.25
Sage Group PLC	0	1,493.36	-	-
Sally Beauty Co	230	4,586.86	5,922.67	6,414.70
Sanofi Spon ADR	91	3,538.79	3,538.79	3,881.15
Sasol LTD	141	4,951.52	4,776.46	3,781.62
Schwab Charles Corp	125	3,412.76	3,259.04	4,116.25
Silgan Holdings Inc	21	-	1,200.97	1,128.12
Skechers USA Inc	55	-	1,321.82	1,661.55
SKY Plc	28	-	1,621.34	1,844.64
Siemens A G Spon ADR	0	2,948.70	-	-
Simpson Manufacturing	72	-	-	-
Smurfit Kappa Group	92	2,083.26	1,624.19	1,836.00
Sportsmans Whse Holdings	73	-	958.71	941.70
Solarwinds Inc	0	951.97	-	-
Sothebys	0	622.62	-	-
Statoil ASA SPON ADR	0	3,186.22	-	-

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12/31/2015
Schedule Attached to Form 990-PF
Part II line 10 a, b, c & line 13

Description	42369	12/31/2014	12/31/2015	
	End of Year	Beginning of Year	End Of Year	
	# of share	Book Value	Book Value	Fair Market Value
Steris Corp	15	1,236.58	1,133.18	1,130.10
Sumitomo Chem Co LTD	136	-	3,767.28	3,919.52
Sumitomo Metal MNG Co	174	-	2,672.80	2,096.70
Super Micro Computer Inc	15	-	852.96	588.24
Svenska Cellulosa AB	66	1,070.19	1,147.42	1,900.14
Syntel Inc	20	1,178.42	1,020.01	905.00
Synaptics Inc	12	534.66	998.52	964.08
Sysco Corp	0	3,200.83	-	-
T & D Holdings Inc	547	-	3,687.87	3,632.08
Taiwan Semiconductor	169	1,604.98	3,444.27	3,844.75
Tandem Diabetes Care Inc	27	-	373.14	318.87
Tanger Factory Outlet	0	1,047.89	-	-
Tata Motors LTD	0	1,108.98	-	-
Team Inc	0	637.51	-	-
Technip SA	0	2,166.85	-	-
Telenor ASA	0	2,206.62	-	-
Teljasonera Ab ADR	194	-	2,349.14	1,905.08
Tennant CO	0	1,211.42	-	-
Tenneco Inc	0	1,003.58	-	-
Teva Pharmaceuticals	91	5,405.18	4,067.85	5,973.24
Texas Capital Bancharcs	0	614.16	-	-
Texas Instr	114	3,354.52	2,670.24	6,248.34
Texas Roadhouse	0	774.84	-	-
Thoratec Corp	0	1,069.35	-	-
Tompkins Financial Group	0	288.62	-	-
Toronto Dominion BK	95	3,578.35	3,604.92	3,721.15
Transdigm Gorup	0	3,127.98	-	-
Trustmark Corp	0	708.82	-	-
Tumi Holdings Inc	0	963.59	-	-
Tupperware Brands	0	740.20	-	-
Tutor Perini Corp	39	-	858.39	652.86
Ubiquiti Networks	0	1,529.27	-	-
Ultra Petroleum Corp	206	4,458.92	4,529.00	515.00
UMB Financial	0	649.49	-	-
UMPQUA Holdings	0	567.69	-	-
Unifirst Corp	0	1,546.89	-	-
United Health Group	54	6,358.04	3,681.30	6,352.56
United National Foods	0	796.13	-	-
United Overseas Bank	135	3,958.89	3,958.89	3,719.25
UNTD Technologies Corp	76	-	7,514.01	7,301.32
Vale SA	0	4,685.65	-	-
Valeant Pharmaceuticals	37	3,699.75	4,338.75	3,761.05

Van Nice Foundation
31-1604783
12/31/2015
Schedule Attached to Form 990-PF
Part II line 10 a, b, c & line 13

Description	42369	12/31/2014	12/31/2015	
	End of Year	Beginning of Year	End Of Year	
	# of share	Book Value	Book Value	Fair Market Value
Investments - U.S. and state government obligations				
US Treasury Note 08/31/2016	7000	-	7,031.47	7,014.21
US Treasury Note 08/15/25 2.0000%	3000	-	2,933.56	2,925.12
Fannie MAE NTS 10/30/14	-	-	-	-
US Treasury Note 2/15/15 25%	-	7,975.83	-	-
US Treasury Note 4/15/15 37%	-	11,005.93	-	-
US Treasury Note 11/15/15 37%	-	5,007.95	-	-
US Treasury Note 10/31/16 1.0%	9000	15,059.34	9,021.85	9,017.55
FNMA NTS 1/30/17 1.25%	7000	8,025.51	7,015.87	7,026.25
FHLMC Bond 7/28/17 1.0%	6000	8,006.73	6,003.10	5,990.04
US Treasury Note 10/31/18 1.75%	5000	7,086.19	5,048.24	5,064.25
United States Treasury Bond 2/15/19	5000	4,300.72	4,460.18	4,797.05
US Treasury Note 5/15/19 3.125%	-	7,992.90	-	-
US Treasury Note 2/15/20 3.6250%	4000	14,925.87	4,285.88	4,314.36
United States T 2/15/40	2000	1,990.63	1,990.63	2,605.08
US Treasury Note 03/31/20 1.375%	5000	-	5,013.64	4,938.45
US TSY Inflation Protected Bond	4000	5,900.78	4,719.96	3,702.96
US Treasury Note 11/30/22 2.000%	6000	-	5,999.28	5,967.18
US Treasury Note 11/30/17 .088 %	5000	-	4,993.15	4,985.55
United States T 11/15/43 3.75%	6000	7,021.27	6,017.87	6,910.80
Total line 10a		<u>104,299.65</u>	<u>74,534.68</u>	<u>75,258.85</u>

Van Nice Foundation
31-1604783
12/31/2015
Schedule Attached to Form 990-PF
Part II line 10 a, b, c & line 13

Description	42369	12/31/2014	12/31/2015	
	End of Year	Beginning of Year	End Of Year	
	# of share	Book Value	Book Value	Fair Market Value
Veeva Systems Inc Cl A	17	-	464 13	490.45
Verint Systems Inc	24	-	1,455.90	973.44
Virtis Invt	0	726.78	-	-
Virtusa Corp	27	-	1,103 76	1,116.18
Visa Inc	53	2,508.22	2,215 60	4,110 15
Vitamin Shoppe	0	1,046.73	-	-
Watts Water Technologies	0	466 20	-	-
Wells Fargo	243	4,571 46	3,969 35	13,209.48
West Japan Railway Co	49	-	3,245 53	3,400.60
Wesbanco Inc	0	320 49	-	-
West Pharmaceutical	0	885.61	-	-
WEX Inc	0	708 11	-	-
Wolverine World Wide Inc	0	894 27	-	-
World Fuel Services	100	3,974 12	4,268 80	4,038 30
WPP PLC New Spon	34	-	3,711.34	3,901 16
Zurich	149	5,353 20	3,797 62	3,818 12
Total line 10b		<u>562,408 82</u>	<u>580,746 81</u>	<u>628,951 47</u>

Van Nice Foundation
31-1604783
12/31/2015
Schedule Attached to Form 990-PF
Part II line 10 a, b, c & line 13

Description	42369	12/31/2014	12/31/2015	
	End of Year	Beginning of Year	End Of Year	
	# of share	Book Value	Book Value	Fair Market Value
Investments - Corporate Bonds				
Actavis Funding SCS NTS	5000	-	5,119 53	4,996 05
Anheuser Busch Inbev	3000	3,091 71	3,082.89	3,060 63
Oracle Corp NTS	4000	-	4,171 74	4,061 80
JP Morgan Chase	3000	2,981 97	2,981 97	2,975 70
American Express CRD	5000	5,106 44	5,059 05	5,054.30
Total Capital Canada LTD	3000	4,008 51	3,004 31	2,981 46
Citigroup INC NTS	-	3,001.79	-	-
Goldman Sachs Group	5000	5,176 02	5,159 44	5,131 90
International Paper	2000	2,305 60	2,265 35	2,375 10
State Street Corp NTS	5000	-	5,014 32	5,062 25
Verizon Communications	2000	2,027.67	2,023 99	2,042.00
United Health Group Inc	5000	5,074 34	5,064.46	5,069 35
Wells Fargo & Company NTS	5000	4,996 35	4,900 10	4,863.30
Total line 10c		37,770 40	47,847.15	47,673 84

Investments- Other				
Blackrock Bond BRACX	4934	57,646.08	49,997.09	49,687.48
Blackrock Bond BRAMX	5266	51,035 28	50,633.38	51,603 38
Calamos Market Neutral	10953	135,181 02	136,564.77	138,227.33
FNMA PL MA0583	13000	4,385 98	3,558.09	3,871 11
FNMA PL AE0828	4000	2,228 33	1,892.31	1,882 11
FNMA PL AJ7689	3000	1,550 50	1,240.47	1,243.40
ISHARES Russell 2000 ETF	330	23,469 65	22,192 47	371,646 00
ISHARES Russell 3000 ETF	1451	119,547 99	112,230 44	174,569 81
ISHARES Trust Core S&P 500 ETF	1072	161,390 54	145,165 95	219,620.64
A&Q Long/Short Strategies Fund		130,394 00	112,398 00	140,133 00
Pimco Commodity Real	7156	61,000 20	82,210 91	45,013 52
Pimco Total Return Fund	21995	238,294 61	235,069 32	221,491 61
Volkswagen A G REPSTG PREF DM		3,384 00	-	-
Total Line 13		989,508.18	953,153 20	1,418,989 39

VAN NICE FOUNDATION
31-1604783
12/31/2015
Schedule Attached to Form 990-PF
Part XV

<u>DATE</u>	<u>RECIPIENT</u>	<u>CITY</u>	<u>STATE</u>	<u>AMOUNT</u>	<u>PURPOSE</u>
2/3/2015	High Jump - For Annual Spring Benefit Silver Sponsorship	Chicago	IL	\$ 2,500	2
	Chicago Botanic Garden for Greenhouses/Nurseries for Living Collections -				
2/5/2015	Thrd Installment of 3 Year Pledge of \$50,000	Chicago	IL	10,000	1
2/5/2015	Rehabilitation Institute of Chicago - "In Memory of Dr Henry B Betts"	Chicago	IL	1,000	1
	Fernwood Botanical Garden & Nature Preserve For Educational Center				
2/13/2015	Building Campaign	Chicago	IL	6,000	2
3/26/2015	Chicago Symphony Orchestra - 2014/15 Governing Member Annual Fund	Chicago	IL	7,500	1
3/26/2015	Lync Opera of Chicago - 2015/16 Annual Fund	Chicago	IL	7,500	1
4/16/2015	Dickinson College General Endowment Fund	Carlisle	PA	1,000	2
4/30/2015	Chicago Botanic Garden "In Memory of Melville Hill, Jr	Chicago	IL	500	1
4/30/2015	Chicago Botanic Garden for "A Rare Affair, Woman's Board"	Chicago	IL	5,000	1
5/27/2015	Fernwood Botanical Garden a Nature Preserve For Annual Garden Party	Chicago	IL	500	1
5/27/2015	Fernwood Botanical Garden a Nature Preserve For Annual Fund	Chicago	IL	1,000	1
8/13/2015	Chikaming Open Lands	Lakeside	MI	1,000	1
8/27/2015	Woman's Athletic Club "626 Landmark Foundation"	Chicago	IL	1,000	1
8/27/2015	Chicago History Museum "Guild Annual Appeal for the Guild Gallery"	Chicago	IL	1,000	1
8/27/2015	Lync Opera of Chicago "Breaking New Ground"	Chicago	IL	10,000	1
8/27/2015	Chicago Botanic Garden "Annual Fund."	Chicago	IL	2,500	1
9/11/2015	Art Institute of Chicago "The Woman's Board"	Chicago	IL	1,000	1
9/11/2015	Art Institute of Chicago "Annual Fund"	Chicago	IL	5,000	1
9/18/2015	St Francis School of Sherwood	Sherwood	OR	1,000	2
9/22/2015	Cornell University "Johnson School Annual Fund"	Ithaca	NY	1,000	2
9/22/2015	Chaote Rosemary Hall "Annual Fund"	Wallingford	CT	2,000	2
9/22/2015	Lewis and Clark College "Van Nice Scholarship"	Portland	OR	2,500	2
9/22/2015	Tuality Healthcare Salud	Hillsboro	OR	500	3
10/5/2015	Gary Comer Youth Center	Chicago	IL	1,000	2
10/30/2015	The Antiquarian Society For Annual Giving	Chicago	IL	500	1
10/30/2015	Lincoln Park Conservancy	Chicago	IL	500	1
10/30/2015	Chicago Botanic Garden FBO All Aboard Angel	Chicago	IL	5,000	1
10/30/2015	The Latin School of Chicago For Annual Fund	Chicago	IL	1,000	2
10/30/2015	The Field Museum FBO The Wonders of China Gala	Chicago	IL	1,000	1
12/18/2015	The Latin School of Chicago For Latin Scholarship	Chicago	IL	1,500	2
12/18/2015	Cardigan Mountain School	Canaan	NH	500	2
12/18/2015	The Lawrenceville Fund "Annual Fund 2015"	Lawrenceville	NJ	500	2
12/18/2015	The Berkshire School "Van Nice Endowment Fund"	Sheffield	MA	500	2
12/23/2015	A Better Chicago	Chicago	IL	500	2
12/23/2015	Chikaming Open Lands "Endowment Fund (\$1,500), Annual Fund (\$5,500)"	Lakeside	MI	7,000	1
12/23/2015	St Chrysostom's Church "Capital Fund"	Chicago	IL	1,000	4
12/23/2015	University of Chicago Graduate School of Business "Annual Fund"	Chicago	IL	6,000	2
12/23/2015	Choate Rosemary Hall "Van Nice Annual Fund Endowment"	Wallingford	CT	6,000	2

Total

\$ 103,500

PURPOSE KEY:

- 1 =VARIOUS
- 2 =EDUCATIONAL
- 3 =MEDICAL
- 4 =RELIGIOUS
- 5 =GENERAL/UNRESTRICTED

FORM 990-PF - PART IV

CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj. basis		Gain or (loss)	
		TOTAL CAPITAL GAIN DISTRIBUTIONS					9,456.	
134,754.		S/T REALIZED GAIN (LOSS) SEE ATTACHED PROPERTY TYPE: SECURITIES 145,218.				P	VAR	VAR
		L/T REALIZED GAIN (LOSS) SEE ATTACHED PROPERTY TYPE: SECURITIES 398,825.				P	VAR	VAR
472,709.							75,068.	
566.		A & Q L/S STRATEGIC FUND PROPERTY TYPE: SECURITIES				P	VAR	VAR
							566.	
4,925.		A & Q L/S STRATEGIC FUND					VAR	VAR
							4,925.	
1,462.		RETURN OF PRINCIPAL- SEE ATTAC PROPERTY TYPE: SECURITIES 1,462.				P	VAR	VAR
TOTAL GAIN (LOSS)							<u>80,116.</u>	

ATTACHMENT 1FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>
UBS INTEREST	3,031.	3,031.	3,031.
INTEREST INCOME O'CONNOR FUNDS	10,978.	10,978.	10,978.
TOTAL	<u>14,009.</u>	<u>14,009.</u>	<u>14,009.</u>

ATTACHMENT 2FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>
UBS DIVIDEND	39,182.	39,182.	39,182.
DIVIDEND INCOME O'CONNOR FUNDS	877.	877.	877.
TOTAL	<u>40,059.</u>	<u>40,059.</u>	<u>40,059.</u>

ATTACHMENT 3

FORM 990PF, PART I - ACCOUNTING FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
ACCOUNTING FEES	5,500.			5,500.
TOTALS	<u>5,500.</u>			<u>5,500.</u>

ATTACHMENT 4

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
MGMT FEE	18,072.	18,072.
OTHER FEES	260.	260.
TOTALS	<u>18,332.</u>	<u>18,332.</u>

ATTACHMENT 5

FORM 990PF, PART I - INTEREST EXPENSE

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
UBS INTEREST PAID	2,365.	2,365.
TOTALS	<u>2,365.</u>	<u>2,365.</u>

ATTACHMENT 6FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
FOREIGN TAX WITHHELD	798.	798.
FEDERAL EXCISE TAX	2,443.	
TOTALS	<u>3,241.</u>	<u>798.</u>

ATTACHMENT 7

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
IL CHARITY BUREAU FUND	15.			15.
	<u>15.</u>			<u>15.</u>
TOTALS				

FORM 990PF, PART II - OTHER ASSETS

ATTACHMENT 8

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
BROKER CASH EXCHANGE		
TOTALS		

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCESDESCRIPTIONAMOUNT

ACCRUED INTEREST	248.
NON DIVIDEND DISTRIBUTION	264.
TOTAL	<u>512.</u>

ATTACHMENT 10FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCESDESCRIPTIONAMOUNT

BASIS ADJUST TO UBS OCONNOR FUNDS
BASIS ADJUSTMENT TO PRINCIPAL

18,603.
3,987.

TOTAL

22,590.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 1-1

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
JEANETTE HUNT VAN NICE 1209 N. ASTOR STREET CHICAGO, IL 60610	PRESIDENT	0.	0.	0.
PETER ERRETT VAN NICE 1209 N. ASTOR STREET CHICAGO, IL 60610	TREASURER	0.	0.	0.
ANTHONY H. VAN NICE 1209 N. ASTOR STREET CHICAGO, IL 60610	SECRETARY	0.	0.	0.
GRAND TOTALS		<u>0.</u>	<u>0.</u>	<u>0.</u>

UBS FINANCIAL SERVICES INC.

Account CP 91116

Proceeds Not Reported to the IRS

2015

03/22/2016

This section of your tax information statement provides proceeds transactions grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. Tax lots with an additional notation of "Ordinary" represent neither short- nor long-term capital transactions. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis is always presented as \$0.00 and the Proceeds is the net of proceeds received when the option was written and the cost to close the position.

Several column headings describe two related items: a quantity and a qualifier. For example, Proceeds of sale and, if the sale is the result of an option exercise or assignment, whether the proceeds are gross or net (adjusted for any option premium). When these conditions exist, the qualifier is shown to the right of the amount.

SHORT TERM TRANSACTIONS

Report on Form 9949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et	Proceeds Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional Information
ANI PHARMACEUTICALS INC COM / CUSIP: 00182C103 / Symbol: ANIP							
07/22/15	1.000	70.18	03/18/15	62.91	...	7.27	Sale
AU OPTRONICS CORP ADS SPON ADR / CUSIP: 002255107 / Symbol: AUO							
7 transactions for 09/30/15							
5.000		14.84	01/20/15	28.93	...	-14.09	Sale
8.000		23.75	01/20/15	46.27	...	-22.52	Sale
14.000		41.56	01/21/15	78.78	...	-37.22	Sale
6.000		17.81	01/23/15	34.11	...	-16.30	Sale
9.000		26.72	01/26/15	53.30	...	-26.58	Sale
27.000		80.16	01/26/15	159.88	...	-79.72	Sale
4.000		11.87	01/27/15	23.46	...	-11.59	Sale
73.000		216.71	Various	424.73	...	-208.02	Total of 7 transactions
5 transactions for 10/02/15							
1.000		2.99	01/27/15	5.84	...	-2.85	Sale
11.000		32.88	01/27/15	64.50	...	-31.62	Sale
1.000		2.99	01/28/15	5.92	...	-2.93	Sale
32.000		95.64	01/28/15	187.80	...	-92.16	Sale
49.000		146.46	01/29/15	284.84	...	-138.38	Sale
94.000		280.96	Various	548.90	...	-267.94	Total of 5 transactions
25.000		75.88	01/29/15	145.33	...	-69.45	Sale
3 transactions for 10/08/15							
2.000		6.14	01/29/15	11.55	...	-5.41	Sale
21.000		64.51	01/29/15	122.07	...	-57.56	Sale

** D = adjustment amount is market discount. W = adjustment amount is a non-deductible wash sale loss. C = proceeds amount is from collectible assets.

UBS FINANCIAL SERVICES INC.

Account CP 91116

2015

03/22/2016

Proceeds Not Reported to the IRS (continued)

SHORT TERM TRANSACTIONS

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Shown (G)ross	Proceeds (N)et acquired	Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional Information
AU OPTRONICS CORP ADS SPON ADR / CUSIP: 002255107 / Symbol: AUO (cont'd)								
10/08/15	24.000	73.73	01/30/15	Various	134.17	...	-60.44	Sale
	47.000	144.38	Various		267.79	...	-123.41	Total of 3 transactions
10/21/15	50.000	149.60	01/30/15		279.52	...	-129.92	Sale
10/22/15	20.000	59.62	01/30/15		111.81	...	-52.19	Sale
	2 transactions for 10/23/15							
	106.000	316.43	01/30/15		592.58	...	-276.15	Sale
	3.000	8.96	02/02/15		16.19	...	-7.23	Sale
10/23/15	109.000	325.39	Various		608.77	...	-283.38	Total of 2 transactions
10/28/15	14.000	41.92	02/02/15		75.57	...	-33.65	Sale
	2 transactions for 11/03/15							
	64.000	191.04	02/02/15		345.46	...	-154.42	Sale
	23.000	68.65	02/03/15		126.29	...	-57.64	Sale
11/03/15	87.000	259.69	Various		471.75	...	-212.06	Total of 2 transactions
	4 transactions for 11/05/15							
	57.000	170.52	02/03/15		312.97	...	-142.45	Sale
	28.000	83.76	02/04/15		154.07	...	-70.31	Sale
	5.000	14.96	02/05/15		27.64	...	-12.68	Sale
	32.000	96.73	02/06/15		177.77	...	-82.04	Sale
11/05/15	122.000	364.97	Various		672.45	...	-307.48	Total of 4 transactions
	3 transactions for 11/13/15							
	1.000	2.66	02/06/15		5.59	...	-2.93	Sale
	11.000	29.28	02/06/15		61.11	...	-31.83	Sale
	28.000	74.52	02/09/15		154.91	...	-80.39	Sale
11/13/15	40.000	106.46	Various		221.61	...	-115.15	Total of 3 transactions

** D = adjustment amount is market discount. W = adjustment amount is a non-deductible wash sale loss. C = proceeds amount is from collectible assets.

UBS FINANCIAL SERVICES INC.

Account CP 91116

Proceeds Not Reported to the IRS

(continued)

2015

03/22/2016

SHORT TERM TRANSACTIONS

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et acquired	Proceeds Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional Information
AU OPTRONICS CORP ADS SPON ADR / CUSIP: 002255107 / Symbol: AUO (cont'd)							
2 transactions for 11/16/15							
	7.000	18.76	02/09/15	38.73	...	-19.97	Sale
11/16/15	45.000	120.62	02/10/15	243.10	...	-122.48	Sale
	52.000	139.38	Various	281.83	...	-142.45	Total of 2 transactions
11/19/15	1.000	2.76	02/10/15	5.40	...	-2.64	Sale
2 transactions for 11/20/15							
	29.000	80.70	02/10/15	156.66	...	-75.96	Sale
11/20/15	26.000	72.35	02/26/15	137.06	...	-64.71	Sale
	55.000	153.05	Various	293.72	...	-140.67	Total of 2 transactions
11/23/15	1.000	2.77	02/28/15	5.28	...	-2.51	Sale
2 transactions for 11/24/15							
	8.000	21.95	02/26/15	42.17	...	-20.22	Sale
11/24/15	19.000	52.12	03/13/15	92.31	...	-40.19	Sale
	27.000	74.07	Various	134.48	...	-60.41	Total of 2 transactions
2 transactions for 11/30/15							
	38.000	101.66	03/13/15	184.62	...	-82.96	Sale
11/30/15	10.000	26.75	03/16/15	51.20	...	-24.45	Sale
	48.000	128.41	Various	235.82	...	-107.41	Total of 2 transactions
Security total:							
		2,526.02		4,784.76	...	-2,258.74	
AZZ INC / CUSIP: 002474104 / Symbol: AZZ							
07/22/15	2.000	103.61	03/18/15	87.86	...	15.75	Sale

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UBS FINANCIAL SERVICES INC.

Account CP 91116

Proceeds Not Reported to the IRS

(continued)

03/22/2016

2015

SHORT TERM TRANSACTIONS

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds (Net) acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional information
ABIOMED INC COMMON STOCK / CUSIP: 003654100 / Symbol: ABMD						
07/22/15	2,000	146.97	120.01	...	26.96	Sale
09/17/15	6,000	603.41	360.03	...	243.38	Sale
	Security total:	750.38	480.04	...	270.34	
ACADIA PHARMACEUTICALS INC / CUSIP: 004225108 / Symbol: ACAD						
07/22/15	1,000	49.19	34.14	...	15.05	Sale
ADVANCE AUTO PARTS INC / CUSIP: 00751Y106 / Symbol: AAP						
11/08/15	13,000	2,529.21	2,275.00	...	254.21	Sale
ADVENT SOFTWARE INC **MERGER EFF 07/2015** / CUSIP: 007974108 / Symbol:						
07/08/15	39,000	1,725.75	1,723.41	...	2.34	Merger
AIR METHODS CORP NEW COMMON STOCK / CUSIP: 009128307 / Symbol: AIRM						
2 transactions for 03/13/15						
03/13/15	5,000	238.58	215.03	...	23.55	Sale
	7,000	334.02	324.90	...	9.12	Sale
	12,000	572.60	539.93	...	32.67	Total of 2 transactions
2 transactions for 09/22/15						
03/13/15	5,000	238.58	265.29	26.71 W	0.00	Sale
07/22/15	2,000	82.37	97.48	...	-15.11	Sale
2 transactions for 09/22/15						
09/22/15	5,000	188.57	270.41	...	-81.84	Sale
	20,000	754.27	974.80	...	-220.53	Sale
	25,000	942.84	1,245.21	...	-302.37	Total of 2 transactions
	Security total:	1,836.39	2,147.91	26.71 W	-284.81	
AKORN INC / CUSIP: 009728106 / Symbol: AKRX						
03/25/15	19,000	869.51	914.60	...	-45.09	Sale

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UBS FINANCIAL SERVICES INC.

Account CP 91116

Proceeds Not Reported to the IRS

(continued)

2015

03/22/2016

SHORT TERM TRANSACTIONS

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds (G)ross (N)et acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional Information
ALDER BIOPHARMACEUTICALS INC / CUSIP: 014339105 / Symbol: ALDR						
07/22/15	2.000	96.49	91.96	...	4.53	Sale
AMERICA MOVIL S.A.B. DE C.V. SER L SPON ADR / CUSIP: 02364W105 / Symbol: AMIX						
03/25/15	12.000	250.85	255.76	4.91 W	0.00	Sale
AMTRUST FINANCIAL SERVICES INC / CUSIP: 032359309 / Symbol: AFSI						
07/22/15	1.000	66.81	56.41	...	10.40	Sale
ANIKA THERAPEUTICS INC / CUSIP: 035255108 / Symbol: ANIK						
3 transactions for 03/13/15						
	12.000	478.19	510.92	...	-32.73	Sale
	8.000	318.79	324.42	...	-5.63	Sale
	3.000	119.55	122.41	...	-2.86	Sale
03/13/15	23.000	916.53	957.75	...	-41.22	Total of 3 transactions
ANN INC COM **MERGER EFF 08/2015** / CUSIP: 035623107 / Symbol:						
07/22/15	2.000	92.35	81.68	...	10.67	Sale
08/24/15	21.000	945.00	857.64	...	87.36	Merger
Security total: 1,037.35						
APPLIED INDUSTRIAL TECH INC / CUSIP: 03820C105 / Symbol: AIT						
3 transactions for 03/13/15						
	10.000	431.39	434.99	...	-3.60	Sale
	5.000	215.70	221.95	...	-6.25	Sale
	8.000	345.11	356.83	...	-11.72	Sale
03/13/15	23.000	992.20	1,013.77	...	-21.57	Total of 3 transactions
APTARGROUP INC COMMON STOCK / CUSIP: 038336103 / Symbol: ATR						
2 transactions for 03/13/15						
	5.000	316.49	313.81	...	2.68	Sale

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UBS FINANCIAL SERVICES INC.

Account CP 91116

2015

03/22/2016

Proceeds Not Reported to the IRS (continued)

SHORT TERM TRANSACTIONS

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds (Net) acquired	Shown (Gross) / Symbol: ATR (cont'd)	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional information
APTARGROUP INC COMMON STOCK / CUSIP: 038336103 / Symbol: ATR (cont'd)							
03/13/15	11,000	696.29	02/02/15	692.89	...	3.40	Sale
	16,000	1,012.78	Various	1,006.70	...	6.08	Total of 2 transactions
ARCBEST CORP / CUSIP: 03937C105 / Symbol: ARCB							
07/22/15	3,000	93.29	03/18/15	120.42	...	-27.13	Sale
09/23/15	18,000	475.77	03/18/15	722.52	...	-246.75	Sale
09/24/15	13,000	330.47	03/18/15	521.82	...	-191.35	Sale
	Security total:	899.53		1,364.76	...	-465.23	
ARRIS GROUP INC NEW COM ***MERGER EFF: 01/2016** / CUSIP: 04270V106 / Symbol:							
07/22/15	3,000	90.20	03/18/15	91.58	...	-1.38	Sale
ARTISAN PARTNERS ASSET MGMT INC / CUSIP: 04316A108 / Symbol: APAM							
5 transactions for 03/13/15							
	5,000	229.25	07/02/14	276.71	0.00	-47.46	Sale
	4,000	183.39	07/07/14	214.89	0.00	-31.50	Sale
	5,000	229.25	09/05/14	267.08	0.00	-37.83	Sale
	4,000	183.39	09/08/14	213.39	0.00	-30.00	Sale
	5,000	229.25	03/12/15	230.02	...	-0.77	Sale
03/13/15	23,000	1,054.53	Various	1,202.09	0.00	-147.56	Total of 5 transactions
ASCENA RETAIL GROUP INC / CUSIP: 04351G101 / Symbol: ASNA							
08/25/15	0.280	3.08	08/24/15	3.15	...	-0.09	Sale
09/17/15	14,000	185.60	08/24/15	157.71	...	27.89	Sale
	Security total:	188.68		160.86	...	27.80	
ASTRONICS CORP COMMON STOCK / CUSIP: 046433108 / Symbol: ATRO							
07/22/15	2,000	134.43	03/18/15	146.68	...	-12.25	Sale
ASTRONICS CORP CL B / CUSIP: 046433207 / Symbol: ATROB							
10/22/15	0.300	11.51	03/18/15	19.18	...	-7.67	Sale

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UBS FINANCIAL SERVICES INC.

Account CP 91116

Proceeds Not Reported to the IRS (continued)

2015

03/22/2016

SHORT TERM TRANSACTIONS

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Shown (Gross (N)et acquired	Proceeds Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional Information
BANCO BRADESCO S.A. NEW SPON ADR / CUSIP: 059460303 / Symbol: BBD							
2 transactions for 10/28/15							
	145,200	830.86	03/13/15	1,234.12	...	-403.26	Sale
	20,400	116.73	03/16/15	177.74	...	-61.01	Sale
10/28/15	165,600	947.59	Various	1,411.86	...	-464.27	Total of 2 transactions
BANK OF HAWAII CORP / CUSIP: 062540109 / Symbol: BOH							
03/13/15	2,000	123.22	11/21/14	117.02	...	6.20	Sale
BARCLAYS PLC ADR / CUSIP: 06738E204 / Symbol: BCS							
2 transactions for 05/14/15							
	24,000	397.10	03/13/15	355.44	...	41.66	Sale
	6,000	99.28	03/16/15	90.24	...	9.04	Sale
05/14/15	30,000	496.38	Various	445.68	...	50.70	Total of 2 transactions
BEACH ENERGY LTD UNSPONSORED ADR / CUSIP: 073333106 / Symbol: BCHEY							
09/29/15	1,000	6.60	03/13/15	15.24	...	-8.64	Sale
09/30/15	3,000	19.51	03/13/15	45.70	...	-26.19	Sale
10/01/15	5,000	33.03	03/13/15	76.18	...	-43.15	Sale
10/02/15	6,000	37.81	03/13/15	91.41	...	-53.60	Sale
10/05/15	3,000	19.95	03/13/15	45.70	...	-25.75	Sale
10/06/15	3,000	20.57	03/13/15	45.71	...	-25.14	Sale
10/07/15	6,000	44.50	03/13/15	91.41	...	-46.91	Sale
10/08/15	4,000	29.97	03/13/15	60.94	...	-30.97	Sale
10/09/15	19,000	160.52	03/13/15	289.46	...	-128.94	Sale
2 transactions for 10/12/15							
	11,000	96.71	03/13/15	167.59	...	-70.88	Sale
	9,000	79.13	03/16/15	134.60	...	-55.47	Sale
10/12/15	20,000	175.84	Various	302.19	...	-126.35	Total of 2 transactions
10/13/15	2,000	17.81	03/16/15	29.91	...	-12.30	Sale

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UBS FINANCIAL SERVICES INC.

Account CP 91118

Proceeds Not Reported to the IRS

03/22/2016

2015

(continued)

SHORT TERM TRANSACTIONS

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Shown (Gross (Net) acquired	Proceeds Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional Information
BEACH ENERGY LTD UNSPONSORED ADR / CUSIP: 073333106 / Symbol: BCHEY (cont'd)							
Security total:			565.91	1,093.85	...	-527.94	
BIO REFERENCE LAB INC NEW NEW **MERGER EFF 08/2015** / CUSIP: 09057G602 / Symbol:							
2 transactions for 03/13/15							
03/13/15	5,000		167.24	149.34	...	17.90	Sale
	4,000		133.80	126.83	...	6.97	Sale
	9,000		301.04	276.17	...	24.87	Total of 2 transactions
BITAUTO HLDGS LTD SPON ADR / CUSIP: 091727107 / Symbol: BITA							
07/22/15	1,000		44.51	50.72	...	-6.21	Sale
BRINKER INTL INC COMMON STOCK / CUSIP: 109641100 / Symbol: EAT							
04/23/15	18,000		1,028.40	1,081.44	...	-53.04	Sale
BURLINGTON STORES INC / CUSIP: 122017108 / Symbol: BURL							
07/22/15	3,000		168.35	177.66	...	-9.31	Sale
CDW CORP / CUSIP: 12514G108 / Symbol: CDW							
03/09/15	10,000		373.73	288.97	...	84.76	Sale
03/18/15	5,000		181.99	144.48	...	37.51	Sale
Security total:			555.72	433.45	...	122.27	
CABOT OIL & GAS CORP / CUSIP: 127097103 / Symbol: COG							
10/29/15	33,000		720.38	1,153.58	...	-433.18	Sale
2 transactions for 12/18/15							
12/18/15	17,000		264.17	594.25	...	-330.08	Sale
	32,000		482.87	1,118.60	...	-625.73	Sale
	49,000		757.04	1,712.85	...	-955.81	Total of 2 transactions
Security total:			1,477.42	2,866.41	...	-1,388.99	

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UBS FINANCIAL SERVICES INC.

Account CP 91116

Proceeds Not Reported to the IRS (continued)

2015

03/22/2016

SHORT TERM TRANSACTIONS

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et	Proceeds Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional Information
CAMBREX CORP / CUSIP: 132011107 / Symbol: CBM	2,000	98.68	03/18/15	70.38	...	28.30	Sale
CAMERON INTERNATIONAL CORP / CUSIP: 13342B105 / Symbol: CAM							
3 transactions for 02/20/15							
35,000		1,651.86	02/20/14	2,173.33	...	-521.47	Sale
5,000		235.98	04/07/14	313.68	...	-77.70	Sale
10,000		471.96	11/25/14	584.25	...	-112.29	Sale
50,000		2,359.80	Various	3,071.26	...	-711.46	Total of 3 transactions
02/20/15							
CARRIZO OIL & GAS INC / CUSIP: 144577103 / Symbol: CRZO							
07/22/15	2,000	75.37	03/18/15	90.36	...	-14.99	Sale
CATAMARAN CORP **MERGER EFF 07/2015** / CUSIP: 148887102 / Symbol:							
03/18/15	5,000	245.96	11/21/14	248.52	...	-2.56	Sale
3 transactions for 07/24/15							
25,000		1,537.50	11/21/14	1,242.61	...	294.89	Merger
25,000		1,537.50	12/02/14	1,272.47	...	265.03	Merger
10,000		615.00	12/08/14	513.68	...	101.32	Merger
07/24/15	60,000	3,690.00	Various	3,028.76	...	661.24	Total of 3 transactions
Security total:		3,935.96		3,277.28	...	658.68	
CELLEX THERAPEUTICS INC / CUSIP: 15117B103 / Symbol: CLDX							
07/22/15	1,000	25.35	03/18/15	30.61	...	-5.26	Sale
09/10/15	19,000	281.59	03/18/15	581.59	...	-300.00	Sale
Security total:		306.94		612.20	...	-305.26	

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UBS FINANCIAL SERVICES INC.

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03/22/2016

Proceeds Not Reported to the IRS (continued)

SHORT TERM TRANSACTIONS

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et acquired	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional Information
CHARLES RIVER LABORATORIES / CUSIP: 159864107 / Symbol: CRL								
07/22/15	2,000	151.81	151.81	03/18/15	163.41	..	-11.60	Sale
09/10/15	8,000	559.69	559.69	03/18/15	653.66	..	-93.97	Sale
	Security total:		711.50		817.07	..	-105.57	
CHART INDUSTRIES INC / CUSIP: 16115Q308 / Symbol: GTLS								
2 transactions for 03/13/15								
	5,000	166.14	166.14	09/11/14	330.21	..	-164.07	Sale
	6,000	199.38	199.38	12/11/14	213.19	..	-13.81	Sale
03/13/15	11,000	365.52	365.52	Various	543.40	..	-177.88	Total of 2 transactions
CHEESECAKE FACTORY INC COMMON STOCK / CUSIP: 163072101 / Symbol: CAKE								
4 transactions for 03/13/15								
	5,000	243.80	243.80	07/10/14	231.65	..	12.15	Sale
	11,000	536.35	536.35	07/11/14	504.58	..	31.77	Sale
	6,000	292.55	292.55	07/16/14	269.00	..	23.55	Sale
	2,000	97.52	97.52	11/21/14	94.70	..	2.82	Sale
03/13/15	24,000	1,170.22	1,170.22	Various	1,099.93	..	70.29	Total of 4 transactions
CHEFS WHSE INC COM / CUSIP: 163086101 / Symbol: CHEF								
03/13/15	8,000	173.04	173.04	12/15/14	150.53	..	22.51	Sale
CHEMED CORP NEW / CUSIP: 16359R103 / Symbol: CHE								
07/22/15	1,000	134.96	134.96	03/18/15	117.05	..	17.91	Sale
CHEUNG KONG HOLDINGS LTD ADR HONG KONG *EXCHANGE EFF 04/2015* ADR / CUSIP: 166744201 / Symbol:								
03/13/15	25,000	477.74	477.74	10/20/14	419.54	..	58.20	Sale

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UBS FINANCIAL SERVICES INC.

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Proceeds Not Reported to the IRS (continued)

SHORT TERM TRANSACTIONS

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et	Proceeds Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional Information
CHINA BIOLOGIC PRODUCTS INC / CUSIP: 16938C106 / Symbol: CBPO							
07/22/15	1,000	118.93	03/18/15	84.30	...	34.63	Sale
11/18/15	4,000	476.46	03/18/15	337.20	...	139.26	Sale
	Security total:	595.39		421.50	...	173.89	
CHOICE HOTELS INTL INC NEW / CUSIP: 169905106 / Symbol: CHH							
3 transactions for 03/13/15							
	10,000	627.28	08/26/14	530.30	...	96.98	Sale
	3,000	188.19	12/08/14	171.87	...	16.32	Sale
	5,000	313.64	01/12/15	284.82	...	28.82	Sale
03/13/15	18,000	1,129.11	Various	986.99	...	142.12	Total of 3 transactions
CIENA CORP NEW / CUSIP: 171779309 / Symbol: CIEN							
07/22/15	3,000	75.74	03/18/15	63.44	...	12.30	Sale
CITY NATIONAL CORP **MERGER EFF: 11/2015** / CUSIP: 178566105 / Symbol:							
02/05/15	1,000	88.28	12/08/14	80.09	...	8.19	Sale
CLARCOR INC COMMON STOCK / CUSIP: 179895107 / Symbol: CLC							
03/13/15	2,000	130.74	11/21/14	130.90	...	-0.16	Sale
COLFAX CORP / CUSIP: 194014106 / Symbol: CFX							
03/09/15	5,000	251.64	12/19/14	258.39	...	-6.75	Sale
2 transactions for 10/13/15							
	45,000	1,335.53	12/19/14	2,325.54	...	-990.01	Sale
	15,000	445.17	01/16/15	664.05	...	-218.88	Sale
10/13/15	60,000	1,780.70	Various	2,989.59	...	-1,208.89	Total of 2 transactions
	Security total:	2,032.34		3,247.98	...	-1,215.64	

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UBS FINANCIAL SERVICES INC.

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Proceeds Not Reported to the IRS (continued)

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03/22/2016

SHORT TERM TRANSACTIONS

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et acquired	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional Information
COMMERCE BANCSHARES COMMON STOCK / CUSIP: 200525103 / Symbol: C8SH								
2 transactions for 03/13/15								
	11.000		463.86	12/19/14	479.47	...	-15.61	Sale
	7.000		295.19	01/23/15	291.34	...	3.85	Sale
03/13/15	18.000		759.05	Various	770.81	...	-11.76	Total of 2 transactions
COMMVAULT SYSTEMS INC / CUSIP: 204166102 / Symbol: CVLT								
3 transactions for 03/13/15								
	11.000		497.51	03/14/14	689.00	...	-191.49	Sale
	3.000		135.69	05/15/14	146.80	...	-11.11	Sale
	2.000		90.46	11/21/14	96.04	...	-5.58	Sale
03/13/15	16.000		723.66	Various	931.84	...	-208.18	Total of 3 transactions
CRACKER BARREL OLD CTRY STORE INC / CUSIP: 22410J106 / Symbol: CBRL								
04/23/15	10.000		1,416.31	03/18/15	1,524.70	...	-108.39	Sale
DSW INC CL A / CUSIP: 23334L102 / Symbol: DSW								
03/13/15	2.000		75.28	04/22/14	69.55	...	5.73	Sale
DECKERS OUTDOOR CORP COMMON STOCK / CUSIP: 243537107 / Symbol: DECK								
07/22/15	1.000		71.79	03/18/15	71.46	...	0.33	Sale
09/10/15	15.000		915.01	03/18/15	1,071.90	...	-156.89	Sale
	Security total:		986.80		1,143.36	...	-156.56	
DEXCOM INC / CUSIP: 252131107 / Symbol: DXCM								
07/06/15	11.000		877.51	03/18/15	879.80	...	197.71	Sale
08/05/15	10.000		862.06	03/18/15	818.00	...	244.06	Sale
	Security total:		1,739.57		1,297.80	...	441.77	
DIAMONDBACK ENERGY INC / CUSIP: 25278X109 / Symbol: FANG								
07/22/15	1.000		65.28	03/18/15	70.27	...	-5.01	Sale

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UBS FINANCIAL SERVICES INC.

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Proceeds Not Reported to the IRS (continued)

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Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et	Proceeds Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional Information
DRIL-QUIP INC / CUSIP: 262037104 / Symbol: DRQ							
3 transactions for 03/13/15							
5.000		327.30	10/30/14	450.89	...	-123.59	Sale
2.000		130.91	12/26/14	156.40	...	-25.49	Sale
4.000		261.84	03/05/15	295.82	...	-33.98	Sale
03/13/15	11.000	720.05	Various	903.11	...	-183.06	Total of 3 transactions
E-HOUSE CHINA HOLDINGS LTD ADR / CUSIP: 26852W103 / Symbol: EJ							
07/22/15	3.000	18.44	03/18/15	16.82	...	1.62	Sale
EOG RESOURCES INC / CUSIP: 26875P101 / Symbol: EOG							
12/18/15	18.000	1,368.07	08/05/15	1,372.32	...	-4.25	Sale
ENN ENERGY HOLDINGS LTD SPON ADR / CUSIP: 26876F102 / Symbol: XNGSY							
10/14/15	0.500	11.72	08/27/15	10.16	...	1.56	Sale
ENCANA CORP FOREIGN STOCK CAD / CUSIP: 292505104 / Symbol: ECA							
10/29/15	67.000	518.15	11/06/14	1,211.44	...	-693.29	Sale
EVANTA PHARMACEUTICALS INC. / CUSIP: 29251M106 / Symbol: ENTA							
07/22/15	2.000	99.11	03/18/15	69.02	...	30.09	Sale
ENCORE CAPITAL GROUP INC / CUSIP: 292554102 / Symbol: ECPG							
03/13/15	3.000	125.13	11/21/14	126.84	...	-1.71	Sale
EURONET WORLDWIDE INC / CUSIP: 298738109 / Symbol: EEFT							
07/22/15	3.000	200.09	03/18/15	163.79	...	36.30	Sale
FINANCIAL ENGINES INC / CUSIP: 317485100 / Symbol: FNGN							
07/22/15	2.000	88.07	03/18/15	87.70	...	0.37	Sale

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UBS FINANCIAL SERVICES INC.

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Proceeds Not Reported to the IRS (continued)

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Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et	Proceeds Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional information
FINISAR CORP COM NEW / CUSIP: 31787A507 / Symbol: FNSR							
07/22/15	3,000	52.46	03/18/15	67.04	...	-14.58	Sale
09/22/15	43,000	503.06	03/18/15	960.93	...	-457.87	Sale
Security total:				1,027.97	...	-472.45	
FINISH LINE INC CL A COMMON STOCK / CUSIP: 317923100 / Symbol: FINL							
07/22/15	3,000	83.15	03/18/15	70.04	...	13.11	Sale
09/22/15	32,000	783.59	03/18/15	747.08	...	36.51	Sale
Security total:				817.12	...	49.62	
FLOWERS FOODS INC / CUSIP: 343498101 / Symbol: FLO							
4 transactions for 03/13/15							
	10,000	209.90	09/24/14	186.73	...	23.17	Sale
	29,000	608.70	09/25/14	538.25	...	70.45	Sale
	5,000	104.94	12/26/14	98.08	...	6.86	Sale
	9,000	188.91	12/30/14	178.21	...	10.70	Sale
03/13/15	53,000	1,112.45	Various	1,001.27	...	111.18	Total of 4 transactions
FORUM ENERGY TECHNOLOGIES INC / CUSIP: 34984V100 / Symbol: FET							
2 transactions for 03/13/15							
	7,000	127.04	05/27/14	231.09	...	-104.05	Sale
	11,000	199.65	02/05/15	191.75	...	7.90	Sale
03/13/15	18,000	326.69	Various	422.84	...	-86.15	Total of 2 transactions
FRANKLIN ELECTRIC CO COMMON STOCK / CUSIP: 353514102 / Symbol: FELE							
2 transactions for 03/13/15							
	2,000	72.94	12/08/14	77.81	...	-4.87	Sale
	3,000	109.40	03/06/15	108.30	...	1.10	Sale
03/13/15	5,000	182.34	Various	186.11	...	-3.77	Total of 2 transactions

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Report on Form 8949, Part I, with Box C checked.

Description of property						
Date sold or disposed	Quantity	Shown (G)gross (N)et	Proceeds Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X) Additional information
G-III APPAREL GROUP LTD COMMON STOCK / CUSIP: 36237H101 / Symbol: GIII						
07/22/15	1,000	69.87	03/18/15	53.23	...	16.64 Sale
GENERAC HLDGS INC / CUSIP: 368736104 / Symbol: GNRC						
03/09/15	5,000	245.64	09/05/14	231.70	...	13.94 Sale
GLOBUS MED INC CL A / CUSIP: 379577208 / Symbol: GMED						
07/22/15	2,000	53.87	03/18/15	50.16	...	3.71 Sale
GREEN DOT CORP / CUSIP: 39304D102 / Symbol: GDOT						
07/22/15	4,000	78.87	03/18/15	65.00	...	13.87 Sale
HFF INC CL A / CUSIP: 40418F108 / Symbol: HF						
07/22/15	4,000	174.40	03/18/15	138.44	...	35.96 Sale
11/25/15	12,000	418.33	03/18/15	415.32	...	3.01 Sale
12/01/15	10,000	342.94	03/18/15	346.10	...	-3.16 Sale
	Security total:	935.67		899.86	...	35.81
HSBC HOLDINGS PLC NEW GB SPON ADR / CUSIP: 404280406 / Symbol: HSBC						
	3 transactions for 05/21/15	240.16	06/16/14	259.78	...	-19.62 Sale
	5,000	624.42	06/18/14	672.71	...	-48.29 Sale
	13,000	192.13	06/20/14	207.21	...	-15.08 Sale
	4,000	1,056.71	Various	1,139.70	...	-82.99 Total of 3 transactions
05/21/15	22,000					
2 transactions for 05/22/15						
	10,000	480.98	06/20/14	518.02	...	-37.04 Sale
	3,000	144.30	06/23/14	154.76	...	-10.46 Sale
	13,000	625.28	Various	672.78	...	-47.50 Total of 2 transactions
05/22/15						
4 transactions for 05/26/15						
	9,000	426.69	06/23/14	464.28	...	-37.59 Sale
	2,000	94.82	06/24/14	102.98	...	-8.16 Sale

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UBS FINANCIAL SERVICES INC.

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Proceeds Not Reported to the IRS

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SHORT TERM TRANSACTIONS

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds (Gross) (Net) acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional Information
HSBC HOLDINGS PLC NEW GB SPON ADR / CUSIP: 404280408 / Symbol: HSBC (cont'd)						
	12,000	568.93	497.52	...	71.41	Sale
	9,000	426.69	375.70	...	50.99	Sale
05/28/15	32,000	1,517.13	1,440.48	...	76.65	Total of 4 transactions
Security total:						
		3,199.12	3,252.96	...	-53.84	
HALLIBURTON CO (HOLDING COMPANY) / CUSIP: 406216101 / Symbol: HAL						
04/07/15	64,000	2,843.02	2,476.88	...	366.14	Sale
HEARTLAND PAYMENT SYSTEMS INC / CUSIP: 42235N108 / Symbol: HPY						
07/22/15	3,000	170.36	146.19	...	24.17	Sale
HEXCEL CORP NEW COMMON STOCK / CUSIP: 428291108 / Symbol: HXL						
07/22/15	2,000	102.07	97.38	...	4.69	Sale
HITACHI LTD ADR NEW JAPAN ADR / CUSIP: 433578507 / Symbol: HTHIY						
2 transactions for 06/30/15						
	1,000	65.93	66.59	...	-0.66	Sale
	2,000	131.40	133.18	...	-1.78	Sale
08/30/15	3,000	197.33	199.77	...	-2.44	Total of 2 transactions
IHS INC CL A / CUSIP: 451734107 / Symbol: IHS						
03/18/15	5,000	578.29	582.97	4.68 W	0.00	Sale
IMAX CORP CAD / CUSIP: 45245E109 / Symbol: IMAX						
07/22/15	2,000	75.29	71.98	...	3.31	Sale
INFINITY PROPERTY & CASUALTY CORP / CUSIP: 45665Q103 / Symbol: IPCC						
03/13/15	5,000	397.94	389.38	...	8.56	Sale
INFINERA CORP / CUSIP: 45867G103 / Symbol: INFN						
07/22/15	5,000	107.51	95.39	...	12.12	Sale

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Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et	Proceeds Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional Information
INSULET CORP / CUSIP: 45784P101 / Symbol: PDD							
07/22/15	2.000	63.69	03/18/15	68.26	..	-4.57	Sale
INTERACTIVE BROKERS GROUP INC CL A / CUSIP: 45841N107 / Symbol: IBKR							
07/22/15	2.000	87.21	03/18/15	68.53	..	18.68	Sale
09/10/15	11.000	419.38	03/18/15	376.94	..	42.44	Sale
Security total:		506.59		445.47	..	61.12	
INTERVAL LEISURE GROUP INC / CUSIP: 46113M108 / Symbol: ILG							
4 transactions for 03/13/15							
10.000		267.59	08/06/14	222.50	..	45.09	Sale
13.000		347.88	08/11/14	278.81	..	69.07	Sale
8.000		214.07	12/08/14	174.85	..	39.22	Sale
5.000		133.80	01/09/15	113.09	..	20.71	Sale
03/13/15	36.000	983.34	Various	789.25	..	174.09	Total of 4 transactions
JACOBS ENGINEERING GROUP INC COMMON STOCK / CUSIP: 469814107 / Symbol: JEC							
2 transactions for 07/14/15							
10.000		413.35	12/11/14	426.40	..	-13.05	Sale
20.000		826.70	02/02/15	792.93	..	33.77	Sale
07/14/15	30.000	1,240.05	Various	1,219.33	..	20.72	Total of 2 transactions
JANUS CAPITAL GROUP INC / CUSIP: 47102X105 / Symbol: JNS							
07/22/15	5.000	86.09	03/18/15	85.88	..	0.21	Sale
JUMEI INTL HLDG LTD SPON ADR / CUSIP: 48138L107 / Symbol: JMEI							
07/22/15	1.000	19.44	03/18/15	15.00	..	4.44	Sale
11/12/15	35.000	336.35	03/18/15	525.08	..	-188.71	Sale
Security total:		355.79		540.08	..	-184.27	

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UBS FINANCIAL SERVICES INC.

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Proceeds Not Reported to the IRS (continued)

SHORT TERM TRANSACTIONS

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et acquired	Proceeds Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional Information
KAPSTONE PAPER AND PACKAGING CORP / CUSIP: 48562P103 / Symbol: KS							
07/22/15	4.000	98.99	03/18/15	128.94	..	-29.95	Sale
KODI CORP ADR / CUSIP: 48667L106 / Symbol: KDDIY							
12/01/15	38.000	467.05	06/23/15	452.25	..	14.80	Sale
KERYX BIOPHARMACEUTICALS INC / CUSIP: 492515101 / Symbol: KERX							
07/22/15	7.000	65.93	03/18/15	91.00	..	-25.07	Sale
09/22/15	69.000	265.51	03/18/15	897.05	..	-631.54	Sale
	Security total:	331.44		988.05	..	-656.61	
KOBEL STEEL LTD SPON ADR JAPAN ADR / CUSIP: 499892107 / Symbol: KBSTY							
12/21/15	31.000	183.87	05/19/15	289.27	..	-125.40	Sale
	2 transactions for 12/21/15						
	87.000	459.90	05/12/15	798.38	338.48 W	0.00	Sale
	79.000	417.61	05/15/15	732.36	3.98 W	-310.77	Sale
12/21/15	166.000	877.51	Various	1,530.74	342.48 W	-310.77	Total of 2 transactions
	3 transactions for 12/22/15						
	47.000	245.97	05/19/15	438.56	..	-192.59	Sale
	1.000	5.23	12/01/15	9.76	..	-4.53	Sale
	87.000	455.30	12/01/15	841.19	..	-385.89	Sale
12/22/15	135.000	706.50	Various	1,289.51	..	-583.01	Total of 3 transactions
	Security total:	1,747.88		3,109.52	342.48 W	-1,019.18	
KOC HOLDINGS ADR / CUSIP: 49989A109 / Symbol: KHOLY							
11/23/15	8.000	179.54	03/23/15	187.02	..	-7.48	Sale

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SHORT TERM TRANSACTIONS

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Date sold or disposed	Quantity	Shown	Proceeds (G)gross (N)net	Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional information
KOMATSU LTD NEW SPON ADR / CUSIP: 500458401 / Symbol: KMTUY								
08/20/15	11,000		193.56	03/16/15	227.37	...	-33.81	Sale
08/24/15	1,000		16.76	03/16/15	20.67	...	-3.91	Sale
	Security total:		210.32		248.04	...	-37.72	
KOREA ELECTRIC POWER CRP SPONSORED ADR SHS ADR / CUSIP: 500631106 / Symbol: KEP								
8 transactions for 12/01/15								
	3,000		64.41	02/17/15	60.84	...	3.57	Sale
	1,000		21.47	02/18/15	20.26	...	1.21	Sale
	2,000		42.94	02/19/15	40.49	...	2.45	Sale
	7,000		150.29	02/24/15	144.45	...	5.84	Sale
	4,000		85.88	02/25/15	83.57	...	2.31	Sale
	4,000		85.87	02/26/15	83.91	...	1.96	Sale
	11,000		236.17	02/27/15	226.94	...	9.23	Sale
	3,000		64.41	03/02/15	61.11	...	3.30	Sale
12/01/15	35,000		751.44	Various	721.57	...	29.87	Total of 8 transactions
KORN FERRY INTL CAL / CUSIP: 500643200 / Symbol: KFY								
07/22/15	3,000		102.71	03/18/15	95.73	...	6.98	Sale
LSB INDUSTRIES INC COMMON STOCK / CUSIP: 502160104 / Symbol: LXU								
07/22/15	1,000		37.07	05/01/15	42.52	...	-5.45	Sale
08/23/15	24,000		453.06	05/01/15	1,020.56	...	-567.50	Sale
	Security total:		490.13		1,063.08	...	-572.95	
LITHIA MOTORS INC CL A / CUSIP: 536797103 / Symbol: LAD								
07/22/15	2,000		232.71	03/18/15	186.22	...	46.49	Sale

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Proceeds Not Reported to the IRS (continued)

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SHORT TERM TRANSACTIONS

Report on Form 8949, Part I, with Box C checked.

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MWI VETERINARY SUPPLY INC **MERGER EFF 03/2015** / CUSIP: 55402X105 / Symbol: 02/04/15	1,000	189.64	01/06/15	171.31	...	18.33	Sale
2 transactions for 02/13/15							
	2,000	379.43	01/06/15	342.61	...	36.82	Sale
	1,000	189.72	01/09/15	176.06	...	13.66	Sale
02/13/15	3,000	569.15	Various	518.67	...	50.48	Total of 2 transactions
Security total:							
		758.79		689.98	...	68.81	
MANHATTAN ASSOCIATES INC / CUSIP: 562750109 / Symbol: MANH							
07/22/15	5,000	330.32	03/18/15	265.08	...	65.24	Sale
MATADOR RES CO / CUSIP: 576485205 / Symbol: MTDR							
07/22/15	3,000	61.73	03/18/15	59.82	...	1.91	Sale
MATRIX SERVICE CO COMMON STOCK / CUSIP: 576853105 / Symbol: MTRX							
07/22/15	3,000	58.49	03/18/15	52.68	...	5.81	Sale
MAXIMUS INC / CUSIP: 577933104 / Symbol: MMS							
07/22/15	1,000	67.66	03/18/15	64.32	...	3.34	Sale
MEDADATA SOLUTIONS INC / CUSIP: 58471A105 / Symbol: MDSD							
07/22/15	1,000	55.14	03/18/15	48.25	...	6.89	Sale
MENTOR GRAPHICS CORP COMMON STOCK / CUSIP: 587200106 / Symbol: MENT							
07/22/15	3,000	77.39	03/18/15	71.64	...	5.75	Sale
METHANEX CORP FOREIGN STOCK / CUSIP: 59151K108 / Symbol: MEOH							
2 transactions for 08/21/15							
	3,000	118.97	09/04/14	206.79	...	-87.82	Sale
	2,000	79.32	09/08/14	137.98	...	-58.66	Sale
08/21/15	5,000	198.29	Various	344.77	...	-146.48	Total of 2 transactions

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Date sold or disposed	Quantity	Shown (G)ross (N)et acquired	Proceeds Data acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional Information
METHANEX CORP FOREIGN STOCK / CUSIP: 59151K108 / Symbol: MEOH (cont'd)							
2 transactions for 08/24/15							
	9,000	345.76	09/08/14	620.91	...	-275.15	Sale
	6,000	230.50	09/09/14	410.47	...	-179.97	Sale
08/24/15	15,000	576.26	Various	1,031.38	...	-455.12	Total of 2 transactions
2 transactions for 08/26/15							
	4,000	148.30	09/09/14	273.65	...	-125.35	Sale
	7,000	259.53	03/13/15	358.03	...	-98.50	Sale
08/28/15	11,000	407.83	Various	631.68	...	-223.85	Total of 2 transactions
Security total:							
		1,182.38		2,007.83	...	-825.45	
HERMAN MILLER INC COMMON STOCK / CUSIP: 600544100 / Symbol: MLHR							
3 transactions for 03/13/15							
	10,000	283.60	10/01/14	296.62	...	-13.02	Sale
	6,000	170.15	01/15/15	170.90	...	-0.75	Sale
	6,000	170.16	03/05/15	173.28	...	-3.12	Sale
03/13/15	22,000	623.91	Various	640.80	...	-16.89	Total of 3 transactions
MIZUHO FINANCIAL GROUP INC SPON ADR / CUSIP: 60687Y109 / Symbol: MFG							
09/30/15	37,000	135.68	03/16/15	137.64	...	-1.96	Sale
MOMENTA PHARMACEUTICALS INC / CUSIP: 60877T100 / Symbol: MNTA							
07/22/15	1,000	22.88	03/18/15	14.98	...	7.90	Sale
NATUS MEDICAL INC DEL / CUSIP: 639050103 / Symbol: BABY							
07/22/15	3,000	131.48	03/18/15	109.76	...	21.72	Sale

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Date sold or disposed	Quantity	Shown (G)ross (N)et acquired	Proceeds Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional Information
NORSK HYDRO A.S NEW NORWAY SPON ADR / CUSIP: 656531605 / Symbol: NHYDY							
3 transactions for 08/28/15							
	24,000	82.13	03/23/15	128.84	..	-46.71	Sale
	17,000	58.17	03/24/15	92.30	..	-34.13	Sale
	24,000	82.13	03/27/15	127.18	..	-45.05	Sale
08/28/15	65,000	222.43	Various	348.32	..	-125.89	Total of 3 transactions
2 transactions for 08/31/15							
	21,000	70.65	03/27/15	111.28	..	-40.63	Sale
	18,000	60.56	03/30/15	96.47	..	-35.91	Sale
08/31/15	39,000	131.21	Various	207.75	..	-76.54	Total of 2 transactions
2 transactions for 09/02/15							
	28,000	92.63	03/30/15	150.06	..	-57.43	Sale
	35,000	115.78	03/31/15	185.58	..	-69.80	Sale
09/02/15	63,000	208.41	Various	335.64	..	-127.23	Total of 2 transactions
3 transactions for 09/03/15							
	36,000	120.84	03/31/15	190.88	..	-70.04	Sale
	16,000	53.71	04/01/15	85.25	..	-31.54	Sale
	11,000	36.92	04/07/15	59.86	..	-22.94	Sale
09/03/15	63,000	211.47	Various	335.99	..	-124.52	Total of 3 transactions
09/08/15	61,000	211.15	04/07/15	331.92	..	-120.77	Sale
2 transactions for 09/09/15							
	29,000	106.40	04/07/15	157.80	..	-51.40	Sale
	38,000	143.10	04/08/15	214.97	..	-71.87	Sale
09/09/15	68,000	249.50	Various	372.77	..	-123.27	Total of 2 transactions
09/11/15	14,000	49.60	04/08/15	77.17	..	-27.57	Sale

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UBS FINANCIAL SERVICES INC.

Account CP 91116

Proceeds Not Reported to the IRS (continued)

2015

03/22/2016

SHORT TERM TRANSACTIONS

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et	Proceeds Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional Information
NORSK HYDRO A.S NEW NORWAY SPON ADR / CUSIP: 656531605 / Symbol: NHYDY (cont'd)							
2 transactions for 10/21/15							
	13,000	49.25	04/08/15	71.66	...	-22.41	Sale
	98,000	371.26	04/09/15	537.27	...	-166.01	Sale
10/21/15	111,000	420.51	Various	608.93	...	-188.42	Total of 2 transactions
4 transactions for 10/26/15							
	41,000	149.80	04/09/15	224.77	...	-74.97	Sale
	77,000	281.34	04/10/15	422.21	...	-140.87	Sale
	102,000	372.68	04/13/15	562.07	...	-189.39	Sale
	16,000	58.46	04/14/15	90.04	...	-31.58	Sale
10/26/15	236,000	862.28	Various	1,299.09	...	-436.81	Total of 4 transactions
2 transactions for 10/28/15							
	34,000	121.20	04/14/15	191.32	...	-70.12	Sale
	39,000	139.03	04/15/15	222.24	...	-83.21	Sale
10/28/15	73,000	260.23	Various	413.56	...	-153.33	Total of 2 transactions
4 transactions for 10/30/15							
	9,000	31.84	04/15/15	51.28	...	-19.44	Sale
	35,000	123.82	04/23/15	179.46	...	-55.64	Sale
	79,000	279.49	04/23/15	390.05	...	-110.56	Sale
	5,000	17.69	05/07/15	24.14	...	-6.45	Sale
10/30/15	128,000	452.84	Various	644.93	...	-192.09	Total of 4 transactions
Security total:							
		3,279.83		4,976.07	...	-1,696.44	
OCEANEERING INTL INC COMMON STOCK / CUSIP: 675232102 / Symbol: OIL							
03/18/15	5,000	255.09	02/20/15	261.61	...	-6.52	Sale

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UBS FINANCIAL SERVICES INC.

Account CP 91116

Proceeds Not Reported to the IRS (continued)

2015

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SHORT TERM TRANSACTIONS

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et	Proceeds (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional Information
ONE GAS INC / CUSIP: 68235P108 / Symbol: OGS								
6 transactions for 03/13/15								
	5,000		202.25	07/10/14	184.80	...	17.45	Sale
	5,000		202.24	07/11/14	184.47	...	17.77	Sale
	4,000		161.80	07/15/14	147.97	...	13.83	Sale
	6,000		242.70	07/17/14	222.51	...	20.09	Sale
	5,000		202.24	07/24/14	191.20	...	11.04	Sale
	8,000		323.60	07/25/14	304.47	...	19.13	Sale
03/13/15	33,000		1,334.83	Various	1,235.52	...	99.31	Total of 6 transactions
OPHTHOTECH CORP / CUSIP: 683745103 / Symbol: OPHT								
07/22/15	2,000		139.07	03/18/15	105.80	...	33.27	Sale
ORACLE CORP NOTE RATE 3.40 % MATURES 07/08/2024 CALLABLE DATED DATE 07/08/2014 / CUSIP: 68389XAU9 / Symbol:								
03/20/15	2,000,000		2,097.66	02/12/15	2,107.90	5.12 W	-5.12	Sale
								Original basis: \$2,108.98
PRA GROUP INC COM / CUSIP: 69354N108 / Symbol: PRAA								
07/22/15	2,000		124.77	03/18/15	105.54	...	19.23	Sale
PT BK RAKYAT ADR / CUSIP: 69366X100 / Symbol: BKRYKY								
03/25/15	15,000		298.87	10/01/14	263.64	...	35.23	Sale
PERSIMMON ADR / CUSIP: 715318101 / Symbol: PSMY								
6 transactions for 03/13/15								
	6,000		307.70	04/23/14	267.36	...	40.34	Sale
	6,000		307.71	04/24/14	266.66	...	41.05	Sale
	6,000		307.70	04/28/14	263.96	...	43.74	Sale
	6,000		307.71	04/29/14	264.45	...	43.26	Sale
	1,000		51.28	05/02/14	44.96	...	6.32	Sale
	5,000		256.42	05/06/14	234.41	...	22.01	Sale
03/13/15	30,000		1,538.52	Various	1,341.80	...	196.72	Total of 6 transactions

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UBS FINANCIAL SERVICES INC.

Account CP 91116

Proceeds Not Reported to the IRS

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2015

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SHORT TERM TRANSACTIONS

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et acquired	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional Information
PINNACLE ENTERTAINMENT GROUP / CUSIP: 723456109 / Symbol: PNK								
07/22/15	2,000	80.37	03/18/15	70.12	...	...	10.25	Sale
POWER INTEGRATIONS INC / CUSIP: 739276103 / Symbol: POWI								
03/13/15	2,000	110.20	11/21/14	100.71	...	...	9.49	Sale
07/22/15	1,000	38.18	03/18/15	56.14	...	...	-17.98	Sale
	Security total:	148.36		156.85	...	...	-8.49	
PRECISION CASTPARTS CORP COMMON STOCK / CUSIP: 740189105 / Symbol: PCP								
2 transactions for 08/12/15								
	13,000	2,996.31	04/09/15	2,765.42	...	...	230.89	Sale
	5,000	1,152.43	04/24/15	1,015.26	...	...	137.17	Sale
08/12/15	18,000	4,148.74	Various	3,780.68	...	...	368.06	Total of 2 transactions
PRIMORIS SERVICES CORP / CUSIP: 74164F103 / Symbol: PRIM								
07/22/15	2,000	34.75	03/18/15	34.42	...	...	0.33	Sale
09/23/15	20,000	342.99	03/18/15	344.20	...	...	-1.21	Sale
09/24/15	3,000	51.35	03/18/15	51.63	...	...	-0.28	Sale
	Security total:	429.09		430.25	...	...	-1.16	
PROTO LABS INC / CUSIP: 743713109 / Symbol: PRLB								
07/22/15	1,000	67.62	03/18/15	68.24	...	...	-0.62	Sale
QUESTAR CORP COMMON STOCK / CUSIP: 748356102 / Symbol: STR								
5 transactions for 03/13/15								
	5,000	114.65	08/19/14	112.88	...	...	1.77	Sale
	5,000	114.65	08/20/14	113.38	...	...	1.27	Sale
	25,000	573.24	08/21/14	576.33	...	...	-3.09	Sale
	10,000	229.29	08/22/14	230.78	...	...	-1.49	Sale
	12,000	275.16	03/05/15	279.66	...	...	-4.50	Sale
03/13/15	57,000	1,306.99	Various	1,313.03	...	...	-6.04	Total of 5 transactions

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UBS FINANCIAL SERVICES INC.

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Proceeds Not Reported to the IRS (continued)

SHORT TERM TRANSACTIONS

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et acquired	Proceeds Date	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional information
RPC INC COMMON STOCK / CUSIP: 749660106 / Symbol: RES							
4 transactions for 03/13/15							
	28.000	332.07	10/22/14	486.18	...	-154.11	Sale
	5.000	59.30	12/26/14	67.08	...	-7.78	Sale
	5.000	59.30	12/29/14	67.79	...	-8.49	Sale
	5.000	59.30	12/30/14	66.64	...	-7.34	Sale
03/13/15	43.000	509.97	Various	687.69	...	-177.72	Total of 4 transactions
RESTORATION HARDWARE HLDGS INC / CUSIP: 761283100 / Symbol: RH							
07/22/15	1.000	104.75	03/18/15	91.59	...	13.16	Sale
SAIA INC / CUSIP: 78709Y105 / Symbol: SAIA							
07/22/15	2.000	79.07	03/18/15	89.85	...	-10.78	Sale
SIEMENS A G SPON ADR / CUSIP: 826197501 / Symbol: SIEGY							
08/19/15	6.000	613.14	03/13/15	651.66	...	-38.52	Sale
SILGAN HOLDINGS INC / CUSIP: 827048109 / Symbol: SLGN							
2 transactions for 03/13/15							
	10.000	566.79	01/07/15	535.15	...	31.64	Sale
	5.000	283.39	01/26/15	260.97	...	22.42	Sale
03/13/15	15.000	850.18	Various	796.12	...	54.06	Total of 2 transactions
07/22/15	2.000	106.50	03/18/15	114.38	...	-7.88	Sale
Security total:							
		956.68		910.50	...	46.18	
SKECHERS USA INC CL A / CUSIP: 830566105 / Symbol: SHX							
07/09/15	9.000	1,042.08	03/18/15	628.56	...	413.50	Sale
09/17/15	3.000	433.27	03/18/15	209.52	...	223.75	Sale
Security total:							
		1,475.33		838.08	...	637.25	

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UBS FINANCIAL SERVICES INC.

Account CP 91116

Proceeds Not Reported to the IRS (continued)

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SHORT TERM TRANSACTIONS

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et acquired	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional Information
SMURFIT KAPPA GROUP PLC UN SPON ADR / CUSIP: 83272W106 / Symbol: SMFKY								
	2 transactions for 03/13/15							
	4,000		112.24	05/07/14	93.01	...	19.23	Sale
	16,000		448.95	05/15/14	366.06	...	82.89	Sale
03/13/15	20,000		561.19	Various	459.07	...	102.12	Total of 2 transactions
SOLARWINDS INC / CUSIP: 83416B109 / Symbol: SWI								
	3 transactions for 03/13/15							
	5,000		239.30	05/14/14	188.20	...	51.10	Sale
	2,000		95.71	05/15/14	73.60	...	22.11	Sale
	5,000		239.30	01/15/15	241.38	...	-2.08	Sale
03/13/15	12,000		574.31	Various	503.18	...	71.13	Total of 3 transactions
SOLERA HOLDINGS INC / CUSIP: 83421A104 / Symbol: SLH								
03/13/15	9,000		452.08	03/05/15	497.72	...	-45.66	Sale
SOTHEBY'S / CUSIP: 83589B107 / Symbol: BID								
	3 transactions for 03/13/15							
	5,000		203.50	08/06/14	202.38	...	1.12	Sale
	7,000		284.89	08/08/14	260.08	...	24.81	Sale
	4,000		162.80	12/08/14	160.16	...	2.64	Sale
03/13/15	16,000		651.19	Various	622.62	...	28.57	Total of 3 transactions
STATOIL ASA ADR SPON ADR / CUSIP: 85771P102 / Symbol: STO								
03/23/15	5,000		89.62	03/13/15	82.24	...	7.38	Sale
03/27/15	5,000		89.69	03/13/15	82.23	...	7.46	Sale
05/20/15	8,000		157.08	03/13/15	131.58	...	25.50	Sale
05/28/15	22,000		407.38	03/16/15	355.84	...	51.52	Sale
	Security total:				651.89	...	91.86	

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UBS FINANCIAL SERVICES INC.

Account CP 91118

Proceeds Not Reported to the IRS (continued)

2015

03/22/2016

SHORT TERM TRANSACTIONS

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Shown (Gross (N)et	Proceeds (N)et acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional Information
STERIS CORP **MERGER EFF: 11/2015** / CUSIP: 859152100 / Symbol:							
	2 transactions for 03/13/15						
	10,000	651.09	10/09/14	573.91	...	77.18	Sale
	7,000	455.76	10/10/14	401.37	...	54.39	Sale
03/13/15	17,000	1,106.85	Various	975.28	...	131.57	Total of 2 transactions
	2 transactions for 11/03/15						
03/13/15	4,000	260.43	12/08/14	261.30	0.87 W	0.00	Sale
07/22/15	2,000	138.19	03/18/15	134.18	...	4.01	Sale
09/10/15	6,000	386.95	03/18/15	402.54	...	-15.59	Sale
	2 transactions for 11/03/15						
	4,000	297.44	03/18/15	269.23	0.00	28.21	Merger
	11,000	817.96	03/18/15	737.99	0.00	79.97	Merger
11/03/15	15,000	1,115.40	Various	1,007.22	0.00	108.18	Total of 2 transactions
	Security total:						
		3,007.82		2,780.52	0.87 W	228.17	
SUMITOMO METAL MNG CO LTD UNSPONSORED ADR / CUSIP: 86563T104 / Symbol: SMIMYY							
11/16/15	54,000	563.22	02/23/15	824.55	...	-241.33	Sale
11/18/15	65,000	705.86	02/24/15	999.57	...	-293.71	Sale
	Security total:						
		1,269.08		1,824.12	...	-535.04	
SUPER MICRO COMPUTER INC / CUSIP: 86800U104 / Symbol: SMCI							
07/22/15	2,000	49.67	03/18/15	71.08	...	-21.41	Sale
SYNAPTICS INC / CUSIP: 87157D109 / Symbol: SYNA							
	3 transactions for 03/13/15						
	5,000	395.39	12/11/14	334.84	...	60.55	Sale
	3,000	237.24	12/12/14	199.82	...	37.42	Sale
	4,000	316.31	01/02/15	254.72	...	61.59	Sale
03/13/15	12,000	948.94	Various	789.38	...	159.56	Total of 3 transactions

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UBS FINANCIAL SERVICES INC.

Account CP 91116

2015

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Proceeds Not Reported to the IRS (continued)

SHORT TERM TRANSACTIONS

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds Shown (G) less (N) net acquired	Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional information
SYNTEL INC / CUSIP: 87162H103 / Symbol: SYNT							
3 transactions for 03/13/15							
	1,000	50.47	11/11/14	45.07	...	5.40	Sale
	6,000	302.82	11/12/14	271.77	...	31.05	Sale
	6,000	302.81	01/20/15	273.58	...	29.23	Sale
03/13/15	13,000	656.10	Various	590.42	...	65.68	Total of 3 transactions
07/22/15	2,000	85.29	03/18/15	102.00	...	-16.71	Sale
10/16/15	8,000	369.99	03/18/15	408.01	...	-38.02	Sale
	Security total:	1,111.38		1,100.43	...	10.95	
SYSCO CORP COMMON STOCK / CUSIP: 871829107 / Symbol: SYT							
2 transactions for 03/25/15							
	25,000	960.53	05/08/14	920.92	...	39.61	Sale
	2,000	76.84	11/25/14	78.97	...	-2.13	Sale
03/25/15	27,000	1,037.37	Various	999.89	...	37.48	Total of 2 transactions
T&D HOLDINGS INC ADR / CUSIP: 872120100 / Symbol: TDHOY							
12/01/15	33,000	234.09	03/23/15	221.94	...	12.15	Sale
TANDEM DIABETES CARE INC / CUSIP: 875372104 / Symbol: TNDM							
07/22/15	1,000	11.76	03/18/15	13.82	...	-2.06	Sale
TANGER FACTORY OUTLET CENTERS INC COMMON STOCK / CUSIP: 87546S106 / Symbol: SKT							
4 transactions for 03/13/15							
	5,000	175.40	11/18/14	179.70	...	-4.30	Sale
	5,000	175.39	11/19/14	180.63	...	-5.24	Sale
	19,000	666.51	11/20/14	687.56	...	-21.05	Sale
	8,000	280.64	02/06/15	310.94	...	-30.30	Sale
03/13/15	37,000	1,297.94	Various	1,358.83	...	-60.89	Total of 4 transactions

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UBS FINANCIAL SERVICES INC.

Account CP 91116

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03/22/2016

Proceeds Not Reported to the IRS (continued)

SHORT TERM TRANSACTIONS

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional Information
TECHNIP SA NEW SPON ADR / CUSIP: 878546209 / Symbol: TKPPY	11.000		134.98	03/13/15	167.26	...	-32.28	Sale
12/22/15								
2 transactions for 12/23/15								
18.000		231.46		03/13/15	273.70	...	-42.24	Sale
8.000		102.87		03/16/15	121.64	...	-18.77	Sale
26.000		334.33		Various	395.34	...	-61.01	Total of 2 transactions
12/23/15								
2.000		25.49		03/16/15	30.41	...	-4.92	Sale
12/24/15								
Security total:		494.80			593.01	...	-98.21	
TELENOR ASA ADR / CUSIP: 87944W105 / Symbol: TELNY	4.000		268.11	03/18/15	232.45	...	35.66	Sale
05/28/15								
TEXAS ROADHOUSE INC CL A / CUSIP: 882681109 / Symbol: TXRH								
2 transactions for 03/13/15								
5.000		187.19		07/30/14	126.78	...	60.41	Sale
6.000		224.64		08/05/14	150.26	...	74.38	Sale
11.000		411.83		Various	277.04	...	134.79	Total of 2 transactions
03/13/15								
TOMPKINS FINANCIAL CORP / CUSIP: 890110109 / Symbol: TMP	6.000		317.15	08/11/14	288.62	...	28.53	Sale
03/13/15								
TUPPERWARE BRANDS CORP / CUSIP: 899896104 / Symbol: TUP								
2 transactions for 03/13/15								
5.000		331.50		09/11/14	370.43	...	-38.93	Sale
5.000		331.49		09/12/14	369.77	...	-38.28	Sale
10.000		662.99		Various	740.20	...	-77.21	Total of 2 transactions
03/13/15								
TUTOR PERINI CORP / CUSIP: 901109108 / Symbol: TPC	2.000		41.49	03/18/15	44.02	...	-2.53	Sale
07/22/15								

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UBS FINANCIAL SERVICES INC.

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Proceeds Not Reported to the IRS

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2015

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SHORT TERM TRANSACTIONS

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et acquired	Proceeds	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional Information
U S SILICA HLDGS INC / CUSIP: 90348E103 / Symbol: SLCA							
08/17/15	20.000	375.29	03/18/15	573.60	...	-198.31	Sale
UBIQUITI NETWORKS INC / CUSIP: 90347A100 / Symbol: UBNT							
7 transactions for 03/13/15							
5.000	150.65	04/16/14	199.60		...	-48.95	Sale
9.000	271.16	04/17/14	375.30		...	-104.14	Sale
5.000	150.65	05/14/14	171.08		...	-20.43	Sale
5.000	150.65	05/15/14	169.76		...	-19.11	Sale
5.000	150.65	05/16/14	171.58		...	-20.93	Sale
5.000	150.64	11/18/14	147.75		...	2.89	Sale
10.000	301.30	11/19/14	294.20		...	7.10	Sale
44.000	1,325.70	Various	1,529.27		...	-203.57	Total of 7 transactions
03/13/15							
UNIFIRST CORP MASS COMMON STOCK / CUSIP: 904708104 / Symbol: UNF							
2 transactions for 03/13/15							
3.000	351.05	04/08/14	292.43		...	58.62	Sale
2.000	234.04	07/31/14	195.17		...	38.87	Sale
03/13/15	5.000	585.09	Various	487.60	...	97.49	Total of 2 transactions
UNTD NATURAL FOODS INC / CUSIP: 911163103 / Symbol: UNFI							
03/13/15	2.000	150.52	08/05/14	119.51	...	31.01	Sale
U S TREASURY INFL NOTE NOTE RATE 0.875 % MATURES 11/30/2017 / CUSIP: 912828M72 / Symbol:							
12/18/15	1,000.000	998.36	12/08/15	998.63	0.00	-0.27	Sale Original basis: \$998.63
U S TREASURY INFL NOTE NOTE RATE 0.875 % MATURES 09/15/2016 / CUSIP: 912828VW7 / Symbol:							
08/27/15	2,000.000	2,009.84	03/11/15	2,007.08	0.00	2.76	Sale Original basis: \$2,010.16
11/05/15	2,000.000	2,006.01	03/11/15	2,005.79	0.00	0.22	Sale Original basis: \$2,010.16

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UBS FINANCIAL SERVICES INC.

Account CP 91116

Proceeds Not Reported to the IRS

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03/22/2016

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SHORT TERM TRANSACTIONS

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Shown	Proceeds (Gross Net) acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional Information
U S TREASURY INFL NOTE RATE 0.875 % MATURES 09/15/2016 / CUSIP: 912828VW7 / Symbol: (cont'd)							
12/08/15	12,000,000		12,012.60	03/11/15	12,031.09	0.00	-18.49 Sale Original basis: \$12,060.96
Security total:		16,028.45			16,043.96	0.00	-15.51
VALEANT PHARMACEUTICALS INTL / FOREIGN STOCK / CUSIP: 91911K102 / Symbol: VRX							
03/10/15	8,000		1,631.30	03/14/14	1,114.99	...	516.31 Sale
VERINT SYSTEMS INC / CUSIP: 92343X100 / Symbol: VRNT							
07/22/15	2,000		121.15	03/18/15	121.33	...	-0.18 Sale
VIRTUSA CORP / CUSIP: 92827P102 / Symbol: VRTU							
07/22/15	2,000		103.38	03/18/15	81.76	...	21.62 Sale
VIRTUS INVESTMENT PARTNER INC / CUSIP: 92828Q109 / Symbol: VRTS							
09/22/15	3,000		298.91	03/18/15	420.39	...	-121.48 Sale
VOXELJET AG UNSPONSORED ADR / CUSIP: 92912L107 / Symbol: VJET							
07/17/15	13,000		93.22	03/18/15	105.30	...	-12.08 Sale
WUXI PHARMATECH CAYMAN INC/MERGER EFF: 12/2015* SPON ADR / CUSIP: 929352102 / Symbol:							
07/22/15	3,000		127.28	03/18/15	107.60	...	19.68 Sale
12/11/15	35,000		1,608.25	03/18/15	1,255.35	...	352.90 Merger
Security total:		1,735.53			1,362.95	...	372.58
WPP PLC NEW SPON ADR / CUSIP: 92937A102 / Symbol: WPPGY							
12/01/15	4,000		463.42	08/17/15	444.61	...	18.81 Sale
WELLS FARGO & CO NOTE RATE 1.40 % MATURES 09/08/2017 / CUSIP: 94974BG80 / Symbol:							
05/27/15	5,000,000		5,016.80	09/04/14	4,998.35	0.00	20.45 Sale Original basis: \$4,998.35

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UBS FINANCIAL SERVICES INC.

Account CP 91116

Proceeds Not Reported to the IRS

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SHORT TERM TRANSACTIONS

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Shown	Proceeds (G)ross (N)et acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional Information
WESBANCO INC COMMON STOCK / CUSIP: 950810101 / Symbol: WSB	5,000	153.14	10/17/14	160.00	...	-8.88	Sale
	2 transactions for 02/02/15						
	4,000	122.34	10/17/14	128.00	...	-5.66	Sale
	1,000	30.58	10/23/14	32.49	...	-1.91	Sale
02/02/15	5,000	152.92	Various	160.49	...	-7.57	Total of 2 transactions
	Security total:	306.06		320.49	...	-14.43	
ZS PHARMA INC "MERGER EFF: 12/2015" / CUSIP: 98979G105 / Symbol:	7,000	630.00	09/17/15	524.69	...	105.31	Merger
12/18/15							
HORIZON PHARMA PLC FOREIGN STOCK / CUSIP: G4617B105 / Symbol: HZNP	3,000	113.69	03/18/15	69.04	...	44.65	Sale
07/22/15							
ICON PLC FOREIGN STOCK EUR / CUSIP: G4705A100 / Symbol: ICLR	2,000	135.57	03/18/15	136.80	...	-1.23	Sale
07/22/15							
PERRIGO CO PLC FOREIGN STOCK EUR / CUSIP: G97822103 / Symbol: PRGO	3,000	592.21	01/22/15	467.55	...	124.66	Sale
04/08/15							
ISRAEL CHEMICALS LTD FOREIGN STOCK ILS / CUSIP: IL0002810146 / Symbol: ICL	3,000	12.77	12/17/14	21.63	8.86 W	0.00	Sale
12/17/15							
	3 transactions for 12/22/15						
	7,000	28.58	12/22/14	51.99	23.41 W	0.00	Sale
	1,000	4.08	12/26/14	7.34	3.26 W	0.00	Sale
	2,000	8.16	12/31/14	14.51	6.35 W	0.00	Sale
	10,000	40.82	Various	73.84	33.02 W	0.00	Total of 3 transactions
12/22/15							
	4 transactions for 12/23/15						
	1,000	4.16	12/31/14	7.26	3.10 W	0.00	Sale
	9,000	37.49	01/06/15	64.97	27.48 W	0.00	Sale
	2,000	8.33	01/08/15	14.41	6.08 W	0.00	Sale

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UBS FINANCIAL SERVICES INC.

Account CP 91116

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Proceeds Not Reported to the IRS (continued)

SHORT TERM TRANSACTIONS

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et acquired	Proceeds Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional information
ISRAEL CHEMICALS LTD FOREIGN STOCK ILS / CUSIP: IL0002810146 / Symbol: ICL (cont'd)							
12/23/15	3,000	12.49	01/12/15	21.89	9.40 W	0.00	Sale
	15,000	62.47	Various	108.53	46.06 W	0.00	Total of 4 transactions
5 transactions for 12/24/15							
	6,000	26.21	01/12/15	43.79	17.58 W	0.00	Sale
	9,000	39.32	01/14/15	65.93	26.61 W	0.00	Sale
	3,000	13.11	01/16/15	21.98	8.87 W	0.00	Sale
	3,000	13.11	01/21/15	22.11	9.00 W	0.00	Sale
	6,000	26.21	01/22/15	44.52	18.31 W	0.00	Sale
12/24/15	27,000	117.96	Various	198.33	80.37 W	0.00	Total of 5 transactions
12/28/15	4,000	17.46	01/22/15	29.68	12.22 W	0.00	Sale
Security total:							
		251.48		432.01	180.53 W	0.00	
CAESARSTONE SDOT-YAM LTD FOREIGN STOCK ILS / CUSIP: M20598104 / Symbol: CSTE							
07/22/15	2,000	133.91	03/18/15	125.40	..	8.51	Sale
RADWARE LTD ILS / CUSIP: M81873107 / Symbol: RDWR							
07/22/15	4,000	80.92	03/18/15	83.94	..	-3.02	Sale
09/23/15	9,000	155.96	03/18/15	188.87	..	-32.91	Sale
09/24/15	44,000	754.26	03/18/15	923.35	..	-169.09	Sale
Security total:							
		991.14		1,198.16	..	-205.02	
CIMPRESS NV V FOREIGN STOCK EUR / CUSIP: N20146101 / Symbol: CMPR							
07/22/15	1,000	79.61	03/18/15	83.73	..	-4.12	Sale
CONSTELLUM NV CL A EUR / CUSIP: N22035104 / Symbol: CSTM							
07/10/15	30,000	309.23	03/18/15	605.77	..	-296.54	Sale
Totals:							
		134,754.43		145,218.89	565.28 W	-9,899.18	

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UBS FINANCIAL SERVICES INC.

Account CP 91116

Proceeds Not Reported to the IRS (continued)

2015

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LONG TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional Information
ADVANCE AUTO PARTS INC / CUSIP: 00751Y106 / Symbol: AAP								
01/09/15	8.000	1,281.46		09/08/13	637.52	...	643.94	Sale
03/18/15	2.000	296.15		09/09/13	159.38	...	136.77	Sale
08/27/15	7.000	1,216.20		09/09/13	557.83	...	658.37	Sale
10/29/15	8.000	1,174.84		09/09/13	478.14	...	696.70	Sale
11/09/15	8.000	1,750.99		09/09/13	717.21	...	1,033.78	Sale
	Security total:	5,719.64			2,550.08	...	3,169.56	
AGILENT TECHNOLOGIES INC / CUSIP: 00846U101 / Symbol: A								
04/24/15	27.000	1,148.06		02/19/09	303.59	...	844.47	Sale
ALPHABET INC CL C / CUSIP: 02079K107 / Symbol: GOOG								
	2 transactions for 10/29/15							
	0.970	692.43		05/16/11	252.47	...	439.96	Sale
	0.030	21.56		02/02/12	8.78	...	12.78	Sale
10/29/15	1.000	713.99		Various	261.25	...	452.74	Total of 2 transactions
12/18/15	2.000	1,487.33		02/02/12	581.21	...	906.12	Sale
	Security total:	2,201.32			842.46	...	1,358.86	
ALPHABET INC CL A / CUSIP: 02079K305 / Symbol: GOOGL								
10/13/15	1.000	682.19		02/02/12	292.31	...	389.88	Sale
10/29/15	1.000	739.19		02/02/12	292.31	...	446.88	Sale
	Security total:	1,421.38			584.62	...	836.76	
AMAZON.COM INC / CUSIP: 023135106 / Symbol: AMZN								
08/04/15	4.000	2,132.80		02/12/14	1,397.79	...	735.01	Sale
08/05/15	2.000	1,074.12		02/12/14	698.89	...	375.23	Sale
09/14/15	3.000	1,571.11		04/17/14	975.84	...	595.27	Sale
10/29/15	2.000	1,247.58		04/17/14	650.56	...	597.02	Sale

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UBS FINANCIAL SERVICES INC.

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Proceeds Not Reported to the IRS (continued)

LONG TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Shown (Gross (Net) acquired	Proceeds (Gross (Net) acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional Information
AMAZON.COM INC / CUSIP: 023135108 / Symbol: AMZN (cont'd)							
12/18/15	3.000	1,998.20	04/17/14	975.84	...	1,022.36	Sale
	Security total:	8,023.81		4,698.92	...	3,324.89	
AMER EXPRESS CO / CUSIP: 025816109 / Symbol: AXP							
09/05/15	8.000	605.83	06/22/07	495.46	...	110.37	Sale
	2 transactions for 10/29/15						
	2.000	148.96	06/22/07	123.86	...	25.10	Sale
	25.000	1,861.96	07/20/07	1,613.00	...	248.96	Sale
10/29/15	27.000	2,010.92	Various	1,736.86	...	274.06	Total of 2 transactions
12/18/15	11.000	747.44	03/08/08	457.22	...	290.22	Sale
	Security total:	3,364.19		2,689.54	...	674.65	
AMER STATES WATER CO / CUSIP: 029899101 / Symbol: AWR							
	2 transactions for 02/03/15						
	1.000	40.37	05/15/08	17.28	...	23.09	Sale
	4.000	161.47	10/20/10	75.69	...	85.78	Sale
02/03/15	5.000	201.84	Various	92.97	...	108.87	Total of 2 transactions
	3 transactions for 03/13/15						
	6.000	239.46	10/20/10	113.53	...	125.93	Sale
	8.000	319.27	04/20/12	142.26	...	177.01	Sale
	10.000	399.09	03/07/14	308.26	...	90.83	Sale
03/13/15	24.000	957.82	Various	564.05	...	393.77	Total of 3 transactions
	Security total:	1,159.66		657.02	...	502.64	
AMPHENOL CORP NEW CL A / CUSIP: 032095101 / Symbol: APH							
03/09/15	3.000	172.94	09/08/13	114.24	...	58.70	Sale

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UBS FINANCIAL SERVICES INC.

Account CP 91116

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LONG TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et acquired	Proceeds Date	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional Information
ANHUI CONCH CEM CO LTD ADR / CUSIP: 035243104 / Symbol: AHCHY							
03/25/15	14,000	249.05	03/21/14	285.76	...	-16.71	Sale
APOGEE ENTERPRISES INC COMMON STOCK / CUSIP: 037598109 / Symbol: APOG							
03/13/15	16,000	668.31	04/13/10	223.62	...	444.69	Sale
AUSTRALIA & NEW ZEALAND BKG GRP LTD SPONSRD ADR AUSTRALIA ADR / CUSIP: 052528304 / Symbol: ANZBY							
03/25/15	9,000	260.21	06/23/10	180.81	...	79.40	Sale
BAE SYSTEMS PLC SPON ADR / CUSIP: 05523R107 / Symbol: BAESY							
6 transactions for 03/13/15							
1,000		31.48	06/04/08	36.16	...	-4.68	Sale
6,000		188.88	08/13/08	216.00	...	-27.12	Sale
15,000		472.19	03/03/09	288.51	...	183.68	Sale
2,000		62.96	03/04/09	39.57	...	23.39	Sale
6,000		188.87	03/23/09	119.29	...	69.58	Sale
4,000		125.92	02/08/10	86.48	...	39.44	Sale
34,000		1,070.30	Various	786.01	...	284.29	Total of 6 transactions
03/13/15							
12/01/15	13,000	404.03	06/23/10	249.47	...	154.56	Sale
Security total:				1,035.48	...	438.85	
BANCO BRADESCO S.A. NEW SPON ADR / CUSIP: 059460303 / Symbol: BBD							
03/25/15	41,000	466.36	08/04/10	686.78	...	-220.42	Sale
04/03/15	0,800	8.09	08/04/10	11.17	...	-3.08	Sale
6 transactions for 09/29/15							
12,400		64.67	08/04/10	173.09	...	-108.42	Sale
27,720		144.58	12/21/11	347.71	...	-203.13	Sale
25,080		130.81	09/14/12	338.15	...	-207.34	Sale
13,200		68.85	10/15/12	155.17	...	-86.32	Sale
12,000		62.59	09/03/13	117.11	...	-54.52	Sale

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UBS FINANCIAL SERVICES INC.

Account CP 91116

Proceeds Not Reported to the IRS

(continued)

2015

LONG TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et	Proceeds Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional Information
BANCO BRADESCO S.A. NEW SPON ADR / CUSIP: 059460303 / Symbol: BBD (cont'd)							
09/29/15	28,600	149.17	09/06/13	300.46	...	-151.29	Sale
	119,000	820.67	Various	1,431.69	...	-811.02	Total of 6 transactions
2 transactions for 10/20/15							
10/20/15	21,800	122.48	09/06/13	229.03	...	-106.55	Sale
	76,200	428.10	09/09/13	820.87	...	-392.77	Sale
	98,000	550.58	Various	1,049.90	...	-499.32	Total of 2 transactions
2 transactions for 10/23/15							
10/23/15	27,000	152.19	09/09/13	290.86	...	-138.67	Sale
	46,000	259.28	01/15/14	456.17	...	-196.89	Sale
	73,000	411.47	Various	747.03	...	-335.56	Total of 2 transactions
10/28/15	4,400	25.18	01/15/14	43.63	...	-18.45	Sale
		Security total:	2,082.35	3,970.20	...	-1,887.85	
BANK OF HAWAII CORP / CUSIP: 062540109 / Symbol: BOH							
4 transactions for 03/13/15							
03/13/15	25,000	1,540.22	05/15/08	1,405.00	...	135.22	Sale
	3,000	184.83	03/11/09	88.11	...	96.72	Sale
	4,000	246.43	04/12/11	190.95	...	55.48	Sale
	2,000	123.22	10/24/12	88.79	...	34.43	Sale
	34,000	2,094.70	Various	1,772.85	...	321.85	Total of 4 transactions
BANK OF NEW YORK MELLON CORP / CUSIP: 064058100 / Symbol: BK							
04/27/15	32,000	1,352.57	12/18/09	857.50	...	495.07	Sale
	18,000	800.09	12/18/09	482.34	...	317.75	Sale

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UBS FINANCIAL SERVICES INC.

Account CP 91116

Proceeds Not Reported to the IRS (continued)

2015

03/22/2016

LONG TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Shown (Gross (W)et acquired	Proceeds Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional Information
BANK OF NEW YORK MELLON CORP / CUSIP: 064058100 / Symbol: BK (cont'd)							
	7,000	311.14	06/23/10	182.34	...	128.80	Sale
08/05/15	25,000	1,111.23	Various	664.68	...	446.55	Total of 2 transactions
	3 transactions for 12/18/15						
	3,000	121.11	06/23/10	78.15	...	42.96	Sale
	30,000	1,211.11	10/07/10	793.01	...	418.10	Sale
	19,000	767.03	04/08/11	573.22	...	193.81	Sale
12/18/15	52,000	2,099.25	Various	1,444.38	...	654.87	Total of 3 transactions
	Security total: 4,563.05						
				2,966.56	...	1,596.49	
BARCLAYS PLC ADR / CUSIP: 06738E204 / Symbol: BCS							
05/11/15	49,000	799.28	09/14/12	726.92	...	72.36	Sale
	2 transactions for 05/12/15						
	22,000	356.23	09/14/12	326.37	...	29.86	Sale
	7,000	113.34	09/09/13	131.81	...	-18.47	Sale
05/12/15	29,000	469.57	Various	458.18	...	11.39	Total of 2 transactions
	2 transactions for 05/13/15						
	7,000	114.79	09/09/13	131.81	...	-17.02	Sale
	23,000	377.18	10/01/13	276.10	...	101.08	Sale
05/13/15	30,000	491.97	Various	407.91	...	84.06	Total of 2 transactions
05/14/15	5,000	82.73	10/01/13	60.02	...	22.71	Sale
	Security total: 1,843.55						
				1,653.03	...	190.52	
BBCN BANCORP INC COM / CUSIP: 073295107 / Symbol: BBCN							
	7 transactions for 03/13/15						
	6,000	84.30	06/18/13	75.63	...	8.67	Sale
	5,000	70.25	06/21/13	65.15	...	5.10	Sale

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UBS FINANCIAL SERVICES INC.

Account CP 91116

Proceeds Not Reported to the IRS

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03/22/2016

LONG TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et	Proceeds (N)et acquired	Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional Information
BBCN BANCORP INC COM / CUSIP: 073295107 / Symbol: BBCN (cont'd)								
	10,000		140.50	06/24/13	133.59	...	6.91	Sale
	9,000		126.45	06/26/13	125.07	...	1.38	Sale
	10,000		140.50	07/02/13	150.18	...	-9.68	Sale
	5,000		70.25	07/08/13	77.67	...	-7.42	Sale
	7,000		98.35	09/11/13	95.70	...	2.65	Sale
03/13/15	52,000		730.60	Various	722.99	...	7.61	Total of 7 transactions
BEACH ENERGY LTD UNSPONSORED ADR / CUSIP: 073333106 / Symbol: BCHEY								
5 transactions for 08/13/15								
	5,000		62.39	07/09/14	154.83	...	-92.44	Sale
	2,000		24.96	07/10/14	61.57	...	-36.61	Sale
	1,000		12.48	07/11/14	30.81	...	-18.33	Sale
	2,000		24.96	07/11/14	61.64	...	-36.68	Sale
	4,000		49.91	07/14/14	123.97	...	-74.06	Sale
08/13/15	14,000		174.70	Various	432.82	...	-258.12	Total of 5 transactions
2 transactions for 08/14/15								
	1,000		12.18	07/14/14	30.99	...	-18.81	Sale
	2,000		24.35	07/15/14	63.71	...	-39.36	Sale
08/14/15	3,000		36.53	Various	94.70	...	-58.17	Total of 2 transactions
3 transactions for 08/17/15								
	2,000		23.85	07/15/14	63.71	...	-39.86	Sale
	2,000		23.85	07/15/14	63.92	...	-40.07	Sale
	2,000		23.85	07/16/14	63.48	...	-39.63	Sale
08/17/15	6,000		71.55	Various	191.11	...	-119.56	Total of 3 transactions
3 transactions for 08/18/15								
	2,000		22.66	07/16/14	63.48	...	-40.82	Sale
	1,000		11.33	07/17/14	32.52	...	-21.19	Sale

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UBS FINANCIAL SERVICES INC.

Account CP 91116

Proceeds Not Reported to the IRS

(continued)

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03/22/2016

LONG TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Shown (G) / Gross (N) / Net acquired	Proceeds Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional Information
BEACH ENERGY LTD UNSPONSORED ADR / CUSIP: 073333106 / Symbol: BCHEY (cont'd)							
	3,000	34.00	07/17/14	97.63	...	-63.63	Sale
08/18/15	6,000	67.98	Various	193.63	...	-125.64	Total of 3 transactions
08/20/15	1,000	10.79	07/18/14	32.43	...	-21.64	Sale
08/21/15	1,000	10.37	07/18/14	32.42	...	-22.05	Sale
	2 transactions for 08/25/15						
	1,000	9.76	07/21/14	33.02	...	-23.26	Sale
	2,000	19.52	07/24/14	66.27	...	-46.75	Sale
08/25/15	3,000	29.28	Various	99.29	...	-70.01	Total of 2 transactions
	2 transactions for 08/26/15						
	1,000	9.40	07/25/14	33.10	...	-23.70	Sale
	1,000	9.40	07/28/14	33.01	...	-23.61	Sale
08/28/15	2,000	18.80	Various	66.11	...	-47.31	Total of 2 transactions
08/27/15	2,000	18.92	07/28/14	66.01	...	-47.09	Sale
08/28/15	2,000	20.19	07/28/14	66.01	...	-45.82	Sale
08/31/15	3,000	29.15	07/28/14	99.02	...	-69.87	Sale
09/01/15	2,000	18.71	07/28/14	66.01	...	-47.30	Sale
09/02/15	2,000	17.44	07/28/14	66.01	...	-48.57	Sale
	2 transactions for 09/03/15						
	1,000	8.69	07/28/14	33.01	...	-24.32	Sale
	1,000	8.68	07/29/14	32.04	...	-23.36	Sale
08/03/15	2,000	17.37	Various	65.05	...	-47.68	Total of 2 transactions
08/04/15	2,000	17.08	07/29/14	64.09	...	-47.01	Sale

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UBS FINANCIAL SERVICES INC.

Account CP 91116

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03/22/2016

Proceeds Not Reported to the IRS (continued)

LONG TERM TRANSACTIONS

Report on Form 8849, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et	Proceeds Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional Information
BEACH ENERGY LTD UNSPONSORED ADR / CUSIP: 073333106 / Symbol: BCHEY (cont'd)							
	2 transactions for 09/08/15						
	4,000	33.03	07/30/14	126.92	...	-93.89	Sale
	1,000	8.26	07/31/14	31.50	...	-23.24	Sale
09/08/15	5,000	41.29	Various	158.42	...	-117.13	Total of 2 transactions
09/09/15	7,000	59.80	07/31/14	220.46	...	-160.66	Sale
09/10/15	1,000	8.34	08/01/14	31.29	...	-22.95	Sale
	2 transactions for 09/11/15						
	1,000	8.15	09/01/14	31.29	...	-23.14	Sale
	2,000	16.31	09/04/14	62.10	...	-45.79	Sale
09/11/15	3,000	24.46	Various	93.39	...	-68.93	Total of 2 transactions
	2 transactions for 09/28/15						
	1,000	7.20	08/04/14	31.05	...	-23.85	Sale
	2,000	14.40	08/05/14	62.09	...	-47.69	Sale
09/28/15	3,000	21.60	Various	93.14	...	-71.54	Total of 2 transactions
09/29/15	2,000	13.21	08/05/14	62.09	...	-48.88	Sale
	Security total:	727.57		2,293.50	...	-1,565.93	
BERKLEY W R CORP COMMON STOCK / CUSIP: 084423102 / Symbol: WRB							
03/09/15	5,000	251.29	09/09/13	205.85	...	45.44	Sale
03/18/15	5,000	255.46	09/09/13	205.85	...	49.61	Sale
	Security total:	506.75		411.70	...	95.05	
BIO REFERENCE LAB INC NEW NEW **MERGER EFF 08/2015** / CUSIP: 09057G602 / Symbol:							
	8 transactions for 03/13/15						
	2,000	66.90	10/15/13	62.18	...	4.72	Sale
	5,000	167.25	10/16/13	157.78	...	9.47	Sale
	5,000	167.24	10/18/13	160.17	...	7.07	Sale

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UBS FINANCIAL SERVICES INC.

Account CP 91116

Proceeds Not Reported to the IRS (continued)

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LONG TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et acquired	Proceeds (N)et acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional Information
BIO REFERENCE LAB INC NEW NEW **MERGER EFF 08/2015** / CUSIP: 09057G602 / Symbol: (cont'd)							
	5,000		167.25	166.62	...	0.63	Sale
	10,000		334.49	332.74	...	1.75	Sale
	2,000		66.90	65.66	...	1.24	Sale
	1,000		33.45	33.10	...	0.35	Sale
	4,000		133.80	113.91	...	19.89	Sale
03/13/15	34,000		1,137.28	1,092.16	...	45.12	Total of 8 transactions
BIOMED REALTY TRUST INC REITS SBI / CUSIP: 09063H107 / Symbol: BMR							
01/23/15	8,000		197.59	144.33	0.00	53.26	Sale
01/30/15	10,000		244.89	180.42	0.00	64.47	Sale
3 transactions for 02/02/15							
	9,000		216.25	162.37	0.00	53.88	Sale
	5,000		120.14	94.54	0.00	25.60	Sale
	7,000		168.20	132.33	0.00	35.87	Sale
02/02/15	21,000		504.59	389.24	0.00	115.35	Total of 3 transactions
Security total:							
			947.07	713.99	0.00	233.08	
BIO TECHNE CORP COM / CUSIP: 09073M104 / Symbol: TECH							
03/13/15	6,000		578.21	469.08	...	109.13	Sale
BLACKBAUD INC / CUSIP: 09227Q100 / Symbol: BLKB							
03/13/15	21,000		933.43	515.55	...	417.88	Sale
BLACKROCK BOND ALLOCATION TARGET SHARES BATS SER C / CUSIP: 092480102 / Symbol:							
03/18/15	250,000		2,685.00	2,442.50	...	242.50	Sale
03/20/15	50,000		538.50	488.50	...	50.00	Sale
08/27/15	301,000		3,091.27	2,940.77	...	150.50	Sale
12/18/15	95,000		963.30	928.15	...	35.15	Sale
12/28/15	141,000		1,422.69	1,377.57	...	45.12	Sale
Security total:							
			8,700.76	8,177.49	...	523.27	

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UBS FINANCIAL SERVICES INC.

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Proceeds Not Reported to the IRS (continued)

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LONG TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et acquired	Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional Information
BLACKROCK BOND ALLOCATION TARGET SHARES BATS SER M / CUSIP: 092480201 / Symbol:							
03/18/15	233,000	2,334.66	03/06/08	2,208.84	...	125.82	Sale
08/27/15	321,000	3,187.53	03/06/08	3,043.08	...	144.45	Sale
12/18/15	101,000	998.87	03/06/08	957.48	...	39.39	Sale
12/28/15	150,000	1,470.00	03/06/08	1,422.00	...	48.00	Sale
	Security total:	7,989.06		7,631.40	...	357.66	
BOC HONG KONG HOLDINGS LTD SPON ADR / CUSIP: 096813209 / Symbol: BHKLY							
	3 transactions for 03/13/15						
	5,000	337.99	11/12/13	323.41	...	14.58	Sale
	6,000	405.60	11/15/13	393.39	...	12.21	Sale
	5,000	337.99	11/19/13	333.93	...	4.06	Sale
03/13/15	16,000	1,081.58	Various	1,050.73	...	30.85	Total of 3 transactions
BRIGHT HORIZONS FAMILY SOLUTIONS INC / CUSIP: 109194100 / Symbol: BFAM							
03/13/15	14,000	732.05	08/26/13	516.45	...	215.60	Sale
BROOKFIELD ASSET MANAGEMENT INC LTD VTG SHS CL A FOREIGN STOCK / CUSIP: 112585104 / Symbol: BAM							
03/09/15	5,000	268.69	04/25/13	188.14	...	80.55	Sale
03/18/15	5,000	265.24	04/25/13	188.13	...	77.11	Sale
	3 transactions for 05/07/15						
	38,000	2,025.82	04/25/13	1,429.83	...	595.99	Sale
	2,000	106.63	07/24/13	75.50	...	31.13	Sale
	30,000	1,599.33	09/09/13	1,068.90	...	530.43	Sale
05/07/15	70,000	3,731.78	Various	2,574.23	...	1,157.55	Total of 3 transactions
05/13/15	0.500	18.11	09/09/13	11.88	...	6.23	Sale
	Security total:	4,283.82		2,982.38	...	1,321.44	

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UBS FINANCIAL SERVICES INC.

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Proceeds Not Reported to the IRS (continued)

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LONG TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et acquired	Proceeds	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional Information
BROWN & BROWN INC / CUSIP: 115236101 / Symbol: BRO							
03/09/15	10.000		318.59	320.00	...	-1.41	Sale
03/18/15	5.000		163.99	160.00	...	3.99	Sale
	Security total:		482.58	480.00	...	2.58	
BRUKER CORP / CUSIP: 116794108 / Symbol: BRKR							
	5 transactions for 01/02/15						
	15.000		291.39	194.85	...	96.54	Sale
	5.000		97.14	75.82	...	21.32	Sale
	5.000		97.13	76.74	...	20.39	Sale
	5.000		97.13	78.98	...	18.15	Sale
	2.000		38.85	31.54	...	7.31	Sale
	32.000		621.64	457.93	...	163.71	Total of 5 transactions
01/02/15							
	5 transactions for 03/13/15						
	10.000		188.50	157.70	...	30.80	Sale
	5.000		94.24	87.07	...	7.17	Sale
	19.000		358.15	327.22	...	30.93	Sale
	9.000		169.64	129.40	...	40.24	Sale
	10.000		188.50	173.08	...	15.42	Sale
	53.000		999.03	874.47	...	124.56	Total of 5 transactions
03/13/15							
	Security total:		1,620.87	1,332.40	...	288.27	
CDW CORP / CUSIP: 12514G108 / Symbol: CDW							
11/02/15	40.000		1,774.83	1,155.89	...	618.94	Sale
CALAMOS MARKET NEUTRAL INCOME FUND CLASS I / CUSIP: 128119880 / Symbol:							
02/05/15	113.701		1,462.20	1,412.79	...	49.41	Sale
09/22/15	154.887		1,965.52	1,924.54	...	40.98	Sale
	Security total:		3,427.72	3,337.33	...	90.39	

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UBS FINANCIAL SERVICES INC.

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Proceeds Not Reported to the IRS

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LONG TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et acquired	Proceeds Date	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional Information
CALGON CARBON CORP COMMON STOCK / CUSIP: 129603106 / Symbol: CCC							
7 transactions for 03/13/15							
14,000		286.57	03/28/11	214.25	..	72.32	Sale
7,000		143.29	03/29/11	110.92	..	32.37	Sale
5,000		102.35	04/12/11	83.34	..	19.01	Sale
4,000		81.88	04/14/11	65.69	..	16.19	Sale
5,000		102.34	06/27/11	85.88	..	16.46	Sale
3,000		61.41	06/28/11	51.18	..	10.23	Sale
7,000		143.29	12/27/11	108.21	..	35.08	Sale
03/13/15	45,000	921.13	Various	719.47	..	201.66	Total of 7 transactions
CANADIAN NATURAL RESOURCES LTD FOREIGN STOCK CAD / CUSIP: 136385101 / Symbol: CNQ							
3 transactions for 01/13/15							
18,000		479.79	06/24/09	442.71	..	37.08	Sale
15,000		399.83	09/21/11	492.87	..	-93.04	Sale
110,000		2,932.05	01/11/13	3,305.44	..	-373.39	Sale
01/13/15	143,000	3,811.67	Various	4,241.02	..	-429.35	Total of 3 transactions
CANTEL MEDICAL CORP / CUSIP: 138098108 / Symbol: CMN							
03/08/15	3,000	132.31	02/24/10	27.36	..	104.95	Sale
2 transactions for 03/13/15							
9,500		427.97	02/24/10	86.65	..	341.32	Sale
7,500		337.87	01/31/13	156.91	..	180.96	Sale
03/13/15	17,000	765.84	Various	243.56	..	522.28	Total of 2 transactions
Security total:							
		898.15		270.92	..	627.23	
CAP GEMINI SA ADR / CUSIP: 139098107 / Symbol: CGEMY							
2 transactions for 02/19/15							
7,000		271.96	11/11/11	137.93	..	134.03	Sale

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UBS FINANCIAL SERVICES INC.

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Proceeds Not Reported to the IRS (continued)

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LONG TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et	Proceeds Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional Information
CAP GEMINI SA ADR / CUSIP: 139098107 / Symbol: CGEMY (cont'd)							
	14.000	543.93	11/14/11	267.40	...	276.53	Sale
02/19/15	21.000	815.89	Various	405.33	...	410.56	Total of 2 transactions
3 transactions for 02/20/15							
	6.000	230.44	11/14/11	113.78	...	116.66	Sale
	7.000	268.84	11/14/11	133.70	...	135.14	Sale
	8.000	307.25	12/21/11	126.18	...	181.07	Sale
02/20/15	21.000	806.53	Various	373.66	...	432.87	Total of 3 transactions
2 transactions for 02/23/15							
	2.000	78.39	12/21/11	31.54	...	46.85	Sale
	5.000	195.96	09/09/13	144.79	...	51.17	Sale
02/23/15	7.000	274.35	Various	176.33	...	98.02	Total of 2 transactions
	6.000	238.32	09/09/13	173.75	...	64.57	Sale
02/27/15	3.000	121.00	09/09/13	86.87	...	34.13	Sale
03/03/15	2.000	81.57	09/09/13	57.92	...	23.65	Sale
03/05/15	3.000	121.08	09/09/13	86.87	...	34.21	Sale
	Security total:	2,458.74		1,360.73	...	1,098.01	
CARLSBERG AS SPON ADR / CUSIP: 142795202 / Symbol: CABGY							
03/23/15	18.000	299.19	08/08/12	307.43	8.24 W	0.00	Sale
2 transactions for 03/24/15							
	6.000	101.39	08/06/12	102.47	1.08 W	0.00	Sale
	21.000	354.85	08/07/12	361.21	6.36 W	0.00	Sale
03/24/15	27.000	456.24	Various	463.68	7.44 W	0.00	Total of 2 transactions
	15.000	250.95	08/14/12	254.02	...	-3.07	Sale
03/25/15	20.000	334.61	08/07/12	344.01	1.88 W	-7.52	Sale
03/27/15	18.000	291.65	08/14/12	304.82	...	-13.17	Sale

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UBS FINANCIAL SERVICES INC.

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Proceeds Not Reported to the IRS (continued)

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03/22/2016

LONG TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Shown (Gross (Net) acquired	Proceeds Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional Information
CARLSBERG AS SPON ADR / CUSIP: 142795202 / Symbol: CABGY (cont'd)							
	2 transactions for 03/30/15						
	13,000	214.12	08/14/12	220.15	...	-6.03	Sale
	3,000	49.41	08/16/12	51.02	...	-1.61	Sale
03/30/15	16,000	263.53	Various	271.17	...	-7.64	Total of 2 transactions
	27,000	443.01	08/16/12	459.14	...	-16.13	Sale
03/31/15	5,000	82.00	08/16/12	85.02	...	-3.02	Sale
	2 transactions for 04/07/15						
	7,000	118.27	08/16/12	119.04	...	-0.77	Sale
	14,000	236.55	09/09/13	276.92	...	-40.37	Sale
04/07/15	21,000	354.82	Various	395.96	...	-41.14	Total of 2 transactions
	16,000	273.83	09/09/13	316.48	...	-42.65	Sale
04/08/15	25,000	427.22	09/09/13	494.50	...	-67.28	Sale
	2 transactions for 04/10/15						
	13,000	223.14	09/09/13	257.14	...	-34.00	Sale
	5,000	85.82	03/13/15	84.22	...	1.60	Sale
04/10/15	18,000	308.96	Various	341.36	...	-32.40	Total of 2 transactions
	3 transactions for 04/13/15						
	2,000	34.07	03/13/15	33.38	...	0.69	Sale
	6,000	102.20	03/13/15	99.39	...	2.81	Sale
	13,000	221.44	03/13/15	218.95	...	2.49	Sale
04/13/15	21,000	357.71	Various	351.72	...	5.99	Total of 3 transactions
	2 transactions for 04/14/15						
	7,000	120.20	03/13/15	116.82	...	3.38	Sale
	8,000	137.37	03/16/15	134.46	...	2.91	Sale
04/14/15	15,000	257.57	Various	251.28	...	6.29	Total of 2 transactions

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UBS FINANCIAL SERVICES INC.

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LONG TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked.

Description of property

Data sold or disposed	Quantity	Shown (G)ross (N)et acquired	Proceeds Date	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional Information
CARLSBERG AS SPON ADR / CUSIP: 142795202 / Symbol: CABGY (cont'd)							
2 transactions for 04/15/15							
04/15/15	3.000	52.28	03/16/15	50.93	...	1.35	Sale
	4.000	69.70	03/16/15	67.23	...	2.47	Sale
	7.000	121.98	Various	118.16	...	3.82	Total of 2 transactions
04/16/15	1.000	17.84	03/16/15	16.97	...	0.87	Sale
Security total:		4,541.11		4,775.72	17.56 W	-217.05	
CARMAX INC / CUSIP: 143130102 / Symbol: KMX							
01/09/15	10.000	652.56	04/25/13	449.60	...	202.96	Sale
08/05/15	28.000	1,814.09	09/09/13	1,438.36	...	375.73	Sale
Security total:		2,466.65		1,887.96	...	578.69	
CENTRICA PLC NEW 2004 SPON ADR / CUSIP: 15639K300 / Symbol: CPYY							
2 transactions for 03/25/15							
03/25/15	20.000	309.70	12/30/13	461.23	151.53 W	0.00	Sale
	3.000	46.45	12/31/13	69.39	22.94 W	0.00	Sale
	23.000	356.15	Various	530.62	174.47 W	0.00	Total of 2 transactions
2 transactions for 08/26/15							
08/26/15	13.000	190.49	12/31/13	300.67	...	-110.18	Sale
	3.000	43.96	01/02/14	68.27	...	-24.31	Sale
	16.000	234.45	Various	368.94	...	-134.49	Total of 2 transactions
08/27/15	7.000	103.41	01/02/14	159.31	...	-55.90	Sale
3 transactions for 08/28/15							
	14.000	206.95	01/02/14	318.60	...	-111.65	Sale
	16.000	236.51	01/03/14	366.51	...	-130.00	Sale

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UBS FINANCIAL SERVICES INC.

Account CP 91116

2015

03/22/2016

Proceeds Not Reported to the IRS (continued)

LONG TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et acquired	Proceeds Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional Information
CENTRICA PLC NEW 2004 SPON ADR / CUSIP: 15639K300 / Symbol: CPYY (cont'd)							
	8,000	118.25	01/06/14	181.47	...	-63.22	Sale
08/28/15	38,000	561.71	Various	866.58	...	-304.87	Total of 3 transactions
09/01/15	9,000	131.31	01/06/14	204.15	...	-72.84	Sale
	2 transactions for 09/02/15						
	7,000	100.96	01/06/14	158.79	...	-57.83	Sale
09/02/15	1,000	14.42	01/07/14	22.35	...	-7.93	Sale
	8,000	115.38	Various	181.14	...	-65.76	Total of 2 transactions
09/03/15	16,000	231.21	01/07/14	357.66	...	-126.45	Sale
09/04/15	4,000	57.20	01/07/14	89.42	...	-32.22	Sale
09/08/15	8,000	115.85	01/07/14	178.83	...	-62.98	Sale
	2 transactions for 09/09/15						
	3,000	43.87	01/07/14	67.06	...	-23.19	Sale
09/09/15	2,000	29.25	01/08/14	43.68	...	-14.43	Sale
	5,000	73.12	Various	110.74	...	-37.62	Total of 2 transactions
09/14/15	2,000	28.07	01/08/14	43.68	...	-15.61	Sale
09/15/15	20,000	279.71	01/08/14	436.82	...	-157.11	Sale
	2 transactions for 09/16/15						
	6,000	86.33	01/08/14	131.05	...	-44.72	Sale
09/16/15	2,000	28.78	01/09/14	43.77	...	-14.99	Sale
	8,000	115.11	Various	174.82	...	-59.71	Total of 2 transactions
09/17/15	17,000	244.30	01/09/14	372.09	...	-127.79	Sale
	Security total:	2,646.98		4,074.80	174.47 W	-1,253.35	
CHART INDUSTRIES INC / CUSIP: 16115Q308 / Symbol: GTLS							
	4 transactions for 03/13/15						
	3,000	99.69	01/29/13	199.81	...	-100.12	Sale

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UBS FINANCIAL SERVICES INC.

Account CP 91116

Proceeds Not Reported to the IRS (continued)

2015

03/22/2016

LONG TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Shown (Gross (Net)	Proceeds Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional Information
CHART INDUSTRIES INC / CUSIP: 16115Q308 / Symbol: GTLS (cont'd)							
	4.000	132.92	02/12/13	269.23	...	-136.31	Sale
	1.000	33.23	04/02/13	76.24	...	-43.01	Sale
	3.000	99.69	02/12/14	262.47	...	-162.78	Sale
03/13/15	11.000	365.53	Various	807.75	...	-442.22	Total of 4 transactions
CHEFS WHSE INC COM / CUSIP: 163086101 / Symbol: CHEF							
4 transactions for 03/13/15							
	8.000	173.04	12/03/13	200.58	...	-27.54	Sale
	5.000	108.15	12/04/13	127.97	...	-19.82	Sale
	2.000	43.25	12/06/13	51.50	...	-8.25	Sale
	6.000	129.78	02/18/14	142.71	...	-12.93	Sale
03/13/15	21.000	454.22	Various	522.76	...	-68.54	Total of 4 transactions
CHINA CONSTR BK CORP ADR / CUSIP: 168919108 / Symbol: CICHY							
03/13/15	58.000	939.58	07/25/13	864.03	...	75.55	Sale
CHINA MOBILE LTD SPON ADR / CUSIP: 16941M109 / Symbol: CHL							
3 transactions for 02/27/15							
	8.000	542.13	11/07/13	418.04	...	124.09	Sale
	1.000	67.77	11/08/13	51.59	...	16.18	Sale
	6.000	406.60	11/12/13	309.97	...	96.63	Sale
02/27/15	15.000	1,018.50	Various	779.60	...	236.90	Total of 3 transactions
2 transactions for 03/13/15							
	5.000	318.99	11/12/13	258.31	...	60.68	Sale
	3.000	191.40	11/13/13	154.79	...	36.61	Sale
03/13/15	8.000	510.39	Various	413.10	...	97.29	Total of 2 transactions
Security total:				1,192.70	...	334.19	

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UBS FINANCIAL SERVICES INC.

Account CP 91116

Proceeds Not Reported to the IRS

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LONG TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Shown (G) / net acquired	Proceeds (N)	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional information
CHINA PETROLEUM & CHEMICAL CORP SPON ADR / CUSIP: 16941R108 / Symbol: SNP							
03/25/15	3,000	231.00	02/23/11	236.21	...	-5.21	Sale
03/26/15	3,000	231.53	02/23/11	236.21	...	-4.68	Sale
03/27/15	4,000	310.04	02/23/11	314.95	...	-4.91	Sale
03/30/15	6,000	477.16	08/06/12	431.19	...	45.97	Sale
03/31/15	4,000	319.34	08/06/12	287.46	...	31.88	Sale
2 transactions for 04/01/15							
	3,000	240.77	08/06/12	215.60	...	25.17	Sale
	5,000	401.27	08/07/12	365.16	...	36.11	Sale
04/01/15	8,000	642.04	Various	580.76	...	61.28	Total of 2 transactions
05/20/15	2,000	177.00	08/07/12	146.07	...	30.93	Sale
4 transactions for 05/27/15							
	3,400	311.59	08/07/12	248.31	...	63.28	Sale
	2,600	238.27	09/14/12	191.25	...	47.02	Sale
	5,000	458.21	09/06/13	390.10	...	68.11	Sale
	9,000	824.79	09/09/13	718.65	...	106.14	Sale
05/27/15	20,000	1,832.86	Various	1,548.31	...	284.55	Total of 4 transactions
05/28/15	5,000	441.10	09/09/13	389.25	...	41.85	Sale
Security total:				4,180.41	...	481.66	
CITIGROUP INC NOTE RATE 2.50 % MATURES 07/29/2019 / CUSIP: 172967HU8 / Symbol:							
08/17/15	3,000,000	3,005.13	07/24/14	3,001.56	0.00	3.57	Sale Original basis: \$3,001.95
CITY NATIONAL CORP **MERGER EFF: 11/2015** / CUSIP: 178566105 / Symbol:							
2 transactions for 02/05/15							
	2,000	176.57	01/30/13	107.58	...	68.99	Sale
	4,000	353.14	02/08/13	218.38	...	134.76	Sale
02/05/15	6,000	529.71	Various	325.96	...	203.75	Total of 2 transactions

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UBS FINANCIAL SERVICES INC.

Account CP 91116

Proceeds Not Reported to the IRS (continued)

2015

03/22/2016

LONG TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Shown (Gross (Net)	Proceeds Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional information
CLARCOR INC COMMON STOCK / CUSIP: 179895107 / Symbol: CLC							
2 transactions for 03/13/15							
	26,000	1,699.59	05/15/08	1,065.48	...	634.11	Sale
	3,000	196.10	11/07/13	177.71	...	18.39	Sale
03/13/15	29,000	1,895.69	Various	1,243.19	...	652.50	Total of 2 transactions
COHERENT INC COMMON STOCK / CUSIP: 192479103 / Symbol: COHR							
3 transactions for 03/13/15							
	4,000	263.60	05/15/08	121.32	...	142.28	Sale
	5,000	329.49	02/11/13	291.56	...	37.93	Sale
	1,000	65.90	01/15/14	74.71	...	-8.81	Sale
03/13/15	10,000	658.99	Various	487.59	...	171.40	Total of 3 transactions
COHEN & STEERS INC / CUSIP: 19247A100 / Symbol: CNS							
3 transactions for 03/13/15							
	11,000	457.04	04/09/12	343.54	0.00	113.50	Sale
	12,000	498.60	05/10/12	395.44	0.00	103.16	Sale
	8,000	332.39	10/17/12	238.10	0.00	94.29	Sale
03/13/15	31,000	1,288.03	Various	977.08	0.00	310.95	Total of 3 transactions
COMMERCIAL METALS CO COMMON STOCK / CUSIP: 201723103 / Symbol: CMC							
2 transactions for 01/05/15							
	3,000	46.82	07/14/09	46.44	...	0.38	Sale
	6,000	93.63	10/01/09	104.36	...	-10.73	Sale
01/05/15	9,000	140.45	Various	150.80	...	-10.35	Total of 2 transactions

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UBS FINANCIAL SERVICES INC.

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Proceeds Not Reported to the IRS (continued)

LONG TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Shown (Gross (N)et	Proceeds Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional Information
COMMERCIAL METALS CO COMMON STOCK / CUSIP: 201723103 / Symbol: CMC (cont'd)							
2 transactions for 02/02/15							
	6,000	80.51	10/01/09	104.35	...	-23.84	Sale
	4,000	53.68	12/28/09	65.91	...	-12.23	Sale
02/02/15	10,000	134.19	Various	170.26	...	-36.07	Total of 2 transactions
3 transactions for 03/13/15							
	16,000	237.28	12/28/09	263.64	...	-26.36	Sale
	9,000	133.46	01/04/11	150.98	...	-17.52	Sale
	13,000	192.79	09/25/12	172.34	...	20.45	Sale
03/13/15	38,000	563.53	Various	586.96	...	-23.43	Total of 3 transactions
Security total:							
		838.17		908.02	...	-69.85	
COMMVAULT SYSTEMS INC / CUSIP: 204166102 / Symbol: CVLT							
03/13/15	5,000	226.15	03/11/14	326.72	...	-100.57	Sale
CIA PARANAENSE ENERGI SPON ADR / CUSIP: 20441B407 / Symbol: ELP							
02/23/15	3,000	33.33	06/23/10	58.13	...	-24.80	Sale
02/24/15	7,000	78.82	06/23/10	135.63	...	-56.81	Sale
02/26/15	12,000	137.53	06/23/10	232.52	...	-94.99	Sale
02/26/15	7,000	80.23	10/17/11	130.53	50.30 W	0.00	Sale
02/27/15	8,000	71.90	10/17/11	111.88	39.98 W	0.00	Sale
2 transactions for 03/02/15							
	2,000	23.46	10/17/11	37.29	13.83 W	0.00	Sale
	13,000	152.49	10/18/11	239.14	26.66 W	-59.99	Sale
03/02/15	15,000	175.95	Various	276.43	40.49 W	-59.99	Total of 2 transactions
03/04/15	3,000	32.92	10/18/11	55.18	...	-22.26	Sale

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UBS FINANCIAL SERVICES INC.

Account CP 91116

Proceeds Not Reported to the IRS (continued)

2015

03/22/2016

LONG TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et	Proceeds Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional information
CIA PARANAENSE ENERGI SPON ADR / CUSIP: 20441B407 / Symbol: ELP (cont'd)							
2 transactions for 03/05/15							
	4.000	43.02	10/18/11	73.58	...	-30.56	Sale
	4.000	43.02	08/21/12	80.19	...	-37.17	Sale
03/05/15	8.000	86.04	Various	153.77	...	-67.73	Total of 2 transactions
03/08/15	8.000	84.84	08/21/12	160.38	...	-75.54	Sale
2 transactions for 03/09/15							
	18.000	183.70	08/21/12	360.85	...	-177.15	Sale
	3.000	30.62	12/04/12	41.13	...	-10.51	Sale
03/09/15	21.000	214.32	Various	401.98	...	-187.66	Total of 2 transactions
03/12/15	10.000	105.13	12/04/12	137.10	...	-31.97	Sale
04/14/15	2.000	23.26	12/04/12	27.42	...	-4.16	Sale
04/15/15	6.000	89.76	12/04/12	82.28	...	-12.50	Sale
3 transactions for 04/16/15							
	3.000	35.01	12/04/12	41.13	...	-6.12	Sale
	1.000	11.63	09/06/13	13.25	...	-1.62	Sale
	10.000	116.71	09/06/13	132.47	...	-15.76	Sale
04/16/15	14.000	183.35	Various	186.85	...	-23.50	Total of 3 transactions
04/17/15	2.000	23.06	09/06/13	26.49	...	-3.43	Sale
04/20/15	6.000	69.03	09/06/13	79.49	...	-10.46	Sale
2 transactions for 04/22/15							
	6.000	69.60	09/06/13	79.48	...	-9.88	Sale
	5.000	56.00	09/09/13	67.78	...	-9.78	Sale
04/22/15	11.000	127.60	Various	147.26	...	-19.66	Total of 2 transactions

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UBS FINANCIAL SERVICES INC.

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Proceeds Not Reported to the IRS (continued)

2015

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LONG TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et acquired	Proceeds Data	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional Information
CIA PARANAENSE ENERGI SPON ADR / CUSIP: 20441B407 / Symbol: ELP (cont'd)							
2 transactions for 04/23/15							
	1,000	11.59	09/09/13	13.55	...	-1.96	Sale
	22,000	257.45	09/09/13	298.22	...	-40.77	Sale
04/23/15	23,000	269.04	Various	311.77	...	-42.73	Total of 2 transactions
2 transactions for 04/24/15							
	2,000	23.26	09/09/13	27.11	...	-3.85	Sale
	3,000	34.58	09/09/13	40.67	...	-6.09	Sale
04/24/15	5,000	57.84	Various	67.78	...	-9.94	Total of 2 transactions
2 transactions for 04/27/15							
	1,000	11.59	09/09/13	13.56	...	-1.97	Sale
04/27/15	4,000	46.73	09/09/13	54.22	...	-7.49	Sale
04/30/15	1,000	10.91	09/09/13	13.55	...	-2.64	Sale
05/01/15	2,000	21.86	09/09/13	27.12	...	-5.26	Sale
2 transactions for 05/04/15							
	1,000	11.06	09/09/13	13.56	...	-2.50	Sale
	10,000	111.72	09/09/13	135.55	...	-23.83	Sale
05/04/15	11,000	122.78	Various	149.11	...	-26.33	Total of 2 transactions
2 transactions for 05/05/15							
	2,000	22.77	09/09/13	27.11	...	-4.34	Sale
	8,000	91.19	09/09/13	108.44	...	-17.25	Sale
05/05/15	10,000	113.96	Various	135.55	...	-21.59	Total of 2 transactions
2 transactions for 05/06/15							
	4,000	45.87	09/09/13	54.22	...	-8.35	Sale
05/06/15	2,000	22.81	08/09/13	27.11	...	-4.30	Sale
05/08/15	4,000	46.35	09/09/13	54.23	...	-7.88	Sale
05/11/15	1,000	11.58	09/09/13	13.55	...	-1.97	Sale
05/12/15	6,000	69.00	08/09/13	81.33	...	-12.33	Sale
05/13/15	1,000	11.18	09/09/13	13.56	...	-2.38	Sale

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UBS FINANCIAL SERVICES INC.

Account CP 91116

2015

03/22/2016

Proceeds Not Reported to the IRS (continued)

LONG TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Shown (G)/ross (N)/at acquired	Proceeds (G)/ross (N)/at acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional Information
CIA PARANAENSE ENERGI SPON ADR / CUSIP: 20441B407 / Symbol: ELP (cont'd)							
05/15/15	11,000		127.60	115.55	...	12.05	Sale
05/18/15	2,000		22.67	21.00	...	1.67	Sale
05/19/15	3,000		34.50	31.52	...	2.98	Sale
05/20/15	6,000		68.84	63.02	...	5.82	Sale
05/21/15	1,000		11.08	10.50	...	0.58	Sale
05/26/15	1,000		10.66	10.51	...	0.15	Sale
05/27/15	3,000		31.94	31.51	...	0.43	Sale
05/28/15	3,000		31.87	31.51	...	0.36	Sale
06/01/15	2,000		20.89	21.01	...	-0.12	Sale
06/02/15	1,000		10.55	10.51	...	0.04	Sale
06/03/15	1,000		10.69	10.50	...	0.19	Sale
06/05/15	4,000		41.52	42.02	...	-0.50	Sale
06/08/15	3,000		31.53	31.51	...	0.02	Sale
06/09/15	3,000		32.07	31.51	...	0.56	Sale
06/10/15	3,000		32.05	31.51	...	0.54	Sale
06/11/15	2,000		20.90	21.01	...	-0.11	Sale
06/12/15	2,000		20.99	21.01	...	-0.02	Sale
06/15/15	4,000		41.89	42.02	...	-0.13	Sale
06/16/15	9,000		96.99	94.53	...	2.46	Sale
06/17/15	3,000		32.83	31.52	...	1.31	Sale
	2 transactions for 06/18/15						
	13,000		144.37	136.55	...	7.82	Sale
	2,000		22.21	34.24	...	-12.03	Sale
06/18/15	15,000		166.58	170.79	...	-4.21	Total of 2 transactions
	4 transactions for 06/19/15						
	2,000		21.72	33.70	...	-11.98	Sale
	4,000		43.45	66.40	...	-22.95	Sale
	5,000		54.31	85.61	...	-31.30	Sale

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UBS FINANCIAL SERVICES INC.

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Proceeds Not Reported to the IRS

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2015

LONG TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et acquired	Proceeds Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional information
CIA PARANAENSE ENERGI SPON ADR / CUSIP: 20441B407 / Symbol: ELP (cont'd)							
	6,000	65.17	03/16/15	99.59	..	-34.42	Sale
06/19/15	17,000	184.65	Various	285.30	..	-100.65	Total of 4 transactions
Security total:							
		3,521.86		4,578.83	130.77 W	-927.20	
COMPUTER PROGRAMS & SYSTEMS INC / CUSIP: 205306103 / Symbol: CPSI							
3 transactions for 03/13/15							
	4,000	211.96	03/18/09	125.68	..	86.28	Sale
	9,000	476.90	04/09/12	503.28	..	-26.38	Sale
	7,000	370.92	07/10/13	359.58	..	11.34	Sale
03/13/15	20,000	1,059.78	Various	988.54	..	71.24	Total of 3 transactions
COPART INC COMMON STOCK / CUSIP: 217204108 / Symbol: CPRT							
03/09/15	5,000	186.79	09/09/13	164.70	..	22.09	Sale
03/18/15	5,000	185.79	09/09/13	164.70	..	21.09	Sale
Security total:							
		372.58		329.40	..	43.18	
CORESITE RLTY CORP COM REIT SBI / CUSIP: 21870Q105 / Symbol: COR							
5 transactions for 03/13/15							
	7,000	330.18	09/28/12	189.70	..	140.48	Sale
	8,000	377.36	10/05/12	218.01	..	159.35	Sale
	5,000	235.84	12/18/12	132.55	..	103.29	Sale
	4,000	188.68	12/20/12	108.87	..	79.81	Sale
	6,000	283.01	07/15/13	198.80	..	84.21	Sale
03/13/15	30,000	1,415.07	Various	847.93	..	567.14	Total of 5 transactions
CORVEL CORP COMMON STOCK / CUSIP: 221006109 / Symbol: CRVL							
2 transactions for 03/13/15							
	7,000	246.32	12/16/13	325.21	..	-78.89	Sale

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UBS FINANCIAL SERVICES INC.

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Proceeds Not Reported to the IRS (continued)

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LONG TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et acquired	Proceeds Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional Information
CORVEL CORP COMMON STOCK / CUSIP: 221006109 / Symbol: CRVL (cont'd)							
	1.000	35.19	01/13/14	47.73	...	-12.54	Sale
03/13/15	8.000	281.51	Various	372.94	...	-91.43	Total of 2 transactions
COSTCO WHOLESALE CORP / CUSIP: 22160K105 / Symbol: COST							
01/15/15	14.000	1,956.52	03/06/08	845.74	...	1,110.78	Sale
CROWN HOLDINGS INC / CUSIP: 228368106 / Symbol: CCK							
03/09/15	5.000	254.24	09/09/13	220.45	...	33.79	Sale
03/18/15	5.000	260.44	09/09/13	220.45	...	39.99	Sale
	Security total:	514.68		440.90	...	73.78	
CYBERONICS INC / CUSIP: 23251P102 / Symbol:							
	2 transactions for 03/13/15						
	7.000	461.43	02/14/14	498.50	...	-37.07	Sale
	3.000	197.76	02/20/14	204.60	...	-6.84	Sale
03/13/15	10.000	659.19	Various	703.10	...	-43.91	Total of 2 transactions
DSW INC CL A / CUSIP: 23334L102 / Symbol: DSW							
01/07/15	3.000	108.43	03/01/13	101.66	...	6.77	Sale
	4 transactions for 03/13/15						
	5.000	188.20	03/01/13	169.42	...	18.78	Sale
	2.000	75.28	03/20/13	65.26	...	10.02	Sale
	6.000	225.83	03/20/13	191.84	...	33.99	Sale
	19.000	715.15	01/15/14	741.38	...	-26.23	Sale
03/13/15	32.000	1,204.46	Various	1,167.90	...	36.56	Total of 4 transactions
	Security total:	1,312.89		1,269.56	...	43.33	

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UBS FINANCIAL SERVICES INC.

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Proceeds Not Reported to the IRS (continued)

LONG TERM TRANSACTIONS

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Description of property	Date sold or disposed	Quantity	Shown (G)ross (N)et	Proceeds Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional Information
DARLING INTL INC / CUSIP: 237266101 / Symbol: DAR								
		4 transactions for 03/13/15						
		8,000	113.52	02/14/11	120.43	...	-6.91	Sale
		9,000	127.70	10/25/12	149.62	...	-21.92	Sale
		10,000	141.90	06/13/13	196.65	...	-54.75	Sale
		1,000	14.19	09/06/13	20.43	...	-6.24	Sale
03/13/15		28,000	397.31	Various	487.13	...	-89.82	Total of 4 transactions
DENTSPLY INTL INC COMMON STOCK / CUSIP: 249030107 / Symbol: XRAY								
01/06/15		25,000	1,280.44	09/09/13	1,086.13	...	194.31	Sale
03/09/15		5,000	258.94	09/09/13	217.23	...	41.71	Sale
03/19/15		40,000	2,071.36	09/09/13	1,737.81	...	333.55	Sale
		Security total:	3,610.74		3,041.17	...	569.57	
DEUTSCHE BOERSE ADR / CUSIP: 251542106 / Symbol: DBOEY								
		2 transactions for 03/13/15						
		5,000	38.55	06/08/12	24.12	...	14.43	Sale
		38,000	292.97	06/11/12	184.00	...	108.97	Sale
03/13/15		43,000	331.52	Various	208.12	...	123.40	Total of 2 transactions
4 transactions for 05/13/15								
		22,000	180.80	06/11/12	106.52	...	74.28	Sale
		64,000	525.94	06/12/12	308.60	...	217.34	Sale
		15,000	123.27	06/13/12	72.89	...	50.38	Sale
		31,000	254.76	09/09/13	220.94	...	33.82	Sale
05/13/15		132,000	1,084.77	Various	708.95	...	375.82	Total of 4 transactions
05/14/15		132,000	1,075.54	09/09/13	940.76	...	134.78	Sale
		Security total:	2,491.83		1,857.83	...	634.00	

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Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et	Proceeds Data acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional information
DIAGEO PLC NEW GB SPON ADR / CUSIP: 25243Q205 / Symbol: DEO	6,000	645.23	06/23/10	390.53	...	254.70	Sale
DIME COMMUNITY BANCSHARES / CUSIP: 253922108 / Symbol: DCOM							
2 transactions for 03/13/15							
13,000	202.80	05/15/08	247.61	...	...	-44.81	Sale
18,000	280.79	03/24/09	170.46	...	...	110.33	Sale
31,000	483.59	Various	418.07	...	...	65.52	Total of 2 transactions
DISCOVERY COMMUNICATIONS INC SER C / CUSIP: 25470F302 / Symbol: DISCK							
03/09/15	10,000	317.29	09/09/13	358.55	...	-41.26	Sale
03/18/15	5,000	151.89	09/09/13	179.27	...	-27.38	Sale
Security total:		469.18		537.82	...	-68.64	
DORMAN PRODUCTS INC / CUSIP: 258278100 / Symbol: DORM							
5 transactions for 03/13/15							
1,000	46.00	06/22/12	23.08	...	...	22.92	Sale
13,000	597.99	06/27/12	305.59	...	...	292.40	Sale
5,000	229.99	09/24/12	153.02	...	...	76.97	Sale
3,000	138.00	02/19/13	114.73	...	...	23.27	Sale
2,000	92.00	11/20/13	97.08	...	...	-5.08	Sale
24,000	1,103.98	Various	693.50	...	...	410.48	Total of 5 transactions
DUPONT FABROS TECHNOLOGY INC / CUSIP: 26613Q106 / Symbol: DFT							
9 transactions for 03/13/15							
4,000	128.48	05/11/10	95.54	...	...	32.94	Sale
8,000	256.96	09/27/10	196.47	...	...	60.49	Sale
10,000	321.19	11/17/10	223.09	...	...	98.10	Sale
4,000	128.48	11/18/10	90.95	...	...	37.53	Sale
5,000	160.60	12/21/10	103.15	...	...	57.45	Sale

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UBS FINANCIAL SERVICES INC.

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Proceeds Not Reported to the IRS

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LONG TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et	Proceeds (N)et acquired	Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional information
DUPONT FABROS TECHNOLOGY INC / CUSIP: 26613Q106 / Symbol: DFT (cont'd)								
	5,000		160.60	12/27/11	121.25	...	39.35	Sale
	4,000		128.47	03/14/12	91.24	...	37.23	Sale
	7,000		224.84	08/21/12	190.08	...	34.76	Sale
	3,000		96.36	09/19/12	79.18	...	17.18	Sale
03/13/15	50,000		1,605.98	Various	1,190.95	...	415.03	Total of 9 transactions
EOG RESOURCES INC / CUSIP: 26875P101 / Symbol: EOG								
2 transactions for 08/12/15								
	30,000		2,316.20	03/06/08	1,782.49	...	533.71	Sale
	26,000		2,007.37	06/24/09	874.90	...	1,132.47	Sale
08/12/15	56,000		4,323.57	Various	2,657.39	...	1,666.18	Total of 2 transactions
2 transactions for 12/16/15								
	12,000		912.04	06/24/09	403.80	...	508.24	Sale
	16,000		1,216.06	09/24/12	903.86	...	312.20	Sale
12/16/15	28,000		2,128.10	Various	1,307.66	...	820.44	Total of 2 transactions
					3,965.05	...	2,486.62	
Security total:								
EAGLE BANCORP INC (MD) / CUSIP: 268948106 / Symbol: EGBN								
3 transactions for 03/13/15								
	10,000		373.19	11/19/13	297.96	...	75.23	Sale
	3,000		111.96	11/25/13	95.51	...	16.45	Sale
	4,000		149.28	12/04/13	125.21	...	24.07	Sale
03/13/15	17,000		634.43	Various	518.68	...	115.75	Total of 3 transactions

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UBS FINANCIAL SERVICES INC.

Proceeds Not Reported to the IRS (continued)

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LONG TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et acquired	Proceeds Date	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional information
ECOLAB INC COMMON STOCK / CUSIP: 278865100 / Symbol: ECL							
09/02/15	8,000	849.57	04/25/13	570.61	...	178.96	Sale
10/29/15	7,000	838.30	04/25/13	586.79	...	251.51	Sale
	Security total:	1,687.87		1,257.40	...	430.47	
ENCANA CORP FOREIGN STOCK CAD / CUSIP: 292505104 / Symbol: ECA							
10/29/15	120,000	928.04	09/25/14	2,522.35	...	-1,594.31	Sale
ENCORE CAPITAL GROUP INC / CUSIP: 292554102 / Symbol: ECPG							
4 transactions for 03/13/15							
	2,000	83.42	10/10/13	94.13	...	-10.71	Sale
	16,000	667.35	10/15/13	787.94	...	-120.59	Sale
	12,000	500.51	10/31/13	590.82	...	-90.31	Sale
	7,000	291.96	12/05/13	328.82	...	-36.86	Sale
03/13/15	37,000	1,543.24	Various	1,801.71	...	-258.47	Total of 4 transactions
ESCO TECHNOLOGIES INC / CUSIP: 286315104 / Symbol: ESE							
3 transactions for 03/13/15							
	10,000	389.40	05/15/08	487.10	...	-97.70	Sale
	6,000	233.63	09/26/12	230.01	...	3.62	Sale
	6,000	233.64	03/05/13	249.35	...	-15.71	Sale
03/13/15	22,000	856.67	Various	966.46	...	-109.79	Total of 3 transactions
EXPEDITORS INTL WASH INC / CUSIP: 302130109 / Symbol: EXPD							
03/09/15	5,000	238.87	09/09/13	218.30	...	20.57	Sale
03/18/15	5,000	242.81	09/09/13	218.30	...	24.51	Sale
	Security total:	481.68		436.60	...	45.08	
EXPRESS SCRIPTS HLDG CO / CUSIP: 30219G108 / Symbol: ESRX							
07/29/15	8,000	732.19	08/23/10	403.34	...	328.85	Sale
08/09/15	5,000	454.34	06/23/10	252.09	...	202.25	Sale

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UBS FINANCIAL SERVICES INC.

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Proceeds Not Reported to the IRS (continued)

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03/22/2016

LONG TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Proceeds (Net) Shown (Gross) / Symbol: ESRX (cont'd)	Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional information
EXPRESS SCRIPTS HLDG CO / CUSIP: 30219G108 / Symbol: ESRX (cont'd)							
10/28/15	14,000	1,221.82	08/23/10	705.88	..	515.76	Sale
11/11/15	12,000	1,025.96	06/23/10	605.01	..	420.95	Sale
	2 transactions for 12/18/15						
	7,000	600.03	06/23/10	352.93	..	247.10	Sale
	1,000	85.72	07/09/10	46.76	..	38.96	Sale
12/18/15	8,000	685.75	Various	399.69	..	286.06	Total of 2 transactions
	Security total:						
		4,119.86		2,365.99	..	1,753.87	
FASTENAL CO COMMON STOCK / CUSIP: 311900104 / Symbol: FAST							
03/18/15	5,000	211.84	01/31/14	219.94	..	-8.10	Sale
FNMA NOTE RATE 1.25 % MATURES 01/30/2017 DATED DATE 01/09/2012 / CUSIP: 3135G0GY3 / Symbol:							
03/20/15	2,000,000	2,023.82	02/14/12	2,007.15	0.00	16.67	Sale Original basis: \$2,018.76
FHLBC RATE 1.00 % MATURES 07/28/2017 DATED DATE 08/25/2012 / CUSIP: 3137EADJ5 / Symbol:							
08/27/15	2,000,000	2,010.44	11/20/13	2,001.26	0.00	9.18	Sale Original basis: \$2,002.40
FIRST FINCL BANKSHARES INC COMMON STOCK / CUSIP: 32020R109 / Symbol: FFIN							
01/23/15	5,000	129.98	11/20/12	92.41	..	37.57	Sale
	2 transactions for 01/26/15						
	1,000	25.69	11/20/12	18.48	..	7.21	Sale
	10,000	256.89	12/12/12	197.84	..	59.05	Sale
01/26/15	11,000	282.58	Various	216.32	..	66.26	Total of 2 transactions
	Security total:						
		412.56		308.73	..	103.83	

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UBS FINANCIAL SERVICES INC.

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Proceeds Not Reported to the IRS

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Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et acquired	Proceeds Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional Information
FIRST NBC BK HLDG CO / CUSIP: 32115D106 / Symbol: FNBC							
4 transactions for 03/13/15							
	3,000	100.62	08/05/13	76.09	...	24.53	Sale
	8,000	268.31	08/06/13	208.63	...	59.68	Sale
	5,000	167.70	09/13/13	118.82	...	48.88	Sale
	4,000	134.16	09/17/13	95.39	...	38.77	Sale
03/13/15	20,000	670.79	Various	498.93	...	171.86	Total of 4 transactions
FORUM ENERGY TECHNOLOGIES INC / CUSIP: 34984V100 / Symbol: FET							
6 transactions for 03/13/15							
	2,000	36.30	05/22/13	60.46	...	-24.16	Sale
	10,000	181.50	05/23/13	295.40	...	-113.90	Sale
	12,000	217.79	05/29/13	360.98	...	-143.19	Sale
	5,000	90.75	06/06/13	149.76	...	-59.01	Sale
	5,000	90.75	07/29/13	142.27	...	-51.52	Sale
	7,000	127.05	07/31/13	204.04	...	-76.99	Sale
03/13/15	41,000	744.14	Various	1,212.91	...	-468.77	Total of 6 transactions
FRANKLIN ELECTRIC CO COMMON STOCK / CUSIP: 353514102 / Symbol: FELE							
4 transactions for 03/13/15							
	13,000	474.09	07/18/12	376.17	...	97.92	Sale
	4,000	145.87	09/20/12	123.34	...	22.53	Sale
	8,000	291.75	11/06/12	237.72	...	54.03	Sale
	6,000	218.81	01/18/13	197.16	...	21.65	Sale
03/13/15	31,000	1,130.52	Various	934.39	...	196.13	Total of 4 transactions
GENERAC HLDGS INC / CUSIP: 368736104 / Symbol: GNRC							
09/22/15	60,000	1,723.20	09/05/14	2,780.38	...	-1,057.18	Sale

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GENTHERM INC / CUSIP: 37253A103 / Symbol: THRM							
6 transactions for 03/13/15							
	1,000	46.75	03/25/11	14.84	...	31.91	Sale
	7,000	327.24	03/30/11	104.61	...	222.63	Sale
	5,000	233.75	05/04/11	85.73	...	148.02	Sale
	4,000	187.00	05/05/11	69.09	...	117.91	Sale
	7,000	327.24	05/10/13	120.20	...	207.04	Sale
	3,000	140.25	11/19/13	72.37	...	67.88	Sale
03/13/15	27,000	1,262.23	Various	466.84	...	795.39	Total of 6 transactions
GEOSPACE TECHNOLOGIES CORP / CUSIP: 37364X109 / Symbol: GEOS							
5 transactions for 03/13/15							
	2,000	33.26	12/13/10	91.93	...	-58.67	Sale
	4,000	66.52	12/17/10	188.12	...	-121.60	Sale
	6,000	99.77	06/27/11	288.83	...	-189.06	Sale
	2,000	33.26	04/10/13	187.39	...	-154.13	Sale
	5,000	83.15	05/15/13	438.10	...	-354.95	Sale
03/13/15	19,000	315.96	Various	1,194.37	...	-878.41	Total of 5 transactions
GLACIER BANCORP INC NEW / CUSIP: 37637Q105 / Symbol: GBCI							
03/18/15	10,000	256.09	09/09/13	240.53	...	15.56	Sale
GOLDEN AGRI RESOURCES LTD ADR / CUSIP: 380787200 / Symbol: GARY							
01/21/15	3,000	94.67	11/01/12	150.88	...	-56.21	Sale
01/22/15	4,000	124.66	11/01/12	201.18	...	-76.52	Sale
2 transactions for 01/23/15							
	1,000	31.23	11/01/12	50.29	...	-19.06	Sale
	2,000	62.47	11/05/12	101.41	...	-38.94	Sale
01/23/15	3,000	93.70	Various	151.70	...	-58.00	Total of 2 transactions

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GOLDEN AGRI RESOURCES LTD ADR / CUSIP: 380787200 / Symbol: GARYPY (cont'd)								
2 transactions for 01/26/15								
	2,000	63.81	11/05/12	101.40	...	...	-37.59	Sale
	1,000	31.91	11/06/12	50.39	...	...	-18.48	Sale
01/28/15	3,000	95.72	Various	151.78	...	...	-56.07	Total of 2 transactions
2 transactions for 01/27/15								
	1,000	32.31	11/08/12	50.40	...	...	-18.09	Sale
01/28/15	2,000	64.27	11/08/12	100.79	...	...	-36.52	Sale
01/29/15	2,000	62.98	11/08/12	100.79	...	...	-37.81	Sale
2 transactions for 01/30/15								
	1,000	31.49	11/06/12	50.39	...	...	-18.90	Sale
	3,000	94.46	11/07/12	152.22	...	...	-57.76	Sale
01/30/15	4,000	125.95	Various	202.61	...	...	-76.66	Total of 2 transactions
2 transactions for 02/02/15								
	1,000	30.96	11/07/12	50.74	...	...	-19.78	Sale
02/03/15	1,000	30.65	11/07/12	50.73	...	...	-20.08	Sale
02/04/15	3,000	94.26	11/07/12	152.22	...	...	-57.96	Sale
02/05/15	2,000	62.93	02/14/13	104.99	...	...	-42.06	Sale
02/08/15	4,000	127.90	02/14/13	209.99	...	...	-82.09	Sale
2 transactions for 02/25/15								
	4,000	120.49	02/14/13	209.98	...	...	-89.49	Sale
	3,000	90.37	02/22/13	157.68	...	...	-67.31	Sale
02/25/15	7,000	210.86	Various	367.66	...	...	-156.80	Total of 2 transactions
02/28/15	7,000	208.90	02/25/13	367.37	...	...	-158.47	Sale
2 transactions for 03/03/15								
	2,000	60.37	02/25/13	104.96	...	...	-44.59	Sale
	7,000	211.29	02/26/13	361.33	...	...	-150.04	Sale
03/03/15	9,000	271.66	Various	466.29	...	...	-194.63	Total of 2 transactions

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Description of property

Date sold or disposed	Quantity	Shown (Gross (Net) acquired	Proceeds Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional Information
GOLDEN AGRI RESOURCES LTD ADR / CUSIP: 380787200 / Symbol: GARY (cont'd)							
	2 transactions for 03/04/15						
	3,000	92.48	02/26/13	154.86	..	-62.38	Sale
	11,000	339.09	09/06/13	486.75	..	-147.66	Sale
03/04/15	14,000	431.57	Various	641.61	..	-210.04	Total of 2 transactions
	2 transactions for 03/05/15						
	2,000	59.99	09/06/13	88.50	..	-28.51	Sale
	6,000	179.97	09/09/13	266.34	..	-86.37	Sale
03/05/15	8,000	239.96	Various	354.84	..	-114.88	Total of 2 transactions
	10,000	300.56	09/09/13	443.90	..	-143.34	Sale
03/08/15	10,000	284.47	09/09/13	443.90	..	-149.43	Sale
	Security total: 2,998.94						
				4,764.38	..	-1,765.44	
	GOOGLE INC **NAME CHANGE 10/2015** CL A / CUSIP: 38259P508 / Symbol: 08/05/15 3,000 2,026.49 05/16/11						
				785.56	..	1,240.93	Sale
	GOOGLE INC **NAME CHANGE 10/2015** CL C / CUSIP: 38259P706 / Symbol: 05/04/15 0.038 21.40 05/16/11						
				10.00	..	11.40	Sale
08/05/15	2,000	1,290.18	05/16/11	520.67	..	769.51	Sale
	Security total: 1,311.58						
				530.67	..	780.91	
	HFF INC CL A / CUSIP: 40418F108 / Symbol: HF						
	3 transactions for 03/13/15						
	5,000	175.80	09/04/13	116.06	..	59.74	Sale
	17,000	597.70	09/05/13	396.90	..	200.80	Sale
	6,000	210.96	10/02/13	150.67	..	60.29	Sale
03/13/15	28,000	984.46	Various	663.63	..	320.83	Total of 3 transactions

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UBS FINANCIAL SERVICES INC.

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Proceeds Not Reported to the IRS

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LONG TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et	Proceeds Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional Information
HSBC HOLDINGS PLC NEW GB SPON ADR / CUSIP: 404280408 / Symbol: HSBC							
	2 transactions for 03/25/15						
	3,000	130.28	09/10/12	134.92	4.64 W	0.00	Sale
	2,000	86.86	09/14/12	94.81	7.95 W	0.00	Sale
03/25/15	5,000	217.14	Various	229.73	12.59 W	0.00	Total of 2 transactions
05/13/15	26,000	1,255.87	09/14/12	1,232.49	...	23.38	Sale
	6 transactions for 05/15/15						
	3,000	146.20	09/14/12	142.21	...	3.99	Sale
	1,000	48.74	09/18/12	46.59	...	2.15	Sale
	9,000	438.61	09/26/12	417.57	...	21.04	Sale
	3,000	146.20	10/09/12	142.00	...	4.20	Sale
	11,000	536.09	10/16/12	542.11	...	-6.02	Sale
	3,000	146.20	09/09/13	166.08	...	-19.88	Sale
05/15/15	30,000	1,462.04	Various	1,456.58	...	5.48	Total of 6 transactions
05/18/15	1,000	48.55	08/09/13	55.36	...	-6.81	Sale
05/19/15	12,000	573.57	09/09/13	684.32	...	-90.75	Sale
	2 transactions for 05/21/15						
	5,000	240.16	09/09/13	276.80	...	-36.64	Sale
	10,000	480.33	05/08/14	503.70	...	-23.37	Sale
05/21/15	15,000	720.49	Various	780.50	...	-60.01	Total of 2 transactions
	2 transactions for 05/26/15						
	2,000	94.82	03/13/15	90.87	...	3.95	Sale
	3,000	142.23	03/13/15	129.02	...	13.21	Sale
05/26/15	5,000	237.05	Various	219.89	...	17.16	Total of 2 transactions
	Security total:						
		4,514.71		4,638.85	12.59 W	-111.55	

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UBS FINANCIAL SERVICES INC.

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Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et acquired	Proceeds Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional Information
HAEMONETICS CORP MASS COMMON STOCK / CUSIP: 405024100 / Symbol: HAE							
6 transactions for 03/13/15							
	3,000	134.36	03/18/13	123.75	...	10.61	Sale
	5,000	223.93	03/19/13	206.46	...	17.47	Sale
	2,000	89.57	03/20/13	83.07	...	6.50	Sale
	5,000	223.94	04/12/13	204.60	...	19.34	Sale
	5,000	223.93	04/17/13	199.40	...	24.53	Sale
	5,000	223.93	11/19/13	198.65	...	25.28	Sale
03/13/15	25,000	1,119.66	Various	1,015.93	...	103.73	Total of 6 transactions
HIBBETT SPORTS INC / CUSIP: 428567101 / Symbol: HIBB							
4 transactions for 03/13/15							
	9,000	471.14	07/14/09	155.41	...	315.73	Sale
	3,000	157.05	08/27/09	52.89	...	104.16	Sale
	1,000	52.34	08/28/09	17.72	...	34.62	Sale
	3,000	157.05	11/19/13	189.99	...	-32.94	Sale
03/13/15	16,000	837.58	Various	416.01	...	421.57	Total of 4 transactions
HITACHI LTD ADR NEW JAPAN ADR / CUSIP: 433578507 / Symbol: HTHIY							
04/18/15	15,000	1,012.47	04/28/11	800.04	...	212.43	Sale
3 transactions for 04/20/15							
	7,000	467.73	04/28/11	373.36	...	94.37	Sale
	5,000	334.10	05/09/11	277.04	...	57.06	Sale
	4,000	267.27	09/14/12	248.16	...	19.11	Sale
04/20/15	16,000	1,069.10	Various	898.56	...	170.54	Total of 3 transactions

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Proceeds Not Reported to the IRS (continued)

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Report on Form 8949, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et	Proceeds (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional Information
HITACHI LTD ADR NEW JAPAN ADR / CUSIP: 433578507 / Symbol: HTHIY (cont'd)								
	2 transactions for 06/23/15							
	1,000		67.60	09/14/12	62.04	...	5.56	Sale
	15,000		1,014.00	09/09/13	919.20	...	94.80	Sale
06/23/15	16,000		1,081.60	Various	981.24	...	100.36	Total of 2 transactions
	2 transactions for 06/24/15							
	7,000		476.77	09/09/13	428.96	...	47.81	Sale
	2,000		136.22	05/08/14	142.82	...	-6.60	Sale
06/24/15	9,000		612.99	Various	571.78	...	41.21	Total of 2 transactions
06/30/15	7,000		459.92	05/08/14	499.88	...	-39.96	Sale
	Security total:		4,236.08		3,751.50	...	484.58	
HUB GROUP INC CL A / CUSIP: 443320106 / Symbol: HUBG								
	5 transactions for 03/13/15							
	8,000		320.63	01/12/11	297.13	...	23.50	Sale
	7,000		280.56	02/10/12	242.48	...	38.08	Sale
	4,000		160.32	05/23/12	139.16	...	21.16	Sale
	9,000		360.71	01/25/13	333.86	...	26.85	Sale
	3,000		120.24	09/12/13	115.22	...	5.02	Sale
03/13/15	31,000		1,242.46	Various	1,127.85	...	114.61	Total of 5 transactions
ICU MEDICAL INC COMMON STOCK / CUSIP: 44930G107 / Symbol: ICUI								
	2 transactions for 03/13/15							
	2,000		181.20	12/09/08	61.25	...	119.95	Sale
	4,000		362.39	05/11/12	214.86	...	147.53	Sale
03/13/15	6,000		543.59	Various	276.11	...	267.48	Total of 2 transactions

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UBS FINANCIAL SERVICES INC.

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Proceeds Not Reported to the IRS (continued)

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Report on Form 8949, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Shown (Gross (N)et acquired	Proceeds (N)et acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional Information
IPG PHOTONICS CORP / CUSIP: 44980X109 / Symbol: IPGP							
	2 transactions for 03/03/15						
	2,000	192.04	07/23/12	87.70	...	104.34	Sale
	4,000	384.09	03/22/13	259.95	...	124.14	Sale
03/03/15	6,000	576.13	Various	347.65	...	228.48	Total of 2 transactions
4 transactions for 03/13/15							
	2,000	198.76	03/22/13	129.98	...	68.78	Sale
	9,000	894.40	05/09/13	548.81	...	345.59	Sale
	4,000	397.51	08/20/13	221.68	...	175.83	Sale
	6,000	596.27	10/03/13	352.98	...	243.29	Sale
03/13/15	21,000	2,086.94	Various	1,253.45	...	833.49	Total of 4 transactions
	Security total:	2,663.07		1,601.10	...	1,061.97	
IPC HEALTHCARE INC *MERGER EFF: 11/2015* / CUSIP: 44984A105 / Symbol:							
	4 transactions for 03/13/15						
	1,000	45.19	06/24/13	53.29	...	-8.10	Sale
	5,000	225.95	07/10/13	267.78	...	-41.83	Sale
	5,000	225.94	07/11/13	273.50	...	-47.56	Sale
	6,000	271.14	08/02/13	299.84	...	-28.70	Sale
03/13/15	17,000	768.22	Various	894.41	...	-126.19	Total of 4 transactions
IMPERIAL TOBACCO GROUP PLC SPON ADR / CUSIP: 453142101 / Symbol: ITYBY							
	2 transactions for 03/13/15						
	1,000	91.41	05/04/11	71.81	...	19.60	Sale
	5,000	457.07	05/05/11	356.87	...	100.20	Sale
03/13/15	6,000	548.48	Various	428.68	...	119.80	Total of 2 transactions
12/01/15	10,000	1,088.63	05/05/11	713.73	...	375.90	Sale

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UBS FINANCIAL SERVICES INC.

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Description of property

Date sold or disposed	Quantity	Shown (Gross (Net) acquired	Proceeds Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional information
IMPERIAL TOBACCO GROUP PLC SPON ADR / CUSIP: 453142101 / Symbol: ITYBY (cont'd)							
Security total:		1,638.11		1,142.41	..	495.70	
INDEPENDENT BANK CORP MASS COMMON STOCK / CUSIP: 453836108 / Symbol: INDB							
2 transactions for 03/13/15							
6,000		255.17	09/23/08	178.19	..	76.98	Sale
15,000		637.94	11/23/09	321.64	..	316.30	Sale
21,000		893.11	Various	499.83	..	393.28	Total of 2 transactions
03/13/15					..		
INTERDIGITAL INC (PA) / CUSIP: 45887G101 / Symbol: IDCC							
3 transactions for 03/13/15							
14,000		748.85	02/16/11	811.95	..	-63.10	Sale
5,000		267.44	03/23/11	216.24	..	51.20	Sale
5,000		267.45	12/04/13	164.68	..	102.77	Sale
24,000		1,283.74	Various	1,192.87	..	90.87	Total of 3 transactions
03/13/15					..		
ISHARES TRUST CORE S&P 500 ETF / CUSIP: 464287200 / Symbol: IVV							
40,000		8,373.05	03/06/08	5,285.40	..	3,077.65	Sale
5,000		1,052.63	03/06/08	661.92	..	390.71	Sale
3,000		642.11	03/06/08	397.16	..	244.95	Sale
14,000		2,960.39	03/06/08	1,853.39	..	1,107.00	Sale
14,000		2,938.41	03/06/08	1,853.39	..	1,085.02	Sale
85,000		17,647.39	03/06/08	11,252.72	..	6,394.67	Sale
Security total:		33,613.98		21,313.98	..	12,300.00	
ISHARES RUSSELL 2000 ETF / CUSIP: 464287655 / Symbol: IWM							
8,000		949.70	03/06/08	537.76	..	411.94	Sale
2,000		246.45	03/06/08	134.44	..	112.01	Sale
5,000		627.64	03/06/08	336.10	..	291.54	Sale
02/05/15					..		
03/17/15					..		
03/25/15					..		

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UBS FINANCIAL SERVICES INC.

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Proceeds Not Reported to the IRS

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LONG TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et	Proceeds Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional Information
ISHARES RUSSELL 2000 ETF / CUSIP: 464287655 / Symbol: IWM (cont'd)							
06/25/15	4,000	512.47	03/06/08	268.88	...	243.59	Sale
Security total:		2,336.26		1,277.18	...	1,059.08	
ISHARES RUSSELL 3000 ETF / CUSIP: 464287689 / Symbol: IWW							
03/17/15	8,000	995.42	03/06/08	610.71	...	384.71	Sale
03/25/15	9,000	1,127.88	03/06/08	687.04	...	440.84	Sale
09/28/15	15,000	1,686.42	03/06/08	1,145.07	...	541.35	Sale
12/18/15	90,000	10,822.39	03/06/08	6,870.43	...	3,951.96	Sale
12/23/15	16,000	1,949.88	03/06/08	1,221.41	...	728.47	Sale
Security total:		16,581.79		10,534.66	...	6,047.13	
ISUZU MOTOR CO LTD ADR / CUSIP: 465254209 / Symbol: ISUZU							
03/13/15	80,000	1,167.58	08/29/13	965.48	...	202.10	Sale
JPMORGAN CHASE & CO / CUSIP: 48625H100 / Symbol: JPM							
10/29/15	10,000	653.09	04/22/13	470.34	...	182.75	Sale
JACOBS ENGINEERING GROUP INC COMMON STOCK / CUSIP: 469814107 / Symbol: JEC							
03/09/15	5,000	216.09	09/09/13	292.55	...	-76.46	Sale
03/18/15	5,000	216.84	09/09/13	292.55	...	-75.71	Sale
4 transactions for 07/14/15							
	30,000	1,240.05	09/09/13	1,755.30	...	-515.25	Sale
	10,000	413.34	05/19/14	532.73	...	-119.39	Sale
	15,000	620.03	06/23/14	827.31	...	-207.28	Sale
	10,000	413.34	07/08/14	534.16	...	-120.82	Sale
07/14/15	65,000	2,686.76	Various	3,649.50	...	-962.74	Total of 4 transactions
Security total:		3,119.89		4,234.60	...	-1,114.91	
KEYSIGHT TECHNOLOGIES INC COM / CUSIP: 49338L103 / Symbol: KEYS							
03/31/15	13,000	480.95	02/19/09	112.74	...	368.21	Sale

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Date sold or disposed	Quantity	Shown (G)ross (N)et	Proceeds Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional Information
KOC HOLDINGS ADR / CUSIP: 49989A109 / Symbol: KHOLY							
3 transactions for 11/18/15							
	11,650		260.81 03/14/11	254.32	...	6.49	Sale
	16,800		376.11 03/15/11	359.13	...	16.98	Sale
	5,550		124.25 03/16/11	120.90	...	3.35	Sale
11/18/15	34,000		761.17 Various	734.35	...	26.82	Total of 3 transactions
3 transactions for 11/19/15							
	6,000		135.05 03/16/11	130.71	...	4.34	Sale
	21,000		472.69 12/21/11	307.00	...	165.69	Sale
	7,000		157.56 09/06/13	137.83	...	19.73	Sale
11/19/15	34,000		765.30 Various	575.54	...	189.76	Total of 3 transactions
2 transactions for 11/20/15							
	11,000		247.77 09/06/13	216.59	...	31.18	Sale
	23,000		518.06 09/09/13	475.41	...	42.65	Sale
11/20/15	34,000		765.83 Various	692.00	...	73.83	Total of 2 transactions
11/23/15	1,000		22.44 09/09/13	20.67	...	1.77	Sale
Security total:				2,022.56	...	292.18	
KOMATSU LTD NEW SPON ADR / CUSIP: 500458401 / Symbol: KMTUY							
08/14/15	38,000		696.12 01/12/12	932.89	...	-236.77	Sale
3 transactions for 08/18/15							
	15,000		271.47 01/12/12	368.25	...	-96.78	Sale
	16,000		289.56 09/14/12	351.20	...	-61.64	Sale
	1,000		18.10 09/09/13	23.17	...	-5.07	Sale
08/18/15	32,000		579.13 Various	742.62	...	-163.49	Total of 3 transactions
08/20/15	22,000		387.12 09/09/13	509.67	...	-122.55	Sale
Security total:				2,185.18	...	-522.81	

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UBS FINANCIAL SERVICES INC.

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LABORATORY CORP AMER HLDGS NEW / CUSIP: 50540R409 / Symbol: LH							
03/08/15	2,000	242.29	08/07/13	199.45	...	42.84	Sale
	4 transactions for 08/05/15						
	3,000	382.34	06/07/13	299.17	...	83.17	Sale
	10,000	1,274.48	07/25/13	977.90	...	296.58	Sale
	10,000	1,274.47	08/07/13	970.18	...	304.29	Sale
	1,000	127.45	09/09/13	98.45	...	29.00	Sale
08/05/15	24,000	3,058.74	Various	2,345.70	...	713.04	Total of 4 transactions
	Security total:			2,545.15	...	755.88	
LAS VEGAS SANDS CORP / CUSIP: 517834107 / Symbol: LVS							
10/29/15	21,000	1,025.62	01/23/14	1,647.82	...	-622.20	Sale
LIBERTY INTERACTIVE CORP QVC GROUP COM SER A / CUSIP: 53071M104 / Symbol: QVCA							
12/18/15	11,000	289.40	01/24/14	259.64	...	29.76	Sale
LIBERTY VENTURES SER A / CUSIP: 53071M880 / Symbol: LVNTA							
03/31/15	12,000	502.44	01/24/14	348.05	...	154.39	Sale
LINDSAY CORPORATION / CUSIP: 535555106 / Symbol: LNN							
	2 transactions for 03/13/15						
	10,000	799.28	05/15/08	1,067.00	...	-267.72	Sale
	3,000	239.79	10/17/11	169.28	...	70.51	Sale
03/13/15	13,000	1,039.07	Various	1,236.28	...	-197.21	Total of 2 transactions
LOEWS CORP COMMON STOCK / CUSIP: 540424108 / Symbol: L							
08/05/15	13,000	490.35	03/08/08	537.39	...	-47.04	Sale
12/18/15	12,000	436.31	03/08/08	496.06	...	-59.75	Sale
	Security total:			1,033.45	...	-106.79	

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M & T BANK CORP / CUSIP: 55261F104 / Symbol: MTB							
03/09/15	3,000	373.58	09/09/13	339.03	...	34.55	Sale
MAGNA INTL INC CL A SUB VTG CANADA ORD FOREIGN STOCK CAD / CUSIP: 559222401 / Symbol: MGA							
	2 transactions for 03/13/15						
	3,000	309.12	01/11/12	116.09	...	193.03	Sale
	3,000	309.11	01/12/12	118.41	...	190.70	Sale
03/13/15	6,000	618.23	Various	234.50	...	383.73	Total of 2 transactions
MANULIFE FINANCIAL CORP FOREIGN STOCK CAD / CUSIP: 56501R106 / Symbol: MFC							
	2 transactions for 12/01/15						
	12,000	201.42	06/30/11	206.32	...	-4.90	Sale
	12,000	201.41	12/21/11	120.71	...	80.70	Sale
12/01/15	24,000	402.83	Various	327.03	...	75.80	Total of 2 transactions
MARKEL CORP (HOLDING CO) / CUSIP: 57053S104 / Symbol: MKL							
03/09/15	1,000	748.46	08/09/13	518.95	...	229.51	Sale
12/15/15	3,000	2,665.11	09/09/13	1,556.85	...	1,108.26	Sale
	Security total:	3,413.57		2,075.80	...	1,337.77	
MARKETAXESS HOLDINGS INC / CUSIP: 57060D108 / Symbol: MKTX							
	2 transactions for 03/11/15						
	4,000	341.70	06/28/11	96.52	...	245.18	Sale
	1,000	85.43	11/23/11	25.74	...	59.69	Sale
03/11/15	5,000	427.13	Various	122.26	...	304.87	Total of 2 transactions
03/12/15	2,000	172.91	11/28/11	53.13	...	119.78	Sale
	4 transactions for 03/13/15						
	1,000	85.24	11/28/11	26.57	...	58.67	Sale
	5,000	426.19	09/08/12	149.62	...	276.57	Sale

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UBS FINANCIAL SERVICES INC.

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Proceeds Not Reported to the IRS (continued)

LONG TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Proceeds (G)ross (N)et acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional information
MARKETAXESS HOLDINGS INC / CUSIP: 57060D108 / Symbol: MKTX (cont'd)						
	9,000	767.15	272.43	..	494.72	Sale
	6,000	511.43	180.38	..	331.05	Sale
03/13/15	21,000	1,790.01	629.00	..	1,161.01	Total of 4 transactions
Security total:						
		2,390.05	804.39	..	1,585.66	
MARKS & SPENCER GROUP INC SPON ADR / CUSIP: 570912105 / Symbol: MAKSY						
03/13/15	31,000	452.13	323.95	..	128.18	Sale
03/25/15	24,000	385.19	250.80	..	134.39	Sale
Security total:						
		837.32	574.75	..	262.57	
METHANEX CORP FOREIGN STOCK / CUSIP: 59151K108 / Symbol: MEOH						
08/13/15	1,000	44.22	60.89	..	-16.67	Sale
2 transactions for 08/21/15						
	4,000	158.63	243.55	..	-84.92	Sale
	4,000	158.64	243.92	..	-85.28	Sale
08/21/15	8,000	317.27	487.47	..	-170.20	Total of 2 transactions
Security total:						
		361.49	548.36	..	-186.87	
MICROSOFT CORP / CUSIP: 594918104 / Symbol: MSFT						
08/05/15	9,000	429.07	284.85	..	144.22	Sale
12/18/15	13,000	714.48	411.45	..	303.03	Sale
Security total:						
		1,143.55	696.30	..	447.25	
HERMAN MILLER INC COMMON STOCK / CUSIP: 600544100 / Symbol: MLHR						
3 transactions for 03/13/15						
	12,000	340.31	295.08	..	45.23	Sale
	1,000	28.36	11.67	..	16.69	Sale

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UBS FINANCIAL SERVICES INC.

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Proceeds Not Reported to the IRS

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Description of property

Date sold or disposed	Quantity	Shown	Proceeds (Net) acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional Information
HERMAN MILLER INC COMMON STOCK / CUSIP: 600544100 / Symbol: MLHR (cont'd)							
	1,000	28.36	08/19/12	16.70	..	11.66	Sale
03/13/15	14,000	397.03	Various	323.45	..	73.58	Total of 3 transactions
MINERALS TECHNOLOGIES INC COMMON STOCK / CUSIP: 603158106 / Symbol: MTX							
01/05/15	5,000	326.77	05/15/08	174.75	..	152.02	Sale
4 transactions for 03/13/15							
	8,000	562.08	05/15/08	279.60	..	282.48	Sale
	4,000	281.05	01/04/11	130.26	..	150.79	Sale
	2,000	140.52	06/13/13	86.12	..	54.40	Sale
	5,000	351.30	08/01/13	235.03	..	116.27	Sale
03/13/15	19,000	1,334.95	Various	731.01	..	603.94	Total of 4 transactions
Security total:							
		1,661.72		905.76	..	755.96	
MITSUI & CO LTD ADR JAPAN ADR / CUSIP: 606827202 / Symbol: MITSY							
12/21/15	8,000	1,870.53	08/23/10	2,073.40	..	-202.87	Sale
MIZUHO FINANCIAL GROUP INC SPON ADR / CUSIP: 60687Y109 / Symbol: MFG							
06/23/15	10,000	43.87	05/10/11	33.51	..	10.36	Sale
06/29/15	19,000	81.82	05/10/11	63.67	..	18.15	Sale
2 transactions for 07/01/15							
	327,000	1,402.57	05/10/11	1,095.78	..	306.79	Sale
	157,000	673.41	05/11/11	531.45	..	141.96	Sale
07/01/15	484,000	2,075.98	Various	1,627.23	..	448.75	Total of 2 transactions
07/09/15	15,000	62.10	05/11/11	50.77	..	11.33	Sale
07/13/15	9,000	38.51	05/11/11	30.47	..	8.04	Sale
07/14/15	10,000	42.89	05/11/11	33.85	..	9.04	Sale
07/15/15	50,000	214.12	05/11/11	169.25	..	44.87	Sale
07/17/15	31,000	134.28	05/11/11	104.93	..	29.35	Sale
07/20/15	28,000	121.25	05/11/11	94.78	..	26.47	Sale

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UBS FINANCIAL SERVICES INC.

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Proceeds Not Reported to the IRS

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LONG TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Shown (Gross (N)et acquired	Proceeds Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional Information
MIZUHO FINANCIAL GROUP INC SPON ADR / CUSIP: 60887Y109 / Symbol: MFG (cont'd)							
07/22/15	22,000	93.96	05/11/11	74.47	...	19.49	Sale
07/23/15	5,000	21.47	05/11/11	18.93	...	4.54	Sale
08/14/15	5,000	20.59	05/11/11	18.92	...	3.67	Sale
08/28/15	31,000	121.22	05/11/11	104.94	...	16.28	Sale
	2 transactions for 08/27/15						
	7,000	28.39	05/11/11	23.69	...	4.70	Sale
	35,000	141.92	12/21/11	90.94	...	50.98	Sale
08/27/15	42,000	170.31	Various	114.63	...	55.68	Total of 2 transactions
	2 transactions for 08/28/15						
	21,000	86.33	12/21/11	54.57	...	31.76	Sale
	31,000	127.44	09/09/13	131.73	...	-4.29	Sale
08/28/15	52,000	213.77	Various	186.30	...	27.47	Total of 2 transactions
	2 transactions for 08/28/15						
	72,000	293.30	09/09/13	305.97	...	-12.67	Sale
09/02/15	21,000	83.42	09/09/13	89.24	...	-5.82	Sale
09/04/15	2,000	7.67	09/09/13	8.50	...	-0.83	Sale
09/09/15	36,000	143.28	09/09/13	152.98	...	-9.70	Sale
09/14/15	27,000	105.93	09/09/13	114.74	...	-8.81	Sale
09/15/15	11,000	43.40	09/09/13	46.74	...	-3.34	Sale
09/16/15	33,000	131.70	09/09/13	140.24	...	-8.54	Sale
09/25/15	2,000	7.75	09/09/13	8.50	...	-0.75	Sale
	2 transactions for 09/30/15						
	11,000	40.34	09/09/13	46.74	...	-6.40	Sale
	126,000	462.05	05/08/14	492.04	...	-29.99	Sale
09/30/15	137,000	502.39	Various	538.78	...	-36.39	Total of 2 transactions
	Security total:	4,774.98		4,128.34	...	646.64	

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UBS FINANCIAL SERVICES INC.

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Proceeds Not Reported to the IRS (continued)

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LONG TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et acquired	Proceeds Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional Information
NATURAL GAS SERVICES GROUP INC / CUSIP: 63888Q109 / Symbol: NGS							
5 transactions for 03/13/15							
03/13/15	5,000	91.00	02/11/11	90.00	...	1.00	Sale
	10,000	181.99	02/28/11	191.00	...	-9.01	Sale
	6,000	109.20	03/01/11	113.36	...	-4.16	Sale
	1,000	18.20	06/19/12	13.74	...	4.46	Sale
	1,000	18.20	11/26/12	15.00	...	3.20	Sale
	23,000	418.59	Various	423.10	...	-4.51	Total of 5 transactions
NEW JERSEY RESOURCES CRP / CUSIP: 646025106 / Symbol: NJR							
03/13/15	26,000	778.43	05/15/08	438.49	...	339.94	Sale
LUKOIL OIL CO **NAME CHANGE EFF: 10/2015** SPON ADR / CUSIP: 677862104 / Symbol:							
2 transactions for 03/25/15							
03/25/15	2,000	93.63	11/27/13	125.16	31.53 W	0.00	Sale
	7,000	327.71	12/02/13	430.48	102.77 W	0.00	Sale
	9,000	421.34	Various	555.64	134.30 W	0.00	Total of 2 transactions
OMNICOM GROUP INC / CUSIP: 681919106 / Symbol: OMC							
03/09/15	3,000	232.37	09/09/13	190.31	...	42.06	Sale
ORACLE CORP / CUSIP: 68389X105 / Symbol: ORCL							
12/18/15	15,000	550.19	06/28/12	418.07	...	132.12	Sale
ORANGE SPON ADR / CUSIP: 684060108 / Symbol: ORAN							
01/02/15	3,000	50.40	02/06/12	45.64	...	4.76	Sale
3 transactions for 01/06/15							
	3,000	47.68	02/06/12	45.63	...	2.05	Sale
	10,000	158.94	02/07/12	154.90	...	4.04	Sale
	5,000	79.47	12/04/12	53.68	...	25.79	Sale
01/06/15	18,000	286.09	Various	254.21	...	31.88	Total of 3 transactions

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Proceeds Not Reported to the IRS (continued)

LONG TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et	Proceeds (N)et acquired	Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional Information
ORANGE SPON ADR / CUSIP: 684060106 / Symbol: ORAN (cont'd)								
01/08/15	42.000	696.53	12/04/12	450.95	...	245.58	Sale	
01/09/15	7.000	115.55	12/04/12	75.16	...	40.39	Sale	
2 transactions for 01/12/15								
	10.000	168.84	12/04/12	107.37	...	61.47	Sale	
	28.000	472.75	09/09/13	311.96	...	160.79	Sale	
01/12/15	38.000	641.59	Various	419.33	...	222.26	Total of 2 transactions	
01/13/15	14.000	238.51	09/09/13	155.98	...	82.53	Sale	
Security total:					1,401.27	...	627.40	
PERSIMMON ADR / CUSIP: 715318101 / Symbol: PSMY								
4 transactions for 12/01/15								
	5.000	290.04	05/06/14	220.48	...	69.56	Sale	
	3.000	174.03	05/07/14	130.39	...	43.64	Sale	
	8.000	464.07	05/08/14	350.54	...	113.53	Sale	
	2.000	116.02	05/15/14	84.72	...	31.30	Sale	
12/01/15	18.000	1,044.16	Various	786.13	...	258.03	Total of 4 transactions	
PIMCO TOTAL RETURN FUND CLASS P / CUSIP: 72201M552 / Symbol:								
02/05/15	685.073	7,216.04	03/08/08	7,150.52	...	65.52	Sale	
09/11/15	563.509	5,928.11	03/08/08	6,058.55	...	-130.44	Sale	
09/22/15	498.449	5,243.68	03/08/08	5,359.07	...	-115.39	Sale	
Security total:					18,568.14	...	-180.31	
PIMCO COMMODITYREALRETURN STRATEGY FUND CLASS P / CUSIP: 72201M842 / Symbol:								
02/12/15	323.198	1,435.00	01/22/09	1,983.83	...	-548.83	Sale	
10/26/15	164.079	1,176.45	01/22/09	2,014.27	...	-837.82	Sale	
Security total:					3,998.10	...	-1,386.65	

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UBS FINANCIAL SERVICES INC.

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Proceeds Not Reported to the IRS (continued)

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LONG TERM TRANSACTIONS

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Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et acquired	Proceeds Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional information
PLANTRONICS INC NEW COMMON STOCK / CUSIP: 727493108 / Symbol: PLT							
03/13/15	30.000	1,611.57	05/15/08	747.00	...	864.57	Sale
POLYCOM INC / CUSIP: 73172K104 / Symbol: PLCM							
5 transactions for 03/13/15							
7.000		91.77	06/15/12	73.41	...	18.36	Sale
25.000		327.75	06/18/12	261.65	...	66.10	Sale
1.000		13.11	06/19/12	10.72	...	2.39	Sale
5.000		65.54	06/19/12	53.71	...	11.83	Sale
31.000		406.41	11/05/12	325.23	...	81.18	Sale
03/13/15	69.000	904.58	Various	724.72	...	179.86	Total of 5 transactions
POLYPORE INTL INC **MERGER EFF: 08/2015** / CUSIP: 73179V103 / Symbol:							
02/27/15	6.000	356.06	04/30/13	251.44	...	104.62	Sale
3 transactions for 03/04/15							
2.000		118.14	04/30/13	83.81	...	34.33	Sale
6.000		354.40	05/21/13	249.11	...	105.29	Sale
5.000		295.34	08/14/13	218.10	...	77.24	Sale
03/04/15	13.000	767.88	Various	551.02	...	216.86	Total of 3 transactions
Security total:		1,123.94		802.46	...	321.48	
POWER INTEGRATIONS INC / CUSIP: 739276103 / Symbol: POWI							
01/05/15	4.000	206.67	05/15/08	133.26	...	73.41	Sale
03/13/15	18.000	991.78	05/15/08	599.64	...	392.14	Sale
Security total:		1,198.45		732.90	...	465.55	
PRAXAIR INC COMMON STOCK / CUSIP: 74005P104 / Symbol: PX							
10/29/15	6.000	683.87	09/18/14	788.14	...	-104.27	Sale
PRICELINE GROUP INC NEW / CUSIP: 741503403 / Symbol: PCLN							
12/18/15	1.000	1,289.21	01/29/14	1,137.32	...	151.89	Sale

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LONG TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked.

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QUAKER CHEMICAL CORP COMMON STOCK / CUSIP: 747316107 / Symbol: KWR							
03/13/15	17.000	1,405.70	12/12/08	198.18	...	1,207.52	Sale
QUEST DIAGNOSTICS INC / CUSIP: 74834L100 / Symbol: DGX							
10/29/15	10.000	670.49	05/08/14	574.84	...	95.65	Sale
RIVERBED TECHNOLOGY INC **MERGER EFF: 04/2015** / CUSIP: 768573107 / Symbol:							
2 transactions for 01/07/15							
01/07/15	5.000	101.85	02/12/13	80.59	...	21.26	Sale
	10.000	203.71	02/15/13	158.96	...	44.75	Sale
	15.000	305.56	Various	239.55	...	66.01	Total of 2 transactions
2 transactions for 01/08/15							
01/08/15	7.000	143.08	02/15/13	111.28	...	31.80	Sale
	2.000	40.88	05/21/13	32.12	...	8.76	Sale
	9.000	183.96	Various	143.40	...	40.56	Total of 2 transactions
4 transactions for 01/15/15							
01/15/15	4.000	82.21	05/21/13	64.25	...	17.96	Sale
	5.000	102.75	06/18/13	82.12	...	20.63	Sale
	4.000	82.21	06/19/13	66.55	...	15.66	Sale
	11.000	226.06	07/29/13	189.50	...	36.56	Sale
	24.000	493.23	Various	402.42	...	90.81	Total of 4 transactions
Security total:							
		982.75		785.37	...	197.38	
ROSS STORES INC COMMON STOCK / CUSIP: 778296103 / Symbol: ROST							
03/09/15	5.000	525.89	09/09/13	345.71	...	180.18	Sale
03/18/15	5.000	523.85	09/09/13	345.71	...	178.14	Sale
Security total:							
		1,049.74		691.42	...	358.32	

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ROYAL DUTCH SHELL PLC CL A SPON ADR / CUSIP: 780259208 / Symbol: RDSA							
03/25/15	9,000	563.20	06/23/10	485.99	...	77.21	Sale
SAGE GROUP PLC UN SPONSORED ADR / CUSIP: 78663S201 / Symbol:							
01/20/15	6,000	171.24	07/22/10	95.52	...	75.72	Sale
01/21/15	6,000	171.03	07/22/10	95.52	...	75.51	Sale
01/22/15	9,000	259.85	07/22/10	143.28	...	116.57	Sale
	2 transactions for 01/23/15						
	1,482	42.39	07/22/10	23.58	...	18.81	Sale
	19,519	558.42	07/23/10	316.08	...	242.34	Sale
01/23/15	21,000	600.81	Various	339.86	...	261.15	Total of 2 transactions
	2 transactions for 01/26/15						
	9,000	258.54	07/23/10	145.75	...	112.79	Sale
01/26/15	7,000	201.09	09/09/13	152.11	...	48.98	Sale
	16,000	459.63	Various	297.86	...	161.77	Total of 2 transactions
01/27/15	8,000	234.72	09/09/13	173.84	...	60.88	Sale
01/28/15	7,000	202.82	09/09/13	152.11	...	50.71	Sale
01/29/15	8,000	231.49	09/09/13	173.84	...	57.65	Sale
01/30/15	1,000	28.81	09/09/13	21.73	...	7.08	Sale
	Security total:	2,360.40		1,493.36	...	867.04	
SALLY BEAUTY CO INC / CUSIP: 79546E104 / Symbol: SBH							
03/18/15	10,000	340.79	10/29/13	262.13	...	78.66	Sale
SASOL LTD SPON ADR / CUSIP: 803866300 / Symbol: SSL							
03/25/15	24,000	849.12	06/23/10	909.72	...	-60.60	Sale
08/29/15	8,000	216.57	06/23/10	303.24	...	-88.67	Sale
09/30/15	2,000	55.63	06/23/10	75.81	...	-20.18	Sale
10/01/15	4,000	115.71	06/23/10	151.62	...	-35.91	Sale
10/02/15	8,000	230.86	06/23/10	303.24	...	-72.38	Sale

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LONG TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Shown (G) / Gross (N) / net acquired	Proceeds (G) / Gross (N) / net acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional Information
SASOL LTD SPON ADR / CUSIP: 803866300 / Symbol: SSL (cont'd)							
10/05/15	6,000		185.00	227.43	...	-42.43	Sale
10/06/15	6,000		188.59	227.43	...	-38.84	Sale
10/07/15	7,000		224.07	265.34	...	-41.27	Sale
10/08/15	5,000		164.12	189.52	...	-25.40	Sale
	2 transactions for 10/09/15						
	2,000		68.26	75.81	...	-7.55	Sale
	5,000		170.64	239.97	...	-69.33	Sale
10/09/15	7,000		238.90	315.78	...	-76.88	Total of 2 transactions
10/12/15	3,000		99.98	143.98	...	-44.00	Sale
	2 transactions for 10/13/15						
	2,000		65.61	95.99	...	-30.38	Sale
	1,000		32.80	43.93	...	-11.13	Sale
10/13/15	3,000		98.41	139.92	...	-41.51	Total of 2 transactions
10/14/15	3,000		97.89	131.77	...	-33.88	Sale
10/15/15	4,000		129.64	195.84	...	-66.20	Sale
10/16/15	5,000		164.85	244.80	...	-79.95	Sale
	Security total:						
			3,059.34	3,825.44	...	-766.10	
SCHWAB CHARLES CORP NEW COMMON STOCK / CUSIP: 808513105 / Symbol: SCHW							
08/05/15	33,000		1,166.53	791.85	...	374.68	Sale
12/18/15	12,000		387.35	287.87	...	99.48	Sale
	Security total:						
			1,553.88	1,079.52	...	474.36	
A&Q LONG/SHORT STRATEGIES FUND LLC AS OF NOVEMBER 30, 2015 / CUSIP: 813992914 / Symbol:							
04/24/15	17,998,000		17,996.00	14,507.21	0.00	3,488.79	Sale
							Note: 25

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UBS FINANCIAL SERVICES INC.

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Proceeds Not Reported to the IRS

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LONG TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et	Proceeds Data acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional information
SIEMENS A G SPON ADR / CUSIP: 828197501 / Symbol: SIEGY							
08/23/15	7.000	759.28	07/30/12	567.77	...	191.51	Sale
08/25/15	10.000	1,063.69	07/30/12	811.09	...	252.60	Sale
08/17/15	7.000	735.24	07/30/12	567.77	...	167.47	Sale
2 transactions for 08/18/15							
	1.000	104.26	07/30/12	81.11	...	23.15	Sale
	6.000	625.58	09/09/13	690.72	...	-65.14	Sale
08/18/15	7.000	729.84	Various	771.83	...	-41.99	Total of 2 transactions
08/19/15	2.000	204.38	09/09/13	230.24	...	-25.86	Sale
		Security total:		2,948.70	...	543.73	
SKY PLC SPON ADR / CUSIP: 83084V106 / Symbol: SKYAY							
3 transactions for 12/01/15							
	6.000	407.96	08/22/14	345.46	...	62.50	Sale
	3.000	203.98	08/26/14	174.68	...	29.30	Sale
	2.000	135.99	08/27/14	117.16	...	18.83	Sale
12/01/15	11.000	747.93	Various	637.30	...	110.63	Total of 3 transactions
SOLARWINDS INC / CUSIP: 83416B109 / Symbol: SWI							
3 transactions for 03/13/15							
	3.000	143.58	12/13/13	105.88	...	37.70	Sale
	10.000	478.59	12/16/13	349.48	...	129.11	Sale
	6.000	287.15	01/08/14	234.81	...	52.34	Sale
03/13/15	19.000	909.32	Various	690.17	...	219.15	Total of 3 transactions
STATOIL ASA ADR SPON ADR / CUSIP: 85771P102 / Symbol: STO							
2 transactions for 03/23/15							
	42.000	752.78	06/23/10	867.66	...	-114.88	Sale

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UBS FINANCIAL SERVICES INC.

Account: CP 91116

Proceeds Not Reported to the IRS

(continued)

2015

03/22/2016

LONG TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et	Proceeds Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional Information
STATOIL ASA ADR SPON ADR / CUSIP: 85771P102 / Symbol: STO (cont'd)							
	10,000	179.23	08/18/10	203.80	...	-24.57	Sale
03/23/15	52,000	932.01	Various	1,071.46	...	-139.45	Total of 2 transactions
3 transactions for 03/23/15							
	11,000	197.16	09/14/12	297.85	100.69 W	0.00	Sale
	29,000	519.78	09/06/13	650.18	130.40 W	0.00	Sale
	52,000	932.01	09/09/13	1,166.73	234.72 W	0.00	Sale
03/23/15	92,000	1,848.95	Various	2,114.76	465.81 W	0.00	Total of 3 transactions
2 transactions for 05/20/15							
	11,000	215.98	03/13/15	281.60	...	-65.62	Sale
	27,000	530.14	03/13/15	565.48	...	-35.34	Sale
05/20/15	38,000	746.12	Various	847.08	...	-100.96	Total of 2 transactions
2 transactions for 05/26/15							
	2,000	37.67	03/13/15	41.88	...	-4.21	Sale
	12,000	226.03	03/13/15	251.53	...	-25.50	Sale
05/26/15	14,000	263.70	Various	293.41	...	-29.71	Total of 2 transactions
	32,000	600.74	03/13/15	670.74	...	-70.00	Sale
05/27/15	8,000	148.13	03/13/15	167.69	...	-19.58	Sale
05/28/15						-359.68	
Security total:				5,165.14	465.81 W		
				234.84	...	319.86	Sale
SVENSKA CELLULOZA AB (SCA) SPON ADR / CUSIP: 869587402 / Symbol: SVCBY							
12/01/15	19,000	554.70	06/23/10				
SYNTEL INC / CUSIP: 87162H103 / Symbol: SYNT							
3 transactions for 03/13/15							
	10,000	504.69	09/18/12	300.89	...	203.80	Sale
	8,000	403.75	11/13/12	234.69	...	169.06	Sale

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UBS FINANCIAL SERVICES INC.

Account CP 91116

Proceeds Not Reported to the IRS

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2015

LONG TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et	Proceeds Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional Information
SYNTEL INC / CUSIP: 87162H103 / Symbol: SYNT (cont'd)							
	10,000		504.69 06/18/13	326.00	...	178.69	Sale
03/13/15	28,000		1,413.13 Various	861.58	...	551.55	Total of 3 transactions
SYSCO CORP COMMON STOCK / CUSIP: 871829107 / Symbol: SYT							
03/25/15	62,000		2,382.10 02/07/14	2,200.94	...	181.16	Sale
TAIWAN SEMICONDUCTOR MFG CO LTD ADR / CUSIP: 874039100 / Symbol: TSM							
03/13/15	39,000		931.10 11/10/10	435.41	...	495.69	Sale
12/01/15	25,000		580.09 11/10/10	279.11	...	300.98	Sale
	Security total:		1,511.19	714.52	...	796.67	
RTS TATA MOTORS LTD EXP 04/27/15 / CUSIP: 876568122 / Symbol:							
2 transactions for 04/27/15							
	0.884		5.95 08/24/11	...	...	5.95	Sale
	1.116		7.51 09/09/13	...	...	7.51	Sale
04/27/15	2,000		13.46 Various	...	...	13.46	Total of 2 transactions
TATA MOTORS LTD SPON ADR / CUSIP: 876568502 / Symbol: TTM							
2 transactions for 03/13/15							
	3,000		140.14 08/23/11	47.95	...	92.19	Sale
	11,000		513.86 08/24/11	170.31	...	343.55	Sale
03/13/15	14,000		654.00 Various	218.26	...	435.74	Total of 2 transactions
08/12/15	3,000		81.13 08/24/11	46.45	...	34.68	Sale
08/13/15	1,000		27.00 08/24/11	15.48	...	11.52	Sale
2 transactions for 08/19/15							
	15,000		397.85 08/24/11	232.23	...	165.62	Sale
	24,000		636.55 09/09/13	596.56	...	39.99	Sale
08/19/15	39,000		1,034.40 Various	828.79	...	205.61	Total of 2 transactions

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UBS FINANCIAL SERVICES INC.

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Proceeds Not Reported to the IRS (continued)

LONG TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et acquired	Proceeds Data	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional Information
TATA MOTORS LTD SPON ADR / CUSIP: 876568502 / Symbol: TTM (cont'd)							
Security total:		1,796.53		1,108.98	...	687.55	
TEAM INC COMMON STOCK / CUSIP: 878155100 / Symbol: TISI							
3 transactions for 03/13/15							
1,000		36.57	01/03/11	24.71	...	11.86	Sale
10,000		365.69	01/04/11	245.42	...	120.27	Sale
14,000		511.97	01/11/11	367.38	...	144.59	Sale
25,000		914.23	Various	637.51	...	276.72	Total of 3 transactions
03/13/15							
TECHNIP SA NEW SPON ADR / CUSIP: 878546209 / Symbol: TKPPY							
3 transactions for 12/21/15							
16,000		195.13	09/30/14	337.76	...	-142.63	Sale
32,000		390.26	10/01/14	660.64	...	-270.38	Sale
10,000		121.96	10/02/14	206.81	...	-84.85	Sale
58,000		707.35	Various	1,205.01	...	-497.66	Total of 3 transactions
12/21/15							
3 transactions for 12/22/15							
29,000		355.86	10/02/14	599.18	...	-243.32	Sale
10,000		122.71	10/03/14	202.44	...	-79.73	Sale
8,000		98.17	10/08/14	160.22	...	-62.05	Sale
47,000		576.74	Various	961.84	...	-385.10	Total of 3 transactions
12/22/15							
Security total:							
		1,284.09		2,166.85	...	-882.76	
TELENOR ASA ADR / CUSIP: 87944W105 / Symbol: TELNY							
2 transactions for 05/19/15							
7,000		499.86	05/06/13	476.92	...	22.94	Sale

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UBS FINANCIAL SERVICES INC.

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Proceeds Not Reported to the IRS (continued)

LONG TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et acquired	Proceeds Data	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional Information
TELENOR ASA ADR / CUSIP: 87944W105 / Symbol: TELNY (cont'd)							
05/19/15	3,000	214.22	05/07/13	201.00	...	13.22	Sale
	10,000	714.08	Various	677.92	...	36.16	Total of 2 transactions
2 transactions for 05/21/15							
	4,000	278.21	05/07/13	268.00	...	10.21	Sale
05/21/15	2,000	139.10	05/08/13	137.86	...	1.24	Sale
	6,000	417.31	Various	405.86	...	11.45	Total of 2 transactions
05/22/15	3,000	207.62	05/08/13	206.78	...	0.84	Sale
2 transactions for 05/26/15							
	3,000	202.74	05/08/13	206.78	...	-4.04	Sale
05/26/15	2,000	135.16	09/09/13	128.96	...	6.20	Sale
	5,000	337.90	Various	335.74	...	2.16	Total of 2 transactions
05/27/15	6,000	405.95	09/09/13	386.88	...	19.07	Sale
05/28/15	3,000	201.08	09/09/13	193.44	...	7.64	Sale
		Security total:		2,206.62	...	77.32	
TENNANT CO COMMON STOCK / CUSIP: 880345103 / Symbol: TNC							
3 transactions for 03/13/15							
	10,000	651.09	08/15/13	540.00	...	111.09	Sale
	4,000	260.43	09/17/13	231.16	...	29.27	Sale
	7,000	455.76	10/07/13	440.26	...	15.50	Sale
03/13/15	21,000	1,367.28	Various	1,211.42	...	155.86	Total of 3 transactions
TENNECO INC / CUSIP: 880349105 / Symbol: TEN							
3 transactions for 03/13/15							
	7,000	389.33	07/16/13	337.74	...	51.59	Sale
	9,000	500.57	08/13/13	448.46	...	52.11	Sale

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UBS FINANCIAL SERVICES INC.

Account CP 91116

Proceeds Not Reported to the IRS

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03/22/2016

LONG TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et	Proceeds Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional Information
TENNECO INC / CUSIP: 880349105 / Symbol: TEN (cont'd)							
	4.000	222.48	10/29/13	217.38	...	5.10	Sale
03/13/15	20.000	1,112.38	Various	1,003.58	...	108.80	Total of 3 transactions
TEVA PHARMACEUTICALS IND LTD ISRAEL ADR / CUSIP: 881624209 / Symbol: TEVA							
3 transactions for 03/13/15							
	13.000	778.35	06/23/10	674.24	...	104.11	Sale
	2.000	119.75	03/29/11	99.91	...	19.84	Sale
	3.000	179.62	08/06/12	120.82	...	58.80	Sale
03/13/15	18.000	1,077.72	Various	894.97	...	182.75	Total of 3 transactions
10/28/15	10.000	620.78	08/08/12	402.71	...	218.07	Sale
2 transactions for 12/01/15							
	5.000	328.17	08/06/12	201.36	...	126.81	Sale
	5.000	328.16	08/07/12	202.01	...	126.15	Sale
12/01/15	10.000	656.33	Various	403.37	...	252.96	Total of 2 transactions
Security total:							
		2,354.83		1,701.05	...	653.78	
TEXAS CAPITAL BANCSHARES INC (DELA) / CUSIP: 88224Q107 / Symbol: TCBI							
4 transactions for 03/13/15							
	4.000	203.24	04/05/13	166.34	...	36.90	Sale
	4.000	203.23	04/10/13	169.33	...	33.90	Sale
	2.000	101.62	07/29/13	93.82	...	7.80	Sale
	4.000	203.24	08/05/13	184.67	...	18.57	Sale
03/13/15	14.000	711.33	Various	614.16	...	97.17	Total of 4 transactions

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UBS FINANCIAL SERVICES INC.

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Proceeds Not Reported to the IRS

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LONG TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Shown (Gross (Net) acquired	Proceeds Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional information
TEXAS INSTRUMENTS / CUSIP: 882508104 / Symbol: TXN							
10/29/15	15,000	853.48	08/06/08	365.68	...	487.80	Sale
	2 transactions for 12/18/15						
	10,000	550.44	08/06/08	243.78	...	306.66	Sale
	4,000	220.18	11/05/08	74.82	...	145.36	Sale
12/18/15	14,000	770.62	Various	318.60	...	452.02	Total of 2 transactions
	Security total:	1,624.10		684.28	...	939.82	
TEXAS ROADHOUSE INC CL A / CUSIP: 882681108 / Symbol: TXRH							
	5 transactions for 03/13/15						
	3,000	112.32	12/11/13	81.62	...	30.70	Sale
	5,000	187.19	12/12/13	137.79	...	49.40	Sale
	5,000	187.20	12/13/13	137.53	...	49.67	Sale
	2,000	74.88	12/16/13	57.20	...	17.68	Sale
	3,000	112.32	12/16/13	83.66	...	28.66	Sale
03/13/15	18,000	673.91	Various	497.80	...	176.11	Total of 5 transactions
THORATEC CORP NEW **MERGER EFF 10/2015** / CUSIP: 885175307 / Symbol:							
	5 transactions for 03/13/15						
	2,000	80.04	08/29/12	67.12	...	12.92	Sale
	5,000	200.10	09/05/12	173.99	...	26.11	Sale
	5,000	200.09	09/07/12	175.89	...	24.20	Sale
	12,000	480.23	09/10/12	416.92	...	63.31	Sale
	7,000	280.14	09/13/12	235.43	...	44.71	Sale
03/13/15	31,000	1,240.60	Various	1,069.35	...	171.25	Total of 5 transactions
TORONTO DOMINION BK NEW CANADA FOREIGN STOCK CAD / CUSIP: 891160508 / Symbol: TD							
12/01/15	9,000	373.09	08/23/10	312.87	...	60.22	Sale

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UBS FINANCIAL SERVICES INC.

Account CP 91116

Proceeds Not Reported to the IRS (continued)

2015

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LONG TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Shown (Gross)	Proceeds (Net) acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional Information
TOTAL CPTL CANADA LTD NOTE RATE 1.45 % MATURES 01/15/2018 / CUSIP: 89153UAE1 / Symbol:							
12/28/15	1,000,000	993.28	02/08/13	1,001.44	0.00	-8.16	Sale Original basis: \$1,003.42
TRANSDIGM GROUP INC / CUSIP: 893641100 / Symbol: TDG							
	2 transactions for 02/10/15						
	15,000	3,117.86	11/06/13	1,825.08	...	1,232.78	Sale
	6,000	1,247.15	11/25/13	777.33	...	469.82	Sale
02/10/15	21,000	4,365.01	Various	2,662.41	...	1,702.60	Total of 2 transactions
TRUSTMARK CORP COMMON STOCK / CUSIP: 898402102 / Symbol: TRMK							
	5 transactions for 03/13/15						
	3,000	73.35	07/30/13	80.43	...	-7.08	Sale
	5,000	122.25	07/31/13	135.59	...	-13.34	Sale
	5,000	122.24	08/01/13	137.49	...	-15.25	Sale
	10,000	244.50	08/02/13	273.65	...	-29.15	Sale
	3,000	73.35	08/05/13	81.66	...	-8.31	Sale
03/13/15	26,000	635.69	Various	708.82	...	-73.13	Total of 5 transactions
TUMI HLDGS INC / CUSIP: 89969Q104 / Symbol: TUMI							
	6 transactions for 03/13/15						
	10,000	219.60	01/24/14	202.98	...	16.62	Sale
	5,000	109.80	01/27/14	102.00	...	7.80	Sale
	10,000	219.59	01/27/14	204.77	...	14.82	Sale
	5,000	109.80	02/11/14	102.46	...	7.34	Sale
	6,000	131.75	02/12/14	122.79	...	8.96	Sale
	10,000	219.60	03/06/14	228.59	...	-8.99	Sale
03/13/15	46,000	1,010.14	Various	963.59	...	46.55	Total of 6 transactions

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UBS FINANCIAL SERVICES INC.

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Proceeds Not Reported to the IRS (continued)

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LONG TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Shown (Gross (N)et	Proceeds (N)et acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional Information
UMB FINANCIAL CORP COMMON STOCK / CUSIP: 902788108 / Symbol: UMBF							
4 transactions for 03/13/15							
	1,000	51.92	05/11/10	42.47	...	9.45	Sale
	8,000	415.35	07/28/10	316.76	...	98.59	Sale
	5,000	259.59	08/19/10	174.06	...	85.53	Sale
	2,000	103.84	07/12/13	116.20	...	-12.36	Sale
03/13/15	16,000	830.70	Various	649.49	...	181.21	Total of 4 transactions
UMPOUA HOLDINGS CORP OR / CUSIP: 904214103 / Symbol: UMPQ							
4 transactions for 03/13/15							
	5,000	85.30	08/18/10	57.74	...	27.56	Sale
	8,000	136.48	08/19/10	90.19	...	46.29	Sale
	20,000	341.19	08/05/11	220.63	...	120.56	Sale
	19,000	324.14	08/08/11	199.13	...	125.01	Sale
03/13/15	52,000	887.11	Various	567.69	...	319.42	Total of 4 transactions
UNIFIRST CORP MASS COMMON STOCK / CUSIP: 904708104 / Symbol: UNF							
3 transactions for 03/13/15							
	5,000	585.09	12/24/13	528.25	...	56.84	Sale
	3,000	351.05	01/07/14	315.00	...	36.05	Sale
	2,000	234.04	01/14/14	216.04	...	18.00	Sale
03/13/15	10,000	1,170.18	Various	1,059.29	...	110.89	Total of 3 transactions
UNTD NATURAL FOODS INC / CUSIP: 911163103 / Symbol: UNFI							
4 transactions for 03/13/15							
	2,000	150.52	05/15/08	36.44	...	114.08	Sale
	3,000	225.77	05/21/08	59.23	...	166.54	Sale
	6,000	451.55	12/17/10	224.12	...	227.43	Sale

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UBS FINANCIAL SERVICES INC.

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Proceeds Not Reported to the IRS

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LONG TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et acquired	Proceeds Data acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional Information
UNTD NATURAL FOODS INC / CUSIP: 911163103 / Symbol: UNFI (cont'd)							
	7,000	526.81	04/12/13	356.83	...	169.98	Sale
03/13/15	18,000	1,354.65	Various	676.62	...	678.03	Total of 4 transactions
UNITED STATES TREAS BOND 00.750 % DUE 02/15/42 FACTOR 1.053320000000 / CUSIP: 912810QV3 / Symbol:							
03/18/15	1,000,000	1,029.53	07/24/12	1,172.33	0.00	-142.80	Sale Note: 25 Original basis: \$1,128.17
UNITED STATES TREASURY RATE 3.75 % MATURES 11/15/2043 / CUSIP: 912810RD2 / Symbol:							
12/28/15	1,000,000	1,169.02	02/12/14	1,002.98	0.00	166.04	Sale Original basis: \$1,003.09
U S TREASURY NOTE NOTE RATE 3.125 % MATURES 05/15/2019 / CUSIP: 912828KQ2 / Symbol:							
02/03/15	1,000,000	1,081.83	08/18/09	968.99	0.00	112.84	Sale Original basis: \$968.99
3 transactions for 03/11/15							
	5,000,000	5,330.07	08/18/09	4,844.92	0.00	485.15	Sale Original basis: \$4,844.92
	1,000,000	1,066.02	09/18/12	1,083.90	0.00	-17.88	Sale Original basis: \$1,131.92
	1,000,000	1,066.01	12/14/12	1,087.56	0.00	-21.55	Sale Original basis: \$1,133.05
03/11/15	7,000,000	7,462.10	Various	7,016.38	0.00	445.72	Total of 3 transactions
Security total:							
		8,543.93		7,985.37	0.00	558.56	
U S TREASURY NOTE RATE 3.625 % MATURES 02/15/2020 / CUSIP: 912828MP2 / Symbol:							
02/03/15	1,000,000	1,115.35	03/15/10	991.72	0.00	123.63	Sale Original basis: \$991.72
3 transactions for 03/11/15							
	2,000,000	2,191.79	03/15/10	1,983.44	0.00	208.35	Sale Original basis: \$1,983.44

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UBS FINANCIAL SERVICES INC.

Account CP 91118

2015

03/22/2016

Proceeds Not Reported to the IRS (continued)

LONG TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Shown (Gross (Net) acquired	Proceeds Date	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional Information
U S TREASURY NOTE RATE 3.625 % MATURES 02/15/2020 / CUSIP: 912828MP2 / Symbol: (cont'd)							
	1,000.000		1,095.90 09/11/13	1,067.96	0.00	27.94	Sale Original basis: \$1,087.15
	4,000.000		4,383.59 09/25/13	4,339.92	0.00	43.67	Sale Original basis: \$4,434.55
03/11/15	7,000.000		7,671.28 Various	7,391.32	0.00	279.96	Total of 3 transactions
08/27/15	2,000.000		2,188.12 09/25/13	2,154.54	0.00	33.58	Sale Original basis: \$2,217.27
Security total:							
			10,974.75	10,537.58	0.00	437.17	
U S TREASURY INFL NOTE RATE 1.00 % MATURES 10/31/2016 / CUSIP: 912828RM4 / Symbol:							
02/12/15	5,000.000		5,038.47 11/04/11	5,008.78	0.00	29.69	Sale Original basis: \$5,025.21
12/18/15	1,000.000		1,002.42 11/04/11	1,000.89	0.00	1.53	Sale Original basis: \$1,005.04
Security total:							
			6,040.89	6,009.67	0.00	31.22	
U S TREASURY INFL NOTE RATE 1.75 % MATURES 10/31/2018 / CUSIP: 912828RP7 / Symbol:							
08/27/15	2,000.000		2,041.48 11/04/11	2,017.81	0.00	23.67	Sale Original basis: \$2,038.13
US TSY NOTE 00.250 % DUE 02/15/15 DTD 02/15/12 FC 08/15/12 / CUSIP: 912828SE1 / Symbol:							
02/03/15	1,000.000		1,000.08 02/14/12	995.75	0.00	4.33	Sale Original basis: \$995.75
3 transactions for 02/15/15							
	4,000.000		4,000.00 02/14/12	3,983.00	...	17.00	Sale
	2,000.000		2,000.00 09/18/12	1,997.66	...	2.34	Sale
	1,000.000		1,000.00 09/11/13	999.42	...	0.58	Sale
02/15/15	7,000.000		7,000.00 Various	6,980.08	...	19.92	Total of 3 transactions
Security total:							
			8,000.08	7,975.83	0.00	24.25	

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UBS FINANCIAL SERVICES INC.

Account CP 91116

2015

03/22/2016

Proceeds Not Reported to the IRS (continued)

LONG TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Shown (G/ross (N)et	Proceeds (N)et acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional Information
US TSY NOTE 00.375 % DUE 04/15/15 DTD 04/15/12 FC 10/15/12 / CUSIP: 912828SP6 / Symbol: 04/15/15	11,000.000	11,000.00	02/12/14	11,000.00	...	0.00	Sale
US TSY NOTE 00.375 % DUE 11/15/15 DTD 11/15/12 FC 05/15/13 / CUSIP: 912828TX8 / Symbol: 10/19/15	5,000.000	5,000.59	09/04/14	5,000.67	0.00	-0.08	Sale Original basis: \$5,010.95
UNITEDHEALTH GROUP INC / CUSIP: 91324P102 / Symbol: UNH							
02/10/15	4.000	433.77	02/08/13	228.87	...	204.90	Sale
06/19/15	14.000	1,680.73	02/06/13	801.02	...	879.71	Sale
2 transactions for 10/29/15							
18.000	2,178.92	02/06/13	1,029.88	1,149.04	...	1,149.04	Sale
10.000	1,210.51	04/08/13	616.97	593.54	...	593.54	Sale
28.000	3,389.43	Various	1,646.85	1,742.58	...	1,742.58	Total of 2 transactions
Security total:		5,503.93		2,676.74	...	2,827.19	
VALEANT PHARMACEUTICALS INTL I FOREIGN STOCK / CUSIP: 91911K102 / Symbol: VRX							
08/27/15	7.000	1,631.68	03/14/14	975.61	...	658.07	Sale
VALE SA-SP SPON ADR / CUSIP: 91912E105 / Symbol: VALE							
5 transactions for 02/18/15							
62.000	495.87	04/17/12	1,444.10	-948.23	...	-948.23	Sale
38.000	303.92	09/14/12	738.72	-434.80	...	-434.80	Sale
20.000	159.95	05/31/13	286.76	-126.81	...	-126.81	Sale
30.000	239.94	06/05/13	438.02	-198.08	...	-198.08	Sale
37.000	295.92	09/09/13	604.45	-308.53	...	-308.53	Sale
187.000	1,495.60	Various	3,512.05	-2,016.45	...	-2,016.45	Total of 5 transactions

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UBS FINANCIAL SERVICES INC.

Account CP 91118

Proceeds Not Reported to the IRS (continued)

2015

03/22/2016

LONG TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et	Proceeds Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional Information
VALE SA-SP SPON ADR / CUSIP: 91912E105 / Symbol: VALE (cont'd)							
2 transactions for 02/19/15							
	22,000	169.53	09/09/13	359.40	...	-189.87	Sale
	59,000	454.65	01/15/14	814.20	...	-359.55	Sale
02/19/15	81,000	624.18	Various	1,173.60	...	-549.42	Total of 2 transactions
Security total:							
		2,119.78		4,685.65	...	-2,565.87	
VISA INC CL A / CUSIP: 92826C839 / Symbol: V							
10/29/15	7,000	548.16	04/24/13	292.62	...	255.54	Sale
VIRTUS INVESTMENT PARTNER INC / CUSIP: 92828Q109 / Symbol: VRTS							
3 transactions for 03/02/15							
	1,000	130.15	07/08/13	180.97	50.82 W	0.00	Sale
	2,000	260.30	08/08/13	370.38	110.08 W	0.00	Sale
	1,000	130.15	10/23/13	175.43	45.28 W	0.00	Sale
03/02/15	4,000	520.60	Various	726.78	206.18 W	0.00	Total of 3 transactions
3 transactions for 09/22/15							
	1,000	99.63	03/18/15	190.95	...	-91.32	Sale
	1,000	99.64	03/18/15	185.41	...	-85.77	Sale
	2,000	199.27	03/18/15	390.34	...	-191.07	Sale
09/22/15	4,000	398.54	Various	766.70	...	-368.16	Total of 3 transactions
Security total:							
		919.14		1,493.48	206.18 W	-368.16	
VITAMIN SHOPPE INC / CUSIP: 92849E101 / Symbol: VSI							
4 transactions for 03/13/15							
	10,000	414.89	04/09/12	440.98	...	-26.09	Sale
	6,000	248.94	02/26/13	307.89	...	-58.95	Sale
	2,000	82.97	02/27/13	105.50	...	-22.53	Sale

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UBS FINANCIAL SERVICES INC.

Account CP 91116

Proceeds Not Reported to the IRS (continued)

2015

03/22/2016

LONG TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional Information
VITAMIN SHOPPE INC / CUSIP: 92849E101 / Symbol: VSI (cont'd)							
	4,000	165.96	07/31/13	192.36	...	-26.40	Sale
03/13/15	22,000	912.76	Various	1,046.73	...	-133.97	Total of 4 transactions
VOLKSWAGEN A G SPON ADR / CUSIP: 928662402 / Symbol: VLKPY							
09/24/15	9,000	228.40	04/03/13	363.36	...	-136.96	Sale
5 transactions for 09/30/15							
	15,000	322.22	04/03/13	605.61	...	-283.39	Sale
	17,000	365.19	04/05/13	683.66	...	-318.47	Sale
	1,000	21.48	04/08/13	40.68	...	-19.20	Sale
	22,000	472.60	04/09/13	873.31	...	-400.71	Sale
	18,000	386.67	09/09/13	817.38	...	-430.71	Sale
09/30/15	73,000	1,568.16	Various	3,020.64	...	-1,452.48	Total of 5 transactions
Security total:							
		1,794.56		3,384.00	...	-1,589.44	
WATTS WATER TECHNOLOGIES INC CL A / CUSIP: 942749102 / Symbol: WTS							
3 transactions for 03/13/15							
	7,000	368.82	05/15/08	189.35	...	179.47	Sale
	6,000	316.13	03/11/09	101.82	...	214.31	Sale
	3,000	158.07	11/08/13	175.03	...	-16.96	Sale
03/13/15	16,000	843.02	Various	466.20	...	376.82	Total of 3 transactions
WELLS FARGO & CO NEW / CUSIP: 949746101 / Symbol: WFC							
08/05/15	18,000	1,037.32	01/26/09	292.92	...	744.40	Sale
12/18/15	19,000	1,032.63	01/26/09	309.19	...	723.44	Sale
Security total:							
		2,069.95		602.11	...	1,467.84	

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UBS FINANCIAL SERVICES INC.

Account CP 91116

Proceeds Not Reported to the IRS

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03/22/2016

LONG TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et acquired	Proceeds Date	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional information
WEST PHARMACEUTICAL SERVICES INC / CUSIP: 955306105 / Symbol: WST							
2 transactions for 03/13/15							
	33,000	1,779.33	05/15/08	759.00	...	1,020.33	Sale
	6,000	323.51	12/27/10	126.61	...	196.90	Sale
03/13/15	39,000	2,102.84	Various	885.61	...	1,217.23	Total of 2 transactions
WEX INC / CUSIP: 96208T104 / Symbol: WEX							
4 transactions for 03/13/15							
	1,000	104.22	03/10/11	52.00	...	52.22	Sale
	4,000	416.87	03/24/11	202.17	...	214.70	Sale
	4,000	416.87	04/21/11	215.51	...	201.36	Sale
	6,000	625.31	08/24/11	238.43	...	386.88	Sale
03/13/15	15,000	1,563.27	Various	708.11	...	855.16	Total of 4 transactions
WOLVERINE WORLD WIDE INC COMMON STOCK / CUSIP: 97809T103 / Symbol: WWW							
01/07/15	5,000	141.43	12/14/11	85.21	...	56.22	Sale
2 transactions for 01/08/15							
	3,000	86.29	12/14/11	51.13	...	35.16	Sale
	3,000	86.29	12/15/11	52.02	...	34.27	Sale
01/08/15	6,000	172.58	Various	103.15	...	69.43	Total of 2 transactions
4 transactions for 03/13/15							
	13,000	393.50	12/15/11	225.41	...	168.09	Sale
	6,000	181.62	07/13/12	126.30	...	55.32	Sale
	8,000	242.15	10/10/12	174.76	...	67.39	Sale
	8,000	242.16	02/19/13	179.44	...	62.72	Sale
03/13/15	35,000	1,059.43	Various	705.91	...	353.52	Total of 4 transactions
Security total:				894.27	...	479.17	

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UBS FINANCIAL SERVICES INC.

Account CP 91116

Proceeds Not Reported to the IRS (continued)

2015

03/22/2016

LONG TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Shown (G)ross / Symbol: INT	Proceeds (N)et acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional Information
WORLD FUEL SERVICES CORP / CUSIP: 981475106 / Symbol: INT	5,000	272.89	08/09/13	192.75	..	80.14	Sale
ZURICH INS GROUP LTD SPON ADR / CUSIP: 989825104 / Symbol: ZURVY							
3 transactions for 03/13/15							
03/13/15	16,000	506.87	11/03/08	326.89	..	179.98	Sale
	7,000	221.76	05/26/10	136.82	..	84.94	Sale
	8,000	253.43	06/23/10	180.24	..	73.19	Sale
	31,000	982.06	Various	643.95	..	338.11	Total of 3 transactions
03/25/15	15,000	518.39	06/23/10	337.95	..	180.44	Sale
12/21/15	32,000	808.60	06/23/10	720.96	..	87.64	Sale
12/22/15	31,000	782.58	06/23/10	698.43	..	84.15	Sale
12/23/15	15,000	383.67	06/23/10	337.95	..	45.72	Sale
Security total:		3,475.30		2,739.24	..	736.06	
AXIS CAPITAL HOLDINGS LTD SHS FOREIGN STOCK / CUSIP: G0882U109 / Symbol: AXS							
03/13/15	17,000	859.16	06/23/10	529.84	..	329.32	Sale
05/28/15	19,000	1,036.39	08/23/10	592.16	..	444.23	Sale
05/27/15	8,000	440.21	06/23/10	249.33	..	190.88	Sale
05/28/15	10,000	550.12	06/23/10	311.67	..	238.45	Sale
05/29/15	8,000	440.21	06/23/10	249.33	..	190.88	Sale
Security total:		3,326.09		1,932.33	..	1,393.76	
LIBERTY GLOBAL PLC SER C FOREIGN STOCK / CUSIP: G5480U120 / Symbol: LBTK							
03/09/15	6,000	312.95	09/09/13	219.27	..	93.68	Sale
03/18/15	4,000	200.03	09/09/13	146.18	..	53.85	Sale
04/16/15	38,000	1,873.28	09/09/13	1,388.71	..	484.57	Sale
08/05/15	31,000	1,558.34	09/09/13	1,078.41	..	479.93	Sale

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UBS FINANCIAL SERVICES INC.

Account CP 91116

Proceeds Not Reported to the IRS

(continued)

2015

03/22/2016

LONG TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Shown (Gross (N)et acquired	Proceeds (N)et acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional Information
LIBERTY GLOBAL PLC SER C FOREIGN STOCK / CUSIP: G5480U120 / Symbol: LBTYK (cont'd)							
2 transactions for 10/23/15							
10/29/15	10.000		426.99	347.87	...	79.12	Sale
	10.000		426.99	398.93	...	28.06	Sale
	20.000		853.98	746.80	...	107.18	Total of 2 transactions
12/18/15	13.000		485.69	518.61	17.63 W	-5.29	Sale
			5,294.27	4,097.98	17.63 W	1,213.92	
LIBERTY LILAC GROUP CLC FOREIGN STOCK / CUSIP: G5480U153 / Symbol: LILAK							
07/02/15	0.100		4.95	3.52	...	1.43	Sale
2 transactions for 07/13/15							
	1.950		85.82	68.54	...	17.28	Sale
	4.050		178.23	163.26	...	14.97	Sale
07/13/15	6.000		264.05	231.80	...	32.25	Total of 2 transactions
				235.32	...	33.68	
RENAISSANCE HOLDINGS LTD FOREIGN STOCK / CUSIP: G7496G103 / Symbol: RNR							
02/18/15	2.000		206.72	115.82	...	90.90	Sale
02/20/15	2.000		204.71	115.81	...	88.90	Sale
02/23/15	4.000		407.25	231.64	...	175.61	Sale
2 transactions for 02/24/15							
	7.000		721.14	405.36	...	315.78	Sale
	4.000		412.08	346.20	...	65.88	Sale
02/24/15	11.000		1,133.22	751.56	...	381.66	Total of 2 transactions
02/25/15	2.000		205.19	173.10	...	32.09	Sale
02/26/15	1.000		102.77	86.55	...	16.22	Sale
			2,259.86	1,474.48	...	785.38	

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UBS FINANCIAL SERVICES INC.

Account CP 91116

2015

03/22/2016

Proceeds Not Reported to the IRS (continued)

LONG TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et acquired	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional Information
PERRIGO CO PLC FOREIGN STOCK EUR / CUSIP: G97822103 / Symbol: PRGO								
03/18/15	1,000	171.71	12/19/13		155.34	...	16.37	Sale
04/08/15	24,000	4,737.72	12/19/13		3,728.15	...	1,009.57	Sale
	Security total:	4,909.43			3,883.49	...	1,025.94	
ACE LIMITED FOREIGN STOCK CHF / CUSIP: H0023R105 / Symbol: ACE								
10/29/15	6,000	693.35	04/25/13		538.32	...	157.03	Sale
ISRAEL CHEMICALS LTD FOREIGN STOCK ILS / CUSIP: IL0002810146 / Symbol: ICL								
12/18/15	3,000	12.65	12/17/14		21.83	8.98 W	0.00	Sale
12/21/15	3,000	12.37	12/17/14		21.63	9.26 W	0.00	Sale
12/22/15	2,000	8.16	12/17/14		14.42	6.26 W	0.00	Sale
	Security total:	33.18			57.68	24.50 W	0.00	
MELLANOX TECHNOLOGIES LTD ILS / CUSIP: M51363113 / Symbol: MLNX								
	7 transactions for 03/13/15							
	5,000	227.45	02/07/13		270.12	...	-42.67	Sale
	5,000	227.44	02/08/13		268.59	...	-41.15	Sale
	4,000	181.96	02/11/13		212.45	...	-30.49	Sale
	4,000	181.95	02/19/13		215.55	...	-33.60	Sale
	3,000	136.47	05/20/13		174.06	...	-37.59	Sale
	5,000	227.45	09/11/13		190.07	...	37.38	Sale
	6,000	272.93	11/08/13		204.86	...	68.07	Sale
03/13/15	32,000	1,455.65	Various		1,535.70	...	-80.05	Total of 7 transactions
	Totals:	472,709.18			398,825.07	1,183.81 W	75,087.92	

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UBS FINANCIAL SERVICES INC.

Account CP 91116

Proceeds Not Reported to the IRS

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2015

03/22/2016

UNDETERMINED TERM TRANSACTIONS

Report on Form 8949, Part I with Box C checked or Part II with Box F checked.

Description of property

Date sold or disposed	Quantity	Shown (G)ross	Proceeds (N)et acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional Information
FNMA PL AJ7689 04.0000 DUE 12/01/41 FACTOR 0.385988190000 / CUSIP: 3138E0RK7 / Symbol:							
02/25/15	0.000	20.28	N/A		0.00	0.00	Principal payment
03/25/15	0.000	28.13	N/A		0.00	0.00	Principal payment
04/25/15	0.000	36.29	N/A		0.00	0.00	Principal payment
05/25/15	0.000	27.93	N/A		0.00	0.00	Principal payment
06/25/15	0.000	32.80	N/A		0.00	0.00	Principal payment
07/25/15	0.000	29.00	N/A		0.00	0.00	Principal payment
08/25/15	0.000	24.28	N/A		0.00	0.00	Principal payment
09/25/15	0.000	19.64	N/A		0.00	0.00	Principal payment
10/25/15	0.000	18.54	N/A		0.00	0.00	Principal payment
11/25/15	0.000	17.78	N/A		0.00	0.00	Principal payment
12/25/15	0.000	16.36	N/A		0.00	0.00	Principal payment
01/25/16	0.000	14.38	N/A		...	0.00	Principal payment
Security total:				285.41	0.00	0.00	
FNMA PL MA0583 04.0000 DUE 12/01/40 FACTOR 0.276328210000 / CUSIP: 31417YUH8 / Symbol:							
02/25/15	0.000	55.97	N/A		0.00	0.00	Principal payment
03/25/15	0.000	88.41	N/A		0.00	0.00	Principal payment
04/25/15	0.000	115.99	N/A		0.00	0.00	Principal payment
05/25/15	0.000	92.45	N/A		0.00	0.00	Principal payment
06/25/15	0.000	92.58	N/A		0.00	0.00	Principal payment
07/25/15	0.000	87.31	N/A		0.00	0.00	Principal payment
08/25/15	0.000	63.62	N/A		0.00	0.00	Principal payment
09/25/15	0.000	55.90	N/A		0.00	0.00	Principal payment
10/25/15	0.000	48.65	N/A		0.00	0.00	Principal payment
11/25/15	0.000	55.84	N/A		0.00	0.00	Principal payment
12/25/15	0.000	39.31	N/A		0.00	0.00	Principal payment
01/25/16	0.000	56.57	N/A		...	0.00	Principal payment
Security total:				852.60	0.00	0.00	

** D = adjustment amount is market discount. W = adjustment amount is a non-deductible wash sale loss. C = proceeds amount is from collectible assets.

UBS FINANCIAL SERVICES INC.

Proceeds Not Reported to the IRS (continued)

Account CP 91116

2015

03/22/2018

UNDETERMINED TERM TRANSACTIONS

Report on Form 8949, Part I with Box C checked or Part II with Box F checked.

Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et	Proceeds Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional Information
FINMA PL AE0828 03.5000 DUE 02/01/41 FACTOR 0.449106240000 / CUSIP: 31419A4N4 / Symbol:							
02/25/15	0.000	19.50	N/A		0.00	0.00	Principal payment
03/25/15	0.000	34.01	N/A		0.00	0.00	Principal payment
04/25/15	0.000	34.98	N/A		0.00	0.00	Principal payment
05/25/15	0.000	35.49	N/A		0.00	0.00	Principal payment
06/25/15	0.000	32.43	N/A		0.00	0.00	Principal payment
07/25/15	0.000	33.34	N/A		0.00	0.00	Principal payment
08/25/15	0.000	26.84	N/A		0.00	0.00	Principal payment
09/25/15	0.000	22.01	N/A		0.00	0.00	Principal payment
10/25/15	0.000	21.83	N/A		0.00	0.00	Principal payment
11/25/15	0.000	21.30	N/A		0.00	0.00	Principal payment
12/25/15	0.000	19.45	N/A		0.00	0.00	Principal payment
01/25/16	0.000	23.11	N/A		..	0.00	Principal payment
Security total:				324.29	0.00	0.00	
Totals:				1,462.30	0.00	0.00	

** D = adjustment amount is market discount. W = adjustment amount is a non-deductible wash sale loss. C = proceeds amount is from collectible assets.