Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter social security numbers on this form as it may be made public.**
▶ **Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf*.**

OMB No 1545-0052

2015

Open to Public Inspection

For calendar year 2015 or tax year beginning , 2015, and ending , 20

Name of foundation: AMERICAN MODERN FOUNDATION

A Employer identification number: 31-1580326

Number and street (or P O box number if mail is not delivered to street address): 7000 MIDLAND BLVD | Room/suite

B Telephone number (see instructions)

City or town, state or province, country, and ZIP or foreign postal code: AMELIA, OH 45102

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☐ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 866,164

J Accounting method: ☑ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis.)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)	401,769			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch. B				
	3	Interest on savings and temporary cash investments	10	10	10	
	4	Dividends and interest from securities	22,099	22,099	22,099	
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	-20,010			
	b	Gross sales price for all assets on line 6a				
	7	Capital gain net income (from Part IV, line 2)		0		
	8	Net short-term capital gain			0	
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	403,868	22,109	22,109	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	3,363	3,363	164	
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	6,176	6,176	6,176	
	24	**Total operating and administrative expenses.** Add lines 13 through 23	9,539	9,539	6,340	0
	25	Contributions, gifts, grants paid	390,379			390,379
	26	**Total expenses and disbursements.** Add lines 24 and 25	399,919	9,539	6,340	390,379
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	3,949			
	b	**Net investment income** (if negative, enter -0-)		12,570		
	c	**Adjusted net income** (if negative, enter -0-)			15,769	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets	1	Cash—non-interest-bearing	50,507	51,991	51,991
	2	Savings and temporary cash investments	21,957	16,419	16,419
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U.S. and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	185,155	219,811	279,980
	c	Investments—corporate bonds (attach schedule)	571,788	542,316	517,774
	11	Investments—land, buildings, and equipment: basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment: basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	829,398	830,536	866,164
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)			
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted	829,398	830,536	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	829,398	830,536	
	31	**Total liabilities and net assets/fund balances** (see instructions)	829,398	830,536	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	829,398
2	Enter amount from Part I, line 27a	2	3,949
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	833,347
5	Decreases not included in line 2 (itemize) ▶	5	2,811
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	830,536

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	SEE ATTACHED EXHIBIT B			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	-20,010
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	-2,083

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2014	368,909	970,247	0 380222
2013	335,205	897,646	0 373427
2012	332,630	912,054	0 364704
2011	378,177	1,201,079	0 314864
2010	569,904	1,056,228	0 539565

2	**Total** of line 1, column (d)	2	1 972781
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 394556
4	Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	4	1,007,108
5	Multiply line 4 by line 3	5	397,361
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	0
7	Add lines 5 and 6	7	397,361
8	Enter qualifying distributions from Part XII, line 4	8	390,379

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	251
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2	3	251
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	251
6	Credits/Payments:		
a	2015 estimated tax payments and 2014 overpayment credited to 2015 — 6a		
b	Exempt foreign organizations—tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c: 310		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments. Add lines 6a through 6d	7	310
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	59
11	Enter the amount of line 10 to be: **Credited to 2016 estimated tax** ▶ 59 **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		✓
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		✓
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		✓
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ ________ **(2)** On foundation managers. ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		✓
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		✓
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		✓
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		✓
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	✓	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	✓	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ OHIO			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	✓	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		✓
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		✓

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		✓
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		✓
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	✓	
	Website address ▶ N/A			
14	The books are in care of ▶ KENNETH KUHN Telephone no. ▶ 513-947-5478 Located at ▶ 7000 MIDLAND BLVD, AMELIA, OH ZIP+4 ▶ 45102			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**—Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ 15			
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		✓
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1c		✓
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? ☐ Yes ☑ No If "Yes," list the years ▶ 20 , 20 , 20 , 20			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)	2b		✓
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20 , 20 , 20 , 20			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2015 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		✓
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b		✓

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year did the foundation pay or incur any amount to:			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	**5b**		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		✓
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 4				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over $50,000 ▶				

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	818,874
b	Average of monthly cash balances	1b	203,571
c	Fair market value of all other assets (see instructions)	1c	
d	**Total** (add lines 1a, b, and c)	1d	1,022,445
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) . . . 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	1,022,445
4	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	4	15,337
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,007,108
6	**Minimum investment return.** Enter 5% of line 5	6	50,355

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	50,355
2a	Tax on investment income for 2015 from Part VI, line 5	2a	251		
b	Income tax for 2015. (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	251
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	50,606
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	50,606
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	50,606

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	390,379
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	390,379
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	390,379

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1	Distributable amount for 2015 from Part XI, line 7				50,606
2	Undistributed income, if any, as of the end of 2015:				
a	Enter amount for 2014 only			0	
b	Total for prior years: 20___,20___,20___		0		
3	Excess distributions carryover, if any, to 2015:				
a	From 2010 . . . 517,586				
b	From 2011 . . . 318,809				
c	From 2012 . . . 287,901				
d	From 2013 . . . 290,710				
e	From 2014 . . . 321,142				
f	**Total** of lines 3a through e	1,736,148			
4	Qualifying distributions for 2015 from Part XII, line 4: ▶ $ 390,379				
a	Applied to 2014, but not more than line 2a			0	
b	Applied to undistributed income of prior years (Election required—see instructions)		0		
c	Treated as distributions out of corpus (Election required—see instructions)	0			
d	Applied to 2015 distributable amount				50,606
e	Remaining amount distributed out of corpus	339,773			
5	Excess distributions carryover applied to 2015 *(If an amount appears in column (d), the same amount must be shown in column (a).)*	0			0
6	**Enter the net total of each column as indicated below:**				
a	Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	2,075,921			
b	Prior years' undistributed income. Subtract line 4b from line 2b				
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d	Subtract line 6c from line 6b. Taxable amount—see instructions				
e	Undistributed income for 2014. Subtract line 4a from line 2a. Taxable amount—see instructions				
f	Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016				
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
8	Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions)	517,586			
9	**Excess distributions carryover to 2016.** Subtract lines 7 and 8 from line 6a	1,558,335			
10	Analysis of line 9:				
a	Excess from 2011 . . . 318,809				
b	Excess from 2012 . . . 287,901				
c	Excess from 2013 . . . 290,710				
d	Excess from 2014 . . . 321,142				
e	Excess from 2015 . . . 339,773				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling . . . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2015	(b) 2014	(c) 2013	(d) 2012	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

KENNETH KUHN, 7000 MIDLAND BLVD, AMELIA, OH 45102

b The form in which applications should be submitted and information and materials they should include:

THE APPLICATION SHOULD INCLUDE THE GOALS OF THE ORGANIZATION, AS WELL AS THE KEY RESULT AREAS

c Any submission deadlines:

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

CINCINNATI, OH AREA-BASED ORGANIZATIONS ARE PREFERRED PREFERENCE IS GIVEN TO AREAS OF HEALTHCARE, EDUCATION, YOUTH, AND COMMUNITY.

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE ATTACHED EXHIBIT A				
Total . . . . ▶ **3a**				390,379
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	22,109	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	-20,010	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)				2,099	

13 **Total.** Add line 12, columns (b), (d), and (e) 13 2,099

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions.)
	ALL INVESTMENT INCOME IS USED DIRECTLY TO CARRY OUT THE FOUNDATION'S EXEMPT PURPOSE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		✓
	(2) Other assets	1a(2)		✓
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		✓
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		✓
	(3) Rental of facilities, equipment, or other assets	1b(3)		✓
	(4) Reimbursement arrangements	1b(4)		✓
	(5) Loans or loan guarantees	1b(5)		✓
	(6) Performance of services or membership or fundraising solicitations	1b(6)		✓
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		✓

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Kenneth L Kuhn | 11-10-2016 | VP Accounting

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
Firm's name ▶			Firm's EIN ▶	
Firm's address ▶			Phone no	

American Modern Foundation
Additional Schedules
Form 990-PF
2015

Statement 1 - Operating & Admin Expenses

Description	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Foreign Taxes Paid on Dividends	164	164	164	
Federal Tax Payment	599	599	-	
State Tax Payment	2,600	2,600	-	
To Form 990-PF Pg 1, Line 18	**3,363**	**3,363**	**164**	**-**
Exempt Status Recertification Fees	-	-	-	
Investment Management Fees	6,176	6,176	6,176	
To Form 990-PF Pg 1, Line 23	**6,176**	**6,176**	**6,176**	**-**

Statement 2 - Corporate Stock

	Book Value	Fair Value
Ishares Trust Core S&P Mid-Cap	22,532	31,347
Ishares Trust Russell 1000 Value Index Fund	16,981	26,912
Ishares Trust Russell 1000 Growth Index Fund	28,086	49,740
SPDR S&P Dividend ETF	18,989	29,428
SPDR S&P 500 ETF Tr	20,186	35,677
Vanguard FTSE Developed Mkt	50,396	46,818
Wosdomtree Trust Europe Hedged	17,211	14,798
First Eagle Overseas Fund Class I	45,430	45,260
To Form 990-PF, Part II, Line 10 (b)	**219,811**	**279,980**

Statement 3- Corporate Bonds

	Book Value	Fair Value
Ishares Inter Gov't/Credit	49,954	49,324
Term Bond ETF	68,293	67,635
Blackrock Strategic Income I	40,867	39,205
Double Line Total Return Fund Instl	44,148	43,056
Loomis Sayles Strategic Income Fund Class Y	45,110	39,533
Metropolitan West Total Return Bond Fund Class I	46,656	45,841
Blackrock Global Long Short Equity Fund Class I	44,818	44,142
Neuberger Berman ABS Ret. Multi-Mgr Class I	43,997	40,828
Wells Fargo Absolute Return Fund	43,532	41,814
SPDR Gold Trust	27,083	25,365
PIMCO All Asset Fund Class P	41,353	36,537
Pace Alternative Strategies Investments Class P	46,505	44,494
To Form 990-PF, Part II, Line 10 (c)	**542,316**	**517,774**

American Modern Foundation
Additional Schedules
Form 990-PF
2015

Statement 4 - Part VIII - List of Officers, directors, trustees, foundation managers

(a) Name and address	(b) Title	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Manuel Z Rios 7000 Midland Blvd, Amelia, OH 45102	President	0	0	0
James E Hinkle II 7000 Midland Blvd, Amelia, OH 45102	SVP & Treasurer	0	0	0
James P Tierney 7000 Midland Blvd, Amelia, OH 45102	VP	0	0	0
Kate E. Reed 7000 Midland Blvd, Amelia, OH 45102	VP	0	0	0
Kenneth L. Kuhn 7000 Midland Blvd, Amelia, OH 45102	VP	0	0	0
Kevin Barber 7000 Midland Blvd, Amelia, OH 45102	VP	0	0	0
Charles S. Griffith III 7000 Midland Blvd, Amelia, OH 45102	Secretary	0	0	0
Kurt M. Kiessling 7000 Midland Blvd, Amelia, OH 45102	Assistant Secretary	0	0	0

American Modern Foundation
Exhibit A
List of Grants & cotnributions Paid During the Year
2015

	Relationship	Foundation Status	Purpose of Grant	Amount
4 Paws For Ability	NONE	PUBLIC	Unrestricted	-250.00
ALZHEIMER'S ASSOCIATION	NONE	PUBLIC	Unrestricted	-100 00
ALZHEIMER'S DISEASE RESEARCH	NONE	PUBLIC	Unrestricted	-500.00
AMELIA HIGH SCHOOL	NONE	PUBLIC	Unrestricted	-200 00
AMELIA MASONIC LODGE SCHOLARSHIP FUND	NONE	PUBLIC	Unrestricted	-100 00
Amelia Parade LLC	NONE	PUBLIC	Unrestricted	-200 00
AMERICAN CANCER SOCIETY	NONE	PUBLIC	Unrestricted	-300.00
AMERICAN HEART ASSOCIATION	NONE	PUBLIC	Unrestricted	-300 00
American Red Cross	NONE	PUBLIC	Unrestricted	-10,000 00
AMERICAN RED CROSS, CINCINNATI	NONE	PUBLIC	Unrestricted	-320.00
ANDERSON TOWNSHIP PARK DISTRICT	NONE	PUBLIC	Unrestricted	-100.00
ARTS WAVE	NONE	PUBLIC	Unrestricted	-40,000 00
BATAVIA BAND BOOSTERS	NONE	PUBLIC	Unrestricted	-100 00
BATAVIA HIGH SCHOOL	NONE	PUBLIC	Unrestricted	-250.00
BATAVIA MIDDLE SCHOOL	NONE	PUBLIC	Unrestricted	-500 00
BATAVIA MIDDLE SCHOOL	NONE	PUBLIC	Unrestricted	-100.00
BATAVIA ROTARY FOUNDATION	NONE	PUBLIC	Unrestricted	-250.00
BOYS & GIRLS CLUB OF CLERMONT CO	NONE	PUBLIC	Unrestricted	-20,000 00
CASA For Clermont Kids	NONE	PUBLIC	Unrestricted	-250 00
CHILD FOCUS	NONE	PUBLIC	Unrestricted	-1,000 00
CINCIDEUTSCH	NONE	PUBLIC	Unrestricted	-3,000 00
Cincinnati Bar Foundation	NONE	PUBLIC	Unrestricted	-1,000.00
Cincinnati Fire Foundation	NONE	PUBLIC	Unrestricted	-5,000 00
CINCINNATI PARKS FOUNDATION	NONE	PUBLIC	Unrestricted	-500 00
CLERMONT CHAMBER OF COMMERCE FOUNDATION	NONE	PUBLIC	Unrestricted	-2,650.00
CLERMONT COUNTY BAR ASSOC SCHOLARSHIP FUND	NONE	PUBLIC	Unrestricted	-250.00
CLERMONT COUNTY TOWNSHIP ASSOC.	NONE	PUBLIC	Unrestricted	-250 00
CLERMONT SENIOR SERVICES	NONE	PUBLIC	Unrestricted	-4,000 00
CYSTIC FIBROSIS FOUNDATION	NONE	PUBLIC	Unrestricted	-250.00
DAN BEARD COUNCIL BOY SCOUTS OF AMERICA	NONE	PUBLIC	Unrestricted	-5,000.00
EASTGATE SOCCER ASSOCIATION	NONE	PUBLIC	Unrestricted	-100.00
FREESTORE/FOODBANK	NONE	PUBLIC	Unrestricted	-500.00
GIRL SCOUTS WESTERN OHIO TROOP #40411	NONE	PUBLIC	Unrestricted	-75 00
GLEN ESTE BAND BOOSTERS, INC.	NONE	PUBLIC	Unrestricted	-100 00
HIKE FOR HOSPICE OF CINCINNATI	NONE	PUBLIC	Unrestricted	-250 00
HOLLY HILL ELEMENTARY	NONE	PUBLIC	Unrestricted	-200 00
INSURING THE CHILDREN	NONE	PUBLIC	Unrestricted	-5,000 00
Jason Ritchie Memorial Hockey Scholarship Fund	NONE	PUBLIC	Unrestricted	-500 00
JUNIOR ACHIEVEMENT	NONE	PUBLIC	Unrestricted	-3,500 00
Koch's Sporting Goods	NONE	PUBLIC	Unrestricted	-100 00
LEONARD S. LOVULLO MEMORIAL FUND	NONE	PUBLIC	Unrestricted	-500.00
LIFEPOINT SOLUTIONS	NONE	PUBLIC	Unrestricted	-5,000.00
LITERACY COUNCIL IN CLERMONT COUNTRY	NONE	PUBLIC	Unrestricted	-250 00
LOCUST CORNER ELEMENTARY SCHOOL	NONE	PUBLIC	Unrestricted	-200 00
MARCH OF DIMES	NONE	PUBLIC	Unrestricted	-5,000.00
MASON MANTA RAYS CITY OF MASON	NONE	PUBLIC	Unrestricted	-250 00
MCNICHOLAS HIGH SCHOOL	NONE	PUBLIC	Unrestricted	-150 00
McNicholas High School Project Paradise	NONE	PUBLIC	Unrestricted	-250.00
MERCY HOSPITAL ANDERSON CARDIAC CAPITAL	NONE	PUBLIC	Unrestricted	-10,000.00
MERCY HOSPITAL CLERMONT	NONE	PUBLIC	Unrestricted	-10,000.00
MILFORD SCHOOLS FOUNDATION	NONE	PUBLIC	Unrestricted	-250.00
Munich Sister City Association	NONE	PUBLIC	Unrestricted	-5,000.00
NATIONAL FOREST FOUNDATION	NONE	PUBLIC	Unrestricted	-5,000 00
NEWPORT CENTRAL CATHOLIC HIGH SCHOOL	NONE	PUBLIC	Unrestricted	-100 00
NEWPORT CENTRAL CATHOLIC HIGH SCHOOL	NONE	PUBLIC	Unrestricted	-100 00
NICK ERDY FOUNDATION	NONE	PUBLIC	Unrestricted	-200.00
Pray Hope Believe Foundation	NONE	PUBLIC	Unrestricted	-500.00
REDS COMMUNITY FUND	NONE	PUBLIC	Unrestricted	-1,000 00
RV/MH HALL OF FAME	NONE	PUBLIC	Unrestricted	-2,500.00
SANTA MARIA COMMUNITY SERVICES	NONE	PUBLIC	Unrestricted	-750 00
Second Harvest Food Bank Of Northwest Pennsylvania	NONE	PUBLIC	Unrestricted	-100.00
SOUTHGROUP 5K RUN/WALK	NONE	PUBLIC	Unrestricted	-250 00
SPECIAL OLYMPICS OHIO	NONE	PUBLIC	Unrestricted	-395 00
ST JOSEPH ORPHANAGE	NONE	PUBLIC	Unrestricted	-500 00
St. Joseph School	NONE	PUBLIC	Unrestricted	-200 00

American Modern Foundation
Exhibit A
List of Grants & cotnributions Paid During the Year
2015

	Relationship	Foundation Status	Purpose of Grant	Amount
St. Joseph School	NONE	PUBLIC	Unrestncted	-150.00
St. Susanna Athletic Boosters	NONE	PUBLIC	Unrestncted	-200.00
ST VERONICA SCHOOL	NONE	PUBLIC	Unrestncted	-250.00
SUSAN G. KOMEN, CHICAGOLAND AREA	NONE	PUBLIC	Unrestncted	-7,500.00
THE AMERICAN CANCER SOCIETY	NONE	PUBLIC	Unrestricted	-300.00
THE CURE STARTS NOW	NONE	PUBLIC	Unrestncted	-250.00
The Willis Foundation	NONE	PUBLIC	Unrestricted	-550.00
Turpin High School	NONE	PUBLIC	Unrestricted	-250.00
U.S Fund For UNICEF	NONE	PUBLIC	Unrestncted	-10,590.00
UC CLERMONT COLLEGE/UC FOUNDATION	NONE	PUBLIC	Unrestncted	-5,000.00
UNITED WAY	NONE	PUBLIC	Unrestricted	-51,250.00
UNITED WAY	NONE	PUBLIC	Unrestncted	-51,250 00
UNITED WAY	NONE	PUBLIC	Unrestncted	-51,250 00
UNITED WAY OF GREATER CINCINNATI	NONE	PUBLIC	Unrestncted	-51,250.00
URBAN LEAGUE OF GREATER CIN.	NONE	PUBLIC	Unrestncted	-1,500 00
WOUNDED WARRIOR PROJECT	NONE	PUBLIC	Unrestricted	-1,000.00
YELLOW RIBBON SUPPORT CENTER	NONE	PUBLIC	Unrestncted	-500 00
YMCA OF CLERMONT COUNTY	NONE	PUBLIC	Unrestncted	-1,000 00
YWCA	NONE	PUBLIC	Unrestncted	-288 68
YWCA	NONE	PUBLIC	Unrestncted	-268.28
YWCA OF GREATER CINCINNATI	NONE	PUBLIC	Unrestncted	-292.39
		Total to Form 990-PF, Part XV, line 3a		-390,379.35

American Modern Foundation
Capital Gains & Losses - Exhibit B
Calendar 2015

Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/Loss
Angel Oak Mulit-Strategy Income Fund Class INSTL	13 287	12/30/2014	10/1/2015	156 79	160 24	(3 45)
Angel Oak Mulit-Strategy Income Fund Class INSTL	13 243	1/30/2015	10/1/2015	156 26	159 84	(3 58)
Angel Oak Mulit-Strategy Income Fund Class INSTL	10 902	2/27/2015	10/1/2015	128 65	132 35	(3 70)
Angel Oak Mulit-Strategy Income Fund Class INSTL	12 900	3/31/2015	10/1/2015	152 22	156 35	(4 13)
Angel Oak Mulit-Strategy Income Fund Class INSTL	12 037	4/30/2015	10/1/2015	142 03	146 13	(4 10)
Angel Oak Mulit-Strategy Income Fund Class INSTL	13 312	5/29/2015	10/1/2015	157 09	162 01	(4 92)
Angel Oak Mulit-Strategy Income Fund Class INSTL	13 238	6/30/2015	10/1/2015	156 20	159 92	(3 72)
Angel Oak Mulit-Strategy Income Fund Class INSTL	16 400	7/31/2015	10/1/2015	193 52	197 62	(4 10)
Angel Oak Mulit-Strategy Income Fund Class INSTL	14 892	8/31/2015	10/1/2015	175 73	177 96	(2 23)
Angel Oak Mulit-Strategy Income Fund Class INSTL	15 833	9/30/2015	10/1/2015	186 83	186 99	(0 16)
Deutsche X-Trackers MSCI Europe Hedged Equity ETF	600 000	2/19/2015	12/24/2015	15,601 21	16,974 00	(1,372 79)
Gateway Fund Class Y	5 350	3/20/2014	2/19/2015	159 92	154 51	5 41
Gateway Fund Class Y	344 353	4/9/2014	2/19/2015	10,292 71	10,000 00	292 71
Gateway Fund Class Y	5 705	6/19/2014	2/19/2015	170 52	167 90	2 62
Gateway Fund Class Y	5 620	9/23/2014	2/19/2015	167 98	166 41	1 57
Gateway Fund Class Y	3 937	12/18/2014	2/19/2015	117 68	116 06	1 62
Goldman Sachs Strategic Income CL I	8 344	5/30/2014	5/1/2015	84 02	88 35	(4 33)
Goldman Sachs Strategic Income CL I	7 859	6/30/2014	5/1/2015	79 14	82 99	(3 85)
Goldman Sachs Strategic Income CL I	5 258	7/31/2014	5/1/2015	52 95	55 89	(2 94)
Goldman Sachs Strategic Income CL I	2 976	8/1/2014	5/1/2015	29 97	31 64	(1 67)
Goldman Sachs Stràtegic Income CL I	8 804	8/29/2014	5/1/2015	88 65	92 70	(4 05)
Goldman Sachs Strategic Income CL I	7 335	9/30/2014	5/1/2015	73 87	77 67	(3 80)
Goldman Sachs Strategic Income CL I	22 613	12/26/2014	5/1/2015	227 71	233 57	(5 86)
Goldman Sachs Strategic Income CL I	7 867	12/31/2014	5/1/2015	79 22	80 86	(1 64)
Goldman Sachs Strategic Income CL I	8 451	1/30/2015	5/1/2015	85 10	85 02	0 08
Goldman Sachs Strategic Income CL I	8 032	2/27/2015	5/1/2015	80 88	81 93	(1 05)
Goldman Sachs Strategic Income CL I	9 374	3/31/2015	5/1/2015	94 40	94 30	0 10
Goldman Sachs Strategic Income CL I	10 845	4/30/2015	5/1/2015	109 21	109 21	-
JP Morgan Strategic Income Opportunities Fund Class S	5 000	10/31/2014	10/1/2015	56 65	59 00	(2 35)
JP Morgan Strategic Income Opportunities Fund Class S	2 067	11/28/2014	10/1/2015	23 42	24 33	(0 91)
JP Morgan Strategic Income Opportunities Fund Class S	9 199	12/30/2014	10/1/2015	104 22	107 81	(3 59)
JP Morgan Strategic Income Opportunities Fund Class S	1 785	1/29/2015	10/1/2015	20 23	20 92	(0 69)
JP Morgan Strategic Income Opportunities Fund Class S	8 300	2/25/2015	10/1/2015	94 04	97 69	(3 65)
JP Morgan Strategic Income Opportunities Fund Class S	20 519	4/28/2015	10/1/2015	232 48	241 30	(8 82)
JP Morgan Strategic Income Opportunities Fund Class S	8 382	5/27/2015	10/1/2015	94 96	98 49	(3 53)
JP Morgan Strategic Income Opportunities Fund Class S	9 359	6/26/2015	10/1/2015	106 04	109 31	(3 27)
JP Morgan Strategic Income Opportunities Fund Class S	10 066	7/29/2015	10/1/2015	114 05	116 66	(2 61)
JP Morgan Strategic Income Opportunities Fund Class S	9 574	8/27/2015	10/1/2015	108 47	109 91	(1 44)
JP Morgan Strategic Income Opportunities Fund Class S	10 336	9/28/2015	10/1/2015	117 11	117 31	(0 20)
Mainstay Merketfield Fund Class I	291 715	9/23/2014	5/15/2015	4,816 22	5,000 00	(183 78)
Pimco All Asset All Authority Fund Class P	22 472	3/20/2014	1/14/2015	204 50	221 57	(17 07)
Pimco All Asset All Authority Fund Class P	491 642	4/9/2014	1/14/2015	4,473 94	5,000 00	(526 06)
Pimco All Asset All Authority Fund Class P	32 355	6/19/2014	1/14/2015	294 43	335 52	(41 09)
Pimco All Asset All Authority Fund Class P	38 445	9/18/2014	1/14/2015	349 85	386 37	(36 52)
Pimco All Asset All Authority Fund Class P	156 979	12/30/2014	1/14/2015	1,428 51	1,433 22	(4 71)
Prudential Short-Term Corporate Bond Fund Inc Z	5 957	12/31/2014	10/1/2015	66 36	66 84	(0 48)
Prudential Short-Term Corporate Bond Fund Inc Z	6 111	1/30/2015	10/1/2015	68 07	69 05	(0 98)
Prudential Short-Term Corporate Bond Fund Inc Z	5 447	2/27/2015	10/1/2015	60 68	61 39	(0 71)
Prudential Short-Term Corporate Bond Fund Inc Z	5 762	3/31/2015	10/1/2015	64 19	64 94	(0 75)
Prudential Short-Term Corporate Bond Fund Inc Z	5 805	4/30/2015	10/1/2015	64 67	65 36	(0 69)
Prudential Short-Term Corporate Bond Fund Inc Z	5 973	5/29/2015	10/1/2015	66 54	67 14	(0 60)
Prudential Short-Term Corporate Bond Fund Inc Z	5 789	6/30/2015	10/1/2015	64 49	64 72	(0 23)
Prudential Short-Term Corporate Bond Fund Inc Z	6 239	7/31/2015	10/1/2015	69 50	69 63	(0 13)
Prudential Short-Term Corporate Bond Fund Inc Z	5 537	8/31/2015	10/1/2015	61 68	61 57	0 11
Prudential Short-Term Corporate Bond Fund Inc Z	5 734	9/30/2015	10/1/2015	63 88	63 88	-
Putnam Diversified Income Fund Class Y	20 151	10/14/2014	10/1/2015	141 46	153 35	(11 89)
Putnam Diversified Income Fund Class Y	20 149	11/14/2014	10/1/2015	141 44	153 94	(12 50)
Putnam Diversified Income Fund Class Y	20 966	12/15/2014	10/1/2015	147 18	154 52	(7 34)
Putnam Diversified Income Fund Class Y	20 186	1/13/2015	10/1/2015	141.71	149 78	(8 07)
Putnam Diversified Income Fund Class Y	20 761	2/13/2015	10/1/2015	145 74	155 71	(9 97)
Putnam Diversified Income Fund Class Y	20 843	3/16/2015	10/1/2015	146 32	156 32	(10.00)
Putnam Diversified Income Fund Class Y	21 091	4/14/2015	10/1/2015	148.06	156 92	(8 86)
Putnam Diversified Income Fund Class Y	21 088	5/14/2015	10/1/2015	148 04	157.53	(9 49)
Putnam Diversified Income Fund Class Y	23 613	6/16/2015	10/1/2015	165 76	174 50	(8 74)
Putnam Diversified Income Fund Class Y	23 099	7/14/2015	10/1/2015	162 16	169 78	(7 62)
Putnam Diversified Income Fund Class Y	24 143	8/14/2015	10/1/2015	169 48	176 00	(6 52)

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Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/Loss
Putnam Diversified Income Fund Class Y	24 483	9/15/2015	10/1/2015	171 87	176 77	(4 90)
Total Short-term				44,318.86	46,401.47	(2,082.61)
Angel Oak Multi-Strategy Income Class Instl	1,850 159	2/22/2013	10/1/2015	21,831 88	22,849 46	(1,017 58)
Angel Oak Multi-Strategy Income Class Instl	10 222	3/5/2013	10/1/2015	120 62	125 83	(5 21)
Angel Oak Multi-Strategy Income Class Instl	7 314	4/5/2013	10/1/2015	86 30	90 25	(3 95)
Angel Oak Multi-Strategy Income Class Instl	8 849	5/6/2013	10/1/2015	104 42	110 35	(5 93)
Angel Oak Multi-Strategy Income Class Instl	8 317	6/5/2013	10/1/2015	98 14	103 38	(5 24)
Angel Oak Multi-Strategy Income Class Instl	10 560	7/5/2013	10/1/2015	124 61	125 66	(1 05)
Angel Oak Multi-Strategy Income Class Instl	10 790	8/5/2013	10/1/2015	127 32	127 43	(0 11)
Angel Oak Multi-Strategy Income Class Instl	10 897	9/5/2013	10/1/2015	128 58	128 48	0 10
Angel Oak Multi-Strategy Income Class Instl	9 825	10/7/2013	10/1/2015	115 94	116 82	(0 88)
Angel Oak Multi-Strategy Income Class Instl	8 527	11/5/2013	10/1/2015	100 62	102 49	(1 87)
Angel Oak Multi-Strategy Income Class Instl	0 183	12/5/2013	10/1/2015	2 16	2 21	(0 05)
Angel Oak Multi-Strategy Income Class Instl	2 573	12/5/2013	10/1/2015	30 36	31 01	(0 65)
Angel Oak Multi-Strategy Income Class Instl	8 635	12/5/2013	10/1/2015	101 89	104 05	(2 16)
Angel Oak Multi-Strategy Income Class Instl	8 393	12/31/2013	10/1/2015	99 04	100 88	(1 84)
Angel Oak Multi-Strategy Income Class Instl	9 906	1/31/2014	10/1/2015	116 89	120 56	(3 67)
Angel Oak Multi-Strategy Income Class Instl	9 221	2/28/2014	10/1/2015	108 81	112 13	(3 32)
Angel Oak Multi-Strategy Income Class Instl	10 356	3/31/2014	10/1/2015	122 20	125 83	(3 63)
Angel Oak Multi-Strategy Income Class Instl	657 895	4/9/2014	10/1/2015	7,763 16	8,000 00	(236 84)
Angel Oak Multi-Strategy Income Class Instl	12 883	4/30/2014	10/1/2015	152 02	156 79	(4 77)
Angel Oak Multi-Strategy Income Class Instl	13 878	5/30/2014	10/1/2015	163 76	169 03	(5 27)
Angel Oak Multi-Strategy Income Class Instl	13 598	6/30/2014	10/1/2015	160 46	166 03	(5 57)
Angel Oak Multi-Strategy Income Class Instl	14 213	7/31/2014	10/1/2015	167 71	174 11	(6 40)
Angel Oak Multi-Strategy Income Class Instl	14 96	8/29/2014	10/1/2015	176 53	182 36	(5 83)
Angel Oak Multi-Strategy Income Class Instl	13 949	9/30/2014	10/1/2015	164 60	169 62	(5 02)
Angel Oak Multi-Strategy Income Class Instl	15 048	10/31/2014	10/1/2015	177 56	185 69	(8 13)
Angel Oak Multi-Strategy Income Class Instl	13 388	11/28/2014	10/1/2015	157 98	165 21	(7 23)
Gateway Fund Class Y	577 316	5/2/2008	2/15/2015	17,255 98	16,222 57	1,033 41
Gateway Fund Class Y	20 740	6/26/2008	2/15/2015	619 92	577 82	42 10
Gateway Fund Class Y	16 781	9/26/2008	2/15/2015	501 58	466 51	35 07
Gateway Fund Class Y	25 209	12/31/2008	2/15/2015	753 50	609 30	144 20
Gateway Fund Class Y	27 932	3/27/2009	2/15/2015	834 88	630 15	204 73
Gateway Fund Class Y	15 980	6/26/2009	2/15/2015	477 65	378 41	99 24
Gateway Fund Class Y	13 492	9/25/2009	2/15/2015	403 27	330 42	72 85
Gateway Fund Class Y	11 018	12/22/2009	2/15/2015	329 33	278 20	51 13
Gateway Fund Class Y	10 574	3/25/2010	2/15/2015	316 06	269 32	46 74
Gateway Fund Class Y	12 335	6/24/2010	2/15/2015	368 69	302 45	66 24
Gateway Fund Class Y	12 304	9/23/2010	2/15/2015	367 77	310 68	57 09
Gateway Fund Class Y	14 775	12/21/2010	2/15/2015	441 62	384 30	57 32
Gateway Fund Class Y	7 162	3/24/2011	2/15/2015	214 07	189 22	24 85
Gateway Fund Class Y	7 529	6/23/2011	2/15/2015	225 05	198 09	26 96
Gateway Fund Class Y	7 976	9/22/2011	2/15/2015	238 40	199 64	38 76
Gateway Fund Class Y	8 788	12/20/2011	2/15/2015	262 67	230 51	32 16
Gateway Fund Class Y	5 903	3/22/2012	2/15/2015	176 44	158 97	17 47
Gateway Fund Class Y	5 929	6/21/2012	2/15/2015	177 22	158 19	19 03
Gateway Fund Class Y	5 761	9/20/2012	2/15/2015	172 20	158 95	13 25
Gateway Fund Class Y	8 423	12/20/2012	2/15/2015	251 76	228 68	23 08
Gateway Fund Class Y	4 709	3/21/2013	2/15/2015	140 75	131 33	9 42
Gateway Fund Class Y	5 396	6/20/2013	2/15/2015	161 29	149 85	11 44
Gateway Fund Class Y	5 331	9/24/2013	2/15/2015	159 34	150 55	8 79
Gateway Fund Class Y	4 072	12/18/2013	2/15/2015	121 71	117 27	4 44
Goldman Sachs Strategic Income Cl I	2,387 319	10/2/2013	5/1/2015	24,040 30	24,993 24	(952 94)
Goldman Sachs Strategic Income Cl I	5 508	10/31/2013	5/1/2015	55 47	58 11	(2 64)
Goldman Sachs Strategic Income Cl I	6 752	11/29/2013	5/1/2015	67 99	71 16	(3 17)
Goldman Sachs Strategic Income Cl I	7 693	12/31/2013	5/1/2015	77 47	82 01	(4 54)
Goldman Sachs Strategic Income Cl I	5 407	1/31/2014	5/1/2015	54 45	57 19	(2 74)
Goldman Sachs Strategic Income Cl I	5 245	2/28/2014	5/1/2015	52 81	55 60	(2 79)
Goldman Sachs Strategic Income Cl I	5 789	3/31/2014	5/1/2015	58 30	61 59	(3 29)
Goldman Sachs Strategic Income Cl I	1,415 094	4/9/2014	5/1/2015	14,250 00	14,998 82	(748 82)
Goldman Sachs Strategic Income Cl I	6 875	4/30/2014	5/1/2015	69 23	72 87	(3.64)
Goldman Sachs Strategic Income Cl I	8 672	10/31/2014	5/1/2015	87 33	90 96	(3 63)
Goldman Sachs Strategic Income Cl I	11 318	11/28/2014	5/1/2015	113 97	117 69	(3 72)
Ishares MSCI EAFE ETF	500 000	4/9/2014	6/16/2015	32,804 40	33,652 55	(848.15)
JP Morgan Strategic Income Opportunities Fund Class S	6 276	9/1/2010	10/1/2015	71 10	72 98	(1 88)

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JP Morgan Strategic Income Opportunities Fund Class S	16 818	10/1/2010	10/1/2015	190 55	197 26	(6 71)
JP Morgan Strategic Income Opportunities Fund Class S	16 174	11/1/2010	10/1/2015	183 25	191 17	(7 92)
JP Morgan Strategic Income Opportunities Fund Class S	19 139	12/1/2010	10/1/2015	216 84	224 68	(7 84)
JP Morgan Strategic Income Opportunities Fund Class S	6 330	12/15/2010	10/1/2015	71 72	74 69	(2 97)
JP Morgan Strategic Income Opportunities Fund Class S	1,690 642	12/22/2010	10/1/2015	19,154 98	20,000 00	(845 02)
JP Morgan Strategic Income Opportunities Fund Class S	22 510	1/3/2011	10/1/2015	255 03	266 52	(11 49)
JP Morgan Strategic Income Opportunities Fund Class S	17 486	2/1/2011	10/1/2015	198 12	208 78	(10 66)
JP Morgan Strategic Income Opportunities Fund Class S	16 013	3/1/2011	10/1/2015	181 42	192 48	(11 06)
JP Morgan Strategic Income Opportunities Fund Class S	16 097	4/1/2011	10/1/2015	182 38	192 85	(10 47)
JP Morgan Strategic Income Opportunities Fund Class S	14 665	4/29/2011	10/1/2015	166 16	176 42	(10 26)
JP Morgan Strategic Income Opportunities Fund Class S	15 442	5/31/2011	10/1/2015	174 96	185 15	(10 19)
JP Morgan Strategic Income Opportunities Fund Class S	13 439	6/30/2011	10/1/2015	152 26	160.19	(7 93)
JP Morgan Strategic Income Opportunities Fund Class S	9 875	7/29/2011	10/1/2015	111 88	116 92	(5 04)
JP Morgan Strategic Income Opportunities Fund Class S	8 783	8/31/2011	10/1/2015	99 51	101 87	(2 36)
JP Morgan Strategic Income Opportunities Fund Class S	8 564	9/30/2011	10/1/2015	97 04	96 94	0 10
JP Morgan Strategic Income Opportunities Fund Class S	11 897	11/1/2011	10/1/2015	134 79	138 00	(3 21)
JP Morgan Strategic Income Opportunities Fund Class S	13 858	12/1/2011	10/1/2015	157 01	158 80	(1 79)
JP Morgan Strategic Income Opportunities Fund Class S	6 067	12/16/2011	10/1/2015	68 74	68 73	0 01
JP Morgan Strategic Income Opportunities Fund Class S	70 551	12/16/2011	10/1/2015	799 34	799 24	0 10
JP Morgan Strategic Income Opportunities Fund Class S	21 610	1/3/2012	10/1/2015	244 84	245 03	(0 19)
JP Morgan Strategic Income Opportunities Fund Class S	3 940	1/31/2012	10/1/2015	44 64	45 15	(0 51)
JP Morgan Strategic Income Opportunities Fund Class S	9 144	2/29/2012	10/1/2015	103 60	105 70	(2 10)
JP Morgan Strategic Income Opportunities Fund Class S	9 751	3/30/2012	10/1/2015	110 48	112 62	(2 14)
JP Morgan Strategic Income Opportunities Fund Class S	9 468	4/30/2012	10/1/2015	107 28	109 63	(2 35)
JP Morgan Strategic Income Opportunities Fund Class S	8 989	5/31/2012	10/1/2015	101 85	103 28	(1 43)
JP Morgan Strategic Income Opportunities Fund Class S	9 800	6/29/2012	10/1/2015	111 03	113 58	(2 55)
JP Morgan Strategic Income Opportunities Fund Class S	10 381	7/31/2012	10/1/2015	117 62	120 62	(3 00)
JP Morgan Strategic Income Opportunities Fund Class S	9 784	8/31/2012	10/1/2015	110 85	114 27	(3 42)
JP Morgan Strategic Income Opportunities Fund Class S	7 304	9/28/2012	10/1/2015	82 75	85 74	(2 99)
JP Morgan Strategic Income Opportunities Fund Class S	6 017	10/31/2012	10/1/2015	68 17	70 82	(2 65)
JP Morgan Strategic Income Opportunities Fund Class S	6 672	11/30/2012	10/1/2015	75 60	78 60	(3 00)
JP Morgan Strategic Income Opportunities Fund Class S	0 709	12/13/2012	10/1/2015	8 03	8 41	(0 38)
JP Morgan Strategic Income Opportunities Fund Class S	8 382	12/31/2012	10/1/2015	94 97	99 16	(4 19)
JP Morgan Strategic Income Opportunities Fund Class S	4 280	1/31/2013	10/1/2015	48 49	51 02	(2 53)
JP Morgan Strategic Income Opportunities Fund Class S	5 579	2/28/2013	10/1/2015	63 21	66 44	(3 23)
JP Morgan Strategic Income Opportunities Fund Class S	5 576	3/28/2013	10/1/2015	63 18	66 58	(3 40)
JP Morgan Strategic Income Opportunities Fund Class S	5 575	4/30/2013	10/1/2015	63 16	66 73	(3 57)
JP Morgan Strategic Income Opportunities Fund Class S	5 591	5/31/2013	10/1/2015	63 34	66 87	(3 53)
JP Morgan Strategic Income Opportunities Fund Class S	3 916	6/28/2013	10/1/2015	44 37	46 41	(2 04)
JP Morgan Strategic Income Opportunities Fund Class S	5 216	7/31/2013	10/1/2015	59 10	61 96	(2 86)
JP Morgan Strategic Income Opportunities Fund Class S	4 811	8/30/2013	10/1/2015	54 51	56 91	(2 40)
JP Morgan Strategic Income Opportunities Fund Class S	4 159	9/30/2013	10/1/2015	47 11	49 24	(2 13)
JP Morgan Strategic Income Opportunities Fund Class S	3 926	10/31/2013	10/1/2015	44 49	46 72	(2 23)
JP Morgan Strategic Income Opportunities Fund Class S	3 714	11/29/2013	10/1/2015	42 07	44 19	(2 12)
JP Morgan Strategic Income Opportunities Fund Class S	5 255	12/31/2013	10/1/2015	59 54	62 48	(2 94)
JP Morgan Strategic Income Opportunities Fund Class S	1 314	1/31/2014	10/1/2015	14 88	15 65	(0 77)
JP Morgan Strategic Income Opportunities Fund Class S	3 716	2/28/2014	10/1/2015	42 10	44 36	(2 26)
JP Morgan Strategic Income Opportunities Fund Class S	4 163	3/31/2014	10/1/2015	47 16	49 66	(2 50)
JP Morgan Strategic Income Opportunities Fund Class S	1,258 384	4/9/2014	10/1/2015	14,257 49	15,000 00	(742 51)
JP Morgan Strategic Income Opportunities Fund Class S	2 606	4/30/2014	10/1/2015	29 53	31 04	(1 51)
JP Morgan Strategic Income Opportunities Fund Class S	3 588	5/30/2014	10/1/2015	40 65	42 70	(2 05)
JP Morgan Strategic Income Opportunities Fund Class S	5 225	6/30/2014	10/1/2015	59 20	62 18	(2 98)
JP Morgan Strategic Income Opportunities Fund Class S	4 254	7/31/2014	10/1/2015	48 19	50 45	(2 26)
JP Morgan Strategic Income Opportunities Fund Class S	4 089	8/29/2014	10/1/2015	46 33	48 50	(2 17)
JP Morgan Strategic Income Opportunities Fund Class S	3 224	9/30/2014	10/1/2015	36 53	38 14	(1 61)
Mainstay Marketfield Fund Class I	762 198	10/2/2013	5/15/2015	12,583 89	13,818 65	(1,234 76)
Mainstay Marketfield Fund Class I	0 194	12/4/2013	5/15/2015	3 20	3 50	(0 30)
Mainstay Marketfield Fund Class I	385 888	12/18/2013	5/15/2015	6,371 02	7,000 00	(628 98)
Mainstay Marketfield Fund Class I	550 055	4/9/2014	5/15/2015	9,081 40	10,000 00	(918 60)
Pimco All Asset All Authority Fund Class P	679 825	12/22/2010	1/14/2015	6,186 41	7,362 50	(1,176 09)
Pimco All Asset All Authority Fund Class P	92.977	12/31/2010	1/14/2015	846 09	977 19	(131 10)
Pimco All Asset All Authority Fund Class P	13 281	3/17/2011	1/14/2015	120 86	140 91	(20 05)
Pimco All Asset All Authority Fund Class P	23 439	6/16/2011	1/14/2015	213 29	252 67	(39 38)
Pimco All Asset All Authority Fund Class P	2,287 283	9/1/2011	1/14/2015	20,814 28	25,000 00	(4,185 72)
Pimco All Asset All Authority Fund Class P	49.438	9/15/2011	1/14/2015	449 88	522 07	(72 19)
Pimco All Asset All Authority Fund Class P	256 930	12/28/2011	1/14/2015	2,338 06	2,548 75	(210 69)
Pimco All Asset All Authority Fund Class P	32 647	3/22/2012	1/14/2015	297 09	343 45	(46 36)

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Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/Loss
Pimco All Asset All Authority Fund Class P	17 887	6/21/2012	1/14/2015	162 77	184 06	(21 29)
Pimco All Asset All Authority Fund Class P	27 518	9/20/2012	1/14/2015	250 42	305 45	(55 03)
Pimco All Asset All Authority Fund Class P	114 513	12/27/2012	1/14/2015	1,042 07	1,275 67	(233 60)
Pimco All Asset All Authority Fund Class P	22 685	12/31/2012	1/14/2015	206 43	251 12	(44 69)
Pimco All Asset All Authority Fund Class P	23 389	3/21/2013	1/14/2015	212 84	255 64	(42 80)
Pimco All Asset All Authority Fund Class P	29 180	6/20/2013	1/14/2015	265 54	298 51	(32 97)
Pimco All Asset All Authority Fund Class P	29 922	9/19/2013	1/14/2015	272 29	308 80	(36 51)
Pimco All Asset All Authority Fund Class P	130 315	12/30/2013	1/14/2015	1,185 86	1,292 72	(106 86)
Prudential Short-Term Corporate Bond Fund Inc Z	1,322 390	1/12/2012	10/1/2015	14,731 43	15,088 58	(357 15)
Prudential Short-Term Corporate Bond Fund Inc Z	3 743	1/31/2012	10/1/2015	41 70	43 08	(1 38)
Prudential Short-Term Corporate Bond Fund Inc Z	8 408	2/29/2012	10/1/2015	93 66	97 03	(3 37)
Prudential Short-Term Corporate Bond Fund Inc Z	865 768	3/2/2012	10/1/2015	9,644 65	10,000 00	(355 35)
Prudential Short-Term Corporate Bond Fund Inc Z	11 284	3/30/2012	10/1/2015	125 71	130 00	(4 29)
Prudential Short-Term Corporate Bond Fund Inc Z	10 744	4/30/2012	10/1/2015	119 68	123 88	(4 20)
Prudential Short-Term Corporate Bond Fund Inc Z	11 579	5/31/2012	10/1/2015	129 00	132 82	(3 82)
Prudential Short-Term Corporate Bond Fund Inc Z	11 328	6/29/2012	10/1/2015	126 19	130 16	(3 97)
Prudential Short-Term Corporate Bond Fund Inc Z	10 762	7/31/2012	10/1/2015	119 89	124 62	(4 73)
Prudential Short-Term Corporate Bond Fund Inc Z	11 870	8/31/2012	10/1/2015	132 22	137 69	(5 47)
Prudential Short-Term Corporate Bond Fund Inc Z	9 004	9/28/2012	10/1/2015	100 31	104 72	(4 41)
Prudential Short-Term Corporate Bond Fund Inc Z	8 212	10/31/2012	10/1/2015	91 49	95 60	(4 11)
Prudential Short-Term Corporate Bond Fund Inc Z	8 298	10/30/2012	10/1/2015	92 44	96 43	(3 99)
Prudential Short-Term Corporate Bond Fund Inc Z	0 429	12/21/2012	10/1/2015	4 77	4 97	(0 20)
Prudential Short-Term Corporate Bond Fund Inc Z	7 085	12/31/2012	10/1/2015	78 93	82 12	(3 19)
Prudential Short-Term Corporate Bond Fund Inc Z	7 881	1/31/2013	10/1/2015	87 80	91 19	(3 39)
Prudential Short-Term Corporate Bond Fund Inc Z	7 133	2/28/2013	10/1/2015	79 46	82 61	(3 15)
Prudential Short-Term Corporate Bond Fund Inc Z	7 839	3/28/2013	10/1/2015	87 33	90 63	(3 30)
Prudential Short-Term Corporate Bond Fund Inc Z	7 573	4/30/2013	10/1/2015	84 37	87 62	(3 25)
Prudential Short-Term Corporate Bond Fund Inc Z	8 312	5/31/2013	10/1/2015	92 59	95 42	(2 83)
Prudential Short-Term Corporate Bond Fund Inc Z	7 148	6/28/2013	10/1/2015	79 63	80 99	(1 36)
Prudential Short-Term Corporate Bond Fund Inc Z	7 967	7/31/2013	10/1/2015	88 75	90 58	(1 83)
Prudential Short-Term Corporate Bond Fund Inc Z	8 542	8/30/2013	10/1/2015	95 17	96 70	(1 53)
Prudential Short-Term Corporate Bond Fund Inc Z	7 193	9/30/2013	10/1/2015	80 13	81 78	(1 65)
Prudential Short-Term Corporate Bond Fund Inc Z	8 079	10/31/2013	10/1/2015	89 99	92 18	(2 19)
Prudential Short-Term Corporate Bond Fund Inc Z	7 996	11/29/2013	10/1/2015	89 08	91 31	(2 23)
Prudential Short-Term Corporate Bond Fund Inc Z	0 291	12/31/2013	10/1/2015	3 24	3 31	(0 07)
Prudential Short-Term Corporate Bond Fund Inc Z	7 754	12/31/2013	10/1/2015	86 38	88 08	(1 70)
Prudential Short-Term Corporate Bond Fund Inc Z	8 434	1/31/2014	10/1/2015	93 95	96 14	(2 19)
Prudential Short-Term Corporate Bond Fund Inc Z	7 093	2/28/2014	10/1/2015	79 01	81 01	(2 00)
Prudential Short-Term Corporate Bond Fund Inc Z	7 227	3/31/2014	10/1/2015	80 51	82 17	(1 66)
Prudential Short-Term Corporate Bond Fund Inc Z	7 347	4/30/2014	10/1/2015	81 84	83 68	(1 84)
Prudential Short-Term Corporate Bond Fund Inc Z	7 725	5/30/2014	10/1/2015	86 06	88 22	(2 16)
Prudential Short-Term Corporate Bond Fund Inc Z	6 915	6/30/2014	10/1/2015	77 03	78 76	(1 73)
Prudential Short-Term Corporate Bond Fund Inc Z	4 797	7/31/2014	10/1/2015	53 43	54 64	(1 21)
Prudential Short-Term Corporate Bond Fund Inc Z	3 113	8/1/2014	10/1/2015	34 68	35 46	(0 78)
Prudential Short-Term Corporate Bond Fund Inc Z	7 602	8/29/2014	10/1/2015	84 69	86 73	(2 04)
Prudential Short-Term Corporate Bond Fund Inc Z	6 562	9/30/2014	10/1/2015	73 10	73 95	(0 85)
Prudential Short-Term Corporate Bond Fund Inc Z	7 389	10/31/2014	10/1/2015	82 31	84 38	(2 07)
Prudential Short-Term Corporate Bond Fund Inc Z	5 564	11/28/2014	10/1/2015	61 99	63 54	(1 55)
Putnam Diversified Income Fund Class Y	3,215 229	2/6/2014	10/1/2015	22,570 90	25,018 42	(2,447 52)
Putnam Diversified Income Fund Class Y	18 172	2/13/2014	10/1/2015	127 57	141 40	(13 83)
Putnam Diversified Income Fund Class Y	18 165	3/14/2014	10/1/2015	127 52	142 07	(14 55)
Putnam Diversified Income Fund Class Y	1,891 572	4/9/2014	10/1/2015	13,278 84	15,000 00	(1,721 16)
Putnam Diversified Income Fund Class Y	26 984	4/14/2014	10/1/2015	189 43	212 11	(22 68)
Putnam Diversified Income Fund Class Y	23 446	5/14/2014	10/1/2015	164 59	184 30	(19 71)
Putnam Diversified Income Fund Class Y	23 423	6/16/2014	10/1/2015	164 43	185 05	(20 62)
Putnam Diversified Income Fund Class Y	25 208	7/15/2014	10/1/2015	176 96	199 14	(22 18)
Putnam Diversified Income Fund Class Y	22 997	8/14/2014	10/1/2015	161 44	178 23	(16 79)
Putnam Diversified Income Fund Class Y	22 776	9/16/2014	10/1/2015	159 89	179 02	(19 13)
Total Long-term				**296,119.70**	**314,047.52**	**(17,927.82)**
Total Proceeds/Cost/Gain(Loss)				**340,438.56**	**360,448.99**	**(20,010.43)**