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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

2015

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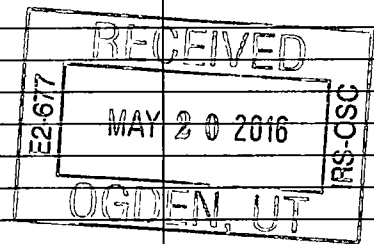
For calendar year 2015 or tax year beginning

, and ending

Name of foundation JOHN BURTON HARTER FOUNDATION		A Employer identification number 30-6048115
Number and street (or P O box number if mail is not delivered to street address) 295 N HUBBARDS LANE, SUITE 302	Room/suite	B Telephone number (502) 400-6000
City or town, state or province, country, and ZIP or foreign postal code LOUISVILLE, KY 40207		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 3,848,246.	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received				
2	Check <input checked="" type="checkbox"/> If the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	89,759.	89,759.		STATEMENT 1
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	106,373.			
b	Gross sales price for all assets on line 6a	769,021.			
7	Capital gain net income (from Part IV, line 2)		106,373.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss)				
11	Other income	8,497.	8,497.	0.	STATEMENT 2
12	Total. Add lines 1 through 11	204,629.	204,629.	0.	
13	Compensation of officers, directors, trustees, etc	75,327.	13,663.	0.	61,664.
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees				
b	Accounting fees	1,900.	0.	0.	1,900.
c	Other professional fees				
17	Interest				
18	Taxes	1,152.	1,060.	0.	0.
19	Depreciation and depletion				
20	Occupancy				
21	Travel, conferences, and meetings	5,957.	0.	0.	5,957.
22	Printing and publications				
23	Other expenses	2,608.	0.	0.	2,608.
24	Total operating and administrative expenses. Add lines 13 through 23	86,944.	14,723.	0.	72,129.
25	Contributions, gifts, grants paid	124,500.			124,500.
26	Total expenses and disbursements. Add lines 24 and 25	211,444.	14,723.	0.	196,629.
27	Subtract line 26 from line 12:				
a	Excess of revenue over expenses and disbursements	<6,815.>			
b	Net investment income (if negative, enter -0-)		189,906.		
c	Adjusted net income (if negative, enter -0-)			0.	

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	188,914.	121,622.	121,622.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations STMT 7	117,808.	118,015.	117,224.
	b Investments - corporate stock STMT 8	1,688,932.	1,843,224.	2,385,238.
	c Investments - corporate bonds STMT 9	978,000.	920,645.	901,936.
	11 Investments - land, buildings, and equipment basis ▶			
Less accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other STMT 10	35,968.	0.	0.	
14 Land, buildings, and equipment: basis ▶				
Less accumulated depreciation ▶				
15 Other assets (describe ▶ ART COLLECTION)	322,226.	322,226.	322,226.	
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	3,331,848.	3,325,732.	3,848,246.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>			
	27 Capital stock, trust principal, or current funds	3,331,848.	3,325,732.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	0.	0.	
	30 Total net assets or fund balances	3,331,848.	3,325,732.	
	31 Total liabilities and net assets/fund balances	3,331,848.	3,325,732.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,331,848.
2 Enter amount from Part I, line 27a	2	<6,815.>
3 Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 6	3	699.
4 Add lines 1, 2, and 3	4	3,325,732.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	3,325,732.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a FIRST KENTUCKY TRUST COMPANY - SEE ATTACHED				
b FIRST KENTUCKY TRUST COMPANY - SEE ATTACHED				
c CAPITAL INDUSTRIAL INCOME TRUST REIT			04/25/12	11/20/15
d CAPITAL GAINS DIVIDENDS				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 85,897.		87,103.	<1,206.>
b 555,377.		475,545.	79,832.
c 119,570.		100,000.	19,570.
d 8,177.			8,177.
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			<1,206.>
b			79,832.
c			19,570.
d			8,177.
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2	106,373.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2014	257,321.	4,144,665.	.062085
2013	250,284.	4,357,327.	.057440
2012	240,605.	3,947,374.	.060953
2011	178,720.	3,957,065.	.045165
2010	154,026.	3,512,857.	.043846

2 Total of line 1, column (d)	2	.269489
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.053898
4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	4	4,000,306.
5 Multiply line 4 by line 3	5	215,608.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,899.
7 Add lines 5 and 6	7	217,507.
8 Enter qualifying distributions from Part XII, line 4	8	196,629.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	3,798.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	3,798.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	3,798.
6 Credits/Payments:			
a 2015 estimated tax payments and 2014 overpayment credited to 2015	6a		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7		0.
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		25.
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		3,823.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2016 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>KY</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>WWW.JBHARTER.ORG</u>	X	
14 The books are in care of ► <u>FIRST KENTUCKY TRUST</u> Telephone no. ► <u>(502) 400-6000</u> Located at ► <u>295 N HUBBARDS LANE, SUITE 203, LOUISVILLE, KY</u> ZIP+4 ► <u>40207</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A
16 At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►	16	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? If "Yes," list the years ►	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	2b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.)	3b	N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
GEORGE JORDAN	DIRECTOR			
295 N HUBBARDS LANE, SUITE 302				
LOUISVILLE, KY 40207	1.00	16,000.	0.	0.
JOHN J. SULLIVAN	DIRECTOR			
295 N HUBBARDS LANE, SUITE 302				
LOUISVILLE, KY 40207	1.00	16,000.	0.	0.
FIRST KENTUCKY TRUST	TRUSTEE			
295 N HUBBARDS LANE, SUITE 302				
LOUISVILLE, KY 40207	2.00	27,327.	0.	0.
ALAN J WILLIAMS	DIRECTOR			
295 N HUBBARDS LANE, SUITE 302				
LOUISVILLE, KY 40207	1.00	16,000.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3

0.

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Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	3,673,337.
b	Average of monthly cash balances	1b	65,661.
c	Fair market value of all other assets	1c	322,226.
d	Total (add lines 1a, b, and c)	1d	4,061,224.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	4,061,224.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	60,918.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	4,000,306.
6	Minimum investment return. Enter 5% of line 5	6	200,015.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	200,015.
2a	Tax on investment income for 2015 from Part VI, line 5	2a	3,798.
b	Income tax for 2015. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	3,798.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	196,217.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	196,217.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	196,217.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	196,629.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	196,629.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	196,629.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				196,217.
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only			47,760.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2015:				
a From 2010				
b From 2011				
c From 2012				
d From 2013				
e From 2014				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2015 from Part XII, line 4: ▶ \$ 196,629.				
a Applied to 2014, but not more than line 2a			47,760.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2015 distributable amount				148,869.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:	0.			
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2014. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016				47,348.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2011				
b Excess from 2012				
c Excess from 2013				
d Excess from 2014				
e Excess from 2015				

N/A

- b** Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(i)(3) or ☐ 4942(i)(5)

- | Tax year | Prior 3 years | | | (e) Total |
|----------|---------------|----------|----------|-----------|
| (a) 2015 | (b) 2014 | (c) 2013 | (d) 2012 | |

- (4) Gross investment income**

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
LESLIE LOHMAN MUSEUM OF GAY AND LESBIAN ART 26 WOOSTER STREET NEW YORK, NY 10013	N/A	PUBLIC CHARITY	SUPPORT OF EXHIBIT CATALOGUE.	25,000.
NEW ORLEANS FILM SOCIETY 900 CAMP STREET NEW ORLEANS, LA 70130-3908	N/A	PUBLIC CHARITY	SUPPORT OF OUTTAKES AT ANNUAL NEW ORLEANS FILM FESTIVAL.	7,500.
NEW ORLEANS MUSEUM OF ART ONE COLLINS C. DIBOLL CIRCLE, CITY PARK NEW ORLEANS, LA 70124	N/A	PUBLIC CHARITY	SUPPORT OF 2015 ODYSSEY BALL.	10,000.
UNIVERSITY AT BUFFALO FOUNDATION P.O. BOX 900 BUFFLO, NY 14226-0900	N/A	PUBLIC CHARITY	SUPPORT OF JOHN BURTON HARTER ART COLLECTION; JOURNAL FOR QUEER ART STUDIES.	62,000.
WADSWORTH ATHENEUM MUSEUM OF ART 600 MAIN STREET HARTFORD, CT 06103	N/A	PUBLIC CHARITY	SUPPORT FOR WARHOL & MAPPLETHORPE EXHIBIT	20,000.
Total			3a	124,500.
b Approved for future payment				
NONE				
Total			3b	0.

2015.03020 JOHN BURTON HARTER FOUNDATI 594457_1

STATEMENT PERIOD: DECEMBER 01, 2015 THROUGH DECEMBER 31, 2015

PORTFOLIO DETAIL - PRINCIPAL

DESCRIPTION	TICKER	SHARES	TOTAL MARKET/ TOTAL COST	MARKET PRICE/ COST PRICE	EST ANNUAL INCOME/ GAIN / LOSS	CURRENT YIELD
CASH AND EQUIVALENTS						
NORTHERN INSTL GOVERNMENT SELECT MONEY MARKET .010%	BGSXX	118,968.450	118,968.45 118,968.45	1.00 1.00	11.90	0.01
PRINCIPAL CASH			157,738.59- 157,738.59-			
* TOTAL CASH AND EQUIVALENTS			38,770.14- 38,770.14-		11.90 0.00	0.03-
EQUITIES						
ALPHABET INC CL C	GOOG	25.000	18,972.00 6,097.98	758.88 243.92	12,874.02	
ALPHABET INC CL A	GOOGL	25.000	19,450.25 6,134.41	778.01 245.38	13,315.84	
AMERICAN WATER WORKS CO	AWK	500.000	29,875.00 19,028.85	59.75 38.06	680.00 10,848.15	2.28
APPLE INC	AAPL	350.000	36,841.00 2,943.18	105.26 8.41	728.00 33,897.82	1.98
BANK OF AMERICA CORP	BAC	2,500.000	42,075.00 22,476.75	16.83 8.99	500.00 19,598.25	1.19
BLACKROCK MULTI ASSET INCOME INSTL	BIICX	9,017.130	94,770.04 100,800.39	10.51 11.18	5,166.82 6,030.35-	5.45
CME GROUP INC	CME	350.000	31,710.00 25,398.31	90.60 72.57	700.00 6,311.69	2.21
CHEVRON CORP	CVX	195.000	17,542.20 19,456.03	89.96 99.77	834.60 1,913.83-	4.76
CITIGROUP INC	C	525.000	27,168.75 17,411.88	51.75 33.17	105.00 9,756.87	0.39
COCA COLA CO	KO	600.000	25,776.00 22,040.21	42.96 36.73	792.00 3,735.79	3.07
COMCAST CORP NEW CL A	CMCSA	400.000	22,572.00 9,382.46	56.43 23.46	400.00 13,189.54	1.77
CONOCOPHILLIPS	COP	500.000	23,345.00 30,037.40	46.69 60.07	1,480.00 6,692.40-	6.34
DU PONT E I DE NEMOURS & CO	DD	340.000	22,644.00 14,190.98	66.60 41.74	516.80 8,453.02	2.28
EMC CORP MASS	EMC	705.000	18,104.40 16,421.89	25.68 23.29	324.30 1,682.51	1.79
EXXON MOBIL CORP	XOM	290.000	22,605.50 21,332.40	77.95 73.56	846.80 1,273.10	3.75
GENERAL ELECTRIC CO	GE	700.000	21,805.00 12,383.88	31.15 17.69	644.00 9,421.12	2.95

STATEMENT PERIOD: DECEMBER 01, 2015 THROUGH DECEMBER 31, 2015

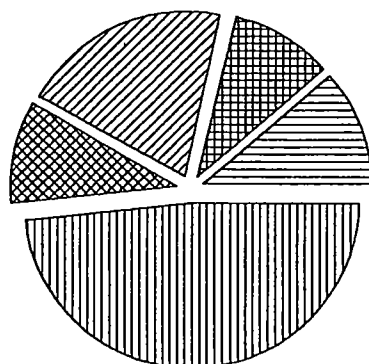
PORTFOLIO DETAIL - PRINCIPAL (CONTINUED)

DESCRIPTION	TICKER	SHARES	TOTAL MARKET/ TOTAL COST	MARKET PRICE/ COST PRICE	EST ANNUAL INCOME/ GAIN / LOSS	CURRENT YIELD
EQUITIES						
GOLDMAN SACHS MULTI-MANAGER ALTS INSTL	GSMMX	13,585.827	137,216.85 150,612.71	10.10 11.09	502.68 13,395.86-	0.37
HARBOR INTERNATIONAL FD	HAINX	2,022.591	120,202.58 71,440.39	59.43 35.32	2,186.42 48,762.19	1.82
HERSHEY CO FOODS CORP	HSY	195.000	17,407.65 11,443.48	89.27 58.68	454.74 5,964.17	2.61
HOME DEPOT INC	HD	300.000	39,675.00 13,404.00	132.25 44.68	708.00 26,271.00	1.78
INTEL CORP	INTC	600.000	20,670.00 15,506.71	34.45 25.84	576.00 5,163.29	2.79
ISHARES RUSSELL MIDCAP INDEX	IWR	1,200.000	192,216.00 77,509.12	160.18 64.59	3,058.80 114,706.88	1.59
ISHARES RUSSELL 2000 INDEX	IWM	1,000.000	112,620.00 79,107.94	112.62 79.11	1,732.00 33,512.06	1.54
ISHARES US TELECOMMUNICATIONS ETF	IYZ	800.000	23,032.00 23,640.00	28.79 29.55	455.20 608.00-	1.98
JPMORGAN CHASE & CO	JPM	750.000	49,522.50 21,680.34	66.03 28.91	1,320.00 27,842.16	2.67
JOHNSON & JOHNSON	JNJ	240.000	24,652.80 15,126.30	102.72 63.03	720.00 9,526.50	2.92
KOHL'S CORP	KSS	600.000	28,578.00 29,414.97	47.63 49.02	1,080.00 836.97-	3.78
LAZARD US EQUITY CONCENTRATED INSTL	LEVIX	10,588.164	146,434.31 127,906.94	13.83 12.08	402.35 18,527.37	0.27
MFS INSTL INTERNATIONAL EQUITY	MIEIX	2,178.999	44,800.22 50,026.50	20.56 22.86	738.68 5,226.28-	1.65
MERCK & CO INC	MRK	500.000	26,410.00 23,266.64	52.82 46.53	920.00 3,143.36	3.48
MICROSOFT CORP	MSFT	530.000	29,404.40 12,341.38	55.48 23.29	763.20 17,063.02	2.60
NATIONAL OIL WELL VARCO INC	NOV	600.000	20,094.00 23,551.32	33.49 39.25	1,104.00 3,457.32-	5.49
NORTHERN TRUST CORP	NTRS	400.000	28,836.00 18,479.98	72.09 46.20	576.00 10,356.04	2.00
NOVARTIS A G SPONSORED ADR	NVS	300.000	25,812.00 17,807.01	86.04 59.36	677.70 8,004.99	2.63
ORACLE CORP	ORCL	500.000	18,265.00 15,256.80	36.53 30.51	300.00 3,008.20	1.64
PFIZER INC	PFE	950.000	30,666.00 24,671.34	32.28 25.87	1,140.00 5,994.66	3.72

STATEMENT PERIOD: DECEMBER 01, 2015 THROUGH DECEMBER 31, 2015

PORTFOLIO DETAIL - PRINCIPAL (CONTINUED)

DESCRIPTION	TICKER	SHARES	TOTAL MARKET/ TOTAL COST	MARKET PRICE/ COST PRICE	EST ANNUAL INCOME/ GAIN / LOSS	CURRENT YIELD
EQUITIES						
POWERSHARES DYNAMIC BIOTECHNOLOGY & GENOME PORTFOLIO	PBE	750.000	37,890.00 17,144.93	50.52 22.88	425.25 20,745.07	1.12
PROCTER & GAMBLE CO	PG	500.000	39,705.00 35,247.02	79.41 70.49	1,328.00 4,457.98	3.34
UNION PACIFIC CORP	UNP	200.000	15,640.00 9,869.30	78.20 49.35	440.00 5,770.70	2.81
UNITED PARCEL SERVICE INC CL B	UPS	300.000	28,869.00 23,610.99	96.23 78.70	876.00 5,258.01	3.03
UNITED TECHNOLOGIES CORP	UTX	350.000	33,624.50 22,050.38	96.07 63.00	896.00 11,574.12	2.66
VANGUARD HIGH DIVIDEND YIELD INDX ETF	VYM	5,000.000	333,750.00 243,900.05	66.75 48.78	10,745.00 89,849.95	3.22
VANGUARD MSCI EMERGING MARKETS ETF	VWO	4,600.000	150,466.00 194,741.45	32.71 42.34	4,903.60 44,275.45-	3.26
VANGUARD ENERGY ETF	VDE	350.000	29,092.00 39,842.39	83.12 113.84	1,848.80 10,750.39-	6.35
VANGUARD MATERIALS INDEX FUND	VAW	200.000	18,838.00 16,040.00	94.19 80.20	867.20 2,798.00	4.60
VERIZON COMMUNICATIONS INC	VZ	671.000	31,013.62 31,439.52	46.22 46.85	1,516.46 425.90-	4.89
WATERS CORP	WAT	175.000	23,551.50 23,154.06	134.58 132.31	397.44	
WELLS FARGO & CO COMPANY NEW	WFC	460.000	25,005.60 12,432.02	54.36 27.03	690.00 12,573.58	2.76
DC INDUSTRIAL LIQUIDATING TRUST		10,752.888	6,021.52 6,021.52	0.56 0.56		
* TOTAL EQUITIES			2,385,238.19 1,843,224.48		57,666.20 542,013.71	2.42

BOND QUALITY SUMMARY


S & P QUALITY RATING	MARKET VALUE	PERCENT
TREASURY / AGENCY	117,224.12	11.5%
AA+	102,410.00	10.0%
AA	205,458.00	20.2%
AA-	100,566.00	9.9%
NOT RATED	493,502.44	48.4%
Total	1,019,160.56	100.0%

STATEMENT PERIOD: DECEMBER 01, 2015 THROUGH DECEMBER 31, 2015

PORTFOLIO DETAIL - PRINCIPAL (CONTINUED)

DESCRIPTION	RATING	PAR VALUE	TOTAL MARKET/ TOTAL COST	MARKET PRICE/ COST PRICE	EST ANNUAL INCOME/ GAIN / LOSS	YIELD CURRENT/ MATURITY
FIXED INCOME						
APPLE INC SR GLBL NT 2 85% 05/06/2021	AA+	100,000.000	102,410.00 101,948.00	102 41 101 95	2,850.00 462.00	2.78 2.37
BERKSHIRE HATHAWAY FINANCE CORP SR NT 2.9% 10/15/2020	AA	100,000 000	103,637.00 102,185.00	103 64 102 19	2,900.00 1,452.00	2 80 2.10
COCA COLA CO NOTE 2 45% 11/01/2020	AA	100,000 000	101,821.00 100,987.00	101.82 100 99	2,450.00 834.00	2.41 2.05
DODGE & COX INCOME FUND		7,238.481	96,199.41 89,318.73	13 29 12 34	2,917.11 6,880.68	3.03
EATON VANCE FLOATING RATE I		8,267.862	69,532.72 74,328.08	8.41 8.99	3,001.23 4,795.36-	4.32
ISHARES BARCLAYS TIPS BONDS		720.000	78,969.60 74,864.02	109.68 103.98	265.68 4,105.58	0.34
LOOMIS SAYLES CORE PLUS BOND Y		7,686.395	94,388.93 100,000.00	12.28 13.01	2,659 49 5,811 07-	2 82
NORTHERN HIGH YIELD FIXED INCOME FUND		6,949.860	45,035.09 51,924.83	6 48 7.47	2,807.74 6,889.74-	6 23
PIMCO ALL ASSET FUND INST CLASS		10,723.205	109,376.69 124,389.18	10.20 11.60	5,672.58 15,012.49-	5.19
TOYOTA MOTOR CREDIT 2% 10/24/2018	AA-	100,000.000	100,566.00 100,700.00	100.57 100.70	2,000.00 134.00-	1.99 1.79
US TREASURY INFLATION PROTECTED 2 125% 01/15/2019		110,804 000	117,224.12 118,015.05	105.82 106.51	2,354.59 790.93-	2.01 0.16
* TOTAL FIXED INCOME			1,019,160.56 1,038,659.89		29,878.42 19,499.33-	2.93
OTHER						
BURT'S ART COLLECTIONS		1 000	322,226.00 322,226.00	322,226.00		
* TOTAL OTHER			322,226.00 322,226.00		0.00 0.00	0.00
TOTAL PRINCIPAL ASSETS			3,687,854.61 3,165,340.23		87,556.52 522,514.38	2.37



STATEMENT PERIOD: DECEMBER 01, 2015 THROUGH DECEMBER 31, 2015

PORTFOLIO DETAIL - INCOME

DESCRIPTION	TICKER	SHARES	TOTAL MARKET/ TOTAL COST	MARKET PRICE/ COST PRICE	EST ANNUAL INCOME/ GAIN / LOSS	CURRENT YIELD
CASH AND EQUIVALENTS						
NORTHERN INSTL GOVERNMENT SELECT MONEY MARKET .010%	BGSXX	54.400	54.40 54.40	1.00 1.00	0 01	0.02
INCOME CASH			160,337.22 160,337.22			
* TOTAL CASH AND EQUIVALENTS			160,391.62 160,391.62		0.01 0.00	0.00
TOTAL INCOME ASSETS			160,391.62 160,391.62		0.01 0.00	0.00

FIRST KENTUCKY TRUST COMPANY

2015 Tax Information Statement

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2015

Realized Capital Gains/Losses

SHORT-TERM TRANSACTIONS

COVERED tax lots

Description of property/CUSIP/Symbol	Quantity	Proceeds Shown (G)Gross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)
PIMCO ALL ASSET FUND INST CLASS / CUSIP: 722005626 / Symbol: PAAIX						
07/15/15	4,363.002	50,000.00	12/31/14	50,610.82	...	-610.82
ACTAVIS PLC / CUSIP: G0083B108 / Symbol: ACT						
03/20/15	0.200	63.16	03/18/15	61.33	...	1.83
05/04/15	44.000	12,837.28	03/18/15	13,491.50	...	-654.22
Security total:		12,900.44		13,552.83	0.00	-652.39
MEDTRONIC PLC / CUSIP: G5960L103 / Symbol: MDT						
02/03/15	0.760	54.60	01/27/15	57.09	...	-2.49
2 tax lots for 11/10/15.						
200.000		15,294.37	01/27/15	15,023.00	...	271.37
100.000		7,647.19	05/22/15	7,858.80	...	-211.61
300.000		22,941.56	VARIOUS	22,881.80	0.00	59.76
Security total:		22,996.16		22,938.89	0.00	57.27
Totals:		85,896.60		87,102.54	0.00	-1,205.94

LONG-TERM TRANSACTIONS

COVERED tax lots

Description of property/CUSIP/Symbol	Quantity	Proceeds Shown (G)Gross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)
AT&T INC / CUSIP: 00206R102 / Symbol: T						
2 tax lots for 05/22/15.						
230.000		7,990.05	12/14/11	6,641.14	...	1,348.91
170.000		5,905.69	11/05/12	5,883.68	...	22.01
400.000		13,895.74	VARIOUS	12,524.82	0.00	1,370.92
ALLERGAN INC / CUSIP: 018490102 / Symbol:						
03/17/15	120.000	29,059.23	12/14/11	9,910.03	...	19,149.20

FIRST KENTUCKY TRUST COMPANY

2015 Tax Information Statement

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Realized Capital Gains/Losses (continued)

2015

LONG-TERM TRANSACTIONS

COVERED tax lots

Description of property/CUSIP/Symbol		Proceeds	Date	Adjustments &		Gain or loss(-) &
Date sold or disposed	Quantity	Shown (G)ross (N)et	acquired	Code(s), if any	Cost or other basis	7- Loss not allowed(X)
AMERICAN EXPRESS CO / CUSIP: 025816109 / Symbol: AXP						
2 tax lots for 05/04/15.						
	160.000	12,532.92	12/14/11	...	7,538.33	4,994.59
	15.000	1,174.96	02/01/12	...	761.71	413.25
05/04/15	175.000	13,707.88	VARIOUS	0.00	8,300.04	5,407.84
CATERPILLAR INC / CUSIP: 149123101 / Symbol: CAT						
2 tax lots for 05/22/15.						
	75.000	6,666.13	02/01/12	...	8,318.53	-1,652.40
	75.000	6,666.12	11/05/12	...	6,489.00	177.12
05/22/15	150.000	13,332.25	VARIOUS	0.00	14,807.53	-1,475.28
CHEMOURS CO COM / CUSIP: 163851108 / Symbol: CC						
2 tax lots for 07/08/15.						
	34.000	458.84	12/14/11	...	356.53	102.31
	34.000	458.84	11/05/12	...	363.96	94.88
07/08/15	68.000	917.68	VARIOUS	0.00	720.49	197.19
DODGE & COX INCOME FUND / CUSIP: 256210105 / Symbol: DODIX						
08/05/15	45.255	615.47	03/27/14	...	619.99	-4.52
EATON VANCE FLOATING RATE I / CUSIP: 277911491 / Symbol: EIBLX						
2 tax lots for 08/05/15.						
	74.741	665.19	03/23/12	...	671.92	-6.73
	5,543.237	49,334.81	08/08/12	...	50,000.00	-665.19
08/05/15	5,617.978	50,000.00	VARIOUS	0.00	50,671.92	-671.92
EBAY INC / CUSIP: 278642103 / Symbol: EBAY						
11/10/15	205.000	5,978.38	12/14/11	...	2,511.82	3,466.56

Realized Capital Gains/Losses (continued)

2015

LONG-TERM TRANSACTIONS

COVERED tax lots

Description of property/CUSIP/Symbol	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)
HOME DEPOT INC / CUSIP: 437076102 / Symbol: HD						
11/10/15	100.000	12,439.97	03/23/12	4,953.99	...	7,485.98
L BRANDS INC / CUSIP: 501797104 / Symbol: LB						
2 tax lots for 11/10/15.						
215.000		20,237.57	12/14/11	8,458.96	...	11,778.61
185.000		17,413.73	03/23/12	8,961.38	...	8,452.35
11/10/15	400.000	37,651.30	VARIOUS	17,420.34	0.00	20,230.96
NATIONAL OIL WELL VARCO INC / CUSIP: 637071101 / Symbol: NOV						
2 tax lots for 05/04/15.						
145.000		7,863.84	12/14/11	8,600.49	...	-736.65
25.000		1,355.84	01/23/12	1,704.44	...	-348.60
05/04/15	170.000	9,219.68	VARIOUS	10,304.93	0.00	-1,085.25
NOW INC / CUSIP: 67011F100 / Symbol: DNOW						
2 tax lots for 05/04/15.						
36.250		892.82	12/14/11	967.12	...	-74.30
5.750		141.62	01/23/12	176.33	...	-34.71
05/04/15	42.000	1,034.44	VARIOUS	1,143.45	0.00	-109.01
PIMCO TOTAL RETURN FUND INSTITUTIONAL / CUSIP: 693390700 / Symbol: PTTRX						
2 tax lots for 05/04/15.						
22.469		240.64	12/11/13	241.54	...	-0.90
81.080		868.37	12/11/13	871.61	...	-3.24
05/04/15	103.549	1,109.01	VARIOUS	1,113.15	0.00	-4.14
PAYPAL HLDGS INC COM / CUSIP: 70450Y103 / Symbol: PYPL						
11/10/15	205.000	7,537.71	12/14/11	3,700.68	...	3,837.03

Realized Capital Gains/Losses (continued)

2015

LONG-TERM TRANSACTIONS

COVERED tax lots

Description of property/CUSIP/Symbol	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)
QUALCOMM INC / CUSIP: 747525103 / Symbol: QCOM						
11/10/15	225.000	11,790.52	12/14/11	11,962.10	...	-171.58
SOUTHERN CO / CUSIP: 842587107 / Symbol: SO						
05/22/15	300.000	12,882.36	03/23/12	13,265.10	...	-382.74
WAL-MART STORES INC / CUSIP: 931142103 / Symbol: WMT						
11/10/15	135.000	7,891.95	03/23/12	8,210.69	...	-318.74
COVIDIEN PLC (NEW) / CUSIP: G2554F113 / Symbol: COV						
01/27/15	210.000	22,469.99	12/14/11	8,084.86	...	14,385.13
Totals:		251,533.56		180,225.93	0.00	71,307.63

LONG-TERM TRANSACTIONS

NONCOVERED tax lots

Description of property/CUSIP/Symbol		Proceeds	Date	Cost or other basis	Adjustments &	Gain or loss(-) &
Date sold or disposed	Quantity	Shown (G)ross (N)et	acquired		Code(s), if any	7- Loss not allowed(X)
DODGE & COX INCOME FUND / CUSIP: 256210105 / Symbol: DODIX						
2 tax lots for 08/05/15.						
	2,322.820	31,590.35	03/12/08	29,128.16	...	2,462.19
	1,308.396	17,794.18	05/29/09	16,132.52	...	1,661.66
08/05/15	3,631.216	49,384.53	VARIOUS	45,260.68	0.00	4,123.85
GOOGLE INC CL C / CUSIP: 38259P706 / Symbol:						
05/04/15	0.069	36.75	05/30/07	16.83	...	19.92
ISHARES BARCLAYS INTERMEDIATE CREDIT BOND FUND / CUSIP: 464288638 / Symbol: CIU						
05/22/15	1,750.000	191,998.06	03/16/11	186,095.00	...	5,903.06
MCDONALDS CORP / CUSIP: 580135101 / Symbol: MCD						
05/22/15	100.000	9,893.91	12/10/08	6,196.75	...	3,697.16

Realized Capital Gains/Losses (continued)

2015

LONG-TERM TRANSACTIONS

NONCOVERED tax lots

Description of property/CUSIP/Symbol	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7-Loss not allowed(X)
SPDR GOLD SHARES / CUSIP: 78463V107 / Symbol: GLD / Note: CL						
05/22/15	265.000	30,652.51	12/02/10	35,968.45	...	-5,315.94
WAL-MART STORES INC / CUSIP: 931142103 / Symbol: WMT						
11/10/15	165.000	9,645.72	07/21/08	9,548.55	...	97.17
Totals:		291,611.48		283,086.26	0.00	8,525.22

UNDETERMINED-TERM TRANSACTIONS

UNDETERMINED tax lots

Description of property/CUSIP/Symbol	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7-Loss not allowed(X)
DU PONT E I DE NEMOURS & CO / CUSIP: 263534109 / Symbol: DD						
2 tax lots for 07/01/15.						
	0.000	0.00	12/14/11	0.00	...	0.00
	0.000	0.00	11/05/12	0.00	...	0.00
07/01/15	0.000	0.00	VARIOUS	0.00	0.00	0.00
EBAY INC / CUSIP: 278642103 / Symbol: EBAY						
07/24/15	0.000	0.00	12/14/11	0.00	...	0.00
GOOGLE INC CL A / CUSIP: 38259P508 / Symbol:						
10/02/15	25.000	6,134.41	05/30/07	6,134.41	...	0.00
GOOGLE INC CL C / CUSIP: 38259P706 / Symbol:						
10/02/15	25.000	6,097.98	05/30/07	6,097.98	...	0.00
Totals:		12,232.39		12,232.39	0.00	0.00

FORM 990-PF.	DIVIDENDS AND INTEREST FROM SECURITIES				STATEMENT	1
SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	
FKT - DIVIDENDS/INTEREST	97,936.	8,177.	89,759.	89,759.	89,759.	
TO PART I, LINE 4	97,936.	8,177.	89,759.	89,759.	89,759.	

FORM 990-PF	OTHER INCOME			STATEMENT	2
DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME		
INDUSTRIAL REIT DISTRIBUTIONS	7,341.	7,341.	0.		
CLASS ACTION PROCEEDS	1,156.	1,156.	0.		
TOTAL TO FORM 990-PF, PART I, LINE 11	8,497.	8,497.	0.		

FORM 990-PF	ACCOUNTING FEES			STATEMENT	3
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
TAX PREPARATION FEE	1,900.	0.	0.	1,900.	
TO FORM 990-PF, PG 1, LN 16B	1,900.	0.	0.	1,900.	

FORM 990-PF	TAXES			STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
EXCISE TAX PAYMENTS PRIOR YEAR	92.	0.	0.	0.	
FOREIGN TAXES	1,060.	1,060.	0.	0.	
TO FORM 990-PF, PG 1, LN 18	1,152.	1,060.	0.	0.	

FORM 990-PF.	OTHER EXPENSES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
INSURANCE	1,124.	0.	0.	1,124.	
PHOTOGRAPHY - ARTWORK					
COLLECTION	982.	0.	0.	982.	
ADMINISTRATIVE EXPENSE	502.	0.	0.	502.	
TO FORM 990-PF, PG 1, LN 23	2,608.	0.	0.	2,608.	

FORM 990-PF	OTHER INCREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	6
DESCRIPTION		AMOUNT	
ADJUSTMENT FOR TIPS		207.	
COST BASIS ADJUSTMENT; NONDIVIDEND DISTRIBUTION		492.	
TOTAL TO FORM 990-PF, PART III, LINE 3		699.	

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS			STATEMENT	7
DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE	
GOVERNMENT FIXED INCOME - SEE ATTACHED	X		118,015.	117,224.	
TOTAL U.S. GOVERNMENT OBLIGATIONS			118,015.	117,224.	
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS					
TOTAL TO FORM 990-PF, PART II, LINE 10A			118,015.	117,224.	

FORM 990-PF	CORPORATE STOCK	STATEMENT	8
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
CORPORATE STOCK - SEE ATTACHED	1,843,224.	2,385,238.	
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,843,224.	2,385,238.	

FORM 990-PF	CORPORATE BONDS	STATEMENT	9
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
CORPORATE BONDS - SEE ATTACHED	920,645.	901,936.	
TOTAL TO FORM 990-PF, PART II, LINE 10C	920,645.	901,936.	

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	10
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
OTHER INVESTMENTS - SEE ATTACHED	COST	0.	0.
TOTAL TO FORM 990-PF, PART II, LINE 13		0.	0.