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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No. 1545-0052

2015

Open to Public Inspection

For calendar year 2015 or tax year beginning

, and ending

Name of foundation

JOHN BURTON HARTER FOUNDATION

Number and street (or P O box number if mail is not delivered to street address)

295 N HUBBARDS LANE, SUITE 302

City or town, state or province, country, and ZIP or foreign postal code

LOUISVILLE, KY 40207

G Check all that apply:

Initial return Initial return of a former public charity
 Final return Amended return
 Address change Name change

H Check type of organization: Section 501(c)(3) exempt private foundation Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation

I Fair market value of all assets at end of year

(from Part II, col. (c), line 16)

J Accounting method: Cash Accrual

(from Part II, col. (c), line 16)

► \$ 3,848,246. (Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received					
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		89,759.	89,759.		STATEMENT 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		106,373.			
b Gross sales price for all assets on line 6a	769,021.				
7 Capital gain net income (from Part IV, line 2)			106,373.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		8,497.	8,497.	0.	STATEMENT 2
12 Total. Add lines 1 through 11		204,629.	204,629.	0.	
		75,327.	13,663.	0.	61,664.
13 Compensation of officers, directors, trustees, etc					
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees	STMT 3	1,900.	0.	0.	1,900.
b Accounting fees					
c Other professional fees					
17 Interest	STMT 4	1,152.	1,060.	0.	0.
18 Taxes					
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings		5,957.	0.	0.	5,957.
22 Printing and publications					
23 Other expenses	STMT 5	2,608.	0.	0.	2,608.
24 Total operating and administrative expenses. Add lines 13 through 23		86,944.	14,723.	0.	72,129.
25 Contributions, gifts, grants paid		124,500.			124,500.
26 Total expenses and disbursements. Add lines 24 and 25		211,444.	14,723.	0.	196,629.
27 Subtract line 26 from line 12:		<6,815.00			
a Excess of revenue over expenses and disbursements					
b Net investment income (if negative, enter -0-)			189,906.		
c Adjusted net income (if negative, enter -0-)				0.	

523501
11-24-15

LHA For Paperwork Reduction Act Notice, see instructions.

Form 990-PF (2015)

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments		188,914.	121,622.
	3	Accounts receivable ►			
		Less: allowance for doubtful accounts ►			
	4	Pledges receivable ►			
		Less: allowance for doubtful accounts ►			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable ►			
		Less: allowance for doubtful accounts ►			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U.S. and state government obligations	STMT 7	117,808.	118,015.
	b	Investments - corporate stock	STMT 8	1,688,932.	1,843,224.
	c	Investments - corporate bonds	STMT 9	978,000.	920,645.
	11	Investments - land, buildings, and equipment basis ►			
		Less accumulated depreciation ►			
	12	Investments - mortgage loans			
	13	Investments - other	STMT 10	35,968.	0.
	14	Land, buildings, and equipment basis ►			
		Less accumulated depreciation ►			
	15	Other assets (describe ► ART COLLECTION)		322,226.	322,226.
	16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		3,331,848.	3,325,732.
					3,848,246.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe ►)			
Net Assets or Fund Balances	23	Total liabilities (add lines 17 through 22)		0.	0.
		Foundations that follow SFAS 117, check here ► <input type="checkbox"/>			
		and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ► <input checked="" type="checkbox"/>			
		and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds		3,331,848.	3,325,732.
	28	Paid-in or capital surplus, or land, bldg., and equipment fund		0.	0.
	29	Retained earnings, accumulated income, endowment, or other funds		0.	0.
	30	Total net assets or fund balances		3,331,848.	3,325,732.
	31	Total liabilities and net assets/fund balances		3,331,848.	3,325,732.

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,331,848.
2	Enter amount from Part I, line 27a	2	<6,815.>
3	Other increases not included in line 2 (itemize) ►	3	699.
4	Add lines 1, 2, and 3	4	3,325,732.
5	Decreases not included in line 2 (itemize) ►	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	3,325,732.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a FIRST KENTUCKY TRUST COMPANY - SEE ATTACHED				
b FIRST KENTUCKY TRUST COMPANY - SEE ATTACHED				
c CAPITAL INDUSTRIAL INCOME TRUST REIT			04/25/12	11/20/15
d CAPITAL GAINS DIVIDENDS				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a 85,897.		87,103.		<1,206.>
b 555,377.		475,545.		79,832.
c 119,570.		100,000.		19,570.
d 8,177.				8,177.
e Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
a				<1,206.>
b				79,832.
c				19,570.
d				8,177.
e				
2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	106,373.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8			3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

 Yes No

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2014	257,321.	4,144,665.	.062085
2013	250,284.	4,357,327.	.057440
2012	240,605.	3,947,374.	.060953
2011	178,720.	3,957,065.	.045165
2010	154,026.	3,512,857.	.043846

2 Total of line 1, column (d)

2 .269489

3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years

3 .053898

4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5

4 4,000,306.

5 Multiply line 4 by line 3

5 215,608.

6 Enter 1% of net investment income (1% of Part I, line 27b)

6 1,899.

7 Add lines 5 and 6

7 217,507.

8 Enter qualifying distributions from Part XII, line 4

8 196,629.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ► <input type="checkbox"/> and enter "N/A" on line 1.	(attach copy of letter if necessary-see instructions)	1	3,798.
Date of ruling or determination letter: _____			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► <input type="checkbox"/> and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			
3 Add lines 1 and 2	2 3 4 5	0. 3,798. 0. 3,798.	
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments:			
a 2015 estimated tax payments and 2014 overpayment credited to 2015			6a 6b 6c 6d
b Exempt foreign organizations - tax withheld at source			
c Tax paid with application for extension of time to file (Form 8868)			
d Backup withholding erroneously withheld			
7 Total credits and payments. Add lines 6a through 6d			
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8 9 10	25. 3,823.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed			
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid			
11 Enter the amount of line 10 to be: Credited to 2016 estimated tax ► Refunded	11		

Part VII-A Statements Regarding Activities

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a 1b 1c	Yes X X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		
c Did the foundation file Form 1120-POL for this year?		
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ► \$ <u>0.</u> (2) On foundation managers. ► \$ <u>0.</u>	N/A	2 3 4a 4b 5 6 7 8b 9 10
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ <u>0.</u>		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>		
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>		
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV</i>		
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ► <u>KY</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation</i>		
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	<input type="checkbox"/> 11	<input checked="" type="checkbox"/> X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	<input type="checkbox"/> 12	<input checked="" type="checkbox"/> X
Website address ► <u>WWW.JBHARTER.ORG</u>		<input type="checkbox"/> 13	<input checked="" type="checkbox"/> X
14	The books are in care of ► <u>FIRST KENTUCKY TRUST</u> Telephone no. ► <u>(502) 400-6000</u> Located at ► <u>295 N HUBBARDS LANE, SUITE 203, LOUISVILLE, KY</u> ZIP+4 ► <u>40207</u>		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	<input type="checkbox"/> 15	<input type="checkbox"/> N/A
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►	<input type="checkbox"/> 16	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ►	<input type="checkbox"/> 1b	<input checked="" type="checkbox"/> X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	<input type="checkbox"/> 1c	<input checked="" type="checkbox"/> X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? If "Yes," list the years ►	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	<input type="checkbox"/> 2b	<input type="checkbox"/> N/A
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►	<input type="checkbox"/> 3b	<input type="checkbox"/> N/A
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.)	<input type="checkbox"/> 4a	<input type="checkbox"/> N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	<input type="checkbox"/> 4b	<input type="checkbox"/> X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	<input type="checkbox"/> 4b	<input type="checkbox"/> X

Part VII-B | Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b



Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

 Yes No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

 Yes No

6b

X

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

 Yes No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII | Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
GEORGE JORDAN 295 N HUBBARDS LANE, SUITE 302 LOUISVILLE, KY 40207	DIRECTOR 1.00	16,000.	0.	0.
JOHN J. SULLIVAN 295 N HUBBARDS LANE, SUITE 302 LOUISVILLE, KY 40207	DIRECTOR 1.00	16,000.	0.	0.
FIRST KENTUCKY TRUST 295 N HUBBARDS LANE, SUITE 302 LOUISVILLE, KY 40207	TRUSTEE 2.00	27,327.	0.	0.
ALAN J WILLIAMS 295 N HUBBARDS LANE, SUITE 302 LOUISVILLE, KY 40207	DIRECTOR 1.00	16,000.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

►

0

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Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**
3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

►

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.		Expenses
1	N/A	
2		
3		
4		

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1	N/A
2	
3	
Total. Add lines 1 through 3	► 0.

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Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	3,673,337.
b	Average of monthly cash balances	1b	65,661.
c	Fair market value of all other assets	1c	322,226.
d	Total (add lines 1a, b, and c)	1d	4,061,224.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	4,061,224.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	60,918.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	4,000,306.
6	Minimum investment return. Enter 5% of line 5	6	200,015.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	200,015.
2a	Tax on investment income for 2015 from Part VI, line 5	2a	3,798.
b	Income tax for 2015. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	3,798.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	196,217.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	196,217.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	196,217.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	196,629.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	196,629.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	196,629.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				196,217.
2 Undistributed income, if any, as of the end of 2015			47,760.	
a Enter amount for 2014 only				
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2015:				
a From 2010				
b From 2011				
c From 2012				
d From 2013				
e From 2014				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2015 from Part XII, line 4: ► \$ 196,629.			47,760.	
a Applied to 2014, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2015 distributable amount				148,869.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	0.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2014. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016				47,348.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2011				
b Excess from 2012				
c Excess from 2013				
d Excess from 2014				
e Excess from 2015				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling
b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b 85% of line 2a

c Qualifying distributions from Part XII, line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities

Subtract line 2d from line 2c

3 Complete 3a, b, or c for the alternative test relied upon:

a "Assets" alternative test - enter:

- (1) Value of all assets
- (2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter:

- (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)
- (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)
- (3) Largest amount of support from an exempt organization
- (4) Gross investment income

Part XV **Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ► if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a. The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

6 Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors;

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
LESLIE LOHMAN MUSEUM OF GAY AND LESBIAN ART 26 WOOSTER STREET NEW YORK, NY 10013	N/A	PUBLIC CHARITY	SUPPORT OF EXHIBIT CATALOGUE.	25,000.
NEW ORLEANS FILM SOCIETY 900 CAMP STREET NEW ORLEANS, LA 70130-3908	N/A	PUBLIC CHARITY	SUPPORT OF OUTTAKES AT ANNUAL NEW ORLEANS FILM FESTIVAL.	7,500.
NEW ORLEANS MUSEUM OF ART ONE COLLINS C. DIBOLL CIRCLE, CITY PARK NEW ORLEANS, LA 70124	N/A	PUBLIC CHARITY	SUPPORT OF 2015 ODYSSEY BALL.	10,000.
UNIVERSITY AT BUFFALO FOUNDATION P.O. BOX 900 BUFFLO, NY 14226-0900	N/A	PUBLIC CHARITY	SUPPORT OF JOHN BURTON HARTER ART COLLECTION; JOURNAL FOR QUEER ART STUDIES.	62,000.
WADSWORTH ATHENEUM MUSEUM OF ART 600 MAIN STREET HARTFORD, CT 06103	N/A	PUBLIC CHARITY	SUPPORT FOR WARHOL & MAPPLETHORPE EXHIBIT	20,000.
Total			► 3a	124,500.
b Approved for future payment				
NONE				
Total			► 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

(See Worksheet in line 13 instructions to verify calculations.)

Relationship of Activities to the Accomplishment of Exempt Purposes

STATEMENT PERIOD: DECEMBER 01, 2015 THROUGH DECEMBER 31, 2015

PORTFOLIO DETAIL - PRINCIPAL

DESCRIPTION	TICKER	SHARES	TOTAL MARKET/ TOTAL COST	MARKET PRICE/ COST PRICE	EST ANNUAL INCOME/ GAIN / LOSS	CURRENT YIELD
CASH AND EQUIVALENTS						
NORTHERN INSTL GOVERNMENT SELECT MONEY MARKET .010%	BGSXX	118,968.450	118,968.45 118,968.45	1.00 1.00	11.90	0.01
PRINCIPAL CASH			157,738.59- 157,738.59-			
* TOTAL CASH AND EQUIVALENTS			38,770.14- 38,770.14-		11.90 0.00	0.03-
EQUITIES						
ALPHABET INC CL C	GOOG	25 000	18,972.00 6,097.98	758.88 243.92	12,874.02	
ALPHABET INC CL A	GOOGL	25.000	19,450.25 6,134.41	778.01 245.38	13,315.84	
AMERICAN WATER WORKS CO	AWK	500.000	29,875.00 19,028.85	59.75 38.06	680.00 10,846.15	2.28
APPLE INC	AAPL	350.000	36,841.00 2,943.18	105.26 8.41	728.00 33,897.82	1.98
BANK OF AMERICA CORP	BAC	2,500.000	42,075.00 22,476.75	16.83 8.99	500.00 19,598.25	1.19
BLACKROCK MULTI ASSET INCOME INSTL	BIICX	8,017.130	94,770.04 100,800.39	10.51 11.18	5,166.82 6,030.35-	5.45
CME GROUP INC	CME	350.000	31,710.00 25,398.31	90.60 72.57	700.00 6,311.69	2.21
CHEVRON CORP	CVX	195.000	17,542.20 19,456.03	89.96 99.77	834.60 1,913.83-	4.76
CITIGROUP INC	C	525.000	27,168.75 17,411.88	51.75 33.17	105.00 9,756.87	0.39
COCA COLA CO	KO	600.000	25,776.00 22,040.21	42.96 36.73	792.00 3,735.78	3.07
COMCAST CORP NEW CL A	CMCSA	400.000	22,572.00 8,382.46	56.43 23.46	400.00 13,189.54	1.77
CONOCOPHILLIPS	COP	500.000	23,345.00 30,037.40	46.69 60.07	1,480.00 6,692.40-	6.34
DU PONT E I DE NEMOURS & CO	DD	340.000	22,644.00 14,190.98	66.60 41.74	516.80 8,453.02	2.28
EMC CORP MASS	EMC	705.000	18,104.40 16,421.89	25.68 23.29	324.30 1,682.51	1.79
EXXON MOBIL CORP	XOM	290.000	22,605.50 21,332.40	77.95 73.56	846.80 1,273.10	3.75
GENERAL ELECTRIC CO	GE	700.000	21,805.00 12,383.88	31.15 17.69	644.00 9,421.12	2.95

STATEMENT PERIOD: DECEMBER 01, 2015 THROUGH DECEMBER 31, 2015

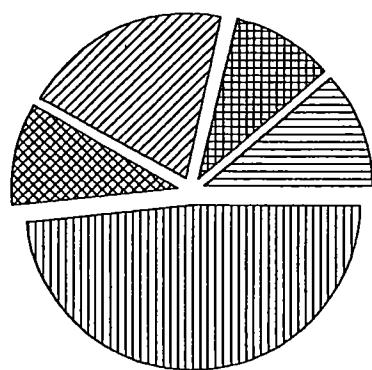
PORTFOLIO DETAIL - PRINCIPAL (CONTINUED)

DESCRIPTION	TICKER	SHARES	TOTAL MARKET/ TOTAL COST	MARKET PRICE/ COST PRICE	EST ANNUAL INCOME/ GAIN / LOSS	CURRENT YIELD
EQUITIES						
GOLDMAN SACHS MULTI-MANAGER ALTS INSTL	GSMMX	13,585.827	137,216.85 150,612.71	10.10 11.09	502.68 13,395.86-	0.37
HARBOR INTERNATIONAL FD	HAINX	2,022.591	120,202.58 71,440.39	59.43 35.32	2,186.42 48,762.19	1.82
HERSHEY CO FOODS CORP	HSY	195.000	17,407.65 11,443.48	89.27 58.68	454.74 5,964.17	2.61
HOME DEPOT INC	HD	300.000	39,675.00 13,404.00	132.25 44.68	708.00 26,271.00	1.78
INTEL CORP	INTC	600.000	20,670.00 15,506.71	34.45 25.84	576.00 5,163.29	2.79
ISHARES RUSSELL MIDCAP INDEX	IWR	1,200.000	192,216.00 77,509.12	160.18 64.59	3,058.80 114,706.88	1.59
ISHARES RUSSELL 2000 INDEX	IWM	1,000.000	112,620.00 79,107.94	112.62 79.11	1,732.00 33,512.06	1.54
ISHARES US TELECOMMUNICATIONS ETF	IYZ	800.000	23,032.00 23,640.00	28.78 29.55	455.20 608.00-	1.98
JPMORGAN CHASE & CO	JPM	750.000	49,522.50 21,680.34	66.03 28.91	1,320.00 27,842.16	2.67
JOHNSON & JOHNSON	JNJ	240.000	24,652.80 15,126.30	102.72 63.03	720.00 9,526.50	2.92
KOHLS CORP	KSS	600.000	28,578.00 29,414.97	47.63 49.02	1,080.00 836.97-	3.78
LAZARD US EQUITY CONCENTRATED INSTL	LEVIX	10,588.164	146,434.31 127,906.94	13.83 12.08	402.35 18,527.37	0.27
MFS INSTL INTERNATIONAL EQUITY	MIEIX	2,178.999	44,800.22 50,026.50	20.56 22.96	738.68 5,226.28-	1.65
MERCK & CO INC	MRK	500.000	26,410.00 23,266.64	52.82 46.53	920.00 3,143.36	3.48
MICROSOFT CORP	MSFT	530.000	29,404.40 12,341.38	55.48 23.29	763.20 17,063.02	2.60
NATIONAL OIL WELL VARCO INC	NOV	600.000	20,094.00 23,551.32	33.49 39.25	1,104.00 3,457.32-	5.49
NORTHERN TRUST CORP	NTRS	400.000	28,836.00 18,479.96	72.09 46.20	576.00 10,356.04	2.00
NOVARTIS A G SPONSORED ADR	NVS	300.000	25,812.00 17,807.01	86.04 59.36	677.70 8,004.99	2.63
ORACLE CORP	ORCL	500.000	18,265.00 15,256.80	36.53 30.51	300.00 3,008.20	1.64
PFIZER INC	PFE	950.000	30,666.00 24,671.34	32.28 25.97	1,140.00 5,994.66	3.72

STATEMENT PERIOD: DECEMBER 01, 2015 THROUGH DECEMBER 31, 2015

PORTFOLIO DETAIL - PRINCIPAL (CONTINUED)

DESCRIPTION	TICKER	SHARES	TOTAL MARKET/ TOTAL COST	MARKET PRICE/ COST PRICE	EST ANNUAL INCOME/ GAIN / LOSS	CURRENT YIELD
EQUITIES						
POWERSHARES DYNAMIC BIOTECHNOLOGY & GENOME PORTFOLIO	PBE	750,000	37,890.00 17,144.93	50.52 22.86	425.25 20,745.07	1.12
PROCTER & GAMBLE CO	PG	500,000	39,705.00 35,247.02	79.41 70.49	1,326.00 4,457.98	3.34
UNION PACIFIC CORP	UNP	200,000	15,640.00 9,869.30	78.20 49.35	440.00 5,770.70	2.81
UNITED PARCEL SERVICE INC CL B	UPS	300,000	28,869.00 23,610.99	96.23 78.70	876.00 5,258.01	3.03
UNITED TECHNOLOGIES CORP	UTX	350,000	33,624.50 22,050.38	96.07 63.00	896.00 11,574.12	2.66
VANGUARD HIGH DIVIDEND YIELD INDX ETF	VYM	5,000,000	333,750.00 243,900.05	66.75 48.78	10,745.00 89,849.95	3.22
VANGUARD MSCI EMERGING MARKETS ETF	VWO	4,600,000	150,466.00 194,741.45	32.71 42.34	4,903.60 44,275.45-	3.26
VANGUARD ENERGY ETF	VDE	350,000	29,092.00 39,842.39	83.12 113.84	1,848.60 10,750.39-	6.35
VANGUARD MATERIALS INDEX FUND	VAW	200,000	18,838.00 16,040.00	94.19 80.20	867.20 2,798.00	4.60
VERIZON COMMUNICATIONS INC	VZ	671,000	31,013.62 31,439.52	46.22 46.85	1,516.46 425.90-	4.89
WATERS CORP	WAT	175,000	23,551.50 23,154.06	134.58 132.31	397.44	
WELLS FARGO & CO COMPANY NEW	WFC	460,000	25,005.60 12,432.02	54.36 27.03	690.00 12,573.58	2.76
DC INDUSTRIAL LIQUIDATING TRUST		10,752.688	6,021.52 6,021.52	0.56 0.56		
* TOTAL EQUITIES			2,385,238.19 1,843,224.48		57,666.20 542,013.71	2.42

BOND QUALITY SUMMARY


S & P QUALITY RATING	MARKET VALUE	PERCENT
TREASURY / AGENCY	117,224.12	11.5%
AA+	102,410.00	10.0%
AA	205,458.00	20.2%
AA-	100,566.00	9.9%
NOT RATED	493,502.44	48.4%
Total	1,019,160.56	100.0%

STATEMENT PERIOD: DECEMBER 01, 2015 THROUGH DECEMBER 31, 2015

PORTFOLIO DETAIL - PRINCIPAL (CONTINUED)

DESCRIPTION	RATING	PAR VALUE	TOTAL MARKET/ TOTAL COST	MARKET PRICE/ COST PRICE	EST ANNUAL INCOME/ GAIN / LOSS	YIELD CURRENT/ MATURITY
FIXED INCOME						
APPLE INC SR GLBL NT 2.85% 05/06/2021	AA+	100,000.000	102,410.00 101,948.00	102.41 101.95	2,850.00 462.00	2.78 2.37
BERKSHIRE HATHAWAY FINANCE CORP SR NT 2.9% 10/15/2020	AA	100,000.000	103,637.00 102,185.00	103.64 102.19	2,900.00 1,452.00	2.80 2.10
COCA COLA CO NOTE 2.45% 11/01/2020	AA	100,000.000	101,821.00 100,987.00	101.82 100.99	2,450.00 834.00	2.41 2.05
DODGE & COX INCOME FUND		7,238.481	86,199.41 89,318.73	13.29 12.34	2,917.11 6,880.68	3.03
EATON VANCE FLOATING RATE I		8,267.862	69,532.72 74,328.08	8.41 8.99	3,001.23 4,795.36-	4.32
ISHARES BARCLAYS TIPS BONDS		720.000	78,969.60 74,864.02	109.68 103.98	265.68 4,105.58	0.34
LOOMIS SAYLES CORE PLUS BOND Y		7,686.395	94,388.93 100,000.00	12.28 13.01	2,659.49 5,811.07-	2.82
NORTHERN HIGH YIELD FIXED INCOME FUND		6,949.860	45,035.09 51,924.83	6.48 7.47	2,807.74 6,889.74-	6.23
PIMCO ALL ASSET FUND INST CLASS		10,723.205	109,376.69 124,389.18	10.20 11.60	5,672.58 15,012.49-	5.19
TOYOTA MOTOR CREDIT 2% 10/24/2018	AA-	100,000.000	100,566.00 100,700.00	100.57 100.70	2,000.00 134.00-	1.99 1.79
US TREASURY INFLATION PROTECTED 2.125% 01/15/2019		110,804.000	117,224.12 118,015.05	105.82 106.51	2,354.59 790.93-	2.01 0.16
* TOTAL FIXED INCOME			1,019,160.56 1,038,659.89		29,878.42 19,499.33-	2.93
OTHER						
BURTS ART COLLECTIONS		1,000	322,226.00 322,226.00	322,226.00		
* TOTAL OTHER			322,226.00 322,226.00		0.00 0.00	0.00
TOTAL PRINCIPAL ASSETS			3,687,854.61 3,165,340.23		87,556.52 522,514.38	2.37

STATEMENT PERIOD: DECEMBER 01, 2015 THROUGH DECEMBER 31, 2015

PORTFOLIO DETAIL - INCOME

DESCRIPTION	TICKER	SHARES	TOTAL MARKET/ TOTAL COST	MARKET PRICE/ COST PRICE	EST ANNUAL INCOME/ GAIN / LOSS	CURRENT YIELD
CASH AND EQUIVALENTS						
NORTHERN INSTL GOVERNMENT SELECT MONEY MARKET .010%	BGSXX	54,400	54.40 54.40	1.00 1.00	0.01	0.02
INCOME CASH			160,337.22 160,337.22			
* TOTAL CASH AND EQUIVALENTS			160,391.62 160,391.62		0.01 0.00	0.00
TOTAL INCOME ASSETS			160,391.62 160,391.62		0.01 0.00	0.00

FIRST KENTUCKY TRUST COMPANY

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Realized Capital Gains/Losses

SHORT-TERM TRANSACTIONS

COVERED tax lots

Description of property/CUSIP/Symbol		Quantity	Shown (Gross (N)et)	Proceeds	Date acquired	Cost or other basis	Code(s), if any	Adjustments & Code(s), if any	Gain or loss(-) & 7-Loss not allowed(X)
PIMCO ALL ASSET FUND INST CLASS / CUSIP: 722005626 / Symbol: PAAIX	07/15/15	4,363.002	50,000.00		12/31/14	50,610.82		...	-610.82
ACTAVIS PLC / CUSIP: G0083B108 / Symbol: ACT	03/20/15	0.200		63.16	03/18/15	61.33		...	1.83
	05/04/15	44.000		12,837.28	03/18/15	13,491.50		...	-654.22
Security total:		12,900.44				13,552.83	0.00		-652.39
MEDTRONIC PLC / CUSIP: G5960L103 / Symbol: MDT	02/03/15	0.760		54.60	01/27/15	57.09		...	-2.49
2 tax lots for 11/10/15.									
		200.000		15,294.37	01/27/15	15,023.00		...	271.37
		100.000		7,647.19	05/22/15	7,858.80		...	-211.61
		300.000		22,941.56	VARIOUS	22,881.80	0.00		59.76
Security total:		22,996.16				22,938.89	0.00		57.27
Totals:		85,896.60				87,102.54	0.00		-1,205.94

LONG-TERM TRANSACTIONS

COVERED tax lots

Description of property/CUSIP/Symbol		Quantity	Shown (Gross (N)et)	Proceeds	Date acquired	Cost or other basis	Code(s), if any	Adjustments & Code(s), if any	Gain or loss(-) & 7-Loss not allowed(X)
AT&T INC / CUSIP: 00206R102 / Symbol: T	05/22/15	2 tax lots for 05/22/15.							
		230.000		7,990.05	12/14/11	6,641.14		...	1,348.91
		170.000		5,905.69	11/05/12	5,883.68		...	22.01
		400.000		13,895.74	VARIOUS	12,524.82	0.00		1,370.92
ALLERGAN INC / CUSIP: 018490102 / Symbol: ALL	03/17/15	120.000		29,059.23	12/14/11	9,910.03		...	19,149.20

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Realized Capital Gains/Losses (continued)											

LONG-TERM TRANSACTIONS

COVERED tax lots

Description of property/CUSIP/Symbol			Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7-Loss not allowed(X)		
Date sold or disposed	Quantity	Shown (Gross (N)et							
AMERICAN EXPRESS CO / CUSIP: 025816109 / Symbol: AXP									
2 tax lots for 05/04/15.									
160.000	12,532.92	12/14/11	7,538.33	...		4,994.59			
15.000	1,174.96	02/01/12	761.71	...		413.25			
05/04/15	175.000	13,707.88	VARIOUS	8,300.04	0.00	5,407.84			
CATERPILLAR INC / CUSIP: 149123101 / Symbol: CAT									
2 tax lots for 05/22/15.									
75.000	6,666.13	02/01/12	8,318.53	...		-1,652.40			
75.000	6,666.12	11/05/12	6,489.00	...		177.12			
05/22/15	150.000	13,332.25	VARIOUS	14,807.53	0.00	-1,475.28			
CHENOURS CO COM / CUSIP: 163851108 / Symbol: CC									
2 tax lots for 07/08/15.									
34.000	458.84	12/14/11	356.53	...		102.31			
34.000	458.84	11/05/12	363.96	...		94.88			
07/08/15	68.000	917.68	VARIOUS	720.49	0.00	197.19			
DODGE & COX INCOME FUND / CUSIP: 256210105 / Symbol: DODIX									
08/05/15	45.255	615.47	03/27/14	619.99	...	-4.52			
EATON VANCE FLOATING RATE I / CUSIP: 277911491 / Symbol: ETRBX									
2 tax lots for 08/05/15.									
74.741	665.19	03/23/12	671.92	...		-6.73			
5,543.237	49,334.81	08/08/12	50,000.00	...		-665.19			
08/05/15	5,617.978	50,000.00	VARIOUS	50,671.92	0.00	-671.92			
EBAY INC / CUSIP: 278642103 / Symbol: EBAY									
11/10/15	205.000	5,978.38	12/14/11	2,511.82	...	3,466.56			

FIRST KENTUCKY TRUST COMPANY

2015 Tax Information Statement

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Realized Capital Gains/Losses (continued)

LONG-TERM TRANSACTIONS			COVERED tax lots			
Description of property/CUSIP/Symbol		Quantity	Shown (G)ross (N)et	Date acquired	Cost or other basis	
Date sold or disposed	Symbol				Adjustments & Code(s), if any	Gain or loss(-) & 7-Loss not allowed(X)
HOME DEPOT INC / CUSIP: 437076102 / Symbol: HD		100.000	12,439.97	03/23/12	4,953.99	...
L BRANDS INC / CUSIP: 501797104 / Symbol: LB						7,485.98
	2 tax lots for 11/10/15.					
	215.000	20,237.57	12/14/11		8,458.96	...
	185.000	17,413.73	03/23/12		8,961.38	...
11/10/15	400.000	37,651.30	VARIOUS		17,420.34	0.00
						20,230.96
NATIONAL OIL WELL VARCO INC / CUSIP: 637071101 / Symbol: NOV						
	2 tax lots for 05/04/15.					
	145.000	7,863.84	12/14/11		8,600.49	...
	25.000	1,355.84	01/23/12		1,704.44	...
05/04/15	170.000	9,219.68	VARIOUS		10,304.93	0.00
						-1,085.25
NOW INC / CUSIP: 67011P100 / Symbol: DNOW						
	2 tax lots for 05/04/15.					
	36.250	892.82	12/14/11		967.12	...
	5.750	141.62	01/23/12		176.33	...
05/04/15	42.000	1,034.44	VARIOUS		1,143.45	0.00
						-74.30
PIMCO TOTAL RETURN FUND INSTITUTIONAL / CUSIP: 693330700 / Symbol: PTRRX						
	2 tax lots for 05/04/15.					
	22.469	240.64	12/11/13		241.54	...
	81.080	868.37	12/11/13		871.61	...
05/04/15	103.549	1,109.01	VARIOUS		1,113.15	0.00
						-34.71
PAYPAL HLDGS INC COM / CUSIP: 70450Y103 / Symbol: PYPL						
11/10/15	205.000	7,537.71	12/14/11		3,700.68	...
						3,837.03

FIRST KENTUCKY TRUST COMPANY

2015 Realized Capital Gains/Losses (continued)						
LONG-TERM TRANSACTIONS			COVERED tax lots			
Description of property/CUSIP/Symbol		Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7-Loss not allowed(X)
Date sold or disposed	Quantity	Shown (G)ross (N)et				
QUALCOMM INC / CUSIP: 747525103 / Symbol: QCOM	11/10/15 225.000	11,790.52	12/14/11	11,962.10	...	-171.58
SOUTHERN CO / CUSIP: 842587107 / Symbol: SO	05/22/15 300.000	12,882.36	03/23/12	13,265.10	...	-382.74
WAL-MART STORES INC / CUSIP: 931142103 / Symbol: WMT	11/10/15 135.000	7,891.95	03/23/12	8,210.69	...	-318.74
COVIDIEN PLC (NEW) / CUSIP: G2554F113 / Symbol: COV	01/27/15 210.000	22,469.99	12/14/11	8,084.86	...	14,385.13
Totals:		251,533.56		180,225.93	0.00	71,307.63

LONG-TERM TRANSACTIONS

NONCOVERED tax lots						
Description of property/CUSIP/Symbol			Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any
Date sold or disposed	Quantity	Shown (G)ross (N)et				
DODGE & COX INCOME FUND / CUSIP: 256210105 / Symbol: DODIX	08/05/15	2 tax lots for 08/05/15.				
		2,322.820	31,590.35	03/12/08	29,128.16	...
		1,308.396	17,794.18	05/29/09	16,132.52	...
		3,631.216	49,384.53	VARIOUS	45,260.68	0.00
GOOGLE INC CL C / CUSIP: 38259P706 / Symbol:	05/04/15	0.069	36.75	05/30/07	16.83	...
ISHARES BARCLAYS INTERMEDIATE CREDIT BOND FUND / CUSIP: 464288638 / Symbol: CIU	05/22/15	1,750.000	191,998.06	03/16/11	186,095.00	...
MCDONALDS CORP / CUSIP: 580135101 / Symbol: MCD	05/22/15	100.000	9,893.91	12/10/08	6,196.75	...

FIRST KENTUCKY TRUST COMPANY

2015 Tax Information Statement						
2015						

Realized Capital Gains/Losses (continued)

NONCOVERED tax lots						
LONG-TERM TRANSACTIONS						
Description of property/CUSIP/Symbol						
Date sold or disposed	Quantity	Shown (G)ross (N)et	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any
SPDR GOLD SHARES / CUSIP: 78463V107 / Symbol: GLD / Note: CL	265.000	30,652.51		12/02/10	35,968.45	... C
05/22/15						-5,315.94
WAL-MART STORES INC / CUSIP: 931142103 / Symbol: WMT	165.000	9,645.72		07/21/08	9,548.55	...
11/10/15						97.17
Totals:		291,611.48			283,086.26	0.00
						8,525.22
UNDETERMINED tax lots						
UNDETERMINED-TERM TRANSACTIONS						
Description of property/CUSIP/Symbol						
Date sold or disposed	Quantity	Shown (G)ross (N)et	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any
DU PONT E I DE NEMOURS & CO / CUSIP: 263534109 / Symbol: DD						
2 tax lots for 07/01/15.						
07/01/15	0.000	0.00	12/14/11		0.00	...
	0.000	0.00	11/05/12		0.00	...
	0.000	0.00	VARIOUS		0.00	0.00
EBAY INC / CUSIP: 278642103 / Symbol: EBAY						
07/24/15	0.000	0.00	12/14/11		0.00	...
GOOGLE INC CL A / CUSIP: 38259P508 / Symbol:						
10/02/15	25.000	6,134.41	05/30/07		6,134.41	...
GOOGLE INC CL C / CUSIP: 38259P706 / Symbol:						
10/02/15	25.000	6,097.98	05/30/07		6,097.98	...
Totals:		12,232.39			12,232.39	0.00

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
FKT - DIVIDENDS/INTEREST	97,936.	8,177.	89,759.	89,759.	89,759.
TO PART I, LINE 4	97,936.	8,177.	89,759.	89,759.	89,759.

FORM 990-PF OTHER INCOME STATEMENT 2

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
INDUSTRIAL REIT DISTRIBUTIONS	7,341.	7,341.	0.
CLASS ACTION PROCEEDS	1,156.	1,156.	0.
TOTAL TO FORM 990-PF, PART I, LINE 11	8,497.	8,497.	0.

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAX PREPARATION FEE	1,900.	0.	0.	1,900.
TO FORM 990-PF, PG 1, LN 16B	1,900.	0.	0.	1,900.

FORM 990-PF TAXES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
EXCISE TAX PAYMENTS PRIOR YEAR	92.	0.	0.	0.
FOREIGN TAXES	1,060.	1,060.	0.	0.
TO FORM 990-PF, PG 1, LN 18	1,152.	1,060.	0.	0.

FORM 990-PF

OTHER EXPENSES

STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INSURANCE	1,124.	0.	0.	1,124.
PHOTOGRAPHY - ARTWORK				
COLLECTION	982.	0.	0.	982.
ADMINISTRATIVE EXPENSE	502.	0.	0.	502.
TO FORM 990-PF, PG 1, LN 23	2,608.	0.	0.	2,608.

FORM 990-PF

OTHER INCREASES IN NET ASSETS OR FUND BALANCES

STATEMENT 6

DESCRIPTION	AMOUNT
ADJUSTMENT FOR TIPS	207.
COST BASIS ADJUSTMENT; NONDIVIDEND DISTRIBUTION	492.
TOTAL TO FORM 990-PF, PART III, LINE 3	699.

FORM 990-PF

U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS

STATEMENT 7

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
GOVERNMENT FIXED INCOME - SEE ATTACHED	X		118,015.	117,224.
TOTAL U.S. GOVERNMENT OBLIGATIONS			118,015.	117,224.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			118,015.	117,224.

FORM 990-PF	CORPORATE STOCK	STATEMENT	8
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCK - SEE ATTACHED	1,843,224.	2,385,238.
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,843,224.	2,385,238.

FORM 990-PF	CORPORATE BONDS	STATEMENT	9
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE BONDS - SEE ATTACHED	920,645.	901,936.
TOTAL TO FORM 990-PF, PART II, LINE 10C	920,645.	901,936.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	10
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
OTHER INVESTMENTS - SEE ATTACHED	COST	0.	0.
TOTAL TO FORM 990-PF, PART II, LINE 13		0.	0.