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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2015

Open to Public Inspection

For calendar year 2015, or tax year beginning 01-01-2015 , and ending 12-31-2015

Name of foundation
MICHAEL T RIORDAN FAMILY FOUNDATION
C/O MICHAEL T RIORDAN TRUSTEE
% MICHAEL T RIORDAN

A Employer identification number
30-6000348

Number and street (or P O box number if mail is not delivered to street address)
W3563 MEREDITH LANE

Room/suite

B Telephone number (see instructions)
(920) 295-3366

City or town, state or province, country, and ZIP or foreign postal code
GREEN LAKE, WI 549419650

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 9,150,504

J Accounting method ☑ Cash ☐ Accrual
☐ Other (specify) ________
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	0			
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	9	9		
	4	Dividends and interest from securities	172,078	172,078		
	5a	Gross rents				
	b	Net rental income or (loss) ________				
	6a	Net gain or (loss) from sale of assets not on line 10	146,298			
	b	Gross sales price for all assets on line 6a 5,224,877				
	7	Capital gain net income (from Part IV, line 2)		146,298		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	151	151		
	12	**Total.** Add lines 1 through 11	318,536	318,536		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0			
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	5,127	2,564	0	2,563
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	44,226	4,226		
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	76,943	42,384		
	24	**Total operating and administrative expenses.** Add lines 13 through 23	126,296	49,174	0	2,563
	25	Contributions, gifts, grants paid	480,000			480,000
	26	**Total expenses and disbursements.** Add lines 24 and 25	606,296	49,174	0	482,563
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-287,760			
	b	**Net investment income** (if negative, enter -0-)		269,362		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets				
1	Cash—non-interest-bearing			
2	Savings and temporary cash investments	133,477	170,818	170,818
3	Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
4	Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments—U S and state government obligations (attach schedule)			
b	Investments—corporate stock (attach schedule)	9,359,537	9,064,046	8,979,686
c	Investments—corporate bonds (attach schedule)			
11	Investments—land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
12	Investments—mortgage loans			
13	Investments—other (attach schedule)			
14	Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)			
16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	9,493,014	9,234,864	9,150,504
Liabilities				
17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities** (add lines 17 through 22)		0	
Net Assets or Fund Balances				
	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☑ and complete lines 27 through 31.			
27	Capital stock, trust principal, or current funds	9,493,014	9,234,864	
28	Paid-in or capital surplus, or land, bldg , and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds	0	0	
30	**Total net assets or fund balances** (see instructions)	9,493,014	9,234,864	
31	**Total liabilities and net assets/fund balances** (see instructions)	9,493,014	9,234,864	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	9,493,014
2	Enter amount from Part I, line 27a	2	-287,760
3	Other increases not included in line 2 (itemize) ▶	3	29,610
4	Add lines 1, 2, and 3	4	9,234,864
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	9,234,864

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	146,298
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)
If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2014	492,170	10,331,722	0 047637
2013	452,441	9,918,841	0 045614
2012	453,414	9,379,833	0 048339
2011	445,487	9,485,020	0 046967
2010	405,598	9,026,205	0 044936

2	**Total** of line 1, column (d).	2	0 233493
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 046699
4	Enter the net value of noncharitable-use assets for 2015 from Part X, line 5.	4	9,709,295
5	Multiply line 4 by line 3.	5	453,414
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	2,694
7	Add lines 5 and 6.	7	456,108
8	Enter qualifying distributions from Part XII, line 4.	8	482,563

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ______ **(attach copy of letter if necessary–see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b			1	2,694
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	
3	Add lines 1 and 2.			3	2,694
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	2,694
6	Credits/Payments				
a	2015 estimated tax payments and 2014 overpayment credited to 2015	6a	27,304		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868). . .	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d.			7	27,304
8	Enter any **penalty** for underpayment of estimated tax Check here ☑ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** . . . . ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid**. . . ▶			10	24,610
11	Enter the amount of line 10 to be **Credited to 2015 estimated tax** ▶ 24,610 **Refunded** ▶			11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?.	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?.	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ______ **(2)** On foundation managers ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		No
	If "Yes," attach a detailed description of the activities.			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?.	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?.	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		No
	If "Yes," attach the statement required by General Instruction T.			
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ ______			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation* .	8b		No
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VII-A Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶MICHAEL T RIORDAN Telephone no ▶(920) 295-3366

Located at ▶W3563 MEREDITH LANE GREEN LAKE WI ZIP+4 ▶549419650

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2015 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(Continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☑ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
MICHAEL T RIORDAN 16127 FOREST OAKS DRIVE FORT MYERS, FL 33908	TRUSTEE 0	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances

Total number of other employees paid over $50,000 ▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation

Total number of others receiving over $50,000 for professional services. . . . . ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions **3**	
Total. Add lines 1 through 3 . . . . ▶	

Part X **Minimum Investment Return**
(All domestic foundations must complete this part. Foreign foundations,see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	9,740,846
b	Average of monthly cash balances.	1b	116,306
c	Fair market value of all other assets (see instructions).	1c	0
d	**Total** (add lines 1a, b, and c).	1d	9,857,152
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). 1e		
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	9,857,152
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	147,857
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	9,709,295
6	**Minimum investment return.** Enter 5% of line 5.	6	485,465

Part XI **Distributable Amount**
(see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.			1	485,465
2a	Tax on investment income for 2015 from Part VI, line 5.	2a	2,694		
b	Income tax for 2015 (This does not include the tax from Part VI).	2b			
c	Add lines 2a and 2b.			2c	2,694
3	Distributable amount before adjustments Subtract line 2c from line 1.			3	482,771
4	Recoveries of amounts treated as qualifying distributions.			4	
5	Add lines 3 and 4.			5	482,771
6	Deduction from distributable amount (see instructions).			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.			7	482,771

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	482,563
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	482,563
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	2,694
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4.	6	479,869

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1	Distributable amount for 2015 from Part XI, line 7				482,771
2	Undistributed income, if any, as of the end of 2015				
a	Enter amount for 2014 only.			476,265	
b	Total for prior years 2013 , 2012 , 2011				
3	Excess distributions carryover, if any, to 2015				
a	From 2010.				
b	From 2011.				
c	From 2012.				
d	From 2013.				
e	From 2014. 0				
f	**Total** of lines 3a through e.	0			
4	Qualifying distributions for 2015 from Part XII, line 4 ► $ 482,563				
a	Applied to 2014, but not more than line 2a			476,265	
b	Applied to undistributed income of prior years (Election required—see instructions).				
c	Treated as distributions out of corpus (Election required—see instructions).				
d	Applied to 2015 distributable amount.				6,298
e	Remaining amount distributed out of corpus	0			
5	Excess distributions carryover applied to 2015 *(If an amount appears in column (d), the same amount must be shown in column (a).)*	0			0
6	**Enter the net total of each column as indicated below:**				
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b	Prior years' undistributed income Subtract line 4b from line 2b				
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d	Subtract line 6c from line 6b Taxable amount —see instructions				
e	Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount—see instructions				
f	Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015				476,473
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8	Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions) . . .				
9	**Excess distributions carryover to 2016.** Subtract lines 7 and 8 from line 6a	0			
10	Analysis of line 9				
a	Excess from 2011. . . .				
b	Excess from 2012. . . .				
c	Excess from 2013. . . .				
d	Excess from 2014. . . .				
e	Excess from 2015. . . . 0				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling. . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2015	**(b)** 2014	**(c)** 2013	**(d)** 2012	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

MICHAEL T RIORDAN

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				480,000
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments			14	9	
4 Dividends and interest from securities. . .			14	172,078	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.			19	151	
8 Gain or (loss) from sales of assets other than inventory			18	146,298	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory . .					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .				318,536	

13 Total. Add line 12, columns (b), (d), and (e). **13** 318,536

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash	**1a(1)**		**No**
(2) Other assets	**1a(2)**		**No**
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	**1b(1)**		**No**
(2) Purchases of assets from a noncharitable exempt organization	**1b(2)**		**No**
(3) Rental of facilities, equipment, or other assets	**1b(3)**		**No**
(4) Reimbursement arrangements	**1b(4)**		**No**
(5) Loans or loan guarantees	**1b(5)**		**No**
(6) Performance of services or membership or fundraising solicitations	**1b(6)**		**No**
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	**1c**		**No**

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship
NA	N/A	N/A

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

****** | 2016-05-15 | ******

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☑ **Yes** ☐ **No**

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶☐	PTIN
Maggie Thomason				P00192192

Firm's name ▶ TOPEL FORMAN LLC

Firm's EIN ▶

Firm's address ▶ 500 NORTH MICHIGAN AVE SUITE 1700 CHICAGO, IL 60611

Phone no (920) 295-3366

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
PERSHING QWV-001162 SEE ATTACHED	P		
PERSHING QWV-001162 SEE ATTACHED	P		
PERSHING QWV-001162 SEE ATTACHED	P		
PERSHING QWV-001118 SEE ATTACHED	P		
PERSHING QWV-001118 SEE ATTACHED	P		
PERSHING QWV-001118 SEE ATTACHED	P		
BNY MELLON 10571044110 SEE ATTACHED	P		
BNY MELLON 10571044110 SEE ATTACHED			
PERSHING QWV-001196 SEE ATTACHED	P		
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
83,246		114,217	-30,971
193,037		170,522	22,515
209,910		85,778	124,132
356,409		350,417	6,254
2,172,315		2,180,315	-8,000
994,061		1,053,184	-34,733
2,249		2,659	-410
104,457		96,899	7,558
1,096,569		1,143,264	-27,727
			87,680

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-30,971
			22,515
			124,132
			5,992
			-8,000
			-59,123
			-410
			7,558
			-46,695

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
OUR LADY OF LOURDES CATHOLIC CHURCH PARISH OFFICE 1307 LOURDES AVENUE DE PERE, WI 54115	NONE	PC	TO FURTHER PROGRAM SERVICES	30,000
HOUSE OF HOPE 1660 CHRISTIANA STREET GREEN BAY, WI 54303	NONE	PC	TO FURTHER PROGRAM SERVICES	15,000
FREEDOM HOUSE MINISTRIES 2997 SAINT ANTHONY DRIVE GREEN BAY, WI 54311	NONE	PC	TO FURTHER PROGRAM SERVICES	15,000
GREEN LAKE ASSOCIATION 492 HILL STREET STE 105 PO BOX 364 GREEN LAKE, WI 54941	NONE	PC	TO FURTHER PROGRAM SERVICES	2,500
NEW COMMUNITY CLINIC 622 BODART STREET GREEN BAY, WI 54301	NONE	PC	TO FURTHER PROGRAM SERVICES	15,000
GOLDEN HOUSE PO BOX 727 GREEN BAY, WI 54305	NONE	PC	TO FURTHER PROGRAM SERVICES	15,000
NAMI COLLIER COUNTY INC 6216 TRAIL BOULEVARD BUILDING C NAPLES, FL 34108	NONE	PC	TO FURTHER PROGRAM SERVICES	10,000
NAMI OSHKOSH PO BOX 3303 OSHKOSH, WI 54903	NONE	PC	TO FURTHER PROGRAM SERVICES	15,000
GREEN LAKE COUNTY AREA UNITED FUND PO BOX 203 BERLIN, WI 549230203	NONE	PC	TO FURTHER PROGRAM SERVICES	2,500
COOPER RIIS HEALING FARM 101 HEALING FARM LANE MILL SPRING, NC 28756	NONE	PC	TO FURTHER PROGRAM SERVICES	100,000
ST JOHN THE BAPTIST 1211 WEST MAIN STREET PRINCETON, WI 54968	NONE	PC	TO FURTHER PROGRAM SERVICES	5,000
ST JOHN THE EVANGELIST HOMELESS SHELTER PO BOX 1743 GREEN BAY, WI 54305	NONE	PC	TO FURTHER PROGRAM SERVICES	10,000
INTERNATIONAL RESCUE COMMITTEE 122 EAST 42ND STREET NEW YORK, NY 10168	NONE	PC	TO FURTHER PROGRAM SERVICES	20,000
HOLLYWOOD SCHOOLHOUSE 1233 NORTH MCCADDEN PLACE LOS ANGELES, CA 90038	NONE	PC	TO FURTHER PROGRAM SERVICES	7,500
FOOD ON FOOT 9663 SANTA MONICA BLVD 743 BEVERLY HILLS, CA 90210	NONE	PC	TO FURTHER PROGRAM SERVICES	17,500
Total . . . . ▶ **3a**				480,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CHILDREN'S HOSPITAL AND HEALTH SYSTEM FOUNDATION MAIL STATION 3050 PO BOX 1997 MILWAUKEE, WI 53201	NONE	PC	TO FURTHER PROGRAM SERVICES	10,000
CHILDREN'S HOSPITAL OF LOS ANGELES 4650 SUNSET BLVD SOUTH LOS ANGELES, CA 90027	NONE	PC	TO FURTHER PROGRAM SERVICES	50,000
PAUL'S PANTRY 1529 LEO FRIGO WAY GREEN BAY, WI 54302	NONE	PC	TO FURTHER PROGRAM SERVICES	15,000
LIBERTAS 1701 DOUSMAN STREET GREEN BAY, WI 54303	NONE	PC	TO FURTHER PROGRAM SERVICES	5,000
COMBAT BLINDNESS PO BOX 5332 MADISON, WI 537050332	NONE	PC	TO FURTHER PROGRAM SERVICES	15,000
UNION OF CONCERNED SCIENTISTS 2 BRATTLE SQUARE CAMBRIDGE, MA 02138	NONE	PC	TO FURTHER PROGRAM SERVICES	15,000
LIVING COMPASSION PO BOX 1979 MURPHYS, CA 95247	NONE	PC	TO FURTHER PROGRAM SERVICES	10,000
NATURAL RESOURCES DEFENSE COUNCIL 40 W 20TH ST NEW YORK, NY 10011	NONE	PC	TO FURTHER PROGRAM SERVICES	12,500
MIND & LIFE INSTITUTE 4 BAY ROAD HADLEY, MA 01035	NONE	PC	TO FURTHER PROGRAM SERVICES	10,000
ELECTRONIC EDUCATIONAL PROGRAMS INC PO BOX 2308 SAN ANSELMO, CA 94979	NONE	PC	TO FURTHER PROGRAM SERVICES	7,500
ACTIVE HEROES INC 8701 OLD BARDSTOWN ROAD SUITE B LOUISVILLE, KY 40291	NONE	PC	TO FURTHER PROGRAM SERVICES	50,000
Total . . . . ▶ **3a**				480,000

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2015 Depreciation Schedule

Name: MICHAEL T RIORDAN FAMILY FOUNDATION
C/O MICHAEL T RIORDAN TRUSTEE

EIN: 30-6000348

TY 2015 Investments Corporate Stock Schedule

Name: MICHAEL T RIORDAN FAMILY FOUNDATION
C/O MICHAEL T RIORDAN TRUSTEE

EIN: 30-6000348

Name of Stock	End of Year Book Value	End of Year Fair Market Value
PERSHING 1162	470,443	723,669
PERSHING 1118	7,547,131	7,211,731
PERSHING 1196	407,687	358,685
BNY MELLON 4110	638,785	685,601

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TY 2015 Other Expenses Schedule

Name: MICHAEL T RIORDAN FAMILY FOUNDATION
C/O MICHAEL T RIORDAN TRUSTEE

EIN: 30-6000348

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT ADVISORY FEES	42,384	42,384		
BANK FEES				
USCI FUND - PTP K-1 LOSS	34,559			

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TY 2015 Other Increases Schedule

Name: MICHAEL T RIORDAN FAMILY FOUNDATION
C/O MICHAEL T RIORDAN TRUSTEE

EIN: 30-6000348

Description	Amount
BOOK-TAX REPORTING DIFFERENCES	29,610

TY 2015 Taxes Schedule

Name: MICHAEL T RIORDAN FAMILY FOUNDATION
C/O MICHAEL T RIORDAN TRUSTEE

EIN: 30-6000348

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	4,226	4,226		
FEDERAL EXCISE TAX	40,000			

Income for 2015

This section reports your sales transactions during the year A schedule of your net gains and losses is shown below Please note that if you have sold an asset for which the cost basis information is unknown it is shown separately in the section entitled Transactions with Unknown Cost Basis Please review these carefully when preparing your return An asterisk symbol (*) next to the tax cost indicates that the tax cost has been adjusted for current year's return of capital

The following items are provided to you to assist in the preparation of your tax returns We have included the following Codes and Adjustment amounts "W" for wash sales, "C" for collectibles, and "D" for Market Discount Typically, collectibles are taxed at 28% rates For your convenience, if applicable, we have included the portion subject to Section 988 translation gains and losses and Market Discount in the column titled "Portion Subject to 988 and Market Discount" We have also includes gains on collectibles taxed at 28% rates in the last column If we have included a dagger symbol (†) next to the sale transaction with a net loss, this indicates that you can not take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure

Short Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
ROYAL DUTCH SHELL PLC SPONS ADR		**780259206**							
05/08/15	06/26/14	7	$447 62	$577 43	$-129 81		$0 00	$0 00	$0 00
SOUTH32-ADR-W/I		**84473L105**							
06/23/15	05/29/15	12	$86 45	$99 90	$-13 45		$0 00	$0 00	$0 00
06/23/15	05/29/15	46 8	$337 15	$389 61	$-52 46		$0 00	$0 00	$0 00
06/23/15	05/29/15	20	$144 08	$166 50	$-22 42		$0 00	$0 00	$0 00
06/23/15	05/29/15	133 2	$959 59	$1,108 89	$-149 30		$0 00	$0 00	$0 00
06/23/15	05/29/15	30	$216 12	$249 75	$-33 63		$0 00	$0 00	$0 00

Short Term Transactions

DESCRIPTION Date sold or disposed	Date acquired	CUSIP Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
SOUTH32-ADR-W/I		**84473L105**							
06/23/15	05/29/15	8	$57 63	$66 60	$-8 97		$0 00	$0 00	$0 00
Total Short Term Gain/Loss			*$2,248 64*	*$2,658 68*	*$-410 04*		*$0 00*	*$0 00*	*$0 00*

Long Term Transactions

DESCRIPTION Date sold or disposed	Date acquired	CUSIP Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
ANHEUSER BUSCH INBEV SPN ADR		**03524A108**							
07/21/15	02/25/14	63	$8,033 20	$6,452 52	$1,580 68		$0 00	$0 00	$0 00
HSBC HLDGS PLC		**404280406**							
05/08/15	08/02/12	540	$26,592 39	$22,671 47	$3,920 92		$0 00	$0 00	$0 00
05/08/15	10/12/10	100	$4,924 52	$5,250 99	$-326 47		$0 00	$0 00	$0 00
05/08/15	11/15/12	143	$7,042 06	$6,842 31	$199 75		$0 00	$0 00	$0 00
NOVARTIS AG SPNSRD ADR		**66987V109**							
07/21/15	02/25/14	76	$7,940 31	$6,366 72	$1,573 59		$0 00	$0 00	$0 00
ROYAL DUTCH SHELL PLC SPONS ADR		**780259206**							
05/08/15	11/19/10	75	$4,795 29	$4,872 58	$-77 29		$0 00	$0 00	$0 00
05/08/15	09/26/13	FRAC SHARE	$0 22	$0 23	$-0 01		$0 00	$0 00	$0 00

Long Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
ROYAL DUTCH SHELL PLC SPONS ADR		**780259206**							
05/08/15	12/23/13	FRAC SHARE	$0 01	$0 01	$0 00		$0 00	$0 00	$0 00
05/08/15	03/27/14	FRAC SHARE	$0 01	$0 01	$0 00		$0 00	$0 00	$0 00
05/08/15	03/27/14	FRAC SHARE	$0 04	$0 05	$-0 01		$0 00	$0 00	$0 00
05/08/15	10/28/11	34 21	$2,187 09	$2,480 43	$-293 34		$0 00	$0 00	$0 00
05/08/15	08/02/12	225	$14,385 88	$15,471 29	$-1,085 41		$0 00	$0 00	$0 00
05/08/15	09/20/12	4	$255 75	$283 40	$-27 65		$0 00	$0 00	$0 00
05/08/15	10/27/11	0 25	$15 88	$17 83	$-1 95		$0 00	$0 00	$0 00
05/08/15	11/15/12	87	$5,562 54	$5,707 20	$-144 66		$0 00	$0 00	$0 00
05/08/15	12/20/12	0 02	$1 55	$1 69	$-0 14		$0 00	$0 00	$0 00
05/08/15	12/20/12	2 94	$187 99	$204 84	$-16 85		$0 00	$0 00	$0 00
05/08/15	12/20/12	0 98	$62 66	$68 28	$-5 62		$0 00	$0 00	$0 00
05/08/15	12/20/12	FRAC SHARE	$0 20	$0 23	$-0 03		$0 00	$0 00	$0 00
05/08/15	12/20/12	0 05	$3 34	$3 64	$-0 30		$0 00	$0 00	$0 00
05/08/15	01/31/13	81	$5,178 92	$5,750 34	$-571 42		$0 00	$0 00	$0 00
05/08/15	03/28/13	0 28	$17 88	$18 16	$-0 28		$0 00	$0 00	$0 00
05/08/15	03/28/13	FRAC SHARE	$0 02	$0 02	$0 00		$0 00	$0 00	$0 00
05/08/15	03/28/13	0 98	$62 49	$63 44	$-0 95		$0 00	$0 00	$0 00
05/08/15	03/28/13	0 64	$40 93	$41 56	$-0 63		$0 00	$0 00	$0 00

Long Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
ROYAL DUTCH SHELL PLC SPONS ADR		780259206							
05/08/15	03/28/13	2 93	$187 45	$190 33	$-2 88		$0 00	$0 00	$0 00
05/08/15	03/28/13	0 05	$3 33	$3 38	$-0 05		$0 00	$0 00	$0 00
05/08/15	03/28/13	FRAC SHARE	$0 20	$0 21	$-0 01		$0 00	$0 00	$0 00
05/08/15	03/28/13	1 06	$67 49	$68 52	$-1 03		$0 00	$0 00	$0 00
05/08/15	03/28/13	0 04	$2 45	$2 49	$-0 04		$0 00	$0 00	$0 00
05/08/15	03/28/13	0 01	$0 82	$0 83	$-0 01		$0 00	$0 00	$0 00
05/08/15	03/28/13	FRAC SHARE	$0 04	$0 04	$0 00		$0 00	$0 00	$0 00
05/08/15	03/28/13	0 01	$0 52	$0 53	$-0 01		$0 00	$0 00	$0 00
05/08/15	06/27/13	0 95	$60 99	$61 25	$-0 26		$0 00	$0 00	$0 00
05/08/15	06/27/13	0 65	$41 45	$41 63	$-0 18		$0 00	$0 00	$0 00
05/08/15	06/27/13	2 98	$190 74	$191 55	$-0 81		$0 00	$0 00	$0 00
05/08/15	06/27/13	0 05	$3 39	$3 41	$-0 02		$0 00	$0 00	$0 00
05/08/15	06/27/13	FRAC SHARE	$0 21	$0 21	$0 00		$0 00	$0 00	$0 00
05/08/15	06/27/13	1 15	$73 75	$74 07	$-0 32		$0 00	$0 00	$0 00
05/08/15	06/27/13	FRAC SHARE	$0 02	$0 02	$0 00		$0 00	$0 00	$0 00
05/08/15	06/27/13	0 04	$2 49	$2 50	$-0 01		$0 00	$0 00	$0 00
05/08/15	06/27/13	0 01	$0 83	$0 83	$0 00		$0 00	$0 00	$0 00
05/08/15	06/27/13	FRAC SHARE	$0 04	$0 04	$0 00		$0 00	$0 00	$0 00

Long Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
ROYAL DUTCH SHELL PLC SPONS ADR		**780259206**							
05/08/15	06/27/13	1 07	$68 67	$68 96	$-0 29		$0 00	$0 00	$0 00
05/08/15	06/27/13	FRAC SHARE	$0 24	$0 24	$0 00		$0 00	$0 00	$0 00
05/08/15	06/27/13	FRAC SHARE	$0 03	$0 03	$0 00		$0 00	$0 00	$0 00
05/08/15	06/27/13	0 01	$0 54	$0 54	$0 00		$0 00	$0 00	$0 00
05/08/15	06/27/13	0 04	$2 49	$2 50	$-0 01		$0 00	$0 00	$0 00
05/08/15	06/27/13	FRAC SHARE	$0 04	$0 04	$0 00		$0 00	$0 00	$0 00
05/08/15	06/27/13	0 01	$0 90	$0 90	$0 00		$0 00	$0 00	$0 00
05/08/15	06/27/13	FRAC SHARE	$0 01	$0 01	$0 00		$0 00	$0 00	$0 00
05/08/15	06/27/13	FRAC SHARE	$0 19	$0 19	$0 00		$0 00	$0 00	$0 00
05/08/15	06/27/13	0 01	$0 53	$0 53	$0 00		$0 00	$0 00	$0 00
05/08/15	08/26/13	98	$6,265 85	$6,305 44	$-39 59		$0 00	$0 00	$0 00
05/08/15	09/26/13	FRAC SHARE	$0 01	$0 01	$0 00		$0 00	$0 00	$0 00
05/08/15	09/26/13	0 48	$30 84	$31 74	$-0 90		$0 00	$0 00	$0 00
05/08/15	09/26/13	0 69	$44 07	$45 34	$-1 27		$0 00	$0 00	$0 00
05/08/15	09/26/13	3 17	$202 81	$208 64	$-5 83		$0 00	$0 00	$0 00
05/08/15	09/26/13	0 06	$3 61	$3 71	$-0 10		$0 00	$0 00	$0 00
05/08/15	09/26/13	1 23	$78 41	$80 68	$-2 27		$0 00	$0 00	$0 00
05/08/15	09/26/13	FRAC SHARE	$0 02	$0 02	$0 00		$0 00	$0 00	$0 00

Long Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
ROYAL DUTCH SHELL PLC SPONS ADR		**780259206**							
05/08/15	09/26/13	0 04	$2 65	$2 73	$-0 08		$0 00	$0 00	$0 00
05/08/15	09/26/13	0 01	$0 88	$0 91	$-0 03		$0 00	$0 00	$0 00
05/08/15	09/26/13	FRAC SHARE	$0 04	$0 05	$-0 01		$0 00	$0 00	$0 00
05/08/15	09/26/13	1 14	$73 00	$75 11	$-2 11		$0 00	$0 00	$0 00
05/08/15	09/26/13	FRAC SHARE	$0 25	$0 26	$-0 01		$0 00	$0 00	$0 00
05/08/15	09/26/13	0 01	$0 88	$0 91	$-0 03		$0 00	$0 00	$0 00
05/08/15	09/26/13	0 01	$0 58	$0 59	$-0 01		$0 00	$0 00	$0 00
05/08/15	09/26/13	0 04	$2 64	$2 72	$-0 08		$0 00	$0 00	$0 00
05/08/15	09/26/13	FRAC SHARE	$0 04	$0 05	$-0 01		$0 00	$0 00	$0 00
05/08/15	09/26/13	0 01	$0 95	$0 98	$-0 03		$0 00	$0 00	$0 00
05/08/15	09/26/13	FRAC SHARE	$0 01	$0 01	$0 00		$0 00	$0 00	$0 00
05/08/15	09/26/13	0 01	$0 52	$0 53	$-0 01		$0 00	$0 00	$0 00
05/08/15	09/26/13	FRAC SHARE	$0 20	$0 21	$-0 01		$0 00	$0 00	$0 00
05/08/15	09/26/13	0 04	$2 69	$2 77	$-0 08		$0 00	$0 00	$0 00
05/08/15	09/26/13	FRAC SHARE	$0 04	$0 05	$-0 01		$0 00	$0 00	$0 00
05/08/15	09/26/13	0 02	$1 04	$1 07	$-0 03		$0 00	$0 00	$0 00
05/08/15	09/26/13	FRAC SHARE	$0 01	$0 01	$0 00		$0 00	$0 00	$0 00
05/08/15	09/26/13	0 02	$0 97	$1 00	$-0 03		$0 00	$0 00	$0 00

Long Term Transactions

DESCRIPTION **CUSIP**

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
ROYAL DUTCH SHELL PLC SPONS ADR		**780259206**							
05/08/15	09/26/13	FRAC SHARE	$0 01	$0 01	$0 00		$0 00	$0 00	$0 00
05/08/15	09/26/13	FRAC SHARE	$0 04	$0 04	$0 00		$0 00	$0 00	$0 00
05/08/15	10/08/11	0 01	$0 41	$0 46	$-0 05		$0 00	$0 00	$0 00
05/08/15	12/23/13	FRAC SHARE	$0 01	$0 01	$0 00		$0 00	$0 00	$0 00
05/08/15	12/23/13	FRAC SHARE	$0 01	$0 01	$0 00		$0 00	$0 00	$0 00
05/08/15	12/23/13	0 68	$43 16	$47 40	$-4 24		$0 00	$0 00	$0 00
05/08/15	12/23/13	0 01	$0 42	$0 46	$-0 04		$0 00	$0 00	$0 00
05/08/15	12/23/13	0 01	$0 59	$0 65	$-0 06		$0 00	$0 00	$0 00
05/08/15	12/23/13	0 04	$2 74	$3 00	$-0 26		$0 00	$0 00	$0 00
05/08/15	12/23/13	FRAC SHARE	$0 05	$0 05	$0 00		$0 00	$0 00	$0 00
05/08/15	12/23/13	0 02	$1 05	$1 16	$-0 11		$0 00	$0 00	$0 00
05/08/15	12/23/13	FRAC SHARE	$0 04	$0 04	$0 00		$0 00	$0 00	$0 00
05/08/15	12/23/13	FRAC SHARE	$0 01	$0 01	$0 00		$0 00	$0 00	$0 00
05/08/15	12/23/13	0 02	$0 98	$1 08	$-0 10		$0 00	$0 00	$0 00
05/08/15	12/23/13	1 01	$64 63	$70 99	$-6 36		$0 00	$0 00	$0 00
05/08/15	12/23/13	0 66	$42 14	$46 28	$-4 14		$0 00	$0 00	$0 00
05/08/15	12/23/13	3 03	$193 93	$212 96	$-19 03		$0 00	$0 00	$0 00
05/08/15	12/23/13	0 05	$3 45	$3 79	$-0 34		$0 00	$0 00	$0 00

Long Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
ROYAL DUTCH SHELL PLC SPONS ADR		780259206							
05/08/15	12/23/13	1 17	$74 97	$82 34	$-7 37		$0 00	$0 00	$0 00
05/08/15	12/23/13	FRAC SHARE	$0 02	$0 02	$0 00		$0 00	$0 00	$0 00
05/08/15	12/23/13	0 04	$2 53	$2 78	$-0 25		$0 00	$0 00	$0 00
05/08/15	12/23/13	0 01	$0 84	$0 93	$-0 09		$0 00	$0 00	$0 00
05/08/15	12/23/13	FRAC SHARE	$0 04	$0 05	$-0 01		$0 00	$0 00	$0 00
05/08/15	12/23/13	1 09	$69 81	$76 66	$-6 85		$0 00	$0 00	$0 00
05/08/15	12/23/13	FRAC SHARE	$0 24	$0 26	$-0 02		$0 00	$0 00	$0 00
05/08/15	12/23/13	0 01	$0 84	$0 92	$-0 08		$0 00	$0 00	$0 00
05/08/15	12/23/13	0 01	$0 55	$0 61	$-0 06		$0 00	$0 00	$0 00
05/08/15	12/23/13	0 04	$2 53	$2 77	$-0 24		$0 00	$0 00	$0 00
05/08/15	12/23/13	FRAC SHARE	$0 04	$0 05	$-0 01		$0 00	$0 00	$0 00
05/08/15	12/23/13	0 01	$0 91	$1 00	$-0 09		$0 00	$0 00	$0 00
05/08/15	12/23/13	FRAC SHARE	$0 01	$0 01	$0 00		$0 00	$0 00	$0 00
05/08/15	12/23/13	FRAC SHARE	$0 20	$0 22	$-0 02		$0 00	$0 00	$0 00
05/08/15	12/23/13	0 01	$0 82	$0 90	$-0 08		$0 00	$0 00	$0 00
05/08/15	12/23/13	0 01	$0 56	$0 61	$-0 05		$0 00	$0 00	$0 00
05/08/15	12/23/13	0 04	$2 57	$2 82	$-0 25		$0 00	$0 00	$0 00
05/08/15	12/23/13	FRAC SHARE	$0 04	$0 04	$0 00		$0 00	$0 00	$0 00

Long Term Transactions

DESCRIPTION **CUSIP**

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
ROYAL DUTCH SHELL PLC SPONS ADR		**780259206**							
05/08/15	12/23/13	FRAC SHARE	$0 01	$0 01	$0 00		$0 00	$0 00	$0 00
05/08/15	12/23/13	FRAC SHARE	$0 04	$0 04	$0 00		$0 00	$0 00	$0 00
05/08/15	12/23/13	FRAC SHARE	$0 01	$0 01	$0 00		$0 00	$0 00	$0 00
05/08/15	12/23/13	0 01	$0 47	$0 51	$-0 04		$0 00	$0 00	$0 00
05/08/15	12/23/13	FRAC SHARE	$0 01	$0 01	$0 00		$0 00	$0 00	$0 00
05/08/15	10/13/11	0 54	$34 68	$39 32	$-4 64		$0 00	$0 00	$0 00
05/08/15	03/27/14	FRAC SHARE	$0 01	$0 01	$0 00		$0 00	$0 00	$0 00
05/08/15	03/27/14	FRAC SHARE	$0 01	$0 01	$0 00		$0 00	$0 00	$0 00
05/08/15	03/27/14	FRAC SHARE	$0 01	$0 01	$0 00		$0 00	$0 00	$0 00
05/08/15	03/23/14	0 01	$0 88	$1 04	$-0 16		$0 00	$0 00	$0 00
05/08/15	03/27/14	FRAC SHARE	$0 01	$0 01	$0 00		$0 00	$0 00	$0 00
05/08/15	03/27/14	FRAC SHARE	$0 15	$0 16	$-0 01		$0 00	$0 00	$0 00
05/08/15	03/27/14	FRAC SHARE	$0 02	$0 02	$0 00		$0 00	$0 00	$0 00
05/08/15	03/27/14	0 18	$11 30	$12 82	$-1 52		$0 00	$0 00	$0 00
05/08/15	03/27/14	0 95	$60 71	$68 87	$-8 16		$0 00	$0 00	$0 00
05/08/15	03/27/14	0 41	$26 21	$29 74	$-3 53		$0 00	$0 00	$0 00
05/08/15	03/27/14	2 85	$182 16	$206 61	$-24 45		$0 00	$0 00	$0 00
05/08/15	03/27/14	0 05	$3 24	$3 67	$-0 43		$0 00	$0 00	$0 00

Long Term Transactions

DESCRIPTION **CUSIP**

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
ROYAL DUTCH SHELL PLC SPONS ADR		**780259206**							
05/08/15	03/27/14	1 1	$70 42	$79 89	$-9 47		$0 00	$0 00	$0 00
05/08/15	03/27/14	FRAC SHARE	$0 02	$0 02	$0 00		$0 00	$0 00	$0 00
05/08/15	03/27/14	FRAC SHARE	$0 04	$0 05	$-0 01		$0 00	$0 00	$0 00
05/08/15	03/27/14	1 03	$65 56	$74 38	$-8 82		$0 00	$0 00	$0 00
05/08/15	03/27/14	0 04	$2 37	$2 69	$-0 32		$0 00	$0 00	$0 00
05/08/15	03/27/14	FRAC SHARE	$0 04	$0 05	$-0 01		$0 00	$0 00	$0 00
05/08/15	03/27/14	0 01	$0 86	$0 97	$-0 11		$0 00	$0 00	$0 00
05/08/15	03/27/14	FRAC SHARE	$0 01	$0 01	$0 00		$0 00	$0 00	$0 00
05/08/15	03/27/14	0 04	$2 42	$2 74	$-0 32		$0 00	$0 00	$0 00
05/08/15	03/27/14	FRAC SHARE	$0 01	$0 01	$0 00		$0 00	$0 00	$0 00
05/08/15	03/27/14	FRAC SHARE	$0 01	$0 01	$0 00		$0 00	$0 00	$0 00
05/08/15	03/27/14	1 24	$79 32	$89 99	$-10 67		$0 00	$0 00	$0 00
05/08/15	03/27/14	0 01	$0 39	$0 44	$-0 05		$0 00	$0 00	$0 00
05/08/15	03/27/14	0 01	$0 56	$0 63	$-0 07		$0 00	$0 00	$0 00
05/08/15	03/27/14	0 04	$2 57	$2 91	$-0 34		$0 00	$0 00	$0 00
05/08/15	03/27/14	FRAC SHARE	$0 04	$0 05	$-0 01		$0 00	$0 00	$0 00
05/08/15	03/27/14	FRAC SHARE	$0 01	$0 01	$0 00		$0 00	$0 00	$0 00
05/08/15	03/27/14	0 01	$0 93	$1 05	$-0 12		$0 00	$0 00	$0 00

Long Term Transactions

DESCRIPTION		CUSIP								
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
ROYAL DUTCH SHELL PLC SPONS ADR		**780259206**							
05/08/15	03/27/14	FRAC SHARE	$0 01	$0 01	$0 00		$0 00	$0 00	$0 00
05/08/15	03/27/14	0 01	$0 44	$0 50	$-0 06		$0 00	$0 00	$0 00
05/08/15	03/27/14	FRAC SHARE	$0 01	$0 01	$0 00		$0 00	$0 00	$0 00
05/08/15	03/27/14	FRAC SHARE	$0 01	$0 01	$0 00		$0 00	$0 00	$0 00
05/08/15	03/23/14	0 01	$0 59	$0 69	$-0 10		$0 00	$0 00	$0 00
AON CORP		**G0408V102**							
07/21/15	05/30/13	85	$8,684 97	$5,667 41	$3,017 56		$0 00	$0 00	$0 00
Total Long Term Gain/Loss			*$104,456 76*	*$96,899 35*	*$7,557 41*		*$0 00*	*$0 00*	*$0 00*

Transactions with Unknown Cost Basis

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
ROYAL DUTCH SHELL PLC SPONS ADR		**780259206**							
05/08/15	12/23/13	FRAC SHARE	$0 01	Unknown	Unknown		$0 00	Unknown	Unknown
05/08/15	09/26/13	FRAC SHARE	$0 01	Unknown	Unknown		$0 00	Unknown	Unknown
Total Proceeds from Transactions with Unknown Cost Basis			*$0 02*	*Unknown*	*Unknown*		*$0 00*	*Unknown*	*Unknown*