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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2015

Open to Public Inspection

For calendar year 2015, or tax year beginning 01-01-2015 , and ending 12-31-2015

Name of foundation
GUTTENPLAN FAMILY FOUNDATION INC
C/O JACK GUTTENPLAN
% JACK GUTTENPLAN

A Employer identification number
27-3764072

Number and street (or P O box number if mail is not delivered to street address)
100 STATE ROUTE 36 EAST

Room/suite

B Telephone number (see instructions)
(732) 495-9580

City or town, state or province, country, and ZIP or foreign postal code
MIDDLETOWN, NJ 07748

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 2,644,193

J Accounting method ☑ Cash ☐ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	381,415			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	39	39		
	4	Dividends and interest from securities	103,664	103,664		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	-55,165			
	b	Gross sales price for all assets on line 6a 392,740				
	7	Capital gain net income (from Part IV, line 2)		176,877		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	727	727		
	12	**Total.** Add lines 1 through 11	430,680	281,307		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0			
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	16,883	1,380		
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	11,682	11,682		
	24	**Total operating and administrative expenses.** Add lines 13 through 23	28,565	13,062		0
	25	Contributions, gifts, grants paid	179,700			179,700
	26	**Total expenses and disbursements.** Add lines 24 and 25	208,265	13,062		179,700
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	222,415			
	b	**Net investment income** (if negative, enter -0-)		268,245		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	**(b)** Book Value	**(c)** Fair Market Value
Assets	1	Cash—non-interest-bearing	12,277	17,074	17,074
	2	Savings and temporary cash investments	370,606	232,218	232,218
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	2,034,490	2,418,260	2,394,901
	c	Investments—corporate bonds (attach schedule)	27,764	0	0
	11	Investments—land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	2,445,137	2,667,552	2,644,193
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)		0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here** ▶ ☐ **and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☑ **and complete lines 27 through 31.**			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	2,445,137	2,667,552	
	30	**Total net assets or fund balances** (see instructions)	2,445,137	2,667,552	
	31	**Total liabilities and net assets/fund balances** (see instructions)	2,445,137	2,667,552	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,445,137
2	Enter amount from Part I, line 27a	2	222,415
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	2,667,552
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	2,667,552

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	JP MORGAN 220-12168 AND 220-40119	P		
b	CAPITAL GAIN DIVIDENDS	P		
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	389,234		215,863	173,371
b				3,506
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				173,371
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) — If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7	2	176,877
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)
If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2014	108,500	2,499,232	0 043413
2013	44,200	1,884,483	0 023455
2012	42,200	1,252,984	0 03368
2011	42,400	965,025	0 043937
2010	40,500	82,100	0 493301

2	**Total** of line 1, column (d).	2	0 637786
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 127557
4	Enter the net value of noncharitable-use assets for 2015 from Part X, line 5.	4	2,610,014
5	Multiply line 4 by line 3.	5	332,926
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	2,682
7	Add lines 5 and 6.	7	335,608
8	Enter qualifying distributions from Part XII, line 4.	8	179,700

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ **(attach copy of letter if necessary–see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	5,365
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	
3	Add lines 1 and 2			3	5,365
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	5,365
6	Credits/Payments				
a	2015 estimated tax payments and 2014 overpayment credited to 2015	6a	8,000		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	8,000
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	20
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	2,615
11	Enter the amount of line 10 to be **Credited to 2015 estimated tax** ▶ 2,615 **Refunded** ▶			11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		No
	If "Yes," attach a detailed description of the activities.			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		No
	If "Yes," attach the statement required by General Instruction T.			
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ ________			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VII-A Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶

14 The books are in care of ▶JACK GUTTENPLAN Telephone no ▶(732) 495-9480

Located at ▶100 STATE ROUTE 36 EAST MIDDLETOWN NJ ZIP+4 ▶07748

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		No
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2015 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(Continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ **Yes** ☑ **No**

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ **Yes** ☑ **No**

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ **Yes** ☑ **No**

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions). ☐ **Yes** ☑ **No**

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ **Yes** ☑ **No**

b If any answer is "Yes" to 5a(1)–(5), did **any**of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ **Yes** ☐ **No**

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ **Yes** ☑ **No**

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ **Yes** ☑ **No**

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
JACK GUTTENPLAN 100 STREET ROUTE 36 EAST MIDDLETOWN, NJ 07748	TRUSTEE 0	0	0	0
PATSY GUTTENPLAN 100 STREET ROUTE 36 EAST MIDDLETOWN, NJ 07748	TRUSTEE 0	0	0	0
ADAM GUTTENPLAN 100 STREET ROUTE 36 EAST MIDDLETOWN, NJ 07748	TRUSTEE 0	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances

Total number of other employees paid over $50,000 ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation

Total number of others receiving over $50,000 for professional services . . . . ▶	

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 . . . . ▶	

Part X Minimum Investment Return

(All domestic foundations must complete this part. Foreign foundations,see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	2,373,274
b	Average of monthly cash balances	1b	276,486
c	Fair market value of all other assets (see instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	2,649,760
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	2,649,760
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	39,746
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	2,610,014
6	**Minimum investment return.** Enter 5% of line 5	6	130,501

Part XI Distributable Amount

(see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	130,501
2a	Tax on investment income for 2015 from Part VI, line 5	2a	5,365		
b	Income tax for 2015 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	5,365
3	Distributable amount before adjustments Subtract line 2c from line 1			3	125,136
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	125,136
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	125,136

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	179,700
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	0
b	Cash distribution test (attach the required schedule)	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	179,700
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	179,700

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7					125,136
2 Undistributed income, if any, as of the end of 2015					
a Enter amount for 2014 only.				28,684	
b Total for prior years 2013 , 2012 , 2011			0		
3 Excess distributions carryover, if any, to 2015					
a From 2010.					
b From 2011.					
c From 2012.					
d From 2013.					
e From 2014.	0				
f Total of lines 3a through e.		0			
4 Qualifying distributions for 2015 from Part XII, line 4 ▶ $ 179,700					
a Applied to 2014, but not more than line 2a				28,684	
b Applied to undistributed income of prior years (Election required—see instructions).					
c Treated as distributions out of corpus (Election required—see instructions).					
d Applied to 2015 distributable amount.					125,136
e Remaining amount distributed out of corpus		25,880			
5 Excess distributions carryover applied to 2015 *(If an amount appears in column (d), the same amount must be shown in column (a).)*					
6 Enter the net total of each column as indicated below:					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		25,880			
b Prior years' undistributed income Subtract line 4b from line 2b			0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.					
d Subtract line 6c from line 6b Taxable amount —see instructions			0		
e Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount—see instructions					
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)					
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions) . . .					
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a		25,880			
10 Analysis of line 9					
a Excess from 2011. . . .					
b Excess from 2012. . . .					
c Excess from 2013. . . .					
d Excess from 2014. . . .	0				
e Excess from 2015. . . .	25,880				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling. . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2015	**(b)** 2014	**(c)** 2013	**(d)** 2012	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

JACK GUTTENPLAN

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				179,700
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments			14	39	
4 Dividends and interest from securities. . .			14	103,664	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.			15	727	
8 Gain or (loss) from sales of assets other than inventory			18	-55,165	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory . .					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .				49,265	

13 **Total.** Add line 12, columns (b), (d), and (e). **13** 49,265

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	**1a(1)**		No
(2) Other assets.	**1a(2)**		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	**1b(1)**		No
(2) Purchases of assets from a noncharitable exempt organization.	**1b(2)**		No
(3) Rental of facilities, equipment, or other assets.	**1b(3)**		No
(4) Reimbursement arrangements.	**1b(4)**		No
(5) Loans or loan guarantees.	**1b(5)**		No
(6) Performance of services or membership or fundraising solicitations.	**1b(6)**		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	**1c**		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?. ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

******	2016-07-12	******	May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No
Signature of officer or trustee	Date	Title	

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶☐	PTIN
ANTHONY J FAUGNO CPA				P00235434

Firm's name ▶ EISNERAMPER LLP

Firm's EIN ▶

Firm's address ▶ 111 WOOD AVE SO STE 600 ISELIN, NJ 088302700

Phone no (732) 243-7000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
LINCROFT BIBLE CHURCH 790 NEWMAN SPRINGS ROAD LINCROFT, NJ 07738	NONE	PC	TO HELP INDIVIDUALS, HERE AND WORLDWIDE TO KNOW GOD FULLY	15,000
CLEAN OCEAN ACTION 18 HARTSHORNE DRIVE HIGHLANDS, NJ 07732	NONE	PC	TO PROTECT AND CLEAN UP THE NEW JERSEY AND NEW YORK COAST	25,000
FAMILY AND CHILDRENS SERVICE 100E OLD COUNTRY ROAD MINEOLA, NY 11501	NONE	PC	TO PROTECT AND STRENGTHEN LONG ISLAND'S MOST VULNERABLE CHILDREN	15,200
SLOAN KETTERING HOSPITAL 1275 YORK AVENUE NEW YORK, NY 10065	NONE	PC	TO FIND A CURE FOR CANCER	10,000
CAMPUS CRUSADE FOR CHRIST 100 LAKE HART DRIVE ORLANDO, FL 32832	NONE	PC	TO HELP FULFILL THE GREAT COMMISSION	1,000
JEWS FOR JESUS 60 HAIGHT STREET SAN FRANCISCO, CA 94102	NONE	PC	TO MAKE THE MESSIAHSHIP OF JESUS AN UNAVOIDABLE ISSUE TO OUR JEWISH PEOPLE	10,000
TWO RIVER THEATRE 21 BRIDGE AVENUE RED BANK, NJ 07701	NONE	PC	BRING ARTISTIC AND EDUCATION PROGRAMMING TO RED BANK NJ	5,000
COUNT BASIE THEATRE 99 MONMOUTH STRET RED BANK, NJ 07701	NONE	PC	TO BRING QUALITY ENTERTAINMENT AND EDUCATION PROGRAMS TO CELEBRATE DIVERSTITY	5,000
CAMP BEREA 68 BEREA ROAD HEBRON, NH 03241	NONE	PC	TO CHANGE LIVES OF YOUNG PEOPLE FOR CHRIST	15,000
COALITION FOR CHRISTIAN OUTREACH 5912 PENN AVENUE PITTSBURGH, PA 15206	NONE	PC	TRANSFORMING COLLEGE STUDENTS TO TRANSFORM THE WORLD	4,000
AARON DIAMOND AIDS RESEARCH CENTER 455 1ST AVENUE 7 NEW YORK, NY 10016	NONE	PC	TO SUPPORT A CAUSE WHICH IS ENGAGED IN FINDING A CURE FOR AIDS	7,500
CHARITY WATER 200 VARICK STREET SUITE 201 NEW YORK, NY 10014	NONE	PC	TO SUPPORT THE EFFORT TO BRING CLEAN & SAFE DRINKING WATER TO PEOPLE IN DEVELOPING NATIONS	3,500
WOUNDED WARRIOR PROJECT ROOM 320 370 7TH AVENUE NEW YORK, NY 10001	NONE	PC	TO SUPPORT THE MISSION TO HONOR AND EMPOWER WOUNDED WARRIORS	4,000
LUNCH BREAK 121 DRS JAMES PARKER BLVD RED BANK, NJ 07701	NONE	PC	TO SUPPORT THE MISSION TO ALLEVIATE HUNGER AND LEAD THOSE SERVED TO SELF-SUFFICIENCY AND HEALTHIER LIFESTYLES	5,000
COMPASSION INTERNATIONAL 12290 VOYAGER PARKWAY COLORADO SPRINGS, CO 80921	NONE	PC	TO SUPPORT A CAUSE DEDICATED TO THE LONG-TERM DEVELOPMENT OF CHILDREN LIVING IN POVERTY AROUND THE WORLD	2,500
Total . . . . ▶ **3a**				179,700

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
***a** Paid during the year*				
PARK CHURCH 31 PARK ROAD TINTON FALLS, NJ 07724	NONE	PC	TO SUPPORT THE CAUSE OF INVITING AND INSPIRING PEOPLE TO FOLLOW JESUS	15,000
JIMMY V CANCER FOUNDATION 106 TOWERVIEW COURT CARY, NC 27513	NONE	PC	TO SUPPORT A CAUSE WHICH IS ENGAGED IN FINDING A CURE FOR CANCER	4,000
ORPHAN GRAIN TRAIN 601 WPHILLIP AVENUE POBOX 1466 NORFOLK, NE 68702	NONE	PC	TO SUPPORT A CAUSE WHICH PROMOTES SHARING OF PERSONAL AND MATERIAL RESOURCES WITH NEEDY PEOPLE IN AMERICA AND AROUND THE WORLD	5,000
ATHLETES IN ACTION 1244 W FARMS RD HOWELL, NJ 07731	NONE	PC	TO HELP SPORTS-MINDED PEOPLE THINK AND LIVE BIBLICALLY AT THE INTERSECTION OF SPORT AND CHRISTIANITY	10,000
CHRISTIANS & JEWS 30 NORTH LASALLE STREET SUITE 4300 CHICAGO, IL 60602	NONE	PC	TO PROMOTE UNDERSTANDING BETWEEN JEWS & CHRISTIANS AND BUILD SUPPORT FOR ISRAEL AND OTHER SHARED CONCERNS	5,000
HOLIDAY EXPRESS 968 SHREWSBURY AVENUE TINTON FALLS, NJ 07724	NONE	PC	TO PROMOTE MISSION TO DELIVER MUSIC, FOOD, GIFTS, FINANCIAL SUPPORT AND FRIEDNSHIP TO THOSE WITH THE GREATEST NEED FOR THE GIFT OF HUMAN KINDNESS DURING THE HOLIDAY SEASON AND THOROUGHOUT THE YEAR	2,500
LUDLOW BAPTIST CHURCH 99 MAIN STREET LUDLOW, VT 05149	NONE	PC	TO HELP INDIVIDUALS , HERE AND WORLDWIDE , TO KNOW GOD FULLY	5,000
CHILDREN'S HOSPITAL FOUNDATION 1 CHILDRENS HOSPITAL DRIVE 4401 PENN AVENUE PITTSBURGH, PA 15224	NONE	PC	TO PROVIDE FINANCIAL SUPPORT FOR THE HOSPITAL'S MISSION OF EXCELLENCE IN PATIENT CARE, TEACHING AND RESEARCH	2,000
LITTLE SILVER FIRE COMPANY 543 PROSPECT AVENUE LITTLE SILVER, NJ 07739	NONE	PC	TO ASSIST IN RESPONDING TO THE CALLS OF THOSE IN NEED AND HELP SAVE LIVES	500
UNIVERSITY OF VERMONT THE UNIVERSITY OF VERMONT BURLINGTON, VT 05405	NONE	PC	TO PROMOTE EDUCATION	500
MULTIPLE SCLEROSIS SOCIETY 1480 US 9 301 WOODBRIDGE, NJ 07095	NONE	PC	TO PROMOTE THE CAUSE OF IMPROVING THE LIVES OF PEOPLE AFFECTED BY MULTIPLE SCLEROSIS	2,000
ATLANTIC HIGHLANDS FIRE DEPARTMENT 10 E MT AVENUE ATLANTIC HIGHLANDS, NJ 07716	NONE	PC	TO ASSIST IN RESPONDING TO THE CALLS OF THOSE IN NEED AND HELP SAVE LIVES	500
Total . . . . ▶ **3a**				179,700

TY 2015 All Other Program Related Investments Schedule

Name: GUTTENPLAN FAMILY FOUNDATION INC
C/O JACK GUTTENPLAN

EIN: 27-3764072

Category	Amount
NONE	

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2015 Depreciation Schedule

Name: GUTTENPLAN FAMILY FOUNDATION INC

C/O JACK GUTTENPLAN

EIN: 27-3764072

TY 2015 Investments Corporate Bonds Schedule

Name: GUTTENPLAN FAMILY FOUNDATION INC
C/O JACK GUTTENPLAN

EIN: 27-3764072

Name of Bond	End of Year Book Value	End of Year Fair Market Value
25000 SHS JP MORGAN	0	0

TY 2015 Investments Corporate Stock Schedule

Name: GUTTENPLAN FAMILY FOUNDATION INC
C/O JACK GUTTENPLAN
EIN: 27-3764072

Name of Stock	End of Year Book Value	End of Year Fair Market Value
1500 SHS AT & T	46,142	51,615
15500 SHS BGC PARTNERS	87,131	152,055
1500 SHS BANK NEW YORK	28,479	61,830
333 SHS RAYONIER ADVANCED	12,089	3,260
2500 SHS RAYONIER INC	82,736	55,500
100 SHS JOHNSON & JOHNSON	5,913	10,272
2000 SHS KONINKLIJKE PHILIPPS	60,846	50,900
1000 SHS VERIZON COMMUNICATION	47,761	46,220
1000 SHS MERCK & CO	22,318	52,820
2000 SHS PFIZER	33,993	64,560
5000 SHS SOLAR	108,493	82,150
4500 SHS SOLAR	78,763	67,050
3000 SHS VODAFONE	119,742	96,780
1847 SHS AMERICA MOVIL	40,128	25,969
9077 SHS BGC PARTNERS INC	49,815	89,045
1000 SHS BANK OF NEW YORK	19,748	41,220
604 SHS CEMEX	4,501	3,364
3203 SHS CHESAPEAKE ENERGY	69,469	14,414
1900 SHS CHESAPEAKE ENERGY	25,675	8,550
75 SHS WALT DISNEY CO	2,204	7,881
1000 SHS ENCANA CORP	20,266	5,090
75 SHS FAIRFAX	27,129	35,325
262 SHS LIBERTY GLOBAL	11,005	11,086
800 SHS KONINKLIJKE	17,580	20,360
1600 SHS LEV 3 COMMUNICATIONS	32,546	86,976
866 SHS LOEWS	32,099	33,254
725 SHS MERCK & CO	24,154	38,295
850 SHS PFIZER	15,526	27,438
2719 SHS SOLAR	52,795	44,673
1580 SHS VODAFONE	59,947	50,971

Name of Stock	End of Year Book Value	End of Year Fair Market Value
650 SHS WELLS FARGO	17,431	35,334
1847 SHS WEBMD	52,682	89,210
100 SHS AON PLC	4,674	9,221
2500 SHS AVON PRODUCTS INC	33,624	10,125
175 SHS BERKSHIRE HATHAWAY INC	14,507	23,107
225 SHS FEDEX CORP	20,236	33,523
350 SHS LEUCADIA NATIONAL CORP	7,703	6,087
125 SHS MCDONALDS CORP	11,486	14,768
2000 SHS BP PL C SPONSORED ADR	88,689	62,520
250 SHS CONOCOPHILLIPS	13,133	11,673
300 SHS MONDELEZ INTERNATIONAL	6,153	13,452
2100 SHS NEWMONT MINING CORP	88,558	37,779
263 SHS VERIZON COMMUNICATIONS	12,654	12,156
1000 SHS FREEPORT MCMORAN	29,486	6,770
2000 SHS JANUS CAPITAL	0	0
500 SHS MOSAIC COMPANY	23,271	13,795
2000 SHS POTASH CORP	63,416	34,240
1000 SHS US BANCORP	27,519	26,820
800 SHS BP PLC	33,895	25,008
3078 SHS CNH INDUSTRIAL	28,317	21,054
1400 SHS CHEUNG KONG	0	0
600 SHS FREEPORT MCMORAN	17,990	4,063
375 SHS JANUS CAPITAL	0	0
1000 SHS POTASH CORP	32,099	17,120
1500 SHS AMERICA MOVIL	30,700	21,090
440 BERKSHIRE HATHAWAY INC DEL	38,408	58,098
1000 SHS LOEWS	44,509	38,400
500 SHS AMERICA MOVIL	9,359	7,030
1000 SHS BLACK STONE	18,470	14,430
1500 SHS CK HUTCHISON	6,950	20,160

Name of Stock	End of Year Book Value	End of Year Fair Market Value
65 SHS KONINKLIJKE PHILIPS	1,799	1,654
500 SHS MERCK & CO	16,565	26,410
400 SHS MCDONALDS	36,832	47,256
1000 SHS POTASH CORP	18,586	17,120
2000 SHS RAYONIER INC	52,787	44,400
1000 SHS SOLAR CAPITAL	17,622	16,430
1000 SHS SOLAR CAP	15,366	14,900
500 SHS VODAFONE	17,373	16,130
200 SHS WYNN RESORTS	25,911	13,838
200 SHS AMERICA MOVIL	3,986	2,812
1575 SHS CONSOL ENERGY	34,490	12,443
1000 SHS CNH INDUSTRIAL	8,115	6,840
1400 SHS CK HUTCHISON	6,835	18,816
325 SHS WALT DISNEY	5,362	34,151
1100 SHS FREEPORT MCMORAN	15,546	7,447
26 SHS KONINKLIJKE	720	662
134 SHS LOWES	5,541	5,146
5000 SHS MELCO INTL	7,906	7,508
200 SHS MCDONALDS CORP	18,411	23,628
50 SHS POTASH CORP	1,225	856
525 SHS SCRIPPS NETWORKS	36,411	28,985
600 SHS WYNN RESORTS	55,959	41,513
1500 SHS CHEUNG KONG HOLDINGS	0	0

TY 2015 Other Expenses Schedule

Name: GUTTENPLAN FAMILY FOUNDATION INC
C/O JACK GUTTENPLAN

EIN: 27-3764072

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
MANAGEMENT FEES	11,682	11,682		

TY 2015 Other Income Schedule

Name: GUTTENPLAN FAMILY FOUNDATION INC
C/O JACK GUTTENPLAN

EIN: 27-3764072

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
K-1 FROM BLACKSTONE MINERALS	134	134	
Royalty Income	593	593	

TY 2015 Taxes Schedule

Name: GUTTENPLAN FAMILY FOUNDATION INC
C/O JACK GUTTENPLAN

EIN: 27-3764072

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAXES PAID	15,503	0		
FOREIGN TAX WITHHELD	1,380	1,380		

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at *www.irs.gov/form990*.

OMB No 1545-0047

2015

Name of the organization	Employer identification number
GUTTENPLAN FAMILY FOUNDATION INC C/O JACK GUTTENPLAN	27-3764072

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☑ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or other property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling $5,000 or more during the year . . . . ▶ $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization
GUTTENPLAN FAMILY FOUNDATION INC
C/O JACK GUTTENPLAN

Employer identification number
27-3764072

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	JACK GUTTENPLAN 676 LITTLE SILVER PT RD LITTLE SILVER, NJ07739	$ 336,945	Person ☐ Payroll ☐ Noncash ☑ (Complete Part II for noncash contributions)
2	JACK GUTTENPLAN 676 LITTLE SILVER PT ROAD LITTLE SILVER, NJ07739	$ 44,470	Person ☐ Payroll ☐ Noncash ☑ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization	Employer identification number
GUTTENPLAN FAMILY FOUNDATION INC C/O JACK GUTTENPLAN	27-3764072

Part II **Noncash Property**

(see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	LISTED SECURITIES	$ 336,945	2015-12-09

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
2	LISTED SECURITIES	$ 44,470	2015-07-23

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of organization
GUTTENPLAN FAMILY FOUNDATION INC
C/O JACK GUTTENPLAN

Employer identification number
27-3764072

Part III *Exclusively* religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of $1,000 or less for the year. (Enter this information once. See instructions.) ▶ $ ____________

Use duplicate copies of Part III if additional space is needed

(a) No.from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-			

(e) Transfer of gift	
Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No.from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-			

(e) Transfer of gift	
Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No.from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-			

(e) Transfer of gift	
Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No.from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-			

(e) Transfer of gift	
Transferee's name, address, and ZIP 4	Relationship of transferor to transferee