Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No 1545-0052

2015

Open to Public Inspection

For calendar year 2015 or tax year beginning , and ending

Name of foundation: THE CORY FOUNDATION INC.

A Employer identification number: 27-3360171

Number and street (or P O box number if mail is not delivered to street address): PO BOX 99006 | Room/suite:

B Telephone number: 502-681-0519

City or town, state or province, country, and ZIP or foreign postal code: LOUISVILLE, KY 40269-0006

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶$ 2,068,665.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis.)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check ▶ ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	51,027.	51,027.		STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	128,178.			
	b Gross sales price for all assets on line 6a 1,181,866.				
	7 Capital gain net income (from Part IV, line 2)		128,178.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income				
	12 **Total**. Add lines 1 through 11	179,205.	179,205.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees STMT 2	2,260.	200.		2,060.
	c Other professional fees STMT 3	12,870.	12,870.		0.
	17 Interest				
	18 Taxes STMT 4	2,276.	210.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 5	31.	0.		31.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	17,437.	13,280.		2,091.
	25 Contributions, gifts, grants paid	100,000.			100,000.
	26 **Total expenses and disbursements.** Add lines 24 and 25	117,437.	13,280.		102,091.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	61,768.			
	b **Net investment income** (if negative, enter -0-)		165,925.		
	c **Adjusted net income** (if negative, enter -0-)			N/A	

RECEIVED
MAY 1 6 2016
OGDEN, UT
EI-224 IRS-OSC

SCANNED JUN 0 1 2016

523501 11-24-15 LHA **For Paperwork Reduction Act Notice, see instructions.**

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing	10,643.	21,486.	21,486.
	2	Savings and temporary cash investments	22,098.	64,370.	64,370.
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U.S. and state government obligations			
	b	Investments - corporate stock STMT 7	484,406.	536,160.	557,298.
	c	Investments - corporate bonds STMT 8	239,484.	198,272.	191,255.
	11	Investments - land, buildings, and equipment basis ▶			
		Less accumulated depreciation ▶			
	12	Investments - mortgage loans			
	13	Investments - other STMT 9	1,178,832.	1,187,020.	1,234,256.
	14	Land, buildings, and equipment: basis ▶			
		Less accumulated depreciation ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	1,935,463.	2,007,308.	2,068,665.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ [X] and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	0.	0.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds	1,935,463.	2,007,308.	
	30	**Total net assets or fund balances**	1,935,463.	2,007,308.	
	31	**Total liabilities and net assets/fund balances**	1,935,463.	2,007,308.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,935,463.
2	Enter amount from Part I, line 27a	2	61,768.
3	Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 6	3	10,077.
4	Add lines 1, 2, and 3	4	2,007,308.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	2,007,308.

Form **990-PF** (2015)

523511
11-24-15

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	LONG TERM MERRILL LYNCH - SEE ATTACHED	P		
b	SHORT TERM MERRILL LYNCH - SEE ATTACHED	P		
c	LONG TERM FIFTH THIRD - SEE ATTACHED	P		
d	SHORT TERM FIFTH THIRD - SEE ATTACHED	P		
e	CAPITAL GAINS DIVIDENDS			

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	338,768.		271,505.	67,263.
b	23,835.		24,206.	<371.>
c	502,380.		477,407.	24,973.
d	270,041.		280,570.	<10,529.>
e	46,842.			46,842.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				67,263.
b				<371.>
c				24,973.
d				<10,529.>
e				46,842.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 }	2	128,178.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2014	125,342.	2,248,899.	.055735
2013	106,296.	2,153,304.	.049364
2012	102,847.	2,019,247.	.050933
2011	94,897.	2,009,790.	.047217
2010			

2	**Total** of line 1, column (d)	2	.203249
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.050812
4	Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	4	2,163,427.
5	Multiply line 4 by line 3	5	109,928.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	1,659.
7	Add lines 5 and 6	7	111,587.
8	Enter qualifying distributions from Part XII, line 4	8	102,091.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ (attach copy of letter if necessary-see instructions)				
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	3,319.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).				
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0.
3 Add lines 1 and 2			3	3,319.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	3,319.
6 Credits/Payments:				
a 2015 estimated tax payments and 2014 overpayment credited to 2015	6a	1,720.		
b Exempt foreign organizations - tax withheld at source	6b			
c Tax paid with application for extension of time to file (Form 8868)	6c			
d Backup withholding erroneously withheld	6d			
7 Total credits and payments. Add lines 6a through 6d			7	1,720.
8 Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			8	
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	1,599.
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	
11 Enter the amount of line 10 to be: **Credited to 2016 estimated tax** ▶		Refunded ▶	11	

Part VII-A Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?	1b		X
*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ KY			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ ROBERT STAUBLE Telephone no. ▶ 502-681-0519

Located at ▶ PO BOX 99006, LOUISVILLE, KY ZIP+4 ▶ 40269-0006

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

				Yes	No
1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☒ Yes ☐ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception**. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1b		X
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015?	☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ____, ____, ____, ____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2015 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.)*	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

		Yes	No
5a During the year did the foundation pay or incur any amount to:			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No			
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No			
(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No			
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No			
b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A	5b		
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No			
If "Yes," attach the statement required by Regulations section 53 4945-5(d).			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		X
If "Yes" to 6b, file Form 8870.			
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No			
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ROBERT STAUBLE PO BOX 99006 LOUISVILLE, KY 40269-0006	PRESIDENT 1.00	0.	0.	0.
JESSICA STAUBLE PO BOX 99006 LOUISVILLE, KY 40269-0006	VICE PRESIDENT 1.00	0.	0.	0.
MADELINE STAUBLE PO BOX 99006 LOUISVILLE, KY 40269-0006	SECRETARY 1.00	0.	0.	0.
KARLA STAUBLE PO BOX 99006 LOUISVILLE, KY 40269-0006	TREASURER 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Form **990-PF** (2015)

523551
11-24-15

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a	Average monthly fair market value of securities		1a	2,144,502.
b	Average of monthly cash balances		1b	51,871.
c	Fair market value of all other assets		1c	
d	**Total** (add lines 1a, b, and c)		1d	2,196,373.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.		
2	Acquisition indebtedness applicable to line 1 assets		2	0.
3	Subtract line 2 from line 1d		3	2,196,373.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)		4	32,946.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4		5	2,163,427.
6	**Minimum investment return** Enter 5% of line 5		6	108,171.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6		1	108,171.
2a	Tax on investment income for 2015 from Part VI, line 5	2a 3,319.		
b	Income tax for 2015. (This does not include the tax from Part VI.)	2b		
c	Add lines 2a and 2b		2c	3,319.
3	Distributable amount before adjustments. Subtract line 2c from line 1		3	104,852.
4	Recoveries of amounts treated as qualifying distributions		4	5,000.
5	Add lines 3 and 4		5	109,852.
6	Deduction from distributable amount (see instructions)		6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1		7	109,852.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	102,091.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	102,091.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	102,091.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII **Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				109,852.
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only			76,005.	
b Total for prior years: ____ , ____ , ____		0.		
3 Excess distributions carryover, if any, to 2015:				
a From 2010				
b From 2011				
c From 2012				
d From 2013				
e From 2014				
f **Total** of lines 3a through e	0.			
4 Qualifying distributions for 2015 from Part XII, line 4: ▶ $ 102,091.				
a Applied to 2014, but not more than line 2a			76,005.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2015 distributable amount				26,086.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2014. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016				83,766.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2016.** Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2011				
b Excess from 2012				
c Excess from 2013				
d Excess from 2014				
e Excess from 2015				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2015	(b) 2014	(c) 2013	(d) 2012	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

SEE STATEMENT 10

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

SEE STATEMENT 11

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
AUBURN UNIVERSITY 317 S COLLEGE ST AUBURN, AL 36849	N/A	UNIVERSITY	SCHOLARSHIPS	5,000.
BROWN UNIVERSITY BROWN UNIVERSITY CAMPUS PROVIDENCE, RI 02912	N/A	UNIVERSITY	SCHOLARSHIPS	5,000.
CABBAGE PATCH SETTLEMENT HOUSE 1413 SOUTH 6TH STREET LOUISVILLE, KY 40208	N/A	PUBLIC CHARITY	GRANT - OPERATIONS	10,000.
CLEVELAND STATE UNIVERSITY 2121 EUCLID AVENUE CLEVELAND, OH 44115	N/A	UNIVERSITY	SCHOLARSHIPS	5,000.
EASTERN KENTUCKY UNIVERSITY 521 LANCASTER AVE RICHMOND, KY 40475	N/A	UNIVERSITY	SCHOLARSHIPS	5,000.
Total SEE CONTINUATION SHEET(S)			▶ 3a	100,000.
b *Approved for future payment* NONE				
Total			▶ 3b	0.

Form **990-PF** (2015)

523611
11-24-15

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	51,027.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	128,178.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		179,205.	0.

13 Total. Add line 12, columns (b), (d), and (e) 13 179,205.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee: [signature] Date: 5-12-16 Title: PRESIDENT

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
CHRISTINE N KOENIG	Christine N Koenig	5 11 2016		P01022180
Firm's name ▶ DEMING MALONE LIVESAY & OSTROFF PSC			Firm's EIN ▶ 61-1064249	
Firm's address ▶ 9300 SHELBYVILLE RD STE 1100 LOUISVILLE, KY 40222-5187			Phone no. (502)426-9660	

Form **990-PF** (2015)

523622
11-24-15

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
GEORGETOWN COLLEGE 400 E COLLEGE ST GEORGETOWN, KY 40324	N/A	COLLEGE	SCHOLARSHIPS	5,000.
GEORGETOWN UNIVERSITY 3700 O ST NW WASHINGTON, DC 20057	N/A	UNIVERSITY	SCHOLARSHIPS	5,000.
HARVEY MUDD COLLEGE 301 PLATT BLVD CLAREMONT, CA 91711	N/A	COLLEGE	SCHOLARSHIPS	5,000.
JEFFERSON COMMUNITY & TECHNICAL COLLEGE 200 W BROADWAY LOUISVILLE, KY 40202	N/A	COLLEGE	SCHOLARSHIPS	5,000.
KENTUCKY SHAKESPEARE FESTIVAL, INC. 323 W BROADWAY #401 LOUISVILLE, KY 40202	N/A	PUBLIC CHARITY	GRANT - OPERATIONS	10,000.
LOUISVILLE FENCING CENTER 1401 W MUHAMMAD ALI BLVD LOUISVILLE, KY 40203	N/A	PUBLIC CHARITY	GRANT - OPERATIONS	5,000.
ST JOSEPH CHILDREN'S HOME 2823 FRANKFORT AVE LOUISVILLE, KY 40206	N/A	PUBLIC CHARITY	GRANT - OPERATIONS	10,000.
UNIVERSITY OF KENTUCKY MAIN BUILDING LEXINGTON, KY 40506	N/A	UNIVERSITY	SCHOLARSHIPS	10,000.
UNIVERSITY OF LOUISVILLE 2323 SOUTH BROOK STREET LOUISVILLE, KY 40292	N/A	UNIVERSITY	GRANT - ATHLETICS	10,000.
WESTERN KENTUCKY UNIVERSITY 1906 COLLEGE HEIGHTS BOULEVARD BOWLING GREEN, KY 42101	N/A	UNIVERSITY	SCHOLARSHIPS	5,000.
Total from continuation sheets				70,000.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
INVESTMENT INCOME	97,869.	46,842.	51,027.	51,027.	
TO PART I, LINE 4	97,869.	46,842.	51,027.	51,027.	

FORM 990-PF ACCOUNTING FEES STATEMENT 2

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAX PREPARATION FEE	2,060.	0.		2,060.
TAX SERVICE FEE	200.	200.		0.
TO FORM 990-PF, PG 1, LN 16B	2,260.	200.		2,060.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEES	12,870.	12,870.		0.
TO FORM 990-PF, PG 1, LN 16C	12,870.	12,870.		0.

FORM 990-PF TAXES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL PAYMENT - 2014	346.	0.		0.
ESTIMATED TAXES - 2015	1,720.	0.		0.
FOREIGN TAXES	210.	210.		0.
TO FORM 990-PF, PG 1, LN 18	2,276.	210.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BANK FEES	31.	0.		31.
TO FORM 990-PF, PG 1, LN 23	31.	0.		31.

FORM 990-PF OTHER INCREASES IN NET ASSETS OR FUND BALANCES STATEMENT 6

DESCRIPTION	AMOUNT
COST BASIS ADJUSTMENTS	5,077.
RECOVERIES OF PRIOR YEAR GRANT DISTRIBUTIONS	5,000.
TOTAL TO FORM 990-PF, PART III, LINE 3	10,077.

FORM 990-PF CORPORATE STOCK STATEMENT 7

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
FIFTH THIRD EQUITIES - SEE ATTACHED	536,160.	557,298.
TOTAL TO FORM 990-PF, PART II, LINE 10B	536,160.	557,298.

FORM 990-PF CORPORATE BONDS STATEMENT 8

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
FIFTH THIRD FIXED - SEE ATTACHED	198,272.	191,255.
TOTAL TO FORM 990-PF, PART II, LINE 10C	198,272.	191,255.

FORM 990-PF OTHER INVESTMENTS STATEMENT 9

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
FIFTH THIRD - ALTERNATIVE AND REAL ESTATE FUNDS - SEE ATTACHED	COST	109,546.	114,874.
MERRILL LYNCH - MUTUAL FUNDS - SEE ATTACHED	COST	1,077,474.	1,119,382.
TOTAL TO FORM 990-PF, PART II, LINE 13		1,187,020.	1,234,256.

FORM 990-PF PART XV - LINE 1A STATEMENT 10
LIST OF FOUNDATION MANAGERS

NAME OF MANAGER
ROBERT STAUBLE
JESSICA STAUBLE

FORM 990-PF | GRANT APPLICATION SUBMISSION INFORMATION PART XV, LINES 2A THROUGH 2D | STATEMENT 11

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

ROBERT STAUBLE
C/O THE CORY FOUNDATION, 4020 SWEENEY LN
LOUISVILLE, KY 40299

TELEPHONE NUMBER

502-681-0519

FORM AND CONTENT OF APPLICATIONS

FOR SCHOLARSHIPS, AN APPLICATION IS REQUIRED WITH SUPPORTING DOCUMENTATION AND MUST BE RECEIVED BY SPECIFIED DEADLINES. APPLICATION WAS ATTACHED TO 2011 RETURN.

ANY SUBMISSION DEADLINES

SCHOLARSHIP APPLICATIONS MUST BE RECEIVED BY MAY 1.

RESTRICTIONS AND LIMITATIONS ON AWARDS

GRANTS WILL BE AWARDED TO NON-PROFIT ORGANIZATIONS WITH 501(C)(3) STATUS. SCHOLARSHIPS ARE AWARDED TO STUDENTS OF BROWN SCHOOL AND DUPONT MANUAL HIGH SCHOOL IN LOUISVILLE, KENTUCKY WHO ATTEND ACCREDITED UNDER-GRADUATE INSTITUTIONS AND HAVE A MINIMUM 2.5 GPA.

FIFTH THIRD
PRIVATE BANK

[redacted]
IMA/THE CORY FDN CONSOLIDATED

12/01/2015 - 12/31/2015

PORTFOLIO POSITIONS

Quantity	Symbol	Description	Current Price	Market Value	% of Acct	Cost Basis	Unrealized Gain/(Loss)	Est. Annual Income	Est. Yield
Cash & Equivalents									
1.7900		CASH	$1.000	$1.79	0.0%	$1.79			
17,952.0000		FIFTH THIRD BANKSAFE TRUST (INCOME INVESTMENT) CUSIP - 316775907	$1.000	$17,952.00	2.0%	$17,952.00		$4.67	
35,853.0000		FIFTH THIRD BANKSAFE TRUST CUSIP - 316775907	$1.000	$35,853.00	3.9%	$35,853.00		$9.33	
		Cash & Equivalents - Total		***$53,806.79***	***5.9%***	***$53,806.79***		***$14.00***	
Fixed Income									
2,950.0000	DODIX	DODGE & COX INCOME FD CUSIP - 256210105	$13.290	$39,205.50	4.3%	$40,031.50	$(826.00)	$1,188 85	3.0%
25,000.0000		EBAY INC 07/24/12 1.350 07/15/17 CUSIP - 278642AG8	$99.209	$24,802.25	2.7%	$25,076.27	$(274.02)	$337.50	1.4%
25,000.0000		JOHNSON & JOHNSON 05/20/11 2.150 05/15/16 CUSIP - 478160AY0	$100.614	$25,153.50	2.7%	$26,035.33	$(881.83)	$537.50	2.1%
3,713.9410	PRHYX	T ROWE PRICE HIGH YIELD FD-INV CUSIP - 741481105	$6.180	$22,952.16	2.5%	$25,656.64	$(2,704.48)	$1,478.15	6.4%
50,000.0000		WACHOVIA CORP 01/31/08 5.750 02/01/18 CUSIP - 92976WBH8	$108.009	$54,004.50	5.9%	$54,816.00	$(811.50)	$2,875.00	5.3%
25,000.0000		WAL MART STORES INC NOTE 04/18/11 2.800 04/15/16 CUSIP - 931142DC4	$100.549	$25,137.25	2.7%	$26,656.50	$(1,519.25)	$700.00	2.8%
		Fixed Income - Total		***$191,255.16***	***20.9%***	***$198,272.24***	***$(7,017.08)***	***$7,117.00***	***3.7%***

[redacted]

FIFTH THIRD
PRIVATE BANK

[redacted]
IMA/THE CORY FDN CONSOLIDATED

12/01/2015 - 12/31/2015

PORTFOLIO POSITIONS (continued)

Quantity	Symbol	Description	Current Price	Market Value	% of Acct	Cost Basis	Unrealized Gain/(Loss)	Est. Annual Income	Est. Yield
Equities									
50.0000	ALLE	ALLEGION PUB LTD CO ORD SHS CUSIP - G0176J109	$65.920	$3,296.00	0.4%	$3,240.18	$55.82	$20.00	0 6%
27.0000	AGN	ALLERGAN PLC SHS ISIN# IE00BY9D5467 SEDOL# BY9D546 CUSIP - G0177J108	$312.500	$8,437.50	0.9%	$8,115.72	$321.78		
108.0000	LAZ	LAZARD LTD CUSIP - G54050102	$45.010	$4,861.08	0.5%	$5,613.39	$(752.31)	$151.20	3.1%
79 0000	MDT	MEDTRONIC PLC SEDOL BTN1Y11 ISIN IE00BTN1Y115 CUSIP - G5960L103	$76.920	$6,076.68	0.7%	$6,150.94	$(74.26)	$120.08	2.0%
98.0000	NCLH	NORWEGIAN CRUISE LINE HLDGS LT CUSIP - G66721104	$58.600	$5,742.80	0.6%	$5,927.96	$(185.16)		
58.0000	SIG	SIGNET JEWELERS CUSIP - G81276100	$123.690	$7,174.02	0.8%	$7,177.37	$(3.35)	$51 04	0.7%
89.0000	AMC	AMC ENTERTAINMENT HOLDINGS CUSIP - 00165C104	$24.000	$2,136.00	0.2%	$2,646.86	$(510.86)	$71.20	3 3%
21.0000	ALXN	ALEXION PHARMACEUTICALS INC CUSIP - 015351109	$190.750	$4,005.75	0.4%	$3,995.25	$10.50		
16.0000	GOOGL	ALPHABET INC CAP STK CL A CUSIP - 02079K305	$778.010	$12,448.16	1.4%	$9,492.22	$2,955.94		
11.0000	AMZN	AMAZON.COM INC CUSIP - 023135106	$675.890	$7,434.79	0.8%	$5,611.28	$1,823.51		
55.0000	AIG	AMERICAN INTL GROUP INC CUSIP - 026874784	$61.970	$3,408.35	0.4%	$3,182.81	$225.54	$61.60	1.8%
29.0000	BUD	ANHEUSER BUSCH INBEV SA/NV	$125.000	$3,625.00	0.4%	$3,098.89	$526.11	$93.50	2.6%

TRA001 / FTBW / 20151231 / ANN1 / 613 / 11100401121S

[redacted]

FIFTH THIRD
PRIVATE BANK

[redacted]
IMA/THE CORY FDN CONSOLIDATED

12/01/2015 - 12/31/2015

PORTFOLIO POSITIONS (continued)

Quantity	Symbol	Description	Current Price	Market Value	% of Acct	Cost Basis	Unrealized Gain/(Loss)	Est. Annual Income	Est. Yield
Equities								(continued)	
		ADR CUSIP - 03524A108							
129.0000	AAPL	APPLE INC CUSIP - 037833100	$105.260	$13,578.54	1.5%	$7,017.29	$6,561.25	$268.32	2.0%
363 0000	BAC	BANK AMER CORP CUSIP - 060505104	$16.830	$6,109.29	0.7%	$6,231.61	$(122.32)	$72.60	1.2%
169 0000	BIG	BIG LOTS INC CUSIP - 089302103	$38.540	$6,513.26	0.7%	$7,062.84	$(549 58)	$128.44	2.0%
23.0000	BIIB	BIOGEN INC CUSIP - 09062X103	$306.350	$7,046.05	0.8%	$7,231.20	$(185.15)		
29.0000	BA	BOEING CO CUSIP - 097023105	$144.590	$4,193.11	0.5%	$4,184.09	$9.02	$126.44	3.0%
85.0000	BMY	BRISTOL MYERS SQUIBB CO CUSIP - 110122108	$68.790	$5,847.15	0.6%	$5,451.90	$395.25	$129.20	2.2%
87.0000	CVS	CVS HEALTH CORPORATION CUSIP - 126650100	$97.770	$8,505.99	0.9%	$7,358.80	$1,147.19	$147.90	1.7%
44.0000	CELG	CELGENE CORP CUSIP - 151020104	$119.760	$5,269.44	0.6%	$5,742.86	$(473.42)		
53.0000	CERN	CERNER CORP CUSIP - 156782104	$60.170	$3,189 01	0.3%	$3,305.99	$(116.98)		
134.0000	C	CITIGROUP INC CUSIP - 172967424	$51.750	$6,934.50	0.8%	$7,717.98	$(783.48)	$26.80	0.4%
84.0000	CMCSA	COMCAST CORP CL A CUSIP - 20030N101	$56.430	$4,740.12	0.5%	$4,444.74	$295.38	$84.00	1.8%
56.0000	DHR	DANAHER CORP CUSIP - 235851102	$92.880	$5,201.28	0.6%	$4,814.86	$386.42	$30.24	0.6%
117.0000	DFS	DISCOVER FINANCIAL SERVICES CUSIP - 254709108	$53.620	$6,273.54	0.7%	$6,506.99	$(233.45)	$131.04	2.1%

[redacted]

FIFTH THIRD
PRIVATE BANK

[redacted]

IMA/THE CORY FDN CONSOLIDATED

12/01/2015 - 12/31/2015

PORTFOLIO POSITIONS (continued)

Quantity	Symbol	Description	Current Price	Market Value	% of Acct	Cost Basis	Unrealized Gain/(Loss)	Est. Annual Income	Est. Yield
Equities								(continued)	
15.0000	EOG	EOG RES INC CUSIP - 26875P101	$70.790	$1,061.85	0.1%	$1,141.65	$(79.80)	$10.05	0 9%
113 0000	ETFC	E TRADE FINANCIAL CORP CUSIP - 269246401	$29.640	$3,349.32	0.4%	$2,910.14	$439 18		
14.0000	ECL	ECOLAB INC CUSIP - 278865100	$114.380	$1,601.32	0.2%	$1,611.23	$(9.91)	$19.60	1.2%
3,180.8590	AEPFX	EUROPACIFIC GROWTH FD CL F-2 CUSIP - 29875E100	$45.250	$143,933.87	15.7%	$134,646.73	$9,287.14	$2,939.11	2.0%
33 0000	EVR	EVERCORE PARTNERS INC-CL A CUSIP - 29977A105	$54.070	$1,784.31	0.2%	$1,669.21	$115.10	$40.92	2.3%
110.0000	EXC	EXELON CORP CUSIP - 30161N101	$27.770	$3,054.70	0.3%	$3,579.40	$(524.70)	$136.40	4.5%
85.0000	XOM	EXXON MOBIL CORP CUSIP - 30231G102	$77.950	$6,625.75	0.7%	$6,989.44	$(363.69)	$248.20	3.7%
41.0000	FTI	FMC TECHNOLOGIES INC CUSIP - 30249U101	$29.010	$1,189.41	0.1%	$1,369.40	$(179.99)		
121 0000	FB	FACEBOOK INC CUSIP - 30303M102	$104.660	$12,663.86	1.4%	$11,283.45	$1,380.41		
31.0000	FDX	FEDEX CORPORATION CUSIP - 31428X106	$148.990	$4,618.69	0.5%	$5,256.98	$(638.29)	$31.00	0.7%
223.0000	GE	GENERAL ELECTRIC CO CUSIP - 369604103	$31.150	$6,946.45	0.8%	$6,774.83	$171.62	$205.16	3.0%
79.0000	GILD	GILEAD SCIENCES INC CUSIP - 375558103	$101.190	$7,994.01	0.9%	$1,651.15	$6,342.86	$135.88	1.7%
56.0000	HBI	HANESBRANDS INC. CUSIP - 410345102	$29.430	$1,648.08	0.2%	$1,649.16	$(1.08)	$22.40	1.4%
50.0000	HD	HOME DEPOT INC CUSIP - 437076102	$132.250	$6,612.50	0.7%	$2,186.68	$4,425.82	$118.00	1.8%

[redacted]

PORTFOLIO POSITIONS (continued)

Quantity	Symbol	Description	Current Price	Market Value	% of Acct	Cost Basis	Unrealized Gain/(Loss)	Est. Annual Income	Est. Yield
Equities								(continued)	
41.0000	EL	LAUDER ESTEE COS INC CUSIP - 518439104	$88.060	$3,610.46	0.4%	$3,032.34	$578.12	$49.20	1 4%
481.0000	MTG	MGIC INVT CORP WIS CUSIP - 552848103	$8.830	$4,247.23	0.5%	$5,247.71	$(1,000.48)		
92.0000	MGA	MAGNA INTL INC SEDOL 2554475 ISIN CA5592224011 CUSIP - 559222401	$40.560	$3,731.52	0.4%	$4,794.61	$(1,063.09)	$80.96	2.2%
32.0000	MPC	MARATHON PETROLEUM CORP CUSIP - 56585A102	$51.840	$1,658.88	0.2%	$1,673.28	$(14.40)	$40.96	2.5%
92.0000	MA	MASTERCARD INC CUSIP - 57636Q104	$97.360	$8,957.12	1.0%	$9,050.11	$(92.99)	$69.92	0.8%
36.0000	MCK	MCKESSON CORPORATION CUSIP - 58155Q103	$197.230	$7,100.28	0.8%	$6,810.53	$289.75	$40.32	0.6%
243.0000	MSFT	MICROSOFT CORP CUSIP - 594918104	$55.480	$13,481.64	1.5%	$11,527.92	$1,953.72	$349.92	2.6%
22.0000	MNST	MONSTER BEVERAGE CORP NEW CUSIP - 61174X109	$148.960	$3,277.12	0.4%	$3,206.94	$70.18		
51.0000	MCO	MOODYS CORP CUSIP - 615369105	$100.340	$5,117.34	0.6%	$5,151.83	$(34.49)	$75.48	1.5%
33.0000	NEE	NEXTERA ENERGY INC CUSIP - 65339F101	$103.890	$3,428.37	0.4%	$3,358.19	$70.18	$101.64	3.0%
24.0000	OXY	OCCIDENTAL PETE CORP CUSIP - 674599105	$67.610	$1,622.64	0.2%	$1,695.60	$(72.96)	$72.00	4.4%
74.0000	PAG	PENSKE AUTO GROUP INC CUSIP - 70959W103	$42.340	$3,133.16	0.3%	$3,628.68	$(495.52)	$74.00	2.4%
126 0000	RAI	REYNOLDS AMERICAN INC CUSIP - 761713106	$46.150	$5,814.90	0.6%	$5,429.34	$385.56	$181.44	3.1%

FIFTH THIRD
PRIVATE BANK

[redacted]

IMA/THE CORY FDN CONSOLIDATED

12/01/2015 - 12/31/2015

PORTFOLIO POSITIONS (continued)

Quantity	Symbol	Description	Current Price	Market Value	% of Acct	Cost Basis	Unrealized Gain/(Loss)	Est. Annual Income	Est. Yield
Equities								(continued)	
85.0000	RYAAY	RYANAIR HLDGS PLC SPONSORED ADR NEW CUSIP - 783513203	$86.460	$7,349.10	0.8%	$6,706.95	$642.15	$183.26	2.5%
63.0000	SBAC	SBA COMMUNICATIONS CORP CUSIP - 78388J106	$105.070	$6,619.41	0.7%	$7,395.46	$(776.05)		
76.0000	SPY	SPDR S&P 500 ETF TRUST CUSIP - 78462F103	$203.870	$15,494.12	1.7%	$15,146.03	$348.09	$319.66	2.1%
39.0000	SIVB	SVB FINL GROUP CUSIP - 78486Q101	$118.900	$4,637.10	0.5%	$4,944.73	$(307.63)		
31.0000	SLB	SCHLUMBERGER LTD CUSIP - 806857108	$69.750	$2,162.25	0.2%	$2,522.16	$(359.91)	$62.00	2.9%
14.0000	SHW	SHERWIN WILLIAMS CO CUSIP - 824348106	$259.600	$3,634.40	0.4%	$3,441.87	$192.53	$37 52	1.0%
29.0000	SHPG	SHIRE PLC ADR CUSIP - 82481R106	$205.000	$5,945.00	0.6%	$7,283.35	$(1,338.35)	$20.27	0.3%
64 0000	TWX	TIME WARNER INC CUSIP - 887317303	$64.670	$4,138.88	0.5%	$5,269.12	$(1,130 24)	$89.60	2.2%
2,412.0000	TSFYX	TOUCHSTONE SMALL CAP CORE FUND CLASS Y CUSIP - 89155H249	$15.730	$37,940.76	4.1%	$42,376.31	$(4,435.55)	$414.86	1.1%
30.0000	TSN	TYSON FOODS INC CUSIP - 902494103	$53.330	$1,599.90	0.2%	$1,269.00	$330.90	$18.00	1.1%
1,080.0000	VWO	VANGUARD FTSE EMERGING MARKETS ETF CUSIP - 922042858	$32.710	$35,326.80	3.9%	$38,404.69	$(3,077 89)	$1,151.28	3.3%
48.0000	WBC	WABCO HLDGS INC	$102.260	$4,908.48	0.5%	$6,012.36	$(1,103.88)		

TRA001 / FTBW / 20151231 / ANN1 / 613 / 11100101215

[redacted]

PORTFOLIO POSITIONS (continued)

Quantity	Symbol	Description	Current Price	Market Value	% of Acct	Cost Basis	Unrealized Gain/(Loss)	Est. Annual Income	Est. Yield
Equities								(continued)	
		CUSIP - 92927K102							
133.0000	WFC	WELLS FARGO & COMPANY CUSIP - 949746101	$54.360	$7,229.88	0 8%	$6,737.75	$492.13	$199 50	2.8%
		Equities - Total		***$557,298.27***	***60.8%***	***$536,160.33***	***$21,137.94***	***$9,252.11***	***1.7%***
Alternative Strategies									
2,180.0000	BSIIX	BLACKROCK FDS II STRG OPP INSTL CUSIP - 09256H286	$9.770	$21,298.60	2.3%	$21,930.80	$(632.20)	$473.06	2.2%
1,934.0000	BPIRX	BOSTON PARTNERS LONG/SHORT RESEARCH INSTL CUSIP - 74925K581	$14.910	$28,835.94	3.1%	$29,764.26	$(928.32)		
2,375.0000	WMCIX	WILLIAM BLAIR FDS ALLOC I CUSIP - 969251784	$11.380	$27,027.50	2.9%	$29,877.50	$(2,850.00)	$254.13	0.9%
		Alternative Strategies - Total		***$77,162.04***	***8.4%***	***$81,572.56***	***$(4,410.52)***	***$727.19***	***0.9%***
Real Estate Investments									
164.0000	CLNY	COLONY CAPITAL INC CUSIP - 19624R106	$19.480	$3,194.72	0.3%	$3,601.44	$(406.72)	$262.40	8.2%
114.0000	RLJ	RLJ LODGING TR CUSIP - 74965L101	$21.630	$2,465.82	0.3%	$3,262.68	$(796.86)	$150.48	6.1%
402.0000	VNQ	VANGUARD REIT ETF CUSIP - 922908553	$79.730	$32,051.46	3.5%	$21,108.96	$10,942.50	$1,255.85	3.9%
		Real Estate Investments - Total		***$37,712.00***	***4.1%***	***$27,973.08***	***$9,738.92***	***$1,668.73***	***4.4%***
Total Portfolio Positions				**$917,234.26**	**100.0%**	**$897,785.00**	**$19,449.26**	**$18,779.03**	**2.0%**

[redacted]

Merrill Lynch
Bank of America Corporation

THE CORY FOUNDATION INC [redacted]

YOUR EMA BANK DEPOSIT INTEREST SUMMARY

December 01, 2015 - December 31, 2015

Money Account Description	Opening Balance	Average Deposit Balance	Current Yield%	Interest on Deposits	Closing Balance
Bank of America, N.A	10,563	10,563	.01	0.11	**10,563**
TOTAL ML Bank Deposit Program	10,563			0 11	**10,563**

YOUR EMA ASSETS

CASH/MONEY ACCOUNTS Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%
CASH	0 33	0.33		**.33**		
+ML BANK DEPOSIT PROGRAM +FDIC INSURED NOT SIPC COVERED	10,563 00	10,563.00	1.0000	**10,563.00**	**1**	.01
TOTAL		10,563.33		**10,563.33**	**1**	.01

MUTUAL FUNDS/CLOSED END FUNDS/UIT Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return ($)	Estimated Annual Income	Current Yield%
AMERICAN CENTURY SMALL *CAP VAL FD INVESTOR CL* *SYMBOL: ASVIX Initial Purchase 12/19/11* *Equity 100%*	4,463	38,197.22	7.5900	**33,874.17**	(4,323.05)	20,975	**12,898**	**148**	.43
4170 Fractional Share		3 24	7.5900	**3.17**	(0.07)			**1**	.43
AMERICAN EURO PACIFIC *GROWTH FUND CL F2* *SYMBOL AEPFX Initial Purchase 04/22/09* *Equity 100%*	2,666	109,428.65	45.2500	**120,636.50**	11,207.85	99,953	**20,682**	**2,460**	2 03
.5560 Fractional Share		25 38	45 2500	**25.16**	(0.22)			**1**	2 03
BARON SMALL CAP FD CL	1,903	51,532 39	28.7700	**54,749.31**	3,216 92	37,811	**16,937**		

YOUR EMA ASSETS

December 01, 2015 - December 31, 2015

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued) Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return ($)	Estimated Annual Income	Current Yield%
INST SYMBOL: BSFIX Initial Purchase·03/14/12 Equity 100%									
.1890 Fractional Share		5 50	28 7700	**5.44**	(0.06)				
CALVERT SHORT DURATION INCOME FUND CL Y	5,017	80,994.97	15.9400	**79,970.98**	(1,023.99)	67,441	**12,529**	**1,676**	2 09
SYMBOL: CSDYX Initial Purchase:04/22/09 Fixed Income 100%									
.6570 Fractional Share		10.53	15 9400	**10.47**	(0.06)			**1**	2 09
COHEN & STEERS REALTY SHARES FUND CL N	1,025	57,659.47	70 5200	**72,283.00**	14,623.53	34,317	**37,965**	**1,920**	2.65
SYMBOL: CSRSX Initial Purchase:04/22/09 Equity 100%									
.5690 Fractional Share		38.66	70.5200	**40.13**	1.47			**2**	2.65
CULLEN HIGH DIVIDEND EQUITY FUND CL I	3,391	51,936.45	16.5800	**56,222.78**	4,286.33	40,997	**15,225**	**1,502**	2 67
SYMBOL: CHDVX Initial Purchase:03/14/12 Equity 100%									
.4270 Fractional Share		7.32	16.5800	**7.08**	(0 24)			**1**	2 67
DELAWARE EMERGING MARKETS FUND INSTL CL	5,291	78,738.52✦	12.4600	**65,925.86**	(12,812 66)	76,280	**(10,354)**	**201**	.30
SYMBOL: DEMIX Initial Purchase:05/10/13 Equity 100%									
3840 Fractional Share		4 81	12 4600	**4.78**	(0.03)			**1**	30
MAINSTAY HIGH YIELD CORPORATE BOND FD CL I	25,066	153,870.71✦	5 3000	**132,849.80**	(21,020.91)	95,422	**37,427**	**9,275**	6 98

THE CORY FOUNDATION INC [redacted]

YOUR EMA ASSETS

December 01, 2015 - December 31, 2015

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued) Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return ($)	Estimated Annual Income	Current Yield%
SYMBOL: MHYIX Initial Purchase:03/03/05									
Fixed Income 100%									
6030 Fractional Share		3.25	5.3000	**3.20**	(0 05)			1	6.98
MAINSTAY LARGE CAP	14,126	117,185.70	9.8300	**138,858.58**	21,672.88	72,666	**66,192**		
GROWTH FUND CL I									
SYMBOL: MLAIX Initial Purchase:12/29/10									
Equity 100%									
0520 Fractional Share		0.51	9 8300	**.51**					
METROPOLITAN WEST TOTAL	12,967	140,992.98✦	10.6200	**137,709.54**	(3,283 44)	137,865	**(156)**	**2,515**	1 82
RETURN BOND FD CL I									
SYMBOL MWTIX Initial Purchase:01/05/15									
Fixed Income 100%									
.3840 Fractional Share		4.13	10.6200	**4.08**	(0.05)			**1**	1.82
MFS VALUE FD CL I	4,074	113,016.83	32.9600	**134,279.04**	21,262.21	87,119	**47,159**	**2,977**	2.21
SYMBOL: MEIIX Initial Purchase:03/14/12									
Equity 100%									
8320 Fractional Share		25 28	32 9600	**27.42**	2.14			**1**	2 21
PIMCO REAL RETURN FUND	5,496	63,989.42✦	10.5100	**57,762.96**	(6,226.46)	55,169	**2,593**	**522**	.90
CL P									
SYMBOL. PRLPX Initial Purchase:03/14/12									
Fixed Income 100%									
1740 Fractional Share		1 82	10.5100	**1.83**	01			**1**	90
1919 FINANCIAL SERVICES	1,757	19,795.65	19.4200	**34,120.94**	14,325.29	14,834	**19,286**	**238**	.69
FUND CLASS I									
SYMBOL: LMRIX Initial Purchase.04/22/09									
Equity 100%									

YOUR EMA ASSETS

December 01, 2015 - December 31, 2015

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued) Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return ($)	Estimated Annual Income	Current Yield%
.2350 Fractional Share		4.59	19.4200	**4.56**	(0 03)			**1**	.69
Subtotal (Fixed Income)				408,312.86					
Subtotal (Equities)				711,068.43					
TOTAL		1,077,473.98		**1,119,381.29**	41,907.31		**278,383**	**23,446**	2 09

LONG PORTFOLIO	Adjusted/Total Cost Basis	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
TOTAL	1,088,037.31	**1,129,944.62**	41,907.31		**23,447**	2.08

Total Client Investment: Cost of shares directly purchased and still held. Does not include shares purchased through reinvestment.
Cumulative Investment Return: Estimated Market Value minus Total Client Investment. Cumulative Investment Return is the dollar value of the capital appreciation (depreciation) of all shares purchased and still held, including shares acquired through reinvestment of dividends and distributions, which may be greater or less than the actual income distributed

Unrealized Gain or (Loss): Estimated Market Value minus Total Cost Basis (total cost of shares directly purchased and still held, as well as cost of shares acquired through reinvestment). Provided for Tax Planning purposes only and is not applicable to retirement accounts.
Initial Purchase: Date of your initial investment in this fund.

Market Timing: Merrill Lynch's policies prohibit mutual fund market timing, which involves the purchase and sale of mutual fund shares within short periods of time with the intention of capturing short-term profits resulting from market volatility. Market timing may result in lower returns for long-term fund shareholders because market timers capture short-term gains that would otherwise pass to all shareholders and due to increased transaction costs and fewer assets for investment due to the need to retain cash to satisfy redemptions.

Sales Charge Discounts or Waivers: Many funds offer various sales charge discounts or waivers depending on the terms of the prospectus and/or statement of additional information. You should consult a fund's prospectus and/or statement of additional information to determine whether you may qualify for a discount or waiver. Notify your Financial Advisor, Financial Solutions Advisor or Investment Center representative if you believe you qualify for any of these or any other discounts or waivers. Please contact your Financial Advisor, Financial Solutions Advisor or Investment Center representative for further information on available sales charge discounts and waivers.

Notes

✦Cost basis has been adjusted by the deferred loss amount from a previous "Wash Sale" and the acquisition date has been adjusted to include the holding period of the lot closed by that previous "Wash Sale".

Fiscal Statement

[redacted]

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
1,227 0000	1919 FINANCIAL SERVICE I	04/22/09	01/05/15	23,288.46	10,504.52	12,783 94 LT
0.1730	1919 FINANCIAL SERVICE I	12/29/14	01/05/15	3.28	3.40	(0.12) ST
1.0000	AM CENT SM CAP VAL INVSTR	06/22/11	01/05/15	8.71	8 97	N/C
25.0000	AM CENT SM CAP VAL INVSTR	06/22/11	01/05/15	217.75	224 25	N/C
12 0000	AM CENT SM CAP VAL INVSTR	09/20/11	01/05/15	104.51	92.15	12.36 LT
173.0000	AM CENT SM CAP VAL INVSTR	12/09/11	01/05/15	1,506.84	1,344.21	162.63 LT
0.4580	AM CENT SM CAP VAL INVSTR	12/23/14	01/05/15	3 99	4 05	(0.06) ST
1.0000	BARON SMALL CAP FUND INS	03/14/12	01/05/15	33.09	25.94	7.15 LT
0.2930	BARON SMALL CAP FUND INS	12/03/14	01/05/15	9.70	9.76	(0.06) ST
0.1880	DELAWARE EMERGING	05/10/13	01/05/15	2.65	2.87	(0.22) LT
1 0000	DELAWARE EM MKT INST	05/10/13	01/05/15	14 09	15.27	N/C
1 0000	DELAWARE EM MKT INST	05/10/13	01/05/15	14 09	15.27	N/C
1 0000	DELAWARE EM MKT INST	05/10/13	01/05/15	14.09	15.27	N/C
24 0000	DELAWARE EM MKT INST	05/10/13	01/05/15	338.16	366 48	N/C
66.0000	DELAWARE EM MKT INST	05/10/13	01/05/15	929.94	1,007.82	N/C
14.0000	DELAWARE EM MKT INST	05/10/13	01/05/15	197.26	213.78	N/C
124.0000	DELAWARE EM MKT INST	05/10/13	01/05/15	1,747.16	1,893.48	(146.32) LT
0.3080	DELAWARE EM MKT INST	12/23/14	01/05/15	4.34	4.52	(0.18) ST
1,466.0000	CALVERT SHORT DURATION	04/22/09	01/05/15	23,763 86	22,773.88	989.98 LT
0.0250	CALVERT SHORT DURATION	12/30/14	01/05/15	0.41	0.40	0.01 ST
11.0000	PIMCO TOTAL RETURN FUND	04/30/08	01/05/15	118.03	119.78	(1.75) LT
1.0000	PIMCO TOTAL RETURN FUND	05/03/08	01/05/15	10.73	10.89	(0.16) LT
10.0000	PIMCO TOTAL RETURN FUND	05/03/08	01/05/15	107.29	108.69	(1.40) LT
30.0000	PIMCO TOTAL RETURN FUND	05/15/08	01/05/15	321.90	322.15	(0.25) LT
5 0000	PIMCO TOTAL RETURN FUND	05/15/08	01/05/15	53.64	53.70	(0.06) LT
123.0000	PIMCO TOTAL RETURN FUND	10/31/08	01/05/15	1,319.79	1,244.52	75 27 LT
152 0000	PIMCO TOTAL RETURN FUND	11/28/08	01/05/15	1,630.96	1,562.25	68.71 LT
716 0000	PIMCO TOTAL RETURN FUND	12/10/08	01/05/15	7,682.68	7,072.59	610 09 LT
1,258.0000	PIMCO TOTAL RETURN FUND	12/10/08	01/05/15	13,498 34	12,426.40	1,071.94 LT
209 0000	PIMCO TOTAL RETURN FUND	12/31/08	01/05/15	2,242 57	2,123.55	119.02 LT
182.0000	PIMCO TOTAL RETURN FUND	04/30/09	01/05/15	1,952.86	1,856.03	96.83 LT
126.0000	PIMCO TOTAL RETURN FUND	05/29/09	01/05/15	1,351.98	1,311.41	40 57 LT
1.0000	PIMCO TOTAL RETURN FUND	06/01/09	01/05/15	10.72	10.37	0.35 LT
39 0000	PIMCO TOTAL RETURN FUND	06/30/09	01/05/15	418.47	406 70	11.77 LT
138 0000	PIMCO TOTAL RETURN FUND	07/31/09	01/05/15	1,480 74	1,463 90	16 84 LT
129.0000	PIMCO TOTAL RETURN FUND	08/31/09	01/05/15	1,384 17	1,387.79	(3.62) LT
1.0000	PIMCO TOTAL RETURN FUND	09/01/09	01/05/15	10.72	10.79	(0.07) LT
98.0000	PIMCO TOTAL RETURN FUND	09/30/09	01/05/15	1,051.54	1,068.01	(16.47) LT
64.0000	PIMCO TOTAL RETURN FUND	05/31/11	01/05/15	686.72	706.44	(19.72) LT

PLEASE SEE REVERSE SIDE

[redacted]

[redacted]

EMA Fiscal Statement

Merrill Lynch
Bank of America Corporation

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
61.0000	PIMCO TOTAL RETURN FUND	06/30/11	01/05/15	654.53	669.06	(14 53) LT
1.0000	PIMCO TOTAL RETURN FUND	07/29/11	01/05/15	10.73	10.70	0.03 LT
56.0000	PIMCO TOTAL RETURN FUND	07/29/11	01/05/15	600 88	620.37	(19 49) LT
1.0000	PIMCO TOTAL RETURN FUND	08/31/11	01/05/15	10.73	11 08	(0 35) LT
52.0000	PIMCO TOTAL RETURN FUND	08/31/11	01/05/15	557.96	571.39	(13.43) LT
1.0000	PIMCO TOTAL RETURN FUND	09/30/11	01/05/15	10.73	10.83	(0.10) LT
60 0000	PIMCO TOTAL RETURN FUND	09/30/11	01/05/15	643.80	646.08	(2.28) LT
60 0000	PIMCO TOTAL RETURN FUND	10/31/11	01/05/15	643.80	653.28	(9.48) LT
64.0000	PIMCO TOTAL RETURN FUND	11/30/11	01/05/15	686.72	688.51	(1 79) LT
127 0000	PIMCO TOTAL RETURN FUND	12/28/11	01/05/15	1,362.71	1,372.61	(9.90) LT
1.0000	PIMCO TOTAL RETURN FUND	12/30/11	01/05/15	10.73	10 62	0.11 LT
60.0000	PIMCO TOTAL RETURN FUND	12/30/11	01/05/15	643.80	650.89	(7 09) LT
1 0000	PIMCO TOTAL RETURN FUND	01/31/12	01/05/15	10.73	10.90	(0.17) LT
55.0000	PIMCO TOTAL RETURN FUND	01/31/12	01/05/15	590.15	610.40	(20.25) LT
1.0000	PIMCO TOTAL RETURN FUND	02/29/12	01/05/15	10.73	11.12	(0.39) LT
52 0000	PIMCO TOTAL RETURN FUND	02/29/12	01/05/15	557.96	577.10	(19 14) LT
44.0000	PIMCO TOTAL RETURN FUND	03/30/12	01/05/15	472.12	487 00	(14.88) LT
26.0000	PIMCO TOTAL RETURN FUND	04/30/12	01/05/15	278.98	291.16	(12.18) LT
1.0000	PIMCO TOTAL RETURN FUND	05/31/12	01/05/15	10.73	11.22	(0.49) LT
29.0000	PIMCO TOTAL RETURN FUND	05/31/12	01/05/15	311.17	326.50	(15 33) LT
26 0000	PIMCO TOTAL RETURN FUND	06/29/12	01/05/15	278.98	293.25	(14.27) LT
1.0000	PIMCO TOTAL RETURN FUND	07/31/12	01/05/15	10.73	11.38	(0.65) LT
20.0000	PIMCO TOTAL RETURN FUND	07/31/12	01/05/15	214.60	228.98	(14.38) LT
21.0000	PIMCO TOTAL RETURN FUND	08/31/12	01/05/15	225.33	241.04	(15.71) LT
80 0000	PIMCO TOTAL RETURN FUND	09/11/12	01/05/15	858.40	917.45	(59.05) LT
1 0000	PIMCO TOTAL RETURN FUND	10/01/12	01/05/15	10.72	11.50	(0.78) LT
16.0000	PIMCO TOTAL RETURN FUND	10/01/12	01/05/15	171.68	184.94	(13 26) LT
25.0000	PIMCO TOTAL RETURN FUND	10/31/12	01/05/15	268.25	289 21	(20.96) LT
1.0000	PIMCO TOTAL RETURN FUND	11/30/12	01/05/15	10.73	11.59	(0.86) LT
25.0000	PIMCO TOTAL RETURN FUND	11/30/12	01/05/15	268.25	289.96	(21.71) LT
90.0000	PIMCO TOTAL RETURN FUND	12/12/12	01/05/15	965.70	1,020.43	(54.73) LT
1 0000	PIMCO TOTAL RETURN FUND	12/12/12	01/05/15	10.72	10.48	0.24 LT
122 0000	PIMCO TOTAL RETURN FUND	12/12/12	01/05/15	1,309.06	1,383.23	(74.17) LT
1.0000	PIMCO TOTAL RETURN FUND	12/27/12	01/05/15	10.73	11.34	(0.61) LT
93 0000	PIMCO TOTAL RETURN FUND	12/27/12	01/05/15	997.89	1,043.28	(45.39) LT
1.0000	PIMCO TOTAL RETURN FUND	12/31/12	01/05/15	10.73	11.23	(0.50) LT
19 0000	PIMCO TOTAL RETURN FUND	12/31/12	01/05/15	203.87	213.15	(9.28) LT
15 0000	PIMCO TOTAL RETURN FUND	01/31/13	01/05/15	160.95	167.53	(6 58) LT
17 0000	PIMCO TOTAL RETURN FUND	02/28/13	01/05/15	182.41	190.54	(8.13) LT
1 0000	PIMCO TOTAL RETURN FUND	03/11/13	01/05/15	10.73	11.21	(0.48) LT

EMA Fiscal Statement

[redacted]

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
609 0000	PIMCO TOTAL RETURN FUND	03/11/13	01/05/15	6,534.60	6,801.26	(266 66) LT
22 0000	PIMCO TOTAL RETURN FUND	03/28/13	01/05/15	236 06	246.81	(10.75) LT
1.0000	PIMCO TOTAL RETURN FUND	04/30/13	01/05/15	10.73	11.25	(0.52) LT
27 0000	PIMCO TOTAL RETURN FUND	04/30/13	01/05/15	289.71	305.59	(15.88) LT
1 0000	PIMCO TOTAL RETURN FUND	05/31/13	01/05/15	10.73	11.19	(0 46) LT
17 0000	PIMCO TOTAL RETURN FUND	05/31/13	01/05/15	182.41	187.82	(5.41) LT
1 0000	PIMCO TOTAL RETURN FUND	06/28/13	01/05/15	10.73	10.78	(0.05) LT
9.0000	PIMCO TOTAL RETURN FUND	06/28/13	01/05/15	96.57	96.65	(0.08) LT
12 0000	PIMCO TOTAL RETURN FUND	07/31/13	01/05/15	128.76	129.23	(0.47) LT
13.0000	PIMCO TOTAL RETURN FUND	08/30/13	01/05/15	139.49	138.18	1.31 LT
1 0000	PIMCO TOTAL RETURN FUND	09/05/13	01/05/15	10.73	10.69	0.04 LT
76.0000	PIMCO TOTAL RETURN FUND	09/05/13	01/05/15	815.48	798.61	16 87 LT
1 0000	PIMCO TOTAL RETURN FUND	09/30/13	01/05/15	10.73	10.61	0.12 LT
10 0000	PIMCO TOTAL RETURN FUND	09/30/13	01/05/15	107.30	107.99	(0.69) LT
1 0000	PIMCO TOTAL RETURN FUND	12/31/13	01/05/15	10.73	10.78	(0.05) LT
6.0000	PIMCO TOTAL RETURN FUND	12/31/13	01/05/15	64.38	64.02	0.36 LT
1.0000	PIMCO TOTAL RETURN FUND	01/31/14	01/05/15	10.73	10 71	0.02 ST
6.0000	PIMCO TOTAL RETURN FUND	01/31/14	01/05/15	64.38	64.83	(0 45) ST
1.0000	PIMCO TOTAL RETURN FUND	02/28/14	01/05/15	10.73	10 83	(0.10) ST
7 0000	PIMCO TOTAL RETURN FUND	02/28/14	01/05/15	75.11	76.02	(0.91) ST
1 0000	PIMCO TOTAL RETURN FUND	03/31/14	01/05/15	10 73	10.81	(0.08) ST
8.0000	PIMCO TOTAL RETURN FUND	03/31/14	01/05/15	85.84	86.24	(0 40) ST
9.0000	PIMCO TOTAL RETURN FUND	04/30/14	01/05/15	96.57	97.56	(0.99) ST
118.0000	PIMCO TOTAL RETURN FUND	05/28/14	01/05/15	1,266.14	1,294.46	(28 32) ST
1.0000	PIMCO TOTAL RETURN FUND	05/30/14	01/05/15	10.73	10.87	(0.14) ST
12.0000	PIMCO TOTAL RETURN FUND	05/30/14	01/05/15	128.76	131.40	(2.64) ST
10.0000	PIMCO TOTAL RETURN FUND	06/30/14	01/05/15	107.30	109.70	(2.40) ST
11.0000	PIMCO TOTAL RETURN FUND	07/31/14	01/05/15	118 03	119.79	(1.76) ST
10 0000	PIMCO TOTAL RETURN FUND	08/29/14	01/05/15	107.30	109.91	(2 61) ST
8.0000	PIMCO TOTAL RETURN FUND	09/30/14	01/05/15	85.84	86.96	(1 12) ST
1 0000	PIMCO TOTAL RETURN FUND	10/31/14	01/05/15	10 73	10.94	(0 21) ST
8.0000	PIMCO TOTAL RETURN FUND	10/31/14	01/05/15	85.84	87.52	(1.68) ST
9.0000	PIMCO TOTAL RETURN FUND	11/28/14	01/05/15	96 57	99.27	(2.70) ST
1.0000	PIMCO TOTAL RETURN FUND	12/10/14	01/05/15	10 73	10.97	(0 24) ST
43.0000	PIMCO TOTAL RETURN FUND	12/10/14	01/05/15	461.39	468.70	(7.31) ST
110.0000	PIMCO TOTAL RETURN FUND	12/29/14	01/05/15	1,180 32	1,170.40	9.92 ST
0.8170	PIMCO TOTAL RETURN FUND	12/31/14	01/05/15	8 77	8.77	0.00
13.0000	PIMCO TOTAL RETURN FUND	12/31/14	01/05/15	139 50	138.58	0 92 ST
186.0000	AMERICAN EURO PACIFIC	04/22/09	01/05/15	8,593.20	5,071 24	3,521.96 LT
0 2780	AMERICAN EURO PACIFIC	04/22/09	01/05/15	12 85	7.58	5.27 LT

[redacted]

[redacted]

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
0 3760	AMERICAN EURO PACIFIC	12/29/14	01/05/15	17.36	18.00	(0.64) ST
67.0000	C&S REALTY SHARES N	04/22/09	01/05/15	5,246.10	2,030.77	3,215.33 LT
0.0680	C&S REALTY SHARES N	12/11/14	01/05/15	5.32	5.19	0.13 ST
64.0000	1919 FINANCIAL SERVICE I	04/22/09	07/21/15	1,320.32	547.91	772.41 LT
38 0000	1919 FINANCIAL SERVICE I	04/22/09	07/24/15	773.68	325 32	448.36 LT
0 3120	1919 FINANCIAL SERVICE I	12/29/14	07/21/15	6.44	6.14	0.30 ST
0.2240	1919 FINANCIAL SERVICE I	12/29/14	07/24/15	4 56	4.41	0 15 ST
1.0000	AM CENT SM CAP VAL INVSTR	06/22/11	07/21/15	9.20	9.74	(0.54) LT
25.0000	AM CENT SM CAP VAL INVSTR	06/22/11	07/21/15	230.25	225 25	5.00 LT
30.0000	AM CENT SM CAP VAL INVSTR	12/09/11	07/21/15	276.31	233.10	43.21 LT
0.6180	AMERICAN CENTURY SMALL	12/09/11	07/21/15	5.70	4.80	0.90 LT
59 0000	AM CENT SM CAP VAL INVSTR	12/09/11	07/24/15	530.41	458 43	71.98 LT
0.3660	AM CENT SM CAP VAL INVSTR	12/09/11	07/24/15	3.29	2.85	0.44 LT
1 0000	AM CENT SM CAP VAL INVSTR	12/19/11	07/24/15	8.99	8.20	0.79 LT
3 0000	AM CENT SM CAP VAL INVSTR	12/19/11	07/24/15	26.97	22.35	4.62 LT
0.3300	AM CENT SM CAP VAL INVSTR	06/09/15	07/21/15	3.03	2.96	0.07 ST
29.0000	BARON SMALL CAP FUND INS	03/14/12	07/21/15	1,020.80	752.26	268.54 LT
0.3860	BARON SMALL CAP FD CL	03/14/12	07/21/15	13 59	10.01	3.58 LT
34.0000	BARON SMALL CAP FUND INS	03/14/12	07/24/15	1,175.04	881 96	293.08 LT
0.1430	BARON SMALL CAP FUND INS	03/14/12	07/24/15	4.94	3.71	1.23 LT
0.0530	BARON SMALL CAP FUND INS	12/03/14	07/21/15	1.86	1 76	0.10 ST
0 6020	DELAWARE EMERGING	05/10/13	07/24/15	8.03	9.19	(1.16) LT
1 0000	DELAWARE EM MKT INST	05/10/13	07/24/15	13.33	15.27	N/C
35 0000	DELAWARE EM MKT INST	05/10/13	07/24/15	466.55	534.45	N/C
0.1350	DELAWARE EM MKT INST	07/21/15	07/24/15	1.79	1.88	(0.09) ST
1,075 0000	MFS VALUE FD CL I	03/14/12	07/21/15	38,678.50	26,843.49	11,835.01 LT
88.0000	MFS VALUE FD CL I	03/14/12	07/24/15	3,116.08	2,197.42	918 66 LT
0.6110	MFS VALUE FD CL I	06/25/15	07/21/15	21.98	21.39	0.59 ST
0.0150	MFS VALUE FD CL I	06/25/15	07/24/15	0.53	0.53	0.00
0 9320	METROPOLITAN WEST TOTAL	01/05/15	07/24/15	10.10	10.21	(0.11) ST
1.0000	METRO W TTL RTRN BD CL I	01/05/15	07/24/15	10 83	10.95	N/C
10.0000	METRO W TTL RTRN BD CL I	01/05/15	07/24/15	108.30	109.50	N/C
1.0000	METRO W TTL RTRN BD CL I	01/05/15	07/24/15	10 83	10.95	N/C
387.0000	METRO W TTL RTRN BD CL I	01/05/15	07/24/15	4,191.21	4,237.65	N/C
0 0650	METRO W TTL RTRN BD CL I	07/21/15	07/24/15	0.70	0.70	0.00
0 2550	MAINSTAY HIGH YIELD	03/03/05	07/21/15	1.47	1.66	(0.19) LT
1.0000	MAINSTAY HI YLD CRP BD I	03/03/05	07/21/15	5.75	6.51	N/C
43.0000	MAINSTAY HI YLD CRP BD I	03/03/05	07/21/15	247.25	279.93	N/C
1 0000	MAINSTAY HI YLD CRP BD I	03/03/05	07/24/15	5.73	6.54	N/C
76 0000	MAINSTAY HI YLD CRP BD I	03/03/05	07/24/15	436.24	497.04	N/C

[redacted]

EMA Fiscal Statement

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
1.0000	MAINSTAY HI YLD CRP BD I	03/03/05	07/24/15	5.74	6.31	N/C
15 0000	MAINSTAY HI YLD CRP BD I	03/03/05	07/24/15	86.10	95.85	N/C
39.0000	MAINSTAY HI YLD CRP BD I	03/03/05	07/24/15	223.86	249.20	(25.34) LT
1.0000	MAINSTAY HI YLD CRP BD I	03/03/05	07/24/15	5.74	6.49	(0.75) LT
1 0000	MAINSTAY HI YLD CRP BD I	03/03/05	07/24/15	5 74	6 65	(0.91) LT
45.0000	MAINSTAY HI YLD CRP BD I	03/03/05	07/24/15	258.30	294.74	(36.44) LT
11.0000	MAINSTAY HI YLD CRP BD I	03/03/05	07/24/15	63.14	71.39	(8.25) LT
27.0000	MAINSTAY HI YLD CRP BD I	03/03/05	07/24/15	154.98	176.04	(21.06) LT
468.0000	MAINSTAY HI YLD CRP BD I	03/03/05	07/24/15	2,686.33	3,046.69	(360.36) LT
0.6570	MAINSTAY HI YLD CRP BD I	03/03/05	07/24/15	3.77	4.28	(0 51) LT
0 4200	MAINSTAY HI YLD CRP BD I	06/30/15	07/21/15	2 41	2.42	(0.01) ST
4,328 0000	MAINSTAY LG CP GRTH CL I	12/29/10	07/21/15	49,858.56	31,464 56	18,394.00 LT
299.0000	MAINSTAY LG CP GRTH CL I	12/29/10	07/24/15	3,396.64	2,173 73	1,222.91 LT
0 3740	MAINSTAY LG CP GRTH CL I	01/05/15	07/21/15	4.31	3.91	0.40 ST
0 0570	MAINSTAY LG CP GRTH CL I	01/05/15	07/24/15	0.65	0.60	0.05 ST
1,244.0000	CULLEN HIGH DIV EQTY I	03/14/12	07/21/15	21,110.68	16,657.16	4,453.52 LT
0.1710	CULLEN HIGH DIVIDEND	03/14/12	07/21/15	2.91	2.29	0.62 LT
81 0000	CULLEN HIGH DIV EQTY I	03/14/12	07/24/15	1,359.99	1,084.59	275.40 LT
0.8240	CULLEN HIGH DIV EQTY I	03/14/12	07/24/15	13.83	11.03	2.80 LT
0.1360	CULLEN HIGH DIV EQTY I	06/30/15	07/21/15	2.30	2.26	0.04 ST
605.0000	CALVERT SHORT DURATION	04/22/09	07/21/15	9,788.90	9,398.50	390.40 LT
176 0000	CALVERT SHORT DURATION	04/22/09	07/24/15	2,849.44	2,734.11	115.33 LT
0.1490	CALVERT SHORT DURATION	06/26/15	07/21/15	2.41	2.42	(0.01) ST
0 0960	CALVERT SHORT DURATION	06/26/15	07/24/15	1 55	1.56	(0.01) ST
0.5760	PIMCO REAL RETURN FUND	03/14/12	07/21/15	6.27	6.86	(0.59) LT
1.0000	PIMCO REAL RETURN FUND	03/14/12	07/21/15	10.89	11.90	N/C
4 0000	PIMCO REAL RETURN FUND	03/14/12	07/21/15	43.56	47.61	N/C
845 0000	PIMCO REAL RETURN FUND	03/14/12	07/21/15	9,202.05	10,056.70	(854.65) LT
1.0000	PIMCO REAL RETURN FUND	03/14/12	07/24/15	10.88	11.97	(1.09) LT
8.0000	PIMCO REAL RETURN FUND	03/14/12	07/24/15	87.03	95.95	(8 92) LT
8.0000	PIMCO REAL RETURN FUND	03/14/12	07/24/15	87.04	94.76	(7.72) LT
178 0000	PIMCO REAL RETURN FUND	03/14/12	07/24/15	1,936.65	2,118.45	(181.80) LT
0.0130	PIMCO REAL RETURN FUND	03/14/12	07/24/15	0.15	0.15	0.00
0.4240	PIMCO REAL RETURN FUND	03/14/12	07/24/15	4.60	5.05	(0.45) LT
0.2490	PIMCO REAL RETURN FUND	06/30/15	07/21/15	2.71	2.70	0.01 ST
56.0000	AMERICAN EURO PACIFIC	04/22/09	07/24/15	2,819.60	1,526 82	1,292 78 LT
0.0320	AMERICAN EURO PACIFIC	04/22/09	07/24/15	1.62	0.87	0 75 LT
0.4040	AMERICAN EURO PACIFIC	07/21/15	07/24/15	20.33	20.72	(0.39) ST
118.0000	C&S REALTY SHARES N	04/22/09	07/21/15	8,283.60	3,576.58	4,707.02 LT
22.0000	C&S REALTY SHARES N	04/22/09	07/24/15	1,539.34	666.82	872.52 LT

PLEASE SEE REVERSE SIDE

[redacted]

EMA Fiscal Statement

Merrill Lynch
Bank of America Corporation

[redacted]

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
0 3730	COHEN & STEERS REALTY	04/22/09	07/24/15	26.11	11.31	14.80 LT
0 4680	C&S REALTY SHARES N	06/30/15	07/21/15	32 85	31.27	1 58 ST
0 3750	C&S REALTY SHARES N	06/30/15	07/24/15	26.23	25.06	1 17 ST
39 0000	MAINSTAY HI YLD CRP BD I CXL	03/03/05	07/24/15	223 86	249.20	25.34 LT
39.0000	MAINSTAY HI YLD CRP BD I	03/03/05	07/24/15	223.86	249.20	N/C
1 0000	MAINSTAY HI YLD CRP BD I CXL	03/03/05	07/24/15	5.74	6.49	0.75 LT
1 0000	MAINSTAY HI YLD CRP BD I	03/03/05	07/24/15	5.74	6.49	N/C
1 0000	MAINSTAY HI YLD CRP BD I CXL	03/03/05	07/24/15	5.74	6.65	0.91 LT
1 0000	MAINSTAY HI YLD CRP BD I	03/03/05	07/24/15	5 74	6.65	N/C
45.0000	MAINSTAY HI YLD CRP BD I CXL	03/03/05	07/24/15	258.30	294 74	36 44 LT
45 0000	MAINSTAY HI YLD CRP BD I	03/03/05	07/24/15	258.30	294.74	N/C
11.0000	MAINSTAY HI YLD CRP BD I CXL	03/03/05	07/24/15	63.14	71.39	8.25 LT
11.0000	MAINSTAY HI YLD CRP BD I	03/03/05	07/24/15	63.14	71.39	N/C
27.0000	MAINSTAY HI YLD CRP BD I CXL	03/03/05	07/24/15	154.98	176.04	21.06 LT
27.0000	MAINSTAY HI YLD CRP BD I	03/03/05	07/24/15	154.98	176.04	N/C
468.0000	MAINSTAY HI YLD CRP BD I CXL	03/03/05	07/24/15	2,686.33	3,046 69	360 36 LT
10.0000	MAINSTAY HI YLD CRP BD I	03/03/05	07/24/15	57.40	65.10	N/C
458.0000	MAINSTAY HI YLD CRP BD I	03/03/05	07/24/15	2,628.93	2,981.59	(352.66) LT
845.0000	PIMCO REAL RETURN FUND CXL	03/14/12	07/21/15	9,202.05	10,056.70	854.65 LT
1.0000	PIMCO REAL RETURN FUND	03/14/12	07/21/15	10.89	11.90	N/C
4.0000	PIMCO REAL RETURN FUND	03/14/12	07/21/15	43.56	47.61	N/C
840.0000	PIMCO REAL RETURN FUND	03/14/12	07/21/15	9,147 60	9,997.19	(849.59) LT
109.0000	1919 FINANCIAL SERVICE I	04/22/09	11/10/15	2,334.78	933.16	1,401.62 LT
0.3580	1919 FINANCIAL SERVICES	04/22/09	11/10/15	7.67	3.06	4 61 LT
0.0170	1919 FINANCIAL SERVICE I	12/29/14	11/10/15	0.36	0.33	0.03 ST
109.0000	MFS VALUE FD CL I	03/14/12	11/10/15	3,846.61	2,721.80	1,124.81 LT
0.7180	MFS VALUE FD CL I	03/14/12	11/10/15	25.34	17.93	7.41 LT
0.2580	MFS VALUE FD CL I	09/28/15	11/10/15	9.10	8.66	0 44 ST
0 0110	METROPOLITAN WEST TOTAL	01/05/15	11/10/15	0 12	0 12	0.00
1.0000	METRO W TTL RTRN BD CL I	01/05/15	11/10/15	10.75	10.95	N/C
18.0000	METRO W TTL RTRN BD CL I	01/05/15	11/10/15	193 50	197.10	N/C
467 0000	METRO W TTL RTRN BD CL I	01/05/15	11/10/15	5,020.26	5,113.65	(93.39) ST
0.6090	METRO W TTL RTRN BD CL I	10/30/15	11/10/15	6.54	6.59	(0.05) ST
0 6820	MAINSTAY HIGH YIELD	03/03/05	11/10/15	3.78	4 44	(0.66) LT
1 0000	MAINSTAY HI YLD CRP BD I	03/03/05	11/10/15	5.54	6.51	N/C
140.0000	MAINSTAY HI YLD CRP BD I	03/03/05	11/10/15	775.60	911.40	N/C
575 0000	MAINSTAY HI YLD CRP BD I	03/03/05	11/10/15	3,185.50	3,743.26	(557 76) LT
0 3110	MAINSTAY HI YLD CRP BD I	10/30/15	11/10/15	1.72	1.74	(0.02) ST
180 0000	CULLEN HIGH DIV EQTY I	03/14/12	11/10/15	3,058.20	2,410 20	648 00 LT
0 0680	CULLEN HIGH DIVIDEND	03/14/12	11/10/15	1.16	0.91	0.25 LT

EMA Fiscal Statement

[redacted]

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description		Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)	
0.0780	CULLEN HIGH DIV EQTY I		10/30/15	11/10/15	1.32	1.34	(0.02)	ST
196.0000	CALVERT SHORT DURATION		04/22/09	11/10/15	3,147 76	3,044.80	102.96	LT
0.0900	CALVERT SHORT DURATION		10/30/15	11/10/15	1 45	1.45	0.00	
48 0000	C&S REALTY SHARES N		04/22/09	11/10/15	3,393.12	1,454.88	1,938.24	LT
0.2060	COHEN & STEERS REALTY		04/22/09	11/10/15	14.57	6 24	8.33	LT
0 3810	C&S REALTY SHARES N		09/30/15	11/10/15	26.93	25.95	0.98	ST
467.0000	METRO W TTL RTRN BD CL I	CXL	01/05/15	11/10/15	5,020.26	5,113.65	93.39	ST
1.0000	METRO W TTL RTRN BD CL I		01/05/15	11/10/15	10.75	10.95	N/C	
19 0000	METRO W TTL RTRN BD CL I		01/05/15	11/10/15	204.25	208.05	N/C	
447.0000	METRO W TTL RTRN BD CL I		01/05/15	11/10/15	4,805.26	4,894.65	(89.39)	ST
575 0000	MAINSTAY HI YLD CRP BD I	CXL	03/03/05	11/10/15	3,185.50	3,743.26	557.76	LT
1.0000	MAINSTAY HI YLD CRP BD I		03/03/05	11/10/15	5.54	6.51	N/C	
139 0000	MAINSTAY HI YLD CRP BD I		03/03/05	11/10/15	770.06	904.89	N/C	
435.0000	MAINSTAY HI YLD CRP BD I		03/03/05	11/10/15	2,409.90	2,831 86	(421.96)	LT