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Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2015

Open to Public Inspection

For calendar year 2015, or tax year beginning 01-01-2015, and ending 12-31-2015

Name of foundation Ralph & Marsha Guggenheim Family Foundation		A Employer identification number 26-3873872	
Number and street (or P O box number if mail is not delivered to street address) 141 Alton Avenue		B Telephone number (see instructions) (415) 759-0331	
City or town, state or province, country, and ZIP or foreign postal code San Francisco, CA 94116		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐ E If private foundation status was terminated under section 507(b)(1)(A), check here ☐ F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation			
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 843,749		J Accounting method ☐ Cash ☐ Accrual ☒ Other (specify) Modified Cash (Part I, column (d) must be on cash basis.)	

Part I Analysis of Revenue and Expenses		Revenue and expenses per books	Net investment income	Adjusted net income	Disbursements for charitable purposes
		(a)	(b)	(c)	(d) (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	100,307			
	2 Check ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	4	4		
	4 Dividends and interest from securities	17,453	17,453		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	110,071			
	b Gross sales price for all assets on line 6a	110,116			
	7 Capital gain net income (from Part IV, line 2)		110,071		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total.Add lines 1 through 11	227,835	127,528		
	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule).				
	b Accounting fees (attach schedule).	2,340			2,340
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	2,313			
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings.				
	22 Printing and publications				
	23 Other expenses (attach schedule).	149			149
	24 Total operating and administrative expenses.				
	Add lines 13 through 23	4,802	0		2,489
	25 Contributions, gifts, grants paid	135,521			135,521
	26 Total expenses and disbursements.Add lines 24 and 25	140,323	0		138,010
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	87,512			
	b Net investment income (if negative, enter -0-)		127,528		
	c Adjusted net income(if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	44,421		
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions).			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges	12,472	2,440	2,440
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	666,394	791,171	791,171
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans.			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)		50,138	50,138	
16	Total assets(to be completed by all filers—see the instructions Also, see page 1, item I)	723,287	843,749	843,749	
Liabilities	17	Accounts payable and accrued expenses		13,105	
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule).			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities(add lines 17 through 22)		13,105	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☒ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted	723,287	830,644	
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances(see instructions)	723,287	830,644	
	31	Total liabilities and net assets/fund balances(see instructions)	723,287	843,749	

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	723,287
2	Enter amount from Part I, line 27a	2	87,512
3	Other increases not included in line 2 (itemize) ▶ _____	3	19,845
4	Add lines 1, 2, and 3	4	830,644
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	830,644

Part IV

Capital Gains and Losses for Tax on Investment Income

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)		How acquired P—Purchase (b) D—Donation	Date acquired (c) (mo , day, yr)	Date sold (d) (mo , day, yr)
1 a	Sale of Publicly Traded Securities	P	2011-01-01	2015-12-12
b				
c				
d				
e				

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
a 110,116		45	110,071
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
a			110,071
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	110,071
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 }		3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)
If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2014	120,447	562,327	0 21419
2013	103,638	380,302	0 27252
2012	72,241	218,027	0 33134
2011	53,523	137,568	0 38907
2010	88,433	38,962	2 26972

2	Total of line 1, column (d).	2	3 476839
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 695368
4	Enter the net value of noncharitable-use assets for 2015 from Part X, line 5.	4	792,944
5	Multiply line 4 by line 3.	5	551,388
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	1,275
7	Add lines 5 and 6.	7	552,663
8	Enter qualifying distributions from Part XII, line 4.	8	138,010

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See
the Part VI instructions

Part VI

Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a

Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1
Date of ruling or determination letter _____
(attach copy of letter if necessary—see instructions)

b

Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b

c

All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)

2

Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

2

3

Add lines 1 and 2.

3

2,551

4

Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

4

5

Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-

5

2,551

6

Credits/Payments

a

2015 estimated tax payments and 2014 overpayment credited to 2015

6a

3,200

b

Exempt foreign organizations—tax withheld at source

6b

c

Tax paid with application for extension of time to file (Form 8868).

6c

d

Backup withholding erroneously withheld

6d

7

Total credits and payments. Add lines 6a through 6d.

7

3,200

8

Enter any **penalty** for underpayment of estimated tax. Check here ☒ if Form 2220 is attached

8

9

Tax due. If the total of lines 5 and 8 is more than line 7, enter **amount owed**

9

10

Overpayment. If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid**.

10

649

11

Enter the amount of line 10 to be **Credited to 2015 estimated tax** 649 **Refunded**

11

Part VII-A

Statements Regarding Activities

1a

During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?

1a

No

b

Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?

1b

No

If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.

c

Did the foundation file **Form 1120-POL** for this year?

1c

No

d

Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year
(1) On the foundation \$ _____ (2) On foundation managers \$ _____

e

Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ _____

2

Has the foundation engaged in any activities that have not previously been reported to the IRS?
If "Yes," attach a detailed description of the activities.

2

No

3

Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes

3

No

4a

Did the foundation have unrelated business gross income of \$1,000 or more during the year?

4a

No

b

If "Yes," has it filed a tax return on **Form 990-T** for this year?

4b

No

5

Was there a liquidation, termination, dissolution, or substantial contraction during the year?
If "Yes," attach the statement required by General Instruction T.

5

No

6

Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either
• By language in the governing instrument, or
• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

6

No

7

Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.

7

Yes

8a

Enter the states to which the foundation reports or with which it is registered (see instructions)
 CA _____

b

If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .

8b

Yes

9

Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)?
If "Yes," complete Part XIV

9

No

10

Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses.

10

No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶N/A	13	Yes	
14	The books are in care of ▶Ralph Guggenheim Located at ▶141 Alton Avenue San Francisco CA Telephone no ▶(415) 759-0331 ZIP+4 ▶94116			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶	15		
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶	16	Yes	No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☒ Yes ☐ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? ☐ Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015? ☐	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? ☐ Yes ☒ No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions). ☐	2b		No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.</i>) ☐	3b		No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a

During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions).

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

No

Organizations relying on a current notice regarding disaster assistance check here.

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

☐ Yes ☒ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

No

If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

No

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
Marsha M Guggenheim	President	0		
141 Alton Avenue	1 00			
San Francisco, CA 94116				
Ralph J Guggenheim	Secretary/Treas	0		
141 Alton Avenue	1 00			
San Francisco, CA 94116				

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	Contributions to employee benefit plans and deferred compensation (d)	Expense account, (e) other allowances
NONE				

Total

number of other employees paid over \$50,000.

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services. ▶

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3. ▶	

Part X

Minimum Investment Return

(All domestic foundations must complete this part. Foreign foundations,see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	758,871
b	Average of monthly cash balances.	1b	46,148
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	805,019
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	805,019
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	12,075
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	792,944
6	Minimum investment return. Enter 5% of line 5.	6	39,647

Part XI

Distributable Amount

(see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☒ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	39,647
2a	Tax on investment income for 2015 from Part VI, line 5.	2a	2,551
b	Income tax for 2015 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	2,551
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	37,096
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	37,096
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	37,096

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	138,010
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	138,010
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	138,010
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				37,096
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only.				
b Total for prior years 20__, 20__, 20__				
3 Excess distributions carryover, if any, to 2015				
a From 2010.	88,465			
b From 2011.	48,041			
c From 2012.	63,866			
d From 2013.	86,844			
e From 2014.	94,423			
f Total of lines 3a through e.	381,639			
4 Qualifying distributions for 2015 from Part XII, line 4				
a Applied to 2014, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2015 distributable amount.				37,096
e Remaining amount distributed out of corpus	100,914			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	482,553			
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions.				
e Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount—see instructions.				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015.				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions).	88,465			
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a.	394,088			
10 Analysis of line 9				
a Excess from 2011.	48,041			
b Excess from 2012.	63,866			
c Excess from 2013.	86,844			
d Excess from 2014.	94,423			
e Excess from 2015.	100,914			

Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling. . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
		(a) 2015	(b) 2014	(c) 2013	(d) 2012	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed					
d	Amounts included in line 2c not used directly for active conduct of exempt activities					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

See Additional Data Table

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information(continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	135,521
b Approved for future payment				
Total			3b	

Enter gross amounts unless otherwise indicated

13 Total.	Add line 12, columns (b), (d), and (e).	13	127,528
(See worksheet in line 13 instructions to verify calculations)			

[illegible]

Form 990PF Part XV Line 1a - List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000).

Marsha M Guggenheim
Ralph J Guggenheim

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Amer Friends of Magen David Adom 5535 Balboa Blvd 114 Encino, CA 91316	N/A	PC	General Support	360
Anti-Defamation League 605 Third Avenue New York, NY 10158	N/A	PC	General Support	500
Asian Art Museum Foundation 200 Larkin Street San Francisco, CA 94102	N/A	PC	General Support	650
Audubon Canyon Ranch 4900 Shoreline Highway 1 Stinson Beach, CA 94947	N/A	PC	General Support	200
Bay Area Jewish Healing Center 3330 Geary Blvd 925 San Francisco, CA 94118	N/A	PC	General Support	1,000
Berkeley Hillel 2736 Bancroft Way Berkeley, CA 94704	N/A	PC	General Support	500
Boys and Girls Club of San Francisc 55 Hawthorne Street 600 San Francisco, CA 94105	N/A	PC	General Support	500
Breast Cancer Fund 1388 Sutter Street 400 San Francisco, CA 94109	N/A	PC	General Support	1,000
California State Parks Association 50 Francisco Street 110 San Francisco, CA 94133	N/A	PC	General Support	500
CPMC Research PO Box 7999 San Francisco, CA 94120	N/A	PC	General Support	1,000
Doctors Without Borders 333 Seventh Avenue 2nd Fl New York, NY 10001	N/A	PC	General Support	1,000
Fine Arts Museums of SF 50 Hagiwara Tea Garden Drive San Francisco, CA 94118	N/A	PC	General Support	2,500
Friends of the Urban Forest PO Box 29456 San Francisco, CA 94129	N/A	PC	General Support	300
Innocence Project 40 Worth St 701 New York, NY 10013	N/A	PC	General Support	2,000
Jewish Family and Children's Servic 2150 Post Street San Francisco, CA 94115	N/A	PC	General Support	1,000
Total				135,521

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
La Casa De Las Madres 1663 Mission Street 225 San Francisco, CA 94103	N/A	PC	General Support	500
Little Brothers of the Elderly 909 Hyde Street 628 San Francisco, CA 94109	N/A	PC	General Support	300
Marine Mammal Center 2000 Bunker Road Fort Cronkhite Sausalito, CA 94965	N/A	PC	General Support	300
Mill Valley Film Festival 1118 4th Street San Rafael, CA 94901	N/A	PC	General Support	250
Mothers Against Drunk Driving 511 E John Carpenter Freeway 700 Irving, TX 75062	N/A	PC	General Support	200
NARAL 1156 15th St NW 700 Washington, DC 20005	N/A	PC	General Support	4,000
Natl Org For Women NOW Foundation 1100 H St NW 3rd Fl Washington, DC 20005	N/A	PC	General Support	200
Randall Museum Friends San Francisc 199 Museum Way San Francisco, CA 94114	N/A	PC	General Support	250
San Francisco Food Bank 900 Pennsylvania Avenue San Francisco, CA 94107	N/A	PC	General Support	1,000
San Francisco Hillel 33 Banbury Drive San Francisco, CA 94132	N/A	PC	General Support	720
San Francisco Parks Conservancy 501 Stanyan Street San Francisco, CA 94117	N/A	PC	General Support	500
San Francisco School Education Fund 727 Golden Gate Avenue 2nd Fl San Francisco, CA 94102	N/A	PC	General Support	250
SF Zoo 1 Zoo Road San Francisco, CA 94132	N/A	PC	General Support	250
San Francisco Jazz Organization 3 Embarcadero Ctr Lobby San Francisco, CA 94111	N/A	PC	General Support	5,000
Shalom Bayit PO Box 10102 Oakland, CA 94610	N/A	PC	General Support	500
Total				135,521

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
Sierra Club 85 Second Street 2nd Floor San Francisco, CA 94105	N/A	PC	General Support	400
Southern Poverty Law Center 400 Washington Ave Montgomery, AL 36104	N/A	PC	General Support	500
St Mary's Interfaith Stockton 545 W Sonora Avenue Stockton, CA 95203	N/A	PC	General Support	500
The Nature Conservancy 4245 N Fairfax Drive 100 Arlington, VA 22203	N/A	PC	General Support	500
Truckee Tahoe Community Foundation 11071 Donner Pass Rd Truckee, CA 96160	N/A	PC	General Support	500
UC San Francisco 401 Parnassus Avenue San Francisco, CA 94143	N/A	PC	General Support	1,500
Upstart Bay Area 332 Pine Street 600 San Francisco, CA 94104	N/A	PC	General Support	10,180
American Jewish World Service Inc 45 W 36th St New York, NY 10018	N/A	PC	General Support	500
Beyond Differences 336 Bon Air Center 436 Greenbrae, CA 94904	N/A	PC	General Support	500
CA Academy of Sciences 55 Music Concourse Drive San Francisco, CA 94118	N/A	PC	General Support	1,000
Carnegie Mellon University 5000 Forbes Ave Pittsburgh, PA 15213	N/A	PC	General Support	5,000
Chabad at Emory 1526 N Decatur Rd Atlanta, GA 30307	N/A	PC	General Support	360
Congregation Sherith Israel 2266 California St San Francisco, CA 94115	N/A	PC	General Support	500
Contemporary Jewish Museum 736 Mission St San Francisco, CA 94103	N/A	PC	General Support	5,400
Crohn's Colitis Foundation of Ameri 386 Park Avenue South 17th Flr New York, NY 10016	N/A	PC	General Support	360
Total				135,521

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Edgewood Center for San Francisco 1801 Vicente St San Francisco, CA 94116	N/A	PC	General Support	500
Emory University 1762 Clifton Road 1400 Atlanta, GA 30322	N/A	PC	General Support	500
First Graduate Inspire a First 37 Graham 100 San Francisco, CA 94129	N/A	PC	General Support	500
Friends of SF Public Library 391 Grove St San Francisco, CA 94102	N/A	PC	General Support	500
Glide Foundation 330 Ellis St San Francisco, CA 94102	N/A	PC	General Support	500
Hamilton Family Center 1631 Hayes Street San Francisco, CA 94117	N/A	PC	General Support	500
Hebrew Free Loan Assn 131 Steuart St 425 San Francisco, CA 94105	N/A	PC	General Support	500
Hillel Davis 328 A Street Davis, CA 95616	N/A	PC	General Support	500
Homeless Prenatal Program 2500 18th Street San Francisco, CA 94110	N/A	PC	General Support	2,000
Institute for Jewish Spirituality 330 Seventh Ave 19th Flr New York, NY 10001	N/A	PC	General Support	500
Jewish Community Center San Francis 3200 California St San Francisco, CA 94118	N/A	PC	General Support	3,000
Jewish Community Federation of SF 121 Steuart St San Francisco, CA 94105	N/A	PC	General Support	1,000
Jewish Community Relations Council 121 Steuart St 301 San Francisco, CA 94105	N/A	PC	General Support	5,000
Jewish Home for the Aged 302 Silver Ave San Francisco, CA 94122	N/A	PC	General Support	1,000
Jewish National Fund 42 East 69th Street New York, NY 10021	N/A	PC	General Support	360
Total				135,521

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Jewish Vocational Services 225 Bush St 400 San Francisco, CA 94104	N/A	PC	General Support	1,000
KQED 2601 Mariposa St San Francisco, CA 94110	N/A	PC	General Support	4,000
Larkin Street Youth Project 1138 Sutter St San Francisco, CA 94109	N/A	PC	General Support	360
Meals on Wheels SF 1375 Fairfax Ave San Francisco, CA 94124	N/A	PC	General Support	500
Music in Schools Today 582 Market St 213 San Francisco, CA 94104	N/A	PC	General Support	300
Planned Parenthood of New York 434 W 33rd St New York, NY 10001	N/A	PC	General Support	5,000
SF Child Abuse Prevention Center 1757 Waller Street San Francisco, CA 94117	N/A	PC	General Support	500
San Francisco Village 3330 Geary Blvd San Francisco, CA 94118	N/A	PC	General Support	500
SF State Univeristy 1600 Holloway Ave San Francisco, CA 94132	N/A	PC	General Support	600
Susan G Komen For The Cure 5005 LBJ Freeway Suite 250 Dallas, TX 75244	N/A	PC	General Support	300
Tahoe Rim Trail 948 Incline Way Incline Village, NV 89451	N/A	PC	General Support	300
The Foundation of City College of S PO Box 40488 San Francisco, CA 94140	N/A	PC	General Support	1,000
AIDS Project Los Angeles 611 South Kingsley Drive Los Angeles, CA 90005	N/A	PC	General Support	250
Arthritis Foundation Atlanta 3119 Shallowford Road Atlanta, GA 30341	N/A	PC	General Support	100
SF Bay Bird Observatory 524 Valley Way Milpitas, CA 95035	N/A	PC	General Support	150
Total				135,521

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
American Joint Distribution Committ 711 Third Ave New York,NY 10017	N/A	PC	General Support	360
G-dcast 131 Steuart St Ste 205 San Francisco,CA 94105	N/A	PC	General Support	1,000
Healthright 360Haight Ashbury Clini PO Box 29917 San Francisco,CA 94129	N/A	PC	General Support	500
Jewish Learningworks 601 14th Ave San Francisco,CA 94118	N/A	PC	General Support	500
Law Center to Prevent Gun Violence 268 Bush Street 555 San Francisco,CA 94104	N/A	PC	General Support	500
Nevada Museum of Art 160 W Liberty Street Reno,NV 89501	N/A	PC	General Support	500
San Francisco General Hospital 2789 25th St 2028 San Francisco,CA 94110	N/A	PC	General Support	500
UC Berkeley Foundation 2080 Addison St 4200 Berkeley,CA 94720	N/A	PC	General Support	500
Wilderness Torah 2150 Allston Way Suite 210 Berkeley,CA 94704	N/A	PC	General Support	500
Bread Roses 233 Tamalpais Dr Corte Madera,CA 94925	N/A	PC	General Support	500
American Joint Distribution Committ 711 Third Ave New York,NY 10017	N/A	PC	General Support	180
Fair Trade Judaica Community Partne 1000 North Alameda St Los Angeles,CA 90001	N/A	PC	General Support	1,000
Kevah 748 Gilman Street Berkeley,CA 94710	N/A	PC	General Support	500
Kehila San Francisco 220 Marcos St San Francisco,CA 94116	N/A	PC	General Support	360
Lake Tahoe Shakespeare Festival 948 Incline Way Incline Village,NV 89451	N/A	PC	General Support	1,200
Total				135,521

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MAZON A Jewish Response to Hunger 10495 Santa Monica Blvd 100 Los Angeles, CA 90025	N/A	PC	General Support	500
M'kor Hayim PO Box 31806 Tucson, AZ 85751	N/A	PC	General Support	500
Moishe House 441 Saxony Road Barn 2 Encinitas, CA 92024	N/A	PC	General Support	500
San Francisco Film Society 39 Mesa Street Suite 110 San Francisco, CA 94129	N/A	PC	General Support	675
Temple Israel Stockton 5105 North El Dorado Street Stockton, CA 95207	N/A	PC	General Support	500
UCSF Buck Breast Cancer Center 1600 Divisadero St San Francisco, CA 94115	N/A	PC	General Support	1,000
Urban Adamah 1050 Parker St Berkeley, CA 94710	N/A	PC	General Support	500
Children's Creativity Museum 221 4th St San Francisco, CA 94103	N/A	PC	General Support	500
Congregation Emanu El 2 Lake St San Francisco, CA 94118	N/A	PC	General Support	17,200
City Arts Lectures 1955 Sutter St San Francisco, CA 94115	N/A	PC	General Support	500
NPR Foundation 635 Massachusetts Avenue NW Washington, DC 20001	N/A	SO I	General Support	2,000
San Franicsco Symphony 201 Van Ness Avenue San Francisco, CA 94102	N/A	PC	General Support	1,000
Stanford University 328 Lomita Drive Stanford, CA 94305	N/A	PC	General Support	600
Gun by GunUnited Playaz 1038 Howard St San Francisco, CA 94103	N/A	PC	General Support	1,000
Farallones Marine Sanctuary Assn POB 29386 San Francisco, CA 94129	N/A	PC	General Support	200
Total				135,521

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
American Civil Liberties Union 39 Drumm St San Francisco, CA 94111	N/A	PC	General Support	500
Animation Show of ShowsKickstarter 3347 Motor Ave Los Angeles, CA 90034	N/A	PC	General Support	250
Beth El Synagogue 1301 Oxford St Berkeley, CA 94709	N/A	PC	General Support	360
Blk Union of Concerned Scientists Two Brattle Sq Cambridge, MA 02138	N/A	PC	General Support	250
Brandeis School of San Francisco 655 Brotherhood Way San Francisco, CA 94132	N/A	PC	General Support	500
CCSF Photo Department 50 Phelan Ave San Francisco, CA 94112		PC	General Support	750
Center for Independent Documentary 1600 Providence Hwy Walpole, MA 02081	N/A	PC	General Support	1,000
College Track 111 Broadway Suite 101 Oakland, CA 94607	N/A	PC	General Support	500
Institute on Aging NFG 3575 Geary Blvd San Francisco, CA 94118	N/A	PC	General Support	500
Isreal Religious Action Washington 2027 Massachusetts Ave NW Washington, DC 20036	N/A	PF	General Support	500
J Weekley 225 Bush Street Suite 480 San Francisco, CA 94104	N/A	PC	General Support	500
Jewish Film Fesitval 145 9th St 200 San Francisco, CA 94103	N/A	PC	General Support	5,000
Jewish Studio Project Berkeley 2643 DANA ST BERKELEY, CA 94704	N/A	PF	General Support	500
Family House 540 Mission Bay Blvd San Francisco, CA 94158	N/A	PC	General Support	500
KAHL Jewish Year Aborad 1806 Colfax St Evanston, IL 60201	N/A	PC	General Support	500
Total				135,521

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Oakland Women's Center 307 Lee St 6 Oakland, CA 94610	N/A	PC	General Support	200
SF Parks Trust 501 Stanyan St San Francisco, CA 94117	N/A	PC	General Support	500
Street Soccer USA - SF 1232 Market St 120 San Francisco, CA 94102	N/A	PC	General Suupport	250
The Israel Project PO Box 27999 Washinton, DC 20038	N/A	PC	General Support	36
Total			3a	135,521

TY 2015 Accounting Fees Schedule

Name: Ralph & Marsha Guggenheim Family
Foundation

EIN: 26-3873872

Software ID: 15000324

Software Version: 2015v2.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Tax Prep	2,340	0	0	2,340

TY 2015 Investments Corporate Stock Schedule

Name: Ralph & Marsha Guggenheim Family
Foundation

EIN: 26-3873872

Software ID: 15000324

Software Version: 2015v2.0

Name of Stock	End of Year Book Value	End of Year Fair Market Value
Disney Walt Co, 7,485 Sh	786,524	786,524
Accrued Dividend Income	4,647	4,647

TY 2015 Other Assets Schedule

Name: Ralph & Marsha Guggenheim Family
Foundation

EIN: 26-3873872

Software ID: 15000324

Software Version: 2015v2.0

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
Unsettled Trades		50,138	50,138

TY 2015 Other Expenses Schedule

Name: Ralph & Marsha Guggenheim Family
Foundation

EIN: 26-3873872

Software ID: 15000324

Software Version: 2015v2.0

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Filing Fees	85			85
Membership Dues	64			64

TY 2015 Taxes Schedule

Name: Ralph & Marsha Guggenheim Family
Foundation

EIN: 26-3873872

Software ID: 15000324

Software Version: 2015v2.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Excise Taxes	2,313			

Schedule B (Form 990, 990-EZ, or 990-PF) Department of the Treasury Internal Revenue Service	Schedule of Contributors ▶ Attach to Form 990, 990-EZ, or 990-PF. ▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at <u>www.irs.gov/form990</u>.	OMB No 1545-0047
		2015

Name of the organization Ralph & Marsha Guggenheim Family Foundation	Employer identification number 26-3873872
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Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)() (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒
- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐
- For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization Ralph & Marsha Guggenheim Family Foundation	Employer identification number 26-3873872
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Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed			
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1			Person ☐
	Ralph Marsha Guggenheim Fam Trust 141 Alton Avenue	\$ 100,307	Payroll ☐
	San Francisco, CA 94116		Noncash ☒ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
			Person ☐
		\$	Payroll ☐
			Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
			Person ☐
		\$	Payroll ☐
			Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
			Person ☐
		\$	Payroll ☐
			Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
			Person ☐
		\$	Payroll ☐
			Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
			Person ☐
		\$	Payroll ☐
			Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
			Person ☐
		\$	Payroll ☐
			Noncash ☐ (Complete Part II for noncash contributions)

Name of organization Ralph & Marsha Guggenheim Family Foundation	Employer identification number 26-3873872
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Part II

Noncash Property

(see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	940 Shares of Walt Disney CO Stock	\$ 100,307	2015-12-30
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	

Name of organization Ralph & Marsha Guggenheim Family Foundation	Employer identification number 26-3873872
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Part III

Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$ _____

Use duplicate copies of Part III if additional space is needed

(a) No.from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
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(a) No.from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
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	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
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