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For calendar year 2015, or tax year beginning 01-01-2015 , and ending 12-31-2015

Name of foundation The Lloyd J King and Eleanor R King Foundation		A Employer identification number 26-3000472	
Number and street (or P O box number if mail is not delivered to street address) 26 W Dry Creek Circle		Room/suite	
City or town, state or province, country, and ZIP or foreign postal code Littleton, CO 80120		B Telephone number (see instructions) (303) 795-2066	
G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		C If exemption application is pending, check here ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐ E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 8,727,430		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	
J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis)			

Part I	Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))	Revenue and expenses per books (a)	Net investment income (b)	Adjusted net income (c)	Disbursements for charitable purposes (d) (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	39,390	39,390		
	4 Dividends and interest from securities	146,071	146,071		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10 _____	12,270			
	b Gross sales price for all assets on line 6a 2,628,206				
	7 Capital gain net income (from Part IV , line 2)		12,270		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	1,501	1,501		
	12 Total. Add lines 1 through 11	199,232	199,232		
	13 Compensation of officers, directors, trustees, etc	208,640	28,264		180,376
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule).	3,150	3,150		
	b Accounting fees (attach schedule).				
	c Other professional fees (attach schedule)	63,103	63,103		
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	23,284	5,171		11,115
	19 Depreciation (attach schedule) and depletion	1,904			
	20 Occupancy	42,673			42,673
	21 Travel, conferences, and meetings.				
	22 Printing and publications				
	23 Other expenses (attach schedule).				
	24 Total operating and administrative expenses. Add lines 13 through 23	342,754	99,688		234,164
	25 Contributions, gifts, grants paid	398,000			398,000
	26 Total expenses and disbursements. Add lines 24 and 25	740,754	99,688		632,164
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-541,522			
	b Net investment income (if negative, enter -0-)		99,544		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	61,951	49,430	49,430
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions).			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)			
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	8,724,073	8,194,059	8,663,982
	14	Land, buildings, and equipment basis ▶ _____ 21,432 Less accumulated depreciation (attach schedule) ▶ 18,557	4,779	2,875	2,875
Liabilities	15	Other assets (describe ▶ _____)	8,141	11,142	11,143
	16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	8,798,944	8,257,506	8,727,430
	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule).			
	22	Other liabilities (describe ▶ _____)	6,180	6,264	
Net Assets or Fund Balances	23	Total liabilities (add lines 17 through 22)	6,180	6,264	
		Foundations that follow SFAS 117, check here ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	8,792,764	8,251,242	
	30	Total net assets or fund balances (see instructions)	8,792,764	8,251,242	
	31	Total liabilities and net assets/fund balances (see instructions)	8,798,944	8,257,506	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	8,792,764
2	Enter amount from Part I, line 27a	2	-541,522
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3	4	8,251,242
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	8,251,242

Part IV

Capital Gains and Losses for Tax on Investment Income

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)		How acquired P—Purchase (b) D—Donation	Date acquired (c) (mo , day, yr)	Date sold (d) (mo , day, yr)
1a See Additional Data Table				
b				
c				
d				
e				

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) (l)
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	12,270
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)
If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2014			
2013			
2012			
2011			
2010			

2 Total of line 1, column (d).	2	
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	
4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5.	4	
5 Multiply line 4 by line 3.	5	
6 Enter 1% of net investment income (1% of Part I, line 27b).	6	
7 Add lines 5 and 6.	7	
8 Enter qualifying distributions from Part XII, line 4.	8	

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See
the Part VI instructions

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1	1,991
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3 Add lines 1 and 2.	3	1,991
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	1,991
6 Credits/Payments		
a 2015 estimated tax payments and 2014 overpayment credited to 2015	6a	7,003
b Exempt foreign organizations—tax withheld at source	6b	
c Tax paid with application for extension of time to file (Form 8868).	6c	
d Backup withholding erroneously withheld	6d	
7 Total credits and payments. Add lines 6a through 6d.	7	7,003
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ▶	9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid ▶	10	5,012
11 Enter the amount of line 10 to be Credited to 2015 estimated tax ▶ 5,012 Refunded ▶	11	

Part VII-A **Statements Regarding Activities**

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	1b		No
c Did the foundation file Form 1120-POL for this year?	1c		No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ \$ _____ (2) On foundation managers ▶ \$ _____			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ _____			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>	2		No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3		No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b		No
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>	5		No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	Yes	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CO _____			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>	8b	Yes	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9		No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10		No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	13	Yes	
14	The books are in care of ▶ Robert L Eckelberry Telephone no ▶ (303) 795-2066 Located at ▶ 26 W Dry Creek Circle Littleton CO ZIP+4 ▶ 80120			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ 15	15		
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶	16	Yes No	No No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? ☐ Yes ☒ No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015).	3b		No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a

During the year did the foundation pay or incur any amount to

(1)

Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes

☒ No

(2)

Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes

☒ No

(3)

Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes

☒ No

(4)

Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions).

☐ Yes

☒ No

(5)

Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes

☒ No

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

No

Organizations relying on a current notice regarding disaster assistance check here.

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

☐ Yes

☒ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d)

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes

☒ No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

No

If "Yes" to 6b, file Form 8870

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes

☒ No

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

No

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
Robert L Eckelberry 3429 E Easter Place Centennial, CO 80122	President & CEO 40 00	110,000		
David H Ezra 5990 Greenwood Plaza Blvd 203 Greenwood Village, CO 80111	Treasurer 2 00	18,000		
Joyce Stockley 5845 W Mansfield Ave 257 Denver, CO 80235	Secretary 4 00	18,000		
Carol Walters 11995 W Brandt Place Littleton, CO 80127	Director 40 00	62,640		

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	Contributions to employee benefit plans and deferred compensation (d)	Expense account, (e) other allowances
NONE				

Total number of other employees paid over \$50,000.

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Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services. ▶

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3. ▶

Part X Minimum Investment Return

(All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	6,337,758
b	Average of monthly cash balances.	1b	72,617
c	Fair market value of all other assets (see instructions).	1c	2,808,392
d	Total (add lines 1a, b, and c).	1d	9,218,767
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	9,218,767
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	138,282
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	9,080,485
6	Minimum investment return. Enter 5% of line 5.	6	454,024

Part XI Distributable Amount(see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	454,024
2a	Tax on investment income for 2015 from Part VI, line 5.	2a	1,991
b	Income tax for 2015 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	1,991
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	452,033
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	452,033
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	452,033

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	632,164
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	632,164
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	632,164

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				452,033
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only.				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2015				
a From 2010.				
b From 2011.				
c From 2012.				54,044
d From 2013.				103,967
e From 2014.				148,603
f Total of lines 3a through e.	306,614			
4 Qualifying distributions for 2015 from Part XII, line 4 ► \$ 632,164				
a Applied to 2014, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2015 distributable amount.				452,033
e Remaining amount distributed out of corpus	180,131			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	486,745			
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015.				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions). . .				
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a.	486,745			
10 Analysis of line 9				
a Excess from 2011. . . .				
b Excess from 2012. . . .				54,044
c Excess from 2013. . . .				103,967
d Excess from 2014. . . .				148,603
e Excess from 2015. . . .				180,131

Part XIV

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b Check box to indicate whether the organization is a:

Tax year	Prior 3 years			(e) Total
(a) 2015	(b) 2014	(c) 2013	(d) 2012	

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Part XV

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information(continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			▶ 3a	398,000
b Approved for future payment				
Total			▶ 3b	

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2015)

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

	Yes	No
1a(1)		No
1a(2)		No
1b(1)		No
1b(2)		No
1b(3)		No
1b(4)		No
1b(5)		No
1b(6)		No
1c		No

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

[illegible]

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

* * * * *

Title

May the IRS discuss this return with the preparer shown below

(see instr)? ☒ Yes ☐ No

Phone no (303) 220-8899

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	How acquired P—Purchase (b) D—Donation	Date acquired (c) (mo , day, yr)	(d) Date sold (mo , day, yr)
Capital Gain Div-Mgd a/c #64838	P	2001-01-01	2015-12-31
Capital Gain Div-Mgd a/c #64871	P	2001-01-01	2015-12-31
RBC a/c #57249	P	2001-01-01	2015-07-01
RBC a/c #64838	P	2015-01-01	2015-07-01
RBC a/c #64838	P	2001-01-01	2015-07-01
RBC a/c #64841	P	2015-01-01	2015-07-01
RBC a/c #64841	P	2001-01-01	2015-07-01
RBC a/c #64845	P	2015-01-01	2015-07-01
RBC a/c #64845	P	2001-01-01	2015-07-01
RBC a/c #64865	P	2015-01-01	2015-07-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
5,898			5,898
933			933
156,000		158,365	-2,365
352,094		460,702	-108,608
626,381		611,295	15,086
1,629		1,447	182
236,276		148,409	87,867
19,056		22,948	-3,892
133,204		124,329	8,875
116,188		142,118	-25,930

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			5,898
			933
			-2,365
			-108,608
			15,086
			182
			87,867
			-3,892
			8,875
			-25,930

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	How acquired P—Purchase (b) D—Donation	Date acquired (c) (mo , day, yr)	(d) Date sold (mo , day, yr)
RBC a/c #64865	P	2001-01-01	2015-07-01
RBC a/c #64870	P	2015-01-01	2015-07-01
RBC a/c #64870	P	2001-01-01	2015-07-01
RBC a/c #64871	P	2015-01-01	2015-07-01
RBC a/c #64871	P	2001-01-01	2015-07-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
739,951		692,323	47,628
61,912		69,761	-7,849
84,478		89,785	-5,307
685		648	37
93,521		93,806	-285

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			47,628
			-7,849
			-5,307
			37
			-285

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
Salvation Army 1370 Pennsylvania St Denver, CO 80203	None	PubChr	General Support	30,000
FACES 3801 E Florida Ave Ste 715 Denver, CO 80210	None	PubChr	General Support	1,500
Colorado Symphony 1000 14th St Unit 15 Denver, CO 80202	None	PubChr	General Support	25,000
Morris Animal Fdn 10200 E Girard Ave Suite B340 Denver, CO 80231	None	PubChr	General Support	4,000
Great Education Colorado 1000 E 16th Ave 18 Denver, CO 80218	None	PubChr	General Support	3,000
Denver Rescue Mission 3501 E 46th Ave Denver, CO 80216	None	PubChr	General Support	8,000
Colorado Public Radio 7409 S Alton Ct Centennial, CO 80112	None	PubChr	General Support	25,000
Children's Hospital Fdn 13123 E 16th Ave Box 045 Aurora, CO 80045	None	PubChr	General Support	12,000
Colorado Coalition for the Homeless 2111 Champa St Denver, CO 80205	None	PubChr	General Support	15,000
Boys Girls Club of Metro Denver 2017 W 9th Ave Denver, CO 80204	None	PubChr	General Support	5,000
Step 13 2029 Larimer St Denver, CO 80205	None	PubChr	General Support	2,000
Seeds of Hope 1300 S Steele St Denver, CO 80210	None	PubChr	General Support	8,000
Make a Wish Foundation 7951 E Maplewood Ave 126 Greenwood Village, CO 80111	None	PubChr	General Support	6,000
Food Bank of the Rockies Box 151560 Lakewood, CO 80215	None	PubChr	General Support	15,000
African Road Inc 18140 Shady Hollow West Linn, OR 97068	None	PubChr	General Support	7,400
Total ▶ 3a				398,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
Volunteers of America 2660 Larimer St Denver, CO 80205	None	PubChr	General Support	6,000
Sewall Child Development Center 1360 Vine St Denver, CO 80206	None	PubChr	General Support	4,000
Ronald McDonald House 1300 E 21st Ave Denver, CO 80205	None	PubChr	General Support	7,000
TAPS 2576 S Lansing Way Aurora, CO 80015	None	PubChr	General Support	5,000
Cancer League of Colorado 8435 E Fox Hill Pl Englewood, CO 80112	None	PubChr	General Support	5,000
Rocky Mountain PBS 1089 Bannock St Denver, CO 80204	None	PubChr	General Support	25,000
Girl Scouts of Colorado 400 S Broadway Denver, CO 80209	None	PubChr	General Support	9,000
Special Olympics of Colorado 384 Inverness Parkway Suite 100 Englewood, CO 80112	None	PubChr	General Support	6,000
Father Dyer United Methodist Church 310 Wellington Rd Breckenridge, CO 80424	None	PubChr	General Support	4,750
Hamilton United Methodist Church 104 W Samuel Street Hamilton, MO 64644	None	PubChr	General Support	6,750
The Carter Center One Copenhill 435 Freedom Pkwy Atlanta, GA 30307	None	PubChr	General Support	100
Central City Opera 400 S Colorado Blvd 530 Denver, CO 80246	None	PubChr	General Support	10,000
Colorado Uplift 3914 King Street Denver, CO 80211	None	PubChr	General Support	5,000
Denver Museum of Nature Science 2001 Colorado Blvd Denver, CO 80205	None	PubChr	General Support	5,000
Doctors without Borders USA 333 7th Avenue New York, NY 10001	None	PubChr	General Support	5,000
Total ▶ 3a				398,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
The Kempe Foundation 13123 E 16th Ave B390 Aurora,CO 80045	None	PubChr	General Support	6,000
The Nature Conservancy 4245 North Fairfax Drive Suite 100 Arlington,VA 22203	None	PubChr	General Support	12,000
Red Cross Mile High Chapter 444 Sherman Street Denver,CO 80203	None	PubChr	General Support	20,000
Santa Fe Opera PO Box 2408 Santa Fe,NM 87504	None	PubChr	General Support	500
Youth for Christ PO Box 4478 Englewood,CO 80155	None	PubChr	General Support	2,000
National Leadership Academy PO Box 13878 Denver,CO 80201	None	PubChr	General Support	2,500
Southlake Church 1555 SW Borland Road West Linn,OR 97068	None	PubChr	General Support	2,500
Congo Relief Mission 1251 W Long Ct Littleton,CO 80120	None	PubChr	General Support	3,000
Duck Team 6 7324 Gaston Ave Ste 124-355 Dallas,TX 75214	None	PubChr	General Support	5,000
Dumb Friends League of Denver 2080 S Quebec St Denver,CO 80231	None	PubChr	General Support	10,000
History Colorado 1200 Broadway Denver,CO 80203	None	PubChr	General Support	15,000
Marina Foundation PO Box 324 Marina,CA 93933	None	PubChr	General Support	15,000
MaxFund Dog Shelter 1005 Galapago St Denver,CO 80204	None	PubChr	General Support	2,500
Planned Parenthood of the Rocky Mou 7155 E 38th Avenue Denver,CO 80207	None	PubChr	General Support	20,000
Save Our Youth 3443 West 23rd Avenue Denver,CO 80211	None	PubChr	General Support	3,000
Total ▶ 3a				398,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
American Lung Association in Colora 5600 Greenwood Plaza Blvd 100 Greenwood Village,CO 80111	None	PubChr	General Support	2,000
Growing Project PO Box 388 Fort Collins,CO 80522	None	PubChr	General Support	1,000
Keystone Science School 1053 Soda Ridge Road Keystone,CO 80435	None	PubChr	General Support	500
Kids in Need of Dentistry KIND 2485 S Downing St 210 Denver,CO 80210	None	PubChr	General Support	5,000
Total ▶ 3a				398,000

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2015 Depreciation Schedule

Name: The Lloyd J King and Eleanor R King
Foundation

EIN: 26-3000472

Software ID: 15000324

Software Version: 2015v2.0

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
Amercan Furniture	2009-01-20	2,178	1,840	SL	7 0000	311			
Computer	2011-01-03	2,108	1,688	SL	5 0000	420			
Sharp Copier	2013-02-22	5,863	2,150	SL	5 0000	1,173			

TY 2015 Investments - Other Schedule

Name: The Lloyd J King and Eleanor R King
Foundation

EIN: 26-3000472

Software ID: 15000324

Software Version: 2015v2.0

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
Annuities-Jackson National	AT COST	880,152	865,490
Annuities-Lincoln National	AT COST	906,845	854,481
Annuities - Nationwide Life	AT COST	883,334	906,799
Stocks & Equity Securities-RBC	AT COST	189,114	184,280
RBC Managed Accounts-Prime #64838	AT COST	2,050,185	2,104,326
RBC Managed Account-Polen #64841	AT COST	1,488,954	2,041,812
RBC Managed Account-Southern #64845	AT COST	627,827	602,448
RBC Managed Accounts-Schafer E64865	AT COST		
RBC Managed Accounts-Cincinnati #64870	AT COST	574,153	485,622
RBC Managed Accounts-Spectrum #64871	AT COST	593,495	618,724

**TY 2015 Land, Etc.
Schedule**

Name: The Lloyd J King and Eleanor R King
Foundation

EIN: 26-3000472

Software ID: 15000324

Software Version: 2015v2.0

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
Auto./Transportation Equip.	10,975	10,975		
Furniture and Fixtures	10,149	7,582	2,567	2,567
Miscellaneous	308		308	308

TY 2015 Legal Fees Schedule

Name: The Lloyd J King and Eleanor R King
Foundation

EIN: 26-3000472

Software ID: 15000324

Software Version: 2015v2.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Litigation	3,150	3,150	0	0

TY 2015 Other Assets Schedule

Name: The Lloyd J King and Eleanor R King
Foundation

EIN: 26-3000472

Software ID: 15000324

Software Version: 2015v2.0

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
Addt'l basis - RBC Sec from 1099's	1,147	1,147	1,147
Prepaid Federal Income tax	4,001	7,003	7,003
Security deposit	2,993	2,992	2,993

TY 2015 Other Income Schedule

Name: The Lloyd J King and Eleanor R King
Foundation

EIN: 26-3000472

Software ID: 15000324

Software Version: 2015v2.0

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
Other Investment Income	1,501	1,501	

TY 2015 Other Liabilities Schedule

Name: The Lloyd J King and Eleanor R King
Foundation

EIN: 26-3000472

Software ID: 15000324

Software Version: 2015v2.0

Description	Beginning of Year - Book Value	End of Year - Book Value
Payroll Liabilities	6,180	6,264

TY 2015 Other Professional Fees Schedule

Name: The Lloyd J King and Eleanor R King
Foundation

EIN: 26-3000472

Software ID: 15000324

Software Version: 2015v2.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Investment expenses	166	166	0	0
Investment fees	62,937	62,937	0	0

TY 2015 Taxes Schedule

Name: The Lloyd J King and Eleanor R King
Foundation

EIN: 26-3000472

Software ID: 15000324

Software Version: 2015v2.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Foreign taxes	2,995	2,995		
Income tax	6,995			
Payroll taxes	13,291	2,176		11,115
Penalties	3			



RBC Wealth Management

A Division of RBC Capital Markets, LLC, Member NYSE/FINRA/SIPC

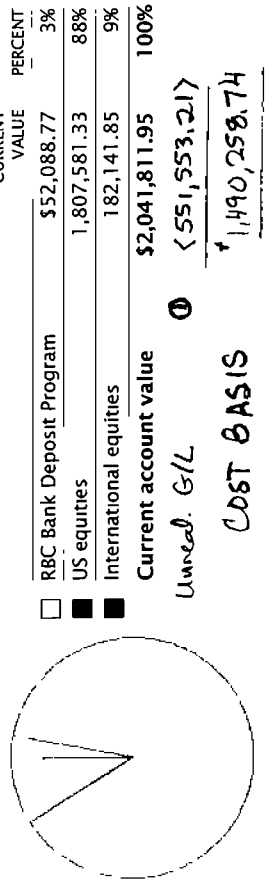


CONSULTING SOLUTIONS ACCOUNT STATEMENT

DECEMBER 1, 2015 - DECEMBER 31, 2015

Account number.
314-64841
Page 3 of 9

ASSET ALLOCATION SUMMARY



Mutual funds are included in the above categories. Funds that invest in more than one category are reported as "Mixed Assets". The cash and money market figure is net of debits including any RBC Express Credit (margin) debit, if applicable. Deposits in the RBC Bank Deposit Program are not covered by SIPC. Please see Asset Detail beginning on page 4 for more information.

INVESTMENT OBJECTIVE / PROFILE AND RISK TOLERANCE

The investment objective for this account is Balanced Growth
The risk tolerance for this account is Moderate Risk
The advisory risk profile for this account is Profile 3
Please see "About Your Investment Objective / Profile and Risk Tolerance" on page 2 for further information.

GAIN/LOSS SUMMARY

	THIS PERIOD	THIS YEAR
Total realized gain or loss	\$0.00	\$88,048.80
Short-term gain or loss	0.00	181.61
Long-term gain or loss	0.00	87,867.19
Unrealized gain or loss		AS OF DECEMBER 31, 2015
		\$551,553.21

Please see "About Your Statement" on page 2 for further information.

ACTIVITY SUMMARY

Total account value last statement		\$2,064,513.27
Beginning balance	Cash activity	
Money coming into your account		51,527.99
Interest		0.43
Dividends		560.35
Total		560.78
Money going out of your account		
Total		0.00
Ending balance		52,088.77
Net change cash activity		\$560.78
Beginning value of priced securities	Change in security value	
Change in value of priced securities		2,012,985.28
Ending value of priced securities		-23,262.10
Net change in securities value		1,989,723.18
Total account value as of December 31, 2015		-\$23,262.10
		\$2,041,811.95

LJ KING & E R KING FOUNDATION
POLEN LARGE CAP GROWTH

CONSULTING SOLUTIONS
ACCOUNT STATEMENT

DECEMBER 1, 2015 - DECEMBER 31, 2015

Account number:
314-64841
Page 4 of 9

ASSET DETAIL

The Estimated Annualized Income ("EAI") for certain securities could include a return of principal or capital gains, in which case EAI depicted on this account statement would be overstated. EAI is only an estimate of income generated by the investment and the actual income may be higher or lower. In the event the investment matures, is sold or called, the full EAI may not be realized.

* The Unrealized Gain/Loss may not reflect your investments' total return. Specifically, the net cost may include dividend and capital gains distributions which have been reinvested. Additionally, the information that appears in these columns may be based on information provided by you or at your direction. RBC has not verified such data. Please see "About Your Statement" on page 2 for further information.

Your Financial Advisor has elected to display Asset Detail with the following options: asset purchases (tax lots) consolidated

RBC BANK DEPOSIT PROGRAM

Deposits in the RBC Bank Deposit Program, with the exception of amounts classified as "Pending Deposits," are held by the Program Banks, not RBC Capital Markets. They are not covered by SIPC. Balances classified as "Pending Deposits" are scheduled to be deposited in the Program Banks on the business day following the statement date, and thus, these deposits are covered by SIPC until such time as they are deposited in the Program Banks. Deposit information is included on this statement solely as a service to our clients. All balance and transaction information is provided by the Program Banks. RBC Capital Markets is not responsible for the accuracy of this information. Deposits are insured by the Federal Deposit Insurance Corporation (FDIC) up to \$250,000 per depositor at each bank subject to certain limitations. **This means that your funds in excess of \$250,000 in the same insurable capacity at any single Program Bank are not insured.** FDIC insurance coverage amounts are subject to the combined total of all deposits at a specific Program Bank including deposits held by the Program Bank outside of this account. Please refer to the RBC Bank Deposit Program Disclosure Statement and our website at www.rbcamconnect.com for more details, including the current aggregate FDIC deposit insurance amount available through the Program. For questions concerning bank balances, please contact your Financial Advisor.

DESCRIPTION	BANK BALANCE	CURRENT BALANCE	PREVIOUS STATEMENT BALANCE	YTD INCOME
RBC BANK DEPOSIT PROGRAM NOT SIPC COVERED		\$52,088.77	\$51,527.99	\$5.60
DEPOSITS ARE HELD AT Associated Bank NA	Green Bay, WI			
TOTAL RBC BANK DEPOSIT PROGRAM		\$52,088.77		\$5.60

US EQUITIES

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
ABBOTT LABORATORIES	ABT	2,406.000	\$44.910	\$108,053.46	\$82,524.83	\$25,528.63	\$2,502.24
ADOBE SYSTEMS INC	ADBE	947.000	\$93.940	\$88,961.18	\$75,163.46	\$13,797.72	
ALPHABET INC CLASS C CAPITAL STOCK	GOOG	176.000	\$758.880	\$133,562.88	\$77,086.36	\$56,476.52	
ALPHABET INC CLASS A COMMON STOCK	GOOGL	117.000	\$778.010	\$91,027.17	\$46,322.80	\$44,704.37	
APPLE INC	AAPL	721.000	\$105.260	\$75,892.46	\$55,905.49	\$19,986.97	\$1,499.68
AUTOMATIC DATA PROCESSING INC	ADP	1,075.000	\$84.720	\$91,074.00	\$75,088.99	\$15,985.01	\$2,279.00
CELGENE CORP	CELG	560.000	\$119.760	\$67,065.60	\$65,190.72	\$1,874.88	
FACEBOOK INC CL A	FB	700.000	\$104.660	\$73,262.00	\$56,583.00	\$16,679.00	
FASTENAL CO	FAST	1,755.000	\$40.820	\$71,639.10	\$81,104.90	-\$9,465.80	\$1,965.60
GARTNER INC	IT	758.000	\$90.700	\$68,750.60	\$46,504.04	\$22,246.56	



RBC Wealth Management



CONSULTING SOLUTIONS ACCOUNT STATEMENT

DECEMBER 1, 2015 - DECEMBER 31, 2015

Account number
314-64841
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US EQUITIES (continued)

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
MASTERCARD INCORPORATED	MA	410.000	\$97.360	\$39,917.60	\$25,638.88	\$14,278.72	\$311.60
NIKE INC	NKE	2,322.000	\$62.500	\$145,125.00	\$69,886.89	\$75,238.11	\$1,486.08
CLASS B COM							
O'REILLY AUTOMOTIVE INC	ORLY	309.000	\$253.420	\$78,306.78	\$47,366.76	\$30,940.02	
ORACLE CORPORATION	ORCL	2,470.000	\$36.530	\$90,229.10	\$82,349.82	\$7,879.28	\$1,482.00
PRICELINE GROUP INC (THE)	PCLN	82.000	\$1,274.950	\$104,545.90	\$96,372.80	\$8,173.10	
REGENERON PHARMACEUTICALS INC	REGN	191.000	\$542.870	\$103,688.17	\$61,886.99	\$41,801.18	
STARBUCKS CORP	SBUX	2,126.000	\$60.030	\$127,623.78	\$65,797.14	\$61,826.64	\$1,700.80
TJX COMPANIES INC NEW	TJX	1,355.000	\$70.910	\$96,083.05	\$82,525.24	\$13,557.81	\$1,138.20
VISA INC	V	1,970.000	\$77.550	\$152,773.50	\$85,955.02	\$66,818.48	\$1,103.20
CL A COMMON STOCK							
TOTAL US EQUITIES				\$1,807,581.33	\$1,279,254.13	\$528,327.20	\$15,468.40

INTERNATIONAL EQUITIES

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
ACCENTURE PLC IRELAND SHS CL A	ACN	907.000	\$104.500	\$94,781.50	\$66,106.36	\$28,675.14	\$1,995.40
NESTLE SA-SPONSORED ADR REPSTG REGD ORD (SF 10 PAR)	NSRGY	1,173.000	\$74.476	\$87,360.35	\$92,809.48	-\$5,449.13	\$2,240.43
TOTAL INTERNATIONAL EQUITIES				\$182,141.85	\$158,915.84	\$23,226.01	\$4,235.83



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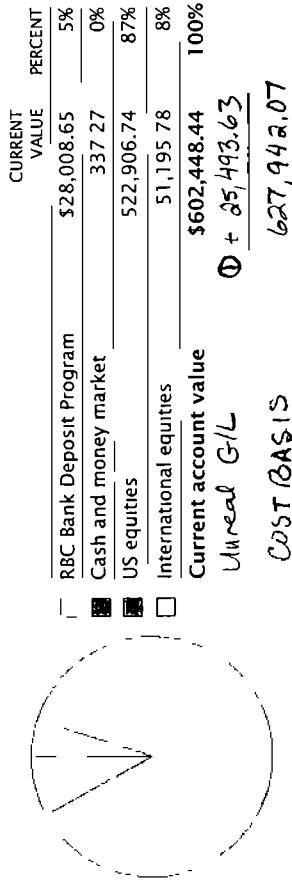


CONSULTING SOLUTIONS ACCOUNT STATEMENT

DECEMBER 1, 2015 - DECEMBER 31, 2015

Account number:
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ASSET ALLOCATION SUMMARY



Mutual funds are included in the above categories. Funds that invest in more than one category are reported as "Mixed Assets".
The cash and money market figure is net of debits including any RBC Express Credit (margin) debit, if applicable.

Deposits in the RBC Bank Deposit Program are not covered by SIPC. Please see Asset Detail beginning on page 4 for more information.

INVESTMENT OBJECTIVE / PROFILE AND RISK TOLERANCE

The investment objective for this account is **Balanced Growth**

The risk tolerance for this account is **Moderate Risk**

The advisory risk profile for this account is **Profile 3**

Please see "About Your Investment Objective / Profile and Risk Tolerance" on page 2 for further information.

GAIN/LOSS SUMMARY

	THIS PERIOD	THIS YEAR
Total realized gain or loss	-\$38,223.55	\$4,876.77
Short-term gain or loss	-1,585.69	-3,891.89
Long-term gain or loss	-36,637.86	8,768.66
Unrealized gain or loss		AS OF DECEMBER 31, 2015
		-\$25,493.63

Please see "About Your Statement" on page 2 for further information.

ACTIVITY SUMMARY

Total account value last statement		\$643,461.45
Cash activity		
Beginning balance	29,788.18	
Money coming into your account		
Sales proceeds/redemptions	8,661.93	
Interest	0.24	
Dividends	1,246.88	
Total	9,909.05	
Money going out of your account		
Funds to purchase securities	-11,342.80	
Taxes withheld	-8.51	
Total	-11,351.31	
Ending balance	28,345.92	
Net change cash activity		-\$1,442.26
Change in security value		
Beginning value of priced securities	613,673.27	
Securities purchased	11,342.80	
Securities sold/redeemed	-8,661.93	
Change in value of priced securities	-42,251.62	
Ending value of priced securities	574,102.52	
Net change in securities value		-\$39,570.75
Total account value as of December 31, 2015		\$602,448.44

CONSULTING SOLUTIONS
ACCOUNT STATEMENT

DECEMBER 1, 2015 - DECEMBER 31, 2015

ASSET DETAIL

The Estimated Annualized Income ("EAI") for certain securities only include a return of principal or capital gains, in which case EAI depicted on this account statement would be overstated. EAI is only an estimate of income generated by the investment and the actual income may be higher or lower. In the event the investment matures, is sold or called, the full EAI may not be realized.

* The Unrealized Gain/Loss may not reflect your investments' total return. Specifically, the net cost may include dividend and capital gains distributions which have been reinvested. Additionally, the information that appears in these columns may be based on information provided by you or at your direction. RBC has not verified such data. Please see 'About Your Statement' on page 2 for further information.

Your Financial Advisor has elected to display Asset Detail with the following options: asset purchases (tax lots) consolidated

RBC BANK DEPOSIT PROGRAM

Deposits at the RBC Bank Deposit Program with the exception of amounts classified as Pending Deposits are held by the Program Banks. RBC Capital Markets They are not covered by SIPC. Bank deposits are FDIC insured. Pending Deposits are scheduled to be deposited in the Program Banks or the business day following the statement date and thus, these deposits are covered by SIPC until such time as they are deposited in the Program Banks. Depositor information is included in this statement solely as a service to our clients. All balance and transaction information is provided by the Program Banks. RBC Capital Markets is not responsible for the accuracy of this information. Deposits are insured by the Federal Deposit Insurance Corporation (FDIC) up to \$250,000 per depositor at each bank subject to certain limitations. This means that your funds in excess of \$250,000 in the same insurable capacity at any single Program Bank are not insured. FDIC insurance coverage amounts are subject to the combined total of all deposits at a specific Program Bank. Bank information is provided by the Program Bank outside of this account. Please refer to the RBC Bank Deposit Program Disclosure Statement and our website at www.rbcconnect.com for more details, including the current aggregate FDIC insured insurance amount available through the Program. For questions concerning bank balances, please contact your Financial Advisor.

DESCRIPTION	BANK BALANCE	CURRENT BALANCE	PREVIOUS STATEMENT BALANCE	YTD INCOME
RBC BANK DEPOSIT PROGRAM NOT SIPC COVERED		\$28,008.65	\$29,788.18	\$5.54

DEPOSITS ARE HELD AT Associated Bank NA	Green Bay, WI	\$28,008.65		
TOTAL RBC BANK DEPOSIT PROGRAM		\$28,008.65		\$5.54

CASH AND MONEY MARKET

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	CURRENT MARKET VALUE	PREVIOUS STATEMENT MARKET VALUE	YTD INCOME
CASH				\$337.27		
TOTAL CASH AND MONEY MARKET				\$337.27		

US EQUITIES

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE	NET COST *	UNREALIZED GAIN/LOSS	ESTIMATED ANNUALIZED INCOME
ADT CORPORATION COM	ADT	971.000	\$32.980	\$32,023.58	\$33,413.81	-\$1,390.23	\$815.64
AGCO CORP	AGCO	629.000	\$45.390	\$28,550.31	\$33,121.38	-\$4,571.07	\$301.92
BROADRIDGE FINANCIAL SOLUTIONS INC	BR	535.000	\$53.730	\$28,745.55	\$16,290.32	\$12,455.23	\$642.00
CENTENE CORP DEL	CNC	564.000	\$65.810	\$37,116.84	\$18,996.20	\$18,120.64	
CLEAN HARBORS INC	CLH	766.000	\$41.650	\$31,903.90	\$40,351.31	-\$8,447.41	
DARLING INGREDIENTS INC	DAR	3,209.000	\$10.520	\$33,758.68	\$52,282.18	-\$18,523.50	



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CONSULTING SOLUTIONS ACCOUNT STATEMENT

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US EQUITIES (continued)

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
FLOWERVE CORP	FLS	653.000	\$42.080	\$27,478.24	\$37,065.85	-\$9,587.61	\$470.16
HANESBRANDS INC	HBI	1,014.000	\$29.430	\$29,842.02	\$16,254.46	\$13,587.56	\$405.60
IDEX CORP	IEX	380.000	\$76.610	\$29,111.80	\$21,272.52	\$7,839.28	\$486.40
KNOWLES CORPORATION	KN	1 629.000	\$13.330	\$21,714.57	\$29,090.57	-\$7,376.00	
MURPHY USA INC	MUSA	322.000	\$60.740	\$19,558.28	\$16,592.20	\$2,966.08	
COM							
NEWFIELD EXPLORATION CO	NFX	781.000	\$32.560	\$25,429.36	\$21,789.06	\$3,640.30	
OGE ENERGY CORP	OGE	1,143.000	\$26.290	\$30,049.47	\$36,846.17	-\$6,796.70	\$1,257.30
POLARIS INDUSTRIES INC	PII	277.000	\$85.950	\$23,808.15	\$27,583.00	-\$3,774.85	\$587.24
THOR INDUSTRIES INC	THO	407.000	\$56.150	\$22,853.05	\$19,322.57	\$3,530.48	\$488.40
TIMKEN CO	TKR	831.000	\$28.590	\$23,758.29	\$35,075.65	-\$11,317.36	\$864.24
TRINITY INDUSTRIES INC	TRN	1,024.000	\$24.020	\$24,596.48	\$26,552.82	-\$1,956.34	\$450.56
WESTERN UNION CO	WU	1,865.000	\$17.910	\$33,402.15	\$32,431.31	\$970.84	\$1,156.30
WESTROCK COMPANY	WRK	421.000	\$45.620	\$19,206.02	\$20,296.70	-\$1,090.68	\$631.50
COM							
TOTAL US EQUITIES				\$522,906.74	\$534,628.08	-\$11,721.34	\$8,557.26
INTERNATIONAL EQUITIES							
DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
CHICAGO BRIDGE & IRON CO NV	CBI	810.000	\$38.990	\$31,581.90	\$41,816.00	-\$10,234.10	\$226.80
PENTAIR PLC	PNR	396.000	\$49.530	\$19,613.88	\$23,152.07	-\$3,538.19	\$522.72
SHS							
TOTAL INTERNATIONAL EQUITIES				\$51,195.78	\$64,968.07	-\$13,772.29	\$749.52



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CONSULTING SOLUTIONS ACCOUNT STATEMENT

DECEMBER 1, 2015 - DECEMBER 31, 2015

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ASSET ALLOCATION SUMMARY

	CURRENT VALUE	PERCENT
☐ RBC Bank Deposit Program	\$29,136.18	6%
☐ Taxable fixed income	456,485.73	94%
Current account value	\$485,621.91	100%
Unreal G/L	0	
Cost Basis	\$564,466.56	

Mutual funds are included in the above categories. Funds that invest in more than one category are reported as "Mixed Assets".
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Deposits in the RBC Bank Deposit Program are not covered by SIPC. Please see Asset Detail beginning on page 4 for more information.

INVESTMENT OBJECTIVE / PROFILE AND RISK TOLERANCE

The investment objective for this account is Balanced Growth
The risk tolerance for this account is Moderate Risk
The advisory risk profile for this account is Profile 3
Please see "About Your Investment Objective / Profile and Risk Tolerance" on page 2 for further information.

GAIN/LOSS SUMMARY

	THIS PERIOD	THIS YEAR
Total realized gain or loss	-\$15.33	-\$15,023.87
Short-term gain or loss	0.00	-7,847.75
Long-term gain or loss	-15.33	-7,176.12
Unrealized gain or loss		AS OF DECEMBER 31, 2015
		-\$78,844.65

Please see "About Your Statement" on page 2 for further information.

ACTIVITY SUMMARY

Total account value last statement	\$510,306.37
Cash activity	
Beginning balance	40,255.67
Money coming into your account	
Return of principal	175.15
Interest	1,247.44
Total	1,422.59
Money going out of your account	
Funds to purchase securities	-12,542.08
Total	-12,542.08
Ending balance	29,136.18
Net change cash activity	-\$11,119.49
Change in security value	
Beginning value of priced securities	470,050.70
Securities purchased	12,542.08
Return of principal	-175.15
Change in value of priced securities	-25,931.90
Ending value of priced securities	456,485.73
Net change in securities value	-\$13,564.97
Total account value as of December 31, 2015	\$485,621.91

CONSULTING SOLUTIONS
ACCOUNT STATEMENT

DECEMBER 1, 2015 - DECEMBER 31, 2015

ASSET DETAIL

The Estimated Annualized Income ("EAI") for certain securities could include a return of principal or capital gains, in which case EAI depicted on this account statement would be overstated. EAI is only an estimate of income generated by the investment and the actual income may be higher or lower. In the event the investment matures, is sold or called, the full EAI may not be realized.

* The Unrealized Gain/Loss may not reflect your investments' total return. Specifically, the net cost may include dividend and capital gains distributions which have been reinvested. Additionally, the information that appears in these columns may be based on information provided by you or at your direction. RBC has not verified such data. Please see "About Your Statement" on page 2 for further information.

Your Financial Advisor has elected to display Asset Detail with the following options: asset purchases (tax lots) consolidated

RBC BANK DEPOSIT PROGRAM

Deposits in the RBC Bank Deposit Program, with the exception of amounts classified as "Pending Deposits," are held by the Program Banks, not RBC Capital Markets. They are not covered by SIPC. Because the Program Banks' deposits are scheduled to be deposited in the Program Banks or the business day following the statement date, and thus, these deposits are covered by SIPC until such time as they are deposited in the Program Banks. Deposits information is included in this statement solely as a service to our clients. All balances and transaction information is provided by the Program Banks. RBC Capital Markets is not responsible for the accuracy of this information. Deposits are insured by the Federal Deposit Insurance Corporation (FDIC), up to \$250,000 per depositor at each bank subject to certain limitations. This means that your funds in excess of \$250,000 in the same insurable capacity at any single Program Bank are not insured. FDIC insurance coverage amounts are subject to the combined total of all deposits at a specific Program Bank. Insurance deposits held by the Program Bank outside of this account. Please refer to the RBC Bank Deposit Program Disclosure Statement and our website at www.rbc.com/retiree for more details including the current aggregate FDIC deposit insurance amount at audience through the Program. For questions concerning bank balances, please contact your Financial Advisor.

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE/ ACCRUED INTEREST	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME	YTD INCOME
TEEKAY CORP SR NT ORIGINAL ISSUE DISCOUNT CALLABLE SUBJ MAKE-WHOLE CALL MOODY B2 S&P B+	87900YAA1 CPN 8.500% DUE 01/15/2020 DTD 01/27/2010 BOOK ENTRY ONLY	13,000.000	\$67.500	\$8,775.00 \$509.53	\$13,863.63	-\$5,088.63	\$1,105.00	\$3.85
HUNTSMAN INTL LLC SR NT 4.875% CALLABLE 08/15/20 AT 100.000 MOODY B1 S&P B	44701QAZ5 CPN 4.875% DUE 11/15/2020 DTD 01/14/2013 BOOK ENTRY ONLY	14,000.000	\$91.250	\$12,775.00 \$87.21	\$13,764.27	-\$989.27	\$682.50	
GRAPHIC PACKAGING INTL INC SR UNSECURED CALLABLE 01/15/21 AT 100.000 MOODY B2 S&P BB+	38869PAK0 CPN 4.750% DUE 04/15/2021 DTD 04/02/2013 BOOK ENTRY ONLY	14,000.000	\$102.000	\$14,280.00 \$140.39	\$14,021.55	\$258.45	\$665.00	



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CONSULTING SOLUTIONS ACCOUNT STATEMENT

DECEMBER 31, 2015 - DECEMBER 31, 2015

TAXABLE FIXED INCOME

(continued)

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE/ ACCRUED INTEREST	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
NOBLE ENERGY INC SR NT 5.625% CALLABLE 05/01/19 AT 100.000 CALLABLE 05/01/17 AT 102.813 MOODY BAA2 S&P BBB	655044AK1 CPN 5.625% DUE 05/01/2021 DTD 07/27/2015 BOOK ENTRY ONLY	2,000.000	\$97.819	\$1,956.38 \$18.75	\$2,111.54	-\$155.16	\$112.50
B&G FOODS INC SR UNSECURED CALLABLE SUBJ MAKE-WHOLE CALL MOODY B3 S&P B	05508WAA3 CPN 4.625% DUE 06/01/2021 DTD 06/04/2013 BOOK ENTRY ONLY	13,000.000	\$99.000	\$12,870.00 \$50.10	\$12,220.00	\$650.00	\$601.25
CHS / CMNTY HEALTH SYS INC SR NT 6.875% CALLABLE 02/01/20 AT 100.000 CALLABLE 02/01/18 AT 103.438 MOODY B3 S&P B-	12543DAV2 CPN 6.875% DUE 02/01/2022 DTD 10/28/2014 BOOK ENTRY ONLY	14,000.000	\$94.875	\$13,282.50 \$401.04	\$15,120.00	-\$1,837.50	\$962.50
MEDIACOM LLC / MEDIACOM CAP SR NT 7.25% CALLABLE 02/15/20 AT 100.000 CALLABLE 02/15/17 AT 103.625 MOODY B2 S&P B	58445MAP7 CPN 7.250% DUE 02/15/2022 DTD 05/03/2012 BOOK ENTRY ONLY	13,000.000	\$101.000	\$13,130.00 \$356.06	\$13,661.78	-\$531.78	\$942.50
CENTURYLINK INC SR NT SER T ORIGINAL ISSUE DISCOUNT CALLABLE SUBJ MAKE-WHOLE CALL MOODY BAA2 S&P BB	156700A55 CPN 5.800% DUE 03/15/2022 DTD 03/12/2012 BOOK ENTRY ONLY	4,000.000	\$91.650	\$3,666.00 \$68.31	\$4,210.00	-\$544.00	\$232.00
DENBURY RESOURCES INC SENIOR NOTES CALLABLE 05/01/20 AT 100.000 CALLABLE 05/01/17 AT 104.125 MOODY B1 S&P B	247916AD1 CPN 5.500% DUE 05/01/2022 DTD 04/30/2014 BOOK ENTRY ONLY	8,000.000	\$33.198	\$2,655.84 \$73.33	\$7,400.00	-\$4,744.16	\$440.00
AMERIGAS FINANCE CORP CALLABLE 05/20/20 AT 100.000 CALLABLE 05/20/17 AT 103.500 MOODY BAA2 S&P N/A	03077JAB6 CPN 7.000% DUE 05/20/2022 DTD 01/12/2012 BOOK ENTRY ONLY	13,000.000	\$96.750	\$12,577.50 \$103.64	\$13,709.51	-\$1,132.01	\$910.00
CHESAPEAKE MIDSTREAM PARTNERS SR NT 6.125% CALLABLE 01/15/20 AT 100.000 CALLABLE 01/15/17 AT 103.063 MOODY BAA2 S&P BBB-	16524RAE3 CPN 6.125% DUE 07/15/2022 DTD 04/12/2012 BOOK ENTRY ONLY	14,000.000	\$94.597	\$13,243.58 \$395.40	\$14,700.00	-\$1,456.42	\$857.50



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CONSULTING SOLUTIONS ACCOUNT STATEMENT

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TAXABLE FIXED INCOME (continued)									
DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE/ ACCRUED INTEREST	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME		
RANGE RESOURCES CORP SR SUB NOTES CALLABLE 02/15/20 AT 100.000 DUE 08/15/2022 CALLABLE 02/15/17 AT 102.500 DUE 02/24/2012 MOODY BA2 S&P BB+ BOOK ENTRY ONLY	75281AAN9 CPN 5.000% DUE 08/15/2022 DUE 02/24/2012 BOOK ENTRY ONLY	14,000.000	\$74.750	\$10,465.00 \$264.44	\$13,757.26	-\$3,292.26	\$700.00		
CONTINENTAL RESOURCES INC SR NT 5%22 CALLABLE 03/15/20 AT 100.000 DUE 09/15/2022 CALLABLE 03/15/17 AT 102.500 DUE 10/10/2012 MOODY BAA3 S&P BBB- BOOK ENTRY ONLY	212015AH4 CPN 5.000% DUE 09/15/2022 DUE 10/10/2012 BOOK ENTRY ONLY	15,000.000	\$73.750	\$11,062.50 \$220.83	\$14,418.75	-\$3,356.25	\$750.00		
BRISTOW GROUP INC REGISTERED SENIOR NOTES CALLABLE 10/15/20 AT 100.000 DUE 10/15/2022 CALLABLE 10/15/17 AT 103.125 DUE 10/12/2012 MOODY BA3 S&P BB- BOOK ENTRY ONLY	110394AE3 CPN 6.250% DUE 10/15/2022 DUE 10/12/2012 BOOK ENTRY ONLY	16,000.000	\$78.125	\$12,500.00 \$211.11	\$16,029.00	-\$3,529.00	\$1,000.00		
HERTZ CORP SR NT 6.25%22 CALLABLE 10/15/20 AT 100.000 DUE 10/15/2022 CALLABLE 10/15/17 AT 103.125 MOODY B2 S&P B BOOK ENTRY ONLY	428040CN7 CPN 6.250% DUE 10/15/2022 DUE 03/05/2013 BOOK ENTRY ONLY	12,000.000	\$103.500	\$12,420.00 \$158.33	\$12,389.85	\$30.15	\$750.00		
SPECTRUM BRANDS INC SR NT CALLABLE 11/15/20 AT 100.000 DUE 11/15/2022 CALLABLE 11/15/17 AT 103.313 MOODY B2 S&P B BOOK ENTRY ONLY	84762LAQ8 CPN 6.625% DUE 11/15/2022 DUE 01/24/2014 BOOK ENTRY ONLY	13,000.000	\$105.500	\$13,715.00 \$110.05	\$13,788.95	-\$73.95	\$861.25		
US AWAYS INC FACTOR = .87265607 CURRENT BALANCE = 6,109 MOODY BA1 S&P BBB- BOOK ENTRY ONLY	90345WAE4 CPN 6.750% DUE 12/03/2022 DUE 12/03/2012 BOOK ENTRY ONLY	7,000.000	\$105.125	\$6,421.66 \$32.07	\$6,643.09	-\$221.43	\$412.33		
CROWN CASTLE INTL CORP CALLABLE SUBJ MAKE-WHOLE CALL MOODY BA3 S&P BB+ BOOK ENTRY ONLY	228227BD5 CPN 5.250% DUE 01/15/2023 DUE 01/30/2013 BOOK ENTRY ONLY	13,000.000	\$105.125	\$13,666.25 \$314.71	\$13,006.41	\$659.84	\$682.50		
CCO HLDGS LLC/CAP CORP REGISTERED SENIOR NOTES CALLABLE 02/15/21 AT 100.000 DUE 02/15/2023 CALLABLE 02/15/18 AT 102.563 DUE 12/17/2012 MOODY B1 S&P BB- BOOK ENTRY ONLY	1248EPAZ6 CPN 5.125% DUE 02/15/2023 DUE 12/17/2012 BOOK ENTRY ONLY	13,000.000	\$100.125	\$13,016.25 \$251.69	\$12,192.00	\$824.25	\$666.25		



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CONSULTING SOLUTIONS ACCOUNT STATEMENT

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TAXABLE FIXED INCOME

(continued)

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE/ ACCRUED INTEREST	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
CHESAPEAKE ENERGY CORP SENIOR NOTES CALLABLE SUBJ MAKE-WHOLE CALL MOODY B3 S&P CCC+	1651677CL9 CPN 5 750% DUE 03/15/2023 DTD 04/01/2013 BOOK ENTRY ONLY	13,000.000	\$29.000	\$3,770.00 \$220.10	\$13,710.22	-\$9,940.22	\$747.50
NRG ENERGY INC CALLABLE 09/15/20 AT 100.000 CALLABLE 09/15/17 AT 103.313 MOODY B1 S&P BB-	6293778U5 CPN 6 625% DUE 03/15/2023 DTD 06/20/2013 BOOK ENTRY ONLY	15,000.000	\$86.750	\$13,012.50 \$292.60	\$15,440.99	-\$2,428.49	\$993.75
AVIS BUDGET CAR RENT LLC /AVIS SR NT CALLABLE 04/01/21 AT 100.000 CALLABLE 04/01/18 AT 102.750 MOODY B1 S&P B+	053773AV9 CPN 5.500% DUE 04/01/2023 DTD 07/02/2013 BOOK ENTRY ONLY	14,000.000	\$100.250	\$14,035.00 \$192.50	\$13,755.00	\$280.00	\$770.00
EQUINIX INC SENIOR NOTES CALLABLE 04/01/21 AT 100.000 CALLABLE 04/01/18 AT 102.688 MOODY B1 S&P BB	29444UAM8 CPN 5 375% DUE 04/01/2023 DTD 02/28/2013 BOOK ENTRY ONLY	10,000.000	\$102.000	\$10,200.00 \$134.38	\$10,250.00	-\$50.00	\$537.50
SABINE PASS LIQUEFACTION LLC SR SEC2 NT 23 CALLABLE 01/15/23 AT 100.000 MOODY BA3 S&P BB+	785592AD8 CPN 5.625% DUE 04/15/2023 DTD 03/17/2014 BOOK ENTRY ONLY	14,000.000	\$87.750	\$12,285.00 \$166.25	\$14,280.00	-\$1,995.00	\$787.50
DENBURY RESOURCES INC REGISTERED SENIOR SUBORDINATED NOTES CALLABLE 01/15/21 AT 100.000 CALLABLE 01/15/18 AT 102.313 MOODY B1 S&P B	24823UAH1 CPN 4 625% DUE 07/15/2023 DTD 02/05/2013 BOOK ENTRY ONLY	9,000.000	\$32.188	\$2,896.92 \$191.94	\$8,626.25	-\$5,729.33	\$416.25
HAWAIIAN AIRL SER 2013-1 CL B FACTOR = .92891597 CURRENT BALANCE = 929 MOODY BA3 S&P BB	419839AA3 CPN 4 950% DUE 07/15/2023 DTD 05/29/2013 BOOK ENTRY ONLY	1,000.000	\$97.625	\$906.85 \$21.20	\$1,000.00	-\$93.15	\$45.98
SUBURBAN PROPANE PARTNERS L P /SUBN ENERGY FIN CORP CALLABLE 06/01/22 AT 100.000 CALLABLE 06/01/19 AT 102.750 MOODY BA3 S&P BB-	864486AH8 CPN 5 500% DUE 06/01/2024 DTD 05/27/2014 BOOK ENTRY ONLY	14,000.000	\$80.500	\$11,270.00 \$64.17	\$13,860.00	-\$2,590.00	\$770.00



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CONSULTING SOLUTIONS ACCOUNT STATEMENT

DECEMBER 1, 2015 - DECEMBER 31, 2015

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TAXABLE FIXED INCOME

(continued)

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE/ ACCRUED INTEREST	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
IRON MOUNTAIN INCORPORATED SENIOR SUBORDINATED NOTES DUE 08/15/2024 AT 100.000 CALLABLE 08/15/17 AT 102.875 MOODY B2 S&P B- BOOK ENTRY ONLY	46284PAP9 CPN 5.750% DUE 08/15/2024 DTD 08/10/2012 BOOK ENTRY ONLY	14,000.000	\$96.500	\$13,510.00 \$304.11	\$13,841.43	-\$331.43	\$805.00
HEALTHSOUTH CORP SR UNSECURED CALLABLE 11/01/20 AT 100.000 CALLABLE 11/01/17 AT 102.875 MOODY B1 S&P B+ BOOK ENTRY ONLY	421924BK6 CPN 5.750% DUE 11/01/2024 DTD 09/11/2012 BOOK ENTRY ONLY	14,000.000	\$95.375	\$13,352.50 \$134.17	\$14,208.29	-\$855.79	\$805.00
UNITED RENTALS NORTH AMER INC SENIOR NOTES CALLABLE 05/15/22 AT 100.000 CALLABLE 05/15/19 AT 102.875 MOODY B1 S&P BB- BOOK ENTRY ONLY	9113658B9 CPN 5.750% DUE 11/15/2024 DTD 03/26/2014 BOOK ENTRY ONLY	7,000.000	\$99.000	\$6,930.00 \$51.43	\$7,000.00	-\$70.00	\$402.50
PENSKE AUTOMOTIVE GROUP INC SENIOR NOTES CALLABLE 12/01/22 AT 100.000 CALLABLE 12/01/19 AT 102.688 MOODY B1 S&P B+ BOOK ENTRY ONLY	70959WAF0 CPN 5.375% DUE 12/01/2024 DTD 11/21/2014 BOOK ENTRY ONLY	13,000.000	\$101.000	\$13,130.00 \$58.23	\$12,992.50	\$137.50	\$698.75
CALPINE CORP SENIOR NOTES CALLABLE 10/15/22 AT 100.000 CALLABLE 10/15/19 AT 102.875 MOODY B3 S&P B BOOK ENTRY ONLY	131347CF1 CPN 5.750% DUE 01/15/2025 DTD 07/22/2014 BOOK ENTRY ONLY	15,000.000	\$88.250	\$13,237.50 \$182.08	\$15,112.50	-\$1,875.00	\$862.50
HCA INC SR NT CALLABLE SUBJ MAKE-WHOLE CALL MOODY B1 S&P B+ BOOK ENTRY ONLY	404119BR9 CPN 5.375% DUE 02/01/2025 DTD 01/16/2015 BOOK ENTRY ONLY	15,000.000	\$98.750	\$14,812.50 \$335.94	\$15,000.00	-\$187.50	\$806.25
REGAL ENTERTAINMENT GRP REGISTERED SENIOR NOTES CALLABLE 02/01/21 AT 100.000 CALLABLE 02/01/18 AT 102.875 MOODY B3 S&P B- BOOK ENTRY ONLY	758766AF6 CPN 5.750% DUE 02/01/2025 DTD 01/17/2013 BOOK ENTRY ONLY	14,000.000	\$96.500	\$13,510.00 \$335.42	\$13,860.00	-\$350.00	\$805.00
SPRINT CORP CALLABLE 11/15/24 AT 100.000 MOODY CAA1 S&P B+ BOOK ENTRY ONLY	85207UAJ4 CPN 7.625% DUE 02/15/2025 DTD 02/24/2015 BOOK ENTRY ONLY	14,000.000	\$73.000	\$10,220.00 \$403.28	\$14,033.04	-\$3,813.04	\$1,067.50



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TAXABLE FIXED INCOME

(continued)

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE/ ACCRUED INTEREST	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
AES CORP ORIGINAL ISSUE DISCOUNT CALLABLE 04/15/23 AT 100.000 DUE 04/15/2025 DTD 04/06/2015 MOODY BA3 S&P BB- BOOK ENTRY ONLY	00130HBW4 CPN 5.500% DUE 04/15/2025 DTD 04/06/2015 BOOK ENTRY ONLY	16,000.000	\$88.250	\$14,120.00 \$185.78	\$15,880.00	-\$1,760.00	\$880.00
DAVITA HEALTHCARE PARTNERS INC SENIOR NOTES CALLABLE 05/01/23 AT 100.000 DUE 05/01/2025 DTD 04/17/2015 MOODY B1 S&P B+ BOOK ENTRY ONLY	23918KAR9 CPN 5.000% DUE 05/01/2025 DTD 04/17/2015 BOOK ENTRY ONLY	14,000.000	\$96.500	\$13,510.00 \$116.67	\$13,825.00	-\$315.00	\$700.00
PEABODY ENERGY CORP SR NT CALLABLE SUBJ MAKE-WHOLE CALL MOODY CA S&P B- BOOK ENTRY ONLY	704549AF1 CPN 7.875% DUE 11/01/2026 DTD 10/12/2006 BOOK ENTRY ONLY	15,000.000	\$13.750	\$2,062.50 \$196.88	\$15,862.07	-\$13,799.57	\$1,181.25
SERVICE CORP INTL SR NT 7.5%27 CALLABLE SUBJ MAKE-WHOLE CALL MOODY BA3 S&P BB BOOK ENTRY ONLY	817565BT0 CPN 7.500% DUE 04/01/2027 DTD 08/08/2007 BOOK ENTRY ONLY	12,000.000	\$116.000	\$13,920.00 \$225.00	\$13,905.27	\$14.73	\$900.00
ROYAL CARIBBEAN CRUISES LTD SR NOTE ORIGINAL ISSUE DISCOUNT MOODY BA1 S&P BB+ BOOK ENTRY ONLY	780153AG7 CPN 7.500% DUE 10/15/2027 DTD 10/14/1997 BOOK ENTRY ONLY	12,000.000	\$113.750	\$13,650.00 \$190.00	\$13,740.00	-\$90.00	\$900.00
MIRANT AMERICAS SENIOR NOTES ORIGINAL ISSUE DISCOUNT CALLABLE SUBJ MAKE-WHOLE CALL MOODY CAA1 S&P B- BOOK ENTRY ONLY	60467PAJ3 CPN 9.125% DUE 05/01/2031 DTD 09/19/2001 BOOK ENTRY ONLY	12,000.000	\$69.250	\$8,310.00 \$182.50	\$13,167.71	-\$4,857.71	\$1,095.00



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DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE/ ACCRUED INTEREST	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
CITIZENS COMMUNICATIONS CO SENIOR NOTE ORIGINAL ISSUE DISCOUNT DUE 08/15/2031 CALLABLE SUBJ MAKE-WHOLE CALL DTD 02/06/2002 MOODY BAA3 S&P BB- BOOK ENTRY ONLY	17453BAJ0	14,000.000	\$84.000	\$11,760.00 \$476.00	\$14,887.45	-\$3,127.45	\$1,260.00
U S WEST COMMUNICATIONS INC DEB CPN 6.875% DUE 09/15/2033 ORIGINAL ISSUE DISCOUNT DUE 09/21/1993 CALLABLE 09/15/23 AT 100.000 CALLABLE 01/31/16 AT 100.781 MOODY BAA3 S&P BBB-	912920AC9	10,000.000	\$95.960	\$9,596.00 \$202.43	\$10,085.07	-\$489.07	\$687.50
TOTAL TAXABLE FIXED INCOME		526,000.000		\$456,485.73	\$535,330.38	-\$78,844.65	\$32,257.81
ESTIMATED ACCRUED BOND INTEREST				\$8,630.05			

ACTIVITY DETAIL

Realized gain/loss column includes fees and commissions. It does not include accrued interest.

Purchases, sales and other activity all represent an exchange of cash and/or money market funds for securities and, as such, do not represent deposits to or withdrawals from your account. Account value changes due to commissions, mark ups, mark downs and accrued interest are shown in the "Change in value of pooled securities" line of the Account Value Summary.

* Information that appears in these columns may be based on information provided by you or at your direction. RBC has not verified such data.
Please see "About Your Statement" on page 2 for further information.

PURCHASES

Regular Purchases

DATE	DESCRIPTION	QUANTITY	PRICE	ACCRUED INTEREST	NET COST/ ACCRUED INTEREST	COMMENTS
12/22/15	HERTZ CORP SR NT 6.25%22 DUE 10/15/2022 06.250% AO 15 SOLD PURSUANT TO REGISTRATION STATEMENT/PROSPECTUS AVAILABLE GO TO WWW.SEC.GOV/EDGAR.SHTML OR BROKER FOR FINAL PROSPECTUS	12,000.000	\$103.250	-\$12,542.08 \$152.08		
TOTAL PURCHASES				-\$12,542.08 \$152.08		
Total accrued bond interest						



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ASSET ALLOCATION SUMMARY

	CURRENT VALUE	PERCENT
☐ RBC Bank Deposit Program	\$64,106.67	10%
☒ Taxable fixed income	554,871.74	90%
Total	\$618,978.41	100%
Cash and money market	-254.71	
Current account value	\$618,723.70	
Unrealized G/L	<85,380.12>	
COST BASIS	593,343.58	

Mutual funds are included in the above categories. Funds that invest in more than one category are reported as "Mixed Assets".
The cash and money market figure is net of debits including any RBC Express Credit (margin) debit, if applicable.
Deposits in the RBC Bank Deposit Program are not covered by SIPC. Please see Asset Detail beginning on page 4 for more information.

INVESTMENT OBJECTIVE / PROFILE AND RISK TOLERANCE

The investment objective for this account is Balanced Growth
The risk tolerance for this account is Moderate Risk
The advisory risk profile for this account is Profile 3
Please see "About Your Investment Objective / Profile and Risk Tolerance" on page 2 for further information.

GAIN/LOSS SUMMARY

	THIS PERIOD	THIS YEAR
Total realized gain or loss	\$151.85	-\$328.30
Short-term gain or loss	0.00	37.23
Long-term gain or loss	151.85	-365.53
	AS OF DECEMBER 31, 2015	
Unrealized gain or loss		\$25,380.12

Please see "About Your Statement" on page 2 for further information.

ACTIVITY SUMMARY

Total account value last statement		\$610,530.15
Cash activity		
Beginning balance	60,270.05	
Money coming into your account		
Sales proceeds/redemptions	3,969.28	
Interest	642.26	
Dividends	4,212.77	
Total	8,824.31	
Money going out of your account		
Funds to purchase securities	-5,242.40	
Total	-5,242.40	
Ending balance	63,851.96	
Net change cash activity		\$3,581.91
Change in security value		
Beginning value of priced securities	550,260.10	
Securities purchased	5,242.40	
Securities sold/redeemed	-3,969.28	
Change in value of priced securities	3,338.52	
Ending value of priced securities	554,871.74	
Net change in securities value		\$4,611.64
Total account value as of December 31, 2015		\$618,723.70

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ASSET DETAIL

The Estimated Annualized Income ("EAI") for certain securities could include a return of principal or capital gains, in which case EAI depicted on this account statement would be overstated. EAI is only an estimate of income generated by the investment and the actual income may be higher or lower. In the event the investment matures, is sold or called, the full EAI may not be realized.

* The Unrealized Gain/Loss may not reflect your investments' total return. Specifically, the net cost may include dividend and capital gains distributions which have been reinvested. Additionally, the information that appears in these columns may be based on information provided by you or at your direction. RBC has not verified such data. Please see "About Your Statement" on page 2 for further information.

Your Financial Advisor has elected to display Asset Detail with the following options: asset purchases (tax lots) consolidated

RBC BANK DEPOSIT PROGRAM

Deposits in the RBC Bank Deposit Program, with the exception of amounts classified as "Pending Deposits," are held by the Program Banks, not RBC Capital Markets. They are not covered by SIPC. Balances classified as "Pending Deposits" are scheduled to be deposited in the Program Banks on the business day following the statement date, and thus, these deposits are covered by SIPC until such time as they are deposited in the Program Banks. Deposit information is included on this statement solely as a service to our clients. All balance and transaction information is provided by the Program Banks. RBC Capital Markets is not responsible for the accuracy of this information. Deposits are insured by the Federal Deposit Insurance Corporation (FDIC) up to \$250,000 per depositor at each bank subject to certain limitations. **This means that your funds in excess of \$250,000 in the same insurable capacity at any single Program Bank are not insured.** FDIC insurance coverage amounts are subject to the combined total of all deposits at a specific Program Bank including deposits held by the Program Bank outside of this account. Please refer to the RBC Bank Deposit Program Disclosure Statement and our website at www.rbc.com/connect.com for more details, including the current aggregate FDIC deposit insurance amount available through the Program. For questions concerning bank balances, please contact your Financial Advisor.

DESCRIPTION	BANK BALANCE	CURRENT BALANCE	PREVIOUS STATEMENT BALANCE	YTD INCOME
RBC BANK DEPOSIT PROGRAM NOT SIPC COVERED		\$64,106.67	\$61,392.01	\$3.43

DEPOSITS ARE HELD AT

Associated Bank NA

Green Bay, WI

TOTAL RBC BANK DEPOSIT PROGRAM

CASH AND MONEY MARKET

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	CURRENT MARKET VALUE	PREVIOUS STATEMENT MARKET VALUE	YTD INCOME
CASH				-\$254.71		
TOTAL CASH AND MONEY MARKET				-\$254.71		

TAXABLE FIXED INCOME

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE/ ACCRUED INTEREST	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
AEON N V PERP CAP SECS FLTG RATE CALLABLE 03/15/16 AT 25.00 MOODY BAA1 S&P BBB	AEB	126.000	\$24.133	\$3,040.77	\$2,948.10	\$92.67	\$127.39
AEON N V 6.375% PERP CAPITAL SECURITIES CALLABLE 01/31/16 AT 25.00 MOODY BAA1 S&P BBB	AEH	283.000	\$25.780	\$7,295.74	\$7,167.35	\$128.39	\$451.10



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DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE/ ACCRUED INTEREST	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
AEGON N V 8% NON CUMULATIVE SUBORDINATED NOTES DUE 2042 CALLABLE 08/15/17 AT 25.00 MOODY BAA1 S&P BBB	AEK	140.000	\$27.540	\$3,855.60	\$3,892.61	-\$37.01	\$280.00
AMERICAN FINANCIAL GROUP INC 5 3/4% SENIOR NOTES DUE 2042 CALLABLE 08/25/17 AT 25.00 MOODY BAA1 S&P BBB+	AFA	59.000	\$25.860	\$1,525.74	\$1,497.63	\$28.11	\$84.84
AMERICAN FINANCIAL GROUP INC SUBORDINATED DEBENTURES DUE 2054 CALLABLE 09/30/19 AT 25.00 MOODY BAA2 S&P BBB-	AFGE	79.000	\$26.050	\$2,057.95	\$2,000.44	\$57.51	\$123.48
AFLAC INCORPORATED 5.50% SUBORDINATED DEBENTURES DUE 2052 CALLABLE 09/26/17 AT 25.00 MOODY BAA1 S&P BBB	AFSD	179.000	\$25.840	\$4,625.36	\$4,239.30	\$386.06	\$246.13
AMERICAN FINANCIAL GROUP INC SR NT 6.375 DUE 2042 CALLABLE 06/12/17 AT 25.00 MOODY BAA1 S&P BBB+	AFW	48.000	\$26.060	\$1,250.88	\$1,243.70	\$7.18	\$76.51
ALLSTATE CORPORATION (THE) 5.625% DEP SHS REPSTG 1/1000TH SHS NON CUM PERP PFD SHS SER A CALLABLE 06/15/18 AT 25.00 MOODY BAA3 S&P BBB-	ALLPRA	54.000	\$26.044	\$1,406.35	\$1,315.12	\$91.23	\$75.92
ALLSTATE CORP 5 100% FIXED TO FLOATING RATE SUBORDINATED DEBENTURES CALLABLE 01/15/23 AT 25.00 MOODY BAA1 S&P BBB	ALLPRB	22.000	\$24.690	\$543.18	\$551.14	-\$7.96	\$28.05
ALLSTATE CORPORATION (THE) 6.75% DEP SHS REPSTG 1/1000TH SHS PFD STK SER C CALLABLE 10/15/18 AT 25.00 MOODY BAA3 S&P BBB-	ALLPRC	104.000	\$27.650	\$2,875.60	\$2,657.81	\$217.79	\$175.55



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TAXABLE FIXED INCOME

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DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE/ ACCRUED INTEREST	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
ALLSTATE CORPORATION DEPOSITARY SHARES REPRESENTING 1/1000TH PER PFD SERIES E CALLABLE 04/15/19 AT 25.00 MOODY BAA3 S&P BBB-	ALLPRE	183.000	\$27.750	\$5,078.25	\$4,701.69	\$376.56	\$303.05
ALLSTATE CORPORATION 6.25% LEOPARDS DEP SHS REPSTG 1/1000TH PERP PFD SERIES F CALLABLE 10/15/19 AT 25.00 MOODY BAA3 S&P BBB-	ALLPRF	55.000	\$26.820	\$1,475.10	\$1,376.54	\$98.56	\$85.94
ALEXANDRIA REAL ESTATE EQUITIE 6.45% SERIES E CUMULATIVE REDEEMABLE PREFERRED STOCK CALLABLE 03/15/17 AT 25.00 MOODY BAA3 S&P BB	AREPRE	50.000	\$25.700	\$1,285.01	\$1,291.01	-\$6.00	\$80.60
ARCH CAPITAL GROUP LTD 6.75% NON CUM PFD SHS SER C CALLABLE 04/02/17 AT 25.00 MOODY BAA2 S&P BBB	ARHPRC	192.000	\$26.890	\$5,162.88	\$5,018.51	\$144.37	\$324.10
AXIS CAPITAL HOLDINGS LIMITED PFD SER C CALLABLE 04/15/17 AT 25.00 MOODY BAA3 S&P BBB	AXSPRC	192.000	\$26.820	\$5,149.44	\$5,083.74	\$65.70	\$330.05
AXIS CAPITAL HOLDINGS LTD 5.5% SERIES D PERPETUAL PFD SHARES CALLABLE 06/01/18 AT 25.00 MOODY BAA3 S&P BBB	AXSPRD	122.000	\$25.280	\$3,084.16	\$2,721.61	\$362.55	\$167.75
BANK OF AMERICA CORP 6.204% PFD SER D CALLABLE 03/14/16 AT 25.00 MOODY BA2 S&P BB+	BACPRD	169.000	\$25.830	\$4,365.27	\$4,219.30	\$145.97	\$262.12
BANK OF AMERICA CORPORATION DEP SH REPSTG 1/1000TH 6.6250% SER I PFD CALLABLE 10/01/17 AT 25.00 MOODY BA2 S&P BB+	BACPRI	120.000	\$26.760	\$3,211.20	\$3,209.42	\$1.78	\$198.72



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DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE/ ACCRUED INTEREST	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
BANK OF AMERICA CORPORATION DEP SHS REPSTG 1/1000TH INT IN SHARE OF 6.625% NON CUM PRFD W CALLABLE 09/09/19 AT 25.00 MOODY BAA2 S&P BB+	BACPRW	372.000	\$26.740	\$9,947.28	\$9,383.34	\$563.94	\$616.11
BANK OF AMERICA CORPORATION 6.5% SHS REPSTG 1/1000TH INT NON CUM PRFD STK SER Y CALLABLE 01/27/20 AT 25.00 MOODY BAA2 S&P BB+	BACPRY	387.000	\$26.720	\$10,340.64	\$9,747.41	\$593.23	\$628.88
BB&T CORPORATION 5.85% SERIES D NON CUMULATIVE PERPETUAL PFD STOCK CALLABLE 05/01/17 AT 25.00 MOODY BAA1 S&P BBB-	BBTPRD	153.000	\$26.420	\$4,042.26	\$3,816.17	\$226.09	\$223.69
BB&T CORPORATION PERPETUAL PREFERRED SERIES E 5.625% DEP SHS REPSTG 1/1000TH CALLABLE 08/01/17 AT 25.00 MOODY BAA1 S&P BBB-	BBTPRE	359.000	\$26.109	\$9,373.20	\$8,510.74	\$862.46	\$504.75
BB&T CORP DEP SHS REPSTG 1/1000 INT SER F PERPETUAL PFD CALLABLE 11/01/17 AT 25.00 MOODY BAA1 S&P BBB-	BBTPRF	60.000	\$25.100	\$1,506.00	\$1,326.58	\$179.42	\$78.00
BB&T CORPORATION 5.20% DEP SHS RESTG 1/1000 SHS NON CUMULATIVE PERP PFD SER G CALLABLE 06/01/18 AT 25.00 MOODY BAA1 S&P BBB-	BBTPRG	181.000	\$25.250	\$4,570.25	\$4,231.30	\$338.95	\$235.30
BARCLAYS BK PLC 6.625% NON CUM PRFD ADR SER 2 CALLABLE 03/15/16 AT 25.00 MOODY BAA2 S&P BB	BCSPR	197.000	\$25.880	\$5,098.36	\$4,927.55	\$170.81	\$326.23
BARCLAYS BK PLC ADR PFD SHS SER 3 DIVD - 7.10% SER 3 CALLABLE 03/15/16 AT 25.00 MOODY BAA2 S&P N/R	BCSPRA	42.000	\$25.970	\$1,090.74	\$1,052.31	\$38.43	\$74.55

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DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE/ ACCRUED INTEREST	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
BANK OF NEW YORK MELLON CORP ON THE DEP SHS 5.2% PFD REPTG 1/4000TH PERP SER C CALLABLE 09/20/17 AT 25.00 MOODY BAA1 S&P BBB	BKPRC	351.000	\$25.820	\$9,062.82	\$8,326.98	\$735.84	\$456.30
BANK OF AMERICA CORP DEP SHS REPSTG 1/1200TH 6.375% NON CUMULATIVE PFD SER 3 CALLABLE 01/31/16 AT 25.00 MOODY BAA2 S&P BB+	BMLPRI	90.000	\$25.666	\$2,309.90	\$2,239.43	\$70.47	\$143.46
COMCAST CORPORATION 5.00% SENIOR NOTES DUE 2061 CALLABLE 12/15/17 AT 25.00 MOODY A3 S&P A-	CCV	104.000	\$25.660	\$2,668.64	\$2,586.42	\$82.22	\$130.00
CAPITAL ONE FINL CORP 6.25% DEPOSITARY SHS REPSTG 1/40TH INT PERP PFD SER C CALLABLE 09/01/19 AT 25.00 MOODY BAA3 S&P BB	COFPRC	184.000	\$26.454	\$4,867.44	\$4,547.94	\$319.50	\$287.59
CAPITAL ONE FINANCIAL CORP 6.70% PFD SER D NON CUM PERP CALLABLE 12/01/19 AT 25.00 MOODY BAA3 S&P BB	COFPRD	292.000	\$27.550	\$8,044.60	\$7,627.48	\$417.12	\$489.10
CAPITAL ONE FINANCIAL CORPORATION DEP SHS ECH REPSTG 1/40TH INT NON CUM PERP PFD F CALLABLE 12/01/20 AT 25.00 MOODY BAA3 S&P BB	COFPRF	67.000	\$26.290	\$1,761.43	\$1,671.65	\$89.78	\$103.85
CAPITAL ONE FINANCIAL CORPORATION DEPOSITARY SHARES EACH REPRESENTING 1/40TH INTRST CALLABLE 09/01/17 AT 25.00 MOODY BAA3 S&P BB	COFPRP	389.000	\$25.910	\$10,078.99	\$9,478.74	\$600.25	\$583.50
CITIGROUP INC 5.8% DEP SHS REPSTG 1/1000 PFD SER C NON CUMULATIVE CALLABLE 04/22/18 AT 25.00 MOODY BAA2 S&P BB+	CPRC	185.000	\$25.950	\$4,800.75	\$4,421.29	\$379.46	\$268.25



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DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE/ ACCRUED INTEREST	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
CITIGROUP INC 7 125% DEP SHS REPSTG 1/1000 INT FXD TO FLTG NON CUM PFD J CALLABLE 09/30/23 AT 25 00 MOODY BAA2 S&P BB+	CPRJ	138 000	\$28 010	\$3,865 38	\$3,605 13	\$260 25	\$245 78
CITIGROUP INC 6 875% SER K DEP SHS REPSTG 1/1000TH INT FXD TO FLTG 4 13% CALLABLE 11/15/23 AT 25.00 MOODY BAA2 S&P BB+	CPRK	384 000	\$27 860	\$10,698.24	\$10,017.81	\$680 43	\$659.98
CITIGROUP INC 6 875% DEP SH REPSTG 1/1000 INT SHS NON CUM PFD SER L CALLABLE 02/12/19 AT 25 00 MOODY BAA2 S&P BB+	CPRL	200.000	\$27.471	\$5,494.20	\$5,190.36	\$303 84	\$343 80
QWEST CORP 7.375% NOTES DUE 2051 CALLABLE 06/01/16 AT 25 00 MOODY BAA3 S&P BBB-	CTQ	176 000	\$25.430	\$4,475.68	\$4,611.39	-\$135 71	\$324 54
QWEST CORPORATION 7 00% SR NOTES DUE 2052 CALLABLE 07/01/17 AT 25.00 MOODY BAA3 S&P BBB-	CTU	48.000	\$25.980	\$1,247.04	\$1,250.44	-\$3 40	\$84 00
QWEST CORP PFD SER A 7.500% NTS DUE 2051 CALLABLE 09/15/16 AT 25.00 MOODY N/R S&P BBB-	CTW	157.000	\$25.660	\$4,028.62	\$4,179 33	-\$150.71	\$294.38
QWEST CORPORATION PFD 7 00% SR NOTES DUE 2052 CALLABLE 04/01/17 AT 25.00 MOODY BAA3 S&P BBB-	CTX	70.000	\$25.680	\$1,797.60	\$1,812.62	-\$15 02	\$122 50
DIGITAL REALTY TRUST INC 7 % SERIES E CUMULATIVE REDEEMABLE PFD CALLABLE 09/15/16 AT 25 00 MOODY BAA3 S&P BB+	DLRPRE	65 000	\$25 830	\$1,678 95	\$1,648.49	\$30 46	\$113 75
DIGITAL REALTY TRUST INC 6 625% SER F CUMULATIVE REDEEMABLE PFD STOCK CALLABLE 04/05/17 AT 25 00 MOODY BAA3 S&P BB+	DLRPF	49 000	\$26 160	\$1,281.84	\$1,253 73	\$28.11	\$81.14



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DIGITAL REALTY DATA CENTER SOLUTIONS 5.875% SERIES C CUMULATIVE REDEEMABLE PFD CALLABLE 04/09/18 AT 25.00	DLRPRG	53.000	\$24.950	\$1,322.35	\$1,252.90	\$69.45	\$77.86
MOODY BAA3 S&P BB+							
DIGITAL REALTY TRUST INC 7.375% SER H CUMULATIVE REDEEMABLE PREFERRED STOCK CALLABLE 03/26/19 AT 25.00	DLRPRH	67.000	\$26.940	\$1,804.98	\$1,693.98	\$111.00	\$123.55
MOODY BAA3 S&P BB+							
DTE ENERGY COMPANY 2012 SERIES C 5.25% JUNIOR SUBORDINATED DEBENTURES CALLABLE 12/01/17 AT 25.00	DTQ	30.000	\$24.810	\$744.30	\$739.60	\$4.70	\$39.39
MOODY BAA1 S&P BBB-							
DTE ENERGY CO 6.50% JR SUB-ORD DEB DUE 2061 CALLABLE 12/01/16 AT 25.00	DTZ	45.000	\$26.550	\$1,194.75	\$1,193.18	\$1.57	\$73.13
MOODY BAA1 S&P BBB-							
DUKE ENERGY CORPORATION 5.125% JUNIOR SUBORDINATED DEBENTURES CALLABLE 01/15/18 AT 25.00	DUKH	85.000	\$25.660	\$2,181.10	\$2,043.39	\$137.71	\$108.89
MOODY BAA1 S&P BB8							
DEUTSCHE BK CONTINGENT CAP TR TR PFD SEC SER 6.55% CALLABLE 05/23/17 AT 25.00	DXB	390.000	\$25.660	\$10,007.40	\$10,110.40	-\$103.00	\$638.43
MOODY BA3 S&P BB-							
ENERGY ARK INC 1ST MTG BD 5.75% PFD CALLABLE 01/31/16 AT 25.00	EAA	52.000	\$25.610	\$1,331.72	\$1,350.33	-\$18.61	\$74.78
MOODY A3 S&P A-							
ENERGY ARK INC 1ST MTG BD CALLABLE 12/01/17 AT 25.00	EAB	33.000	\$25.000	\$825.00	\$781.20	\$43.80	\$40.43
MOODY A3 S&P A-							
ENERGY ARK INC 4.75% FIRST MORTGAGE BONDS DUE 2063 CALLABLE 06/01/18 AT 25.00	EAE	31.000	\$24.660	\$764.46	\$730.50	\$33.96	\$36.83
MOODY A3 S&P A-							



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ENTERGY LOUISIANA LLC FIRST MORTGAGE BONDS 5.875% SERIES DUE JUNE 15 2041 CALLABLE 01/31/16 AT 25.00 MOODY A2 S&P A-	ELA	27.000	\$25.290	\$682.83	\$709.71	-\$26.88	\$39.66
ENTERGY LOUISIANA LLC FIRST MORTGAGE BONDS 6.0% SERIES DUE MARCH 15 2040 CALLABLE 01/31/16 AT 25.00 MOODY A2 S&P A-	ELB	75.000	\$25.290	\$1,896.75	\$1,897.04	-\$0.29	\$112.50
ENTERGY MISS INC 1ST MTG BD 6% PFD CALLABLE 05/01/16 AT 25.00 MOODY A3 S&P A-	EMZ	54.000	\$25.970	\$1,402.38	\$1,406.02	-\$3.64	\$81.00
GENERAL ELECTRIC CAPITAL CORP 4.875% NOTES DUE 10/15/2052 PFD	GEB	241.000	\$25.680	\$6,188.88	\$5,864.00	\$324.88	\$293.78
CALLABLE 10/15/17 AT 25.00 MOODY A1 S&P AA+	GEH	237.000	\$25.680	\$6,086.16	\$5,753.74	\$332.42	\$288.83
GENERAL ELECTRIC CAPITAL CORP 4.875% GLOBAL MEDIUM TERM NTS SER A DUE 2053	GEK	241.000	\$25.470	\$6,138.27	\$5,765.21	\$373.06	\$283.18
CALLABLE 01/29/18 AT 25.00 MOODY A1 S&P AA+	GSJ	288.000	\$26.300	\$7,574.40	\$7,662.87	-\$88.47	\$468.00
GENERAL ELECTRIC CAPITAL CORP 4.70% GLOBAL MEDIUM TERM NOTES SER A DUE 2053	GSPRI	104.000	\$26.190	\$2,723.76	\$2,623.73	\$100.03	\$154.65
CALLABLE 05/16/18 AT 25.00 MOODY A1 S&P AA+							
GOLDMAN SACHS GROUP INC 6.5% NOTES DUE 11/01/61 CALLABLE 11/01/16 AT 25.00 MOODY A3 S&P B88+							
GOLDMAN SACHS GROUP INC (THE) 5.95% PERPETUAL PFD SER I CALLABLE 11/10/17 AT 25.00 MOODY BA1 S&P B8							

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DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE/ ACCRUED INTEREST					
GOLDMAN SACHS GROUP INC 5 5% DEP REP 1/1000TH SHS NON CUM PFD SER J FIXED TO FLTG RATE CALLABLE 05/10/23 AT 25 00 MOODY BAA1 S&P BB	GSPRJ	404.000	\$25.890	\$10,459.56			\$9,795.76	\$663.80	\$555.50
GOLDMAN SACHS GROUP INC 6 375% DEP SH RP 1/1000 INT SH FXD FLTG NON CUM PFD STK SER K CALLABLE 05/10/24 AT 25.00 MOODY BAA1 S&P BB	GSPRK	204.000	\$27.790	\$5,669.16			\$5,184.82	\$484.34	\$325.18
WELLTOWER INC 6.50% SERIES J CUMULATIVE REDEEMABLE PREFERRED STOCK CALLABLE 03/07/17 AT 25.00 MOODY BAA3 S&P BB+	HCNPRJ	95.000	\$25.859	\$2,456.59			\$2,485.71	-\$29.12	\$154.38
HARTFORD FINL SVCS GROUP INC DEL JR SUB DEB FIXED TO FLTG 7.8750% CALLABLE 04/15/22 AT 25.00 MOODY BAA3 S&P BBB-	HGH	121.000	\$31.600	\$3,823.60			\$3,537.97	\$285.63	\$238.25
HOSPITALITY PROPERTIES TRUST 7.125% SERIES D CUMULATIVE REDEEMABLE PREFERRED SHS CALLABLE 01/15/17 AT 25.00 MOODY BAA3 S&P BB	HPTPRD	150.000	\$25.850	\$3,877.50			\$3,919.79	-\$42.29	\$267.15
HSBC HOLDINGS PLC ADS 6.20% NON CUM PFD SHS SER A CLBL 12/16/2010 @ 25.00 CALLABLE 01/31/16 AT 25.00 MOODY BAA1 S&P BBB-	HSBCPRA	84.000	\$25.570	\$2,147.88			\$2,106.49	\$41.39	\$130.20
HSBC HOLDINGS PLC 8% PERPETUAL SUBORDINATED CAPITAL SECURITIES SERIES 2 CALLABLE 01/31/16 AT 25.00 MOODY BAA1 S&P BBB-	HSEB	538.000	\$26.070	\$14,025.66			\$14,915.31	-\$889.65	\$1,076.00
ING GROEP N V 6.375% PERP HYBRID CAP SECS CALLABLE 03/15/16 AT 25.00 MOODY BAA3 S&P BB+	ISF	246.000	\$25.780	\$6,341.88			\$6,023.89	\$317.99	\$392.12



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ING GROEP NV NC5 6 125% PERP DEBT SECS CALLABLE 04/15/16 AT 25.00 MOODY BAA3 S&P BB+	ISG	110.000	\$25.540	\$2,809.40	\$2,647.02	\$162.38	\$168.41
ING GROEP NV 6 2% PERP DEBT SECS CALLABLE 04/15/16 AT 25.00 MOODY BAA3 S&P BB+	ISP	68.000	\$25.500	\$1,734.00	\$1,646.07	\$87.93	\$105.40
JPMORGAN CHASE & CO 5.45% DEPOSITARY SHS REPSTG PFD SER P CALLABLE 03/01/18 AT 25.00 MOODY BAA3 S&P BBB-	JMPRA	265.000	\$25.240	\$6,688.60	\$6,289.94	\$398.66	\$360.93
JP MORGAN CHASE & CO 6 70% DEPOSITARY SH REPSTG 1/400TH PFD SER T CALLABLE 03/01/19 AT 25.00 MOODY BAA3 S&P BBB-	JMPRB	180.000	\$27.480	\$4,946.40	\$4,500.45	\$445.95	\$301.50
JPMORGAN CHASE BANK 5 50% PERP NON CUM SER O PFD SHS REPSTG 1/400TH CALLABLE 09/01/17 AT 25.00 MOODY BAA3 S&P BBB-	JMPRD	370.000	\$25.327	\$9,370.99	\$8,733.79	\$637.20	\$508.75
JPMORGAN CHASE & CO 6.30% DEP SHS REPSTG 1/400TH INT PERP PFD SER W CALLABLE 09/01/19 AT 25.00 MOODY BAA3 S&P BBB-	JMPRE	119.000	\$26.260	\$3,124.94	\$2,971.42	\$153.52	\$187.43
JPMORGAN CHASE & CO 6 125% DEPOSITARY SHS REPSTG 1/400TH INT PFD STK SER Y CALLABLE 03/01/20 AT 25.00 MOODY BAA3 S&P BBB-	JMPRF	176.000	\$26.120	\$4,597.12	\$4,390.72	\$206.40	\$286.00
JPMORGAN CHASE & CO 6.10% DEP SHS REPSTG 1/400TH INT PERP NON CUM PFD SER AA CALLABLE 09/01/20 AT 25.00 MOODY BAA3 S&P BBB-	JMPRG	72.000	\$25.890	\$1,864.08	\$1,779.62	\$84.46	\$109.80

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KIMCO REALTY CORPORATION DEPOSITARY SH REPSTG 1/1000TH 6 % SER 1 CUM RED PFD STK CALLABLE 03/20/17 AT 25.00 MOODY BAA2 S&P BBB-	KIMPRI	146.000	\$25.891	\$3,780.12	\$3,655.70	\$124.42	\$219.00
KIMCO REALTY CORPORATION 5 5% DEPOSITARY SH REPSTG 1/1000TH CLJ CUM RDMB PFD CALLABLE 07/25/17 AT 25.00 MOODY BAA2 S&P BBB-	KIMPRJ	53.000	\$24.770	\$1,312.81	\$1,269.46	\$43.35	\$72.88
KIMCO REALTY CORP 5 6250% DEPOSITARY SH REPSTG 1/1000 PFD SER K CALLABLE 12/07/17 AT 25.00 MOODY BAA2 S&P BBB-	KIMPRK	52.000	\$25.240	\$1,312.48	\$1,251.32	\$61.16	\$73.11
AFFILIATED MANAGERS GROUP INC 6.3750% SENIOR NOTES DUE 2042 CALLABLE 08/15/17 AT 25.00 MOODY N/R S&P BBB+	MGR	39.000	\$26.660	\$1,039.74	\$992.25	\$47.49	\$62.17
MORGAN STANLEY DEP SHS REPSTG 1/1000 PERP PFD SER A CALLABLE 01/16/16 AT 25.00 MOODY BA1 S&P BB	MSPRA	113.000	\$20.790	\$2,349.27	\$2,344.52	\$4.75	\$115.49
MORGAN STANLEY 7 125% DEP SHS REP 1/1000TH SHS FIXED FLTG PFD STK SER E CALLABLE 10/15/23 AT 25.00 MOODY BA1 S&P BB	MSPRE	314.000	\$28.630	\$8,989.79	\$8,527.18	\$462.61	\$559.23
MORGAN STANLEY DEP SHS RPSTG 1/1000TH INT PRD SER F 6.875% FXD TO FLOAT CALLABLE 04/15/16 AT 25.00 MOODY BA1 S&P BB	MSPRF	125.000	\$27.780	\$3,472.50	\$3,337.50	\$135.00	\$214.88
MORGAN STANLEY 6 625% DEPOSITARY SHS REPSTG 1/1000TH NON CUM PFD SER G CALLABLE 07/15/19 AT 25.00 MOODY BA1 S&P BB	MSPRG	353.000	\$26.950	\$9,513.35	\$8,936.10	\$577.25	\$584.57



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NEXTERA ENERGY CAPITAL HOLDINGS INC 5.70% SER G JNR SUBORDINATED DEB DUE 3/1/72 CALLABLE 03/01/17 AT 25 00 MOODY BAA2 S&P BBB	NEEPRG	47.000	\$25.600	\$1,203.20	\$1,193.10	\$10.10	\$66.98
NEXTERA ENERGY CAPITAL HOLDINGS INC 5.625% S25 JR SUB DEB SER H CALLABLE 06/15/17 AT 25 00 MOODY BAA2 S&P BBB	NEEPRH	48.000	\$25.450	\$1,221.60	\$1,202.47	\$19.13	\$67.49
NEXTERA ENERGY CAP HLDGS INC GTD JR SUB DEB SER I 5.125% CALLABLE 11/15/17 AT 25.00 MOODY BAA2 S&P BBB	NEEPRI	104.000	\$24.950	\$2,594.80	\$2,444.22	\$150.58	\$133.22
NEXTERA ENERGY CAPITAL HOLDING INC 5% JR SUB DEB SER J CALLABLE 01/15/18 AT 25 00 MOODY BAA2 S&P BBB	NEEPRJ	42.000	\$24.770	\$1,040.34	\$981.92	\$58.42	\$52.50
NATIONAL RETAIL PROPERTIES 6.625% CUM PERPET PFD SERIES D CALLABLE 02/23/17 AT 25.00 MOODY BAA2 S&P BBB-	NNNPRD	122.000	\$26.110	\$3,185.44	\$3,132.20	\$53.24	\$202.03
NATIONAL RETAIL PROPERTIES INC 5.70% CUM RDBL PFD STK SER E CALLABLE 05/30/18 AT 25.00 MOODY BAA2 S&P BBB-	NNNPRE	109.000	\$24.796	\$2,702.79	\$2,535.60	\$167.19	\$155.33
REALTY INCOME CORPORATION 6.625% MONTHLY INCOME CLASS F CUMULATIVE REDEEMABLE PFD CALLABLE 02/15/17 AT 25 00 MOODY BAA2 S&P BBB-	OPRF	195.000	\$26.490	\$5,165.55	\$5,017.67	\$147.88	\$322.92
PRUDENTIAL FINANCIAL INC 5.75% JUNIOR SUBORDINATED NOTE DUE 2052 CALLABLE 12/04/17 AT 25.00 MOODY BAA2 S&P BBB+	PJH	106.000	\$25.760	\$2,730.56	\$2,602.44	\$128.12	\$152.43
PROTECTIVE LIFE CORP 6.25% SUBORDINATED DEBENTURES DUE 2042 CALLABLE 05/15/17 AT 25 00 MOODY BAA2 S&P BBB	PLPRC	111.000	\$26.250	\$2,913.75	\$2,746.83	\$166.92	\$173.44

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PNC FINL SVCS GROUP INC DEPOSITARY SHS REPSTG 1/4000TH PERP PFD SER P 6 1.25% CALLABLE 05/01/22 AT 25.00 MOODY BAA2 S&P BBB-	PNCPRP	542.000	\$28.550	\$15,474.10	\$14,526.88	\$947.22	\$829.80
PPL CAP FDG INC JR SUB NT 2013 SER B CALLABLE 04/30/18 AT 25.00 MOODY BAA3 S&P BBB	PPX	60.000	\$25.800	\$1,548.00	\$1,483.48	\$64.52	\$88.50
PRUDENTIAL FINANCIAL INC 5.7% JR SUB NOTES 2053 CALLABLE 03/15/18 AT 25.00 MOODY BAA2 S&P BBB+	PRH	141.000	\$25.840	\$3,643.44	\$3,406.88	\$236.56	\$200.93
PUBLIC STORAGE DEP SHS REPSTG 1/1000TH PFD SH BEN INT SER A CALLABLE 12/02/19 AT 25.00 MOODY A3 S&P BBB+	PSAPRA	35.000	\$26.450	\$925.75	\$866.83	\$58.92	\$51.42
PUBLIC STORAGE 6.50% DEPOSITARY SHS REPSTG 1/1000TH PFD SHS BEN INT SER Q CALLABLE 04/14/16 AT 25.00 MOODY A3 S&P BBB+	PSAPRQ	66.000	\$25.410	\$1,677.06	\$1,751.71	-\$74.65	\$107.25
PUBLIC STORAGE DEPOSITARY SH REPSTG 1/1000TH PFD 6.35% SER R CALLABLE 07/26/16 AT 25.00 MOODY A3 S&P BBB+	PSAPRR	135.000	\$25.920	\$3,499.20	\$3,555.14	-\$55.94	\$214.25
PUBLIC STORAGE DEPOSITARY SH REPSTG 1/1000TH PFD 5.90% SER S CALLABLE 01/12/17 AT 25.00 MOODY A3 S&P BBB+	PSAPRS	136.000	\$25.880	\$3,519.68	\$3,467.49	\$52.19	\$200.60
PUBLIC STORAGE DEPOSITARY SH REPSTG 1/1000 OF 5.750% CUM PFD SH BEN INT SR T CALLABLE 03/13/17 AT 25.00 MOODY A3 S&P BBB+	PSAPRT	164.000	\$25.750	\$4,223.00	\$4,128.46	\$94.54	\$235.83



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PUBLIC STORAGE DEP SHS REPSG 1/1000 OF 5.625% CUMULATIVE PFD BEN INT SER U CALLABLE 06/15/17 AT 25.00 MOODY A3 S&P BBB+	PSAPRU	49 000	\$25.570	\$1,252.93	\$1,220.25	\$32.68	\$68.89
PUBLIC STORAGE 5.375% DEP SHS REPSG 1/1000TH PFD SH BEN INT SER V CALLABLE 09/20/17 AT 25.00 MOODY A3 S&P BBB+	PSAPRV	51 000	\$25.460	\$1,298.46	\$1,239.85	\$58.61	\$68.54
PUBLIC STORAGE 5.2% DEP SHS REPSG 1/1000 PFD SHS BEN INT SER X CALLABLE 03/13/18 AT 25.00 MOODY A3 S&P BBB+	PSAPRX	32.000	\$25.150	\$804.80	\$759.72	\$45.08	\$41.60
PUBLIC STORAGE 6.375% DEP SHS REPSG 1/1000TH PFD SHS BEN INT SER Y CALLABLE 03/17/19 AT 25.00 MOODY A3 S&P BBB+	PSAPRY	63.000	\$27.580	\$1,737.54	\$1,566.35	\$171.19	\$100.42
PUBLIC STORAGE DEP SHS REPSG 1/1000TH PFD SH BEN INT SER Z CALLABLE 06/04/19 AT 25.00 MOODY A3 S&P BBB+	PSAPRZ	88 000	\$26.810	\$2,359.29	\$2,185.15	\$174.14	\$132.00
PS BUSINESS PKS INC DEP SHS REPSG 1/1000TH 6.45% PFD SER S CALLABLE 01/18/17 AT 25.00 MOODY BAA2 S&P BBB	PSBPRS	90 000	\$26.330	\$2,369.70	\$2,308.57	\$61.13	\$145.08
PS BUSINESS PKS INC DEP SHS REPSG 1/1000TH 6% CUM PFD SERIES T CALLABLE 05/14/17 AT 25.00 MOODY BAA2 S&P BBB	PSBPRT	178.000	\$25.540	\$4,546.12	\$4,393.22	\$152.90	\$267.00
PS BUSINESS PARKS INC 5.75% DEP SHS REPSG 1/1000 CUM PFD SER U CALLABLE 09/14/17 AT 25.00 MOODY BAA2 S&P BBB	PSBPRU	30 000	\$25.100	\$753.00	\$715.19	\$37.81	\$43.13

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RBS CAPITAL FUNDING TRUST V 5.9% NON CUMULATIVE GUARANTEED TRUST PREFERRED SECURITIES CALLABLE 01/31/16 AT 25.00 MOODY B1 S&P BB-	RBSPRE	144 000	\$24.540	\$3,533.76	\$3,563.92	-\$30.16	\$212.40
RBS CAPITAL FUNDING TRUST VII 6.08% NON CUMULATIVE GUARANTEED TRUST PREFERRED SECURITIES CALLABLE 01/31/16 AT 25.00 MOODY B1 S&P BB-	RBSPRG	48,000	\$24.930	\$1,196.64	\$1,199.04	-\$2.40	\$72.96
ROYAL BK SCOTLAND GROUP PLC SPONSORED ADR REPSTG SER L CALLABLE 01/31/16 AT 25.00 MOODY B1 S&P B+	RBSPL	438 000	\$24.962	\$10,933.36	\$9,715.58	\$1,217.78	\$629.84
ROYAL BK SCOTLAND GROUP PLC SPONSORED ADR ON PFD SER 6.60% CALLABLE 01/31/16 AT 25.00 MOODY B1 S&P B+	RBSPRS	86,000	\$25.400	\$2,184.40	\$1,944.50	\$239.90	\$141.90
REGENCY CENTERS CORPORATION SERIES 6 6.625% CUMULATIVE PERPETUAL PFD CALLABLE 02/16/17 AT 25.00 MOODY BAA2 S&P BB+	REGPRF	93,000	\$26.320	\$2,447.77	\$2,410.23	\$37.54	\$154.01
REGENCY CENTERS CORPORATION 6.0% SERIES 7 CUMULATIVE REDEEMABLE PREFERRED STOCK CALLABLE 08/23/17 AT 25.00 MOODY BAA2 S&P BB+	REGPRG	31,000	\$25.130	\$779.03	\$754.77	\$24.26	\$46.50
REGIONS FINANCIAL CORPORATION DEP SHS REPSTG 1/40TH PERP PFD SER B FIXED FLTG CALLABLE 09/15/24 AT 25.00 MOODY BAA2 S&P BB	RFRPB	147,000	\$27.130	\$3,988.11	\$3,679.87	\$308.24	\$234.32
RAYMOND JAMES FINANCIAL 6.9% SR NT CALLABLE 03/15/17 AT 25.00 MOODY BAA2 S&P BBB	RJD	56,000	\$26.660	\$1,492.96	\$1,502.53	-\$9.57	\$96.60



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(continued)

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE/ ACCRUED INTEREST	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
RENAISSANCE HLDGS LTD 6.08% SER C PREFERENCE SHS \$25 00 PER SHARE CALLABLE 01/31/16 AT 25 00 MOODY N/R S&P BBB+	RNRPRC	21 000	\$25 702	\$539.73	\$520.23	\$19.50	\$31.92
RENAISSANCE HOLDINGS LTD 5 375% SERIES E PFD SHS CALLABLE 06/01/18 AT 25.00 MOODY BAA2 S&P BBB+	RNRPRE	110.000	\$24.940	\$2,743.40	\$2,556.53	\$186.87	\$147.81
ROYAL BANK OF CANADA THE DEP SHS ECH REP 1/40TH INT 5.50% NON CUM PRPTL SERIES C-1 MOODY BAA2 S&P N/R	RYPRS	45 000	\$25.380	\$1,142 10	\$1,034.55	\$107.55	\$61 88
CHARLES SCHWAB CORPORATION 6% DEP SHS REP 1/40TH OF NON CUM PERP PFD SER B CALLABLE 09/01/17 AT 25 00 MOODY BAA2 S&P BBB	SCHWPRB	145.000	\$26.760	\$3,880.19	\$3,686 45	\$193 74	\$217 50
CHARLES SCHWAB CORPORATION (THE) DEP SHS EACH RPSTG 1/40 INT 6% NON CUM PERP PFD SER C CALLABLE 12/01/20 AT 25.00 MOODY BAA2 S&P BBB	SCHWPRC	413 000	\$26 930	\$11,122.09	\$10,432 01	\$690 08	\$619 50
SENIOR HOUSING PROPERTIES TRUST 5.625% SENIOR NOTES DUE 2042 MOODY BAA3 S&P BBB-	SNHN	134 000	\$25.380	\$3,400.92	\$3,186 06	\$214.86	\$188 40
SOUTHERN COMPANY (THE) SER 2015A 6 25% JNR SUBORDNTD NOTES DUE OCTOBER 15 2075 CALLABLE 10/15/20 AT 25.00 MOODY BAA2 S&P BBB	SOJA	47.000	\$26.890	\$1,263.83	\$1,194.74	\$69 09	\$73.46
SUNTRUST BANKS INC 5.875% DEP SHS PERP PFD SER E CALLABLE 03/15/18 AT 25.00 MOODY BAA3 S&P BB+	STIPRE	49.000	\$25.890	\$1,268 61	\$1,138.60	\$130.01	\$71.98

LJ KING & E R KING FOUNDATION
SPECTRUM PREFERRED



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CONSULTING SOLUTIONS
ACCOUNT STATEMENT

DECEMBER 1, 2015 - DECEMBER 31, 2015

*TAXABLE FIXED INCOME

(continued)

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE/ ACCRUED INTEREST	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
STATE STREET CORPORATION 5.25% NON CUM PERPETUAL PFD SER C CALLABLE 09/15/17 AT 25.00 MOODY BAA1 S&P BBB	STTPRC	184.000	\$26.200	\$4,820.80	\$4,388.27	\$432.53	\$241.41
STATE STREET CORPORATION DEPOSITARY SHARES 1/4000TH PERPETUAL PREFERRED SERIES D CALLABLE 03/15/24 AT 25.00 MOODY BAA1 S&P BBB	STTPRD	163.000	\$27.680	\$4,511.84	\$4,210.87	\$300.97	\$240.43
STATE STREET CORPORATION 6.00% DEP SHS REPSTG 1/4000TH NON CUM PERP PFD SER E CALLABLE 12/15/19 AT 25.00 MOODY BAA1 S&P BBB	STTPRE	347.000	\$26.570	\$9,219.79	\$8,709.90	\$509.89	\$520.50
STANLEY BLACK & DECKER INC 5.75% JR SUB DEB DUE 2052 CALLABLE 07/25/17 AT 25.00 MOODY BAA2 S&P BBB+	SWJ	185.000	\$25.910	\$4,793.35	\$4,559.46	\$233.89	\$266.03
TCF FINANCIAL CORPORATION 7.5% SERIES A NON CUM PERPETUAL PREFERRED STOCK CALLABLE 06/25/17 AT 25.00 MOODY N/R S&P BB-	TCBPRB	112.000	\$26.590	\$2,978.08	\$2,992.29	-\$14.21	\$210.00
TORCHMARK CORPORATION 5.875% JUNIOR SUBORDINATED DEBENTURES DUE 2052 CALLABLE 12/15/17 AT 25.00 MOODY BAA2 S&P BBB+	TMKPRB	32.000	\$25.800	\$825.60	\$791.09	\$34.51	\$47.01
UBS PFD FDG TR IV TR PFD SECS FLTG RATE CALLABLE 01/31/16 AT 25.00 MOODY BAA2 S&P BB+	UBSPRD	233.000	\$19.477	\$4,538.05	\$3,687.43	\$850.62	\$53.12
US BANCORP DEL DEPOSITARY SHS REPSTG 1/1000TH PFD SER B CALLABLE 01/31/16 AT 25.00 MOODY A3 S&P BBB	USBPRH	43.000	\$21.840	\$939.12	\$997.34	-\$58.22	\$38.44



RBC Wealth Management

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CONSULTING SOLUTIONS ACCOUNT STATEMENT

Account number
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DECEMBER 1, 2015 - DECEMBER 31, 2015

TAXABLE FIXED INCOME (continued)

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE/ ACCRUED INTEREST	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
US BANCORP DEL DEPOSITARY SHARES REPSTG 6 5% 1/1000TH NON CUM PERP PFD SER CALLABLE 01/15/22 AT 25.00 MOODY A3 S&P BBB	USBPRM	183.000	\$28.720	\$5,255.76	\$5,070.23	\$185.53	\$297.38
US BANCORP DEL 6.00% SERIES G NON CUM PERPET PFD STOCK CALLABLE 04/15/17 AT 25.00 MOODY A3 S&P BBB	USBPRN	66.000	\$26.820	\$1,770.12	\$1,806.78	-\$36.66	\$99.00
US BANCORP 5.15% NON CUMULATIVE PERP PFD DEP REP 1/1000TH INT SER H CALLABLE 07/15/18 AT 25.00 MOODY A3 S&P BBB	USBPRO	144.000	\$25.950	\$3,736.80	\$3,516.44	\$220.36	\$185.47
VORNADO RLTY TR PFD CUMULATIVE RED SER 6.875% CALLABLE 04/20/16 AT 25.00 MOODY BAA3 S&P BBB-	VNOPRJ	62.000	\$25.580	\$1,585.96	\$1,649.76	-\$63.80	\$106.58
VORNADO REALTY TRUST 5.70% SERIES K CUMULATIVE REDEEMABLE PREFERRED SHARES CALLABLE 07/18/17 AT 25.00 MOODY BAA3 S&P BBB-	VNOPRK	69.000	\$25.260	\$1,742.94	\$1,684.86	\$58.08	\$98.33
VORNADO REALTY TRUST 5.4% SER L CUMULATIVE RDMBL PFD SHS CALLABLE 01/25/18 AT 25.00 MOODY BAA3 S&P BBB-	VNOPRL	53.000	\$24.330	\$1,289.48	\$1,238.59	\$50.89	\$71.55
VENTAS REALTY LP VENTAS CAPITAL CORP 5.45% SR NTS DUE 2043 CALLABLE 03/07/18 AT 25.00 MOODY BAA1 S&P BBB+	VTRB	97.000	\$26.110	\$2,532.67	\$2,361.72	\$170.95	\$132.11
VERIZON COMMUNICATIONS INC 5.90% SR NOTES DUE 2054 CALLABLE 02/15/19 AT 25.00 MOODY BAA1 S&P BBB+	VZA	237.000	\$27.620	\$6,545.94	\$5,998.95	\$546.99	\$349.58



CONSULTING SOLUTIONS ACCOUNT STATEMENT

DECEMBER 1, 2015 - DECEMBER 31, 2015

TAXABLE FIXED INCOME (continued)									
DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE/ ACCRUED INTEREST	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME		
WELLS FARGO & COMPANY DEP SH 1/40TH INT 8% NON-CUMLT PERPETUAL CL A PFD SER J CALLABLE 12/15/17 AT 25.00 MOODY BAA2 S&P BBB	WFCPRJ	105.000	\$28.080	\$2,948.40	\$3,086.62	-\$138.22	\$210.00		
WELLS FARGO & COMPANY 5.85% DEP SHS RPSTG 1/1000TH INT PRP PFD CL A SER Q FXD TO FLOATING CALLABLE 09/15/23 AT 25.00 MOODY BAA2 S&P BBB	WFCPRQ	323.000	\$26.120	\$8,436.76	\$7,945.94	\$490.82	\$472.23		
WELLS FARGO & COMPANY 6.625% DEP SHS REPSTG 1/1000TH INT PFD CL A SER R FXD TO FLTG CALLABLE 03/15/24 AT 25.00 MOODY BAA2 S&P BBB	WFCPRR	314.000	\$28.730	\$9,021.22	\$8,611.70	\$409.52	\$519.98		
W R BERKLEY CORPORATION 5.625% SUBORDINATED DEBENTURES DUE 2053 CALLABLE 05/02/18 AT 25.00 MOODY BAA3 S&P BBB-	WRBPRB	121.000	\$25.420	\$3,075.82	\$2,811.90	\$263.92	\$170.13		
TOTAL TAXABLE FIXED INCOME		21,151.000		\$554,871.74	\$529,491.62	\$25,380.12	\$32,295.79		

ACCOUNT NUMBER:
314-57249
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This section includes a summary of the short-term or long-term gain or loss from the sale of selected securities in this account. "Short-term" refers to securities held for one year or less; "long-term" refers to securities held more than one year. RBC provides the following Schedule of Realized Gains and Losses as a service to its clients; the information may not be accurate for tax reporting or other purposes and may rely on information, such as the original cost basis for a security, that you or another source at your direction provided to RBC.

For more information on original cost and other tax attributes reported on fixed income securities, please see the enclosed insert. Please see Form 1099-B for information reported to the IRS.

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
1,936.108	AMERICAN CENTY INVT TR SHORT DURATION FD CL A ORIGINAL COST 20,290.41 WASH SALE LOSS DISALLOWED: 13.70 SYMBOL: ACSQX, CUSIP: 024932477	☐	05/18/12	02/02/15	20,000.00	20,276.71	-276.71
1,548.887	AMERICAN CENTY INVT TR SHORT DURATION FD CL A SYMBOL: ACSQX, CUSIP: 024932477	☐	05/18/12	02/23/15	16,000.00	16,232.34	-232.34
11,627.907	AMERICAN CENTY INVT TR SHORT DURATION FD CL A ORIGINAL COST 121,860.46 WASH SALE LOSS DISALLOWED: 4.56 SYMBOL: ACSQX, CUSIP: 024932477	☐	05/18/12	03/11/15	120,000.00	121,855.90	-1,855.90
Long-Term Subtotal					156,000.00	158,144.95	-2,364.95
Total Gains and Losses					156,000.00		-2,364.95
Short-Term							0.00
Long-Term							-2,364.95
Term Unknown							0.00

Schedule of Realized Gains and Losses

This section includes a summary of the short-term or long-term gain or loss from the sale of selected securities in this account. "Short-term" refers to securities held for one year or less; "long-term" refers to securities held more than one year. RBC provides the following Schedule of Realized Gains and Losses as a service to its clients; the information may not be accurate for tax reporting or other purposes and may rely on information, such as the original cost basis for a security, that you or another source at your direction provided to RBC.

Market discount, acquisition premium and life to date OID are only provided for covered securities. Cost basis information may reflect a change in the value of certain fixed income and other securities that return or amortize principal over time. In the case of bonds purchased at a premium, cost basis information may be amortized. In addition, cost basis information may reflect accretion for zero coupon and OID bonds. Please refer to IRS Publications 1212 and 550 or consult your tax advisor for additional information.

For more information on original cost and other tax attributes reported on fixed income securities, please see the enclosed insert.

Please see Form 1099-B for information reported to the IRS

Short-Term

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
412.000	BANK OF NOVA SCOTIA SYMBOL: BNS, CUSIP: 064149107	☐	03/31/15	11/05/15	19,267.07	20,740.08	-1,473.01
219.000	BANK OF NOVA SCOTIA SYMBOL: BNS, CUSIP: 064149107	☐	03/10/15	11/05/15	10,241.48	10,964.15	-722.67
222.000	BANK OF NOVA SCOTIA SYMBOL: BNS, CUSIP: 064149107	☐	08/26/15	11/05/15	10,381.77	9,619.86	761.91
269.000	BAYTEX ENERGY CORP SYMBOL: BTE, CUSIP: 07317Q105	☐	08/27/14	03/10/15	3,795.12	11,785.67	-7,990.55
197.000	BAYTEX ENERGY CORP SYMBOL: BTE, CUSIP: 07317Q105	☐	08/28/14	03/10/15	2,779.32	8,644.08	-5,864.76
68.000	BAYTEX ENERGY CORP SYMBOL: BTE, CUSIP: 07317Q105	☐	08/29/14	03/10/15	959.36	3,041.99	-2,082.63
748.000	BAYTEX ENERGY CORP SYMBOL: BTE, CUSIP: 07317Q105	☐	09/03/14	03/11/15	10,768.46	32,869.89	-22,101.43
475.000	BAYTEX ENERGY CORP SYMBOL: BTE, CUSIP: 07317Q105	☐	09/02/14	03/11/15	6,838.26	20,842.29	-14,004.03
171.000	BAYTEX ENERGY CORP SYMBOL: BTE, CUSIP: 07317Q105	☐	08/29/14	03/11/15	2,461.77	7,649.72	-5,187.94
652.000	BAYTEX ENERGY CORP SYMBOL: BTE, CUSIP: 07317Q105	☐	10/29/14	03/12/15	9,312.41	20,920.07	-11,607.66
5.000	BAYTEX ENERGY CORP SYMBOL: BTE, CUSIP: 07317Q105	☐	09/03/14	03/12/15	71.41	219.72	-148.30
114.000	DIGITAL REALTY TRUST INC SYMBOL: DLR, CUSIP: 253868103	☐	06/30/15	12/10/15	8,097.38	7,602.22	495.16
192.000	ELI LILLY & CO SYMBOL: LLY, CUSIP: 532457108	☐	03/31/15	08/26/15	15,055.59	13,990.69	1,064.90
191.000	ENBRIDGE ENERGY PARTNERS L P SYMBOL: EEP, CUSIP: 29250R106	☒	05/23/14	03/10/15	6,936.04	5,948.49	987.55
626.000	ENBRIDGE ENERGY PARTNERS L P SYMBOL: EEP, CUSIP: 29250R106	☒	03/31/15	11/04/15	17,134.12	22,661.14	-5,527.02

Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
122.000	ENBRIDGE ENERGY PARTNERS L P SYMBOL: EEP, CUSIP: 29250R106	☒	08/26/15	11/04/15	3,339.24	3,144.25	194.99
570.000	ENBRIDGE ENERGY PARTNERS L P SYMBOL: EEP, CUSIP: 29250R106	☒	08/26/15	11/05/15	15,405.10	14,690.33	714.78
333.000	ENERGY TRANSFER PARTNERS L P COMMON UNITS REPRESENTING PARTNER INTERESTS SYMBOL: ETP, CUSIP: 29273R109	☒	03/31/15	12/10/15	11,064.65	18,576.87	-7,512.22
152.000	ENERGY TRANSFER PARTNERS L P COMMON UNITS REPRESENTING PARTNER INTERESTS SYMBOL: ETP, CUSIP: 29273R109	☒	03/10/15	12/10/15	5,050.53	8,401.04	-3,350.51
218.000	ENERGY TRANSFER PARTNERS L P COMMON UNITS REPRESENTING PARTNER INTERESTS SYMBOL: ETP, CUSIP: 29273R109	☒	11/04/15	12/10/15	7,243.53	9,794.52	-2,550.99
132.000	ENERGY TRANSFER PARTNERS L P COMMON UNITS REPRESENTING PARTNER INTERESTS SYMBOL: ETP, CUSIP: 29273R109	☒	08/26/15	12/10/15	4,385.99	6,128.76	-1,742.77
70.000	LORILLARD INC CUSIP: 544147101	☐	08/27/14	03/11/15	4,649.92	4,133.57	516.35
368.000	NATIONAL RETAIL PROPERTIES INC SYMBOL: NNN, CUSIP: 637417106	☐	03/31/15	07/02/15	13,130.07	15,004.15	-1,874.08
327.000	PLAINS ALL AMERICAN PIPELINE L P UNIT LTD PARTNERSHIP INT SYMBOL: PAA, CUSIP: 726503105	☒	03/31/15	12/10/15	7,117.64	15,983.17	-8,865.53
521.000	PLAINS ALL AMERICAN PIPELINE L P UNIT LTD PARTNERSHIP INT SYMBOL: PAA, CUSIP: 726503105	☒	08/26/15	12/10/15	11,340.34	16,877.01	-5,536.67
202.000	PLAINS ALL AMERICAN PIPELINE L P UNIT LTD PARTNERSHIP INT SYMBOL: PAA, CUSIP: 726503105	☒	03/10/15	12/10/15	4,396.83	9,492.18	-5,095.35
174.000	PLAINS ALL AMERICAN PIPELINE L P UNIT LTD PARTNERSHIP INT SYMBOL: PAA, CUSIP: 726503105	☒	06/30/15	12/10/15	3,787.37	7,551.60	-3,764.23
233.000	PLAINS ALL AMERICAN PIPELINE L P UNIT LTD PARTNERSHIP INT SYMBOL: PAA, CUSIP: 726503105	☒	11/04/15	12/10/15	5,071.59	7,104.04	-2,032.45
151.000	PLUM CREEK TIMBER CO INC COM ORIGINAL COST 6,580.12 WASH SALE LOSS DISALLOWED. 229.94 SYMBOL: PCL, CUSIP: 729251108	☐	05/23/14	03/10/15	6,350.19	6,350.19	0.00

Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
161.000	REYNOLDS AMERICAN INC SYMBOL RAI, CUSIP: 761713106	☐	03/11/15	06/30/15	12,044.49	11,308.27	736.22
95.000	REYNOLDS AMERICAN INC SYMBOL RAI, CUSIP: 761713106	☐	03/11/15	08/26/15	7,705.41	6,672.58	1,032.83
114.000	REYNOLDS AMERICAN INC SYMBOL. RAI, CUSIP: 761713106	☐	03/12/15	08/26/15	9,246.49	8,056.94	1,189.55
59.000	SIMON PROPERTY GROUP INC SYMBOL SPG, CUSIP 828806109	☐	03/10/15	08/26/15	10,554.69	10,670.95	-116.26
1,234.000	VENTAS INC SYMBOL: VTR, CUSIP: 92276F100	☐	10/29/14	03/10/15	86,110.39	83,261.68	2,848.71
Short-Term Subtotal					352,094.02	400,702.13	-108,608.11

Long-Term

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
242.000	ALTRIA GROUP INC SYMBOL MO, CUSIP. 02209S103	☐	12/03/12	03/10/15	12,916.07	8,169.56	4,746.51
17.000	ALTRIA GROUP INC SYMBOL: MO, CUSIP: 02209S103	☐	12/21/12	08/26/15	879.62	537.54	342.08
120.000	ALTRIA GROUP INC SYMBOL. MO, CUSIP: 02209S103	☐	12/03/12	08/26/15	6,209.10	4,051.02	2,158.08
150.000	ALTRIA GROUP INC SYMBOL MO, CUSIP: 02209S103	☐	02/08/13	08/26/15	7,761.38	5,191.27	2,570.10
106.000	AMERICAN ELECTRIC POWER CO INC SYMBOL AEP, CUSIP. 025537101	☐	12/19/13	08/26/15	5,620.89	4,911.20	709.69
153.000	BANK OF NOVA SCOTIA SYMBOL BNS, CUSIP 064149107	☐	03/12/13	06/30/15	7,997.87	9,052.25	-1,054.38
495.000	BANK OF NOVA SCOTIA SYMBOL. BNS, CUSIP 064149107	☐	03/12/13	11/04/15	23,170.87	29,286.68	-6,115.81
294.000	BANK OF NOVA SCOTIA SYMBOL. BNS, CUSIP: 064149107	☐	05/24/13	11/04/15	13,762.09	16,888.98	-3,126.89
211.000	BANK OF NOVA SCOTIA SYMBOL: BNS, CUSIP 064149107	☐	08/13/13	11/04/15	9,876.87	11,919.22	-2,042.35
15.000	BANK OF NOVA SCOTIA SYMBOL BNS, CUSIP 064149107	☐	06/28/13	11/04/15	702.15	804.01	-101.86
109.000	BANK OF NOVA SCOTIA SYMBOL BNS, CUSIP 064149107	☐	10/29/14	11/05/15	5,097.36	6,663.17	-1,565.81
84.000	BANK OF NOVA SCOTIA SYMBOL BNS, CUSIP 064149107	☐	10/08/13	11/05/15	3,928.24	4,763.64	-835.40

L J KING & E R KING FOUNDATION
PRIME INCOME
450
26 WEST DRY CREEK CIRCLE
LITTLETON CO 80120-8064

ACCOUNT NUMBER:
314-64838
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Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION_	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
1.000	BANK OF NOVA SCOTIA SYMBOL: BNS, CUSIP: 064149107	☐	08/13/13	11/05/15	46.76	56.49	-9.72
260.000	CHEVRON CORPORATION SYMBOL: CVX, CUSIP: 166764100	☐	03/21/13	11/04/15	25,234.09	31,426.93	-6,192.84
177.000	ELI LILLY & CO SYMBOL: LLY, CUSIP: 532457108	☐	12/03/12	03/10/15	12,252.91	8,670.97	3,581.94
82.000	ELI LILLY & CO SYMBOL: LLY, CUSIP: 532457108	☐	02/08/13	06/30/15	6,878.72	4,403.03	2,475.69
129.000	ELI LILLY & CO SYMBOL: LLY, CUSIP: 532457108	☐	12/03/12	06/30/15	10,821.41	6,319.52	4,501.89
49.000	ELI LILLY & CO SYMBOL: LLY, CUSIP: 532457108	☐	06/28/13	08/26/15	3,842.31	2,423.44	1,418.87
144.000	ELI LILLY & CO SYMBOL: LLY, CUSIP: 532457108	☐	02/08/13	08/26/15	11,291.69	7,732.15	3,559.54
177.000	ELI LILLY & CO SYMBOL: LLY, CUSIP: 532457108	☐	08/13/13	08/26/15	13,879.37	9,844.60	4,034.77
294.000	ELI LILLY & CO SYMBOL: LLY, CUSIP: 532457108	☐	05/24/13	08/26/15	23,053.88	15,910.55	7,143.33
288.000	ELI LILLY & CO SYMBOL: LLY, CUSIP: 532457108	☐	10/08/13	08/26/15	22,583.39	13,806.14	8,777.25
2,134.000	ENBRIDGE ENERGY PARTNERS L P SYMBOL: EEP, CUSIP: 29250R106	☒	05/23/14	11/04/15	58,409.28	66,461.08	-8,051.81
590.000	ENERGY TRANSFER PARTNERS L P COMMON UNITS REPRESENTING PARTNER INTERESTS SYMBOL: ETP, CUSIP: 29273R109	☒	03/21/13	12/10/15	19,604.04	29,103.58	-9,499.54
256.000	ENERGY TRANSFER PARTNERS L P COMMON UNITS REPRESENTING PARTNER INTERESTS SYMBOL: ETP, CUSIP: 29273R109	☒	05/24/13	12/10/15	8,506.16	13,206.73	-4,700.57
234.000	ENERGY TRANSFER PARTNERS L P COMMON UNITS REPRESENTING PARTNER INTERESTS SYMBOL: ETP, CUSIP: 29273R109	☒	08/13/13	12/10/15	7,775.16	12,064.85	-4,289.69
133.000	ENERGY TRANSFER PARTNERS L P COMMON UNITS REPRESENTING PARTNER INTERESTS SYMBOL: ETP, CUSIP: 29273R109	☒	10/08/13	12/10/15	4,419.22	6,838.53	-2,419.31
87.000	ENERGY TRANSFER PARTNERS L P COMMON UNITS REPRESENTING PARTNER INTERESTS SYMBOL: ETP, CUSIP: 29273R109	☒	08/27/14	12/10/15	2,890.77	4,972.49	-2,081.72

Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
170.000	HEALTH CARE REIT INC CUSIP: 42217K106	☐	12/21/12	03/10/15	12,771.79	9,914.03	2,857.76
210.000	HSBC HOLDINGS PLC SPONSORED ADR SYMBOL: HSBC, CUSIP 404280406	☐	12/19/13	06/30/15	9,471.28	11,245.29	-1,774.01
42.000	LOCKHEED MARTIN CORP SYMBOL: LMT, CUSIP: 539830109	☐	12/21/12	03/10/15	8,327.43	3,906.12	4,421.31
46.000	LOCKHEED MARTIN CORP SYMBOL: LMT, CUSIP: 539830109	☐	12/21/12	08/26/15	9,132.54	4,278.13	4,854.41
9.000	LORILLARD INC CUSIP 544147101	☐	12/21/12	03/10/15	599.65	353.39	246.26
212.000	LORILLARD INC CUSIP: 544147101	☐	02/08/13	03/10/15	14,124.98	8,516.02	5,608.96
276.000	LORILLARD INC CUSIP 544147101	☐	12/03/12	03/10/15	18,389.12	11,226.75	7,162.37
77.000	LORILLARD INC CUSIP 544147101	☐	10/08/13	03/11/15	5,114.92	3,430.34	1,684.58
63.000	LORILLARD INC CUSIP 544147101	☐	03/12/13	03/11/15	4,184.93	2,454.92	1,730.01
136.000	LORILLARD INC CUSIP 544147101	☐	02/08/13	03/11/15	9,034.14	5,463.11	3,571.03
247.000	LORILLARD INC CUSIP 544147101	☐	05/24/13	03/11/15	16,407.59	10,835.89	5,571.70
325.000	LORILLARD INC CUSIP: 544147101	☐	08/13/13	03/11/15	21,588.93	14,114.72	7,474.21
431.000	MICROCHIP TECHNOLOGY INC SYMBOL: MCHP, CUSIP 595017104	☐	12/03/12	03/10/15	21,518.48	11,677.30	9,841.18
17.000	MICROCHIP TECHNOLOGY INC SYMBOL: MCHP, CUSIP 595017104	☐	12/03/12	11/04/15	825.97	455.74	370.23
203.000	MICROCHIP TECHNOLOGY INC SYMBOL: MCHP, CUSIP 595017104	☐	02/08/13	11/04/15	9,863.08	6,700.46	3,162.62
270.000	NATIONAL RETAIL PROPERTIES INC SYMBOL: NNN, CUSIP: 637417106	☐	12/03/12	03/10/15	10,585.29	8,105.97	2,479.32
274.000	NATIONAL RETAIL PROPERTIES INC SYMBOL: NNN, CUSIP: 637417106	☐	05/24/13	07/01/15	9,579.58	10,508.57	-928.99
14.000	NATIONAL RETAIL PROPERTIES INC SYMBOL: NNN, CUSIP: 637417106	☐	06/28/13	07/01/15	493.87	472.37	21.49
106.000	NATIONAL RETAIL PROPERTIES INC SYMBOL: NNN, CUSIP 637417106	☐	06/28/13	07/01/15	3,705.97	3,576.51	129.46

Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
149.000	NATIONAL RETAIL PROPERTIES INC SYMBOL: NNN, CUSIP: 637417106	☐	10/08/13	07/01/15	5,256.16	4,593.96	662.20
457.000	NATIONAL RETAIL PROPERTIES INC SYMBOL: NNN, CUSIP: 637417106	☐	08/13/13	07/01/15	16,121.24	14,945.12	1,176.12
405.000	NATIONAL RETAIL PROPERTIES INC SYMBOL: NNN, CUSIP: 637417106	☐	02/08/13	07/01/15	14,159.59	12,978.17	1,181.42
417.000	NATIONAL RETAIL PROPERTIES INC SYMBOL: NNN, CUSIP: 637417106	☐	12/03/12	07/01/15	14,579.14	12,487.58	2,091.55
92.000	NATIONAL RETAIL PROPERTIES INC SYMBOL: NNN, CUSIP: 637417106	☐	05/23/14	07/02/15	3,282.52	3,130.42	152.10
148.000	NATIONAL RETAIL PROPERTIES INC SYMBOL: NNN, CUSIP: 637417106	☐	10/08/13	07/02/15	5,280.57	4,563.12	717.45
391.000	PLAINS ALL AMERICAN PIPELINE L P UNIT LTD PARTNERSHIP INT SYMBOL: PAA, CUSIP: 726503105	☒	12/03/12	12/10/15	8,510.70	18,304.86	-9,794.16
285.000	PLAINS ALL AMERICAN PIPELINE L P UNIT LTD PARTNERSHIP INT SYMBOL: PAA, CUSIP: 726503105	☒	08/13/13	12/10/15	6,203.45	15,244.42	-9,040.97
223.000	PLAINS ALL AMERICAN PIPELINE L P UNIT LTD PARTNERSHIP INT SYMBOL: PAA, CUSIP: 726503105	☒	05/24/13	12/10/15	4,853.93	13,000.34	-8,146.41
215.000	PLAINS ALL AMERICAN PIPELINE L P UNIT LTD PARTNERSHIP INT SYMBOL: PAA, CUSIP: 726503105	☒	02/08/13	12/10/15	4,679.80	11,377.48	-6,697.68
180.000	PLAINS ALL AMERICAN PIPELINE L P UNIT LTD PARTNERSHIP INT SYMBOL: PAA, CUSIP: 726503105	☒	10/08/13	12/10/15	3,917.97	9,139.68	-5,221.71
105.000	PLAINS ALL AMERICAN PIPELINE L P UNIT LTD PARTNERSHIP INT SYMBOL: PAA, CUSIP: 726503105	☒	10/29/14	12/10/15	2,285.48	5,835.38	-3,549.90
104.000	PLAINS ALL AMERICAN PIPELINE L P UNIT LTD PARTNERSHIP INT SYMBOL: PAA, CUSIP: 726503105	☒	12/19/13	12/10/15	2,263.72	5,076.45	-2,812.73
135.000	PLUM CREEK TIMBER CO INC COM SYMBOL: PCL, CUSIP: 729251108	☐	05/27/14	12/10/15	6,569.73	6,006.49	563.24
273.000	PLUM CREEK TIMBER CO INC	☐	05/23/14	12/10/15	13,285.46	11,896.52	1,388.94

L J KING & E R KING FOUNDATION
PRIME INCOME
450
26 WEST DRY CREEK CIRCLE
LITTLETON CO 80120-8064

ACCOUNT NUMBER:
314-64838
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Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	COM						
	SYMBOL. PCL, CUSIP. 729251108						
	Long-Term Subtotal				626,380.67	611, 17	15,085.40
Total Gains and Losses					978,474.69		-93,522.71
	Short-Term						-108,608.11
	Long-Term						15,085.40
	Term Unknown						0.00

Schedule of Realized Gains and Losses

This section includes a summary of the short-term or long-term gain or loss from the sale of selected securities in this account. "Short-term" refers to securities held for one year or less; "long-term" refers to securities held more than one year. RBC provides the following Schedule of Realized Gains and Losses as a service to its clients; the information may not be accurate for tax reporting or other purposes and may rely on information, such as the original cost basis for a security, that you or another source at your direction provided to RBC.

Market discount, acquisition premium and life to date OID are only provided for covered securities. Cost basis information may reflect a change in the value of certain fixed income and other securities that return or amortize principal over time. In the case of bonds purchased at a premium, cost basis information may be amortized. In addition, cost basis information may reflect accretion for zero coupon and OID bonds. Please refer to IRS Publications 1212 and 550 or consult your tax advisor for additional information.

For more information on original cost and other tax attributes reported on fixed income securities, please see the enclosed insert. Please see Form 1099-B for information reported to the IRS.

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
19.000	ADOBE SYSTEMS INC SYMBOL: ADBE, CUSIP: 00724F101	☐	03/11/15	08/19/15	1,628.61	1,447.00	181.61
Short-Term Subtotal					1,628.61	1,447.00	181.61

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
60.000	ABBOTT LABORATORIES SYMBOL: ABT, CUSIP: 002824100	☐	12/04/12	08/19/15	2,988.66	1,867.24	1,121.42
25.000	ALLERGAN INC CUSIP: 018490102	☐	02/06/13	02/11/15	5,659.01	2,691.00	2,968.01
83.000	ALLERGAN INC CUSIP: 018490102	☐	10/08/13	02/11/15	18,787.92	7,421.85	11,366.07
150.000	ALLERGAN INC CUSIP: 018490102	☐	08/13/13	02/11/15	33,954.06	13,585.49	20,368.57
161.000	ALLERGAN INC CUSIP: 018490102	☐	05/24/13	02/11/15	36,444.03	15,779.59	20,664.44
74.000	FACTSET RESEARCH SYSTEMS INC SYMBOL: FDS, CUSIP: 303075105	☐	02/06/13	03/13/15	11,205.03	6,923.18	4,281.84
129.000	FACTSET RESEARCH SYSTEMS INC SYMBOL: FDS, CUSIP: 303075105	☐	12/04/12	03/13/15	19,533.09	11,889.29	7,643.81
33.000	FACTSET RESEARCH SYSTEMS INC SYMBOL: FDS, CUSIP: 303075105	☐	02/06/13	06/22/15	5,384.59	3,087.37	2,297.23
48.000	FACTSET RESEARCH SYSTEMS INC SYMBOL: FDS, CUSIP: 303075105	☐	10/08/13	06/22/15	7,832.13	5,217.12	2,615.01
77.000	FACTSET RESEARCH SYSTEMS INC SYMBOL: FDS, CUSIP: 303075105	☐	08/13/13	06/22/15	12,564.05	8,573.95	3,990.10
82.000	FACTSET RESEARCH SYSTEMS INC SYMBOL: FDS, CUSIP: 303075105	☐	05/24/13	06/22/15	13,379.90	8,251.66	5,128.24

Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
0.489	GOOGLE INC CL C CUSIP: 38259P706	☐	12/04/12	05/04/15	261.12	166.63	94.49
2.000	GOOGLE INC CL C CUSIP 38259P706	☐	12/04/12	08/19/15	1,310.55	681.95	628.60
3.000	GOOGLE INC CL A CUSIP 38259P508	☐	12/04/12	08/19/15	2,063.67	1,038.14	1,025.53
35.000	NIKE INC CLASS B COM SYMBOL NKE, CUSIP 654106103	☐	12/04/12	08/19/15	3,981.87	1,708.32	2,273.55
2.000	PRICELINE GROUP INC (THE) SYMBOL PCLN, CUSIP 741503403	☐	06/12/14	08/19/15	2,579.41	2,447.52	131.89
2.000	REGENERON PHARMACEUTICALS INC SYMBOL: REGN, CUSIP 75886F107	☐	02/12/14	08/19/15	1,132.45	642.44	490.01
80.000	STARBUCKS CORP SYMBOL: SBUX, CUSIP. 855244109	☐	12/04/12	08/19/15	4,583.77	2,055.14	2,528.63
22.000	TJX COMPANIES INC NEW SYMBOL. TJX, CUSIP 872540109	☐	12/05/13	08/19/15	1,665.23	1,369.12	296.11
46.000	VISA INC CL A COMMON STOCK SYMBOL. V, CUSIP 92826C839	☐	02/07/13	08/19/15	3,407.66	1,808.02	1,599.64
47.000	W W GRAINGER INC SYMBOL GWW, CUSIP. 384802104	☐	05/14/13	08/19/15	10,543.51	12,220.14	-1,676.63
42.000	W W GRAINGER INC SYMBOL. GWW, CUSIP. 384802104	☐	05/24/13	08/19/15	9,421.86	10,870.02	-1,448.16
42.000	W W GRAINGER INC SYMBOL. GWW, CUSIP 384802104	☐	08/13/13	08/19/15	9,421.86	10,814.16	-1,392.30
16.000	W W GRAINGER INC SYMBOL GWW, CUSIP. 384802104	☐	10/08/13	08/19/15	3,589.28	4,151.04	-561.76
24.000	W W GRAINGER INC SYMBOL GWW, CUSIP 384802104	☐	02/06/13	08/19/15	5,383.92	5,222.88	161.04
41.000	W W GRAINGER INC SYMBOL GWW, CUSIP 384802104	☐	12/04/12	08/19/15	9,197.53	7,925.71	1,271.82
Long-Term Subtotal					236,276.16	148,409.96	87,867.20
Total Gains and Losses					237,904.77		88,048.81
Short-Term							181.61
Long-Term							87,867.20
Term Unknown							0.00

Schedule of Realized Gains and Losses

This section includes a summary of the short-term or long-term gain or loss from the sale of selected securities in this account. "Short-term" refers to securities held for one year or less, "long-term" refers to securities held more than one year. RBC provides the following Schedule of Realized Gains and Losses as a service to its clients; the information may not be accurate for tax reporting or other purposes and may rely on information, such as the original cost basis for a security, that you or another source at your direction provided to RBC.

Market discount, acquisition premium and life to date OID are only provided for covered securities. Cost basis information may reflect a change in the value of certain fixed income and other securities that return or amortize principal over time. In the case of bonds purchased at a premium, cost basis information may be amortized. In addition, cost basis information may reflect accretion for zero coupon and OID bonds. Please refer to IRS Publications 1212 and 550 or consult your tax advisor for additional information.

For more information on original cost and other tax attributes reported on fixed income securities, please see the enclosed insert.

Please see Form 1099-B for information reported to the IRS.

Short-Term

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
126.000	CARBO CERAMICS INC SYMBOL: CRR, CUSIP: 140781105	☐	10/02/14	02/04/15	4,389.43	7,031.70	-2,642.27
116.000	CARBO CERAMICS INC SYMBOL: CRR, CUSIP: 140781105	☐	10/02/14	02/09/15	4,415.56	6,473.62	-2,058.06
86.000	MURPHY USA INC COM SYMBOL: MUSA, CUSIP: 626755102	☐	07/30/14	04/28/15	5,942.97	4,218.99	1,723.98
66.000	MURPHY USA INC COM SYMBOL: MUSA, CUSIP: 626755102	☐	07/30/14	05/18/15	3,907.98	3,237.83	670.15
66.000	TIDEWATER INC SYMBOL: TDW, CUSIP: 886423102	☐	01/14/15	12/17/15	400.03	1,985.72	-1,585.69
Short-Term Subtotal					19,055.97	22,177.94	-3,891.89

Long-Term

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
146.000	ADT CORPORATION COM SYMBOL: ADT, CUSIP: 00101J106	☐	12/31/13	11/20/15	4,976.72	5,917.38	-940.66
30.000	AGCO CORP SYMBOL: AGCO, CUSIP: 001084102	☐	12/04/12	05/18/15	1,521.23	1,369.70	151.53
76.000	AGCO CORP SYMBOL: AGCO, CUSIP: 001084102	☐	12/04/12	07/01/15	4,184.73	3,469.90	714.83
3.000	AGCO CORP SYMBOL: AGCO, CUSIP: 001084102	☐	02/06/13	12/04/15	151.74	162.06	-10.32
64.000	AGCO CORP SYMBOL: AGCO, CUSIP: 001084102	☐	12/04/12	12/04/15	3,237.05	2,922.02	315.04
10.000	BROADRIDGE FINANCIAL SOLUTIONS INC SYMBOL: BR, CUSIP: 11133T103	☐	01/03/13	02/04/15	493.72	236.28	257.44

Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
95.000	BROADRIDGE FINANCIAL SOLUTIONS INC SYMBOL: BR, CUSIP: 11133T103	☐	02/06/13	02/04/15	4,690.39	2,230.60	2,459.79
26.000	BROADRIDGE FINANCIAL SOLUTIONS INC SYMBOL: BR, CUSIP: 11133T103	☐	02/06/13	04/28/15	1,411.32	610.48	800.84
3.000	BROADRIDGE FINANCIAL SOLUTIONS INC SYMBOL: BR, CUSIP: 11133T103	☐	03/12/13	09/17/15	164.74	70.73	94.01
61.000	BROADRIDGE FINANCIAL SOLUTIONS INC SYMBOL: BR, CUSIP: 11133T103	☐	02/06/13	09/17/15	3,349.65	1,432.28	1,917.37
28.000	CENTENE CORP DEL SYMBOL: CNC, CUSIP: 15135B101	☐	02/06/13	01/14/15	2,976.93	1,248.13	1,728.80
102.000	CENTENE CORP DEL SYMBOL: CNC, CUSIP: 15135B101	☐	02/06/13	03/24/15	7,190.77	2,273.38	4,917.39
14.000	CENTENE CORP DEL SYMBOL: CNC, CUSIP: 15135B101	☐	02/06/13	06/05/15	1,026.78	312.03	714.75
18.000	CENTENE CORP DEL SYMBOL: CNC, CUSIP: 15135B101	☐	02/06/13	07/01/15	1,449.94	401.18	1,048.75
36.000	CENTENE CORP DEL SYMBOL: CNC, CUSIP: 15135B101	☐	05/24/13	07/01/15	2,899.87	904.68	1,995.19
88.000	CHICAGO BRIDGE & IRON CO NV SYMBOL: CBI, CUSIP: 167250109	☐	12/04/12	04/10/15	4,408.32	3,597.13	811.19
15.000	CHICAGO BRIDGE & IRON CO NV SYMBOL: CBI, CUSIP: 167250109	☐	12/04/12	05/18/15	862.52	613.15	249.37
77.000	CHICAGO BRIDGE & IRON CO NV SYMBOL: CBI, CUSIP: 167250109	☐	02/06/13	05/18/15	4,427.59	4,066.25	361.34
37.000	CLEAN HARBORS INC SYMBOL: CLH, CUSIP: 184496107	☐	07/26/13	02/27/15	2,099.19	2,104.13	-4.95
33.000	CLEAN HARBORS INC SYMBOL: CLH, CUSIP: 184496107	☐	08/13/13	02/27/15	1,872.25	1,776.39	95.86
41.000	CLEAN HARBORS INC SYMBOL: CLH, CUSIP: 184496107	☐	08/07/13	02/27/15	2,326.13	2,138.57	187.56
57.000	FLOWSERVE CORP SYMBOL: FLS, CUSIP: 34354P105	☐	12/04/12	11/20/15	2,616.67	2,659.24	-42.57
88.000	HANESBRANDS INC SYMBOL: HBI, CUSIP: 410345102	☐	04/02/13	03/24/15	2,989.55	1,004.03	1,985.52
129.000	HANESBRANDS INC SYMBOL: HBI, CUSIP: 410345102	☐	05/24/13	03/24/15	4,382.42	1,577.20	2,805.22

Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
46.000	HANESBRANDS INC SYMBOL: HBI, CUSIP: 410345102	☐	05/30/13	07/01/15	1,553.14	564.44	988.69
55.000	HANESBRANDS INC SYMBOL: HBI, CUSIP: 410345102	☐	05/24/13	07/01/15	1,857.01	669.99	1,187.03
145.000	HANESBRANDS INC SYMBOL: HBI, CUSIP: 410345102	☐	05/30/13	11/20/15	4,510.46	1,766.25	2,744.21
48.000	IDEX CORP SYMBOL: IEX, CUSIP: 45167R104	☐	12/04/12	11/06/15	3,694.25	2,144.93	1,549.32
155.000	MEADWESTVACO CORPORATION CUSIP: 583334107	☐	12/04/12	02/12/15	8,204.98	4,784.61	3,420.37
17.000	MEADWESTVACO CORPORATION CUSIP: 583334107	☐	12/04/12	02/19/15	928.74	524.76	403.98
117.000	MEADWESTVACO CORPORATION CUSIP: 583334107	☐	02/06/13	02/19/15	6,391.93	3,687.67	2,704.26
51.000	NEWFIELD EXPLORATION CO SYMBOL: NFX, CUSIP: 651290108	☐	02/06/13	02/04/15	1,609.18	1,509.50	99.69
35.000	NEWFIELD EXPLORATION CO SYMBOL: NFX, CUSIP: 651290108	☐	05/24/13	02/04/15	1,104.34	849.42	254.93
55.000	NEWFIELD EXPLORATION CO SYMBOL: NFX, CUSIP: 651290108	☐	04/02/13	02/04/15	1,735.40	1,213.73	521.67
124.000	NEWFIELD EXPLORATION CO SYMBOL: NFX, CUSIP: 651290108	☐	03/12/13	02/04/15	3,912.53	2,943.52	969.01
93.000	NEWFIELD EXPLORATION CO SYMBOL: NFX, CUSIP: 651290108	☐	05/24/13	09/17/15	3,284.77	2,257.02	1,027.75
6.000	THOR INDUSTRIES INC SYMBOL: THO, CUSIP: 885160101	☐	12/04/12	09/17/15	335.52	217.90	117.62
34.000	THOR INDUSTRIES INC SYMBOL: THO, CUSIP: 885160101	☐	02/06/13	09/17/15	1,901.28	1,282.14	619.14
113.000	TIDEWATER INC SYMBOL: TDW, CUSIP: 886423102	☐	05/30/13	12/17/15	684.90	6,338.09	-5,653.19
121.000	TIDEWATER INC SYMBOL: TDW, CUSIP: 886423102	☐	02/21/14	12/17/15	733.39	5,763.41	-5,030.02
75.000	TIDEWATER INC SYMBOL: TDW, CUSIP: 886423102	☐	06/06/13	12/17/15	454.58	4,170.69	-3,716.11
81.000	TIDEWATER INC SYMBOL: TDW, CUSIP: 886423102	☐	07/21/14	12/17/15	490.95	4,045.66	-3,554.71
66.000	TIDEWATER INC SYMBOL: TDW, CUSIP: 886423102	☐	08/13/13	12/17/15	400.03	3,731.64	-3,331.61

Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
61.000	TIDEWATER INC SYMBOL: TDW, CUSIP: 886423102	☐	09/30/13	12/17/15	369.73	3,559.92	-3,190.19
66.000	TIDEWATER INC SYMBOL: TDW, CUSIP: 886423102	☐	09/19/14	12/17/15	400.03	2,952.14	-2,552.11
75.000	TIDEWATER INC SYMBOL: TDW, CUSIP: 886423102	☐	10/02/14	12/17/15	454.58	2,816.03	-2,361.45
40.000	TIDEWATER INC SYMBOL: TDW, CUSIP: 886423102	☐	07/09/13	12/17/15	242.44	2,329.97	-2,087.53
36.000	TIDEWATER INC SYMBOL: TDW, CUSIP: 886423102	☐	10/08/13	12/17/15	218.20	2,106.32	-1,888.12
37.000	TIDEWATER INC SYMBOL: TDW, CUSIP: 886423102	☐	08/07/13	12/17/15	224.26	2,077.91	-1,853.65
33.000	TIDEWATER INC SYMBOL: TDW, CUSIP: 886423102	☐	06/17/13	12/17/15	200.02	1,923.90	-1,723.88
82.000	TRINITY INDUSTRIES INC SYMBOL: TRN, CUSIP: 896522109	☐	05/24/13	01/14/15	2,036.10	1,694.08	342.02
143.000	TRINITY INDUSTRIES INC SYMBOL: TRN, CUSIP: 896522109	☐	06/17/13	01/14/15	3,550.77	2,755.60	795.17
7.000	TRINITY INDUSTRIES INC SYMBOL: TRN, CUSIP: 896522109	☐	06/17/13	02/19/15	224.41	134.89	89.52
90.000	TRINITY INDUSTRIES INC SYMBOL: TRN, CUSIP: 896522109	☐	07/09/13	02/19/15	2,885.27	1,651.39	1,233.88
107.000	TRINITY INDUSTRIES INC SYMBOL: TRN, CUSIP: 896522109	☐	08/13/13	02/19/15	3,430.26	2,172.59	1,257.67
71.000	WESTERN UNION CO SYMBOL: WU, CUSIP: 959802109	☐	01/03/13	02/19/15	1,368.86	975.47	393.39
58.000	WESTERN UNION CO SYMBOL: WU, CUSIP: 959802109	☐	01/03/13	04/28/15	1,183.01	796.86	386.15
95.000	WESTERN UNION CO SYMBOL: WU, CUSIP: 959802109	☐	02/21/13	04/28/15	1,937.69	1,330.86	606.83
139.000	WESTERN UNION CO SYMBOL: WU, CUSIP: 959802109	☐	02/06/13	04/28/15	2,835.14	2,043.09	792.05
17.000	WESTERN UNION CO SYMBOL: WU, CUSIP: 959802109	☐	02/21/13	06/05/15	368.89	238.15	130.74
79.000	WESTERN UNION CO SYMBOL: WU, CUSIP: 959802109	☐	04/02/13	06/05/15	1,714.28	1,167.62	546.66
0.980	WESTROCK COMPANY	☐	02/06/13	07/02/15	62.48	39.60	22.88

L J KING & E R KING FOUNDATION
SOUTHERNSUN SMID CAP
450
26 WEST DRY CREEK CIRCLE
LITTLETON CO 80120-8064

ACCOUNT NUMBER:
314-64845
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Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	COM						
	SYMBOL: WRK, CUSIP: 96145D105						
	Long-Term Subtotal				133,204.02	124,328.67	8,875.35
Total Gains and Losses					152,259.99		4,983.46
	Short-Term						-3,891.89
	Long-Term						8,875.35
	Term Unknown						0.00

Schedule of Realized Gains and Losses

This section includes a summary of the short-term or long-term gain or loss from the sale of selected securities in this account. "Short-term" refers to securities held for one year or less; "long-term" refers to securities held more than one year. RBC provides the following Schedule of Realized Gains and Losses as a service to its clients; the information may not be accurate for tax reporting or other purposes and may rely on information, such as the original cost basis for a security, that you or another source at your direction provided to RBC.

Market discount, acquisition premium and life to date OID are only provided for covered securities. Cost basis information may reflect a change in the value of certain fixed income and other securities that return or amortize principal over time. In the case of bonds purchased at a premium, cost basis information may be amortized. In addition, cost basis information may reflect accretion for zero coupon and OID bonds. Please refer to IRS Publications 1212 and 550 or consult your tax advisor for additional information.

For more information on original cost and other tax attributes reported on fixed income securities, please see the enclosed insert.

Please see Form 1099-B for information reported to the IRS.

Short-Term

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
25 000	BAYER AKTIENGESELLSCHAFT ADR SYMBOL BAYRY, CUSIP 072730302	☐	10/28/14	03/20/15	3,884.93	3,425.00	459.93
290 000	CANADIAN OIL SANDS LIMITED SYMBOL COSWF, CUSIP 13643E105	☐	04/29/14	01/02/15	2,541.15	6,260.23	-3,719.08
90.000	CNOOC LTD SPONSORED ADR REP 100 SHS CL H SYMBOL CEO, CUSIP: 126132109	☐	08/19/14	03/20/15	12,372.07	17,844.93	-5,472.86
145.000	HONDA MOTOR CO LTD ADR SYMBOL HMC, CUSIP 438128308	☐	03/13/15	03/20/15	4,965.00	4,928.51	36.49
515 000	HONDA MOTOR CO LTD ADR SYMBOL HMC, CUSIP 438128308	☐	02/25/15	03/20/15	17,634.30	17,190.44	443.86
1,030 000	JAPAN TOBACCO UNSPONSORED AMERICAN DEPOSITRY RECEIPT SYMBOL JAPAY, CUSIP 471105205	☐	10/24/14	03/20/15	15,593.91	16,729.26	-1,135.35
845.000	MICHELIN COMPAGNIE GENERALE DES ETABLISSEMENTS MICHELIN UNSPONSORED ADR REP NOM SHARES SYMBOL MGDDY, CUSIP 59410T106	☐	02/04/15	03/20/15	16,519.44	17,017.71	-498.27
1,110 000	NORTH ATLANTIC DRILLING LTD CUSIP G6613P202	☐	07/14/14	03/20/15	1,288.35	11,677.09	-10,388.74
885 000	ORKLA AS-SPONSORED ADR REPSTG SER A SYMBOL ORKLY, CUSIP 686331109	☐	06/06/14	03/20/15	6,681.62	8,049.96	-1,368.34
182.000	REXAM PLC ADS SYMBOL REXMY, CUSIP 761655604	☐	05/23/14	03/20/15	7,776.71	9,254.84	-1,478.13
910 000	SSE PLC SPONSORED ADR SYMBOL SSEZY, CUSIP 78467K107	☐	03/28/14	03/20/15	20,856.81	23,010.72	-2,153.91

Schedule of Realized Gains and Losses (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
190.000	BAE SYSTEMS PLC SPONSORED ADR SYMBOL. BAESY, CUSIP 05523R107	☐	02/06/13	03/20/15	6,159.69	4,018.50	2,141.19
20.000	BAYER AKTIENGESELLSCHAFT ADR SYMBOL. BAYRY, CUSIP 072730302	☐	08/13/13	03/20/15	3,107.94	2,307.20	800.74
25.000	BAYER AKTIENGESELLSCHAFT ADR SYMBOL: BAYRY, CUSIP 072730302	☐	05/24/13	03/20/15	3,884.93	2,737.50	1,147.43
30.000	BAYER AKTIENGESELLSCHAFT ADR SYMBOL. BAYRY, CUSIP 072730302	☐	12/03/12	03/20/15	4,661.91	2,745.00	1,916.91
40.000	BAYER AKTIENGESELLSCHAFT ADR SYMBOL. BAYRY, CUSIP 072730302	☐	02/06/13	03/20/15	6,215.88	3,788.00	2,427.88
405.000	BCE INC COM NEW SYMBOL. BCE, CUSIP 05534B760	☐	02/27/14	03/20/15	17,083.71	17,487.74	-404.03
45.000	BHP BILLITON LTD SPONSORED ADR SYMBOL. BHP, CUSIP 088606108	☐	02/06/13	03/20/15	2,154.68	3,499.65	-1,344.97
45.000	BHP BILLITON LTD SPONSORED ADR SYMBOL. BHP, CUSIP 088606108	☐	10/08/13	03/20/15	2,154.68	2,922.75	-768.07
30.000	BHP BILLITON LTD SPONSORED ADR SYMBOL. BHP, CUSIP 088606108	☐	05/24/13	03/20/15	1,436.46	2,000.70	-564.24
20.000	BHP BILLITON LTD SPONSORED ADR ORIGINAL COST 1,354.00 WASH SALE REPLACEMENT ADDITION 79.41 WASH SALE LOSS DISALLOWED 0.00 SYMBOL. BHP, CUSIP 088606108	☐	08/13/13	03/20/15	957.64	1,433.41	-475.77
15.000	BHP BILLITON LTD SPONSORED ADR ORIGINAL COST 1,015.50 WASH SALE REPLACEMENT ADDITION 122.55 WASH SALE LOSS DISALLOWED 0.00 SYMBOL. BHP, CUSIP 088606108	☐	08/13/13	03/20/15	718.23	1,138.05	-419.82
440.000	BNP PARIBAS SPONSORED ADR REPSTG 1/2 SH SYMBOL. BNPQY, CUSIP 05565A202	☐	01/09/14	03/20/15	12,728.96	17,237.57	-4,508.61

Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
20.000	BOC HONG KONG HOLDINGS LIMITED SPONSORED ADR 1.20 SYMBOL BHKLY, CUSIP 096813209	☐	05/28/13	03/20/15	1,389.00	1,436.00	-47.00
25.000	BOC HONG KONG HOLDINGS LIMITED SPONSORED ADR 1.20 SYMBOL BHKLY, CUSIP 096813209	☐	02/06/13	03/20/15	1,736.25	1,717.50	18.75
110.000	BOC HONG KONG HOLDINGS LIMITED SPONSORED ADR 1.20 SYMBOL BHKLY, CUSIP 096813209	☐	08/13/13	03/20/15	7,639.50	7,161.00	478.50
45.000	BRITISH AMERICAN TOBACCO PLC SPONSORED ADR SYMBOL BTI, CUSIP 110448107	☐	05/24/13	03/20/15	4,892.91	5,059.80	-166.89
55.000	BRITISH AMERICAN TOBACCO PLC SPONSORED ADR SYMBOL BTI, CUSIP 110448107	☐	08/13/13	03/20/15	5,980.22	5,919.43	60.79
35.000	BRITISH AMERICAN TOBACCO PLC SPONSORED ADR SYMBOL BTI, CUSIP 110448107	☐	12/03/12	03/20/15	3,805.60	3,699.50	106.10
40.000	BRITISH AMERICAN TOBACCO PLC SPONSORED ADR SYMBOL BTI, CUSIP 110448107	☐	10/08/13	03/20/15	4,349.25	4,114.80	234.45
55.000	BRITISH AMERICAN TOBACCO PLC SPONSORED ADR SYMBOL BTI, CUSIP 110448107	☐	02/06/13	03/20/15	5,980.22	5,656.75	323.47
175.000	CANADIAN OIL SANDS LIMITED SYMBOL COSWF, CUSIP 13643E105	☐	02/06/13	01/02/15	1,533.45	3,643.85	-2,110.40
60.000	CANADIAN OIL SANDS LIMITED SYMBOL COSWF, CUSIP 13643E105	☐	08/13/13	01/02/15	525.76	1,188.02	-662.26
60.000	DEUTSCHE POST AG SPONSORED ADR SYMBOL DPSGY, CUSIP 25157Y202	☐	08/13/13	03/20/15	1,902.56	1,739.40	163.16
160.000	DEUTSCHE POST AG SPONSORED ADR SYMBOL DPSGY, CUSIP 25157Y202	☐	05/28/13	03/20/15	5,073.51	4,102.40	971.11
175.000	DEUTSCHE POST AG SPONSORED ADR SYMBOL DPSGY, CUSIP 25157Y202	☐	02/06/13	03/20/15	5,549.15	4,040.75	1,508.40
200.000	DEUTSCHE POST AG SPONSORED ADR SYMBOL DPSGY, CUSIP 25157Y202	☐	12/03/12	03/20/15	6,341.88	4,216.00	2,125.88

Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
505 000	DEUTSCHE TELEKOM AG SPONSORED ADR SYMBOL: DTEGY, CUSIP 251566105	☐	10/11/13	03/20/15	9,200 93	7,761.85	1,439.08
1,390.000	DEUTSCHE TELEKOM AG SPONSORED ADR SYMBOL: DTEGY, CUSIP 251566105	☐	09/19/13	03/20/15	25,325.33	19,073.58	6,251.75
220.000	GDF SUEZ SPONSORED ADR CUSIP: 36160B105	☐	10/10/13	03/20/15	4,498.92	5,788.20	-1,289.28
225.000	GDF SUEZ SPONSORED ADR CUSIP: 36160B105	☐	08/13/13	03/20/15	4,601 16	5,096.25	-495.09
170 000	GDF SUEZ SPONSORED ADR CUSIP: 36160B105	☐	08/26/13	03/20/15	3,476.43	3,792 70	-316.27
115.000	GDF SUEZ SPONSORED ADR CUSIP: 36160B105	☐	12/03/12	03/20/15	2,351 71	2,619.70	-267.99
105.000	GDF SUEZ SPONSORED ADR CUSIP 36160B105	☐	05/28/13	03/20/15	2,147 21	2,243 08	-95.87
85.000	GDF SUEZ SPONSORED ADR CUSIP 36160B105	☐	01/10/13	03/20/15	1,738.22	1,757.73	-19 51
120.000	GDF SUEZ SPONSORED ADR CUSIP 36160B105	☐	02/06/13	03/20/15	2,453.95	2,402.40	51.55
95.000	GLAXOSMITHKLINE PLC SPONSORED ADR(FRM GLAXO WELL) SYMBOL GSK, CUSIP 37733W105	☐	08/13/13	03/20/15	4,613 38	4,940 00	-326 62
60.000	GLAXOSMITHKLINE PLC SPONSORED ADR(FRM GLAXO WELL) SYMBOL: GSK, CUSIP 37733W105	☐	05/24/13	03/20/15	2,913 71	3,177.00	-263.29
50 000	GLAXOSMITHKLINE PLC SPONSORED ADR(FRM GLAXO WELL) SYMBOL: GSK, CUSIP 37733W105	☐	02/06/13	03/20/15	2,428.09	2,267.00	161.09
135.000	GLAXOSMITHKLINE PLC SPONSORED ADR(FRM GLAXO WELL) SYMBOL GSK, CUSIP 37733W105	☐	04/03/13	03/20/15	6,555 85	6,355.80	200 05
90.000	GLAXOSMITHKLINE PLC SPONSORED ADR(FRM GLAXO WELL) SYMBOL: GSK, CUSIP 37733W105	☐	01/10/13	03/20/15	4,370 57	4,000 54	370 03

Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
155.000	HSBC HOLDINGS PLC SPONSORED ADR SYMBOL: HSBC, CUSIP 404280406	☐	12/03/12	03/20/15	6,708.38	7,929.57	-1,221.19
95.000	HSBC HOLDINGS PLC SPONSORED ADR SYMBOL: HSBC, CUSIP 404280406	☐	05/24/13	03/20/15	4,111.59	5,243.05	-1,131.46
80.000	HSBC HOLDINGS PLC SPONSORED ADR SYMBOL: HSBC, CUSIP 404280406	☐	08/13/13	03/20/15	3,462.39	4,452.80	-990.41
70.000	HSBC HOLDINGS PLC SPONSORED ADR SYMBOL: HSBC, CUSIP 404280406	☐	02/06/13	03/20/15	3,029.59	3,911.60	-882.01
60.000	IMPERIAL TOBACCO GROUP PLC SPONSORED ADR SYMBOL: ITYBY, CUSIP 453142101	☐	03/12/14	03/20/15	5,555.30	4,939.99	615.31
40.000	IMPERIAL TOBACCO GROUP PLC SPONSORED ADR SYMBOL: ITYBY, CUSIP 453142101	☐	05/28/13	03/20/15	3,703.53	2,926.40	777.13
55.000	IMPERIAL TOBACCO GROUP PLC SPONSORED ADR SYMBOL: ITYBY, CUSIP 453142101	☐	08/15/13	03/20/15	5,092.36	3,787.30	1,305.06
65.000	IMPERIAL TOBACCO GROUP PLC SPONSORED ADR SYMBOL: ITYBY, CUSIP 453142101	☐	10/08/13	03/20/15	6,018.24	4,615.00	1,403.24
125.000	IMPERIAL TOBACCO GROUP PLC SPONSORED ADR SYMBOL: ITYBY, CUSIP 453142101	☐	04/26/13	03/20/15	11,573.54	8,873.06	2,700.48
3.757	INDIVIOR PLC SPONSORED ADR SYMBOL: INVY, CUSIP 45579E105	☐	05/24/13	03/20/15	55.97	34.98	20.99
14.631	INDIVIOR PLC SPONSORED ADR SYMBOL: INVY, CUSIP 45579E105	☐	08/13/13	03/20/15	218.00	129.97	88.03
15.817	INDIVIOR PLC SPONSORED ADR SYMBOL: INVY, CUSIP 45579E105	☐	02/06/13	03/20/15	235.67	133.05	102.62
17.795	INDIVIOR PLC SPONSORED ADR SYMBOL: INVY, CUSIP 45579E105	☐	12/03/12	03/20/15	265.13	138.31	126.82
290.000	KIRIN HOLDINGS LTD SPONSORED ADR SYMBOL: KNBWY, CUSIP 497350306	☐	08/05/13	02/25/15	3,758.91	4,524.87	-765.96

Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
85.000	KIRIN HOLDINGS LTD SPONSORED ADR SYMBOL: KNBWY, CUSIP 497350306	☐	05/28/13	02/25/15	1,101.75	1,464.55	-362.80
115.000	KIRIN HOLDINGS LTD SPONSORED ADR SYMBOL: KNBWY, CUSIP 497350306	☐	08/15/13	02/25/15	1,490.60	1,741.10	-250.50
105.000	KIRIN HOLDINGS LTD SPONSORED ADR SYMBOL: KNBWY, CUSIP 497350306	☐	08/15/13	03/20/15	1,440.57	1,589.70	-149.13
390.000	KIRIN HOLDINGS LTD SPONSORED ADR SYMBOL: KNBWY, CUSIP 497350306	☐	10/08/13	03/20/15	5,350.70	5,491.20	-140.50
130.000	MANULIFE FINANCIAL CORP SYMBOL: MFC, CUSIP: 56501R106	☐	08/02/13	03/20/15	2,263.52	2,319.11	-55.59
265.000	MANULIFE FINANCIAL CORP SYMBOL: MFC, CUSIP 56501R106	☐	08/15/13	03/20/15	4,614.09	4,522.89	91.20
70.000	MANULIFE FINANCIAL CORP SYMBOL: MFC, CUSIP: 56501R106	☐	03/15/13	03/20/15	1,218.82	1,064.70	154.12
330.000	MANULIFE FINANCIAL CORP SYMBOL: MFC, CUSIP: 56501R106	☐	10/08/13	03/20/15	5,745.85	5,404.74	341.11
200.000	MANULIFE FINANCIAL CORP SYMBOL: MFC, CUSIP 56501R106	☐	05/24/13	03/20/15	3,482.34	3,073.82	408.52
220.000	MTN GROUP LTD SPONS ADR SYMBOL: MTNOY, CUSIP 62474M108	☐	02/06/13	03/20/15	4,094.12	4,430.80	-336.68
150.000	MTN GROUP LTD SPONS ADR SYMBOL: MTNOY, CUSIP: 62474M108	☐	08/13/13	03/20/15	2,791.45	3,000.00	-208.55
415.000	MTN GROUP LTD SPONS ADR SYMBOL: MTNOY, CUSIP 62474M108	☐	12/03/12	03/20/15	7,723.01	7,822.75	-99.74
235.000	MTN GROUP LTD SPONS ADR SYMBOL: MTNOY, CUSIP 62474M108	☐	05/24/13	03/20/15	4,373.27	4,429.75	-56.48
215.000	MUENCHENER RUECKVERSICHERUNGS GESELLSCHAFT AG ADR SYMBOL: MURGY, CUSIP 626188106	☐	08/13/13	03/20/15	4,682.61	4,119.40	563.21
260.000	MUENCHENER RUECKVERSICHERUNGS GESELLSCHAFT AG ADR SYMBOL: MURGY, CUSIP 626188106	☐	05/28/13	03/20/15	5,662.69	4,946.45	716.24

Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
240.000	MUENCHENER RUECKVERSICHERUNGS GESELLSCHAFT AG ADR SYMBOL: MURGY, CUSIP 626188106	☐	02/06/13	03/20/15	5,227.10	4,449.60	777.50
250.000	MUENCHENER RUECKVERSICHERUNGS GESELLSCHAFT AG ADR SYMBOL: MURGY, CUSIP 626188106	☐	12/03/12	03/20/15	5,444.90	4,307.50	1,137.40
80.000	NESTLE SA-SPONSORED ADR REPSTG REGD ORD (SF 10 PAR) SYMBOL: NSRGY, CUSIP 641069406	☐	02/06/13	03/20/15	6,218.73	5,629.60	589.13
90.000	NESTLE SA-SPONSORED ADR REPSTG REGD ORD (SF 10 PAR) SYMBOL: NSRGY, CUSIP 641069406	☐	08/13/13	03/20/15	6,996.07	6,064.20	931.87
110.000	NESTLE SA-SPONSORED ADR REPSTG REGD ORD (SF 10 PAR) SYMBOL: NSRGY, CUSIP 641069406	☐	05/24/13	03/20/15	8,550.75	7,491.00	1,059.75
160.000	NESTLE SA-SPONSORED ADR REPSTG REGD ORD (SF 10 PAR) SYMBOL: NSRGY, CUSIP 641069406	☐	12/03/12	03/20/15	12,437.45	10,500.80	1,936.65
200.000	NIPPON TELEGRAPH AND TELEPHONE CORPORATION AMERICAN DEPOSITARY SHARES SYMBOL: NTT, CUSIP 654624105	☐	10/09/13	03/20/15	6,146.03	5,247.98	898.05
360.000	NIPPON TELEGRAPH AND TELEPHONE CORPORATION AMERICAN DEPOSITARY SHARES SYMBOL: NTT, CUSIP 654624105	☐	08/15/13	03/20/15	11,062.85	9,401.40	1,661.45
550.000	NIPPON TELEGRAPH AND TELEPHONE CORPORATION AMERICAN DEPOSITARY SHARES SYMBOL: NTT, CUSIP 654624105	☐	07/24/13	03/20/15	16,901.57	14,786.92	2,114.65
30.000	NOVARTIS AG AMERICAN DEPOSITARY SHARES SYMBOL: NVS, CUSIP 66987V109	☐	12/03/12	02/04/15	2,958.75	1,874.96	1,083.79
30.000	NOVARTIS AG AMERICAN DEPOSITARY SHARES SYMBOL: NVS, CUSIP 66987V109	☐	05/24/13	03/20/15	3,045.33	2,223.00	822.33
70.000	NOVARTIS AG AMERICAN DEPOSITARY SHARES SYMBOL: NVS, CUSIP 66987V109	☐	08/13/13	03/20/15	7,105.77	5,158.30	1,947.47
80.000	NOVARTIS AG	☐	02/06/13	03/20/15	8,120.88	5,500.80	2,620.08

Schedule of Realized Gains and Losses (cont)

Long-Term (cont)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	AMERICAN DEPOSITARY SHARES SYMBOL NVS, CUSIP 66987V109						
140.000	NOVARTIS AG AMERICAN DEPOSITARY SHARES SYMBOL NVS, CUSIP 66987V109	☐	12/03/12	03/20/15	14,211.53	8,749.79	5,461.74
100.000	OIL CO LUKOIL-SPONSORED ADR CUSIP 677862104	☐	08/13/13	01/27/15	4,359.46	5,767.00	-1,407.54
1,285.000	ORKLA AS-SPONSORED ADR REPSTG SER A SYMBOL ORKLY, CUSIP 686331109	☐	02/18/14	03/20/15	9,701.57	10,035.85	-334.28
645.000	ORKLA AS-SPONSORED ADR REPSTG SER A SYMBOL ORKLY, CUSIP 686331109	☐	02/27/14	03/20/15	4,869.66	5,077.44	-207.78
45.000	P T TELEKOMUNIKASI INDONESIA SPONSORED ADR SYMBOL TLK, CUSIP 715684106	☐	02/06/13	01/05/15	1,987.50	1,801.73	185.77
105.000	P T TELEKOMUNIKASI INDONESIA SPONSORED ADR SYMBOL TLK, CUSIP 715684106	☐	12/03/12	01/05/15	4,637.51	3,854.39	783.11
80.000	P T TELEKOMUNIKASI INDONESIA SPONSORED ADR SYMBOL TLK, CUSIP 715684106	☐	08/13/13	03/20/15	3,571.18	3,645.60	-74.42
55.000	P T TELEKOMUNIKASI INDONESIA SPONSORED ADR SYMBOL TLK, CUSIP 715684106	☐	02/06/13	03/20/15	2,455.18	2,202.12	253.07
340.000	RECKITT BENCKISER PLC SPONSORED ADR SYMBOL RBGLY, CUSIP 756255204	☐	12/03/12	02/25/15	5,991.87	4,237.18	1,754.69
110.000	RECKITT BENCKISER PLC SPONSORED ADR SYMBOL RBGLY, CUSIP 756255204	☐	12/03/12	03/13/15	1,867.40	1,370.85	496.55
260.000	RECKITT BENCKISER PLC SPONSORED ADR SYMBOL RBGLY, CUSIP 756255204	☐	02/06/13	03/13/15	4,413.86	3,392.32	1,021.54
95.000	RECKITT BENCKISER PLC SPONSORED ADR SYMBOL RBGLY, CUSIP 756255204	☐	05/24/13	03/20/15	1,682.42	1,371.97	310.45
140.000	RECKITT BENCKISER PLC SPONSORED ADR SYMBOL RBGLY, CUSIP 756255204	☐	02/06/13	03/20/15	2,479.35	1,826.63	652.72
370.000	RECKITT BENCKISER PLC SPONSORED ADR SYMBOL RBGLY, CUSIP 756255204	☐	08/13/13	03/20/15	6,552.58	5,098.13	1,454.45

Schedule of Realized Gains and Losses (cont)

g-Term

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
75.000	RIOCAN REAL ESTATE INVESTMENT TRUST UNITS SYMBOL: RIOCF, CUSIP: 766910103	☐	05/24/13	03/20/15	1,679.22	2,078.71	-399.49
245.000	RIOCAN REAL ESTATE INVESTMENT TRUST UNITS SYMBOL: RIOCF, CUSIP: 766910103	☐	08/13/13	03/20/15	5,485.44	5,755.88	-270.44
20 000	ROCHE HOLDING LTD SPONSORED ADR REPSTG ORD SYMBOL: RHHBY, CUSIP: 771195104	☐	08/13/13	03/20/15	699.51	646.40	53.11
70.000	ROCHE HOLDING LTD SPONSORED ADR REPSTG ORD SYMBOL: RHHBY, CUSIP: 771195104	☐	05/24/13	03/20/15	2,448.30	2,307.20	141.10
100 000	ROCHE HOLDING LTD SPONSORED ADR REPSTG ORD SYMBOL: RHHBY, CUSIP: 771195104	☐	02/06/13	03/20/15	3,497.57	2,772.00	725.57
370 000	ROCHE HOLDING LTD SPONSORED ADR REPSTG ORD SYMBOL: RHHBY, CUSIP: 771195104	☐	12/03/12	03/20/15	12,941.02	9,066.85	3,874.17
60 000	ROYAL DUTCH SHELL PLC SPONSORED ADR REPSTG B SHS SYMBOL: RDSB, CUSIP: 780259107	☐	02/06/13	03/20/15	3,862.45	4,227.59	-365.14
80.000	ROYAL DUTCH SHELL PLC SPONSORED ADR REPSTG B SHS SYMBOL: RDSB, CUSIP: 780259107	☐	10/08/13	03/20/15	5,149.93	5,351.99	-202.06
30 000	ROYAL DUTCH SHELL PLC SPONSORED ADR REPSTG B SHS ORIGINAL COST 2,037.00 WASH SALE REPLACEMENT ADDITION 89.44 WASH SALE LOSS DISALLOWED 0.00 SYMBOL: RDSB, CUSIP: 780259107	☐	08/13/13	03/20/15	1,931.22	2,126.44	-195.21
40.000	ROYAL DUTCH SHELL PLC SPONSORED ADR REPSTG B SHS SYMBOL: RDSB, CUSIP: 780259107	☐	12/03/12	03/20/15	2,574.97	2,763.20	-188.23
35 000	ROYAL DUTCH SHELL PLC SPONSORED ADR REPSTG B SHS SYMBOL: RDSB, CUSIP: 780259107	☐	05/24/13	03/20/15	2,253.10	2,428.30	-175.20
25 000	SANOFI SPONSORED ADR SYMBOL: SNY, CUSIP: 80105N105	☐	08/13/13	03/20/15	1,255.73	1,303.50	-47.77
10.000	SANOFI SPONSORED ADR SYMBOL: SNY, CUSIP: 80105N105	☐	05/24/13	03/20/15	502.29	547.09	-44.80

Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
60.000	SANOFI SPONSORED ADR SYMBOL: SNY, CUSIP 80105N105	☐	10/08/13	03/20/15	3,013.75	2,989.17	24.58
110.000	SANOFI SPONSORED ADR SYMBOL SNY, CUSIP 80105N105	☐	02/06/13	03/20/15	5,525.21	5,174.56	350.65
205.000	SANOFI SPONSORED ADR SYMBOL. SNY, CUSIP 80105N105	☐	12/03/12	03/20/15	10,296.98	9,224.49	1,072.49
55.000	SIEMENS AG AMERICAN DEPOSITARY SHARES SYMBOL SIEGY, CUSIP 826197501	☐	10/09/13	03/20/15	5,959.14	6,599.99	-640.85
30.000	SIEMENS AG AMERICAN DEPOSITARY SHARES SYMBOL. SIEGY, CUSIP 826197501	☐	08/13/13	03/20/15	3,250.44	3,338.40	-87.96
5.000	SIEMENS AG AMERICAN DEPOSITARY SHARES SYMBOL. SIEGY, CUSIP. 826197501	☐	12/03/12	03/20/15	541.74	500.69	41.05
40.000	SIEMENS AG AMERICAN DEPOSITARY SHARES SYMBOL SIEGY, CUSIP 826197501	☐	05/24/13	03/20/15	4,333.92	4,125.16	208.76
40.000	SIEMENS AG AMERICAN DEPOSITARY SHARES SYMBOL SIEGY, CUSIP. 826197501	☐	02/06/13	03/20/15	4,333.92	4,017.87	316.05
125.000	SINGAPORE TELECOMMUNICATION LTD SPONSORED ADR 2006 SYMBOL. SGAPY, CUSIP 82929R304	☐	05/28/13	03/20/15	3,872.43	3,923.75	-51.32
205.000	SINGAPORE TELECOMMUNICATION LTD SPONSORED ADR 2006 SYMBOL SGAPY, CUSIP 82929R304	☐	08/13/13	03/20/15	6,350.78	6,221.75	129.03
160.000	SINGAPORE TELECOMMUNICATION LTD SPONSORED ADR 2006 SYMBOL SGAPY, CUSIP: 82929R304	☐	02/06/13	03/20/15	4,956.71	4,542.40	414.31
240.000	SINGAPORE TELECOMMUNICATION LTD SPONSORED ADR 2006 SYMBOL SGAPY, CUSIP 82929R304	☐	12/03/12	03/20/15	7,435.06	6,508.80	926.26
155.000	SMITHS GROUP PLC SPONSORED ADR SYMBOL SMGZY, CUSIP 83238P203	☐	08/13/13	03/20/15	2,796.15	3,174.40	-378.25
85.000	SMITHS GROUP PLC SPONSORED ADR SYMBOL. SMGZY, CUSIP 83238P203	☐	05/28/13	03/20/15	1,533.37	1,785.85	-252.48

Schedule of Realized Gains and Losses (con't)

		Long-Term (con't)			NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE			
120.000	SMITHS GROUP PLC SPONSORED ADR SYMBOL: SMGZY, CUSIP: 83238P203	☐	02/06/13	03/20/15	2,164.76	2,294.40	-129.64
365.000	SMITHS GROUP PLC SPONSORED ADR SYMBOL: SMGZY, CUSIP: 83238P203	☐	12/03/12	03/20/15	6,584.47	6,566.35	18.12
175.000	STATOIL ASA SPONSORED ADR SYMBOL: STO, CUSIP: 85771P102	☐	02/06/13	02/04/15	3,144.91	4,612.74	-1,467.83
75.000	STATOIL ASA SPONSORED ADR SYMBOL: STO, CUSIP: 85771P102	☐	12/03/12	02/04/15	1,347.82	1,814.89	-467.07
195.000	STATOIL ASA SPONSORED ADR SYMBOL: STO, CUSIP: 85771P102	☐	05/24/13	03/20/15	3,437.81	4,424.37	-986.56
140.000	STATOIL ASA SPONSORED ADR SYMBOL: STO, CUSIP: 85771P102	☐	08/13/13	03/20/15	2,468.17	3,022.49	-554.32
15.000	STATOIL ASA SPONSORED ADR SYMBOL: STO, CUSIP: 85771P102	☐	02/06/13	03/20/15	264.45	395.38	-130.93
85.000	TOTAL S A 1 ADR REPRESENTING 1 ORD SHS SYMBOL: TOT, CUSIP: 89151E109	☐	10/09/13	03/20/15	4,275.55	4,932.38	-656.83
80.000	TOTAL S A 1 ADR REPRESENTING 1 ORD SHS SYMBOL: TOT, CUSIP: 89151E109	☐	08/15/13	03/20/15	4,024.05	4,355.94	-331.89
70.000	TOTAL S A 1 ADR REPRESENTING 1 ORD SHS SYMBOL: TOT, CUSIP: 89151E109	☐	02/06/13	03/20/15	3,521.04	3,699.18	-178.14
40.000	TOTAL S A 1 ADR REPRESENTING 1 ORD SHS SYMBOL: TOT, CUSIP: 89151E109	☐	08/02/13	03/20/15	2,012.02	2,159.89	-147.87
90.000	TOTAL S A 1 ADR REPRESENTING 1 ORD SHS SYMBOL: TOT, CUSIP: 89151E109	☐	05/24/13	03/20/15	4,527.05	4,599.18	-72.13
5.000	TOTAL S A 1 ADR REPRESENTING 1 ORD SHS SYMBOL: TOT, CUSIP: 89151E109	☐	12/03/12	03/20/15	251.50	250.54	0.96
115.000	UNILEVER N V YORK SHS ADR SYMBOL: UN, CUSIP: 904784709	☐	05/24/13	03/20/15	4,930.04	4,833.35	96.69

Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
155.000	UNILEVER N V YORK SHS ADR SYMBOL UN, CUSIP 904784709	☐	08/13/13	03/20/15	6,644.84	6,164.23	480.61
140.000	UNILEVER N V YORK SHS ADR SYMBOL UN, CUSIP 904784709	☐	02/06/13	03/20/15	6,001.79	5,507.39	494.40
115.000	UNILEVER N V YORK SHS ADR SYMBOL UN, CUSIP 904784709	☐	10/08/13	03/20/15	4,930.04	4,315.72	614.32
240.000	UNILEVER N V YORK SHS ADR SYMBOL UN, CUSIP 904784709	☐	12/03/12	03/20/15	10,288.78	9,119.64	1,169.14
115.000	UNITED OVERSEAS BANK LTD SPONSORED ADR SYMBOL UOVEY, CUSIP 911271302	☐	08/13/13	03/20/15	3,801.83	3,962.90	-161.07
100.000	UNITED OVERSEAS BANK LTD SPONSORED ADR SYMBOL UOVEY, CUSIP 911271302	☐	05/24/13	03/20/15	3,305.94	3,431.00	-125.06
140.000	UNITED OVERSEAS BANK LTD SPONSORED ADR SYMBOL UOVEY, CUSIP 911271302	☐	02/06/13	03/20/15	4,628.31	4,324.60	303.71
160.000	UNITED OVERSEAS BANK LTD SPONSORED ADR SYMBOL UOVEY, CUSIP 911271302	☐	12/03/12	03/20/15	5,289.50	4,944.00	345.50
90.000	VODAFONE GROUP PLC SPONSORED ADR NO PAR SYMBOL VOD, CUSIP 92857W308	☐	08/13/13	03/20/15	3,050.11	5,057.12	-2,007.01
125.455	VODAFONE GROUP PLC SPONSORED ADR NO PAR SYMBOL VOD, CUSIP 92857W308	☐	02/06/13	03/20/15	4,251.66	6,175.16	-1,923.50
92.727	VODAFONE GROUP PLC SPONSORED ADR NO PAR SYMBOL VOD, CUSIP 92857W308	☐	05/24/13	03/20/15	3,142.53	5,016.55	-1,874.02
119.818	VODAFONE GROUP PLC SPONSORED ADR NO PAR SYMBOL VOD, CUSIP 92857W308	☐	12/03/12	03/20/15	4,060.65	5,651.69	-1,591.05
135.000	ZURICH INSURANCE GROUP LIMITED SPONSORED ADR SYMBOL ZURVY, CUSIP 989825104	☐	12/03/12	02/04/15	4,588.96	2,973.26	1,615.70
145.000	ZURICH INSURANCE GROUP LIMITED SPONSORED ADR SYMBOL ZURVY, CUSIP 989825104	☐	08/13/13	03/20/15	4,873.36	3,657.81	1,215.55

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Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
140.000	ZURICH INSURANCE GROUP LIMITED SPONSORED ADR SYMBOL: ZURVY, CUSIP: 989825104	☐	02/06/13	03/20/15	4,705.31	3,464.19	1,241.12
170.000	ZURICH INSURANCE GROUP LIMITED SPONSORED ADR SYMBOL: ZURVY, CUSIP: 989825104	☐	12/03/12	03/20/15	5,713.59	3,744.11	1,969.49
230.000	ZURICH INSURANCE GROUP LIMITED SPONSORED ADR SYMBOL: ZURVY, CUSIP: 989825104	☐	05/24/13	03/20/15	7,730.16	5,636.44	2,093.72
Long-Term Subtotal					739,951.25	612,312.47	47,628.28
Total Gains and Losses					856,139.23		21,697.78
Short-Term							-25,930.50
Long-Term							47,628.28
Term Unknown							0.00

Schedule of Realized Gains and Losses

This section includes a summary of the short-term or long-term gain or loss from the sale of selected securities in this account. "Short-term" refers to securities held for one year or less; "long-term" refers to securities held more than one year. RBC provides the following Schedule of Realized Gains and Losses as a service to its clients; the information may not be accurate for tax reporting or other purposes and may rely on information, such as the original cost basis for a security, that you or another source at your direction provided to RBC.

Market discount, acquisition premium and life to date OID are only provided for covered securities. Cost basis information may reflect a change in the value of certain fixed income and other securities that return or amortize principal over time. In the case of bonds purchased at a premium, cost basis information may be amortized. In addition, cost basis information may reflect accretion for zero coupon and OID bonds. Please refer to IRS Publications 1212 and 550 or consult your tax advisor for additional information.

For more information on original cost and other tax attributes reported on fixed income securities, please see the enclosed insert.

Please see Form 1099-B for information reported to the IRS.

Short-Term

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
2,000.000	CABLEVISION SYSTEMS CORP SR UNSECURED 5.875% DUE 09/15/2022 ORIGINAL COST 2,070.00, PREMIUM AMORTIZED 4.41, ORIGINAL PRICE 103.500 CUSIP: 12686CBB4	☐	02/11/15	09/09/15	1,941.20	2,065.59	-124.39
4,000.000	CHC HELICOPTER SR SEC NT 9.250% DUE 10/15/2020 ADJUSTED QUANTITY: 3,600.000, ORIGINAL COST: 3,618.00, PURCHASE FACTOR 0.9, SALE FACTOR 0.9 CUSIP: 12545DAB4	☒	12/03/14	07/22/15	2,268.00	3,618.00	-1,350.00
5,000.000	CHC HELICOPTER SR SEC NT 9.250% DUE 10/15/2020 ADJUSTED QUANTITY: 4,500.000, ORIGINAL COST 4,522.50, PURCHASE FACTOR 0.9, SALE FACTOR 0.9 CUSIP: 12545DAB4	☒	12/03/14	09/17/15	2,767.50	4,522.50	-1,755.00
5,000.000	CHC HELICOPTER SR SEC NT 9.250% DUE 10/15/2020 ADJUSTED QUANTITY 4,500.000, ORIGINAL COST 4,522.50, PURCHASE FACTOR 0.9, SALE FACTOR 0.9 CUSIP: 12545DAB4	☒	12/03/14	10/08/15	2,733.75	4,522.50	-1,788.75
7,000.000	CINCINNATI BELL INC NEW SR NT 8.375% DUE 10/15/2020 ORIGINAL COST: 7,385.00, PREMIUM AMORTIZED 17.56, ORIGINAL PRICE 105.500 CUSIP: 171871AN6	☐	01/14/15	05/13/15	7,437.50	7,367.44	70.06
3,000.000	INTELSAT JACKSON HLDGS LTD 5.500% DUE 08/01/2023 CUSIP 45824TAP0	☒	04/14/15	09/23/15	2,557.50	2,865.00	-307.50
6,000.000	INTELSAT JACKSON HLDGS LTD 5.500% DUE 08/01/2023 CUSIP 45824TAP0	☒	04/14/15	09/30/15	4,935.00	5,730.00	-795.00
6,000.000	INTELSAT JACKSON HLDGS LTD	☒	04/14/15	09/30/15	4,980.00	5,730.00	-750.00

Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	5.500% DUE 08/01/2023 CUSIP 45824TAP0						
4,000.000	OFFSHORE GROUP INVT LTD 7.125% DUE 04/01/2023 CUSIP: 676253AM9	☒	09/26/14	09/10/15	1,520.00	3,520.00	-2,000.00
2,000.000	ROSETTA RES INC CONTRA CUSIP 5.625% DUE 05/01/2021 CUSIP: 77799ABK5	☐	12/03/14	07/29/15	2,124.53	1,840.00	284.53
14,000.000	ROSETTA RESOURCES INC SR UNSECURED 5.875% DUE 06/01/2024 ORIGINAL COST: 13,755.00, ORIGINAL PRICE: 98.250 CUSIP 777779AF6	☐	11/10/14	07/01/15	15,277.50	13,755.00	1,522.50
14,000.000	SPRINT NEXTEL CORP NOTES 6.000% DUE 11/15/2022 ORIGINAL COST 14,245.00, PREMIUM AMORTIZED: 19.68, ORIGINAL PRICE: 101.750 CUSIP: 852061AS9	☐	04/08/14	02/20/15	13,370.00	14,225.32	-855.32
Short-Term Subtotal					61,912.48	69,761.35	-7,848.87

Long-Term

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
7,000.000	AES CORP SENIOR NOTES 4.875% DUE 05/15/2023 ORIGINAL COST: 7,122.50, PREMIUM AMORTIZED: 26.09, ORIGINAL PRICE: 101.750 CUSIP: 00130HBT1	☒	04/26/13	04/16/15	6,851.25	7,096.41	-245.16
3,000.000	AES CORP SENIOR NOTES 4.875% DUE 05/15/2023 CUSIP: 00130HBT1	☒	06/03/13	04/16/15	2,936.25	2,940.00	-3.75
3,000.000	ARCH COAL INC SR NT 7%19 7.000% DUE 06/15/2019 CUSIP: 039380AE0	☒	04/24/13	02/04/15	787.50	2,707.50	-1,920.00
5,000.000	CHESAPEAKE ENERGY CORP 6.125% DUE 02/15/2021 ORIGINAL COST: 5,275.00, PREMIUM AMORTIZED: 61.63, ORIGINAL PRICE: 105.500 CUSIP: 165167CG0	☒	01/07/13	02/20/15	5,425.00	5,213.37	211.63
7,000.000	CONSTELLATION BRANDS INC REGISTERED SENIOR NOTES 4.250% DUE 05/01/2023 ORIGINAL COST: 7,175.00, PREMIUM AMORTIZED: 29.09, ORIGINAL PRICE: 102.500 CUSIP 21036PAL2	☒	05/10/13	04/16/15	7,262.50	7,145.91	116.59

Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
5,000.000	DONNELLEY R R & SONS CO NT 7 625% DUE 06/15/2020 ORIGINAL COST: 5,518.75, PREMIUM AMORTIZED: 83 12, ORIGINAL PRICE 110 375 CUSIP 257867AW1	☐	01/29/14	04/08/15	5,731.25	5,435.63	295 62
7,000.000	DONNELLEY R R & SONS CO NT 7 625% DUE 06/15/2020 ORIGINAL COST: 7,525.00, PREMIUM AMORTIZED: 118.40, ORIGINAL PRICE 107.500 CUSIP 257867AW1	☒	05/28/13	04/08/15	8,023.75	7,406.60	617 15
5,000.000	EMBARQ CORP NT 7.995% DUE 06/01/2036 ORIGINAL COST: 5,533 65, PREMIUM AMORTIZED: 20.79, ORIGINAL PRICE 110 673 CUSIP 29078EAA3	☒	12/13/12	01/26/15	5,762.50	5,512.86	249 64
5,000.000	FERRELLGAS L P / FERRELLGAS SR NT 6 5%21 6 500% DUE 05/01/2021 CUSIP 315292AM4	☒	12/06/12	10/22/15	4,675 00	4,900 00	-225 00
3,000.000	FERRELLGAS L P / FERRELLGAS SR NT 6 5%21 6.500% DUE 05/01/2021 ORIGINAL COST: 3,016 50, PREMIUM AMORTIZED: 5.80, ORIGINAL PRICE 100 550 CUSIP 315292AM4	☒	08/29/13	10/22/15	2,805.00	3,010.70	-205.70
2,000.000	FERRELLGAS L P / FERRELLGAS SR NT 6 5%21 6 500% DUE 05/01/2021 ORIGINAL COST: 2,095.00, PREMIUM AMORTIZED: 35 97, ORIGINAL PRICE 104 750 CUSIP 315292AM4	☒	04/18/13	10/22/15	1,870.00	2,059.03	-189.03
2,000.000	FERRELLGAS L P / FERRELLGAS SR NT 6 5%21 6 500% DUE 05/01/2021 ORIGINAL COST 2,070 00, PREMIUM AMORTIZED: 25 69, ORIGINAL PRICE 103 500 CUSIP 315292AM4	☒	06/11/13	10/22/15	1,870 00	2,044.31	-174 31
2,000.000	FERRELLGAS L P / FERRELLGAS SR NT 6 5%21 6 500% DUE 05/01/2021 CUSIP 315292AM4	☒	03/10/14	10/22/15	1,870.00	0.00	1,870.00
3,000.000	FRONTIER COMMUNICATIONS CORP REGISTERED SENIOR NOTES 7.625% DUE 04/15/2024 ORIGINAL COST: 3,090 00, PREMIUM AMORTIZED: 10 21, ORIGINAL PRICE 103.000 CUSIP 35906AAN8	☒	04/22/13	01/15/15	3,157.50	3,079.79	77.71
1,000.000*	HAWAIIAN AIRLS SER 2013-1 CL B 4 950% DUE 07/15/2023 ADJUSTED QUANTITY: 28 040, ORIGINAL COST 28 04,	☒	05/14/13	01/15/15	28.04	28.04	0.00

Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	PURCHASE FACTOR: 0.02804, SALE FACTOR: 0.02804 CUSIP: 419839AA3						
1,000.000*	HAWAIIAN AIRLS SER 2013-1 CL B 4.950% DUE 07/15/2023 ADJUSTED QUANTITY: 43.050, ORIGINAL COST 43.05, PURCHASE FACTOR: 0.04305, SALE FACTOR 0.04305 CUSIP: 419839AA3	☒	05/14/13	07/15/15	43.05	43.05	0.00
5,000.000	MGM RESORTS INTL REGISTERED SENIOR NOTES 6.625% DUE 12/15/2021 ORIGINAL COST 5,056.25, PREMIUM AMORTIZED 11.27, ORIGINAL PRICE 101.125 CUSIP: 552953CA7	☒	12/19/12	03/19/15	5,300.00	5,044.98	255.02
7,000.000	OFFSHORE GROUP INVT LTD SR 1 LIEN 7.5%19 7.500% DUE 11/01/2019 ORIGINAL COST 7,682.50, PREMIUM AMORTIZED 275.49, ORIGINAL PRICE 109.750 CUSIP: 676253AJ6	☒	05/03/13	09/02/15	2,905.00	7,407.01	-4,502.01
3,000.000	OFFSHORE GROUP INVT LTD SR 1 LIEN 7.5%19 7.500% DUE 11/01/2019 ORIGINAL COST 3,261.90, PREMIUM AMORTIZED 101.01, ORIGINAL PRICE 108.730 CUSIP: 676253AJ6	☒	05/31/13	09/02/15	1,245.00	3,160.89	-1,915.89
3,000.000	POLYMER GROUP INC SR SECD 7.75%19 7.750% DUE 02/01/2019 ORIGINAL COST 3,218.71, PREMIUM AMORTIZED 218.71, ORIGINAL PRICE 109.250 CUSIP: 731745AL9	☒	04/18/13	08/04/15	3,123.75	3,000.00	123.75
2,000.000	UNITED RENTALS NORTH AMER INC SENIOR NOTES 6.125% DUE 06/15/2023 ORIGINAL COST 2,145.00, PREMIUM AMORTIZED 32.74, ORIGINAL PRICE 107.250 CUSIP: 911365AX2	☒	04/17/13	03/24/15	2,105.00	2,112.26	-7.26
5,000.000	UNITED RENTALS NORTH AMER INC SENIOR NOTES 6.125% DUE 06/15/2023 ORIGINAL COST 5,350.00, PREMIUM AMORTIZED 84.66, ORIGINAL PRICE 107.000 CUSIP: 911365AX2	☒	01/14/13	03/24/15	5,262.50	5,265.34	-2.84
5,000.000*	US AWYS INC SER 2012-1 CL PTT 8.000% DUE 04/01/2021 ADJUSTED QUANTITY 153.170, ORIGINAL COST 163.89, PURCHASE FACTOR 0.030634, SALE FACTOR 0.030634 CUSIP: 90345WAB0	☒	12/10/12	04/01/15	153.17	163.89	-10.72
5,000.000	US AWYS INC SER 2012-1 CL PTT 8.000% DUE 04/01/2021	☒	12/10/12	05/04/15	4,934.93	4,626.83	308.10

L J KING & E R KING FOUNDATION
 CINCINNATI ASSET HIGHLYD FIXED
 450
 26 WEST DRY CREEK CIRCLE
 LITTLETON CO 80120-8064

ACCOUNT NUMBER:
 314-64870
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Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	ADJUSTED QUANTITY: 4,324 143, ORIGINAL COST 5,350 00, ACCRUALS 675 86, PURCHASE FACTOR: 1, SALE FACTOR: 0.86482864 CUSIP: 90345WAB0						
7,000.000*	US AWYS INC 6 750% DUE 12/03/2022	☒	04/16/14	06/03/15	175 15	190.48	-15.33
	ADJUSTED QUANTITY 175 150, ORIGINAL COST 190.48, PURCHASE FACTOR 0 02502143, SALE FACTOR: 0.02502143 CUSIP: 90345WAE4						
7,000.000*	US AWYS INC 6 750% DUE 12/03/2022	☒	04/16/14	12/03/15	175.15	190.48	-15.33
	ADJUSTED QUANTITY 175 150, ORIGINAL COST 190 48, PURCHASE FACTOR: 0 02502143, SALE FACTOR: 0 02502143 CUSIP: 90345WAE4						
	Long-Term Subtotal		-	-	84,478.24	89,785.36	-5,307.12
Total Gains and Losses					146,390.72		-13,155.99
	Short-Term						-7,848.87
	Long-Term						-5,307.12
	Term Unknown						0.00

* Return of principal. Quantity represents face value.

CORRECTED COPY: March 15, 2016

Schedule of Realized Gains and Losses

This section includes a summary of the short-term or long-term gain or loss from the sale of selected securities in this account. "Short-term" refers to securities held for one year or less, "long-term" refers to securities held more than one year. RBC provides the following Schedule of Realized Gains and Losses as a service to its clients; the information may not be accurate for tax reporting or other purposes and may rely on information, such as the original cost basis for a security, that you or another source at your direction provided to RBC.

Market discount, acquisition premium and life to date OID are only provided for covered securities. Cost basis information may reflect a change in the value of certain fixed income and other securities that return or amortize principal over time. In the case of bonds purchased at a premium, cost basis information may be amortized. In addition, cost basis information may reflect accretion for zero coupon and OID bonds. Please refer to IRS Publications 1212 and 550 or consult your tax advisor for additional information.

For more information on original cost and other tax attributes reported on fixed income securities, please see the enclosed insert. Please see Form 1099-B for information reported to the IRS.

Short-Term

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
26.000	JPMORGAN CHASE & CO 6.30% DEP SHS REPSTG 1/400TH INT PERP PFD SER W SYMBOL JPMPRE, CUSIP 481246700	☐	06/24/14	04/24/15	685.41	648.18	37.23
Short-Term Subtotal					685.41	648.18	37.23

Long-Term

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
57.000	AEGON N V 6.375% PERP CAPITAL SECURITIES SYMBOL AEH, CUSIP: 007924301	☐	12/03/12	09/08/15	1,438.22	1,443.81	-5.59
47.000	AEGON N V 6.375% PERP CAPITAL SECURITIES SYMBOL AEH, CUSIP: 007924301	☐	12/03/12	10/16/15	1,196.12	1,190.51	5.61
43.000	AEGON N V 8% NON CUMULATIVE SUBORDINATED NOTES DUE 2042 SYMBOL AEK, CUSIP: 007924608	☐	12/03/12	11/10/15	1,198.25	1,197.11	1.14
11.000	AFFILIATED MANAGERS GROUP INC 5.250% SENIOR NOTES DUE 2022 CUSIP: 008252868	☐	01/17/13	10/22/15	275.00	278.30	-3.30
5.000	AFFILIATED MANAGERS GROUP INC 5.250% SENIOR NOTES DUE 2022 CUSIP: 008252868	☐	02/06/13	10/22/15	125.00	127.25	-2.25
5.000	AFFILIATED MANAGERS GROUP INC 5.250% SENIOR NOTES DUE 2022 CUSIP: 008252868	☐	05/24/13	10/22/15	125.00	126.05	-1.05
5.000	AFFILIATED MANAGERS GROUP INC	☐	10/08/13	10/22/15	125.00	125.45	-0.45

CORRECTED COPY: March 15, 2016

Schedule of Realized Gains and Losses (con't)

Long-Term (con't)							
QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	5.250% SENIOR NOTES DUE 2022 CUSIP: 008252868						
6.000	AFFILIATED MANAGERS GROUP INC 5.250% SENIOR NOTES DUE 2022 CUSIP: 008252868	☐	08/13/13	10/22/15	150.00	150.30	-0.30
14.000	ASPEN INSURANCE HOLDINGS LTD PERP NON CUMULATIVE PREF SHS VARIABLE RATE SYMBOL: AHLPRB, CUSIP: G05384147	☐	05/24/13	02/13/15	368.19	391.44	-23.25
15.000	ASPEN INSURANCE HOLDINGS LTD PERP NON CUMULATIVE PREF SHS VARIABLE RATE SYMBOL: AHLPRB, CUSIP: G05384147	☐	02/06/13	02/13/15	394.49	405.00	-10.51
26.000	ASPEN INSURANCE HOLDINGS LTD PERP NON CUMULATIVE PREF SHS VARIABLE RATE SYMBOL: AHLPRB, CUSIP: G05384147	☐	12/03/12	02/13/15	683.79	684.06	-0.27
6.000	ASPEN INSURANCE HOLDINGS LTD PERP NON CUMULATIVE PREF SHS VARIABLE RATE SYMBOL: AHLPRB, CUSIP: G05384147	☐	10/08/13	02/13/15	157.80	149.34	8.46
15.000	ASPEN INSURANCE HOLDINGS LTD PERP NON CUMULATIVE PREF SHS VARIABLE RATE SYMBOL: AHLPRB, CUSIP: G05384147	☐	08/13/13	02/13/15	394.49	379.12	15.37
5.000	ASPEN INSURANCE HOLDINGS LIMITED FXD FLTG PERP NON CUM PREF SHS SYMBOL: AHLPRC, CUSIP: G05384154	☐	05/24/13	03/05/15	128.75	132.35	-3.60
17.000	ASPEN INSURANCE HOLDINGS LIMITED FXD FLTG PERP NON CUM PREF SHS SYMBOL: AHLPRC, CUSIP: G05384154	☐	04/29/13	03/05/15	437.74	436.67	1.07
4.000	ASPEN INSURANCE HOLDINGS LIMITED FXD FLTG PERP NON CUM PREF SHS SYMBOL: AHLPRC, CUSIP: G05384154	☐	06/14/13	03/05/15	103.00	100.00	3.00
22.000	ASPEN INSURANCE HOLDINGS LIMITED FXD FLTG PERP NON CUM PREF SHS SYMBOL: AHLPRC, CUSIP: G05384154	☐	06/14/13	03/25/15	571.45	550.00	21.45
16.000	ASPEN INSURANCE HOLDINGS	☐	08/13/13	07/07/15	411.19	405.28	5.91

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Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	LIMITED FXD FLTG PERP NON CUM PREF SHS SYMBOL: AHLPRC, CUSIP: G05384154						
10.000	ASPEN INSURANCE HOLDINGS LIMITED FXD FLTG PERP NON CUM PREF SHS SYMBOL: AHLPRC, CUSIP: G05384154	☐	06/14/13	07/07/15	256.99	250.00	6.99
9.000	ASPEN INSURANCE HOLDINGS LIMITED FXD FLTG PERP NON CUM PREF SHS SYMBOL: AHLPRC, CUSIP: G05384154	☐	10/08/13	07/07/15	231.29	211.50	19.79
3.000	BARCLAYS BK PLC ADR PFD SHS SER 3 DIVD - 7.10% SER 3 SYMBOL: BCSPRA, CUSIP: 06739H776	☐	05/24/13	04/21/15	77.79	77.25	0.54
30.000	BARCLAYS BK PLC ADR PFD SHS SER 3 DIVD - 7.10% SER 3 SYMBOL: BCSPRA, CUSIP: 06739H776	☐	02/06/13	04/21/15	777.91	763.49	14.41
8.000	BARCLAYS BK PLC ADR PFD SHS SER 3 DIVD - 7.10% SER 3 SYMBOL: BCSPRA, CUSIP: 06739H776	☐	08/13/13	08/12/15	207.61	201.68	5.93
49.000	BARCLAYS BK PLC ADR PFD SHS SER 3 DIVD - 7.10% SER 3 SYMBOL: BCSPRA, CUSIP: 06739H776	☐	05/24/13	08/12/15	1,271.60	1,261.74	9.86
38.000	BARCLAYS BK PLC ADR PFD SHS SER 3 DIVD - 7.10% SER 3 SYMBOL: BCSPRA, CUSIP: 06739H776	☐	08/13/13	10/14/15	982.21	957.97	24.24
17.000	CITIGROUP CAP XIII 7.875% FIXED/FLOATING RATE TRUST PFD SYMBOL: CPRN, CUSIP: 173080201	☐	12/03/12	06/26/15	441.82	474.64	-32.82
24.000	CITIGROUP CAP XIII 7.875% FIXED/FLOATING RATE TRUST PFD SYMBOL: CPRN, CUSIP: 173080201	☐	02/06/13	06/29/15	623.61	670.56	-46.95
11.000	CITIGROUP CAP XIII 7.875% FIXED/FLOATING RATE TRUST PFD SYMBOL: CPRN, CUSIP: 173080201	☐	12/03/12	06/29/15	285.82	307.12	-21.30

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Schedule of Realized Gains and Losses (con't)

		Long-Term (con't)			NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE			
22.000	CITIGROUP CAP XIII 7.875% FIXED/FLOATING RATE TRUST PFD SYMBOL: CPRN, CUSIP: 173080201	☐	05/24/13	08/18/15	560.77	618.64	-57.88
22.000	CITIGROUP CAP XIII 7.875% FIXED/FLOATING RATE TRUST PFD SYMBOL: CPRN, CUSIP: 173080201	☐	02/06/13	08/18/15	560.77	614.68	-53.92
3.000	CITIGROUP CAP XIII 7.875% FIXED/FLOATING RATE TRUST PFD SYMBOL: CPRN, CUSIP: 173080201	☐	05/24/13	08/19/15	76.49	84.36	-7.87
36.000	CITIGROUP CAP XIII 7.875% FIXED/FLOATING RATE TRUST PFD SYMBOL: CPRN, CUSIP: 173080201	☐	08/13/13	08/31/15	919.29	987.84	-68.55
18.000	CITIGROUP CAP XIII 7.875% FIXED/FLOATING RATE TRUST PFD SYMBOL: CPRN, CUSIP: 173080201	☐	10/08/13	08/31/15	459.65	491.58	-31.93
12.000	CITIGROUP CAP XIII 7.875% FIXED/FLOATING RATE TRUST PFD SYMBOL: CPRN, CUSIP: 173080201	☐	05/24/13	08/31/15	306.43	337.44	-31.01
8.000	CITY NATIONAL CORPORATION 5.50% DEPOSITARY SHS REPRSTNG 1/40TH PERPETUAL PFD SERIES C CUSIP: 17800X202	☐	08/13/13	11/02/15	198.30	186.32	11.98
6.000	CITY NATIONAL CORPORATION 5.50% DEPOSITARY SHS REPRSTNG 1/40TH PERPETUAL PFD SERIES C CUSIP: 17800X202	☐	10/08/13	11/02/15	148.73	119.16	29.57
31.000	CITY NATIONAL CORPORATION 5.50% DEPOSITARY SHS REPRSTNG 1/40TH PERPETUAL PFD SERIES C CUSIP: 17800X202	☐	07/31/13	11/02/15	768.43	729.07	39.36
24.000	DEUTSCHE BANK CAPITAL FUNDING TRUST VIII 6.375% NONCUMULTV TRUST PREFERRED SECURITIES CUSIP: 25153U204	☐	02/06/13	04/20/15	600.00	608.64	-8.64
15.000	DEUTSCHE BANK CAPITAL FUNDING TRUST VIII 6.375% NONCUMULTV	☐	05/24/13	04/20/15	375.00	379.05	-4.05

CORRECTED COPY: March 15, 2016

Schedule of Realized Gains and Losses (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	TRUST PREFERRED SECURITIES CUSIP 25153U204						
20.000	DEUTSCHE BANK CAPITAL FUNDING TRUST VIII 6.375% NONCUMULTV TRUST PREFERRED SECURITIES CUSIP. 25153U204	☐	12/03/12	04/20/15	500.00	499.80	0.20
14.000	ENTERGY LOUISIANA LLC P/C 12/30/15 @ 25 SECURITY NUMBER: 1149072	☐	12/03/12	12/30/15	350.00	383.18	-33.18
6.000	ENTERGY LOUISIANA LLC P/C 12/30/15 @ 25 SECURITY NUMBER: 1149072	☐	02/06/13	12/30/15	150.00	164.88	-14.88
33.000	FIRST NIAGARA FINL GROUP INC 8.625% PFD NON CUM SER B FXD/FLTG SYMBOL FNFGRB, CUSIP: 33582V207	☐	12/03/12	01/21/15	907.54	977.46	-69.92
4.000	FIRST NIAGARA FINL GROUP INC 8.625% PFD NON CUM SER B FXD/FLTG SYMBOL: FNFGRB, CUSIP: 33582V207	☐	02/06/13	01/21/15	110.00	117.80	-7.80
17.000	FIRST NIAGARA FINL GROUP INC 8.625% PFD NON CUM SER B FXD/FLTG SYMBOL: FNFGRB, CUSIP: 33582V207	☐	05/24/13	06/26/15	462.58	496.40	-33.82
14.000	FIRST NIAGARA FINL GROUP INC 8.625% PFD NON CUM SER B FXD/FLTG SYMBOL: FNFGRB, CUSIP 33582V207	☐	02/06/13	06/26/15	380.95	412.30	-31.35
9.000	FIRST NIAGARA FINL GROUP INC 8.625% PFD NON CUM SER B FXD/FLTG SYMBOL: FNFGRB, CUSIP: 33582V207	☐	10/08/13	06/26/15	244.89	257.13	-12.24
19.000	FIRST NIAGARA FINL GROUP INC 8.625% PFD NON CUM SER B FXD/FLTG SYMBOL: FNFGRB, CUSIP: 33582V207	☐	08/13/13	06/26/15	517.00	519.08	-2.08
37.000	GOLDMAN SACHS GROUP INC 6.5% NOTES DUE 11/01/61 SYMBOL GSJ, CUSIP. 38144G184	☐	12/03/12	01/22/15	981.09	995.97	-14.88
34.000	GOLDMAN SACHS GROUP INC (THE) 6.125% NOTES DUE 2060 CUSIP: 38145X111	☐	12/03/12	01/22/15	875.12	889.73	-14.61

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Schedule of Realized Gains and Losses (con't)

Long-Term (con't)								
QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS	
1.000	GOLDMAN SACHS GROUP INC (THE) 6.125% NOTES DUE 2060 CUSIP: 38145X111	☐	12/03/12	05/05/15	25.47	26.17	-0.70	
22.000	GOLDMAN SACHS GROUP INC (THE) 6.125% NOTES DUE 2060 CUSIP: 38145X111	☐	12/03/12	05/08/15	558.78	575.71	-16.93	
49.000	GOLDMAN SACHS GROUP INC (THE) 6.125% NOTES DUE 2060 CUSIP: 38145X111	☐	12/03/12	07/27/15	1,244.87	1,282.26	-37.39	
57.000	GOLDMAN SACHS GROUP INC (THE) 6.125% NOTES DUE 2060 CUSIP: 38145X111	☐	05/24/13	11/02/15	1,425.00	1,513.35	-88.35	
59.000	GOLDMAN SACHS GROUP INC (THE) 6.125% NOTES DUE 2060 CUSIP: 38145X111	☐	02/06/13	11/02/15	1,475.00	1,557.60	-82.60	
3.000	GOLDMAN SACHS GROUP INC (THE) 6.125% NOTES DUE 2060 CUSIP: 38145X111	☐	12/03/12	11/02/15	75.00	78.51	-3.51	
63.000	GOLDMAN SACHS GROUP INC (THE) 6.125% NOTES DUE 2060 CUSIP: 38145X111	☐	08/13/13	11/02/15	1,575.00	1,570.59	4.41	
32.000	GOLDMAN SACHS GROUP INC (THE) 6.125% NOTES DUE 2060 CUSIP: 38145X111	☐	10/08/13	11/02/15	800.00	774.43	25.57	
54.000	HSBC HOLDINGS PLC 8% PERPETUAL SUBORDINATED CAPITAL SECURITIES SERIES 2 SYMBOL: HSEB, CUSIP: 404280802	☐	12/03/12	12/04/15	1,379.38	1,498.49	-119.11	
20.000	ING GROEP N V 7.05% PERPETUAL DEBT SECS SYMBOL: IND, CUSIP: 456837202	☐	02/06/13	04/20/15	509.99	508.40	1.59	
21.000	ING GROEP N V 7.05% PERPETUAL DEBT SECS SYMBOL: IND, CUSIP: 456837202	☐	12/03/12	04/20/15	535.49	523.53	11.96	
2.000	ING GROEP N V 7.05% PERPETUAL DEBT SECS SYMBOL: IND, CUSIP: 456837202	☐	05/24/13	04/24/15	51.10	51.48	-0.38	
32.000	ING GROEP N V 7.05% PERPETUAL DEBT SECS SYMBOL: IND, CUSIP: 456837202	☐	02/06/13	04/24/15	817.58	813.44	4.14	

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Schedule of Realized Gains and Losses (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
43 000	ING GROEP N V 7.05% PERPETUAL DEBT SECS SYMBOL: IND, CUSIP: 456837202	☐	05/24/13	07/09/15	1,106.78	1,106.82	-0.04
5.000	ING GROEP N V 7.05% PERPETUAL DEBT SECS SYMBOL: IND, CUSIP: 456837202	☐	08/13/13	07/09/15	128.69	124.10	4.59
25.000	ING GROEP N V 7.05% PERPETUAL DEBT SECS SYMBOL: IND, CUSIP: 456837202	☐	10/08/13	07/15/15	647.68	615.43	32.25
43.000	ING GROEP N V 7.05% PERPETUAL DEBT SECS SYMBOL: IND, CUSIP: 456837202	☐	08/13/13	07/15/15	1,114.02	1,067.26	46.76
22.000	ING GROEP N V PERPETUAL DEBT SECS 7.20% SYMBOL: INZ, CUSIP: 456837301	☐	05/24/13	03/26/15	565.40	565.40	0.00
3.000	ING GROEP N V PERPETUAL DEBT SECS 7.20% SYMBOL: INZ, CUSIP: 456837301	☐	08/13/13	03/26/15	77.10	75.00	2.10
34.000	ING GROEP N V PERPETUAL DEBT SECS 7.20% SYMBOL: INZ, CUSIP: 456837301	☐	02/06/13	03/26/15	873.81	864.28	9.53
21.000	ING GROEP N V PERPETUAL DEBT SECS 7.20% SYMBOL: INZ, CUSIP: 456837301	☐	10/08/13	04/17/15	538.85	523.93	14.92
36.000	ING GROEP N V PERPETUAL DEBT SECS 7.20% SYMBOL: INZ, CUSIP: 456837301	☐	08/13/13	04/17/15	923.74	900.00	23.74
31 000	INTEGRYS ENERGY GROUP INC 6.00% JR SUBORDINATED NOTE DUE 2073 CUSIP: 45822P204	☐	10/08/13	11/13/15	788.31	754.23	34.08
276.000	INTEGRYS ENERGY GROUP INC 6.00% JR SUBORDINATED NOTE DUE 2073 CUSIP: 45822P204	☐	08/14/13	11/13/15	7,018.55	6,797.05	221.50
59.000	JPMORGAN CHASE BANK 5.50% PERP NON CUM SER O PFD SHS REPSTG 1/400TH SYMBOL: JPMPRD, CUSIP: 48126E750	☐	12/03/12	08/26/15	1,410.03	1,473.22	-63.19
18 000	KIMCO REALTY CORPORATION	☐	05/24/13	11/25/15	450.00	503.10	-53.10

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Schedule of Realized Gains and Losses (con't)

Long-Term (con't)							
QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	DEPOSITARY SHS REPSTG 1/100 SH CUMULATIVE RED PFD CL H 6.9% CUSIP: 49446R828						
5.000	KIMCO REALTY CORPORATION DEPOSITARY SHS REPSTG 1/100 SH CUMULATIVE RED PFD CL H 6.9% CUSIP: 49446R828	☐	02/06/13	11/25/15	125.00	134.65	-9.65
21.000	KIMCO REALTY CORPORATION DEPOSITARY SHS REPSTG 1/100 SH CUMULATIVE RED PFD CL H 6.9% CUSIP: 49446R828	☐	08/13/13	11/25/15	525.00	527.94	-2.94
10.000	KIMCO REALTY CORPORATION DEPOSITARY SHS REPSTG 1/100 SH CUMULATIVE RED PFD CL H 6.9% CUSIP: 49446R828	☐	10/08/13	11/25/15	250.00	249.20	0.80
172.000	LLOYDS BANKING GROUP PLC 7.75% PUBLIC INCOME NOTES ON PINES DUE JULY 15 2050 CUSIP: 539439802	☐	12/03/12	07/15/15	4,300.00	4,771.28	-471.28
92.000	LLOYDS BANKING GROUP PLC 7.75% PUBLIC INCOME NOTES ON PINES DUE JULY 15 2050 CUSIP: 539439802	☐	05/24/13	07/15/15	2,300.00	2,569.56	-269.56
95.000	LLOYDS BANKING GROUP PLC 7.75% PUBLIC INCOME NOTES ON PINES DUE JULY 15 2050 CUSIP: 539439802	☐	02/06/13	07/15/15	2,375.00	2,640.05	-265.05
100.000	LLOYDS BANKING GROUP PLC 7.75% PUBLIC INCOME NOTES ON PINES DUE JULY 15 2050 CUSIP: 539439802	☐	08/13/13	07/15/15	2,500.00	2,675.80	-175.80
50.000	LLOYDS BANKING GROUP PLC 7.75% PUBLIC INCOME NOTES ON PINES DUE JULY 15 2050 CUSIP: 539439802	☐	10/08/13	07/15/15	1,250.00	1,334.90	-84.90
23.000	MERRILL LYNCH CAP TR II GTD 6.45% TR PFD SECS MATURITY 6/15/62 SYMBOL: MERPRM, CUSIP: 59024T203	☐	05/24/13	03/05/15	591.11	584.89	6.22
11.000	MERRILL LYNCH CAP TR II GTD 6.45% TR PFD SECS MATURITY 6/15/62 SYMBOL: MERPRM, CUSIP: 59024T203	☐	08/13/13	03/05/15	282.70	274.56	8.14

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Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
23.000	MERRILL LYNCH CAP TR II GTD 6.45% TR PFD SECS MATURITY 6/15/62 SYMBOL: MERPRM, CUSIP: 59024T203	☐	02/06/13	03/05/15	591.11	581.44	9.67
43.000	MERRILL LYNCH CAP TR II GTD 6.45% TR PFD SECS MATURITY 6/15/62 SYMBOL: MERPRM, CUSIP: 59024T203	☐	12/03/12	03/05/15	1,105.11	1,080.59	24.52
13.000	MERRILL LYNCH CAP TR II GTD 6.45% TR PFD SECS MATURITY 6/15/62 SYMBOL: MERPRM, CUSIP: 59024T203	☐	08/13/13	03/06/15	333.27	324.48	8.79
12.000	MERRILL LYNCH CAP TR II GTD 6.45% TR PFD SECS MATURITY 6/15/62 SYMBOL: MERPRM, CUSIP: 59024T203	☐	10/08/13	03/06/15	307.64	296.16	11.48
8.000	MERRILL LYNCH CAPITAL TRUST I 6.45% TRUST PFD SECURITIES SYMBOL: MERPRK, CUSIP: 590199204	☐	12/03/12	02/03/15	203.99	201.12	2.87
25.000	MERRILL LYNCH CAPITAL TRUST I 6.45% TRUST PFD SECURITIES SYMBOL: MERPRK, CUSIP: 590199204	☐	02/06/13	02/03/15	637.46	632.50	4.96
10.000	MERRILL LYNCH CAPITAL TRUST I 6.45% TRUST PFD SECURITIES SYMBOL: MERPRK, CUSIP: 590199204	☐	02/06/13	02/05/15	255.49	253.00	2.49
34.000	MERRILL LYNCH CAPITAL TRUST I 6.45% TRUST PFD SECURITIES SYMBOL: MERPRK, CUSIP: 590199204	☐	05/24/13	02/05/15	868.68	864.62	4.06
20.000	MERRILL LYNCH CAPITAL TRUST I 6.45% TRUST PFD SECURITIES SYMBOL: MERPRK, CUSIP: 590199204	☐	10/08/13	02/05/15	510.99	493.36	17.63
37.000	MERRILL LYNCH CAPITAL TRUST I 6.45% TRUST PFD SECURITIES SYMBOL: MERPRK, CUSIP: 590199204	☐	08/13/13	02/05/15	945.33	923.15	22.18
10.000	MORGAN STANLEY CAP TR III 6.25% CAP SECS DUE 03/01/2033 SYMBOL: MWR, CUSIP: 617460209	☐	05/24/13	04/15/15	256.52	252.20	4.32
24.000	MORGAN STANLEY CAP TR III 6.25% CAP SECS DUE 03/01/2033 SYMBOL: MWR, CUSIP: 617460209	☐	12/03/12	04/15/15	615.66	598.56	17.10

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SPECTRUM PREFERRED
450
26 WEST DRY CREEK CIRCLE
LITTLETON CO 80120-8064

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Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
10.000	MORGAN STANLEY CAP TR III 6.25% CAP SECS DUE 03/01/2033 SYMBOL: MWR, CUSIP: 617460209	☐	05/24/13	05/12/15	254.60	252.20	2.40
11.000	MORGAN STANLEY CAP TR III 6.25% CAP SECS DUE 03/01/2033 SYMBOL: MWR, CUSIP: 617460209	☐	10/08/13	05/12/15	280.06	267.52	12.54
23.000	MORGAN STANLEY CAP TR III 6.25% CAP SECS DUE 03/01/2033 SYMBOL: MWR, CUSIP: 617460209	☐	08/13/13	05/12/15	585.59	563.27	22.32
16.000	MORGAN STANLEY CAP TRUST V 5.75% CAPTIAL SECS DUE 7/15/33 SYMBOL: MWO, CUSIP: 617466206	☐	02/06/13	06/26/15	401.14	399.68	1.46
31.000	MORGAN STANLEY CAP TRUST V 5.75% CAPTIAL SECS DUE 7/15/33 SYMBOL: MWO, CUSIP: 617466206	☐	12/03/12	06/26/15	777.22	772.83	4.39
17.000	MORGAN STANLEY CAP TRUST V 5.75% CAPTIAL SECS DUE 7/15/33 SYMBOL: MWO, CUSIP: 617466206	☐	05/24/13	07/15/15	427.89	430.61	-2.72
1.000	MORGAN STANLEY CAP TRUST V 5.75% CAPTIAL SECS DUE 7/15/33 SYMBOL: MWO, CUSIP: 617466206	☐	02/06/13	07/15/15	25.17	24.98	0.19
17.000	MORGAN STANLEY CAP TRUST V 5.75% CAPTIAL SECS DUE 7/15/33 SYMBOL: MWO, CUSIP: 617466206	☐	08/13/13	07/15/15	427.89	415.48	12.41
11.000	MORGAN STANLEY CAP TRUST V 5.75% CAPTIAL SECS DUE 7/15/33 SYMBOL: MWO, CUSIP: 617466206	☐	10/08/13	07/15/15	276.87	254.32	22.55
15.000	MORGAN STANLEY CAPITAL TRUST IV 6.25% CAPITAL SECS DUE 4/1/33 BOOK ENTRY SYMBOL: MWG, CUSIP: 617462205	☐	02/06/13	04/20/15	384.44	377.55	6.89
30.000	MORGAN STANLEY CAPITAL TRUST IV 6.25% CAPITAL SECS DUE 4/1/33 BOOK ENTRY SYMBOL: MWG, CUSIP: 617462205	☐	12/03/12	04/20/15	768.88	753.30	15.58
1.000	MORGAN STANLEY CAPITAL TRUST IV 6.25% CAPITAL SECS DUE 4/1/33 BOOK ENTRY SYMBOL: MWG, CUSIP: 617462205	☐	02/06/13	04/24/15	25.60	25.17	0.43
16.000	MORGAN STANLEY CAPITAL TRUST	☐	06/06/13	04/24/15	409.66	403.18	6.48

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Schedule of Realized Gains and Losses (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	IV 6.25% CAPITAL SECS DUE 4/1/33 BOOK ENTRY SYMBOL MWG, CUSIP: 617462205						
17 000	MORGAN STANLEY CAPITAL TRUST IV 6 25% CAPITAL SECS DUE 4/1/33 BOOK ENTRY SYMBOL MWG, CUSIP. 617462205	☐	08/13/13	04/24/15	435.26	420.07	15.19
10.000	MORGAN STANLEY CAPITAL TRUST IV 6.25% CAPITAL SECS DUE 4/1/33 BOOK ENTRY SYMBOL MWG, CUSIP: 617462205	☐	10/08/13	04/24/15	256.04	240.60	15.44
13 000	PARTNERRE LTD 7.25% SER E CUMM REDEEMABLE PFD SHS SYMBOL: PREPRE, CUSIP: G68603508	☐	05/24/13	11/19/15	365.55	365.43	0.12
13.000	PARTNERRE LTD 7.25% SER E CUMM REDEEMABLE PFD SHS SYMBOL: PREPRE, CUSIP: G68603508	☐	02/06/13	11/19/15	365.55	359.45	6.10
5.000	PARTNERRE LTD 7 25% SER E CUMM REDEEMABLE PFD SHS SYMBOL PREPRE, CUSIP. G68603508	☐	12/03/12	11/19/15	140.60	134.10	6.50
7.000	PARTNERRE LTD 7 25% SER E CUMM REDEEMABLE PFD SHS SYMBOL PREPRE, CUSIP. G68603508	☐	10/08/13	11/19/15	196.84	177.52	19.32
13.000	PARTNERRE LTD 7.25% SER E CUMM REDEEMABLE PFD SHS SYMBOL: PREPRE, CUSIP G68603508	☐	08/13/13	11/19/15	365.55	334.36	31.19
2.000	PARTNERRE LTD 5 875% SERIES F NON CUMULATIVE REDEEMABLE PREFERRED SHARES SYMBOL: PREPRF, CUSIP: G68603128	☐	05/24/13	09/08/15	51.23	50.88	0.35
39.000	PARTNERRE LTD 5 875% SERIES F NON CUMULATIVE REDEEMABLE PREFERRED SHARES SYMBOL: PREPRF, CUSIP G68603128	☐	02/12/13	09/08/15	999.06	973.60	25.46
12.000	PARTNERRE LTD 5.875% SERIES F NON CUMULATIVE REDEEMABLE PREFERRED SHARES SYMBOL PREPRF, CUSIP G68603128	☐	05/24/13	10/21/15	311.63	305.28	6.35

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Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
3.000	PARTNERRE LTD 5.875%SERIES F NON CUMULATIVE REDEEMABLE PREFERRED SHARES SYMBOL: PREPRF, CUSIP: G68603128	☐	12/31/13	10/21/15	77.91	60.42	17.48
5.000	PARTNERRE LTD 5.875%SERIES F NON CUMULATIVE REDEEMABLE PREFERRED SHARES SYMBOL: PREPRF, CUSIP: G68603128	☐	10/08/13	10/21/15	129.85	105.20	24.65
15.000	PARTNERRE LTD 5.875%SERIES F NON CUMULATIVE REDEEMABLE PREFERRED SHARES SYMBOL: PREPRF, CUSIP: G68603128	☐	08/13/13	10/21/15	389.54	319.50	70.04
35.000	PARTNERRE LTD 5.875%SERIES F NON CUMULATIVE REDEEMABLE PREFERRED SHARES SYMBOL: PREPRF, CUSIP: G68603128	☐	12/31/13	12/22/15	895.63	704.96	190.67
14.000	PARTNERRE LTD 6.5% SER D CUM REDEEMABLE PFD SHS LIQUIDATION PREFERENCE 25/SH SYMBOL: PREPRD, CUSIP: G68603409	☐	02/06/13	10/02/15	368.05	357.70	10.35
20.000	PARTNERRE LTD 6.5% SER D CUM REDEEMABLE PFD SHS LIQUIDATION PREFERENCE 25/SH SYMBOL: PREPRD, CUSIP: G68603409	☐	12/03/12	10/02/15	525.79	500.80	24.99
25.000	PARTNERRE LTD 6.5% SER D CUM REDEEMABLE PFD SHS LIQUIDATION PREFERENCE 25/SH SYMBOL: PREPRD, CUSIP: G68603409	☐	02/06/13	10/09/15	665.48	638.75	26.73
21.000	PARTNERRE LTD 6.5% SER D CUM REDEEMABLE PFD SHS LIQUIDATION PREFERENCE 25/SH SYMBOL: PREPRD, CUSIP: G68603409	☐	09/18/13	10/09/15	559.01	494.08	64.92
33.000	PARTNERRE LTD 6.5% SER D CUM REDEEMABLE PFD SHS LIQUIDATION PREFERENCE 25/SH SYMBOL: PREPRD, CUSIP: G68603409	☐	09/18/13	10/21/15	889.33	776.42	112.91
20.000	PARTNERRE LTD 6.5% SER D CUM REDEEMABLE PFD SHS LIQUIDATION PREFERENCE 25/SH SYMBOL: PREPRD, CUSIP: G68603409	☐	10/08/13	12/17/15	530.79	477.72	53.07
25.000	PARTNERRE LTD 6.5% SER D CUM REDEEMABLE PFD SHS	☐	09/18/13	12/17/15	663.48	588.20	75.29

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Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	LIQUIDATION PREFERENCE 25/SH SYMBOL: PREPRD, CUSIP: G68603409						
24 000	PUBLIC STORAGE 6 50% DEPOSITARY SHS REPSTG 1/1000TH PFD SHS BEN INT SER Q SYMBOL: PSAPRQ, CUSIP: 74460D141	☐	12/03/12	03/31/15	635.50	666.96	-31.46
27 000	QWEST CORPORATION PFD 7 00% SR NOTES DUE 2052 SYMBOL: CTX, CUSIP 74913G402	☐	12/03/12	10/16/15	697.93	711.99	-14.06
25.000	ROYAL BANK OF SCOTLAND GRP PLC(THE) AMERICAN DEPOSITARY SHARES SERIES M CUSIP 780097796	☐	05/24/13	09/01/15	625.00	594.75	30.25
14.000	ROYAL BANK OF SCOTLAND GRP PLC(THE) AMERICAN DEPOSITARY SHARES SERIES M CUSIP 780097796	☐	10/08/13	09/01/15	350.00	294.00	56.00
26 000	ROYAL BANK OF SCOTLAND GRP PLC(THE) AMERICAN DEPOSITARY SHARES SERIES M CUSIP 780097796	☐	02/06/13	09/01/15	650.00	590.20	59.80
46 000	ROYAL BANK OF SCOTLAND GRP PLC(THE) AMERICAN DEPOSITARY SHARES SERIES M CUSIP 780097796	☐	12/03/12	09/01/15	1,150.00	1,054.32	95.68
27 000	ROYAL BANK OF SCOTLAND GRP PLC(THE) AMERICAN DEPOSITARY SHARES SERIES M CUSIP 780097796	☐	08/13/13	09/01/15	675.00	549.99	125.01
10.000	ROYAL BANK OF SCOTLAND GRP PLC(THE) AMERICAN DEPOSITARY SHARES SERIES N CUSIP 780097770	☐	05/24/13	09/01/15	250.00	235.20	14.80
5.000	ROYAL BANK OF SCOTLAND GRP PLC(THE) AMERICAN DEPOSITARY SHARES SERIES N CUSIP 780097770	☐	10/08/13	09/01/15	125.00	104.30	20.70
10.000	ROYAL BANK OF SCOTLAND GRP PLC(THE) AMERICAN DEPOSITARY SHARES SERIES N CUSIP 780097770	☐	02/06/13	09/01/15	250.00	225.00	25.00
18 000	ROYAL BANK OF SCOTLAND GRP	☐	12/03/12	09/01/15	450.00	412.38	37.62

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Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	PLC(THE) AMERICAN DEPOSITARY SHARES SERIES N CUSIP: 780097770						
9 000	ROYAL BANK OF SCOTLAND GRP PLC(THE) AMERICAN DEPOSITARY SHARES SERIES N CUSIP: 780097770	☐	08/13/13	09/01/15	225.00	182.70	42.30
7.000	SCANA CORPORATION 2009 SERIES A 7.70% ENHANCED JUNIOR SUBORDINATED NOTES CUSIP: 80589M201	☐	12/03/12	03/13/15	175.00	190.40	-15.40
4.000	SCANA CORPORATION 2009 SERIES A 7.70% ENHANCED JUNIOR SUBORDINATED NOTES CUSIP: 80589M201	☐	02/06/13	03/13/15	100.00	109.56	-9.56
4.000	SCANA CORPORATION 2009 SERIES A 7.70% ENHANCED JUNIOR SUBORDINATED NOTES CUSIP: 80589M201	☐	05/24/13	03/13/15	100.00	109.48	-9.48
4.000	SCANA CORPORATION 2009 SERIES A 7.70% ENHANCED JUNIOR SUBORDINATED NOTES CUSIP: 80589M201	☐	08/13/13	03/13/15	100.00	106.52	-6.52
2 000	SCANA CORPORATION 2009 SERIES A 7.70% ENHANCED JUNIOR SUBORDINATED NOTES CUSIP: 80589M201	☐	10/08/13	03/13/15	50.00	53.06	-3.06
9 000	UNITED STATES CELLULAR CORP 6.95% SENIOR NOTES DUE 2060 SYMBOL: UZA, CUSIP: 911684405	☐	05/24/13	01/27/15	223.57	242.55	-18.98
2.000	UNITED STATES CELLULAR CORP 6.95% SENIOR NOTES DUE 2060 SYMBOL: UZA, CUSIP: 911684405	☐	02/06/13	01/27/15	49.68	54.08	-4.40
7.000	UNITED STATES CELLULAR CORP 6.95% SENIOR NOTES DUE 2060 SYMBOL: UZA, CUSIP: 911684405	☐	08/13/13	01/27/15	173.89	173.53	0.36
5 000	UNITED STATES CELLULAR CORP 6.95% SENIOR NOTES DUE 2060 SYMBOL: UZA, CUSIP: 911684405	☐	10/08/13	01/27/15	124.20	120.75	3.45
21 000	WEINGARTEN RLTY INVS 6.50 PFD SER F CUSIP: 948741889	☐	05/24/13	05/08/15	525.00	536.13	-11.13

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SPECTRUM PREFERRED
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Schedule of Realized Gains and Losses (con't)

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2.000	WEINGARTEN RLTY INVS 6.50 PFD SER F CUSIP: 948741889	☐	02/06/13	05/08/15	50.00	50.86	-0.86
16.000	WEINGARTEN RLTY INVS 6.50 PFD SER F CUSIP: 948741889	☐	10/08/13	05/08/15	400.00	385.28	14.72
Long-Term Subtotal					93,520.79	18,114.57	-284.66
Total Gains and Losses					94,206.20		-247.43
Short-Term							37.23
Long-Term							-284.66
Term Unknown							0.00