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Form 990-PF

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

Open to Public Inspection

Department of the Treasury Internal Revenue Service

Do not enter social security numbers on this form as it may be made public. Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

For calendar year 2015 or tax year beginning and ending A Employer identification number Name of foundation THE GERALD M AND ROBERTA N QUIAT FAMILY FOUNDATION Room/suite Number and street (or P O box number if mail is not delivered to street address) 26-2704284 B Telephone number (see instructions) PO BOX 1501, NJ2-130-03-31 ZIP code City or town 08534-1501 609-274-6834 **PENNINGTON** Foreign province/state/county Foreign postal code Foreign country name C If exemption application is pending, check here G Check all that apply D 1 Foreign organizations, check here ▶□ Initial return Initial return of a former public charity Final return Amended return 2. Foreign organizations meeting the 85% test, check here and attach computation X Address change Name change E If private foundation status was terminated under Check type of organization X Section 501(c)(3) exempt private foundation section 507(b)(1)(A), check here Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation J Accounting method X Cash Fair market value of all assets at If the foundation is in a 60-month termination end of year (from Part II, col (c), Other (specify) under section 507(b)(1)(B), check here 1,963,975 (Part I, column (d) must be on cash basis) line 16) ➤ \$ (d) Disbursements Part I Analysis of Revenue and Expenses (The total of (a) Revenue and (b) Net investment (c) Adjusted net for charitable amounts in columns (b), (c), and (d) may not necessarily expenses per purposes books equal the amounts in column (a) (see instructions)) (cash basis only) 1.00 Contributions, gifts, grants, etc., received (attach schedule) 1 2 Check ►X if the foundation is **not** required to attach Sch B Interest on savings and temporary cash investments 3 5,879 5,879 Dividends and interest from securities 4 5a Gross rents BASE THE STATE Net rental income or (loss) b 1 MART 15 Net gain or (loss) from sale of assets not on line 10 6a Gross sales price for all assets on line 6a Capital gain net income (from Part IV, line 2) 7 8 Net short-term capital gain Income modifications Links and the contract of 10a Gross sales less returns and allowances Less Cost of goods sold b С Gross profit or (loss) (attach schedule) 11 Other income (attach schedule) 1.132.418 5,879 12 Total. Add lines 1 through 11 13 Compensation of officers, directors, trustees, etc. Expenses 14 Other employee salaries and wages 15 Pension plans, employee benefits 16a Legal fees (attach schedule) Other professional fees (attach schedule) 2. 2016 Interest Accounting fees (attach schedule) b Operating and Administrative 8,546 5,128 3.419 C 17 Taxes (attach schedule) (see instructions) 18 Depreciation (attach schedule) and depletion 19 20 Occupancy 21 Travel, conferences, and meetings 22 Printing and publications 23 Other expenses (attach schedule) 24 Total operating and administrative expenses. 5,150 8,569 3.419 Add lines 13 through 23 38,500 38,500 25 Contributions, gifts, grants paid 26 Total expenses and disbursements. Add lines 24 and 25 5,150 47,069 n 41.919 27 Subtract line 26 from line 12 e e Excess of revenue over expenses and disbursements 1,085,349 Net investment income (if negative, enter -0-) 729 Adjusted net income (if negative, enter -0-)

For Paperwork Reduction Act Notice, see instructions.

Form 990-PF (2015)

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Form 990-PF (2015) THE GERALD M AND ROBERTA N QUIAT FAMILY FOUNDATION 26-2704284 Page 2 Beginning of year End of year Attached schedules and amounts in the description column Part II **Balance Sheets** should be for end-of-year amounts only (See instructions) (a) Book Value (b) Book Value (c) Fair Market Value 1 Cash—non-interest-bearing 2,668 2,668 240.002 2 Savings and temporary cash investments 610,576 610,576 Accounts receivable Less allowance for doubtful accounts Pledges receivable ▶ Less allowance for doubtful accounts ▶ Grants receivable 5 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) Other notes and loans receivable (attach schedule) Less allowance for doubtful accounts Assets Inventories for sale or use 9 Prepaid expenses and deferred charges 200.000 Investments—U S and state government obligations (attach schedule) 668.566 199,330 10a Investments—corporate stock (attach schedule) h Investments—corporate bonds (attach schedule) C Investments—land, buildings, and equipment basis 11 Less accumulated depreciation (attach schedule) 12 Investments—mortgage loans 13 Investments—other (attach schedule) 1,170,368 1,151,401 14 Land, buildings, and equipment. basis Less accumulated depreciation (attach schedule) Other assets (describe 15 16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I) 908.568 1.983.612 1.963.975 17 Accounts payable and accrued expenses 18 Grants payable Liabilities 19 Deferred revenue 20 Loans from officers, directors, trustees, and other disqualified persons 21 Mortgages and other notes payable (attach schedule) 22 Other liabilities (describe 23 Total liabilities (add lines 17 through 22) Foundations that follow SFAS 117, check here Balances and complete lines 24 through 26 and lines 30 and 31. 24 Unrestricted 25 Temporarily restricted 26 Fund Permanently restricted Foundations that do not follow SFAS 117, check here ▶ 🔀 and complete lines 27 through 31. 5 27 Capital stock, trust principal, or current funds 1,983,61 Assets 28 Paid-in or capital surplus, or land, bldg, and equipment fund 29 Retained earnings, accumulated income, endowment, or other funds 908,568 30 Total net assets or fund balances (see instructions) 908,568 1,983,612 Net 31 Total liabilities and net assets/fund balances (see instructions) 908,568 Analysis of Changes in Net Assets or Fund Balances Part III 1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) 908,568 2 Enter amount from Part I, line 27a 2 1,085,349 3 Other increases not included in line 2 (itemize) 3 4 1,993,917

4 Add lines 1, 2, and 3

5 Decreases not included in line 2 (itemize) ► FMV TO BOOKS ADJUSTMENT

6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30

10,305

1,983,612

THE GERALD M AND ROBERTA N QUIAT FAMILY FOUNDATION 26-2704284 Form 990-PF (2015) Page 3 Part IV Capital Gains and Losses for Tax on Investment Income (b) How acquired (a) List and describe the kind(s) of property sold (e.g., real estate, (c) Date acquired (d) Date sold P—Purchase 2-story brick warehouse, or common stock, 200 shs MLC Co) (mo, day, yr) (mo, day, yr) -Donation 1a b C d е (f) Depreciation allowed (g) Cost or other basis (h) Gain or (loss) (e) Gross sales price (or allowable) plus expense of sale (e) plus (f) minus (g) а b c d е Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 (i) Gains (Col (h) gain minus col (k), but not less than -0-) or (j) Adjusted basis (k) Excess of col (i) (i) F M V as of 12/31/69 Losses (from col (h)) as of 12/31/69 over col (j), if any а b C d e If gain, also enter in Part I, line 7 2 Capital gain net income or (net capital loss) If (loss), enter -0- in Part I, line 7 2 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) 3 If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income Part V (For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income) If section 4940(d)(2) applies, leave this part blank Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes 🗓 No If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part Enter the appropriate amount in each column for each year, see the instructions before making any entries (d) (a) (b) (c) Base period years Distribution ratio Adjusted qualifying distributions Net value of noncharitable-use assets (col (b) divided by col (c)) Calendar year (or tax year beginning in) 2014 0 000000 2013 0 000000 0 000000 2012 2011 0 000000 2010 0 000000 0 000000 2 Total of line 1, column (d) 2 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years 3 0 000000 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5 4 783,274 5 5 Multiply line 4 by line 3 Enter 1% of net investment income (1% of Part I, line 27b) 6 6

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the

Add lines 5 and 6

Part VI instructions

Enter qualifying distributions from Part XII, line 4

41,919

7

| Form 990-PF (2015) THE GERALD M AND ROBERTA N QUIAT FAMILY FOUNDATION 26-2704284 Page 1990-PF (2015) | | | | | age 4 | |
|--|--|-----------------------------------|--|------------------|-------|----------------|
| Part \ | Excise Tax Based on Investment Income (Section 4940(a), 4940 | 0(b), 4940(e), or 4948—s | ee instru | ictions) | _ | |
| | Date of ruling or determination letter (attach copy of letter if necessary—see instructions) | | | | | |
| b | Domestic foundations that meet the section 4940(e) requirements in Part | t v, cneck | 7 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 | Carson Society | 7 | State San |
| С | here ► X and enter 1% of Part I, line 27b All other domestic foundations enter 2% of line 27b Exempt foreign orga Part I, line 12, col (b) | inizations enter 4% of | | | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundation | e only Others enter () | 2 | | 0 | |
| 3 | Add lines 1 and 2 | is only officia chick to) | 3 | | 7 | |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundation | os only Others enter -0-1 | 4 | | | _ |
| 5 | Tax based on investment income. Subtract line 4 from line 3 If zero or | | 5 | | 7 | <u> </u> |
| 6 | Credits/Payments | 1000, 011101 | 33 | 1. | * * : | |
| a | 2015 estimated tax payments and 2014 overpayment credited to 2015 | 6a | | | | |
| b | Exempt foreign organizations—tax withheld at source | 6b | | | | |
| С | Tax paid with application for extension of time to file (Form 8868) | 6c | | | | |
| d | Backup withholding erroneously withheld | 6d | 4 | | | |
| 7 | Total credits and payments Add lines 6a through 6d | | 7 | | 0 | |
| 8 | Enter any penalty for underpayment of estimated tax. Check here if | Form 2220 is attached | 8 | | | |
| 9 | Tax due. If the total of lines 5 and 8 is more than line 7, enter amount o | | ▶ 9 | | 7 | |
| 10 | Overpayment. If line 7 is more than the total of lines 5 and 8, enter the a | amount overpaid | ▶ 10 | | 0 | |
| 11 | Enter the amount of line 10 to be Credited to 2016 estimated tax | Refunded | ▶ 11 | | 0 | |
| Part ' | | | | Foots, accuston. | | |
| 1a | During the tax year, did the foundation attempt to influence any national, | state, or local legislation | or did it | | Yes | |
| | participate or intervene in any political campaign? | f 11 1 | | 1a | | X |
| b | Did it spend more than \$100 during the year (either directly or indirectly) | for political purposes (see | € | 46 | | |
| | Instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the ac | atuutias and conice of an | , matarial | 1b | -6 C | X |
| | published or distributed by the foundation in connection with the activities | | / IIIaleiiai | ۰ • | | |
| С | Did the foundation file Form 1120-POL for this year? | , | | 1c | | X |
| | Enter the amount (if any) of tax on political expenditures (section 4955) if | mposed during the year | | | | |
| _ | (1) On the foundation ►\$ (2) On foundation ma | · · | | | | |
| е | Enter the reimbursement (if any) paid by the foundation during the year for | | x imposed | d T | | |
| | on foundation managers \$ | | | _3 | 119 | |
| 2 | Has the foundation engaged in any activities that have not previously been | en reported to the IRS? | | 2 | | _X |
| | If "Yes," attach a detailed description of the activities | | | | | |
| 3 | Has the foundation made any changes, not previously reported to the IRS, in its g | = | \$ | | 3. | |
| _ | of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conform | | | 3 | | <u>X</u> |
| 4a | Did the foundation have unrelated business gross income of \$1,000 or m | ore during the year? | | 4a | NI/A | Х |
| b 5 | If "Yes," has it filed a tax return on Form 990-T for this year? Was there a liquidation, termination, dissolution, or substantial contraction | n during the year? | | 4b 5 | N/A | X |
| J | If "Yes," attach the statement required by General Instruction T | induling the year? | | | | Â |
| 6 | Are the requirements of section 508(e) (relating to sections 4941 through | 4945) satisfied either | | | | |
| • | By language in the governing instrument, or | | | | | |
| | By state legislation that effectively amends the governing instrument so | that no mandatory direc | tions that | | | |
| | conflict with the state law remain in the governing instrument? | • | | 6 | X | |
| 7 | Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," co | omplete Part II, col. (c), and Pa | rt XV | 7 | Х | |
| 8a | Enter the states to which the foundation reports or with which it is registe | red (see instructions) | > | | | |
| | CO | | | | | |
| b | If the answer is "Yes" to line 7, has the foundation furnished a copy of Fo | | y Genera | | | |
| _ | (or designate) of each state as required by General Instruction G? If "No | • | | 8b | X | 200 May 200 of |
| 9 | Is the foundation claiming status as a private operating foundation within | - | • , , , | | | . Z |
| | or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 201: | o (see instructions for Pai | πΧΙV) ^γ /i | | | |
| 10 | "Yes," complete Part XIV Did any persons become substantial contributors during the tax year? If | "Vee " attach a cohodula | licting the | 9 | | <u> </u> |
| 10 | names and addresses | ros, anacira scriedule | nsany an e | ‴ 10 | | _v |

| Form 99 | 90-PF (2015) THE GERALD M AND ROBERTA N QUIAT FAMILY FOUNDATION 26-27 | 04284 | F | age 5 |
|---------|--|----------|-------------|--------------|
| Part | t VII-A Statements Regarding Activities (continued) | | - | |
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) | 11 | | X |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified | 12 | | Ţ |
| 13 | person had advisory privileges? If "Yes," attach statement (see instructions) Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 12 | Х | X |
| 13 | Website address ► N/A | _ 13 | | L |
| 14 | The books are in care of ► UST-MLT, A DIVISION OF BANK OF AMERICA, N Telephone no ► 609-27 | | · · | · · |
| 4 = | Located at ► 1300 MERRILL LYNCH DRIVE PENNINGTON NJ ZIP+4 ► 08534- | 1501 | | · |
| 15 | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year | | | ▶∟ |
| 16 | At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority | | Yes | No |
| | over a bank, securities, or other financial account in a foreign country? | 16 | REST WAR IN | X |
| | See the instructions for exceptions and filing requirements for FinCEN Form 114. If | 234 | | |
| Dor | "Yes," enter the name of the foreign country | <u>l</u> | | -2.77 |
| Fall | t VII-B Statements Regarding Activities for Which Form 4720 May Be Required | Marie : | Yes | No |
| 4 | File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. | 100 m | 162 | NO |
| 1a | During the year did the foundation (either directly or indirectly) | 18. 3 | | |
| | (1) Engage in the sale or exchange, or leasing of property with a disqualified person? | 63 | | 1.5 |
| | (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? | | | - : |
| | (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? | | | |
| | (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? | | | |
| | (5) Transfer any income or assets to a disqualified person (or make any of either available for | | | 4 |
| | the benefit or use of a disqualified person)? | | | |
| | (6) Agree to pay money or property to a government official? (Exception. Check "No" if the | | | |
| | foundation agreed to make a grant to or to employ the official for a period after | | | |
| | termination of government service, if terminating within 90 days) | | | l |
| b | If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in | | | |
| | Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? | 1b | N/A | |
| | Organizations relying on a current notice regarding disaster assistance check here | Dis. | . 7-4 | |
| С | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that | | 1 | مُخنت |
| | were not corrected before the first day of the tax year beginning in 2015? | 1c | Samuely and | X |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private | | | |
| | operating foundation defined in section 4942(j)(3) or 4942(j)(5)) | | į | |
| а | At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? Yes X No | | | 1 |
| | If "Yes," list the years ▶ 20, 20, 20, | | | |
| b | Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) | | ā | |
| U | (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to | | | |
| | all years listed, answer "No" and attach statement—see instructions) | 2b | N/A | |
| С | If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here | 47.2 | | 7 |
| | ▶ 20 , 20 , 20 , 20 | | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise | | | |
| | at any time during the year? | | 4 | |
| b | If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or | | , | |
| | disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the | | | |
| | Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse | -) | | |
| | of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the | | **** | |
| | foundation had excess business holdings in 2015) | 3b | N/A | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | × . | X |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its | | M. | |
| | charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015? | 4b | L | X |

Form **990-PF** (2015)

Form 990-PF (2015)

THE GERALD M AND ROBERTA N QUIAT FAMILY FOUNDATION

| Part | VII-B Statements Regarding Activities f | or Wh | ich Form 47 | 20 Ma | y Be Req | uired (| continue | d) | | | |
|--------|--|----------|---|----------|--------------------------------------|-------------|---|----------|----------|--|--------|
| 5a | During the year did the foundation pay or incur an | | | | | | | | 5.2 | | |
| | (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? | | | | | Yes | X No | | ř. | | |
| | (2) Influence the outcome of any specific public el | | (see section 4 | 4955), | or to carry o | on, | □ v | X No | | | |
| | directly or indirectly, any voter registration driv | | har aimilar ni | ırnaaar | .2 | | Yes Yes | X No | **** | 3.5 | |
| | (3) Provide a grant to an individual for travel, stud | | | | | | ☐ Tes | ⊠ MO | | | |
| | (4) Provide a grant to an organization other than a section 4945(d)(4)(A)? (see instructions) | a Chant | abie, etc., org | garıızau | ion describe | ea m | Yes | X No | | | |
| | (5) Provide for any purpose other than religious, of | haritab | le, scientific. | literary | , or educati | onal | _ | _ | | | |
| | purposes, or for the prevention of cruelty to ch | | | , | , | | Yes | X No | | | |
| b | If any answer is "Yes" to 5a(1)-(5), did any of the | transa | ctions fail to d | qualify | under the e | xceptio | ns descri | bed in | 2.12 | ************************************** | |
| | Regulations section 53 4945 or in a current notice | _ | • | | | structio | ns)? | | 5b | N/A | |
| | Organizations relying on a current notice regarding | | | | | | | ▶ | | 4 | |
| С | If the answer is "Yes" to question 5a(4), does the because it maintained expenditure responsibility for | | | emptior | n from the t N/A | ax | Yes | □No | | Ž | |
| | If "Yes," attach the statement required by Regulat | | | 5–5(d) | N/A | | □ 103 | | 7.7 | | |
| 6a | Did the foundation, during the year, receive any fu | | | | o pay prem | iums | | | | | |
| | on a personal benefit contract? | | | | | | Yes | X No | | * } | |
| b | Did the foundation, during the year, pay premiums | s, direc | tly or indirectl | y, on a | personal b | enefit d | contract? | | 6b | | X |
| _ | If "Yes" to 6b, file Form 8870 | | | | | | | | | 3, | |
| | At any time during the tax year, was the foundation a pa If "Yes," did the foundation receive any proceeds | | | | | the trai | Yes nsaction? | X No | 7b | N/A | |
| | VIII Information About Officers, Director | | | | | | | | | | |
| | and Contractors | | | • | • | , | J , | | | • | |
| 1 | List all officers, directors, trustees, foundation | | | | | (see ir | nstructio | ns). | | | |
| | (a) Name and address | hou | e, and average rs per week ed to position | (If a | mpensation not paid, nter -0-) | emple | Contribution byee benefit erred compe | plans | (e) Expe | ense acc allowan | |
| | RTA N QUIAT | PRES | IDENT/DIRE | | | | | | | | |
| | S BELLAIRE ST SUITE 900 DENVER, CO 80222 | VIOE 1 | 25 25 | | 0 | | | | | | |
| | NDA QUIAT S BELLAIRE ST SUITE 900 DENVER, CO 8022 | VICE | PRESIDENT/ 1 50 | | 0 | | | | | | |
| | ARD E QUIAT | SECR | ETARY/DIRE | | | | | | | | |
| | S BELLAIRE ST SUITE 900 DENVER, CO 8022 | | | | 0 | | | | | | |
| | | | | | | | | | | | |
| | | | | | | | | | | | |
| | Compensation of five highest-paid employees "NONE." | (other | than those | include | ed on line | 1—see —— | | · | none, | enter | |
| | | _ | (b) Title, and a | - | | | (d) Contrib employee | | (e) Expe | ense acc | count, |
| | (a) Name and address of each employee paid more than \$50,000 | 0 | hours per w devoted to po | | (c) Comper | rsation | plans and | deferred | | allowan | |
| NONE | | | | | | | compen | sauon | | | |
| 110111 | | | | | | | | | | | |
| | | | | | | | | | | | |
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| | - Alexander - Alex | | | | | | | | | | |
| | | | | | | | | | | | |
| | | | | | | | | | | | |
| | | | | | <u> </u> | | | | | | |
| Total | number of other employees paid over \$50,000 | | | | | | | • | | | 0 |

26-2704284

| Form 990-PF (2015) THE GERALD M AND ROBEF | CIAN QUIAT FAMILY | FOUNDATION 26-27 | U4284 Page / |
|--|--|--------------------------------------|---------------------------|
| Part VIII Information About Officers, Directors and Contractors (continued) | s, Trustees, Founda | tion Managers, Highly Paid Er | nployees, |
| 3 Five highest-paid independent contractors for pr | ofessional services (| see instructions). If none, enter " | NONE." |
| (a) Name and address of each person paid more than | | (b) Type of service | (c) Compensation |
| NONE | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| Total number of others receiving over \$50,000 for profession | onal services | > | |
| Part IX-A Summary of Direct Charitable Activ | rities | | |
| List the foundation's four largest direct charitable activities during the tax organizations and other beneficiaries served, conferences convened, res | | al information such as the number of | Expenses |
| 1 NONE | | | |
| | | | |
| | <u>. </u> | | |
| 2 | | | |
| | | | |
| | | | |
| 3 | | | İ |
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| | | | |
| 4 | | | |
| | | | |
| Part IX-B Summary of Program-Related Inve | stments (see instruc | tions) | <u> </u> |
| Describe the two largest program-related investments made by the found | | | Amount |
| 1 NONE | | | |
| | | | |
| | | | |
| 2 | | | |
| | | | |
| | | | |
| All other program-related investments See instructions | | | |
| 3 NONE | | | |
| | | | |
| Total. Add lines 1 through 3 | | . > | 0 |
| | | | Form 990-PF (2015) |

| Form 99 | 00-PF (2015) THE GERALD M AND ROBERTA N QUIAT FAMILY FOUNDATION | 26-2704284 | Page { |
|---------|---|------------------|---------------|
| Part | X Minimum Investment Return (All domestic foundations must complete this part. Foreig | n foundations, | |
| | see instructions) | | |
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., | 3.3 | |
| | purposes | | |
| а | Average monthly fair market value of securities | 1a | 417,953 |
| b | Average of monthly cash balances | 1b | 377,249 |
| С | Fair market value of all other assets (see instructions) | 1c | |
| d | Total (add lines 1a, b, and c) | 1d | 795,202 |
| е | Reduction claimed for blockage or other factors reported on lines 1a and | | |
| | 1c (attach detailed explanation) | _ <u> </u> | |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | _ |
| 3 | Subtract line 2 from line 1d . | 3 | 795,202 |
| 4 | Cash deemed held for charitable activities Enter 1 ½ % of line 3 (for greater amount, see | | |
| | instructions) | 4 | 11,928 |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4 | 5 | 783,274 |
| 6 | Minimum investment return. Enter 5% of line 5 | 6 | 39,164 |
| Part | Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here □ and do not complete this part) | | |
| 1 | Minimum investment return from Part X, line 6 | 1 | 39,164 |
| 2a | Tax on investment income for 2015 from Part VI, line 5 | 7 | |
| b | Income tax for 2015 (This does not include the tax from Part VI) | | |
| С | Add lines 2a and 2b | 2c | 7 |
| 3 | Distributable amount before adjustments Subtract line 2c from line 1 | 3 | 39,157 |
| 4 | Recoveries of amounts treated as qualifying distributions | 4 | |
| 5 | Add lines 3 and 4 . | 5 | 39,157 |
| 6 | Deduction from distributable amount (see instructions) | 6 | |
| 7 | Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, | | |
| | line 1 | 7 | 39,157 |
| Part | XII Qualifying Distributions (see instructions) | | |
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes | 2.33 | |
| а | Expenses, contributions, gifts, etc —total from Part I, column (d), line 26 | 1a | 41,919 |
| b | Program-related investments—total from Part IX-B | 1b | |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., | | |
| | purposes | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the | | |
| а | Suitability test (prior IRS approval required) | 3a | |
| b | Cash distribution test (attach the required schedule) | 3b | |
| 4 | Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 41,919 |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income | _ | _ |
| _ | Enter 1% of Part I, line 27b (see instructions) | 5 | 7 |
| 6 | Adjusted qualifying distributions. Subtract line 5 from line 4 | [6] | 41,912 |
| | Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating w | netner the found | ation |

qualifies for the section 4940(e) reduction of tax in those years

Form **990-PF** (2015)

2,762

b Excess from 2012 . . .c Excess from 2013 . .d Excess from 2014 . . .

e Excess from 2015

| Form 9 | 90-PF (2015) THE GERALD MAND | | | | 26-270 | |
|--------|---|-----------------------|--|--|------------------------|------------------|
| Part | XIV Private Operating Foundation | ons (see instruc | tions and Part VI | II-A, question 9) | | N/A |
| 1a | If the foundation has received a ruling of | | | | | |
| | foundation, and the ruling is effective for | | | | | |
| b | Check box to indicate whether the foundation | on is a private opera | iting foundation desc | cribed in section | 4942(j)(3 | 3) or 4942(J)(5) |
| | Enter the lesser of the adjusted net | Tax year | | Prior 3 years | | |
| | income from Part I or the minimum | (a) 2015 | (b) 2014 | (c) 2013 | (d) 2012 | (e) Total |
| | investment return from Part X for each year listed . | (4) == 1 | ······ | | | 0 |
| h | 85% of line 2a . | | | - | | 0 |
| | - | | | | | |
| С | Qualifying distributions from Part XII, | | İ | | | 0 |
| _ | line 4 for each year listed | | | ļ— | - | |
| d | Amounts included in line 2c not used directly | | ł | | | • |
| | for active conduct of exempt activities . | | ļ | | | 0 |
| е | Qualifying distributions made directly | | ļ | | | |
| | for active conduct of exempt activities | | ł | } | | |
| | Subtract line 2d from line 2c . | | | <u> </u> | | 0 |
| 3 | Complete 3a, b, or c for the | | } | 1 | | |
| | alternative test relied upon | | | | | |
| а | "Assets" alternative test—enter | | | | | |
| | (1) Value of all assets . | | | | | 0 |
| | (2) Value of assets qualifying under | | | | | |
| | section 4942(j)(3)(B)(i) | | | | | 0 |
| b | "Endowment" alternative test-enter 2/3 | | | | | |
| | of minimum investment return shown in Part X, line 6 for each year listed . | | | | | 0 |
| _ | "Support" alternative test—enter: | | | | | |
| Ç | (1) Total support other than gross | | 1 | | | |
| | investment income (interest, | | | | | |
| | dividends, rents, payments on | | | 1 | | |
| | securities loans (section | | | | | ^ |
| | 512(a)(5)), or royalties) (2) Support from general public | | | | | 0 |
| | and 5 or more exempt | | | | İ | |
| | organizations as provided in | | | | | |
| | section 4942(j)(3)(B)(iii) | | | | | 0 |
| | (3) Largest amount of support from | | | | | |
| | an exempt organization | | <u> </u> | | | 0 |
| | (4) Gross investment income | | <u> </u> | <u> </u> | | 0 |
| Part | XV Supplementary Information | ı (Complete thi | s part only if the | e foundation had | d \$5,000 or more | e in assets at |
| | any time during the year—s | see instruction | s.) | | | |
| 1 | Information Regarding Foundation N | lanagers: | | | | |
| а | List any managers of the foundation wh | | | | | ne foundation |
| | before the close of any tax year (but on | ly if they have co | ntributed more tha | n \$5,000) (See se | ction 507(d)(2)) | |
| NONE | <u> </u> | | | | | |
| b | List any managers of the foundation wh | no own 10% or mo | ore of the stock of | a corporation (or a | n equally large port | tion of the |
| | ownership of a partnership or other ent | | | | | |
| NONE | · · · · · · · · · · · · · · · · · · · | •• | | Ū | | |
| 2 | Information Regarding Contribution, | Grant, Gift, Loa | n. Scholarship, et | tc Programs: | | |
| | Check here ► 🛛 if the foundation on | | | | izations and does i | not accent |
| | unsolicited requests for funds. If the fou | indation makes di | ifts, grants, etc. (se | ee instructions) to in | ndividuals or organ | izations |
| | under other conditions, complete items | | , 3, | , | | |
| a | The name, address, and telephone nur | · | dress of the nerser | a to whom applicate | one should be add | respect |
| а | The hame, address, and telephone full | inder or e-mail add | areas or the herson | To whom applicati | ons should be add | 103560 |
| | | | | | | |
| | The form in which applications about the | o submitted and | information and | otoriala thay should | l include | · |
| b | The form in which applications should be | be submitted and | iniormation and ma | ateriais they should | ı ıncıuae | |
| | | | | | | |
| | | | | | | |
| С | Any submission deadlines: | | | | | |
| | | ··· | | | | |
| d | Any restrictions or limitations on awards | s, such as by geo | graphical areas, ch | naritable fields, kind | ds of institutions, oi | r other |
| | factors. | | | | | |
| | | | | | | |

orm 990-PF (2015)

THE GERALD M AND ROBERTA N QUIAT FAMILY FOUNDATION

26-2704284

| Part XV Supplementary Information (contraction 3 Grants and Contributions Paid During | nued) | | | |
|---|---|------------------------|---------------------|--|
| 3 Grants and Contributions Paid During | the Year or Approve | | ure Payment | |
| Recipient | If recipient is an individual, show any relationship to | Foundation | Purpose of grant or | |
| Name and address (home or business) | any foundation manager | status of recipient | contribution | Amount |
| | or substantial contributor | | | |
| a Paid during the year See Attached Statement | | | | |
| See Attached Statement | | ł | | |
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| | <u> </u> | L | L | |
| Total | | | <u> </u> | 38,500 |
| b Approved for future payment NONE | | | | |
| NONE | | | | |
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| Total | | | ▶ 3b | ol 0 |

Form 990-PF (2015)

THE GERALD M AND ROBERTA N QUIAT FAMILY FOUNDATION

26-2704284 Page **12**

| | rt XV | I-A Analysis of Income-Producing Ac | tivities | | | | |
|-----------|----------------|--|----------------------|--------------------|-----------------------|----------------------|---------------------------------------|
| | | ss amounts unless otherwise indicated | Unrelated bu | isiness income | | ion 512, 513, or 514 | (e) Related or exempt |
| 1 | | ram service revenue | (a) Business code | (b) Amount | (c) Exclusion code | (d) Amount | function income (See instructions) |
| | 1- | | | | | | |
| | | | | | | | |
| | -1 | | | | | | |
| | е _ | | | | | | |
| | f _ | | | | | | |
| | g F | Fees and contracts from government agencies | | | | | |
| 2 | Mem | bership dues and assessments | | | | | |
| 3 | | est on savings and temporary cash investments | | | | | |
| 4 | | lends and interest from securities | | A. 100 | 14 | 5,879 | |
| 5 | | rental income or (loss) from real estate | | | 7663数。 | | |
| | | Debt-financed property | | | | | <u> </u> |
| _ | | Not debt-financed property | | | | | |
| 6 | | rental income or (loss) from personal property | | | | | |
| 7 | | er investment income | | | 10 | | |
| 8 | | or (loss) from sales of assets other than inventory | | | 18 | | |
| 9 10 | | ncome or (loss) from special events sprofit or (loss) from sales of inventory | | | | | |
| 11 | | er revenue a | | | | | |
| • • | b | | | | | | |
| | _ | | | | | | |
| | d _ | | | | | | |
| | е – | | | | | | |
| 12 | Subt | otal Add columns (b), (d), and (e) . | 4.3 | 0 | # 14 | 5,879 | 0 |
| | | II. Add line 12, columns (b), (d), and (e) | | | | 13 | 5,879 |
| (See | work | sheet in line 13 instructions to verify calculation | ns) | | | | |
| | | | | | | | |
| Pa | rt XV | | | ent of Exempt | Purposes | | |
| Pa Lin | | | ccomplishm | column (e) of Part | XVI-A contributed | | |
| Pa Lin | t XV e No. | Relationship of Activities to the A Explain below how each activity for which incon | ccomplishm | column (e) of Part | XVI-A contributed | | |
| Pa Lin | t XV e No. | Explain below how each activities to the A explain below how each activity for which incon accomplishment of the foundation's exempt pur | ccomplishm | column (e) of Part | XVI-A contributed | | |
| Pa Lin | t XV e No. | Explain below how each activities to the A explain below how each activity for which incon accomplishment of the foundation's exempt pur | ccomplishm | column (e) of Part | XVI-A contributed | | |
| Pa Lin | t XV e No. | Explain below how each activities to the A explain below how each activity for which incon accomplishment of the foundation's exempt pur | ccomplishm | column (e) of Part | XVI-A contributed | | |
| Pa Lin | t XV e No. | Explain below how each activities to the A explain below how each activity for which incon accomplishment of the foundation's exempt pur | ccomplishm | column (e) of Part | XVI-A contributed | | |
| Pa Lin | t XV e No. | Explain below how each activities to the A explain below how each activity for which incon accomplishment of the foundation's exempt pur | ccomplishm | column (e) of Part | XVI-A contributed | | |
| Pa Lin | t XV e No. | Explain below how each activities to the A explain below how each activity for which incon accomplishment of the foundation's exempt pur | ccomplishm | column (e) of Part | XVI-A contributed | | |
| Pa Lin | t XV e No. | Explain below how each activities to the A explain below how each activity for which incon accomplishment of the foundation's exempt pur | ccomplishm | column (e) of Part | XVI-A contributed | | |
| Pa Lin | t XV e No. | Explain below how each activities to the A explain below how each activity for which incon accomplishment of the foundation's exempt pur | ccomplishm | column (e) of Part | XVI-A contributed | | |
| Pa Lin | t XV e No. | Explain below how each activities to the A explain below how each activity for which incon accomplishment of the foundation's exempt pur | ccomplishm | column (e) of Part | XVI-A contributed | | |
| Pa Lin | t XV e No. | Explain below how each activities to the A explain below how each activity for which incon accomplishment of the foundation's exempt pur | ccomplishm | column (e) of Part | XVI-A contributed | | |
| Pa Lin | t XV e No. | Explain below how each activities to the A explain below how each activity for which incon accomplishment of the foundation's exempt pur | ccomplishm | column (e) of Part | XVI-A contributed | | |
| Pa Lin | t XV e No. | Explain below how each activities to the A explain below how each activity for which incon accomplishment of the foundation's exempt pur | ccomplishm | column (e) of Part | XVI-A contributed | | |
| Pa Lin | t XV e No. | Explain below how each activities to the A explain below how each activity for which incon accomplishment of the foundation's exempt pur | ccomplishm | column (e) of Part | XVI-A contributed | | |
| Pa Lin | t XV e No. | Explain below how each activities to the A explain below how each activity for which incon accomplishment of the foundation's exempt pur | ccomplishm | column (e) of Part | XVI-A contributed | | |
| Pa Lin | t XV e No. | Explain below how each activities to the A explain below how each activity for which incon accomplishment of the foundation's exempt pur | ccomplishm | column (e) of Part | XVI-A contributed | | |
| Pa Lin | t XV e No. | Explain below how each activities to the A explain below how each activity for which incon accomplishment of the foundation's exempt pur | ccomplishm | column (e) of Part | XVI-A contributed | | |
| Pa Lin | t XV e No. | Explain below how each activities to the A explain below how each activity for which incon accomplishment of the foundation's exempt pur | ccomplishm | column (e) of Part | XVI-A contributed | | |
| Pa Lin | rt XV e No. | Explain below how each activities to the A explain below how each activity for which incon accomplishment of the foundation's exempt pur | ccomplishm | column (e) of Part | XVI-A contributed | | |
| Pa Lin | rt XV e No. | Explain below how each activities to the A explain below how each activity for which incon accomplishment of the foundation's exempt pur | ccomplishm | column (e) of Part | XVI-A contributed | | |
| Pa Lin | rt XV e No. | Explain below how each activities to the A explain below how each activity for which incon accomplishment of the foundation's exempt pur | ccomplishm | column (e) of Part | XVI-A contributed | | |
| Pa Lin | rt XV e No. | Explain below how each activities to the A explain below how each activity for which incon accomplishment of the foundation's exempt pur | ccomplishm | column (e) of Part | XVI-A contributed | | |

THE GERALD M AND ROBERTA N QUIAT FAMILY FOUNDATION 26-2704284 Page **13** Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable **Exempt Organizations** Did the organization directly or indirectly engage in any of the following with any other organization described Yes in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? a Transfers from the reporting foundation to a noncharitable exempt organization of (1) Cash 1a(1 (2) Other assets 1a(2) **b** Other transactions (1) Sales of assets to a noncharitable exempt organization 1b(1) (2) Purchases of assets from a noncharitable exempt organization 1b(2) (3) Rental of facilities, equipment, or other assets 1b(3) (4) Reimbursement arrangements 1b(4) (5) Loans or loan guarantees 1b(5) (6) Performance of services or membership or fundraising solicitations 1b(6) Sharing of facilities, equipment, mailing lists, other assets, or paid employees If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. (c) Name of noncharitable exempt organization (d) Description of transfers, transactions, and sharing arrangements Not Applicable Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations ☐ Yes ☒ No described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? b If "Yes," complete the following schedule (a) Name of organization (b) Type of organization (c) Description of relationship

| | | Inder penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true complete). Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge. | | | | | | | |
|--------------|-----|---|------------------------------|--|--|--------|------------|-------------|--|
| Sign Here | | nature of officer or trustee | rer (other than taxpayer) is | based on all information of w 5/13/16 Date | | ctor | | with the pa | RS discuss this return reparer shown below uctions)? XYes No |
| Paid | _ | Print Type preparer's name | | reparer's signature | | Date | CI | neck X if | PTIN |
| | or | LAWRENCE F MCGUI | RE ā | 2/ | | 5/9/20 | | | P00364310 |
| Prepar | | Firm's name Pricew | aterhouseCoope | rs, LLP | | | Firm's EIN | ▶ 13-40 | 008324 |
| Use Or | าเร | Firm's address ► 600 Gr | ant Street, Pittsb | urgh, PA 15219 | | | Phone no | 412-3 | 355-6000 |
| | | | | | | • | | | |

Form **990-PF** (2015)

Schedule B (Form 990, 990-EZ,

or 990-PF)

Department of the Treasury Internal Revenue Service

Name of the organization

Schedule of Contributors

Attach to Form 990, Form 990-EZ, or Form 990-PF.

Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

Employer identification number

| THE GERALD M AND RU | SERTAIN QUIAT FAMILY FOUNDATION 26-2704284 |
|--|--|
| Organization type (check | |
| Filers of: | Section: |
| Form 990 or 990-EZ | 501(c)() (enter number) organization |
| | 4947(a)(1) nonexempt charitable trust not treated as a private foundation |
| | 527 political organization |
| Form 990-PF | X 501(c)(3) exempt private foundation |
| | 4947(a)(1) nonexempt charitable trust treated as a private foundation |
| | 501(c)(3) taxable private foundation |
| | |
| • | s covered by the General Rule or a Special Rule .)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See |
| General Rule | |
| | n filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or property) from any one contributor. Complete Parts I and II. See instructions for determining a ontributions. |
| Special Rules | |
| regulations under 13, 16a, or 16b, ar | described in section 501(c)(3) filing Form 990 or 990-EZ that met the 331/3 % support test of the sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line d that received from any one contributor, during the year, total contributions of the greater of (1) f the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II |
| contributor, during | n described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one the year, total contributions of more than \$1,000 exclusively for religious, charitable, scientific, and purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III |
| contributor, during contributions totale during the year for General Rule app | n described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one the year, contributions exclusively for religious, charitable, etc., purposes, but no such d more than \$1,000. If this box is checked, enter here the total contributions that were received an exclusively religious, charitable, etc., purpose. Do not complete any of the parts unless the less to this organization because it received nonexclusively religious, charitable, etc., contributions nore during the year. |
| Caution. An organization | hat is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, |

990-EZ, or 990-PF), but it must answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Schedule B (Form 990, 990-EZ, or 990-PF) (2015)

| Name of or | ganization ALD M AND ROBERTA N QUIAT FAMILY FOUNDATION | Employer identification number 26-2704284 | |
|------------|--|---|---|
| Part I | Contributors (see instructions) Use duplicate copie | s of Part I if additional space is | |
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
| 1_ | Roberta Quiat Living Trust 1873 SOUTH BELLAIRE ST SUITE 990 DENVER CO 80222 Foreign State or Province Foreign Country | \$ 876,539 | Person X Payroll |
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
| | Foreign State or Province Foreign Country | \$ | Person Payroll Oncash (Complete Part II for noncash contributions) |
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
| | Foreign State or Province Foreign Country | \$ | Person Payroll Noncash (Complete Part II for noncash contributions) |
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
| | Foreign State or Province Foreign Country | \$ | Person Payroll Noncash (Complete Part II for noncash contributions) |
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
| | Foreign State or Province Foreign Country | \$ | Person Payroll Oncash (Complete Part II for noncash contributions) |
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
| | Foreign State or Province Foreign Country | \$ | Person Payroll Noncash (Complete Part II for noncash contributions) |

Schedule B (Form 990, 990-EZ, or 990-PF) (2015)

| Name of ore THE GERA | ganization ALD M AND ROBERTA N QUIAT FAMILY FOUNDATION | Employer identification number 26-2704284 | |
|---------------------------|---|--|----------------------|
| Part II | Noncash Property (see instructions) Use duplicate co | pies of Part II if additiona | |
| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
| | | \$ | |
| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
| | | \$ | |
| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
| | | \$ | |
| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
| | | \$ | |
| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
| | | \$ | |
| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
| | | \$ | |

| Name of org | | | | | Employer identification number | | |
|---------------------------|---|--|---|-------------------------------------|--|--|--|
| | ALD M AND ROBERTA N QUIAT FAMILY F | | 4 | | 26-2704284 | | |
| Part III | Exclusively religious, charitable, etc., (10) that total more than \$1,000 for the the following line entry. For organizations contributions of \$1,000 or less for the year Use duplicate copies of Part III if additional | year from any completing Pa ar (Enter this i | y one contributor. Com art III, enter the total of entering once See in: | plete c | columns (a) through (e) and vely religious, charitable, etc. | | |
| (a) No. from | (b) Durmon of gift | /0 | \ Lion of mift | (4) | Description of how sift is held | | |
| Part I | (b) Purpose of gift | (6 |) Use of gift | (u) | Description of how gift is held | | |
| | | | | | | | |
| | | (e) Transfer of gift | | | | | |
| | Transferee's name, address, and | ZIP + 4 | Relationsh | nip of t | ransferor to transferee | | |
| | | | | | | | |
| | For Prov Country | | | | | | |
| (a) No. from Part I | (b) Purpose of gift | (c |) Use of gift | (d) Description of how gift is held | | | |
| | • | | | | | | |
| | | | | | | | |
| | (e) Transfer of gift | | | | | | |
| | (e) Transfer of gift | | | | | | |
| | Transferee's name, address, and ZIP + 4 | | Relationsh | ip of t | ransferor to transferee | | |
| | | | | | | | |
| | For Prov Country | | | | | | |
| (a) No. from Part I | (b) Purpose of gift | (c |) Use of gift | (d) | Description of how gift is held | | |
| | | | ····· | | | | |
| | | | | | | | |
| | | | | | | | |
| | (e) Transfer of gift | | | | | | |
| | Transferee's name, address, and ZIP + 4 Relationship of transferor to transferee | | | | | | |
| | | | | | | | |
| | | | | | | | |
| (a) No. | For Prov Country | | <u> </u> | 1 | · · · · · · · · · · · · · · · · · · · | | |
| from Part I | (b) Purpose of gift | gift (c) Use of gift | | (d) | Description of how gift is held | | |
| | | | | | | | |
| | | | | | | | |
| | | (e) T | ransfer of gift | | | | |
| | Transferee's name, address, and | ZIP + 4 | Relationsh | nip of t | ransferor to transferee | | |
| | | | | | | | |
| | | | | | | | |
| | For Prov Country | | | | | | |

5/9/2016 9 02 43 AM - XX - RWU - PGC - THE GERALD M AND ROBERTA N QUIAT FAM

| THE GERALD M A XV I | N QUIAT FAMILY FOU | NDATIO | ON | 26-2704 | 1284 Page | 1 of 1 |
|--|---------------------|--------------|---------------|-----------------------|-----------|---------------|
| Continuation of XV, L | ine 3a (990-PF) - (| <u>Grant</u> | s and Cont | <u>ributions Paid</u> | During th | e Year |
| Recipient(s) paid dur | | | | | | |
| , | | | | | | |
| Name (hutton Co | mmittee | | | | | |
| American Jewish Join | <u> </u> | | | | | |
| Street | | | | | | |
| 711 Third Avenue, 1000r City | | State | Zip Code | Foreign Count | ry | |
| New York | | NY | 10017 | | | |
| Relationship | Foundation Status | | | | | |
| NONE | PC | | | | | |
| Purpose of grante tribution | | | | | Amount | |
| proceeding and grant gra | | | | | | 7,50 <u>0</u> |
| Name | | | | | | |
| Name | ation | | | | | |
| Emergency Family Assistance Assoc | idilon | | | | | |
| | | | | | | |
| 1575 Yarmouth Ave | | | Zip Code | Foreign Count | ry | |
| Boulder | | <u>co</u> | 80304 | | | |
| Relationship | Foundation Status | | | | | |
| NONE | PC | | | | | |
| Purpose of gent/contribution | | | | | Amount | |
| - January State Control of the Contr | | | | | <u> </u> | 7,500 |
| Name | | | | | | |
| Jewish Family Service of Los Angele | es | | | | | |
| Street | | | | | | |
| 3580 Wilshire Blvd Ste 700 | | | | | | |
| City | | State | Zip Code | Foreign Count | ry | |
| Los Angeles | | CA | 90010-2510 | | | |
| Relationship | Foundation Status | | | | | |
| NONE | PC | | | <u>-</u> | T. | |
| Purpose of grant/contribution | | | | | Amount | |
| | | | | | | 7,500 |
| Name | | | | | | |
| Mercy Corps | | | | | | |
| Street | | | | | | |
| 45 SW Ankeny Street | | _ | , | | | |
| City | | | Zip Code | Foreign Count | try | |
| Portland | | OR | 97204 | | | |
| Relationship | Foundation Status | | | | | |
| NONE | PC | | | . | 14 | |
| Purpose of grant/contribution | | | | | Amount | 40.000 |
| | | | | | <u> </u> | 10,000 |
| Name | | | | | | |
| Jewish National Fund | | | | | | |
| Street | | | | | | |
| 42 East 69th Street | | | T=1 | | | |
| City | | | Zip Code | Foreign Count | try | |
| New York | | NY | 10021 | | | |
| Relationship | Foundation Status | | | | | |
| NONE | PC | | | | T | |
| Purpose of grant/contribution | | | | | Amount | |
| | | | | | <u> </u> | 5,000 |
| Name | | | | | | |
| Morris Animal Foundation | | | | | | |
| Street | | | | | | |
| 10200 East Girard AvenueSuite B43 | 0 | | - ,- - | | | |
| City | | | Zip Code | Foreign Coun | try | |
| Denver | | co | 80231 | | | |
| Relationship | Foundation Status | • | | | | |
| NONE | PC | | | | 1 | |
| Purpose of grant/contribution | | | | | Amount | |
| | | | | | | 1,000 |

.. 5/9/2016 9 02 43 AM - XXXX2133 - RWU - PGC - THE GERALD M AND ROBERTA N QUIAT FAM

Part I, Line 16c (990-PF) - Other Professional Fees

| | 8,546 | 5,128 | 0 | 3,419 |
|--------------------------|--------------------------------------|-----------------------|------------------------|--|
| Description | Revenue and Expenses per Books | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes (Cash Basis Only) |
| 1 US TRUST FEES AS AGENT | 8,546 | | Income | 3,419 |

5/9/2016 9 02 43 AM - XXXX2133 - RWU - PGC - THE GERALD M AND ROBERTA N QUIAT FAM

Part I, Line 18 (990-PF) - Taxes

| | 23 | 22 | C | 0 |
|------------------------|--------------|----------------|------------|----------------|
| | Revenue | | | Disbursements |
| | and Expenses | Net Investment | Adjusted | for Charitable |
| Description | per Books | Income | Net Income | Purposes |
| 1 FOREIGN TAX WITHHELD | 23 | 22 | | |

5/9/2016 9 02 43 AM - XXXX2133 - RWU - PGC - THE GERALD M AND ROBERTA N QUIAT FAM

| | c |
|--|---------|
| ligations | 000 000 |
| - U.S. and State Government Obligation | 868 566 |
| U.S. and State Gove | Fodoral |
| - Investments - U.S. | |
| 10a (990-PF) | |
| Part II, Line | |

| | | Federal | 995'899 | 200,000 | 0 | 199,330 | |
|---|------------------------|-------------|-------------|-------------|-------------|-------------|-------------|
| | | State/Local | 0 | 0 | 0 | 0 | |
| L | | Num Shares/ | Book Value | Book Value | FMV | FMV | State/Local |
| _ | Description | Face Value | Beg of Year | End of Year | Beg of Year | End of Year | Obligation |
| | | | | 0 | | | |
| 2 | FEDERAL HOME LOAN BANK | | 995'899 | 200,000 | | 199,330 | |

Part II, Line 13 (990-PF) - Investments - Other

| | | | 0 | 1,170,368 | 1,151,401 |
|---|-------------------------|-----------|-------------|-------------|-------------|
| | | Basis of | Book Value | Book Value | FMV |
| | Asset Description | Valuation | Beg of Year | End of Year | End of Year |
| - | | | | 0 | |
| 7 | ISHARES TR | | 0 | 305,079 | 298,440 |
| ဗ | ISHARES TR | | 0 | 58,619 | 56,310 |
| 4 | S&P US PFD STK INDEX FD | | 0 | 156,725 | 155,400 |
| 2 | SPDR TR UTS | | 0 | 311,278 | 305,805 |
| 9 | SECTOR SPDR TR SHS BEN | | 0 | 107,714 | 108,045 |
| 7 | SECTOR SPDR TR SHS BEN | | 0 | 118,697 | 117,241 |
| 8 | VANGUARD EUROPE PACIFIC | | 0 | 112,256 | 110,160 |
| | | | | | |

5/9/2016 9.02 43 AM - XXXX2133 - RWU - PGC - THE GERALD M AND ROBERTA N QUIAT FAM

Part VIII, Line 1 (990-PF) - Compensation of Officers, Directors, Trustees and Foundation Managers

| _ | | | | |
|---|-------------------------------|-------------------------------------|-------------------------------------|-------------------------------------|
| 0 | Expense Account | t | | · |
| J | Benefits | | | |
| 0 | Avg Hrs Per Week Compensation | 0 | o | 0 |
| | Avg Hrs Per Week | 0 25 | 1 50 | |
| | Title | PRESIDENT/ DIRECTOR | VICE PRESIDENT/ DIRECTOR | SECRETARY /DIRECTOR |
| | Foreign Country | | | |
| | Zıp Code | 80222 | 80222 | 80222 |
| | State | 8 | 00 | 00 |
| | City | DENVER | DENVER | DENVER |
| | Street | 1873 S BELLAIRE ST SUITE 900 DENVER | 1873 S BELLAIRE ST SUITE 900 DENVER | 1873 S BELLAIRE ST SUITE 900 DENVER |
| | Check "X" | | | |
| | Same | ROBERTA N QUIAT | MELINDA QUIAT | LEONARD E QUIAT |
| | | 1 | 1 | L |