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Form 990-PF

## Return of Private Foundation

## or Section 4947(a)(1) Trust Treated as Private Foundation

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2015

Open to Public  
Inspection

For calendar year 2015, or tax year beginning 01-01-2015 , and ending 12-31-2015

Name of foundation THE TODD BROCK FAMILY FOUNDATION		A Employer identification number 26-2049780
Number and street (or P O box number if mail is not delivered to street address) 3535 CALDER AVE STE 201		B Telephone number (see instructions) (409) 554-0175
City or town, state or province, country, and ZIP or foreign postal code BEAUMONT, TX 77706		
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		C If exemption application is pending, check here <input type="checkbox"/> <input type="checkbox"/>
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		D 1. Foreign organizations, check here <input type="checkbox"/> <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) <b>\$ 1,886,462</b>	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> <input type="checkbox"/> F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/> <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		Revenue and expenses per books (a)	Net investment income (b)	Adjusted net income (c)	Disbursements for charitable purposes (d) (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments		175	145	
	4 Dividends and interest from securities		174	174	
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10		63	-958,839	
	b Gross sales price for all assets on line 6a 148,013				
	7 Capital gain net income (from Part IV, line 2)				
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)		63,727	64,181	
	12 Total Add lines 1 through 11		-894,763	64,500	
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)		2,713	2,713	
	c Other professional fees (attach schedule)				
	17 Interest		2,152	2,152	
	18 Taxes (attach schedule) (see instructions)		2,633	2,633	
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)		151	151	
	24 Total operating and administrative expenses.		7,649	7,649	0
	Add lines 13 through 23				
	25 Contributions, gifts, grants paid		393,040		393,040
	26 Total expenses and disbursements. Add lines 24 and 25		400,689	7,649	393,040
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements		-1,295,452		
	b Net investment income (if negative, enter -0-)			56,851	
	c Adjusted net income(if negative, enter -0-)				

	<b>Part II Balance Sheets</b>	Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
1	Cash—non-interest-bearing		7,364	106,433	106,438
2	Savings and temporary cash investments				
3	Accounts receivable ►				
	Less allowance for doubtful accounts ►				
4	Pledges receivable ►				
	Less allowance for doubtful accounts ►				
5	Grants receivable				
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
7	Other notes and loans receivable (attach schedule) ►	94,082			
	Less allowance for doubtful accounts ►		94,082	94,082	94,082
8	Inventories for sale or use				
9	Prepaid expenses and deferred charges				
10a	Investments—U S and state government obligations (attach schedule)				
b	Investments—corporate stock (attach schedule)				
c	Investments—corporate bonds (attach schedule)	95,000	95	1,585,000	1,585,000
11	Investments—land, buildings, and equipment basis ►				
	Less accumulated depreciation (attach schedule) ►				
12	Investments—mortgage loans				
13	Investments—other (attach schedule)	3,079,545	95	100,942	100,942
14	Land, buildings, and equipment basis ►				
	Less accumulated depreciation (attach schedule) ►				
15	Other assets (describe ►)				
16	<b>Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)		3,181,909	1,886,457	1,886,462
17	Accounts payable and accrued expenses				
18	Grants payable				
19	Deferred revenue				
20	Loans from officers, directors, trustees, and other disqualified persons				
21	Mortgages and other notes payable (attach schedule)				
22	Other liabilities (describe ►)				
23	<b>Total liabilities</b> (add lines 17 through 22)			0	
	<b>Foundations that follow SFAS 117, check here ►</b> <input type="checkbox"/>				
	<b>and complete lines 24 through 26 and lines 30 and 31.</b>				
24	Unrestricted				
25	Temporarily restricted				
26	Permanently restricted				
	<b>Foundations that do not follow SFAS 117, check here ►</b> <input checked="" type="checkbox"/>				
	<b>and complete lines 27 through 31.</b>				
27	Capital stock, trust principal, or current funds				
28	Paid-in or capital surplus, or land, bldg , and equipment fund				
29	Retained earnings, accumulated income, endowment, or other funds	3,181,909	1,886,457		
30	<b>Total net assets or fund balances</b> (see instructions)	3,181,909	1,886,457		
31	<b>Total liabilities and net assets/fund balances</b> (see instructions)	3,181,909	1,886,457		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,181,909
2	Enter amount from Part I, line 27a	2	-1,295,452
3	Other increases not included in line 2 (itemize) ►	3	
4	Add lines 1, 2, and 3	4	1,886,457
5	Decreases not included in line 2 (itemize) ►	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1,886,457

**Part IV Capital Gains and Losses for Tax on Investment Income**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)		How acquired (b) P—Purchase D—Donation	Date acquired (c) (mo, day, yr)	Date sold (d) (mo, day, yr)
1a				
b				
c				
d				
e				
(e) Gross sales price		Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69		Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
a				
b				
c				
d				
e				
2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)	{ If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 }		3

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)  
If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

Yes  No

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2014	1,631,106	3,132,418	0 520718
2013	645,918	2,182,102	0 296007
2012	914,977	3,689,266	0 248011
2011	514,750	4,252,733	0 121040
2010	474,125	4,017,887	0 118004
2	Total of line 1, column (d).		2 1 303780
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years		3 0 260756
4	Enter the net value of noncharitable-use assets for 2015 from Part X, line 5.		4 2,732,977
5	Multiply line 4 by line 3.		5 712,640
6	Enter 1% of net investment income (1% of Part I, line 27b).		6 569
7	Add lines 5 and 6.		7 713,209
8	Enter qualifying distributions from Part XII, line 4.		8 393,040

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1	1	1,137
Date of ruling or determination letter _____ <b>(attach copy of letter if necessary—see instructions)</b>			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b . . . . .		
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) . . . . .	2	
3	Add lines 1 and 2. . . . .	3	1,137
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) . . . . .	4	
5	<b>Tax based on investment income.</b> Subtract line 4 from line 3 If zero or less, enter -0- . . . . .	5	1,137
6	Credits/Payments		
a	2015 estimated tax payments and 2014 overpayment credited to 2015 . . . . .	6a	2,225
b	Exempt foreign organizations—tax withheld at source. . . . .	6b	
c	Tax paid with application for extension of time to file (Form 8868). . . . .	6c	
d	Backup withholding erroneously withheld . . . . .	6d	
7	Total credits and payments Add lines 6a through 6d. . . . .	7	2,225
8	Enter any <b>penalty</b> for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached . . . . .	8	
9	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> . . . . .	9	
10	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> . . . . .	10	1,088
11	Enter the amount of line 10 to be <b>Credited to 2015 estimated tax</b> <input type="checkbox"/> 1,088 <b>Refunded</b> <input type="checkbox"/>	11	

**Part VII-A Statements Regarding Activities**

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .	<input type="checkbox"/>	<b>Yes</b>	<b>No</b>
1b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? . . . . .	<input type="checkbox"/>	<b>Yes</b>	<b>No</b>
	<i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>			
1c	Did the foundation file <b>Form 1120-POL</b> for this year? . . . . .	<input type="checkbox"/>	<b>Yes</b>	<b>No</b>
1d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year <b>(1)</b> On the foundation <input type="checkbox"/> \$ _____ <b>(2)</b> On foundation managers <input type="checkbox"/> \$ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . .	<input type="checkbox"/>	<b>Yes</b>	<b>No</b>
	<i>If "Yes," attach a detailed description of the activities.</i>			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i> . . . . .	<input type="checkbox"/>	<b>Yes</b>	<b>No</b>
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .	<input type="checkbox"/>	<b>Yes</b>	<b>No</b>
b	If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year? . . . . .	<input type="checkbox"/>	<b>Yes</b>	<b>No</b>
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . .	<input type="checkbox"/>	<b>Yes</b>	<b>No</b>
	<i>If "Yes," attach the statement required by General Instruction T.</i>			
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	<input type="checkbox"/>	<b>Yes</b>	
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i> . . . . .	<input type="checkbox"/>	<b>Yes</b>	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/>			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i> . . . . .	<input type="checkbox"/>	<b>Yes</b>	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i> . . . . .	<input type="checkbox"/>	<b>Yes</b>	<b>No</b>
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i> . . . . .	<input type="checkbox"/>	<b>Yes</b>	<b>No</b>

**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions). . . . .	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) . . . . .	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	Yes	
14	The books are in care of ► PAUL L PEVETO Located at ► 3535 CALDER AVE STE 201 BEAUMONT TX	Telephone no ► (409) 554-0175 ZIP +4 ► 77706		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —Check here . . . . . and enter the amount of tax-exempt interest received or accrued during the year . . . . . ► 15			
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ►	16	Yes	No

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
<b>1a</b>	During the year did the foundation (either directly or indirectly)		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
<b>b</b>	If any answer is "Yes" to 1a(1)-(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? . . . . . Organizations relying on a current notice regarding disaster assistance check here. . . . . ►	1b	
<b>c</b>	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015? . . . . .	1c	
<b>2</b>	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
<b>a</b>	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
	If "Yes," list the years ► 20____, 20____, 20____, 20____		
<b>b</b>	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions) . . . . .	2b	
<b>c</b>	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____		
<b>3a</b>	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
<b>b</b>	If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015) . . . . .	3b	
<b>4a</b>	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? . . . . .	4a	No
<b>b</b>	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015? . . . . .	4b	No

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)**

<b>5a</b>	During the year did the foundation pay or incur any amount to						
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?				<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No		
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? . . . . .				<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No		
(3) Provide a grant to an individual for travel, study, or other similar purposes? . . . . .				<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No		
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). . . . .				<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No		
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? . . . . .				<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No		
<b>b</b>	If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? . . . . .						
Organizations relying on a current notice regarding disaster assistance check here. . . . . ►							
<b>c</b>	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? . . . . .	<input type="checkbox"/> Yes	<input type="checkbox"/> No				
If "Yes," attach the statement required by Regulations section 53.4945–5(d).							
<b>6a</b>	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No				
<b>b</b>	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . . . .						
If "Yes" to 6b, file Form 8870.							
<b>7a</b>	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No				
<b>b</b>	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? . . . . .						

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
TODD BROCK 3535 CALDER AVE STE 201 BEAUMONT, TX 77706	PRESIDENT 5 00	0	0	0
DIANA BROCK 3535 CALDER AVE STE 201 BEAUMONT, TX 77706	SECRETARY/TR 3 00	0	0	0
BRENNIN BROCK 3535 CALDER AVE STE 201 BEAUMONT, TX 77706	VICE PRESIDE 2 00	0	0	0

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
<b>Total number of other employees paid over \$50,000.</b>				

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**
**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
<b>Total</b> number of others receiving over \$50,000 for professional services. . . . .	▶	

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments. See instructions	
3	
<b>Total.</b> Add lines 1 through 3	▶

**Part X Minimum Investment Return**

(All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities. . . . .	1a	407,917
b	Average of monthly cash balances. . . . .	1b	115,232
c	Fair market value of all other assets (see instructions). . . . .	1c	2,251,447
d	<b>Total</b> (add lines 1a, b, and c). . . . .	1d	2,774,596
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	1e	
2	Acquisition indebtedness applicable to line 1 assets. . . . .	2	
3	Subtract line 2 from line 1d. . . . .	3	2,774,596
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	4	41,619
5	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3 Enter here and on Part V, line 4	5	2,732,977
6	<b>Minimum investment return.</b> Enter 5% of line 5. . . . .	6	136,649

**Part XI Distributable Amount**(see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

1	Minimum investment return from Part X, line 6. . . . .	1	136,649
2a	Tax on investment income for 2015 from Part VI, line 5. . . . .	2a	1,137
b	Income tax for 2015 (This does not include the tax from Part VI ). . . . .	2b	
c	Add lines 2a and 2b. . . . .	2c	1,137
3	Distributable amount before adjustments Subtract line 2c from line 1. . . . .	3	135,512
4	Recoveries of amounts treated as qualifying distributions. . . . .	4	
5	Add lines 3 and 4. . . . .	5	135,512
6	Deduction from distributable amount (see instructions). . . . .	6	
7	<b>Distributable amount</b> as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . . .	7	135,512

**Part XII Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. . . . .	1a	393,040
b	Program-related investments—total from Part IX-B. . . . .	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. . . . .	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required). . . . .	3a	
b	Cash distribution test (attach the required schedule). . . . .	3b	
4	<b>Qualifying distributions.</b> Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	393,040
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions). . . . .	5	
6	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4. . . . .	6	393,040

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII Undistributed Income (see instructions)**

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
<b>1</b> Distributable amount for 2015 from Part XI, line 7				135,512
<b>2</b> Undistributed income, if any, as of the end of 2015				
<b>a</b> Enter amount for 2014 only. . . . .				
<b>b</b> Total for prior years 20____, 20____, 20____				
<b>3</b> Excess distributions carryover, if any, to 2015				
<b>a</b> From 2010. . . . .	283,206			
<b>b</b> From 2011. . . . .	308,645			
<b>c</b> From 2012. . . . .	739,660			
<b>d</b> From 2013. . . . .	543,875			
<b>e</b> From 2014. . . . .	1,478,225			
<b>f Total</b> of lines 3a through e. . . . .		3,353,611		
<b>4</b> Qualifying distributions for 2015 from Part XII, line 4 ► \$ _____ 393,040				
<b>a</b> Applied to 2014, but not more than line 2a				
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .				
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .				
<b>d</b> Applied to 2015 distributable amount. . . . .				135,512
<b>e</b> Remaining amount distributed out of corpus		257,528		
<b>5</b> Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a).)				
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5		3,611,139		
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b . . . . .				
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .				
<b>d</b> Subtract line 6c from line 6b Taxable amount—see instructions . . . . .				
<b>e</b> Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .				
<b>f</b> Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015 . . . . .				0
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . .				
<b>8</b> Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions) . . . . .		283,206		
<b>9</b> Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a . . . . .			3,327,933	
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2011. . . . .	308,645			
<b>b</b> Excess from 2012. . . . .	739,660			
<b>c</b> Excess from 2013. . . . .	543,875			
<b>d</b> Excess from 2014. . . . .	1,478,225			
<b>e</b> Excess from 2015. . . . .	257,528			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

1a	If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling. <input type="text"/>			
b	Check box to indicate whether the organization is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)			
2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.			
b	85% of line 2a			
c	Qualifying distributions from Part XII, line 4 for each year listed			
d	Amounts included in line 2c not used directly for active conduct of exempt activities			
e	Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c			
3	Complete 3a, b, or c for the alternative test relied upon			
a	"Assets" alternative test—enter (1) Value of all assets			
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)			
b	"Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed			
c	"Support" alternative test—enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)			
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(III)			
	(3) Largest amount of support from an exempt organization			
	(4) Gross investment income			

**Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)****1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

TODD O BROCK  
PO BOX 3528  
BEAUMONT, TX 77704  
(409) 833-6226

b The form in which applications should be submitted and information and materials they should include

NONE

c Any submission deadlines

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NONE

**Part XV Supplementary Information (continued)****3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b> See Additional Data Table				
<b>Total</b>			► 3a	393,040
<b>b Approved for future payment</b>				
<b>Total</b>			► 3b	

**Part XVI-A Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated

Line	Enter gross amounts unless otherwise indicated		Unrelated business income	Excluded by section 512, 513, or 514	(e) Related or exempt function income (See instructions )
	(a) Business code	(b) Amount			
<b>1</b> Program service revenue					
a					
b					
c					
d					
e					
f					
<b>g</b> Fees and contracts from government agencies					
<b>2</b> Membership dues and assessments. . . . .					
<b>3</b> Interest on savings and temporary cash investments . . . . .					175
<b>4</b> Dividends and interest from securities. . . . .					174
<b>5</b> Net rental income or (loss) from real estate					
a Debt-financed property. . . . .					
b Not debt-financed property. . . . .					
<b>6</b> Net rental income or (loss) from personal property. . . . .					
<b>7</b> Other investment income. . . . .					64,181
<b>8</b> Gain or (loss) from sales of assets other than inventory . . . . .					-958,839
<b>9</b> Net income or (loss) from special events					
<b>10</b> Gross profit or (loss) from sales of inventory					
<b>11</b> Other revenue a <u>UTOPIA FILMS LLC</u>					-454
b <u>BLACKGOLD OPPORTUNITY FUND</u>					
c					
d					
e					
<b>12</b> Subtotal Add columns (b), (d), and (e). . . . .					-894,763
<b>13</b> <b>Total</b> Add line 12, columns (b), (d), and (e). . . . .					-894,763
(See worksheet in line 13 instructions to verify calculations )					

**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**



**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b>				
12TH MAN FOUNDATION PO BOX 2800 PO BOX 2800 COLLEGE STATION, TX 77841	N/A	501(C)(3)	FUNDING OF COLLEGIATE SPORTS PROGRAM	30,000
CAMPUS CRUSADE PO BOX 628222 PO BOX 628222 ORLANDO, FL 32862		501(C)(3)	PROVIDING SUPPORT FOR MINISTRY	1,200
FAMILY LEGACY MISSIONS INT'L 5005 W ROYAL LANE 252 5005 W ROYAL LANE 252 IRVING, TX 75063	N/A	501(C)(3)	FUNDING OF CHILD SPONSORSHIPS	153,590
FOR ALL MANKIND MOVEMENT INC 24654 N LAKE PLEASANT 24654 N LAKE PLEASANT PEORIA, AZ 85383		501(C)(3)	PROVIDING SUPPORT FOR MISSIONARIES	150,000
ILAM 24338 EL TORRO RD E246 24338 EL TORRO RD E246 LAGUNA WOODS, CA 92637	N/A	501(C)(3)	FUNDING MINISTRY ACTIVITIES	7,200
BOYS AND GIRLS COUNTRY OF HOUSTON 18806 ROBERTS ROAD 18806 ROBERTS ROAD HOCKLEY, TX 77447	N/A	501(C)(3)	DONATION FOR HERITAGE AWARD	10,000
BRIDGES TO LIFE PO BOX 570895 PO BOX 570895 HOUSTON, TX 77257	N/A	501(C)(3)	FUNDING FOR SAFER COMMUNITY	5,000
DIVINE INTERVENTION MINISTRIES INC 3003 WINDCHASE BLVD 705 3003 WINDCHASE BLVD 705 HOUSTON, TX 77082	N/A	501(C)(3)	FUNDING OUTREACH MINISTRIES	500
FELLOWSHIP OF CHRISTIAN ATHLETES 8701 LEEDS ROAD 8701 LEEDS ROAD KANSIS CITY, MO 64129	N/A	501(C)(3)	DONATION FOR SCHOLARSHIPS	25,000
SHAWN HURWITZ LONGHORN SCHOLARSHIP 10711 KIPP WAY 10711 KIPP WAY HOUSTON, TX 77099	N/A	501(C)(3)	MEMORIAL DONATIONS	1,000
LEGACY CHRISTIAN ACADEMY 8200 HWY 105 8200 HWY 105 BEAUMONT, TX 77713	N/A	501(C)(3)	FUNDING FOR SCHOLARSHIPS	9,550
<b>Total . . . . .</b>			<b>► 3a</b>	<b>393,040</b>

## TY 2015 Accounting Fees Schedule

**Name:** THE TODD BROCK FAMILY FOUNDATION

**EIN:** 26-2049780

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INDIRECT ACCOUNTING FEES	2,713	2,713		

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

## TY 2015 Gain/Loss from Sale of Other Assets Schedule

**Name:** THE TODD BROCK FAMILY FOUNDATION

**EIN:** 26-2049780

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
BLACKGOLD OPPORTUNITY FUND	2015-01	PURCHASE	2015-12			496,681			-496,681	
BLACKGOLD OPPORTUNITY FUND	2014-01	PURCHASE	2015-12			465,171			-465,171	
GAIN ON SALE OF PARTNERSHIP INTEREST	2014-01	PURCHASE	2015-12		3,013				3,013	
MERRILL LYNCH 98909 - SCH D	2015-10	PURCHASE	2015-10		80,000	80,000				
MERRILL LYNCH 98909 - SCH D	2013-12	PURCHASE	2015-12		65,000	65,000				

## TY 2015 Investments Corporate Bonds Schedule

**Name:** THE TODD BROCK FAMILY FOUNDATION

**EIN:** 26-2049780

Name of Bond	End of Year Book Value	End of Year Fair Market Value
MERRILL LYNCH 98909 - COST BASIS	1,585,000	1,585,000

## TY 2015 Investments - Other Schedule

**Name:** THE TODD BROCK FAMILY FOUNDATION**EIN:** 26-2049780

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
UTOPIA FILMS LLC	AT COST	100,942	100,942
BLACKGOLD OPPORTUNITY FUND	AT COST		

## TY 2015 Other Expenses Schedule

**Name:** THE TODD BROCK FAMILY FOUNDATION

**EIN:** 26-2049780

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXPENSES				
BANK SERVICE CHARGES	143	143		
BLACKGOLD OPPORTUNITY FUND -				
BLACKGOLD OPPORTUNITY FUND -	8	8		
BLACKGOLD OPPORTUNITY FUND -				
BLACK GOLD				

## TY 2015 Other Income Schedule

**Name:** THE TODD BROCK FAMILY FOUNDATION**EIN:** 26-2049780

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
BLACK GOLD OPPORTUNITY FUND	64,181	64,181	
UTOPIA FILMS LLC	-454		

## TY 2015 Other Notes/Loans Receivable Short Schedule

**Name:** THE TODD BROCK FAMILY FOUNDATION

**EIN:** 26-2049780

Name of 501(c)(3) Organization	Balance Due
DUE FROM BLACKGOLD	94,082

## TY 2015 Taxes Schedule

**Name:** THE TODD BROCK FAMILY FOUNDATION**EIN:** 26-2049780

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INDIRECT TAXES/LICENSES	2,633	2,633		

TODD BROCK FAMILY FOUNDATION

## 2015 ANNUAL STATEMENT SUMMARY

The following sections are provided to facilitate your review and the preparation of your return.

The 2015 Proceeds from Broker and Barter Exchange Transactions section includes both sales of positions for "covered securities" and "noncovered securities." A covered security includes securities when the purchase date of the security occurred within the following timeline: Equities acquired on or after January 1, 2011, Mutual Funds acquired on or after January 1, 2012, and Option Transactions and certain debt securities acquired on or after January 1, 2014. Legislation requires reporting the gross proceeds of the sales of "covered" and "noncovered" securities and the adjusted cost basis for "covered securities." Any sale of a security that is considered a "noncovered security" will still be included in this section with the adjusted cost basis (where available) but the adjusted basis will not be transmitted to the IRS.

In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that you have made an election to amortize the premium paid on the purchase of taxable bonds. Under the Cost Basis Reporting Regulations, brokers need not track wash sale activity for substantially identical securities, transactions across accounts, or between covered and noncovered securities. However, you as a taxpayer still have to track and report wash sales as you have in the past which would include all of the aforementioned transaction types. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

**1099-B**

### 2015 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a. Description of Property	1b. Date of Acquisition	1c. Date of Sale or Exchange	1d. Amount	1e. Cost Basis	1f. IRS Code	1g. Adjustments	Gain or (Loss)	Remarks
<b><i>SHORT TERM CAPITAL GAINS AND LOSSES - 1099-B Line 2 - Box 6 Net Proceeds</i></b>								
<b><i>NONCOVERED TRANSACTIONS-Cost basis not reported to IRS - Form 8949, Part I, (B)</i></b>								
HARRIS TEX CULTURAL EFFC SPLRV TEXAS A RF LOC PUT DEC08 -- ADJ%SEP01 2031 80000 0000 Redemption		CUSIP Number 414010AE8						
	10/14/15	10/28/15	80,000.00	80,000.00		0.00	0.00	
Noncovered Short Term Capital Gains and Losses Subtotal			80,000.00	80,000.00		0.00	0.00	
NET SHORT TERM CAPITAL GAINS AND LOSSES			80,000.00	80,000.00		0.00	0.00	
<b><i>LONG TERM CAPITAL GAINS AND LOSSES - 1099-B Line 2 - Box 6 Net Proceeds</i></b>								
<b><i>NONCOVERED TRANSACTIONS-Cost basis not reported to IRS - Form 8949, Part II, (E)</i></b>								
MISSOURI ST HLTH-EDL FCS AT RV SER A BPA PRF15 NOV04 --ADJ%FEB15 2034 5000 0000 Redemption		CUSIP Number 60635HXV6						
	12/26/13	05/14/15	5,000.00	5,000.00		0.00	0.00	

TODD BROCK FAMILY FOUNDATION

## 2015 ANNUAL STATEMENT SUMMARY

1099-B

## 2015 PROCEEDS FROM BROKER &amp; BARTER EXCHANGE TRANSACTIONS

1a Description of Property	1b Date of Acquisition	1c Date of Sale or Exchange	1d Amount	1e Cost Basis	1f IRS Code	1g Adjustments	Gain or (Loss)	Remarks
HARRIS TEX CULTURAL EFFC SPLRV TEX B-1 RF LOC PUT OCT08 -- ADJ%6SEP01 2031 15000.0000 Sale	CUSIP Number 414010AA6	12/26/13	01/30/15	15,000.00	15,000.00	0.00	0.00	
MISSOURI ST HEALTH & EDL FACS AUTH REV B BPA PUT NOV04 -- ADJ%FEB15 2034 15000.0000 Sale	CUSIP Number 60635HXW4	01/03/14	01/30/15	15,000.00	15,000.00	0.00	0.00	
HARRIS CO TEX HFD CRP HSP REV SER 2 RF BPA PUT APR08 -- ADJ%OCT01 2041 10000.0000 Redemption	CUSIP Number 414152RV2	12/26/13	07/30/15	10,000.00	10,000.00	0.00	0.00	
HARRIS CO TEX HLTH FACS DEV RV SER A-2 RF PUT APR08 -- ADJ%DEC01 2041 5000.0000 Sale	CUSIP Number 41315RGV0	12/26/13	04/06/15	5,000.00	5,000.00	0.00	0.00	
HARRIS TEX CULTURAL EFFC SPLRV TEXAS A RF LOC PUT DEC08 - ADJ%6SEP01 2031 5000.0000 Redemption	CUSIP Number 414010AE8	02/06/14	10/28/15	5,000.00	5,000.00	0.00	0.00	
NEW HAMPSHIRE HLTH-EFA RV A RF 1DAY DMD PUT BPA APR07 -- ADJ%JUN01 2031 10000.0000 Sale	CUSIP Number 644614RY7	01/06/14	04/06/15	10,000.00	10,000.00	0.00	0.00	
Noncovered Long Term Capital Gains and Losses Subtotal			65,000.00	65,000.00	0.00	0.00		
NET LONG TERM CAPITAL GAINS AND LOSSES			65,000.00	65,000.00	0.00	0.00		
SALES PROCEEDS AND NET GAINS AND LOSSES			145,000.00	145,000.00	0.00	0.00		
NONCOVERED SHORT TERM GAIN/LOSSES						0.00		
NONCOVERED LONG TERM GAINS/LOSSES						0.00		

# EMA™ Fiscal Statement

TODD BROCK FAMILY FOUNDATION

## CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/15	Unrealized Gain or (Loss)	Est Accrued Interest	Est Annual Income
<b>Cash and Money Funds</b>							
	CASH		0.25	0.25			
103,524	BIF TREASURY FUND		103,524.00	103,524.00			62.11
	<b>Total Cash and Money Funds</b>		<b>103,524.25</b>	<b>103,524.25</b>			<b>62.11</b>
<b>Municipal Bonds</b>							
85,000	MISSOURI ST HLTH-EDL FCS AT RV SER B BPA PUT FEB03 -- ADJ%FEB15 2033	10/28/15	85,000.00	85,000.00			9.00
5,000	HARRIS CO TEX HLTH FACS DEV RV SER A-1 RF PUT APR08 -- ADJ%DEC01 2041	12/26/13	5,000.00	5,000.00			1.00
80,000	HARRIS CO TEX HLTH FACS	10/27/15	80,000.00	80,000.00			8.00
20,000	HARRIS TEX CULTURAL EFFC SPLRV TEX B-1 RF LOC PUT OCT08 -- ADJ%SEP01 2031	10/21/15	20,000.00	20,000.00			2.00
60,000	HARRIS TEX CULTURAL EFFC	11/05/15	60,000.00	60,000.00			6.00
85,000	HARRIS TEX CULTURAL ED FFC REV SER C-1 RF PUT AUG08 -- ADJ%DEC01 2024	10/14/15	85,000.00	85,000.00			9.00
5,000	NY ST DORM ATRV ST SPPRTD DEBT A LOC PUT NOV03 -- ADJ%JUL01 2031	01/06/14	5,000.00	5,000.00			1.00
85,000	NY ST DORM ATRV ST	10/21/15	85,000.00	85,000.00			9.00

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Page  
5 of 20

Statement Period  
Year Ending 12/31/15

Account No  
16H-98909

# EMA™ Fiscal Statement

TODD BROCK FAMILY FOUNDATION

## CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/15	Unrealized Gain or (Loss)	Est Accrued Interest	Est Annual Income
<b>Municipal Bonds</b>							
85,000	MASSACHUSETTS ST DEV FIN AGY REV SER U RF LOC PUT MAY08 -- ADJ%OCT01 2042	10/29/15	85,000.00	85,000.00			9.00
10,000	MASSACHUSETTS ST HEF AUTH RV TUFTS G BPA PUT JUN95 -- ADJ%FEB15 2026	10/21/15	10,000.00	10,000.00			1.00
75,000	MASSACHUSETTS ST HEF	11/03/15	75,000.00	75,000.00			8.00
85,000	CONN ST HSG FIN AUTH HSG MTG FIN PG C-2 BPA PUT DEC09 -- ADJ%NOV15 2036	10/21/15	85,000.00	85,000.00			9.00
85,000	PHILA PA HOSP-HEF AUTH HOSP RV RF BPA PUT FEB05 -- ADJ%FEB15 2021	10/21/15	85,000.00	85,000.00			9.00
5,000	VALDEZ ALASKA MARINE TERM RV SER C RF GTD PUT DEC93 -- ADJ%DEC01 2033	09/12/14	5,000.00	5,000.00			1.00
20,000	VALDEZ ALASKA MARINE	10/21/15	20,000.00	20,000.00			2.00
50,000	NEW YORK CITY ADJ SER C LOC PUT OCT93 -- ADJ%OCT01 2023	10/28/15	50,000.00	50,000.00			5.00
25,000	NEW YORK CITY ADJ	11/17/15	25,000.00	25,000.00			3.00
20,000	CONN ST HSG FIN AUTH HSG MTG FIN PG A-2 BPA PUT AUG09 -- ADJ%MAY15 2039	10/21/15	20,000.00	20,000.00			2.00

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Page  
6 of 20

Statement Period  
Year Ending 12/31/15

Account No  
16H-98909



# Fiscal Statement

TODD BROCK FAMILY FOUNDATION

## CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/15	Unrealized Gain or (Loss)	Est Accrued Interest	Est Annual Income
<b>Municipal Bonds</b>							
60,000	CONN ST HSG FIN AUTH HSG	11/05/15	60,000.00	60,000.00			6.00
50,000	MISSOURI ST HLTH-EDL FCS AT RV SER B BPA PUT MAR00 --ADJ%MAR01 2040	10/29/15	50,000.00	50,000.00			5.00
80,000	MASSACHUSETTS ST HLTH-ED FA RV VAR SER R RF PUT NOV99 --ADJ%NOV01 2049	11/05/15	80,000.00	80,000.00			8.00
85,000	HARRIS CO TEX HLTH FACS DEV RV SER A-2 RF PUT APR08 -- ADJ%DEC01 2041	10/14/15	85,000.00	85,000.00			9.00
5,000	VALDEZ ALASKA MARINE TERM RV SER A RF GTD PUT DEC93 -- ADJ%DEC01 2033	12/27/13	5,000.00	5,000.00			1.00
80,000	VALDEZ ALASKA MARINE	10/29/15	80,000.00	80,000.00			8.00
85,000	LOWER NECHES VY AT TEX IDC RV GTD PUT NOV10 --ADJ%NOV01 2038	10/14/15	85,000.00	85,000.00			9.00
10,000	CONN ST HSG FIN AUTH HSG MTG FIN PG A-1 BPA PUT AUG09 -- ADJ%MAY15 2039	01/03/14	10,000.00	10,000.00			1.00
75,000	CONN ST HSG FIN AUTH HSG	10/14/15	75,000.00	75,000.00			8.00
85,000	CONNECTICUT ST HLTH-EDL FACS AUTH REV V-2 PUT JUL01 -- ADJ%JUL01 2036	10/28/15	85,000.00	85,000.00			9.00

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# EMA™ Fiscal Statement

TODD BROCK FAMILY FOUNDATION

## CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/15	Unrealized Gain or (Loss)	Est Accrued Interest	Est Annual Income
85,000	HARRIS TEX CULTURAL EFFC SPLRV TEXAS A RF LOC PUT DEC08 --,ADJ%SEP01 2031	10/28/15	85,000.00	85,000.00			9.00
	Total Municipal Bonds		<b>1,585,000.00</b>	<b>1,585,000.00</b>			<b>167.00</b>

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Page  
8 of 20

Statement Period  
Year Ending 12/31/15

Account No  
16H-98909