Form **990-PF**
Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation
▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No 1545-0052
2015
Open to Public Inspection

For calendar year 2015 or tax year beginning , and ending

Name of foundation: BASSLER FAMILY FOUNDATION, INC.

A Employer identification number: 26-1561741

Number and street (or P O box number if mail is not delivered to street address): 13 SEAGATE ROAD | Room/suite:

B Telephone number: 203.655.9658

City or town, state or province, country, and ZIP or foreign postal code: DARIEN, CT 06820

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1 Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶$ 866,861.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check ▶ ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	5.	5.		STATEMENT 1
	4 Dividends and interest from securities	17,422.	17,422.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	33,758.			
	b Gross sales price for all assets on line 6a 4,404.				
	7 Capital gain net income (from Part IV, line 2)		33,758.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income				
	12 **Total.** Add lines 1 through 11	51,185.	51,185.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees STMT 3	1,525.	1,525.		0.
	c Other professional fees				
	17 Interest				
	18 Taxes STMT 4	1,458.	1,458.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 5	14,084.	14,084.		0.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	17,067.	17,067.		0.
	25 Contributions, gifts, grants paid	50,140.			50,140.
	26 **Total expenses and disbursements** Add lines 24 and 25	67,207.	17,067.		50,140.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	-16,022.			
	b **Net investment income** (if negative, enter -0-)		34,118.		
	c **Adjusted net income** (if negative, enter -0-)			N/A	

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Part II Balance Sheets (Attached schedules and amounts in the description column should be for end-of-year amounts only)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	5,813.	108,371.	108,371.
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations STMT 6	822,826.	711,096.	758,490.
	b Investments - corporate stock			
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	828,639.	819,467.	866,861.
Liabilities	17 Accounts payable and accrued expenses		6,850.	
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)	0.	6,850.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [X] and complete lines 27 through 31			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	828,639.	812,617.	
	30 **Total net assets or fund balances**	828,639.	812,617.	
	31 **Total liabilities and net assets/fund balances**	828,639.	819,467.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	828,639.
2 Enter amount from Part I, line 27a	2	-16,022.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	812,617.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	812,617.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	UBS FINANCIAL - SEE SCHEDULE ATTACHED			
b	UBS FINANCIAL - SEE SCHEDULE ATTACHED			
c	CAPITAL GAINS DIVIDENDS			
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				-9,899.
b				39,253.
c	4,404.			4,404.
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				-9,899.
b				39,253.
c				4,404.
d				
e				

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	33,758.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2014	52,650.	962,219.	.054717
2013	52,150.	912,982.	.057121
2012	47,290.	869,865.	.054365
2011	51,256.	917,016.	.055894
2010	54,836.	923,658.	.059368

2 **Total** of line 1, column (d)	2	.281465
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.056293
4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	4	920,587.
5 Multiply line 4 by line 3	5	51,823.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	341.
7 Add lines 5 and 6	7	52,164.
8 Enter qualifying distributions from Part XII, line 4	8	50,140.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ (attach copy of letter if necessary-see instructions)				
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	682.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).				
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0.
3 Add lines 1 and 2			3	682.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	682.
6 Credits/Payments:				
a 2015 estimated tax payments and 2014 overpayment credited to 2015	6a	500.		
b Exempt foreign organizations - tax withheld at source	6b			
c Tax paid with application for extension of time to file (Form 8868)	6c			
d Backup withholding erroneously withheld	6d			
7 Total credits and payments. Add lines 6a through 6d			7	500.
8 Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			8	3.
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	185.
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	
11 Enter the amount of line 10 to be: **Credited to 2016 estimated tax** ▶		**Refunded** ▶	11	

Part VII-A Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?	1b		X
*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6		X
7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CT			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
	Website address ▶ N/A			
14	The books are in care of ▶ BASSLER FAMILY FOUNDATION, INC Telephone no. ▶ 203-655-9658 Located at ▶ 13 SEAGATE ROAD, DARIEN, CT ZIP+4 ▶ 06820			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A			
			Yes	No
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ______, ______, ______, ______			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ______, ______, ______, ______			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2015 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.)* N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)	☐ Yes ☒ No		
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	N/A	**5b**	
Organizations relying on a current notice regarding disaster assistance check here	▶ ☐		
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A	☐ Yes ☐ No		
If "Yes," attach the statement required by Regulations section 53 4945-5(d)			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		**6b**	X
If "Yes" to 6b, file Form 8870			
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	**7b**	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JOHN P. BASSLER 13 SEAGATE ROAD DARIEN, CT 06820	DIRECTOR/PRESIDENT 1.00	0.	0.	0.
SARA A. BASSLER 13 SEAGATE ROAD DARIEN, CT 06820	DIRECTOR/VICE PRESIDENT 1.00	0.	0.	0.
PETER J. BASSLER 40 STURGES COMMONS WESTPORT, CT 06880	DIRECTOR/TREASURER 1.00	0.	0.	0.
E. ELENA HIRSCH 8 PAR ROAD MONTEBELLO, NY 10901	DIRECTOR/SECRETARY 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ▶	0.

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Part X **Minimum Investment Return** (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:				
a	Average monthly fair market value of securities			1a	893,219.
b	Average of monthly cash balances			1b	41,387.
c	Fair market value of all other assets			1c	
d	**Total** (add lines 1a, b, and c)			1d	934,606.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.		
2	Acquisition indebtedness applicable to line 1 assets			2	0.
3	Subtract line 2 from line 1d			3	934,606.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)			4	14,019.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4			5	920,587.
6	**Minimum investment return.** Enter 5% of line 5			6	46,029.

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	46,029.
2a	Tax on investment income for 2015 from Part VI, line 5	2a	682.		
b	Income tax for 2015. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	682.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	45,347.
4	Recoveries of amounts treated as qualifying distributions			4	0.
5	Add lines 3 and 4			5	45,347.
6	Deduction from distributable amount (see instructions)			6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	45,347.

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	50,140.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	50,140.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	**Adjusted qualifying distributions** Subtract line 5 from line 4	6	50,140.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII **Undistributed Income** (see instructions)

		(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7					0.
2 Undistributed income, if any, as of the end of 2015					
a Enter amount for 2014 only				0.	
b Total for prior years: _____, _____, _____			0.		
3 Excess distributions carryover, if any, to 2015:					
a From 2010	9,191.				
b From 2011	5,923.				
c From 2012	4,317.				
d From 2013	7,297.				
e From 2014	5,397.				
f **Total** of lines 3a through e		32,125.			
4 Qualifying distributions for 2015 from Part XII, line 4: ▶ $ N/A					
a Applied to 2014, but not more than line 2a				0.	
b Applied to undistributed income of prior years (Election required - see instructions)			0.		
c Treated as distributions out of corpus (Election required - see instructions)		0.			
d Applied to 2015 distributable amount					0.
e Remaining amount distributed out of corpus		0.			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a).)		0.			0.
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		32,125.			
b Prior years' undistributed income. Subtract line 4b from line 2b			0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed			0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions			0.		
e Undistributed income for 2014. Subtract line 4a from line 2a. Taxable amount - see instr.				0.	
f Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016					0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)		0.			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7		9,191.			
9 **Excess distributions carryover to 2016.** Subtract lines 7 and 8 from line 6a		22,934.			
10 Analysis of line 9:					
a Excess from 2011	5,923.				
b Excess from 2012	4,317.				
c Excess from 2013	7,297.				
d Excess from 2014	5,397.				
e Excess from 2015					

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2015	**(b)** 2014	**(c)** 2013	**(d)** 2012	**(e) Total**
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CROHNS & COLITIS FOUNDATION OF AMERICA 30 GLEN ST WHITE PLAINS, NY 10603	NONE	OTHER PUBLIC CHARITY	GENERAL	6,000.
WORLD VISION PO BOX 9716 FEDERAL WAY, WA 98063	NONE	OTHER PUBLIC CHARITY	GENERAL	600.
FIRST CONGREGATIONAL CHURCH 14 BROOKSIDE RD DARIEN, CT 06820	NONE	OTHER PUBLIC CHARITY	GENERAL	25,350.
GREENWICH LEADERSHIP FORUM PO BOX 1517 GREENWICH, CT 06836	NONE	OTHER PUBLIC CHARITY	GENERAL GENERAL	150.
LIBERATION PROGRAMS INC 129 GLOVER AVE NORWALK, CT 06850	NONE	OTHER PUBLIC CHARITY	GENERAL	11,000.
Total SEE CONTINUATION SHEET(S)			▶ 3a	50,140.
b *Approved for future payment* NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	Related or exempt function income
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					5.
4 Dividends and interest from securities					17,422.
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					33,758.
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		0.	51,185.

13 Total. Add line 12, columns (b), (d), and (e) 13 51,185.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).
1	INVESTMENT INCOME IS ADDED TO PRINCIPAL AND UTILIZED TO PURSUE A
2	PROGRAM OF SUPPORTING VARIOUS CHARITABLE ORGANIZATIONS DETERMINED BY
3	THE DIRECTORS TO BE WORTHWHILE CHARITABLE ORGANIZATIONS IN NEED OF
4	ADDITIONAL FINANCIAL SUPPORT. THE DIRECTORS SELECT SUCH CHARITABLE
5	ORGANIZATIONS AT LEAST ANNUALLY.

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature: J. Bassler | 5/6/16 | PRESIDENT

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
CATHERINE J. O'BRIEN	CATHERINE J. O'BRIEN	5/2/16		P00387420
Firm's name ▶ BARISH & O'BRIEN			Firm's EIN ▶ 13-3341682	
Firm's address ▶ 572 NORTH BROADWAY WHITE PLAINS, NY 10603			Phone no. 914-428-8600	

523622
11-24-15

BASSLER FAMILY FOUNDATION, INC. 26-1561741

Part XV Supplementary Information

3 . Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
MY FACE 333 EAST 30TH ST, LOBBY UNIT NEW YORK, NY 10016	NONE	OTHER PUBLIC CHARITY	GENERAL	2,000.
NORWALK GRASSROOTS TENNIS 394 WEST AVENUE NORWALK, CT 06850	NONE	OTHER PUBLIC CHARITY	GENERAL	40.
PLANNED PARENTHOOD 345 WHITNEY AVE NEW HAVEN, CT 06820	NONE	OTHER PUBLIC CHARITY	GENERAL	1,000.
THE COMMUNITY FUND OF DARIEN 701 POST ROAD DARIEN, CT 06820	NONE	OTHER PUBLIC CHARITY	GENERAL	4,000.
Total from continuation sheets				7,040.

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
IRS	1.	1.	
UBS FINANCIAL	4.	4.	
TOTAL TO PART I, LINE 3	5.	5.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
UBS FINANCIAL	3,435.	140.	3,295.	3,295.	
UBS FINANCIAL	18,391.	4,264.	14,127.	14,127.	
TO PART I, LINE 4	21,826.	4,404.	17,422.	17,422.	

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PROFESSIONAL FEES	1,525.	1,525.		0.
TO FORM 990-PF, PG 1, LN 16B	1,525.	1,525.		0.

FORM 990-PF TAXES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
EXCISE TAX	1,197.	1,197.		0.
FOREIGN TAX	261.	261.		0.
TO FORM 990-PF, PG 1, LN 18	1,458.	1,458.		0.

FORM 990-PF	OTHER EXPENSES			STATEMENT 5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BROKER FEES	14,084.	14,084.		0.
TO FORM 990-PF, PG 1, LN 23	14,084.	14,084.		0.

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS			STATEMENT 6
DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
SEE SCHEDULE ATTACHED		X	711,096.	758,490.
TOTAL U.S. GOVERNMENT OBLIGATIONS				
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS			711,096.	758,490.
TOTAL TO FORM 990-PF, PART II, LINE 10A			711,096.	758,490.

Your Financial Advisor:
SHAPIRO/UVACCARI
212-821-7000/800-308-3140

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
ACCENTURE PLC IRELAND CL A Symbol ACN Exchange. NYSE EAI $183 Current yield 2 11%	Nov 20, 15	83 000	107 885	8,954 50	104 500	8,673 50	-281 00	ST
BOEING COMPANY Symbol. BA Exchange. NYSE EAI. $471 Current yield 3 02%	Aug 3, 11	41 000	66 968	3,474 67[2]	144 590	5,928 19	2,453 52	LT
	Oct 11, 11	36 000	63 928	2,301 44	144 590	5,205 24	2,903.80	LT
	Oct 21, 11	29 000	64 208	1,862 06	144 590	4,193 11	2,331 05	LT
	Jun 11, 13	2 000	102 655	205 31	144.590	289.18	83 87	LT
Security total		108.000	72.625	7,843 48		15,615.72	7,772 24	
COCA COLA CO COM Symbol: KO Exchange: NYSE EAI $269 Current yield. 3.07%	Dec 22, 15	204.000	42 949	8,761 75	42.960	8,763 84	2 09	ST

continued next page

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
COLGATE PALMOLIVE CO								
Symbol. CL Exchange· NYSE								
EAI $283 Current yield 2 28%	May 16, 11	20 000	43 359	867 19	66 620	1,332 40	465 21	LT
	Aug 3, 11	58 000	41 943	2,447 12 [2]	66.620	3,863.96	1,416 84	LT
	Oct 11, 11	50 000	45 365	2,268.25	66 620	3,331.00	1,062 75	LT
	Oct 21, 11	38 000	46 673	1,773 60	66 620	2,531 56	757 96	LT
	Jan 27, 12	8 000	45 290	362.32	66.620	532 96	170.64	LT
	Jun 11, 13	12 000	59 235	710 82	66 620	799 44	88 62	LT
Security total		186 000	45.319	8,429 30		12,391 32	3,962 02	
CRANE CO								
Symbol. CR Exchange. NYSE								
EAI: $240 Current yield. 2.76%	Dec 23, 15	182 000	48 453	8,818 52	47 840	8,706 88	-111 64	ST
DIAGEO PLC NEW GB SPON ADR								
Symbol: DEO Exchange NYSE								
EAI. $318 Current yield. 3 14%	Sep 15, 11	42.000	77.828	3,268.79	109 070	4,580 94	1,312 15	LT
	Oct 11, 11	28 000	79 677	2,230 98	109 070	3,053.96	822 98	LT
	Oct 21, 11	19 000	84 447	1,604.50	109.070	2,072 33	467 83	LT
	Jun 11, 13	4 000	118 770	475 08	109 070	436.28	-38 80	LT
Security total		93.000	81 498	7,579 35		10,143 51	2,564 16	
DOMINION RESOURCES INC VA (NEW)								
Symbol D Exchange NYSE								
EAI. $433 Current yield 3 83%	Feb 10, 14	167 000	68.459	11,432 81	67.640	11,295 88	-136 93	LT
HOME DEPOT INC								
Symbol· HD Exchange NYSE								
EAI. $215 Current yield 1 79%	Aug 20, 15	91 000	121 239	11,032 77	132 250	12,034 75	1,001 98	ST
ILLINOIS TOOL WORKS INC								
Symbol. ITW Exchange. NYSE								
EAI $343 Current yield. 2 37%	May 16, 11	7 000	58 308	408 16	92 680	648 76	240 60	LT
	Aug 3, 11	57 000	48 536	3,629 39 [2]	92.680	5,282 76	1,653 37	LT
	Oct 11, 11	53 000	44 519	2,359.55	92 680	4,912 04	2,552 49	LT
	Oct 21, 11	33.000	47 017	1,551 58	92 680	3,058 44	1,506 86	LT
	Jun 11, 13	6.000	70.415	422 49	92 680	556 08	133 59	LT
Security total		156.000	53 661	8,371 17		14,458 08	6,086 91	

continued next page

Your Financial Advisor:
SHAPIRO/UVACCARI
212-821-7000/800-308-3140

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
INTEL CORP								
Symbol. INTC Exchange OTC								
EAI. $423 Current yield. 2 78%	May 16, 11	34 000	23.803	809.31	34 450	1,171 30	361 99	LT
	Aug 3, 11	114 000	21.779	2,986 85 [2]	34 450	3,927 30	940 45	LT
	Oct 11, 11	80 000	22 959	1,836 72	34 450	2,756.00	919 28	LT
	Oct 21, 11	68.000	23 998	1,631 93	34.450	2,342.60	710 67	LT
	Jun 11, 13	145 000	25.023	3,628 38	34 450	4,995 25	1,366 87	LT
Security total		441.000	24 701	10,893 19		15,192.45	4,299 26	
INVESCO LTD								
Symbol. IVZ Exchange. NYSE								
EAI. $361 Current yield. 3 23%	Feb 10, 14	334 000	34 218	11,429 10	33 480	11,182 32	-246.78	LT
JOHNSON CONTROLS INC								
Symbol JCI Exchange NYSE								
EAI. $263 Current yield 2 93%	Jul 8, 15	227 000	49 126	11,151 61	39.490	8,964 23	-2,187 38	ST
MARSH & MCLENNAN COS INC								
Symbol MMC Exchange. NYSE								
EAI. $246 Current yield: 2 24%	Sep 11, 15	198 000	53 794	10,651 25	55 450	10,979 10	327 85	ST
MCDONALDS CORP								
Symbol. MCD Exchange. NYSE								
EAI: $335 Current yield. 3 02%	Nov 11, 15	94 000	114 298	10,744 04	118.140	11,105 16	361 12	ST
MEDTRONIC PLC								
Symbol. MDT Exchange. NYSE								
EAI $322 Current yield. 1.97%	Jan 27, 15	78 000	76 950	6,002 10	76.920	5,999 76	-2 34	ST
	Jan 27, 15	74 000	76 950	5,694 30	76 920	5,692.08	-2 22	ST
	Jan 27, 15	50 000	76 950	3,847.50	76 920	3,846 00	-1 50	ST
	Jan 27, 15	10 000	76 950	769.50	76 920	769.20	-0 30	ST
Security total		212 000	76 950	16,313 40		16,307.04	-6 36	
MICROSOFT CORP								
Symbol. MSFT Exchange. OTC								
EAI. $452 Current yield. 2 59%	Dec 13, 13	314 000	36 782	11,549 72	55 480	17,420 72	5,871 00	LT
NEXTERA ENERGY INC COM								
Symbol. NEE Exchange. NYSE								
EAI. $431 Current yield 2 96%	Aug 3, 11	56 000	53.698	3,168 91 [2]	103 890	5,817.84	2,648 93	LT

continued next page

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Oct 11, 11	45 000	54.557	2,455 08	103 890	4,675 05	2,219 97	LT
	Oct 21, 11	32 000	55 748	1,783 96	103 890	3,324 48	1,540 52	LT
	Jun 11, 13	7 000	78 710	550 97	103.890	727 23	176.26	LT
Security total		140 000	56 849	7,958.92		14,544 60	6,585 68	
NORDSTROM INC Symbol. JWN Exchange. NYSE EAI· $286 Current yield 2 98%	Aug 20, 13	193 000	57 179	11,035 71	49 810	9,613 33	-1,422 38	LT
NOVARTIS AG SPON ADR Symbol. NVS Exchange. NYSE EAI. $346 Current yield 2 63%	May 16, 11	19 000	61.288	1,164 49	86 040	1,634 76	470 27	LT
	Aug 3, 11	41 000	59 623	2,662 12 [2]	86 040	3,527.64	865 52	LT
	Oct 11, 11	41 000	57.524	2,358 52	86.040	3,527 64	1,169 12	LT
	Oct 21, 11	31 000	58 519	1,814 10	86 040	2,667.24	853.14	LT
	Jan 27, 12	13 000	54 490	708 37	86 040	1,118 52	410 15	LT
	Jun 11, 13	8 000	72 256	578.05	86 040	688.32	110.27	LT
Security total		153 000	60 691	9,285 65		13,164.12	3,878 47	
OCCIDENTAL PETROLEUM CRP Symbol. OXY Exchange: NYSE EAI: $381 Current yield. 4 44%	Dec 23, 15	127 000	69.077	8,772.84	67 610	8,586 47	-186 37	ST
PEPSICO INC Symbol. PEP Exchange. NYSE EAI $329 Current yield. 2 81%	Jul 15, 15	117 000	96 881	11,335.17	99 920	11,690 64	355.47	ST
ROCKWELL AUTOMATION INC NEW Symbol. ROK Exchange. NYSE EAI. $310 Current yield· 2 82%	Jan 29, 15	107 000	107 820	11,536 77	102 610	10,979 27	-557.50	ST
TORONTO DOMINION BK NEW CANADA CAD Symbol TD Exchange. NYSE EAI: $341 Current yield. 3 90% CAD Exchange rate: 1 38910	Dec 23, 15	223.000	39 588	8,828 25	39'170	8,734 91	-93 34	ST
UNION PACIFIC CORP Symbol. UNP Exchange NYSE EAI. $273 Current yield. 2 82%	Apr 16, 14	124 000	93 637	11,611 08	78 200	9,696 80	-1,914 28	LT

continued next page

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
UNTD TECHNOLOGIES CORP								
Symbol. UTX Exchange. NYSE								
EAI. $300 Current yield 2 67%	May 16, 11	13 000	89.098	1,158 28	96 070	1,248 91	90 63	LT
	Aug 3, 11	34 000	78 818	3,336 01 [2]	96 070	3,266 38	-69 63	LT
	Oct 11, 11	31 000	73 700	2,284 70	96 070	2,978 17	693 47	LT
	Oct 21, 11	24 000	75.199	1,804.78	96.070	2,305 68	500.90	LT
	Jun 11, 13	15 000	94 482	1,417.23	96 070	1,441.05	23 82	LT
Security total		117 000	85 479	10,001 00		11,240.19	1,239 19	
VF CORP								
Symbol VFC Exchange: NYSE								
EAI. $343 Current yield. 2.38%	Nov 13, 12	196 000	43.85	8,593.68	62 250	12,201 00	4,460 32	LT
	Jun 11, 13	36 000	47 350	1,704 60	62 250	2,241 00	536 40	LT
Security total		232 000	44.389	10,298.28		14,442.00	4,996 72	
Total				# 264,614.63		**$305,926.83**	**$42,160.20**	

Total estimated annual income: $8,497

[2] Value has been adjusted to include the amount of the disallowed loss as a result of a Wash Sale transaction

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
VANGUARD INDEX FUNDS VANGUARD									
SMALL CAP ETF									
Symbol. VB									
Trade date. Mar 13, 13	93 000	90 323	8,400 10	8,400 10	110.640	10,289 52	1,889 42		LT
Trade date: Jul 10, 13	173 000	97.480	16,864 09	16,864 09	110 640	19,140.72	2,276 63		LT
EAI. $843 Current yield 2 86%									

continued next page

Your assets › Equities › Closed end funds & Exchange traded products (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
Security total	266.000	94 978	25,264 19	25,264.19		29,430.24	4,166 05	4,166 05	
VANGUARD INDEX FUNDS VANGUARD									
MID CAP ETF									
Symbol VO									
Trade date: Mar 12, 13	6 000	91 581	549.49	549 49	120.110	720 66	171.17		LT
Trade date: Aug 18, 14	371 000	118.620	44,008 03	44,008 03	120 110	44,560 81	552 78		LT
EAI. $1,311 Current yield 2 90%									
Security total	377 000	118 190	44,557 52	44,557 52		45,281.47	723 95	723 95	
VANGUARD FTSE EUROPE ETF									
Symbol VGK									
Trade date. Feb 12, 15	48 000	54 757	2,628 36	2,628 36	49 880	2,394 24	-234 12		ST
Trade date Mar 13, 15	555 000	53 095	29,468 17	29,468 17	49 880	27,683 40	-1,784 77		ST
EAI. $978 Current yield 3 25%									
Security total	603 000	53 228	32,096.53	32,096.53		30,077 64	-2,018.89	-2,018 89	
Total			**$101,918.24**	**$101,918.24**		**$104,789.35**	**$2,871.11**	**$2,871.11**	

Total estimated annual income: $3,132

Mutual funds

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
TRANSAMERICA									
INTERNATIONAL EQUITY I									
Symbol: TSWIX									
Trade date May 1, 15	1,567 749	18 669	29,269 87	29,269 87	16 640	26,087 34	-3,182.53		ST
Total reinvested	40 991	16 790		688 24	16 640	682 09	-6 15		
EAI $561 Current yield 2 10%									
Security total	1,608 740	18 622	29,269 87	29,958 11		26,769 43	-3,188 68	-2,500 44	

Your assets (continued)

Fixed income

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
VANGUARD CHARLOTTE FDS TOTAL									
INTL BD INDEX FD ETF CL									
Symbol BNDX									
Trade date. Oct 15, 14	527 000	52 612	27,726 91	27,726 91	52.880	27,867 76	140.85	140.85	LT
EAI. $453 Current yield. 1 63%									
VANGUARD BD INDEX FD INC TOTAL									
BOND MKT ETF									
Symbol: BND									
Trade date. Aug 22, 14	596 000	82 270	49,032 92	49,032 92	80 760	48,132 96	-899 96		LT
Trade date Oct 13, 14	311 000	82 788	25,747.20	25,747 20	80 760	25,116 36	-630 84		LT
EAI. $1,815 Current yield 2 48%									
Security total	907.000	82 448	74,780.12	74,780.12		73,249 32	-1,530 80	-1,530 80	
Total			**$102,507.03**	**$102,507.03**		**$101,117.08**	**-$1,389.95**	**-$1,389.95**	

Total estimated annual income: $2,268

Your assets › Fixed income (continued)

Mutual funds

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
PRUDENTIAL TOTAL RETURN									
BOND FUND CLASS Z									
Symbol· PDBZX									
Trade date: Mar 12, 13	5,042 445	14 544	73,338.72	73,338 72	13 960	70,392 53	-2,946 19		LT
Trade date. Jun 11, 13	62 186	14 259	886 76	886 76	13 960	868 12	-18 64		LT
Trade date. Aug 1, 14	6 255	14 338	89 69	89 69	13 960	87 32	-2 37		LT
Total reinvested	478.229	14 299		6,838 41	13 960	6,676 07	-162 34		
EAI: $2,247 Current yield. 2 88%									
Security total	5,589 115	14.520	74,315.17	81,153 58		78,024 04	-3,129 54	3,708 87	

Other

Mutual funds

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
FIRST EAGLE GLOBAL FUNDS									
CLASS I									
Symbol: SGIIX									
Trade date· Oct 5, 12	998 691	50 194	50,129 20	50,129.20	51.550	51,482.52	1,353 32		LT
Total reinvested	182.339	50.601		9,226 61	51 550	9,399 57	172 96		

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Account name: BASSLER FAMILY FOUNDATION,INC
Account number: Y1 26827 KE

Your Financial Advisor:
SHAPIRO/LIVACCARI
212-821-7000/800-308-3140

Your assets › Other › Mutual funds (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
EAI. $526 Current yield 0 86%									
Security total	1,181 030	50.258	50,129 20	59,355 81		60,882 09	1,526 28	10,752 89	
PUTNAM CAPITAL SPECTRUM									
FUND CLASS Y									
Symbol PVSYX									
Trade date. Oct 5, 12	537 557	26 836	14,426 26	14,426 26	33 410	17,959 79	3,533 53		LT
Trade date. Oct 19, 12	668.328	27 379	18,298.33	18,298.33	33 410	22,328 84	4,030 51		LT
Trade date Jul 10, 13	845 737	30 966	26,189.22	26,189 22	33 410	28,256 06	2,066 84		LT
Total reinvested	372 229	34 038		12,670 00	33 410	12,436 17	-233 83		
EAI. $262 Current yield. 0 32%									
Security total	2,423 851	29 533	58,913.81	71,583 81		80,980 86	9,397 05	22,067.05	
Total			**$109,043.01**	**$130,939.62**		**$141,862.95**	**$10,923.33**	**$32,819.94**	

Total estimated annual income. $788

Total Assets \$ 711,096.21 \$ 758,489.68

Your total assets

		Value on Dec 31 ($)	Percentage of your account	Cost basis ($)	Estimated annual income ($)	Unrealized gain or loss ($)
Cash	**Cash and money balances**	**115,121.36**	**13.18%**	**115,121.36**		
Equities	Common stock	305,926 83		264,619.63 A	8,497.00	42,160 20
	Closed end funds & Exchange traded products	104,789.35		101,918 24 A	3,132 00	2,871 11
	Mutual funds	26,769 43		29,958.11 A	561 00	-3,188 68
	Total equities	**437,485.61**	**50.08%**	396,495.98	**12,190.00**	**41,842.63**
Fixed income	Closed end funds & Exchange traded products	101,117 08		102,507.03 A	2,268 00	-1,389 95
	Mutual funds	78,024 04		81,153 58 A	2,247 00	-3,129 54
	Total fixed income	**179,141.12**	**20.50%**	**183,660.61**	**4,515.00**	**-4,519.49**
Other	**Mutual funds**	**141,862.95**	**16.24%**	**130,939.62** A	**788.00**	**10,923.33**
Total		**$873,611.04**	**100.00%**	\$ 826,217.57	**$17,493.00**	**$48,246.47**

A= 711,096.21

Realized gains and losses

Estimated 2015 gains and losses for transactions with trade dates through 12/31/15 have been incorporated into this statement Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss Please check with your tax advisor To calculate gains and losses, we liquidate the oldest security lot first This is known as the first-in, first-out or FIFO accounting method We use this method unless you specified which tax lot to close when you placed your order This is known as a versus purchases or VSP order

See *Important information about your statement* on the last page of this statement for more information We may not adjust gains and losses for all capital changes Cost basis for coupon tax-exempt municipal securities, include securities subject to AMT, has been adjusted for mandatory amortization of bond premium Estimates in the Unclassified section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided

Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
CRANE CO	FIFO	174 000	Feb 11, 15	Nov 09, 15	9,063 45	11,711 74		-2,648 29	
ISHARES TRUST CORE S&P SMALL-CAP ETF	FIFO	181 000	Oct 21, 14	Aug 25, 15	19,230 05	19,028 75			201 30
LORD ABBETT HIGH YIELD FUND CLASS F	FIFO	56 097	Dec 19, 14	Dec 08, 15	393 80	416 80		-23 00	
	FIFO	63 676	Dec 19, 14	Dec 08, 15	447 01	473 11		-26 10	
	FIFO	26 332	Dec 31, 14	Dec 08, 15	184 85	196 17		-11 32	
	FIFO	25 940	Jan 30, 15	Dec 08, 15	182 10	193 25		-11 15	
	FIFO	25 425	Feb 27, 15	Dec 08, 15	178 48	193 23		-14 75	
	FIFO	26 493	Mar 31, 15	Dec 08, 15	185 98	200 55		-14 57	
	FIFO	25 832	Apr 30, 15	Dec 08, 15	181 34	197 10		-15 76	
	FIFO	25.868	May 29, 15	Dec 08, 15	181.60	198 15		-16 55	
	FIFO	26 416	Jun 30, 15	Dec 08, 15	185 44	198 91		-13 47	
	FIFO	27 266	Jul 31, 15	Dec 08, 15	191 40	203 95		-12 55	
	FIFO	26 084	Aug 31, 15	Dec 08, 15	183 11	190 41		-7 30	
	FIFO	27 118	Sep 30, 15	Dec 08, 15	190 37	192.81		-2 44	
	FIFO	26 799	Oct 30, 15	Dec 08, 15	188 13	194 29		-6 16	
	FIFO	28 024	Nov 30, 15	Dec 08, 15	196 73	198 69		-1 96	
ROCHE HLDG LTD SPONS ADR SWITZ ADR	FIFO	320 000	Mar 13, 14	Feb 11, 15	10,442 52	11,690 64		-1,248 12	
SCHLUMBERGER LTD NETHERLANDS ANTILLES	FIFO	127 000	May 15, 15	Nov 09, 15	9,887 14	11,710 48		-1,823 34	
VANGUARD ENERGY ETF	FIFO	188 000	Nov 09, 15	Dec 23, 15	16,077 46	17,944 10		-1,866 64	
VANGUARD FTSE EUROPE ETF	FIFO	489 000	Feb 12, 15	Aug 26, 15	24,689.16	26,776 47		-2,087 31	
VANGUARD INDUSTRIALS ETF	FIFO	87.000	Nov 09, 15	Dec 23, 15	8,854 04	8,947 93		-93.89	

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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
VANGUARD SECTOR INDEX FD VANGUARD FINANCIALS ETF	FIFO	180 000	Nov 09, 15	Dec 23, 15	8,776.64	8,932.19		-155 55	
Total					**$110,090.80**	**$119,989.72**		**-$10,100.22**	**$201.30**
Net short-term capital gains and losses								**-$9,898.92**	

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
AFLAC INC	FIFO	7 000	May 16, 11	Jul 15, 15	430.19	378 73			51 46
	FIFO	52 000	Aug 03, 11	Jul 15, 15	3,195 67	3,317 51	1,008 36	-121 84	
	FIFO	17 000	Aug 03, 11	Jul 15, 15	1,044 73	754 92			289 81
	FIFO	53 000	Oct 11, 11	Jul 15, 15	3,257 11	2,057 67			1,199 44
	FIFO	33 000	Oct 21, 11	Jul 15, 15	2,028 02	1,393 36			634 66
	FIFO	33 000	Jun 11, 13	Jul 15, 15	2,028 02	1,866.39			161 63
BRITISH AMER TOBACCO PLC GB SPON ADR	FIFO	18 000	May 16, 11	Dec 21, 15	1,985 32	1,576 69			408 63
	FIFO	21 000	Aug 03, 11	Dec 21, 15	2,316 21	1,962 53	17 00		353 68
	FIFO	27.000	Oct 11, 11	Dec 21, 15	2,977.98	2,379 72			598 26
	FIFO	18 000	Oct 21, 11	Dec 21, 15	1,985 32	1,654 02			331 30
	FIFO	2 000	Jan 27, 12	Dec 21, 15	220 60	185 04			35 56
	FIFO	15 000	Jun 11, 13	Dec 21, 15	1,654.43	1,642 65			11 78
EMERSON ELECTRIC CO	FIFO	8 000	May 16, 11	Jan 27, 15	461 47	428 70			32.77
	FIFO	58.000	Aug 03, 11	Jan 27, 15	3,345.63	3,337.06	553.21		8.57
	FIFO	51 000	Oct 11, 11	Jan 27, 15	2,941.86	2,321 48			620 38
	FIFO	36.000	Oct 21, 11	Jan 27, 15	2,076 60	1,693.33			383.27
	FIFO	41.000	Jun 11, 13	Jan 27, 15	2,365 02	2,330 43			34 59
EVERSOURCE ENERGY COM	FIFO	28 000	May 16, 11	Jul 08, 15	1,316 52	1,008 28			308 24
	FIFO	71.000	Aug 03, 11	Jul 08, 15	3,338.32	2,511.26	173.23		827.06
	FIFO	81 000	Oct 11, 11	Jul 08, 15	3,808 51	2,615 41			1,193 10
	FIFO	48 000	Oct 21, 11	Jul 08, 15	2,256.90	1,616 12			640 78

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Account name: BASSLER FAMILY FOUNDATION,INC
Account number: Y1 26827 KE

Your Financial Advisor:
SHAPIRO/UVACCARI
212-821-7000/800-308-3140

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	35 000	Jun 11, 13	Jul 08, 15	1,645 65	1,471 18			174.47
JOHNSON & JOHNSON COM	FIFO	17.000	May 16, 11	Aug 20, 15	1,689 05	1,131 84			557 21
	FIFO	35 000	Aug 03, 11	Aug 20, 15	3,477 46	2,321 37	115 41		1,156 09
	FIFO	38 000	Oct 11, 11	Aug 20, 15	3,775 53	2,431 24			1,344.29
	FIFO	31.000	Oct 21, 11	Aug 20, 15	3,080 04	1,968 48			1,111 56
	FIFO	9 000	Jun 11, 13	Aug 20, 15	894 20	763 81			130.39
LORD ABBETT HIGH YIELD FUND CLASS F	FIFO	4,701 638	Jul 30, 13	Dec 08, 15	33,005.51	37,191 25		-4,185 74	
	FIFO	24 554	Aug 30, 13	Dec 08, 15	172 36	192.27		-19 91	
	FIFO	25 040	Sep 30, 13	Dec 08, 15	175 79	197 08		-21 29	
	FIFO	24.770	Oct 31, 13	Dec 08, 15	173 89	198.66		-24 77	
	FIFO	24 126	Nov 29, 13	Dec 08, 15	169.36	193 73		-24 37	
	FIFO	88 917	Dec 18, 13	Dec 08, 15	624 20	690.94		-66 74	
	FIFO	76 530	Dec 18, 13	Dec 08, 15	537 24	594 69		-57 45	
	FIFO	25 718	Dec 31, 13	Dec 08, 15	180 54	200 36		-19 82	
	FIFO	25 011	Jan 31, 14	Dec 08, 15	175 58	194 85		-19 27	
	FIFO	24 800	Feb 28, 14	Dec 08, 15	174 10	196.43		-22 33	
	FIFO	24 349	Mar 31, 14	Dec 08, 15	170 94	192 37		-21 43	
	FIFO	24 811	Apr 30, 14	Dec 08, 15	174 18	196 02		-21 84	
	FIFO	24.681	May 30, 14	Dec 08, 15	173 26	196 47		-23 21	
	FIFO	24 516	Jun 30, 14	Dec 08, 15	172 10	197 35		-25 25	
	FIFO	15 130	Jul 31, 14	Dec 08, 15	106 21	119 68		-13 47	
	FIFO	10 539	Aug 01, 14	Dec 08, 15	73 99	83 36		-9 37	
	FIFO	24 328	Aug 29, 14	Dec 08, 15	170 78	194.38		-23 60	
	FIFO	25 014	Sep 30, 14	Dec 08, 15	175 60	195 11		-19.51	
	FIFO	24.713	Oct 31, 14	Dec 08, 15	173 48	193 75		-20 27	
	FIFO	23 440	Nov 28, 14	Dec 08, 15	164.55	182 36		-17 81	
MAINSTAY MARKETFIELD FUND CLASS I	FIFO	221 064	Oct 19, 12	Jan 07, 15	3,563 55	3,453 02			110 53
	FIFO	18 378	Dec 07, 12	Jan 07, 15	296 26	285 05			11.21

continued next page

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	1,491.685	Mar 13, 13	Jan 07, 15	24,045 96	25,000 64		-954 68	
	FIFO	0 440	Dec 04, 13	Jan 07, 15	7 09	7 94		-0 85	
MEDTRONIC INC **MERGER EFF 01/2015**	Adjustment	78 000	Aug 03, 11	Jan 27, 15	6,002 10	3,343 63	683.44		2,658.47
	Adjustment	74 000	Oct 11, 11	Jan 27, 15	5,694 30	2,465 46			3,228 84
	Adjustment	50 000	Oct 21, 11	Jan 27, 15	3,847.50	1,712 83			2,134 67
	Adjustment	10 000	Jun 11, 13	Jan 27, 15	769 50	521 68			247 82
OCCIDENTAL PETROLEUM CRP	FIFO	88 000	Apr 11, 12	Nov 09, 15	6,613 26	7,693.53		-1,080 27	
	FIFO	31 000	Jun 11, 13	Nov 09, 15	2,329 67	2,763 34		-433 67	
PEARSON PLC SPON ADR	FIFO	93 000	May 16, 11	Jun 10, 15	1,822 04	1,741 19			80 85
	FIFO	105 000	Aug 03, 11	Jun 10, 15	2,057.14	2,137 94	173 60	-80 80	
	FIFO	122 000	Oct 11, 11	Jun 10, 15	2,390 21	2,222 47			167 74
	FIFO	99 000	Oct 21, 11	Jun 10, 15	1,939 59	1,827 95			111.64
	FIFO	10 000	Jan 27, 12	Jun 10, 15	195 92	184 87			11 05
	FIFO	169 000	Jun 11, 13	Jun 10, 15	3,311 02	3,131 92			179 10
PUTNAM CAPITAL SPECTRUM FUND CLASS Y	FIFO	529 169	Oct 05, 12	Mar 13, 15	20,500 00	14,201 14			6,298 86
QUALCOMM INC	FIFO	146 000	May 07, 14	Nov 09, 15	7,746.23	11,560 77		-3,814 54	
SPDR S&P MIDCAP 400 ETF TR	FIFO	86 000	Dec 12, 12	Aug 25, 15	21,319 01	15,920 31			5,398 70
	FIFO	42 000	Jan 09, 13	Aug 25, 15	10,411 61	8,065 37			2,346.24
STANLEY BLACK & DECKER INC COM	FIFO	127 000	Aug 20, 13	Mar 16, 15	12,016 06	10,997.23			1,018.83
TORONTO DOMINION BK NEW CANADA CAD	FIFO	223 000	Aug 11, 14	Nov 09, 15	9,129 45	11,547 30		-2,417 85	
TRAVELERS COS INC/THE	FIFO	139 000	Feb 10, 14	May 15, 15	14,275 85	11,421 88			2,853 97
UNITED PARCEL SERVICE INC CL B	FIFO	8 000	May 16, 11	Jan 27, 15	811 28	592 08			219 20
	FIFO	39 000	Aug 03, 11	Jan 27, 15	3,954 96	3,038.51	430 78		916 45
	FIFO	33 000	Oct 11, 11	Jan 27, 15	3,346 52	2,238 36			1,108 16
	FIFO	24 000	Oct 21, 11	Jan 27, 15	2,433 82	1,679 70			754 12
	FIFO	24 000	Jun 11, 13	Jan 27, 15	2,433 83	2,066 47			367.36

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
VANGUARD INDEX FUNDS VANGUARD MID CAP ETF	FIFO	280 000	Mar 12, 13	May 01, 15	36,276 13	25,642 70			10,633 43
YUMI BRANDS INC	FIFO	158 000	Nov 15, 13	Oct 09, 15	11,026 43	11,668 13		-641.70	
Total					**$317,106.31**	**$277,853.74**		**-$14,203.65**	**$53,456.22**
Net long-term capital gains or losses									**$39,252.57**
Net capital gains/losses:									**$29,353.65**