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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2015

Open to Public Inspection

For calendar year 2015 or tax year beginning

, and ending

Name of foundation JOAN & BERNARD SPAIN FOUNDATION		A Employer identification number 25-6728780
Number and street (or P.O. box number if mail is not delivered to street address) 233 S. 6TH STREET	Room/suite 2505	B Telephone number (215) 322-6650
City or town, state or province, country, and ZIP or foreign postal code PHILADELPHIA, PA 19106-3749		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 1,661,840.	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		20.	20.		STATEMENT 1
4 Dividends and interest from securities		35,363.	35,363.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		63,019.			
b Gross sales price for all assets on line 6a 828,905.					
7 Capital gain net income (from Part IV, line 2)			63,019.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		-6,669.	-6,669.		STATEMENT 3
12 Total Add lines 1 through 11		91,733.	91,733.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees					
c Other professional fees STMT 4		24,204.	24,204.		0.
17 Interest					
18 Taxes STMT 5		2,615.	2,615.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses					
24 Total operating and administrative expenses Add lines 13 through 23		26,819.	26,819.		0.
25 Contributions, gifts, grants paid		145,250.			145,250.
26 Total expenses and disbursements. Add lines 24 and 25		172,069.	26,819.		145,250.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		-80,336.			
b Net investment income (if negative, enter -0-)			64,914.		
c Adjusted net income (if negative, enter -0-)				N/A	

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	80,864.	118,617.	118,617.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 6	1,723,555.	1,563,664.	1,543,223.
	c Investments - corporate bonds			
	Liabilities	11 Investments - land, buildings, and equipment basis ▶		
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other				
14 Land, buildings, and equipment basis ▶				
Less: accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		1,804,419.	1,682,281.	1,661,840.
17 Accounts payable and accrued expenses				
18 Grants payable				
19 Deferred revenue				
20 Loans from officers, directors, trustees, and other disqualified persons				
21 Mortgages and other notes payable				
22 Other liabilities (describe ▶)				
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31 ▶ ☐			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒			
	27 Capital stock, trust principal, or current funds	1,804,419.	1,682,281.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	0.	0.	
30 Total net assets or fund balances	1,804,419.	1,682,281.		
31 Total liabilities and net assets/fund balances	1,804,419.	1,682,281.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,804,419.
2 Enter amount from Part I, line 27a	2	-80,336.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	1,724,083.
5 Decreases not included in line 2 (itemize) ▶ UNREALIZED GAIN/LOSS ADJUSTMENT	5	41,802.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,682,281.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a MORGAN STANLEY 0334	P	VARIOUS	12/31/15
b MORGAN STANLEY 0335	P	VARIOUS	12/31/15
c CAPITAL GAINS DIVIDENDS			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 87,862.		101,636.	-13,774.
b 733,669.		664,250.	69,419.
c 7,374.			7,374.
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			-13,774.
b			69,419.
c			7,374.
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2	63,019.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2014	110,205.	1,902,380.	.057930
2013	78,000.	1,827,550.	.042680
2012	84,500.	1,686,274.	.050110
2011	111,052.	1,747,748.	.063540
2010	74,000.	1,802,938.	.041044

2 Total of line 1, column (d)	2	.255304
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.051061
4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	4	1,822,123.
5 Multiply line 4 by line 3	5	93,039.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	649.
7 Add lines 5 and 6	7	93,688.
8 Enter qualifying distributions from Part XII, line 4	8	145,250.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a. Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	649.
b. Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b			
c. All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		2	0.
2. Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		3	649.
3. Add lines 1 and 2		4	0.
4. Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		5	649.
5. Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			
6. Credits/Payments:			
a. 2015 estimated tax payments and 2014 overpayment credited to 2015	6a	1,745.	
b. Exempt foreign organizations - tax withheld at source	6b		
c. Tax paid with application for extension of time to file (Form 8868)	6c		
d. Backup withholding erroneously withheld	6d		
7. Total credits and payments. Add lines 6a through 6d	7	1,745.	
8. Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9. Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10. Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	1,096.	
11. Enter the amount of line 10 to be: Credited to 2016 estimated tax ☒ 1,096. Refunded ☐ 0.	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a. During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b. Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
1c. Did the foundation file Form 1120-POL for this year?		X
2. Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e. Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0.		
2. Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3. Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a. Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b. If "Yes," has it filed a tax return on Form 990-T for this year?		
5. Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6. Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7. Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a. Enter the states to which the foundation reports or with which it is registered (see instructions) <u>PA</u>		
b. If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9. Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10. Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Form 990-PF (2015)

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	X	
14 The books are in care of ► <u>BERNARD SPAIN</u> Telephone no. ► <u>(215) 322-6650</u> Located at ► <u>233 S. 6TH STREET, SUITE 2505, PHILADELPHIA, PA</u> ZIP+4 ► <u>19106-3749</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A
16 At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? ☐ Yes ☒ No If "Yes," list the years ►		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?		X

Form 990-PF (2015)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A ☒

Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

6b **X**

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
BERNARD SPAIN 233 S. 6TH STREET, SUITE 2505 PHILADELPHIA, PA 19106-3749	TRUSTEE, AS REQUIRED	0.00	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Form 990-PF (2015)

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

[illegible]

0

Expenses

1	N/A
---	-----

2

3

4

Amount

1	N/A
---	-----

2

All other program-related investments. See instructions.

3

0.

Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,753,135.
b	Average of monthly cash balances	1b	96,736.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	1,849,871.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	1,849,871.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	27,748.
5	Net value of noncharitable-use assets Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,822,123.
6	Minimum investment return. Enter 5% of line 5	6	91,106.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	91,106.
2a	Tax on investment income for 2015 from Part VI, line 5	2a	649.
b	Income tax for 2015. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	649.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	90,457.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	90,457.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	90,457.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	145,250.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	145,250.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	649.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	144,601.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				90,457.
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only			43,463.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2015:				
a From 2010				
b From 2011				
c From 2012				
d From 2013				
e From 2014				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2015 from Part XII, line 4: ► \$ 145,250.				
a Applied to 2014, but not more than line 2a			43,463.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2015 distributable amount				90,457.
e Remaining amount distributed out of corpus	11,330.			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	11,330.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2014. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a	11,330.			
10 Analysis of line 9:				
a Excess from 2011				
b Excess from 2012				
c Excess from 2013				
d Excess from 2014				
e Excess from 2015	11,330.			

Part XV Supplementary Information (continued)**3** Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
CITY OF PHILADELPHIA MURAL ARTS PROGRAM 1729 MT VERNON STREET PHILADELPHIA, PA 19130	NONE	N/A	GENERAL CHARITABLE PURPOSE	5,000.
DREXEL UNIVERSITY (CITY OF HOPE) 1608 WALNUT ST STE 1702 PHILADELPHIA, PA 19103	N/A	N/A	GENERAL CHARITABLE PURPOSE	500.
FRATERNAL ORDER OF POLICE 11630 CAROLINE RD PHILADELPHIA, PA 19154	NONE	N/A	GENERAL CHARITABLE PURPOSE	500.
HABITAT FOR HUMANITY 1829 N 19TH ST PHILADELPHIA, PA 19134	N/A	N/A	GENERAL CHARITABLE PURPOSE	500.
LUCY OUTREACH 2907 FEDERAL STREET CAMDEN, NJ 08105	NONE	N/A	GENERAL CHARITABLE PURPOSE	10,000.
Total			SEE CONTINUATION SHEET(S) ▶ 3a	145,250.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
MT. SINAI HOSPITAL 1468 MADISON AVE NEW YORK, NY 10029	N/A	N/A	GENERAL CHARITABLE PURPOSE	15,000.
NATIONAL KIDNEY FOUNDATION 111 S. INDEPENDENCE MALL EAST, SUITE 411 PHILADELPHIA, PA 19106	N/A	N/A	GENERAL CHARITABLE PURPOSE	1,000.
RED PAW EMERGENCY 1328 S 24TH ST PHILADELPHIA, PA 19146	NONE	N/A	GENERAL CHARITABLE PURPOSE	1,000.
THE ASSOCIATED ALUMNI OF CHS 1700 W OLNEY AVE PHILADELPHIA, PA 19141	NONE	N/A	GENERAL CHARITABLE PURPOSE	10,000.
THE BARNES FOUNDATION (CIRCLES) 2025 BENJAMIN FRANKLIN PARKWAY PHILADELPHIA, PA 19130	NONE	N/A	GENERAL CHARITABLE PURPOSE	2,500.
THE BARNES FOUNDATION 2025 BENJAMIN FRANKLIN PARKWAY PHILADELPHIA, PA 19130	NONE	N/A	GENERAL CHARITABLE PURPOSE	10,000.
THE PHILADELPHIA ORCHESTRA ONE SOUTH BROAD STREET PHILADELPHIA, PA 19107	NONE	N/A	GENERAL CHARITABLE PURPOSE	15,000.
TRANSPLANT FOUNDATION 9155 S. DADELAND BOULEVARD, SUITE 1506 MIAMI, FL 33156	N/A	N/A	GENERAL CHARITABLE PURPOSE	10,000.
UNIVERSITY OF PENNSYLVANIA TRUSTEES 34TH AND SPRUCE STREET PHILADELPHIA, PA 19104	NONE	N/A	GENERAL CHARITABLE PURPOSE	10,000.
UNIVERSITY OF PENNSYLVANIA 34TH AND SPRUCE STREET PHILADELPHIA, PA 19104	NONE	N/A	GENERAL CHARITABLE PURPOSE	50,000.
Total from continuation sheets				128,750.

3 Grants and Contributions Paid During the Year (Continuation)

Total from continuation sheets

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
MORGAN STANLEY 0334	8.	8.	
MORGAN STANLEY 0335	12.	12.	
TOTAL TO PART I, LINE 3	20.	20.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
MORGAN STANLEY ***0334	2,535.	0.	2,535.	2,535.	
MORGAN STANLEY ***0335	40,202.	7,374.	32,828.	32,828.	
TO PART I, LINE 4	42,737.	7,374.	35,363.	35,363.	

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
PARTNERSHIP INCOME (LOSS)	-6,669.	-6,669.	
TOTAL TO FORM 990-PF, PART I, LINE 11	-6,669.	-6,669.	

FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MORGAN STANLEY 0335 CONSULTING FEE	24,154.	24,154.		0.
MORGAN STANLEY 0334 FEES AND EXPENSES	50.	50.		0.
TO FORM 990-PF, PG 1, LN 16C	24,204.	24,204.		0.

FORM 990-PF	TAXES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES 0335	2,615.	2,615.		0.
TO FORM 990-PF, PG 1, LN 18	2,615.	2,615.		0.

FORM 990-PF	CORPORATE STOCK	STATEMENT	6
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
MORGAN STANLEY ***0334	90,975.	40,950.
MORGAN STANLEY ***0335	1,472,689.	1,502,273.
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,563,664.	1,543,223.

Morgan Stanley

1099 Consolidated Tax Statement Tax Year 2015 Copy B For Recipient

BERNARD SPAIN TTEE
JOAN & BERNARD SPAIN FOUNDATION
TR U/T/A DTD 10/06/2000
233 S 6TH STREET APT 2505
PHILADELPHIA PA 19106-3757

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
12th Floor
New York, NY 10004
Identification Number 26-4310632

Taxpayer ID Number: XX-XXX8780
Account Number: 616 070334 038

Customer Service: 866-324-6088

OMB NO. 1545-0715

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
INVESCO VAN KAMPEN SR INC TRST	7,500,000	12/03/12	04/24/15	\$35,226.21	\$38,865.83	\$0.00	(\$3,639.62)	\$0.00
VOYA PRIME RATE TRUST	5,000,000	12/03/12	06/08/15	\$26,737.63	\$31,385.25	\$0.00	(\$4,647.62)	\$0.00
	5,000,000	12/03/12	08/13/15	\$25,898.57	\$31,385.25	\$0.00	(\$5,486.68)	\$0.00
Security Subtotal	10,000,000			\$52,636.20	\$62,770.50	\$0.00	(\$10,134.30)	\$0.00
Total Long Term Covered Securities				\$87,862.41	\$101,636.33	\$0.00	(\$13,773.92)	\$0.00
Form 1099-B Total Reportable Amounts - Does not include cost basis or adjustment amounts for noncovered securities								
Total IRS Reportable Proceeds (Box 1d)				\$87,862.41				
Total IRS Reportable Cost or Other Basis for Covered Securities (Box 1e)					\$101,636.33			
Total IRS Reportable Adjustments (Box 1g)						\$0.00		
Total Fed Tax Withheld (Box 4)								\$0.00

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

1099 Consolidated Tax Statement Tax Year 2015 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
12th Floor
New York, NY 10004

BERNARD SPAIN TTEE
JOAN & BERNARD SPAIN FOUNDATION
TR U/T/A DTD 10/06/2000
233 S 6TH STREET APT 2505
PHILADELPHIA PA 19106-3757

Identification Number: 26-4310632

Taxpayer ID Number: XX-XXX8780
Account Number: 616 070335 038

Customer Service: 866-324-6088

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Short Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ABBVIE INC COM		CUSIP: 00287Y109	Symbol: ABBV					
	44.000	04/27/15	06/10/15	\$3,008.34	\$2,916.47	\$0.00	\$91.87	\$0.00
	48.000	04/30/15	06/10/15	\$3,281.83	\$3,124.79	\$0.00	\$157.04	\$0.00
Security Subtotal	92.000			\$6,290.17	\$6,041.26	\$0.00	\$248.91	\$0.00
ACTELION LTD UNSPON ADR		CUSIP: 00507G102	Symbol: ALIOY					
	22.000	12/18/14	09/11/15	\$730.31	\$656.69	\$0.00	\$73.62	\$0.00
ACTIVISION BLIZZARD INC		CUSIP: 00507V109	Symbol: ATVI					
	1.000	04/21/14	01/07/15	\$19.15	\$19.66	\$0.00	(\$0.51)	\$0.00
	5.000	04/21/14	01/08/15	\$96.62	\$98.30	\$0.00	(\$1.68)	\$0.00
	4.000	06/25/14	01/08/15	\$77.30	\$88.12	\$0.00	(\$10.82)	\$0.00
	9.000	06/25/14	01/09/15	\$170.99	\$198.27	\$0.00	(\$27.28)	\$0.00
Security Subtotal	19.000			\$364.06	\$404.35	\$0.00	(\$40.29)	\$0.00
ADEPTUS HEALTH INC CL A		CUSIP: 006855100	Symbol: ADPT					
	9.000	08/08/14	06/04/15	\$677.84	\$234.56	\$0.00	\$443.28	\$0.00
AIRBUS GROUP		CUSIP: 009279100	Symbol: EADSY					
	99.000	06/25/14	02/04/15	\$1,334.33	\$1,656.92	\$0.00	(\$322.59)	\$0.00
ALBEMARLE CORPORATION		CUSIP: 012653101	Symbol: ALB					
	3.000	06/25/14	01/02/15	\$179.85	\$213.50	\$0.00	(\$33.65)	\$0.00

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

Page 29 of 109

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Short Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part 1 with box A checked.)
(Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ANGLO AMERICAN PLC ADR NEW	188 000	10/16/14	09/30/15	\$770.86	\$2,046.66	\$0.00	(\$1,275.80)	\$0.00
		CUSIP: 03485P201	Symbol:					
APPLIED MATERIALS INC	7 000	07/09/14	07/09/15	\$130.35	\$163.18	\$0.00	(\$32.83)	\$0.00
	4 000	01/07/15	10/29/15	\$65.78	\$93.19	\$0.00	(\$27.41)	\$0.00
	11 000			\$196.13	\$256.37	\$0.00	(\$60.24)	\$0.00
Security Subtotal								
BARRICK GOLD CORP	216 000	12/26/14	01/13/15	\$2,349.79	\$2,305.04	\$0.00	\$44.75	\$0.00
		CUSIP: 067901108	Symbol: ABX					
BAXTER INTL INC	20 000	11/05/14	06/03/15	\$1,317.92	\$1,411.99	\$0.00	(\$94.07)	\$0.00
	22 000	11/05/14	06/03/15	\$1,449.72	\$1,553.19	\$103.47 W	(\$103.47)	\$0.00
	43 000	11/05/14	10/20/15	\$1,475.01	\$1,575.75	\$0.00	(\$200.74)	\$0.00
	22 000	11/12/14	10/20/15	\$754.66	\$399.60	\$0.00	(\$144.94)	\$0.00
Security Subtotal	107 000			\$4,997.31	\$5,540.53	\$103.47	(\$543.22)	\$0.00
		CUSIP: 071813109	Symbol: BAX					
BAYERISCHE MOTOREN WERKE AG	84 000	06/25/14	05/18/15	\$3,265.45	\$3,558.12	\$0.00	(\$292.67)	\$0.00
		CUSIP: 072743206	Symbol: BAMXY					
BBCN BANCORP INC COM	13 000	06/25/14	05/19/15	\$189.09	\$206.96	\$0.00	(\$17.87)	\$0.00
		CUSIP: 073295107	Symbol: BBCN					
BENEFITFOCUS INC	16 000	09/16/14	03/17/15	\$592.24	\$511.25	\$0.00	\$80.99	\$0.00
		CUSIP: 08180D106	Symbol: BNFT					
BERKLEY W R CORP	1 000	06/25/14	06/25/15	\$52.51	\$45.61	\$0.00	\$6.90	\$0.00
	3 000	08/12/14	08/06/15	\$167.97	\$137.70	\$0.00	\$30.27	\$0.00
Security Subtotal	4 000			\$220.48	\$183.31	\$0.00	\$37.17	\$0.00
		CUSIP: 084423102	Symbol: WRB					

CONTINUED ON NEXT PAGE

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 5 (Reported to IRS) for all option transactions

Short Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.) (Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
BG GROUP PLC		CUSIP: 055434203	Symbol: BRGYY					
	3 000	06/25/14	04/09/15	\$51.31	\$65.08	\$0.00	(\$13.77)	\$0.00
BNP PARIBAS SP ADR REPSTG		CUSIP: 05565A202	Symbol: BNPOY					
	3 000	07/01/14	05/21/15	\$93.76	\$105.92	\$0.00	(\$12.16)	\$0.00
BORDERFREE INC COM		CUSIP: 09970L100	Symbol:					
	39 000	09/23/14	05/15/15	\$544.43	\$525.14	\$0.00	\$19.29	\$0.00
	21 000	12/26/14	05/15/15	\$293.16	\$185.02	\$0.00	\$108.14	\$0.00
Security Subtotal	60 000			\$837.59	\$710.16	\$0.00	\$127.43	\$0.00
BURBERRY GROUP PLC SPONS ADR		CUSIP: 12082W204	Symbol: BURBY					
	1 000	06/25/14	04/07/15	\$25.15	\$24.93	\$0.00	\$0.22	\$0.00
	7 000	06/25/14	04/08/15	\$180.80	\$174.53	\$0.00	\$6.27	\$0.00
	8 000	09/30/14	04/10/15	\$210.79	\$196.16	\$0.00	\$14.63	\$0.00
Security Subtotal	16 000			\$416.74	\$395.62	\$0.00	\$21.12	\$0.00
C&J ENERGY SVCS LTD SHS		CUSIP: G3164Q101	Symbol: CJES					
	29 000	12/26/14	09/18/15	\$126.50	\$383.10	\$0.00	(\$256.60)	\$0.00
CALIFORNIA RES CORP COM		CUSIP: 13057Q107	Symbol: CRC					
	2 000	05/28/14	02/02/15	\$10.20	\$17.10	\$0.00	(\$6.90)	\$0.00
	6 000	06/09/14	02/02/15	\$30.61	\$52.50	\$0.00	(\$21.89)	\$0.00
	14 000	06/11/14	02/02/15	\$71.41	\$121.95	\$0.00	(\$50.54)	\$0.00
Security Subtotal	22 000			\$112.22	\$191.55	\$0.00	(\$79.33)	\$0.00
CAMERON INTNL CORP		CUSIP: 13342B105	Symbol: CAM					
	2 000	06/06/14	03/11/15	\$88.78	\$130.43	\$0.00	(\$41.65)	\$0.00
	2 000	06/25/14	03/16/15	\$86.02	\$134.22	\$0.00	(\$48.20)	\$0.00
	2 000	12/08/14	03/16/15	\$86.02	\$98.18	\$0.00	(\$12.16)	\$0.00
Security Subtotal	6 000			\$260.82	\$362.83	\$0.00	(\$102.01)	\$0.00

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Short Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.) (Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
CAPITAL ONE FINANCIAL CORP	CUSIP: 14040H105	Symbol: COF						
	33 000	06/09/15	06/10/15	\$2 862 47	\$2 825 66	\$0 00	\$36 81	\$0 00
CARDINAL HEALTH INC	CUSIP: 14149Y108	Symbol: CAH						
	10 000	06/10/15	09/11/15	\$843 98	\$887 58	\$0 00	(\$43 60)	\$0 00
CATAMARAN CORP COM	CUSIP: 148887102	Symbol: CTRX						
	2 000	06/25/14	05/29/15	\$120 06	\$88 40	\$0 00	\$31 66	\$0 00
	4 000	06/25/14	05/29/15	\$238 99	\$176 80	\$0 00	\$62 19	\$0 00
Security Subtotal	6 000			\$359 05	\$265 20	\$0 00	\$93 85	\$0 00
CENTENE CORPORATION	CUSIP: 15135B101	Symbol: CNC						
	3 000	07/25/14	05/12/15	\$202 57	\$111 15	\$0 00	\$91 42	\$0 00
CHEMOURS CO COM	CUSIP: 163851108	Symbol: CC						
	0 800	06/10/15	06/30/15	\$11 04	\$14 23	\$0 00	(\$3 19)	\$0 00
	25 000	06/10/15	07/16/15	\$324 07	\$444 66	\$0 00	(\$120 59)	\$0 00
Security Subtotal	25 800			\$335 11	\$458 89	\$0 00	(\$123 78)	\$0 00
CIMAREX ENERGY CO	CUSIP: 171798101	Symbol: XEC						
	1 000	01/09/15	06/25/15	\$115 57	\$100 54	\$0 00	\$15 03	\$0 00
	2 000	01/13/15	06/29/15	\$220 32	\$192 82	\$0 00	\$27 50	\$0 00
	2 000	01/14/15	06/30/15	\$218 70	\$190 60	\$0 00	\$28 10	\$0 00
Security Subtotal	5 000			\$554 59	\$483 96	\$0 00	\$70 63	\$0 00
COMMERCIAL INTL BNK LTD SP ADR	CUSIP: 201712304	Symbol: CIBEY						
	0 250	09/28/15	12/16/15	\$1 01	\$1 25	\$0 00	(\$0 24)	\$0 00
CONOCOPHILLIPS	CUSIP: 20825C104	Symbol: COP						
	28 000	12/26/14	04/02/15	\$1 768 87	\$1 957 33	\$0 00	(\$188 46)	\$0 00

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Page 32 of 109

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Short Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.) (Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
COOPER CO INC NEW		CUSIP: 216648402	Symbol: COO					
	1.000	07/14/14	01/22/15	\$164.13	\$150.70	\$0.00	\$13.43	\$0.00
	1.000	07/14/14	06/04/15	\$180.60	\$150.70	\$0.00	\$29.90	\$0.00
Security Subtotal	2.000			\$344.73	\$301.40	\$0.00	\$43.33	\$0.00
CUMMINS INC		CUSIP: 231021106	Symbol: CMI					
	20.000	05/15/15	06/10/15	\$2,741.28	\$2,852.08	\$0.00	(\$110.80)	\$0.00
	23.000	06/09/15	06/10/15	\$3,152.47	\$3,120.21	\$0.00	\$32.26	\$0.00
Security Subtotal	43.000			\$5,893.75	\$5,972.29	\$0.00	(\$78.54)	\$0.00
CVENT INC COM		CUSIP: 23247G109	Symbol: CVT					
	11.000	06/19/14	03/17/15	\$303.92	\$329.02	\$0.00	(\$25.10)	\$0.00
	7.000	06/25/14	03/17/15	\$193.40	\$202.69	\$0.00	(\$9.29)	\$0.00
	9.000	08/08/14	03/17/15	\$248.66	\$226.18	\$0.00	\$22.48	\$0.00
	7.000	10/21/14	03/17/15	\$193.40	\$168.28	\$0.00	\$25.12	\$0.00
Security Subtotal	34.000			\$939.38	\$926.17	\$0.00	\$13.21	\$0.00
DOW CHEMICAL CO		CUSIP: 260543103	Symbol: DOW					
	61.000	01/02/15	06/10/15	\$3,174.57	\$2,784.91	\$0.00	\$389.66	\$0.00
	57.000	02/09/15	06/10/15	\$2,966.40	\$2,778.05	\$0.00	\$188.35	\$0.00
Security Subtotal	118.000			\$6,140.97	\$5,562.96	\$0.00	\$578.01	\$0.00
EASTMAN CHEMICAL COMPANY		CUSIP: 277432100	Symbol: EMN					
	2.000	05/09/14	04/07/15	\$139.99	\$171.34	\$0.00	(\$31.35)	\$0.00
	1.000	09/17/14	04/07/15	\$70.00	\$83.76	\$0.00	(\$13.76)	\$0.00
	2.000	09/17/14	04/24/15	\$150.31	\$167.51	\$0.00	(\$17.20)	\$0.00
	1.000	12/22/14	04/24/15	\$75.15	\$75.78	\$0.00	(\$0.63)	\$0.00
Security Subtotal	6.000			\$435.45	\$498.39	\$0.00	(\$62.94)	\$0.00

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Short Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.) (Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
EATON CORP PLC SHS	CUSIP: G29183103 Symbol: ETN							
	41 000	04/02/15	06/10/15	\$2,966 82	\$2,771 24	\$0 00	\$195 58	\$0 00
	47 000	04/27/15	06/10/15	\$3,400 98	\$3,223 06	\$0 00	\$177 92	\$0 00
Security Subtotal	88 000			\$6,367 80	\$5,994 30	\$0 00	\$373 50	\$0 00
EDISON INTERNATIONAL	CUSIP: 281020107 Symbol: EIX							
	24 000	06/10/15	09/11/15	\$1 379 73	\$1,381 91	\$0 00	(\$2 18)	\$0 00
ESSENT GROUP LTD COM	CUSIP: G3198U102 Symbol: ESNT							
	25 000	08/28/14	07/30/15	\$732 19	\$520 36	\$0 00	\$211 83	\$0 00
EXPEDITORS INTL WASH INC	CUSIP: 302130109 Symbol: EXPD							
	2 000	05/29/15	10/30/15	\$99 69	\$92 05	\$0 00	\$7 64	\$0 00
EXPRESS SCRIPTS HLDG CO COM	CUSIP: 30219G108 Symbol: ESRX							
	9 000	06/29/15	09/11/15	\$756 52	\$801 23	\$0 00	(\$44 71)	\$0 00
FLOWSERVE CORP	CUSIP: 34354P105 Symbol: FLS							
	3 000	04/17/14	02/18/15	\$185 23	\$236 83	\$0 00	(\$51 60)	\$0 00
FORTUNE BRANDS HOME & SEC INC	CUSIP: 34964C106 Symbol: FBHS							
	2 000	03/07/14	02/23/15	\$95 68	\$94 29	\$0 00	\$1 39	\$0 00
FREEPORT-MCMORAN INC	CUSIP: 35671D857 Symbol: FCX							
	108 000	12/29/14	04/02/15	\$2,052 79	\$2,525 45	\$0 00	(\$473 66)	\$0 00
	12 000	12/29/14	04/14/15	\$223 68	\$280 72	\$0 00	(\$57 04)	\$0 00
	140 000	01/13/15	04/14/15	\$2,609 59	\$3,077 49	\$0 00	(\$467 90)	\$0 00
Security Subtotal	260 000			\$4,886 06	\$5,884 66	\$0 00	(\$998 60)	\$0 00
FRESHPET INC	CUSIP: 358039105 Symbol: FRPT							
	6 000	12/26/14	11/25/15	\$45 35	\$91 33	\$0 00	(\$45 98)	\$0 00
	2 000	05/20/15	11/25/15	\$15 12	\$40 49	\$0 00	(\$25 37)	\$0 00
Security Subtotal	8 000			\$60 47	\$131 82	\$0 00	(\$71 35)	\$0 00

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Page 34 of 109

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Short Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.) (Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
F5 NETWORKS INC		CUSIP: 315616102	Symbol: FFIV					
	1 000	05/19/15	12/03/15	\$101.94	\$126.92	\$0.00	(\$24.98)	\$0.00
GLAXOSMITHKLINE PLC ADS		CUSIP: 37733W105	Symbol: GSK					
	42 000	05/08/14	04/27/15	\$1,994.56	\$2,301.68	\$0.00	(\$307.12)	\$0.00
	28 000	05/14/14	04/27/15	\$1,329.71	\$1,543.30	\$0.00	(\$213.59)	\$0.00
	38 000	05/14/14	04/30/15	\$1,760.68	\$2,094.47	\$0.00	(\$333.79)	\$0.00
	25 000	12/26/14	04/30/15	\$1,158.35	\$1,077.24	\$0.00	\$81.11	\$0.00
Security Subtotal	133 000			\$6,243.30	\$7,016.69	\$0.00	(\$773.39)	\$0.00
GLOBAL PAYMENT INC		CUSIP: 37940X102	Symbol: GPN					
	2 000	04/17/15	12/01/15	\$143.03	\$99.50	\$0.00	\$43.53	\$0.00
	1 000	05/14/15	12/01/15	\$71.51	\$51.91	\$0.00	\$19.60	\$0.00
Security Subtotal	3 000			\$214.54	\$151.41	\$0.00	\$63.13	\$0.00
GNC HOLDINGS, INC COM CL A		CUSIP: 36191G107	Symbol: GNC					
	2 000	03/10/15	11/10/15	\$64.60	\$89.55	\$0.00	(\$24.95)	\$0.00
GOLAR LNG PTNRS LP ULPI		CUSIP: Y2745C102	Symbol: GMLP					
	1 000	01/15/15	11/03/15	\$17.96	\$24.15	\$0.00	(\$6.19)	\$0.00
	8 000	01/15/15	11/05/15	\$132.78	\$193.18	\$0.00	(\$60.40)	\$0.00
	8 000	01/15/15	11/09/15	\$125.94	\$189.40	\$0.00	(\$63.46)	\$0.00
	2 000	07/17/15	11/09/15	\$31.48	\$44.96	\$0.00	(\$13.48)	\$0.00
Security Subtotal	19 000			\$308.16	\$451.69	\$0.00	(\$143.53)	\$0.00
HARTFORD FIN SERS GRP INC		CUSIP: 416515104	Symbol: HIG					
	68 000	03/10/15	06/10/15	\$2,857.99	\$2,782.25	\$0.00	\$75.74	\$0.00
	70 000	03/18/15	06/10/15	\$2,942.05	\$2,965.90	\$0.00	(\$23.85)	\$0.00
Security Subtotal	138 000			\$5,800.04	\$5,768.15	\$0.00	\$31.89	\$0.00
HMS HOLDINGS CORP		CUSIP: 40425J101	Symbol: HMSY					
	6 000	06/10/15	08/24/15	\$60.15	\$106.82	\$0.00	(\$46.67)	\$0.00

CONTINUED ON NEXT PAGE

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the N31 Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Short Term - Covered Securities (Consider Box 3 (Basis Reported to IRS), as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.) (Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
HOMEAWAY TDR CRJ99	5 000	12/26/14	12/17/15	\$50.75	\$12.71	\$0.00	\$38.04	\$0.00
HUB GROUP INC CL A	6 000	12/26/14	11/20/15	\$235.02	\$227.55	\$0.00	\$7.47	\$0.00
INDEX CORPORATION DELAWARE	1.000	06/25/14	03/25/15	\$75.32	\$79.83	\$0.00	(\$4.51)	\$0.00
INTL BUSINESS MACHINES CORP	17.000	03/10/15	06/10/15	\$2,872.68	\$2,699.73	\$0.00	\$172.95	\$0.00
	19.000	03/23/15	06/10/15	\$3,210.65	\$3,138.83	\$0.00	\$71.82	\$0.00
Security Subtotal	36.000			\$6,083.33	\$5,838.56	\$0.00	\$244.77	\$0.00
ITC HOLDINGS	24.000	04/01/15	10/22/15	\$809.73	\$874.01	\$0.00	(\$64.28)	\$0.00
	12.000	04/01/15	10/27/15	\$398.58	\$437.01	\$0.00	(\$38.43)	\$0.00
	12.000	06/10/15	10/27/15	\$398.57	\$398.52	\$0.00	\$0.05	\$0.00
Security Subtotal	48.000			\$1,606.88	\$1,709.54	\$0.00	(\$102.66)	\$0.00
JANUS PERKINS MID CAP VALUE I	609.545	12/26/14	10/26/15	\$11,867.85	\$12,324.99	\$0.00	(\$457.14)	\$0.00
JAPAN TOB INC	39.000	10/06/14	09/11/15	\$645.82	\$640.51	\$0.00	\$5.31	\$0.00
	10.000	10/08/14	09/11/15	\$165.60	\$165.35	\$0.00	\$0.25	\$0.00
Security Subtotal	49.000			\$811.42	\$805.86	\$0.00	\$5.56	\$0.00
KERYX BIOPHARMCEUTICALS INC	11.000	12/26/14	10/19/15	\$52.21	\$156.81	\$0.00	(\$104.60)	\$0.00
	2.000	12/29/14	10/19/15	\$9.49	\$27.77	\$0.00	(\$18.28)	\$0.00

CONTINUED ON NEXT PAGE

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Short Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.) (Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
KERYX BIOPHARMCEUTICALS INC (Cont.) CUSIP: 492515101 Symbol: KERX								
	18.000	06/04/15	10/19/15	\$85.43	\$182.52	\$0.00	(\$97.09)	\$0.00
Security Subtotal	31.000			\$147.13	\$367.10	\$0.00	(\$219.97)	\$0.00
KEURIG GREEN MOUNTAIN INC CUSIP: 49271M100 Symbol: GMCR								
	1.000	12/11/14	03/18/15	\$122.01	\$140.06	\$0.00	(\$18.05)	\$0.00
	1.000	12/11/14	12/11/15	\$89.04	\$140.06	\$0.00	(\$51.02)	\$0.00
Security Subtotal	2.000			\$211.05	\$280.12	\$0.00	(\$69.07)	\$0.00
KRAFT FOODS GROUP INC COM CUSIP: 50076Q106 Symbol: KRFT								
	109.000	06/10/15	07/06/15	\$1,798.50	\$1,260.48	\$0.00	\$538.02	\$0.00
KRAFT HEINZ CO CUSIP: 500754106 Symbol: KHC								
	10.000	06/10/15	09/11/15	\$727.58	\$726.45	\$0.00	\$1.13	\$0.00
KROGER CO CUSIP: 501044101 Symbol: KR								
	2.000	07/21/14	04/23/15	\$142.00	\$98.96	\$0.00	\$43.04	\$0.00
	2.000	07/21/14	05/08/15	\$143.61	\$98.96	\$0.00	\$44.65	\$0.00
	3.000	07/29/14	06/18/15	\$222.07	\$150.78	\$0.00	\$71.29	\$0.00
	3.000	07/30/14	06/18/15	\$220.26	\$149.94	\$0.00	\$70.32	\$0.00
	1.000	07/30/14	06/25/15	\$72.64	\$49.98	\$0.00	\$22.66	\$0.00
	1.000	07/30/14	06/25/15	\$72.63	\$49.82	\$0.00	\$22.81	\$0.00
	3.000	07/30/14	06/26/15	\$221.60	\$149.45	\$0.00	\$72.15	\$0.00
Security Subtotal	15.000			\$1,094.81	\$747.89	\$0.00	\$346.92	\$0.00
LAREDO PETROLEUM INC CUSIP: 516806106 Symbol: LPI								
	7.000	08/11/14	01/06/15	\$60.55	\$170.80	\$110.25 W	(\$110.25)	\$0.00
	7.000	08/15/14	01/06/15	\$60.54	\$166.02	\$105.47 W	(\$105.47)	\$0.00
	1.000	09/18/14	01/06/15	\$8.65	\$23.27	\$0.00	(\$14.62)	\$0.00
	4.000	09/23/14	01/06/15	\$34.60	\$88.60	\$0.00	(\$54.00)	\$0.00
	7.000	08/11/14	01/09/15	\$66.29	\$172.46	\$0.00	(\$106.17)	\$0.00

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

Page 37 of 109

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Short Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.) (Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
LAREDO PETROLEUM INC.(Cont.)								
		CUSIP: 516806106	Symbol: LPI					
	7 000	08/15/14	01/09/15	\$66.28	\$167.68	\$0.00	(\$101.40)	\$0.00
	4 000	09/23/14	01/09/15	\$37.88	\$88.60	\$0.00	(\$50.72)	\$0.00
	2,000	10/10/14	01/09/15	\$18.94	\$38.34	\$0.00	(\$19.40)	\$0.00
Security Subtotal	39,000			\$353.73	\$915.77	\$215.72	(\$562.03)	\$0.00
LAS VEGAS SANDS CORPORATION								
		CUSIP: 517834107	Symbol: LVS					
	10 000	05/06/14	02/13/15	\$608.88	\$792.95	\$0.00	(\$184.07)	\$0.00
	4,000	08/01/14	02/13/15	\$243.56	\$290.72	\$0.00	(\$47.16)	\$0.00
Security Subtotal	14,000			\$852.44	\$1,083.67	\$0.00	(\$231.23)	\$0.00
LOWES COMPANIES INC								
		CUSIP: 548661107	Symbol: LOW					
	19 000	06/10/15	09/11/15	\$1,295.77	\$1,312.68	\$0.00	(\$16.91)	\$0.00
MACY'S INC								
		CUSIP: 55616P104	Symbol: M					
	25 000	08/29/14	05/10/15	\$1,722.79	\$1,564.67	\$0.00	\$158.12	\$0.00
	69 000	09/02/14	06/10/15	\$4,754.91	\$4,286.26	\$0.00	\$468.65	\$0.00
Security Subtotal	94 000			\$6,477.70	\$5,850.93	\$0.00	\$626.77	\$0.00
MANITOWOC CO								
		CUSIP: 563571108	Symbol: MTW					
	9 000	12/26/14	05/15/15	\$177.07	\$186.73	\$0.00	(\$9.66)	\$0.00
MANNING & NAPIER INC								
		CUSIP: 56382Q102	Symbol: MN					
	11 000	06/25/14	03/17/15	\$129.50	\$188.43	\$0.00	(\$58.93)	\$0.00
	6,000	12/26/14	03/17/15	\$70.63	\$83.73	\$0.00	(\$13.10)	\$0.00
Security Subtotal	17,000			\$200.13	\$272.16	\$0.00	(\$72.03)	\$0.00
MARSH & MCLENNAN COS INC								
		CUSIP: 571748102	Symbol: MMC					
	11 000	06/10/15	09/11/15	\$591.91	\$647.98	\$0.00	(\$56.07)	\$0.00

CONTINUED ON NEXT PAGE

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 5 (Reported to IRS) for all option transactions

Short Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked) (Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
MATTEL INC								
		CUSIP: 57081102	Symbol: MAT					
	91.000	12/08/14	06/10/15	\$2,398.05	\$2,735.96	\$0.00	(\$337.91)	\$0.00
	98.000	12/23/14	06/10/15	\$2,582.51	\$2,923.20	\$0.00	(\$340.69)	\$0.00
Security Subtotal	189.000			\$4,980.56	\$5,659.16	\$0.00	(\$678.60)	\$0.00
MFA FINANCIAL INC								
		CUSIP: 55272X102	Symbol: MFA					
	1.000	06/17/14	06/10/15	\$7.69	\$8.35	\$0.00	(\$0.66)	\$0.00
MICRON TECH INC								
		CUSIP: 595112103	Symbol: MU					
	17.000	03/19/15	06/26/15	\$334.05	\$476.42	\$142.37 W	(\$142.37)	\$0.00
	34.000	03/19/15	06/26/15	\$668.09	\$952.83	\$0.00	(\$284.74)	\$0.00
	6.000	03/19/15	11/12/15	\$93.01	\$168.15	\$0.00	(\$75.14)	\$0.00
	29.000	04/15/15	11/12/15	\$449.55	\$815.77	\$0.00	(\$366.22)	\$0.00
	17.000	03/19/15	12/04/15	\$264.56	\$571.71	\$0.00	(\$307.15)	\$0.00
	4.000	04/15/15	12/04/15	\$82.25	\$112.52	\$0.00	(\$30.27)	\$0.00
Security Subtotal	107.000			\$1,871.51	\$3,097.40	\$142.37	(\$1,225.89)	\$0.00
MOBILEIRON INC								
		CUSIP: 60739J204	Symbol: MOBL					
	37.000	06/04/15	10/14/15	\$136.90	\$223.11	\$0.00	(\$86.21)	\$0.00
MONDELEZ INTL INC COM								
		CUSIP: 609207105	Symbol: MDLZ					
	45.000	06/10/15	09/11/15	\$1,910.66	\$1,821.94	\$0.00	\$88.72	\$0.00
MONSTER BEVERAGE CORP NEW COM								
		CUSIP: 61174X109	Symbol: MNST					
	2.000	03/05/15	10/29/15	\$271.43	\$280.68	\$0.00	(\$9.25)	\$0.00
MWI VET AYY48								
		CUSIP: 554991497	Symbol:					
	4.000	12/26/14	02/25/15	\$760.00	\$696.66	\$0.00	\$63.34	\$0.00
MYLAN NV								
		CUSIP: N59465109	Symbol: MYL					
	2.000	04/09/15	07/27/15	\$111.47	\$139.98	\$0.00	(\$28.51)	\$0.00
	3.000	04/09/15	07/27/15	\$167.21	\$210.00	\$0.00	(\$42.79)	\$0.00

CONTINUED ON NEXT PAGE

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Short Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part 1 with box A checked.) (Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
MYLAN NV (Cont.)								
		CUSIP: N59465109		Symbol: MYL				
	3.000	04/15/15	07/27/15	\$167.20	\$202.49	\$0.00	(\$35.29)	\$0.00
Security Subtotal	8.000			\$445.88	\$552.47	\$0.00	(\$106.59)	\$0.00
NAVIENT CORP COM								
		CUSIP: 63538C108		Symbol: NAVI				
	43.000	06/24/14	06/10/15	\$832.13	\$760.61	\$0.00	\$71.52	\$0.00
	78.000	08/05/14	06/10/15	\$1,509.45	\$1,322.38	\$0.00	\$187.07	\$0.00
Security Subtotal	121.000			\$2,341.58	\$2,082.99	\$0.00	\$258.59	\$0.00
NEVRO CORP								
		CUSIP: 64157F103		Symbol: NVRO				
	9.000	11/14/14	06/04/15	\$448.26	\$229.88	\$0.00	\$218.38	\$0.00
NEW JERSEY RES CP								
		CUSIP: 646025106		Symbol: NJR				
	10.000	07/17/15	10/09/15	\$305.13	\$291.27	\$0.00	\$13.86	\$0.00
NOODLES & COMPANY CLASS A								
		CUSIP: 65540B105		Symbol: NDLS				
	9.000	06/25/14	05/20/15	\$136.99	\$317.61	\$0.00	(\$180.62)	\$0.00
	8.000	12/26/14	05/20/15	\$121.77	\$208.72	\$0.00	(\$86.95)	\$0.00
Security Subtotal	17.000			\$258.76	\$526.33	\$0.00	(\$267.57)	\$0.00
NRG YIELD INC CL C								
		CUSIP: 62942X405		Symbol: NYLD				
	18.000	10/16/14	07/17/15	\$370.44	\$389.21	\$0.00	(\$18.77)	\$0.00
	2.000	06/10/15	07/17/15	\$41.16	\$52.48	\$0.00	(\$11.32)	\$0.00
Security Subtotal	20.000			\$411.60	\$441.69	\$0.00	(\$30.09)	\$0.00
NRG YIELD INC CLASS A								
		CUSIP: 62942X306		Symbol: NYLDA				
	18.000	10/16/14	07/17/15	\$371.62	\$393.63	\$0.00	(\$22.01)	\$0.00
	3.000	06/10/15	07/17/15	\$61.94	\$76.03	\$0.00	(\$14.09)	\$0.00
Security Subtotal	21.000			\$433.56	\$469.66	\$0.00	(\$36.10)	\$0.00
NRG YIELD INC COM CL A								
		CUSIP: 62942X108		Symbol:				
	4.000	10/16/14	04/09/15	\$203.41	\$175.56	\$0.00	\$27.85	\$0.00

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Page 40 of 109

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Short Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked) (Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
NXP SEMICONDUCTORS NV CUSIP: N6596X109 Symbol: NXPI								
	10.000	09/23/14	03/03/15	\$982.91	\$711.46	\$0.00	\$271.45	\$0.00
	23.000	09/23/14	08/13/15	\$2,135.31	\$1,636.36	\$0.00	\$498.95	\$0.00
	1.000	03/09/15	08/13/15	\$92.84	\$98.82	\$0.00	(\$5.98)	\$0.00
	1.000	03/10/15	08/13/15	\$92.84	\$97.39	\$0.00	(\$4.55)	\$0.00
	1.000	06/26/15	08/13/15	\$92.84	\$102.00	\$0.00	(\$9.16)	\$0.00
	3.000	09/23/14	09/21/15	\$259.41	\$213.44	\$0.00	\$55.97	\$0.00
Security Subtotal	39.000			\$3,556.15	\$2,859.47	\$0.00	\$806.68	\$0.00
OCCIDENTAL PETROLEUM CORP DE CUSIP: 674599105 Symbol: OXY								
	35.000	06/11/14	02/04/15	\$2,815.68	\$3,381.37	\$0.00	(\$565.69)	\$0.00
OLD DOMINION FREIGHT LINE CUSIP: 679580100 Symbol: ODFL								
	1.000	01/13/15	07/14/15	\$67.42	\$73.88	\$0.00	(\$6.46)	\$0.00
PACIRA PHARMACEUTICALS INC COM CUSIP: 695127100 Symbol: PCRX								
	1.000	10/30/14	06/23/15	\$74.62	\$91.00	\$16.38 W	(\$16.38)	\$0.00
	2.000	10/30/14	07/31/15	\$130.97	\$182.00	\$0.00	(\$51.03)	\$0.00
	1.000	10/30/14	08/03/15	\$63.67	\$94.61	\$0.00	(\$30.94)	\$0.00
	1.000	11/14/14	08/03/15	\$63.67	\$87.13	\$0.00	(\$23.46)	\$0.00
	1.000	11/25/14	08/03/15	\$63.67	\$94.66	\$0.00	(\$30.99)	\$0.00
Security Subtotal	6.000			\$396.60	\$549.40	\$16.38	(\$152.80)	\$0.00
PALL CORPORATION CUSIP: 696429307 Symbol:								
	2.000	06/25/14	06/23/15	\$248.53	\$170.18	\$0.00	\$78.35	\$0.00
PATTERN ENERGY INC CLS A CUSIP: 70338P100 Symbol: PEGI								
	28.000	08/06/14	01/15/15	\$769.96	\$870.04	\$0.00	(\$100.08)	\$0.00
	10.000	08/06/14	01/15/15	\$274.97	\$310.72	\$35.75 W	(\$35.75)	\$0.00
	12.000	08/06/14	01/21/15	\$330.45	\$372.87	\$0.00	(\$42.42)	\$0.00

CONTINUED ON NEXT PAGE

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Short Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part 1 with box A checked.) (Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
PATTERN ENERGY INC CLS A (Cont.)	CUSIP: 70338P100	Symbol: PEGI						
	10 000	08/06/14	01/26/15	\$300.96	\$287.55	\$0.00	\$13.41	\$0.00
	5 000	08/06/14	01/26/15	\$150.48	\$155.36	\$0.00	(\$4.88)	\$0.00
	4 000	10/16/14	01/26/15	\$120.38	\$109.03	\$0.00	\$11.35	\$0.00
Security Subtotal	69 000			\$1,947.20	\$2,105.57	\$35.75	(\$158.37)	\$0.00
PEARSON PLC SP ADR	CUSIP: 705015105	Symbol: PSO						
	135 000	03/27/15	11/06/15	\$1,687.85	\$2,954.72	\$0.00	(\$1,266.87)	\$0.00
	59 000	08/14/15	11/06/15	\$737.66	\$1,077.35	\$0.00	(\$339.69)	\$0.00
Security Subtotal	194 000			\$2,425.51	\$4,032.07	\$0.00	(\$1,606.56)	\$0.00
PERRIGO CO LTD	CUSIP: G97822103	Symbol: PRGO						
	1 000	10/09/14	04/23/15	\$199.99	\$152.38	\$0.00	\$47.61	\$0.00
	1 000	11/20/14	04/30/15	\$182.99	\$155.65	\$0.00	\$27.34	\$0.00
	2 000	11/21/14	05/01/15	\$368.15	\$307.92	\$0.00	\$60.23	\$0.00
Security Subtotal	4 000			\$751.13	\$615.95	\$0.00	\$135.18	\$0.00
PFENEX INC	CUSIP: 717071104	Symbol: PFNX						
	12 000	05/15/15	06/04/15	\$231.11	\$167.28	\$0.00	\$63.83	\$0.00
PIEDMONT NATURAL GAS NC	CUSIP: 720186105	Symbol: PNY						
	9 000	01/15/15	10/09/15	\$369.76	\$359.75	\$0.00	\$10.01	\$0.00
PIONEER ENERGY SVCS CORP COM	CUSIP: 723664108	Symbol: PES						
	81 000	12/26/14	03/17/15	\$366.91	\$441.19	\$0.00	(\$74.28)	\$0.00
PLAINS GP HLDGS LP SHS A REP	CUSIP: 72651A108	Symbol: PAGP						
	67 000	01/15/15	08/07/15	\$1,196.09	\$1,680.43	\$0.00	(\$484.34)	\$0.00
	12 000	07/17/15	08/07/15	\$214.22	\$295.81	\$0.00	(\$82.59)	\$0.00
Security Subtotal	79 000			\$1,410.31	\$1,977.24	\$0.00	(\$566.93)	\$0.00

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Page 42 of 109

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 5 (Reported to IRS) for all option transactions

Short Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked)
(Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
PUBLIC SERVICE ENTERPRISE GP CUSIP: 744573106 Symbol: PEG								
	76 000	09/12/14	06/10/15	\$3,071.36	\$2,791.12	\$0.00	\$280.24	\$0.00
	80.000	09/15/14	06/10/15	\$3,233.01	\$2,952.70	\$0.00	\$280.31	\$0.00
Security Subtotal	156.000			\$6,304.37	\$5,743.82	\$0.00	\$560.55	\$0.00
RAYTHEON CO (NEW) CUSIP: 755111507 Symbol: RTN								
	17 000	06/10/15	09/11/15	\$1,797.59	\$1,730.13	\$0.00	\$67.46	\$0.00
REVANCE THERAPEUTICS INC CUSIP: 761330109 Symbol: RVNC								
	13.000	08/08/14	06/04/15	\$392.25	\$331.19	\$0.00	\$61.06	\$0.00
ROGERS COMM INC CL B (BC) CUSIP: 775109200 Symbol: RCI								
	35.000	06/25/14	04/13/15	\$1,199.40	\$1,400.60	\$0.00	(\$201.20)	\$0.00
	35.000	09/29/14	04/13/15	\$1,199.40	\$1,324.40	\$0.00	(\$125.00)	\$0.00
	20.000	12/29/14	04/13/15	\$685.37	\$786.33	\$0.00	(\$100.96)	\$0.00
Security Subtotal	90 000			\$3,084.17	\$3,511.33	\$0.00	(\$427.16)	\$0.00
ROLLS ROYCE HOLDINGS PLC CUSIP: 775781206 Symbol: RYCEY								
	28.000	03/27/14	02/13/15	\$1,966.10	\$2,542.96	\$0.00	(\$576.86)	\$0.00
	12 000	04/23/14	02/13/15	\$842.61	\$1,050.97	\$0.00	(\$208.36)	\$0.00
	29.000	06/25/14	02/13/15	\$2,036.32	\$2,588.40	\$0.00	(\$552.08)	\$0.00
Security Subtotal	69 000			\$4,845.03	\$6,182.33	\$0.00	(\$1,337.30)	\$0.00
ROYAL DUTCH SHELL PLC CUSIP: 780259206 Symbol: RDSA								
	66 000	02/04/15	06/10/15	\$3,911.41	\$4,355.17	\$0.00	(\$443.76)	\$0.00
ROYAL KPN NV SPONS ADR CUSIP: 780641205 Symbol: KKPNY								
	670 000	12/05/14	08/06/15	\$2,613.42	\$2,211.47	\$0.00	\$401.95	\$0.00
SAGENT PHARMACEUTICALS INC CUSIP: 786692103 Symbol: SGNT								
	4 000	06/10/15	10/12/15	\$65.26	\$95.84	\$0.00	(\$30.58)	\$0.00

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Short Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.) (Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
CUSIP: 795435106 Symbol: SLXP								
SALIX PHARM LTD (DE)	2 000	09/08/14	03/23/15	\$345 24	\$311 75	\$0 00	\$33 49	\$0 00
	1 000	09/08/14	03/23/15	\$172 62	\$155 87	\$0 00	\$16 75	\$0 00
	2 000	09/08/14	03/23/15	\$345 23	\$311 75	\$0 00	\$33 48	\$0 00
	1 000	12/16/14	03/23/15	\$172 62	\$110 00	\$0 00	\$62 62	\$0 00
	1 000	12/26/14	03/23/15	\$172 62	\$118 32	\$0 00	\$54 30	\$0 00
	2 000	02/24/15	03/23/15	\$345 23	\$312 40	\$0 00	\$32 83	\$0 00
	1 000	09/08/14	03/25/15	\$172 59	\$155 87	\$0 00	\$16 72	\$0 00
	1 000	12/22/14	03/25/15	\$172 59	\$117 42	\$0 00	\$55 17	\$0 00
	1 000	12/26/14	03/25/15	\$172 58	\$118 25	\$0 00	\$54 33	\$0 00
Security Subtotal	12 000			\$2,071.32	\$1,711.63	\$0.00	\$359.69	\$0 00
CUSIP: 80004C101 Symbol: SNDK								
SANDISK CORP	2 000	06/25/14	04/16/15	\$132 31	\$206 00	\$0 00	(\$73 59)	\$0 00
	1 000	10/08/14	04/16/15	\$66 15	\$91 21	\$0 00	(\$25 06)	\$0 00
Security Subtotal	3 000			\$198.46	\$297.21	\$0.00	(\$98 75)	\$0.00
CUSIP: 80007R105 Symbol: SCHYY								
SANDS CHINA LTD UNSPONSORED ADR	24 000	06/25/14	04/24/15	\$997 15	\$1,711 80	\$0 00	(\$714 65)	\$0 00
	5 000	06/25/14	04/29/15	\$202 72	\$356 62	\$0 00	(\$153 90)	\$0 00
	14 000	12/01/14	04/29/15	\$567 63	\$822 86	\$0 00	(\$255 23)	\$0 00
	18 000	12/16/14	04/29/15	\$729 81	\$940 53	\$0 00	(\$210 72)	\$0 00
Security Subtotal	61 000			\$2,497.31	\$3,831.81	\$0.00	(\$1 334.50)	\$0.00
CUSIP: 803866300 Symbol: SSL								
SASOL LTD SPON ADR	73 000	12/26/14	06/10/15	\$2,552 76	\$2,814 13	\$0 00	(\$261 37)	\$0 00
	81 000	02/20/15	06/10/15	\$2,832 52	\$3,121 09	\$0 00	(\$288 57)	\$0 00
Security Subtotal	154 000			\$5,385 28	\$5,935 22	\$0.00	(\$549 94)	\$0.00

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Page 44 of 109

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Short Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked)
(Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
SEMTECH CORP		CUSIP: 816850101	Symbol: SMTC					
	6.000	06/10/15	09/28/15	\$88.39	\$126.51	\$0.00	(\$38.12)	\$0.00
SHIRE PLC ADR		CUSIP: 82481R106	Symbol: SHPG					
	2.000	10/15/14	09/11/15	\$446.89	\$345.27	\$0.00	\$101.62	\$0.00
SKYWORKS SOLUTIONS INC		CUSIP: 83088M102	Symbol: SWKS					
	2.000	08/19/15	10/05/15	\$165.07	\$172.32	\$0.00	(\$7.25)	\$0.00
	2.000	08/20/15	10/05/15	\$165.06	\$172.02	\$0.00	(\$6.96)	\$0.00
Security Subtotal	4.000			\$330.13	\$344.34	\$0.00	(\$14.21)	\$0.00
SONY CORP ADR 1974 NEW		CUSIP: 835699307	Symbol: SNE					
	20.000	02/05/15	09/11/15	\$519.64	\$535.31	\$0.00	(\$15.67)	\$0.00
	56.000	02/05/15	10/05/15	\$1,730.30	\$1,766.51	\$0.00	(\$36.21)	\$0.00
Security Subtotal	86.000			\$2,249.94	\$2,301.82	\$0.00	(\$51.88)	\$0.00
SOUTH JERSEY IND INC		CUSIP: 838518108	Symbol: SJI					
	1.000	06/05/14	01/15/15	\$59.60	\$58.29	\$0.00	\$1.31	\$0.00
	6.000	06/05/14	04/01/15	\$324.39	\$349.74	\$0.00	(\$25.35)	\$0.00
	3.000	06/06/14	04/01/15	\$162.20	\$175.43	\$0.00	(\$13.23)	\$0.00
	1.000	06/06/14	04/09/15	\$53.18	\$58.48	\$0.00	(\$5.30)	\$0.00
	8.000	08/06/14	04/09/15	\$425.47	\$430.11	\$0.00	(\$4.64)	\$0.00
	11.000	08/06/14	04/16/15	\$582.74	\$591.40	\$0.00	(\$8.66)	\$0.00
Security Subtotal	30.000			\$1,607.58	\$1,663.45	\$0.00	(\$55.87)	\$0.00
SOUTHERN CO		CUSIP: 842587107	Symbol: SO					
	2.000	06/04/14	04/01/15	\$88.64	\$87.19	\$0.00	\$1.45	\$0.00
SOUTH32 LTD ADR		CUSIP: 84473L105	Symbol: SOUHY					
	0.800	05/29/15	05/29/15	\$6.64	\$7.20	\$0.00	(\$0.56)	\$0.00
	32.000	05/29/15	06/04/15	\$261.43	\$288.00	\$0.00	(\$26.57)	\$0.00
Security Subtotal	32.800			\$268.07	\$295.20	\$0.00	(\$27.13)	\$0.00

CONTINUED ON NEXT PAGE

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Short Term - Covered Securities (Continued)

(Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 9949 Part I with box A checked.)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
CUSIP: 847560109 Symbol: SE								
SPECTRA ENERGY CORP COM	51 000	01/15/15	07/17/15	\$1,558.42	\$1,693.99	\$0.00	(\$135.57)	\$0.00
CUSIP: 85223W101 Symbol:								
SQUARE 1 FNCL INC CLA	27 000	08/18/14	05/19/15	\$716.39	\$514.11	\$0.00	\$202.28	\$0.00
	9 000	10/23/14	05/19/15	\$238.80	\$167.49	\$0.00	\$71.31	\$0.00
Security Subtotal	36 000			\$955.19	\$681.60	\$0.00	\$273.59	\$0.00
CUSIP: 870123106 Symbol: SWGAY								
SWATCH GROUP AG ADR THE UNSPON	56 000	05/25/14	04/08/15	\$1,217.63	\$1 685.05	\$0.00	(\$467.42)	\$0.00
CUSIP: 871503108 Symbol: SYMC								
SYMANTEC CORP	114 000	10/24/14	06/10/15	\$2,706.62	\$2,730.36	\$0.00	(\$23.74)	\$0.00
	116 000	11/05/14	06/10/15	\$2,754.11	\$2,911.59	\$0.00	(\$157.48)	\$0.00
Security Subtotal	230 000			\$5,460.73	\$5,641.95	\$0.00	(\$181.22)	\$0.00
CUSIP: 87944W105 Symbol: TELNY								
TELENOR ASA ADS	9 000	05/04/15	09/11/15	\$531.39	\$620.08	\$0.00	(\$88.69)	\$0.00
CUSIP: 886547108 Symbol: TIF								
TIFFANY & COMPANY NEW	1 000	04/09/14	01/12/15	\$88.53	\$86.68	\$0.00	\$1.85	\$0.00
	1 000	04/15/14	01/12/15	\$88.53	\$85.38	\$0.00	\$3.15	\$0.00
	1 000	04/15/14	03/04/15	\$86.88	\$85.38	\$0.00	\$1.50	\$0.00
	2 000	06/09/14	03/05/15	\$174.20	\$201.18	\$0.00	(\$26.98)	\$0.00
	2 000	09/30/14	03/05/15	\$174.20	\$192.34	\$0.00	(\$18.14)	\$0.00
	1 000	12/08/14	03/05/15	\$87.09	\$107.67	\$0.00	(\$20.58)	\$0.00
Security Subtotal	8 000			\$699.43	\$758.63	\$0.00	(\$59.20)	\$0.00
CUSIP: 89151E109 Symbol: TOT								
TOTAL S A SPON ADR	58 000	12/26/14	06/10/15	\$2,962.19	\$3 091.65	\$0.00	(\$129.46)	\$0.00

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Page 46 of 109

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Short Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked) (Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
TOWERS WATSON & CO CL A CUSIP: 891894107 Symbol: TW								
	1.000	12/22/14	07/01/15	\$125.65	\$114.83	\$0.00	\$10.82	\$0.00
	2.000	01/06/15	07/01/15	\$251.30	\$221.53	\$0.00	\$29.77	\$0.00
Security Subtotal	3.000			\$376.95	\$336.36	\$0.00	\$40.59	\$0.00
TRANSCANADA CORP(HLDG CO) CUSIP: 89353D107 Symbol: TRP								
	18.000	10/16/14	07/23/15	\$673.22	\$848.69	\$0.00	(\$175.47)	\$0.00
TRIPADVISOR INC COM CUSIP: 896945201 Symbol: TRIP								
	1.000	06/25/14	05/06/15	\$77.00	\$108.02	\$0.00	(\$31.02)	\$0.00
	1.000	06/25/14	05/06/15	\$76.77	\$108.02	\$0.00	(\$31.25)	\$0.00
	2.000	03/27/15	05/06/15	\$153.53	\$167.00	\$0.00	(\$13.47)	\$0.00
Security Subtotal	4.000			\$307.30	\$383.04	\$0.00	(\$75.74)	\$0.00
TWENTY-FIRST CENTURY FOX CL A CUSIP: 90130A101 Symbol: FOXA								
	14.000	12/08/14	03/17/15	\$473.90	\$528.89	\$0.00	(\$54.99)	\$0.00
	27.000	12/08/14	05/11/15	\$888.91	\$1,020.01	\$0.00	(\$131.10)	\$0.00
	32.000	12/08/14	06/16/15	\$1,030.40	\$1,208.90	\$0.00	(\$178.50)	\$0.00
	16.000	12/08/14	08/07/15	\$489.59	\$604.45	\$0.00	(\$114.86)	\$0.00
	17.000	12/08/14	08/26/15	\$453.56	\$642.23	\$0.00	(\$188.67)	\$0.00
	24.000	12/08/14	08/27/15	\$668.70	\$906.67	\$0.00	(\$237.97)	\$0.00
Security Subtotal	130.000			\$4,005.06	\$4,911.15	\$0.00	(\$906.09)	\$0.00
TWITTER INC CUSIP: 90184L102 Symbol: TWTR								
	1.000	11/18/14	07/29/15	\$31.34	\$40.70	\$9.36 W	(\$9.36)	\$0.00
	2.000	11/18/14	07/29/15	\$62.68	\$81.41	\$0.00	(\$18.73)	\$0.00
	1.000	11/18/14	08/07/15	\$27.79	\$40.70	\$0.00	(\$12.91)	\$0.00
	4.000	11/19/14	08/07/15	\$111.15	\$158.73	\$0.00	(\$47.58)	\$0.00
	1.000	11/18/14	08/10/15	\$28.29	\$45.22	\$0.00	(\$16.93)	\$0.00
	1.000	12/02/14	08/10/15	\$28.30	\$38.56	\$0.00	(\$10.26)	\$0.00

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Short Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.) (Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
TWITTER INC (Cont.)								
		CUSIP: 90184L102	Symbol: TWTR					
	3.000	01/12/15	08/10/15	\$84.90	\$122.71	\$0.00	(\$37.81)	\$0.00
	2.000	02/05/15	08/10/15	\$56.60	\$96.13	\$0.00	(\$39.53)	\$0.00
Security Subtotal	15.000			\$431.05	\$624.16	\$9.36	(\$193.11)	\$0.00
VALERO ENERGY CP DELA NEW								
		CUSIP: 91913Y100	Symbol: VLO					
	44.000	03/26/15	06/10/15	\$2,570.55	\$2,795.44	\$0.00	(\$224.89)	\$0.00
	53.000	04/02/15	06/10/15	\$3,095.35	\$3,187.42	\$0.00	(\$101.07)	\$0.00
Security Subtotal	97.000			\$5,666.90	\$5,992.86	\$0.00	(\$325.95)	\$0.00
VERTEX PHARMACEUTICALS								
		CUSIP: 92532F100	Symbol: VRTX					
	1.000	06/06/14	05/14/15	\$122.80	\$73.00	\$0.00	\$49.80	\$0.00
	1.000	06/06/14	05/14/15	\$122.80	\$73.00	\$0.00	\$49.80	\$0.00
	2.000	06/06/14	05/15/15	\$252.96	\$146.00	\$0.00	\$106.96	\$0.00
Security Subtotal	4.000			\$498.56	\$292.00	\$0.00	\$206.56	\$0.00
VINCI SA ADR								
		CUSIP: 927320101	Symbol: VCISY					
	35.000	02/18/15	09/11/15	\$574.09	\$521.89	\$0.00	\$52.20	\$0.00
VIPSHOP HLDGS LTD ADS								
		CUSIP: 92763W103	Symbol: VIPS					
	6.000	11/13/14	06/04/15	\$144.19	\$146.06	\$0.00	(\$1.87)	\$0.00
	1.000	11/13/14	11/13/15	\$13.89	\$24.34	\$0.00	(\$10.45)	\$0.00
	8.000	11/21/14	11/13/15	\$111.11	\$184.12	\$0.00	(\$73.01)	\$0.00
	3.000	05/14/15	11/13/15	\$41.25	\$77.09	\$0.00	(\$35.84)	\$0.00
	3.000	05/14/15	11/13/15	\$41.67	\$77.09	\$0.00	(\$35.42)	\$0.00
	10.000	07/08/15	11/13/15	\$137.49	\$207.20	\$0.00	(\$69.71)	\$0.00
Security Subtotal	31.000			\$489.60	\$715.90	\$0.00	(\$226.30)	\$0.00
VIRGIN AMER INC								
		CUSIP: 92765X208	Symbol: VA					
	17.000	11/14/14	06/04/15	\$492.57	\$509.17	\$0.00	(\$16.60)	\$0.00

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Page 48 of 109

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Short Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked) (Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
CUSIP: 94106L109 Symbol: WM								
WASTE MGMT INC (DELA)	32.000	06/10/15	09/11/15	\$1,597.19	\$1,550.68	\$0.00	\$46.51	\$0.00
CUSIP: 94419L101 Symbol: W								
WAYFAIR INC	5.000	10/06/14	03/06/15	\$147.36	\$163.60	\$0.00	(\$16.24)	\$0.00
	8.000	10/10/14	03/06/15	\$235.78	\$200.39	\$0.00	\$35.39	\$0.00
Security Subtotal	13.000			\$383.14	\$363.99	\$0.00	\$19.15	\$0.00
CUSIP: 963320106 Symbol: WHR								
WHIRLPOOL CORP	1.000	02/20/15	07/17/15	\$168.23	\$211.97	\$0.00	(\$43.74)	\$0.00
CUSIP: 966387102 Symbol: WILL								
WHITING PETROLEUM CORP	2.000	10/31/14	02/17/15	\$78.97	\$117.06	\$38.09 W	(\$38.09)	\$0.00
	1.000	11/03/14	02/17/15	\$39.49	\$62.21	\$22.72 W	(\$22.72)	\$0.00
	1.000	11/03/14	03/17/15	\$38.16	\$62.21	\$24.05 W	(\$24.05)	\$0.00
	4.000	11/03/14	05/08/15	\$140.97	\$248.84	\$0.00	(\$107.87)	\$0.00
	1.000	11/04/14	05/08/15	\$34.61	\$56.76	\$0.00	(\$22.15)	\$0.00
	2.000	11/04/14	05/08/15	\$70.48	\$113.52	\$0.00	(\$43.04)	\$0.00
	1.000	11/04/14	07/24/15	\$22.92	\$56.58	\$0.00	(\$33.66)	\$0.00
	1.000	12/03/14	07/24/15	\$22.92	\$40.40	\$0.00	(\$17.48)	\$0.00
	3.000	12/17/14	07/24/15	\$68.77	\$92.60	\$0.00	(\$23.83)	\$0.00
	7.000	12/17/14	09/02/15	\$128.18	\$204.51	\$0.00	(\$76.33)	\$0.00
	2.000	10/31/14	09/03/15	\$36.80	\$93.24	\$0.00	(\$56.44)	\$0.00
	1.000	11/03/14	09/03/15	\$18.41	\$61.59	\$0.00	(\$43.18)	\$0.00
	1.000	11/03/14	09/03/15	\$18.40	\$50.29	\$0.00	(\$31.89)	\$0.00
	4.000	01/08/15	09/03/15	\$73.61	\$124.66	\$0.00	(\$51.05)	\$0.00
	1.000	01/22/15	09/03/15	\$18.40	\$27.57	\$0.00	(\$9.17)	\$0.00
Security Subtotal	32.000			\$811.09	\$1,412.04	\$84.86	(\$600.95)	\$0.00

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Short Term - Covered Securities
(Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
WILLIAMS CO INC	CUSIP 969457100	Symbol: WMB						
	29 000	10/16/14	06/22/15	\$1,748.71	\$1,504.61	\$0.00	\$244.10	\$0.00
	3 000	10/16/14	10/09/15	\$131.17	\$155.65	\$0.00	(\$24.48)	\$0.00
	2 000	12/26/14	10/09/15	\$87.44	\$91.43	\$0.00	(\$3.99)	\$0.00
	18 000	12/26/14	10/14/15	\$739.19	\$822.91	\$0.00	(\$83.72)	\$0.00
	8,000	01/15/15	10/14/15	\$328.53	\$333.15	\$0.00	(\$4.62)	\$0.00
Security Subtotal	60,000			\$3,035.04	\$2,907.75	\$0.00	\$127.29	\$0.00
WOLTERS KLUWER NV SPON ADR	CUSIP: 977874205	Symbol: WTKWY						
	18 000	11/03/14	09/11/15	\$582.39	\$487.16	\$0.00	\$95.23	\$0.00
Total Short Term Covered Securities				\$207,959.38	\$220,414.88	\$607.91	(\$12,455.49)	\$0.00

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Short Term - Noncovered Securities * (Consider Box 5 (Noncovered Security) as being checked and Box 3 (Basis Reported to IRS) as not being checked for this section These transactions should be reported on Form 8949 Part I with box B checked) (Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
TEEKAY LNG PARTNERS L P								
	1 000	10/16/14	08/03/15	\$26.78	\$38.59	\$0.00	(\$11.81)	\$0.00
	5 000	10/16/14	08/05/15	\$126.07	\$192.93	\$0.00	(\$66.86)	\$0.00
	9 000	06/10/15	08/05/15	\$226.94	\$299.75	\$0.00	(\$72.81)	\$0.00
Security Subtotal	15 000			\$379.79	\$531.27	\$0.00	(\$151.48)	\$0.00
WILLIAMS PARTNERS LTD								
	1 000	01/23/14	01/15/15	\$40.87	\$51.13	\$0.00	(\$10.26)	\$0.00
Total Short Term Noncovered Securities				\$4,203.89	\$5,262.11	\$0.00	(\$1,058.22)	\$0.00
Total Short Term Covered and Noncovered Securities				\$212,163.27	\$225,676.99	\$607.91	(\$13,513.71)	\$0.00

1099 Consolidated Tax Statement Tax Year 2015 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
12th Floor
New York, NY 10004

BERNARD SPAIN TTEE
JOAN & BERNARD SPAIN FOUNDATION
TR U/T/A DTD 10/06/2000
233 S 6TH STREET APT 2505
PHILADELPHIA PA 19106-3757

Identification Number: 26-4310632

Taxpayer ID Number: XX-XX8780
Account Number: 616 070335 038

Customer Service: 866-324-6088

OMB NO. 1545-0715

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Short Term - Noncovered Securities # (Consider Box 5 (Noncovered Security) as being checked and Box 3 (Basis Reported to IRS) as not being checked for this section. These transactions should be reported on Form 8949 Part 1 with box B checked)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ACCESS MIDSTREAM PARTNERS L P CUSIP: 00434L109 Symbol:								
	0.271	08/06/14	02/05/15	\$12.88	\$15.59	\$0.00	(\$2.71)	\$0.00
	0.661	12/26/14	02/05/15	\$30.47	\$30.06	\$0.00	\$0.41	\$0.00
Security Subtotal	0.932			\$43.35	\$45.65	\$0.00	(\$2.30)	\$0.00
CREDIT SUISSE GROUP CUSIP: 225401108 Symbol: CS								
	0.000	12/21/15	12/21/15	\$51.42	\$0.00	\$0.00	\$51.42	\$0.00
ENERGY TRANS EQTY LP CUSIP: 29273V100 Symbol: ETE								
	6.000	01/15/15	10/14/15	\$136.96	\$154.75	\$0.00	(\$17.79)	\$0.00
	6.000	04/01/15	10/14/15	\$136.95	\$192.00	\$0.00	(\$55.05)	\$0.00
Security Subtotal	12.000			\$273.91	\$346.75	\$0.00	(\$72.84)	\$0.00
NGL ENERGY PARTNERS LP COM UNIT CUSIP: 62913M107 Symbol: NGL								
	7.000	12/26/14	11/12/15	\$118.88	\$203.15	\$0.00	(\$84.27)	\$0.00
	20.000	12/26/14	11/19/15	\$354.43	\$580.42	\$0.00	(\$225.99)	\$0.00
	10.000	12/26/14	11/24/15	\$181.44	\$290.21	\$0.00	(\$108.77)	\$0.00
	9.000	04/01/15	11/24/15	\$163.30	\$241.21	\$0.00	(\$77.91)	\$0.00
	5.000	04/01/15	11/30/15	\$87.94	\$134.01	\$0.00	(\$46.07)	\$0.00
	12.000	07/17/15	11/30/15	\$211.05	\$327.00	\$0.00	(\$115.95)	\$0.00
	18.000	07/17/15	12/03/15	\$247.38	\$490.49	\$0.00	(\$243.11)	\$0.00
Security Subtotal	81.000			\$1,364.42	\$2,266.49	\$0.00	(\$902.07)	\$0.00
ONEOK PARTNERS LP CUSIP: 68268N103 Symbol: OKS								
	50.000	12/26/14	04/09/15	\$2,050.13	\$2,020.82	\$0.00	\$29.31	\$0.00

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Morgan Stanley

1099 Consolidated Tax Statement Tax Year 2015 Copy B For Recipient

BERNARD SPAIN TTEE
JOAN & BERNARD SPAIN FOUNDATION
TR U/T/A DTD 10/06/2000
233 S 6TH STREET APT 2505
PHILADELPHIA PA 19106-3757

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
12th Floor
New York, NY 10004
Identification Number: 26-4310632

Taxpayer ID Number: XX-XX8780
Account Number: 616 070335 038

Customer Service: 866-324-6088

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ACTIVISION BLIZZARD INC CUSIP: 00507V109 Symbol: ATVI								
	10.000	01/26/12	01/07/15	\$191.51	\$119.80	\$0.00	\$71.71	\$0.00
	3.000	06/25/13	01/07/15	\$57.45	\$40.88	\$0.00	\$16.57	\$0.00
	3.000	10/15/13	01/07/15	\$57.45	\$53.16	\$0.00	\$4.29	\$0.00
Security Subtotal	16.000			\$306.41	\$213.84	\$0.00	\$92.57	\$0.00
ADOBE SYSTEMS CUSIP: 00724F101 Symbol: ADBE								
	1.000	11/08/12	09/11/15	\$78.78	\$33.00	\$0.00	\$45.78	\$0.00
	2.000	11/08/12	09/11/15	\$157.54	\$66.00	\$0.00	\$91.54	\$0.00
	5.000	09/20/13	09/11/15	\$393.85	\$263.44	\$0.00	\$130.41	\$0.00
Security Subtotal	8.000			\$630.17	\$362.44	\$0.00	\$267.73	\$0.00
AFFILIATED MGRS GROUP INC CUSIP: 008252108 Symbol: AMG								
	4.000	10/25/13	03/18/15	\$864.57	\$806.20	\$0.00	\$58.37	\$0.00
	1.000	10/25/13	08/26/15	\$180.13	\$201.55	\$0.00	(\$21.42)	\$0.00
	1.000	03/07/14	08/26/15	\$180.13	\$195.45	\$0.00	(\$15.32)	\$0.00
Security Subtotal	6.000			\$1,224.83	\$1,203.20	\$0.00	\$21.63	\$0.00
AIRBUS GROUP CUSIP: 009279100 Symbol: EADS								
	144.000	10/03/13	02/04/15	\$1,940.84	\$2,395.31	\$0.00	(\$454.47)	\$0.00
AIRGAS INC CUSIP: 009363102 Symbol: ARG								
	1.000	11/09/11	06/25/15	\$107.23	\$70.46	\$0.00	\$36.77	\$0.00
	1.000	11/18/11	06/25/15	\$107.22	\$71.31	\$0.00	\$35.91	\$0.00
	1.000	11/18/11	11/17/15	\$113.27	\$71.31	\$0.00	\$41.96	\$0.00
Security Subtotal	3.000			\$327.72	\$213.08	\$0.00	\$114.64	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Page 53 of 109

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 9949 Part II with box D checked.) (Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
CUSIP: 015351109 Symbol: ALXN								
ALEXION PHARM INC	3.000	07/15/13	03/02/15	\$545.45	\$328.96	\$0.00	\$216.49	\$0.00
	1.000	10/25/13	03/02/15	\$181.82	\$121.64	\$0.00	\$60.18	\$0.00
	1.000	10/25/13	03/18/15	\$188.19	\$121.64	\$0.00	\$66.55	\$0.00
	7.000	10/29/13	03/18/15	\$1,317.31	\$856.20	\$0.00	\$461.11	\$0.00
	1.000	11/20/13	03/18/15	\$188.19	\$118.78	\$0.00	\$69.41	\$0.00
	2.000	11/20/13	07/13/15	\$394.82	\$237.56	\$0.00	\$157.26	\$0.00
	1.000	01/09/14	07/13/15	\$197.41	\$133.31	\$0.00	\$64.10	\$0.00
	2.000	01/31/14	07/30/15	\$382.58	\$318.23	\$0.00	\$64.35	\$0.00
	2.000	01/31/14	08/19/15	\$368.86	\$318.23	\$0.00	\$50.63	\$0.00
	6.000	01/31/14	09/17/15	\$999.73	\$954.68	\$0.00	\$45.05	\$0.00
	1.000	01/31/14	09/21/15	\$160.03	\$159.11	\$0.00	\$0.92	\$0.00
	1.000	02/10/14	09/21/15	\$160.03	\$168.78	\$0.00	(\$8.75)	\$0.00
	1.000	02/10/14	09/28/15	\$144.65	\$168.78	\$0.00	(\$24.13)	\$0.00
	1.000	02/12/14	09/28/15	\$144.64	\$175.28	\$0.00	(\$30.64)	\$0.00
	2.000	02/12/14	09/28/15	\$286.78	\$350.56	\$0.00	(\$63.78)	\$0.00
	2.000	05/05/14	10/08/15	\$325.02	\$318.75	\$0.00	\$6.27	\$0.00
	2.000	05/05/14	10/22/15	\$335.11	\$318.75	\$0.00	\$16.36	\$0.00
Security Subtotal	36.000			\$6,320.62	\$5,169.24	\$0.00	\$1,151.38	\$0.00
CUSIP: 018581108 Symbol: ADS								
ALLIANCE DATA SYSTEMS CORP	2.000	05/28/14	08/17/15	\$543.42	\$512.58	\$0.00	\$30.84	\$0.00
	1.000	05/28/14	10/08/15	\$277.13	\$256.29	\$0.00	\$20.84	\$0.00
Security Subtotal	3.000			\$820.55	\$768.87	\$0.00	\$51.68	\$0.00
CUSIP: 02208R106 Symbol: AIMC								
ALTRA HLDGS INC	4.000	08/02/12	10/26/15	\$101.65	\$65.55	\$0.00	\$36.10	\$0.00
CUSIP: 025537101 Symbol: AEP								
AMERICAN ELECTRIC POWER CO	17.000	01/26/12	06/10/15	\$919.41	\$698.53	\$0.00	\$220.88	\$0.00
	3.000	02/28/12	06/10/15	\$162.25	\$114.03	\$0.00	\$48.22	\$0.00
	74.000	02/28/12	06/10/15	\$4,002.12	\$2,812.87	\$0.00	\$1,189.25	\$0.00
	12.000	06/27/13	06/10/15	\$648.99	\$534.12	\$0.00	\$114.87	\$0.00
Security Subtotal	106.000			\$5,732.77	\$4,159.55	\$0.00	\$1,573.22	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Page 54 of 109

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.) (Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
AMERIPRISE FINCL INC		CUSIP: 03076C106	Symbol: AMP					
	2.000	02/28/12	06/10/15	\$259.81	\$112.15	\$0.00	\$147.66	\$0.00
	47.000	02/28/12	06/10/15	\$6,105.47	\$2,635.42	\$0.00	\$3,470.05	\$0.00
Security Subtotal	49.000			\$6,365.28	\$2,747.57	\$0.00	\$3,617.71	\$0.00
AMERISOURCEBERGEN CORP		CUSIP: 03073E105	Symbol: ABC					
	7.000	06/27/13	07/23/15	\$791.17	\$387.80	\$0.00	\$403.37	\$0.00
AMERN AXLE & MFG HLDGS INC		CUSIP: 024061103	Symbol: AXL					
	16.000	10/01/13	05/15/15	\$398.39	\$315.54	\$0.00	\$82.85	\$0.00
	7.000	10/01/13	07/30/15	\$147.36	\$138.05	\$0.00	\$9.31	\$0.00
	12.000	11/05/13	07/30/15	\$252.62	\$217.58	\$0.00	\$35.04	\$0.00
	11.000	06/25/14	07/30/15	\$231.57	\$206.03	\$0.00	\$25.54	\$0.00
Security Subtotal	46.000			\$1,029.94	\$877.20	\$0.00	\$152.74	\$0.00
AMPHENOL CORP NEW CL A		CUSIP: 032095101	Symbol: APH					
	1.000	06/10/11	07/17/15	\$54.04	\$25.81	\$0.00	\$28.23	\$0.00
	6.000	06/10/11	07/17/15	\$324.28	\$154.83	\$0.00	\$169.45	\$0.00
	4.000	01/26/12	07/17/15	\$216.19	\$108.86	\$0.00	\$107.33	\$0.00
Security Subtotal	11.000			\$594.51	\$289.50	\$0.00	\$305.01	\$0.00
ANALOG DEVICES INC		CUSIP: 032654105	Symbol: ADI					
	3.000	07/24/13	09/28/15	\$162.56	\$145.70	\$0.00	\$16.86	\$0.00
ANHEUSER BUSCH INBEV SA SPON		CUSIP: 03524A108	Symbol: BUD					
	11.000	09/04/12	09/11/15	\$1,177.90	\$943.91	\$0.00	\$233.99	\$0.00
ANTHEM INC COM		CUSIP: 036752103	Symbol: ANTM					
	17.000	03/07/14	06/04/15	\$2,746.29	\$1,562.86	\$0.00	\$1,183.43	\$0.00
	6.000	03/07/14	06/10/15	\$986.76	\$551.60	\$0.00	\$435.16	\$0.00
	31.000	03/20/14	06/10/15	\$5,098.29	\$3,097.44	\$0.00	\$2,000.85	\$0.00
Security Subtotal	54.000			\$8,831.34	\$5,211.90	\$0.00	\$3,619.44	\$0.00
APPLE INC		CUSIP: 037833100	Symbol: AAPL					
	20.000	06/27/13	07/22/15	\$2,482.91	\$1,127.34	\$0.00	\$1,355.57	\$0.00

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.) (Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
APPLE INC (Cont)								
		CUSIP: 037833100	Symbol: AAPL					
	9.000	06/27/13	09/11/15	\$1,017.39	\$507.30	\$0.00	\$510.09	\$0.00
	7.000	06/27/13	10/15/15	\$779.66	\$394.57	\$0.00	\$385.09	\$0.00
	5.000	06/27/13	10/21/15	\$574.73	\$281.84	\$0.00	\$292.89	\$0.00
	6.000	06/27/13	10/26/15	\$692.49	\$338.20	\$0.00	\$354.29	\$0.00
Security Subtotal	47.000			\$5,547.18	\$2,649.25	\$0.00	\$2,897.93	\$0.00
APPLIED MATERIALS INC								
		CUSIP: 038222105	Symbol: AMAT					
	7.000	07/10/14	08/19/15	\$113.88	\$159.77	\$0.00	(\$45.89)	\$0.00
	1.000	07/10/14	10/28/15	\$16.54	\$22.82	\$0.00	(\$6.28)	\$0.00
	8.000	07/23/14	10/28/15	\$132.31	\$176.38	\$0.00	(\$44.07)	\$0.00
	2.000	10/10/14	10/28/15	\$35.08	\$39.17	\$0.00	(\$6.09)	\$0.00
	1.000	10/22/14	10/28/15	\$16.54	\$20.50	\$0.00	(\$3.96)	\$0.00
	6.000	10/22/14	10/29/15	\$98.62	\$123.00	\$0.00	(\$24.32)	\$0.00
Security Subtotal	25.000			\$411.03	\$541.64	\$0.00	(\$130.61)	\$0.00
ARM HOLDINGS PLC ADS								
		CUSIP: 042068106	Symbol: ARMH					
	10.000	06/27/13	01/15/15	\$453.04	\$369.45	\$0.00	\$83.59	\$0.00
	4.000	10/23/13	01/15/15	\$181.22	\$186.23	\$0.00	(\$5.01)	\$0.00
	12.000	10/23/13	02/11/15	\$597.47	\$558.70	\$0.00	\$38.77	\$0.00
Security Subtotal	26.000			\$1,231.73	\$1,114.38	\$0.00	\$117.35	\$0.00
ARTISAN PARTNERS ASSET MGMT								
		CUSIP: 04316A108	Symbol: APAM					
	1.000	03/07/14	09/28/15	\$35.32	\$61.59	\$0.00	(\$26.27)	\$0.00
	1.000	03/07/14	10/09/15	\$36.17	\$61.59	\$0.00	(\$25.42)	\$0.00
	3.000	03/13/14	10/09/15	\$108.51	\$184.28	\$0.00	(\$75.77)	\$0.00
	3.000	05/02/14	10/28/15	\$107.21	\$169.23	\$0.00	(\$62.02)	\$0.00
	1.000	06/25/14	10/28/15	\$35.74	\$54.61	\$0.00	(\$18.87)	\$0.00
	1.000	09/17/14	10/28/15	\$35.74	\$50.48	\$0.00	(\$14.74)	\$0.00
Security Subtotal	10.000			\$358.69	\$581.78	\$0.00	(\$223.09)	\$0.00
ASSTEAD GROUP PLC ADR								
		CUSIP: 045055100	Symbol: ASHTY					
	9.000	07/09/14	09/11/15	\$561.58	\$565.09	\$0.00	(\$3.51)	\$0.00

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part II with box D checked)
(Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ASML HOLDING NV NY REG NEW								
	15,000	06/27/13	04/17/15	\$1,410.33	\$1,171.95	\$0.00	\$238.38	\$0.00
	15,000	06/27/13	09/11/15	\$1,372.47	\$1,171.95	\$0.00	\$200.52	\$0.00
	8,000	06/27/13	09/21/15	\$711.00	\$625.04	\$0.00	\$85.96	\$0.00
Security Subtotal	38,000			\$3,493.80	\$2,968.94	\$0.00	\$524.86	\$0.00
ASSA ABLOY AB UNSP ADR								
	56,000	02/20/13	09/11/15	\$549.06	\$373.52	\$0.00	\$175.54	\$0.00
ASTRONICS B NON TRANS								
	0.150	04/01/13	10/22/15	\$5.74	\$1.84	\$0.00	\$3.90	\$0.00
	3,000	04/01/13	10/26/15	\$111.53	\$36.70	\$0.00	\$74.83	\$0.00
Security Subtotal	3,150			\$117.27	\$38.54	\$0.00	\$78.73	\$0.00
AT&T INC								
	65,000	03/20/14	06/10/15	\$2,254.98	\$2,215.09	\$0.00	\$39.89	\$0.00
	29,000	02/28/12	09/11/15	\$945.67	\$884.08	\$0.00	\$61.59	\$0.00
Security Subtotal	94,000			\$3,200.65	\$3,099.17	\$0.00	\$101.48	\$0.00
ATLANTIA SPA UNSPONS ADR								
	123,000	02/20/13	05/11/15	\$1,617.44	\$1,108.87	\$0.00	\$508.57	\$0.00
	79,000	02/20/13	07/09/15	\$948.42	\$712.20	\$0.00	\$236.22	\$0.00
	141,000	06/25/14	07/09/15	\$1,592.74	\$1,973.28	\$0.00	(\$280.54)	\$0.00
Security Subtotal	343,000			\$4,258.60	\$3,794.35	\$0.00	\$464.25	\$0.00
ATMOS ENERGY CP								
	12,000	06/24/13	01/15/15	\$686.58	\$462.21	\$0.00	\$224.37	\$0.00
	9,000	06/24/13	09/11/15	\$481.42	\$346.66	\$0.00	\$134.76	\$0.00
	9,000	06/24/13	10/14/15	\$530.32	\$346.66	\$0.00	\$183.66	\$0.00
	2,000	09/11/13	10/22/15	\$120.99	\$79.84	\$0.00	\$41.15	\$0.00
	7,000	06/04/14	10/22/15	\$423.48	\$359.79	\$0.00	\$63.69	\$0.00
Security Subtotal	39,000			\$2,242.79	\$1,595.16	\$0.00	\$647.63	\$0.00

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part II with box D checked) (Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
BBCN BANCORP INC COM	20.000	01/13/14	05/19/15	\$290.90	\$318.67	\$0.00	(\$27.77)	\$0.00
Symbol: BBCN								
BERKLEY W R CORP	2.000	06/10/11	05/14/15	\$100.02	\$64.02	\$0.00	\$36.00	\$0.00
	1.000	04/27/12	05/14/15	\$50.01	\$37.60	\$0.00	\$12.41	\$0.00
	1.000	04/27/12	06/05/15	\$49.34	\$37.60	\$0.00	\$11.74	\$0.00
	3.000	05/03/12	06/05/15	\$115.53	\$115.53	\$0.00	\$32.49	\$0.00
	2.000	11/14/12	06/25/15	\$105.04	\$77.10	\$0.00	\$27.94	\$0.00
	1.000	07/24/13	06/25/15	\$52.52	\$42.59	\$0.00	\$9.93	\$0.00
	3.000	06/25/14	08/04/15	\$168.34	\$136.82	\$0.00	\$31.52	\$0.00
	1.000	06/25/14	08/06/15	\$55.99	\$45.61	\$0.00	\$10.38	\$0.00
Security Subtotal	14.000			\$729.28	\$556.87	\$0.00	\$172.41	\$0.00
CUSIP: 073295107 Symbol: BBCN								
BG GROUP PLC	113.000	02/20/13	04/09/15	\$1,932.83	\$1,994.45	\$0.00	(\$61.62)	\$0.00
CUSIP: 055434203 Symbol: BRGY								
BIAGEN INC COM	7.000	06/27/13	01/27/15	\$2,514.24	\$1,462.16	\$0.00	\$1,052.08	\$0.00
	1.000	06/27/13	04/17/15	\$417.28	\$208.88	\$0.00	\$208.40	\$0.00
	1.000	06/27/13	04/21/15	\$424.99	\$208.88	\$0.00	\$216.11	\$0.00
	6.000	06/27/13	04/24/15	\$2,436.87	\$1,253.28	\$0.00	\$1,183.59	\$0.00
	1.000	06/27/13	05/19/15	\$396.12	\$208.88	\$0.00	\$187.24	\$0.00
	1.000	06/27/13	07/23/15	\$389.24	\$208.88	\$0.00	\$180.36	\$0.00
Security Subtotal	17.000			\$6,578.74	\$3,550.96	\$0.00	\$3,027.78	\$0.00
CUSIP: 09062X103 Symbol: BIIIB								
BLACKROCK INC	2.000	06/27/13	09/09/15	\$606.64	\$527.88	\$0.00	\$78.76	\$0.00
	2.000	06/27/13	09/24/15	\$599.38	\$527.88	\$0.00	\$71.50	\$0.00
Security Subtotal	4.000			\$1,206.02	\$1,055.76	\$0.00	\$150.26	\$0.00
CUSIP: 09247X101 Symbol: BLK								
BNP PARIBAS SP ADR REPSTG	35.000	02/20/13	03/04/15	\$1,002.59	\$1,031.06	\$0.00	(\$28.47)	\$0.00
	47.000	02/20/13	05/15/15	\$1,483.89	\$1,384.56	\$0.00	\$99.33	\$0.00
	16.000	02/21/13	05/15/15	\$505.15	\$452.69	\$0.00	\$52.46	\$0.00
Security Subtotal	98.000			\$2,991.63	\$2,868.31	\$0.00	\$123.32	\$0.00
CUSIP: 05565A202 Symbol: BNPOY								

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions.

Long Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.) (Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
BNP PARIBAS SP ADR REPSTG(Cont)								
		CUSIP: 05555A202	Symbol: BNPOY					
	5 000	04/05/13	05/15/15	\$157 86	\$129 48	\$0 00	\$28 38	\$0 00
	1 000	04/05/13	05/21/15	\$31 26	\$25 90	\$0 00	\$5 36	\$0 00
Security Subtotal	104 000			\$3,180 75	\$3,023 69	\$0 00	\$157 06	\$0 00
BRITISH AMER TOB SPON ADR								
	6 000	CUSIP: 110448107	Symbol: BTI					
		02/20/13	09/11/15	\$633 93	\$635 66	\$0 00	(\$1 73)	\$0 00
BROADSOFT INC COM								
	18 000	CUSIP: 11133B409	Symbol: BSFT					
		03/25/13	06/04/15	\$678 68	\$476 72	\$0 00	\$201 96	\$0 00
BURBERRY GROUP PLC SPONS ADR								
	6 000	CUSIP: 12082W204	Symbol: BURBY					
		01/24/14	04/01/15	\$153 11	\$147 78	\$0 00	\$5 33	\$0 00
	1 000	01/28/14	04/01/15	\$25 52	\$24 55	\$0 00	\$0 97	\$0 00
	5 000	01/28/14	04/07/15	\$125 74	\$122 75	\$0 00	\$2 99	\$0 00
Security Subtotal	12 000			\$304 37	\$295 08	\$0 00	\$9 29	\$0 00
C&J ENERGY SVCS LTD SHS								
	11 000	CUSIP: G3164Q101	Symbol: CJES					
		05/24/12	09/18/15	\$47 98	\$204 22	\$0 00	(\$156 24)	\$0 00
	7 000	11/29/12	09/18/15	\$30 53	\$137 30	\$0 00	(\$106 77)	\$0 00
	6 000	06/27/13	09/18/15	\$26 17	\$113 94	\$0 00	(\$87 77)	\$0 00
Security Subtotal	24 000			\$104 68	\$455 46	\$0 00	(\$350 78)	\$0 00
CA INCORPORATED								
	23 000	CUSIP: 12673P105	Symbol: CA					
		05/08/12	09/11/15	\$635 70	\$603 54	\$0 00	\$32 16	\$0 00
CABOT OIL & GAS CORP A								
	23 000	CUSIP: 127097103	Symbol: COG					
		06/27/13	03/16/15	\$646 97	\$815 81	\$0 00	(\$168 84)	\$0 00
	17 000	06/27/13	04/06/15	\$514 85	\$602 99	\$0 00	(\$88 14)	\$0 00
	17 000	06/27/13	04/17/15	\$559 39	\$602 99	\$0 00	(\$43 60)	\$0 00
	18 000	06/27/13	05/06/15	\$613 67	\$638 46	\$0 00	(\$24 79)	\$0 00
	6 000	06/27/13	05/14/15	\$212 86	\$212 82	\$0 00	\$0 04	\$0 00
	5 000	06/27/13	05/27/15	\$172 57	\$177 35	\$0 00	(\$4 78)	\$0 00
	5 000	07/30/13	05/27/15	\$172 57	\$191 39	\$0 00	(\$18 82)	\$0 00
	3 000	07/30/13	06/04/15	\$102 75	\$114 83	\$0 00	(\$12 08)	\$0 00

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.) (Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
CABOT OIL & GAS CORP A (Cont.) CUSIP: 127097103 Symbol: COG								
	6.000	08/19/13	06/04/15	\$205.50	\$225.34	\$0.00	(\$19.84)	\$0.00
	18.000	08/29/13	06/04/15	\$616.51	\$711.09	\$0.00	(\$94.58)	\$0.00
Security Subtotal	118.000			\$3,817.64	\$4,293.07	\$0.00	(\$475.43)	\$0.00
CAMERON INTNL CORP CUSIP: 133428105 Symbol: CAM								
	2.000	01/30/13	01/09/15	\$92.54	\$122.71	\$0.00	(\$30.17)	\$0.00
	3.000	01/30/13	01/13/15	\$129.39	\$184.06	\$0.00	(\$54.67)	\$0.00
	4.000	01/30/13	01/30/15	\$173.18	\$245.42	\$0.00	(\$72.24)	\$0.00
	2.000	01/30/13	03/11/15	\$88.79	\$122.71	\$0.00	(\$33.92)	\$0.00
Security Subtotal	11.000			\$483.90	\$674.90	\$0.00	(\$191.00)	\$0.00
CATAMARAN CORP COM CUSIP: 148887102 Symbol:								
	1.000	06/27/13	01/05/15	\$50.94	\$49.55	\$0.00	\$1.39	\$0.00
	2.000	06/27/13	04/08/15	\$118.40	\$99.10	\$0.00	\$19.30	\$0.00
	6.000	06/27/13	04/22/15	\$356.58	\$297.30	\$0.00	\$59.28	\$0.00
	3.000	06/27/13	04/23/15	\$178.46	\$148.65	\$0.00	\$29.81	\$0.00
	2.000	08/21/13	05/06/15	\$119.13	\$110.17	\$0.00	\$8.96	\$0.00
	1.000	01/13/14	05/06/15	\$59.57	\$50.60	\$0.00	\$8.97	\$0.00
	1.000	01/13/14	05/06/15	\$59.52	\$50.60	\$0.00	\$8.92	\$0.00
	2.000	04/22/14	05/06/15	\$119.03	\$78.72	\$0.00	\$40.31	\$0.00
	2.000	04/22/14	05/29/15	\$120.07	\$78.68	\$0.00	\$41.39	\$0.00
	2.000	04/22/14	05/29/15	\$120.07	\$78.72	\$0.00	\$41.35	\$0.00
Security Subtotal	22.000			\$1,301.77	\$1,042.09	\$0.00	\$259.68	\$0.00
CELGENE CORP CUSIP: 151020104 Symbol: CELG								
	12.000	06/27/13	09/11/15	\$1,474.65	\$708.66	\$0.00	\$765.99	\$0.00
CELDEX THERAPEUTICS INC NEW CUSIP: 15117B103 Symbol: CLDX								
	17.000	05/07/13	06/04/15	\$443.35	\$215.46	\$0.00	\$227.89	\$0.00
CHECK POINT SOFTWARE TECH LTD CUSIP: M22465104 Symbol: CHKP								
	2.000	06/10/11	06/08/15	\$166.72	\$107.86	\$0.00	\$58.86	\$0.00
	2.000	06/10/11	06/26/15	\$160.67	\$107.86	\$0.00	\$52.81	\$0.00
	1.000	06/10/11	06/29/15	\$79.08	\$53.93	\$0.00	\$25.15	\$0.00

CONTINUED ON NEXT PAGE

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part II with box D checked)
(Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
CHECK POINT SOFTWARE TECH LTD(Cont) CUSIP: M22465104 Symbol: CHKP								
	1 000	06/30/11	06/29/15	\$79.07	\$57.78	\$0.00	\$21.29	\$0.00
	1 000	10/24/12	07/13/15	\$80.06	\$43.76	\$0.00	\$36.30	\$0.00
	1 000	11/19/12	07/13/15	\$80.05	\$44.81	\$0.00	\$35.24	\$0.00
	1 000	11/19/12	09/21/15	\$80.52	\$44.81	\$0.00	\$35.71	\$0.00
	1 000	01/23/13	09/21/15	\$80.52	\$48.64	\$0.00	\$31.88	\$0.00
Security Subtotal	10,000			\$806.69	\$509.45	\$0.00	\$297.24	\$0.00
CHINA CONSTRUCTION BANK CORP CUSIP: 168919108 Symbol: CICHY								
	36 000	04/12/12	09/11/15	\$501.87	\$573.11	\$71.24 W	(\$71.24)	\$0.00
	4 000	05/08/12	09/11/15	\$55.76	\$59.83	\$4.07 W	(\$4.07)	\$0.00
Security Subtotal	40,000			\$557.63	\$632.94	\$75.31	(\$75.31)	\$0.00
CISCO SYS INC CUSIP: 17275R102 Symbol: CSCO								
	22 000	05/25/12	09/11/15	\$570.06	\$360.80	\$0.00	\$209.26	\$0.00
CITIGROUP INC NEW CUSIP: 172967424 Symbol C								
	52 000	10/18/13	06/10/15	\$2,968.77	\$2,659.46	\$0.00	\$309.31	\$0.00
	70 000	10/24/13	06/10/15	\$3,996.41	\$3,498.57	\$0.00	\$497.84	\$0.00
Security Subtotal	122,000			\$6,965.18	\$6,158.03	\$0.00	\$807.15	\$0.00
COGNEX CORP CUSIP: 192422103 Symbol: CGNX								
	13 000	09/25/12	05/19/15	\$633.76	\$227.64	\$0.00	\$406.12	\$0.00
	5 000	09/25/12	10/19/15	\$175.09	\$87.55	\$0.00	\$87.54	\$0.00
Security Subtotal	18,000			\$808.85	\$315.19	\$0.00	\$493.66	\$0.00
COHERENT INC CUSIP: 192479103 Symbol: COHR								
	2 000	06/10/11	10/12/15	\$116.11	\$100.96	\$0.00	\$15.15	\$0.00
	5 000	06/10/11	11/20/15	\$333.27	\$252.40	\$0.00	\$80.87	\$0.00
	3 000	01/31/12	11/20/15	\$199.96	\$167.61	\$0.00	\$32.35	\$0.00
	3 000	06/25/14	11/20/15	\$199.97	\$197.67	\$0.00	\$2.30	\$0.00
Security Subtotal	13,000			\$849.31	\$718.64	\$0.00	\$130.67	\$0.00
COLGATE PALMOLIVE CO CUSIP: 194162103 Symbol: CL								
	12,000	06/27/13	09/08/15	\$760.29	\$689.16	\$0.00	\$71.13	\$0.00

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Page 62 of 109

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked) (Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
COLGATE PALMOLIVE CO(Cont.) CUSIP: 194162103 Symbol: CL								
	7.000	06/27/13	11/20/15	\$464.45	\$402.01	\$0.00	\$62.44	\$0.00
	10.000	06/27/13	11/25/15	\$668.25	\$574.30	\$0.00	\$93.95	\$0.00
	7.000	07/01/13	11/25/15	\$467.78	\$408.14	\$0.00	\$59.64	\$0.00
Security Subtotal	36.000			\$2,360.77	\$2,073.61	\$0.00	\$287.16	\$0.00
COMCAST CORP (NEW) CLASS A CUSIP: 20030N101 Symbol: CMCSA								
	17.000	06/27/13	09/11/15	\$954.70	\$687.77	\$0.00	\$266.93	\$0.00
CONCHO RES INC CUSIP: 20605P101 Symbol: CXO								
	1.000	07/12/11	06/05/15	\$118.85	\$91.72	\$0.00	\$27.13	\$0.00
	1.000	07/29/11	06/22/15	\$124.74	\$94.62	\$0.00	\$30.12	\$0.00
	1.000	01/26/12	06/22/15	\$124.74	\$107.50	\$0.00	\$17.24	\$0.00
	1.000	01/26/12	06/26/15	\$114.37	\$107.50	\$0.00	\$6.87	\$0.00
	1.000	02/07/12	07/08/15	\$108.34	\$113.16	\$0.00	(\$4.82)	\$0.00
	2.000	01/03/13	11/03/15	\$237.99	\$171.60	\$0.00	\$66.39	\$0.00
Security Subtotal	7.000			\$829.03	\$686.10	\$0.00	\$142.93	\$0.00
CONOCOPHILLIPS CUSIP: 20825C104 Symbol: COP								
	13.000	02/28/12	02/04/15	\$857.35	\$770.73	\$0.00	\$86.62	\$0.00
	3.000	05/14/12	02/04/15	\$197.85	\$158.10	\$0.00	\$39.75	\$0.00
	28.000	05/14/12	02/04/15	\$1,846.60	\$1,475.60	\$0.00	\$371.00	\$0.00
	32.000	06/21/12	02/04/15	\$2,110.39	\$1,735.22	\$0.00	\$375.17	\$0.00
	1.000	03/22/12	03/23/15	\$63.47	\$74.12	\$0.00	(\$10.65)	\$0.00
	41.000	02/20/13	03/23/15	\$2,602.18	\$2,367.70	\$0.00	\$234.48	\$0.00
	18.000	02/20/13	04/02/15	\$1,137.13	\$1,039.48	\$0.00	\$97.65	\$0.00
Security Subtotal	136.000			\$8,814.97	\$7,620.95	\$0.00	\$1,194.02	\$0.00
COSTCO WHOLESALE CORP NEW CUSIP: 22160K105 Symbol: COST								
	6.000	06/27/13	05/27/15	\$872.21	\$669.36	\$0.00	\$202.85	\$0.00
	3.000	06/27/13	09/11/15	\$420.05	\$334.68	\$0.00	\$85.37	\$0.00
Security Subtotal	9.000			\$1,292.26	\$1,004.04	\$0.00	\$288.22	\$0.00
CROWN CASTLE INTL CORP NEW COM CUSIP: 22822V101 Symbol: CCI								
	2.000	06/12/12	01/05/15	\$158.12	\$112.97	\$0.00	\$45.15	\$0.00

CONTINUED ON NEXT PAGE

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS Lox 6 (Reported to IRS) for all option transactions

Long Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.) (Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
CROWN CASTLE INTL CORP NEW COM(Cont.) CUSIP: 22822V101 Symbol: CCI								
	2.000	06/12/12	01/06/15	\$159.03	\$112.97	\$0.00	\$45.06	\$0.00
	1.000	06/12/12	08/26/15	\$80.46	\$56.48	\$0.00	\$23.98	\$0.00
Security Subtotal	5.000			\$397.61	\$282.42	\$0.00	\$115.19	\$0.00
CVS HEALTH CORP COM CUSIP: 126650100 Symbol: CVS								
	17.000	06/27/13	09/11/15	\$1,712.55	\$973.42	\$0.00	\$739.23	\$0.00
DAIKIN INDS LTD UNSPON ADR CUSIP: 23381B106 Symbol: DKILY								
	9.000	06/14/13	02/02/15	\$1,256.54	\$818.65	\$0.00	\$437.89	\$0.00
	7.000	06/14/13	02/06/15	\$953.62	\$636.72	\$0.00	\$316.90	\$0.00
	1.000	06/14/13	09/11/15	\$113.78	\$90.96	\$0.00	\$22.82	\$0.00
	5.000	07/01/13	09/11/15	\$568.90	\$416.54	\$0.00	\$152.36	\$0.00
	8.000	06/25/14	12/10/15	\$1,137.07	\$1,031.45	\$0.00	\$105.62	\$0.00
	6.000	06/25/14	12/15/15	\$612.90	\$773.58	\$0.00	\$39.32	\$0.00
	13.000	08/29/14	12/15/15	\$1,761.27	\$1,803.32	\$0.00	(\$42.05)	\$0.00
Security Subtotal	49.000			\$6,604.08	\$5,571.22	\$0.00	\$1,032.86	\$0.00
DELPHI AUTOMOTIVE PLC CUSIP: G27823106 Symbol: DLPH								
	11.000	07/23/13	09/21/15	\$848.43	\$605.44	\$0.00	\$242.99	\$0.00
DELTA AIR LINES INC NEW CUSIP: 247361702 Symbol: DAL								
	28.000	09/27/13	09/11/15	\$1,307.37	\$665.53	\$0.00	\$541.84	\$0.00
DEXCOM INC CUSIP: 252131107 Symbol: DXCM								
	30.000	07/26/12	06/04/15	\$2,140.76	\$344.94	\$0.00	\$1,795.82	\$0.00
	2.000	07/26/12	08/04/15	\$170.84	\$23.00	\$0.00	\$147.84	\$0.00
	7.000	07/26/12	09/18/15	\$704.00	\$80.49	\$0.00	\$623.51	\$0.00
Security Subtotal	39.000			\$3,015.60	\$448.43	\$0.00	\$2,567.17	\$0.00
DOLLAR TREE INC CUSIP: 256746108 Symbol: DLTR								
	1.000	06/10/11	01/28/15	\$70.98	\$31.01	\$0.00	\$39.97	\$0.00
	3.000	06/10/11	01/29/15	\$210.14	\$93.03	\$0.00	\$117.11	\$0.00
	2.000	06/10/11	04/24/15	\$162.33	\$62.02	\$0.00	\$100.31	\$0.00

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Page 64 of 109

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked) (Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
CUSIP: 256746108 Symbol: DLTR								
	4.000	06/10/11	11/10/15	\$270.85	\$124.04	\$0.00	\$146.81	\$0.00
Security Subtotal	10.000			\$714.30	\$310.10	\$0.00	\$404.20	\$0.00
CUSIP: 25746U109 Symbol: D								
	1.000	02/20/13	01/15/15	\$76.39	\$56.00	\$0.00	\$20.39	\$0.00
	4.000	02/20/13	10/09/15	\$283.74	\$223.98	\$0.00	\$59.76	\$0.00
Security Subtotal	5.000			\$360.13	\$279.98	\$0.00	\$80.15	\$0.00
CUSIP: 263534109 Symbol: DD								
	14.000	03/09/12	01/02/15	\$1,033.05	\$721.16	\$0.00	\$311.89	\$0.00
	14.000	02/01/13	01/02/15	\$1,033.05	\$671.94	\$0.00	\$361.11	\$0.00
	9.000	02/01/13	01/02/15	\$664.10	\$431.96	\$0.00	\$232.14	\$0.00
	11.000	02/20/13	01/02/15	\$811.68	\$510.60	\$0.00	\$301.08	\$0.00
	38.000	02/20/13	02/05/15	\$2,880.38	\$1,763.90	\$0.00	\$1,116.48	\$0.00
Security Subtotal	86.000			\$6,422.26	\$4,099.56	\$0.00	\$2,322.70	\$0.00
CUSIP: 277432100 Symbol: EMIN								
	1.000	01/15/14	03/12/15	\$70.61	\$79.90	\$0.00	(\$9.29)	\$0.00
	1.000	01/15/14	03/13/15	\$69.74	\$79.90	\$0.00	(\$10.16)	\$0.00
	1.000	01/21/14	03/13/15	\$69.74	\$79.81	\$0.00	(\$10.07)	\$0.00
	1.000	01/21/14	03/23/15	\$70.04	\$79.81	\$0.00	(\$9.77)	\$0.00
	1.000	03/12/14	03/23/15	\$70.03	\$85.35	\$0.00	(\$15.32)	\$0.00
Security Subtotal	5.000			\$350.16	\$404.77	\$0.00	(\$54.61)	\$0.00
CUSIP: 278865100 Symbol: ECL								
	5.000	07/26/13	03/16/15	\$573.95	\$461.73	\$0.00	\$112.22	\$0.00
CUSIP: 285512109 Symbol: EA								
	4.000	09/18/13	04/01/15	\$229.11	\$107.38	\$0.00	\$121.73	\$0.00
	1.000	11/01/13	04/01/15	\$57.28	\$25.92	\$0.00	\$31.36	\$0.00
	3.000	11/19/13	11/02/15	\$215.98	\$69.89	\$0.00	\$146.09	\$0.00
	2.000	12/06/13	12/29/15	\$139.99	\$44.03	\$0.00	\$95.96	\$0.00
Security Subtotal	10.000			\$642.36	\$247.22	\$0.00	\$395.14	\$0.00

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 3 (Reported to IRS) for all option transactions

Long Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.) (Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ENBRIDGE ENERGY MGMT- LLC CUSIP: 29250X103 Symbol: EEQ								
	0.317	02/20/13	02/13/15	\$11.67	\$8.13		\$3.54	\$0.00
	0.406	02/20/13	05/15/15	\$14.84	\$10.29		\$4.55	\$0.00
	0.556	02/20/13	08/14/15	\$15.72	\$13.89		\$1.83	\$0.00
	0.496	02/20/13	11/13/15	\$12.40	\$12.19		\$0.21	\$0.00
Security Subtotal	1.775			\$54.63	\$44.50		\$10.13	\$0.00
ENBRIDGE INC CUSIP: 29250N105 Symbol: ENB								
	4.000	02/20/13	10/09/15	\$171.52	\$176.75		(\$5.23)	\$0.00
	25.000	02/20/13	10/14/15	\$1,075.70	\$1,134.67		(\$58.97)	\$0.00
	2.000	02/20/13	10/22/15	\$87.19	\$88.37		(\$1.18)	\$0.00
	2.000	09/11/13	10/22/15	\$87.19	\$81.97		\$5.22	\$0.00
	8.000	01/23/14	10/22/15	\$348.76	\$339.47		\$9.29	\$0.00
Security Subtotal	41.000			\$1,770.36	\$1,791.23		(\$20.87)	\$0.00
ENBRIDGE INCOME FD HLDGS INC CUSIP: 29251R105 Symbol: EBGUF								
	10.000	06/03/13	10/09/15	\$237.49	\$247.39		\$20.10	\$0.00
EVERSOURCE ENERGY COM CUSIP: 30040W108 Symbol: ES								
	7.000	02/20/13	10/09/15	\$354.91	\$288.59		\$66.32	\$0.00
	5.000	02/28/13	10/09/15	\$253.50	\$206.17		\$47.33	\$0.00
Security Subtotal	12.000			\$608.41	\$494.76		\$113.65	\$0.00
EXACT SCIENCES CORP CUSIP: 30063P105 Symbol: EXAS								
	12.000	04/12/13	06/04/15	\$330.89	\$128.08		\$202.81	\$0.00
	15.000	04/12/13	10/12/15	\$124.50	\$160.10		(\$35.60)	\$0.00
	5.000	06/25/14	10/12/15	\$41.50	\$82.65		(\$41.15)	\$0.00
Security Subtotal	32.000			\$496.89	\$370.83		\$126.06	\$0.00
EXPEDIA INC NEW CUSIP: 30212P303 Symbol: EXPE								
	0.227	09/07/12	12/17/15	\$28.92	\$25.81		\$3.11	\$0.00
	4.000	09/07/12	12/28/15	\$503.49	\$373.22		\$130.27	\$0.00
	1.000	11/05/12	12/28/15	\$125.87	\$137.59		(\$11.72)	\$0.00

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Page 66 of 109

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked) (Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1i)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
EXPEDIA INC NEW (Cont)								
		CUSIP: 30212P303	Symbol: EXPE					
	1 000	06/25/14	12/28/15	\$125.87	\$132.99	\$0.00	(\$7.12)	\$0.00
	1 000	12/26/14	12/28/15	\$125.88	\$132.99	\$0.00	(\$7.11)	\$0.00
Security Subtotal	7.227			\$910.03	\$802.60	\$0.00	\$107.43	\$0.00
FACEBOOK INC CL-A								
		CUSIP: 30303M102	Symbol: FB					
	29 000	07/30/13	09/11/15	\$2,653.53	\$1,090.71	\$0.00	\$1,562.82	\$0.00
FARO TECH INC								
		CUSIP: 311642102	Symbol: FARO					
	6 000	06/10/11	06/04/15	\$256.00	\$232.98	\$0.00	\$23.02	\$0.00
	4 000	06/10/11	10/19/15	\$143.79	\$155.32	\$0.00	(\$11.53)	\$0.00
Security Subtotal	10 000			\$399.79	\$388.30	\$0.00	\$11.49	\$0.00
FIFTH 3RD BANCORP OHIO								
		CUSIP: 316773100	Symbol: FITB					
	88 000	09/05/12	06/10/15	\$1,870.03	\$1,326.11	\$0.00	\$543.92	\$0.00
	194 000	02/20/13	06/10/15	\$3,910.05	\$2,910.05	\$0.00	\$1,000.00	\$0.00
Security Subtotal	272 000			\$5,780.08	\$4,236.16	\$0.00	\$1,543.92	\$0.00
FLOWERVE CORP								
		CUSIP: 34354P105	Symbol: FLS					
	1 000	07/02/13	01/20/15	\$55.84	\$54.27	\$0.00	\$1.57	\$0.00
	1 000	07/02/13	01/20/15	\$55.84	\$54.27	\$0.00	\$1.57	\$0.00
	2 000	07/09/13	01/20/15	\$111.70	\$111.23	\$0.00	\$0.47	\$0.00
	1 000	07/09/13	01/20/15	\$55.84	\$55.62	\$0.00	\$0.22	\$0.00
	1 000	09/04/13	02/04/15	\$57.10	\$57.42	\$0.00	(\$0.32)	\$0.00
	1 000	09/04/13	02/04/15	\$57.10	\$57.41	\$0.00	(\$0.31)	\$0.00
	2 000	09/20/13	02/04/15	\$114.20	\$126.65	\$0.00	(\$12.45)	\$0.00
	1 000	09/27/13	02/04/15	\$57.10	\$62.33	\$0.00	(\$5.23)	\$0.00
	2 000	01/08/14	02/04/15	\$114.20	\$154.72	\$0.00	(\$40.52)	\$0.00
	2 000	02/03/14	02/18/15	\$123.49	\$139.32	\$0.00	(\$15.83)	\$0.00
	7 000	01/08/14	02/24/15	\$436.73	\$541.52	\$0.00	(\$104.79)	\$0.00
	5 000	01/08/14	02/24/15	\$311.95	\$386.80	\$0.00	(\$74.85)	\$0.00
	5 000	01/08/14	03/18/15	\$291.67	\$386.80	\$0.00	(\$95.13)	\$0.00
	4 000	01/08/14	03/18/15	\$233.34	\$309.44	\$0.00	(\$76.10)	\$0.00
	2 000	01/08/14	03/18/15	\$116.67	\$154.72	\$0.00	(\$38.05)	\$0.00

CONTINUED ON NEXT PAGE

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.) (Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)(Box 1i)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
FLOWERVE CORP (Cont)								
	2.000	01/08/14	09/28/15	\$79.64	\$154.72	\$0.00	(\$75.08)	\$0.00
	1.000	01/08/14	09/28/15	\$39.82	\$77.36	\$0.00	(\$37.54)	\$0.00
	1.000	01/31/14	09/28/15	\$39.81	\$72.42	\$0.00	(\$32.61)	\$0.00
	1.000	02/03/14	12/23/15	\$42.05	\$69.66	\$0.00	(\$27.61)	\$0.00
	3.000	04/17/14	12/23/15	\$126.16	\$236.83	\$0.00	(\$110.67)	\$0.00
Security Subtotal	45.000			\$2,520.25	\$3,263.51	\$0.00	(\$743.26)	\$0.00
FMC TECHNOLOGIES INC								
	16.000	06/27/13	01/29/15	\$560.59	\$902.40	\$0.00	(\$341.81)	\$0.00
FORD MOTOR CO NEW								
	285.000	03/15/13	06/10/15	\$4,297.21	\$3,801.53	\$0.00	\$495.68	\$0.00
	130.000	06/28/13	06/10/15	\$1,960.13	\$2,031.00	\$0.00	(\$70.87)	\$0.00
	150.000	07/09/13	06/10/15	\$2,261.69	\$2,517.00	\$0.00	(\$255.31)	\$0.00
	107.000	03/12/14	06/10/15	\$1,613.33	\$1,642.44	\$0.00	(\$29.11)	\$0.00
Security Subtotal	672.000			\$10,132.36	\$9,991.97	\$0.00	\$140.39	\$0.00
FORTUNE BRANDS HOME & SEC INC								
	22.000	05/27/13	02/23/15	\$1,052.43	\$858.22	\$0.00	\$194.21	\$0.00
	11.000	07/17/13	02/23/15	\$526.21	\$450.73	\$0.00	\$75.48	\$0.00
Security Subtotal	33.000			\$1,578.64	\$1,308.95	\$0.00	\$269.69	\$0.00
FRESENIUS SE & CO SPN ADR								
	41.000	12/23/13	09/11/15	\$736.34	\$529.68	\$0.00	\$206.66	\$0.00
	63.000	12/23/13	09/28/15	\$1,112.19	\$813.89	\$0.00	\$298.30	\$0.00
	24.000	01/13/14	09/28/15	\$423.69	\$321.35	\$0.00	\$102.34	\$0.00
Security Subtotal	128.000			\$2,272.22	\$1,664.92	\$0.00	\$607.30	\$0.00
FRESHPET INC								
	28.000	11/14/14	11/25/15	\$211.65	\$520.46	\$0.00	(\$308.81)	\$0.00
F5 NETWORKS INC								
	1.000	04/23/12	11/12/15	\$107.33	\$130.51	\$0.00	(\$23.18)	\$0.00
	1.000	08/15/12	11/12/15	\$107.33	\$98.93	\$0.00	\$8.40	\$0.00

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Page 68 of 109

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked) (Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
F5 NETWORKS INC (Cont)								
		CUSIP: 315616102	Symbol: FFIV					
	1.000	04/02/13	11/20/15	\$103.77	\$89.76	\$0.00	\$14.01	\$0.00
	1.000	04/02/13	11/23/15	\$102.66	\$89.76	\$0.00	\$12.90	\$0.00
	1.000	04/05/13	12/03/15	\$101.95	\$73.06	\$0.00	\$28.89	\$0.00
	1.000	06/27/13	12/03/15	\$101.95	\$69.89	\$0.00	\$32.06	\$0.00
	1.000	06/25/14	12/03/15	\$101.95	\$108.74	\$0.00	(\$6.79)	\$0.00
Security Subtotal	7.000			\$726.94	\$660.65	\$0.00	\$66.29	\$0.00
GENERAL ELECTRIC CO								
		CUSIP: 369604103	Symbol: GE					
	204.000	11/05/12	06/09/15	\$5,581.43	\$4,365.22	\$0.00	\$1,216.21	\$0.00
	14.000	12/03/12	06/09/15	\$383.04	\$294.14	\$0.00	\$88.90	\$0.00
	1.000	12/03/12	06/09/15	\$27.36	\$21.01	\$0.00	\$6.35	\$0.00
Security Subtotal	219.000			\$5,991.83	\$4,680.37	\$0.00	\$1,311.46	\$0.00
GILEAD SCIENCE								
		CUSIP: 375558103	Symbol: GILD					
	6.000	06/27/13	01/07/15	\$596.04	\$304.90	\$0.00	\$291.14	\$0.00
	6.000	06/27/13	02/13/15	\$607.25	\$304.90	\$0.00	\$302.35	\$0.00
	7.000	06/27/13	02/18/15	\$725.53	\$355.72	\$0.00	\$369.81	\$0.00
	4.000	06/27/13	03/02/15	\$414.18	\$203.27	\$0.00	\$210.91	\$0.00
	15.000	06/27/13	03/17/15	\$1,502.78	\$762.25	\$0.00	\$740.53	\$0.00
	48.000	06/27/13	06/10/15	\$5,641.15	\$2,439.21	\$0.00	\$3,201.94	\$0.00
	4.000	06/28/13	06/10/15	\$470.10	\$205.68	\$0.00	\$264.42	\$0.00
	7.000	06/28/13	09/11/15	\$762.15	\$359.95	\$0.00	\$402.20	\$0.00
Security Subtotal	97.000			\$10,719.18	\$4,935.88	\$0.00	\$5,783.30	\$0.00
GNC HOLDINGS, INC COM CL A								
		CUSIP: 36191G107	Symbol: GNC					
	3.000	05/14/13	06/16/15	\$138.29	\$135.71	\$0.00	\$2.58	\$0.00
	3.000	05/22/13	07/30/15	\$141.39	\$136.45	\$0.00	\$4.94	\$0.00
	2.000	07/16/13	07/30/15	\$94.26	\$93.81	\$0.00	\$0.45	\$0.00
	1.000	07/16/13	09/18/15	\$44.02	\$46.90	\$0.00	(\$2.88)	\$0.00
	3.000	08/06/13	09/22/15	\$128.34	\$160.55	\$0.00	(\$32.21)	\$0.00
	1.000	03/07/14	11/04/15	\$32.99	\$48.07	\$15.08 W	(\$15.08)	\$0.00
	1.000	04/15/14	11/04/15	\$32.99	\$44.38	\$11.39 W	(\$11.39)	\$0.00
	1.000	06/25/14	11/04/15	\$33.00	\$34.52	\$1.53 W	(\$1.53)	\$0.00

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949, Part II, with box D checked.) (Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
GNC HOLDINGS, INC COM CL A (Cont.) CUSIP: 36191G107 Symbol: GNC								
	5 000	06/25/14	11/06/15	\$160.37	\$172.60	\$0.00	(\$12.23)	\$0.00
	5 000	08/15/14	11/06/15	\$160.36	\$173.75	\$0.00	(\$13.39)	\$0.00
	1 000	03/07/14	11/10/15	\$32.30	\$49.04	\$0.00	(\$16.74)	\$0.00
	1 000	04/15/14	11/10/15	\$32.29	\$45.35	\$0.00	(\$13.06)	\$0.00
	1 000	06/25/14	11/10/15	\$32.30	\$35.49	\$0.00	(\$3.19)	\$0.00
Security Subtotal	28.000			\$1,062.90	\$1,176.62	\$28.00	(\$113.73)	\$0.00
GOLAR LNG PTNRS LP ULPI CUSIP: Y2745C102 Symbol: GMLP								
	8 000	06/04/14	10/09/15	\$142.99	\$257.55	\$0.00	(\$114.56)	\$0.00
	7 000	06/04/14	10/14/15	\$117.07	\$225.35	\$0.00	(\$108.28)	\$0.00
	1 000	06/04/14	10/22/15	\$17.55	\$32.19	\$0.00	(\$14.64)	\$0.00
	9 000	06/05/14	10/22/15	\$157.92	\$289.94	\$0.00	(\$132.02)	\$0.00
	2 000	06/05/14	10/27/15	\$33.19	\$64.42	\$0.00	(\$31.23)	\$0.00
	5 000	06/06/14	10/27/15	\$82.96	\$162.02	\$0.00	(\$79.06)	\$0.00
	11 000	06/06/14	10/29/15	\$191.71	\$356.44	\$0.00	(\$164.73)	\$0.00
	8 000	06/06/14	11/03/15	\$143.70	\$259.23	\$0.00	(\$115.53)	\$0.00
Security Subtotal	51.000			\$887.09	\$1,647.14	\$0.00	(\$760.05)	\$0.00
GOOGLE INC-CL A CUSIP: 38259P508 Symbol:								
	2 000	06/27/13	01/30/15	\$1 079.21	\$879.03	\$0.00	\$200.18	\$0.00
	5 000	06/27/13	04/06/15	\$2 690.40	\$2 197.58	\$0.00	\$492.82	\$0.00
Security Subtotal	7.000			\$3,769.61	\$3,076.61	\$0.00	\$693.00	\$0.00
GREATBATCH INC CUSIP: 39153L106 Symbol: GB								
	10 000	06/10/11	06/04/15	\$526.23	\$265.20	\$0.00	\$261.03	\$0.00
	1 000	07/29/11	06/04/15	\$52.62	\$24.91	\$0.00	\$27.71	\$0.00
Security Subtotal	11.000			\$578.85	\$290.11	\$0.00	\$288.74	\$0.00
HAIN CELESTIAL GROUP INC CUSIP: 405217100 Symbol: HAIN								
	1 000	10/08/12	03/25/15	\$64.77	\$32.00	\$0.00	\$32.77	\$0.00
	2 000	10/08/12	03/27/15	\$127.34	\$64.00	\$0.00	\$63.34	\$0.00
	1 000	10/08/12	10/06/15	\$52.42	\$32.00	\$0.00	\$20.42	\$0.00

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Page 70 of 109

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.) (Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
HAIN CELESTIAL GROUP INC (Cont.)								
		CUSIP: 405217100	Symbol: HAIN					
	1.000	10/24/12	10/06/15	\$52.42	\$29.30	\$0.00	\$23.12	\$0.00
	2.000	10/24/12	10/07/15	\$103.01	\$58.60	\$0.00	\$44.41	\$0.00
Security Subtotal	7.000			\$399.96	\$215.90	\$0.00	\$184.06	\$0.00
HARRIS CORPORATION DELAWARE								
		CUSIP: 413875105	Symbol: HRS					
	18.000	02/28/12	03/10/15	\$1,319.89	\$782.42	\$0.00	\$537.47	\$0.00
	20.000	02/20/13	03/10/15	\$1,466.55	\$949.82	\$0.00	\$516.73	\$0.00
	39.000	02/20/13	03/23/15	\$2,848.96	\$1,852.16	\$0.00	\$996.80	\$0.00
Security Subtotal	77.000			\$5,635.40	\$3,584.40	\$0.00	\$2,051.00	\$0.00
HERON THERAPEUTICS INC								
		CUSIP: 427746102	Symbol: HRTX					
	13.000	04/12/13	06/04/15	\$333.31	\$93.21	\$0.00	\$240.10	\$0.00
HMS HOLDINGS CORP								
		CUSIP: 40425J101	Symbol: HMSY					
	16.000	05/17/12	08/24/15	\$160.40	\$384.67	\$0.00	(\$224.27)	\$0.00
	5.000	02/20/13	08/24/15	\$50.12	\$133.75	\$0.00	(\$83.63)	\$0.00
	3.000	06/27/13	08/24/15	\$30.07	\$71.37	\$0.00	(\$41.30)	\$0.00
	7.000	03/12/14	08/24/15	\$70.17	\$139.30	\$0.00	(\$69.13)	\$0.00
	14.000	06/25/14	08/24/15	\$140.35	\$271.18	\$0.00	(\$130.83)	\$0.00
Security Subtotal	45.000			\$451.11	\$1,000.27	\$0.00	(\$549.16)	\$0.00
HOME DEPOT INC								
		CUSIP: 437076102	Symbol: HD					
	14.000	06/27/13	09/11/15	\$1,604.79	\$1,068.29	\$0.00	\$536.50	\$0.00
HOMEWAY TDR CRJ99								
		CUSIP: 437992191	Symbol:					
	17.000	09/07/12	12/17/15	\$172.55	\$0.00	\$0.00	\$172.55	\$0.00
	6.000	11/05/12	12/17/15	\$60.90	\$0.00	\$0.00	\$60.90	\$0.00
	1.000	01/11/13	12/17/15	\$10.15	\$0.00	\$0.00	\$10.15	\$0.00
	1.000	05/06/13	12/17/15	\$10.15	\$2.92	\$0.00	\$7.23	\$0.00
	5.000	06/25/14	12/17/15	\$50.75	\$41.26	\$0.00	\$9.49	\$0.00
Security Subtotal	30.000			\$304.50	\$44.18	\$0.00	\$260.32	\$0.00
HONEYWELL INTERNATIONAL INC								
		CUSIP: 438516106	Symbol: HON					
	7.000	06/27/13	09/11/15	\$693.32	\$562.31	\$0.00	\$131.01	\$0.00

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part II with box D checked)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
HONEYWELL INTERNATIONAL INC (Cont)								
	CUSIP 438516106	Symbol: HON						
	7 000	06/27/13	09/28/15	\$648.39	\$562.31	\$0.00	\$85.08	\$0.00
	4 000	06/27/13	11/24/15	\$410.94	\$321.32	\$0.00	\$89.62	\$0.00
Security Subtotal	18.000			\$1,752.65	\$1,445.94	\$0.00	\$306.71	\$0.00
HUB GROUP INC CL A								
	CUSIP 443320106	Symbol: HUBG						
	7 000	01/27/12	10/19/15	\$276.35	\$231.75	\$0.00	\$44.60	\$0.00
	1 000	01/27/12	11/20/15	\$39.17	\$33.11	\$0.00	\$6.06	\$0.00
	7 000	04/05/12	11/20/15	\$274.19	\$248.11	\$0.00	\$26.08	\$0.00
	2 000	07/20/12	11/20/15	\$78.34	\$57.82	\$0.00	\$20.52	\$0.00
Security Subtotal	17.000			\$668.05	\$570.80	\$0.00	\$97.26	\$0.00
IDEX CORPORATION DELAWARE								
	CUSIP 45167R104	Symbol: IEX						
	2 000	06/10/11	02/03/15	\$149.19	\$85.21	\$0.00	\$63.98	\$0.00
	1 000	06/10/11	02/27/15	\$77.66	\$42.50	\$0.00	\$35.06	\$0.00
	2 000	10/13/11	02/27/15	\$155.31	\$67.98	\$0.00	\$87.33	\$0.00
	1 000	10/13/11	03/13/15	\$74.71	\$33.99	\$0.00	\$40.72	\$0.00
	1 000	01/26/12	03/13/15	\$74.70	\$39.90	\$0.00	\$34.80	\$0.00
	2 000	01/26/12	03/17/15	\$150.02	\$79.80	\$0.00	\$70.22	\$0.00
	2 000	01/26/12	03/25/15	\$150.65	\$79.80	\$0.00	\$70.85	\$0.00
Security Subtotal	11.000			\$832.24	\$429.28	\$0.00	\$402.96	\$0.00
IHS INC CLA A COM								
	CUSIP 451734107	Symbol: IHS						
	1 000	10/20/11	03/19/15	\$114.64	\$78.77	\$0.00	\$35.87	\$0.00
ILLUMINA INC								
	CUSIP 452327109	Symbol: ILMN						
	2 000	10/31/12	06/24/15	\$444.73	\$94.59	\$0.00	\$350.14	\$0.00
	1 000	10/31/12	07/08/15	\$218.97	\$47.30	\$0.00	\$171.67	\$0.00
	1 000	10/31/12	09/11/15	\$205.36	\$47.30	\$0.00	\$158.06	\$0.00
	1 000	04/11/13	09/11/15	\$205.35	\$57.87	\$0.00	\$147.48	\$0.00
Security Subtotal	5.000			\$1,074.41	\$247.06	\$0.00	\$827.35	\$0.00
IMAX CORP								
	CUSIP 45245E109	Symbol: IMAX						
	18 000	11/20/12	06/04/15	\$731.47	\$392.30	\$0.00	\$339.17	\$0.00
	7 000	12/21/12	06/04/15	\$284.46	\$152.63	\$0.00	\$131.83	\$0.00

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Page 72 of 109

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked) (Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
IMAX CORP (Cont.)		CUSIP: 45245E109	Symbol: IMAX					
	1.000	01/24/13	06/04/15	\$40.64	\$23.88	\$0.00	\$16.76	\$0.00
Security Subtotal	26.000			\$1,056.57	\$568.81	\$0.00	\$487.76	\$0.00
IMPAX LABORATORIES INC		CUSIP: 45256B101	Symbol: IPXL					
	3.000	11/04/11	06/04/15	\$146.70	\$52.57	\$0.00	\$94.13	\$0.00
	5.000	01/26/12	06/04/15	\$244.49	\$92.75	\$0.00	\$151.74	\$0.00
Security Subtotal	8.000			\$391.19	\$145.32	\$0.00	\$245.87	\$0.00
INCYTE CORP		CUSIP: 45337G102	Symbol: INCY					
	2.000	08/14/13	01/09/15	\$143.93	\$54.69	\$0.00	\$89.24	\$0.00
	2.000	08/14/13	03/10/15	\$177.80	\$54.69	\$0.00	\$123.11	\$0.00
	1.000	08/14/13	09/21/15	\$116.04	\$27.35	\$0.00	\$88.69	\$0.00
	1.000	09/19/13	09/21/15	\$116.04	\$36.13	\$0.00	\$79.91	\$0.00
	2.000	09/19/13	11/25/15	\$226.67	\$72.27	\$0.00	\$154.40	\$0.00
	1.000	06/25/14	11/25/15	\$113.33	\$56.32	\$0.00	\$57.01	\$0.00
	1.000	08/14/14	11/25/15	\$113.33	\$51.08	\$0.00	\$62.25	\$0.00
Security Subtotal	10.000			\$1,007.14	\$352.53	\$0.00	\$654.61	\$0.00
INTEL CORP		CUSIP: 458140100	Symbol: INTC					
	18.000	02/20/13	09/11/15	\$527.78	\$373.10	\$0.00	\$154.68	\$0.00
INTER PIPELINE LTD COM		CUSIP: 45833V109	Symbol: IPLPF					
	14.000	09/11/13	10/09/15	\$283.63	\$346.55	\$0.00	(\$62.92)	\$0.00
	33.000	09/11/13	10/14/15	\$662.60	\$816.86	\$0.00	(\$154.26)	\$0.00
Security Subtotal	47.000			\$946.23	\$1,163.41	\$0.00	(\$217.18)	\$0.00
INTERFACE INC COM NEW		CUSIP: 458665304	Symbol: TILE					
	14.000	04/03/13	06/04/15	\$307.30	\$249.95	\$0.00	\$57.35	\$0.00
INTERNATIONAL PAPER CO		CUSIP: 460146103	Symbol: IP					
	118.000	02/20/13	06/10/15	\$6,013.49	\$4,693.73	\$0.00	\$1,319.76	\$0.00
INTUIT INC		CUSIP: 461202103	Symbol: INTU					
	2.000	06/27/13	10/28/15	\$195.27	\$122.00	\$0.00	\$73.27	\$0.00

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8849 Part II with box D checked.) (Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1i)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ITC HOLDINGS								
	CUSIP: 465685105	Symbol ITC						
	8 000	02/20/13	01/15/15	\$340.03	\$219.28	\$0.00	\$120.75	\$0.00
	3 000	02/20/13	07/17/15	\$102.07	\$82.23	\$0.00	\$19.84	\$0.00
	14 000	02/20/13	10/09/15	\$453.31	\$383.73	\$0.00	\$69.58	\$0.00
	38 000	02/20/13	10/14/15	\$1 259.36	\$1 041.56	\$0.00	\$217.80	\$0.00
	3 000	08/06/14	10/14/15	\$99.42	\$104.96	\$0.00	(\$5.54)	\$0.00
	3 000	08/06/14	10/22/15	\$101.22	\$104.96	\$0.00	(\$3.74)	\$0.00
Security Subtotal	69 000			\$2,355.41	\$1,936.72	\$0.00	\$418.69	\$0.00
IXIA								
	CUSIP: 45071R109	Symbol XXIA						
	10 000	06/10/11	09/11/15	\$150.60	\$133.90	\$0.00	\$16.70	\$0.00
	7 000	07/08/11	09/11/15	\$105.42	\$68.46	\$0.00	\$36.96	\$0.00
	3 000	09/16/11	09/11/15	\$45.18	\$25.14	\$0.00	\$20.04	\$0.00
	5 000	07/20/12	09/11/15	\$75.30	\$63.50	\$0.00	\$11.80	\$0.00
	16 000	11/02/12	09/11/15	\$240.94	\$227.16	\$0.00	\$13.78	\$0.00
Security Subtotal	41 000			\$617.44	\$518.16	\$0.00	\$99.28	\$0.00
JANUS PERKINS MID CAP VALUE I								
	CUSIP: 47103C241	Symbol JMVAX						
	668 042	01/26/12	10/26/15	\$13,006.77	\$14,235.98	\$0.00	(\$1 229.21)	\$0.00
	166 174	03/12/14	10/26/15	\$3,235.41	\$3,939.98	\$0.00	(\$704.57)	\$0.00
Security Subtotal	834 216			\$16,242.18	\$18,175.96	\$0.00	(\$1,933.78)	\$0.00
JOHNSON & JOHNSON								
	CUSIP: 478160104	Symbol JNJ						
	5 000	10/18/12	09/11/15	\$460.99	\$358.50	\$0.00	\$102.49	\$0.00
	3 000	01/29/13	09/11/15	\$276.59	\$223.49	\$0.00	\$53.10	\$0.00
Security Subtotal	8 000			\$737.58	\$581.99	\$0.00	\$155.59	\$0.00
JPMORGAN CHASE & CO								
	CUSIP: 46625H100	Symbol JPM						
	2 000	07/05/11	06/10/15	\$136.58	\$68.30	\$0.00	\$68.28	\$0.00
	3 000	12/05/11	06/10/15	\$204.57	\$99.80	\$0.00	\$105.07	\$0.00
	3 000	12/05/11	06/10/15	\$204.96	\$99.81	\$0.00	\$105.05	\$0.00
	9 000	12/05/11	06/10/15	\$614.60	\$299.42	\$0.00	\$315.18	\$0.00
	25 000	01/26/12	06/10/15	\$1,707.22	\$921.99	\$0.00	\$785.23	\$0.00
	117 000	02/28/12	06/10/15	\$7,989.79	\$4,581.72	\$0.00	\$3,408.07	\$0.00

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Page 74 of 109

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 5 (Reported to IRS) for all option transactions

Long Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked) (Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
JPMORGAN CHASE & CO (Cont) CUSIP: 46625H100 Symbol: JPM								
	6 000	02/28/12	06/10/15	\$409.73	\$234.96	\$0.00	\$174.77	\$0.00
	2 000	02/28/12	06/10/15	\$136.58	\$78.32	\$0.00	\$58.26	\$0.00
	36 000	03/02/12	06/10/15	\$2,458.40	\$1,462.66	\$0.00	\$995.74	\$0.00
Security Subtotal	203 000			\$13,862.63	\$7,846.98	\$0.00	\$6,015.65	\$0.00
KANSAS CY SOUTHN IND NEW CUSIP: 485170302 Symbol: KSU								
	1 000	06/27/13	06/24/15	\$93.24	\$108.16	\$0.00	(\$14.92)	\$0.00
	1 000	06/27/13	06/24/15	\$93.25	\$108.06	\$0.00	(\$14.81)	\$0.00
	1 000	06/27/13	06/24/15	\$93.24	\$108.06	\$0.00	(\$14.82)	\$0.00
	1 000	06/27/13	06/24/15	\$93.24	\$108.06	\$0.00	(\$14.82)	\$0.00
	1 000	06/27/13	06/30/15	\$90.63	\$108.06	\$0.00	(\$17.43)	\$0.00
	3 000	06/27/13	06/30/15	\$271.87	\$324.18	\$0.00	(\$52.31)	\$0.00
	4 000	06/27/13	09/11/15	\$367.82	\$432.24	\$0.00	(\$64.42)	\$0.00
	4 000	06/27/13	09/11/15	\$367.82	\$432.24	\$0.00	(\$64.42)	\$0.00
	1 000	06/27/13	10/15/15	\$97.86	\$108.06	\$0.00	(\$10.20)	\$0.00
	1 000	06/27/13	10/16/15	\$87.19	\$108.06	\$0.00	(\$20.87)	\$0.00
	3 000	06/27/13	10/16/15	\$261.56	\$324.18	\$0.00	(\$62.62)	\$0.00
Security Subtotal	21 000			\$1,917.72	\$2,269.36	\$0.00	(\$351.64)	\$0.00
KDDI CORP UNSPON ADR CUSIP: 48667L106 Symbol: KDDIY								
	78 000	11/08/13	09/11/15	\$964.37	\$724.82	\$0.00	\$239.55	\$0.00
	126 000	11/08/13	09/17/15	\$1,352.96	\$1,170.87	\$0.00	\$182.09	\$0.00
	72 000	01/15/14	09/17/15	\$773.12	\$722.28	\$0.00	\$50.84	\$0.00
Security Subtotal	276 000			\$3,090.45	\$2,617.97	\$0.00	\$472.48	\$0.00
KERYX BIOPHARMCEUTICALS INC CUSIP: 492515101 Symbol: KERX								
	38 000	09/17/14	10/19/15	\$180.35	\$522.07	\$0.00	(\$341.72)	\$0.00
KEURIG GREEN MOUNTAIN INC CUSIP: 49271M100 Symbol: GMCR								
	2 000	08/07/13	08/06/15	\$110.42	\$158.31	\$0.00	(\$47.89)	\$0.00
	1 000	08/07/13	08/06/15	\$55.21	\$79.16	\$0.00	(\$23.95)	\$0.00
	3 000	08/14/13	08/06/15	\$165.64	\$234.06	\$0.00	(\$68.42)	\$0.00
	1 000	08/14/13	09/11/15	\$60.13	\$78.02	\$0.00	(\$17.89)	\$0.00

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.) (Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
KEURIG GREEN MOUNTAIN INC (Cont.) CUSIP: 49271M100 Symbol: GMCR								
	6 000	09/04/13	09/11/15	\$360 77	\$506 33	\$0 00	(\$145 56)	\$0 00
	5 000	09/04/13	12/11/15	\$445 17	\$421 94	\$0 00	\$23 23	\$0 00
Security Subtotal	18 000			\$1,197.34	\$1,477.82	\$0 00	(\$280.48)	\$0 00
KINDER MORGAN INCORP CUSIP: 49456B101 Symbol: KMI								
	57 000	02/06/13	01/15/15	\$2,333.34	\$2,123.77	\$0 00	\$209.57	\$0 00
	64 000	02/20/13	01/15/15	\$2,619.90	\$1,996.98	\$0 00	\$622.92	\$0 00
	22 000	02/20/13	09/11/15	\$658.72	\$686.46	\$0 00	(\$27.74)	\$0 00
Security Subtotal	143 000			\$5,611.96	\$4,807.21	\$0 00	\$804.75	\$0 00
KOC HLDG AS UNSPON ADR CUSIP: 49989A109 Symbol: KHOLY								
	25 000	03/02/11	01/22/15	\$653.40	\$454.61	\$0 00	\$188.79	\$0 00
L BRANDS INC COM CUSIP: 501797104 Symbol: LB								
	1 000	05/29/12	01/15/15	\$80.88	\$46.85	\$0 00	\$34.03	\$0 00
	3 000	06/01/12	02/09/15	\$277.66	\$129.45	\$0 00	\$148.21	\$0 00
Security Subtotal	4 000			\$358.54	\$176.30	\$0 00	\$182.24	\$0 00
LAS VEGAS SANDS CORPORATION CUSIP: 517834107 Symbol: LVS								
	2 000	12/09/13	01/20/15	\$105.95	\$152.84	\$0 00	(\$46.89)	\$0 00
	8 000	12/12/13	01/20/15	\$423.80	\$612.54	\$0 00	(\$188.74)	\$0 00
	2 000	12/16/13	01/20/15	\$105.95	\$154.50	\$0 00	(\$48.55)	\$0 00
	2 000	12/16/13	01/29/15	\$110.83	\$154.50	\$0 00	(\$43.67)	\$0 00
	3 000	12/23/13	01/29/15	\$166.25	\$230.16	\$0 00	(\$63.91)	\$0 00
	3 000	12/23/13	02/13/15	\$182.67	\$230.16	\$0 00	(\$47.49)	\$0 00
	4 000	01/07/14	02/13/15	\$243.55	\$317.07	\$0 00	(\$73.52)	\$0 00
	4 000	01/31/14	02/13/15	\$243.55	\$308.40	\$0 00	(\$64.85)	\$0 00
Security Subtotal	28 000			\$1,582.55	\$2,160.17	\$0 00	(\$577.62)	\$0 00
LIBERTY GLOBAL PLC LILAC CUSIP: G5480U153 Symbol: LILAK								
	0 650	06/18/14	07/01/15	\$27.48	\$23.29	\$0 00	\$4.19	\$0 00
	2 000	06/18/14	07/09/15	\$83.78	\$63.89	\$0 00	\$19.90	\$0 00
Security Subtotal	2 650			\$111.26	\$87.17	\$0 00	\$24.09	\$0 00

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Page 76 of 109

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked) (Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
LINKEDIN CORP-A								
	CUSIP: 53578A108	Symbol: LNKD						
	2,000	06/27/13	08/12/15	\$371.31	\$361.46	\$0.00	\$9.85	\$0.00
	1,000	06/27/13	09/18/15	\$199.55	\$180.73	\$0.00	\$18.82	\$0.00
	2,000	06/27/13	09/18/15	\$399.11	\$361.46	\$0.00	\$37.65	\$0.00
Security Subtotal	5,000			\$969.97	\$903.65	\$0.00	\$66.32	\$0.00
LITHIA MOTORS INC A								
	CUSIP: 536797103	Symbol: LAD						
	10,000	02/21/13	08/05/15	\$1,200.54	\$407.80	\$0.00	\$792.74	\$0.00
	1,000	06/06/13	08/05/15	\$120.05	\$51.79	\$0.00	\$68.26	\$0.00
Security Subtotal	11,000			\$1,320.59	\$459.59	\$0.00	\$861.00	\$0.00
LLOYDS BANKING GROUP PLC								
	CUSIP: 539439109	Symbol: LYG						
	176,000	02/20/13	09/11/15	\$831.30	\$590.74	\$0.00	\$240.56	\$0.00
LYONDELLBASELL NV CL-A								
	CUSIP: N53745100	Symbol: LYB						
	11,000	06/27/13	09/21/15	\$952.69	\$745.58	\$0.00	\$207.11	\$0.00
MANITOWOC CO								
	CUSIP: 563571108	Symbol: MTW						
	17,000	07/12/12	03/17/15	\$368.20	\$169.98	\$0.00	\$198.22	\$0.00
	7,000	07/12/12	05/15/15	\$137.73	\$69.99	\$0.00	\$67.74	\$0.00
	4,000	08/02/12	05/15/15	\$78.70	\$45.88	\$0.00	\$32.82	\$0.00
	5,000	10/11/12	05/15/15	\$98.38	\$68.16	\$0.00	\$30.22	\$0.00
Security Subtotal	33,000			\$683.01	\$354.01	\$0.00	\$329.00	\$0.00
MANNING & NAPIER INC								
	CUSIP: 56382Q102	Symbol: MN						
	17,000	04/18/13	03/17/15	\$200.14	\$272.85	\$0.00	(\$72.71)	\$0.00
	3,000	03/12/14	03/17/15	\$35.32	\$45.16	\$0.00	(\$9.84)	\$0.00
Security Subtotal	20,000			\$235.46	\$318.01	\$0.00	(\$82.55)	\$0.00
MARATHON PETROLEUM CORP								
	CUSIP: 56585A102	Symbol: MPC						
	2,000	05/27/13	01/08/15	\$180.63	\$142.60	\$0.00	\$38.03	\$0.00
	1,000	06/27/13	01/08/15	\$90.32	\$71.30	\$0.00	\$19.02	\$0.00
	1,000	06/27/13	01/09/15	\$88.58	\$71.30	\$0.00	\$17.28	\$0.00
	1,000	06/27/13	01/09/15	\$88.58	\$71.30	\$0.00	\$17.28	\$0.00
	1,000	12/09/13	01/13/15	\$84.99	\$86.83	\$0.00	(\$1.84)	\$0.00

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 5 (Reported to IRS) for all option transactions

Long Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box C checked.) (Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
MARATHON PETROLEUM CORP (Cont)								
	CUSIP: 56585A102	Symbol: MPC						
	2 000	06/27/13	01/14/15	\$154.87	\$142.60	\$0.00	\$12.27	\$0.00
	1 000	12/09/13	01/14/15	\$77.43	\$85.83	\$0.00	(\$9.40)	\$0.00
Security Subtotal	9 000			\$765.40	\$672.76	\$0.00	\$92.64	\$0.00
MASTERCARD INC CL A								
	CUSIP: 57636Q104	Symbol: MA						
	5 000	06/27/13	01/20/15	\$417.29	\$285.67	\$0.00	\$131.62	\$0.00
	8 000	06/27/13	01/30/15	\$662.18	\$457.07	\$0.00	\$205.11	\$0.00
	14 000	06/27/13	09/11/15	\$1,268.55	\$799.88	\$0.00	\$468.67	\$0.00
Security Subtotal	27 000			\$2,348.02	\$1,542.62	\$0.00	\$805.40	\$0.00
MATADOR RES CO								
	CUSIP: 576485205	Symbol: MTDR						
	12 000	04/17/14	06/04/15	\$331.54	\$315.53	\$0.00	\$16.01	\$0.00
MCKESSON CORP								
	CUSIP: 58155Q103	Symbol: MCK						
	4 000	03/13/14	10/21/15	\$748.60	\$737.52	\$0.00	\$11.08	\$0.00
	3 000	03/13/14	10/27/15	\$541.62	\$553.14	\$0.00	(\$11.52)	\$0.00
	2 000	03/13/14	11/06/15	\$367.40	\$368.76	\$0.00	(\$1.36)	\$0.00
	2 000	03/13/14	11/13/15	\$360.53	\$368.76	\$0.00	(\$8.23)	\$0.00
Security Subtotal	11 000			\$2,018.15	\$2,028.18	\$0.00	(\$10.03)	\$0.00
MEDIOLANUM SPA UNSPON ADR								
	CUSIP: 58502L104	Symbol:						
	92 000	07/30/13	08/14/15	\$1,573.79	\$1,359.29	\$0.00	\$204.50	\$0.00
	17 000	10/21/13	08/14/15	\$290.81	\$296.45	\$0.00	(\$5.64)	\$0.00
	9 000	10/21/13	09/04/15	\$134.83	\$156.95	\$0.00	(\$22.12)	\$0.00
	28 000	12/18/13	09/04/15	\$419.48	\$471.18	\$0.00	(\$51.70)	\$0.00
	28 000	06/25/14	09/04/15	\$419.49	\$440.12	\$0.00	(\$20.63)	\$0.00
Security Subtotal	174 000			\$2,838.40	\$2,733.99	\$0.00	\$104.41	\$0.00
MERCK & CO INC NEW COM								
	CUSIP: 58933Y105	Symbol: MRK						
	8 000	01/26/12	03/11/15	\$448.67	\$310.40	\$0.00	\$138.27	\$0.00
	13 000	03/20/12	03/11/15	\$729.09	\$492.48	\$0.00	\$236.61	\$0.00
	1 000	04/05/12	03/11/15	\$56.08	\$38.78	\$0.00	\$17.30	\$0.00
	27 000	04/05/12	03/11/15	\$1,514.27	\$1,047.00	\$0.00	\$467.27	\$0.00
	1 000	04/05/12	03/18/15	\$56.35	\$38.78	\$0.00	\$17.57	\$0.00

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Page 78 of 109

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked) (Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
CUSIP: 58933Y105 Symbol: MRK								
MERCK & CO INC NEW COM(Cont)	7 000	04/05/12	03/18/15	\$394.47	\$271.44	\$0.00	\$123.03	\$0.00
	36 000	04/05/12	03/18/15	\$2,028.71	\$1,396.00	\$0.00	\$632.71	\$0.00
	5 000	12/03/12	03/18/15	\$338.12	\$267.30	\$0.00	\$70.82	\$0.00
Security Subtotal	99 000			\$5,565.76	\$3,862.18	\$0.00	\$1,703.58	\$0.00
CUSIP: 59156R108 Symbol: MET								
METLIFE INCORPORATED	17 000	02/28/12	06/10/15	\$955.92	\$652.97	\$0.00	\$302.95	\$0.00
	18 000	07/20/12	06/10/15	\$1,012.15	\$531.84	\$0.00	\$480.31	\$0.00
	3 000	07/20/12	06/10/15	\$168.69	\$88.59	\$0.00	\$80.10	\$0.00
	81 000	02/20/13	06/10/15	\$4,554.68	\$2,910.17	\$0.00	\$1,644.51	\$0.00
	99 000	11/18/13	06/10/15	\$5,004.53	\$4,700.48	\$0.00	\$304.05	\$0.00
Security Subtotal	208 000			\$11,695.97	\$8,884.05	\$0.00	\$2,811.92	\$0.00
CUSIP: 55272X102 Symbol: MFA								
MFA FINANCIAL INC	7 000	06/09/14	06/10/15	\$53.87	\$58.60	\$4.73 W	(\$4.73)	\$0.00
	13 000	06/09/14	06/10/15	\$100.03	\$108.83	\$0.00	(\$8.80)	\$0.00
Security Subtotal	20 000			\$153.90	\$167.43	\$4.73	(\$13.53)	\$0.00
CUSIP: G60754101 Symbol: KORS								
MICHAEL KORS HOLDINGS LTD	7 000	06/27/13	01/15/15	\$465.91	\$429.66	\$0.00	\$36.25	\$0.00
	8 000	06/27/13	01/20/15	\$537.63	\$491.04	\$0.00	\$46.59	\$0.00
	3 000	06/27/13	01/26/15	\$208.25	\$184.14	\$0.00	\$24.11	\$0.00
	14 000	06/27/13	02/24/15	\$970.32	\$859.32	\$0.00	\$111.00	\$0.00
	9 000	06/27/13	04/09/15	\$588.91	\$552.42	\$0.00	\$36.49	\$0.00
	8 000	06/27/13	06/16/15	\$372.30	\$491.04	\$0.00	(\$118.74)	\$0.00
	8 000	06/27/13	06/24/15	\$372.87	\$491.04	\$0.00	(\$118.17)	\$0.00
	8 000	06/27/13	08/21/15	\$323.35	\$491.04	\$0.00	(\$167.69)	\$0.00
	1 000	06/27/13	08/21/15	\$40.42	\$61.38	\$0.00	(\$20.96)	\$0.00
	3 000	04/08/14	08/21/15	\$121.25	\$260.16	\$0.00	(\$138.91)	\$0.00
Security Subtotal	69 000			\$4,001.21	\$4,311.24	\$0.00	(\$310.03)	\$0.00
CUSIP: 60739U204 Symbol: MOBL								
MOBILEIRON INC	53 000	09/22/14	10/14/15	\$196.09	\$527.69	\$0.00	(\$331.60)	\$0.00

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 5 (Reported to IRS) for all option transactions

Long Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.) (Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
MONSANTO CO/NEW	6 000	06/27/13	01/15/15	\$709.97	\$595.02	\$0.00	\$114.95	\$0.00
		CUSIP: 51166W101	Symbol: MON					
MOODY'S CORP	9 000	06/27/13	09/11/15	\$908.26	\$548.64	\$0.00	\$359.62	\$0.00
	6 000	06/27/13	11/25/15	\$617.66	\$365.76	\$0.00	\$251.90	\$0.00
Security Subtotal	15 000			\$1,525.92	\$914.40	\$0.00	\$611.52	\$0.00
NATL GRID TRANS CO PLC ADS	6 000	02/20/13	10/09/15	\$419.93	\$325.66	\$0.00	\$94.27	\$0.00
		CUSIP: 536274300	Symbol: NGG					
NETEASE COM INC ADS	8 000	07/29/11	05/18/15	\$1,204.36	\$403.59	\$0.00	\$800.77	\$0.00
	4 000	09/16/11	06/13/15	\$602.18	\$179.00	\$0.00	\$423.18	\$0.00
	5 000	02/20/13	06/18/15	\$752.73	\$267.04	\$0.00	\$485.69	\$0.00
Security Subtotal	17 000			\$2,559.27	\$849.63	\$0.00	\$1,709.64	\$0.00
NEXTERA ENERGY INC COM	3 000	06/03/13	01/15/15	\$320.48	\$229.50	\$0.00	\$90.98	\$0.00
		CUSIP: 55339F101	Symbol: NEE					
NOODLES & COMPANY CLASS A	6 000	07/18/13	05/20/15	\$91.33	\$264.09	\$0.00	(\$172.76)	\$0.00
	5 000	08/15/13	05/20/15	\$76.10	\$198.16	\$0.00	(\$122.06)	\$0.00
	5 000	03/12/14	05/20/15	\$76.10	\$194.10	\$0.00	(\$118.00)	\$0.00
Security Subtotal	16 000			\$243.53	\$656.35	\$0.00	(\$412.82)	\$0.00
NORFOLK SOUTHERN CORP	22 000	10/08/13	05/15/15	\$2,142.90	\$1 690.00	\$0.00	\$452.90	\$0.00
	4 000	10/25/13	05/15/15	\$389.62	\$350.19	\$0.00	\$39.43	\$0.00
	31 000	10/25/13	05/10/15	\$2,806.47	\$2,713.94	\$0.00	\$92.53	\$0.00
Security Subtotal	57 000			\$5,338.99	\$4,754.13	\$0.00	\$584.86	\$0.00
NORTHEAST UTILITIES	6 000	02/20/13	01/15/15	\$325.37	\$247.36	\$0.00	\$78.01	\$0.00
		CUSIP: 664397106	Symbol:					

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked) (Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
NORWEGIAN CRUISE LINE HLDS INC CUSIP: G66721104 Symbol: NCLH								
	1 000	08/09/13	07/31/15	\$62.15	\$30.59	\$0 00	\$31.56	\$0 00
	1 000	08/09/13	08/03/15	\$61.40	\$30.59	\$0 00	\$30.81	\$0 00
	2 000	09/30/13	08/03/15	\$122.79	\$50.66	\$0 00	\$62.13	\$0 00
Security Subtotal	4 000			\$246.34	\$121.84	\$0 00	\$124.50	\$0 00
NOVARTIS AG ADR CUSIP: 66987V109 Symbol: NVS								
	9 000	02/20/13	09/11/15	\$855.92	\$628.16	\$0 00	\$227.76	\$0 00
NOVO NORDISK A/S ADR CUSIP: 670100205 Symbol: NVO								
	1 000	08/09/13	06/29/15	\$54.72	\$34.69	\$0 00	\$20.03	\$0 00
	13 000	11/07/13	06/29/15	\$711.40	\$443.82	\$0 00	\$267.58	\$0 00
	13 000	11/07/13	09/11/15	\$736.08	\$443.82	\$0 00	\$292.26	\$0 00
	14 000	11/07/13	10/22/15	\$746.84	\$477.96	\$0 00	\$268.88	\$0 00
	8 000	06/25/14	10/22/15	\$426.76	\$365.48	\$0 00	\$61.28	\$0 00
Security Subtotal	49 000			\$2,675.80	\$1,765.77	\$0 00	\$910.03	\$0 00
NRG YIELD INC COM CL A CUSIP: 62942X108 Symbol:								
	6 000	08/16/13	01/15/15	\$315.54	\$169.51	\$0 00	\$146.03	\$0 00
	1 000	08/16/13	04/01/15	\$50.82	\$28.25	\$0 00	\$22.57	\$0 00
	13 000	09/11/13	04/01/15	\$660.66	\$381.05	\$0 00	\$279.61	\$0 00
	13 000	09/11/13	04/09/15	\$661.07	\$381.05	\$0 00	\$280.02	\$0 00
Security Subtotal	33 000			\$1,688.09	\$959.86	\$0 00	\$728.23	\$0 00
O'REILLY AUTOMOTIVE INC NEW CUSIP: 67103H107 Symbol: ORLY								
	1 000	10/26/12	07/08/15	\$230.91	\$84.00	\$0 00	\$146.91	\$0 00
	1 000	10/26/12	07/30/15	\$241.74	\$84.00	\$0 00	\$157.74	\$0 00
Security Subtotal	2 000			\$472.65	\$168.00	\$0 00	\$304.65	\$0 00
OLD DOMINION FREIGHT LINE CUSIP: 679580100 Symbol: ODFL								
	1 000	10/04/13	05/29/15	\$69.11	\$47.13	\$0 00	\$21.98	\$0 00
	2 000	10/04/13	06/23/15	\$141.70	\$94.25	\$0 00	\$47.45	\$0 00
	3 000	10/30/13	07/10/15	\$203.49	\$142.80	\$0 00	\$60.69	\$0 00
	2 000	06/25/14	07/14/15	\$134.84	\$125.02	\$0 00	\$9.82	\$0 00
Security Subtotal	8 000			\$549.14	\$409.20	\$0 00	\$139.94	\$0 00

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part II with box D checked) (Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ONE GAS INC CUSIP: 68235P108 Symbol: OGS								
	7 000	06/05/14	10/09/15	\$330 61	\$256 96	\$0 00	\$73 65	\$0 00
	7 000	06/06/14	10/14/15	\$330 33	\$258 27	\$0 00	\$72 06	\$0 00
	11 000	06/06/14	10/22/15	\$537 48	\$405 85	\$0 00	\$131 63	\$0 00
	7 000	06/06/14	10/27/15	\$340 96	\$258 27	\$0 00	\$82 69	\$0 00
Security Subtotal	32 000			\$1,539.38	\$1,179.35	\$0 00	\$360.03	\$0 00
ORIFLAME COSMETICS SA SPON ADR CUSIP: 68619420C Symbol: ORFLY								
	15 000	06/10/11	05/05/15	\$122 16	\$348 45	\$0 00	(\$226 29)	\$0 00
	4 000	06/10/11	05/06/15	\$32 30	\$92 92	\$0 00	(\$60 62)	\$0 00
	110 000	04/30/13	05/06/15	\$888 37	\$1,999 80	\$0 00	(\$1,111 43)	\$0 00
	7 000	06/27/13	05/06/15	\$56 54	\$110 46	\$0 00	(\$53 92)	\$0 00
	20 000	06/27/13	05/07/15	\$160 66	\$315 60	\$0 00	(\$154 94)	\$0 00
	23 000	03/12/14	05/07/15	\$184 75	\$280 60	\$0 00	(\$95 85)	\$0 00
Security Subtotal	179 000			\$1,444 78	\$3,147 83	\$0 00	(\$1,703.05)	\$0 00
PALL CORPORATION CUSIP: 696429307 Symbol:								
	1 000	01/19/12	01/28/15	\$98 48	\$50 40	\$0 00	\$38 08	\$0 00
	2 000	01/20/12	05/12/15	\$235 41	\$119 98	\$0 00	\$115 43	\$0 00
	1 000	04/13/12	06/10/15	\$124 82	\$59 13	\$0 00	\$65 69	\$0 00
	1 000	04/13/12	05/17/15	\$124 44	\$59 13	\$0 00	\$65 31	\$0 00
	2 000	06/06/12	06/18/15	\$248 61	\$109 77	\$0 00	\$138 84	\$0 00
	1 000	06/06/12	06/22/15	\$124 31	\$51 88	\$0 00	\$69 43	\$0 00
Security Subtotal	8 000			\$956.07	\$463.29	\$0 00	\$492.78	\$0 00
PAREXEL INTL CORP CUSIP: 699462107 Symbol: PRXL								
	6 000	04/10/12	09/11/15	\$410 51	\$153 50	\$0 00	\$257 01	\$0 00
	4 000	04/10/12	11/20/15	\$275 66	\$102 33	\$0 00	\$173 33	\$0 00
	1 000	05/14/12	11/20/15	\$68 92	\$25 40	\$0 00	\$43 52	\$0 00
Security Subtotal	11 000			\$755.09	\$281.23	\$0 00	\$473.86	\$0 00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Page 82 of 109

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 9949 Part II with box D checked) (Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
PERRIGO CO LTD CUSIP: G97822103 Symbol: PRGO								
	3.000	12/19/13	04/08/15	\$584.60	\$466.02	\$0.00	\$118.58	\$0.00
	1.000	12/19/13	04/22/15	\$199.74	\$155.34	\$0.00	\$44.40	\$0.00
Security Subtotal	4.000			\$784.34	\$621.36	\$0.00	\$162.98	\$0.00
PFIZER INC CUSIP: 717081103 Symbol: PFE								
	30.000	07/13/12	09/11/15	\$983.48	\$684.64	\$0.00	\$298.84	\$0.00
PIONEER ENERGY SVCS CORP COM CUSIP: 723664108 Symbol: PES								
	23.000	01/09/13	03/17/15	\$104.19	\$171.71	\$0.00	(\$67.52)	\$0.00
	24.000	06/27/13	03/17/15	\$108.72	\$158.40	\$0.00	(\$49.68)	\$0.00
Security Subtotal	47.000			\$212.91	\$330.11	\$0.00	(\$117.20)	\$0.00
PIONEER NATURAL RESOURCES CO CUSIP: 723787107 Symbol: PXD								
	3.000	10/21/13	06/22/15	\$426.03	\$660.81	\$0.00	(\$234.78)	\$0.00
	1.000	10/24/13	06/22/15	\$142.01	\$209.64	\$0.00	(\$67.63)	\$0.00
	3.000	11/07/13	06/22/15	\$426.04	\$563.46	\$0.00	(\$137.42)	\$0.00
	1.000	10/21/13	06/24/15	\$142.10	\$232.92	\$0.00	(\$90.82)	\$0.00
	1.000	11/07/13	06/24/15	\$142.11	\$187.82	\$0.00	(\$45.71)	\$0.00
	2.000	10/21/13	06/30/15	\$274.43	\$465.85	\$0.00	(\$191.42)	\$0.00
	1.000	10/24/13	06/30/15	\$137.22	\$218.27	\$0.00	(\$81.05)	\$0.00
	1.000	02/03/14	06/30/15	\$137.21	\$168.45	\$0.00	(\$31.24)	\$0.00
	2.000	02/03/14	09/11/15	\$232.58	\$336.90	\$0.00	(\$104.32)	\$0.00
Security Subtotal	15.000			\$2,059.73	\$3,044.12	\$0.00	(\$984.39)	\$0.00
PNC FINL SVCS GP CUSIP: 693475105 Symbol: PNC								
	45.000	02/28/12	06/10/15	\$4,465.83	\$2,678.53	\$0.00	\$1,787.30	\$0.00
	21.000	01/28/13	06/10/15	\$2,084.06	\$1,306.47	\$0.00	\$777.59	\$0.00
Security Subtotal	66.000			\$6,549.89	\$3,985.00	\$0.00	\$2,564.89	\$0.00
POTBELLY CORP COM CUSIP: 73754Y100 Symbol: PBPB								
	11.000	10/07/13	11/25/15	\$135.81	\$359.00	\$0.00	(\$223.19)	\$0.00
	2.000	02/14/14	11/25/15	\$24.69	\$45.36	\$0.00	(\$20.67)	\$0.00
Security Subtotal	13.000			\$160.50	\$404.36	\$0.00	(\$243.86)	\$0.00

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.) (Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
PPG INDUSTRIES INC								
	CUSIP: 693506107	Symbol: PPG						
	6 000	06/27/13	09/11/15	\$576 78	\$446.30	\$0.00	\$130.48	\$0.00
	4 000	06/27/13	09/21/15	\$373.46	\$297.53	\$0.00	\$75.93	\$0.00
	2 000	06/27/13	09/28/15	\$170.57	\$148.77	\$0.00	\$21.80	\$0.00
Security Subtotal	12 000			\$1,120.81	\$892.60	\$0.00	\$228.21	\$0.00
PRICELINE GRP INC COM NEW								
	CUSIP: 741503403	Symbol: PCLN						
	1 000	07/11/13	09/11/15	\$1,281.14	\$897.59	\$0.00	\$383.55	\$0.00
PROTO LABS								
	CUSIP: 743713109	Symbol: PALB						
	5 000	04/04/14	07/30/15	\$373.11	\$333.75	\$0.00	\$39.36	\$0.00
PRUDENTIAL PLC ADR								
	CUSIP: 74435K204	Symbol: PUK						
	33 000	02/20/13	09/11/15	\$1,424.76	\$978.76	\$0.00	\$446.00	\$0.00
PVH CORPORATION								
	CUSIP: 693656100	Symbol: PVH						
	1 000	06/10/11	04/02/15	\$107.18	\$62.40	\$0.00	\$44.78	\$0.00
	1 000	06/10/11	04/06/15	\$107.95	\$62.40	\$0.00	\$45.55	\$0.00
	1 000	07/29/11	04/06/15	\$107.95	\$71.63	\$0.00	\$36.32	\$0.00
Security Subtotal	3 000			\$323.08	\$196.43	\$0.00	\$126.65	\$0.00
QUANTA SERVICES INC								
	CUSIP: 74762E102	Symbol: PWR						
	4 000	09/19/14	10/09/15	\$107.11	\$149.35	\$0.00	(\$42.24)	\$0.00
	3 000	09/23/14	10/09/15	\$80.34	\$110.95	\$0.00	(\$30.61)	\$0.00
	2 000	09/23/14	10/13/15	\$52.36	\$73.97	\$0.00	(\$21.61)	\$0.00
	4 000	10/02/14	10/13/15	\$104.71	\$140.97	\$0.00	(\$36.26)	\$0.00
Security Subtotal	13 000			\$344.52	\$475.24	\$0.00	(\$130.72)	\$0.00
RED ELECTRICA CORPORACION SA								
	CUSIP: 756558101	Symbol: RDEIY						
	29 000	10/10/13	09/11/15	\$469.73	\$356.56	\$0.00	\$113.17	\$0.00
REGENERON PHARM								
	CUSIP: 75886F107	Symbol: REGN						
	2 000	06/27/13	09/11/15	\$1 053.83	\$469.66	\$0.00	\$584.17	\$0.00
RELIANCE STEEL & ALUM CO								
	CUSIP: 759509102	Symbol: RS						
	2 000	06/10/11	04/29/15	\$129.28	\$95.14	\$0.00	\$34.14	\$0.00

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Page 84 of 109

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part II with box D checked) (Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
CUSIP: 759509102 Symbol: RS								
RELIAANCE STEEL & ALUM CO(Cont)	1 000	11/22/11	04/29/15	\$64.64	\$43.32	\$0.00	\$21.32	\$0.00
	2 000	11/22/11	06/04/15	\$130.69	\$86.64	\$0.00	\$44.05	\$0.00
	1 000	01/26/12	06/04/15	\$65.34	\$54.76	\$0.00	\$10.58	\$0.00
	1 000	01/26/12	09/28/15	\$53.76	\$54.76	\$0.00	(\$1.00)	\$0.00
	1 000	06/10/13	09/28/15	\$53.76	\$65.45	\$0.00	(\$11.69)	\$0.00
Security Subtotal	8 000			\$497.47	\$400.07	\$0.00	\$97.40	\$0.00
CUSIP: 761655604 Symbol: REXMY								
REXAM PLC	23 000	02/20/13	02/24/15	\$976.60	\$998.45	\$0.00	(\$21.85)	\$0.00
	13 000	02/20/13	09/11/15	\$545.94	\$564.34	\$0.00	(\$18.40)	\$0.00
Security Subtotal	36 000			\$1,522.54	\$1,562.79	\$0.00	(\$40.25)	\$0.00
CUSIP: 775108200 Symbol: RCI								
ROGERS COMM INC CL B (BC)	16 000	08/07/12	04/13/15	\$548.30	\$546.78	\$0.00	(\$98.48)	\$0.00
	14 000	10/31/12	04/13/15	\$479.76	\$615.95	\$0.00	(\$136.19)	\$0.00
	18 000	02/20/13	04/13/15	\$616.84	\$853.32	\$0.00	(\$236.48)	\$0.00
Security Subtotal	48 000			\$1,644.90	\$2,116.05	\$0.00	(\$471.15)	\$0.00
CUSIP: 778296103 Symbol: ROST								
ROSS STORES INC	3 000	06/10/11	03/25/15	\$318.92	\$114.78	\$0.00	\$204.14	\$0.00
	1 000	06/10/11	04/23/15	\$103.98	\$38.26	\$0.00	\$65.72	\$0.00
	5 000	06/10/11	09/21/15	\$247.80	\$95.65	\$0.00	\$152.15	\$0.00
Security Subtotal	9 000			\$670.70	\$248.69	\$0.00	\$422.01	\$0.00
CUSIP: 780259206 Symbol: RDSA								
ROYAL DUTCH SHELL PLC	16 000	02/28/12	01/14/15	\$987.08	\$1,178.24	\$191.16 W	(\$191.16)	\$0.00
	18 000	02/28/12	06/10/15	\$1,066.72	\$1,325.52	\$0.00	(\$258.80)	\$0.00
	4 000	02/28/12	06/10/15	\$237.05	\$294.56	\$0.00	(\$57.51)	\$0.00
	16 000	03/20/12	06/10/15	\$948.22	\$1,246.96	\$0.00	(\$298.74)	\$0.00
	26 000	02/20/13	06/10/15	\$1,540.82	\$1,707.89	\$0.00	(\$167.07)	\$0.00
	4 000	02/20/13	06/10/15	\$237.04	\$262.75	\$0.00	(\$25.71)	\$0.00
	42 000	02/20/13	06/10/15	\$2,489.08	\$2,758.77	\$0.00	(\$269.69)	\$0.00
Security Subtotal	126 000			\$7,506.01	\$8,774.69	\$191.16	(\$1,268.68)	\$0.00

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.) (Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
RYANAIR HLDGS PLC ADR	CUSIP: 783513104 Symbol:							
	17 000	02/20/13	01/22/15	\$1,136.71	\$687.23	\$0.00	\$449.48	\$0.00
	9 000	02/20/13	09/11/15	\$734.40	\$363.83	\$0.00	\$370.57	\$0.00
Security Subtotal	26 000			\$1,871.11	\$1,051.06	\$0.00	\$820.05	\$0.00
RYANAIR HLDGS PLC ADR	CUSIP: 783513203 Symbol: RYAAV							
	16 000	02/20/13	11/03/15	\$1,332.28	\$680.85	\$0.00	\$651.43	\$0.00
RYOHIN KEIKAKU CO LTD ADR	CUSIP: 78392U105 Symbol: RYKKY							
	10 000	04/30/14	07/28/15	\$431.89	\$226.03	\$0.00	\$205.86	\$0.00
	7 000	05/22/14	07/28/15	\$302.32	\$157.70	\$0.00	\$144.62	\$0.00
Security Subtotal	17 000			\$734.21	\$383.73	\$0.00	\$350.48	\$0.00
SAGENT PHARMACEUTICALS INC	CUSIP: 786692103 Symbol: SGNT							
	20 000	09/06/13	09/18/15	\$372.59	\$470.41	\$0.00	(\$97.82)	\$0.00
	5 000	09/06/13	10/12/15	\$81.57	\$117.60	\$0.00	(\$36.03)	\$0.00
	2 000	03/12/14	10/12/15	\$32.63	\$44.64	\$0.00	(\$12.01)	\$0.00
	10 000	06/12/14	10/12/15	\$163.14	\$232.07	\$0.00	(\$68.93)	\$0.00
	4 000	06/25/14	10/12/15	\$65.26	\$102.78	\$0.00	(\$37.52)	\$0.00
Security Subtotal	41 000			\$715.19	\$967.50	\$0.00	(\$252.31)	\$0.00
SALESFORCE COM INC	CUSIP: 79466L302 Symbol: CRM							
	10 000	06/27/13	09/11/15	\$696.79	\$388.48	\$0.00	\$308.31	\$0.00
SANDISK CORP	CUSIP: 80004C101 Symbol: SNDK							
	1 000	05/01/13	01/12/15	\$86.14	\$51.25	\$0.00	\$34.89	\$0.00
	1 000	05/01/13	01/12/15	\$83.24	\$51.25	\$0.00	\$31.99	\$0.00
	1 000	05/01/13	04/16/15	\$67.69	\$51.25	\$0.00	\$16.44	\$0.00
	1 000	11/25/13	04/16/15	\$67.69	\$66.28	\$0.00	\$1.41	\$0.00
	1 000	02/19/14	04/16/15	\$67.68	\$74.24	\$0.00	(\$6.56)	\$0.00
	1 000	02/19/14	04/16/15	\$66.16	\$74.24	\$0.00	(\$8.08)	\$0.00
Security Subtotal	6 000			\$438.60	\$368.51	\$0.00	\$70.09	\$0.00
SANDS CHINA LTD UNSPONSORE ADR	CUSIP: 80007R105 Symbol: SCHYY							
	7 000	03/27/13	04/24/15	\$290.83	\$364.86	\$0.00	(\$74.03)	\$0.00

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Page 86 of 109

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in RS box 6 (Reported to IRS) for all option transactions

Long Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.) (Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
SEMTECH CORP								
		CUSIP: 816850101	Symbol: SMTG					
	15 000	06/10/11	09/28/15	\$220.96	\$390.00	\$0.00	(\$169.04)	\$0.00
	3 000	07/29/11	09/28/15	\$44.19	\$70.08	\$0.00	(\$25.89)	\$0.00
	5 000	07/20/12	09/28/15	\$88.38	\$141.90	\$0.00	(\$53.52)	\$0.00
	2 000	03/12/14	09/28/15	\$29.46	\$51.66	\$0.00	(\$22.20)	\$0.00
	10 000	06/25/14	09/28/15	\$147.30	\$261.90	\$0.00	(\$114.60)	\$0.00
Security Subtotal	36 000			\$530.29	\$915.54	\$0.00	(\$385.25)	\$0.00
SEVEN & I HLDGS CO LTD ADR								
		CUSIP: 81783H105	Symbol: SVNDY					
	102 000	02/20/13	10/28/15	\$2,344.18	\$1,527.45	\$0.00	\$816.73	\$0.00
SHERWIN WILLIAMS COMPANY OHIO								
		CUSIP: 824348106	Symbol: SHW					
	4 000	06/27/13	07/16/15	\$1,020.99	\$718.20	\$0.00	\$302.79	\$0.00
	2 000	06/27/13	09/11/15	\$510.37	\$359.10	\$0.00	\$151.27	\$0.00
	3 000	06/27/13	12/03/15	\$808.98	\$538.65	\$0.00	\$270.33	\$0.00
	1 000	06/27/13	12/10/15	\$267.85	\$179.55	\$0.00	\$88.30	\$0.00
Security Subtotal	10 000			\$2,608.19	\$1,795.50	\$0.00	\$812.69	\$0.00
SHUTTERFLY INC								
		CUSIP: 82568P304	Symbol: SFLY					
	10 000	04/05/12	07/31/15	\$432.86	\$300.16	\$0.00	\$132.70	\$0.00
	5 000	05/03/12	07/31/15	\$216.43	\$144.47	\$0.00	\$71.96	\$0.00
	1 000	09/19/12	07/31/15	\$43.28	\$33.12	\$0.00	\$10.16	\$0.00
Security Subtotal	16 000			\$692.57	\$477.75	\$0.00	\$214.82	\$0.00
SIGNET JEWELERS LIMITED								
		CUSIP: G81276100	Symbol: SIG					
	1 000	03/22/13	05/18/15	\$133.62	\$62.64	\$0.00	\$70.98	\$0.00
	5 000	08/13/13	05/18/15	\$668.11	\$379.35	\$0.00	\$288.76	\$0.00
Security Subtotal	6 000			\$801.73	\$441.99	\$0.00	\$359.74	\$0.00
SOFTBANK CORP UNSPONS ADR								
		CUSIP: 83404D109	Symbol: SFTBY					
	28 000	06/04/14	09/11/15	\$750.38	\$1,051.74	\$0.00	(\$301.36)	\$0.00
SOUTHERN CO								
		CUSIP: 842587107	Symbol: SO					
	17 000	02/20/13	01/15/15	\$859.32	\$757.62	\$0.00	\$101.70	\$0.00
	2 000	06/03/13	01/15/15	\$101.10	\$88.17	\$0.00	\$12.93	\$0.00

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked) (Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
SOUTHERN CO (Cont) CUSIP: 842587107 Symbol: SO								
	1 000	06/03/13	04/01/15	\$44 32	\$44 09	\$0 00	\$0 23	\$0 00
	15 000	06/24/13	04/01/15	\$664 79	\$641 49	\$0 00	\$23 30	\$0 00
	11 000	09/11/13	04/01/15	\$487 51	\$450 34	\$0 00	\$37 17	\$0 00
	5 000	01/23/14	04/01/15	\$221 60	\$207 61	\$0 00	\$13 99	\$0 00
Security Subtotal	51 000			\$2,378 64	\$2,189 32	\$0 00	\$189 32	\$0 00
STARBUCKS CORP WASHINGTON CUSIP: 855244109 Symbol: SBUX								
	12 000	06/27/13	09/11/15	\$671 86	\$394 35	\$0 00	\$277 51	\$0 00
STARWOOD HTLS & RSTS WW INC CUSIP: 85590A401 Symbol: HOT								
	2 000	03/02/12	01/28/15	\$146 97	\$109 49	\$0 00	\$37 48	\$0 00
	3 000	03/02/12	02/10/15	\$232 18	\$164 24	\$0 00	\$67 94	\$0 00
	1 000	03/02/12	03/26/15	\$84 94	\$54 75	\$0 00	\$30 19	\$0 00
Security Subtotal	6 000			\$464 09	\$328 48	\$0 00	\$135 61	\$0 00
SWATCH GROUP AG ADR THE UNSPON CUSIP: 870123106 Symbol: SWGAY								
	44 000	02/20/13	01/16/15	\$918 35	\$1 257 08	\$0 00	(\$338 73)	\$0 00
	16 000	02/20/13	04/08/15	\$347 89	\$457 12	\$0 00	(\$109 23)	\$0 00
Security Subtotal	60 000			\$1,266 24	\$1,714 20	\$0 00	(\$447 96)	\$0 00
SWEDBANK AB SPONS ADR CUSIP: 870195104 Symbol: SWDSY								
	26 000	02/20/13	09/11/15	\$614 91	\$642 20	\$0 00	(\$27 29)	\$0 00
TD AMERITRADE HOLDING CORP CUSIP: 87236Y108 Symbol: AMTD								
	4 000	12/02/13	09/28/15	\$123 88	\$115 80	\$0 00	\$7 08	\$0 00
	3 000	12/02/13	09/28/15	\$92 91	\$87 60	\$0 00	\$5 31	\$0 00
	3 000	12/02/13	09/28/15	\$92 91	\$87 60	\$0 00	\$5 31	\$0 00
	2 000	12/02/13	09/28/15	\$61 94	\$58 39	\$0 00	\$3 55	\$0 00
	2 000	12/02/13	09/28/15	\$61 95	\$58 40	\$0 00	\$3 55	\$0 00
	2 000	12/02/13	09/28/15	\$61 94	\$58 40	\$0 00	\$3 54	\$0 00
Security Subtotal	16 000			\$495 53	\$467 19	\$0 00	\$28 34	\$0 00
TENNECO AUTOMOTIVE INC CUSIP: 880349105 Symbol: TEN								
	6 000	05/03/12	07/31/15	\$297 53	\$185 96	\$0 00	\$111 57	\$0 00

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.) (Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
CUSIP: 88160R101 Symbol: TSLA								
TESLA MOTORS INC	2.000	08/29/13	09/11/15	\$492.37	\$331.78	\$0.00	\$160.59	\$0.00
CUSIP: 881624209 Symbol: TEVA								
TEVA PHARMACEUTICALS ADR	27.000	06/17/13	06/10/15	\$1,636.52	\$1,061.89	\$0.00	\$574.63	\$0.00
	11.000	06/17/13	06/10/15	\$666.73	\$432.62	\$0.00	\$234.11	\$0.00
	7.000	06/17/13	06/10/15	\$424.28	\$275.31	\$0.00	\$148.97	\$0.00
	3.000	06/17/13	06/10/15	\$181.84	\$117.99	\$0.00	\$63.85	\$0.00
	52.000	06/17/13	06/10/15	\$3,151.40	\$2,045.12	\$0.00	\$1,106.28	\$0.00
	24.000	06/17/13	07/13/15	\$1,485.84	\$943.90	\$0.00	\$541.94	\$0.00
	10.000	06/17/13	07/13/15	\$619.10	\$393.29	\$0.00	\$225.81	\$0.00
	16.000	06/17/13	09/11/15	\$1,021.71	\$629.27	\$0.00	\$392.44	\$0.00
	6.000	06/17/13	10/22/15	\$339.39	\$235.98	\$0.00	\$103.41	\$0.00
	27.000	04/10/14	10/22/15	\$1,527.25	\$1,411.43	\$0.00	\$115.82	\$0.00
Security Subtotal	183.000			\$11,054.06	\$7,546.80	\$0.00	\$3,507.26	\$0.00
CUSIP: 100557107 Symbol: SAM								
THE BOSTON BEER CO INC A	2.000	08/23/12	08/04/15	\$469.43	\$199.50	\$0.00	\$269.93	\$0.00
CUSIP: 88673M201 Symbol: TBLMY								
TIGER BRANDS LTD SPON ADR NEW	13.000	03/02/11	04/24/15	\$340.55	\$339.21	\$0.00	\$1.34	\$0.00
	6.000	06/10/11	04/24/15	\$157.17	\$172.20	\$0.00	(\$15.03)	\$0.00
	4.000	12/05/11	04/24/15	\$104.78	\$121.88	\$0.00	(\$17.10)	\$0.00
	53.000	02/20/13	04/24/15	\$1,388.38	\$1,823.73	\$0.00	(\$435.35)	\$0.00
	22.000	06/27/13	04/24/15	\$576.31	\$639.98	\$0.00	(\$63.67)	\$0.00
Security Subtotal	98.000			\$2,567.19	\$3,097.00	\$0.00	(\$529.81)	\$0.00
CUSIP: 88677Q109 Symbol: TTS								
TILE SHOP HLDGS INC COM	22.000	10/15/13	06/04/15	\$285.36	\$603.77	\$0.00	(\$318.41)	\$0.00
	6.000	11/04/13	06/04/15	\$77.83	\$135.77	\$0.00	(\$57.94)	\$0.00
	6.000	03/12/14	06/04/15	\$77.82	\$100.64	\$0.00	(\$22.82)	\$0.00
Security Subtotal	34.000			\$441.01	\$840.18	\$0.00	(\$399.17)	\$0.00
CUSIP: 887317303 Symbol: TWX								
TIME WARNER INC NEW	15.000	06/27/13	11/04/15	\$1,054.02	\$832.75	\$0.00	\$221.27	\$0.00

CONTINUED ON NEXT PAGE

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.) (Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
TIME WARNER INC NEW (Cont.) CUSIP: 887317303 Symbol: TWX								
	3.000	06/27/13	11/05/15	\$215.99	\$166.55	\$0.00	\$49.44	\$0.00
	4.000	06/27/13	11/20/15	\$282.88	\$222.07	\$0.00	\$60.81	\$0.00
Security Subtotal	22.000			\$1,552.89	\$1,221.37	\$0.00	\$331.52	\$0.00
TOTAL S A SPON ADR CUSIP: 891515E109 Symbol: TOT								
	25.000	02/28/12	06/10/15	\$1,327.88	\$1,467.13	\$0.00	(\$139.25)	\$0.00
	24.000	07/20/12	06/10/15	\$1,225.74	\$1,056.63	\$0.00	\$169.11	\$0.00
	108.000	02/20/13	06/10/15	\$5,515.81	\$5,459.27	\$0.00	\$56.54	\$0.00
Security Subtotal	158.000			\$8,069.43	\$7,983.03	\$0.00	\$86.40	\$0.00
TOWERS WATSON & CO CL A CUSIP: 891894107 Symbol:								
	1.000	10/07/13	02/26/15	\$131.99	\$114.82	\$0.00	\$17.17	\$0.00
	1.000	10/08/13	05/08/15	\$131.78	\$113.01	\$0.00	\$18.77	\$0.00
	1.000	01/22/14	06/30/15	\$133.95	\$125.19	\$0.00	\$8.76	\$0.00
	2.000	02/06/14	06/30/15	\$267.91	\$204.52	\$0.00	\$63.39	\$0.00
	1.000	02/06/14	07/01/15	\$130.28	\$102.26	\$0.00	\$28.02	\$0.00
	2.000	06/25/14	07/01/15	\$260.56	\$209.38	\$0.00	\$51.18	\$0.00
Security Subtotal	8.000			\$1,056.47	\$869.18	\$0.00	\$187.29	\$0.00
TRANSCANADA CORP (HLDG CO) CUSIP: 89353D107 Symbol: TRP								
	12.000	06/03/13	07/23/15	\$448.81	\$552.67	\$103.86 W	(\$103.86)	\$0.00
	36.000	06/03/13	07/23/15	\$1,346.43	\$1,658.02	\$0.00	(\$311.59)	\$0.00
	22.000	09/11/13	07/23/15	\$822.82	\$972.01	\$0.00	(\$149.19)	\$0.00
Security Subtotal	70.000			\$2,618.06	\$3,182.70	\$103.86	(\$564.64)	\$0.00
TRAVELERS COMPANIES INC COM CUSIP: 89417E109 Symbol: TRV								
	8.000	01/26/12	06/10/15	\$795.37	\$471.12	\$0.00	\$324.25	\$0.00
	7.000	02/13/12	06/10/15	\$695.95	\$413.70	\$0.00	\$282.25	\$0.00
	47.000	02/28/12	06/10/15	\$4,672.77	\$2,741.34	\$0.00	\$1,931.43	\$0.00
Security Subtotal	62.000			\$6,164.09	\$3,626.16	\$0.00	\$2,537.93	\$0.00
TRIPADVISOR INC COM CUSIP: 896945201 Symbol: TRIP								
	2.000	10/28/13	05/06/15	\$154.01	\$154.92	\$0.00	(\$9.91)	\$0.00

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box G (Reported to IRS) for all option transactions

Long Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked) (Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
UGI CORPORATION NEW COM	5 000	CUSIP: 902681105	10/09/15	\$178.06	\$118.73	\$0.00	\$59.33	\$0.00
ULTA SALON COS & FRAGR INC	1 000	CUSIP: 90384S303	10/15/15	\$150.00	\$123.81	\$0.00	\$36.19	\$0.00
UNDER ARMOUR INC CL A	2 000	CUSIP: 904311107	11/17/15	\$171.94	\$128.49	\$0.00	\$43.45	\$0.00
UNILEVER PLC (NEW) ADS	13 000	CUSIP: 904767704	09/11/15	\$512.23	\$525.17	\$12.94 W	(\$12.94)	\$0.00
UNION PACIFIC CORP	6 000	CUSIP: 907818108	03/17/15	\$696.35	\$468.60	\$0.00	\$227.75	\$0.00
	9 000	06/27/13	08/26/15	\$743.07	\$702.90	\$0.00	\$40.17	\$0.00
	35 000	06/27/13	08/27/15	\$2,962.66	\$2,733.50	\$0.00	\$229.16	\$0.00
	8 000	06/28/13	08/27/15	\$677.18	\$621.39	\$0.00	\$55.79	\$0.00
Security Subtotal	58 000			\$5,079.26	\$4,526.39	\$0.00	\$552.87	\$0.00
UNITED CONTINENTAL HLDGS INC	2 000	CUSIP: 910047109	03/16/15	\$135.69	\$59.58	\$0.00	\$76.11	\$0.00
	2 000	03/05/13	04/09/15	\$122.56	\$59.58	\$0.00	\$62.98	\$0.00
	2 000	03/15/13	04/09/15	\$122.55	\$62.13	\$0.00	\$60.42	\$0.00
	2 000	03/15/13	05/20/15	\$114.08	\$62.13	\$0.00	\$51.95	\$0.00
	1 000	03/15/13	07/23/15	\$57.51	\$31.06	\$0.00	\$26.45	\$0.00
	1 000	04/03/13	07/23/15	\$57.51	\$29.01	\$0.00	\$28.50	\$0.00
	4 000	06/25/13	07/23/15	\$230.03	\$121.60	\$0.00	\$108.43	\$0.00
	1 000	04/21/14	10/09/15	\$55.76	\$43.72	\$0.00	\$12.04	\$0.00
Security Subtotal	15 000			\$895.69	\$468.81	\$0.00	\$426.88	\$0.00
VALEANT PHARMACEUTIC INTL INC	3 000	CUSIP: 91911K102	09/11/15	\$675.22	\$259.20	\$0.00	\$416.02	\$0.00
	1 000	06/27/13	09/14/15	\$223.18	\$86.40	\$0.00	\$136.78	\$0.00
	2 000	06/27/13	10/21/15	\$235.82	\$172.80	\$0.00	\$63.02	\$0.00
	3 000	07/03/13	10/21/15	\$353.72	\$268.04	\$0.00	\$85.68	\$0.00

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8849 Part II with box D checked.) (Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
VALEANT PHARMACEUTIC INTL INC(Cont)								
	1 000	07/03/13	10/21/15	\$117 91	\$89 35	\$0 00	\$28 56	\$0 00
	1 000	07/09/13	10/21/15	\$117 90	\$90 24	\$0 00	\$27 66	\$0 00
	2 000	07/09/13	10/21/15	\$235 82	\$180 47	\$0 00	\$55 35	\$0 00
	4 000	07/30/13	10/21/15	\$433 37	\$363 94	\$0 00	\$69 43	\$0 00
	3 000	08/13/13	10/21/15	\$325 03	\$308 73	\$0 00	\$16 30	\$0 00
Security Subtotal	20 000			\$2,717 97	\$1,819 17	\$0 00	\$898 80	\$0 00
VALEO SPONSORED ADR								
	16 000	02/20/13	02/23/15	\$1,221 09	\$437 03	\$0 00	\$784 06	\$0 00
	7 000	02/20/13	09/11/15	\$460 11	\$191 20	\$0 00	\$268 91	\$0 00
Security Subtotal	23 000			\$1,681 20	\$628 23	\$0 00	\$1,052 97	\$0 00
VANTIV INC CL-A								
	5 000	05/22/13	09/22/15	\$228 51	\$131 86	\$0 00	\$96 65	\$0 00
	3 000	06/04/13	09/22/15	\$137 11	\$79 55	\$0 00	\$57 56	\$0 00
	2 000	06/04/13	10/28/15	\$101 45	\$53 03	\$0 00	\$48 42	\$0 00
Security Subtotal	10 000			\$467 07	\$264 44	\$0 00	\$202 63	\$0 00
VERIZON COMMUNICATIONS								
	22 000	04/08/14	09/11/15	\$1 003 25	\$1,049 64	\$0 00	(\$46 39)	\$0 00
VERTEX PHARMACEUTICALS								
	5 000	06/06/14	06/10/15	\$622 02	\$365 00	\$0 00	\$257 02	\$0 00
	2 000	06/06/14	07/08/15	\$247 04	\$146 00	\$0 00	\$101 04	\$0 00
	1 000	06/06/14	07/21/15	\$131 02	\$73 00	\$0 00	\$58 02	\$0 00
	1 000	06/06/14	07/21/15	\$131 02	\$73 00	\$0 00	\$58 02	\$0 00
	1 000	06/06/14	07/28/15	\$126 19	\$73 00	\$0 00	\$53 19	\$0 00
	1 000	06/25/14	07/28/15	\$126 19	\$91 09	\$0 00	\$35 10	\$0 00
	1 000	06/25/14	07/28/15	\$126 19	\$91 09	\$0 00	\$35 10	\$0 00
	3 000	06/25/14	09/11/15	\$393 69	\$273 28	\$0 00	\$120 41	\$0 00
	2 000	06/25/14	09/11/15	\$262 46	\$182 19	\$0 00	\$80 27	\$0 00
Security Subtotal	17 000			\$2,165 82	\$1,367 65	\$0 00	\$798 17	\$0 00

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.) (Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
VISA INC CL A	CUSIP: 92826C839 Symbol: V	18.000	06/27/13	09/11/15	\$1,262.41	\$830.27	\$0.00	\$432.14
WAL MART STORES INC	CUSIP: 931142103 Symbol: WMT	3.000	01/26/12	06/10/15	\$218.97	\$182.49	\$0.00	\$0.00
		47.000	02/28/12	06/10/15	\$3,430.60	\$2,761.62	\$0.00	\$0.00
		27.000	02/20/13	06/10/15	\$1,970.77	\$1,867.02	\$0.00	\$0.00
Security Subtotal		77.000		\$5,620.34	\$4,811.13	\$0.00	\$809.21	\$0.00
WELLS FARGO & CO NEW	CUSIP: 949746101 Symbol: WFC	31.000	02/28/12	06/10/15	\$1,776.89	\$969.85	\$0.00	\$0.00
		18.000	10/31/12	06/10/15	\$1,031.74	\$607.51	\$0.00	\$0.00
		2.000	10/31/12	06/10/15	\$114.64	\$67.50	\$0.00	\$0.00
		85.000	12/13/12	06/10/15	\$4,872.11	\$2,842.73	\$0.00	\$0.00
		81.000	12/13/12	06/10/15	\$4,642.84	\$2,708.96	\$0.00	\$0.00
		4.000	12/13/12	06/10/15	\$229.28	\$133.78	\$0.00	\$0.00
Security Subtotal		221.000		\$12,667.50	\$7,330.33	\$0.00	\$5,337.17	\$0.00
WESCO INTL INC	CUSIP: 95082P105 Symbol: WCC	1.000	02/12/13	09/24/15	\$49.27	\$74.52	\$0.00	\$0.00
		1.000	03/25/13	09/24/15	\$49.27	\$73.65	\$0.00	\$0.00
		1.000	03/25/13	09/28/15	\$48.17	\$73.65	\$0.00	\$0.00
		2.000	04/04/13	09/28/15	\$96.34	\$140.52	\$0.00	\$0.00
Security Subtotal		5.000		\$243.05	\$362.34	\$0.00	(\$119.29)	\$0.00
WESTERN ALLIANCE BANCORP	CUSIP: 957638109 Symbol: WAL	9.000	07/18/13	09/11/15	\$282.25	\$153.20	\$0.00	\$0.00
		8.000	07/18/13	12/21/15	\$284.12	\$136.17	\$0.00	\$0.00
		5.000	08/21/13	12/21/15	\$177.57	\$87.25	\$0.00	\$0.00
Security Subtotal		22.000		\$743.94	\$376.62	\$0.00	\$367.32	\$0.00
WILLIAMS CO INC	CUSIP: 969457100 Symbol: WMB	16.000	02/20/13	04/01/15	\$804.01	\$557.71	\$0.00	\$0.00
		41.000	02/20/13	06/22/15	\$2,472.31	\$1,429.14	\$0.00	\$0.00
		3.000	06/03/13	06/22/15	\$180.90	\$105.42	\$0.00	\$0.00

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in 'RS box 6 (Reported to IRS)' for all option transactions

Long Term - Covered Securities (Consider Box 3 (Basis Reported to IRS), as being checked, of this section. These transactions should be reported on Form 8949 Part II with box D checked.) (Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
WILLIAMS CO INC (Cont)		CUSIP: 969457100	Symbol: WMB					
	2,000	09/11/13	06/22/15	\$120.60	\$70.95	\$0.00	\$49.65	\$0.00
Security Subtotal	62,000			\$3,577.82	\$2,163.22	\$0.00	\$1,414.60	\$0.00
WISDOMTREE TRUST JAPN HEDGE EQ		CUSIP: 97717W851	Symbol: DXJ					
	248,000	06/25/14	09/11/15	\$12,509.87	\$12,337.63	\$0.00	\$172.24	\$0.00
XEROX CORP		CUSIP: 984121103	Symbol: XRX					
	58,000	02/28/12	09/11/15	\$597.97	\$481.78	\$0.00	\$116.19	\$0.00
	1,000	07/20/12	09/11/15	\$10.31	\$6.96	\$0.00	\$3.45	\$0.00
Security Subtotal	59,000			\$608.28	\$488.64	\$0.00	\$119.64	\$0.00
XILINX INC		CUSIP: 983919101	Symbol: XLNX					
	6,000	06/10/11	01/28/15	\$237.68	\$200.64	\$0.00	\$37.04	\$0.00
	1,000	06/10/11	06/26/15	\$45.36	\$33.44	\$0.00	\$11.92	\$0.00
	2,000	09/16/11	09/28/15	\$82.82	\$63.52	\$0.00	\$19.30	\$0.00
	4,000	01/26/12	09/28/15	\$165.63	\$142.68	\$0.00	\$22.95	\$0.00
Security Subtotal	13,000			\$531.49	\$440.28	\$0.00	\$91.21	\$0.00
Total Long Term Covered Securities				\$464,061.00	\$375,945.63	\$423.21	\$88,115.36	\$0.00

Morgan Stanley

1099 Consolidated Tax Statement Tax Year 2015 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
12th Floor

New York, NY 10004
Identification Number. 26-4310632

Taxpayer ID Number XX-XXX8780
Account Number. 616 070335 038

Customer Service: 866-324-6088

BERNARD SPAIN TTEE
JOAN & BERNARD SPAIN FOUNDATION
TR U7/A DTD 10/06/2000
233 S 6TH STREET APT 2505
PHILADELPHIA PA 19106-3757

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Noncovered Securities * (Consider Box 5 (Noncovered Security) as being checked and Box 3 (Basis Reported to IRS) as not being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ALLIANCE HOLDINGS GP LP COM	4.000	CUSIP: 01861G100	Symbol: AHGP					
		02/20/13	07/17/15	\$147.77	\$207.29	\$0.00	(\$59.52)	\$0.00
ALLIANCE RES. PARTNERS LP	32.000	CUSIP: 01877R108	Symbol: ARLP					
		02/20/13	09/11/15	\$790.50	\$999.21	\$0.00	(\$208.71)	\$0.00
AMERIGAS PARTNERS COMMON UNITS	12.000	CUSIP: 030975106	Symbol: APU					
		02/20/13	10/09/15	\$525.26	\$523.23	\$0.00	\$2.03	\$0.00
	14.000	02/20/13	10/14/15	\$604.84	\$610.44	\$0.00	(\$5.60)	\$0.00
	10.000	02/20/13	10/22/15	\$429.59	\$436.03	\$0.00	(\$6.44)	\$0.00
	6.000	02/20/13	10/27/15	\$247.22	\$261.62	\$0.00	(\$14.40)	\$0.00
Security Subtotal	42.000			\$1,806.91	\$1,831.32	\$0.00	(\$24.41)	\$0.00
ENERGY TRANS EQTY LP	7.000	CUSIP: 29273V100	Symbol: ETE					
		02/20/13	07/17/15	\$438.02	\$177.95	\$0.00	\$260.07	\$0.00
	7.000	02/20/13	10/09/15	\$174.02	\$88.98	\$0.00	\$85.04	\$0.00
	39.000	02/20/13	10/14/15	\$890.21	\$495.73	\$0.00	\$394.48	\$0.00
Security Subtotal	53.000			\$1,502.25	\$762.66	\$0.00	\$739.59	\$0.00
ENERGY TRANSFER PARTNERS LP	45.000	CUSIP: 29273R109	Symbol: ETP					
		06/03/13	07/17/15	\$2,363.51	\$2,192.39	\$0.00	\$171.12	\$0.00
	7.000	06/03/13	10/09/15	\$322.24	\$341.04	\$0.00	(\$18.80)	\$0.00
Security Subtotal	52.000			\$2,685.75	\$2,533.43	\$0.00	\$152.32	\$0.00
ENTERPRISE PROD PTNRS L.P.	78.000	CUSIP: 293792107	Symbol: EPD					
		02/20/13	09/11/15	\$2,058.60	\$2,220.72	\$0.00	(\$162.12)	\$0.00

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IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Noncovered Securities * (Consider Box 5 (Noncovered Security) as being checked and Box 3 (Basis Reported to IRS) as not being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.) (Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
GOOGLE INC CL C	0.044	06/27/13	05/04/15	\$24.49	\$19.22	\$0.00	\$5.27	\$0.00
Symbol: 38259P706								
HOLLY ENERGY PARTNERS LP	6.000	02/20/13	01/15/15	\$183.41	\$230.93	\$0.00	(\$47.52)	\$0.00
	27.000	02/20/13	09/11/15	\$835.60	\$1,039.20	\$0.00	(\$203.60)	\$0.00
Security Subtotal	33.000			\$1,019.01	\$1,270.13	\$0.00	(\$251.12)	\$0.00
Symbol: 435763107								
INFORMA PLC	29.000	02/20/13	02/06/15	\$455.98	\$430.53	\$0.00	\$25.45	\$0.00
	25.000	02/20/13	09/11/15	\$527.33	\$430.53	\$0.00	\$96.80	\$0.00
Security Subtotal	58.000			\$983.31	\$861.06	\$0.00	\$122.25	\$0.00
Symbol: 45672B305								
JANUS PERKINS MID CAP VALUE I	170.952	11/11/10	09/11/15	\$3,239.54	\$3,760.54	\$0.00	(\$521.00)	\$0.00
	953.629	11/11/10	10/26/15	\$18,567.15	\$20,977.59	\$0.00	(\$2,410.44)	\$0.00
Security Subtotal	1,124.581			\$21,806.69	\$24,738.13	\$0.00	(\$2,931.44)	\$0.00
Symbol: 47103C241								
KOC HLDG AS UNSPON ADR	29.000	11/11/10	01/22/15	\$757.95	\$729.19	\$0.00	\$28.76	\$0.00
Symbol: 49989A109								
LIBERTY GLOBAL PLC LILAC C	1.000	06/27/13	07/09/15	\$41.89	\$38.45	\$0.00	\$3.44	\$0.00
Symbol: G5480U153								
MAGELLAN MIDSTREAM PARTNERS LP	2.000	02/20/13	01/15/15	\$150.89	\$100.69	\$0.00	\$50.20	\$0.00
	10.000	02/20/13	09/11/15	\$659.40	\$503.43	\$0.00	\$155.97	\$0.00
Security Subtotal	12.000			\$810.29	\$604.12	\$0.00	\$206.17	\$0.00
Symbol: 559080106								
NAVIENT CORP COM	214.000	05/21/13	06/10/15	\$414.32	\$3,154.60	\$0.00	\$986.72	\$0.00
Symbol: 63938C105								
NETEASE COM INC ADS	24.000	11/11/10	06/18/15	\$3,613.09	\$973.92	\$0.00	\$2,639.17	\$0.00
Symbol: 64110W102								
NGL ENERGY PARTNERS LP COM UNIT	19.000	02/28/13	01/15/15	\$525.69	\$463.75	\$0.00	\$61.94	\$0.00
	16.000	02/28/13	10/09/15	\$354.35	\$390.53	\$0.00	(\$36.18)	\$0.00
Symbol: 62913M107								

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Page 96 of 109

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Noncovered Securities # (Consider Box 5 (Noncovered Security) as being checked and Box 3 (Basis Reported to IRS) as not being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.) (Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
NGL ENERGY PARTNERS LP COM UNIT (Cont.) CUSIP: 62913M107 Symbol: NGL								
	24.000	02/28/13	10/14/15	\$500.66	\$585.79	\$0.00	(\$85.13)	\$0.00
	5.000	02/28/13	10/22/15	\$93.70	\$122.04	\$0.00	(\$28.34)	\$0.00
	9.000	06/03/13	10/22/15	\$168.67	\$251.07	\$0.00	(\$82.40)	\$0.00
	9.000	06/03/13	10/29/15	\$167.91	\$251.07	\$0.00	(\$83.16)	\$0.00
	13.000	09/11/13	10/29/15	\$242.53	\$399.70	\$0.00	(\$157.17)	\$0.00
	7.000	09/11/13	11/03/15	\$133.69	\$215.22	\$0.00	(\$81.53)	\$0.00
	4.000	10/16/14	11/03/15	\$76.39	\$139.71	\$0.00	(\$63.32)	\$0.00
	7.000	10/22/14	11/03/15	\$133.69	\$252.33	\$0.00	(\$118.64)	\$0.00
	8.000	10/22/14	11/05/15	\$136.48	\$288.37	\$0.00	(\$151.89)	\$0.00
	7.000	10/22/14	11/09/15	\$112.40	\$252.33	\$0.00	(\$139.93)	\$0.00
	7.000	10/27/14	11/09/15	\$112.40	\$251.52	\$0.00	(\$139.12)	\$0.00
	7.000	10/27/14	11/12/15	\$118.88	\$251.52	\$0.00	(\$132.64)	\$0.00
Security Subtotal	142.000			\$2,877.44	\$4,114.95	\$0.00	(\$1,237.51)	\$0.00
ONEOK PARTNERS LP CUSIP: 68268N103 Symbol: OKS								
	40.000	02/20/13	01/15/15	\$1,574.92	\$2,438.81	\$0.00	(\$863.89)	\$0.00
	44.000	02/28/13	01/15/15	\$1,732.41	\$2,412.36	\$0.00	(\$679.95)	\$0.00
	4.000	02/28/13	04/01/15	\$165.90	\$219.30	\$0.00	(\$53.40)	\$0.00
	10.000	06/03/13	04/01/15	\$414.75	\$517.52	\$0.00	(\$102.77)	\$0.00
	4.000	09/11/13	04/01/15	\$165.90	\$209.32	\$0.00	(\$43.42)	\$0.00
	35.000	12/23/13	04/01/15	\$1,451.63	\$1,836.98	\$0.00	(\$385.35)	\$0.00
	5.000	12/23/13	04/09/15	\$205.01	\$262.43	\$0.00	(\$57.42)	\$0.00
Security Subtotal	142.000			\$5,710.52	\$7,896.72	\$0.00	(\$2,186.20)	\$0.00
ORIFLAME COSMETICS SA SPON ADR CUSIP: 686194200 Symbol: ORFLY								
	84.000	11/11/10	05/05/15	\$684.10	\$2,360.40	\$0.00	(\$1,676.30)	\$0.00
	15.000	12/23/10	05/05/15	\$122.16	\$384.59	\$0.00	(\$262.43)	\$0.00
Security Subtotal	99.000			\$806.26	\$2,744.99	\$0.00	(\$1,938.73)	\$0.00
RED HAT INC CUSIP: 756577102 Symbol: RHT								
	3.000	12/07/10	01/06/15	\$204.71	\$142.28	\$0.00	\$62.43	\$0.00
	2.000	12/07/10	12/18/15	\$165.53	\$94.85	\$0.00	\$70.68	\$0.00
Security Subtotal	5.000			\$370.24	\$237.13	\$0.00	\$133.11	\$0.00

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Noncovered Securities # (Consider Box 5 (Noncovered Security) as being checked and Box 3 (Basis Reported to IRS) as not being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked) (Continued)

DESCRIPTION (Box 1a)	QUANTITY	ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1i)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
RYANAIR HLDGS PLC ADR	0 000	02/20/13	10/27/15	\$61.05	\$0.00	\$0.00	\$61.05	\$0.00
SANLAM LTD ADR	47 000	11/11/10	01/28/15	\$563.51	\$371.06	\$0.00	\$192.45	\$0.00
TC PIPELINES LP	4 000	02/20/13	04/01/15	\$251.53	\$162.51	\$0.00	\$68.92	\$0.00
TEEKAY LNG PARTNERS L P	24 000	02/20/13	01/15/15	\$942.04	\$1 018.80	\$0.00	(\$76.76)	\$0.00
	3 000	02/20/13	04/01/15	\$112.61	\$127.35	\$0.00	(\$14.74)	\$0.00
	11 000	02/20/13	07/17/15	\$330.96	\$466.95	\$0.00	(\$135.99)	\$0.00
	4 000	02/20/13	07/23/15	\$110.63	\$169.80	\$0.00	(\$59.17)	\$0.00
	11 000	06/03/13	07/23/15	\$304.23	\$470.40	\$0.00	(\$166.17)	\$0.00
	10 000	06/03/13	07/28/15	\$287.26	\$427.54	\$0.00	(\$140.38)	\$0.00
	4 000	12/23/13	07/28/15	\$114.91	\$169.04	\$0.00	(\$54.13)	\$0.00
	12 000	12/23/13	08/03/15	\$321.47	\$507.11	\$0.00	(\$185.64)	\$0.00
	4 000	03/14/14	08/03/15	\$107.16	\$160.95	\$0.00	(\$53.79)	\$0.00
Security Subtotal	83 000			\$2,631.27	\$3,518.04	\$0.00	(\$886.77)	\$0.00
TRANSMONTAIGNE PARTNERS LP	10 000	02/20/13	01/15/15	\$339.12	\$430.80	\$0.00	(\$91.68)	\$0.00
	6 000	02/20/13	01/16/15	\$201.03	\$258.48	\$0.00	(\$57.45)	\$0.00
Security Subtotal	16 000			\$540.15	\$689.28	\$0.00	(\$149.13)	\$0.00
WILLIAMS PARTN LP NEW COM UNIT	8 000	02/28/13	10/09/15	\$277.16	\$294.39	\$0.00	(\$17.23)	\$0.00
	19 000	02/28/13	10/14/15	\$609.07	\$699.19	\$0.00	(\$90.12)	\$0.00
	11 000	01/23/14	10/14/15	\$352.62	\$682.95	\$0.00	(\$330.33)	\$0.00
Security Subtotal	38 000			\$1,238.85	\$1,676.53	\$0.00	(\$437.68)	\$0.00
WILLIAMS PARTNERS LTD	5 000	09/11/13	01/15/15	\$204.33	\$252.39	\$0.00	(\$48.06)	\$0.00

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Page 98 of 109

Tax Year 2015

BERNARD SPAIN TTEE Account Number: 616 070335 038

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Long Term - Noncovered Securities * (Consider Box 5 (Noncovered Security) as being checked and Box 3 (Basis Reported to IRS) as not being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.) (Continued)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ADJUSTMENTS/CODE (Box 1g)/(Box 1f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
Total Long Term Noncovered Securities				\$57,444.97	\$62,627.15	\$0.00	(\$5,182.18)	\$0.00
Total Long Term Covered and Noncovered Securities				\$521,505.97	\$438,572.78	\$423.21	\$82,933.18	\$0.00
Total Short and Long Term, Covered and Noncovered Securities				\$733,669.24	\$664,249.77	\$1,031.12	\$69,419.47	\$0.00

Form 1099-B Total Reportable Amounts - Does not include cost basis or adjustment amounts for noncovered securities

Total IRS Reportable Proceeds (Box 1d)	\$733,669.24
Total IRS Reportable Cost or Other Basis for Covered Securities (Box 1e)	\$596,360.51
Total IRS Reportable Adjustments (Box 1g)	\$1,031.12
Total Fed Tax Withheld (Box 4)	\$0.00

* Noncovered securities are not subject to the IRS cost basis reporting regulations, therefore their date of acquisition, cost basis, short or long term designation and any disallowed loss resulting from a wash sale or market discount will not be reported to the IRS. The cost basis is provided for informational purposes only and may not reflect required adjustments under the applicable tax regulations. Please consult your tax advisor regarding any adjustments to your original cost basis.