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Form **990-PF**

**Return of Private Foundation**

OMB No 1545-0052

**2015**

Department of the Treasury  
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation  
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Open to Public Inspection

For calendar year 2015 or tax year beginning , 2015, and ending , 20

Name of foundation  
**SUNIL & NITA WADHWANI FAMILY FOUNDATION**

Number and street (or P O box number if mail is not delivered to street address) Room/suite  
**1110 MERIDIAN DRIVE**

City or town, state or province, country, and ZIP or foreign postal code  
**PRESTO, PA 15142-1030**

**A** Employer identification number  
**25-1873137**

**B** Telephone number (see instructions)  
( ) -

**C** If exemption application is pending, check here.

**D** 1 Foreign organizations, check here.   
2 Foreign organizations meeting the 85% test, check here and attach computation.

**E** If private foundation status was terminated under section 507(b)(1)(A), check here.

**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here.

**G** Check all that apply

<input type="checkbox"/> Initial return	<input type="checkbox"/> Initial return of a former public charity
<input type="checkbox"/> Final return	<input type="checkbox"/> Amended return
<input type="checkbox"/> Address change	<input type="checkbox"/> Name change

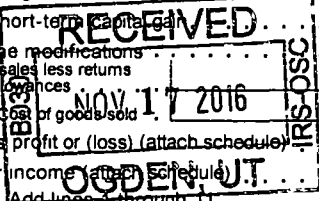
**H** Check type of organization  Section 501(c)(3) exempt private foundation  
 Section 4947(a)(1) nonexempt charitable trust  Other taxable private foundation

**I** Fair market value of all assets at end of year (from Part II, col (c), line 16) **\$ 38,134,442.**

**J** Accounting method  Cash  Accrual  
 Other (specify)

Part I Analysis of Revenue and Expenses (The total amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	22,210,000.			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B.				
	3 Interest on savings and temporary cash investments.				
	4 Dividends and interest from securities	426,453.	426,453.		ATCH 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	25,305,201.			
	b Gross sales price for all assets on line 6a	28,190,842.			
	7 Capital gain net income (from Part IV, line 2)		25,305,201.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach Schedule)					
12 Total. Add lines 1 through 11	47,941,654.	25,731,654.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	0.			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	12,950.			12,950.
	c Other professional fees (attach schedule)	111,916.	72,981.		38,935.
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	293,405.	4,690.		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	681.	681.		
	24 Total operating and administrative expenses. Add lines 13 through 23.	418,952.	78,352.		51,885.
	25 Contributions, gifts, grants paid	1,699,500.			1,699,500.
26 Total expenses and disbursements. Add lines 24 and 25	2,118,452.	78,352.	0.	1,751,385.	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	45,823,202.				
b Net investment income (if negative, enter -0-)		25,653,302.			
c Adjusted net income (if negative, enter -0-)					

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year	End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1	Cash - non-interest-bearing . . . . .	315,465.	5,930,600.	5,930,600.
	2	Savings and temporary cash investments . . . . .			
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable . . . . .			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use . . . . .			
	9	Prepaid expenses and deferred charges . . . . .			
	10a	Investments - U S and state government obligations (attach schedule) . . . . .			
	b	Investments - corporate stock (attach schedule) ATCH 6 . . . . .	11,277,807.	15,978,887.	15,911,552.
	c	Investments - corporate bonds (attach schedule) ATCH 7 . . . . .	3,076,095.	16,468,191.	16,292,290.
	11	Investments - land, buildings, and equipment basis ▶			
	Less: accumulated depreciation (attach schedule) ▶				
12	Investments - mortgage loans . . . . .				
13	Investments - other (attach schedule) . . . . .				
14	Land, buildings, and equipment basis ▶				
	Less: accumulated depreciation (attach schedule) ▶				
15	Other assets (describe ▶)				
16	Total assets (to be completed by all filers - see the instructions Also, see page 1, item I) . . . . .	14,669,367.	38,377,678.	38,134,442.	
Liabilities	17	Accounts payable and accrued expenses . . . . .			
	18	Grants payable . . . . .			
	19	Deferred revenue . . . . .			
	20	Loans from officers, directors, trustees, and other disqualified persons . . . . .			
	21	Mortgages and other notes payable (attach schedule) . . . . .			
	22	Other liabilities (describe ▶)			
23	Total liabilities (add lines 17 through 22) . . . . .	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>				
	and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted . . . . .			
	25	Temporarily restricted . . . . .			
	26	Permanently restricted . . . . .			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>				
	27	Capital stock, trust principal, or current funds . . . . .	315,465.	5,930,600.	
28	Paid-in or capital surplus, or land, bldg, and equipment fund . . . . .				
29	Retained earnings, accumulated income, endowment, or other funds . . . . .	14,353,902.	32,447,078.		
30	Total net assets or fund balances (see instructions) . . . . .	14,669,367.	38,377,678.		
31	Total liabilities and net assets/fund balances (see instructions) . . . . .	14,669,367.	38,377,678.		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	1	14,669,367.
2	Enter amount from Part I, line 27a . . . . .	2	45,823,202.
3	Other increases not included in line 2 (itemize) ▶ ATCH 8 . . . . .	3	8,366.
4	Add lines 1, 2, and 3 . . . . .	4	60,500,935.
5	Decreases not included in line 2 (itemize) ▶ ATCH 9 . . . . .	5	22,123,257.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 . . . . .	6	38,377,678.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SEE PART IV SCHEDULE			
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		
a				
b				
c				
d				
e				
2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	25,305,201.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	0.

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
 If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2014	902,681.	7,935,212.	0.113756
2013	408,511.	8,346,494.	0.048944
2012	152,850.	8,078,414.	0.018921
2011	287,000.	8,237,311.	0.034841
2010	10,000.	2,308,756.	0.004331
2	Total of line 1, column (d)		0.220793
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years		0.044159
4	Enter the net value of noncharitable-use assets for 2015 from Part X, line 5		30,531,897.
5	Multiply line 4 by line 3		1,348,258.
6	Enter 1% of net investment income (1% of Part I, line 27b)		256,533.
7	Add lines 5 and 6		1,604,791.
8	Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions		1,751,385.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

Table with 11 rows for excise tax calculations. Includes categories like 'Exempt operating foundations', 'Domestic foundations', 'Tax under section 511', 'Credits/Payments', and 'Tax due'. Total tax due is 43,390.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Questions include 'Did the foundation attempt to influence any national, state, or local legislation?', 'Did it spend more than \$100 for political purposes?', 'Did the foundation file Form 1120-POL?', etc.

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions). . . . . 11 Yes No X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) . . . . . 12 X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A 13 X
14 The books are in care of PNC BANK, C/O ROBERT O'BRIEN Telephone no 412-768-3387 Located at ONE PNC PLAZA PITTSBURGH, PA ZIP+4 15222
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here . . . . . 15 N/A
16 At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . . 16 Yes No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year did the foundation (either directly or indirectly)
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? . . . . . Yes X No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . . Yes X No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . . . Yes X No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . . . Yes X No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . . Yes X No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) . . . . . Yes X No
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? . . . . . 1b N/A
Organizations relying on a current notice regarding disaster assistance check here . . . . .
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015? . . . . . 1c X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))
a At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? . . . . . Yes X No
If "Yes," list the years
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) . . . . . 2b N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . Yes X No
b If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015) . . . . . 3b N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015? 4b X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?  Yes  No

(3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions).  Yes  No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?  **5b** N/A

Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?  Yes  No  
If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  Yes  No **6b** X  
If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?  Yes  No **7b**

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 10		0.	0.	0.

**2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000.

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		0
Total number of others receiving over \$50,000 for professional services . . . . .		▶

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc

	Expenses
1 N/A	
2	
3	
4	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3 . . . . .	▶



**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes.		
a	Average monthly fair market value of securities . . . . .	1a	30,650,436.
b	Average of monthly cash balances . . . . .	1b	346,414.
c	Fair market value of all other assets (see instructions) . . . . .	1c	
d	Total (add lines 1a, b, and c) . . . . .	1d	30,996,850.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) . . . . .	1e	
2	Acquisition indebtedness applicable to line 1 assets . . . . .	2	
3	Subtract line 2 from line 1d . . . . .	3	30,996,850.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions) . . . . .	4	464,953.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4 . . . . .	5	30,531,897.
6	Minimum investment return. Enter 5% of line 5 . . . . .	6	1,526,595.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part)

1	Minimum investment return from Part X, line 6 . . . . .	1	1,526,595.
2a	Tax on investment income for 2015 from Part VI, line 5 . . . . .	2a	256,533.
b	Income tax for 2015 (This does not include the tax from Part VI) . . . . .	2b	
c	Add lines 2a and 2b . . . . .	2c	256,533.
3	Distributable amount before adjustments Subtract line 2c from line 1 . . . . .	3	1,270,062.
4	Recoveries of amounts treated as qualifying distributions . . . . .	4	
5	Add lines 3 and 4 . . . . .	5	1,270,062.
6	Deduction from distributable amount (see instructions) . . . . .	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1 . . . . .	7	1,270,062.

**Part XII Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26 . . . . .	1a	1,751,385.
b	Program-related investments - total from Part IX-B . . . . .	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes . . . . .	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required) . . . . .	3a	
b	Cash distribution test (attach the required schedule) . . . . .	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4 . . . . .	4	1,751,385.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions) . . . . .	5	256,533.
6	Adjusted qualifying distributions. Subtract line 5 from line 4 . . . . .	6	1,494,852.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income (see instructions)**

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7 . . . . .				1,270,062.
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only . . . . .				
b Total for prior years 20 13 , 20 12 , 20 11 . . . . .				
3 Excess distributions carryover, if any, to 2015				
a From 2010 . . . . .				
b From 2011 . . . . .				
c From 2012 . . . . .				
d From 2013 . . . . .				
e From 2014 . . . . . 565,275.				
f Total of lines 3a through e . . . . .	565,275.			
4 Qualifying distributions for 2015 from Part XII, line 4 ▶ \$ 1,751,385.				
a Applied to 2014, but not more than line 2a . . . . .				
b Applied to undistributed income of prior years (Election required - see instructions) . . . . .				
c Treated as distributions out of corpus (Election required - see instructions) . . . . .				
d Applied to 2015 distributable amount . . . . .				1,270,062.
e Remaining amount distributed out of corpus . . . . .	481,323.			
5 Excess distributions carryover applied to 2015 . (If an amount appears in column (d), the same amount must be shown in column (a) )				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5 . . . . .	1,046,598.			
b Prior years' undistributed income Subtract line 4b from line 2b. . . . .				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .				
d Subtract line 6c from line 6b Taxable amount - see instructions . . . . .				
e Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount - see instructions . . . . .				
f Undistributed income for 2015 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2016. . . . .				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) . . . . .				
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions) . . . . .				
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a . . . . .	1,046,598.			
10 Analysis of line 9				
a Excess from 2011 . . . . .				
b Excess from 2012 . . . . .				
c Excess from 2013 . . . . .				
d Excess from 2014 . . . . . 565,275.				
e Excess from 2015 . . . . . 481,323.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) NOT APPLICABLE

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling.
b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.
b 85% of line 2a
c Qualifying distributions from Part XII, line 4 for each year listed
d Amounts included in line 2c not used directly for active conduct of exempt activities
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c
3 Complete 3a, b, or c for the alternative test relied upon
a "Assets" alternative test - enter
(1) Value of all assets
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed
c "Support" alternative test - enter
(1) Total support other than gross investment income
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)
(3) Largest amount of support from an exempt organization
(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:
a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2).)
SUNIL WADHWANI
b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest
NONE
2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:
Check here [ ] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d
a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed
ATCH 11
b The form in which applications should be submitted and information and materials they should include
LETTER
c Any submission deadlines
NONE
d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors
ATCH 12

**Part XV** Supplementary Information (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<p>a Paid during the year</p> <p>ATCH 13</p>				
<b>Total</b> .....				<b>▶ 3a</b> 1,699,500.
<p>b Approved for future payment</p> <p>ATCH 14</p>				
<b>Total</b> .....				<b>▶ 3b</b> 180,000.

Part XVI-A Analysis of Income-Producing Activities

Table with 5 main columns: (a) Business code, (b) Amount, (c) Exclusion code, (d) Amount, (e) Related or exempt function income. Rows include Program service revenue, Membership dues and assessments, Interest on savings and temporary cash investments, Dividends and interest from securities, Net rental income, Gain or (loss) from sales of assets, and Subtotal.

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Table with 2 columns: Line No. and Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code... a Transfers from the reporting foundation to a noncharitable exempt organization of (1) Cash (2) Other assets b Other transactions (1) Sales of assets to a noncharitable exempt organization (2) Purchases of assets from a noncharitable exempt organization (3) Rental of facilities, equipment, or other assets (4) Reimbursement arrangements (5) Loans or loan guarantees (6) Performance of services or membership or fundraising solicitations c Sharing of facilities, equipment, mailing lists, other assets, or paid employees d If the answer to any of the above is "Yes," complete the following schedule...

Table with 4 columns: (a) Line no, (b) Amount involved, (c) Name of noncharitable exempt organization, (d) Description of transfers, transactions, and sharing arrangements. Row 1 contains 'N/A' for both (b) and (d).

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code... 2b If "Yes," complete the following schedule.

Table with 3 columns: (a) Name of organization, (b) Type of organization, (c) Description of relationship.

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Sign Here SUNIL WADHWANI 11/14/2016 PRESIDENT Signature of officer or trustee Date Title May the IRS discuss this return with the preparer shown below (see instructions)? [X] Yes [ ] No

Paid Preparer Use Only Print/Type preparer's name SARA J PEPPER Preparer's signature SARA J PEPPER, CPA Date 11/11/16 Check [ ] if self-employed PTIN P00888463 Firm's name PRICEWATERHOUSECOOPERS LLP Firm's address 600 GRANT STREET PITTSBURGH, PA 15219 Phone no 412-355-6000

# Schedule of Contributors

2015

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

Name of the organization

SUNIL & NITA WADHWANI FAMILY FOUNDATION

Employer identification number

25-1873137

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

501(c)( ) (enter number) organization

4947(a)(1) nonexempt charitable trust not treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

### General Rule

- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

### Special Rules

- For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. . . . . ▶ \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization **SUNIL & NITA WADHWANI FAMILY FOUNDATION**

Employer identification number  
**25-1873137**

**Part I** **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	SUNIL WADHWANI  1110 MERIDIAN DRIVE  PRESTO, PA 15142-1030	\$ 22,210,000.	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)



Name of organization **SUNIL & NITA WADHWANI FAMILY FOUNDATION**

Employer identification number

25-1873137

**Part II** Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	500,000 SHS IGATE CAPITAL CORPORATION	\$ 22,210,000.	04/23/2015

Name of organization **SUNIL & NITA WADHWANI FAMILY FOUNDATION**

Employer identification number  
25-1873137

**Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$ \_\_\_\_\_**  
Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

**FORM 990-PF - PART IV  
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		TOTAL CAPITAL GAIN DISTRIBUTIONS					25,177.	
25388448.		528,926 SHS IGATE CAPITAL CORPORATION PROPERTY TYPE: SECURITIES 86,744.				D	VARIOUS 25301704.	07/02/2015
37,246.		HAWTHORN #8493750 - SEE ATTACHED PROPERTY TYPE: SECURITIES 41,845.				P	VARIOUS -4,599.	VARIOUS
2,739,956.		HAWTHORN #8493750 - SEE ATTACHED PROPERTY TYPE: SECURITIES 2,757,052.				P	VARIOUS -17,096.	VARIOUS
1.		ARES MANAGEMENT, LP PROPERTY TYPE: OTHER				P	VARIOUS 1.	VARIOUS
14.		SHELL MIDSTREAM BASIS ADJUSTMENT AT SALE PROPERTY TYPE: OTHER				P	09/16/2015 14.	VARIOUS
TOTAL GAIN(LOSS) .....							<u>25305201.</u>	

DETAIL OF SHORT-TERM CAPITAL GAINS AND LOSSES

Capital Gain/Loss Detail	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Adjustment Code(s)	Amount of Adjustment	Gain or Loss
279. HONG KONG EXCHANGES UNSPR ADR	07/17/2015	09/30/2015	6,384.83	7,213.45			-828.62
183. IMPERIAL OIL LTD NEW	07/17/2015	11/06/2015	5,999.45	6,335.47			-336.02
1323. SCHNEIDER ELECTRIC SE	07/17/2015	09/30/2015	14,695.29	17,127.79			-2,432.50
48. SHIRE PIC SPONSORED ADR	07/17/2015	10/19/2015	10,166.65	11,168.08			-1,001.43
<b>Totals</b>			37,246.22	41,844.79			-4,598.57

USA XG900 3 000

DETAIL OF LONG-TERM CAPITAL GAINS AND LOSSES

Capital Gain/Loss Detail		Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Adjustment Code(s)	Amount of Adjustment	Gain or Loss
59.	ABM INDS INC	12/31/2000	10/29/2015	1,631.61	1,747.58			-115.97
8.	ACTUANT CORP CL A	12/31/2000	09/09/2015	157.20	182.84			-25.64
9.	ACTUANT CORP CL A	12/31/2000	09/09/2015	175.14	205.70			-30.56
9.	ACTUANT CORP CL A	12/31/2000	09/10/2015	173.47	205.70			-32.23
4.	ACTUANT CORP CL A	12/31/2000	09/11/2015	76.20	91.42			-15.22
7.	ACTUANT CORP CL A	12/31/2000	09/14/2015	130.48	159.99			-29.51
12.	ACTUANT CORP CL A	12/31/2000	09/15/2015	224.94	274.27			-49.33
21.	ACTUANT CORP CL A	12/31/2000	09/15/2015	399.51	479.97			-80.46
6.	ALASKA AIR GROUP INC	12/31/2000	08/18/2015	484.36	449.54			34.82
83.	ALLSTATE CORP	12/31/2000	08/18/2015	5,276.21	5,633.20			-356.99
157.	AMERICA MOVIL S A B DE C V SPON ADR L SHS	12/31/2000	07/23/2015	3,040.35	3,178.47			-138.12
5.	AMERICA MOVIL S A B DE C V SPON ADR L SHS	12/31/2000	07/28/2015	92.52	101.22			-8.70
89.	AMERICA MOVIL S A B DE C V SPON ADR L SHS	12/31/2000	07/29/2015	1,689.18	1,801.81			-112.63
5.	AMERICA MOVIL S A B DE C V SPON ADR L SHS	12/31/2000	07/29/2015	94.64	101.22			-6.58
14.	AMERICA MOVIL S A B DE C V SPON ADR L SHS	12/31/2000	07/29/2015	265.08	283.43			-18.35
2.	AMERICAN STS WTR CO	12/31/2000	10/16/2015	81.59	76.04			5.55
1.	AMERICAN STS WTR CO	12/31/2000	10/19/2015	41.37	38.02			3.35
1.	AMERICAN STS WTR CO	12/31/2000	10/20/2015	41.94	38.02			3.92
3.	AMERICAN STS WTR CO	12/31/2000	10/21/2015	123.08	114.06			9.02
3.	AMERICAN STS WTR CO	12/31/2000	10/22/2015	123.77	114.06			9.71
11.	AMERICAN WOODMARK CORP	12/31/2000	10/29/2015	788.80	763.18			25.62
7.	AMERIPRISE FINANCIAL INC	12/31/2000	09/29/2015	733.82	815.37			-81.55
7.	AMTRUST FINANCIAL SERVICES	12/31/2000	08/04/2015	495.72	463.26			32.46
.5	ASTRONICS CORP CL B	12/31/2000	10/29/2015	18.96	23.11			-4.15
712.	AXA SPONSORED ADR	12/31/2000	10/28/2015	18,990.68	18,381.09			609.59
455.	BG GROUP PLC SPON ADR	12/31/2000	10/30/2015	7,168.48	7,224.60			-56.12
1.	BANK OF THE OZARKS	12/31/2000	10/30/2015	50.03	44.25			5.78
13.	BANK OF THE OZARKS	12/31/2000	11/02/2015	658.61	575.25			83.36
15.	BANK OF THE OZARKS	12/31/2000	11/11/2015	816.13	663.75			152.38
4.	BARRETT BUSINESS SERVICES	12/31/2000	11/11/2015	161.95	143.71			18.24
3.	BARRETT BUSINESS SERVICES	12/31/2000	11/11/2015	122.29	107.78			14.51
24.	BARRETT BUSINESS SERVICES	12/31/2000	11/12/2015	947.86	862.25			85.61
18.	BARRETT BUSINESS SERVICES	12/31/2000	11/13/2015	696.83	646.68			50.15
<b>Totals</b>								

DETAIL OF LONG-TERM CAPITAL GAINS AND LOSSES

Capital Gain/Loss Detail	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Adjustment Code(s)	Amount of Adjustment	Gain or Loss
24461.84 BLACKROCK STRATEGIC INCOME OPPORTUNITIES PORTFOLIO	12/31/2000	04/01/2015	250,000.00	247,313.97			2,686.03
45. BLOOMIN BRANDS INC	12/31/2000	11/06/2015	744.31	912.15			-167.84
1. CAL MAINE FOODS INC NEW	12/31/2000	12/11/2015	46.74	57.55			-10.81
13. CAL MAINE FOODS INC NEW	12/31/2000	12/14/2015	593.51	748.12			-154.61
9. CHEYRON CORPORATION	12/31/2000	09/29/2015	684.11	742.45			-58.34
2. COMFORT SYS USA INC	12/31/2000	10/16/2015	58.61	56.81			1.80
3. COMFORT SYS USA INC	12/31/2000	10/19/2015	88.85	85.22			3.63
7. COMFORT SYS USA INC	12/31/2000	10/20/2015	210.20	198.85			11.35
2. COMFORT SYS USA INC	12/31/2000	12/11/2015	62.50	56.81			5.69
25. COMFORT SYS USA INC	12/31/2000	12/14/2015	766.87	710.16			56.71
18. CURTISS WRIGHT CORP	12/31/2000	11/19/2015	1,262.53	1,215.54			46.99
9596.929 DRIEHAUS ACTIVE INCOME FUND FUND 640	12/31/2000	01/13/2015	100,000.00	103,196.75			-3,196.75
10. GEA GROUP AG SPON ADR	12/31/2000	08/14/2015	403.17	449.35			-46.18
98. GEA GROUP AG SPON ADR	12/31/2000	08/17/2015	3,926.28	4,403.63			-477.35
15. GEA GROUP AG SPON ADR	12/31/2000	08/24/2015	576.24	674.03			-97.79
10. G-III APPAREL GROUP LTD	12/31/2000	11/06/2015	534.31	672.30			-137.99
54. GENERAL CABLE CORP DEL NEW	12/31/2000	12/11/2015	648.65	782.45			-133.80
141. HCA HOLDINGS INC	12/31/2000	12/30/2015	9,683.61	12,449.39			-2,765.78
149. HANESBRANDS INC - W/I	12/31/2000	08/18/2015	4,523.10	5,022.35			-499.25
473. HEINEKEN NV ADR	12/31/2000	10/29/2015	21,463.58	18,625.80			2,837.78
225. INTERNATIONAL PAPER CO	12/31/2000	09/29/2015	8,395.78	9,891.04			-1,495.26
1200. ISHARES TR S&P SMALLCAP 600 INDEX FD	12/31/2000	08/31/2015	132,955.51	140,768.88			-7,813.37
23. KIMBERLY-CLARK CORP	12/31/2000	12/31/2015	2,940.26	2,463.28			476.98
3. LANDSTAR SYS INC	12/31/2000	10/16/2015	191.51	201.98			-10.47
5. LANDSTAR SYS INC	12/31/2000	10/19/2015	323.00	336.64			-13.64
3. LANDSTAR SYS INC	12/31/2000	10/20/2015	195.73	201.98			-6.25
4. LANDSTAR SYS INC	12/31/2000	10/21/2015	260.62	269.31			-8.69
2. LANDSTAR SYS INC	12/31/2000	10/22/2015	129.12	134.66			-5.54
95. LINCOLN NATIONAL CORP	12/31/2000	08/18/2015	5,352.73	5,606.42			-253.69
54. MYR GROUP INC DELAWARE	12/31/2000	11/06/2015	1,041.33	1,466.64			-425.31
220. MACY'S INC	12/31/2000	12/30/2015	7,771.12	13,588.80			-5,817.68
.333 MADISON SQUARE GARDEN CO/-MI	12/31/2000	10/08/2015	57.21	56.03			1.18
231. MAGNA INTERNATIONAL ISIN CA559224011	12/31/2000	12/30/2015	9,544.89	11,845.83			-2,300.94
<b>Totals</b>							

DETAIL OF LONG-TERM CAPITAL GAINS AND LOSSES

Capital Gain/Loss Detail		Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Adjustment Code(s)	Amount of Adjustment	Gain or Loss
11.	MANHATTAN ASSOCS INC	12/31/2000	09/29/2015	683.40	674.96			8.44
19.	MANHATTAN ASSOCS INC	12/31/2000	10/26/2015	1,392.26	1,165.83			226.43
61.	MCKESSON CORPORATION	12/31/2000	12/30/2015	12,179.66	12,865.86			-686.20
4.	MENTOR GRAPHICS CORP	12/31/2000	12/11/2015	69.79	108.95			-39.16
34.	MENTOR GRAPHICS CORP	12/31/2000	12/14/2015	594.16	926.09			-331.93
15.	MEREDITH CORPORATION	12/31/2000	11/06/2015	703.95	742.35			-38.40
1.	NATIONAL WESTERN LIFE GROU-A	12/31/2000	11/19/2015	258.75	227.21			31.54
3.	NATIONAL WESTERN LIFE GROU-A	12/31/2000	11/20/2015	772.04	681.63			90.41
15.	NIPPON TELEG & TEL CORP SPONSORED ADR	12/31/2000	08/27/2015	581.94	562.13			19.81
10.	NIPPON TELEG & TEL CORP SPONSORED ADR	12/31/2000	10/21/2015	378.22	374.56			3.66
90.	NIPPON TELEG & TEL CORP SPONSORED ADR	12/31/2000	10/22/2015	3,426.78	3,371.06			55.72
66.	NIPPON TELEG & TEL CORP SPONSORED ADR	12/31/2000	10/23/2015	2,530.03	2,472.11			57.92
33.	NIPPON TELEG & TEL CORP SPONSORED ADR	12/31/2000	10/26/2015	1,251.33	1,236.06			15.27
258.	NIPPON TELEG & TEL CORP SPONSORED ADR	12/31/2000	10/27/2015	9,684.86	9,663.71			21.15
38.	OMEGA HEALTHCARE INVS INC	12/31/2000	09/29/2015	1,346.28	1,169.18			177.10
318.	ORACLE CORP	12/31/2000	09/29/2015	11,253.94	12,378.83			-1,124.89
3.	PRA GROUP INC	12/31/2000	10/16/2015	162.37	159.24			3.13
4.	PRA GROUP INC	12/31/2000	10/19/2015	216.24	212.32			3.92
3.	PRA GROUP INC	12/31/2000	10/20/2015	159.52	159.24			0.28
4.	PRA GROUP INC	12/31/2000	10/21/2015	215.60	212.32			3.28
2.	PRA GROUP INC	12/31/2000	10/22/2015	107.93	106.16			1.77
218.	PACCAR INC	12/31/2000	12/30/2015	10,544.00	13,194.46			-2,650.46
9.	PARCEL INTERNATIONAL CORP	12/31/2000	11/06/2015	602.20	612.54			-10.34
1035.	PEARSON PLC SPONSORED ADR	12/31/2000	11/18/2015	12,635.87	18,432.74			-5,796.87
30.	PERICOM SEMICONDUCTOR CORP	12/31/2000	10/29/2015	523.10	503.21			19.89
190.	PERICOM SEMICONDUCTOR CORP	12/31/2000	10/30/2015	3,299.94	3,186.98			112.96
16.	PINNACLE FINANCIAL	12/31/2000	11/19/2015	862.69	775.20			87.49
2.	PINNACLE FINANCIAL	12/31/2000	12/11/2015	101.45	96.90			4.55
11.	PINNACLE FINANCIAL	12/31/2000	12/14/2015	555.41	532.95			22.46
85.	RLJ LODGING TRUST	12/31/2000	12/11/2015	1,891.89	2,411.45			-519.56
2.	REVLON INC CLASS A	12/31/2000	10/16/2015	61.50	64.18			-2.68
4.	REVLON INC CLASS A	12/31/2000	10/19/2015	122.67	128.36			-5.69
24.	REVLON INC CLASS A	12/31/2000	10/20/2015	729.07	770.16			-41.09
<b>Totals</b>								

DETAIL OF LONG-TERM CAPITAL GAINS AND LOSSES

Capital Gain/Loss Detail		Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Adjustment Code(s)	Amount of Adjustment	Gain or Loss
13.	REVILON INC CLASS A	12/31/2000	10/21/2015	399.59	417.17			-17.58
11.	REVILON INC CLASS A	12/31/2000	10/22/2015	339.58	352.99			-13.41
6.	REYNOLDS AMERICAN INC	12/31/2000	08/18/2015	513.23	476.41			36.82
2010.	SPDR TRUST UNIT SER 1	12/31/2000	11/30/2015	420,291.91	417,428.96			2,862.95
2.	SANMINA CORP	12/31/2000	12/11/2015	41.02	39.52			1.50
21.	SANMINA CORP	12/31/2000	12/14/2015	420.61	414.96			5.65
5.	SCHLUMBERGER LTD	12/31/2000	08/18/2015	413.95	418.56			-4.61
11.	HEALTH CARE SELECT SECTOR ETF LARGE CAP	12/31/2000	01/30/2015	768.21	701.76			66.45
61.	HEALTH CARE SELECT SECTOR ETF LARGE CAP	12/31/2000	03/31/2015	4,478.90	3,891.56			587.34
1.	HEALTH CARE SELECT SECTOR ETF LARGE CAP	12/31/2000	07/31/2015	76.75	63.80			12.95
1893.	HEALTH CARE SELECT SECTOR ETF LARGE CAP	12/31/2000	09/30/2015	124,622.04	120,797.10			3,824.94
2808.	SECTOR SPDR TR SBI CONS STPLS	12/31/2000	03/31/2015	137,511.12	136,026.26			1,484.86
2768.	SECTOR SPDR TR SBI CONS STPLS	12/31/2000	09/30/2015	130,177.20	131,044.59			-867.39
44.	AMEX CYCL TRANS SELECT	12/31/2000	07/31/2015	3,535.17	3,340.91			194.26
5250.	AMEX CYCL TRANS SELECT	12/31/2000	10/28/2015	420,340.86	390,968.25			29,372.61
3214.	SECTOR SPDR TR SHS BEN INT-TECHNOLOGY	12/31/2000	01/30/2015	129,334.93	125,377.78			3,957.15
3231.	SECTOR SPDR TR SHS BEN INT-TECHNOLOGY	12/31/2000	07/31/2015	137,713.35	134,970.82			2,742.53
195.	SECTOR SPDR TR SHS BEN INT-UTILITIES	12/31/2000	01/30/2015	9,520.74	9,034.70			486.04
2867.	SECTOR SPDR TR SHS BEN INT-UTILITIES	12/31/2000	03/31/2015	127,004.90	132,833.27			-5,828.37
21.	SHELL MIDSTREAM PARTNERS LP	12/31/2000	10/29/2015	686.08	755.37			-69.29
31.	SHELL MIDSTREAM PARTNERS LP	12/31/2000	11/19/2015	1,019.54	1,115.07			-95.53
31.	SHOE CARNIVAL INC	12/31/2000	11/06/2015	670.56	756.80			-86.24
13.	SHOE CARNIVAL INC	12/31/2000	11/09/2015	265.99	317.37			-51.38
16.	SHOE CARNIVAL INC	12/31/2000	11/10/2015	324.74	390.61			-65.87
13.	SHOE CARNIVAL INC	12/31/2000	11/11/2015	254.07	317.37			-63.30
75.	SHOE CARNIVAL INC	12/31/2000	11/12/2015	1,358.66	1,830.97			-472.31
17.	SKECHERS U S A INC CL A	12/31/2000	10/29/2015	537.44	764.26			-226.82
12.	SKECHERS U S A INC CL A	12/31/2000	11/06/2015	351.17	539.48			-188.31
22.	SKECHERS U S A INC CL A	12/31/2000	11/06/2015	643.80	989.05			-345.25
30.	SORRENTO THERAPEUTICS INC	12/31/2000	10/16/2015	256.65	405.05			-148.40
14.	SORRENTO THERAPEUTICS INC	12/31/2000	10/16/2015	124.49	189.03			-64.54
12.	SORRENTO THERAPEUTICS INC	12/31/2000	10/19/2015	104.13	162.02			-57.89
18.	SORRENTO THERAPEUTICS INC	12/31/2000	10/20/2015	147.54	243.03			-95.49
<b>Totals</b>								



DETAIL OF LONG-TERM CAPITAL GAINS AND LOSSES

Capital Gain/Loss Detail	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Adjustment Code(s)	Amount of Adjustment	Gain or Loss
23. SORRENTO THERAPEUTICS INC	12/31/2000	10/21/2015	176.77	310.54			-133.77
13. SORRENTO THERAPEUTICS INC	12/31/2000	10/22/2015	101.49	175.53			-74.04
12. STATE BANK FINANCIAL CORP	12/31/2000	11/06/2015	270.46	244.21			26.25
5. STATE BANK FINANCIAL CORP	12/31/2000	11/06/2015	113.38	101.76			11.62
28. STATE BANK FINANCIAL CORP	12/31/2000	11/09/2015	638.30	569.83			68.47
29. STATE BANK FINANCIAL CORP	12/31/2000	11/19/2015	666.39	590.18			76.21
31. STATE BANK FINANCIAL CORP	12/31/2000	11/20/2015	711.68	630.88			80.80
34. TASER INTL INC	12/31/2000	10/29/2015	793.61	801.23			-7.62
56. TASER INTL INC	12/31/2000	11/06/2015	1,123.26	1,319.66			-196.40
1. TELEDYNE TECHNOLOGIES INC	12/31/2000	10/16/2015	83.63	97.99			-14.36
1. TELEDYNE TECHNOLOGIES INC	12/31/2000	10/19/2015	83.27	97.99			-14.72
3. TELEDYNE TECHNOLOGIES INC	12/31/2000	10/20/2015	250.66	293.97			-43.31
4. TELEDYNE TECHNOLOGIES INC	12/31/2000	10/21/2015	338.53	391.96			-53.43
2. TELEDYNE TECHNOLOGIES INC	12/31/2000	10/22/2015	172.51	195.98			-23.47
28488.808 TEMPLETON GLOBAL BOND FUND AD FUND	12/31/2000	01/13/2015	352,976.33	375,000.00			-22,023.67
6. TESORO PETROLEUM CORP	12/31/2000	09/29/2015	598.99	590.01			8.98
7. UNIFIRST CORP	12/31/2000	11/06/2015	738.00	765.24			-27.24
6. UNIFIRST CORP	12/31/2000	11/19/2015	638.79	655.92			-17.13
7. UNIFIRST CORP	12/31/2000	11/20/2015	747.84	765.24			-17.40
147. UNITED DEVELOPMENT FUNDING I	12/31/2000	12/11/2015	1,160.97	2,644.96			-1,483.99
2. UNIVERSAL FST PRODS INC	12/31/2000	10/16/2015	141.63	120.04			21.59
2. UNIVERSAL FST PRODS INC	12/31/2000	10/19/2015	140.93	120.04			20.89
4. UNIVERSAL FST PRODS INC	12/31/2000	10/20/2015	283.32	240.08			43.24
4. UNIVERSAL FST PRODS INC	12/31/2000	10/21/2015	285.67	240.08			45.59
3. UNIVERSAL FST PRODS INC	12/31/2000	10/22/2015	218.12	180.06			38.06
7. WOLVERINE WORLD WIDE INC	12/31/2000	10/05/2015	156.47	192.75			-36.28
30. WOLVERINE WORLD WIDE INC	12/31/2000	10/06/2015	659.30	826.05			-166.75
36. WOLVERINE WORLD WIDE INC	12/31/2000	10/07/2015	773.58	991.26			-217.68
2. WOLVERINE WORLD WIDE INC	12/31/2000	10/07/2015	43.00	55.07			-12.07
4. WOLVERINE WORLD WIDE INC	12/31/2000	10/08/2015	87.42	110.14			-22.72
25. WOLVERINE WORLD WIDE INC	12/31/2000	10/08/2015	538.83	688.38			-149.55
5. WORLD ACCEFT CORP S C NEW	12/31/2000	12/11/2015	182.91	140.75			42.16
34. WORLD ACCEFT CORP S C NEW	12/31/2000	12/14/2015	1,234.25	957.08			277.17
<b>Totals</b>							



ATTACHMENT 1FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
PNC/HAWTHORN #8493750	426,439.	426,439.
PNC/HAWTHORN #3929166	1.	1.
SHELL MIDSTREAM PARTNERS, LP	1.	1.
ARES MANAGEMENT, LP	12.	12.
TOTAL	<u>426,453.</u>	<u>426,453.</u>

ATTACHMENT 2

FORM 990PF, PART I - ACCOUNTING FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
TAX PREP FEES	12,950.			12,950.
TOTALS	<u>12,950.</u>			<u>12,950.</u>

ATTACHMENT 3

FORM 990PF, PART I -- OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>CHARITABLE PURPOSES</u>
PNC/HAWTHORNE TRUST FEES	72,981.	72,981.	
BOB CARTER COMPANIES LLC	38,935.		38,935.
TOTALS	<u>111,916.</u>	<u>72,981.</u>	<u>38,935.</u>

ATTACHMENT 4

FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
FOREIGN TAXES	4,690.	4,690.
COMMONWEALTH OF PA	15.	
FEDERAL EXCISE TAX	288,700.	
TOTALS	<u>293,405.</u>	<u>4,690.</u>

ATTACHMENT 5

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
BANK CHARGES	680.	680.
ARES MANAGEMENT, LP	1.	1.
TOTALS	<u>681.</u>	<u>681.</u>

ATTACHMENT 6

FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
HAWTHORN - EQUITIES	12,553,724.	12,546,904.
HAWTHORN - ALT INVESTMENTS	3,425,163.	3,364,648.
TOTALS	<u>15,978,887.</u>	<u>15,911,552.</u>



ATTACHMENT 7

FORM 990PF, PART II - CORPORATE BONDS

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
HAWTHORN - FIXED INCOME	16,468,191.	16,292,290.
TOTALS	<u>16,468,191.</u>	<u>16,292,290.</u>

ATTACHMENT 8FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

<u>DESCRIPTION</u>	<u>AMOUNT</u>
BOOK TO TAX TIMING DIFFERENCES	8,351.
OUTSTANDING CHECKS	15.
TOTAL	<u>8,366.</u>

ATTACHMENT 9FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

<u>DESCRIPTION</u>	<u>AMOUNT</u>
BOOK TO TAX DIFFERENCE ON PROPERTY CONT.	22,123,257.
TOTAL	<u>22,123,257.</u>

SUNIL & NITA WADHWANI FAMILY FOUNDATION

25-1873137

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 10

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
SUNIL WADHWANI 1110 MERIDIAN DRIVE PRESTO, PA 15142-1030	PRESIDENT, TREASURER & DIRECTOR 2.00	0.	0.	0.
NITA WADHWANI 1110 MERIDIAN DRIVE PRESTO, PA 15142-1030	SECRETARY & DIRECTOR 2.00	0.	0.	0.
<u>GRAND TOTALS</u>		<u>0.</u>	<u>0.</u>	<u>0.</u>

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

SUNIL WADHWANI  
1110 MERIDIAN DRIVE  
PRESTO, PA 15142  
412-787-9203

ATTACHMENT 12

990PF, PART XV - RESTRICTIONS OR LIMITATIONS ON AWARDS

EXCLUSIVELY FOR RELIGIOUS, CHARITABLE, SCIENTIFIC, TESTING FOR PUBLIC SAFETY, LITERARY AND EDUCATIONAL PURPOSES, FOSTERING AMATEUR SPORTS COMPETITION, AND THE PREVENTION OF CRUELTY TO CHILDREN AND ANIMALS, ALL WITHIN THE MEANING OF SECTION 501(C) (3) OF THE INTERNAL REVENUE CODE OF 1986.

FORM 990EF - PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 13

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
CARNEGIE MELLON UNIVERSITY 5000 FORBES AVENUE PITTSBURGH, PA 15213	BOARD OF DIRECTORS PC	TRUSTEE ANNUAL FUND	5,000
THE PITTSBURGH CULTURAL TRUST 803 LIBERTY AVENUE PITTSBURGH, PA 15222	NONE PC	TRUSTEE CAMPAIGN	39,000
VIBRANT PITTSBURGH/REGIONAL OPPT CTR P.O BOX 1544 PITTSBURGH, PA 15230	NONE PC	GENERAL SUPPORT	15,000.
LORDS EDUCATION & HEALTH SOCIETY R-489, NEW RAJINDER NAGAR NEW DELHI INDIA 110060	FOUNDER OF INITIATIVE PC	WISH	1,600,000.
INDIASFORA 3450 SACRAMENTO STREET #615 SAN FRANCISCO, CA 94118	NONE PC	FOUNDERS CIRCLE	25,000.
FRIENDS OF SEVA MANDIR 200 METROPLEX DRIVE, SUITE 300 EDISON, NJ 08817	NONE PC	GENERAL SUPPORT	1,000.

FORM 990BE PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 13 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
THE JEJUNITY PROJECT 2100 4TH STREET, SUITE 222 SAN RAFAEL, CA 94901-2699	NONE PC	BRENDA RHODES CHARITY	1,000.
CHINMAYA MISSION OF PITTSBURGH 3817 NORTHERN PIKE MONROEVILLE, PA 15146	NONE PC	GENERAL SUPPORT	500.
IIT MADRAS FOUNDATION 1428 VALOTA ROAD REDWOOD CITY, CA 94061-2620	NONE PC	GENERAL SUPPORT	10,000
SILK SCREEN FILM FESTIVAL 424 SOUTH 27TH STREET, SUITE 203 PITTSBURGH, PA 15203	NONE PC	GENERAL SUPPORT	1,000
BROTHER'S BROTHER FOUNDATION 1200 GALVESTON AVENUE PITTSBURGH, PA 15233	NONE PC	CHATHAM UNIVERSITY FOUNDATION FOR NAPAL	1,000.
UNIVERSITY OF PITTSBURGH 128 NORTH CRAIG STREET PITTSBURGH, PA 15260	NONE PC	INDIAN NATIONALITY CLASSROOM COMMITTEE	500



FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 13 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
PITTSBURGH INDIAN COMMUNITY & FRIENDS PITTSBURGH PITTSBURGH, PA 15212	NONE PC	GENERAL SUPPORT	500.
<b>TOTAL CONTRIBUTIONS PAID</b>			<u><u>1,699,500</u></u>

SUNIL & NITA WADHWANI FAMILY FOUNDATION

25-1873137

FORM 990EF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENT

ATTACHMENT 14

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

FOUNDATION STATUS OF RECIPIENT

PURPOSE OF GRANT OR CONTRIBUTION

AMOUNT

CARNEGIE MELLON UNIVERSITY  
5000 FORBES AVENUE  
PITTSBURGH, PA 15213

BOARD OF TRUSTEES  
501 (C) (3)

GENERAL SUPPORT

180,000.

TOTAL CONTRIBUTIONS APPROVED

180,000.

## **Activity Summary Report January 2015- December 2015**

The Sunil and Nita Wadhvani Foundation (USA) is the Founding Donor to Lord Education and Health Society (LEHS) for implementing the Wadhvani Initiative for Sustainable Healthcare (WISH) in India. LEHS|WISH works to facilitate access to sources of capital, incubation and advisory services for innovative, high impact, Bottom of the Pyramid (BoP) focused technologies and business models that impact health and the healthcare sector. LEHS|WISH has envisioned a program called SCALE that aims to build an innovation-led primary healthcare delivery system by leveraging public and private resources. With government partnerships at the helm, the approach is to facilitate working associations with the private sector to scale up innovative products and services through Public Private Partnerships (PPPs). LEHS|WISH's vision is to facilitate a demand-led ecosystem for healthcare innovations, reach 10 million underserved people with quality healthcare services and scale up high-impact health innovations by 2020.

Some of LEHS|WISH's key strategic activities supported by the Sunil and Nita Wadhvani Foundation during the period **January 2015-December 2015** are listed below:-

1. **Memo of Understanding with the Government of Rajasthan to implement the SCALE Program** - LEHS entered into a partnership with the Government of Rajasthan on **2<sup>nd</sup> February 2015** to scale up healthcare innovations and strengthen primary healthcare and improve access to quality and affordable healthcare in 30 Primary Health Centers (PHCs) and 153 Sub Centers (SCs) in 16 blocks across 12 districts of Rajasthan. LEHS partnered with organizations like Piramal Swasthya, Karuna Trust, Shikshit Rojgar Kendra Prabandhak Samiti (SRKPS) and Ajeevika Bureau (partner organizations) for management of the PHCs and SCs. Under the program, LEHS utilized the Wadhvani Foundation's donations for the following activities-
  - (a) Management of PHC and SC operations through the aforementioned partner organizations. Total funds utilized/accrued until December 2015 were around **\$300,000**.
  - (b) Introduction of innovative Point of Care devices (TouchHb- a non-invasive anemia screener, SuCheck- a low cost glucometer, UCheck- an IT enabled, portable urine analyzer, and Mobile Pathological Laboratory- a mobile laboratory capable of performing up to 37 tests) to strengthen the diagnostic capabilities of the PHCs and SCs. Total funds utilized/accrued until December 2015 were around **\$225,000**.
  - (c) Improvement of data analytics and digitize data reporting through mobile Health solutions, by engaging with HISP India and Code Integration. Total funds utilized/accrued for the activities until December 2015 were around **\$120,000**.

2. LEHS supported the Millennium Alliance with an annual grant of **\$30,000** for the period **April 2014 to March 2015** to support innovations in healthcare. The alliance under Federation of Indian Chamber of Commerce has partners such as the United States Agency for International Development (USAID) and the Technology Development Board (TDB), etc.
3. LEHS developed a database of 281 public sector innovations in India through a high level Advisory Group comprising of government, civil society and private partners in **March 2015**. Total Funding utilized was around **\$7,500**.
4. LEHS collaborated with Intellectap to participate in the 2015 Sankalp Global Summit in April where LEHS hosted a panel discussion entitled “Strengthening of Primary Healthcare Delivery in India” and to organize 2 fundraising roundtables in Mumbai and Bangalore. Total funding collectively utilized for the activities was around **\$100,000**.
5. LEHS participated in the Rajasthan Healthcare Innovations Summit, 2015 held on **10<sup>th</sup> July 2015** in Jaipur and moderated a session on the efficacy of Public Private Partnership to deliver quality primary healthcare. Total funding utilized was around **\$7,500**.
6. LEHS participated in the TiEcon Delhi Summit in **October 2015**. LEHS was the session sponsor at TiEcon and facilitated a panel discussion on ‘Betting on Non Tech Investments – The Investor Perspective’ which also had components on investors’ likeliness for commercial innovations benefiting the underprivileged communities. Total funding utilized was around **\$1,500**.
7. In 2015, LEHS commenced preparations for the **2<sup>nd</sup> WISH Healthcare Innovations Summit** to be jointly hosted with USAID on **17<sup>th</sup> February 2016**. Total funding for hosting the event will be around **\$68,000**.



S & N WDH FAMILY FDN IMA CONS  
INVESTMENT MANAGEMENT STATEMENT

Account number

January 1, 2015 - December 31, 2015

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Detail

Portfolio - income

Cash and cash equivalents  
Mutual funds - money market

Description	Market value last period		Current market value		% of total portfolio	Total original value at PNC	Avg. original value at PNC per unit	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
	Quantity	Price per unit	Quantity	Price per unit							
BLACKROCK LIQUIDITY FUNDS TEMPFUND	32,249.65	\$46,125.44	32,249.65	\$46,125.44	0.13%	\$46,125.44	\$46,125.44		0.14%	\$61.48	\$1.98
ADMINISTRATION SHARES #H1 PNC	46,125.440	\$1.0000	46,125.440	\$1.0000	0.13%	\$46,125.44	\$1.00		0.14%	\$61.48	\$1.98
BLACKROCK LIQUIDITY FUNDS TEMPFUND				1.0000	0.01%						0.13
ADMINISTRATION SHARES #H1 CAMBIAR INVESTORS				1.0000	0.01%						0.12
BLACKROCK LIQUIDITY FUNDS TEMPFUND				1.0000	0.01%						0.04
ADMINISTRATION SHARES #H1 DANA INVESTMENT ADVISORS				1.0000	0.01%						0.02
BLACKROCK LIQUIDITY FUNDS TEMPFUND	2,481.82		2,481.82		0.01%	2,855.31			0.14%	3.81	0.02
ADMINISTRATION SHARES #H1 HARDING LOEVNER	2,855.310		2,855.310		0.01%	2,855.31			0.14%	3.81	0.02
BLACKROCK LIQUIDITY FUNDS TEMPFUND				1.0000	0.01%						0.01
ADMINISTRATION SHARES #H1 PNC CAPITAL ADVISORS				1.0000	0.01%						0.01
BLACKROCK LIQUIDITY FUNDS TEMPFUND				1.0000	0.01%						0.01
ADMINISTRATION SHARES #H1 PNC CAPITAL ADVISORS				1.0000	0.01%						0.01





S & N WDH FAMILY FDN IMA CONS  
INVESTMENT MANAGEMENT STATEMENT

Account number

January 1, 2015 - December 31, 2015

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*Detail*

*Portfolio - principal*

Cash and cash equivalents  
Mutual funds - money market

Description	Market value	Quantity	Current market value price per unit	% of total portfolio	Avg original value at PNC	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
BLACKROCK LIQUIDITY FUNDS		20,053 020	20,053 02	0.06 %	20,053 02		0.14 %	26 73	2.00
TEMPFUND			1 0000		1 00				
ADMINISTRATION SHARES #H1									
HARDING LOEVNER									
BLACKROCK LIQUIDITY FUNDS		10,097 54	12,462 90	0.04 %	12,462 90		0.14 %	16 61	1.26
TEMPFUND		12,462 900	1 0000		1 00				
ADMINISTRATION SHARES #H1									
PNC STAR MODEL									
BLACKROCK LIQUIDITY FUNDS		6,785 910	6,785 91	0.02 %	6,785 91		0.14 %	9 05	0.66
TEMPFUND			1 0000		1 00				
ADMINISTRATION SHARES #H1									
PNC CAPITAL ADVISORS									
BLACKROCK LIQUIDITY FUNDS		2,087 910	2,087 91	0.01 %	2,087 91		0.14 %	2 78	0.19
TEMPFUND			1 0000		1 00				
ADMINISTRATION SHARES #H1									
PNC CAPITAL ADVISORS									
<b>Total mutual funds - money market</b>			<b>\$5,821,696.12</b>	<b>15.29 %</b>	<b>\$5,821,696.12</b>		<b>0.13 %</b>	<b>\$7,760.20</b>	<b>\$595.63</b>

**Total cash and cash equivalents**

**\$5,821,696.12**      **15.29 %**      **\$5,821,696.12**      **0.13 %**      **\$7,760.20**      **\$595.63**







S & N WDH FAMILY FDN IMA CONS  
INVESTMENT MANAGEMENT STATEMENT

Account number

January 1, 2015 - December 31, 2015

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Detail

Mutual funds - fixed income

Description (Symbol)	Quantity	Market value last period	Current market value	% of total portfolio	Avg original value at PNC per unit	Total original value at PNC	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
<b>Total mutual funds - fixed income</b>		\$2,323,663.08		6.10 %	\$2,453,781.09		-\$130,118.01	2.98 %	\$69,272.71	\$6,522.30
<b>Total fixed income</b>		\$16,292,290.08		42.79 %	\$16,468,190.59		-\$175,900.51	1.02 %	\$165,621.71	\$6,522.30

Equities

Stocks  
Consumer discretionary

Description (Symbol)	Quantity	Market value last period	Current market value	% of total portfolio	Avg original value at PNC per unit	Total original value at PNC	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
HELEN OF TROY LIMITED (HELE) ISIN BMG4388N1065 SEDOL 2419530 PNC CAPITAL ADVISORS	29	\$2,733.25	\$94,2500	0.01 %	\$2,583.51		\$149.74			
HELEN OF TROY LIMITED (HELE) ISIN BMG4388N1065 SEDOL 2419530 PNC CAPITAL ADVISORS	28	2,639.00	94,2500	0.01 %	2,554.09		84.91			
MARKIT LTD (****) SEDOL BN7PJF6 ISIN BMG582491061 CAMBIAR INVESTORS	612	18,464.04	30,1700	0.05 %	17,070.95		1,393.09			
ROYAL CARIBBEAN CRUISES LTD (RCL) SEDOL 2754907 ISIN LR0008862868 DANA INVESTMENT ADVISORS	123	12,448.83	101,2100	0.04 %	10,914.60		1,534.23	1.49 %	184.50	46.13
ACCOR SAF (ACCCY) UNSPON ADR CAMBIAR INVESTORS	1,831	15,929.70	8,7000	0.05 %	18,106.13		-2,176.43	1.73 %	274.65	
AODAS AG SPONSORED ADR (ADDYY) CAMBIAR INVESTORS	739	35,848.89	48,5100	0.10 %	27,785.29		8,063.60	1.24 %	444.14	
AMERICAN AXLE & MFG HOLDINGS (AXL) PNC CAPITAL ADVISORS	188	3,560.72	18,9400	0.01 %	3,735.18		-174.46	0.43 %	15.04	



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Equities

Stocks

Consumer discretionary

Description (Symbol)	Market value last period	Quantity	Current market value	Current price per unit	% of total portfolio	Avg original value at PNC per unit	Total original value at PNC	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
BMW (BAMY)		560	19,524.40	34.8650	0.06 %	18,511.33	18,511.33	1,013.07	2.18 %	425.60	
UNSPON ADR			34,865.00			33.06					
HARDING LOEVNER											
BLOOMIN BRANDS INC (BLMN)		79	1,334.31	16.8900	0.01 %	1,601.33	1,601.33	-267.02	1.43 %	18.96	
PNC CAPITAL ADVISORS			16,890.00			20.27					
BRIGHT HORIZONS FAMILY SOLUT (BFAM)		42	2,805.60	66.8000	0.01 %	2,720.92	2,720.92	84.68			
PNC CAPITAL ADVISORS			66,800.00			64.78					
BUFFALO WILD WINGS INC (BWLD)		9	1,436.85	159.6500	0.01 %	1,790.82	1,790.82	-353.97			
PNC CAPITAL ADVISORS			159,650.00			198.98					
CENTURY COMMUNITIES INC (CCS)		100	1,771.00	17.7100	0.01 %	2,265.50	2,265.50	-494.50			
PNC CAPITAL ADVISORS			17,710.00			22.66					
CHEESECAKE FACTORY INC (CAKE)		19	876.09	46.1100	0.01 %	1,026.74	1,026.74	-150.65	1.74 %	15.20	
PNC CAPITAL ADVISORS			46,110.00			54.04					
CHURCHILL DOWNS INC (CHDN)		24	3,395.76	141.4900	0.01 %	3,326.40	3,326.40	69.36	0.82 %	27.60	27.60
PNC CAPITAL ADVISORS			141,490.00			138.60					
COMCAST CORPORATION CL A (CMCSA)		203	11,455.29	56.4300	0.04 %	12,048.95	12,048.95	-593.66	1.78 %	203.00	
DANA INVESTMENT ADVISORS			56,430.00			59.35					
D R HORTON INC (DHI)		365	11,690.95	32.0300	0.04 %	11,451.28	11,451.28	239.67	1.00 %	116.80	
DANA INVESTMENT ADVISORS			32,030.00			31.37					
DENSO CORP (DNZOY)		789	18,864.99	23.9100	0.05 %	18,079.25	18,079.25	785.74	1.82 %	342.43	
UNSPON ADR			23,910.00			22.91					
CAMBIAR INVESTORS											
DORMAN PRODUCTS INC (DORM)		123	5,838.81	47.4700	0.02 %	6,082.24	6,082.24	-243.43			
PNC CAPITAL ADVISORS			47,470.00			49.45					
DOUGLAS DYNAMICS INC (PLOW)		61	1,285.27	21.0700	0.01 %	1,348.75	1,348.75	-63.48	4.23 %	54.29	
PNC CAPITAL ADVISORS			21,070.00			22.11					
FEDERAL SIGNAL CORP (FSS)		167	2,646.95	15.8500	0.01 %	2,290.41	2,290.41	356.54	1.77 %	46.76	
PNC CAPITAL ADVISORS			15,850.00			13.72					
G-III APPAREL GROUP LTD (GIII)		25	1,106.50	44.2600	0.01 %	1,680.75	1,680.75	-574.25			
PNC CAPITAL ADVISORS			44,260.00			67.23					
HSN INC - WHEN ISSUED (HSNI)		90	4,560.30	50.6700	0.02 %	5,912.74	5,912.74	-1,352.44	2.77 %	126.00	
PNC CAPITAL ADVISORS			50,670.00			65.70					





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Equities

Stocks  
*Consumer discretionary*

Description (Symbol)	Quantity	Market value last period	Current market value	% of total portfolio	Avg original value at PNC per unit	Total original value at PNC	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
			price per unit							
SANDS CHINA LTD (SCHY)	330	11,205.15	33,955.00	0.03 %	12,085.71	36.62	- 880.56	7.27 %	813.78	
UNSPONS ADR										
HARDING LOEVNER										
SEVEN & I HOLDINGS (SWNDY)	852	19,468.20	22,850.00	0.06 %	18,001.37	21.13	1,466.83	0.94 %	181.48	
UNSPN ADR										
CAMBIAR INVESTORS										
SKY PLC-SPN ADR (SKYAY)	401	26,417.88	65,880.00	0.07 %	26,349.76	65.71	68.12	3.02 %	795.99	
ADR SEDOL BSS8788										
ISIN US83084V1061										
CAMBIAR INVESTORS										
TARGET CORP (TGT)	147	10,673.67	72,610.00	0.03 %	11,856.21	80.65	- 1,182.54	3.09 %	329.28	
DANA INVESTMENT ADVISORS										
TORAY INDUSTRIES - ADR (TRYIY)	1,160	21,622.40	18,640.00	0.06 %	19,429.61	16.75	2,192.79	0.81 %	174.00	
ISIN US8908802064										
SEDOL 2896672										
CAMBIAR INVESTORS										
TORO CO (TTC)	50	3,653.50	73,070.00	0.01 %	3,753.87	75.08	- 100.37	1.65 %	60.00	15.00
PNC CAPITAL ADVISORS										
VIVENDI S.A (VIVHY)	718	15,329.30	21,350.00	0.05 %	17,722.29	24.68	- 2,392.99	8.22 %	1,258.65	
UNSPONSORED ADR										
CAMBIAR INVESTORS										
WILLIAM HILL PLC-UNSPON ADR (WIMHY)	754	17,752.93	23,545.00	0.05 %	17,215.25	22.83	537.68	2.82 %	499.15	
ADR SEDOL B3C9C98										
ISIN US96925P1049										
CAMBIAR INVESTORS										
WOLVERINE WORLD WIDE INC (WWW)	88	1,470.48	16,710.00	0.01 %	2,302.96	26.17	- 832.48	1.44 %	21.12	5.28
PNC CAPITAL ADVISORS										
<b>Total consumer discretionary</b>		<b>\$397,636.20</b>		<b>1.04 %</b>	<b>\$397,142.95</b>		<b>- \$1,506.75</b>	<b>2.01 %</b>	<b>\$7,968.47</b>	<b>\$173.21</b>



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Consumer staples

Description (Symbol)	Market value last period		Quantity	Current market value		% of total portfolio	Avg original value at PNC per unit	Total original value at PNC	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
	Quantity	price per unit		Current	price per unit							
REYNOLDS AMERICAN INC (RAI)	301	13,891.15	46	1,578.74	12,333.96	0.04 %	40.98	1,557.19	1,557.19	3.13 %	433.44	108.36
DANA INVESTMENT ADVISORS		46,1500		2,276.52	17,440.33	0.01 %	27.70	-345.26	-345.26	2.50 %	30.78	
SPARTANNASH COMPANY (SPTN)	57	1,233.48	21	19,716.85	14,744.87	0.06 %	41.07	2,276.52	2,276.52	0.84 %	164.68	
PNC CAPITAL ADVISORS		21,6400										
SUNTORY BEVERAGE & FOOD-UA (STBFY)	895	22,0300	359	43,1200	14,744.87	0.05 %	41.07	735.21	735.21	3.02 %	467.06	
ADR SEDOL BF7BYQ4												
ISIN US86803T1043												
CAMBIAR INVESTORS												
TREEHOUSE FOODS INC WHEN ISS (THS)	41	3,216.86	21	15,480.08	14,744.87	0.01 %	41.07	-112.19	-112.19			
PNC CAPITAL ADVISORS		78,4600										
TREEHOUSE FOODS INC WHEN ISS (THS)	21	1,647.66	21	78,4600	1,705.20	0.01 %	81.20	-57.54	-57.54			
PNC CAPITAL ADVISORS		78,4600										
UNILEVER PLC W/I (ULI)		15,480.08										
SPONSORED ADR		43,1200										
HARDING LOEVNER												
<b>Total consumer staples</b>		<b>\$223,851.30</b>			<b>\$215,793.64</b>	<b>0.59 %</b>		<b>\$8,057.66</b>	<b>\$8,057.66</b>	<b>2.03 %</b>	<b>\$4,547.18</b>	<b>\$295.40</b>

Energy

Description (Symbol)	Market value last period		Quantity	Current market value		% of total portfolio	Avg original value at PNC per unit	Total original value at PNC	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
	Quantity	price per unit		Current	price per unit							
BG GROUP PLC (BRGYI)	679	\$9,859.08	1,420	20,618.40	\$10,781.33	0.03 %	\$15.88	\$10,781.33	-\$922.25	1.89 %	\$185.37	
SPON ADR		\$14,5200										
CAMBIAR INVESTORS												
BG GROUP PLC (BRGYI)	1,420	20,618.40	1,420	14,5200	22,506.93	0.06 %	15.85	-1,888.53	-1,888.53	1.89 %	387.66	
SPON ADR		14,5200										
HARDING LOEVNER												
CHEVRON CORPORATION (CVX)		12,234.56										
DANA INVESTMENT ADVISORS	136	89,9600				0.04 %	82.49	1,015.36	1,015.36	4.76 %	582.08	
EXXON MOBIL CORP (XOM)		11,770.45										
DANA INVESTMENT ADVISORS	151	77,9500				0.04 %	76.67	193.83	193.83	3.75 %	440.92	
IMPERIAL OIL LTD NEW (IMO)		8,162.52										
HARDING LOEVNER	251	32,5200				0.03 %	33.04	-130.54	-130.54	1.31 %	106.68	26.68



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Energy

Description (Symbol)	Market value last period		Quantity	Current market value		% of total portfolio	Avg original value at PNC	Total original value at PNC	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
	Quantity	Price per unit		Current price per unit	Current value							
OIL STATES INTERNATIONAL INC (OIS)												
PNC CAPITAL ADVISORS	43	1,171.75		27,250.00		0.01%	30.53	1,312.63	-140.88			
PAR PACIFIC HOLDINGS INC (PARR)				2,306.92		0.01%	2,282.93		23.99			
PNC CAPITAL ADVISORS	98	23,540.00		8,242.20		0.03%	9,432.67		-1,190.47	6.98%	575.28	
ROYAL DUTCH SHELL PLC (RDSA) ADR A	180	45,790.00					52.40					
CAMBIAR INVESTORS				8,394.66		0.03%	9,835.96		-1,441.30	4.38%	367.46	
SASOL LTD (SSL)			313	26,820.00			31.42					
SPONSORED ADR												
HARDING LOEVNER												
SCHLUMBERGER LTD (SLB)			149	10,392.75	69,750.00	0.03%	11,490.11		-1,097.36	2.87%	298.00	74.50
SEDOL 2779201							77.11					
ISIN AN8068571086												
DANA INVESTMENT ADVISORS												
SCHLUMBERGER LTD (SLB)			283	19,739.25	69,750.00	0.06%	21,694.89		-1,955.64	2.87%	566.00	141.50
SEDOL 2779201							76.66					
ISIN AN8068571086												
HARDING LOEVNER												
TESORO CORP (TSO)				11,590.70		0.04%	10,816.93		773.77	1.90%	220.00	
DANA INVESTMENT ADVISORS	110	105,370.00		16,451.70		0.05%	18,164.40		-1,712.70	5.07%	832.65	242.99
TOTAL S A (TOT)				44,950.00			49.63					
CAMBIAR INVESTORS	366											
<b>Total energy</b>				<b>\$140,934.94</b>		<b>0.37%</b>	<b>\$149,407.66</b>		<b>-\$8,472.72</b>	<b>3.24%</b>	<b>\$4,562.10</b>	<b>\$485.67</b>











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Financial

Description (Symbol)	Market value last period		Quantity	Current market value		% of total portfolio	Avg original value at PNC	Total original value at PNC	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
	Quantity	price per unit		Current	price per unit							
SOCIETE GENERALE FRANCE (SCGLY)	1,945	17,932.90	1,945	9,220.00	0.05%	18,964.08	18,964.08	18,964.08	-1,031.18	2.17%	389.00	
SPONSORED ADR							9.75					
CAMBIAR INVESTORS												
SOUTH STATE CORP (SSB)	59	4,245.05	59	71,950.00	0.02%	4,479.87	4,479.87	4,479.87	-234.82	1.45%	61.36	
PNC CAPITAL ADVISORS							75.93					
SUMITOMO MITSUI FINANCIAL GROUP (SMFG)	3,544	26,898.96	3,544	7,590.00	0.08%	28,915.75	28,915.75	28,915.75	-2,016.79	2.85%	765.50	
INC SPON ADR							8.16					
CAMBIAR INVESTORS												
SUMMIT HOTEL PROPERTIES INC (INN)	116	1,386.20	116	11,950.00	0.01%	1,409.40	1,409.40	1,409.40	-23.20	3.94%	54.52	
REITS							12.15					
PNC CAPITAL ADVISORS												
SUNTRUST BANKS INC (STI)	295	12,637.80	295	42,840.00	0.04%	12,329.78	12,329.78	12,329.78	308.02	2.25%	283.20	
DANA INVESTMENT ADVISORS							41.80					
SVENSKA HANDELSB A (SVNLY)	1,288	8,502.09	1,288	6,601.00	0.03%	9,991.44	9,991.44	9,991.44	-1,429.35	4.06%	345.18	
UNSPON ADR							7.71					
HARDING LOEVNER												
SYMETRA FINANCIAL CORP (SYA)	69	2,192.13	69	31,700.00	0.01%	2,178.33	2,178.33	2,178.33	13.80	1.39%	30.36	
PNC CAPITAL ADVISORS							31.57					
TURKIYE GARANTI BANKASI (TKGGBY)	3,788	9,015.44	3,788	2,380.00	0.03%	9,701.75	9,701.75	9,701.75	-686.31	1.59%	143.30	
ADR							2.56					
HARDING LOEVNER												
UNITED DEVELOPMENT FUNDING (UDF)												8.09
PNC CAPITAL ADVISORS												
WSFS FINANCIAL CORP (WSFS)	53	1,715.08	53	32,360.00	0.01%	1,496.72	1,496.72	1,496.72	218.36	0.75%	12.72	
PNC CAPITAL ADVISORS							28.24					
WELLS FARGO & COMPANY (WFC)	222	12,067.92	222	54,360.00	0.04%	12,173.48	12,173.48	12,173.48	-105.56	2.76%	333.00	
DANA INVESTMENT ADVISORS							54.84					
WILSHIRE BANCORP INC (WIBC)	286	3,303.30	286	11,550.00	0.01%	3,126.84	3,126.84	3,126.84	176.46	2.08%	68.64	17.16
PNC CAPITAL ADVISORS							10.93					
<b>Total financial</b>				<b>\$565,641.39</b>	<b>1.49%</b>	<b>\$592,587.24</b>	<b>\$592,587.24</b>	<b>\$592,587.24</b>	<b>-\$26,945.85</b>	<b>2.20%</b>	<b>\$12,434.12</b>	<b>\$447.38</b>









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Health care

Description (Symbol)	Market value last period		Quantity	Current market value		% of total portfolio	Avg original value at PNC per unit	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
	Quantity	price per unit		Current	price per unit						
U S PHYSICAL THERAPY (USPH)	46	2,469.28	53	3,301.44	0.01 %	2,098.52	370.76	1.12 %	27.60		
PNC CAPITAL ADVISORS					0.01 %	45.62	-70.08				
VASCULAR SOLUTIONS, INC (VASC)	96	3,390.00	35	3,371.52							
PNC CAPITAL ADVISORS											
<b>Total health care</b>		<b>\$425,544.23</b>		<b>\$424,131.39</b>	<b>1.12 %</b>		<b>\$1,412.84</b>	<b>1.73 %</b>	<b>\$7,349.64</b>	<b>\$17.08</b>	

Industrials

Description (Symbol)	Market value last period		Quantity	Current market value		% of total portfolio	Avg original value at PNC per unit	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
	Quantity	price per unit		Current	price per unit						
DHT HOLDINGS INC (DHT)	156	\$1,262.04		\$8,090.00	0.01 %	\$1,207.37	\$54.67	8.90 %	\$112.32		
SEDOL B7JB336											
ISIN MHY2065G1219											
PNC CAPITAL ADVISORS											
AECOM (ACM)											
PNC CAPITAL ADVISORS											
AERJET ROCKETDYNE HLDGS INC (AJRD)	63	1,991.89	30	3,030.00	0.01 %	1,830.53	61.36				
PNC CAPITAL ADVISORS											
ALASKA AIR GROUP INC (ALK)	85	1,331.10	15	1,560.00	0.01 %	1,841.95	-510.85				
PNC CAPITAL ADVISORS											
DANA INVESTMENT ADVISORS	154	12,398.54	80	8,051.00	0.04 %	12,078.13	320.41	1.00 %	123.20		
AMERICAN WOODMARK CORP (AMWD)											
PNC CAPITAL ADVISORS											
ASTRONICS CORP (ATRO)	52	4,158.96	79	7,980.00	0.02 %	3,607.76	551.20	0.46 %	18.72		
PNC CAPITAL ADVISORS											
ATLAS COPCO AB (ATLK)	126	5,129.46	40	4,710.00	0.02 %	5,825.07	-695.61	0.03 %	1.26		
SPONSORED ADR NEW REPSTG COM											
HARDING LOEYNER	543	13,259.52	24	2,419.00	0.04 %	14,494.10	-1,234.58	2.23 %	294.85		
BARRETT BUSINESS SERVICES (BBSI)											
PNC CAPITAL ADVISORS											
B/E AEROSPACE INC (BEA)	34	1,480.36	43	5,400.00	0.01 %	1,221.51	258.85	2.03 %	29.92		
PNC CAPITAL ADVISORS											
BOEING CO (BA)	56	2,372.72	42	3,700.00	0.01 %	2,903.89	-531.17	1.80 %	42.56		
PNC CAPITAL ADVISORS											
DANA INVESTMENT ADVISORS	93	13,446.87	144	14,459.00	0.04 %	12,988.79	458.08	3.02 %	405.68		





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Industrials

Description (Symbol)	Quantity	Market value last period	Current market value	% of total portfolio	Avg original value at PNC per unit	Total original value at PNC	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
			price per unit							
CANADIAN NATL RAILWAY CO (CNI)	329		18,384.52	0.05 %	18,601.65	56.54	-217.13	1.62 %	297.09	
SEDOL 2210959			55 8800							
ISIN CA1363751027										
HARDING LOEVNER										
CAYCO INDUSTRIES INC (CVCO)	33		2,749.23	0.01 %	2,834.73	85.90	-85.50			
PNC CAPITAL ADVISORS			83 3100							
COLFAX CORP (CFX)	67		1,564.45	0.01 %	2,683.21	40.05	-1,118.76			
PNC CAPITAL ADVISORS			23 3500							
COMFORT SYS USA INC (FIX)	109		3,097.78	0.01 %	3,096.31	28.41	1.47	0.92 %	28.34	
PNC CAPITAL ADVISORS			28 4200							
CURTISS WRIGHT CORP (CW)	28		1,918.00	0.01 %	1,890.84	67.53	27.16	0.76 %	14.56	
PNC CAPITAL ADVISORS			68 5000							
DREW INDUSTRIES INC (DW)	98		5,967.22	0.02 %	5,515.34	56.28	451.88			
PNC CAPITAL ADVISORS			60 8900							
EMBRAER SA (ERJ)	691		20,412.14	0.06 %	17,980.81	26.02	2,431.33	0.44 %	88.45	25.08
ADR			29 5400							
CAMBIAR INVESTORS										
ENERSYS (ENS)	115		6,431.95	0.02 %	6,941.00	60.36	-509.05	1.26 %	80.50	
PNC CAPITAL ADVISORS			55 9300							
ESTERLINE TECHNOLOGIES CORP (ESL)	45		3,645.00	0.01 %	3,984.06	88.53	-339.06	0.89 %	32.40	
PNC CAPITAL ADVISORS			81 0000							
GP STRATEGIES CORP (GPX)	126		3,163.86	0.01 %	3,648.68	28.96	-484.82	6.62 %	209.16	
PNC CAPITAL ADVISORS			25 1100							
GENERAL CABLE CORP DEL NEW (BGC)	121		1,625.03	0.01 %	1,823.86	15.07	-198.83	5.37 %	87.12	
PNC CAPITAL ADVISORS			13 4300							
GENERAL DYNAMICS CORP (GD)	89		12,225.04	0.04 %	12,825.69	144.11	-600.65	2.01 %	245.64	
DANA INVESTMENT ADVISORS			137 3600							
GENESE & WYO INC (GWR)	34		1,825.46	0.01 %	2,269.22	66.74	-443.76			
CLA			53 6900							
PNC CAPITAL ADVISORS										
HEICO CORP NEW (HEI)	93		5,055.48	0.02 %	5,005.61	53.82	49.87	0.30 %	14.88	7.44
PNC CAPITAL ADVISORS			54 3600							
KLX INC-WHEN ISSUED (KLX)	84		2,586.36	0.01 %	3,418.95	40.70	-832.59			
PNC CAPITAL ADVISORS			30 7900							

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Description (Symbol)	Quantity	Market value last period	Current market value	% of total portfolio	Avg original value at PNC per unit	Total original value at PNC	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
<b>Industrials</b>										
KONE OYJ B (KNYJY)	598	12,563.98	21,010.00	0.04%	11,994.90	7,146.10	569.08	2.43%	304.98	
UNSPON ADR					20.06					
HARDING LOEVNER										
LIXIL GROUP CORP (JSGRY)	405	18,091.35	44,670.00	0.05%	17,410.76	26,678.65	680.59	1.57%	283.50	
UNSPN ADR					42.99					
CAMBIAR INVESTORS										
MONOTARO CO LTD - UNSP ADR (MONOY)	390	10,839.27	27,793.00	0.03%	8,881.65	13,911.75	1,957.62	0.17%	17.72	
ADR SEDOL BCFGY60					22.77					
ISIN US61022V1070										
HARDING LOEVNER										
MULTI-COLOR CORP (LABL)	34	2,033.54	59,810.00	0.01%	2,205.58	2,171.04	-172.04	0.34%	6.80	
PNC CAPITAL ADVISORS					64.87					
ON ASSIGNMENT INC (ASGN)	83	3,730.85	44,950.00	0.01%	3,150.06	3,150.06	580.79			
PNC CAPITAL ADVISORS					37.95					
PACCAR INC (PCAR)	218	10,333.20	47,400.00	0.03%	13,194.46	13,194.46	-2,861.26	2.03%	209.28	305.20
DANA INVESTMENT ADVISORS					60.53					
SANMINA CORP (SANIM)	48	987.84	20,580.00	0.01%	948.48	948.48	39.36			
PNC CAPITAL ADVISORS					19.76					
STANLEY BLACK & DECKER INC (SWK)	126	13,447.98	106,730.00	0.04%	12,918.64	12,918.64	529.34	2.07%	277.20	
DANA INVESTMENT ADVISORS					102.53					
TEAM HEALTH HOLDINGS INC (TMH)	30	1,316.70	43,890.00	0.01%	1,768.80	1,768.80	-452.10			
PNC CAPITAL ADVISORS					58.96					
UNIVERSAL FST PRODS INC (UFPI)	57	3,897.09	68,370.00	0.02%	3,421.14	3,421.14	475.95	1.23%	47.88	
PNC CAPITAL ADVISORS					60.02					
WABASH NATIONAL CORP (WNC)	162	1,916.46	11,830.00	0.01%	1,807.43	1,807.43	109.03	1.53%	29.16	
PNC CAPITAL ADVISORS					11.16					
WORLD FUEL SVCS CORP (INT)	96	3,692.16	38,460.00	0.01%	4,121.39	4,121.39	-429.23	0.63%	23.04	5.76
PNC CAPITAL ADVISORS					42.93					
<b>Total Industrials</b>		<b>\$230,233.40</b>		<b>0.61%</b>	<b>\$232,342.35</b>		<b>-\$2,108.95</b>	<b>1.45%</b>	<b>\$3,326.01</b>	<b>\$343.48</b>

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Information technology

Description (Symbol)	Quantity	Current market value	Current price per unit	% of total portfolio	Avg original value at PNC	Total original value at PNC	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
FLEETMATS GROUP PLC (FLT)	39	\$1,980.81	\$50.7900	0.01%	\$1,774.89	\$205.92				
SEDOL B&XKTT6					\$45.51					
ISIN IE00B4XKTT64										
PNC CAPITAL ADVISORS										
ALPHABET INC/CA-CL A (GOOGL)	19	14,782.19	778.0100	0.04%	12,023.90	2,758.29				
DANA INVESTMENT ADVISORS					632.84					
APPLE INC (AAPL)	106	11,157.56	105.2600	0.03%	12,612.55	-1,454.99		1.98%	220.48	
DANA INVESTMENT ADVISORS					118.99					
ARM HOLDINGS PLC (ARMH)	534	24,158.16	45.2400	0.07%	24,117.91	40.25		0.70%	168.21	
SPONSORED ADR					45.16					
HARDING LOEVNER										
ASPEN TECHNOLOGY INC (AZPN)					2,879.85		-123.37			
PNC CAPITAL ADVISORS	73	2,756.48	37.7600	0.01%	39.45					
ATOS ORIGIN SA-UNSP ADR (AEXAY)					18,774.58		1,691.32	0.73%	148.95	
ADR SEDOL B3DLYG4	1,211	20,465.90	16.9000	0.06%	15.50					
ISIN US04962A1051										
CAMBIAR INVESTORS										
BAIDU INC (BIDU)	137	25,898.48	189.0400	0.07%	21,762.76	4,135.72				
HARDING LOEVNER					158.85					
CDW CORP/DE (CDW)	294	12,359.76	42.0400	0.04%	11,037.11	1,322.65		1.03%	126.42	
DANA INVESTMENT ADVISORS					37.54					
CACI INTL INC (CACI)	19	1,762.82	92.7800	0.01%	1,463.19	299.63				
CL A					77.01					
PNC CAPITAL ADVISORS										
CISCO SYSTEMS INC (CSCO)	463	12,572.77	27.1550	0.04%	12,432.31	140.46		3.10%	388.92	
DANA INVESTMENT ADVISORS					26.85					
DASSAULT SYSTEMS SA (DASTY)	515	41,277.25	80.1500	0.11%	36,975.28	4,301.97		0.42%	173.04	
SPON ADR					71.80					
HARDING LOEVNER										
8X8 INC (EGHT)	126	1,442.70	11.4500	0.01%	991.62	451.08				
PNC CAPITAL ADVISORS					7.87					
FANUC CORP ADR (FANUY)	979	28,200.10	28.8050	0.08%	28,258.03	-57.93		2.69%	756.77	
HARDING LOEVNER					28.86					



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Description (Symbol)	Market value last period		Quantity	Current market value		% of total portfolio	Avg original value at PNC per unit	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
	Quantity	Current price per unit		Current price per unit	Current price per unit						
SAP SE SPONSORED ADR (SAP)	369	29,187.90		79,100	25,137.88	0.08%	18,073.72	4,050.02	1.12%	324.35	
HARDING LOEVNER					68.12		1,000.76		1.56%	296.66	
SECOM LTD (SOMLY)	1,128	19,074.48		16,910	16.02						
UNSPONSORED ADR											
CAMBIAR INVESTORS											
SILICON LABORATORIES INC (SLAB)					2,220.16	0.01%	255.38				
PNC CAPITAL ADVISORS	51	48,540		43.53							
SKYWORKS SOLUTIONS INC (SWKS)					12,673.54	0.03%	-2,224.66		1.36%	141.44	
DANA INVESTMENT ADVISORS	136	10,448.88		76,830	93.19						
SYNAPTICS INC (SYNA)					2,972.98	0.01%	337.81				
PNC CAPITAL ADVISORS	37	80,340		80,340	71.21						
TAIWAN SEMICONDUCTOR MTG CO (TSM)					34,857.32	0.11%	3,339.93		2.55%	970.46	
ADR	1,679	22,750		20.76							
HARDING LOEVNER					2,559.57	0.01%	-236.27				
TECH DATA CORP (TECD)					73.13						
PNC CAPITAL ADVISORS	35	66,380		66,380	5,867.32	0.02%	1,279.80				
TYLER TECHNOLOGIES INC (TYL)					143.11						
PNC CAPITAL ADVISORS	41	174,320		174,320	3,466.32	0.02%	717.36				
TYLER TECHNOLOGIES INC (TYL)					144.43						
PNC CAPITAL ADVISORS	24	4,183.68		174,320	5,548.16	0.02%	-774.56				
WEX INC (WEX)					102.74						
PNC CAPITAL ADVISORS	54	88,400		88,400							
<b>Total information technology</b>		<b>\$432,254.13</b>			<b>\$377,854.14</b>	<b>1.14%</b>	<b>\$34,399.99</b>		<b>1.13%</b>	<b>\$4,887.83</b>	<b>\$60.29</b>

Materials

Description (Symbol)	Market value last period		Quantity	Current market value		% of total portfolio	Avg original value at PNC per unit	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
	Quantity	Current price per unit		Current price per unit	Current price per unit						
LYONDELLBASELL INDUSTRIES N.V. (LYB)					\$9,732.80	0.03%	\$10,035.38	-\$302.58	3.60%	\$349.44	
ISIN NL0009436992 SEDOL B3SPVZ3	112	\$86,900		\$86,900	\$89.60						
DANA INVESTMENT ADVISORS											
AEP INDUSTRIES INC (AEP)					3,780.35	0.01%	981.47		0.13%	4.90	
PNC CAPITAL ADVISORS	49	77,150		77,150	57.12						

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Materials

Description (Symbol)	Quantity	Market value last period	Current market value	% of total portfolio	Avg original value at PNC per unit	Total original value at PNC	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
AIR LIQUIDE (AIQUY) ADR	1,121	25,144.03	22,430.00	0.07 %	27,587.98	24.61	-2,443.95	1.89 %	474.18	
HARDING LOEVNER										
BALCHEM CORP CL B (BCPC)		5,715.20		0.02 %	5,301.85		413.35	0.56 %	31.96	31.96
PNC CAPITAL ADVISORS	94	60,800.00			56.40					
FUCHS PETROLUB SE-PREF ADR (FUPBY)		12,213.26		0.04 %	11,674.09		539.17	1.21 %	146.58	
ADR SEDOL B70BT82	1,047	11,665.00			11.15					
ISIN US3595201067										
HARDING LOEVNER										
LINDE AG (LNEG1)	540	7,789.50	14,425.00	0.03 %	9,516.97		-1,727.47	1.69 %	131.22	
SPONSORED ADR					17.62					
HARDING LOEVNER										
MINERALS TECHNOLOGIES INC (MTX)		1,238.22		0.01 %	1,384.29		-146.07	0.44 %	5.40	
PNC CAPITAL ADVISORS	27	45,860.00			51.27					
NEENAH PAPER, INC. (NIP)		3,995.52		0.02 %	3,678.16		317.36	1.93 %	76.80	
PNC CAPITAL ADVISORS	64	62,430.00			57.47					
NEENAH PAPER, INC. (NIP)		1,498.32		0.01 %	1,346.64		151.68	1.93 %	28.80	
PNC CAPITAL ADVISORS	24	62,430.00			56.11					
POLYONE CORPORATION (POL)		1,492.72		0.01 %	1,475.80		16.92	1.52 %	22.56	5.64
PNC CAPITAL ADVISORS	47	31,760.00			31.40					
SEALED AIR CORP (SEE)		7,760.40		0.03 %	8,026.41		-266.01	1.17 %	90.48	
DANA INVESTMENT ADVISORS	174	44,600.00			46.13					
SONOCO PRODUCTS CO (SON)		2,983.51		0.01 %	2,901.75		81.76	3.43 %	102.20	
PNC CAPITAL ADVISORS	73	40,870.00			39.75					
SYMRISE AG (SYIE1)		12,191.50		0.04 %	11,355.85		835.65	0.83 %	100.64	
UNSPON ADR	740	16,475.00			15.35					
HARDING LOEVNER										
SYNGENTA AG (SYT)		31,255.81		0.09 %	28,460.92		2,794.89	2.51 %	782.88	
SPON ADR	397	78,730.00			71.69					
CAMBIAR INVESTORS										
<b>Total materials</b>		<b>\$126,791.14</b>		<b>0.33 %</b>	<b>\$125,544.97</b>		<b>\$1,246.17</b>	<b>1.85 %</b>	<b>\$2,348.04</b>	<b>\$37.60</b>



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Telecommunication services

Description (Symbol)	Quantity	Market value last period		% of total portfolio	Total original value at PNC original value at PNC per unit	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
		Current market value	Current price per unit						
AMDOCS LIMITED (DOX)	209	\$11,405.13	\$54,570.00	0.03 %	\$11,983.18	-\$578.05	1.25 %	\$142.12	\$35.53
SEDOL 2256908					\$57.34				
DANA INVESTMENT ADVISORS									
AT&T INC (T)	229	7,879.89	34,410.00	0.03 %	7,685.82	194.07	5.58 %	439.68	
DANA INVESTMENT ADVISORS									
CHINA MOBILE LTD (CHL)	301	16,955.33	56,330.00	0.05 %	18,343.62	-1,388.29	3.00 %	507.49	
SPON ADR					60.94				
CAMBIAR INVESTORS									
ERICSSON SPON ADR (ERIC)	1,889	18,153.29	9,610.00	0.05 %	19,243.92	-1,110.63	2.65 %	479.81	
CAMBIAR INVESTORS									
MTN GROUP LTD (MTNOY)	828	7,013.16	8,470.00	0.02 %	11,703.72	-4,690.56	9.72 %	681.44	
SPONS ADR					14.13				
HARDING LOEVNER									
ROYAL KPN NV (KKPNY)	4,855	18,594.65	3,830.00	0.05 %	18,398.20	196.45	3.32 %	616.59	
SPONSORED ADR					3.79				
CAMBIAR INVESTORS									
VERIZON COMMUNICATIONS INC (VZ)	163	7,533.86	46,220.00	0.02 %	7,577.53	-43.67	4.89 %	368.38	
DANA INVESTMENT ADVISORS					46.49				
VODAFONE GROUP PLC-SP ADR (VOD)	823	26,549.98	32,260.00	0.07 %	28,931.64	-2,381.66	5.31 %	1,408.98	445.24
ADR SEDOL B59DQIN9					35.15				
ISIN US92857W3088									
CAMBIAR INVESTORS									
<b>Total telecommunication services</b>		<b>\$114,085.29</b>		<b>0.30 %</b>	<b>\$123,887.63</b>	<b>-\$9,802.34</b>	<b>4.07 %</b>	<b>\$4,644.49</b>	<b>\$480.77</b>

Utilities

Description (Symbol)	Quantity	Market value last period		% of total portfolio	Total original value at PNC original value at PNC per unit	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
		Current market value	Current price per unit						
AMERICAN STS WTR CO (AWR)	96	\$4,027.20	\$4,195.00	0.02 %	\$3,649.92	\$377.28	2.14 %	\$66.02	
PNC CAPITAL ADVISORS					\$38.02				
CMS ENERGY CORP (CMS)	288	10,391.04	36,080.00	0.03 %	9,535.68	855.36	3.22 %	334.08	
DANA INVESTMENT ADVISORS					33.11				







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**Unclassified**

Description (Symbol)	Market value last period	Quantity	Current market value	% of total portfolio	Avg original value at PNC	Total original value at PNC	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
			price per unit							
SEIKO EPSON CORP UNSPON (SEKEY) ADR	2,220	17,138.40	7.7200	0.05 %	17,525.21	17,525.21	- 386.81	4.15 %	710.40	
CAMBIAR INVESTORS										
TRECORA RESOURCES (TREC)		1,077.93		0.01 %	1,055.31	1,055.31	22.62			
PNC CAPITAL ADVISORS	87	12,390.00			12.13	12.13				
UNIBAIL-RODAMCO SA (UNRDY)		18,091.40		0.05 %	18,354.64	18,354.64	- 263.24	2.50 %	452.20	
ADR REPSTG SHS NEW JCE ISSUE	711	25,445.00			25.82	25.82				
CAMBIAR INVESTORS										
UNI CHARM CORP (UNICY)		21,890.90		0.06 %	21,848.57	21,848.57	42.33	0.43 %	93.85	
SPON ADR	5,372	4,075.00			4.07	4.07				
HARDING LOEVNER										
UNIFI INC (UFI)		2,843.15		0.01 %	2,876.48	2,876.48	- 33.33			
PNC CAPITAL ADVISORS	101	28,150.00			28.48	28.48				
WPP PLC (WPPGY)		17,899.44		0.05 %	16,895.97	16,895.97	1,003.47	2.86 %	511.84	
ADR	156	114,740.00			108.31	108.31				
CAMBIAR INVESTORS										
WPP PLC (WPPGY)		33,389.34		0.09 %	31,639.97	31,639.97	1,749.37	2.86 %	954.77	
ADR	291	114,740.00			108.73	108.73				
HARDING LOEVNER										
WESCO AIRCRAFT HOLDINGS INC (WAIR)		4,596.48		0.02 %	5,343.90	5,343.90	- 747.42			
PNC CAPITAL ADVISORS	384	11,970.00			13.92	13.92				
<b>Total unclassified</b>		<b>\$170,454.52</b>		<b>0.45 %</b>	<b>\$171,245.06</b>	<b>\$171,245.06</b>	<b>-\$790.54</b>	<b>2.14 %</b>	<b>\$3,644.13</b>	<b>\$47.65</b>
<b>Total stocks</b>		<b>\$2,870,964.72</b>		<b>7.54 %</b>	<b>\$2,872,356.59</b>	<b>\$2,872,356.59</b>	<b>-\$1,391.87</b>	<b>2.00 %</b>	<b>\$57,435.46</b>	<b>\$2,774.94</b>



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Etf - equity

Description (Symbol)	Quantity	Market value last period	Current market value	Current price per unit	% of total portfolio	Avg original value at PNC per unit	Total original value at PNC	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
UBS E-TRACS ALERIAN MLP (MLPI)	390,166.00	9,610	251,397.60	26.1600	0.67%	401,786.20	4181	-150,388.60	7.41%	18,614.57	
PNC											
VANGUARD FTSE ALL WORLD EX-US (VEU)	693,996.60	14,810	642,902.10	43.4100	1.69%	756,756.22	5110	-113,854.12	2.96%	18,986.42	
INDEX FUND											
PNC											
<b>Total etf - equity</b>			<b>\$9,292,906.29</b>		<b>24.41%</b>	<b>\$9,284,737.40</b>		<b>\$8,168.89</b>	<b>2.35%</b>	<b>\$218,361.42</b>	<b>\$5,102.28</b>

Mutual funds - equity

Description (Symbol)	Quantity	Market value last period	Current market value	Current price per unit	% of total portfolio	Avg original value at PNC per unit	Total original value at PNC	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
LAZARD GLOBAL LISTED INFRASTRU (GLIFX)	384,907.89	28,054.511	\$376,691.56	\$13.4200	0.99%	\$390,000.00	\$13.90	-\$13,508.46	2.99%	\$11,221.80	
INFRASTRUCTURE PORTFOLIO											
FUND# 1243											
PNC											

Other equity

Description (Symbol)	Quantity	Market value last period	Current market value	Current price per unit	% of total portfolio	Avg original value at PNC per unit	Total original value at PNC	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
ARES MANAGEMENT, L P (I)	99		\$1,280.07	\$12.9300	0.01%	\$17.28	\$17.28	-\$430.65	4.03%	\$51.48	
PNC CAPITAL ADVISORS											
SHELL MIDSTREAM PARTNERS LP (SHLX)	31		1,287.12	41.5200	0.01%	1,115.07	1,115.07	172.05	1.63%	20.89	
PNC CAPITAL ADVISORS											
VALERO ENERGY PARTNERS LP (VLP)	77		3,973.97	51.6100	0.02%	3,804.57	3,804.57	169.40	2.39%	94.71	
PNC CAPITAL ADVISORS											
<b>Total other equity</b>			<b>\$6,561.16</b>		<b>0.02%</b>	<b>\$6,630.36</b>		<b>-\$89.20</b>	<b>2.55%</b>	<b>\$167.08</b>	

Total equities

<b>Total equities</b>			<b>\$12,546,903.71</b>		<b>32.95%</b>	<b>\$12,553,724.35</b>		<b>-\$6,920.64</b>	<b>2.29%</b>	<b>\$287,185.76</b>	<b>\$7,877.22</b>
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Alternative investments  
 Mutual funds - alternative invest

Description (Symbol)	Market value last period		Current market value		% of total portfolio	Total original value at PNC	Avg original value at PNC per unit	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
	Quantity	Market value	Current price per unit	Current market value							
DIAMOND HILL LONG-SHORT FUND (DIAYX) PNC	1,079,644.00	\$1,079,644.00	\$1,060,945.01	\$1,060,945.01	2.79%	\$1,001,460.94	\$22.49	\$59,484.07	0.52%	\$5,431.61	
DRIEHAUS ACTIVE INCOME FUND (LCMAX) FUND # 640 PNC	44,521.402	\$23,830.00	\$23,830.00	\$23,830.00	1.52%	621,803.25	10.75	-46,439.72	3.67%	21,106.30	
JPMORGAN RESEARCH (JLSSX) LONG/SHORT FUND PNC	1,024,069.94	\$1,024,069.94	\$980,326.75	\$980,326.75	2.58%	1,001,898.73	16.72	-21,571.98			
ASG GLOBAL ALTERNATIVES FUND (GAFYX) CLY PNC	790,897.35	\$790,897.35	\$748,013.14	\$748,013.14	1.97%	800,000.00	11.38	-51,986.86	0.23%	1,666.16	
<b>Total mutual funds - alternative invest</b>			<b>\$3,364,648.43</b>	<b>\$3,364,648.43</b>	<b>8.84%</b>	<b>\$3,425,162.92</b>		<b>-\$60,514.49</b>	<b>0.84%</b>	<b>\$28,204.07</b>	
<b>Total alternative investments</b>			<b>\$3,364,648.43</b>	<b>\$3,364,648.43</b>	<b>8.84%</b>	<b>\$3,425,162.92</b>		<b>-\$60,514.49</b>	<b>0.84%</b>	<b>\$28,204.07</b>	
<b>Total portfolio</b>			<b>\$38,074,519.09</b>	<b>\$38,074,519.09</b>	<b>100.00%</b>	<b>\$38,317,756.73</b>		<b>-\$243,235.64</b>	<b>1.28%</b>	<b>\$488,837.03</b>	<b>\$14,997.66</b>

Pending Trades



S & N WDH FAM FDN CUST  
CUSTODY STATEMENT

Account number  
January 1, 2015 - December 31, 2015

Detail

Portfolio

Cash and cash equivalents  
Mutual funds - money market

Description	Market value last period	Current market value	% of total portfolio	Total tax cost	Current yield	Estimated annual income
	Quantity	Current price per unit		Avg. tax cost per unit		
BLACKROCK FUNDS MONEY MARKET INSTITUTIONAL SHARES #01	\$0.01 0.330	\$0.33 \$1.0000	0.04 %	\$0.33 \$1.00		
BLACKROCK FUNDS MONEY MARKET INSTITUTIONAL SHARES #01	10.26 1,000.040	1,000.04 1.0000	99.97 %	1,000.04 1.00	0.22 %	2.15
<b>Total mutual funds - money market</b>		<b>\$1,000.37</b>	<b>100.00 %</b>	<b>\$1,000.37</b>	<b>0.22 %</b>	<b>\$2.15</b>
<b>Total cash and cash equivalents</b>		<b>\$1,000.37</b>	<b>100.00 %</b>	<b>\$1,000.37</b>	<b>0.22 %</b>	<b>\$2.15</b>
<b>Total portfolio</b>		<b>\$1,000.37</b>	<b>100.00 %</b>	<b>\$1,000.37</b>	<b>0.22 %</b>	<b>\$2.15</b>

\* We have been unable to obtain tax cost information for these assets. When this information is not available for all assets, your portfolio's tax cost may be understated. If you have any information regarding tax cost call Patricia N Cingle your Account Advisor.

