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Form

990-PF

Department of the Treasury  
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

OMB No 1545-0052




2015


Open to Public Inspection

For calendar year 2015, or tax year beginning 01-01-2015, and ending 12-31-2015

Name of foundation WILLIAM G & HELEN C HOFFMAN FDN		A Employer identification number  23-7981677	
Number and street (or P O box number if mail is not delivered to street address) 1525 W WT HARRIS BLVD D1114-044		B Telephone number (see instructions)  (855) 739-2920	
City or town, state or province, country, and ZIP or foreign postal code CHARLOTTE, NC 28262		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation			
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 5,379,984		J Accounting method <input type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)	

Part I Analysis of Revenue and Expenses <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )</i>		Revenue and expenses per books (a)	Net investment income (b)	Adjusted net income (c)	Disbursements for charitable purposes (d) (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule) . . . . .				
	2 Check <input checked="" type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B . . . . .				
	3 Interest on savings and temporary cash investments . . . . .	13	13		
	4 Dividends and interest from securities . . . . .	144,641	144,641		
	5a Gross rents . . . . .				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10 _____	10,461			
	b Gross sales price for all assets on line 6a _____ 378,481				
	7 Capital gain net income (from Part IV, line 2) . . . . .		10,461		
	8 Net short-term capital gain . . . . .			0	
	9 Income modifications . . . . .				
	10a Gross sales less returns and allowances _____				
	b Less Cost of goods sold . . . . .				
	c Gross profit or (loss) (attach schedule) . . . . .				
	11 Other income (attach schedule) . . . . .				
	12 <b>Total.</b> Add lines 1 through 11 . . . . .	155,115	155,115		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc . . . . .	28,599	21,450		7,150
	14 Other employee salaries and wages . . . . .		0	0	0
	15 Pension plans, employee benefits . . . . .		0	0	
	16a Legal fees (attach schedule). . . . .				0
	b Accounting fees (attach schedule). . . . .	1,000	0	0	1,000
	c Other professional fees (attach schedule) . . . . .	36,301	36,301		0
	17 Interest . . . . .				0
	18 Taxes (attach schedule) (see instructions) . . . . .	7,438	1,380		0
	19 Depreciation (attach schedule) and depletion . . . . .	0	0		
	20 Occupancy . . . . .				
	21 Travel, conferences, and meetings. . . . .		0	0	
	22 Printing and publications . . . . .		0	0	
	23 Other expenses (attach schedule). . . . .				
	24 <b>Total operating and administrative expenses.</b> Add lines 13 through 23 . . . . .	73,338	59,131	0	8,150
	25 Contributions, gifts, grants paid . . . . .	258,500			258,500
	26 <b>Total expenses and disbursements.</b> Add lines 24 and 25	331,838	59,131	0	266,650
	27 Subtract line 26 from line 12				
	a <b>Excess of revenue over expenses and disbursements</b>	-176,723			
	b <b>Net investment income</b> (if negative, enter -0-)		95,984		
	c <b>Adjusted net income</b> (if negative, enter -0-)			0	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing . . . . .			
	2	Savings and temporary cash investments . . . . .	351,654	95,139	95,139
	3	Accounts receivable ▶ _____			
		Less allowance for doubtful accounts ▶ _____		0	0
	4	Pledges receivable ▶ _____			
		Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable . . . . .			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions). . . . .			
	7	Other notes and loans receivable (attach schedule) ▶ _____			
		Less allowance for doubtful accounts ▶ _____ 0			
	8	Inventories for sale or use . . . . .			
	9	Prepaid expenses and deferred charges . . . . .			
	10a	Investments—U S and state government obligations (attach schedule)	152,287	 363,859	368,400
	b	Investments—corporate stock (attach schedule) . . . . .	2,232,976	 2,123,287	3,558,836
	c	Investments—corporate bonds (attach schedule) . . . . .	1,384,054	 1,361,962	1,357,609
	11	Investments—land, buildings, and equipment basis ▶ _____			
	Less accumulated depreciation (attach schedule) ▶ _____				
12	Investments—mortgage loans. . . . .				
13	Investments—other (attach schedule) . . . . .			0	
14	Land, buildings, and equipment basis ▶ _____				
	Less accumulated depreciation (attach schedule) ▶ _____				
15	Other assets (describe ▶ _____)				
16	Total assets(to be completed by all filers—see the instructions Also, see page 1, item I)	4,120,971	3,944,247	5,379,984	
Liabilities	17	Accounts payable and accrued expenses . . . . .			
	18	Grants payable . . . . .			
	19	Deferred revenue . . . . .			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule). . . . .			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities(add lines 17 through 22) . . . . .		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted . . . . .			
	25	Temporarily restricted . . . . .			
	26	Permanently restricted . . . . .			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds . . . . .	4,120,971	3,944,247	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances(see instructions) . . . . .	4,120,971	3,944,247	
31	Total liabilities and net assets/fund balances(see instructions) . . . . .	4,120,971	3,944,247		

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return) . . . . .	1	4,120,971
2	Enter amount from Part I, line 27a . . . . .	2	-176,723
3	Other increases not included in line 2 (itemize) ▶ _____	3	0
4	Add lines 1, 2, and 3 . . . . .	4	3,944,248
5	Decreases not included in line 2 (itemize) ▶  _____	5	1
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 . . . . .	6	3,944,247

Part IV

Capital Gains and Losses for Tax on Investment Income

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )		How acquired P—Purchase (b) D—Donation	Date acquired (c) (mo , day, yr )	Date sold (d) (mo , day, yr )
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	<div><div>If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7</div></div>	2	10,461
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) <div>If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 . . . . .</div>		3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )  
If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No  
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2014	328,513	5,649,120	0 058153
2013	157,751	5,165,507	0 030539
2012	237,026	4,600,595	0 051521
2011	184,376	4,503,821	0 040938
2010	182,703	4,268,680	0 042801

2	Total of line 1, column (d). . . . .	2	0 223952
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 04479
4	Enter the net value of noncharitable-use assets for 2015 from Part X, line 5. . . . .	4	5,492,222
5	Multiply line 4 by line 3. . . . .	5	245,997
6	Enter 1% of net investment income (1% of Part I, line 27b). . . . .	6	960
7	Add lines 5 and 6. . . . .	7	246,957
8	Enter qualifying distributions from Part XII, line 4. . . . .	8	266,650

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See  
the Part VI instructions

Part VI

Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a

Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1  
Date of ruling or determination letter \_\_\_\_\_  
(attach copy of letter if necessary—see instructions)

b

Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b . . . . .

c

All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)

2

Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

2

0

3

Add lines 1 and 2. . . . .

3

960

4

Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

4

0

5

**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0- . . . . .

5

960

6

Credits/Payments

a

2015 estimated tax payments and 2014 overpayment credited to 2015

6a

6,372

b

Exempt foreign organizations—tax withheld at source . . . . .

6b

c

Tax paid with application for extension of time to file (Form 8868). . . . .

6c

0

d

Backup withholding erroneously withheld . . . . .

6d

7

Total credits and payments. Add lines 6a through 6d. . . . .

7

6,372

8

Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached.

8

0

9

**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** . . . . .

9

10

**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** . . . . .

10

5,412

11

Enter the amount of line 10 to be **Credited to 2015 estimated tax** 960 **Refunded**

11

4,452

Part VII-A

Statements Regarding Activities

1a

During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .

1a

No

b

Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? . . . . .  
*If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*

1b

No

c

Did the foundation file **Form 1120-POL** for this year? . . . . .

1c

No

d

Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:  
(1) On the foundation ☐ \$ \_\_\_\_\_ (2) On foundation managers ☐ \$ \_\_\_\_\_

e

Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ \_\_\_\_\_

2

Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . .  
*If "Yes," attach a detailed description of the activities.*

2

No

3

Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes* . . . . .

3

No

4a

Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .

4a

No

b

If "Yes," has it filed a tax return on **Form 990-T** for this year? . . . . .

4b

5

Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . .  
*If "Yes," attach the statement required by General Instruction T.*

5

No

6

Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:  
• By language in the governing instrument, or  
• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .

6

Yes

7

Did the foundation have at least \$5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.* . . . . .

7

Yes

8a

Enter the states to which the foundation reports or with which it is registered (see instructions)  
☒ NJ \_\_\_\_\_

b

If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation.* . . . . .

8b

Yes

9

Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)?  
*If "Yes," complete Part XIV* . . . . .

9

No

10

Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.* . . . . .

10

No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address	13	Yes	
14	The books are in care of WELLS FARGO BANK NA Telephone no (855) 739-2920 Located at 1W 4TH ST 2ND FLOOR WINSTON SALEM NC ZIP+4 271013818			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —Check here and enter the amount of tax-exempt interest received or accrued during the year.	15		
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country	16	Yes	No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ).	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here.		1b	No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?		1c	No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? If "Yes," list the years 20____, 20____, 20____, 20____	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions ).		2b	No
c	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.).		3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a	No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?		4b	No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a

During the year did the foundation pay or incur any amount to

(1)

Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

Yes 

No

(2)

Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

Yes 

No

(3)

Provide a grant to an individual for travel, study, or other similar purposes?

Yes 

No

(4)

Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions).

Yes 

No

(5)

Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

Yes 

No

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

Organizations relying on a current notice regarding disaster assistance check here.

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

Yes 

No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

Yes 

No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

No

If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

Yes 

No

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
WELLS FARGO BANK NA 1525 WEST WT HARRIS BLVD CHARLOTTE, NC 282881114	TRUSTEE 40	28,599		

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	Contributions to employee benefit plans and deferred compensation (d)	Expense account, (e) other allowances
NONE				

Total

number of other employees paid over \$50,000.

0

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

<b>Total</b> number of others receiving over \$50,000 for professional services. . . . .	0
--	---

[illegible]



Part X

Minimum Investment Return

(All domestic foundations must complete this part. Foreign foundations,see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities. . . . .	1a	5,455,621
b	Average of monthly cash balances. . . . .	1b	120,239
c	Fair market value of all other assets (see instructions). . . . .	1c	0
d	Total (add lines 1a, b, and c). . . . .	1d	5,575,860
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	1e	0
2	Acquisition indebtedness applicable to line 1 assets. . . . .	2	0
3	Subtract line 2 from line 1d. . . . .	3	5,575,860
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	4	83,638
5	Net value of noncharitable-use assets.Subtract line 4 from line 3 Enter here and on Part V, line 4	5	5,492,222
6	Minimum investment return.Enter 5% of line 5. . . . .	6	274,611

Part XI

Distributable Amount

(see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☒ and do not complete this part.)

1	Minimum investment return from Part X, line 6. . . . .	1	274,611
2a	Tax on investment income for 2015 from Part VI, line 5. . . . .	2a	960
b	Income tax for 2015 (This does not include the tax from Part VI ). . . . .	2b	
c	Add lines 2a and 2b. . . . .	2c	960
3	Distributable amount before adjustments Subtract line 2c from line 1. . . . .	3	273,651
4	Recoveries of amounts treated as qualifying distributions. . . . .	4	0
5	Add lines 3 and 4. . . . .	5	273,651
6	Deduction from distributable amount (see instructions). . . . .	6	0
7	Distributable amountas adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . . .	7	273,651

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. . . . .	1a	266,650
b	Program-related investments—total from Part IX-B. . . . .	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. . . . .	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required). . . . .	3a	0
b	Cash distribution test (attach the required schedule). . . . .	3b	0
4	Qualifying distributions.Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	266,650
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions). . . . .	5	960
6	Adjusted qualifying distributions.Subtract line 5 from line 4. . . . .	6	265,690

**Note:**The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				273,651
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only. . . . .			58,051	
b Total for prior years 2013 , 20 , 20		0		
3 Excess distributions carryover, if any, to 2015				
a From 2010. . . . .	0			
b From 2011. . . . .	0			
c From 2012. . . . .	0			
d From 2013. . . . .	0			
e From 2014. . . . .	0			
f Total of lines 3a through e. . . . .	0			
4 Qualifying distributions for 2015 from Part XII, line 4 ▶ \$ 266,650				
a Applied to 2014, but not more than line 2a			58,051	
b Applied to undistributed income of prior years (Election required—see instructions). . . . .		0		
c Treated as distributions out of corpus (Election required—see instructions). . . . .	0			
d Applied to 2015 distributable amount. . . . .				208,599
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b . . . . .		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .		0		
d Subtract line 6c from line 6b Taxable amount—see instructions . . . . .		0		
e Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015 . . . . .				65,052
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . .	0			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions). . .	0			
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a . . . . .	0			
10 Analysis of line 9				
a Excess from 2011. . . . .	0			
b Excess from 2012. . . . .	0			
c Excess from 2013. . . . .	0			
d Excess from 2014. . . . .	0			
e Excess from 2015. . . . .	0			

## Part XIV

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling. . . . ▶

**b** Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2015	(b) 2014	(c) 2013	(d) 2012	
<b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .					
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon					
<b>a</b> "Assets" alternative test—enter					
<b>(1)</b> Value of all assets . . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b> "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . . .					
<b>c</b> "Support" alternative test—enter					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

**Part XV** **Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)**

## 1 Information Regarding Foundation Managers:

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )
- 
- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- |   |  |
|---|--|
| a | The name, address, and telephone number or e-mail address of the person to whom applications should be addressed   |
|   | <p>Wells Fargo Philanthropic Services<br/>         1 West 4th Street<br/>         WinstonSalem, NC 27101<br/>         (888) 234-1999<br/>         grantadministration@wellsfargo.com</p>           |
| b | The form in which applications should be submitted and information and materials they should include   |
|   | <p>Apply online at <a href="http://www.wellsfargo.com/privatefoundationgrants/hoffman">www.wellsfargo.com/privatefoundationgrants/hoffman</a></p>  |
| c | Any submission deadlines   |
|   | <p>January 15 and August 22</p>  |
| d | Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors   |
|   | <p>No geographic or program limitations. However, the foundation primarily supports organizations in New Jersey or New York that support help for the blind or medical research for the blind.</p> |

Part XV

Supplementary Information(continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total . . . . .			3a	258,500
b Approved for future payment				
Total . . . . .			3b	

## Enter gross amounts unless otherwise indicated

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2015)



Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	Date acquired (c) (mo , day, yr )	(d) Date sold (mo , day, yr )
3953 GOOGLE INC-CL C		2010-02-08	2015-05-06
100000 HOSPIRA INC 5 800% 8/12/23		2015-03-02	2015-10-05
34000 LENDER PROCESS SERVI 5 750% 4/15/23		2014-04-01	2015-05-29
300 MONSANTO CO NEW		2008-01-29	2015-09-16
100000 ONTARIO (PROVINCE OF 4 500% 2/03/15		2008-06-04	2015-02-03
1200 QUALCOMM INC		2012-04-25	2015-09-16
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
220		105	115
115,990		120,350	-4,360
35,955		36,338	-383
27,006		33,970	-6,964
100,000		101,645	-1,645
66,329		75,612	-9,283
			32,981

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			115
			-4,360
			-383
			-6,964
			-1,645
			-9,283

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year				
LIGHTHOUSE INTERNATIONAL 111 EAST 59TH STREET NEW YORK,NY 10022	NONE	PC	ACCESSIBLE MUSIC TECHNOLOGY	15,000
HELEN KELLER INTERNATIONAL 352 PARK AVE SOUTH STE 1200 NEW YORK,NY 10010	NONE	PC	CHILDSIGHT	15,000
SOUTH JERSEY EYE CENTER 400 CHAMBERS AVENUE CAMDEN,NJ 08103	NONE	PC	LOW VISION REHABILITATION	15,000
JEWISH FAMILY SERVICES OF CENTRAL NEW JERSEY 655 WESTFIELD AVENUE ELIZABETH,NJ 07208	NONE	PC	NURSING SERVICES FOR THE	25,000
MORRIS MUSEUM INC 6 NORMANDY HEIGHTS ROAD MORRISTOWN,NJ 07960	NONE	PC	ACCESS-ABILITY FOR THE	10,000
NEW EYES FOR THE NEEDY 509 MILLBURN AVENUE SHORT HILLS,NJ 07078	NONE	PC	NEW EYES FOR US ADULT	5,000
NJ ASSOCIATION FOR THE BLIND P O BOX 929 DENVER,NJ 07834	NONE	PC	ISEE TRAINING PROGRAM	10,000
NJ INSTITUTE OF TECHNOLOGY UNIVERSITY HEIGHTS NEWARK,NJ 07102	NONE	PC	DEVELOPMENT OF ARTIFICIAL	15,000
ST JOSEPH SCHOOL FOR THE BLIND 761 SUMMIT AVENUE JERSEY CITY,NJ 073073831	NONE	PC	CONCORDIA LEARNING	30,000
ASSOCIATION FOR THE MULTIPLE IMPAIR BLIND INC 909 CEDAR BRIDGE AVE LEONIAK PL 4 BRICK,NJ 08723	NONE	PC	MAMMOUTH II PROJECT	15,000
HELEN L DILLER VACATION HOME FOR THE BLIND CHILDREN 127 26TH STREET AVALON,NJ 08202	NONE	PC	AWNING/CANOPY REPLACEMENT	10,500
ELECTRONIC INFORMATION & EDUCATION SERVICE OF NEW JERSEY 59 SCOTLAND ROAD SOUTH ORANGE,NJ 07079	NONE	PC	AUDIO DESCRIPTION	12,000
TRINITAS HEALTH FOUNDATION P O BOX 259 ELIZABETH,NJ 07207	NONE	PC	BRAILLE SIGNAGE UPGRADE	25,000
ROBERT WOOD JOHNSON UNIVERSITY HOSPITAL 865 STONE STREET RAYWAY,NJ 07065	NONE	PC	BLINDNESS PREVENTION FO	15,000
MORRISTOWN MEMORIAL HEALTH FOUNDATI 475 SOUTH STREET MORRISTOWN,NJ 07960	NONE	PC	ADMINISTRATION OF	26,000
<b>Total . . . . .</b>				258,500



Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
GEORGE STREET PLAYHOUSE INC 9 LIVINGSTON AVE NEW BRUNSWICK, NJ 08901	NONE	PC	ASSISTIVE LISTENING SYSTEM	5,000
NEW JERSEY BALLET COMPANY INC 15-17 MICROLAB ROAD LIVINGSTON, NJ 07039	NONE	PC	AUDIO DESCRIPTION FOR THE	10,000
<b>Total . . . . . ▶ 3a</b>				258,500

TY 2015 Accounting Fees Schedule

**Name:** WILLIAM G & HELEN C HOFFMAN FDN

**EIN:** 23-7981677

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREPARATION FEE (NON-ALLOC	1,000			1,000

## TY 2015 Investments Corporate Bonds Schedule

**Name:** WILLIAM G & HELEN C HOFFMAN FDN

**EIN:** 23-7981677

Name of Bond	End of Year Book Value	End of Year Fair Market Value
149123BQ3 CATERPILLAR INC	102,281	117,003
263534BV0 E.I. DU PONT DE NEMO		
36962GZY3 GENERAL ELEC CAP COR		
38141GCG7 GOLDMAN SACHS GRP		
459200GJ4 IBM CORPORATION	104,460	107,258
656531AF7 NORSE HYDRO A S	110,318	109,607
683234WQ7 ONTARIO (PROVINCE OF		
724479AF7 PITNEY BOWES 10/1/12		
72766CAD8 PLATINUM UNDERWR	109,000	106,560
36962G6F6 GENERAL ELEC CAP COR	100,315	102,349
205887BQ4 CONAGRA FOODS INC	100,773	99,445
05564EBL9 BRE PROPERTIES INC	108,584	101,850
10373QAA8 BP CAP MARKETS AMERI	108,149	104,866
38141GGS7 GOLDMAN SACHS GROUP	113,743	113,722
52602EAD4 LENDER PROCESS SERVI	70,538	67,980
6174467X1 MORGAN STANLEY	103,811	106,155
949746PM7 WELLS FARGO & COMPAN	114,100	103,875
717081DS9 PFIZER INC	115,890	116,939

TY 2015 Investments Corporate Stock Schedule

**Name:** WILLIAM G & HELEN C HOFFMAN FDN  
**EIN:** 23-7981677

Name of Stock	End of Year Book Value	End of Year Fair Market Value
023135106 AMAZON COM INC COM	20,858	156,806
580135101 MCDONALDS CORP		
713448108 PEPSICO INC	41,020	80,635
718172109 PHILIP MORRIS INTERN	45,583	121,580
742718109 PROCTER & GAMBLE CO	2,748	56,619
037411105 APACHE CORP		
30231G102 EXXON MOBIL CORPORAT	65,862	105,622
637071101 NATIONAL OILWELL INC		
060505104 BANK OF AMERICA CORP		
084670702 BERKSHIRE HATHAWAY I	83,526	138,642
09247X101 BLACKROCK INC	76,638	127,014
12572Q105 CME GROUP INC	131,722	124,756
38141G104 GOLDMAN SACHS GROUP	89,125	119,673
46625H100 JPMORGAN CHASE & CO	68,428	137,805
151020104 CELGENE CORP COM	41,003	180,838
478160104 JOHNSON & JOHNSON	34,128	68,412
302130109 EXPEDITORS INTL WASH	34,066	81,180
34354P105 FLOWSERVE CORP COM		
913017109 UNITED TECHNOLOGIES	65,708	73,397
037833100 APPLE INC	24,244	188,205
17275R102 CISCO SYSTEMS INC		
192446102 COGNIZANT TECH SOLUT	30,764	95,672
25659T107 DOLBY LABS INC		
38259P508 GOOGLE INC		
428236103 HEWLETT PACKARD CO		
57636Q104 MASTERCARD INC	30,944	213,705
594918104 MICROSOFT CORP		
61166W101 MONSANTO CO NEW	66,392	70,540
H01301102 ALCON INC		
088606108 BHP BILLITON LIMITED		

Name of Stock	End of Year Book Value	End of Year Fair Market Value
806857108 SCHLUMBERGER LTD	65,407	97,929
881624209 TEVA PHARMACEUTICAL		
89151E109 TOTAL S.A. ADR		
74926P878 RBC ENTERPRISE #401		
411511306 HARBOR INTERNATION F	355,430	400,751
056752108 BAIDU INC ADR		
66987V109 NOVARTIS AG - ADR	48,056	74,769
747525103 QUALCOMM INC	24,105	20,344
09062X103 BIOGEN INC	33,339	101,096
74926P803 RBC ENTERPRISE FUND-	401,255	314,270
097023105 BOEING CO	77,993	108,009
166764100 CHEVRON CORP	104,174	79,255
38259P706 GOOGLE INC-CL C		
02079K107 ALPHABET INC CL C	30,283	109,279
02079K305 ALPHABET INC CL A	30,486	112,033

# TY 2015 Investments Government Obligations Schedule

**Name:** WILLIAM G & HELEN C HOFFMAN FDN

**EIN:** 23-7981677

**US Government Securities - End of  
Year Book Value:**

363,859

**US Government Securities - End of  
Year Fair Market Value:**

368,400

**State & Local Government  
Securities - End of Year Book  
Value:**

**State & Local Government  
Securities - End of Year Fair  
Market Value:**

TY 2015 Other Decreases Schedule

**Name:** WILLIAM G & HELEN C HOFFMAN FDN

**EIN:** 23-7981677

Description	Amount
ROUNDING	1

TY 2015 Other Professional Fees Schedule

**Name:** WILLIAM G & HELEN C HOFFMAN FDN

**EIN:** 23-7981677

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEE MUNDER CAP - ADVISOR FEE	36,301	36,301		



# TY 2015 Taxes Schedule

**Name:** WILLIAM G & HELEN C HOFFMAN FDN

**EIN:** 23-7981677

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	811	811		0
FEDERAL ESTIMATES - PRINCIPAL	6,058	0		0
FOREIGN TAXES ON QUALIFIED FOR	458	458		0
FOREIGN TAXES ON NONQUALIFIED	111	111		0