Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No 1545-0052

2015

Open to Public Inspection

For calendar year 2015 or tax year beginning , and ending

Name of foundation THOMAS M. EVANS, JR. FOUNDATION	A Employer identification number 23-7949413
Number and street (or P O box number if mail is not delivered to street address) 6805 W. TRAIL CREEK COUNTY RD BOX 259 / Room/suite	B Telephone number 307-733-1334
City or town, state or province, country, and ZIP or foreign postal code WILSON, WY 83014	C If exemption application is pending, check here ▶ ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change	D 1. Foreign organizations, check here ▶ ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐
H Check type of organization: [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation	E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶$ 1,476,455. / J Accounting method: [X] Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis.)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check ▶ [X] if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	3.	3.		STATEMENT 1
	4 Dividends and interest from securities	28,535.	28,535.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	84,289.			
	b Gross sales price for all assets on line 6a 742,101.				
	7 Capital gain net income (from Part IV, line 2)		84,289.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income				
	12 **Total.** Add lines 1 through 11	112,827.	112,827.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees STMT 3	4,475.	0.		0.
	c Other professional fees STMT 4	16,572.	8,286.		0.
	17 Interest				
	18 Taxes STMT 5	703.	0.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses				
	24 **Total operating and administrative expenses.** Add lines 13 through 23	21,750.	8,286.		0.
	25 Contributions, gifts, grants paid	84,964.			84,964.
	26 **Total expenses and disbursements.** Add lines 24 and 25	106,714.	8,286.		84,964.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	6,113.			
	b **Net investment income** (if negative, enter -0-)		104,541.		
	c **Adjusted net income** (if negative, enter -0-)			N/A	

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	21,096.	22,957.	22,957.
	2 Savings and temporary cash investments	173,170.	185,167.	185,167.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 6	1,125,664.	1,117,919.	1,268,331.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	1,319,930.	1,326,043.	1,476,455.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [X] and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	1,555,749.	1,555,749.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	<235,819.>	<229,706.>	
	30 **Total net assets or fund balances**	1,319,930.	1,326,043.	
	31 **Total liabilities and net assets/fund balances**	1,319,930.	1,326,043.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,319,930.
2	Enter amount from Part I, line 27a	2	6,113.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	1,326,043.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,326,043.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	UBS - SEE ATTACHED STATEMENTS	P	VARIOUS	12/31/15
b	UBS - SEE ATTACHED STATEMENTS	P	VARIOUS	12/31/15
c	UBS - SEE ATTACHED STATEMENTS	P	VARIOUS	12/31/15
d	ISHARE PRINCIPAL PAYMENTS	P	VARIOUS	12/31/15
e	CAPITAL GAINS DIVIDENDS			

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	218,084.		210,415.	7,669.
b	439,733.		393,096.	46,637.
c	78,663.		53,833.	24,830.
d	468.		468.	0.
e	5,153.			5,153.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				7,669.
b				46,637.
c				24,830.
d				0.
e				5,153.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 }	2	84,289.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2014	83,859.	1,587,178.	.052835
2013	77,304.	1,487,278.	.051977
2012	77,348.	1,509,817.	.051230
2011	71,932.	1,548,614.	.046449
2010	75,135.	1,523,244.	.049326

2 Total of line 1, column (d)	2	.251817
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.050363
4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	4	1,529,717.
5 Multiply line 4 by line 3	5	77,041.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,045.
7 Add lines 5 and 6	7	78,086.
8 Enter qualifying distributions from Part XII, line 4	8	84,964.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b		1	1,045.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	1,045.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-		5	1,045.
6 Credits/Payments:			
a 2015 estimated tax payments and 2014 overpayment credited to 2015	6a 760.		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d		7	760.
8 Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached		8	
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	285.
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	
11 Enter the amount of line 10 to be: **Credited to 2016 estimated tax** ▶	**Refunded** ▶	11	

Part VII-A Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?	1b		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NONE			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation* SEE STATEMENT 7	8b		X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ THOMAS M. EVANS, JR. Telephone no. ▶ 307-733-1334
Located at ▶ 6805 W. TRAIL CREEK COUNTY RD, BX 259, WILSON, WY ZIP+4 ▶ 83014

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015?	☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ____, ____, ____, ____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.)*	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A | 5b |

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | 6b | | X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A | 7b |

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
THOMAS M. EVANS, JR. 6805 W. TRAIL CREEK COUNTY RD WILSON, WY 83014	TRUSTEE 0.00	0.	0.	0.
TANIA G. EVANS 6805 W. TRAIL CREEK COUNTY RD WILSON, WY 83014	TRUSTEE 0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

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Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	0.

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Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a	Average monthly fair market value of securities		1a	1,539,044.
b	Average of monthly cash balances		1b	13,968.
c	Fair market value of all other assets		1c	
d	**Total** (add lines 1a, b, and c)		1d	1,553,012.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.		
2	Acquisition indebtedness applicable to line 1 assets		2	0.
3	Subtract line 2 from line 1d		3	1,553,012.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)		4	23,295.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4		5	1,529,717.
6	**Minimum investment return.** Enter 5% of line 5		6	76,486.

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6		1	76,486.
2a	Tax on investment income for 2015 from Part VI, line 5	2a 1,045.		
b	Income tax for 2015. (This does not include the tax from Part VI.)	2b		
c	Add lines 2a and 2b		2c	1,045.
3	Distributable amount before adjustments. Subtract line 2c from line 1		3	75,441.
4	Recoveries of amounts treated as qualifying distributions		4	0.
5	Add lines 3 and 4		5	75,441.
6	Deduction from distributable amount (see instructions)		6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1		7	75,441.

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	84,964.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	84,964.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	1,045.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	83,919.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				75,441.
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only			64,393.	
b Total for prior years: ____, ____, ____		0.		
3 Excess distributions carryover, if any, to 2015:				
a From 2010				
b From 2011				
c From 2012				
d From 2013				
e From 2014				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2015 from Part XII, line 4: ▶ $ 84,964.				
a Applied to 2014, but not more than line 2a			64,393.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2015 distributable amount				20,571.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2014. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016				54,870.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2016.** Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2011				
b Excess from 2012				
c Excess from 2013				
d Excess from 2014				
e Excess from 2015				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2015	(b) 2014	(c) 2013	(d) 2012	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient: Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
COMMUNITY FUND OF JACKSON HOLE 180 CENTER STREET, BOX 574 JACKSON, WY 83001	N/A	PUBLIC CHARITY	OLD BILL'S FUN RUN	25,000.
EAGLEBROOK SCHOOL DEERFIELD, MA 01342	N/A	PUBLIC CHARITY	GENERAL CHARITABLE PURPOSES	5,000.
GUIDES TRUST FOUNDATION P.O. BOX 88 ISLAMORDA, FL 33036	N/A	PUBLIC CHARITY	GENERAL CHARITABLE PURPOSES	10,000.
INTERNATIONAL GAME FISH ASSOCIATION 300 GULF STREAM WAY DANIA BEACH FL	N/A	PUBLIC CHARITY	GENERAL CHARITABLE PURPOSES	11,250.
METROPOLITAN MUSEUM OF ART 5TH AVENUE & 82ND STREET NEW YORK, NY 10001	N/A	PUBLIC CHARITY	GENERAL CHARITABLE PURPOSES	8,714.
Total SEE CONTINUATION SHEET(S)			▶ 3a	84,964.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Part XVI-A **Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	3.	
4 Dividends and interest from securities			14	28,535.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	84,289.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		112,827.	0.

13 Total. Add line 12, columns (b), (d), and (e) . . . 13 112,827.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B **Relationship of Activities to the Accomplishment of Exempt Purposes**

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no.	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee — Date 5/27/16 — Title

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
PAUL LATTNER		4/25/2016		P00982900

Firm's name ▶ K&L GATES LLP — Firm's EIN ▶ 25-0921018

Firm's address ▶ 210 SIXTH AVENUE PITTSBURGH, PA 15222-2613 — Phone no. 412-355-6500

523622 11-24-15

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
EVERGLADES FOUNDATION 18001 OLD CUTLER ROAD PALMETTO BAY, FL 33157	N/A	PUBLIC CHARITY	GENERAL CHARITABLE PURPOSES	25,000.
Total from continuation sheets				25,000.

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
BANK OF JACKSON HOLE	3.	3.	
TOTAL TO PART I, LINE 3	3.	3.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
UBS FINANCIAL SERVICES	33,688.	5,153.	28,535.	28,535.	
TO PART I, LINE 4	33,688.	5,153.	28,535.	28,535.	

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
K&L GATES LLP	4,475.	0.		0.
TO FORM 990-PF, PG 1, LN 16B	4,475.	0.		0.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
UBS FINANCIAL SERVICES	16,572.	8,286.		0.
TO FORM 990-PF, PG 1, LN 16C	16,572.	8,286.		0.

FORM 990-PF | TAXES | STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
EXCISE TAX	703.	0.		0.
TO FORM 990-PF, PG 1, LN 18	703.	0.		0.

FORM 990-PF | CORPORATE STOCK | STATEMENT 6

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
EQUITIES-DETAIL ATTACHED	1,117,919.	1,268,331.
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,117,919.	1,268,331.

FORM 990-PF | EXPLANATION CONCERNING PART VII-A, LINE 8B | STATEMENT 7

EXPLANATION

NOT REQUIRED BY STATE OF WYOMING

Your assets

Some prices, income and current values shown may be approximate As a result, gains and losses may not be accurately reflected See *Important information about your statement* at the end of this document for more information

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC Money market sweep balances are protected by SIPC but are not insured by the FDIC See the *Important information about your statement* at the end of this document for details about those balances

Holding	Opening balance on Dec 1 ($)	Closing balance on Dec 31 ($)	Price per share on Dec 31 ($)	Average rate	Dividend/Interest period	Days in period	Cap amount ($)
Cash	0.00	180 00					
UBS BANK USA BUS ACCT	222,380 00	184,987.48					500,000.00
Total	**$222,380.00**	**$185,167.48**					

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
ABBVIE INC COM								
Symbol ABBV Exchange NYSE								
EAI: $2,280 Current yield: 3 85%	Apr 24, 12	28 000	31 615	885 23	59 240	1,658 72	773.49	LT
	Jul 16, 12	35.000	34.112	1,193 95	59 240	2,073.40	879 45	LT
	Apr 1, 13	937.000	40 727	38,161.29	59 240	55,507 88	17,346 59	LT
Security total		1,000 000	40 240	40,240 47		59,240 00	18,999 53	
ACE LTD CHF								
Symbol: ACE Exchange. NYSE								
EAI: $1,474 Current yield 2.29%								
CHF Exchange rate: 1.00100	Feb 20, 13	175.000	87.322	15,281 52	116 850	20,448 75	5,167 23	LT
	Jul 14, 15	375 000	103.515	38,818 25	116 850	43,818 75	5,000 50	ST

continued next page

Account name: THE THOMAS M EVANS, JR
Friendly account name: Tom PMP
Account number: [redacted]

Your Financial Advisor:
MALIN/CONOVER
617-439-8000/800-225-2374

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
Security total		550 000	98 363	54,099.77		64,267.50	10,167 73	
ALPHABET INC CL A								
Symbol GOOGL Exchange OTC	Apr 24, 12	4 000	301.900	1,207 60	778 010	3,112 04	1,904.44	LT
	Jul 16, 12	4.000	288 052	1,152 21	778 010	3,112 04	1,959 83	LT
	Aug 18, 14	42.000	594.230	24,957 66	778 010	32,676 42	7,718.76	LT
Security total		50 000	546 349	27,317 47		38,900.50	11,583 03	
ALPHABET INC CL C								
Symbol: GOOG Exchange OTC	Apr 24, 12	3 989	300 145	1,197 28	758 880	3,027.17	1,829.89	LT
	Jul 16, 12	4.011	286.377	1,148 66	758 880	3,043.87	1,895 21	LT
Security total		8 000	293 243	2,345 94		6,071 04	3,725.10	
AMERICAN INTL GROUP INC COM NEW								
Symbol AIG Exchange NYSE								
EAI $1,120 Current yield 1.81%	Apr 16, 15	750 000	58.390	43,792 50	61.970	46,477 50	2,685 00	ST
	Nov 6, 15	250 000	62 360	15,590.00	61.970	15,492 50	-97.50	ST
Security total		1,000 000	59 383	59,382 50		61,970.00	2,587.50	
AMGEN INC								
Symbol AMGN Exchange OTC								
EAI $560 Current yield 2 46%	Feb 28, 13	140 000	92 887	13,004 24	162.330	22,726 20	9,721.96	LT
APPLE INC								
Symbol. AAPL Exchange OTC								
EAI $539 Current yield. 1 98%	Apr 29, 13	259 000	60.784	15,743 13	105 260	27,262 34	11,519 21	LT
BLACKSTONE MTG TR INC REIT								
Symbol. BXMT Exchange. NYSE								
EAI $4,960 Current yield: 9 27%	Dec 19, 13	975 000	27 297	26,615 06	26 760	26,091 00	-524.06	LT
	Jan 31, 14	275.000	28 050	7,713 75	26.760	7,359.00	-354 75	LT
	Feb 12, 14	750.000	28.033	21,025.28	26 760	20,070.00	-955.28	LT
Security total		2,000 000	27.677	55,354 09		53,520.00	-1,834 09	
CELLDEX THERAPEUTICS INC								
Symbol: CLDX Exchange OTC	Feb 18, 14	500.000	28.016	14,008 00	15 680	7,840 00	-6,168 00	LT
	Apr 16, 15	500 000	28 140	14,070.00	15.680	7,840 00	-6,230 00	ST
Security total		1,000 000	28 078	28,078 00		15,680 00	-12,398 00	
FIREEYE INC								
Symbol FEYE Exchange OTC	Apr 16, 15	1,000 000	42 420	42,420 00	20 740	20,740 00	-21,680 00	ST

continued next page

Account name: THE THOMAS M. EVANS, JR
Friendly account name: Tom PMP
Account number: [redacted]

Your Financial Advisor:
MALIN/CONOVER
617-439-8000/800-225-2374

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
FORTINET INC								
Symbol. FTNT Exchange. OTC	Oct 24, 14	1,000.000	25.747	25,747.00	31.170	31,170.00	5,423.00	LT
GILEAD SCIENCES INC								
Symbol. GILD Exchange. OTC								
EAI: $327 Current yield: 1.70%	Nov 19, 09	60 000	11 584	695 08	101 190	6,071.40	5,376.32	LT
	Apr 24, 12	42.000	13.067	548.83	101 190	4,249.98	3,701.15	LT
	May 4, 15	88.000	106.239	9,349 11	101.190	8,904 72	-444.39	ST
Security total		190.000	55.753	10,593.02		19,226.10	8,633.08	
INTEL CORP								
Symbol INTC Exchange OTC								
EAI: $1,920 Current yield: 2.79%	Apr 10, 13	1,000.000	21.856	21,856.00	34.450	34,450.00	12,594.00	LT
	Sep 9, 13	1,000.000	22.986	22,986.20	34.450	34,450.00	11,463.80	LT
Security total		2,000 000	22 421	44,842.20		68,900.00	24,057.80	
JOHNSON & JOHNSON COM								
Symbol· JNJ Exchange. NYSE								
EAI: $1,800 Current yield 2 92%	Feb 14, 13	600 000	75.906	45,543.90	102.720	61,632 00	16,088.10	LT
MASCO CORP								
Symbol: MAS Exchange· NYSE								
EAI. $570 Current yield. 1.34%	Nov 20, 15	1,500.000	30 306	45,459 90	28 300	42,450 00	-3,009.90	ST
MCDONALDS CORP								
Symbol: MCD Exchange. NYSE								
EAI: $1,780 Current yield· 3 01%	Oct 22, 15	250.000	110.250	27,562.50	118.140	29,535 00	1,972.50	ST
	Oct 27, 15	250 000	111.749	27,937.48	118 140	29,535 00	1,597 52	ST
Security total		500.000	111 000	55,499 98		59,070.00	3,570.02	
MEDTRONIC PLC								
Symbol MDT Exchange NYSE								
EAI: $912 Current yield. 1.98%	Mar 18, 15	600 000	77.485	46,491 06	76 920	46,152 00	-339 06	ST
MERCK & CO INC NEW COM								
Symbol· MRK Exchange NYSE								
EAI. $1,840 Current yield 3 48%	Apr 1, 13	1,000.000	44.267	44,267 44	52 820	52,820 00	8,552.56	LT
MICROSOFT CORP								
Symbol· MSFT Exchange OTC								
EAI· $2,520 Current yield 2 60%	Oct 23, 09	120 000	26.160	3,139 28	55 480	6,657 60	3,518 32	LT

continued next page

Account name: THE THOMAS M. EVANS, JR.
Friendly account name: Tom PMP
Account number: [redacted]

Your Financial Advisor:
MALIN/CONOVER
617-439-8000/800-225-2374

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Apr 24, 12	46 000	31.950	1,469 70	55.480	2,552.08	1,082.38	LT
	Apr 1, 13	1,584 000	28 527	45,186 77	55 480	87,880.32	42,693.55	LT
Security total		1,750 000	28 455	49,795 75		97,090 00	47,294.25	
PROCTER & GAMBLE CO Symbol PG Exchange NYSE EAI. $1,989 Current yield 3 34%	Dec 2, 15	750 000	76.230	57,172 50	79 410	59,557.50	2,385.00	ST
VERTEX PHARMACEUTICAL INC Symbol. VRTX Exchange. OTC	Oct 15, 14	250 000	103 239	25,809 98	125 830	31,457.50	5,647.52	LT
XL GROUP PLC SHS Symbol. XL Exchange. NYSE EAI $720 Current yield 2 04%	Jan 8, 15	900 000	35 580	32,022 00	39 180	35,262 00	3,240 00	ST
Total				**$821,230.34**		**$975,164.68**	**$153,934.34**	

Total estimated annual income: $25,311

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
WISDOMTREE TRUST MIDCAP DIVID FUND Symbol. DON Trade date. Feb 27, 13 EAI $609 Current yield 2 52%	300 000	62 219	18,665 84	18,665 84	80 510	24,153 00	5,487 16	5,487 16	LT

Your assets › Equities (continued)

Mutual funds

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
PRINCIPAL MIDCAP FUND									
CLASS I									
Symbol. PCBIX									
Trade date. Jul 16, 12	2,342.365	14 680	34,385 92	34,385 92	20 900	48,955 42	14,569 50	14,569 50	LT
EAI· $63 Current yield 0 13%									

Fixed income

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
FT-PREFERRED SECUR & INC ETF									
Symbol: FPE									
Trade date. Dec 10, 15	6,660.000	18 929	126,069.86	126,069 86	18 950	126,207 00	137 14	137 14	ST
EAI: $6,926 Current yield· 5 49%									

Your assets (continued)

Commodities

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
ISHARES SILVER TRUST									
Symbol. SLV									
Trade date Nov 21, 14	2,500 000	15.786	39,467.38	39,467 38	13 190	32,975 00	-6,492 38	-6,492 38	LT
SPDR GOLD TRUST									
Symbol GLD									
Trade date· Jun 19, 14	600.000	126 024	75,614 60	75,614 60	101 460	60,876.00	-14,738 60	-14,738 60	LT
Total			**$115,081.98**	**$115,081.98**		**$93,851.00**	**-$21,230.98**	**-$21,230.98**	

Your total assets

		Value on Dec 31 ($)	Percentage of your account	Cost basis ($)	Estimated annual income ($)	Unrealized gain or loss ($)
Cash	**Cash and money balances**	**185,167.48**	**12.74%**	**185,167.48**		
Equities	Common stock	975,164 68		821,230 34	25,311 00	153,934 34
	Closed end funds & Exchange traded products	24,153 00		18,665 84	609 00	5,487 16
	Mutual funds	48,955 42		34,385 92	63 00	14,569 50
	Total equities	**1,048,273.10**	**72.12%**	**874,282.10**	**25,983.00**	**173,991.00**
Fixed income	**Closed end funds & Exchange traded products**	**126,207.00**	**8.68%**	**126,069.86**	**6,926.00**	**137.14**
Commodities	**Closed end funds & Exchange traded products**	**93,851.00**	**6.46%**	**115,081.98**		**-21,230.98**
Total		**$1,453,498.58**	**100.00%**	**$1,300,601.42**	**$32,909.00**	**$152,897.16**

UBS FINANCIAL SERVICES INC. Account [redacted]

Proceeds from Broker and Barter Exchange Transactions

2015 1099-B* OMB No. 1545-0715 02/26/2016

Sales transactions are grouped by their term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. Tax lots with an additional notation of "Ordinary" represent neither short- nor long-term capital transactions. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as $0.00 and the Proceeds (column 1d) is the net of proceeds received when the option was written and the cost to close the position.

Several column headings describe two related items: a quantity and a qualifier. For example, proceeds and, if the sale is the result of an option exercise or assignment, whether the proceeds are gross or net (adjusted for any option premium). When these conditions exist, the qualifier is shown to the right of the amount.

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS *(Lines 2 & 5)*

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. *(Line 3)*
"Gain or loss(-)" and "Additional information" are NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol 1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any**	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
AKORN INC / CUSIP: 009728106 / Symbol: AKRX							
02/02/15	1,050.000	44,152.84	10/22/14	44,026.50	...	126.34	Sale
CALIFORNIA RESOURCES CORP / CUSIP: 13057Q107 / Symbol: CRC							
01/22/15	20.000	79.99	11/13/14	150.60	...	-70.61	Sale
FORTINET INC / CUSIP: 34959E109 / Symbol: FTNT							
07/23/15	250.000	11,709.78	10/24/14	6,436.75	...	5,273.03	Sale
KANSAS CITY SOUTHERN NEW / CUSIP: 485170302 / Symbol: KSU							
[illegible]	300.000	21,091.11	03/31/15	30,509.97	...	-9,418.86	Sale
KRAFT FOODS GROUP INC / CUSIP: 50076Q106 / Symbol:							
[illegible]	750.000	60,936.38	12/29/14	47,722.50	...	13,213.88	Sale
MONSTER BEVERAGE CORP COM **NAME CHANGE EFF 06/2015** / CUSIP: 611740101 / Symbol:							
02/27/15	100.000	14,010.29	10/08/14	9,578.05	...	4,432.24	Sale
MONSTER BEVERAGE CORP NEW COM / CUSIP: 61174X109 / Symbol: MNST							
10/14/15	100.000	12,842.76	12/09/14	10,580.55	...	2,262.21	Sale
OCCIDENTAL PETROLEUM CRP COMMON STOCK / CUSIP: 674599105 / Symbol: OXY							
2 transactions for 09/18/15. Total proceeds and cost reported to the IRS.							
	50.000	*3,323.56*	*11/13/14*	*4,170.90*	...	*-847.34*	*Sale*
	550.000	*36,559.17*	*02/03/15*	*45,092.03*	...	*-8,532.86*	*Sale*
09/18/15	600.000	39,882.73	VARIOUS	49,262.93	...	-9,380.20	Total of 2 transactions

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**D = adjustment amount is market discount. W = adjustment amount is a nondeductible wash sale loss. C = proceeds amount is from collectible assets.

UBS FINANCIAL SERVICES INC. Account [redacted]

2015 1099-B* OMB No. 1545-0715

Proceeds from Broker and Barter Exchange Transactions (continued)

02/26/2016

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS *(Lines 2 & 5)*

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. *(Line 3)*
"Gain or loss (-)" and "Additional information" are NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any**	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
POWERSHARES QQQ TRUST ETF SER 1 / CUSIP: 73935A104 / Symbol: QQQ							
07/09/15	125.000	13,378.50	10/22/14	12,147.10	...	1,231.40	Sale
Totals :		**218,084.38**		**210,414.95**		**7,669.43**	

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS *(Lines 2 & 5)*

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. *(Line 3)*
"Gain or loss (-)" and "Additional information" are NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any**	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
AMERICAN AIRLS GROUP INC / CUSIP: 02376R102 / Symbol: AAL							
2 transactions for 12/18/15. Total proceeds and cost reported to the IRS.							
	525.000	*22,034.37*	*04/10/14*	*18,548.25*	...	*3,486.12*	*Sale*
	475.000	*19,935.86*	*05/01/14*	*17,256.75*	...	*2,679.11*	*Sale*
12/18/15	1,000.000	41,970.23	VARIOUS	35,805.00	...	6,165.23	Total of 2 transactions
AMGEN INC COMMON STOCK / CUSIP: 031162100 / Symbol: AMGN							
06/10/15	60.000	9,248.83	02/28/13	5,573.24	..	3,675.59	Sale
APPLIED MATERIALS INC COMMON STOCK / CUSIP: 038222105 / Symbol: AMAT							
05/27/15	1,500.000	30,344.44	12/27/13	26,096.88	...	4,247.56	Sale
BLACKROCK STRATEGIC INCOME I / CUSIP: 09256H286 / Symbol:							
3 transactions for 11/23/15. Total proceeds and cost reported to the IRS.							
	12,640.228	*125,770.26*	*02/27/13*	*128,424.71*	.	*-2,654.45*	*Sale*
	29.363	*292.17*	*03/28/13*	*297.74*	...	*-5.57*	*Sale*
	41.720	*415.11*	*04/30/13*	*427.21*	...	*-12.10*	*Sale*
11/23/15	12,711.311	126,477.54	VARIOUS	129,149.66	...	-2,672.12	Total of 3 transactions
INTEL CORP COMMON STOCK / CUSIP: 458140100 / Symbol: INTC							
08/12/15	910.000	26,325.82	04/10/13	19,888.96	...	6,436.86	Sale

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ment amount is market discount. W = adjustment amount is a nondeductible wash sale loss. C = proceeds amount is from collectible assets

UBS FINANCIAL SERVICES INC. Account [redacted]

Proceeds from Broker and Barter Exchange Transactions (continued)

2015 1099-B* OMB No. 1545-0715 02/26/2016

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS *(Lines 2 & 5)*

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. *(Line 3)*
"Gain or loss (-)" and "Additional Information" are NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any**	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
KANSAS CITY SOUTHERN NEW / CUSIP. 485170302 / Symbol: KSU							
12/18/15	200.000	14,060.74	10/15/14	22,751.50	...	-8,690.76	Sale
MICROSOFT CORP / CUSIP: 594918104 / Symbol: MSFT							
08/06/15	750.000	36,021.84	04/01/13	21,395.25	...	14,626.59	Sale Note: 26
MONSTER BEVERAGE CORP NEW COM / CUSIP: 61174X109 / Symbol: MNST							
10/14/15	350.000	44,949.68	10/08/14	33,523.18	...	11,426.50	Sale
PIMCO INCOME FUND CLASS P / CUSIP: 72201M719 / Symbol:							
12/18/15	5,055.292	60,663.50	10/30/14	64,000.00	..	-3,336.50	Sale
UNTD RENTALS INC / CUSIP: 911363109 / Symbol: URI							
		3 transactions for 01/07/15. Total proceeds and cost reported to the IRS.					
	60.000	*5,321.88*	*04/11/13*	*3,390.00*	..	*1,931.88*	*Sale*
	250.000	*22,174.51*	*09/09/13*	*14,495.00*	...	*7,679.51*	*Sale*
	250.000	*22,174.51*	*11/15/13*	*17,027.48*	..	*5,147.03*	*Sale*
01/07/15	560.000	49,670.90	VARIOUS	34,912.48	..	14,758.42	Total of 3 transactions
Totals :		**439,733.52**		**393,096.15**		**46,637.37**	

LONG TERM TRANSACTIONS FOR NONCOVERED TAX LOTS *(Line 5)*

Report on Form 8949, Part II with Box E checked. Basis is NOT provided to the IRS. *(Line 3)*
"Date acquired," "Cost or other basis," "Adjustments & Code(s), if shown," "Gain or loss (-)" and "Additional Information" are NOT reported to the IRS

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
AMER FUNDS EUROPACIFIC GROWTH FUND CLASS F2 / CUSIP: 29875E100 / Symbol:							
08/12/15	985.299	48,693.48	07/16/12	36,071.79	...	12,621.69	Sale
GOOGLE INC **NAME CHANGE 10/2015** CL C / CUSIP: 38259P706 / Symbol:							
05/04/15	0.022	12.23	04/24/12	6.60	..	5.63	Sale

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**D = adjustment amount is market discount. W = adjustment amount is a nondeductible wash sale loss. C = proceeds amount is from collectible assets.

UBS FINANCIAL SERVICES INC. Account [redacted]

Proceeds from Broker and Barter Exchange Transactions (continued)

2015 1099-B* OMB No. 1545-0715 02/26/2016

LONG TERM TRANSACTIONS FOR NONCOVERED TAX LOTS *(Line 5)*

Report on Form 8949, Part II with Box E checked. Basis is NOT provided to the IRS. *(Line 3)*
"Date acquired," "Cost or other basis," "Adjustments & Code(s), if shown," "Gain or loss (-)" and "Additional information" are NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
HOME DEPOT INC / CUSIP: 437076102 / Symbol: HD							
10/16/15	60.000	7,352.86	11/19/09	1,618.14	...	5,734.72	Sale
INTEL CORP COMMON STOCK / CUSIP: 458140100 / Symbol: INTC							
08/12/15	90.000	2,603.65	11/19/09	1,730.62	...	873.03	Sale
PRINCIPAL MIDCAP FUND CLASS I / CUSIP: 74253Q747 / Symbol:							
12/18/15	981.354	20,000.00	07/16/12	14,406.28	..	5,593.72	Sale
Totals :		**78,662.22**		**53,833.43**		**24,828.79**	

UNDETERMINED TERM TRANSACTIONS FOR NONCOVERED TAX LOTS *(Line 5)*

Report on Form 8949, Part I with Box B checked or Part II with Box E checked. Basis is NOT provided to the IRS. *(Line 3)*
"Date acquired," "Cost or other basis," "Adjustments & Code(s), if shown," "Gain or loss (-)" and "Additional information" are NOT reported to the IRS

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
ISHARES SILVER TRUST / CUSIP: 46428Q109 / Symbol. SLV / Note: CL							
01/31/15	0.000	17.49	N/A	...	...	...	Principal payment Note: 16 Cost Basis Factor: 0.000424161
02/28/15	0.000	15.26	N/A	.	..	...	Principal payment Note: 16 Cost Basis Factor: 0.000383421
03/31/15	0.000	16.70	N/A	...	...	..	Principal payment Note: 16 Cost Basis Factor: 0.000421472
04/30/15	0.000	15.95	N/A	...	...	..	Principal payment Note 16 Cost Basis Factor: 0.000412523
05/31/15	0.000	17.22	N/A	...	...	...	Principal payment Note. 16 Cost Basis Factor: 0 000433789

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[illegible]tment amount is market discount. W = adjustment amount is a nondeductible wash sale loss. C = proceeds amount is from collectible assets.

UBS FINANCIAL SERVICES INC. Account [redacted]

Proceeds from Broker and Barter Exchange Transactions (continued)

2015 1099-B* OMB No. 1545-0715 02/26/2016

UNDETERMINED TERM TRANSACTIONS FOR NONCOVERED TAX LOTS *(Line 5)*

Report on Form 8949, Part I with Box B checked or Part II with Box E checked. Basis is NOT provided to the IRS. (*Line 3*)
"Date acquired," "Cost or other basis," "Adjustments & Code(s), if shown," "Gain or loss (-)" and "Additional information" are NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
ISHARES SILVER TRUST / CUSIP: 46428Q109 / Symbol: SLV / Note: CL (cont'd)							
06/30/15	0.000	15.77	N/A	...	...	..	Principal payment Note: 16 Cost Basis Factor. 0.000422341
07/31/15	0.000	15.35	N/A	...	...	..	Principal payment Note: 16 Cost Basis Factor: 0.000436307
08/31/15	0.000	15.11	N/A	..	..	...	Principal payment Note: 16 Cost Basis Factor 0.000431345
09/30/15	0.000	14.60	N/A	..	..	.	Principal payment Note: 16 Cost Basis Factor. 0 000420496
10/31/15	0.000	15.95	N/A	...	...	...	Principal payment Note: 16 Cost Basis Factor: 0 000434184
11/30/15	0.000	14.17	N/A	..	...	...	Principal payment Note: 16 Cost Basis Factor: 0.000418329
[illegible]	0.000	14.37	N/A	...	...		Principal payment Note: 16 Cost Basis Factor 0.000430640
	Security total:	187 94		...	...	..	
SPDR GOLD TRUST / CUSIP: 78463V107 / Symbol. GLD / Note. CL							
01/14/15	0.000	23.05	N/A	...	...	..	Principal payment Note: 16 Cost Basis Factor. 0 000323821
02/13/15	0 000	24.41	N/A	...	...		Principal payment Note: 16 Cost Basis Factor: 0.000343726
03/13/15	0.000	24.52	N/A	..	...	...	Principal payment Note: 16 Cost Basis Factor. 0.000369473
04/10/15	0.000	24.74	N/A	...	...	...	Principal payment Note: 16 Cost Basis Factor. 0.000355847

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UBS FINANCIAL SERVICES INC. Account [redacted]

Proceeds from Broker and Barter Exchange Transactions (continued)

2015 1099-B* OMB No. 1545-0715 02/26/2016

UNDETERMINED TERM TRANSACTIONS FOR NONCOVERED TAX LOTS *(Line 5)*

Report on Form 8949, Part I with Box B checked or Part II with Box E checked. Basis is NOT provided to the IRS. (*Line 3*)
"Date acquired," "Cost or other basis," "Adjustments & Code(s), if shown," "Gain or loss (-)" and "Additional information" are NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
SPDR GOLD TRUST / CUSIP: 78463V107 / Symbol: GLD / Note: CL (cont'd)							
05/14/15	0.000	23.06	N/A	...	..	...	Principal payment Note: 16 Cost Basis Factor: 0.000327088
06/12/15	0.000	23.25	N/A	...	...	..	Principal payment Note. 16 Cost Basis Factor: 0.000341585
07/08/15	0.000	26.40	N/A	..	...	...	Principal payment Note: 16 Cost Basis Factor: 0.000396171
08/07/15	0.000	22.55	N/A	...	...	...	Principal payment Note: 16 Cost Basis Factor: 0.000358637
09/04/15	0.000	22.70	N/A	..	...	..	Principal payment Note: 16 Cost Basis Factor: 0.000353129
10/05/15	0.000	21.01	N/A	...	...	..	Principal payment Note: 16 Cost Basis Factor: 0.000320770
11/04/15	0.000	22.98	N/A	..	.	...	Principal payment Note: 16 Cost Basis Factor: 0.000358904
12/03/15	0.000	21.43	N/A	...	...	..	Principal payment Note: 16 Cost Basis Factor: 0.000353697
	Security total:	280.10		...	...	..	
Totals :		**468.04**		...		...	

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