EXTENDED TO AUGUST 15, 2016

Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No 1545-0052

2015

Open to Public Inspection

For calendar year 2015 or tax year beginning , and ending

Name of foundation	A Employer identification number
PHILIP & MYN ROOTBERG FOUNDATION	23-7169351
Number and street (or P O box number if mail is not delivered to street address): 225 W. WACKER DRIVE — Room/suite: 2500	B Telephone number: (312) 602-6800
City or town, state or province, country, and ZIP or foreign postal code: CHICAGO, IL 60606	C If exemption application is pending, check here ▶ ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change	D 1. Foreign organizations, check here ▶ ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation	E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶$ 1,160,749. — J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check ▶ ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	1,188.	1,188.		STATEMENT 1
	4 Dividends and interest from securities	24,652.	24,652.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	27,613.			
	b Gross sales price for all assets on line 6a 175,841.				
	7 Capital gain net income (from Part IV, line 2)		27,613.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income				
	12 **Total.** Add lines 1 through 11	53,453.	53,453.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees STMT 3	4,537.	2,269.		2,268.
	c Other professional fees				
	17 Interest				
	18 Taxes STMT 4	3,944.	366.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 5	8,538.	8,513.		25.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	17,019.	11,148.		2,293.
	25 Contributions, gifts, grants paid	71,074.			71,074.
	26 **Total expenses and disbursements.** Add lines 24 and 25	88,093.	11,148.		73,367.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	-34,640.			
	b **Net investment income** (if negative, enter -0-)		42,305.		
	c **Adjusted net income** (if negative, enter -0-)			N/A	

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523501 11-24-15 LHA For Paperwork Reduction Act Notice, see instructions. Form **990-PF** (2015)

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

Section	Line	Description	Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets	1	Cash - non-interest-bearing	2,382.	3,993.	3,993.
	2	Savings and temporary cash investments	47,862.	18,279.	18,279.
	3	Accounts receivable ▶ Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable ▶ Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U.S. and state government obligations STMT 6	9,718.	9,718.	10,639.
	b	Investments - corporate stock			
	c	Investments - corporate bonds STMT 7	20,079.	10,014.	10,728.
	11	Investments - land, buildings, and equipment basis ▶ Less accumulated depreciation ▶			
	12	Investments - mortgage loans			
	13	Investments - other STMT 8	1,104,975.	1,108,372.	1,117,110.
	14	Land, buildings, and equipment: basis ▶ Less accumulated depreciation ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	1,185,016.	1,150,376.	1,160,749.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ [X] and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted	1,185,016.	1,150,376.	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ [ ] and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances**	1,185,016.	1,150,376.	
	31	**Total liabilities and net assets/fund balances**	1,185,016.	1,150,376.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,185,016.
2	Enter amount from Part I, line 27a	2	-34,640.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	1,150,376.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,150,376.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SEE ATTACHMENT	P		
b	SEE ATTACHMENT	P		
c	CAPITAL GAINS DIVIDENDS			
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	28,713.		30,301.	-1,588.
b	127,073.		117,927.	9,146.
c	20,055.			20,055.
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				-1,588.
b				9,146.
c				20,055.
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 } **2** 27,613.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter -0- in Part I, line 8 **3** N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2014	59,149.	1,289,137.	.045883
2013	55,542.	1,232,252.	.045074
2012	33,128.	1,152,132.	.028754
2011	24,640.	1,142,788.	.021561
2010	37,859.	1,190,663.	.031797

2	**Total** of line 1, column (d)	2	.173069
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.034614
4	Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	4	1,238,300.
5	Multiply line 4 by line 3	5	42,863.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	423.
7	Add lines 5 and 6	7	43,286.
8	Enter qualifying distributions from Part XII, line 4	8	73,367.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ [ ] and enter "N/A" on line 1. Date of ruling or determination letter: ______ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b		1	423.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	423.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-		5	423.
6 Credits/Payments:			
a 2015 estimated tax payments and 2014 overpayment credited to 2015	6a 2,000.		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d		7	2,000.
8 Enter any **penalty** for underpayment of estimated tax. Check here [ ] if Form 2220 is attached		8	
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	1,577.
11 Enter the amount of line 10 to be: **Credited to 2016 estimated tax** ▶ 440.	**Refunded** ▶	11	1,137.

Part VII-A **Statements Regarding Activities**

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?	1b		X
*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ IL			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ RUTH ROOTBERG Telephone no. ▶ (312) 602-6800

Located at ▶ 478 OLD FARM ROAD, AMHERST, MA ZIP+4 ▶ 01002

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			**Yes**	**No**
1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015?	☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ____, ____, ____, ____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.)*	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53 4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No: X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
RUTH E. ROOTBERG 225 W. WACKER DRIVE CHICAGO, IL 60606	PRESIDENT/DIRECTOR/TREASUR 0.50	0.	0.	0.
SUSAN R. SHAPIRO 225 W. WACKER DRIVE CHICAGO, IL 60606	SECRETARY/DIRECTOR 0.50	0.	0.	0.
LESLIE R. WILDER 225 W. WACKER DRIVE CHICAGO, IL 60606	VICE PRESIDENT/DIRECTOR 0.50	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

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Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ▶	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a	Average monthly fair market value of securities		1a	1,220,087.
b	Average of monthly cash balances		1b	37,070.
c	Fair market value of all other assets		1c	
d	**Total** (add lines 1a, b, and c)		1d	1,257,157.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.		
2	Acquisition indebtedness applicable to line 1 assets		2	0.
3	Subtract line 2 from line 1d		3	1,257,157.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)		4	18,857.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4		5	1,238,300.
6	**Minimum investment return.** Enter 5% of line 5		6	61,915.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6		1	61,915.
2a	Tax on investment income for 2015 from Part VI, line 5	2a 423.		
b	Income tax for 2015. (This does not include the tax from Part VI.)	2b		
c	Add lines 2a and 2b		2c	423.
3	Distributable amount before adjustments. Subtract line 2c from line 1		3	61,492.
4	Recoveries of amounts treated as qualifying distributions		4	0.
5	Add lines 3 and 4		5	61,492.
6	Deduction from distributable amount (see instructions)		6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1		7	61,492.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	73,367.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	73,367.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	423.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	72,944.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				61,492.
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only			60,266.	
b Total for prior years: ____, ____, ____		0.		
3 Excess distributions carryover, if any, to 2015:				
a From 2010				
b From 2011				
c From 2012				
d From 2013				
e From 2014				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2015 from Part XII, line 4: ▶ $ 73,367.				
a Applied to 2014, but not more than line 2a			60,266.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2015 distributable amount				13,101.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2014. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016				48,391.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2011				
b Excess from 2012				
c Excess from 2013				
d Excess from 2014				
e Excess from 2015				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2015	(b) 2014	(c) 2013	(d) 2012	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
ABD PRODUCTIONS - FLACC 3574 22ND STREET SAN FRANCISCO, CA 94114	N/A	PUBLIC	GENERAL FUNDING	2,000.
ACLU 211 CONGRESS ST BOSTON, MA 02110	N/A	PUBLIC	GENERAL FUNDING	1,000.
ALZHEIMER'S DISEASE RESEARCH 22512 GATEWAY CENTER DRIVE CLARKSBURG, MD 20871	N/A	PUBLIC	GENERAL FUNDING	500.
AMERICAN CANCER SOCIETY PO BOX 22718 OKLAHOMA CITY, OK 73123	N/A	PUBLIC	GENERAL FUNDING	5,000.
AMERICAN HEART ASSOCIATION 7272 GREENVILLE AVENUE DALLAS, TX 75231	N/A	PUBLIC	GENERAL FUNDING	500.
Total SEE CONTINUATION SHEET(S)			▶ 3a	71,074.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	1,188.	
4 Dividends and interest from securities			14	24,652.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	27,613.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		53,453.	0.

13 **Total.** Add line 12, columns (b), (d), and (e) 13 53,453.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	1a(1)		X
(2) Other assets	1a(2)		X
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
(3) Rental of facilities, equipment, or other assets	1b(3)		X
(4) Reimbursement arrangements	1b(4)		X
(5) Loans or loan guarantees	1b(5)		X
(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Ruth E. Rootberg President May 16, 2016 President

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
STANTON N. DERDIGER	Stanton N. Derdiger	5/10/16		P00006907

Firm's name ▶ CBIZ MHM, LLC — Firm's EIN ▶ 34-1853929

Firm's address ▶ 225 WEST WACKER DR., STE. 2500 CHICAGO, IL 60606 — Phone no. 312-602-6800

Form **990-PF** (2015)

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
AMERICAN HUMANE ASSOCIATION 1400 16TH STREE NW, SUITE 360 WASHINGTON, DC 20036	N/A	PUBLIC	GENERAL FUNDING	400.
AMERICAN RED CROSS 2025 E STREET NW WASHINGTON, DC 20006	N/A	PUBLIC	GENERAL FUNDING	300.
AMHERST CINEMA 28 AMITY STREET AMHERST, MA 01002	N/A	PUBLIC	GENERAL FUNDING	1,000.
AMHERST SURVIVAL CENTER 138 SUNDERLAND ROAD NORTH AMHERST, MA 01059	N/A	PUBLIC	GENERAL FUNDING	250.
ART INSTITUTE OF CHICAGO 111 SOUTH MICHIGAN AVENUE CHICAGO, IL 60603	N/A	PUBLIC	GENERAL FUNDING	1,000.
ATLANTIC THEATER COMPANY 76 NINTH AVENUE, SUITE 537 NEW YORK, NY 10011	N/A	PUBLIC	GENERAL FUNDING	200.
AUTISM SPEAKS 1060 STATE ROUD, 2ND FLOOR PRINCETON, NJ 08540	N/A	PUBLIC	GENERAL FUNDING	2,000.
CHICAGO PUBLIC LIBRARY FOUNDATION 20 NORTH MICHIGAN AVENUE, SUITE 520 CHICAGO, IL 60602	N/A	PUBLIC	GENERAL FUNDING	300.
CHICAGO SYMPHONY ORCHESTRA 220 S. MICHIGAN AVENUE CHICAGO, IL 60604	N/A	PUBLIC	GENERAL FUNDING	1,200.
CLARK ART INSTITUTE 225 SOURTH ST WILLIAMSTOWN, MA 01267	N/A	PUBLIC	GENERAL FUNDING	250.
Total from continuation sheets				62,074.

Part XV **Supplementary Information**

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
COOLEY DICKINSON HEALTH CARE 30 LOCUST ST NORTHAMPTON, MA 01061	N/A	PUBLIC	GENERAL FUNDING	500.
COURT THEATRE - THE UNIVERSITY OF CHICAGO 5535 S ELLIS AVE CHICAGO, IL 60637	N/A	PUBLIC	GENERAL FUNDING	1,200.
DOCTORS WITHOUT BORDERS 333 SEVENTH AVENUE, 2ND FLOOR NEW YORK, NY 10001	N/A	PUBLIC	GENERAL FUNDING	500.
FELDENKRAIS EDUCATIONAL FOUNDATION OF NORTH AMERICA 5436 N. ALBINA AVENUE PORTLAND, OR 97217	N/A	PUBLIC	GENERAL FUNDING	600.
FOLK ARTS RAJASTHAN 314 EAST 84TH ST, #11 NEW YORK, NY 10028	N/A	PUBLIC	GENERAL FUNDING	3,700.
GOODMAN THEATRE 170 N. DEARBORN CHICAGO, IL 60601	N/A	PUBLIC	GENERAL FUNDING	1,000.
HARTSBROOK SCHOOL 193 BAY ROAD HADLEY, MA 01035	N/A	PUBLIC	GENERAL FUNDING	1,500.
JEWISH UNITED FUND 30 S. WELLS STREET CHICAGO, IL 60606	N/A	PUBLIC	GENERAL FUNDING	12,000.
LOS ANGELES OPERA 135 N GRAND LOAS ANGELES, CA 90012	N/A	PUBLIC	GENERAL FUNDING	4,333.
LYRIC OPERA OF CHICAGO 20 N. WACKER DRIVE CHICAGO, IL 60606	N/A	PUBLIC	GENERAL FUNDING	1,500.
Total from continuation sheets				

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04-01-15

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
NATIONAL KIDNEY FOUNDATION 3109 FORBES AVENUE, SUITE 101 PITTSBURGH, PA 15213	N/A	PUBLIC	GENERAL FUNDING	75.
NATIONAL MULTIPLE SCLEROSIS SOCIETY 733 THIRD AVENUE, 3RD FLOOR NEW YORK, NY 10017	N/A	PUBLIC	GENERAL FUNDING	400.
NATURE CONSERVANCY 4245 NORTH FAIRFAX DRIVE, SUITE 100 ARLINGTON, VA 22203	N/A	PUBLIC	GENERAL FUNDING	400.
NEIGHBORHOOD CATS 2576 BROADWAY, NO. 555 NEW YORK, NY 10025	N/A	PUBLIC	GENERAL FUNDING	300.
NEW ENGLAND PUBLIC RADIO 1525 MAIN STREET SPRINGFIELD, MA 01103	N/A	PUBLIC	GENERAL FUNDING	1,500.
ONE VOICE 1228 15TH STREET, SUITE C SANTA MONICAO, CA 90404	N/A	PUBLIC	GENERAL FUNDING	733.
SEAN CASEY ANIMAL RESCUE 153 EAST 3RD STREET BROOKLYN, NY 11218	N/A	PUBLIC	GENERAL FUNDING	200.
SMILE TRAIN 41 MADISON AVENUE, 28TH FLOOR NEW YORK, NY 10010	N/A	PUBLIC	GENERAL FUNDING	1,500.
ST ANN'S WAREHOUSE 55 WASHINGTON ST, SUITE 458 BROOKLYN, NY 11201	N/A	PUBLIC	GENERAL FUNDING	300.
TEMPLE AKIBA 5249 S. SEPULVEDA BLVD CULVER CITY, CA 90230	N/A	PUBLIC	GENERAL FUNDING	6,333.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
TEMPLE ISRAEL OF HOLLYWOOD 7300 HOLLYWOOD BLVD LOS ANGELES, CA 90046	N/A	PUBLIC	GENERAL FUNDING	4,600.
TEMPLE SHALOM 3480 N. LAKE SHORE DRIVE CHICAGO, IL 60657	N/A	PUBLIC	GENERAL FUNDING	2,000.
THE FOOD BANK OF WESTERN MASSACHUSETTES 97 NORTH HATFIELD ROAD, PO BOX 160 HATFIELD, MA 01038	N/A	PUBLIC	GENERAL FUNDING	500.
THE JEWISCH FEDERATION 6505 WILSHIRE BOULEVARD LOS ANGELES, CA 90048	N/A	PUBLIC	GENERAL FUNDING	1,000.
THIRTEEN - NEW YORK PUBLIC MEDIA (WNET) 825 EIGHTH AVENUE NEW YORK, NY 10019	N/A	PUBLIC	GENERAL FUNDING	2,000.
TUFTS UNIVERSITY PO BOX 3306 BOSTON, MA 02241	N/A	PUBLIC	GENERAL FUNDING	4,000.
UNIVERSITY OF ILLIONIS FOUNDATION 1305 WEST GREEN STREET URBANA, IL 61801	N/A	PUBLIC	GENERAL FUNDING	1,000.
WNYC - NEW YORK PUBLIC RADIO 160 VARICK STREET NEW YORK, NY 10013	N/A	PUBLIC	GENERAL FUNDING	1,500.
Total from continuation sheets				

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
ROPES & GRAY	1,188.	1,188.	
TOTAL TO PART I, LINE 3	1,188.	1,188.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
ROPES & GRAY	44,707.	20,055.	24,652.	24,652.	
TO PART I, LINE 4	44,707.	20,055.	24,652.	24,652.	

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	4,537.	2,269.		2,268.
TO FORM 990-PF, PG 1, LN 16B	4,537.	2,269.		2,268.

FORM 990-PF TAXES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAX WITHHELD	366.	366.		0.
FEDERAL TAXES	3,578.	0.		0.
TO FORM 990-PF, PG 1, LN 18	3,944.	366.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ANNUAL REPORT	15.	0.		15.
ASSET MANAGEMENT FEES	8,513.	8,513.		0.
SECRETARY OF STATE	10.	0.		10.
TO FORM 990-PF, PG 1, LN 23	8,538.	8,513.		25.

FORM 990-PF U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS STATEMENT 6

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED STATEMENT	X		9,718.	10,639.
TOTAL U.S. GOVERNMENT OBLIGATIONS			9,718.	10,639.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			9,718.	10,639.

FORM 990-PF CORPORATE BONDS STATEMENT 7

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED STATEMENT	10,014.	10,728.
TOTAL TO FORM 990-PF, PART II, LINE 10C	10,014.	10,728.

FORM 990-PF | OTHER INVESTMENTS | STATEMENT 8

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED STATEMENT	COST	1,108,372.	1,117,110.
TOTAL TO FORM 990-PF, PART II, LINE 13		1,108,372.	1,117,110.

PHILIP AND MYN ROOTBERG FOUNDATION
BALANCE SHEET ATTACHMENT
12/31/15
FEIN: 23-7169351

NAME OF SECURITY	1/1/2014 SHARES	BASIS	12/31/15 SHARES	BASIS	FMV
Line 10A - US & State Government Obligation					
US Treasury Note - 3.875% 5/15/2018	10,000 00	9,718 02	10,000 00	9,718 02	10,639.00
Total Line 10A		**9,718.02**		**9,718.02**	**10,639.00**
Line 10B - Corporate Stock					
Total Line 10B		-		-	-
Line 10C - Corporate Bonds					
Wells Fargo Bank NA	10,000 00	10,064 42	-	-	-
Wells Fargo Company Bond	10,000 00	10,014 40	10,000 00	10,014.40	10,728.00
Total Line 10C		**20,078.82**		**10,014.40**	**10,728.00**
Line 13 - Other Investments					
Artisan Intl Value Fund	1,966 503	41,521 61	1,961 143	41,210.96	62,188.00
Blackrock Strategic Inc Opp Fund Ins	3,726 666	38,226 61	3,609 768	37,011.06	35,267 00
Dodge & Cox International Stock Fund	1,535 049	54,052 01	1,497 065	52,589 47	54,613 00
Dreyfus Emerging Markets Debt Local Currency Fund	1,307 386	17,774 42	-	-	-
Driehaus Emerging Markets Growth Fund	2,815 218	86,353 60	1,963 077	57,904 70	52,080 00
FMI Large Cap Fund	6,343 308	146,304 99	6,486.952	146,665 07	120,722 00
Harding Loevner Intl Equity Port	3,739 642	55,811 97	3,739.642	55,811 97	63,948 00
Matthews Asia Dividend - Ins	-	-	1,788.164	30,795.33	27,448.00
Metropolitan West Total Return Bond Fund	12,823 354	137216 83	13,569.482	145,297.53	144,108.00
Neuberger Berman Core Bond Fund	13,242 377	127,857.60	13,972.288	135,497.19	143,356 00
Neuberger Berman High Income Bond Fund	4,068.774	31,011 40	5,236 020	41,511 40	42,202.00
Neuberger & Berman Equity Income Fund	4,050.514	34,833 19	-	-	-
Oak Ridge Small Cap Growth Fund (Name change from Pioneer)	1,071.428	32,239 33	1,043 062	31,009.07	36,538.00
Ridgeworth Seix Floating Rate High Income Fund	4,254.082	38,545 41	5,309 786	47,871.64	43,859 00
Third Ave Real Est Val - ISNT	-	-	812.652	24,475 91	23,347 00
Transamerica Intl Small Cap Value Fund	4,276.505	50,489.43	6,082 080	72,018 18	70,066.00
Vanguard 500 Index Fund ADM	707 104	134,448.83	656.969	124,916 16	123,826 00
Vanguard Fixed Income Short/Intermediate Invesmnt Grade Fund	1,039.347	10,446 78	-	-	-
Vanguard Small-Cap Index Fund	1,459 477	67,841 48	1,386 271	63,785.86	73,542.00
Hope Letter PR Glbl Hotel Ventures LLC	1 000	-	-	-	-
PR Global Hotel - Asgmt Settle Agmt	1 000	-	-	-	-
Total Line 13		**1,104,975.49**		**1,108,371.50**	**1,117,110.00**
Grand Total		**1,134,772.33**		**1,128,103.92**	**1,138,477.00**

PHILIP AND MYN ROOTBERG FOUNDATION
CAPITAL GAINS AND LOSSES
12/31/15
FEIN: 23-7169351

	DATE ACQUIRED	DATE SOLD	SHARES	PROCEEDS	COST	GAIN (LOSS)
Short Term Covered						
FMI Large Cap FD	12/1/2014	10/20/2015	263 03	5,523.67	6,110.23	(586 56)
FMI Large Cap FD	12/1/2014	11/13/2015	188.68	3,911 32	4,383 01	(471 69)
Matthews Asia Dividend - Ins	6/23/2015	11/13/2015	98 67	1,549.19	1,700 17	(150.98)
Matthews Asia Dividend - Ins	6/23/2015	11/13/2015	26 80	420.70	461 70	(41.00)
	6/23/2015	Wash Sale Disallowed			(41.00)	41 00
Neuberger Berman Equity Income FD	12/15/2014	9/22/2015	167 66	1,928 08	2,030 35	(102 27)
Oak Ridge Small Cap Growth	12/15/2014	10/20/2015	15.64	592 12	587 74	4 38
Oak Ridge Small Cap Growth	12/15/2014	11/13/2015	39 725	1,457 11	1,492 46	(35 35)
	12/15/2014	Wash Sale Disallowed			(35 35)	35 35
Vanguard 500 Index Fund Adm	12/1/2014	10/21/2015	29.29	5,460 83	5,569.20	(108.37)
Vanguard 500 Index Fund Adm	12/1/2014	11/13/2015	20 85	3,900 51	3,963 47	(62.96)
Vanguard S/T Corporate FD Adm	12/17/2014	10/21/2015	2 24	23 90	23.85	0.05
Vanguard Small Cap Idx FD Adm	11/17/2014	10/21/2015	73 21	3,945 80	4,055 62	(109 82)
Total Short Term Covered				**28,713.23**	**30,301.45**	**(1,588.22)**
Long Term Covered						
Artisan Intl Value Fd Inv	3/7/2014	11/13/2015	5.36	180 99	200 07	(19 08)
Artisan Intl Value Fd Inv	3/7/2014	11/13/2015	96.15	3,246 94	3,589.23	(342.29)
	3/7/2014	Wash Sale Disallowed			(342 29)	342.29
Blackrock Strategic Inc Opp Fd Ins	10/31/2014	11/13/2015	150.60	1,498 49	1,545 18	(46.69)
Dodge & Cox Intl Stock Fd	3/4/2014	11/13/2015	11.411	438 64	496.61	(57.97)
Dreyfus Emg Mkts Dbt Local C FD Cl I	Various	3/18/2015	150 184	1,775.18	2,039 99	(264 81)
Driehaus Emg Mkts Growth Fd	Various	6/23/2015	627.69	19,847.59	20,553 36	(705.77)
Neuberger Berman Equity Income Fd	Various	9/22/2015	56.47	649.35	657.37	(8.02)
Oak Ridge Small Cap Growth	6/10/2014	10/20/2015	36.318	1,374 64	1,439 65	(65 01)
Ridgeworth Seix Floating Rate Fd Ins	6/10/2014	11/13/2015	129 41	1,096 12	1,173 77	(77 65)
Transamerica Intl Small Cap Value Fd	10/31/2014	11/13/2015	367.95	4,430.09	4,345 47	84.62
Total Long Term Covered				**34,538.03**	**35,698.41**	**(1,160.38)**
Long Term Non-Covered						
Dodge & cox Intl Stock Fd	1/24/2011	11/13/2015	26.57	1,021 46	965 93	55 53
Dreyfus Emg Mkts Dbt Local C Fd Cl I	Various	3/18/2015	1,157 20	13,678 12	15,734.43	(2,056.31)
Driehaus Emg Mkts Growth Fd	Various	6/23/2015	403 73	12,765 81	12,895 54	(129 73)
Neuberger Berman Equity Income Fd	Various	9/22/2015	3,826.39	44,003 47	32,144 97	11,858 50
Vanguard S/T Corporated Fd Adm	5/14/2009	10/21/2015	1,037.11	11,065 93	10,422 93	643 00
Wells Fargo Bank	1/1/1950	2/9/2015	10,000 00	10,000.00	10,064.34	(64 34)
Total Long Term Non-Covered				**92,534.79**	**82,228.14**	**10,306.65**
Total Long Term				**127,072.82**	**117,926.55**	**9,146.27**