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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2015

Open to Public Inspection

For calendar year 2015, or tax year beginning 01-01-2015 , and ending 12-31-2015

Name of foundation
FRANK AND LYDIA BERGEN FOUNDATION

Number and street (or P O box number if mail is not delivered to street address)
1525 W WT HARRIS BLVD D1114-044

Room/suite

City or town, state or province, country, and ZIP or foreign postal code
CHARLOTTE, NC 28262

A Employer identification number
22-6359304

B Telephone number (see instructions)
(336) 747-8203

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 8,871,920

J Accounting method ☐ Cash ☐ Accrual
☐ Other (specify) ____________
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	34	34		
	4	Dividends and interest from securities	167,458	163,277		
	5a	Gross rents				
	b	Net rental income or (loss) ____________				
	6a	Net gain or (loss) from sale of assets not on line 10	132,450			
	b	Gross sales price for all assets on line 6a 3,721,810				
	7	Capital gain net income (from Part IV, line 2)		132,450		
	8	Net short-term capital gain			0	
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	299,942	295,761		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	99,145	74,359		24,786
	14	Other employee salaries and wages		0	0	0
	15	Pension plans, employee benefits		0	0	
	16a	Legal fees (attach schedule)				0
	b	Accounting fees (attach schedule)	1,000	0	0	1,000
	c	Other professional fees (attach schedule)				0
	17	Interest				0
	18	Taxes (attach schedule) (see instructions)	8,967	2,158		0
	19	Depreciation (attach schedule) and depletion	0	0		
	20	Occupancy				
	21	Travel, conferences, and meetings		0	0	
	22	Printing and publications		0	0	
	23	Other expenses (attach schedule)				
	24	**Total operating and administrative expenses.** Add lines 13 through 23	109,112	76,517	0	25,786
	25	Contributions, gifts, grants paid	447,090			447,090
	26	**Total expenses and disbursements.** Add lines 24 and 25	556,202	76,517	0	472,876
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-256,260			
	b	**Net investment income** (if negative, enter -0-)		219,244		
	c	**Adjusted net income** (if negative, enter -0-)			0	

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	**(b)** Book Value	**(c)** Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	533,660	411,335	411,335
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶		0	0
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶ 0			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	5,231,303	5,292,337	5,847,522
	c	Investments—corporate bonds (attach schedule)	2,851,196	2,672,834	2,613,063
	11	Investments—land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			0
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets**(to be completed by all filers—see the instructions Also, see page 1, item I)	8,616,159	8,376,506	8,871,920
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities**(add lines 17 through 22)		0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here** ▶ ☐ **and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☑ **and complete lines 27 through 31.**			
	27	Capital stock, trust principal, or current funds	8,616,159	8,376,506	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances**(see instructions)	8,616,159	8,376,506	
	31	**Total liabilities and net assets/fund balances**(see instructions)	8,616,159	8,376,506	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	8,616,159
2	Enter amount from Part I, line 27a	2	-256,260
3	Other increases not included in line 2 (itemize) ▶	3	24,552
4	Add lines 1, 2, and 3	4	8,384,451
5	Decreases not included in line 2 (itemize) ▶	5	7,945
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	8,376,506

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	132,450
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)
If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2014	489,170	9,697,446	0 050443
2013	462,676	9,431,284	0 049058
2012	460,540	9,074,417	0 050751
2011	464,610	9,308,960	0 04991
2010	315,969	8,880,913	0 035578

2	**Total** of line 1, column (d).	2	0 23574
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 047148
4	Enter the net value of noncharitable-use assets for 2015 from Part X, line 5.	4	9,227,366
5	Multiply line 4 by line 3.	5	435,052
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	2,192
7	Add lines 5 and 6.	7	437,244
8	Enter qualifying distributions from Part XII, line 4.	8	472,876

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ___________ **(attach copy of letter if necessary–see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b			1	2,192
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	0
3	Add lines 1 and 2			3	2,192
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	2,192
6	Credits/Payments				
a	2015 estimated tax payments and 2014 overpayment credited to 2015	6a	5,076		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c	0		
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	5,076
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	0
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	2,884
11	Enter the amount of line 10 to be **Credited to 2015 estimated tax** ▶ 2,192 **Refunded** ▶			11	692

Part VII-A **Statements Regarding Activities**

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?	1b		No
	If the answer is "Yes" to ***1a*** *or* ***1b,*** *attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ___________ **(2)** On foundation managers ▶ $ ___________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ___________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		No
	If "Yes," attach a detailed description of the activities.			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		No
	If "Yes," attach the statement required by General Instruction T.			
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NJ			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VII-A Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶

14 The books are in care of ▶WELLS FARGO BANK NA Telephone no ▶(336) 747-8203

Located at ▶1W 4TH ST 2ND FLOOR WINSTON SALEM NC ZIP+4 ▶271013818

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☑ Yes ☐ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☑ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		No
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		No
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2015 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(Continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ **Yes** ☑ **No**

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? . . . . ☐ **Yes** ☑ **No**

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ **Yes** ☑ **No**

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions). ☐ **Yes** ☑ **No**

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?. . . . . . . ☐ **Yes** ☑ **No**

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? **5b**

Organizations relying on a current notice regarding disaster assistance check here. . . . . ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?. . . . . ☐ **Yes** ☐ **No**

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?. . . . . ☐ **Yes** ☑ **No**

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?. . . . **6b** No

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ **Yes** ☑ **No**

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week **(b)** devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
WELLS FARGO BANK NA 1525 WEST WT HARRIS BLVD CHARLOTTE, NC 28288	TRUSTEE 40	99,145		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	Title, and average hours per week **(b)** devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000. . . . . ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		

Total number of others receiving over $50,000 for professional services. . . . . ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 . . . . ▶	

Part X **Minimum Investment Return**
(All domestic foundations must complete this part. Foreign foundations,see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	9,061,374
b	Average of monthly cash balances	1b	306,510
c	Fair market value of all other assets (see instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	9,367,884
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	9,367,884
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	140,518
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	9,227,366
6	**Minimum investment return.** Enter 5% of line 5	6	461,368

Part XI **Distributable Amount**
(see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	461,368
2a	Tax on investment income for 2015 from Part VI, line 5 — 2a: 2,192		
b	Income tax for 2015 (This does not include the tax from Part VI) — 2b		
c	Add lines 2a and 2b	2c	2,192
3	Distributable amount before adjustments Subtract line 2c from line 1	3	459,176
4	Recoveries of amounts treated as qualifying distributions	4	0
5	Add lines 3 and 4	5	459,176
6	Deduction from distributable amount (see instructions)	6	0
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	459,176

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	472,876
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	0
b	Cash distribution test (attach the required schedule)	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	472,876
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	2,192
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	470,684

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII **Undistributed Income** (see instructions)

			(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1	Distributable amount for 2015 from Part XI, line 7					459,176
2	Undistributed income, if any, as of the end of 2015					
a	Enter amount for 2014 only.				5,322	
b	Total for prior years 2013 , 20___ , 20___			0		
3	Excess distributions carryover, if any, to 2015					
a	From 2010.	0				
b	From 2011.	0				
c	From 2012.	0				
d	From 2013.	0				
e	From 2014.	0				
f	**Total** of lines 3a through e.		0			
4	Qualifying distributions for 2015 from Part XII, line 4 ▶ $ 472,876					
a	Applied to 2014, but not more than line 2a				5,322	
b	Applied to undistributed income of prior years (Election required—see instructions).			0		
c	Treated as distributions out of corpus (Election required—see instructions).		0			
d	Applied to 2015 distributable amount.					459,176
e	Remaining amount distributed out of corpus		8,378			
5	Excess distributions carryover applied to 2015 *(If an amount appears in column (d), the same amount must be shown in column (a).)*		0			0
6	**Enter the net total of each column as indicated below:**					
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5		8,378			
b	Prior years' undistributed income Subtract line 4b from line 2b			0		
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.			0		
d	Subtract line 6c from line 6b Taxable amount —see instructions			0		
e	Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount—see instructions				0	
f	Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015					0
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)		0			
8	Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions) . . .		0			
9	**Excess distributions carryover to 2016.** Subtract lines 7 and 8 from line 6a		8,378			
10	Analysis of line 9					
a	Excess from 2011. . . .	0				
b	Excess from 2012. . . .	0				
c	Excess from 2013. . . .	0				
d	Excess from 2014. . . .	0				
e	Excess from 2015. . . .	8,378				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling. . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year (a) 2015	Prior 3 years (b) 2014	(c) 2013	(d) 2012	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

Wells Fargo Philanthropic Services
1 W 4th Street 6th Floor
WinstonSalem, NC 27101
(855) 739-2920
grantadministration@wellsfargo com

b The form in which applications should be submitted and information and materials they should include

Online application at www wellsfargo com/privatefoundations/bergen

c Any submission deadlines

April 10 or August 15

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Preference in helping students obtain a musical education, aid to organizations that present fine music, and arranging for musical entertainment for the educational and instruction of musical arts

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total			▶ **3a**	447,090
b *Approved for future payment*				
Total			▶ **3b**	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
1 Program service revenue	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	34	
4 Dividends and interest from securities			14	167,458	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	132,450	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				299,942	

13 Total. Add line 12, columns (b), (d), and (e) 13 299,942

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	**1a(1)**		**No**
(2) Other assets.	**1a(2)**		**No**
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	**1b(1)**		**No**
(2) Purchases of assets from a noncharitable exempt organization.	**1b(2)**		**No**
(3) Rental of facilities, equipment, or other assets.	**1b(3)**		**No**
(4) Reimbursement arrangements.	**1b(4)**		**No**
(5) Loans or loan guarantees.	**1b(5)**		**No**
(6) Performance of services or membership or fundraising solicitations.	**1b(6)**		**No**
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	**1c**		**No**

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?. ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

******	2016-04-25	******
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr)? ☐ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▸☐	PTIN
Firm's name ▸			Firm's EIN ▸	
Firm's address ▸			Phone no	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1648 AQR MANAGED FUTURES STR-I #15213		2015-01-22	2015-09-08
2189 826 AQR MANAGED FUTURES STR-I #15213		2015-01-22	2015-10-27
5270 174 AQR MANAGED FUTURES STR-I #15213		2014-10-24	2015-10-27
2093 288 ARTISAN INTERNATIONAL FD INS #662		2014-06-20	2015-07-22
1666 712 ARTISAN INTERNATIONAL FD INS #662		2015-01-22	2015-07-22
16530 992 CREDIT SUISSE COMM RET ST-I #2156		2014-09-05	2015-07-22
13870 008 CREDIT SUISSE COMM RET ST-I #2156		2011-10-20	2015-07-22
2218 547 DODGE & COX INT'L STOCK FD #1048		2015-01-22	2015-07-22
605 453 DODGE & COX INT'L STOCK FD #1048		2014-06-20	2015-07-22
5258 DODGE & COX INCOME FD COM #147		2015-07-24	2015-09-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
18,260		18,194	66
24,176		24,176	
58,183		54,757	3,426
66,357		52,185	14,172
52,835		51,381	1,454
90,259		118,693	-28,434
75,730		115,815	-40,085
96,795		95,402	1,393
26,416		21,861	4,555
71,298		72,781	-1,483

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			66
			3,426
			14,172
			1,454
			-28,434
			-40,085
			1,393
			4,555
			-1,483

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
3045 DREYFUS EMG MKT DEBT LOC C-I #6083		2010-12-28	2015-07-22
8057 DREYFUS EMG MKT DEBT LOC C-I #6083		2013-07-12	2015-11-20
6448 715 DREYFUS EMG MKT DEBT LOC C-I #6083		2013-07-12	2015-12-04
1788 625 DREYFUS EMG MKT DEBT LOC C-I #6083		2015-01-22	2015-12-04
5577 DREYFUS INTERNATL BOND FD CL I #6094		2014-04-24	2015-06-02
675 076 DREYFUS INTERNATL BOND FD CL I #6094		2015-01-22	2015-07-22
6920 DREYFUS INTERNATL BOND FD CL I #6094		2014-04-24	2015-07-22
8708 626 DRIEHAUS ACTIVE INCOME FUND		2013-09-27	2015-01-20
9159 306 DRIEHAUS ACTIVE INCOME FUND		2012-08-10	2015-10-27
526 HARBOR CAPITAL APRCTION-INST #2012		2014-10-28	2015-06-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
35,657		43,422	-7,765
87,902		114,227	-26,325
69,259		89,917	-20,658
19,210		22,233	-3,023
88,451		94,976	-6,525
10,572		10,943	-371
108,367		117,848	-9,481
90,657		92,037	-1,380
93,242		95,348	-2,106
33,538		32,033	1,505

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-7,765
			-26,325
			-20,658
			-3,023
			-6,525
			-371
			-9,481
			-1,380
			-2,106
			1,505

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
695 632 HARBOR CAPITAL APRCTION-INST #2012		2015-07-24	2015-11-10
295 368 HARBOR CAPITAL APRCTION-INST #2012		2014-10-28	2015-11-10
39 ISHARES CORE S & P 500 ETF		2014-10-22	2015-01-20
284 ISHARES CORE S & P 500 ETF		2014-10-22	2015-07-22
951 ISHARES CORE S & P 500 ETF		2012-08-08	2015-07-22
17548 JPMORGAN HIGH YIELD FUND SS #3580		2014-04-24	2015-08-28
9058 189 KALMAR GR W/ VAL SM CAP-INS #4		2014-06-20	2015-07-22
577 799 KALMAR GR W/ VAL SM CAP-INS #4		2015-01-22	2015-07-22
1516 MFS VALUE FUND-CLASS I #893		2015-07-24	2015-11-10
2879 MERGER FUND-INST #301		2011-10-20	2015-01-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
45,710		45,940	-230
19,409		17,988	1,421
7,904		7,642	262
60,357		55,612	4,745
202,111		126,047	76,064
128,451		141,770	-13,319
183,972		158,833	25,139
11,735		11,163	572
53,500		53,682	-182
44,682		45,520	-838

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-230
			1,421
			262
			4,745
			76,064
			-13,319
			25,139
			572
			-182
			-838

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1321 MERGER FUND-INST #301		2011-10-20	2015-10-27
6984 MET WEST TOTAL RETURN BOND CL I #512		2015-01-22	2015-09-08
3099 ASG GLOBAL ALTERNATIVES-Y #1993		2013-07-12	2015-10-27
1726 NEUBERGER BERMAN LONG SH-INS #1830		2014-06-20	2015-09-08
1279 902 OPPENHEIMER DEVELOPING MKT-I #799		2015-01-22	2015-11-10
4002 098 OPPENHEIMER DEVELOPING MKT-I #799		2014-10-24	2015-11-10
8510 PIMCO FOREIGN BD FD USD H-INST #103		2014-01-23	2015-06-02
11244 176 PIMCO FOREIGN BD FD USD H-INST #103		2014-01-23	2015-07-22
8941 143 PRINCIPAL GL MULT STRAT-INST #4684		2014-08-08	2015-10-27
11791 93 T ROWE PRICE SHORT TERM BD FD #55		2014-01-23	2015-01-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
20,423		20,886	-463
75,427		76,824	-1,397
33,469		35,639	-2,170
21,868		22,317	-449
40,087		45,872	-5,785
125,346		145,417	-20,071
90,376		90,319	57
119,638		113,946	5,692
98,353		98,084	269
56,130		56,483	-353

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-463
			-1,397
			-2,170
			-449
			-5,785
			-20,071
			57
			5,692
			269
			-353

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
15673 07 T ROWE PRICE SHORT TERM BD FD #55		2013-02-11	2015-01-20
4463 T ROWE PRICE SHORT TERM BD FD #55		2014-09-09	2015-09-08
1120 T ROWE PRICE INST FLOAT RATE #170		2014-01-23	2015-07-22
5542 T ROWE PRICE INST FLOAT RATE #170		2014-01-23	2015-08-28
6209 VANGUARD TOTAL BOND MARKET ETF		2014-09-30	2015-01-20
3590 VANGUARD FTSE EMERGING MARKETS ETF		2013-07-10	2015-07-22
337 VANGUARD FTSE EMERGING MARKETS ETF		2015-01-20	2015-07-22
487 VANGUARD REIT VIPER		2010-12-28	2015-01-20
184 VANGUARD REIT VIPER		2015-07-22	2015-11-10
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
74,604		75,858	-1,254
21,155		21,333	-178
11,368		11,558	-190
55,586		57,193	-1,607
519,373		509,204	10,169
141,062		153,274	-12,212
13,242		13,570	-328
42,341		24,729	17,612
14,306		14,428	-122
			172,661

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1,254
			-178
			-190
			-1,607
			10,169
			-12,212
			-328
			17,612
			-122

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
AMERICAN SYMPHONY ORCHESTRA 333 WEST 39TH ST 1101 NEW YORK,NY 10018	NONE	PC	VANGUARD SERIES AT CARNEGIE	15,000
NEW YORK CITY BALLET INC 20 LINCOLN CENTER PLAZA NEW YORK,NY 10023	NONE	PC	PERFORMANCES AND	60,000
STATE THEATRE REGIONAL ARTS 11 LIVINGSTON AVENUE NEW BRUNSWICK,NJ 08901	NONE	PC	CLASSICAL EDUCATION &	12,500
DREW UNIVERSITY 36 MADISON AVENUE MADISON,NJ 07940	NONE	PC	CHAMBER MUSIC SOCIETY OF	15,000
NEW JERSEY SYMPHONY ORCHESTRA 60 PARK PLACE 9TH FLOOR NEWARK,NJ 07102	NONE	PC	NJSO EDUCATION AND	20,000
NEWARK SCHOOL OF THE ARTS 89 LINCOLN PARK NEWARK,NJ 07102	NONE	PC	SCHOLARSHIPS AND CHAMBER	25,000
NEWARK BOYS CHORUS SCHOOL 1016 BROAD STREET NEWARK,NJ 07102	NONE	PC	MUSIC FOR SUCCESS	25,000
COUNT BASIE THEATER INC 99 MONMOUTH STREET RED BANK,NJ 07701	NONE	PC	THEATER AND NEW JERSEY	10,000
ARTS COUNCIL OF THE MORRIS AREA 14 MAPLE AVENUE SUITE 30 MORRISTOWN,NJ 07960	NONE	PC	THE GIRALDA MUSIC AND ARTS	5,000
PERKINS CENTER FOR THE ARTS 395 KINGS HIGHWAY MOORESTOWN,NJ 08057	NONE	PC	SCHOLARSHIPS AND PRIVATE	25,000
APPEL FARM ARTS AND MUSIC CENTER 457 SHIRLEY ROAD P O BOX 888 ELMER,NJ 083180888	NONE	PC	ARTS CAMP MUSIC EDUCATION	15,000
ALL SEASONS CHAMBER PLAYERS 115 ORCHARD ROAD DEMAREST,NJ 07627	NONE	PC	COMMUNITY CONCERTS	5,000
NEW JERSEY FESTIVAL ORCHESTRA 224 E BROAD ST SUITE 6 WESTFIELD,NJ 07090	NONE	PC	MUSIC EDUCATION FOR	12,000
JUDITH G WARTON MUSIC CENTER 60 LOCUST AVENUE BERKELEY,NJ 07922	NONE	PC	ADVANCED CHAMBER MUSIC/MEET	10,000
BAY-ATLANTIC SYMPHONY 59 E COMMERCE STREET BRIDGETON,NJ 08302	NONE	PC	MUSIC/MENTORSHIP PROGRAM	15,000
Total . . . . ▶ **3a**				447,090

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
DISCOVERY ORCHESTRA INC 50 MT BETHEL ROAD PO BOX 4064 WARREN,NJ 070590064	NONE	PC	EDUCATIONAL DISCOVERY	20,000
PHILIPS EDUCATION PARTNERS 342 CENTRAL AVENUE NEWARK,NJ 07102	NONE	PC	EL SISTEMA INSPIRED STRINGS	15,000
NJ INTERGENERATIONAL ORCHESTRA 60 LOCUST AVENUE BERKELY HEIGHTS,NJ 07922	NONE	PC	FREE COMMUNITY CONCERT	10,000
MONTCLAIR STATE UNIVERSITY 1 EAST NORMAL AVENUE MONTCLAIR,NJ 07043	NONE	PC	GRADUATE STRING QUARTET	40,000
SETTLEMENT MUSIC SCHOOL 531-535 MARKET STREET CAMDEN,NJ 08102	NONE	PC	FINANCIAL AID AND	10,000
ADELPHI CHAMBER ORCHESTRA PO BOX 262 RIVER EDGE,NJ 07661	NONE	PC	FRENCH	10,000
BERGEN PERFORMING ARTS CENTER 30 NORTH VAN BRUNT ST ENGLEWOOD,NJ 07631	NONE	PC	CLASSICAL MUSIC	10,000
SOUTH ORANGE PERFORMING ARTS CENTER INC 1 SOPAC WAY SOUTH ORANGE,NJ 07079	NONE	PC	JULLIARD IN-SCHOOL	15,000
SPHINX ORGANIZATION INC 400 RENAISSANCE CENTER STE 2550 DETROIT,MI 48243	NONE	PC	SPHINX VIRTUOSI/COMMUNITY	10,000
RAMAPO COLLEGE FOUNDATION 505 RAMAPO VALLEY ROAD MAHWAH,NJ 07430	NONE	PC	OPEN DOOR CONCERT SERIES	15,190
ST BENEDICT'S PREPARATORY SCHOOL 520 DR MARTIN LUTHER KING JR BLVD NEWARK,NJ 07102	NONE	PC	EQUIPMENT FOR PREP MUSIC	7,400
ATLANTIC COAST OPERA FESTIVAL 2028 SOUTH 17TH ST 11 PHILADELPHIA,PA 191452902	NONE	PC	FIDELIO FULL PERFORMANCES	15,000
Total . . . . ▶ **3a**				447,090

TY 2015 Accounting Fees Schedule

Name: FRANK AND LYDIA BERGEN FOUNDATION

EIN: 22-6359304

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREPARATION FEE (NON-ALLOC	1,000			1,000

TY 2015 Investments Corporate Bonds Schedule

Name: FRANK AND LYDIA BERGEN FOUNDATION

EIN: 22-6359304

Name of Bond	End of Year Book Value	End of Year Fair Market Value
256210105 DODGE & COX INCOME F	748,369	769,924
261980494 DREYFUS EMG MKT DEBT		
51855Q655 LAUDUS MONDRIAN INTL		
693390700 PIMCO TOTAL RET FD-I		
693390882 PIMCO FOREIGN BD FD		
76628T645 RIDGEWORTH SEIX HY B		
77957P105 T ROWE PRICE SHORT T	257,281	253,181
262028855 DRIEHAUS ACTIVE INCO		
261980668 DREYFUS INTERNATL BO		
315920702 FID ADV EMER MKTS IN	366,688	351,630
4812C0803 JPMORGAN HIGH YIELD	203,966	182,163
77958B402 T ROWE PRICE INST FL	76,418	72,527
921937835 VANGUARD TOTAL BOND		
091936732 BLACKROCK GL L/S CRE	225,867	212,185
592905509 MET WEST TOTAL RETUR	794,245	771,453

TY 2015 Investments Corporate Stock Schedule

Name: FRANK AND LYDIA BERGEN FOUNDATION
EIN: 22-6359304

Name of Stock	End of Year Book Value	End of Year Fair Market Value
04315J209 ARTIO TOT RTN #1524		
256210105 DODGE&COX INCOME FD		
261980494 DREYFUS EMERG #6083		
693390841 PIMCO HIGH YLD FUND		
693390700 PIMCO TOT RTN FD #35		
72369B406 PIONEER HI YLD #724		
94985D582 WELLS FARGO FD #4705		
693391559 PIMCO EMERG MKT #137		
693390882 PIMCO FORGN FD #103		
34984T881 GOLDEN LARGE CAP FD		
38142Y401 GOLDMAN SACHS #1132		
38142Y773 GOLDMAN SACHS #1216		
339128100 JP MORGAN MID CAP VA	298,336	365,474
487300808 KEELEY SMALL CAP VAL		
784924425 SSGA EMERG MKT #1510		
87234N849 TCW SMALL CAP #4724		
949915565 WELLS FARGO FD #3124		
04315J837 ARTIO INTL FD #1529		
256206103 DODGE & COX INT'L ST	252,616	375,562
922042858 VANGUARD FTSE EMERGI		
61744J317 MORGAN STANLEY #8001		
722005667 PIMCO COMMOD FD #45		
922908553 VANGUARD REIT VIPER	264,037	373,136
04314H204 ARTISAN INTERNATIONA		
22544R305 CREDIT SUISSE COMM R	259,594	167,872
25264S833 DIAMOND HILL LONG-SH		
411511504 HARBOR CAPITAL APRCT	627,230	854,172
448108100 HUSSMAN STRATEGIC GR		
464287200 ISHARES CORE S & P 5		
552983694 MFS VALUE FUND-CLASS	740,251	863,190

Name of Stock	End of Year Book Value	End of Year Fair Market Value
56063J302 MAINSTAY EP US EQUIT		
589509108 MERGER FD SH BEN INT		
683974505 OPPENHEIMER DEVELOPI		
78463X863 SPDR DJ WILSHIRE INT	337,734	349,968
04314H600 ARTISAN MID CAP FUND	322,890	333,650
367829884 GATEWAY FUND - Y #19		
464287648 ISHARES RUSSELL 2000		
277923728 EATON VANCE GLOBAL M	90,343	89,258
483438305 KALMAR GR W/ VAL SM		
63872T885 ASG GLOBAL ALTERNATI	159,916	147,957
00203H859 AQR MANAGED FUTURES	86,037	84,298
589509207 MERGER FUND-INST #30	76,130	73,676
64128R608 NEUBERGER BERMAN LON	313,543	302,146
683974604 OPPENHEIMER DEVELOPI	446,978	390,714
74255L696 PRINCIPAL GL MULT ST		
863137105 STRATTON SM-CAP VALU		
025083320 AMER CENT SMALL CAP	239,479	209,627
04314H402 ARTISAN INTERNATIONA	278,492	389,916
779919109 T ROWE PRICE REAL ES	263,873	264,643
85917K546 STERLING CAPITAL STR	234,858	212,263

TY 2015 Other Decreases Schedule

Name: FRANK AND LYDIA BERGEN FOUNDATION

EIN: 22-6359304

Description	Amount
MUTUAL FUND POSTING DATE AFTER TYE	3,449
ROC BASIS ADJUSTMENT	4,496

TY 2015 Other Increases Schedule

Name: FRANK AND LYDIA BERGEN FOUNDATION

EIN: 22-6359304

Description	Amount
MUTUAL FUND TAX EFFECTIVE DATE BEFORE TYE	24,547
ROUNDING	5

TY 2015 Taxes Schedule

Name: FRANK AND LYDIA BERGEN FOUNDATION

EIN: 22-6359304

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL TAX PAYMENT - PRIOR YE	1,733	0		0
FEDERAL ESTIMATES - PRINCIPAL	5,076	0		0
FOREIGN TAXES ON QUALIFIED FOR	1,603	1,603		0
FOREIGN TAXES ON NONQUALIFIED	555	555		0