EXTENDED TO AUGUST 15, 2016

Form **990-PF** Return of Private Foundation or Section 4947(a)(1) Trust Treated as Private Foundation

Department of the Treasury
Internal Revenue Service

| Do not enter social security numbers on this form as it may be made public.
| Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052 **2015** Open to Public Inspection

For calendar year 2015 or tax year beginning , and ending

Name of foundation
THE PERRIN FAMILY FOUNDATION
C/O ANDERSEN TAX LLC

A Employer identification number 22-3309886

Number and street (or P O box number if mail is not delivered to street address) 1700 EAST PUTNAM AVENUE
Room/suite 408

B Telephone number 203-438-7349

City or town, state or province, country, and ZIP or foreign postal code
OLD GREENWICH, CT 06870

C If exemption application is pending, check here ☐

G Check all that apply. ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ☐

H Check type of organization [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶$ 17,974,897.

J Accounting method [X] Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis.)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

Section	Line		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc, received	465,862.		N/A	
	2	Check ▶ ☐ if the foundation is not required to attach Sch B				
	3	Interest on savings and temporary cash investments	36,094.	36,094.		STATEMENT 1
	4	Dividends and interest from securities	447,330.	447,330.		STATEMENT 2
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	305,354.			
	b	Gross sales price for all assets on line 6a 5,426,998.				
	7	Capital gain net income (from Part IV, line 2)		305,354.		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss)				
	11	Other income	14,545.	11,530.		STATEMENT 3
	12	**Total.** Add lines 1 through 11	1,269,185.	800,308.		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0.	0.		0.
	14	Other employee salaries and wages	133,203.	0.		133,203.
	15	Pension plans, employee benefits	27,327.	0.		27,327.
	16a	Legal fees				
	b	Accounting fees STMT 4	10,100.	0.		10,100.
	c	Other professional fees STMT 5	111,353.	111,353.		0.
	17	Interest				
	18	Taxes STMT 6	19,349.	1,835.		206.
	19	Depreciation and depletion	16,607.	0.		
	20	Occupancy	13,164.	0.		13,164.
	21	Travel, conferences, and meetings	5,266.	0.		5,266.
	22	Printing and publications	3,760.	0.		3,760.
	23	Other expenses STMT 7	74,765.	0.		74,765.
	24	**Total operating and administrative expenses.** Add lines 13 through 23	414,894.	113,188.		267,791.
	25	Contributions, gifts, grants paid	616,446.			616,446.
	26	**Total expenses and disbursements.** Add lines 24 and 25	1,031,340.	113,188.		884,237.
	27	Subtract line 26 from line 12				
	a	Excess of revenue over expenses and disbursements	237,845.			
	b	**Net investment income** (if negative, enter -0-)		687,120.		
	c	**Adjusted net income** (if negative, enter -0-)			N/A	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	15,451.	70,541.	70,541.
	2 Savings and temporary cash investments	841,563.	1,032,949.	1,032,949.
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S and state government obligations			
	b Investments - corporate stock STMT 10	7,816,706.	7,414,156.	10,695,969.
	c Investments - corporate bonds STMT 11	4,067,626.	3,303,998.	3,100,269.
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 12	2,187,841.	3,051,943.	2,920,269.
	14 Land, buildings, and equipment basis ▶ 389,560.			
	Less accumulated depreciation STMT 9 ▶ 234,660.	167,896.	154,900.	154,900.
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	15,097,083.	15,028,487.	17,974,897.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [X] and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	15,097,083.	15,028,487.	
	28 Paid-in or capital surplus, or land, bldg , and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	0.	0.	
	30 **Total net assets or fund balances**	15,097,083.	15,028,487.	
	31 **Total liabilities and net assets/fund balances**	15,097,083.	15,028,487.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	15,097,083.
2	Enter amount from Part I, line 27a	2	237,845.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	15,334,928.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 8	5	306,441.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	15,028,487.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a				
b	SEE ATTACHED STATEMENT			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e	5,426,998.		5,121,644.	305,354.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				305,354.

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	305,354.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2014	837,678.	17,556,435.	.047713
2013	753,664.	15,683,170.	.048056
2012	832,043.	14,032,972.	.059292
2011	844,146.	14,595,358.	.057837
2010	866,186.	14,099,864.	.061432

2 **Total** of line 1, column (d)	2	.274330
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.054866
4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	4	17,835,224.
5 Multiply line 4 by line 3	5	978,547.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	6,871.
7 Add lines 5 and 6	7	985,418.
8 Enter qualifying distributions from Part XII, line 4	8	884,237.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate
See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ______ (attach copy of letter if necessary-see instructions) b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			1	13,742.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	0.
3 Add lines 1 and 2			3	13,742.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	13,742.
6 Credits/Payments				
a 2015 estimated tax payments and 2014 overpayment credited to 2015	6a	12,000.		
b Exempt foreign organizations - tax withheld at source	6b			
c Tax paid with application for extension of time to file (Form 8868)	6c	6,000.		
d Backup withholding erroneously withheld	6d			
7 Total credits and payments Add lines 6a through 6d			7	18,000.
8 Enter any **penalty** for underpayment of estimated tax Check here ☒ if Form 2220 is attached			8	30.
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	4,228.
11 Enter the amount of line 10 to be **Credited to 2016 estimated tax** ▶	4,228.	Refunded ▶	11	0.

Part VII-A Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?	1b		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ 0. (2) On foundation managers ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CT			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ WWW.PERRINFAMILYFOUNDATION.ORG

14 The books are in care of ▶ BANK OF AMERICA, N.A. Telephone no ▶800-878-7878
Located at ▶114 WEST 47TH STREET, NEW YORK, NY ZIP+4 ▶10036

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

				Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after *termination of government service, if terminating within 90 days*)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015?	☐ Yes ☒ No			
	If "Yes," list the years ▶ ______, ______, ______, ______				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ______, ______, ______, ______				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2015 as a result of (**1**) any purchase by the foundation or disqualified persons after May 26, 1969, (**2**) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (**3**) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015)*	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

		Yes	No
5a During the year did the foundation pay or incur any amount to			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes [X] No			
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes [X] No			
(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes [X] No			
(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes [X] No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes [X] No			
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? N/A	5b		
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No			
If "Yes," attach the statement required by Regulations section 53.4945-5(d).			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes [X] No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		X
If "Yes" to 6b, file Form 8870.			
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes [X] No			
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 13		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
LAURA E MCCARGAR 49 HOBART ST, NEW HAVEN, CT 06511	PROGRAM OFFICER 40.00	81,303.	12,430.	0.

Total number of other employees paid over $50,000 ▶ 1

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
US TRUST 114 WEST 47TH ST, 7TH FL, NEW YORK, NY 10036	INVESTMENT ADVISORY	88,349.
Total number of others receiving over $50,000 for professional services ▶		1

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 SEE STATEMENT 14	4,873.
2 SEE STATEMENT 15	12,410.
3 SEE STATEMENT 16	27,373.
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes			
a	Average monthly fair market value of securities		1a	17,482,385.
b	Average of monthly cash balances		1b	624,441.
c	Fair market value of all other assets		1c	
d	**Total** (add lines 1a, b, and c)		1d	18,106,826.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.		
2	Acquisition indebtedness applicable to line 1 assets		2	0.
3	Subtract line 2 from line 1d		3	18,106,826.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)		4	271,602.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4		5	17,835,224.
6	**Minimum investment return.** Enter 5% of line 5		6	891,761.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6		1	891,761.
2a	Tax on investment income for 2015 from Part VI, line 5	2a 13,742.		
b	Income tax for 2015 (This does not include the tax from Part VI)	2b		
c	Add lines 2a and 2b		2c	13,742.
3	Distributable amount before adjustments Subtract line 2c from line 1		3	878,019.
4	Recoveries of amounts treated as qualifying distributions		4	0.
5	Add lines 3 and 4		5	878,019.
6	Deduction from distributable amount (see instructions)		6	0.
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1		7	878,019.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	884,237.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	884,237.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b	5	0.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	884,237.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				878,019.
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only			0.	
b Total for prior years: ____ , ____ , ____		0.		
3 Excess distributions carryover, if any, to 2015				
a From 2010 166,213.				
b From 2011 121,868.				
c From 2012 135,554.				
d From 2013				
e From 2014				
f Total of lines 3a through e	423,635.			
4 Qualifying distributions for 2015 from Part XII, line 4 ▶ $ 884,237.				
a Applied to 2014, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2015 distributable amount				878,019.
e Remaining amount distributed out of corpus	6,218.			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	429,853.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2014. Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2015 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2016				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7	166,213.			
9 **Excess distributions carryover to 2016.** Subtract lines 7 and 8 from line 6a	263,640.			
10 Analysis of line 9				
a Excess from 2011 121,868.				
b Excess from 2012 135,554.				
c Excess from 2013				
d Excess from 2014				
e Excess from 2015 6,218.				

Part XIV | Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2015	(b) 2014	(c) 2013	(d) 2012	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV | Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2).)

SEE STATEMENT 17

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

SEE STATEMENT 18

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors.

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient: Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE ATTACHED C/O ANDERSEN TAX, LLC 1700 EAST PUTNAM AVE., SUITE 408 OLD GREENWICH, CT 06870	N/A	501(C)(3) ORGANIZATIONS	GENERAL PURPOSE	616,446.
Total ▶ 3a				616,446.
b Approved for future payment				
NONE				
Total ▶ 3b				0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	36,094.	
4 Dividends and interest from securities			14	447,330.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	14,545.	
8 Gain or (loss) from sales of assets other than inventory			18	305,354.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0.		803,323.	0.

13 Total. Add line 12, columns (b), (d), and (e) 13 803,323.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes)

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Sheila Perrin 6/2/16 TRUSTEE

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
CHRIS CARREIRA		5/26/16		P01250834
Firm's name ▶ ANDERSEN TAX LLC			Firm's EIN ▶ 33-1197384	
Firm's address ▶ 1700 EAST PUTNAM AVENUE, SUITE 408 OLD GREENWICH, CT 06870			Phone no 203-987-3660	

Form **990-PF** (2015)

Schedule B (Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www irs.gov/form990

OMB No 1545-0047

2015

Name of the organization
THE PERRIN FAMILY FOUNDATION
C/O ANDERSEN TAX LLC

Employer identification number
22-3309886

Organization type (check one):

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	[X] 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

[X] For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or property) from any one contributor. Complete Parts I and II See instructions for determining a contributor's total contributions.

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling $5,000 or more during the year ▶ $ ______________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization
THE PERRIN FAMILY FOUNDATION
C/O ANDERSEN TAX LLC

Employer identification number
22-3309886

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	CHARLIE PERRIN 676 TITICUS ROAD NORTH SALEM, NY 10560	$ 157,931.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions.)
2	CHARLIE PERRIN 676 TITICUS ROAD NORTH SALEM, NY 10560	$ 75,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
3	SHEILA PERRIN 676 TITICUS ROAD NORTH SALEM, NY 10560	$ 75,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
4	SHEILA PERRIN 676 TITICUS ROAD NORTH SALEM, NY 10560	$ 157,931.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions.)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)

Name of organization
THE PERRIN FAMILY FOUNDATION
C/O ANDERSEN TAX LLC

Employer identification number
22-3309886

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	MARKETABLE SECURITIES	$ 157,931.	12/08/15

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
4	MARKETABLE SECURITIES	$ 157,931.	12/08/15

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of organization

THE PERRIN FAMILY FOUNDATION
C/O ANDERSEN TAX LLC

Employer identification number

22-3309886

Part III *Exclusively* religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry For organizations completing Part III, enter the total of exclusively religious, charitable, etc , contributions of $1,000 or less for the year (Enter this info once) ▶ $

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
GOLDMAN SACHS #09251-9	395.	395.	
GOLDMAN SACHS #96533-0	15.	15.	
GS #010-8	15,853.	15,853.	
GS #010-8 - ABP ADJUSTMENT	-4,635.	-4,635.	
GS #010-8 - ACCRUED INTEREST	-6,134.	-6,134.	
GS CAPITAL PARTNERS VI PARALLEL, L.P.	2,995.	2,995.	
US TRUST	27,760.	27,760.	
US TRUST - ABP ADJUSTMENT	-155.	-155.	
TOTAL TO PART I, LINE 3	36,094.	36,094.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
GS #09251-9	80,670.	1,414.	79,256.	79,256.	
GS #533-0	48,026.	293.	47,733.	47,733.	
GS #618-1	1,014.	0.	1,014.	1,014.	
GS CAPITAL PARTNERS VI PARALLEL, L.P.	5,295.	0.	5,295.	5,295.	
US TRUST	314,032.	0.	314,032.	314,032.	
TO PART I, LINE 4	449,037.	1,707.	447,330.	447,330.	

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
GS CAPITAL PARTNERS VI PARALLEL, L.P.	-5.	-5.	
GS CAPITAL PARTNERS VI PARALLEL, L.P.	8,991.	8,991.	
US TRUST	1,423.	1,423.	
GOLDMAN SACHS #533-0 - NONDIVIDEND DISTRIBUTIONS	734.	0.	

GOLDMAN SACHS #251-9 - NONDIVIDEND DISTRIBUTIONS	2,281.	0.	
US TRUST #4455 - REFUNDED FOREIGN TAXES	1,121.	1,121.	
TOTAL TO FORM 990-PF, PART I, LINE 11	14,545.	11,530.	

FORM 990-PF ACCOUNTING FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	10,100.	0.		10,100.
TO FORM 990-PF, PG 1, LN 16B	10,100.	0.		10,100.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
GS CAPITAL PARTNERS VI PARALLEL, L.P.	7,879.	7,879.		0.
GSCP VI PARALLEL AIV, L.P.	2,073.	2,073.		0.
US TRUST	88,349.	88,349.		0.
GS #2519 - MGT FEES	1,693.	1,693.		0.
GS #6533 - MGT FEES	8,376.	8,376.		0.
GS #6181 - MGT FEES	91.	91.		0.
GS #0108 - MGT FEES	1,492.	1,492.		0.
US TRUST	1,400.	1,400.		0.
TO FORM 990-PF, PG 1, LN 16C	111,353.	111,353.		0.

FORM 990-PF | TAXES | STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
GS CAPITAL PARTNERS VI PARALLEL - FOREIGN TAXES PAID	349.	349.		0.
GOLDMAN SACHS #09251 - FOREIGN TAXES	1,295.	1,295.		0.
GOLDMAN SACHS #96533 - FOREIGN TAXES	118.	5.		0.
GOLDMAN SACHS #6181 - FOREIGN TAXES	186.	186.		0.
2015 1Q FEDERAL ESTIMATE	5,000.	0.		0.
2015 2Q FEDERAL ESTIMATE	2,500.	0.		0.
2015 3Q FEDERAL ESTIMATE	2,000.	0.		0.
2015 4Q FEDERAL ESTIMATE	2,500.	0.		0.
OTHER TAXES	206.	0.		206.
2014 BALANCE DUE	5,195.	0.		0.
TO FORM 990-PF, PG 1, LN 18	19,349.	1,835.		206.

FORM 990-PF | OTHER EXPENSES | STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BUSINESS EXPENSES	9,148.	0.		9,148.
DUES & SUBSCRIPTIONS	6,127.	0.		6,127.
INSURANCE	1,505.	0.		1,505.
PAYCHEX FEES	1,652.	0.		1,652.
UTILITIES	5,691.	0.		5,691.
WEBSITE	370.	0.		370.
MISCELLANEOUS	3,022.	0.		3,022.
EDUCATIONAL WORKSHOPS (SEE PART IX-A FOR DETAILS)	47,250.	0.		47,250.
TO FORM 990-PF, PG 1, LN 23	74,765.	0.		74,765.

FORM 990-PF OTHER DECREASES IN NET ASSETS OR FUND BALANCES STATEMENT 8

DESCRIPTION	AMOUNT
FMV VS. COST BASIS OF CONTRIBUTED STOCK	302,713.
BASIS ADJUSTMENT	3,728.
TOTAL TO FORM 990-PF, PART III, LINE 5	306,441.

FORM 990-PF DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT STATEMENT 9

DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE	FAIR MARKET VALUE
OFFICE	362,357.	208,955.	153,402.	153,402.
COMPUTER HARDWARE	4,756.	4,756.	0.	0.
COMPUTER SOFTWARE	4,798.	4,798.	0.	0.
OFFICE FURNITURE	5,000.	5,000.	0.	0.
COMPUTER HARDWARE	312.	312.	0.	0.
COMPUTER HARDWARE	574.	574.	0.	0.
COMPUTER HARDWARE	1,050.	1,050.	0.	0.
COMPUTER	1,453.	1,453.	0.	0.
CARPET	5,649.	5,649.	0.	0.
COMPUTER	1,689.	1,014.	675.	675.
FURNITURE	1,410.	806.	604.	604.
FURNITURE	512.	293.	219.	219.
TO 990-PF, PART II, LN 14	389,560.	234,660.	154,900.	154,900.

FORM 990-PF CORPORATE STOCK STATEMENT 10

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED	7,414,156.	10,695,969.
TOTAL TO FORM 990-PF, PART II, LINE 10B	7,414,156.	10,695,969.

FORM 990-PF CORPORATE BONDS STATEMENT 11

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED	3,303,998.	3,100,269.
TOTAL TO FORM 990-PF, PART II, LINE 10C	3,303,998.	3,100,269.

FORM 990-PF OTHER INVESTMENTS STATEMENT 12

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED	COST	3,051,943.	2,920,269.
TOTAL TO FORM 990-PF, PART II, LINE 13		3,051,943.	2,920,269.

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS STATEMENT 13

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN-SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
CHARLES R. PERRIN C/O PERRIN FAMILY FOUNDATION, 4 PROSPECT STREET RIDGEFIELD, CT 06877-4510	TRUSTEE 2.00	0.	0.	0.
SHEILA A. PERRIN C/O PERRIN FAMILY FOUNDATION, 4 PROSPECT STREET RIDGEFIELD, CT 06877-4510	TRUSTEE 20.00	0.	0.	0.
DAVID B. PERRIN C/O PERRIN FAMILY FOUNDATION, 4 PROSPECT STREET RIDGEFIELD, CT 06877-4510	TRUSTEE 2.00	0.	0.	0.
JEFFREY L. PERRIN C/O PERRIN FAMILY FOUNDATION, 4 PROSPECT STREET RIDGEFIELD, CT 06877-4510	TRUSTEE 2.00	0.	0.	0.

PUBLIC EQUITY

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
US EQUITY								
US STOCKS								
CBS CORPORATION CMN CLASS B (CBS)	0 00	47 1300	0 00 4 50				1 2731	

[14] This represents a bank deposit of an affiliated bank reflected here for your convenience and is not cash held in your brokerage or custody account Please see the enclosed Bank Statement page(s) for specific information related to your bank deposit

PUBLIC EQUITY (Continued)

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
US EQUITY								
US STOCKS								
FEDEX CORP CMN (FDX)	0 00	148 9900	0 00				0 6712	
			41 75					
SOUTHWEST AIRLINES CO CMN (LUV)	0 00	43 0600	0 00				0 6967	
			14 25					
WALT DISNEY COMPANY (THE) CMN (DIS)	0 00	105 0800	0 00				1 3514	
			177 50					
TOTAL US STOCKS			0 00					
			238 00					

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized / Economic Gain (Loss)	Dividend Yield	Estimated Annual Income
NON-US EQUITY								
GS INTERNATIONAL SMALL CAP FUND								
GS INTERNATIONAL SMALL CAP FUND INSTITUTIONAL SHARES (GISIX)	5,235 256	19 4900	102,035 14	19 7217	103,248 21	(1,213 07)	1 9754	2,015 57
						2,035 13		

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
MSCI EAFE 100% HEDGED TO USD INDEX FUND (ISHARES)								
ISHARES CURRENCY HEDGED MSCI EAFE ETF (HEFA)	9,065 00	25 4000	230,251 00	27 8659	252,604 38	(22,353 38)	2 6066	6,001 79
			1,821 54					

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized / Economic Gain (Loss)	Dividend Yield	Estimated Annual Income
GS FOCUSED INTERNATIONAL EQUITY FUND								
GS FOCUSED INTERNATIONAL EQUITY FUND INSTITUTIONAL SHARES (GSIEX)	13,141 644	17 5800	231,030 10	19 9164	261,734 60	(30,704 50)	0 8305	1,918 68
						(18,969 89)		
TOTAL NON-US EQUITY			**563,316.24**		**617,587.19**	**(54,270.95)**	**1.7638**	**9,936.05**
			1,821.54					
TOTAL PUBLIC EQUITY			**563,316.24**		**617,587.19**	**(54,270.95)**	**1.7638**	**9,936.05**
			2,059.54					

PUBLIC EQUITY

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Adjusted Cost / Original Cost	Unrealized Gain (Loss)	Yield to Maturity / Current Yield	Estimated Annual Income
US EQUITY								
GSAM TAX ADVANTAGED ENHANCED DIVIDEND (S&P500)								
U S DOLLAR	152 82	1 0000	152 82		152 82			
GOLDMAN SACHS BANK DEPOSIT (BDA) (*BDANOW)[14]	10,183 89	1 0000	10,183 89	1 0000	10,183 89		0 2573	26 20

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
3M COMPANY CMN (MMM)	26 00	150 6400	3,916 64	110 4815	2,872 52	1,044 12	2 7217	106 60
ABBOTT LABORATORIES CMN (ABT)	88 00	44 9100	3,952 08	37 9716	3,341 50	610 58	2 3157	91 52
ABBVIE INC CMN (ABBV)	374 00	59 2400	22,155 76	44 8129	16,760 04	5,395 72	3 8488	852 72
AETNA INC CMN (AET)	5 00	108 1200	540 60	89 9180	449 59	91 01	0 9249	5 00
ALPHABET INC CMN CLASS A (GOOGL)	16 00	778 0100	12,448 16	579 3556	9,269 69	3,178 47		
ALPHABET INC CMN CLASS C (GOOG)	17 00	758 8800	12,900 96	575 6024	9,785 24	3,115 72		
ALTRIA GROUP, INC CMN (MO)	344 00	58 2100	20,024 24 194 36	36 7018	12,625 42	7,398 82	3 8825	777 44
AMAZON COM INC CMN (AMZN)	23 00	675 8900	15,545 47	512 7809	11,793 96	3,751 51		
AMERIPRISE FINANCIAL, INC CMN (AMP)	167 00	106 4200	17,772 14	15 3764	2,567 86	15,204 28	2 5183	447 56
AMGEN INC CMN (AMGN)	194 00	162 3300	31,492 02	42 0168	8,151 26	23,340 76	2 4641	776 00
ANADARKO PETROLEUM CORP CMN (APC)	63 00	48 5800	3,060 54	61 4590	3,871 92	(811 38)	2 2231	68 04
ANALOG DEVICES, INC CMN (ADI)	59 00	55 3200	3,263 88	43 4946	2,566 18	697 70	2 8923	94 40
ANTERO RESOURCES CORPORATION CMN (AR)	19 00	21 8000	414 20	22 1232	420 34	(6 14)		
APPLE, INC CMN (AAPL)	703 00	105 2600	73,997 78	43 8736	30,843 15	43,154 63	1 9761	1,462 24
ARTISAN PARTNERS ASSET MGMT IN CMN (APAM)	223 00	36 0600	8,041 38	35 9570	8,018 41	22 97	6 6556	535 20
AT&T INC CMN (T)	1,074 00	34 4100	36,956 34	33 5746	36,059 10	897 24	5 5798	2,062 08
AUTOMATIC DATA PROCESSING INC CMN (ADP)	133 00	84 7200	11,267 76 70 49	58 2280	7,744 33	3,523 43	2 5024	281 96
BERKSHIRE HATHAWAY INC CLASS B (BRKB)	26 00	132 0400	3,433 04	73 3304	1,906 59	1,526 45		

[14]This represents a bank deposit of an affiliated bank reflected here for your convenience and is not cash held in your brokerage or custody account Please see the enclosed Bank Statement page(s) for specific information related to your bank deposit

PUBLIC EQUITY (Continued)

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
US EQUITY								
GSAM TAX ADVANTAGED ENHANCED DIVIDEND (S&P500)								
BIOGEN INC CMN (BIIB)	10 00	306 3500	3,063 50	206 4110	2,064 11	999 39		
BLACKROCK, INC CMN (BLK)	22 00	340 5200	7,491 44	258 9014	5,695 83	1,795 61	2 5608	191 84
BOEING COMPANY CMN (BA)	80 00	144 5900	11,567 20	90 9213	7,273 70	4,293 50	3 0154	348 80
BRISTOL-MYERS SQUIBB COMPANY CMN (BMY)	293 00	68 7900	20,155 47	41 4650	12,149 25	8,006 22	2 2096	445 36
			111 34					
BROADRIDGE FINANCIAL SOLUTIONS IN CMN (BR)	44 00	53 7300	2,364 12	42 8880	1,887 07	477 05	2 2334	52 80
			13 20					
CA, INC CMN (CA)	189 00	28 5600	5,397 84	25 9585	4,906 16	491 68	3 5014	189 00
CAPITAL ONE FINANCIAL CORP CMN (COF)	12 00	72 1800	866 16	56 9975	683 97	182 19	2 2167	19 20
CARDINAL HEALTH INC CMN (CAH)	51 00	89 2700	4,552 77	44 3616	2,262 44	2,290 33	1 7341	78 95
			19 74					
CARNIVAL CORPORATION CMN (CCL)	33 00	54 4800	1,797 84	35 1058	1,158 49	639 35	2 2026	39 60
CATERPILLAR INC (DELAWARE) CMN (CAT)	229 00	67 9600	15,562 84	68 6905	15,730 12	(167 28)	4 5321	705 32
CDK GLOBAL INC CMN (CDK)	18 00	47 4700	854 46	25 4661	458 39	396 07	1 1376	9 72
CELGENE CORPORATION CMN (CELG)	33 00	119 7600	3,952 08	64 7858	2,137 93	1,814 15		
CENTURYLINK INC CMN (CTL)	640 00	25 1600	16,102 40	27 8655	17,833 92	(1,731 52)	8 5851	1,382 40
CHEVRON CORPORATION CMN (CVX)	206 00	89 9600	18,531 76	81 5117	16,791 41	1,740 35	4 7577	881 68
CINCINNATI FINANCIAL CRP CMN (CINF)	133 00	59 1700	7,869 61	47 8560	6,364 85	1,504 76	3 1097	244 72
			61 18					
CISCO SYSTEMS, INC CMN (CSCO)	537 00	27 1550	14,582 24	24 0990	12,941 18	1,641 05	3 0934	451 08
CME GROUP INC CMN CLASS A (CME)	51 00	90 6000	4,620 60	64 7329	3,301 38	1,319 22	2 2075	102 00
			156 60					
COACH INC CMN (COH)	93 00	32 7300	3,043 89	30 1492	2,803 88	240 01	4 1247	125 55
COCA-COLA COMPANY (THE) CMN (KO)	626 00	42 9600	26,892 96	8 8896	5,564 87	21,328 09	3 0726	826 32
COMCAST CORPORATION CMN CLASS A VOTING (CMCSA)	210 00	56 4300	11,850 30	40 5958	8,525 12	3,325 18	1 7721	210 00
CONOCOPHILLIPS CMN (COP)	195 00	46 6900	9,104 55	0 3003	58 55	9,046 00	6 3397	577 20
CONSOLIDATED EDISON INC CMN (ED)	75 00	64 2700	4,820 25	57 1095	4,283 21	537 04	4 0454	195 00
CONTINENTAL RESOURCES, INC CMN (CLR)	38 00	22 9800	873 24	22 4605	853 50	19 74		
CORNING INCORPORATED CMN (GLW)	36 00	18 2800	658 08	14 1994	511 18	146 90	2 6258	17 28
COSTCO WHOLESALE CORPORATION CMN (COST)	59 00	161 5000	9,528 50	2 0180	119 06	9,409 44	0 9907	94 40

PUBLIC EQUITY (Continued)

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
US EQUITY								
GSAM TAX ADVANTAGED ENHANCED DIVIDEND (S&P500)								
COVANTA HOLDING CORP CMN (CVA)	473 00	15 4900	7,326 77	15 7176	7,434 42	(107 65)	6 4558	473 00
CROWN CASTLE INTL CORP CMN (CCI)	41 00	86 4500	3,544 45	76 7202	3,145 53	398 92	3 7941	134 48
CSX CORPORATION CMN (CSX)	38 00	25 9500	986 10	25 9605	986 50	(0 40)	2.7746	27 36
CULLEN FROST BANKERS INC CMN (CFR)	6 00	60 0000	360 00	60 9600	365 76	(5 76)	3 5333	12 72
CUMMINS INC COMMON STOCK (CMI)	140 00	88 0100	12,321 40	95 7299	13,402 18	(1,080 78)	4 4313	546 00
CVS HEALTH CORP CMN (CVS)	258 00	97 7700	25,224 66	13 1899	3,403 00	21,821 66	1 7388	438 60
DARDEN RESTAURANTS INC CMN (DRI)	108 00	63 6400	6,873 12	42 6508	4,606 29	2,266 83	3 1427	216 00
DELTA AIR LINES, INC CMN (DAL)	48 00	50 6900	2,433 12	38 7846	1,861 66	571 46	1 0653	25 92
DEVON ENERGY CORPORATION (NEW) CMN (DVN)	52 00	32 0000	1,664 00	31 8727	1,657 38	6 62	3 0000	49 92
DOMTAR CORPORATION CMN CLASS (UFS)	116 00	36 9500	4,286 20 46 40	37 6691	4,369 61	(83 41)	4 3302	185 60
DOW CHEMICAL CO CMN (DOW)	310 00	51 4800	15,958 80 142 60	40 5543	12,571 82	3,386 98	3 5742	570 40
DUKE ENERGY CORPORATION CMN (DUK)	125 00	71 3900	8,923 75	72 0930	9,011 62	(87 87)	4 2863	382 50
E I DU PONT DE NEMOURS AND CO CMN (DD)	160 00	66 6000	10,656 00	1 0198	163 16	10,492 84	2 2823	243 20
ELI LILLY & CO CMN (LLY)	137 00	84 2600	11,543 62	55 3095	7,577 40	3,966 22	2 4211	279 48
EMERSON ELECTRIC CO CMN (EMR)	160 00	47 8300	7,652 80	47 9183	7,666 93	(14 13)	3 9724	304 00
ENTERGY CORPORATION CMN (ETR)	80 00	68 3600	5,468 80	64 7321	5,178 57	290 23	4 9737	272 00
EQUINIX, INC REIT (EQIX)	2 00	302 4000	604 80	284 9950	569 99	34 81		
EXPRESS SCRIPTS HOLDINGS CMN (ESRX)	160 00	87 4100	13,985 60	2 8345	453 52	13,532 08		
EXXON MOBIL CORPORATION CMN (XOM)	430 00	77 9500	33,518 50	0 0840	36 11	33,482 39	3 7460	1,255 60
FACEBOOK, INC CMN CLASS A (FB)	210 00	104 6600	21,978 60	68 0391	14,288 22	7,690 38		
FEDERATED INVESTORS, INC CMN CLASS B (FII)	24 00	28 6500	687 60	28 9238	694 17	(6 57)	3 4904	24 00
FEDEX CORP CMN (FDX)	80 00	148 9900	11,919 20 20 00	0 4864	38 91	11,880 29	0 6712	80 00
FIRST NIAGARA FINANCIAL GROUP, CMN (FNFG)	453 00	10 8500	4,915 05	8 7902	3,981 97	933 08	2 9493	144 96

Perrin Family Foundation Grants FY2015

Organization / Project Title	Reference Number	Grant Amount	Paid To Date	Type / Status	Grant Date
A Better Way Foundation Hartford, CT *A Better Way Foundation custom designed a Youth Organizing training program and provides technical assistance to PFF Partner Grantees engaged in youth led social change initiatives.*		$76,000 00	$76,000 00	Cash Grants *Active Grant*	10/24/2015
Breakthru! Inc. c/o New Organizing Institute Meriden, CT *Speak Life! Youth Leadership Program to take action in New Haven against community violence Fiscal sponsor is New Organizing Inst Education Fund*		$20,000 00	$20,000.00	Cash Grants *Active Grant*	3/28/2015
Center for Children's Advocacy Hartford, CT *CCA is now in the Intermediary category Funding is for CCA to provide technical legal assistence to SAVE- YC4J on police relations and also provide Know Your Legal Rights trainings for PFF partner grantees*		$20,000 00	$20,000 00	Cash Grants *Active Grant*	3/28/2015
City Wide Youth Coalition New Haven, CT *Intermediary Org- PFF funding is for operations support for the coalition building with a significant focus on providing youth a voice in the community*		$30,000 00	$30,000 00	Cash Grants *Active Grant*	10/24/2015
Connecticut Center for Non Violence Hartford, CT *Intermediary- Program trains young people on Kingian priciples and they become trainers in their communities to address diversity and racial equality*		$20,000 00	$20,000 00	Cash Grants *Active Grant*	10/24/2015
Connecticut Council for Philanthropy Hartford, CT *This grant supports effective philanthropy through programming, sharing of knowledge and best practices, collaboration across sectors, maximizing impact and statewide advocacy and policy change*		$2,500 00	$2,500 00	Cash Grants	3/28/2015
Connecticut Students For A Dream c/o RYASAP Bridgeport, CT *BLOC- C4D Presentations focus on social justice, policies and how to create*		$35,000 00	$35,000 00	Cash Grants	10/24/2015

change They speak upon the development of the Dreamer Movement and the important role each person, ally or undocumented, plays within this fight.				
FRESH New London c/o Third Sector New England New London, CT *Youth led food Justice work*	$20,000 00	$20,000 00	Cash Grants *Active Grant*	10/24/2015
Funders Collaborative on Youth Organizing c/o Bend the Arc New York, NY *FCYO is an intermediary which provides TA and funding resources to support PFF and PFF grantees*	$30,000 00	$30,000 00	Cash Grants *Active Grant*	10/24/2015
Girl Scouts of Connecticut, Inc. Wilton, CT *Girz Rule Anti Bullying program with TAKE ACTION component. 2015 is last year of funding as this program is no longer a fit for our focus areas*	$7,500 00	$7,500 00	Cash Grants *Active Grant*	3/28/2015
The Hartford Food System Hartford, CT *BLOC- Since 1978, Hartford Food System has been a leader in grassroots efforts to fight hunger and improve nutrition in Hartford's low-income neighborhoods We are one of the nation's oldest organizations working to promote community food security*	$35,000 00	$35,000 00	Cash Grants *Active Grant*	10/24/2015
Hearing Youth Voices c/o A Better Way Fooundation New London, CT *BLOC- Hearing Youth Voices is a youth-led, action research group dedicated to youth building collective power to fight for a better quality education*	$35,000.00	$35,000 00	Cash Grants *Active Grant*	10/24/2015
Human Service Council- School Based Health Centers Norwalk, CT *Final Grant- no longer a fit After mental health support phase out funding was for PhotoVoice Intitive to focus on community change*	$10,000 00	$10,000 00	Cash Grants *Active Grant*	10/24/2015
Institute for Community Research Hartford, CT *ICR recieves funding in the Intermediary category ICR is taking the lead on coordinating and facilitating numerous groups/youth led network addressing Food Justice in CT TA of participatory action research will continue to guide efforts*	$20,000.00	$20,000 00	Cash Grants *Active Grant*	3/28/2015
National Center for Family Philanthropy Washington DC, District of Columbia *NCFP provides research, expertise and learning opportunities to inspire our*	$1,000 00	$1,000 00	Cash Grants *Active Grant*	10/24/2015

national network of giving families every step of the way on their philanthropic journey Families learn how to transform their values into effective giving to achieve a positive				
National Committee for Responsive Philanthropy Suite200, District of Columbia *NCRP promotes philanthropy that serves the public good, is responsive to people and communities with the least wealth and opportunity, and is held accountable to the highest standards of integrity and openness*	$750 00	$750 00	Cash Grants *Active Grant*	10/24/2015
Neighborhood Studios Bridgeport, CT *PFF funding is for the Conservatory which is using the arts to spark youth dialogue and critical thinking about community social issues*	$25,000 00	$25,000 00	Cash Grants *Active Grant*	10/24/2015
New Haven Leon Sister City Project New Haven, CT *Funding for the Joker's League of New Haven to bring Forum Theater training to PFF Arts for Social Change partner grantees*	$4,056 00	$4,056 00	Cash Grants *Active Grant*	3/28/2015
New Haven Leon Sister City Project New Haven, CT *Funding for the Joker's League of New Haven to bring Forum Theater training to PFF Arts for Social Change partner grantees*	$7,640 00	$7,640 00	Cash Grants *Active Grant*	10/24/2015
Creative Youth Productions Bridgeport, CT *PFF funds CYP in the Collective Youth Leadership category Youth write, act and produce theater performances focusing on community issues such as gun violence, civil rights and educational inequity*	$30,000 00	$30,000 00	Cash Grants *Closed Grant*	3/28/2015
Parent Leadership Training Institute c/o RYASAP Bridgeport, CT *Teen Leadership Initiative,TLI which is a PLTI program for teens (has an alumni, parent, teen and child component to the leadership training) All 3 groups learn civics an identify issues to create projects for change. The teen component is unique to PLTI*	$5,000 00	$5,000 00	Cash Grants *Active Grant*	10/24/2015
People's Arts Collective c/o Connecticut Center for a New Economy New Haven, CT *Community orgainizing through the arts There are two components, Kickback which is LGBTQ focused and Free Artists which support community campaigns with the arts*	$25,000 00	$25,000 00	Cash Grants *Active Grant*	10/24/2015

Regional YMCA of Western Connecticut Brookfield, CT *Final Grant to ESCAPE to the Arts*	$25,000 00	$25,000 00	Cash Grants *Active Grant*	10/24/2015
SAVE Girls on F.Y.E.R. c/o Unity Temple Church Waterbury, CT *SAVE Girls on F Y R E was founded in 2013 by Jacquee Porter to "inspire, uplift, and empower girls in underprivileged communities to become Future Young Educated Role Models " The organization's mission is "to enhance the lives of girls by equipping them*	$10,000 00	$10,000.00	Cash Grants *Active Grant*	10/24/2015
School of Unity & Liberation Oakland, CA *S O.U L is in the Intermediary category Funding is support training opportunities to PFF partner grantees on community organizing and youth led social change methods.*	$5,000 00	$5,000 00	Cash Grants *Active Grant*	3/28/2015
School of Unity & Liberation Oakland, CA *S O U L is in the Intermediary category Funding is support training opportunities to PFF partner grantees on community organizing and youth led social change methods*	$5,000 00	$5,000 00	Cash Grants *Active Grant*	10/24/2015
Serving All Vessels Equally Norwalk, CT *Saving All Vessels Equally BLOC- The Save Youth Council for Justice is a youth-led, adult supported social justice organization that empowers youth ages 10-18 to express themselves and to take appropriate action on the issues that affect their lives*	$35,000.00	$35,000.00	Cash Grants *Active Grant*	10/24/2015
Solar Youth Inc New Haven, CT *Solar Youth is in the Collective Leadership category Funding is for youth leadership/Intern program focusing on collective approach to environmental community change*	$17,000 00	$17,000 00	Cash Grants *Active Grant*	3/28/2015
True Colors, Inc. Hartford, CT *Final Grant- no longer a fit* *True Colors is an LBGTQ organization that hosts one of the largest conferences in the nation Pulled from BLOC during the second year due to capacity issues*	$15,000 00	$15,000 00	Cash Grants *Active Grant*	10/24/2015
Uplifting A Life c/o Neigborhood Housing Services Waterbury, CT	$10,000 00	$10,000 00	Cash Grants *Active Grant*	10/24/2015

their Youth Leadership Initiative "to enlighten, encourage, and empower youth by providing leadership training that will promote and enhance the quality of life for themselves, their neighborhoods/communities, and our world through goal setting, team buil				
Writer's Block, INK New London, CT *Youth led arts program that aims to ignites social change on the page and stage*	$20,000.00	$20,000 00	Cash Grants *Active Grant*	10/24/2015
Youth Rights Media New Haven, CT *YRM is in the Collective Leadership category The youth create video and documentarys around issues in the community such as food insecurity, domestic violence, rape culture, LGBTQ issues*	$15,000 00	$15,000 00	Cash Grants *Active Grant*	3/28/2015
Grand Total (32 items)	$616,446.00	$616,446.00		

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	GOLDMAN SACHS #251-9 (SEE ATTACHED)	P	VARIOUS	03/09/15
b	GOLDMAN SACHS #010-8 (SEE ATTACHED)	P	VARIOUS	VARIOUS
c	GOLDMAN SACHS #533-0 (SEE ATTACHED)	P	VARIOUS	VARIOUS
d	GOLDMAN SACHS #251-9 (SEE ATTACHED)	P	VARIOUS	VARIOUS
e	GOLDMAN SACHS #533-0 (SEE ATTACHED)	P	VARIOUS	VARIOUS
f	GS CAPITAL PARTNERS VI PARALLEL, LP	P	VARIOUS	VARIOUS
g	GOLDMAN SACHS #251-9 (SEE ATTACHED)	D	VARIOUS	12/10/15
h	GOLDMAN SACHS #010-8 (SEE ATTACHED)	P	03/19/15	10/16/15
i	GOLDMAN SACHS #533-0 (SEE ATTACHED)	P	VARIOUS	VARIOUS
j	US TRUST (SEE ATTACHED)	P	VARIOUS	VARIOUS
k	GOLDMAN SACHS #533-0 (SEE ATTACHED)	P	VARIOUS	VARIOUS
l	US TRUST (SEE ATTACHED)	P	VARIOUS	VARIOUS
m	CAPITAL GAINS DIVIDENDS			
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,073,004.		1,109,542.	-36,538.
b	101,171.		101,081.	90.
c	748,275.		800,417.	-52,142.
d	1,060,378.		1,071,110.	-10,732.
e	229,147.		211,464.	17,683.
f			4,532.	-4,532.
g	311,068.		12,642.	298,426.
h	50,500.		49,497.	1,003.
i	13,158.		13,836.	-678.
j	532,719.		540,463.	-7,744.
k	11,364.		2,696.	8,668.
l	1,294,507.		1,204,364.	90,143.
m	1,707.			1,707.
n				
o				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				-36,538.
b				90.
c				-52,142.
d				-10,732.
e				17,683.
f				-4,532.
g				298,426.
h				1,003.
i				-678.
j				-7,744.
k				8,668.
l				90,143.
m				1,707.
n				
o				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	305,354.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) *If gain, also enter in Part I, line 8, column (c)* If (loss), enter "-0-" in Part I, line 8	3	N/A

523591
04-01-15

Standard Realized Capital Gains

Multiple Accounts **From: 01-01-2015 to 12-31-2015**

Asset Description	Acquisition Date	Date Sold	Units	Tax Cost	Sale Proceeds	Short Term Gain/(Loss)	Long Term Gain/(Loss)
ALLIANT TECHSYSTEMS INC GO GTD							
	05-20-2013	03-11-2015	(10,000.00)	(11,000.00)	10,654.40	0.00	(345.60)
CALIFORNIA RESOURCES CORP							
	05-21-2012	03-27-2015	(800.00)	(5,591.48)	5,983.88	0.00	392.40
CATAMARAN CORP							
	06-11-2014	06-22-2015	(2,000.00)	(2,040.00)	2,219.80	0.00	179.80
	03-11-2014	08-23-2015	(3,000.00)	(3,041.25)	3,402.62	0.00	361.37
	03-12-2014	08-23-2015	(2,000.00)	(2,030.00)	2,268.41	0.00	238.41
	06-16-2014	08-23-2015	(3,000.00)	(3,056.25)	3,402.62	0.00	346.37
Total CATAMARAN CORP			(10,000.00)	(10,167.50)	11,293.45	0.00	1,125.95
CBRE SVCS INC							
	05-09-2013	09-21-2015	(2,000.00)	(2,077.50)	2,025.00	0.00	(52.50)
CHESAPEAKE ENERGY CORP							
	11-13-2014	06-30-2015	(1,000.00)	(1,118.75)	937.50	(181.25)	0.00
	11-14-2014	06-30-2015	(1,000.00)	(1,112.50)	937.50	(175.00)	0.00
	05-14-2013	07-01-2015	(2,000.00)	(2,217.50)	1,910.00	0.00	(307.50)
Total CHESAPEAKE ENERGY CORP			(4,000.00)	(4,448.75)	3,785.00	(356.25)	(307.50)

Standard Realized Capital Gains

Multiple Accounts **From: 01-01-2015 to 12-31-2015**

Asset Description	Acquisition Date	Date Sold	Units	Tax Cost	Sale Proceeds	Short Term Gain/(Loss)	Long Term Gain/(Loss)
CLEAR CHANNEL COMMUNICATIONS							
	03-19-2015	11-09-2015	(2,000.00)	(1,996.00)	1,530.00	(466.00)	0.00
	03-17-2015	11-16-2015	(2,000.00)	(1,970.00)	1,445.00	(525.00)	0.00
	03-19-2015	11-16-2015	(1,000.00)	(998.00)	722.50	(275.50)	0.00
Total CLEAR CHANNEL COMMUNICATIONS			(5,000.00)	(4,964.00)	3,697.50	(1,266.50)	0.00
COLUMBIA DIVIDEND INCOME FUND							
		12-14-2015	0.00	0.00	17,692.45	0.00	17,692.45
COLUMBIA EMERGING MARKETS FUND							
	06-19-2013	08-12-2015	(22,218.83)	(214,633.86)	209,301.34	0.00	(5,332.52)
	10-08-2013	08-12-2015	(10,331.09)	(103,310.88)	97,318.85	0.00	(5,992.03)
Total COLUMBIA EMERGING MARKETS FUND			(32,549.91)	(317,944.74)	306,620.19	0.00	(11,324.55)
CVS HEALTH CORP							
	02-26-2008	08-04-2015	(1,500.00)	(60,371.10)	163,207.65	0.00	102,836.55
EVEREST ACQ LLC EVEREST ACQ FIN							
	05-06-2013	10-02-2015	(3,000.00)	(3,525.00)	2,325.00	0.00	(1,200.00)
	05-06-2013	10-02-2015	(1,000.00)	(1,175.00)	757.50	0.00	(417.50)
	05-06-2013	10-02-2015	(1,000.00)	(1,175.00)	752.50	0.00	(422.50)
Total EVEREST ACQ LLC EVEREST ACQ FIN			(5,000.00)	(5,875.00)	3,835.00	0.00	(2,040.00)

Standard Realized Capital Gains

Multiple Accounts **From: 01-01-2015 to 12-31-2015**

Asset Description	Acquisition Date	Date Sold	Units	Tax Cost	Sale Proceeds	Short Term Gain/(Loss)	Long Term Gain/(Loss)
FIRST DATA CORP UNSECD SR							
	03-19-2015	11-16-2015	(2,000.00)	(2,347.16)	2,290.47	(56.69)	0.00
	03-19-2015	11-30-2015	(3,000.00)	(3,520.72)	3,421.87	(98.85)	0.00
Total FIRST DATA CORP UNSECD SR			(5,000.00)	(5,867.88)	5,712.34	(155.54)	0.00
GENON ESCROW CORP UNSECD							
	05-31-2013	12-08-2015	(2,000.00)	(2,275.00)	1,602.50	0.00	(672.50)
GOOGLE INC							
	07-13-2010	05-19-2015	(0.84)	(205.28)	451.34	0.00	246.06
HEINZ H J CO 2ND LIEN SR SECD NT							
	06-09-2014	02-19-2015	(3,000.00)	(3,011.25)	3,018.75	7.50	0.00
	06-09-2014	02-19-2015	(1,000.00)	(1,003.75)	1,007.50	3.75	0.00
	06-10-2014	02-19-2015	(1,000.00)	(1,006.25)	1,007.50	1.25	0.00
	06-10-2014	02-20-2015	(2,000.00)	(2,012.50)	2,015.00	2.50	0.00
	06-10-2014	02-23-2015	(3,000.00)	(3,018.75)	3,026.25	7.50	0.00
	06-19-2014	07-06-2015	(5,000.00)	(5,031.25)	5,106.25	0.00	75.00
Total HEINZ H J CO 2ND LIEN SR SECD NT			(15,000.00)	(15,083.75)	15,181.25	22.50	75.00
IRON MOUNTAIN INC							
	05-29-2013	03-03-2015	(2,000.00)	(2,175 00)	2,086.00	0.00	(89.00)

Standard Realized Capital Gains

Multiple Accounts — From: 01-01-2015 to 12-31-2015

Asset Description	Acquisition Date	Date Sold	Units	Tax Cost	Sale Proceeds	Short Term Gain/(Loss)	Long Term Gain/(Loss)
ISHARES MSCI EMERGING MARKETS							
	12-02-2013	08-12-2015	(205.00)	(8,505.49)	7,236.02	0.00	(1,269.47)
	04-22-2014	08-12-2015	(4,795.00)	(200,297.22)	169,252.23	0.00	(31,044.99)
Total ISHARES MSCI EMERGING MARKETS			(5,000.00)	(208,802.71)	176,488.25	0.00	(32,314.46)
ISTAR FINL INC							
	07-14-2014	09-17-2015	(3,000.00)	(3,003.75)	2,936.25	0.00	(67.50)
	07-14-2014	09-18-2015	(2,000.00)	(2,002.50)	1,957.50	0.00	(45.00)
Total ISTAR FINL INC			(5,000.00)	(5,006.25)	4,893.75	0.00	(112.50)
LEVEL 3 FING INC CO GTD							
	05-13-2013	01-29-2015	(4,000.00)	(4,430.00)	4,245.00	0.00	(185.00)
	05-13-2013	05-28-2015	(1,000.00)	(1,107.50)	1,047.15	0.00	(60 35)
	06-13-2013	05-28-2015	(4,000.00)	(4,238 00)	4,188.62	0.00	(49.38)
Total LEVEL 3 FING INC CO GTD			(9,000.00)	(9,775.50)	9,480.77	0.00	(294.73)
LIBERTY LILAC GROUP							
	11-13-2013	08-12-2015	(150.00)	(5,449.27)	5,997.14	0.00	547.87
LINN ENERGY LLC / LINN ENERGY							

Standard Realized Capital Gains

Multiple Accounts **From: 01-01-2015 to 12-31-2015**

Asset Description	Acquisition Date	Date Sold	Units	Tax Cost	Sale Proceeds	Short Term Gain/(Loss)	Long Term Gain/(Loss)
	05-06-2013	09-14-2015	(2,000.00)	(2,207.50)	635.00	0.00	(1,572 50)
	05-07-2013	09-14-2015	(1,000.00)	(1,103.75)	317.50	0.00	(786.25)
	05-07-2013	10-01-2015	(2,000.00)	(2,207.50)	405.00	0.00	(1,802.50)
Total LINN ENERGY LLC / LINN ENERGY			(5,000.00)	(5,518.75)	1,357.50	0.00	(4,161.25)
MARKWEST ENERGY PARTNERS LP /							
	05-16-2013	06-03-2015	(2,000.00)	(2,215.00)	2,116.28	0.00	(98.72)
	06-10-2013	06-03-2015	(3,000.00)	(3,255.00)	3,174.42	0.00	(80.58)
Total MARKWEST ENERGY PARTNERS LP /			(5,000.00)	(5,470.00)	5,290.70	0.00	(179.30)
NIELSEN FIN LLC/NIELSEN FIN CO							
	04-02-2014	05-12-2015	(5,000.00)	(5,074.20)	5,056.25	0.00	(17.95)
	05-21-2014	05-12-2015	(5,000.00)	(5,081.25)	5,056.25	(25.00)	0.00
Total NIELSEN FIN LLC/NIELSEN FIN CO			(10,000.00)	(10,155.45)	10,112.50	(25.00)	(17.95)
NRG ENERGY INC							
	04-07-2014	10-28-2015	(2,000.00)	(2,090.00)	1,830.00	0.00	(260.00)
	03-31-2014	12-07-2015	(2,000.00)	(2,080.00)	1,685.00	0.00	(395.00)
	04-07-2014	12-07-2015	(1,000.00)	(1,045.00)	842.50	0.00	(202.50)
Total NRG ENERGY INC			(5,000.00)	(5,215.00)	4,357.50	0.00	(857.50)

Standard Realized Capital Gains

Multiple Accounts — From: 01-01-2015 to 12-31-2015

Asset Description	Acquisition Date	Date Sold	Units	Tax Cost	Sale Proceeds	Short Term Gain/(Loss)	Long Term Gain/(Loss)
PEABODY ENERGY CORP							
	05-29-2013	03-19-2015	(2,000.00)	(2,165.00)	1,425.00	0.00	(740.00)
	05-29-2013	03-31-2015	(1,000.00)	(1,082.50)	607.50	0.00	(475.00)
	06-10-2013	03-31-2015	(2,000.00)	(2,130.00)	1,215.00	0.00	(915.00)
Total PEABODY ENERGY CORP			(5,000.00)	(5,377.50)	3,247.50	0.00	(2,130.00)
PIMCO FOREIGN BD US$HD INSTL							
		12-16-2015	0.00	0.00	3,051.07	0.00	3,051.07
POST HLDGS INC SR UNSECED							
	05-21-2013	11-23-2015	(2,000.00)	(2,265.00)	2,085.00	0.00	(180.00)
	05-21-2013	11-24-2015	(3,000.00)	(3,397.50)	3,127.50	0.00	(270 00)
Total POST HLDGS INC SR UNSECED			(5,000.00)	(5,662.50)	5,212.50	0.00	(450.00)
PRINCIPAL PFD SECS FUND							
		12-17-2015	0.00	0.00	2,106.39	0.00	2,106.39
PRUDENTIAL SHORT-TERM CORPORATE							
	04-22-2014	03-27-2015	(17,761.99)	(201,953.82)	200,000.00	(1,953.82)	0.00
	04-22-2014	08-12-2015	(13,309.37)	(151,327.57)	148,399.51	0.00	(2,928.06)
	11-14-2014	08-12-2015	(26,595.74)	(300,000.00)	296,542.56	(3,457.44)	0.00
Total PRUDENTIAL SHORT-TERM CORPORATE			(57,667.11)	(653,281.39)	644,942.07	(5,411.26)	(2,928.06)

Standard Realized Capital Gains

Multiple Accounts — From: 01-01-2015 to 12-31-2015

Asset Description	Acquisition Date	Date Sold	Units	Tax Cost	Sale Proceeds	Short Term Gain/(Loss)	Long Term Gain/(Loss)
RANGE RES CORP							
	05-03-2013	08-03-2015	(2,000.00)	(2,220.00)	2,067.50	0.00	(152.50)
	05-13-2013	08-03-2015	(3,000.00)	(3,300.00)	3,101.25	0.00	(198.75)
	02-19-2014	12-11-2015	(1,000.00)	(1,012.50)	797.50	0.00	(215.00)
Total RANGE RES CORP			(6,000.00)	(6,532.50)	5,966.25	0.00	(566.25)
REYNOLDS GROUP ISSUER INC/							
	09-06-2013	11-23-2015	(3,000.00)	(2,970.00)	3,075.00	0.00	105.00
	09-06-2013	11-25-2015	(2,000.00)	(1,980.00)	2,052.50	0.00	72.50
Total REYNOLDS GROUP ISSUER INC/			(5,000.00)	(4,950.00)	5,127.50	0.00	177.50
SABMILLER PLC							
	03-17-2014	08-12-2015	(2,500.00)	(117,695.08)	126,411.17	0.00	8,716.09
SANDISK CORP							
	06-17-2014	10-21-2015	(885.00)	(91,454.75)	68,560.84	0.00	(22,893.91)
SEARS HLDGS CORP							
	05-13-2013	08-14-2015	(11,000.00)	(11,121.00)	10,890.00	0.00	(231.00)

Standard Realized Capital Gains

Multiple Accounts **From: 01-01-2015 to 12-31-2015**

Asset Description	Acquisition Date	Date Sold	Units	Tax Cost	Sale Proceeds	Short Term Gain/(Loss)	Long Term Gain/(Loss)
SINCLAIR TELEVISION GROUP INC							
	03-06-2015	10-14-2015	(3,000.00)	(3,082.50)	2,970.00	(112.50)	0.00
	01-29-2015	10-15-2015	(2,000.00)	(2,017.50)	1,980.00	(37.50)	0.00
Total SINCLAIR TELEVISION GROUP INC			(5,000.00)	(5,100.00)	4,950.00	(150.00)	0.00
SLM CORP							
	11-19-2013	10-06-2015	(3,000.00)	(2,827.50)	2,325.00	0.00	(502 50)
	11-19-2013	10-06-2015	(2,000.00)	(1,885.00)	1,525.00	0.00	(360.00)
Total SLM CORP			(5,000.00)	(4,712.50)	3,850.00	0.00	(862.50)
STARBUCKS CORP							
	03-14-2014	05-07-2015	(3,100.00)	(115,175 54)	152,258.35	0.00	37,082.81
TRIUMPH GROUP INC NEW UNSECD							
	06-23-2014	10-09-2015	(2,000.00)	(2,005.00)	1,850.00	0.00	(155.00)
	08-20-2014	10-29-2015	(3,000.00)	(3,007.50)	2,685.00	0.00	(322.50)
Total TRIUMPH GROUP INC NEW UNSECD			(5,000.00)	(5,012.50)	4,535.00	0.00	(477.50)
WYNN LAS VEGAS LLC / WYNN LAS							
	06-05-2013	02-17-2015	(2,000.00)	(2,237.50)	2,108.42	0.00	(129.08)
	06-11-2013	02-17-2015	(3,000.00)	(3,345.00)	3,162.63	0.00	(182.37)
	04-08-2015	08-21-2015	(2,000.00)	(2,085.00)	1,945.00	(140.00)	0.00

Standard Realized Capital Gains

Multiple Accounts						From: 01-01-2015 to 12-31-2015	
Asset Description	Acquisition Date	Date Sold	Units	Tax Cost	Sale Proceeds	Short Term Gain/(Loss)	Long Term Gain/(Loss)
	04-17-2015	08-24-2015	(3,000.00)	(3,127.50)	2,865.00	(262.50)	0.00
Total WYNN LAS VEGAS LLC / WYNN LAS			(10,000.00)	(10,795.00)	10,081.05	(402.50)	(311.45)
ZAYO GROUP LLC / ZAYO CAP INC							
	05-03-2013	04-08-2015	(2,000.00)	(2,257.50)	2,114.99	0.00	(142.51)
	05-13-2013	04-08-2015	(2,000.00)	(2,259.80)	2,114.99	0.00	(144.81)
Total ZAYO GROUP LLC / ZAYO CAP INC			(4,000.00)	(4,517.30)	4,229.98	0.00	(287.32)
Total						(7,744.55)	90,142.81

Please do not rely on reports generated from this website to prepare tax returns. This information may change after audits or corrections by Bank of America. Portions of the estimated annual income may not reflect actual income received or income for tax reporting purposes. Income and cost-basis information should not be used to make investment decisions without first consulting your relationship manager, personal tax or legal advisor. This information is not intended as an offer to provide trust or investment services in states where Bank of America is not authorized to do so.

Assets for which Bank of America Has Limited or No Responsibility

This statement may include assets that are not held and not managed by Bank of America. Assets that are not held and not managed by Bank of America are listed solely for the convenience of the client. Bank of America has no responsibility to manage, maintain, safekeep, monitor or value such assets. This statement may also include other assets for which Bank of America has limited or no management responsibility and/or no valuation responsibility pursuant to the terms of the governing document, or client agreements or directions.

Oil, Gas and Mineral Interests

To holders of Oil, Gas and Mineral properties: Market value for Oil, Gas and Mineral properties represents an estimate only, calculated from the most recent 12 months net income from producing properties and includes nominal value applied to non-producing properties.

Run Date : 05-03-2016 10:03 AM, EDT

REALIZED GAIN AND LOSS SUMMARY

SHORT-TERM GAINS (LOSSES)		LONG-TERM GAINS (LOSSES)		ORDINARY GAINS (LOSSES)	
Net Covered Short-Term Gains (Losses)	(36,544 12)	Net Covered Long-Term Gains (Losses)	(10,732 86)	Net Ordinary Gains (Losses)	0 00
Net NonCovered Short-Term Gains (Losses)	0 00	Net NonCovered Long-Term Gains (Losses)	298,426 49		
Net 1099B Non Reportable Short-Term Gains (Losses)	0 00	Net 1099B Non Reportable Long-Term Gains (Losses)	0 00		
Net Miscellaneous Short-Term Gains (Losses)	0 00	Net Miscellaneous Long-Term Gains (Losses)	0 00	Net Miscellaneous Ordinary Gains (Losses)	0 00
Net Regulated Futures Contract Short-Term Gains (Losses)	0 00	Net Regulated Futures Contract Long-Term Gains (Losses)	0 00		
Total Short-Term Gains (Losses)	**(36,544 12)**	**Total Long-Term Gains (Losses)**	**287,693 63**	**Total Ordinary Gains (Losses)**	**0 00**

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
GS HIGH QUALITY FLOATING RATE FUND INSTITUTIONAL SHARES (38141W208)	06/30/2014	03/09/2015	3 77	32 85	0 00	33 00	(0 15)
GS HIGH QUALITY FLOATING RATE FUND INSTITUTIONAL SHARES (38141W208)	01/30/2015	03/09/2015	3 77	32 91	0 00	32 91	0 00
GS HIGH QUALITY FLOATING RATE FUND INSTITUTIONAL SHARES (38141W208)	02/27/2015	03/09/2015	4 26	37 14	0 00	37 14	0 00
GS HIGH QUALITY FLOATING RATE FUND INSTITUTIONAL SHARES (38141W208)	12/31/2014	03/09/2015	6 59	57 46	0 00	57 52	(0 06)
GS HIGH QUALITY FLOATING RATE FUND INSTITUTIONAL SHARES (38141W208)	10/31/2014	03/09/2015	6 91	60 29	0 00	60 43	(0 14)
GS HIGH QUALITY FLOATING RATE FUND INSTITUTIONAL SHARES (38141W208)	09/30/2014	03/09/2015	8 27	72 08	0 00	72 41	(0 33)
GS HIGH QUALITY FLOATING RATE FUND INSTITUTIONAL SHARES (38141W208)	11/28/2014	03/09/2015	8 34	72 72	0 00	72 80	(0 08)
GS HIGH QUALITY FLOATING RATE FUND INSTITUTIONAL SHARES (38141W208)	08/29/2014	03/09/2015	11 23	97 95	0 00	98 40	(0 45)
GS HIGH QUALITY FLOATING RATE FUND INSTITUTIONAL SHARES (38141W208)	07/31/2014	03/09/2015	13 97	121 81	0 00	122 51	(0 70)

[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
GS HIGH QUALITY FLOATING RATE FUND INSTITUTIONAL SHARES (38141W208)	06/18/2014	03/09/2015	28,538 81	248,858 45	0 00	250,000 00	(1,141 55)
GS HIGH YIELD FLOATING RATE FUND INSTITUTIONAL SHARES (38145C455)	06/18/2014	03/09/2015	54,455 45	540,742 58	0 00	550,000 00	(9,257 42)
GS LOCAL EMERGING MARKETS DEBT FUND INSTITUTIONAL SHARES (38145N303)	06/18/2014	03/09/2015	10,549 13	73,000 00	0 00	89,990 18	(16,990 18)
GS LOCAL EMERGING MARKETS DEBT FUND INSTITUTIONAL SHARES (38145N303) Disallowed Loss							287 32
GS STRATEGIC INCOME FUND INSTITUTIONAL SHARES (38145C646)	04/30/2014	03/09/2015	95 17	968 81	0 00	1,008 73	(39 92)
GS STRATEGIC INCOME FUND INSTITUTIONAL SHARES (38145C646)	05/30/2014	03/09/2015	99 36	1,011 52	0 00	1,052 20	(40 68)
GS STRATEGIC INCOME FUND INSTITUTIONAL SHARES (38145C646)	03/31/2014	03/09/2015	102 01	1,038 47	0 00	1,085 34	(46 87)
GS STRATEGIC INCOME FUND INSTITUTIONAL SHARES (38145C646)	06/30/2014	03/09/2015	104 80	1,066 90	0 00	1,106 67	(39 77)
GS STRATEGIC INCOME FUND INSTITUTIONAL SHARES (38145C646)	09/30/2014	03/09/2015	107 71	1,096 49	0 00	1,140 60	(44 11)
GS STRATEGIC INCOME FUND INSTITUTIONAL SHARES (38145C646)	10/31/2014	03/09/2015	127 37	1,296 61	0 00	1,334 76	(38 15)
GS STRATEGIC INCOME FUND INSTITUTIONAL SHARES (38145C646)	07/31/2014	03/09/2015	128 57	1,308 80	0 00	1,366 59	(57 79)
GS STRATEGIC INCOME FUND INSTITUTIONAL SHARES (38145C646)	08/29/2014	03/09/2015	129 33	1,316 53	0 00	1,361 72	(45 19)
GS STRATEGIC INCOME FUND INSTITUTIONAL SHARES (38145C646)	12/31/2014	03/09/2015	129 69	1,320 26	0 00	1,333 16	(12 90)
GS STRATEGIC INCOME FUND INSTITUTIONAL SHARES (38145C646)	02/27/2015	03/09/2015	132 46	1,348 46	0 00	1,351 11	(2 65)
GS STRATEGIC INCOME FUND INSTITUTIONAL SHARES (38145C646)	01/30/2015	03/09/2015	139 41	1,419 23	0 00	1,402 46	16 77
GS STRATEGIC INCOME FUND INSTITUTIONAL SHARES (38145C646)	11/28/2014	03/09/2015	180 35	1,835 95	0 00	1,873 73	(37 78)
GS STRATEGIC INCOME FUND INSTITUTIONAL SHARES (38145C646)	12/26/2014	03/09/2015	372 86	3,795 69	0 00	3,851 42	(55 73)
GS STRATEGIC INCOME FUND INSTITUTIONAL SHARES (38145C646)	06/18/2014	03/09/2015	18,761 73	190,994 38	0 00	199,989 99	(8,995 61)
Net Covered Short-Term Disallowed Losses							**287.32**
Net Covered Short-Term Gains (Losses)				**1,073,004.34**	**0.00**	**1,109,835.78**	**(36,544.12)**

[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
GOLDMAN SACHS TACTICAL TILT PF MUTUAL FUND (L4446X249)	02/18/2014	02/27/2015	3,012 53	395,696 08	0 00	375,000 00	20,696 08
GS LONG SHORT CREDIT STRATEGIES INSTITUTIONAL (38145L257)	01/28/2014	03/09/2015	21,363 17	210,000 00	0 00	222,817 91	(12,817 91)
GS LONG SHORT CREDIT STRATEGIES INSTITUTIONAL (38145L257) Disallowed Loss							97 76
GS STRATEGIC INCOME FUND INSTITUTIONAL SHARES (38145C646)	01/31/2014	03/09/2015	2 61	26 52	0 00	27 56	(1 04)
GS STRATEGIC INCOME FUND INSTITUTIONAL SHARES (38145C646)	02/28/2014	03/09/2015	92 38	940 41	0 00	979 16	(38 75)
GS STRATEGIC INCOME FUND INSTITUTIONAL SHARES (38145C646)	01/28/2014	03/09/2015	44,476 91	452,774 94	0 00	471,876 26	(19,101 32)
CSRA INC CMN (12650T104)	11/16/2012	12/10/2015	9 00 [9]	248 80	0 00	134 36	114 44
CSRA INC CMN (12650T104)	11/16/2012	12/10/2015	9 00 [9]	248 80	0 00	134 36	114 44
CSRA INC CMN (12650T104)	11/16/2012	12/10/2015	16 00 [9]	442 31	0 00	238 87	203 44
Net Covered Long-Term Disallowed Losses							**97.76**
Net Covered Long-Term Gains (Losses)				**1,060,377.86**	**0.00**	**1,071,208.48**	**(10,732.86)**
NonCovered Long-Term Gains (Losses)							
AMERICAN EXPRESS CO CMN (025816109)	01/01/1997	12/10/2015	117 00 [9]	8,077 83	0 00	795 12	7,282 71
AMERICAN EXPRESS CO CMN (025816109)	01/01/1997	12/10/2015	250 00 [9]	17,260 32	0 00	1,698 97	15,561 35
AMERIPRISE FINANCIAL, INC CMN (03076C106)	01/01/1997	12/10/2015	19 00 [9]	2,064 59	0 00	93 00	1,971 59
AMGEN INC CMN (031162100)	01/01/1997	12/10/2015	12 00 [9]	1,866 91	0 00	0 57	1,866 34
AMGEN INC CMN (031162100)	01/01/1997	12/10/2015	88 00 [9]	13,690 65	0 00	4 18	13,686 47
APPLE, INC CMN (037833100)	05/13/2009	12/10/2015	70 00 [9]	8,054 59	0 00	1,217 38	6,837 21
APPLE, INC CMN (037833100)	03/03/2009	12/10/2015	200 00 [9]	23,013 11	0 00	2,548 45	20,464 66
BROWN FORMAN CORP CL B CMN CLASS B (115637209)	01/01/1997	12/10/2015	19 00 [9]	1,927 03	0 00	18 39	1,908 64
BROWN FORMAN CORP CL B CMN CLASS B (115637209)	01/01/1997	12/10/2015	74 00 [9]	7,505 29	0 00	71 60	7,433 69
CBS CORPORATION CMN CLASS B (124857202)	03/03/2009	12/10/2015	30 00 [9]	1,449 72	0 00	109 57	1,340 15
CHEMOURS COMPANY (THE) CMN (163851108)	01/01/1997	12/10/2015	71 00 [9]	417 11	0 00	19 50	397 61

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[9] This position is a gifted security

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
CISCO SYSTEMS, INC CMN (17275R102)	01/01/1997	12/10/2015	600 00 [9]	15,918 90	0 00	987 52	14,931 38
CORNING INCORPORATED CMN (219350105)	01/01/1997	12/10/2015	60 00 [9]	1,057 48	0 00	0 22	1,057 26
COSTCO WHOLESALE CORPORATION CMN (22160K105)	01/01/1997	12/10/2015	61 00 [9]	9,716 54	0 00	123 10	9,593 44
CVS HEALTH CORP CMN (126650100)	01/01/1997	12/10/2015	60 00 [9]	5,686 99	0 00	19 94	5,667 05
DEUTSCHE TELEKOM AG SPONSORED ADR CMN (251566105)	01/01/1997	12/10/2015	158 00 [9]	2,789 44	0 00	0 47	2,788 97
DEUTSCHE TELEKOM AG SPONSORED ADR CMN (251566105)	01/01/1997	12/10/2015	300 00 [9]	5,296 40	0 00	0 90	5,295 50
DISCOVER FINANCIAL SERVICES CMN (254709108)	04/08/2009	12/10/2015	26 00 [9]	1,403 06	0 00	172 29	1,230 77
DISCOVER FINANCIAL SERVICES CMN (254709108)	03/03/2009	12/10/2015	33 00 [9]	1,780 81	0 00	173 89	1,606 92
DISCOVER FINANCIAL SERVICES CMN (254709108)	04/08/2009	12/10/2015	94 00 [9]	5,072 61	0 00	622 89	4,449 72
E I DU PONT DE NEMOURS AND CO CMN (263534109)	01/01/1997	12/10/2015	28 00 [9]	2,022 14	0 00	28 55	1,993 59
E I DU PONT DE NEMOURS AND CO CMN (263534109)	01/01/1997	12/10/2015	292 00 [9]	21,087 99	0 00	297 76	20,790 23
EXPRESS SCRIPTS HOLDINGS CMN (30219G108)	01/01/1997	12/10/2015	60 00 [9]	5,114 60	0 00	170 07	4,944 53
FEDEX CORP CMN (31428X106)	01/01/1997	12/10/2015	40 00 [9]	5,813 17	0 00	19 46	5,793 71
FEDEX CORP CMN (31428X106)	01/01/1997	12/10/2015	63 00 [9]	9,155 75	0 00	30 65	9,125 10
GENUINE PARTS CO CMN (372460105)	01/01/1997	12/10/2015	53 00 [9]	4,620 71	0 00	1 04	4,619 67
HARTFORD FINANCIAL SRVCS GROUP CMN (416515104)	03/03/2009	12/10/2015	30 00 [9]	1,349 52	0 00	141 57	1,207 95
HUNTINGTON INGALLS INDUSTRIES, INC (446413106)	01/01/1997	12/10/2015	1 00 [9]	127 27	0 00	0 90	126 37
HUNTINGTON INGALLS INDUSTRIES, INC (446413106)	01/01/1997	12/10/2015	22 00 [9]	2,799 98	0 00	19 79	2,780 19
INTEL CORPORATION CMN (458140100)	01/01/1997	12/10/2015	115 00 [9]	3,965 32	0 00	0 24	3,965 08
INTEL CORPORATION CMN (458140100)	01/01/1997	12/10/2015	495 00 [9]	17,068 12	0 00	1 04	17,067 08
INTERPUBLIC GROUP COS CMN (460690100)	01/01/1997	12/10/2015	96 00 [9]	2,216 11	0 00	26 30	2,189 81
LINCOLN ELECTRIC HOLDINGS INC CMN (533900106)	01/01/1997	12/10/2015	10 00 [9]	538 64	0 00	13 82	524 82
LINCOLN NATL CORP INC CMN (534187109)	03/03/2009	12/10/2015	21 00 [9]	1,093 55	0 00	143 33	950 22
LINCOLN NATL CORP INC CMN (534187109)	03/03/2009	12/10/2015	89 00 [9]	4,634 59	0 00	607 45	4,027 14

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
MICROSOFT CORPORATION CMN (594918104)	01/01/1997	12/10/2015	360 00 [9]	19,740 63	0 00	469 51	19,271 12
NORTHROP GRUMMAN CORP CMN (666807102)	01/01/1997	12/10/2015	50 00 [9]	9,236 32	0 00	69 87	9,166 45
OGE ENERGY CORP (HOLDING CO) CMN (670837103)	01/01/1997	12/10/2015	158 00 [9]	3,879 24	0 00	484 81	3,394 43
OGE ENERGY CORP (HOLDING CO) CMN (670837103)	01/01/1997	12/10/2015	302 00 [9]	7,414 75	0 00	926 67	6,488 08
OMNICOM GROUP CMN (681919106)	01/01/1997	12/10/2015	11 00 [9]	825 69	0 00	18 26	807 43
PACCAR INC CMN (693718108)	01/01/1997	12/10/2015	40 00 [9]	1,942 96	0 00	2 32	1,940 64
SALESFORCE COM, INC CMN (79466L302)	03/03/2009	12/10/2015	10 00 [9]	796 73	0 00	70 63	726 10
SOUTHWEST AIRLINES CO CMN (844741108)	01/01/1997	12/10/2015	190 00 [9]	8,432 74	0 00	91 69	8,341 05
THE HOME DEPOT, INC CMN (437076102)	01/01/1997	12/10/2015	120 00 [9]	15,670 61	0 00	77 68	15,592 93
WALT DISNEY COMPANY (THE) CMN (254687106)	01/01/1997	12/10/2015	250 00 [9]	27,471 98	0 00	250 64	27,221 34
Net NonCovered Long-Term Gains (Losses)				**311,068.49**	**0.00**	**12,642.00**	**298,426.49**

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REALIZED GAIN AND LOSS SUMMARY

SHORT-TERM GAINS (LOSSES)		LONG-TERM GAINS (LOSSES)		ORDINARY GAINS (LOSSES)	
Net Covered Short-Term Gains (Losses)	90 47	Net Covered Long-Term Gains (Losses)	0 00	Net Ordinary Gains (Losses)	0 00
Net NonCovered Short Term Gains (Losses)	1,003 50	Net NonCovered Long-Term Gains (Losses)	0 00		
Net 1099B Non Reportable Short-Term Gains (Losses)	0 00	Net 1099B Non Reportable Long-Term Gains (Losses)	0 00		
Net Miscellaneous Short-Term Gains (Losses)	0 00	Net Miscellaneous Long-Term Gains (Losses)	0 00	Net Miscellaneous Ordinary Gains (Losses)	0 00
Net Regulated Futures Contract Short-Term Gains (Losses)	0 00	Net Regulated Futures Contract Long-Term Gains (Losses)	0 00		
Total Short-Term Gains (Losses)	**1,093 97**	**Total Long-Term Gains (Losses)**	**0 00**	**Total Ordinary Gains (Losses)**	**0 00**

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
FISERV, INC 3 125% 06/15/2016 SR LIEN (337738AK4)	04/28/2015	06/18/2015	50,000 00	51,171 39	(141 08)	51,080 92	90 47
SANTANDER HOLDINGS USA INC 3 0% 09/24/2015 SR LIEN (80282KAB2)	04/13/2015	09/24/2015	50,000 00	50,000 00	(382 00)	50,000 00	0 00
Net Covered Short-Term Gains (Losses)				**101,171.39**	**(523.08)**	**101,080.92**	**90.47**
NonCovered Short-Term Gains (Losses)							
HEWLETT-PACKARD COMPANY FRN 01/14/2019 USD USLIB 3MO +94 00BP SR LIEN CPN 01/14/16-04/13/16 1 5636% (428236BZ5)	03/19/2015	10/16/2015	50,000 00	50,500 00	0 00	49,496 50	1,003 50
Net NonCovered Short-Term Gains (Losses)				**50,500.00**	**0.00**	**49,496.50**	**1,003.50**

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5 Sale Proceeds may have been adjusted by an option premium due to an option assignment

REALIZED GAINS AND LOSSES

Supplemental Information - Consult your Form 1099 for tax reporting purposes

REALIZED GAIN AND LOSS SUMMARY

SHORT-TERM GAINS (LOSSES)		LONG-TERM GAINS (LOSSES)		ORDINARY GAINS (LOSSES)	
Net Covered Short-Term Gains (Losses)	(52,141 71)	Net Covered Long-Term Gains (Losses)	17,683 34	Net Ordinary Gains (Losses)	0 00
Net NonCovered Short-Term Gains (Losses)	(704 86)	Net NonCovered Long-Term Gains (Losses)	8 667 69		
Net 1099B Non Reportable Short-Term Gains (Losses)	0 00	Net 1099B Non Reportable Long-Term Gains (Losses)	0 00		
Net Miscellaneous Short-Term Gains (Losses)	0 00	Net Miscellaneous Long-Term Gains (Losses)	0 00	Net Miscellaneous Ordinary Gains (Losses)	0 00
Net Regulated Futures Contract Short-Term Gains (Losses)	0 00	Net Regulated Futures Contract Long-Term Gains (Losses)	0 00		
Total Short-Term Gains (Losses)	**(52,846 57)**	**Total Long-Term Gains (Losses)**	**26,351.03**	**Total Ordinary Gains (Losses)**	**0.00**

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
COVIDIEN PUBLIC LIMITED COMPAN CMN (G2554F113)	09/09/2014	01/27/2015	35 00	3,771 00	0 00	3,172 00	599 00
MEDTRONIC PUBLIC LIMITED COMPA CMN (G5960L103)	01/27/2015	01/27/2015	0 18	35 18	0 00	0 00	35 18
AMGEN INC CMN (031162100)	12/30/2014	03/05/2015	1 00	161 09	0 00	162 26	(1 17)
ARTISAN PARTNERS ASSET MGMT IN CMN (04316A108)	09/09/2014	03/05/2015	52 00	2,438 77	0 00	2,828 85	(390 08)
BANK OF AMERICA CORP CMN (060505104)	04/22/2014	03/05/2015	45 00	709 82	0 00	729 26	(19 44)
CABOT OIL & GAS CORPORATION CMN (127097103)	12/30/2014	03/05/2015	38 00	1,064 16	0 00	1,124 45	(60 29)
CAMERON INTERNATIONAL CORP CMN (13342B105)	12/30/2014	03/05/2015	10 00	478 10	0 00	503 87	(25 77)
CHESAPEAKE ENERGY CORPORATION CMN (165167107)	12/30/2014	03/05/2015	7 00	106 09	0 00	137 83	(31 74)
CHEVRON CORPORATION CMN (166764100)	11/11/2014	03/05/2015	60 00	6,270 25	0 00	7,057 96	(787 71)
COPA HOLDINGS, S A CMN CLASS A (P31076105)	11/11/2014	03/05/2015	15 00	1,640 13	0 00	1,694 03	(53 90)
CUMMINS INC COMMON STOCK (231021106)	09/09/2014	03/05/2015	12 00	1,717 64	0 00	1,703 90	13 74
DIEBOLD INCORPORATED CMN (253651103)	12/30/2014	03/05/2015	2 00	70 52	0 00	70 50	0 02
DISCOVER FINANCIAL SERVICES CMN (254709108)	09/09/2014	03/05/2015	14 00	825 80	0 00	888 28	(62 48)
FACEBOOK, INC CMN CLASS A (30303M102)	09/09/2014	03/05/2015	12 00	976 59	0 00	929 42	47 17
FMC TECHNOLOGIES INC CMN (30249U101)	12/30/2014	03/05/2015	1 00	39 16	0 00	48 18	(9 02)
INTL BUSINESS MACHINES CORP CMN (459200101)	12/30/2014	03/05/2015	25 00	4,010 45	0 00	4,011 20	(0 75)

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SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
INVESCO LTD CMN (G491BT108)	11/11/2014	03/05/2015	36 00	1,454 95	0 00	1,481 53	(26 58)
INVESCO LTD CMN (G491BT108)	09/09/2014	03/05/2015	48 00	1,939 93	0 00	1,946 95	(7 02)
JOHNSON & JOHNSON CMN (478160104)	12/30/2014	03/05/2015	1 00	102 40	0 00	105 62	(3 22)
JOHNSON & JOHNSON CMN (478160104)	11/11/2014	03/05/2015	26 00	2,662 36	0 00	2,827 51	(165 15)
KEURIG GREEN MTN INC CMN (49271M100)	09/09/2014	03/05/2015	5 00	639 97	0 00	649 31	(9 34)
KLA-TENCOR CORPORATION CMN (482480100)	11/11/2014	03/05/2015	6 00	378 81	0 00	456 31	(77 50)
LAMAR ADVERTISING COMPANY CMN CLASS A (512816109)	09/09/2014	03/05/2015	110 00	6,497 05	0 00	5,773 17	723 88
LEXMARK INTERNATIONAL INC CMN CLASS A (529771107)	12/30/2014	03/05/2015	4 00	161 80	0 00	169 43	(7 63)
LORILLARD, INC CMN (544147101)	12/30/2014	03/05/2015	7 00	480 95	0 00	445 84	35 11
LYONDELLBASELL INDUSTRIES N V CMN CLASS A (N53745100)	11/11/2014	03/05/2015	53 00	4,613 52	0 00	4,627 92	(14 40)
MARATHON OIL CORPORATION CMN (565849106)	12/30/2014	03/05/2015	3 00	81 99	0 00	84 73	(2 74)
MATTEL, INC CMN (577081102)	12/30/2014	03/05/2015	54 00	1,411 22	0 00	1,661 80	(250 58)
MATTEL, INC CMN (577081102)	09/09/2014	03/05/2015	58 00	1,515 75	0 00	1,982 55	(466 80)
MATTEL, INC CMN (577081102)	11/11/2014	03/05/2015	119 00	3,109 90	0 00	3,684 44	(574 54)
MEDTRONIC PUBLIC LIMITED COMPA CMN (G5960L103)	01/27/2015	03/05/2015	84 00	6,584 81	0 00	6,463 80	121 01
MICROSOFT CORPORATION CMN (594918104)	11/11/2014	03/05/2015	100 00	4,286 45	0 00	4,879 35	(592 90)
MOODYS CORP CMN (615369105)	12/30/2014	03/05/2015	2 00	190 84	0 00	193 95	(3 11)
MORGAN STANLEY CMN (617446448)	09/09/2014	03/05/2015	4 00	140 10	0 00	137 01	3 09
MSC INDUSTRIAL DIRECT CO INC CL-A CLASS A (553530106)	11/11/2014	03/05/2015	60 00	4,362 55	0 00	5,012 59	(650 04)
NATIONAL OILWELL VARCO, INC COMMON STOCK CMN (637071101)	12/30/2014	03/05/2015	2 00	107 98	0 00	132 88	(24 90)
NORFOLK SOUTHERN CORPORATION CMN (655844108)	09/09/2014	03/05/2015	44 00	4,796 67	0 00	4,787 35	9 32
OASIS PETROLEM INC CMN (674215108)	12/30/2014	03/05/2015	32 00	442 13	0 00	516 51	(74 38)
OCCIDENTAL PETROLEUM CORP CMN (674599105)	11/11/2014	03/05/2015	38 00	2,941 14	0 00	3,211 20	(270 06)
PACWEST BANCORP CMN (695263103)	11/11/2014	03/05/2015	18 00	803 16	0 00	843 21	(40 05)
PANDORA MEDIA, INC CMN (698354107)	12/30/2014	03/05/2015	7 00	107 53	0 00	124 75	(17 22)

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SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
PEPSICO INC CMN (713448108)	11/11/2014	03/05/2015	36 00	3,505 79	0 00	3,487 23	18 56
PHILLIPS 66 CMN (718546104)	09/09/2014	03/05/2015	10 00	777 65	0 00	870 21	(92 56)
PRICELINE GROUP INC/THE CMN (741503403)	12/30/2014	03/05/2015	1 00	1,230 27	0 00	1,161 02	69 25
PRUDENTIAL FINANCIAL INC CMN (744320102)	11/11/2014	03/05/2015	13 00	1,043 88	0 00	1,125 54	(81 66)
QUALCOMM INC CMN (747525103)	11/11/2014	03/05/2015	3 00	212 80	0 00	207 99	4 81
RANGE RESOURCES CORPORATION CMN (75281A109)	12/30/2014	03/05/2015	4 00	196 60	0 00	222 70	(26 10)
SCHLUMBERGER LTD CMN (806857108)	12/30/2014	03/05/2015	28 00	2,351 25	0 00	2,421 64	(70 39)
SPECTRA ENERGY CORP CMN (847560109)	11/11/2014	03/05/2015	35 00	1,240 88	0 00	1,369 83	(128 95)
SPECTRA ENERGY CORP CMN (847560109)	09/09/2014	03/05/2015	103 00	3,651 72	0 00	4,274 23	(622 51)
SYMANTEC CORP CMN (871503108)	12/30/2014	03/05/2015	1 00	24 32	0 00	26 22	(1 90)
SYMANTEC CORP CMN (871503108)	11/11/2014	03/05/2015	21 00	510 65	0 00	527 13	(16 48)
TIME WARNER CABLE INC CMN (88732J207)	09/09/2014	03/05/2015	22 00	3,457 62	0 00	3,303 11	154 51
TRANSOCEAN LTD CMN (H8817H100)	11/11/2014	03/05/2015	193 00	3,147 06	0 00	5,554 87	(2,407 81)
WPX ENERGY, INC CMN (98212B103)	12/30/2014	03/05/2015	14 00	150 67	0 00	159 89	(9 22)
WYNN RESORTS, LIMITED CMN (983134107)	12/30/2014	03/05/2015	9 00	1,233 08	0 00	1,377 80	(144 72)
XILINX INCORPORATED CMN (983919101)	09/09/2014	03/05/2015	73 00	3,007 11	0 00	3,109 19	(102 08)
YELP INC CMN (985817105)	12/30/2014	03/05/2015	4 00	189 95	0 00	213 43	(23 48)
ACE LIMITED CMN (H0023R105)	09/09/2014	03/13/2015	8 00	894 84	0 00	846 01	48 83
ALEXION PHARMACEUTICALS INC CMN (015351109)	04/22/2014	03/13/2015	4 00	708 22	0 00	628 38	79 84
ALEXION PHARMACEUTICALS INC CMN (015351109)	09/09/2014	03/13/2015	8 00	1,416 43	0 00	1,307 13	109 30
AMAZON COM INC CMN (023135106)	12/30/2014	03/13/2015	4 00	1,482 40	0 00	1,246 17	236 23
AMERICAN ELECTRIC POWER INC CMN (025537101)	09/09/2014	03/13/2015	75 00	4,147 97	0 00	3,973 64	174 33
BEST BUY CO INC CMN SERIES (086516101)	03/05/2015	03/13/2015	24 00	973 00	0 00	957 32	15 68
CELGENE CORPORATION CMN (151020104)	11/11/2014	03/13/2015	6 00	705 98	0 00	651 70	54 28
CIMAREX ENERGY CO CMN (171798101)	12/30/2014	03/13/2015	5 00	534 13	0 00	543 16	(9 03)

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SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
COCA-COLA COMPANY (THE) CMN (191216100)	09/09/2014	03/13/2015	80 00	3,193 11	0 00	3,352 86	(159 75)
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	12/30/2014	03/13/2015	7 00	403 95	0 00	422 89	(18 94)
DIEBOLD INCORPORATED CMN (253651103)	12/30/2014	03/13/2015	7 00	233 32	0 00	246 75	(13 43)
EQT CORPORATION CMN (26884L109)	12/30/2014	03/13/2015	15 00	1,143 38	0 00	1,146 04	(2 66)
EXXON MOBIL CORPORATION CMN (30231G102)	03/05/2015	03/13/2015	45 00	3,777 30	0 00	3,915 44	(138 14)
EXXON MOBIL CORPORATION CMN (30231G102)	12/30/2014	03/13/2015	69 00	5,791 86	0 00	6,400 26	(608 40)
FEDERATED INVESTORS, INC CMN CLASS B (314211103)	03/05/2015	03/13/2015	11 00	373 30	0 00	371 53	1 77
FIRSTENERGY CORP CMN (337932107)	09/09/2014	03/13/2015	64 00	2,213 81	0 00	2,178 03	35 78
GAMESTOP CORP CMN CLASS A (36467W109)	03/05/2015	03/13/2015	27 00	1,099 07	0 00	1,080 61	18 46
GILEAD SCIENCES CMN (375558103)	12/30/2014	03/13/2015	13 00	1,295 13	0 00	1,272 22	22 91
GULFPORT ENERGY CORP (NEW) CMN (402635304)	03/05/2015	03/13/2015	7 00	315 60	0 00	322 65	(7 05)
INGERSOLL-RAND PLC CMN (G47791101)	09/09/2014	03/13/2015	9 00	605 21	0 00	541 27	63 94
INTERNATIONAL PAPER CO CMN (460146103)	12/30/2014	03/13/2015	8 00	438 13	0 00	434 59	3 54
INTERNATIONAL PAPER CO CMN (460146103)	11/11/2014	03/13/2015	18 00	985 79	0 00	967 88	17 91
LYONDELLBASELL INDUSTRIES N V CMN CLASS A (N53745100)	11/11/2014	03/13/2015	12 00	1,004 82	0 00	1,047 83	(43 01)
MC DONALDS CORP CMN (580135101)	11/11/2014	03/13/2015	57 00	5,492 27	0 00	5,418 51	73 76
MEDTRONIC PUBLIC LIMITED COMPA CMN (G5960L103)	01/27/2015	03/13/2015	33 00	2,523 61	0 00	2,539 35	(15 74)
PHILLIPS 66 CMN (718546104)	11/11/2014	03/13/2015	6 00	448 12	0 00	447 45	0 67
PRICE T ROWE GROUP INC CMN (74144T108)	09/09/2014	03/13/2015	73 00	6,001 76	0 00	5,874 85	126 91
PRICELINE GROUP INC/THE CMN (741503403)	12/30/2014	03/13/2015	2 00	2,317 08	0 00	2,322 05	(4 97)
PROCTER & GAMBLE COMPANY (THE) CMN (742718109)	09/09/2014	03/13/2015	151 00	12,353 94	0 00	12,538 27	(184 33)
QUEST DIAGNOSTICS INCORPORATED CMN (74834L100)	03/05/2015	03/13/2015	17 00	1,244 50	0 00	1,226 83	17 67
RAYTHEON CO CMN (755111507)	09/09/2014	03/13/2015	24 00	2,556 37	0 00	2,386 14	170 23
REGAL ENTERTAINMENT GROUP CMN CLASS A (758766109)	03/05/2015	03/13/2015	81 00	1,856 71	0 00	1,917 45	(60 74)
RICE ENERGY INC CMN (762760106)	12/30/2014	03/13/2015	3 00	55 57	0 00	67 07	(11 50)

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5 Sale Proceeds may have been adjusted by an option premium due to an option assignment

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
SALESFORCE COM, INC CMN (79466L302)	09/09/2014	03/13/2015	3 00	193 70	0 00	181 09	12 61
SCHLUMBERGER LTD CMN (806857108)	12/30/2014	03/13/2015	11 00	884 59	0 00	951 36	(66 77)
SPLUNK INC CMN (848637104)	09/09/2014	03/13/2015	9 00	566 06	0 00	529 57	36 49
ST JUDE MEDICAL INC CMN (790849103)	09/09/2014	03/13/2015	15 00	994 44	0 00	974 31	20 13
SYSCO CORPORATION CMN (871829107)	03/05/2015	03/13/2015	116 00	4,477 21	0 00	4,525 33	(48 12)
TARGA RESOURCES CORP CMN (87612G101)	03/05/2015	03/13/2015	10 00	891 15	0 00	993 73	(102 58)
THE HOME DEPOT, INC CMN (437076102)	11/11/2014	03/13/2015	13 00	1,492 99	0 00	1,282 06	210 93
TIME WARNER CABLE INC CMN (88732J207)	09/09/2014	03/13/2015	5 00	774 62	0 00	750 71	23 91
TIME WARNER INC CMN (887317303)	11/11/2014	03/13/2015	20 00	1,680 47	0 00	1,561 39	119 08
U S BANCORP CMN (902973304)	09/09/2014	03/13/2015	126 00	5,578 86	0 00	5,253 96	324 90
UNITED PARCEL SERVICE, INC CLASS B COMMON STOCK (911312106)	09/09/2014	03/13/2015	40 00	3,941 55	0 00	3,926 31	15 24
UNITEDHEALTH GROUP INCORPORATE CMN (91324P102)	11/11/2014	03/13/2015	10 00	1,151 58	0 00	953 64	197 94
UNITEDHEALTH GROUP INCORPORATE CMN (91324P102)	09/09/2014	03/13/2015	18 00	2,072 85	0 00	1,586 29	486 56
WESTERN UNION COMPANY (THE) CMN (959802109)	03/05/2015	03/13/2015	36 00	702 25	0 00	704 73	(2 48)
BAXTER INTERNATIONAL INC CMN (071813109)	11/11/2014	06/10/2015	2 00	130 23	0 00	141 51	(11 28)
BAXTER INTERNATIONAL INC CMN (071813109)	03/05/2015	06/10/2015	106 00	6,902 05	0 00	7,357 13	(455 08)
CA, INC CMN (12673P105)	12/30/2014	06/10/2015	12 00	360 19	0 00	371 98	(11 79)
CA, INC CMN (12673P105)	03/13/2015	06/10/2015	157 00	4,712 46	0 00	4,928 68	(216 22)
CENTERPOINT ENERGY, INC CMN (15189T107)	03/05/2015	06/10/2015	31 00	605 75	0 00	653 01	(47 26)
CENTERPOINT ENERGY, INC CMN (15189T107)	03/13/2015	06/10/2015	380 00	7,425 28	0 00	7,810 63	(385 35)
CENTURYLINK INC CMN (156700106)	03/13/2015	06/10/2015	131 00	4,226 07	0 00	4,508 29	(282 22)
CHENIERE ENERGY INC CMN (16411R208)	03/13/2015	06/10/2015	3 00	210 23	0 00	227 05	(16 82)
CHENIERE ENERGY INC CMN (16411R208)	03/05/2015	06/10/2015	4 00	280 30	0 00	315 63	(35 33)
CME GROUP INC CMN CLASS A (12572Q105)	03/13/2015	06/10/2015	24 00	2,330 05	0 00	2,323 84	6 21
COACH INC CMN (189754104)	12/30/2014	06/10/2015	9 00	319 18	0 00	339 00	(19 82)

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SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
CONSOLIDATED EDISON INC CMN (209115104)	09/09/2014	06/10/2015	29 00	1,673 48	0 00	1,656 18	17 30
DELTA AIR LINES, INC CMN (247361702)	09/09/2014	06/10/2015	13 00	537 10	0 00	504 20	32 90
EMERSON ELECTRIC CO CMN (291011104)	03/13/2015	06/10/2015	1 00	59 45	0 00	55 29	4 16
EOG RESOURCES INC CMN (26875P101)	12/30/2014	06/10/2015	40 00	3,592 25	0 00	3,725 42	(133 17)
FREEPORT-MCMORAN INC CMN (35671D857)	03/05/2015	06/10/2015	115 00	2,371 34	0 00	2,356 16	15 18
FRONTIER COMMUNICATIONS CORPORATION CMN (35906A108)	03/13/2015	06/10/2015	70 00	347 50	0 00	502 57	(155 07)
FRONTIER COMMUNICATIONS CORPORATION CMN (35906A108)	03/05/2015	06/10/2015	297 00	1,474 39	0 00	2,275 72	(801 33)
GREIF INC CMN CLASS A (397624107)	03/05/2015	06/10/2015	60 00	2,322 28	0 00	2,454 61	(132 33)
HESS CORPORATION CMN (42809H107)	03/13/2015	06/10/2015	41 00	2,704 21	0 00	2,830 33	(126 12)
HESS CORPORATION CMN (42809H107)	03/05/2015	06/10/2015	49 00	3,231 86	0 00	3,623 10	(391 24)
ILLINOIS TOOL WORKS CMN (452308109)	09/09/2014	06/10/2015	7 00	659 70	0 00	615 15	44 55
INTEL CORPORATION CMN (458140100)	03/05/2015	06/10/2015	55 00	1,757 65	0 00	1,867 92	(110 27)
INTEL CORPORATION CMN (458140100)	12/30/2014	06/10/2015	184 00	5,880 15	0 00	6,808 27	(928 12)
LAS VEGAS SANDS CORP CMN (517834107)	03/05/2015	06/10/2015	104 00	5,420 65	0 00	5,864 86	(444 21)
LINKEDIN CORP CMN CLASS A (53578A108)	09/09/2014	06/10/2015	2 00	436 03	0 00	459 28	(23 25)
LOCKHEED MARTIN CORPORATION CMN (539830109)	11/11/2014	06/10/2015	23 00	4,348 42	0 00	4,311 14	37 28
MARATHON PETROLEUM CORPORATION CMN (56585A102)	03/05/2015	06/10/2015	12 00	1,224 61	0 00	1,234 71	(10 10)
MC DONALDS CORP CMN (580135101)	12/30/2014	06/10/2015	24 00	2,280 92	0 00	2,277 66	3 26
MC DONALDS CORP CMN (580135101)	11/11/2014	06/10/2015	27 00	2,566 04	0 00	2,566 66	(0 62)
MERCURY GENERAL CORPORATION CMN (589400100)	03/05/2015	06/10/2015	121 00	6,715 00	0 00	6,646 50	68 50
NOBLE ENERGY INC CMN (655044105)	12/30/2014	06/10/2015	9 00	418 56	0 00	425 56	(7 00)
OLD REPUBLIC INTL CORP CMN (680223104)	03/13/2015	06/10/2015	31 00	471 67	0 00	464 50	7 17
PACKAGING CORP OF AMERICA COMMON STOCK (695156109)	09/09/2014	06/10/2015	30 00	2,025 55	0 00	2,092 49	(66 94)
PAYCHEX, INC CMN (704326107)	03/13/2015	06/10/2015	31 00	1,494 17	0 00	1,547 67	(53 50)
PIONEER NATURAL RESOURCES CO CMN (723787107)	03/05/2015	06/10/2015	3 00	450 65	0 00	485 81	(35 16)

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REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
PIONEER NATURAL RESOURCES CO CMN (723787107)	03/13/2015	06/10/2015	16 00	2,403 44	0 00	2,476 68	(73 24)
PPL CORPORATION CMN (69351T106)	03/05/2015	06/10/2015	66 00	2,001 79	0 00	2,056 79	(55 00)
QUALCOMM INC CMN (747525103)	03/13/2015	06/10/2015	23 00	1,545 49	0 00	1,578 78	(33 29)
R R DONNELLEY & SONS CO CMN (257867101)	03/13/2015	06/10/2015	285 00	5,551 28	0 00	5,546 36	4 92
RAYTHEON CO CMN (755111507)	09/09/2014	06/10/2015	21 00	2,126 54	0 00	2,087 88	38 66
RPC INC CMN (749660106)	03/13/2015	06/10/2015	1 00	15 08	0 00	11 92	3 16
SIX FLAGS ENTERTAINMENT CORPOR CMN (83001A102)	03/13/2015	06/10/2015	85 00	4,025 31	0 00	4,049 61	(24 30)
TESORO CORPORATION CMN (881609101)	03/13/2015	06/10/2015	2 00	167 34	0 00	172 57	(5 23)
THE SOUTHERN CO CMN (842587107)	03/13/2015	06/10/2015	126 00	5,357 21	0 00	5,536 43	(179 22)
THE WILLIAMS COMPANIES, INC CMN (969457100)	03/05/2015	06/10/2015	13 00	623 46	0 00	634 55	(11 09)
TUPPERWARE BRANDS CORPORATION CMN (899896104)	03/05/2015	06/10/2015	28 00	1,865 03	0 00	1,972 35	(107 32)
VALERO ENERGY CORPORATION CMN (91913Y100)	03/13/2015	06/10/2015	8 00	466 48	0 00	468 41	(1 93)
VALERO ENERGY CORPORATION CMN (91913Y100)	03/05/2015	06/10/2015	10 00	583 11	0 00	601 30	(18 19)
WADDELL & REED FIN , INC CLASS A COMMON (930059100)	03/05/2015	06/10/2015	66 00	3,266 26	0 00	3,287.12	(20 86)
KRAFT FOODS GROUP, INC CMN (50076Q106)	03/13/2015	07/06/2015	38 00	627 00	0 00	0 00	627 00
ANADARKO PETROLEUM CORP CMN (032511107)	03/05/2015	08/18/2015	3 00	229 76	0 00	247 84	(18 08)
ANADARKO PETROLEUM CORP CMN (032511107)	03/13/2015	08/18/2015	23 00	1,761 46	0 00	1,804 60	(43 14)
ANADARKO PETROLEUM CORP CMN (032511107)	12/30/2014	08/18/2015	29 00	2,220 97	0 00	2,408 08	(187 11)
ANTHEM, INC CMN (036752103)	03/05/2015	08/18/2015	12 00	1,843 22	0 00	1,763 66	79 56
ARTISAN PARTNERS ASSET MGMT IN CMN (04316A108)	11/11/2014	08/18/2015	62 00	2,867 79	0 00	3,007 39	(139 60)
BAKER HUGHES INC CMN (057224107)	03/13/2015	08/18/2015	19 00	1,082 87	0 00	1,118 37	(35 50)
BAKER HUGHES INC CMN (057224107)	03/05/2015	08/18/2015	35 00	1,994 75	0 00	2,170 82	(176 07)
BIOGEN INC CMN (09062X103)	09/09/2014	08/18/2015	1 00	313 29	0 00	329 41	(16 12)
CHEVRON CORPORATION CMN (166764100)	06/10/2015	08/18/2015	51 00	4,243 39	0 00	5,194 39	(951 00)
CONTINENTAL RESOURCES, INC CMN (212015101)	06/10/2015	08/18/2015	3 00	98 86	0 00	142 10	(43 24)

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REALIZED GAINS AND LOSSES (Continued)

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SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
CONTINENTAL RESOURCES, INC CMN (212015101)	12/30/2014	08/18/2015	5 00	164 77	0 00	188 01	(23 24)
CONTINENTAL RESOURCES, INC CMN (212015101)	03/13/2015	08/18/2015	24 00	790 89	0 00	991 26	(200 37)
COVANTA HOLDING CORP CMN (22282E102)	03/05/2015	08/18/2015	222 00	4,618 55	0 00	4,853 46	(234 91)
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	06/10/2015	08/18/2015	8 00	369 55	0 00	503 82	(134 27)
ENTERGY CORPORATION CMN (29364G103)	06/10/2015	08/18/2015	212 00	15,027 45	0 00	15,031 56	(4 11)
FORD MOTOR COMPANY CMN (345370860)	03/13/2015	08/18/2015	75 00	1,111 69	0 00	1,215 12	(103 43)
FORD MOTOR COMPANY CMN (345370860)	12/30/2014	08/18/2015	299 00	4,431 93	0 00	4,649 84	(217 91)
FORD MOTOR COMPANY CMN (345370860)	03/05/2015	08/18/2015	453 00	6,714 60	0 00	7,328 09	(613 49)
FORD MOTOR COMPANY CMN (345370860)	06/10/2015	08/18/2015	461 00	6,833 19	0 00	6,929 02	(95 83)
FREEPORT-MCMORAN INC CMN (35671D857)	03/05/2015	08/18/2015	63 00	625 73	0 00	1,290 76	(665 03)
FREEPORT-MCMORAN INC CMN (35671D857)	03/13/2015	08/18/2015	109 00	1,082 62	0 00	1,977 33	(894 71)
GOLAR LNG LIMITED CMN (G9456A100)	06/10/2015	08/18/2015	4 00	157 26	0 00	189 13	(31 87)
GREIF INC CMN CLASS A (397624107)	03/13/2015	08/18/2015	160 00	5,368 14	0 00	6,070 29	(702 15)
GULFPORT ENERGY CORP (NEW) CMN (402635304)	12/30/2014	08/18/2015	17 00	612 73	0 00	713 26	(100 53)
HALLIBURTON COMPANY CMN (406216101)	03/05/2015	08/18/2015	18 00	746 37	0 00	767 22	(20 85)
HELMERICH & PAYNE INC CMN (423452101)	03/05/2015	08/18/2015	5 00	292 49	0 00	327 26	(34 77)
HELMERICH & PAYNE INC CMN (423452101)	03/13/2015	08/18/2015	10 00	584 97	0 00	625 83	(40 86)
ILLINOIS TOOL WORKS CMN (452308109)	09/09/2014	08/18/2015	34 00	3,048 72	0 00	2,987 86	60 86
INTL BUSINESS MACHINES CORP CMN (459200101)	06/10/2015	08/18/2015	29 00	4,520 58	0 00	4,883 68	(363 10)
KINDER MORGAN INC CMN CLASS P (49456B101)	03/13/2015	08/18/2015	4 00	134 98	0 00	159 72	(24 74)
KLA-TENCOR CORPORATION CMN (482480100)	06/10/2015	08/18/2015	108 00	5,496 19	0 00	6,094 58	(598 39)
MATTEL, INC CMN (577081102)	06/10/2015	08/18/2015	656 00	15,085 29	0 00	17,329 22	(2,243 93)
NABORS INDUSTRIES LTD CMN (G6359F103)	03/13/2015	08/18/2015	26 00	279 44	0 00	311 43	(31 99)
NABORS INDUSTRIES LTD CMN (G6359F103)	03/05/2015	08/18/2015	31 00	333 18	0 00	393 94	(60 76)
NAVIENT CORPORATION CMN (63938C108)	09/09/2014	08/18/2015	204 00	2,981 70	0 00	3,574 02	(592 32)

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REALIZED GAINS AND LOSSES (Continued)

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SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
OASIS PETROLEM INC CMN (674215108)	06/10/2015	08/18/2015	12 00	125 00	0 00	207 67	(82 67)
PACWEST BANCORP CMN (695263103)	06/10/2015	08/18/2015	56 00	2,597 42	0 00	2,664 06	(66 64)
PACWEST BANCORP CMN (695263103)	11/11/2014	08/18/2015	58 00	2,690 19	0 00	2,717 01	(26 82)
PATTERSON-UTI ENERGY, INC ORD CMN (703481101)	12/30/2014	08/18/2015	1 00	16 48	0 00	16 67	(0 19)
PATTERSON-UTI ENERGY, INC ORD CMN (703481101)	03/13/2015	08/18/2015	22 00	362 67	0 00	375 21	(12 54)
PATTERSON-UTI ENERGY, INC ORD CMN (703481101)	03/05/2015	08/18/2015	49 00	807 76	0 00	893 76	(86 00)
PEOPLES UNITED FINANCIAL INC CMN (712704105)	06/10/2015	08/18/2015	130 00	2,156 34	0 00	2,092 07	64 27
PFIZER INC CMN (717081103)	03/05/2015	08/18/2015	41 00	1,453 88	0 00	1,422 84	31 04
PHILIP MORRIS INTL INC CMN (718172109)	06/10/2015	08/18/2015	11 00	923 03	0 00	897 10	25 93
PIONEER NATURAL RESOURCES CO CMN (723787107)	12/30/2014	08/18/2015	12 00	1,522 84	0 00	1,810 73	(287 89)
ROYAL GOLD, INC CMN (780287108)	06/10/2015	08/18/2015	2 00	109 03	0 00	128 07	(19 04)
ROYAL GOLD, INC CMN (780287108)	03/13/2015	08/18/2015	5 00	272 58	0 00	307 96	(35 38)
SEAGATE TECHNOLOGY PLC CMN (G7945M107)	03/05/2015	08/18/2015	8 00	418 14	0 00	460 05	(41 91)
SEAGATE TECHNOLOGY PLC CMN (G7945M107)	06/10/2015	08/18/2015	13 00	679 48	0 00	696 88	(17 40)
SEAGATE TECHNOLOGY PLC CMN (G7945M107)	03/13/2015	08/18/2015	116 00	6,063 09	0 00	6,237 27	(174 18)
SEAWORLD ENTERTAINMENT, INC CMN (81282V100)	03/13/2015	08/18/2015	13 00	245 08	0 00	246 97	(1 89)
SEAWORLD ENTERTAINMENT, INC CMN (81282V100)	03/05/2015	08/18/2015	76 00	1,432 79	0 00	1,471 80	(39 01)
SM ENERGY COMPANY CMN (78454L100)	06/10/2015	08/18/2015	24 00	890 46	0 00	1,144 73	(254 27)
TALEN ENERGY CORPORATION CMN (87422J105)	03/05/2015	08/18/2015	8 00	123 55	0 00	151 49	(27 94)
TARGA RESOURCES CORP CMN (87612G101)	06/10/2015	08/18/2015	14 00	1,049 94	0 00	1,259 76	(209 82)
WEATHERFORD INTERNATIONAL PLC CMN (G48833100)	12/30/2014	08/18/2015	36 00	349 33	0 00	416 18	(66 85)
WHITING PETROLEUM CORPORATION CMN (966387102)	12/30/2014	08/18/2015	25 00	470 98	0 00	842 14	(371 16)
WHITING PETROLEUM CORPORATION CMN (966387102)	06/10/2015	08/18/2015	70 00	1,318 74	0 00	2,376 21	(1,057 47)
ABBVIE INC CMN (00287Y109)	03/05/2015	10/22/2015	39 00	2,085 93	0 00	2,254 63	(168 70)
ABBVIE INC CMN (00287Y109)	03/13/2015	10/22/2015	91 00	4,867 18	0 00	5,276 32	(409 14)

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5 Sale Proceeds may have been adjusted by an option premium due to an option assignment

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
ALNYLAM PHARMACEUTICALS, INC CMN (02043Q107)	12/30/2014	10/22/2015	2 00	149 58	0 00	197 82	(48 24)
APACHE CORP CMN (037411105)	08/18/2015	10/22/2015	37 00	1,696 37	0 00	1,775 51	(79 14)
AT&T INC CMN (00206R102)	11/11/2014	10/22/2015	28 00	951 94	0 00	980 03	(28 09)
AT&T INC CMN (00206R102)	08/18/2015	10/22/2015	80 00	2,719 84	0 00	2,749 14	(29 30)
AVON PRODUCTS INC CMN (054303102)	08/18/2015	10/22/2015	63 00	233 15	0 00	355 00	(121 85)
BAXALTA INCORPORATED CMN (07177M103)	08/18/2015	10/22/2015	10 00	315 18	0 00	400 08	(84 90)
CA, INC CMN (12673P105)	11/11/2014	10/22/2015	10 00	281 76	0 00	299 16	(17 40)
CA, INC CMN (12673P105)	08/18/2015	10/22/2015	216 00	6,085 96	0 00	6,364 83	(278 87)
CATERPILLAR INC (DELAWARE) CMN (149123101)	03/13/2015	10/22/2015	26 00	1,875 19	0 00	2,060 74	(185 55)
CATERPILLAR INC (DELAWARE) CMN (149123101)	03/05/2015	10/22/2015	49 00	3,534 01	0 00	3,930 13	(396 12)
CATERPILLAR INC (DELAWARE) CMN (149123101)	08/18/2015	10/22/2015	98 00	7,068 02	0 00	7,708 70	(640 68)
CIMAREX ENERGY CO CMN (171798101)	08/18/2015	10/22/2015	7 00	819 17	0 00	814 41	4 76
CINEMARK HOLDINGS, INC CMN (17243V102)	11/11/2014	10/22/2015	24 00	812 18	0 00	848 19	(36 01)
CISCO SYSTEMS, INC CMN (17275R102)	03/13/2015	10/22/2015	160 00	4,661 11	0 00	4,469 41	191 70
COLUMBIA PIPELINE GROUP, INC CMN (198280109)	08/18/2015	10/22/2015	2 00	41 93	0 00	54 93	(13 00)
COPA HOLDINGS, S A CMN CLASS A (P31076105)	08/18/2015	10/22/2015	46 00	2,541 06	0 00	2,749 77	(208 71)
COVANTA HOLDING CORP CMN (22282E102)	03/13/2015	10/22/2015	393 00	6,956 71	0 00	8,336 39	(1,379 68)
CYPRESS SEMICONDUCTOR CORPORAT CMN (232806109)	08/18/2015	10/22/2015	203 00	1,984 28	0 00	2,035 91	(51 63)
DIAMONDBACK ENERGY INC CMN (25278X109)	08/18/2015	10/22/2015	9 00	653 37	0 00	656 05	(2 68)
DOMTAR CORPORATION CMN CLASS (257559203)	08/18/2015	10/22/2015	4 00	159 30	0 00	157 04	2 26
DOW CHEMICAL CO CMN (260543103)	03/05/2015	10/22/2015	3 00	149 39	0 00	147 82	1 57
DOW CHEMICAL CO CMN (260543103)	03/13/2015	10/22/2015	64 00	3,187 08	0 00	3,011 00	176 08
EOG RESOURCES INC CMN (26875P101)	03/05/2015	10/22/2015	16 00	1,370 72	0 00	1,434 35	(63 63)
EOG RESOURCES INC CMN (26875P101)	03/13/2015	10/22/2015	28 00	2,398 75	0 00	2,391 71	7 04
GARMIN LTD CMN (H2906T109)	08/18/2015	10/22/2015	46 00	1,609 95	0 00	1,852 46	(242 51)

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SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
GARMIN LTD CMN (H2906T109)	03/05/2015	10/22/2015	51 00	1,784 95	0 00	2,544 91	(759 96)
GARMIN LTD CMN (H2906T109)	06/10/2015	10/22/2015	123 00	4,304 87	0 00	5,711 41	(1,406 54)
HALLIBURTON COMPANY CMN (406216101)	03/13/2015	10/22/2015	65 00	2,574 37	0 00	2,612 30	(37 93)
HALLIBURTON COMPANY CMN (406216101)	12/30/2014	10/22/2015	75 00	2,970 42	0 00	2,986 83	(16 41)
IAC/INTERACTIVECORP CMN (44919P508)	03/05/2015	10/22/2015	16 00	1,099 76	0 00	1,097 54	2 22
IAC/INTERACTIVECORP CMN (44919P508)	03/13/2015	10/22/2015	76 00	5,223 86	0 00	5,168 20	55 66
JOHNSON & JOHNSON CMN (478160104)	08/18/2015	10/22/2015	12 00	1,183 29	0 00	1,191 22	(7 93)
LAREDO PETROLEUM INC CMN (516806106)	06/10/2015	10/22/2015	42 00	485 64	0 00	629 84	(144 20)
LAS VEGAS SANDS CORP CMN (517834107)	08/18/2015	10/22/2015	19 00	878 23	0 00	986 36	(108 13)
LAS VEGAS SANDS CORP CMN (517834107)	03/13/2015	10/22/2015	200 00	9,244 58	0 00	10,478 69	(1,234 11)
LEXMARK INTERNATIONAL INC CMN CLASS A (529771107)	08/18/2015	10/22/2015	50 00	1,593 85	0 00	1,613 10	(19 25)
MERCURY GENERAL CORPORATION CMN (589400100)	08/18/2015	10/22/2015	48 00	2,552 95	0 00	2,649 24	(96 29)
NOBLE ENERGY INC CMN (655044105)	03/13/2015	10/22/2015	18 00	653 79	0 00	792 77	(138 98)
OCCIDENTAL PETROLEUM CORP CMN (674599105)	06/10/2015	10/22/2015	15 00	1,106 01	0 00	1,178 61	(72 60)
OCCIDENTAL PETROLEUM CORP CMN (674599105)	08/18/2015	10/22/2015	51 00	3,760 43	0 00	3,746 51	13 92
OLIN CORPORATION CMN (680665205)	03/05/2015	10/22/2015	256 00	4,876 10	0 00	4,927 46	(51 36)
PACWEST BANCORP CMN (695263103)	12/30/2014	10/22/2015	2 00	87 91	0 00	92 75	(4 84)
PACWEST BANCORP CMN (695263103)	11/11/2014	10/22/2015	47 00	2,065 80	0 00	2,201 71	(135 91)
PFIZER INC CMN (717081103)	03/13/2015	10/22/2015	26 00	858 48	0 00	883 68	(25 20)
PFIZER INC CMN (717081103)	06/10/2015	10/22/2015	162 00	5,348 98	0 00	5,546 77	(197 79)
QUALCOMM INC CMN (747525103)	08/18/2015	10/22/2015	114 00	6,884 65	0 00	7,034 55	(149 90)
R R DONNELLEY & SONS CO CMN (257867101)	11/11/2014	10/22/2015	6 00	97 66	0 00	102 34	(4 68)
R R DONNELLEY & SONS CO CMN (257867101)	03/13/2015	10/22/2015	47 00	765 03	0 00	914 66	(149 63)
R R DONNELLEY & SONS CO CMN (257867101)	03/05/2015	10/22/2015	60 00	976 64	0 00	1,156 35	(179 71)
R R DONNELLEY & SONS CO CMN (257867101)	12/30/2014	10/22/2015	142 00	2,311 37	0 00	2,426 19	(114 82)

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SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
R R DONNELLEY & SONS CO CMN (257867101)	08/18/2015	10/22/2015	275 00	4,476 25	0 00	4,398 29	77 96
REGAL ENTERTAINMENT GROUP CMN CLASS A (758766109)	08/18/2015	10/22/2015	100 00	1,898 25	0 00	1,973 62	(75 37)
REGAL ENTERTAINMENT GROUP CMN CLASS A (758766109)	06/10/2015	10/22/2015	221 00	4,195 14	0 00	4,515 94	(320 80)
SCHLUMBERGER LTD CMN (806857108)	06/10/2015	10/22/2015	35 00	2,718 11	0 00	3,193 98	(475 87)
SCHLUMBERGER LTD CMN (806857108)	12/30/2014	10/22/2015	55 00	4,271 31	0 00	4,756 79	(485 48)
SEADRILL LTD CMN (G7945E105)	06/10/2015	10/22/2015	28 00	194 28	0 00	356 94	(162 66)
SEADRILL LTD CMN (G7945E105)	08/18/2015	10/22/2015	117 00	811 80	0 00	908 29	(96 49)
TERRAFORM POWER, INC CMN (88104R100)	08/18/2015	10/22/2015	82 00	1,591 01	0 00	2,083 91	(492 90)
TUPPERWARE BRANDS CORPORATION CMN (899896104)	03/13/2015	10/22/2015	42 00	2,557 68	0 00	2,792 27	(234 59)
VERIZON COMMUNICATIONS INC CMN (92343V104)	03/13/2015	10/22/2015	4 00	183 11	0 00	195 43	(12 32)
VERIZON COMMUNICATIONS INC CMN (92343V104)	03/05/2015	10/22/2015	21 00	961 32	0 00	1,029 72	(68 40)
VERIZON COMMUNICATIONS INC CMN (92343V104)	06/10/2015	10/22/2015	145 00	6,637 66	0 00	6,896 56	(258 90)
VERIZON COMMUNICATIONS INC CMN (92343V104)	08/18/2015	10/22/2015	249 00	11,398 46	0 00	11,807 19	(408 73)
WADDELL & REED FIN , INC CLASS A COMMON (930059100)	03/13/2015	10/22/2015	10 00	344 76	0 00	485 63	(140 87)
WADDELL & REED FIN , INC CLASS A COMMON (930059100)	03/05/2015	10/22/2015	27 00	930 86	0 00	1,344 73	(413 87)
WADDELL & REED FIN , INC CLASS A COMMON (930059100)	08/18/2015	10/22/2015	136 00	4,688 79	0 00	6,012 10	(1,323 31)
OLIN CORPORATION CMN (680665205)	12/16/2015	12/16/2015	0 00	9 33	0 00	0 00	9 33
ALLEGHENY TECHNOLOGIES INC CMN (01741R102)	10/22/2015	12/28/2015	87 00	984 86	0 00	1,236 46	(251 60)
ARTISAN PARTNERS ASSET MGMT IN CMN (04316A108)	06/10/2015	12/28/2015	102 00	3,670 35	0 00	4,665 57	(995 22)
BAKER HUGHES INC CMN (057224107)	12/30/2014	12/28/2015	29 00	1,332 05	0 00	1,641 55	(309 50)
BAKER HUGHES INC CMN (057224107)	10/22/2015	12/28/2015	55 00	2,526 30	0 00	3,078 06	(551 76)
CAMERON INTERNATIONAL CORP CMN (13342B105)	10/22/2015	12/28/2015	58 00	3,681 86	0 00	3,931.47	(249 61)
CENTERPOINT ENERGY, INC CMN (15189T107)	10/22/2015	12/28/2015	603 00	10,920 12	0 00	11,534 13	(614 01)
CENTURYLINK INC CMN (156700106)	10/22/2015	12/28/2015	28 00	717 60	0 00	780 23	(62 63)
CHENIERE ENERGY INC CMN (16411R208)	08/18/2015	12/28/2015	6 00	222 90	0 00	405 07	(182 17)

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SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
CHENIERE ENERGY INC CMN (16411R208)	10/22/2015	12/28/2015	9 00	334 36	0 00	416 31	(81 95)
CHEVRON CORPORATION CMN (166764100)	10/22/2015	12/28/2015	4 00	362 73	0 00	365 21	(2 48)
CIMAREX ENERGY CO CMN (171798101)	08/18/2015	12/28/2015	10 00	891 05	0 00	1,163 45	(272 40)
CME GROUP INC CMN CLASS A (12572Q105)	03/13/2015	12/28/2015	1 00	91 88	0 00	96 83	(4 95)
CME GROUP INC CMN CLASS A (12572Q105)	08/18/2015	12/28/2015	2 00	183 76	0 00	196 62	(12 86)
COACH INC CMN (189754104)	08/18/2015	12/28/2015	165 00	5,408 19	0 00	5,432 95	(24 76)
CONCHO RESOURCES INC CMN (20605P101)	12/30/2014	12/28/2015	3 00	274 08	0 00	297 50	(23 42)
CONCHO RESOURCES INC CMN (20605P101)	03/13/2015	12/28/2015	9 00	822 23	0 00	949 52	(127 29)
CONCHO RESOURCES INC CMN (20605P101)	08/18/2015	12/28/2015	10 00	913 59	0 00	1,096 85	(183 26)
CORE LABORATORIES N V CMN (N22717107)	10/22/2015	12/28/2015	3 00	328 90	0 00	332 86	(3 96)
EATON CORP PLC CMN (G29183103)	10/22/2015	12/28/2015	95 00	4,994 24	0 00	5,140 71	(146 47)
EOG RESOURCES INC CMN (26875P101)	08/18/2015	12/28/2015	53 00	3,757 30	0 00	4,238 73	(481 43)
EXXON MOBIL CORPORATION CMN (30231G102)	10/22/2015	12/28/2015	7 00	550 87	0 00	574 83	(23 96)
FORD MOTOR COMPANY CMN (345370860)	06/10/2015	12/28/2015	77 00	1,095 36	0 00	1,157 34	(61 98)
FORD MOTOR COMPANY CMN (345370860)	10/22/2015	12/28/2015	438 00	6,230 77	0 00	6,827 37	(596 60)
GILEAD SCIENCES CMN (375558103)	08/18/2015	12/28/2015	6 00	610 44	0 00	701 47	(91 03)
GILEAD SCIENCES CMN (375558103)	06/10/2015	12/28/2015	15 00	1,526 09	0 00	1,743 36	(217 27)
GILEAD SCIENCES CMN (375558103)	10/22/2015	12/28/2015	22 00	2,238 26	0 00	2,240 03	(1 77)
GOLAR LNG LIMITED CMN (G9456A100)	10/22/2015	12/28/2015	27 00	419 96	0 00	825 19	(405 23)
GULFPORT ENERGY CORP (NEW) CMN (402635304)	10/22/2015	12/28/2015	14 00	332 93	0 00	449 60	(116 67)
HUNTSMAN CORPORATION CMN (447011107)	10/22/2015	12/28/2015	119 00	1,332 49	0 00	1,373 64	(41 15)
IAC/INTERACTIVECORP CMN (44919P508)	03/13/2015	12/28/2015	26 00	1,571 57	0 00	1,768 07	(196 50)
INTERNATIONAL GAME TECHNOLOGY PLC CMN (G4863A108)	08/18/2015	12/28/2015	84 00	1,368 15	0 00	1,608 14	(239 99)
INTERNATIONAL PAPER CO CMN (460146103)	10/22/2015	12/28/2015	301 00	11,500 88	0 00	12,697 17	(1,196 29)
INTL BUSINESS MACHINES CORP CMN (459200101)	10/22/2015	12/28/2015	85 00	11,652 89	0 00	12,236 20	(583 31)

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SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
JOY GLOBAL INC CMN (481165108)	10/22/2015	12/28/2015	356 00	4,681 52	0 00	6,280 00	(1,598 48)
LAREDO PETROLEUM INC CMN (516806106)	12/30/2014	12/28/2015	3 00	24 34	0 00	31 12	(6 78)
LAREDO PETROLEUM INC CMN (516806106)	08/18/2015	12/28/2015	87 00	705 86	0 00	849 26	(143 40)
MACQUARIE INFRASTRUCTURE CORP CMN (55608B105)	10/22/2015	12/28/2015	35 00	2,435 56	0 00	2,742 87	(307 31)
MACQUARIE INFRASTRUCTURE CORP CMN (55608B105)	08/18/2015	12/28/2015	126 00	8,768 00	0 00	9,913 27	(1,145 27)
MERCK & CO , INC CMN (58933Y105)	03/05/2015	12/28/2015	11 00	580 01	0 00	637 94	(57 93)
NATIONAL OILWELL VARCO, INC COMMON STOCK CMN (637071101)	10/22/2015	12/28/2015	48 00	1,645 59	0 00	1,888 90	(243 31)
NAVIENT CORPORATION CMN (63938C108)	10/22/2015	12/28/2015	616 00	7,063 05	0 00	8,085 13	(1,022 08)
NEWFIELD EXPLORATION CO CMN (651290108)	12/30/2014	12/28/2015	10 00	321 96	0 00	270 65	51 31
NEWFIELD EXPLORATION CO CMN (651290108)	08/18/2015	12/28/2015	45 00	1,448 79	0 00	1,582 62	(133 83)
NOBLE ENERGY INC CMN (655044105)	08/18/2015	12/28/2015	35 00	1,163 22	0 00	1,229 21	(65 99)
NU SKIN ENTERPRISES INC CMN CLASS A (67018T105)	08/18/2015	12/28/2015	6 00	228 39	0 00	274 32	(45 93)
PPL CORPORATION CMN (69351T106)	08/18/2015	12/28/2015	186 00	6,367 32	0 00	6,217 78	149 54
SEAGATE TECHNOLOGY PLC CMN (G7945M107)	10/22/2015	12/28/2015	118 00	4,318 38	0 00	4,601 01	(282 63)
STAPLES, INC CMN (855030102)	10/22/2015	12/28/2015	84 00	808 88	0 00	1,067 43	(258 55)
SYMANTEC CORP CMN (871503108)	10/22/2015	12/28/2015	70 00	1,473 14	0 00	1,460 75	12 39
SYNCHRONY FINANCIAL CMN (87165B103)	06/10/2015	12/28/2015	219 00	6,614 37	0 00	5,758 72	855 65
THE WILLIAMS COMPANIES, INC CMN (969457100)	03/13/2015	12/28/2015	33 00	799 99	0 00	1,523 36	(723 37)
THE WILLIAMS COMPANIES, INC CMN (969457100)	10/22/2015	12/28/2015	52 00	1,260 59	0 00	2,100 52	(839 93)
THE WILLIAMS COMPANIES, INC CMN (969457100)	03/05/2015	12/28/2015	61 00	1,478 76	0 00	2,977 48	(1,498 72)
VIACOM INC CMN CLASS A (92553P102)	08/18/2015	12/28/2015	1 00	42 89	0 00	43 69	(0 80)
VIACOM INC CMN CLASS B (92553P201)	08/18/2015	12/28/2015	158 00	6,439 01	0 00	6,776 25	(337 24)
WAL MART STORES INC CMN (931142103)	10/22/2015	12/28/2015	68 00	4,138 58	0 00	4,007 23	131 35
WEATHERFORD INTERNATIONAL PLC CMN (G48833100)	10/22/2015	12/28/2015	62 00	534 78	0 00	632 26	(97 48)

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Realized and unrealized gains and loss values do not include securities for which cost basis is unavailable The recognition of any loss reflected herein may be disallowed or deferred by the application of capital loss limitations, wash sale rules or other special tax rules, and you are strongly urged to consult your own outside tax and other advisors about this and all tax-related matters addressed in any way herein All information regarding the cost basis and acquisition dates of securities that you acquired elsewhere and that were subsequently delivered into your Goldman Sachs account(s) were provided by you and/or a third party and Goldman Sachs makes no representation as to the accuracy and completeness of such information and it should not be relied upon as such

REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
WESTERN UNION COMPANY (THE) CMN (959802109)	10/22/2015	12/28/2015	29 00	528 87	0 00	566 87	(38 00)
Net Covered Short-Term Gains (Losses)				**748,275.13**	**0.00**	**800,416.84**	**(52,141.71)**
NonCovered Short-Term Gains (Losses)							
AMERICAN TOWER CORPORATION CMN (03027X100)	09/09/2014	03/05/2015	5 00	494 23	0 00	493 86	0 37
HEALTH CARE REIT INC (DEL) CMN (42217K106)	12/30/2014	03/05/2015	9 00	696 01	0 00	693 82	2 19
IRON MOUNTAIN INCORPORATED CMN (46284V101)	11/04/2014	03/05/2015	1 00	36 52	0 00	42 95	(6 43)
IRON MOUNTAIN INCORPORATED CMN (46284V101)	09/09/2014	03/05/2015	17 00	620 88	0 00	594 57	26 31
PROLOGIS INC CMN (74340W103)	09/09/2014	03/05/2015	21 00	898 46	0 00	867 78	30 68
AMERICAN REALTY CAPITAL PROPERTIES, INC CMN CLASS A (02917T104)	03/13/2015	06/10/2015	1 00	8 52	0 00	9 72	(1 20)
CROWN CASTLE INTL CORP CMN (22822V101)	03/13/2015	06/10/2015	16 00	1,311 90	0 00	1,358 56	(46 66)
HEALTH CARE REIT INC (DEL) CMN (42217K106)	03/13/2015	06/10/2015	63 00	4,294 00	0 00	4,724 33	(430 33)
PROLOGIS INC CMN (74340W103)	03/13/2015	06/10/2015	39 00	1,532 33	0 00	1,655 26	(122 93)
WEYERHAEUSER COMPANY CMN (962166104)	03/13/2015	06/10/2015	4 00	127 60	0 00	132 23	(4 63)
WEYERHAEUSER COMPANY CMN (962166104)	08/18/2015	10/22/2015	6 00	178 80	0 00	185 90	(7 10)
WELLTOWER INC CMN (95040Q104)	08/18/2015	12/28/2015	9 00	605 18	0 00	625 86	(20 68)
WELLTOWER INC CMN (95040Q104)	10/22/2015	12/28/2015	35 00	2,353 45	0 00	2,477 90	(124 45)
Net NonCovered Short-Term Gains (Losses)				**13,157.88**	**0.00**	**13,862.74**	**(704.86)**

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
MEDTRONIC, INC CMN (585055106)	08/28/2013	01/27/2015	84 00	6,463 80	0 00	4,341 14	2,122 66
AMERICAN INTL GROUP, INC CMN (026874784)	08/28/2013	03/05/2015	2 00	110 52	0 00	93 09	17 43

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds[5]	Total accretion (Amortization)	Cost Basis[4]	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
AMERICAN INTL GROUP, INC CMN (026874784)	04/24/2013	03/05/2015	28 00	1,547 36	0 00	1,165 04	382 32
BANK OF AMERICA CORP CMN (060505104)	02/19/2014	03/05/2015	17 00	268 16	0 00	277 66	(9 50)
BANK OF AMERICA CORP CMN (060505104)	08/28/2013	03/05/2015	335 00	5,284 22	0 00	4,742 40	541 82
MATTEL, INC CMN (577081102)	02/19/2014	03/05/2015	68 00	1,777 09	0 00	2,332 27	(555 18)
OCCIDENTAL PETROLEUM CORP CMN (674599105)	04/24/2013	03/05/2015	9 00	696 58	0 00	733 02	(36 44)
PHILIP MORRIS INTL INC CMN (718172109)	02/19/2014	03/05/2015	4 00	326 37	0 00	319 36	7 01
QUALCOMM INC CMN (747525103)	08/28/2013	03/05/2015	31 00	2,198 89	0 00	2,064 89	134 00
VIACOM INC CMN CLASS B (92553P201)	04/24/2013	03/05/2015	22 00	1,540 13	0 00	1,429 67	110 46
WINDSTREAM HOLDINGS INC CMN (97382A101)	12/19/2013	03/05/2015	686 00	5,310 56	0 00	5,101 09	209 47
WINDSTREAM HOLDINGS INC CMN (97382A101)	02/19/2014	03/05/2015	840 00	6,502 74	0 00	6,181 90	320 84
AMAZON COM INC CMN (023135106)	06/27/2013	03/13/2015	8 00	2,964 80	0 00	2,230 57	734 23
AMERICAN INTL GROUP, INC CMN (026874784)	04/24/2013	03/13/2015	52 00	2,876 21	0 00	2,163 64	712 57
BANK OF AMERICA CORP CMN (060505104)	08/28/2013	03/13/2015	15 00	241 27	0 00	212 35	28 92
CAPITAL ONE FINANCIAL CORP CMN (14040H105)	04/24/2013	03/13/2015	10 00	799 86	0 00	569 98	229 88
COCA-COLA COMPANY (THE) CMN (191216100)	02/19/2014	03/13/2015	134 00	5,348 46	0 00	4,993 61	354 85
COMCAST CORPORATION CMN CLASS A NON VOTING (20030N200)	08/28/2013	03/13/2015	28 00	1,641 81	0 00	1,137 40	504 41
COMCAST CORPORATION CMN CLASS A VOTING (20030N101)	08/28/2013	03/13/2015	32 00	1,888 52	0 00	1,344 48	544 04
CONOCOPHILLIPS CMN (20825C104)	04/24/2013	03/13/2015	147 00	9,062 13	0 00	8,555 06	507 07
CUMMINS INC COMMON STOCK (231021106)	04/24/2013	03/13/2015	3 00	417 76	0 00	330 07	87 69
CVS HEALTH CORP CMN (126650100)	08/28/2013	03/13/2015	2 00	206 82	0 00	115 05	91 77
EXXON MOBIL CORPORATION CMN (30231G102)	04/24/2013	03/13/2015	196 00	16,452 24	0 00	17,532 18	(1,079 94)
EXXON MOBIL CORPORATION CMN (30231G102) Disallowed Loss							110 20
MACY'S INC CMN (55616P104)	08/28/2013	03/13/2015	19 00	1,203 19	0 00	839 98	363 21
MONSANTO COMPANY CMN (61166W101)	08/28/2013	03/13/2015	7 00	818 75	0 00	680 56	138 19
MORGAN STANLEY CMN (617446448)	06/27/2013	03/13/2015	20 00	726 93	0 00	503 83	223 10

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
OCCIDENTAL PETROLEUM CORP CMN (674599105)	04/24/2013	03/13/2015	17 00	1,239 23	0 00	1,384 60	(145 37)
THE HOME DEPOT, INC CMN (437076102)	04/24/2013	03/13/2015	44 00	5,053 19	0 00	3,225 95	1,827 24
U S BANCORP CMN (902973304)	08/28/2013	03/13/2015	77 00	3,409 30	0 00	2,787 81	621 49
UNITED TECHNOLOGIES CORP CMN (913017109)	06/27/2013	03/13/2015	13 00	1,544 34	0 00	1,215 26	329 08
UNITEDHEALTH GROUP INCORPORATE CMN (91324P102)	06/27/2013	03/13/2015	39 00	4,491 17	0 00	2,564 68	1,926 49
WAL MART STORES INC CMN (931142103)	02/19/2014	03/13/2015	44 00	3,605 40	0 00	3,295 04	310 36
TALEN ENERGY CORPORATION CMN (87422J105)	02/19/2014	06/02/2015	0 73	16 73	0 00	0 00	16 73
BAXTER INTERNATIONAL INC CMN (071813109)	02/19/2014	06/10/2015	43 00	2,799 89	0 00	2,988 76	(188 87)
CENTURYLINK INC CMN (156700106)	04/22/2014	06/10/2015	1 00	32 26	0 00	34 44	(2 18)
COCA-COLA COMPANY (THE) CMN (191216100)	02/19/2014	06/10/2015	10 00	404 07	0 00	372 66	31 41
EXXON MOBIL CORPORATION CMN (30231G102)	04/24/2013	06/10/2015	20 00	1,705 69	0 00	1,850 39	(144 70)
GENERAL MOTORS COMPANY CMN (37045V100)	04/22/2014	06/10/2015	60 00	2,128 48	0 00	2,057 01	71 47
PPL CORPORATION CMN (69351T106)	02/19/2014	06/10/2015	262 00	7,946 52	0 00	7,748 19	198 33
QUALCOMM INC CMN (747525103)	08/28/2013	06/10/2015	4 00	268 78	0 00	266 44	2 34
WYNN RESORTS, LIMITED CMN (983134107)	06/27/2013	06/10/2015	20 00	2,050 18	0 00	2,571 78	(521 60)
KRAFT FOODS GROUP, INC CMN (50076Q106)	04/24/2013	07/06/2015	40 00	660 00	0 00	0 00	660 00
KRAFT FOODS GROUP, INC CMN (50076Q106)	12/19/2013	07/06/2015	219 00	3,613 50	0 00	0 00	3,613 50
CENTURYLINK INC CMN (156700106)	02/19/2014	08/18/2015	374 00	10,522 62	0 00	11,585 96	(1,063 34)
CHEMOURS COMPANY (THE) CMN (163851108)	04/24/2013	08/18/2015	14 00	146 39	0 00	193 14	(46 75)
E I DU PONT DE NEMOURS AND CO CMN (263534109)	04/24/2013	08/18/2015	72 00	3,893 71	0 00	3,605 11	288 60
KINDER MORGAN INC CMN CLASS P (49456B101)	04/22/2014	08/18/2015	3 00	101 24	0 00	100 31	0 93
PEPCO HOLDINGS INC CMN (713291102)	02/19/2014	08/18/2015	170 00	4,622 87	0 00	3,504 47	1,118 40
PHILIP MORRIS INTL INC CMN (718172109)	02/19/2014	08/18/2015	16 00	1,342 59	0 00	1,277 46	65 13
PROCTER & GAMBLE COMPANY (THE) CMN (742718109)	04/22/2014	08/18/2015	27 00	2,028 33	0 00	2,198 25	(169 92)
PROCTER & GAMBLE COMPANY (THE) CMN (742718109)	04/24/2013	08/18/2015	53 00	3,981 54	0 00	4,090 51	(108 97)

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
TALEN ENERGY CORPORATION CMN (87422J105)	02/19/2014	08/18/2015	32 00	494 20	0 00	570 67	(76 47)
UNITED TECHNOLOGIES CORP CMN (913017109)	06/27/2013	08/18/2015	20 00	1,992 08	0 00	1,869 63	122 45
WELLS FARGO & CO (NEW) CMN (949746101)	04/24/2013	08/18/2015	2 00	114 68	0 00	74 66	40 02
3M COMPANY CMN (88579Y101)	09/09/2014	10/22/2015	11 00	1,717 00	0 00	1,591.72	125 28
ABBOTT LABORATORIES CMN (002824100)	09/09/2014	10/22/2015	123 00	5,263 29	0 00	5,265 17	(1 88)
AIR PRODUCTS & CHEMICALS INC CMN (009158106)	09/09/2014	10/22/2015	35 00	4,807 34	0 00	4,568 77	238 57
AT&T INC CMN (00206R102)	09/09/2014	10/22/2015	183 00	6,221 64	0 00	6,354 12	(132 48)
BIOGEN INC CMN (09062X103)	04/22/2014	10/22/2015	2 00	553 27	0 00	609 00	(55 73)
CATERPILLAR INC (DELAWARE) CMN (149123101)	08/28/2013	10/22/2015	6 00	432 74	0 00	493 23	(60 49)
CATERPILLAR INC (DELAWARE) CMN (149123101)	06/27/2013	10/22/2015	95 00	6,851 65	0 00	7,872 98	(1,021 33)
COVANTA HOLDING CORP CMN (22282E102)	09/09/2014	10/22/2015	7 00	123 91	0 00	148 57	(24 66)
COVANTA HOLDING CORP CMN (22282E102)	09/09/2014	10/22/2015	94 00	1,644 85	0 00	1,995 02	(350 17)
DEERE & COMPANY CMN (244199105)	09/09/2014	10/22/2015	7 00	555 60	0 00	578 07	(22 47)
DOMTAR CORPORATION CMN CLASS (257559203)	09/09/2014	10/22/2015	29 00	1,154 95	0 00	1,079 19	75 76
JPMORGAN CHASE & CO CMN (46625H100)	09/09/2014	10/22/2015	133 00	8,430 58	0 00	7,858 58	572 00
KINDER MORGAN INC CMN CLASS P (49456B101)	04/22/2014	10/22/2015	94 00	2,790 59	0 00	3,142 92	(352 33)
LAMAR ADVERTISING COMPANY CMN CLASS A (512816109)	09/09/2014	10/22/2015	35 00	1,986 25	0 00	1,836 92	149 33
LAS VEGAS SANDS CORP CMN (517834107)	08/28/2013	10/22/2015	15 00	693 34	0 00	834 28	(140 94)
MASTERCARD INCORPORATED CMN CLASS A (57636Q104)	09/09/2014	10/22/2015	27 00	2,653 72	0 00	2,053 13	600 59
MAXIM INTEGRATED PRODUCTS INC CMN (57772K101)	09/09/2014	10/22/2015	36 00	1,424 55	0 00	1,121 09	303 46
OCCIDENTAL PETROLEUM CORP CMN (674599105)	04/24/2013	10/22/2015	3 00	221 20	0 00	244 34	(23 14)
P G & E CORPORATION CMN (69331C108)	09/09/2014	10/22/2015	116 00	6,308 63	0 00	5,463 25	845 38
PNC FINANCIAL SERVICES GROUP CMN (693475105)	09/09/2014	10/22/2015	63 00	5,656 82	0 00	5,348 52	308 30
QUALCOMM INC CMN (747525103)	08/28/2013	10/22/2015	50 00	3,019 59	0 00	3,330 48	(310 89)
R R DONNELLEY & SONS CO CMN (257867101)	04/24/2013	10/22/2015	80 00	1,302 18	0 00	876 14	426 04

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
R R DONNELLEY & SONS CO CMN (257867101)	06/27/2013	10/22/2015	96 00	1,562 62	0 00	1,360 51	202 11
SERVICENOW INC CMN (81762P102)	09/09/2014	10/22/2015	8 00	614 92	0 00	508 89	106 03
WADDELL & REED FIN , INC CLASS A COMMON (930059100)	06/27/2013	10/22/2015	81 00	2,792 59	0 00	3,542 25	(749 66)
WALT DISNEY COMPANY (THE) CMN (254687106)	09/09/2014	10/22/2015	24 00	2,695 81	0 00	2,162 92	532 89
SYNCHRONY FINANCIAL CMN (87165B103)	06/27/2013	11/23/2015	0 68	21 37	0 00	0 00	21 37
ANALOG DEVICES, INC CMN (032654105)	11/11/2014	12/28/2015	34 00	1,909 09	0 00	1,710 29	198 80
EATON CORP PLC CMN (G29183103)	04/24/2013	12/28/2015	45 00	2,365 69	0 00	2,427 51	(61 82)
IAC/INTERACTIVECORP CMN (44919P508)	11/11/2014	12/28/2015	8 00	483 56	0 00	525 26	(41 70)
INTERNATIONAL PAPER CO CMN (460146103)	06/27/2013	12/28/2015	7 00	267 46	0 00	306 76	(39 30)
ROYAL GOLD, INC CMN (780287108)	06/27/2013	12/28/2015	11 00	406 25	0 00	437 71	(31 46)
SEAGATE TECHNOLOGY PLC CMN (G7945M107)	04/24/2013	12/28/2015	44 00	1,610 24	0 00	1,603 63	6 61
TEGNA INC CMN (87901J105)	11/11/2014	12/28/2015	18 00	458 10	0 00	452 27	5 83
TIME WARNER CABLE INC CMN (88732J207)	11/11/2014	12/28/2015	15 00	2,748 95	0 00	2,022 25	726 70
VALIDUS HOLDINGS, LTD CMN (G9319H102)	09/09/2014	12/28/2015	11 00	512 67	0 00	424 81	87 86
Net Covered Long-Term Disallowed Losses							**110.20**
Net Covered Long-Term Gains (Losses)				**229,147.16**	**0.00**	**211,574.02**	**17,683.34**
NonCovered Long-Term Gains (Losses)							
PROLOGIS INC CMN (74340W103)	02/19/2014	03/05/2015	9 00	385 05	0 00	368 03	17 02
VENTAS, INC CMN (92276F100)	02/19/2014	03/05/2015	13 00	958 23	0 00	805 81	152 42
MEDTRONIC PUBLIC LIMITED COMPA CMN (G5960L103)		03/09/2015	0 00	35 18	0 00	No Cost	35 18 [8]
CONOCOPHILLIPS CMN (20825C104)	01/01/1997	03/13/2015	134 00 [9]	8,260 72	0 00	40 23	8,220 49
GOOGLE INC CMN CLASS C (38259P706)		05/04/2015	0 00	12 23	0 00	No Cost	12 23 [8]
CONOCOPHILLIPS CMN (20825C104)	01/01/1997	06/10/2015	1 00 [9]	63 82	0 00	0 30	63 52

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[5] *Sale Proceeds may have been adjusted by an option premium due to an option assignment*

[8] Due to incomplete cost basis, gains may be incorrectly stated and should not be used for tax reporting purposes Please consult with your tax advisor

[9] This position is a gifted security

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
CHEMOURS COMPANY (THE) CMN (163851108)		07/01/2015	0 00	3 95	0 00	No Cost	3 95 [8]
CHEMOURS COMPANY (THE) CMN (163851108)	01/01/1997	10/22/2015	32 00 [9]	205 67	0 00	8 74	196 93
WEYERHAEUSER COMPANY CMN (962166104)	04/22/2014	10/22/2015	13 00	387 39	0 00	368 67	18 72
EQUINIX, INC REIT (29444U700)		11/10/2015	0 00	16 06	0 00	No Cost	16 06 [8]
HOST HOTELS & RESORTS INC CMN (44107P104)	06/27/2013	12/28/2015	66 00	1,035 26	0 00	1,104 09	(68 83)
Net NonCovered Long-Term Gains (Losses)				**11,363.56**	**0.00**	**2,695.87**	**8,667.69**

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5 Sale Proceeds may have been adjusted by an option premium due to an option assignment

8 Due to incomplete cost basis, gains may be incorrectly stated and should not be used for tax reporting purposes Please consult with your tax advisor

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PUBLIC EQUITY (Continued)

US EQUITY	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
GSAM TAX ADVANTAGED ENHANCED DIVIDEND (S&P500)								
FORD MOTOR COMPANY CMN (F)	78 00	14 0900	1,099 02	15 0304	1,172 37	(73 35)	4 2583	46 80
GAMESTOP CORP CMN CLASS A (GME)	97 00	28 0400	2,719 88	30 5490	2,963 25	(243 37)	5 1355	139 68
GANNETT CO, INC CMN (GCI)	137 00	16 2900	2,231 73 21 92	13 7280	1,880 74	350 99	3 9288	87 68
GENERAL DYNAMICS CORP CMN (GD)	16 00	137 3600	2,197 76	78 3813	1,254 10	943 66	2 0093	44 16
GENERAL ELECTRIC CO CMN (GE)	987 00	31 1500	30,745 05 227 01	19 2190	18,969 11	11,775 94	2 9535	908 04
GENERAL MILLS INC CMN (GIS)	183 00	57 6600	10,551 78	49 9021	9,132 09	1,419 69	3 0524	322 08
GENERAL MOTORS COMPANY CMN (GM)	661 00	34 0100	22,480 61	31 4526	20,790 18	1,690 43	4 2340	951 84
GILEAD SCIENCES CMN (GILD)	102 00	101 1900	10,321 38	61 2784	6,250 40	4,070 98	1 6998	175 44
HALLIBURTON COMPANY CMN (HAL)	149 00	34 0400	5,071 96	34 9983	5,214 75	(142 79)	2 1152	107 28
HASBRO, INC CMN (HAS)	7 00	67 3600	471 52	53 9271	377 49	94 03	2 7316	12 88
HESS CORPORATION CMN (HES)	13 00	48 4800	630 24	53 9015	700 72	(70 48)	2 0627	13 00
HEWLETT PACKARD ENTERPRISE CO CMN (HPE)	82 00	15 2000	1,246 40 4 51	10 4016	852 93	393 47	1 4474	18 04
HP INC CMN (HPQ)	82 00	11 8400	970 88 10 17	9 4628	775 95	194 93		
HUNTSMAN CORPORATION CMN (HUN)	120 00	11 3700	1,364 40	11 5433	1,385 19	(20 79)	4 3975	60 00
IAC/INTERACTIVECORP CMN (IACI)	9 00	60 0500	540 45	62 0544	558 49	(18 04)	2 2648	12 24
INCYTE CORPORATION CMN (INCY)	11 00	108 4500	1,192 95	52 4418	576 86	616 09		
INTEL CORPORATION CMN (INTC)	451 00	34 4500	15,536 95	2 4377	1,099 41	14,437 54	2 7866	432 96
INTERCONTINENTAL EXCHANGE INC CMN (ICE)	5 00	256 2600	1,281 30	186 9520	934 76	346 54		
INTL BUSINESS MACHINES CORP CMN (IBM)	20 00	137 6200	2,752 40	134 4680	2,689 36	63 04	3 7785	104 00
INVESCO LTD CMN (IVZ)	238 00	33 4800	7,968 24	32 9433	7,840 51	127 73	3 2258	257 04
JOHNSON & JOHNSON CMN (JNJ)	146 00	102 7200	14,997 12	64 3484	9,394 87	5,602 25	2 9206	438 00
JOY GLOBAL INC CMN (JOY)	0 00	12 6100	0 00 3 56				0 3172	
JPMORGAN CHASE & CO CMN (JPM)	248 00	66 0300	16,375 44	41 4140	10,270 66	6,104 78	2 6655	436 48
KANSAS CITY SOUTHERN CMN (KSU)	75 00	74 6700	5,600 25 24 75	0 1985	14 89	5,585 36	1 7678	99 00

PUBLIC EQUITY (Continued)

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
US EQUITY								
GSAM TAX ADVANTAGED ENHANCED DIVIDEND (S&P500)								
KAR AUCTION SERVICES, INC CMN (KAR)	101 00	37 0300	3,740 03 27 27	30 7344	3,104 17	635 86	2 9166	109 08
KIMBERLY CLARK CORP CMN (KMB)	6 00	127 3000	763 80 5 28	116 0183	696 11	67 69	2.7651	21 12
KINDER MORGAN INC CMN CLASS P (KMI)	243 00	14 9200	3,625 56	19 2025	4,666 20	(1,040 64)	13 6729	495 72
KLA-TENCOR CORPORATION CMN (KLAC)	64 00	69 3500	4,438 40	64 9217	4,154 99	283 41	2 9993	133 12
L BRANDS, INC CMN (LB)	92 00	95 8200	8,815 44	50 3008	4,627 67	4,187 77	2 0872	184 00
LAMAR ADVERTISING COMPANY CMN CLASS A (LAMR)	41 00	59 9800	2,459 18	52 3024	2,144 40	314 78		
LAS VEGAS SANDS CORP CMN (LVS)	205 00	43 8400	8,987 20	46 2492	9,481 08	(493 88)	5 9307	533 00
LEGGETT & PLATT INC CMN (LEG)	91 00	42 0200	3,823 82 29 12	29 1101	2,649 02	1,174 80	3 0462	116 48
LEIDOS HLDGS INC CMN (LDOS)	37 00	56 2600	2,081 62	33 2046	1,228 57	853 05	2 2752	47 36
LEXMARK INTERNATIONAL INC CMN CLASS A (LXK)	57 00	32 4500	1,849 65	31 4618	1,793 32	56 33	4 4376	82 08
LOCKHEED MARTIN CORPORATION CMN (LMT)	51 00	217 1500	11,074 65	97 7067	4,983 04	6,091 61	3 0394	336 60
LOWES COMPANIES INC CMN (LOW)	116 00	76 0400	8,820 64	40 7816	4,730 66	4,089 98	1 4729	129 92
MACQUARIE INFRASTRUCTURE CORP CMN (MIC)	48 00	72 6000	3,484 80	79 4975	3,815 88	(331 08)	6 2259	216 96
MARATHON OIL CORPORATION CMN (MRO)	76 00	12 5900	956 84	13 0495	991 76	(34 92)	1 5886	15 20
MARATHON PETROLEUM CORPORATION CMN (MPC)	58 00	51 8400	3,006 72	46 8347	2,716 41	290 31	2 4691	74 24
MASTERCARD INCORPORATED CMN CLASS A (MA)	13 00	97 3600	1,265 68	64 5731	839 45	426 23	0 7806	9 88
MAXIM INTEGRATED PRODUCTS INC CMN (MXIM)	286 00	38 0000	10,868 00	29 6027	8,466 37	2,401 63	3 1579	343 20
MC DONALDS CORP CMN (MCD)	131 00	118 1400	15,476 34	109 4073	14,332 36	1,143 98	3 0134	466 36
MCGRAW-HILL COMPANIES INC CMN (MHFI)	8 00	98 5800	788 64	84 4163	675 33	113 31	1 3390	10 56
MERCK & CO , INC CMN (MRK)	585 00	52 8200	30,899 70 274 16	48 6310	28,449 14	2,450 56	3 4835	1,076 40
MERCURY GENERAL CORPORATION CMN (MCY)	110 00	46 5700	5,122 70	42 1725	4,638 97	483 73	5 3253	272 80
METLIFE, INC CMN (MET)	223 00	48 2100	10,750 83	38 5990	8,607 57	2,143 26	3 1114	334 50

PUBLIC EQUITY (Continued)

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
US EQUITY								
GSAM TAX ADVANTAGED ENHANCED DIVIDEND (S&P500)								
MICROCHIP TECHNOLOGY CMN (MCHP)	45 00	46 5400	2,094 30	42 9422	1,932 40	161 90	3 0812	64 53
MICROSOFT CORPORATION CMN (MSFT)	993 00	55 4800	55,091 64	38 2747	38,006 80	17,084 84	2 5955	1,429 92
MORGAN STANLEY CMN (MS)	80 00	31 8100	2,544 80	21 4484	1,715 87	828 93	1 8862	48 00
NASDAQ INC CMN (NDAQ)	32 00	58 1700	1,861 44	50 5725	1,618 32	243 12	1 7191	32 00
NAVIENT CORPORATION CMN (NAVI)	114 00	11 4500	1,305 30	13 1252	1,496 27	(190 97)	5 5895	72 96
NETFLIX COM INC CMN (NFLX)	39 00	114 3800	4,460 82	39 1100	1,525 29	2,935 53		
NEW YORK COMMUNITY BANCORP, IN CMN (NYCB)	1,031 00	16 3200	16,825 92	14 1883	14,628 13	2,197 79	6 1275	1,031 00
NEWMONT MINING CORPORATION CMN (NEM)	50 00	17 9900	899 50	17 8088	890 44	9 06	0 5559	5 00
NIKE CLASS-B CMN CLASS B (NKE)	68 00	62 5000	4,250 00 10 88	40 9109	2,781 94	1,468 06	1 0240	43 52
NORTHROP GRUMMAN CORP CMN (NOC)	68 00	188 8100	12,839 08	1 3974	95 02	12,744 06	1 6948	217 60
NRG ENERGY, INC CMN (NRG)	50 00	11 7700	588 50	11 4630	573 15	15 35	4 9278	29 00
NUCOR CORPORATION CMN (NUE)	15 00	40 3000	604 50 5 63	40 5173	607 76	(3 26)	3 7221	22 50
OLD REPUBLIC INTL CORP CMN (ORI)	793 00	18 6300	14,773 59	14 1432	11,215 58	3,558 01	3 9721	586 82
ONEOK INC CMN (OKE)	18 00	24 6600	443 88	23 7089	426 76	17 12	9 9757	44 28
ORACLE CORPORATION CMN (ORCL)	140 00	36 5300	5,114 20	32 3601	4,530 41	583 79	1 6425	84 00
PATTERSON-UTI ENERGY, INC ORD CMN (PTEN)	47 00	15 0800	708 76	14 9162	701 06	7 70	2 6525	18 80
PAYCHEX, INC CMN (PAYX)	330 00	52 8900	17,453 70	41 3500	13,645 51	3,808 19	3 1764	554 40
PEOPLES UNITED FINANCIAL INC CMN (PBCT)	1,082 00	16 1500	17,474 30	15 7889	17,083 62	390 68	4 1486	724 94
PEPSICO INC CMN (PEP)	75 00	99 9200	7,494 00 52 69	78 8857	5,916 43	1,577 57	2 8122	210 75
PFIZER INC CMN (PFE)	945 00	32 2800	30,504 60	26 4423	24,987 96	5,516 64	3 7175	1,134 00
PHILIP MORRIS INTL INC CMN (PM)	99 00	87 9100	8,703 09 100 98	78 5420	7,775 66	927 43	4 6411	403 92
PHILLIPS 66 CMN (PSX)	62 00	81 8000	5,071 60	75 8489	4,702 63	368 97	2 7384	138 88
PIONEER NATURAL RESOURCES CO CMN (PXD)	18 00	125 3800	2,256 84	128 7089	2,316 76	(59 92)	0 0638	1 44
PITNEY-BOWES INC CMN (PBI)	20 00	20 6500	413 00	20 4785	409 57	3 43	3 6320	15 00
PPL CORPORATION CMN (PPL)	131 00	34 1300	4,471 03 119 67	33 4289	4,379 19	91 84	4 4243	197 81

PUBLIC EQUITY (Continued)

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
US EQUITY								
GSAM TAX ADVANTAGED ENHANCED DIVIDEND (S&P500)								
PROCTER & GAMBLE COMPANY (THE) CMN (PG)	220 00	79 4100	17,470 20	78 1731	17,198 09	272 11	3 3391	583 35
PRUDENTIAL FINANCIAL INC CMN (PRU)	179 00	81 4100	14,572 39	80 0826	14,334 78	237 61	3 4394	501 20
QUALCOMM INC CMN (QCOM)	268 00	49 9850	13,395 98	52 3091	14,018 83	(622 85)	3 8412	514 56
RAYTHEON CO CMN (RTN)	41 00	124 5300	5,105 73	66 5515	2,728 61	2,377 12	2 1521	109 88
REGENERON PHARMACEUTICAL INC CMN (REGN)	5 00	542 8700	2,714 35	233 0020	1,165 01	1,549 34		
ROCKWELL AUTOMATION INC CMN (ROK)	9 00	102 6100	923 49	84 3111	758 80	164 69	2 8262	26 10
SCHLUMBERGER LTD CMN (SLB)	119 00	69 7500	8,300 25 26 50	76 0516	9,050 14	(749 89)	2 8674	238 00
SIX FLAGS ENTERTAINMENT CORPOR CMN (SIX)	153 00	54 9400	8,405 82	38 0901	5,827 78	2,578 04	4 2228	354 96
SM ENERGY COMPANY CMN (SM)	51 00	19 6600	1,002 66	19 0818	973 17	29 49	0 5086	5 10
ST JUDE MEDICAL INC CMN (STJ)	120 00	61 7700	7,412 40 34 80	0 0389	4 67	7,407 73	1 8779	139 20
STAPLES, INC CMN (SPLS)	0 00	9 4700	0 00 10 08				5 0686	
STARBUCKS CORP CMN (SBUX)	82 00	60 0300	4,922 46	29 9421	2,455 25	2,467 21	1 3327	65 60
SYMANTEC CORP CMN (SYMC)	37 00	21 0000	777 00	20 8678	772 11	4 89	2 8571	22 20
SYNCHRONY FINANCIAL CMN (SYF)	252 00	30 4100	7,663 32	23 1257	5,827 67	1,835 65		
TARGA RESOURCES CORP CMN (TRGP)	19 00	27 0600	514 14	25 6005	486 41	27 73	13 4516	69 16
TARGET CORPORATION CMN (TGT)	204 00	72 6100	14,812 44	16 0000	3,264 00	11,548 44	3 0850	456 96
TECO ENERGY INC CMN (TE)	747 00	26 6500	19,907 55	18 3381	13,698 58	6,208 97	3 3771	672 30
TEGNA INC CMN (TGNA)	0 00	25 5200	0 00 2 52					
TESORO CORPORATION CMN (TSO)	8 00	105 3700	842 96	75 9763	607 81	235 15	1 8981	16 00
TEXAS INSTRUMENTS INC CMN (TXN)	271 00	54 8100	14,853 51	38 5519	10,447 57	4,405 94	2 7732	411 92
THE BANK OF NY MELLON CORP CMN (BK)	51 00	41 2200	2,102 22	28 6339	1,460 33	641 89	1 6497	34 68

PUBLIC EQUITY (Continued)

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
US EQUITY								
GSAM TAX ADVANTAGED ENHANCED DIVIDEND (S&P500)								
THE KRAFT HEINZ CO CMN (KHC)	297 00	72 7600	21,609 72 170 78	53 9109	16,011 55	5,598 17	3 1611	683 10
THE MOSAIC COMPANY CMN (MOS)	44 00	27 5900	1,213 96	28 7782	1,266 24	(52 28)	8 0826	98 12
THE SOUTHERN CO CMN (SO)	394 00	46 7900	18,435 26	41.5961	16,388 86	2,046 40	4 6377	854 98
TIME WARNER CABLE INC CMN (TWC)	110 00	185 5900	20,414 90 82 50	0 1858	20 44	20,394 46	1 6165	330 00
TIME WARNER INC CMN (TWX)	61 00	64 6700	3,944 87	55 3743	3,377 83	567 04	2 1648	85 40
TUPPERWARE BRANDS CORPORATION CMN (TUP)	35 00	55 6500	1,947 75 23 80	55 3120	1,935 92	11 83	4 8877	95 20
U S BANCORP CMN (USB)	320 00	42 6700	13,654 40 81 60	1 1891	380 51	13,273 89	2 3904	326 40
UNION PACIFIC CORP CMN (UNP)	82 00	78 2000	6,412 40	77 3772	6,344 93	67 47	2 8133	180 40
UNITEDHEALTH GROUP INCORPORATE CMN (UNH)	167 00	117 6400	19,645 88	12 7219	2,124 56	17,521 32	1 7001	334 00
VALERO ENERGY CORPORATION CMN (VLO)	45 00	70 7100	3,181 95	56 2576	2,531 59	650 36	2 8285	90 00
VERIZON COMMUNICATIONS INC CMN (VZ)	101 00	46 2200	4,668 22	46 4498	4,691 43	(23 21)	4 8897	228 26
VERTEX PHARMACEUTICALS INC CMN (VRTX)	15 00	125 8300	1,887 45	93 5307	1,402 96	484 49		
VF CORP CMN (VFC)	37 00	62 2500	2,303 25	43 5430	1,611 09	692 16	2 3775	54 76
VIACOM INC CMN CLASS A (VIA)	8 00	43 9900	351 92 3 60	43 6938	349 55	2 37	3 6372	12 80
VIACOM INC CMN CLASS B (VIAB)	0 00	41 1600	0 00 63 20				3 8873	
VISA INC CMN CLASS A (V)	88 00	77 5500	6,824 40	43 8739	3,860 90	2,963 50	0 7221	49 28
WADDELL & REED FIN , INC CLASS A COMMON (WDR)	254 00	28 6600	7,279 64	29 3443	7,453 46	(173 82)	6 4201	467 36
WAL MART STORES INC CMN (WMT)	48 00	61 3000	2,942 40 56 84	58 9300	2,828 64	113 76	3 1974	94 08
WALGREENS BOOTS ALLIANCE, INC CMN (WBA)	97 00	85 1550	8,260 04	47 1732	4,575 80	3,684 23		
WALT DISNEY COMPANY (THE) CMN (DIS)	24 00	105 0800	2,521 92 17 04	89 8163	2,155 59	366 33	1 3514	34 08
WELLS FARGO & CO (NEW) CMN (WFC)	881 00	54 3600	47,891 16	20 8113	18,334 75	29,556 41	2 7594	1,321 50
WHITING PETROLEUM CORPORATION CMN (WLL)	140 00	9 4400	1,321 60	9 0663	1,269 28	52 32		

PUBLIC EQUITY (Continued)

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
US EQUITY								
GSAM TAX ADVANTAGED ENHANCED DIVIDEND (S&P500)								
WPX ENERGY, INC CMN (WPX)	74 00	5 7400	424 76	5 3655	397 05	27 71		
WYNN RESORTS, LIMITED CMN (WYNN)	18 00	69 1900	1,245 42	33 9822	611 68	633 74	2 8906	36 00
YAHOO INC CMN (YHOO)	56 00	33 2600	1,862 56	24 7659	1,386 89	475 67		
THE HOME DEPOT, INC CMN (HD)	256 00	132 2500	33,856 00	22 2211	5,688 61	28,167 39	1 7845	604 16
AMERICAN TOWER CORPORATION CMN (AMT)	75 00	96 9500	7,271 25 24 01	80 9564	6,071 73	1,199 52	1 4028	102 00
EQUITY RESIDENTIAL CMN (EQR)	55 00	81 5900	4,487 45 30 39	71 2040	3,916 22	571 23	2 7087	121 55
ESSEX PROPERTY TRUST INC CMN (ESS)	5 00	239 4100	1,197 05 7 20	234 6380	1,173 19	23 86	2 4059	28 80
EXTRA SPACE STORAGE INC CMN (EXR)	6 00	88 2100	529 26	66 3750	398 25	131 01	2 6754	14 16
FOUR CORNERS PROPERTY TRUST IN CMN (FCPT)	36 00	24 1600	869 76	15 5817	560 94	308 82		
GENERAL GROWTH PROPERTIES INC CMN (GGP)	209 00	27 2100	5,686 89 39 71	25 0296	5,231 19	455 70	2 2051	125 40
WEYERHAEUSER COMPANY CMN (WY)	50 00	29 9800	1,499 00	27 6456	1,382 28	116 72	4 1361	62 00
PUBLIC STORAGE CMN (PSA)	24 00	247 7000	5,944 80	151 0583	3,625 40	2,319 40	2 7453	163 20
SIMON PROPERTY GROUP INC CMN (SPG)	49 00	194 4400	9,527 56	150 2461	7,362 06	2,165 50	3 1115	296 45
ACCENTURE PLC CMN (ACN)	103 00	104 5000	10,763 50	81 1028	8,353 59	2,409 91	2 1053	226 60
ALLERGAN PLC CMN (AGN)	6 00	312 5000	1,875 00	243 2233	1,459 34	415 66		
AVAGO TECHNOLOGIES LTD CMN (AVGO)	25 00	145 1500	3,628 75	88 3868	2,209 67	1,419 08	1 2125	44 00
EATON CORP PLC CMN (ETN)	95 00	52 0400	4,943 80	54 6627	5,192 96	(249 16)	4 2275	209 00
GARMIN LTD CMN (GRMN)	122 00	37 1700	4,534 74	35 8201	4,370 05	164 69		
GOLAR LNG LIMITED CMN (GLNG)	0 00	15 7900	0 00 12 15				11 3996	
INTERNATIONAL GAME TECHNOLOGY PLC CMN (IGT)	249 00	16 1800	4,028 82	16 1585	4,023 46	5 36	4 9444	199 20
LYONDELLBASELL INDUSTRIES N V CMN CLASS A (LYB)	93 00	86 9000	8,081 70	75 9040	7,059 07	1,022 63	3 5903	290 16

PUBLIC EQUITY (Continued)

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
US EQUITY								
GSAM TAX ADVANTAGED ENHANCED DIVIDEND (S&P500)								
MEDTRONIC PUBLIC LIMITED COMPA CMN (MDT)	56 00	76 9200	4,307 52 17 02	73 3829	4,109 44	198 08		
ROYAL CARIBBEAN CRUISES LTD ISIN LR0008862868 (RCL)	11 00	101 2100	1,113 31 4 13	67 8718	746 59	366 72	1 4821	16 50
THOMSON REUTERS CORPORATION CMN (TRI)	24 00	37 8500	908 40	34 5746	829 79	78 61	3 5403	32 16
TOTAL GSAM TAX ADVANTAGED ENHANCED DIVIDEND (S&P500)			1,691,152 34 2,461 38		1,086,217 12	604,935 20	3 1728	49,359 44

	Market Value	Adjusted Cost / [6] Original Cost	Unrealized Gain (Loss)	Estimated Annual Income
TOTAL PORTFOLIO	**1,693,613.72**	**1,086,217.12**	**604,935.20**	**49,359.44**

[6] Original Cost is price paid by purchaser adjusted for annual original issue discount inclusions and/or return of capital adjustments, if applicable Adjusted Cost reflects adjustments to Original Cost for market discount accretion and/or premium amortization Adjusted cost for GMS Portfolios and Alternative Investments are determined by inception to date contributions minus inception to date distributions

FIXED INCOME

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Adjusted Cost / Original Cost	Unrealized Gain (Loss)	Yield to Maturity / Current Yield	Estimated Annual Income
INVESTMENT GRADE FIXED INCOME								
GS CORPORATE FIXED INCOME								
GOLDMAN SACHS BANK DEPOSIT (BDA)[14]	39,892 04	1 0000	39,892 04	1 0000	39,892 04		0 2555	101 94

	Quantity / Current Face	Market Price	Market Value / Accrued Income	Unit Cost	Adjusted Cost / Original Cost	Unrealized Gain (Loss)	Yield to Maturity in Percentage	Estimated Annual Income
AIRGAS, INC 2 95% 06/15/2016 SR LIEN Next Call Dt 05 15 16 S&P BBB /Moody's Baa2	50,000 00	100 5640	50,282 00 65 56	100 8187 101 99	50,409 35 50,993 00	(127 35) (711 00)	1 1454	1,475 00
MARRIOTT INTERNATIONAL, INC 6 2% 06/15/2016 SER H SR LIEN S&P BBB /Moody's Baa2	50,000 00	102 2040	51,102 00 137 78	102 3378 106 17	51,168 88 53,083 00	(66 88) (1,981 00)	1 0496	3,100 00
AT&T INC 1 6% 02/15/2017 USD SR LIEN S&P BBB+ /Moody's Baa1	50,000 00	100 2790	50,139 50 302 22	100 1779 100 29	50,088 94 50,147 00	50 56 (7 50)	1 4400	800 00
AMPHENOL CORPORATION 1 55% 09/15/2017 USD SR LIEN S&P BBB+ /Moody's Baa1	50,000 00	99 4900	49,745 00 228 19	100 3077 100 43	50,153 85 50,213 50	(408 85) (468 50)	1 3669	775 00
NYSE EURONEXT 2 0% 10/05/2017 USD SR LIEN S&P A /Moody's A2	50,000 00	100 1550	50,077 50 238 89	101 0249 101 46	50,512 46 50,732 00	(434 96) (654 50)	1 4090	1,000 00
ABBVIE INC 1 75% 11/06/2017 USD SER B SR LIEN S&P A /Moody's Baa1	50,000 00	99 8060	49,903 00 133 68	100 3028 100 43	50,151 40 50,212 50	(248 40) (309 50)	1 5831	875 00
VOYA FINANCIAL, INC STEP 02/15/2018 USD SR LIEN 2 9% 06/11/13-02/15/18 S&P BBB /Moody's Baa2	50,000 00	101 0810	50,540 50 547 78	103 2220	51,611 00	(1,070 50)		1,450 00
THE CHARLES SCHWAB CORPORATION 1 5% 03/10/2018 USD SR LIEN Next Call Dt 02 10 18 S&P A /Moody's A2	50,000 00	99 5110	49,755 50 231 25	100 5493 100 73	50,274 64 50,364 00	(519 14) (608 50)	1 2452	750 00
CITIGROUP INC FRN 04/27/2018 USD SER _ USLIB 3MO +69 00BP SR LIEN CPN 10/27/15-01/26/16 1 0129% S&P BBB+ /Moody's Baa1	50,000 00	99 5960	49,798 00 91 44	100 0000	50,000 00	(202 00)		506 45
HARRIS CORPORATION 1 999% 04/27/2018 USD SR LIEN S&P BBB- /Moody's Baa3	50,000 00	98 8770	49,438 50 177 69	99 7970	49,898 50	(460 00)	2 0731	999 50
NORTHROP GRUMMAN CORP 1 75% 06/01/2018 USD SR LIEN S&P BBB /Moody's Baa2	50,000 00	99 1080	49,554 00 72 92	100 3092 100 40	50,154 58 50,198 50	(600 58) (644 50)	1 6191	875 00
WELLPOINT, INC 2 3% 07/15/2018 USD SR LIEN S&P A /Moody's Baa2	50,000 00	99 8110	49,905 50 530 28	101 0844 101 39	50,542 18 50,697 00	(636 68) (791 50)	1 8610	1,150 00

[14] This represents a bank deposit of an *affiliated bank* reflected here for your convenience and is not cash held in your brokerage or custody account Please see the enclosed Bank Statement page(s) for specific information related to your bank deposit

FIXED INCOME (Continued)

	Quantity / Current Face	Market Price	Market Value / Accrued Income	Unit Cost	Adjusted Cost / Original Cost	Unrealized Gain (Loss)	Yield to Maturity in Percentage	Estimated Annual Income
INVESTMENT GRADE FIXED INCOME								
GS CORPORATE FIXED INCOME								
INGERSOLL-RAND GLBL HLDNG CO L 2 875% 01/15/2019 USD SER B SR LIEN S&P BBB /Moody's Baa2	50,000 00	101 0020	50,501 00 662 85	101 9592 102 07	50,979 59 51,037 00	(478 59) (536 00)	2 2051	1,437 50
MORGAN STANLEY FRN MTN 07/23/2019 USD USLIB 3MO +74 00BP SR LIEN CPN 10/23/15-01/24/16 1 0564% S&P BBB+ /Moody's A3	50,000 00	99 1220	49,561 00 101 24	99 7490	49,874 50	(313 50)		528 20
JPMORGAN CHASE & CO 2 25% 01/23/2020 USD SR LIEN Next Call Dt 12 23 19 S&P A- /Moody's A3	50,000 00	98 3780	49,189 00 493 75	99 2730	49,636 50	(447 50)	2 4100	1,125 00
WELLS FARGO & COMPANY FRN MTN 01/30/2020 USD SER N USLIB 3MO +68 00BP SR LIEN CPN 10/30/15-01/28/16 1 0019% S&P A /Moody's A2	50,000 00	99 0690	49,534 50 84 88	100 8080	50,404 00	(869 50)		500 95
ACTAVIS FUNDING SCS 3 0% 03/12/2020 USD SR LIEN Next Call Dt 02 12 20 S&P BBB- /Moody's Baa3	50,000 00	99 9210	49,960 50 454 17	100 6936 100 81	50,346 80 50,406 00	(386 30) (445 50)	2 8236	1,500 00
AGILENT TECHNOLOGIES, INC 5 0% 07/15/2020 USD SR LIEN S&P BBB+ /Moody's Baa2	50,000 00	107 4350	53,717 50 1,152 78	108 2005 108 53	54,100 23 54,262 50	(382 73) (545 00)	3 0520	2,500 00
OMNICOM GROUP INC 4 45% 08/15/2020 USD SR LIEN S&P BBB+ /Moody's Baa1	50,000 00	106 6450	53,322 50 840 56	108 9725 110 27	54,486 24 55,135 00	(1,163 74) (1,812 50)	2 3891	2,225 00
TOTAL GS CORPORATE FIXED INCOME			995,919 04 6,547 91		1,004,685 68 1,008,797 54	(8,766 64) (12,878 50)	1 8515	23,674 54

	Market Value	Adjusted Cost /[6] Original Cost	Unrealized Gain (Loss)	Estimated Annual Income
TOTAL PORTFOLIO	**1,002,466.95**	**1,004,685.68** **1,008,797.54**	**(8,766.64)** **(12,878.50)**	**23,674.54**

[6] Original Cost is price paid by purchaser adjusted for annual original issue discount inclusions and/or return of capital adjustments, if applicable Adjusted Cost reflects adjustments to Original Cost for market discount accretion and/or premium amortization Adjusted cost for GMS Portfolios and Alternative Investments are determined by inception to date contributions minus inception to date distributions

Portfolio Detail

Account: 41-01-100-2515450 PERRIN FAMILY FOUNDATION - COMB
Sub-Account: 41-01-100-2515443 IM PERRIN FAMILY FDN - SEI-THY

Dec. 01, 2015 through Dec. 31, 2015

Units	Description	CUSIP	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Investment Grade Taxable								
5,000.000	***2017***							
	EL PASO CORP	28336LBQ1	$5,150.65	$15.55	$5,812.50	-$661.85	$350.00	6.79%
	SR NT		103.013		116.250			4 78
	DTD 06/18/07 7.000% DUE 06/15/17							
	Moody's: BAA3 S&P: BBB-							
9,000.000	***2020***							
	AUTONATION INC	05329WAK8	9,745.83	206.25	10,035.00	-289.17	495.00	5.07
	UNSECD SR NT		108.287		111.500			3.27
	DTD 02/01/12 5.500% DUE 02/01/20							
	Moody's: BAA3 S&P: BBB-							
5,000.000	***2022***							
	CONTINENTAL RES INC	212015AH4	3,687.50	73.61	4,497.50	-810.00	250.00	6.78
	UNSECD SR NT CALL-3/15/17 @102.5		73.750		89.950			10.62
	DTD 03/08/12 5.000% DUE 09/15/22							
	Moody's: BAA3 S&P: BBB-							

Portfolio Detail

Account: 41-01-100-2515450 PERRIN FAMILY FOUNDATION - COMB
Sub-Account: 41-01-100-2515443 IM PERRIN FAMILY FDN - SEI-THY

Dec. 01, 2015 through Dec. 31, 2015

Units	Description	CUSIP	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Fixed Income (cont)								
Investment Grade Taxable (cont)								
9,000.000	***2023***							
	MARKWEST ENERGY PARTNERS LP / MARKWEST ENERGY FIN CORP UNSECD DTD 08/10/12 5.500% DUE 02/15/23 SR NT CALL 08/15/17 @102.75 Moody's: BAA3 S&P: BBB-	570506AQ8	7,875 00 87.500	187.00	9,297.50 103.306	-1,422.50	495.00	6.28 7.52
5,000 000	ACCESS MIDSTREAM PARTNERS LP / ACMP FIN CORP UNSECD SR NT DTD 12/19/12 4.875% DUE 05/15/23 CALL 12/15/17 @102.438 Moody's: BAA2 S&P: BBB-	00434NAA3	4,053.35 81.067	31 15	4,981.89 99.638	-928.54	243.75	6.01 8.34
	Total Investment Grade Taxable		**$30,512.33**	**$513.56**	**$34,624.39**	**-$4,112.06**	**$1,833.75**	**6.01%**
Global High Yield Taxable								
4,000.000	***2017***							
	CENTENE CORP DEL UNSECD SR NT DTD 05/27/11 5.750% DUE 06/01/17 Moody's. BA2 S&P: BB	15135BAC5	$4,120.00 103.000	$19.16	$4,240.00 106.000	-$120.00	$230.00	5.58% 3.74
2,000.000	TOYS R US PPTY CO II LLC SR SECD NT CALL 12/1/13 @104.25 DTD 06/01/10 8.500% DUE 12/01/17 Moody's: BA3 S&P: B	89236MAB6	1,720.00 86.000	14.16	2,042.50 102.125	-322.50	170.00	9.88 17.46
2,000.000	***2018***							
	CNH CAP LLC SR USECD NT DTD 04/08/13 3.625% DUE 04/15/18 Moody's BA1 S&P: BB	12623EAF8	1,969.00 98 450	15.31	1,975.00 98 750	-6.00	72.50	3.68 4 91
9,000.000	GENERAL MTRS FINL CO INC UNSECD SR NT DTD 11/15/13 3.250% DUE 05/15/18 Moody's: BA1 S&P: BBB-	37045XAJ5	9,045.09 100 501	37.37	9,134.38 101.493	-89.29	292.50	3 23 2.93

Account: 41-01-100-2515450 PERRIN FAMILY FOUNDATION - COMB
Sub-Account: 41-01-100-2515443 IM PERRIN FAMILY FDN - SEI-THY

Portfolio Detail

Dec. 01, 2015 through Dec. 31, 2015

Units	Description	CUSIP	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Fixed Income (cont)								
Global High Yield Taxable (cont)								
5,000.000	CSC HLDGS INC SR DEBENTURE DTD 07/21/98 7.625% DUE 07/15/18 Moody's: BA2 S&P: BB	126304AK0	5,262.50 105.250	175.80	5,945.00 118.900	-682.50	381.25	7.24 5.48
5,000.000	KINETIC CONCEPTS INC / KCI USA INC 2ND LIEN SR SECD NT C11/1/15 DT 11/01/12 10.500% DUE 11/01/18 @105.250 Moody's: CAA1 S&P: B-	49461BAB0	4,875.00 97.500	87.50	5,349.62 106.992	-474.62	525.00	10.76 11.84
4,000.000	*2019* SLM CORP SR UNSECD MTN DTD 09/20/13 5.500% DUE 01/15/19 Moody's: BA3 S&P: BB-	78442FER5	3,740.00 93.500	50.72	3,785.00 94.625	-45.00	220.00	5.88 8.05
5,000.000	HCA INC 1ST LIEN SR SECD NT DTD 03/17/14 3.750% DUE 03/15/19 Moody's: BA1 S&P: BBB-	404119BM0	5,037.50 100.750	55.20	5,068.75 101.375	-31.25	187.50	3.72 3.33
5,000.000	WHITING PETE CORP SR UNSECD NT CALL 12/15/18 @100 DTD 09/12/13 5.000% DUE 03/15/19 Moody's: BA3 S&P: BB-	966387AG7	3,775.00 75.500	73.61	5,002.50 100.050	-1,227.50	250.00	6.62 14.78
10,000.000	INTELSAT JACKSON HLDGS S A CO GTD SR NT C4/1/15 @103.63 LU DTD 10/01/11 7.250% DUE 04/01/19 Moody's: B3 S&P: B+	45824TAE5	9,175.00 91.750	181.25	10,735.00 107.350	-1,560.00	725.00	7.90 10.69
5,000.000	HERTZ CORP CO GTD SR NT C4/15/15 @103.38 DTD 04/15/11 6.750% DUE 04/15/19 Moody's: B2 S&P: B	428040CJ6	5,107.50 102.150	71.25	5,512.50 110.250	-405.00	337.50	6.60 6.01

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Portfolio Detail

Account: 41-01-100-2515450 PERRIN FAMILY FOUNDATION - COMB
Sub-Account: 41-01-100-2515443 IM PERRIN FAMILY FDN - SEI-THY

Dec. 01, 2015 through Dec. 31, 2015

Units	Description	CUSIP	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Fixed Income (cont)								
Global High Yield Taxable (cont)								
2,000.000	NATIONSTAR MTG LLC/NATIONSTAR CAP CORP UNSECD SR NT C1/11/16 @ DTD 05/01/13 9.625% DUE 05/01/19 107.22 Moody's: B2 S&P: B+	63860UAE0	2,065 00 103.250	32.08	2,075.00 103.750	-10.00	192.50	9.32 8.47
3,000 000	LENNAR CORP UNSECD SR NT CALL 4/16/19 @100 DTD 02/12/14 4.500% DUE 06/15/19 Moody's: BA2 S&P: BB	526057BT0	3,050 64 101 688	6.00	3,102.50 103.417	-51.86	135.00	4.42 4.02
1,000 000	DISH DBS CORP SR NT CO GTD DTD 08/17/09 7.875% DUE 09/01/19 Moody's: BA3 S&P: BB-	25470XAB1	1,087.50 108.750	26.25	1,075.00 107.500	12.50	78 75	7.24 5.43
5,000 000	*2020* D R HORTON INC SR UNSECD NT DTD 02/09/15 4.000% DUE 02/15/20 Moody's: BA1 S&P: BB+	23331ABK4	5,028.50 100.570	75.55	5,062.50 101.250	-34.00	200.00	3 97 3.86
6,000.000	ALLY FINL INC CO GTD SR NT DTD 09/15/10 8.000% DUE 03/15/20 Moody's: BA3 S&P: BB+	02005NAE0	6,840.00 114.000	141.33	7,367.50 122.792	-527.50	480.00	7.01 4.31
5,000.000	T-MOBILE USA INC UNSECD SR NT CALL 04/28/16 @103 271 DTD 07/28/13 6 542% DUE 04/28/20 Moody's: BA3 S&P: BB	87264AAF2	5,212.50 104.250	139.02	5,218.75 104.375	-6.25	327.10	6.27 5.55
4,000.000	AES CORP SR UNSECD NT DTD 12/01/08 8.000% DUE 06/01/20 Moody's: BA3 S&P: BB-	00130HBN4	4,400.00 110.000	26.66	4,666.25 116 656	-266.25	320 00	7.27 5.53
5,000.000	LEVEL 3 FING INC UNSECD GTD SR NT CALL 06/01/16 @103 500 DTD 12/01/12 7.000% DUE 06/01/20 Moody's: B1 S&P: B	527298AW3	5,225.00 104.500	29.17	5,457 50 109.150	-232.50	350.00	6.69 5.82

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Trade Date

Portfolio Detail

Account: 41-01-100-2515450 PERRIN FAMILY FOUNDATION - COMB
Sub-Account: 41-01-100-2515443 IM PERRIN FAMILY FDN - SEI-THY

Dec. 01, 2015 through Dec. 31, 2015

Units	Description	CUSIP	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Fixed Income (cont)								
Global High Yield Taxable (cont)								
5,000.000	TENET HEALTHCARE CORP SR SECD NT DTD 06/01/13 4.750% DUE 06/01/20 Moody's: BA2 S&P: BB-	88033GBU3	5,025.00 100.500	19.79	4,959.40 99.188	65.60	237.50	4.72 4.75
5,000.000	CHS / CMNTY HEALTH SYS INC SR UNSECD NT C07/15/16 @103.563 DTD 07/18/12 7.125% DUE 07/15/20 Moody's: B3 S&P: B-	12543DAQ3	4,981.25 99.625	164.27	5,613.75 112.275	-632.50	356.25	7.15 7.65
5,000.000	ICAHN ENTERPRISES L P / ICAHN ENTERPRISES FIN CORP UNSECD SR DTD 08/01/13 6.000% DUE 08/01/20 NT CALL 02/01/17 @104.50 Moody's: BA3 S&P: BBB-	451102AX5	5,042.00 100.840	125.00	5,330.00 106.600	-288.00	300.00	5.95 5.93
5,000.000	MGM RESORTS INTL SR UNSECD NT DTD 04/01/13 6.750% DUE 10/01/20 Moody's: B3 S&P: B+	552953BY6	5,137.50 102.750	84.37	5,506.25 110.125	-368.75	337.50	6.56 6.19
8,000.000	ENERGY TRANSFER EQUITY L P SR UNSECD NT DTD 09/20/10 7.500% DUE 10/15/20 Moody's: BA2 S&P: BB	29273VAC4	7,400.00 92.500	126.67	9,428.00 117.850	-2,028.00	600.00	8.10 9.62
3,000.000	GENON ESCROW CORP UNSECD SR NT CALL 10/15/15 @104.94 DTD 10/04/10 9.875% DUE 10/15/20 Moody's: B3 S&P: B-	37244DAF6	2,220.00 74.000	62.54	3,412.50 113.750	-1,192.50	296.25	13.34 18.53
5,000.000	TRANSDIGM INC SR SUB NT CALL 10/15/15 @104.125 DTD 04/15/13 5.500% DUE 10/15/20 Moody's: CAA1 S&P: CCC+	893647AR8	4,837.50 96.750	58.05	4,987.50 99.750	-150.00	275.00	5.68 6.23
4,000.000	GLP CAP L P / GLP FING II INC UNSECD SR NT CALL 08/01/20 @100 DTD 05/01/14 4.875% DUE 11/01/20 Moody's: BA1 S&P: BBB-	361841AD1	3,920.00 98.000	32.50	4,115.00 102.875	-195.00	195.00	4.97 5.46

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Portfolio Detail

Account: 41-01-100-2515450 PERRIN FAMILY FOUNDATION - COMB
Sub-Account: 41-01-100-2515443 IM PERRIN FAMILY FDN - SEI-THY

Dec. 01, 2015 through Dec. 31, 2015

Units	Description	CUSIP	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Fixed Income (cont)								
Global High Yield Taxable (cont)								
8,000.000	INTERNATIONAL LEASE FIN CORP UNSECD SR NT DTD 12/07/10 8.250% DUE 12/15/20 Moody's: BA2 S&P: BB+	459745GF6	9,460.00 118.250	29.33	10,140.00 126.750	-680.00	660.00	6.97 4.13
5,000.000	*2021* CROWN AMERS LLC/CROWN AMERS CAP CORP III CO GTD SR NT C2/1/16 DTD 08/01/11 6.250% DUE 02/01/21 @103.13 SER WI Moody's: BA3 S&P: BB-	22818VAB3	5,162.50 103 250	130.21	5,587.50 111.750	-425.00	312.50	6.05 5.39
6,000.000	CHESAPEAKE ENERGY CORP CO GTD NT DTD 02/11/11 6.125% DUE 02/15/21 Moody's: B3 S&P: CCC+	165167CG0	1,692.00 28 200	138.83	5,762.25 96.038	-4,070.25	367.50	21.72 39.86
4,000.000	LIMITED BRANDS INC SR NT DTD 03/25/11 6.625% DUE 04/01/21 Moody's: BA1 S&P: BB+	532716AT4	4,430.00 110 750	66 24	4,560.00 114.000	-130.00	265.00	5 98 4.46
5,000.000	GRAPHIC PACKAGING INTL INC UNSECD SR NT CALL 01/15/21 @100 DTD 04/02/13 4.750% DUE 04/15/21 Moody's: BA2 S&P: BB+	38869PAK0	5,100.00 102.000	50 13	4,947.50 98 950	152.50	237.50	4.65 4.32
10,000.000	CCO HLDGS LLC/CCO HLDGS CAP CORP UNSECD SR NT C4/30/15 @104.88 DTD 05/10/11 6 500% DUE 04/30/21 Moody's: B1 S&P. BB-	1248EPAU7	10,400.00 104.000	110.13	10,675.00 106.750	-275.00	650.00	6.25 5.72
4,000.000	CELANESE US HLDGS LLC UNSECD SR NT DTD 05/06/11 5.875% DUE 06/15/21 Moody's: BA2 S&P. BB+	15089QAC8	4,220.00 105.500	10.44	4,187 50 104.688	32.50	235.00	5.56 4.81
4,000.000	RITE AID CORP UNSECD SR NT CALL 06/15/16 @105.063 DTD 07/02/13 6.750% DUE 06/15/21 Moody's: B3 S&P. CCC+	767754CG7	4,190.00 104.750	12.00	4,250.00 106.250	-60 00	270 00	6.44 5.93

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Trade Date

Portfolio Detail

Account: 41-01-100-2515450 PERRIN FAMILY FOUNDATION - COMB
Sub-Account: 41-01-100-2515443 IM PERRIN FAMILY FDN - SEI-THY

Dec. 01, 2015 through Dec. 31, 2015

Units	Description	CUSIP	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Fixed Income (cont)								
Global High Yield Taxable (cont)								
5,000.000	NATIONSTAR MTG LLC / NATIONSTAR CAP CORP UNSECD SR NT C01/01/17 DTD 02/07/13 6.500% DUE 07/01/21 @103.250 Moody's: B2 S&P: B+	63860UAK6	4,425.00 88.500	162.49	4,725.00 94.500	-300.00	325.00	7.34 9.21
4,000.000	TESORO LOGISTICS LP / TESORO LOGISTICS FIN CORP UNSECD SR NT DTD 08/01/13 6.125% DUE 10/15/21 CALL 10/15/16 @104.594 Moody's: BA3 S&P: BB	88160QAD5	3,800.00 95.000	51.72	4,165.00 104.125	-365.00	245.00	6.44 7.25
10,000.000	ANTERO RES FIN CORP UNSECD SR NT CALL 11/01/16 @104.031 DTD 05/01/14 5.375% DUE 11/01/21 Moody's: BA3 S&P: BB	03674PAL7	8,000.00 80.000	89.58	9,847.50 98.475	-1,847.50	537.50	6.71 10.11
2,000.000	SPRINT NEXTEL CORP SR UNSECD NT DT 05/15/12 11.500% DUE 11/15/21 Moody's: CAA1 S&P: B+	852061AM2	1,840.00 92.000	29.38	2,215.00 110.750	-375.00	230.00	12.50 13.64
5,000.000	***2022*** T-MOBILE USA INC SR UNSECD NT CALL 01/15/18 @103.063 DTD 11/21/13 6.125% DUE 01/15/22 Moody's: BA3 S&P: BB	87264AAH8	5,137.50 102.750	141.21	5,226.25 104.525	-88.75	306.25	5.96 5.67
5,000.000	MICRON TECHNOLOGY INC SR NT DTD 02/15/15 5.875% DUE 02/15/22 Moody's: BA3 S&P: BB	595112BA0	4,862.50 97.250	110.97	5,278.75 105.575	-416.25	293.75	6.04 6.47
9,000.000	POST HLDGS INC SR UNSECED NT CALL 2/15/17 @103.688 DTD 08/15/12 7.375% DUE 02/15/22 Moody's: B3 S&P: B	737446AB0	9,382.50 104.250	250.75	9,830.00 109.222	-447.50	663.75	7.07 6.56

Portfolio Detail

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Account: 41-01-100-2515450 PERRIN FAMILY FOUNDATION - COMB
Sub-Account: 41-01-100-2515443 IM PERRIN FAMILY FDN - SEI-THY

Units	Description	CUSIP	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Fixed Income (cont)								
Global High Yield Taxable (cont)								
5,000.000	BALL CORP CO GTD SR NT DTD 03/09/12 5.000% DUE 03/15/22 Moody's: BA1 S&P: BB+	058498AR7	5,100.00 102.000	73 61	5,075.00 101.500	25.00	250.00	4.90 4.71
5,000.000	MASCO CORP SR UNSECD NT DTD 03/12/12 5.950% DUE 03/15/22 Moody's: BA2 S&P: BBB	574599BH8	5,400.00 108.000	87.59	5,650.00 113.000	-250.00	297.50	5 50 4 45
5,000.000	TENET HEALTHCARE CORP SR NT DTD 09/27/13 8.125% DUE 04/01/22 Moody's: B3 S&P: CCC+	88033GCE8	4,987.50 99.750	101.56	5,502 50 110.050	-515.00	406.25	8.14 8.54
4,000 000	CROWN CASTLE INTL CORP UNSECD SR NT DTD 04/15/14 4 875% DUE 04/15/22 Moody's: BA3 S&P: BB+	228227BE3	4,150.00 103.750	41.16	4,127.50 103.188	22 50	195.00	4 69 4.36
4,000.000	CONSTELLATION BRANDS INC UNSECD SR NT DTD 04/17/12 6.000% DUE 05/01/22 Moody's: BA1 S&P: BB+	21036PAH1	4,400.00 110.000	39.99	4,458 60 111.465	-58 60	240.00	5.45 4.31
4,000.000	GOODYEAR TIRE & RUBR CO UNSECD SR NT CALL 5/15/17 @103.5 DTD 02/28/12 7.000% DUE 05/15/22 Moody's: BA3 S&P: BB	382550BC4	4,285.00 107.125	35.78	4,262.50 106.563	22.50	280 00	6.53 5.62
5,000.000	SALLY HLDGS LLC / SALLY CAP INC CO GTD SR NT CALL 6/1/17 @102 88 DTD 05/18/12 5.750% DUE 06/01/22 Moody's: BA2 S&P: BB+	79546VAJ5	5,175.00 103.500	23.95	5,431.25 108.625	-256.25	287.50	5.55 5 14
12,000.000	DISH DBS CORP SR UNSECD NT DTD 05/16/12 5.875% DUE 07/15/22 Moody's: BA3 S&P: BB-	25470XAJ4	11,190 00 93.250	325.08	12,405 00 103.375	-1,215.00	705 00	6.30 7.49

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Dec. 01, 2015 through Dec. 31, 2015

Units	Description	CUSIP	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Fixed Income (cont)								
Global High Yield Taxable (cont)								
4,000.000	SBA COMMUNICATIONS CORP UNSECD SR GBL NT CALL 07/15/17 @103.656 DTD 01/15/15 4.875% DUE 07/15/22 Moody's: B3 S&P: B	78388JAT3	3,940.00 98.500	89.91	3,990.00 99.750	-50.00	195.00	4.94 5.24
9,000.000	CIT GROUP INC NEW SR UNSECD NT DTD 08/03/12 5.000% DUE 08/15/22 Moody's: B1 S&P: BB+	125581GQ5	9,241.92 102.688	170.00	9,331.25 103.681	-89.33	450.00	4.86 4.68
5,000.000	DAVITA INC UNSECD SR NT C8/15/17 @102.875 DTD 08/28/12 5.750% DUE 08/15/22 Moody's: B1 S&P: B+	23918KAP3	5,150.00 103.000	108.61	5,368.75 107.375	-218.75	287.50	5.58 5.20
5,000.000	HERTZ CORP UNSECD SR NT CALL 10/15/17 @103.125 DTD 10/16/12 6.250% DUE 10/15/22 Moody's: B2 S&P: B	428040CN7	5,175.00 103.500	65.97	5,152.50 103.050	22.50	312.50	6.03 5.70
5,000.000	ROYAL CARIBBEAN CRUISES LTD UNSECD SR NT LIBERIA DTD 11/07/12 5.250% DUE 11/15/22 Moody's: BA1 S&P: BB+	780153AU6	5,125.00 102.500	33.54	5,362.50 107.250	-237.50	262.50	5.12 4.90
5,000.000	SPRINT NEXTEL CORP SR UNSECD NT DTD 11/14/12 6.000% DUE 11/15/22 Moody's: CAA1 S&P: B+	852061AS9	3,525.00 70.500	38.33	5,112.50 102.250	-1,587.50	300.00	8.51 12.25
5,000.000	LEVEL 3 COMMUNICATIONS INC SR UNSECD NT CALL 12/01/17 @102.875 DTD 12/01/14 5.750% DUE 12/01/22 Moody's: B2 S&P: B	52729NBX7	5,112.50 102.250	95.83	5,143.75 102.875	-31.25	287.50	5.62 5.44
5,000.000	CINEMARK USA INC UNSECD SR NT CALL 12/15/17 @102.563 DTD 12/18/12 5.125% DUE 12/15/22 Moody's: B2 S&P: BB	172441AX5	4,962.50 99.250	11.39	4,995.00 99.900	-32.50	256.25	5.16 5.25

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Bank of America Private Wealth Management

Portfolio Detail

Account: 41-01-100-2515450 PERRIN FAMILY FOUNDATION - COMB
Sub-Account: 41-01-100-2515443 IM PERRIN FAMILY FDN - SEI-THY

Dec. 01, 2015 through Dec. 31, 2015

Units	Description	CUSIP	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Fixed Income (cont)								
Global High Yield Taxable (cont)								
4,000.000	PVH CORP SR UNSECD NT CALL 12/15/17 @102.250 DTD 12/20/12 4.500% DUE 12/15/22 Moody's: BA2 S&P: BB+ 2023	693656AA8	3,910.00 97.750	98.00	3,965.00 99.125	-55.00	180.00	4.60 4.92
8,000.000	CBRE SVCS INC SR UNSECD NT C3/15/18 @102.500 DTD 03/14/13 5.000% DUE 03/15/23 Moody's: BAA3 S&P: BBB	12505BAA8	8,040.72 100.509	117.77	8,120.00 101.500	-79.28	400.00	4.97 4.88
5,000.000	NRG ENERGY INC UNSECD SR NT C9/15/17 @103.313 DTD 03/15/13 6.625% DUE 03/15/23 Moody's: B1 S&P: BB-	629377BU5	4,337.50 86.750	97.53	5,142.50 102.850	-805.00	331.25	7.63 9.23
5,000.000	RANGE RES CORP SR SUB NT CALL 3/15/18 @102.5 DTD 03/18/13 5.000% DUE 03/15/23 Moody's: BA2 S&P: BB+	75281AAQ2	3,725.00 74.500	73.61	4,952.50 99.050	-1,227.50	250.00	6.71 10.06
5,000.000	AVIS BUDGET CAR RENT LLC / AVIS BUDGET FIN INC UNSECD SR NT DTD 04/03/13 5.500% DUE 04/01/23 CALL 04/01/18 @102.750 Moody's: B1 S&P: B+	053773AV9	5,012.50 100.250	68.75	4,980.00 99.600	32.50	275.00	5.48 5.45
5,000.000	STEEL DYNAMICS INC UNSECD SR NT C4/15/18 @102.625 DTD 10/15/13 5.250% DUE 04/15/23 Moody's: BA2 S&P: BB+	858119AZ3	4,562.50 91.250	55.41	4,882.50 97.650	-320.00	262.50	5.75 7.16
5,000.000	LAMAR MEDIA CORP SR SUB NT CALL 05/01/18 @102.500 DTD 10/30/12 5.000% DUE 05/01/23 Moody's: BA3 S&P: BB-	513075BE0	5,062.50 101.250	41.67	5,010.00 100.200	52.50	250.00	4.93 5.04

Portfolio Detail

Account: 41-01-100-2515450 PERRIN FAMILY FOUNDATION - COMB
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Dec. 01, 2015 through Dec. 31, 2015

Units	Description	CUSIP	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Fixed Income (cont)								
Global High Yield Taxable (cont)								
5,000.000	TARGA RES PARTNERS LP/TARGA RES PARTNERS FIN UNSECD SR NT DTD 10/25/12 5.250% DUE 05/01/23 CALL 11/1/17 @102.625 Moody's: BA2 S&P: BB+	87612BAM4	4,050.00 81.000	43.75	5,232.50 104.650	-1,182.50	262.50	6.48 8.71
2,000.000	VERISIGN INC UNSECD SR NT CALL 5/1/18 @102.313 DTD 04/16/13 4.625% DUE 05/01/23 Moody's: BA1 S&P: BB+	92343EAF9	1,936.50 96.825	15.41	1,992.50 99.625	-56.00	92.50	4.77 5.14
4,000.000	BOYD GAMING CORP UNSECD SR NT CALL 05/15/18 @105.156 DTD 05/21/15 6.875% DUE 05/15/23 Moody's: B3 S&P: CCC+	103304BK6	4,110.00 102.750	35.13	4,120.00 103.000	-10.00	275.00	6.69 6.69
5,000.000	GENERAL MTRS FINL CO INC UNSECD SR NT DTD 11/15/13 4.250% DUE 05/15/23 Moody's: BA1 S&P: BBB-	37045XAL0	4,945.70 98.914	27.15	4,918.75 98.375	26.95	212.50	4.29 4.41
5,000.000	DYNEGY INC NEW DEL UNSECD SR NT C06/01/18 @102.938 DTD 12/01/13 5.875% DUE 06/01/23 Moody's: B3 S&P: B+	26817RAB4	4,012.50 80.250	24.48	5,000.00 100.000	-987.50	293.75	7.32 9.66
5,000.000	BERRY PLASTICS CORP 2ND LIEN SR SECD NT CALL 07/15/18 @103.844 DTD 06/05/15 5.125% DUE 07/15/23 Moody's: B3 S&P: B	085790AY9	4,862.50 97.250	146.63	4,622.50 92.450	240.00	256.25	5.27 5.61
4,000.000	LEUCADIA NATL CORP UNSECD SR NT CALL 01/18/23 @100 DTD 10/18/13 5.500% DUE 10/18/23 Moody's: BA2 S&P: BBB-	527288BE3	3,904.72 97.618	44.61	4,012.24 100.306	-107.52	220.00	5.63 5.71
5,000.000	***2024*** CCO HLDGS LLC/CCO HLDGS CAP CORP UNSECD SR NT C7/15/18 @102.875 DTD 05/03/13 5.750% DUE 01/15/24 Moody's: B1 S&P: BB-	1248EPBE2	5,137.50 102.750	132.57	4,737.50 94.750	400.00	287.50	5.59 5.47

U.S. TRUST

Bank of America Private Wealth Management

Portfolio Detail

Account: 41-01-100-2515450 PERRIN FAMILY FOUNDATION - COMB
Sub-Account: 41-01-100-2515443 IM PERRIN FAMILY FDN - SEI-THY

Dec. 01, 2015 through Dec. 31, 2015

Units	Description	CUSIP	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Fixed Income (cont)								
Global High Yield Taxable (cont)								
10,000 000	HCA INC 1ST LIEN SR SECD NT DTD 03/17/14 5.000% DUE 03/15/24 Moody's: BA1 S&P: BBB-	404119BN8	9,975.00 99.750	147.22	10,020.00 100.200	-45.00	500.00	5.01 5.07
5,000.000	DAVITA HEALTHCARE PARTNERS INC SR UNSECD NT C07/15/19 @102.563 DTD 06/13/14 5.125% DUE 07/15/24 Moody's: B1 S&P: B+	23918KAQ1	5,000.00 100.000	118.16	5,037.50 100.750	-37.50	256.25	5.12 5.21
5,000.000	IRON MOUNTAIN INC. SR SUB NT CALL 08/15/17 @102.875 DTD 08/10/12 5.750% DUE 08/15/24 Moody's: B2 S&P: B-	46284PAP9	4,825 00 96.500	108.61	5,240 00 104.800	-415.00	287.50	5.95 6.30
5,000.000	ALLY FINL INC SR UNSECD NT DTD 09/29/14 5.125% DUE 09/30/24 Moody's: BA3 S&P: BB+	02005NAV2	5,118.75 102.375	64.77	5,140.00 102.800	-21.25	256.25	5.00 4.94
5,000 000	UNITED RENTALS NORTH AMER INC UNSECD SR NT C05/15/19 @102.875 DTD 03/26/14 5.750% DUE 11/15/24 Moody's: B1 S&P: BB-	911365BB9	4,950.00 99.000	36.74	5,212.50 104.250	-262.50	287.50	5.80 6.11
5,000.000	*2025* EQUINIX INC. SR UNSECD NT C1/1/20 @102.875 DTD 11/20/14 5.750% DUE 01/01/25 Moody's: B1 S&P: BB	29444UAP1	5,112.50 102.250	143.75	5,217.50 104.350	-105.00	287.50	5.62 5 39
5,000.000	CALPINE CORP SR UNSECD NT CALL 10/15/19 @102.875 DTD 07/22/14 5.750% DUE 01/15/25 Moody's: B3 S&P: B	131347CF1	4,412.50 88.250	60.69	4,938.75 98.775	-526.25	287.50	6.51 7.59
5,000.000	LEAR CORP SR UNSECD NT C01/15/20 @102.625 DTD 11/21/14 5 250% DUE 01/15/25 Moody's: BA1 S&P: BBB-	521865AX3	5,087.50 101 750	121.04	5,112.50 102.250	-25.00	262.50	5.16 5.11

ZW0009410

Trade Date

Portfolio Detail

Dec. 01, 2015 through Dec. 31, 2015

Account: 41-01-100-2515450 PERRIN FAMILY FOUNDATION - COMB
Sub-Account: 41-01-100-2515443 IM PERRIN FAMILY FDN - SEI-THY

Units	Description	CUSIP	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Fixed Income (cont)								
Global High Yield Taxable (cont)								
5,000.000	LENNAR CORP SR UNSECD NT CALL 02/28/25 @100 DTD 04/28/15 4.750% DUE 05/30/25 Moody's: BA2 S&P: BB	526057BV5	4,887.50 97.750	20.45	4,910.00 98.200	-22.50	237.50	4.85 4.98
5,000.000	*2028* SPRINT CAP CORP CO GTY NT DTD 11/16/98 6.875% DUE 11/15/28 Moody's: CAA1 S&P: B+	852060AD4	3,487.50 69.750	43.92	4,893.75 97.875	-1,406.25	343.75	9.85 11.50
6,000.000	*2044* WILLIAMS COS INC UNSECD SR NT CALL 12/24/43 @100 DTD 06/24/14 5.750% DUE 06/24/44 Moody's: BAA3 S&P: BB+	969457BV1	3,562.56 59.376	6.70	4,360.00 72.667	-797.44	345.00	9.68 10.10
	Total Global High Yield Taxable		**$418,349.35**	**$6,550.81**	**$450,704.49**	**-$32,355.14**	**$25,883.35**	**6.18%**
Total Fixed Income			**$448,861.68**	**$7,064.37**	**$485,328.88**	**-$36,467.20**	**$27,717.10**	**6.17%**

ANNE KENAN C/O PERRIN FAMILY FOUNDATION, 4 PROSPECT STREET RIDGEFIELD, CT 06877-4510	TRUSTEE 2.00		0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII			0.	0.	0.

FORM 990-PF SUMMARY OF DIRECT CHARITABLE ACTIVITIES STATEMENT 14

ACTIVITY ONE

BUILDING LEADERSHIP AND ORGANIZING CAPACITY INITIATIVE:
THE BUILDING LEADERSHIP AND ORGANIZING CAPACITY (BLOC) INITIATIVE IS A COHORT-BASED CAPACITY BUILDING INITIATIVE DESIGNED TO BUILD THE RESEARCH, ANALYSIS, CAMPAIGN DEVELOPMENT AND ORGANIZING CAPACITY OF YOUTH-LED SOCIAL CHANGE GROUPS IN CONNECTICUT. THE BLOC INITIATIVE SEEKS TO INCREASE THE MEANINGFUL INCLUSION OF YOUTH IN LEADERSHIP OR DECISION-MAKING ROLES IN THEIR ORGANIZATIONS, STRENGTHEN ORGANIZATIONS' ABILITY TO DEVELOP AND IMPLEMENT EFFECTIVE YOUTH ORGANIZING CAMPAIGN THAT LEAD TO CONCRETE AND LASTING CHANGE AROUND THE ISSUES THAT YOUTH HAVE IDENTIFIED; AND BUILD COLLABORATIVE RELATIONSHIPS AND PARTNERSHIPS AMONG ORGANIZATIONS COMMITTED TO BUILDING A MOVEMENT FOR YOUTH-LED SOCIAL CHANGE IN CONNECTICUT. PARTICIPATING ORGANIZATIONS ENGAGE IN MONTHLY WORKSHOPS, ATTEND BIANNUAL RETREATS, AND RECEIVE TARGETED TECHNICAL ASSISTANCE.

	EXPENSES
TO FORM 990-PF, PART IX-A, LINE 1	4,873.

FORM 990-PF SUMMARY OF DIRECT CHARITABLE ACTIVITIES STATEMENT 15

ACTIVITY TWO

TRAININGS AND WORKSHOPS:
THE PERRIN FAMILY FOUNDATION (PFF) OFFERS TRAININGS AND WORKSHOPS TO YOUTH PRACTITIONERS ACROSS THE STATE OF CONNECTICUT IN ORDER TO DEEPEN THE SECTOR'S ABILITY TO SUPPORT YOUNG PEOPLE AS LEADERS OF SOCIAL CHANGE. IN 2015, PFF OFFERED THREE TRAINING SERIES: MOVING FROM COMMUNITY SERVICE TO YOUTH LED SOCIAL CHANGE, FACILITATION 101: CREATING SPACE FOR YOUTH VOICES TO LEAD, AND WORKING WITH

YOUTH WHILE CARING FOR OURSELVES. EACH SERIES INCLUDED UP TO 18 HOURS OF INSTRUCTION AND WAS OFFERED FREE OF CHARGE TO STAFF OF YOUTH ORGANIZATIONS IN CONNECTICUT.

	EXPENSES
TO FORM 990-PF, PART IX-A, LINE 2	12,410.

FORM 990-PF SUMMARY OF DIRECT CHARITABLE ACTIVITIES STATEMENT 16

ACTIVITY THREE

MINIGRANTS:
THE PERRIN FAMILY FOUNDATION MAINTAINS A MINI-GRANT PROGRAM TO SUPPORT THE COSTS ASSOCIATED WITH THE PARTICIPATION OF OUR GRANTEE PARTNERS IN STUDY VISITS, PEER EXCHANGES, AND CONFERENCES TO STRENGTHEN THEIR YOUTH-LED SOCIAL CHANGE CAPACITY.

	EXPENSES
TO FORM 990-PF, PART IX-A, LINE 3	27,373.

FORM 990-PF PART XV - LINE 1A STATEMENT 17
LIST OF FOUNDATION MANAGERS

NAME OF MANAGER

CHARLES R. PERRIN
SHEILA A. PERRIN

FORM 990-PF GRANT APPLICATION SUBMISSION INFORMATION STATEMENT 18
PART XV, LINES 2A THROUGH 2D

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

LAURA MCCARGAR
C/O PERRIN FAMILY FOUNDATION, 760 CHAPEL STREET
NEW HAVEN, CT 06511

TELEPHONE NUMBER

203-438-7349

FORM AND CONTENT OF APPLICATIONS

AUTHORIZED PERSONS WHO REPRESENT AN ORGANIZATION WHICH THEY BELIEVE MIGHT QUALIFY FOR SUPPORT ARE REQUESTED FIRST TO SEND A LETTER OF INTENT. THE LETTER SHOULD SUCCINTLY DESCRIBE THE BACKGROUND AND PURPOSE OF THE ORGANIZATION AND BRIEFLY OUTLINE THE PROJECT OR PROGRAM FOR WHICH MONIES ARE BEING SOUGHT. ALL LETTERS WILL BE ACKNOWLEDGED. IF THE FOUNDATION FINDS THE REQUEST APPROPRIATE FOR ITS SUPPORT, THE APPLICANT WILL BE MAILED GUIDELINES FOR SUBMISSION. ALL GRANT REQUESTS MAY REQUIRE AS LONG AS THREE MONTHS FOR PROCESSING BEFORE A FINAL DECISION IS COMMUNICATED TO THE PETITIONING ORGANIZATION. THOSE PROJECTS OR PROGRAMS RECEIVING MONEY ARE REQUESTED TO PROVIDE AN EVALUATION AT THE END OF THE FUNDING PERIOD. THE AVERAGE GRANT IS BETWEEN $10,000 AND $30,000.

ANY SUBMISSION DEADLINES

NONE

RESTRICTIONS AND LIMITATIONS ON AWARDS

SEE ATTACHED STATEMENT

STATEMENT TO FORM 990-PF, PART XV, 2(D)

GRANTS ARE AWARDED ONLY TO NON-PROFIT ORGANIZATIONS OR FISCAL SPONSORS THAT HAVE TAX-EXEMPT STATUS UNDER THE U.S. INTERNAL REVENUE CODE. GRANT PRIORITY IS GIVEN TO ORGANIZATIONS WORKING TO DEVELOP COLLECTIVE YOUTH LEADERSHIP AND YOUTH-LED SOCIAL CHANGE INITIATIVES IN CONNECTICUT.

ORGANIZATIONS THAT THE PERRIN FAMILY FOUNDATION WOULD CONSIDER FUNDING MUST SHOW EVIDENCE THAT THEY SUPPORT YOUTH AND ADULTS WORKING TOGETHER IN AUTHENTIC PARTNERSHIPS, WHERE THE DEVELOPMENT OF INDIVIDUAL LEADERSHIP SKILLS IS BALANCED BY A COMMITMENT TO COLLECTIVE YOUTH VOICE , SHARED LEADERSHIP, AND WHERE YOUTH ARE ENCOURAGED TO ENGAGE WITH THEIR BROADER COMMUNITY AS THEY EXPLORE AND INVESTIGATE THEIR EVERYDAY REALITIES. QUALIFYING ORGANIZATIONS MUST EQUIP YOUTH WITH TOOLS AND STRATEGIES TO TAKE ACTION IN ORDER TO ENSURE COMMUNITIES PROVIDE EQUITABLE ACCESS TO THE RESOURCES AND OPPORTUNITIES NECESSARY TO SUPPORT YOUNG PEOPLE'S WELL-BEING AND EMPOWER YOUTH TO BECOME PART OF THE PROCESS IN CREATING MEANINGFUL COMMUNITY CHANGE. SUCCESSFUL APPLICANTS WILL BE COMMITTED TO:

- <u>YOUTH-ADULT PARTNERSHIPS</u>: CREATE OPPORTUNITIES FOR YOUTH TO WORK IN PARTNERSHIP WITH ADULTS TO TAKE ON AUTHENTIC, MEANINGFUL DECISION-MAKING ROLES IN THE PROGRAMS, ORGANIZATIONS AND COMMUNITIES IN WHICH THEY LIVE.

- <u>COLLECTIVE YOUTH LEADERSHIP DEVELOPMENT</u>: FOSTER A THOUGHTFUL AND INTENTIONAL PROCESS FOR DEVELOPING YOUNG PEOPLE'S LEADERSHIP CAPACITY. ACTIVELY WORKING TO DEVELOP THE SHARED, COLLECTIVE LEADERSHIP OF A GROUP OF YOUNG PEOPLE OVER A SUSTAINED PERIOD OF TIME, AND ENCOURAGING YOUNG PEOPLE TO TAKE AN ACTIVE ROLE IN SUPPORTING THE LEADERSHIP DEVELOPMENT OF THEIR FELLOW PARTICIPANTS AROUND SHARED COMMUNITY ISSUES, AND

- <u>YOUTH-LED SOCIAL CHANGE</u>: A PROCESS THAT BUILDS UPON THE COLLECTIVE LEADERSHIP OF YOUNG PEOPLE WHILE ADDRESSING THE ROOT CAUSES OF INJUSTICE AND INEQUITY IN THEIR LIVES AND COMMUNITIES. YOUTH-LED SOCIAL CHANGE ORGANIZATIONS EQUIP YOUTH WITH TOOLS AND STRATEGIES TO TAKE ACTION. SUPPORTING YOUTH ORGANIZING FOR CREATING TRANSFORMATIVE CHANGES IN BOTH INDIVIDUALS AND COMMUNITIES. YOUNG PEOPLE ENGAGE IN REFLECTION, DEVELOP THOUGHTFUL, WELL-ROUNDED ANALYSIS, GAIN RESEARCH SKILLS, AND LEARN HOW TO BUILD MEANINGFUL RELATIONSHIPS WITH OTHERS IN PURSUIT OF A COMMON GOAL.

GRANTS WILL NOT BE AWARDED TO FUND PUBLIC OR PRIVATE SCHOOL PROGRAMMING. GRANTS WILL ALSO NOT BE AWARDED TO FUND ATHLETIC, JUVENILE JUSTICE, JOB TRAINING PROGRAMS, COMMUNITY EVENTS OR CAPITAL PROJECTS.

Asset No	Description	Date Acquired	Method	Life	Line No	Unadjusted Cost Or Basis	Bus % Excl	* Reduction In Basis	Basis For Depreciation	Accumulated Depreciation	Current Sec 179	Current Year Deduction
1	OFFICE	071601	SL	25.00	16	362,357.			362,357.	194,461.		14,494.
2	COMPUTER HARDWARE	092601	SL	3.00	16	4,756.			4,756.	4,756.		0.
3	COMPUTER SOFTWARE	090101	SL	3.00	16	4,798.			4,798.	4,798.		0.
4	OFFICE FURNITURE	071601	SL	7.00	16	5,000.			5,000.	5,000.		0.
5	COMPUTER HARDWARE	121903	SL	5.00	16	312.			312.	312.		0.
6	COMPUTER HARDWARE	112404	SL	5.00	16	574.			574.	574.		0.
7	COMPUTER HARDWARE	100106	SL	5.00	16	1,050.			1,050.	1,050.		0.
8	COMPUTER	070109	200DB	5.00	17	1,453.		727.	726.	726.		0.
9	CARPET	070109	200DB	5.00	17	5,649.		2,825.	2,824.	2,824.		0.
20	COMPUTER	070915	200DB	5.00	19B	1,689.		845.	844.			1,014.
21	FURNITURE	092215	200DB	7.00	19C	1,410.		705.	705.			806.
22	FURNITURE	102615	200DB	7.00	19C	512.		256.	256.			293.
	* TOTAL 990-PF PG 1 DEPR					389,560.		5,358.	384,202.	214,501.	0.	16,607.
	CURRENT ACTIVITY											
	BEGINNING BALANCE					385,949.		3,552.	382,397.	214,501.		
	ACQUISITIONS					3,611.		1,806.	1,805.	0.		
	DISPOSITIONS					0.		0.	0.	0.		
	ENDING BALANCE					389,560.		5,358.	384,202.	214,501.		

528102
04-01-15

(D) - Asset disposed

* ITC, Section 179, Salvage, Bonus, Commercial Revitalization Deduction

Asset No	Description	Date Acquired	Method	Life	Line No	Unadjusted Cost Or Basis	Bus % Excl	* Reduction In Basis	Basis For Depreciation	Accumulated Depreciation	Current Sec 179	Current Year Deduction
	ENDING ACCUM DEPR									234,660.		
	ENDING BOOK VALUE									154,900.		

528102 04-01-15

(D) - Asset disposed

* ITC, Section 179, Salvage, Bonus, Commercial Revitalization Deduction