

For calendar year 2015, or tax year beginning 01-01-2015 , and ending 12-31-2015

Name of foundation AUFZIEN FOUNDATION INC		A Employer identification number 22-2838652	
Number and street (or P O box number if mail is not delivered to street address) 350 Passaic Avenue		Room/suite	
City or town, state or province, country, and ZIP or foreign postal code FAIRFIELD, NJ 07004		B Telephone number (see instructions) (973) 575-5132	
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		C If exemption application is pending, check here <input type="checkbox"/> D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation			
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 6,426,173		J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)	

Part I	Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))	Revenue and expenses per books (a)	Net investment income (b)	Adjusted net income (c)	Disbursements for charitable purposes (d) (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	251,261			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	123,597	123,597		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10 _____	90,537			
	b Gross sales price for all assets on line 6a _____ 5,069,842				
	7 Capital gain net income (from Part IV , line 2)		510,172		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	2,441	2,441		
	12 Total. Add lines 1 through 11	467,836	636,210		
	13 Compensation of officers, directors, trustees, etc	0	0		0
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule).				
	b Accounting fees (attach schedule).	8,440	8,440		0
	c Other professional fees (attach schedule)				
	17 Interest	4,537	4,537		0
	18 Taxes (attach schedule) (see instructions)	9,088	1,288		0
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings.	3,591	3,591		0
	22 Printing and publications				
	23 Other expenses (attach schedule).	48,756	48,756		0
	24 Total operating and administrative expenses. Add lines 13 through 23	74,412	66,612		0
	25 Contributions, gifts, grants paid	477,050			477,050
	26 Total expenses and disbursements. Add lines 24 and 25	551,462	66,612		477,050
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-83,626			
	b Net investment income (if negative, enter -0-)		569,598		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	302,275	239,696	239,696
	2	Savings and temporary cash investments	291,571	111,586	111,586
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions).			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)			
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	5,277,390	5,396,216	6,074,891
	Liabilities	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____		
15		Other assets (describe ▶ _____)			
16		Total assets(to be completed by all filers—see the instructions Also, see page 1, item I)	5,871,236	5,747,498	6,426,173
17		Accounts payable and accrued expenses			
18		Grants payable			
19		Deferred revenue			
20		Loans from officers, directors, trustees, and other disqualified persons			
21		Mortgages and other notes payable (attach schedule).			
22		Other liabilities (describe ▶ _____)			
23		Total liabilities(add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds	5,871,236	5,747,498	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund	0	0	
	29	Retained earnings, accumulated income, endowment, or other funds	0	0	
	30	Total net assets or fund balances(see instructions)	5,871,236	5,747,498	
	31	Total liabilities and net assets/fund balances(see instructions)	5,871,236	5,747,498	

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1 5,871,236
2	Enter amount from Part I, line 27a	2 -83,626
3	Other increases not included in line 2 (itemize) ▶ _____	3 0
4	Add lines 1, 2, and 3	4 5,787,610
5	Decreases not included in line 2 (itemize) ▶ _____	5 40,112
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6 5,747,498

Part IV

Capital Gains and Losses for Tax on Investment Income

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)		How acquired P—Purchase (b) D—Donation	Date acquired (c) (mo , day, yr)	Date sold (d) (mo , day, yr)
1a See Additional Data Table				
b				
c				
d				
e				

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	510,172
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)
If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2014	478,100	6,392,111	0 074795
2013	374,350	5,634,687	0 066437
2012	438,242	5,384,002	0 081397
2011	387,258	5,456,438	0 070973
2010	433,709	5,498,577	0 078877

2 Total of line 1, column (d).	2	0 372479
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 074496
4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5.	4	6,367,084
5 Multiply line 4 by line 3.	5	474,322
6 Enter 1% of net investment income (1% of Part I, line 27b).	6	5,696
7 Add lines 5 and 6.	7	480,018
8 Enter qualifying distributions from Part XII, line 4.	8	477,050

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See
the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	11,392
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	11,392
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	11,392
6	Credits/Payments		
a	2015 estimated tax payments and 2014 overpayment credited to 2015	6a	8,577
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868).	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	8,577
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	66
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ▶	9	2,881
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid ▶	10	
11	Enter the amount of line 10 to be Credited to 2015 estimated tax ▶ Refunded ▶	11	

Part VII-A Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		Yes	No
1a				No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	1b		No
c	Did the foundation file Form 1120-POL for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ \$ _____ 0 (2) On foundation managers ▶ \$ _____ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ _____ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NJ _____			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9		No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10		No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	Yes	
14	The books are in care of ► <u>ALAN AUFZIEN</u> Telephone no ► <u>(973) 575-5132</u> Located at ► <u>350 PASSAIC AVENUE FAIRFIELD NJ</u> ZIP+4 ► <u>07004</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ► <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year ► 15			
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ►	16	Yes	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? 1b			
	Organizations relying on a current notice regarding disaster assistance check here. ► <input type="checkbox"/>			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015? 1c			No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions). 2b			
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015</i>). 3b			
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No **5b**

Organizations relying on a current notice regarding disaster assistance check here. ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No **6b** **No**

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
See Additional Data Table				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
NONE				

Total number of other employees paid over \$50,000. ☐ **0**

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services. 0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0

Part X Minimum Investment Return

(All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	6,024,154
b	Average of monthly cash balances.	1b	439,891
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	6,464,045
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	6,464,045
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	96,961
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	6,367,084
6	Minimum investment return. Enter 5% of line 5.	6	318,354

Part XI Distributable Amount(see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	318,354
2a	Tax on investment income for 2015 from Part VI, line 5.	2a	11,392
b	Income tax for 2015 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	11,392
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	306,962
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	306,962
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	306,962

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	477,050
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	477,050
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	477,050

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				306,962
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only.			0	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2015				
a From 2010.				164,362
b From 2011.				115,500
c From 2012.				171,038
d From 2013.				101,852
e From 2014.				166,957
f Total of lines 3a through e.	719,709			
4 Qualifying distributions for 2015 from Part XII, line 4 ► \$ 477,050				
a Applied to 2014, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2015 distributable amount.				306,962
e Remaining amount distributed out of corpus	170,088			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a))	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	889,797			
b Prior years' undistributed income Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015.				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions). . .	164,362			
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a.	725,435			
10 Analysis of line 9				
a Excess from 2011. . . .				115,500
b Excess from 2012. . . .				171,038
c Excess from 2013. . . .				101,852
d Excess from 2014. . . .				166,957
e Excess from 2015. . . .				170,088

Part XIV

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b

Tax year	Prior 3 years			(e) Total
(a) 2015	(b) 2014	(c) 2013	(d) 2012	

Form **990-PF** (2015)

Part XV

Supplementary Information(continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<div>a Paid during the year</div> <div>See Additional Data Table</div>				
<div>Total</div>			<div>▶ 3a</div>	477,050
<div>b Approved for future payment</div>				
<div>Total</div>			<div>▶ 3b</div>	0

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

(1) Cash.

(2) Other assets.

(1) Sales of assets to a noncharitable exempt organization.

(2) Purchases of assets from a noncharitable exempt organization.

(3) Rental of facilities, equipment, or other assets.

(4) Reimbursement arrangements.

(5) Loans or loan guarantees.

(6) Performance of services or membership or fundraising solicitations.

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

[illegible]

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

2016-11-03

Signature of officer or trustee

Date _____

Title

May the IRS discuss this return with the preparer shown below

(see instr.)? ☒ Yes ☐ No

Paid Preparer Use Only	Print/Type preparer's name LARRY GOLDSTEIN	Preparer's Signature	Date 2016-11-03	Check if self-employed <input type="checkbox"/>	PTIN P00005683
	Firm's name ▶ LM Cohen & Co LLP			Firm's EIN ▶ 46-4133700	
	Firm's address ▶ 1 West 34th Street 8th Fl New York, NY 10001			Phone no (212) 967-2300	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	Date acquired (c) (mo , day, yr)	(d) Date sold (mo , day, yr)
4,000 shs wells FARGO & COMPANY	P	2014-07-14	2015-01-21
200 SHS AETNA INC	P	2014-12-31	2015-02-20
400 SHS AMPHENAOL CORP	P	2014-12-16	2015-04-17
300 SHS BRISTOL MYERS SQUIBB CO	P	2015-01-26	2015-03-20
3 CALLS A	P	2015-01-20	2015-03-23
1 CALL AMP	P	2015-03-05	2015-04-20
4 CALLS APH	P	2014-12-16	2015-01-11
3 CALLS A1	P	2014-10-31	2015-01-20
4 CALLS DAL	P	2015-01-13	2015-02-23
4 CALLS DAL	P	2015-02-23	2015-03-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
101,157		100,000	1,157
18,000		17,413	587
21,994		20,516	1,478
18,750		18,092	658
263			263
297			297
447		80	367
255			255
505			505
431			431

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,157
			587
			1,478
			658
			263
			297
			367
			255
			505
			431

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	How acquired P—Purchase (b) D—Donation	Date acquired (c) (mo , day, yr)	(d) Date sold (mo , day, yr)
3 CALLS ETN	P	2015-03-04	2015-04-20
6 CALLS GCI	P	2014-11-20	2015-01-20
1 CALL HAR	P	2014-11-21	2015-01-06
2 CALLS HBI	P	2014-12-16	2015-01-20
2 CALLS HON	P	2014-12-12	2015-01-15
1 CALL INTU	P	2014-12-30	2015-02-20
1 CALL INTU	P	2015-02-20	2015-04-20
3 CALLS JWN	P	2015-03-04	2015-04-20
1 CALL KSU	P	2014-12-29	2015-02-23
1 CALL KSU	P	2015-02-23	2015-04-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
436			436
480			480
232		20	212
543			543
384		152	232
190		130	60
210			210
618			618
431			431
194			194

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col. (i) (k) over col. (j), if any	
			436
			480
			212
			543
			232
			60
			210
			618
			431
			194

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	How acquired P—Purchase (b) D—Donation	Date acquired (c) (mo , day, yr)	(d) Date sold (mo , day, yr)
3 CALLS LNC	P	2014-12-08	2015-01-06
3 CALLS LNC	P	2015-01-08	2015-02-19
3 CALLS LNC	P	2015-02-19	2015-04-20
2 CALLS LYB	P	2015-01-23	2015-02-06
2 CALLS LYB	P	2015-02-06	2015-02-24
3 CALLS M	P	2014-12-04	2015-02-23
3 CALLS M	P	2015-02-23	2015-04-20
1 CALL MON	P	2015-02-25	2015-04-20
5 CALLS MS	P	2014-11-19	2015-01-20
4 CALLS MSFT	P	2014-11-26	2015-01-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
412		35	377
357		240	117
360			360
310		770	-460
470		550	-80
440			440
471			471
281			281
450			450
409			409

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col. (h) gain minus col. (k), but not less than -0-) or (l) Losses (from col. (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col. (i) (k) over col. (j), if any	
			377
			117
			360
			-460
			-80
			440
			471
			281
			450
			409

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	How acquired P—Purchase (b) D—Donation	Date acquired (c) (mo , day, yr)	(d) Date sold (mo , day, yr)
4 CALLS MSFT	P	2015-01-22	2015-03-23
2 CALLS PM	P	2014-10-23	2015-01-06
2 CALLS PM	P	2015-01-08	2015-02-23
90 CALLS PNC	P	2014-12-10	2015-02-19
2 CALLS SNCK	P	2014-10-31	2015-01-20
2 CALLS SNCK	P	2015-02-12	2015-04-20
2 CALLS TWC	P	2014-12-05	2015-01-20
2 CALLS TWC	P	2015-01-22	2015-03-09
1 CALL TXT	P	2015-02-05	2015-03-09
3 CALLS TXT	P	2015-02-09	2015-03-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
376			376
200		2	198
199			199
416		274	142
501			501
339			339
828			828
876		1,156	-280
118		86	32
316		258	58

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col. (i) (k) over col. (j), if any	
			376
			198
			199
			142
			501
			339
			828
			-280
			32
			58

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
4 CALLS TYC	P	2014-12-03	2015-01-20
4 CALLS TYC	P	2015-01-22	2015-04-20
2 CALLS UNP	P	2014-12-11	2015-02-19
600 SHS GANNETT CO INC	P	2014-11-20	2015-03-03
800 SHS HANESBRANDS INC	P	2014-12-16	2015-03-20
100 SHS HARMAN INTL INDUSTRIES INC	P	2014-07-22	2015-02-02
200 SHS HONEYWELL INTL INC	P	2014-12-12	2015-03-20
200 SHS INTL FLAVORS & FRAGRANCES INC	P	2014-05-22	2015-02-20
100 SHS INTUIT INC	P	2014-12-30	2015-04-07
150 SHS KEYSIGHT TECHNOLOGIES INC	P	2014-09-30	2015-03-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
349			349
355			355
575		526	49
19,200		18,503	697
22,000		21,205	795
11,000		11,228	-228
20,000		18,884	1,116
21,000		19,254	1,746
9,250		9,056	194
5,601		4,808	793

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			349
			355
			49
			697
			795
			-228
			1,116
			1,746
			194
			793

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
200 SHS LYONDELLBASELL INDUSTRIES N V CL A	P	2014-10-01	2015-04-17
300 SHS MACY'S INC	P	2014-12-04	2015-04-17
500 SHS MORGAN STANLEY	P	2014-11-19	2015-03-20
300 SHS REYNOLDS AMERICAN INC	P	2014-12-31	2015-02-20
300 SHS STARBUCKS CORP	P	2014-03-20	2015-02-20
200 SHS TIME WARNER INC	P	2015-02-26	2015-04-17
200 SHS WALT DISNEY CO	P	2014-12-10	2015-02-20
200 SHS ACCENTURE PLC IRELAND SH A	P	2013-06-18	2015-02-22
686 shs at & t inc	P	2014-11-13	2015-08-19
1,820 SHS CANON INC	P	2015-03-20	2015-11-25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
18,494		20,444	-1,950
19,494		18,766	728
18,000		17,264	736
19,500		18,904	596
24,750		22,589	2,161
16,494		15,983	511
18,500		17,915	585
17,000		16,144	856
23,634		24,151	-517
55,669		63,294	-7,625

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1,950
			728
			736
			596
			2,161
			511
			585
			856
			-517
			-7,625

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
221 SHS CARE CAPITAL PROPERT	P	2015-07-07	2015-08-19
864 SHS CENTURYLINK INC	P	2015-05-05	2015-07-09
854 SHS COACH INC	P	2015-06-19	2015-07-22
988 SHS CONOCOPHILLIPS	P	2015-02-05	2015-07-22
210 SHS CONSOLIDATED EDISON	P	2015-07-07	2015-08-19
237 SHS DIGITAL REALTY TRUST	P	2015-04-27	2015-08-19
1,430 SHS DOMTAR CORP	P	2015-02-25	2015-04-27
1,315 SHS GARMIN LTD	P	2015-06-09	2015-09-11
283 SHS GLAXOSMITHKLINE	P	2015-07-24	2015-08-19
2,689 SHS GOVERNMENT PROPERTIE	P	2015-02-25	2015-06-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
7,638		7,381	257
25,435		30,772	-5,337
26,456		30,547	-4,091
55,062		65,471	-10,409
14,015		12,873	1,142
15,869		15,770	99
61,759		62,967	-1,208
47,098		60,887	-13,789
12,286		11,763	523
51,588		63,063	-11,475

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			257
			-5,337
			-4,091
			-10,409
			1,142
			99
			-1,208
			-13,789
			523
			-11,475

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1,625 SHS HOLLYFRONTIER CORP	P	2015-02-06	2015-02-25
241 SHS ISHARES MORT REAL ESTATE CAP	P	2014-07-30	2015-04-29
2,660 SHS ISHARES MTG REAL ESTATE CAP	P	2014-07-30	2015-06-09
1,146 SHS LAS VEGAS SANDS CORP	P	2015-06-19	2015-08-21
403 SHS MACQUIRES INFRASTRUC	P	2015-02-05	2015-12-17
142 SHS MC DONALDS CORP	P	2014-10-03	2015-08-19
1,099 SHS POTASH CORP	P	2014-03-11	2015-03-04
1,866 SHS PPL CORPORATION	P	2015-04-06	2015-11-12
5,913 SHS PROSHARE SHORT 20+ YEAR TREASURY	P	2015-01-22	2015-07-24
1,692 SHS PROSHARES SHORT DOW 30	P	2015-03-20	2015-08-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
68,454		62,529	5,925
2,824		3,009	-185
29,821		33,214	-3,393
56,062		61,041	-4,979
28,517		31,210	-2,693
14,430		13,475	955
38,811		38,231	580
62,383		59,335	3,048
150,422		149,091	1,331
39,128		38,222	906

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			5,925
			-185
			-3,393
			-4,979
			-2,693
			955
			580
			3,048
			1,331
			906

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1,650 SHS PROSHARES SHORT MSCI	P	2015-08-24	2015-10-21
4,063 SHS PROSHARES SHORT QQQ	P	2014-12-29	2015-03-20
224 SHS REALTY INCM CORP	P	2015-07-07	2015-08-19
1,268 SHS SEAGATE TECHNOLOGY	P	2015-05-04	2015-05-19
1,837 SHS SIX FLAGS ENTERTAINMENT	P	2014-10-03	2015-01-29
325 SHS SOUTHERN COMPANY	P	2015-05-05	2015-08-19
233 0744 SHS TALEN ENERGY CORP	P	2015-04-06	2015-06-03
759 SHS TUPPERWARE BRANDS CO	P	2014-11-13	2015-08-20
550 SHS VENTAS INC	P	2014-07-30	2015-02-25
1,317 SHS BP PLC	P	2012-05-24	2015-08-25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
53,978		58,031	-4,053
229,949		240,245	-10,296
10,774		10,395	379
69,992		74,926	-4,934
80,409		62,877	17,532
15,030		14,405	625
4,360		4,370	-10
40,373		49,442	-9,069
40,900		35,245	5,655
41,273		50,146	-8,873

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-4,053
			-10,296
			379
			-4,934
			17,532
			625
			-10
			-9,069
			5,655
			-8,873

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1,303 SHS DARDEN RESTAURANTS INC	P	2012-12-20	2015-03-20
1,562 SHS G E O GROUP INC	P	2013-11-19	2015-09-22
415 SHS GENERAL ELECTRIC CO	P	2011-12-01	2015-08-19
2,383 SHS HAWAIIAN ELEC INDUSTRIES	P	2013-07-02	2015-01-16
2,471 SHS ISHARES MORT REAL ESTATE CAP	P	2013-09-17	2015-04-29
4,513 SHS ISHARES MORTGAGE REAL ESTATE CAPPED	P	2013-09-17	2015-02-05
842 SHS NATIONAL GRID	P	2013-11-19	2015-04-14
655 SHS POTASH CORP SASK INC	P	2013-11-19	2015-03-04
985 SHS REGAL ENTERTAINMENT CL A	P	2013-12-11	2015-08-19
943 SHS ROYAL DUTCH SHELL	P	2013-07-02	2015-07-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
87,292		59,992	27,300
48,247		52,352	-4,105
10,748		6,616	4,132
81,109		61,736	19,373
28,951		27,805	1,146
52,661		53,497	-836
56,230		52,867	3,363
23,137		21,302	1,835
19,309		18,214	1,095
52,295		61,861	-9,566

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			27,300
			-4,105
			4,132
			19,373
			1,146
			-836
			3,363
			1,835
			1,095
			-9,566

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1,633 SHS THOMSON REUTERS CORP	P	2012-07-11	2015-04-27
187 SHS VERIZON COMMUNICATION	P	2014-05-15	2015-08-19
406 SHS GOLUB CAP BDC INC	D	2014-12-31	2015-12-02
3,383 SHS GOLUB CAP BDC INC	D	2013-10-02	2015-12-02
7,260 SHS GOLUB CAP BDC INC	D	2010-05-18	2015-12-02
3,000 shs sigma aldrich corp	P	1990-03-20	2015-11-18
3 calls utx	P	2015-05-29	2015-07-06
3 CALLS UNP	P	2015-06-12	2015-07-06
2 CALLS MMM	P	2015-05-29	2015-07-06
3 CALLS RTN	P	2015-05-29	2015-07-06

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
66,947		46,680	20,267
8,877		8,973	-96
7,022		6,885	137
58,512		53,724	4,788
125,568		100,739	24,829
420,000		21,000	399,000
9		9	0
268		135	133
4		20	-16
102		15	87

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			20,267
			-96
			137
			4,788
			24,829
			399,000
			0
			133
			-16
			87

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
3 CALLS JNJ	P	2015-05-29	2015-07-06
4 CALLS WMT	P	2015-05-29	2015-07-06
4 CALLS DD1	P	2015-05-12	2015-07-06
7 CALLS LLTC	P	2015-05-29	2015-07-06
4 CALLS ADP	P	2015-05-29	2015-07-06
2 CALLS CMI	P	2015-05-29	2015-07-06
300 SHS AGILENT TECHNOLOGIES INC	P	2014-09-03	2015-04-28
100 SHS AMERIPRISE FINL INC	P	2015-03-05	2015-04-30
418 SHS AUTOMATIC DATA PROC	P	2015-04-28	2015-07-10
551 SHS C H ROBINSON WORLDWIDE INC	P	2015-04-29	2015-05-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
15		12	3
156		84	72
140		145	-5
175		175	0
175		100	75
125		38	87
12,642		12,606	36
12,591		13,421	-830
34,162		35,661	-1,499
34,386		35,490	-1,104

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			3
			72
			-5
			0
			75
			87
			36
			-830
			-1,499
			-1,104

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	Date acquired (c) (mo., day, yr.)	(d) Date sold (mo., day, yr.)
98 SHS CHEMOURS CO	P	2015-05-28	2015-07-10
256 SHS CUMMINS INC	P	2015-04-28	2015-07-10
400 SHS DELTA AIR LINES INC	P	2015-01-13	2015-04-28
464 SHS DOVER CORP	P	2015-04-28	2015-07-10
499 SHS DU PONT E I DE NEMOURS	P	2015-05-28	2015-07-10
866 SHS EXXON MOBIL CORP	P	2015-04-28	2015-07-10
300 SHS EATON CORP	P	2015-03-04	2015-04-30
157 SHS ISHARES MSCI CDA	P	2015-12-02	2015-12-08
756 SHS ISHARES MSCI SWEDEN	P	2015-12-02	2015-12-08
52 SHS ISHARES NASDAQ BIOTECH	P	2015-07-10	2015-12-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
1,097		1,688	-591
32,998		35,008	-2,010
18,441		18,571	-130
30,415		35,083	-4,668
29,096		33,887	-4,791
33,129		35,230	-2,101
20,791		20,740	51
3,456		3,662	-206
2,240		2,307	-67
17,068		19,485	-2,417

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-591
			-2,010
			-130
			-4,668
			-4,791
			-2,101
			51
			-206
			-67
			-2,417

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
149 SHS ISHARES MSCI PACIFIC EX-JAPAN	P	2015-07-10	2015-12-08
85 SHS ISHARES IBOXX \$ INVT GRADE CORP	P	2015-07-10	2015-12-08
70 SHS ISHARES TIPS BOND	P	2015-07-10	2015-12-08
6 SHS VANGUARD CONSUMER STAPLES	P	2015-07-10	2015-08-25
165 SHS VANHGUARD MATERIALS	P	2015-07-10	2015-12-01
26 SHS VANGUARD INFORMATION TECH	P	2015-07-10	2015-10-15
643 SHS VANGUARD ENERGY ETF FDS	P	2015-07-10	2015-12-08
422 SHS VANGUARD INDUSTRIAL	P	2015-07-10	2015-12-08
230 SHS WISDOMTREE JAPAN HEDGED EQUITY FD	P	2015-12-02	2015-12-08
77 SHS FIRST TR EXCHANGE TRADED FD	P	2015-07-10	2015-12-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,727		5,907	-180
9,815		9,876	-61
7,738		7,764	-26
735		772	-37
15,916		17,635	-1,719
2,733		2,764	-31
55,228		68,380	-13,152
42,995		43,970	-975
12,427		12,730	-303
5,783		5,853	-70

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-180
			-61
			-26
			-37
			-1,719
			-31
			-13,152
			-975
			-303
			-70

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
199 SHS POWERSHARES GLOBAL EXCHANGE TRADED FD	P	2015-07-10	2015-12-08
98 SHS ISHARES 3-7 YEAR TREASURY BD	P	2015-07-10	2015-12-08
221 SHS ISHARES MBS	P	2015-07-10	2015-12-08
297 SHS VANGUARD INTERMEDIATE TERM BD	P	2015-07-10	2015-12-08
17 SHS VANGUARD SHORT TERM BD	P	2015-07-10	2015-08-25
167 SHS SPDR BARCLAYS HIGH YIELD BD	P	2015-07-10	2015-12-08
120 SHS POWERSHARES PREFERRED PORTFOLIO	P	2015-12-02	2015-12-08
165 SHS MARKET VECTORS J P MORGAN EM LOCAL CURRENCY BD	P	2015-07-10	2015-12-08
160 SHS EMERGING GLOBAL SHARES	P	2015-07-10	2015-10-15
189 SHS FIRST TR ISE CLOUD COMP IDX	P	2015-07-10	2015-12-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,746		5,807	-61
12,102		12,060	42
23,993		23,959	34
24,934		25,003	-69
1,364		1,361	3
5,913		6,153	-240
1,778		1,784	-6
2,906		2,987	-81
3,703		4,106	-403
5,820		5,893	-73

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-61
			42
			34
			-69
			3
			-240
			-6
			-81
			-403
			-73

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
520 SHS ISHARES INC CORE MSCI EMERGING MKTS	P	2015-07-10	2015-12-08
1,343 SHS ISHARES MSCI U K	P	2015-07-10	2015-12-08
100 SHS INTUIT INC	P	2014-12-30	2015-04-30
300 SHS JPMORGAN CHASE & CO	P	2015-03-05	2015-04-28
354 SHS JOHNSON & JOHNSON	P	2015-04-28	2015-07-10
296 SHS L-3 COMMNCTBC HLDGS	P	2015-04-29	2015-05-28
300 SHS LINCOLN NTL CORP	P	2014-12-08	2015-04-30
766 SHS LINEAR TECHNOLOGY CORP	P	2015-04-28	2015-07-10
200 SHS MOODY'S CORP	P	2015-02-24	2015-04-28
100 SHS MONSANTO CO	P	2015-02-25	2015-04-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
20,792		24,335	-3,543
22,119		25,191	-3,072
10,023		9,276	747
18,748		18,608	140
35,187		35,403	-216
34,938		35,193	-255
17,024		17,139	-115
33,132		35,535	-2,403
21,422		19,431	1,991
11,397		12,495	-1,098

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-3,543
			-3,072
			747
			140
			-216
			-255
			-115
			-2,403
			1,991
			-1,098

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	Date acquired (c) (mo , day, yr)	(d) Date sold (mo , day, yr)
400 SHS MICROSOFT CORP	P	2014-11-26	2015-04-30
300 SHS NORDSTROM INC	P	2015-03-04	2015-04-30
349 SHS NORFOLK SOUTHERN CORP	P	2015-04-28	2015-07-10
252 SHS PARKER HANNIFIN CORP	P	2015-06-29	2015-07-10
730 SHS PAYCHEX INC	P	2015-04-28	2015-07-10
200 SHS PNC FINCL SERVICES GRP	P	2014-12-10	2015-04-28
444 SHS PROCTER & GAMBLE CO	P	2015-04-28	2015-07-10
518 SHS QUALCOMM INC	P	2015-04-28	2015-07-10
336 SHS RAYETHEON CO	P	2015-04-28	2015-07-10
200 SHS SANDISK CORP INC	P	2014-08-28	2015-04-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
19,585		18,984	601
22,742		24,000	-1,258
30,122		35,866	-5,744
28,544		29,289	-745
34,910		35,694	-784
18,250		17,837	413
35,914		35,545	369
32,651		35,628	-2,977
33,202		35,814	-2,612
13,395		19,642	-6,247

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			601
			-1,258
			-5,744
			-745
			-784
			413
			369
			-2,977
			-2,612
			-6,247

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1,024 SHS HEALTH CARE SELECT	P	2015-07-10	2015-12-08
444 SHS CONSUMER DISCETIONARY	P	2015-07-10	2015-12-08
12 SHS SECTOR SPDR FINANCIAL	P	2015-07-10	2015-08-25
400 SHS TEXTRON INC	P	2015-02-05	2015-04-28
225 shs 3m company	P	2015-04-28	2015-07-10
200 SHS TIME WARNER CABLE INC	P	2014-12-05	2015-04-28
400 SHS TYCO INTL	P	2014-12-03	2015-04-30
400 SHS US BANCORP	P	2015-02-25	2015-04-28
550 SHS UNION PACIFIC CORP	P	2014-12-11	2015-07-10
309 SHS UNITED TECHS CORP	P	2015-04-28	2015-07-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
73,112		77,313	-4,201
35,738		35,894	-156
277		296	-19
17,684		17,428	256
34,927		35,435	-508
31,487		29,494	1,993
15,821		17,406	-1,585
17,088		17,904	-816
55,711		60,080	-4,369
34,122		35,593	-1,471

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-4,201
			-156
			-19
			256
			-508
			1,993
			-1,585
			-816
			-4,369
			-1,471

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
715 SHS WISDOMTREE EUROPE HEDGED EQUITY FD	P	2015-07-10	2015-12-08
452 SHS WAL-MART STORES INC	P	2015-04-28	2015-07-10
100 SHS KANSAS CITY SOUTHERN	P	2013-12-30	2015-04-30
200 SHS PHILIP MORRIS INTL INC	P	2013-03-22	2015-04-28
CHEMOURS CO-CASH/LIEU	P	2015-07-08	2015-07-08
50 SHS ROLLINS INC	P	2013-12-30	2015-03-11
261 SHS MDU RESOURCES GRP INC	P	2013-12-30	2015-03-30
92 SHS POWER INTEGRATIONS INC	P	2013-12-30	2015-04-27
75 SHS STARBUCKS CORP	P	2013-12-30	2015-04-27
24 SHS STERICYCLE INC	P	2013-12-30	2015-04-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
42,356		46,227	-3,871
33,091		35,589	-2,498
10,240		12,245	-2,005
16,572		18,307	-1,735
8			8
11		10	1
5,619		7,920	-2,301
4,607		5,090	-483
3,847		2,945	902
3,277		2,782	495

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-3,871
			-2,498
			-2,005
			-1,735
			8
			1
			-2,301
			-483
			902
			495

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
80 SHS DEALERTRACK TECHNOLOGIES INC	P	2015-04-27	2015-07-14
148 SHS DEALERTRACK TECHNOLOGIES INC	P	2013-12-30	2015-07-14
64 SHS WAL MART STORES INC	P	2013-12-30	2015-07-27
102 SHS CREE INC	P	2013-12-30	2015-08-04
118 SHS IPC HEALTHCARE INC	P	2013-12-30	2015-10-22
112 SHS JOHNSON CONTROLS INC	P	2013-12-30	2015-10-29
62 SHS VMWARE INC CL A	P	2013-12-30	2015-10-29
83 shs nordson CORP	P	2014-04-04	2015-01-21
105 SHS SANDISK CORP	P	2014-11-21	2015-01-22
218 SHS TRIBUNE MEDIA CO CL A	P	2014-01-09	2015-01-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,991		3,230	1,761
9,233		7,017	2,216
4,566		5,021	-455
2,513		6,266	-3,753
9,303		7,008	2,295
4,961		5,699	-738
3,628		5,521	-1,893
6,008		5,949	59
8,089		10,528	-2,439
12,587		15,716	-3,129

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,761
			2,216
			-455
			-3,753
			2,295
			-738
			-1,893
			59
			-2,439
			-3,129

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
214 SHS INVESCO LTD	P	2013-12-05	2015-11-22
53 SHS TRIBUNE MEDIA CO CL A	P	2014-01-09	2015-01-23
67 SHS BORGWARNER INC	P	2012-05-17	2015-02-17
174 SHS IHS INC CL A	P	2013-05-31	2015-03-26
113 SHS VERISK ANALYTICS INC CL A	P	2010-02-09	2015-02-26
24 SHS COOPER COMPANIES INC	P	2014-09-25	2015-04-06
252 SHS DUNKIN BRANDS GRP INC	P	2014-07-30	2015-04-21
58 SHS MARKWEST ENERGY PARTNERS LP	P	2012-05-10	2015-04-02
093 SHS GOOGLE INC CL C	P	2014-10-22	2015-05-04
102 SHS KANSAS CITY SOUTHERN	P	2015-04-16	2015-05-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
7,894		7,383	511
2,986		3,990	-1,004
4,054		2,195	1,859
19,227		18,966	261
8,195		3,157	5,038
4,512		3,734	778
11,988		10,904	1,084
3,853		3,358	495
52		50	2
9,624		10,739	-1,115

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			511
			-1,004
			1,859
			261
			5,038
			778
			1,084
			495
			2
			-1,115

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
69 SHS NORDSON CORP	P	2014-06-30	2015-05-13
71 SHS NORDSON CORP	P	2014-04-14	2015-05-13
110 SHS MARKWEST ENERGY PARTNERS LP	P	2013-11-20	2015-06-04
33 SHS BIOGEN INC	P	2015-04-15	2015-07-24
89 SHS STARWOOD HOTELS & RESORTS WORLDWIDE INC	P	2015-01-05	2015-07-27
174 SHS TEVA PHARMACEUTICALS IND LTD	P	2014-12-15	2015-07-28
130 shs MARKWEST ENERGY PARTNERS LP	P	2014-05-15	2015-07-06
139 SHS UNTD RENTALS INC	P	2013-06-17	2015-07-01
6 SHS PRICELINE GRP INC	P	2015-02-12	2015-08-12
565 SHS CALPINE CORP	P	2013-04-11	2015-08-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,656		5,491	165
5,820		5,011	809
6,714		7,514	-800
10,544		13,770	-3,226
7,121		6,934	187
12,362		9,754	2,608
7,467		8,164	-697
11,951		7,096	4,855
7,766		6,599	1,167
8,868		12,008	-3,140

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			165
			809
			-800
			-3,226
			187
			2,608
			-697
			4,855
			1,167
			-3,140

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
227 SHS DELPHI AUTOMOTIVE	P	2014-01-21	2015-08-14
157 SHS NIELSEN HOLDINGS	P	2011-08-04	2015-08-05
113 SHS VISA INC CL A	P	2014-05-05	2015-08-10
360 SHS ACTIVISION BLIZZARD INC	P	2014-11-20	2015-08-28
144 SHS TEVA PHARMACEUTICALS IND	P	2014-12-23	2015-09-10
415 SHS ORACLE CORP	P	2015-01-21	2015-10-19
250 SHS TIME WARNER INC	P	2015-04-13	2015-09-30
418 SHS INVESCO LTD	P	2013-12-10	2015-10-22
425 SHS ACTIVISON BLIZZARD INC	P	2014-11-20	2015-11-12
23 SHS KKR & CO LP	P	2015-08-03	2015-10-29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
17,334		14,305	3,029
7,546		4,455	3,091
8,367		5,862	2,505
10,648		7,559	3,089
8,943		8,281	662
15,257		18,056	-2,799
17,109		21,172	-4,063
13,336		15,073	-1,737
15,001		8,988	6,013
2,145		3,076	-931

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			3,029
			3,091
			2,505
			3,089
			662
			-2,799
			-4,063
			-1,737
			6,013
			-931

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
145 SHS STARWOOD HOTELS & RESORTS WORLDWIDE INC	P	2015-01-05	2015-11-18
305 SHS BORGWARNER INC	P	2012-07-11	2015-11-20
468 SHS CALPINE CORP	P	2013-04-11	2015-11-04
758 SHS KKR & CO LP	P	2014-03-18	2015-10-29
405 SHS TEEKAY CORP	P	2010-09-23	2015-12-17
23 SHS ALLERGAN	P	2014-12-15	2015-11-18
WASH SALE ADJUSTMENTS	P	2015-01-05	2015-12-31
2 CALLS UNP	P	2015-04-16	2015-04-28
4 CALLS TXT	P	2015-04-16	2015-04-28
2 CALLS PNC	P	2015-04-16	2015-04-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
10,434		11,290	-856
13,170		13,768	-598
7,340		9,586	-2,246
12,710		17,661	-4,951
2,822		14,743	-11,921
7,027		6,062	965
13,835			13,835
		6	-6
		244	-244
		140	-140

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-856
			-598
			-2,246
			-4,951
			-11,921
			965
			13,835
			-6
			-244
			-140

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
2 CALLS MCO	P	2015-04-16	2015-04-28
2 CALLS TWC	P	2015-04-16	2015-04-28
3 CALLS JPM	P	2015-04-16	2015-04-28
4 CALLS USB	P	2015-04-16	2015-04-28
35 SHS DELPHI AUTOMOTIVE	P	2014-01-21	2015-01-20
217 SHS KKR & CO LP	P	2014-03-18	2015-01-14
2 CALLS TWC	P	2015-01-01	2015-03-09
Capital Gains Dividends	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
		1,550	-1,550
		390	-390
		258	-258
		20	-20
2,346		2,206	140
5,041		5,080	-39
1,336			1,336
138,021			138,021

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1,550
			-390
			-258
			-20
			140
			-39
			1,336
			138,021

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation(If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
ALAN AUFZIEN	DIRECTOR 0 00	0	0	0
350 PASSAIC AVENUE FAIRFIELD,NJ 07004				
NORMA AUFZIEN	DIRECTOR 0 00	0	0	0
350 PASSAIC AVENUE FAIRFIELD,NJ 07004				
JONATHAN AUFZIEN	DIRECTOR 0 00	0	0	0
350 PASSAIC AVENUE FAIRFIELD,NJ 07004				
LESLIE LEVINE	DIRECTOR 0 00	0	0	0
350 PASSAIC AVENUE FAIRFIELD,NJ 07004				
MEREDITH BAUER	DIRECTOR 0 00	0	0	0
350 PASSAIC AVENUE FAIRFIELD,NJ 07004				
LISA AUFZIEN	DIRECTOR 0 00	0	0	0
350 PASSAIC AVENUE FAIRFIELD,NJ 07004				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
american friends oF JAFFA INSTITUTE C/O DR E HARTMAN 171-06 76TH AVE FLUSHING,NY 11366		EXEMPT	DONATION	7,000
AMERICAN FRIENDS OF TEL AVIV UNIVERSITY 33 broadway NEW YORK,NY 10006		EXEMPT	DONATION	39,000
ANTI DEFAMATION LEAGUE 605 THIRD AVENUE NEW YORK,NY 10158		EXEMPT	DONATION	5,500
BOYS TOWN JERUSALEM FOUNDAITON OF AMERICA INC 1 PENN PLAZA SUITE 6250 NEW YORK,NY 10219		EXEMPT	DONATION	500
CITY HARVEST 575 EIGHTH AVENUE NEW YORK,NY 10018		EXEMPT	DONATION	2,000
CJLPRINCETON HILLEL 70 WASHINGTON ROAD PRINCETON,NJ 08540		EXEMPT	DONATION	750
CONGREGATION SHEARITH ISRAEL 8 WEST 70TH STREET NEW YORK,NY 10023		EXEMPT	DONATION	10,000
JEWISH NATIONAL FUND 42 EAST 69TH STREET NEW YORK,NY 10021		EXEMPT	DONATION	9,000
LUBAVITCH HOUSE UNIVERSITY OF PA 4032-34 SPRUCE ST PHILADELPHIA,PA 19104		EXEMPT	DONATION	1,000
NEW JERSEY SEEDS THE PEDDIE SCHOOL PO BOX 31 HIGHSTOWN,NJ 08520		EXEMPT	DONATION	15,000
NY TIMES NEEDIEST CASES 4 CHASE METROTECH CENTER BROOKLYN,NY 11245		EXEMPT	DONATION	100
PAPERMILL PLAYHOUSE 22 BROOKSIDE DR MILLBURN,NJ 07041		EXEMPT	DONATION	1,250
PINE CREST SCHOOL 1501 NE 62ND STREET FORT LAUDERDALE,FL 33334		EXEMPT	DONATION	1,000
PRINCETON UNIVERSITY PO BOX 5357 PRINCETON,NJ 08543		EXEMPT	DONATION	5,000
SYMPHONY SPACE 2537 BROADWAY NEW YORK,NY 10025		EXEMPT	DONATION	10,000
Total ▶ 3a				477,050

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
THE INVESTIGATIVE PROJECT ON TERRORISM 5614 CONNECTICUT AVE NW SUITE 341 WASHINGTON,DC 20015		EXEMPT	DONATION	10,000
THE JEWISH MUSEUM 1109 5TH AVENUE NEW YORK,NY 10128		EXEMPT	DONATION	5,000
THE RAMAZ SCHOOL 114 EAST 85TH STREET NEW YORK,NY 10028		EXEMPT	DONATION	850
THE WASHINGTON INSTITUTE FOR NEAR EAST POLICY 1828 L STREET WASHINGTON,DC 20036		EXEMPT	DONATION	213,000
UJA FEDERATION OF NY ONE INTREPID SQUARE WEST 46TH ST AT 12TH AVE NEW YORK,NY 10003		EXEMPT	DONATION	6,000
UNIVERSITY OF PENNSYLVANIA 433 FRANKLIN BUILDING PHILADELPHIA,PA 19104		EXEMPT	DONATION	63,500
VASSAR COLLEGE BOX 14 POUGHKEEPSIE,NY 12601		EXEMPT	DONATION	50,000
JEWISH ADOPTION & FOSTER cARE options inc 4200 N UNIVERISTY DRIVE SUNRISE,FL 33351		EXEMPT	DONATION	8,500
SUNRISE DAY CAMP C/O FRIEDBERG JCC 15 NEAL CT OCEANSIDE,NY 11572		EXEMPT	DONATION	1,000
SILVER SHIELD FOUNDATION 870 U N PLAZA NEW YORK,NY 10017		EXEMPT	DONATION	100
CLIFTON JEWISH CENTER 16 DELAWARE AVENUE CLIFTON,NJ 07011		EXEMPT	DONATION	500
THE FREINDS OF AMAL UNITED STATES INC C/O SHEM SEHAM MELTZ PETERSON LLP 199 MAIN ST WHITE PLAINS,NY 10601		EXEMPT	DONATION	5,000
DAUGHTERS OF MIRIAM HAZEL ROAD CLIFTON,NJ 07011		EXEMPT	DONATION	1,500
jewish FAMILY & VOCATIONAL SERVICE OF MIDDLESEX COUNTY 32 FORD AVENUE 2NDS FL MILLTOWN,NJ 08850		EXEMPT	DONATION	5,000
Total ▶ 3a				477,050

TY 2015 Accounting Fees Schedule**Name:** AUFZIEN FOUNDATION INC**EIN:** 22-2838652

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
accounting fees	8,440	8,440		0

TY 2015 Investments - Other Schedule**Name:** AUFZIEN FOUNDATION INC**EIN:** 22-2838652

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
STOCKS AND BONDS	AT COST	0	0
CMS STRUCTURED PRODUCTS FUND	AT COST	334,758	334,758
CMS PRIVATE REIT FUND	AT COST	282,770	282,770
MAGNITUDE INTERNATIONAL	AT COST	285,793	285,793
WYNNEFIELD SMALL CAP OFFSHORE	AT COST	227,577	323,552
meridian redevelopment value fund	AT COST	34,174	34,174
GOLUB CAPITAL PARTNERS V LP	AT COST	140,363	140,363
WHITEBOX MULTI STRATEGY FUND	AT COST	404,817	885,174
GEMS FUND LP	AT COST	133,441	133,441
GOLUB CAPITAL PARTNERS VII LP	AT COST	129,420	129,420
GOLUB CAPITAL PARTNERS VIII LP	AT COST	88,821	88,821
GOLUB CAPITAL PARTNERS 9 LP	AT COST	172,646	172,646
1,659 SHS GOLUB CAPITAL BDC INC	AT COST	31,703	31,703
KLEIN ANDREWS RIDGE DE LLC	AT COST	193,289	193,289
GEM FUND 4 LP	AT COST	8,098	8,098
CHARLES SCHWAB #2148-1279	AT COST	1,093,977	1,111,906
MERRILL LYNCH #847-03407	AT COST	909,475	896,061
MERRILL LYNCH #847-03452	AT COST	100,000	106,880
UBS FINANCIAL #61938	AT COST	420,678	478,815
UBS FINANCIAL #61943	AT COST	404,416	437,227

TY 2015 Other Decreases Schedule**Name:** AUFZIEN FOUNDATION INC**EIN:** 22-2838652

Description	Amount
BOOK-TAX DIFFERENCES FROM PASS-THRUS	40,112

TY 2015 Other Expenses Schedule

Name: AUFZIEN FOUNDATION INC

EIN: 22-2838652

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT FEES	41,250	41,250		0
OTHER INVESTMENT EXPENSES	3,214	3,214		0
LICENSES AND FEES	27	27		0
OTHER INCOME OR LOSS FROM PASS-THRU\$ GOLUB CAPITAL PARTNERS V LP	-4,548	-4,548		0
OTHER INCOME OR LOSS FROM PASS-THRU\$ MERIDIAN REDEVELOPMENT VALUE FUND 1	1,301	1,301		0
OTHER INCOME OR LOSS FROM PASS-THRU\$ GEMS FUND LP	2,737	2,737		0
OTHER INCOME OR LOSS FROM PASS-THRU\$ GOLUB CAPITAL PARTNERS VII LP	-4,198	-4,198		0
OTHER INCOME OR LOSS FROM PASS-THRU\$ GOLUB CAPITAL PARTNERS VII LP	-2,837	-2,837		0
OTHER INCOME OR LOSS FROM PASS-THRU\$ GOLUB CAPITAL PARTNERS 9 LP	-3,865	-3,865		0
OTHER INCOME OR LOSS FROM PASS-THRU\$ GOLUB CAPITAL PARTNERS 9 LP	-3,780	-3,780		0
OTHER INCOME OR LOSS FROM PASS-THRU\$ KLEIN ANDREWS RIDGE DE LLC	6,711	6,711		0
OTHER INCOME OR LOSS FROM PASS-THRU\$ WHITEBOX COMBINED FUND	12,818	12,818		0
OTHER INCOME OR LOSS FROM PASS-THRU\$ GEMS FUND 4 LP	-74	-74		0

TY 2015 Other Income Schedule**Name:** AUFZIEN FOUNDATION INC**EIN:** 22-2838652

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
OTHER INCOME - UBS 61938	2,441	2,441	2,441

TY 2015 Taxes Schedule

Name: AUFZIEN FOUNDATION INC

EIN: 22-2838652

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	1,288	1,288		0
federal income taxes	7,800	0		0

<div>Schedule B</div> <div>(Form 990, 990-EZ, or 990-PF)</div> <div>Department of the Treasury Internal Revenue Service</div>	<div>Schedule of Contributors</div> <div>▶ Attach to Form 990, 990-EZ, or 990-PF</div> <div>▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990</div>	<div>OMB No 1545-0047</div> <div>2015</div>
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<div>Name of the organization</div> <div>AUFZIEN FOUNDATION INC</div>	<div>Employer identification number</div> <div>22-2838652</div>
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Organization type (check one)

<div>Filers of:</div> <div>Form 990 or 990-EZ</div> <div>Form 990-PF</div>	<div>Section:</div> <div><input type="checkbox"/> 501(c)() (enter number) organization</div> <div><input type="checkbox"/> 4947(a)(1) nonexempt charitable trust not treated as a private foundation</div> <div><input type="checkbox"/> 527 political organization</div> <div><input checked="" type="checkbox"/> 501(c)(3) exempt private foundation</div> <div><input type="checkbox"/> 4947(a)(1) nonexempt charitable trust treated as a private foundation</div> <div><input type="checkbox"/> 501(c)(3) taxable private foundation</div>
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Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization AUFZIEN FOUNDATION INC	Employer identification number 22-2838652
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Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed			
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1		\$ 58,898	Person <input checked="" type="checkbox"/>
	ALAN AUFZIEN		Payroll <input type="checkbox"/>
	350 PASSAIC AVENUE		Noncash <input type="checkbox"/>
	FAIRFILED, NJ 07004		(Complete Part II for noncash contributions)
2		\$ 192,363	Person <input checked="" type="checkbox"/>
	ALAN AUFZIEN		Payroll <input type="checkbox"/>
	350 PASSAIC AVENUE		Noncash <input checked="" type="checkbox"/>
	FAIRFILED, NJ 07004		(Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/>
			Payroll <input type="checkbox"/>
			Noncash <input type="checkbox"/>
			(Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/>
			Payroll <input type="checkbox"/>
			Noncash <input type="checkbox"/>
			(Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/>
			Payroll <input type="checkbox"/>
			Noncash <input type="checkbox"/>
			(Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/>
			Payroll <input type="checkbox"/>
			Noncash <input type="checkbox"/>
			(Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/>
			Payroll <input type="checkbox"/>
			Noncash <input type="checkbox"/>
			(Complete Part II for noncash contributions)

Name of organization AUFZIEN FOUNDATION INC	Employer identification number 22-2838652
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Part II

Noncash Property

(see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
2	11,049 SHARES OF GOLUB CAP BDC STOCK	\$ 192,363	2015-11-30
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	

Name of organization AUFZIEN FOUNDATION INC	Employer identification number 22-2838652
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Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ► \$ _____

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	<div></div> <div></div> <div>--</div>	<div></div> <div></div> <div></div>	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	<div></div> <div></div> <div>--</div>	<div></div> <div></div> <div></div>	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	<div></div> <div></div> <div>--</div>	<div></div> <div></div> <div></div>	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	<div></div> <div></div> <div>--</div>	<div></div> <div></div> <div></div>	