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EXTENDED TO AUGUST 15, 2016

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No. 1545-0052

2015

Form 990-PF

Department of the Treasury
Internal Revenue Service

Do not enter social security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

Open to Public Inspection

For calendar year 2015 or tax year beginning

, and ending

Name of foundation THE GORDON A. CAIN FOUNDATION		A Employer identification number 20-8483773
Number and street (or P.O. box number if mail is not delivered to street address) 8 GREENWAY PLAZA	Room/suite 606	B Telephone number 713-840-7896
City or town, state or province, country, and ZIP or foreign postal code HOUSTON, TX 77046		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 16,236,101.	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received					
2 Check ☒ if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		577,768.	562,599.		STATEMENT 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		840,231.			
b Gross sales price for all assets on line 6a		10,918,562.			
7 Capital gain net income (from Part IV, line 2)			840,231.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		2,909.	2,909.	0.	STATEMENT 2
12 Total. Add lines 1 through 11		1,420,908.	1,405,739.		
13 Compensation of officers, directors, trustees, etc		0.	0.	0.	0.
14 Other employee salaries and wages		10,735.	10,735.		0.
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 3		17,849.	17,849.		0.
c Other professional fees					
17 Interest					
18 Taxes STMT 4		12,273.	3,165.	0.	0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 5		118,996.	118,994.	0.	0.
24 Total operating and administrative expenses. Add lines 13 through 23		159,853.	150,743.	0.	0.
25 Contributions, gifts, grants paid		793,500.			793,500.
26 Total expenses and disbursements. Add lines 24 and 25		953,353.	150,743.	0.	793,500.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		467,555.			
b Net investment income (if negative, enter -0-)			1,254,996.		
c Adjusted net income (if negative, enter -0-)				0.	

LHA For Paperwork Reduction Act Notice, see instructions.

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SCANNED JUL 16 2016



Part II Balance Sheets		Beginning of year	End of year	
			(a) Book Value	(b) Book Value
Assets	1 Cash - non-interest-bearing	12,390.	59,694.	59,694.
	2 Savings and temporary cash investments	256,531.	244,634.	244,634.
	3 Accounts receivable ▶ 21,828.			
	Less: allowance for doubtful accounts ▶	14,621.	21,828.	21,828.
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	31,080.	9,120.	9,120.
	10a Investments - U.S. and state government obligations STMT 6	881,358.	602,166.	616,150.
	b Investments - corporate stock STMT 7	10,864,510.	12,106,823.	14,596,580.
	c Investments - corporate bonds STMT 8	878,203.	474,056.	465,132.
Liabilities	11 Investments - land, buildings, and equipment; basis ▶			
	Less: accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 9	333,354.	221,191.	222,963.
	14 Land, buildings, and equipment; basis ▶ 750.			
	Less: accumulated depreciation ▶ 750.			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	13,272,047.	13,739,512.	16,236,101.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ STATEMENT 10)	468.	378.	
	23 Total liabilities (add lines 17 through 22)	468.	378.	
	Foundations that follow SFAS 117, check here ▶ ☐			
	24 Unrestricted and complete lines 24 through 26 and lines 30 and 31.			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒			
	27 Capital stock, trust principal, or current funds	11,922,824.	11,922,824.	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	1,348,755.	1,816,310.	
	30 Total net assets or fund balances	13,271,579.	13,739,134.	
	31 Total liabilities and net assets/fund balances	13,272,047.	13,739,512.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	13,271,579.
2 Enter amount from Part I, line 27a	2	467,555.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	13,739,134.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	13,739,134.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENT			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 10,918,562.		10,078,331.	840,231.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			840,231.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	840,231.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2014	838,500.	16,613,851.	.050470
2013	665,500.	15,504,237.	.042924
2012	582,500.	14,120,209.	.041253
2011	552,000.	13,353,708.	.041337
2010	543,000.	12,201,710.	.044502

2 Total of line 1, column (d)	2	.220486
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.044097
4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	4	16,370,288.
5 Multiply line 4 by line 3	5	721,881.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	12,550.
7 Add lines 5 and 6	7	734,431.
8 Enter qualifying distributions from Part XII, line 4	8	793,500.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	12,550.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	12,550.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	12,550.
6 Credits/Payments:			
a 2015 estimated tax payments and 2014 overpayment credited to 2015	6a	9,120.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	7,000.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	16,120.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	3,570.	
11 Enter the amount of line 10 to be: Credited to 2016 estimated tax ☒ 3,570. Refunded ☐	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☒ \$ 0. (2) On foundation managers. ☒ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☒ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ TX		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	X	
Website address N/A		
14 The books are in care of CAIN FAMILY OFFICE Telephone no. 713-840-7896		
Located at 8 GREENWAY PLAZA, SUITE 606, HOUSTON, TX ZIP+4 77046		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15 N/A	
16 At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	Yes	No
See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country	16	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	N/A	
Organizations relying on a current notice regarding disaster assistance check here	☐	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015?	☐ Yes ☒ No	
If "Yes," list the years	N/A	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.	N/A	
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 11		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

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Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	16,320,620.
b	Average of monthly cash balances	1b	298,962.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	16,619,582.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	16,619,582.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	249,294.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	16,370,288.
6	Minimum investment return. Enter 5% of line 5	6	818,514.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	818,514.
2a	Tax on investment income for 2015 from Part VI, line 5	2a	12,550.
b	Income tax for 2015. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	12,550.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	805,964.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	805,964.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	805,964.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	793,500.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	793,500.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	12,550.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	780,950.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				805,964.
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2015:				
a From 2010				
b From 2011				
c From 2012				
d From 2013				
e From 2014				16,525.
f Total of lines 3a through e	16,525.			
4 Qualifying distributions for 2015 from Part XII, line 4: ► \$				793,500.
a Applied to 2014, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2015 distributable amount				793,500.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2015 (if an amount appears in column (d), the same amount must be shown in column (a))	12,464.			12,464.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	4,061.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2014. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a	4,061.			
10 Analysis of line 9:				
a Excess from 2011				
b Excess from 2012				
c Excess from 2013				
d Excess from 2014				4,061.
e Excess from 2015				

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
ALLEY THEATRE 615 TEXAS AVENUE HOUSTON, TX 77002	NONE	PUBLIC	UNRESTRICTED	155,000.
AMERICAN HERITAGE EDUCATION FOUNDATION 3501 W ALABAMA, SUITE 20 HOUSTON, TX 77027	NONE	PUBLIC	UNRESTRICTED	10,000.
BAYOU BEND COLLECTION P.O. BOX 6826 HOUSTON, TX 77265-6826	NONE	PUBLIC	UNRESTRICTED	5,000.
BUFFALO BAYOU PARTNERSHIP 1113 VINE STREET, SUITE 215 HOUSTON, TX 77002	NONE	PUBLIC	UNRESTRICTED	10,000.
CASA DE ESPERANZA P.O. BOX 66581 HOUSTON, TX 77266	NONE	PUBLIC	UNRESTRICTED	5,000.
Total	SEE CONTINUATION SHEET(S)			793,500.
b Approved for future payment				
NONE				
Total				0.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|--|---|-------|----|
| 1 | Did the organization directly or indirectly engage in any of the following described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| | a Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| | b Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| | c Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

May the IRS discuss this return with the preparer shown below (see instr)?

☒ Yes ☐ No

Signature of officer or trustee

Date _____

► CHAIRMAN

Title

**Paid
Preparer
Use Only**

Print/Type preparer's name

Preparer's signature

Date _____

Check ☐ self-employed

PTIN

ELIZABETH O. BUNK

Preparer's signature
Elizabeth Brund

06/17/2016

P00244694

Firm's name ► **WEAVER AND TIDWELL, LLP**

Firm's EIN ▶ 75-0786316

Firm's address ► 24 GREENWAY PLAZA, SUITE 1800
HOUSTON, TX 77046

Phone no. 713.850.8787

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	NORTHERN TRUST #3890 - SEE ATTACHED STATEMENT	P	01/01/15	12/31/15
b	NORTHERN TRUST #3890 - SEE ATTACHED STATEMENT	P	01/01/14	12/31/15
c	NORTHERN TRUST #5403 - SEE ATTACHED STATEMENT	P	01/01/15	12/31/15
d	NORTHERN TRUST #5403 - SEE ATTACHED STATEMENT	P	01/01/14	12/31/15
e	1000 SHS APPLE INC	P	01/01/14	12/31/15
f	ENERGY TRANSFER EQUITY	P	01/01/14	12/31/15
g	CAPITAL GAINS DIVIDENDS			
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 5,480,758.		5,486,879.	<6,121.>
b 612,829.		611,939.	890.
c 1,802,682.		2,078,001.	<275,319.>
d 2,875,649.		1,836,802.	1,038,847.
e 126,642.		64,710.	61,932.
f 12.			12.
g 19,990.			19,990.
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<6,121.>
b			890.
c			<275,319.>
d			1,038,847.
e			61,932.
f			12.
g			19,990.
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	840,231.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CHILD ADVOCATES INC 2401 PORTSMOUTH, STE 300 HOUSTON, TX 77098	NONE	PUBLIC	UNRESTRICTED	15,000.
CITIZENS AGAINST GOVERNMENT WASTE 1301 CONNECTICUT AVE NW STE 400 WASHINGTON, DC 20036	NONE	PUBLIC	UNRESTRICTED	15,000.
CRIME STOPPERS OF HOUSTON P.O. BOX 541654 HOUSTON, TX 77254-1654	NONE	PUBLIC	UNRESTRICTED	5,000.
DEPRESSION & BIPOLAR SUPPORT ALLIANCE 3800 BUFFALO SPEEDWAY, STE 300 HOUSTON, TX 77089	NONE	PUBLIC	UNRESTRICTED	10,000.
EXPEDITION BALANCE 3012 MORRISON ST HOUSTON, TX 77009	NONE	PUBLIC	UNRESTRICTED	5,000.
FIRST CONGREGATIONAL CHURCH OF HOUSTON 10840 BEINHORN ROAD HOUSTON, TX 77024	NONE	PUBLIC	UNRESTRICTED	25,000.
GOOD SAMARITAN FOUNDATION OF TEXAS INC 5615 KIRBY DR., STE 610 HOUSTON, TX 77005-2462	NONE	PUBLIC	UNRESTRICTED	35,000.
GOODMAN INSTITUTE FOR PUBLIC POLICY RESEARCH 6335 W NORTHWEST HWY, STE 2111 DALLAS, TX 75225	NONE	PUBLIC	UNRESTRICTED	10,000.
HELPING A HERO P.O. BOX 19310 HOUSTON, TX 77024	NONE	PUBLIC	UNRESTRICTED	5,000.
HORIZON MUSIC GROUP INC 926 EDNUEL AVE ARCADIA, CA 91006	NONE	PUBLIC	UNRESTRICTED	3,000.
Total from continuation sheets				608,500.

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
HOUSTON AREA WOMEN'S CENTER INC 1010 WAUGH DR HOUSTON, TX 77019	NONE	PUBLIC	UNRESTRICTED	5,000.
HOUSTON BOTANIC GARDEN 3701 KIRBY DRIVE HOUSTON, TX 77027	NONE	PUBLIC	UNRESTRICTED	25,000.
HOUSTON FOOD BANK 535 PORTWALL ST HOUSTON, TX 77029	NONE	PUBLIC	UNRESTRICTED	5,000.
HOUSTON MUSEUM OF NATURAL SCIENCE ONE HERMANN CIRCLE DR HOUSTON, TX 77030	NONE	PUBLIC	UNRESTRICTED	20,000.
HOUSTON SPCA 900 PORTWAY DR HOUSTON, TX 77024	NONE	PUBLIC	UNRESTRICTED	500.
THE INDEPENDENT INSTITUTE 100 SWAN WAY OAKLAND, CA 94621	NONE	PUBLIC	UNRESTRICTED	5,000.
LAMAR HIGH SCHOOL 3325 WESTHEIMER ROAD HOUSTON, TX 77098	NONE	PUBLIC	UNRESTRICTED	5,000.
MEDIA RESEARCH CENTER, INC 325 S PATRICK ST ALEXANDRIA, VA 22314	NONE	PUBLIC	UNRESTRICTED	50,000.
MEMORIAL HERMANN FOUNDATION 929 GESSNER ROAD #2650 HOUSTON, TX 77024	NONE	PUBLIC	UNRESTRICTED	5,000.
MENNINGER CLINIC 2801 GESSNER DRIVE HOUSTON, TX 77080	NONE	PUBLIC	UNRESTRICTED	25,000.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
MENTAL HEALTH AMERICA OF GREATER HOUSTON FOUNDATION, INC 2211 NORFOLK ST, STE 810 HOUSTON, TX 77098	NONE	PUBLIC	UNRESTRICTED	10,000.
MUSEUM OF FINE ARTS HOUSTON P.O. BOX 6826 HOUSTON, TX 77265-6826	NONE	PUBLIC	UNRESTRICTED	130,000.
NATIONAL CENTER FOR PUBLIC POLICY RESEARCH 501 CAPITAL COURT, N.E. STE WASHINGTON, DC 20002	NONE	PUBLIC	UNRESTRICTED	20,000.
NATIONAL INFANTRY FOUNDATION 1775 LEGACY WAY, SUITE 220 COLUMBUS, GA 31903	NONE	PUBLIC	UNRESTRICTED	50,000.
NATIONAL LEGAL AND POLICY CENTER 107 PARK WASHINGTON COURT FALLS CHURCH, VA 75251	NONE	PUBLIC	UNRESTRICTED	15,000.
NATIONAL RIFLE ASSOCIATION OF AMERICA 11250 WAPLES MILL ROAD FAIRFAX, VA 22030	NONE	PUBLIC	UNRESTRICTED	25,000.
NORA'S HOME 83000 EL RIO HOUSTON, TX 77054	NONE	PUBLIC	UNRESTRICTED	1,000.
OPEN DOOR MISSION 5803 HARRISBURG HOUSTON, TX 77011	NONE	PUBLIC	UNRESTRICTED	5,000.
PHILANTHROPY ROUNDTABLE 1150 17TH STREET, NW, SUITE 503 WASHINGTON, DC 20036	NONE	PUBLIC	UNRESTRICTED	1,000.
PROJECT GRAD HOUSTON P.O. BOX 1558 HOUSTON, TX 77220-5568	NONE	PUBLIC	UNRESTRICTED	5,000.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
THE GARDEN CLUB OF HOUSTON 42121 SAN FELIPE PMB 486 HOUSTON, TX 77027	NONE	PUBLIC	UNRESTRICTED	1,000.
SAN JACINTO MUSEUM OF HISTORY ONE MONUMENT CIRCLE LA PORTE, TX 77571-8385	NONE	PUBLIC	UNRESTRICTED	10,000.
SIGHT INTO SOUND 3935 ESSEX LANE HOUSTON, TX 77027	NONE	PUBLIC	UNRESTRICTED	7,000.
ST MARTIN'S EPISCOPAL CHURCH 717 SAGE ROAD HOUSTON, TX 77056	NONE	PUBLIC	UNRESTRICTED	5,000.
THE BILL OF RIGHTS INSTITUTE 200 NORTH GLEBE ROAD ARLINGTON, VA 22203	NONE	PUBLIC	UNRESTRICTED	5,000.
THE CENTER FOR HEARING AND SPEECH 3636 W DALLAS HOUSTON, TX 77019	NONE	PUBLIC	UNRESTRICTED	5,000.
THEATRE UNDER THE STARS. INC 800 BAGBY, STE 200 HOUSTON, TX 77002	NONE	PUBLIC	UNRESTRICTED	5,000.
TREES FOR HOUSTON P.O. BOX 130096 HOUSTON, TX 77219	NONE	PUBLIC	UNRESTRICTED	5,000.
UNITED SERVICE ORGANIZATION - HOUSTON 2111 WILSON BLVD, STE 12 ARLINGTON, VA 22201	NONE	PUBLIC	UNRESTRICTED	25,000.
YOUNG AUDIENCES OF HOUSTON 4450 POST OAK PLACE HOUSTON, TX 77027	NONE	PUBLIC	UNRESTRICTED	5,000.
Total from continuation sheets				

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES				STATEMENT 1
SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
ENERGY TRANSFER EQUITY	372.	0.	372.	372.	372.
ENERGY TRANSFER EQUITY	320.	0.	320.	320.	320.
NORTHERN TRUST #3889	2.	0.	2.	2.	2.
NORTHERN TRUST #3890	49,893.	0.	49,893.	49,893.	49,893.
NORTHERN TRUST #3890 - NONTAXABLE	1,399.	0.	1,399.	0.	1,399.
NORTHERN TRUST #3891 - CAPITAL GAIN DISTRIBUTIONS	2,382.	2,382.	0.	0.	0.
NORTHERN TRUST #3892 - CAPITAL GAIN DISTRIBUTION	547.	547.	0.	0.	0.
NORTHERN TRUST #5403	464,087.	0.	464,087.	464,087.	464,087.
NORTHERN TRUST #5403	533.	533.	0.	0.	0.
NORTHERN TRUST #5403 - NONTAXABLE	13,770.	0.	13,770.	0.	13,770.
UBS FINANCIAL SERVICES INC	47,925.	0.	47,925.	47,925.	47,925.
UBS FINANCIAL SERVICES INC	16,528.	16,528.	0.	0.	0.
TO PART I, LINE 4	597,758.	19,990.	577,768.	562,599.	577,768.

FORM 990-PF	OTHER INCOME			STATEMENT 2
DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	
LITIGATION INCOME	2,929.	2,929.	0.	
ORDINARY INCOME - ENERGY TRANSFER EQUITY	36.	36.	0.	
NET RENTAL REAL ESTATE INCOME - ENERGY TRANSFER EQUITY	3.	3.	0.	
OTHER NET RENTAL INCOME - ENERGY TRANSFER EQUITY	3.	3.	0.	
ROYALTIES - ENERGY TRANSFER EQUITY	3.	3.	0.	

NET SECTION 1231 LOSS - ENERGY
TRANSFER EQUITY

	<65.>	<65.>	0.
TOTAL TO FORM 990-PF, PART I, LINE 11	2,909.	2,909.	0.

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	17,849.	17,849.	0.	0.
TO FORM 990-PF, PG 1, LN 16B	17,849.	17,849.	0.	0.

FORM 990-PF TAXES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES	2,542.	2,542.	0.	0.
PAYROLL TAX	623.	623.	0.	0.
EXCISE TAX EXPENSE	9,108.	0.	0.	0.
TO FORM 990-PF, PG 1, LN 18	12,273.	3,165.	0.	0.

FORM 990-PF OTHER EXPENSES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BROKERAGE FEES	118,406.	118,406.	0.	0.
MISC	167.	167.	0.	0.
INVESTMENT INTEREST EXPENSE				
- ENERGY TRANSFER EQUITY	18.	18.	0.	0.
NDE - ENERGY TRANSFER EQUITY	2.	0.	0.	0.
OFFICE EXPENSE	403.	403.	0.	0.
TO FORM 990-PF, PG 1, LN 23	118,996.	118,994.	0.	0.

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS	STATEMENT	6
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DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
GOVERNMENT OBLIGATIONS	X		602,166.	616,150.
TOTAL U.S. GOVERNMENT OBLIGATIONS			602,166.	616,150.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			602,166.	616,150.

FORM 990-PF	CORPORATE STOCK	STATEMENT	7
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCK	12,106,823.	14,596,580.
TOTAL TO FORM 990-PF, PART II, LINE 10B	12,106,823.	14,596,580.

FORM 990-PF	CORPORATE BONDS	STATEMENT	8
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE BONDS	474,056.	465,132.
TOTAL TO FORM 990-PF, PART II, LINE 10C	474,056.	465,132.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	9
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
OTHER INVESTMENTS	COST	221,191.	222,963.
TOTAL TO FORM 990-PF, PART II, LINE 13		221,191.	222,963.

FORM 990-PF	OTHER LIABILITIES	STATEMENT 10
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DESCRIPTION	BOY AMOUNT	EOY AMOUNT
PAYROLL TAX PAYABLE	468.	378.
TOTAL TO FORM 990-PF, PART II, LINE 22	468.	378.

FORM 990-PF	PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS	STATEMENT 11
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NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
JAMES D. WEAVER 8 GREENWAY PLAZA, SUITE 606 HOUSTON, TX 77046	CHARMAIN, PRESIDENT, DIRECTOR 20.00	0.	0.	0.
SHARYN A. WEAVER 8 GREENWAY PLAZA, SUITE 606 HOUSTON, TX 77046	DIRECTOR 10.00	0.	0.	0.
ALYSON A. NICHOLAS 8 GREENWAY PLAZA, SUITE 606 HOUSTON, TX 77046	DIRECTOR 0.00	0.	0.	0.
JAMES K. WEAVER 8 GREENWAY PLAZA, SUITE 606 HOUSTON, TX 77046	DIRECTOR 0.00	0.	0.	0.
JOHN M. SULLIVAN 8 GREENWAY PLAZA, SUITE 606 HOUSTON, TX 77046	SECRETARY, TREASURER, DIRECTOR 5.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION
PART XV, LINES 2A THROUGH 2D

STATEMENT 12

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTEDJAMES D. WEAVER
8 GREENWAY PLAZA, SUITE 606
HOUSTON, TX 77046TELEPHONE NUMBERNAME OF GRANT PROGRAM

713-840-7896

THE GORDON A CAIN FOUNDATION

FORM AND CONTENT OF APPLICATIONS

YOUR REQUEST FOR FUNDING SHOULD BE SUBMITTED AS A FORMAL PROPOSAL. IT SHOULD INCLUDE A DETAILED EXPLANATION OF THE PURPOSE AND OBJECTIVES OF THE PROPOSED PROJECT OR ACTIVITY, THE MOST RECENT AUDITED FINANCIAL STATEMENTS, ANNUAL BUDGET, PROJECTED BUDGET IF APPLICABLE, A LIST OF MAJOR SOURCES OF SUPPORT, YOUR STAFF DESCRIPTION, LIST OF THE BOARD OF TRUSTEES, THE MOST RECENT COPY OF YOUR ORGANIZATIONS 501(C)(3) TAX-EXEMPT LETTER FROM THE IRS, AND A THE DESCRIPTION OF AN EVALUATION PLAN.

ANY SUBMISSION DEADLINES

THE FIRST DAY OF THE MONTH PRIOR TO THE MONTH OF THE BOARD MEETING (USUALLY IN APR, SEPT, DEC)

RESTRICTIONS AND LIMITATIONS ON AWARDS

NONE

2015 Tax Information Statement

Page 7 of 110
 Recipient's Name and Address:
 THE GORDON A CAIN FOUNDATION
 8 GREENWAY PLZ STE 606
 HOUSTON, TX 77046-0892

Account Number: 26-93890
 Recipient's Tax ID Number: XX-XXX3773
☐ Corrected ☐ FATCA ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

2015 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.
 Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property

Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Code if any	Adjustments	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Short Term Sales									
30000.0	UNITED STATES TREAS NTS DTD 00399 04-15-2017								
912828SQ4	01/05/2015	Various	31,382.46	31,921.75		0.00	-539.29	0.00	0.00
20000.0	FNMA SINGLE FAMILY MORTGAGE 5.0 MAT 30 YEARS SETTLES JANUARY								
01F050619	01/06/2015	12/03/2014	22,106.25	22,134.38		0.00	-28.13	0.00	0.00
10000.0	MEDTRONIC INC 3.625% 15 MAR 2024								
585055BC9	01/07/2015	12/01/2014	10,535.80	10,168.90		0.00	366.90	0.00	0.00
5000.0	RESOLUTION FDG CORP FED BOOK ENTRY PRIN STRIPS GENERIC PRIN								
76116FAA5	01/07/2015	04/01/2014	4,596.85	4,493.08		0.00	103.78	0.00	0.00
30000.0	FNMA SINGLE FAMILY MORTGAGE 3.5 MAT 30 YEARS SETTLES JANUARY								
01F032617	01/08/2015	12/03/2014	31,453.13	31,064.06		0.00	389.07	0.00	0.00
10000.0	FNMA SINGLE FAMILY MORTGAGE 4.0 MAT 30 YEARS SETTLES JANUARY								
01F040610	01/08/2015	12/03/2014	10,696.88	10,626.56		0.00	70.32	0.00	0.00
31000.0	FHLMC GOLD SINGLE FAMILY 30 YEARS SETTLES JAN								
02R052610	01/08/2015	12/03/2014	34,657.03	34,591.64		0.00	65.39	0.00	0.00
2883.99	CMO J P MORGAN CHASE COM1 MTG SECS CORP 2005-CIBC11 CL A-4 5.04								
46625YJF1	01/12/2015	04/29/2014	2,883.99	2,959.02		0.00	-75.03	0.00	0.00
25000.0	FNMA SINGLE FAMILY MORTGAGE 3.5% MAT 15 YEARS SETTLES JANUARY								
01F032419	01/13/2015	12/11/2014	26,484.38	26,369.14		0.00	115.24	0.00	0.00

This is important tax information and is being furnished to you.

2015 Tax Information Statement

Account Number: 26-93890
 Recipient's Tax ID Number: XX-XXX3773
 Recipient's Name and Address:
 THE GORDON A CAIN FOUNDATION
 8 GREENWAY PLZ STE 606
 HOUSTON, TX 77046-0892

Ref: WXT

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.
 Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property

Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Code if any	Adjustments	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
5000.0	ACTAVIS FDG SCS 2.45% DUE 06-15-2019								
00507UAD3	01/14/2015	11/19/2014	4,985.65	4,878.26		0.00	107.39	0.00	0.00
501.56	MERCEDES-BENZ AUTO FLTGT RT .38655% DUE 06-15-2016								
58768EAD1	01/15/2015	04/01/2014	501.56	501.56		0.00	0.00	0.00	0.00
460.44	SANTANDER DR AUTO FLTGT RT .52655% DUE 07-17-2017								
80283WAC3	01/15/2015	04/16/2014	460.44	460.44		0.00	0.00	0.00	0.00
5000.0	UNITED STATES TREAS BDS 3.125 DUE 08-15-2044								
912810RH3	01/15/2015	12/17/2014	5,767.58	5,396.48		0.00	371.10	0.00	0.00
35000.0	GNMA I SINGLE FAMILY MORTGAGE 3% 30 YEARS SETTLES JANUARY								
01N030612	01/16/2015	12/16/2014	36,409.57	35,812.11		0.00	597.46	0.00	0.00
20000.0	GNMA I SINGLE FAMILY MORTGAGE 3.5% 30 YEARS SETTLES JANUARY								
01N032618	01/16/2015	12/16/2014	21,131.25	20,954.69		0.00	176.56	0.00	0.00
21.14	CMO COBALT CMBS COML MTG TR 2007-C3 DUE 05-15-2046 REG								
19075DAE1	01/16/2015	03/06/2014	21.14	23.55		0.00	-2.41	0.00	0.00
2000.0	NOBLE HLDG INTL 5.25% DUE 03-15-2042								
65504LAK3	01/21/2015	05/30/2014	1,531.42	2,071.10		0.00	-539.68	0.00	0.00
5000.0	TARGET CORP 3.5 DUE 07-01-2024 REG								
87612EBD7	01/21/2015	07/23/2014	5,322.50	5,080.95		0.00	241.55	0.00	0.00

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 Recipient's Name and Address:
 THE GORDON A CAIN FOUNDATION
 8 GREENWAY PLZ STE 606
 HOUSTON, TX 77046-0892
 Ref: WXT

Account Number: 26-93890
 Recipient's Tax ID Number: XX-XXX3773
☐ Corrected ☐ FATCA ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
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2000.0	NOBLE HLDG INTL 5.25% DUE 03-15-2042								
65504LAK3	01/22/2015	Various	1,523.76	2,061.98		0.00	-538.22	0.00	0.00
59.1	FEDERAL NATL MTG ASSN GTD MTG POOL #AL4060 3% 09-01-2043 BEO								
3138ELQN6	01/26/2015	08/08/2014	59.10	58.51		0.00	0.59	0.00	0.00
143.34	FNMA POOL #AS0774 3% 10-01-2043 BEO								
3138W92G4	01/26/2015	08/08/2014	143.34	141.88		0.00	1.46	0.00	0.00
535.12	FNMA POOL #AS2498 4% 05-01-2044 BEO								
3138WBX42	01/26/2015	06/12/2014	535.12	563.26		0.00	-28.14	0.00	0.00
927.98	FNMA POOL #AX0112 3.5% 09-01-2044 BEO								
3138Y1DS1	01/26/2015	08/25/2014	927.98	954.22		0.00	-26.24	0.00	0.00
5000.0	UNITED STATES TREAS NTS DTD 11/15/2014 2.25% DUE 11-15-2024 REG								
912828G38	01/26/2015	12/17/2014	5,196.48	5,053.71		0.00	142.77	0.00	0.00
5000.0	APPLE INC 3.85% DUE 05-04-2043 USD2000144A								
037833AL4	02/03/2015	03/18/2014	5,223.85	4,361.86		0.00	861.99	0.00	0.00
55000.0	FNMA SINGLE FAMILY MORTGAGE 3.5 MAT 30 YEARS SETTLES FEBRUARY								
01F032625	02/06/2015	Various	57,853.13	57,725.39		0.00	127.74	0.00	0.00
10000.0	FNMA SINGLE FAMILY MORTGAGE 4.0 MAT 30 YEARS SETTLES FEBRUARY								
01F040628	02/06/2015	01/08/2015	10,690.63	10,673.83		0.00	16.80	0.00	0.00

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31000.0	FHLMC 30 YEAR GOLD PARTICIPATION CTF (PC) 5.5 30 YEARS SETTLES FEB								
02R052628	02/06/2015	02/06/2015	34,687.30	34,687.30		0.00	0.00	0.00	0.00
31000.0	FHLMC GOLD TBA POOL #9999999 5.5 DUE 03-15-2030 REG								
02R052636	02/06/2015	02/06/2015	34,683.67	34,683.67		0.00	0.00	0.00	0.00
30996.89	FEDERAL HOME LN MTG CORP POOL #G0-5979 5.5% 10-01-2038 BEO								
3128M7604	02/06/2015	01/08/2015	34,683.83	34,653.56		0.00	30.27	0.00	0.00
5000.0	TOTAL CAP INTL 2.875% DUE 02-17-2022								
89153VAB5	02/06/2015	09/17/2014	5,100.25	4,960.55		0.00	139.70	0.00	0.00
5000.0	APPLE INC 3.45% DUE 02-09-2045								
037833BA7	02/09/2015	02/03/2015	4,713.20	4,866.65		0.00	-153.45	0.00	0.00
25000.0	FNMA SINGLE FAMILY MORTGAGE 3.5% 15 YEARS SETTLES FEBRUARY								
01F032427	02/11/2015	01/13/2015	26,507.81	26,453.13		0.00	54.68	0.00	0.00
10000.0	MACYS RETAIL HLDGS 2.875 DUE 02-15-2023								
55616XAH0	02/11/2015	10/28/2014	9,901.90	9,663.42		0.00	238.48	0.00	0.00
35000.0	GNMA I SINGLE FAMILY MORTGAGE 3% 30 YEARS SETTLES FEBRUARY								
01N030620	02/12/2015	01/16/2015	35,937.89	36,334.38		0.00	-396.49	0.00	0.00
20000.0	GNMA I SINGLE FAMILY MORTGAGE 3.5% 30 YEARS SETTLES FEBRUARY								
01N032626	02/12/2015	01/16/2015	21,053.13	21,100.00		0.00	-46.87	0.00	0.00

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 HOUSTON, TX 77046-0892

Account Number: 26-93890
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840.11	CMO J P MORGAN CHASE COM ML MTG SECS CORP 2005-CIBC11 CL A-4 5.04								
46625YJF1	08-12-2037 REG 02/12/2015	04/29/2014	840.11	861.96		0.00	-21.85	0.00	0.00
475.7	MERCEDES-BENZ AUTO FLTGT RT .38655% DUE 06-15-2016								
58768EAD1	02/17/2015	04/01/2014	475.70	475.70		0.00	0.00	0.00	0.00
492.7	SANTANDER DR AUTO FLTGT RT .52655% DUE 07-17-2017								
80283WAC3	02/17/2015	04/16/2014	492.70	492.70		0.00	0.00	0.00	0.00
131.89	CMO COBALT CMBS COM ML MTG TR 2007-C3 DUE 05-15-2046 REG								
19075DAE1	02/18/2015	03/06/2014	131.89	146.95		0.00	-15.06	0.00	0.00
5000.0	NOBLE HLDG INTL 3.95% DUE 03-15-2022								
65504LAJ6	02/18/2015	09/03/2014	4,448.70	5,102.45		0.00	-653.75	0.00	0.00
40000.0	UNITED STATES TREAS NTS DTD 11/30/2014 .5% DUE 11-30-2016 REG								
912828G46	02/19/2015	Various	39,968.75	39,916.41		0.00	52.34	0.00	0.00
35000.0	UNITED STATES TREAS NTS DTD 31/12/2016								
912828H29	02/19/2015	01/23/2015	35,023.24	35,082.03		0.00	-58.79	0.00	0.00
25000.0	UNITED STATES TREAS NTS DTD 05/31/2014 .375% DUE 05-31-2016 REG								
912828WM8	02/19/2015	09/26/2014	25,010.74	24,980.47		0.00	30.27	0.00	0.00
2000.0	NOBLE HLDG INTL 3.95% DUE 03-15-2022								
65504LAJ6	02/24/2015	01/30/2015	1,799.16	1,709.08		0.00	90.08	0.00	0.00

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180.05	FEDERAL NATL MTG ASSN GTD MTG POOL #AL4060 3% 09-01-2043 BEO								
3138ELQNG6	02/25/2015	08/08/2014	180.05	178.25		0.00	1.80	0.00	0.00
67.97	FNMA POOL #AS0774 3% 10-01-2043 BEO								
3138W92G4	02/25/2015	08/08/2014	67.97	67.28		0.00	0.69	0.00	0.00
501.13	FNMA POOL #AS2498 4% 05-01-2044 BEO								
3138WBX42	02/25/2015	06/12/2014	501.13	527.48		0.00	-26.35	0.00	0.00
1760.22	FNMA POOL #AX0112 3.5% 09-01-2044 BEO								
3138Y1DS1	02/25/2015	08/25/2014	1,760.22	1,810.00		0.00	-49.78	0.00	0.00
5000.0	WAL-MART STORES 3.3 DUE 04-22-2024								
931142DP5	02/25/2015	01/21/2015	5,320.30	5,295.80		0.00	24.50	0.00	0.00
3000.0	NOBLE HLDG INTL 3.95% DUE 03-15-2022								
65504LAJ6	02/27/2015	Various	2,692.05	2,550.51		0.00	141.54	0.00	0.00
15000.0	FNMA SINGLE FAMILY MORTGAGE 4.5 MAT 30 YEARS SETTLES MARCH								
01F042632	03/03/2015	03/03/2015	16,291.41	16,291.41		0.00	0.00	0.00	0.00
23003.86	FEDERAL NATL MTG ASSN GTD MTG POOL #AL4060 3% 09-01-2043 BEO								
3138ELQNG6	03/03/2015	08/08/2014	23,348.92	22,773.82		0.00	575.10	0.00	0.00
10000.0	UNITED STATES TREAS NTS DTD 11/30/2014 1.5% 30 NOV 2019								
912828G61	03/03/2015	12/01/2014	9,964.84	9,993.75		0.00	-28.91	0.00	0.00

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5000.0	UNITED STATES TREAS NTS DTD 02/28/2015	1.375% DUE 02-29-2020 REG							
912828J50	03/03/2015	02/27/2015	4,944.53	4,969.14		0.00	-24.61	0.00	0.00
5000.0	UNITED STATES TREAS NTS DTD 02/28/2015	1.375% DUE 02-29-2020 REG							
912828J50	03/03/2015	02/27/2015	4,939.06	4,969.14		0.00	-30.08	0.00	0.00
55000.0	FNMA SINGLE FAMILY MORTGAGE 3.5 MAT 30 YEARS SETTLES MARCH								
01F032633	03/05/2015	02/06/2015	57,485.74	57,717.77		0.00	-232.03	0.00	0.00
20000.0	FNMA SINGLE FAMILY MORTGAGE 4.0 MAT 30 YEARS SETTLES MARCH								
01F040636	03/05/2015	Various	21,346.88	21,338.67		0.00	8.21	0.00	0.00
15000.0	FNMA SINGLE FAMILY MORTGAGE 4.5 MAT 30 YEARS SETTLES MARCH								
01F042632	03/05/2015	03/05/2015	16,275.00	16,275.00		0.00	0.00	0.00	0.00
31000.0	FHLMC GOLD TBA POOL #9999999 5.5 DUE 03-15-2030 REG								
02R052636	03/05/2015	03/05/2015	34,634.02	34,634.02		0.00	0.00	0.00	0.00
30999.87	FHLMC POOL #G0-7519 5.5 04-01-2039 0% 11-01-2043 BEO								
3128M9VL3	03/05/2015	02/06/2015	34,633.89	34,683.54		0.00	-49.65	0.00	0.00
14998.49	FNMA POOL #AU9533 4.5% 10-01-2043 BEO								
3138X9SX8	03/05/2015	03/03/2015	16,273.37	16,289.77		0.00	-16.40	0.00	0.00
25000.0	FNMA SINGLE FAMILY MORTGAGE 3.5 MAT 15 YEARS SETTLES MARCH								
01F032435	03/09/2015	02/11/2015	26,445.31	26,468.75		0.00	-23.44	0.00	0.00

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10000.0	ACTAVIS FDG SCS 3.0% 12 MAR 2020								
00507UAP6	03/10/2015	Various	10,087.60	10,036.05		0.00	51.55	0.00	0.00
5000.0	MACYS RETAIL HLDGS 2.875 DUE 02-15-2023								
55616XAH0	03/10/2015	10/28/2014	4,921.10	4,833.25		0.00	87.85	0.00	0.00
5000.0	MEDTRONIC INC 2.75% DUE 04-01-2023 REG								
595055AZ9	03/10/2015	01/07/2015	4,947.50	4,943.20		0.00	4.30	0.00	0.00
3000.0	NOBLE HLDG INTL 3.95% DUE 03-15-2022								
65504LAJ6	03/10/2015	01/21/2015	2,679.36	2,551.72		0.00	127.64	0.00	0.00
5000.0	BECTON DICKINSON & 2.675% DUE 12-15-2019								
075887BE8	03/12/2015	12/04/2014	5,090.50	5,000.00		0.00	90.50	0.00	0.00
455.59	MERCEDES-BENZ AUTO FLTGT RT .38655% DUE 06-15-2016								
58768EAD1	03/16/2015	04/01/2014	455.59	455.59		0.00	0.00	0.00	0.00
507.31	SANTANDER DR AUTO FLTGT RT .52655% DUE 07-17-2017								
80283WAC3	03/16/2015	04/16/2014	507.31	507.31		0.00	0.00	0.00	0.00
35000.0	GNMA I SINGLE FAMILY MTG 3 30 YEARS SETTLES MAR								
01N030638	03/17/2015	02/12/2015	35,850.39	35,828.52		0.00	21.87	0.00	0.00
20000.0	GNMA I SINGLE FAMILY MORTGAGE 3 5 MAT 30YEARS SETTLES MARCH								
01N032634	03/17/2015	02/12/2015	20,962.50	21,003.13		0.00	-40.63	0.00	0.00

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10000.0	FNMA SINGLE FAMILY MORTGAGE 3.5 MAT 30 YEARS SETTLES APRIL								
01F032641	03/18/2015	03/05/2015	10,466.41	10,424.22		0.00	42.19	0.00	0.00
10000.0	UNITED STATES TREAS NTS DTD 11/15/2014 2.25% DUE 11-15-2024 REG								
912828G38	03/18/2015	02/11/2015	10,186.33	10,217.58		0.00	-31.25	0.00	0.00
15000.0	UNITED STATES TREAS NTS DTD 11/30/2014 1.5% 30 NOV 2019								
912828G61	03/18/2015	Various	15,049.80	14,938.87		0.00	110.93	0.00	0.00
173.8	FEDERAL NATL MTG ASSN GTD MTG POOL #AL4060 3% 09-01-2043 BEO								
3138ELON6	03/25/2015	08/08/2014	173.80	172.06		0.00	1.74	0.00	0.00
207.11	FNMA POOL #AS0774 3% 10-01-2043 BEO								
3138W92G4	03/25/2015	08/08/2014	207.11	205.01		0.00	2.10	0.00	0.00
978.37	FNMA POOL #AS2498 4% 05-01-2044 BEO								
3138WBX42	03/25/2015	06/12/2014	978.37	1,029.81		0.00	-51.44	0.00	0.00
1727.26	FNMA POOL #AX0112 3.5% 09-01-2044 BEO								
3138Y1DS1	03/25/2015	08/25/2014	1,727.26	1,776.11		0.00	-48.85	0.00	0.00
2000.0	KRAFT FOODS GROUP 3.5% DUE 06-06-2022								
50076QAZ9	03/25/2015	01/28/2015	2,075.00	2,091.22		0.00	-16.22	0.00	0.00
5000.0	UNITED STATES TREAS BDS 3.125 DUE 08-15-2044								
912810RH3	03/26/2015	12/01/2014	5,583.40	5,177.93		0.00	405.47	0.00	0.00

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25000.0	UNITED STATES TREAS NTS DTD 11/15/2014 2.25% DUE 11-15-2024 REG								
912828G38	03/26/2015	Various	25,596.69	25,225.39		0.00	371.30	0.00	0.00
2000.0	KRAFT FOODS GROUP 3.5% DUE 06-06-2022								
50076QAZ9	04/01/2015	01/28/2015	2,081.80	2,091.22		0.00	-9.42	0.00	0.00
45000.0	FNMA SINGLE FAMILY MORTGAGE 3.5 MAT 30 YEARS SETTLES APRIL								
01F032641	04/02/2015	03/05/2015	47,283.40	46,908.98		0.00	374.42	0.00	0.00
20000.0	FNMA SINGLE FAMILY MORTGAGE 4.0 MAT 30 YEARS SETTLES APRIL								
01F040644	04/02/2015	03/05/2015	21,381.25	21,304.69		0.00	76.56	0.00	0.00
15000.0	FNMA SINGLE FAMILY MORTGAGE 4.5 MAT 30 YEARS SETTLES APRIL								
01F042640	04/02/2015	03/05/2015	16,352.34	16,259.77		0.00	92.57	0.00	0.00
31000.0	FHLMC GOLD 5.5 TBA POOL 30YR APRIL 47209-2029 REG								
02R052644	04/02/2015	03/05/2015	34,816.88	34,624.34		0.00	192.54	0.00	0.00
31000.0	FHLMC GOLD TBA POOL #9999999 5 5 05-01-2029								
02R052651	04/02/2015	04/02/2015	34,787.81	34,787.81		0.00	0.00	0.00	0.00
25000.0	FNMA SINGLE FAMILY MORTGAGE 3.5 MAT 15 YEARS SETTLES APRIL								
01F032443	04/06/2015	03/09/2015	26,554.69	26,409.18		0.00	145.51	0.00	0.00
1000.0	KRAFT FOODS GROUP 3.5% DUE 06-06-2022								
50076QAZ9	04/07/2015	01/28/2015	1,039.01	1,045.61		0.00	-6.60	0.00	0.00

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35000.0	GNMA I SINGLE FAMILY MORTGAGE 3% 30 YEARS SETTLES APRIL								
01N030646	04/08/2015	03/17/2015	36,074.61	35,771.09		0.00	303.52	0.00	0.00
20000.0	GNMA I SINGLE FAMILY MORTGAGE 3.5 MAT 30YEARS SETTLES APRIL								
01N032642	04/08/2015	03/17/2015	21,118.75	20,927.34		0.00	191.41	0.00	0.00
19179.41	FNMA POOL #AX0112 3.5% 09-01-2044 BEO								
3138Y1DS1	04/09/2015	08/25/2014	20,156.37	19,721.83		0.00	434.54	0.00	0.00
5000.0	GE DEALER FLOORPLAN MASTER SER 2014-1 CLA ASSET BACKED FLTG								
36159LCK0	RT 07-20-2017								
36159LCK0	04/10/2015	07/16/2014	4,995.51	5,000.00		0.00	-4.49	0.00	0.00
5000.0	GE DEALER FLOORPLAN MASTER SER 2014-1 CLA ASSET BACKED FLTG								
36159LCK0	RT 07-20-2017								
36159LCK0	04/10/2015	07/16/2014	4,994.34	5,000.00		0.00	-5.66	0.00	0.00
25000.0	UNITED STATES TREAS NTS DTD 01/31/2015 1.25% DUE 01-31-2020 REG								
912828H52	04/10/2015	02/19/2015	24,838.87	24,677.89		0.00	160.98	0.00	0.00
20000.0	UNITED STATES TREAS NTS DTD 02/28/2015 1.375% DUE 02-29-2020 REG								
912828J50	04/10/2015	Various	19,977.35	19,957.42		0.00	19.91	0.00	0.00
3000.0	UNITED STATES TREAS NTS FIXED 2.0% DUE 02-15-2025								
912828J27	04/13/2015	Various	3,018.75	3,027.82		0.00	-9.07	0.00	0.00
516.73	SANTANDER DR AUTO FLTG RT .52655% DUE 07-17-2017								
80283WAC3	04/15/2015	04/16/2014	516.73	516.73		0.00	0.00	0.00	0.00

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 Recipient's Name and Address:
 THE GORDON A CAIN FOUNDATION
 8 GREENWAY PLZ STE 606
 HOUSTON, TX 77046-0892

Account Number: 26-93890
 Recipient's Tax ID Number: XX-XXX3773

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

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110.03	GNMA POOL #MA2601 3.5% 02-20-2045 BEO								
36179Q3J8	04/20/2015	03/18/2015	110.03	115.72		0.00	-5.69	0.00	0.00
3000.0	TIME WARNER CABLE 6.55% DUE 05-01-2037 REG								
88732JAJ7	04/23/2015	04/09/2015	3,339.27	3,840.84		0.00	-501.57	0.00	0.00
5000.0	UNITED STATES OF AMER TREAS BONDS 3.0% 15 NOV 2044								
912810RJ9	04/23/2015	03/31/2015	5,382.62	5,470.90		0.00	-88.28	0.00	0.00
67.28	FEDERAL NATL MTG ASSN GTD MTG POOL #AL4060 3% 09-01-2043 BEO								
3138ELQN6	04/27/2015	08/08/2014	67.28	66.61		0.00	0.67	0.00	0.00
228.18	FNMA POOL #AS0774 3% 10-01-2043 BEO								
3138W92G4	04/27/2015	08/08/2014	228.18	225.86		0.00	2.32	0.00	0.00
1143.7	FNMA POOL #AS2498 4% 05-01-2044 BEO								
3138WBX42	04/27/2015	06/12/2014	1,143.70	1,203.83		0.00	-60.13	0.00	0.00
841.98	FNMA POOL #AX0112 3.5% 09-01-2044 BEO								
3138Y1DS1	04/27/2015	08/25/2014	841.98	865.79		0.00	-23.81	0.00	0.00
10000.0	UNITED STATES TREAS BD STRIPPED PRIN PMTZCP 11-15-2043 REG								
912803EE9	04/29/2015	01/30/2015	4,450.10	5,199.63		0.00	-749.53	0.00	0.00
2000.0	NOBLE HLDG INTL LTD GTD SR NT 4.625 DUE 03-01-2021								
65504LAF4	04/30/2015	02/24/2015	1,960.02	1,888.04		0.00	71.98	0.00	0.00

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 HOUSTON, TX 77046-0892
 Ref: WXT

Account Number: 26-93890
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65000.0	FNMA SINGLE FAMILY MORTGAGE 3.5 MAT 30 YEARS SETTLES MAY								
01F032858	05/05/2015	Various	67,711.72	68,146.10		0.00	-434.38	0.00	0.00
20000.0	FNMA SINGLE FAMILY MORTGAGE 4.0 MAT 30 YEARS SETTLES MAY								
01F040851	05/05/2015	04/02/2015	21,322.66	21,350.78		0.00	-28.12	0.00	0.00
15000.0	FNMA SINGLE FAMILY MORTGAGE 4.5 MAT 30 YEARS SETTLES MAY								
01F042857	05/05/2015	04/02/2015	16,305.47	16,314.26		0.00	-8.79	0.00	0.00
31000.0	FHLMC GOLD TBA POOL #9999999 5.5 05-01-2029								
02R052651	05/05/2015	05/05/2015	34,981.56	34,981.56		0.00	0.00	0.00	0.00
31000.0	FHLMC 30 YEAR GOLD PARTICIPATION CERTIFICATE (PC)30 YEARS SETTLES JUNE								
02R052669	05/05/2015	05/05/2015	34,971.88	34,971.88		0.00	0.00	0.00	0.00
30996.97	FEDERAL HOME LN MTG CORP POOL #GO-5956 5.5% 07-01-2038 BEO								
3128M75R3	05/05/2015	04/02/2015	34,978.15	34,784.42		0.00	193.73	0.00	0.00
5000.0	UNITED STATES TREAS NTS DTD 03/31/2015 1.375% 31 MAR 2020								
912828J84	05/06/2015	04/10/2015	4,950.98	4,994.14		0.00	-43.16	0.00	0.00
20000.0	UNITED STATES TREAS NTS DTD 11/30/2014 1.5% 30 NOV 2019								
912828G61	05/07/2015	12/23/2014	20,003.13	19,780.47		0.00	222.66	0.00	0.00
10000.0	UNITED STATES TREAS NTS FIXED 2.0% DUE 02-15-2025								
912828J27	05/07/2015	Various	9,807.42	10,034.38		0.00	-226.96	0.00	0.00

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 HOUSTON, TX 77046-0892

Account Number: 26-93890
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60000.0	UNITED STATES TREAS NTS DTD 03/31/2015 1.375% 31 MAR 2020								
912828J84	05/07/2015	04/10/2015	59,470.31	59,929.69		0.00	-459.38	0.00	0.00
25000.0	FNMA SINGLE FAMILY MORTGAGE 3.5 MAT 15 YEARS SETTLES MAY								
01F032450	05/08/2015	04/06/2015	26,519.53	26,522.46		0.00	-2.93	0.00	0.00
2000.0	VERIZON FIXED 3% DUE 11-01-2021								
92343VCN2	05/11/2015	10/22/2014	2,007.00	1,993.98		0.00	13.02	0.00	0.00
15000.0	UNITED STATES TREAS NTS FIXED 2.0% DUE 02-15-2025								
912828J27	05/12/2015	03/26/2015	14,646.09	15,039.85		0.00	-393.76	0.00	0.00
10000.0	UNITED STATES TREAS NTS DTD 04/30/2015 1.375% 30 APR 2020								
912828K58	05/12/2015	05/07/2015	9,904.30	9,910.94		0.00	-6.64	0.00	0.00
5000.0	ACTAVIS FDG SCS 3.45% 15 MAR 2022								
00507UAR2	05/14/2015	03/10/2015	5,044.75	5,040.15		0.00	4.60	0.00	0.00
30000.0	FNMA SINGLE FAMILY MORTGAGE 3.5 MAT 30 YEARS SETTLES JUNE								
01F032666	05/14/2015	05/05/2015	31,171.88	31,181.25		0.00	-9.37	0.00	0.00
20000.0	GNMA I SINGLE FAMILY MORTGAGE 3.5 MAT 30 YEARS SETTLES MAY								
01N032659	05/14/2015	04/08/2015	20,919.53	21,075.78		0.00	-156.25	0.00	0.00
5000.0	DUKE RLTY LTD 3.625 DUE 04-15-2023								
26441YAX5	05/14/2015	07/21/2014	5,003.95	4,971.65		0.00	32.30	0.00	0.00

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 HOUSTON, TX 77046-0892

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5000.0	KIMCO RLTY CORP 3.125% DUE 06-01-2023								
49446RAK5	05/14/2015	05/27/2014	4,854.95	4,848.41		0.00	6.54	0.00	0.00
5000.0	TRANSCANADA PIPELINES LTD .75 DUE 01-15-2016								
89352HAH2	05/14/2015	09/17/2014	4,986.55	5,004.80		0.00	-18.25	0.00	0.00
35000.0	GNMA I SINGLE FAMILY MORTGAGE 3% 30 YEARS SETTLES MAY								
01N030653	05/15/2015	04/08/2015	35,777.93	35,998.05		0.00	-220.12	0.00	0.00
20000.0	GNMA I SINGLE FAMILY MORTGAGE 3.5 MAT 30 YEARS SETTLES MAY								
01N032659	05/15/2015	05/15/2015	21,018.75	21,018.75		0.00	0.00	0.00	0.00
2000.0	VERIZON FIXED 3% DUE 11-01-2021								
92343VCN2	05/15/2015	10/22/2014	2,023.02	1,993.98		0.00	29.04	0.00	0.00
20000.0	UNITED STATES TREAS NTS FIXED 2.0% DUE 02-15-2025								
912828J27	05/18/2015	Various	19,603.91	19,944.37		0.00	-340.46	0.00	0.00
309.71	GNMA POOL #MA2601 3.5% 02-20-2045 BEO								
36179Q3J8	05/20/2015	03/18/2015	309.71	325.73		0.00	-16.02	0.00	0.00
10000.0	GEN ELEC CAP CORP MEDIUM TERM NTS BO TRANCHE # TR 00804 VAR								
36962G3M4	05/20/2015	Various	10,900.00	11,093.75		0.00	-193.75	0.00	0.00
7700.0	UNITED STATES OF AMER TREAS BONDS 3.0% 15 NOV 2044								
912810RJ9	05/21/2015	Various	7,697.89	8,351.16		0.00	-653.27	0.00	0.00

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7000.0	UNITED STATES TREAS NTS FIXED 2.0% DUE 02-15-2025								
912828J27	05/21/2015	04/29/2015	6,879.69	6,975.39		0.00	-95.70	0.00	0.00
51.14	FEDERAL NATL MTG ASSN GTD MTG POOL #AL4060 3% 09-01-2043 BEO								
3138ELQ6	05/26/2015	08/08/2014	51.14	50.63		0.00	0.51	0.00	0.00
158.48	FNMA POOL #AS0774 3% 10-01-2043 BEO								
3138W92G4	05/26/2015	08/08/2014	158.48	156.87		0.00	1.61	0.00	0.00
633.22	FNMA POOL #AS2498 4% 05-01-2044 BEO								
3138WBX42	05/26/2015	06/12/2014	633.22	666.51		0.00	-33.29	0.00	0.00
230.74	FNMA POOL #AW8597 3% 08-01-2029 BEO								
3138XYRT3	05/26/2015	04/10/2015	230.74	242.06		0.00	-11.32	0.00	0.00
35000.0	FNMA SINGLE FAMILY MORTGAGE 3.5 MAT 30 YEARS SETTLES JUNE								
01F032666	06/01/2015	05/05/2015	36,460.16	36,378.13		0.00	82.03	0.00	0.00
5000.0	UNITED STATES TREAS NTS DTD 05/15/2015								
912828XB1	06/02/2015	05/29/2015	4,936.33	5,014.46		0.00	-78.13	0.00	0.00
1000.0	VERIZON FIXED 3% DUE 11-01-2021								
92343VCN2	06/03/2015	10/22/2014	996.69	996.99		0.00	-0.30	0.00	0.00
30000.0	FNMA SINGLE FAMILY MORTGAGE 4.0 MAT 30 YEARS SETTLES JUNE								
01F040669	06/04/2015	Various	31,814.06	31,977.34		0.00	-163.28	0.00	0.00

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30000.0	FNMA SINGLE FAMILY MORTGAGE 4.5 MAT 30 YEARS SETTLES JUNE								
01F042665	06/04/2015	Various	32,503.14	32,599.81		0.00	-96.67	0.00	0.00
31000.0	FHLMC 30 YEAR GOLD PARTICIPATION CERTIFICATE (PC)30 YEARS SETTLES JUNE								
02R052669	06/04/2015	06/04/2015	34,895.59	34,895.59		0.00	0.00	0.00	0.00
31000.0	FHLMC GOLD SINGLE FAMILY 5.5 30 YEARS SETTLES JUL								
02R052677	06/04/2015	06/04/2015	34,895.59	34,895.59		0.00	0.00	0.00	0.00
31000.03	FEDERAL HOME LN MTG CORP POOL #G0-6 193 5.5% 05-01-2040 BEO								
3128M8GA6	06/04/2015	05/05/2015	34,895.62	34,971.91		0.00	-76.29	0.00	0.00
25000.0	FNMA SINGLE FAMILY MORTGAGE 3.5 MAT 15 YEARS SETTLES JUNE								
01F032468	06/05/2015	05/08/2015	26,375.00	26,486.33		0.00	-111.33	0.00	0.00
15000.0	FNMA SINGLE FAMILY MORTGAGE 4.5 MAT 30 YEARS SETTLES JUNE								
01F042665	06/08/2015	06/08/2015	16,243.36	16,243.36		0.00	0.00	0.00	0.00
75000.0	UNITED STATES TREAS NTS DTD 04/30/2015 1.375% 30 APR 2020								
912828K58	06/08/2015	05/07/2015	73,833.65	74,332.03		0.00	-498.38	0.00	0.00
45000.0	GNMA II JUMBOS 3.5 MAT 30 YEARS SETTLES JUNE								
21H032662	06/10/2015	06/01/2015	46,497.66	47,156.84		0.00	-659.18	0.00	0.00
35000.0	GNMA I SINGLE FAMILY MORTGAGE 3% 30 YEARS SETTLES JUNE								
01N030661	06/11/2015	05/15/2015	35,127.15	35,704.10		0.00	-576.95	0.00	0.00

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45000.0	GNMA II JUMBOS 3.5 MAT 30 YEARS SETTLES JUNE								
21H032662	06/15/2015	06/15/2015	46,669.92	46,669.92		0.00	0.00	0.00	0.00
2000.0	TIME WARNER CABLE 6.55% DUE 05-01-2037 05-01-2037 REG								
88732JA7	06/15/2015	04/09/2015	2,083.98	2,560.56		0.00	-476.58	0.00	0.00
10000.0	UNITED STATES TREAS BD STRIPPED PRIN PMTZCP 11-15-2043 REG								
912803EE9	06/18/2015	Various	3,942.30	4,852.52		0.00	-910.22	0.00	0.00
427.93	GNMA POOL #MA2601 3.5% 02-20-2045 BEO								
36179Q3J8	06/22/2015	03/18/2015	427.93	450.06		0.00	-22.13	0.00	0.00
5000.0	WAL-MART STORES 4.3 DUE 04-22-2044								
931142DQ3	06/22/2015	02/25/2015	4,984.15	5,632.70		0.00	-648.55	0.00	0.00
5000.0	WAL-MART STORES 3.3 DUE 04-22-2024								
931142DP5	06/23/2015	06/22/2015	5,048.80	5,045.80		0.00	3.00	0.00	0.00
0.01	GE EQUIP TRANSN .62% DUE 07-25-2016								
36163AAD6	06/24/2015	10/15/2014	0.01	0.00U		0.00	0.00	0.00	0.00
100.3	FEDERAL NATL MTG ASSN GTD MTG POOL #AL4060 3% 09-01-2043 BEO								
3138ELQN6	06/25/2015	08/08/2014	100.30	99.30		0.00	1.00	0.00	0.00
326.36	FNMA POOL #AS0774 3% 10-01-2043 BEO								
3138W92G4	06/25/2015	08/08/2014	326.36	323.05		0.00	3.31	0.00	0.00

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178.33	FNMA POOL #AW8597 3% 08-01-2029 BEO								
3138XYRT3	06/25/2015	04/10/2015	178.33	187.08		0.00	-8.75	0.00	0.00
8859.97	FEDERAL NATL MTG ASSN GTD MTG POOL #AL4060 3% 09-01-2043 BEO								
3138ELQNS	06/26/2015	08/08/2014	8,771.37	8,771.37		0.00	0.00	0.00	0.00
75000.0	UNITED STATES TREAS NTS DTD 05/31/2015 1.5% DUE 05-31-2020 REG								
912828XE5	06/29/2015	06/08/2015	74,419.92	74,267.58		0.00	152.34	0.00	0.00
40000.0	FNMA SINGLE FAMILY MORTGAGE 3.5 MAT 30 YEARS SETTLES JULY								
01F032674	07/06/2015	06/10/2015	41,262.50	40,943.75		0.00	318.75	0.00	0.00
50000.0	FNMA SINGLE FAMILY MORTGAGE 4.0 MAT 30 YEARS SETTLES JULY								
01F040677	07/06/2015	Various	53,005.86	52,843.76		0.00	162.10	0.00	0.00
30000.0	FNMA SINGLE FAMILY MORTGAGE 4.5 MAT 30 YEARS SETTLES JULY								
01F042673	07/06/2015	06/04/2015	32,455.08	32,460.94		0.00	-5.86	0.00	0.00
31000.0	FHLMC GOLD SINGLE FAMILY 5.5 30 YEARS SETTLES JUL								
02R052677	07/06/2015	07/06/2015	34,730.90	34,730.90		0.00	0.00	0.00	0.00
30996.94	FEDERAL HOME LN MTG CORP POOL #G0-5979 5.5% 10-01-2038 BEO								
3128M76Q4	07/06/2015	06/04/2015	34,727.48	34,892.15		0.00	-164.67	0.00	0.00
40000.0	FNMA SINGLE FAMILY MORTGAGE 3.5 MAT 30 YEARS SETTLES JULY								
01F032674	07/07/2015	07/07/2015	41,371.88	41,371.88		0.00	0.00	0.00	0.00

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2015 Tax Information Statement

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Recipient's Name and Address:
THE GORDON A CAIN FOUNDATION
8 GREENWAY PLZ STE 606
HOUSTON, TX 77046-0892

Account Number: 26-93890
Recipient's Tax ID Number: XX-XXX3773

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

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20000.0	FNMA SINGLE FAMILY MORTGAGE 4.0 MAT 30 YEARS SETTLES JULY								
01F040677	07/07/2015	07/07/2015	21,231.25	21,231.25		0.00	0.00	0.00	0.00
25000.0	FNMA SINGLE FAMILY MORTGAGE 3.5 MAT 15 YEARS SETTLES JULY								
01F032476	07/08/2015	06/05/2015	26,390.63	26,336.91		0.00	53.72	0.00	0.00
354.75	AMERICREDIT FLTG RT .6141% DUE 04-09-2018								
03065KAC5	07/08/2015	01/14/2015	354.75	354.75		0.00	0.00	0.00	0.00
5000.0	UNITED STATES TREAS NTS DTD 06/30/2015 1.625% 30 JUN 2020								
912828XH8	07/08/2015	06/29/2015	5,028.91	4,987.50		0.00	41.41	0.00	0.00
10000.0	COMM 2015-LC19 MTG TRUST SER 2015-LC19 CL A-4 3.183% DUE 02-10-2048 REG								
200474BC7	07/10/2015	01/26/2015	9,871.88	10,299.41		0.00	-427.53	0.00	0.00
35000.0	GNMA I SINGLE FAMILY MTG 3 30 YEARS SETTLES JUL								
01N030679	07/14/2015	06/11/2015	35,214.65	35,034.18		0.00	180.47	0.00	0.00
15000.0	ONTARIO PROV CDA BD 3.2% DUE 05-16-2024 REG								
68323ACT9	07/14/2015	07/30/2014	15,449.55	15,054.75		0.00	394.80	0.00	0.00
15000.0	QUEBEC PROV CDA GLOBAL NT 2.625% DUE 02-13-2023 BEO								
748149AG6	07/14/2015	07/30/2014	15,007.05	14,657.44		0.00	349.61	0.00	0.00
5000.0	UNITED STATES OF AMER TREAS BONDS DTD 02/15/2015 2.5% DUE 02-15-2045 REG								
912810RK6	07/14/2015	05/21/2015	4,309.38	4,504.69		0.00	-195.31	0.00	0.00

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 THE GORDON A CAIN FOUNDATION
 8 GREENWAY PLZ STE 606
 HOUSTON, TX 77046-0892
 Ref: WXT

Account Number: 26-93890
 Recipient's Tax ID Number: XX-XXX3773

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20000.0	FNMA SINGLE FAMILY MORTGAGE 4.0 MAT 30 YEARS SETTLES AUGUST								
01F040685	07/15/2015	07/06/2015	21,158.59	21,158.59		0.00	0.00	0.00	0.00
5000.0	LOCKHEED MARTIN CORP 2.9% 03-01-2025								
539830BE8	07/15/2015	06/02/2015	4,778.85	4,860.45		0.00	-81.60	0.00	0.00
5000.0	UNITED STATES TREAS NTS DTD 05/15/2015								
912828XB1	07/15/2015	05/29/2015	4,901.17	5,014.45		0.00	-113.28	0.00	0.00
490.16	GNMA POOL #MA2601 3.5% 02-20-2045 BEO								
36179Q3J8	07/20/2015	03/18/2015	490.16	515.51		0.00	-25.35	0.00	0.00
122.85	FEDERAL NATL MTG ASSN GTD MTG POOL #AL4060 3% 09-01-2043 BEO								
3138ELQ6	07/27/2015	08/08/2014	122.85	121.62		0.00	1.23	0.00	0.00
268.26	FNMA POOL #AS0774 3% 10-01-2043 BEO								
3138W92G4	07/27/2015	08/08/2014	268.26	265.54		0.00	2.72	0.00	0.00
396.19	FNMA POOL #AW8597 3% 08-01-2029 BEO								
3138XYRT3	07/27/2015	04/10/2015	396.19	415.63		0.00	-19.44	0.00	0.00
35000.0	UTD STATES TREAS 2.125% DUE 06-30-2022								
912828XG0	08/03/2015	07/14/2015	35,306.14	35,041.02		0.00	265.12	0.00	0.00
70000.0	UNITED STATES TREAS NTS DTD 06/30/2015 1.625% 30 JUN 2020								
912828XH8	08/03/2015	06/29/2015	70,374.57	69,825.00		0.00	549.57	0.00	0.00

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15000.0	FNMA SINGLE FAMILY MORTGAGE 3.5 MAT 30 YEARS SETTLES AUGUST								
01F032682	08/05/2015	07/06/2015	15,503.91	15,435.35		0.00	68.56	0.00	0.00
31000.0	FHLMC 30 YEAR GOLD PARTICIPATION CTF (PC) 5.5 30 YEARS SETTLES AUG								
02R052685	08/05/2015	07/06/2015	34,614.65	34,729.69		0.00	-115.04	0.00	0.00
25000.0	FNMA SINGLE FAMILY MORTGAGE 3.5 MAT 30 YEARS SETTLES AUGUST								
01F032682	08/06/2015	07/06/2015	25,886.72	25,725.59		0.00	161.13	0.00	0.00
30000.0	FNMA SINGLE FAMILY MORTGAGE 4.0 MAT 30 YEARS SETTLES AUGUST								
01F040685	08/06/2015	07/06/2015	31,841.02	31,737.89		0.00	103.13	0.00	0.00
30000.0	FNMA SINGLE FAMILY MORTGAGE 4.5 MAT 30 YEARS SETTLES AUGUST								
01F042681	08/06/2015	07/06/2015	32,458.59	32,414.06		0.00	44.53	0.00	0.00
5000.0	CENOVUS ENERGY INC 3.8% DUE 09-15-2023								
15135UAJ8	08/06/2015	02/06/2015	4,853.10	4,855.05		0.00	-1.95	0.00	0.00
50000.0	UNITED STATES TREAS NTS 1.625% DUE 07-31-2020 REG								
912828XM7	08/06/2015	08/03/2015	50,058.59	50,253.91		0.00	-195.32	0.00	0.00
15000.0	FNMA SINGLE FAMILY MORTGAGE 3.5 MAT 30 YEARS SETTLES AUGUST								
01F032682	08/07/2015	08/07/2015	15,561.33	15,561.33		0.00	0.00	0.00	0.00
20000.0	FNMA SINGLE FAMILY MORTGAGE 4.0 MAT 30 YEARS SETTLES AUGUST								
01F040685	08/07/2015	08/07/2015	21,260.94	21,260.94		0.00	0.00	0.00	0.00

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 THE GORDON A CAIN FOUNDATION
 8 GREENWAY PLZ STE 606
 HOUSTON, TX 77046-0892

Account Number: 26-93890
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1050.95	AMERICREDIT FLTG RT .6141% DUE 04-09-2018								
03065KAC5	08/10/2015	01/14/2015	1,050.95	1,050.95		0.00	0.00	0.00	0.00
25000.0	FNMA SINGLE FAMILY MORTGAGE 3.5 MAT 15 YEARS SETTLES AUGUST								
01F032484	08/11/2015	07/08/2015	26,363.28	26,345.70		0.00	17.58	0.00	0.00
50000.0	UNITED STATES TREAS NTS 1.625% DUE 07-31-2020 REG								
912828XM7	08/11/2015	Various	50,183.59	50,162.50		0.00	21.09	0.00	0.00
55000.0	UNITED STATES TREAS NTS 1.625% DUE 07-31-2020 REG								
912828XM7	08/13/2015	Various	55,128.91	55,389.06		0.00	-260.15	0.00	0.00
35000.0	GNMA I SINGLE FAMILY MORTGAGE 3% 30 YEARS SETTLES AUGUST								
01N030687	08/14/2015	07/14/2015	35,514.06	35,132.62		0.00	381.44	0.00	0.00
20000.0	GNMA I SINGLE FAMILY MORTGAGE 4% 30 YEARS SETTLES AUGUST								
01N040686	08/14/2015	07/15/2015	21,265.63	21,226.56		0.00	39.07	0.00	0.00
10000.0	UNITED STATES TREAS NTS 1.625% DUE 07-31-2020 REG								
912828XM7	08/14/2015	08/07/2015	10,014.06	10,020.31		0.00	-6.25	0.00	0.00
40000.0	UNITED STATES TREAS NTS DTD 05/15/2015								
912828XB1	08/17/2015	Various	39,870.23	39,482.42		0.00	387.80	0.00	0.00
5000.0	AT&T INC 4.75% DUE 05-15-2046								
00206RCQ3	08/18/2015	04/24/2015	4,591.00	5,066.25		0.00	-475.25	0.00	0.00

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317.95	GNMA POOL #MA2601 3.5% 02-20-2045 BEO								
36179Q3J8	08/20/2015	03/18/2015	317.95	334.39		0.00	-16.44	0.00	0.00
261.88	FNMA POOL #AW8597 3% 08-01-2029 BEO								
3138XYRT3	08/25/2015	04/10/2015	261.88	274.73		0.00	-12.85	0.00	0.00
20000.0	UNITED STATES TREAS BD STRIPPED PRIN PMTZCP 11-15-2043 REG								
912803EE9	08/25/2015	08/13/2015	8,828.20	8,752.38		0.00	75.82	0.00	0.00
25000.0	FEDERAL HOME LOAN BANKS 0.875% DUE 02-27-2017								
3130A4B84	08/27/2015	03/04/2015	25,000.00	25,010.00		0.00	-10.00	0.00	0.00
65000.0	UNITED STATES TREAS NTS 1.625% DUE 07-31-2020 REG								
912828XM7	09/02/2015	Various	65,342.77	65,527.34		0.00	-184.57	0.00	0.00
25000.0	UNITED STATES TREAS NTS DTD 07/31/2015 .625% 31 JUL 2017								
912828XP0	09/02/2015	08/04/2015	24,974.61	24,947.27		0.00	27.34	0.00	0.00
35000.0	UNITED STATES TREAS NTS DTD 07/31/2015 2% DUE 07-31-2022 REG								
912828XQ8	09/02/2015	08/03/2015	35,257.03	35,000.00		0.00	257.03	0.00	0.00
25000.0	FNMA SINGLE FAMILY MORTGAGE 3.5 MAT 30 YEARS SETTLES SEPTEMBER								
01F032690	09/04/2015	08/06/2015	25,960.94	25,820.31		0.00	140.63	0.00	0.00
30000.0	FNMA SINGLE FAMILY MORTGAGE 4.0 MAT 30 YEARS SETTLES SEPTEMBER								
01F040693	09/04/2015	08/06/2015	31,907.81	31,770.70		0.00	137.11	0.00	0.00

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30000.0	FNMA SINGLE FAMILY MORTGAGE 4.5 MAT 30 YEARS SETTLES SEPTEMBER								
01F042699	09/04/2015	08/06/2015	32,501.95	32,411.72		0.00	90.23	0.00	0.00
31000.0	FHLMC GOLD SINGLE FAMILY 5.5 30 YEARS SETTLES SEP								
02R052693	09/04/2015	08/05/2015	34,498.40	34,608.59		0.00	-110.19	0.00	0.00
5000.0	MACYS RETAIL HLDGS 3.875 DUE 01-15-2022								
55616XAF4	09/04/2015	03/10/2015	5,098.55	5,259.50		0.00	-160.95	0.00	0.00
973.28	AMERICREDIT FLTGT RT .6141% DUE 04-09-2018								
03065KAC5	09/08/2015	01/14/2015	973.28	973.28		0.00	0.00	0.00	0.00
1000.0	UNITED STATES TREAS NTS DTD 08/15/2015 2.0% 15 AUG 2025								
912828K74	09/09/2015	08/17/2015	978.44	986.25		0.00	-7.81	0.00	0.00
1600.0	UNITED STATES TREAS NTS DTD 08/15/2015 2.0% 15 AUG 2025								
912828K74	09/09/2015	08/17/2015	1,572.75	1,578.00		0.00	-5.25	0.00	0.00
5000.0	UNITED STATES TREAS NTS DTD 08/15/2015 2.0% 15 AUG 2025								
912828K74	09/09/2015	08/17/2015	4,909.77	4,931.25		0.00	-21.48	0.00	0.00
1000.0	UNITED STATES TREAS NTS DTD 08/15/2015 2.0% 15 AUG 2025								
912828K74	09/09/2015	08/17/2015	984.22	986.25		0.00	-2.03	0.00	0.00
5000.0	GILEAD SCIENCES 3.65% DUE 03-01-2026								
375558BF9	09/10/2015	09/09/2015	4,983.25	4,979.81		0.00	3.44	0.00	0.00

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25000.0	FNMA SINGLE FAMILY MORTGAGE 3.5 MAT 15 YEARS SETTLES SEPTEMBER								
01F032492	09/11/2015	08/11/2015	26,375.00	26,328.13		0.00	46.87	0.00	0.00
35000.0	GNMA I SINGLE FAMILY MORTGAGE 3% 30 YEARS SETTLES SEPTEMBER								
01N030695	09/14/2015	08/14/2015	35,519.53	35,442.97		0.00	76.56	0.00	0.00
20000.0	GNMA I SINGLE FAMILY MORTGAGE 4% 30 YEARS SETTLES SEPTEMBER								
01N040694	09/14/2015	08/14/2015	21,296.88	21,232.81		0.00	64.07	0.00	0.00
5000.0	UNITED STATES TREAS NTS DTD 08/15/2015 2.0% 15 AUG 2025								
912828K74	09/14/2015	08/17/2015	4,925.00	4,931.25		0.00	-6.25	0.00	0.00
1400.0	UNITED STATES TREAS NTS DTD 08/15/2015 2.0% 15 AUG 2025								
912828K74	09/14/2015	08/17/2015	1,377.69	1,380.75		0.00	-3.06	0.00	0.00
5000.0	BK NEW YORK MELLON FLTG RT 4.5% DUE 12-29-2049								
064058AB6	09/15/2015	10/28/2014	4,607.50	4,750.00		0.00	-142.50	0.00	0.00
5000.0	PHILLIPS 66 4.875% DUE 11-15-2044								
718546AL8	09/15/2015	11/12/2014	4,724.20	4,904.95		0.00	-180.75	0.00	0.00
5000.0	SHELL INTL FIN BV 2.125% DUE 05-11-202005-11-2020								
822582BG6	09/16/2015	05/06/2015	4,962.50	4,989.40		0.00	-26.90	0.00	0.00
30000.0	UNITED STATES TREAS NTS DTD 658 1.375% DUE 08-31-2020 REG								
912828L32	09/16/2015	09/02/2015	29,695.31	29,812.50		0.00	-117.19	0.00	0.00

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10000.0	UNITED STATES TREAS BDS 3% DUE 05-15-2045								
912810RM2	09/18/2015	09/16/2015	10,107.03	9,870.70		0.00	236.33	0.00	0.00
363.48	GNMA POOL #MA2601 3.5% 02-20-2045 BEO								
361790J8	09/21/2015	03/18/2015	363.48	382.28		0.00	-18.80	0.00	0.00
408.44	FNMA POOL #AW8597 3% 08-01-2029 BEO								
3138XYRT3	09/25/2015	04/10/2015	408.44	428.48		0.00	-20.04	0.00	0.00
117.09	FNMA POOL #AZ0862 3.5% 07-01-2045 BEO								
3138YR5Y0	09/25/2015	08/05/2015	117.09	121.05		0.00	-3.96	0.00	0.00
0.01	CMO COBALT CMBS COML MTG TR 2007-C3 DUE 05-15-2046 REG								
19075DAE1	09/28/2015	10/15/2014	0.01	0.00 U		0.00	0.00	0.00	0.00
35000.0	UTD STATES TREAS 1.875% DUE 08-31-2022								
912828L24	09/28/2015	09/02/2015	35,155.86	34,950.78		0.00	205.08	0.00	0.00
70000.0	UNITED STATES TREAS NTS DTD 658 1.375% DUE 08-31-2020 REG								
912828L32	09/28/2015	Various	69,751.08	69,621.68		0.00	129.40	0.00	0.00
5000.0	BECTON DICKINSON & CO 3.734% 15 DEC 2024								
075887BF5	09/29/2015	03/12/2015	5,088.40	5,178.90		0.00	-90.50	0.00	0.00
4000.0	NOBLE HLDG INTL 3.95% DUE 03-15-2022								
65504LAJ6	09/29/2015	Various	2,988.80	3,508.58		0.00	-519.78	0.00	0.00

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5000.0	UTD STATES TREAS 1.75% DUE 09-30-2022								
912828L57	09/30/2015	09/30/2015	4,996.29	4,997.27		0.00	-0.98	0.00	0.00
5000.0	UNITED STATES TREAS NTS DTD 08/15/2015 2.0% 15 AUG 2025								
912828K74	10/02/2015	09/29/2015	5,032.81	4,967.97		0.00	64.84	0.00	0.00
5000.0	UTD STATES TREAS 1.75% DUE 09-30-2022								
912828L57	10/02/2015	09/30/2015	5,024.61	4,997.26		0.00	27.35	0.00	0.00
2000.0	WILLIAMS COS INC 3.7% DUE 01-15-2023								
969457BU3	10/05/2015	06/22/2015	1,560.00	1,866.24		0.00	-306.24	0.00	0.00
2000.0	WILLIAMS COS INC 3.7% DUE 01-15-2023								
969457BU3	10/05/2015	06/22/2015	1,565.00	1,866.24		0.00	-301.24	0.00	0.00
25000.0	FNMA SINGLE FAMILY MORTGAGE 3.5 MAT 30 YEARS SETTLES OCTOBER								
01F0326A7	10/07/2015	09/04/2015	26,118.16	25,901.37		0.00	216.79	0.00	0.00
30000.0	FNMA SINGLE FAMILY MORTGAGE 4.0 MAT 30 YEARS SETTLES OCTOBER								
01F0406A0	10/07/2015	09/04/2015	32,020.31	31,846.88		0.00	173.43	0.00	0.00
30000.0	FNMA SINGLE FAMILY MORTGAGE 4.5 MAT 30 YEARS SETTLES OCTOBER								
01F0426A6	10/07/2015	09/04/2015	32,561.72	32,470.31		0.00	91.41	0.00	0.00
31000.0	FHLMC 30 YR GOLD PARTN CTF (PC) 5.5 30 YEARS SETTLES OCT								
02R0526A0	10/07/2015	09/04/2015	34,357.93	34,498.40		0.00	-140.47	0.00	0.00

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Account Number: 26-93890
Recipient's Tax ID Number: XX-XXX3773

Recipient's Name and Address:
THE GORDON A CAIN FOUNDATION
8 GREENWAY PLZ STE 606
HOUSTON, TX 77046-0892

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

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1000.0	WILLIAMS COS INC 3.7% DUE 01-15-2023								
969457BU3	10/07/2015	06/22/2015	813.75	933.12		0.00	-119.37	0.00	0.00
925.99	AMERICREDIT FLTG RT .6141% DUE 04-09-2018								
03065KAC5	10/08/2015	01/14/2015	925.99	925.99		0.00	0.00	0.00	0.00
25000.0	FNMA SINGLE FAMILY MORTGAGE 3% 15 YEARS SETTLES OCTOBER								
01F0304A3	10/09/2015	10/07/2015	26,058.59	26,088.87		0.00	-30.28	0.00	0.00
25000.0	FNMA SINGLE FAMILY MORTGAGE 3.5% 15 YEARS SETTLES OCTOBER								
01F0324A9	10/09/2015	09/11/2015	26,417.97	26,340.82		0.00	77.15	0.00	0.00
30000.0	FNMA SINGLE FAMILY MORTGAGE 4.5 MAT 30 YEARS SETTLES OCTOBER								
01F0426A6	10/09/2015	10/09/2015	32,547.66	32,547.66		0.00	0.00	0.00	0.00
5000.0	UNITED STATES TREAS NTS DTD 08/15/2015 2.0% 15 AUG 2025								
912828K74	10/09/2015	10/07/2015	4,951.56	4,969.92		0.00	-18.36	0.00	0.00
5000.0	UNITED STATES TREAS NTS DTD 08/15/2015 2.0% 15 AUG 2025								
912828K74	10/09/2015	09/28/2015	4,952.34	4,951.56		0.00	0.78	0.00	0.00
5000.0	UTD STATES TREAS 1.75% DUE 09-30-2022								
912828L57	10/09/2015	09/28/2015	4,987.70	4,976.17		0.00	11.53	0.00	0.00
35000.0	GNMA I SINGLE FAMILY MORTGAGE 3% 30 YEARS SETTLES OCTOBER								
01N0306A2	10/13/2015	09/14/2015	35,788.87	35,451.17		0.00	337.70	0.00	0.00

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20000.0	GNMA I SINGLE FAMILY MORTGAGE 4% 30 YEARS SETTLES OCTOBER								
01N0406A1	10/13/2015	09/14/2015	21,318.75	21,267.19		0.00	51.56	0.00	0.00
35000.0	GNMA I SINGLE FAMILY MORTGAGE 3% 30 YEARS SETTLES NOVEMBER								
01N0306B0	10/14/2015	10/13/2015	35,797.07	35,719.14		0.00	77.93	0.00	0.00
25000.0	FNMA SINGLE FAMILY MORTGAGE 3% 15 YEARS SETTLES OCTOBER								
01F0304A3	10/15/2015	10/15/2015	26,105.47	26,105.47		0.00	0.00	0.00	0.00
827.91	SANTANDER DRIVE AUTO RECEIVABLES TR 2015 NT CL A-2-B 09-17-2018								
80284BAC8	10/15/2015	04/15/2015	827.91	827.91		0.00	0.00	0.00	0.00
35000.0	GNMA I SINGLE FAMILY MORTGAGE 3% 30 YEARS SETTLES OCTOBER								
01N0306A2	10/16/2015	10/16/2015	35,913.28	35,913.28		0.00	0.00	0.00	0.00
626.34	GNMA POOL #MA2601 3.5% 02-20-2045 BEO								
36179Q3J8	10/20/2015	03/18/2015	626.34	658.73		0.00	-32.39	0.00	0.00
453.19	GM FINL AUTOMOBILE 1.1% DUE 12-20-2017								
38013PAB5	10/20/2015	03/18/2015	453.19	453.16		0.00	0.03	0.00	0.00
5000.0	UNITED STATES TREAS NTS DTD 08/15/2015 2.0% 15 AUG 2025								
912828K74	10/22/2015	09/28/2015	4,989.45	4,951.56		0.00	37.89	0.00	0.00
223.78	FNMA POOL #AS5666 4% 08-01-2045 BEO								
3138WFJL1	10/26/2015	09/09/2015	223.78	238.82		0.00	-15.04	0.00	0.00

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 THE GORDON A CAIN FOUNDATION
 8 GREENWAY PLZ STE 606
 HOUSTON, TX 77046-0892

Account Number: 26-93890
 Recipient's Tax ID Number: XX-XXX3773

THE NORTHERN TRUST COMPANY
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339.08	FNMA POOL #AW8597 3% 08-01-2029 BEO								
3138XYRT3	10/26/2015	04/10/2015	339.08	355.72		0.00	-16.64	0.00	0.00
120.81	FNMA POOL #AZ0862 3.5% 07-01-2045 BEO								
3138YR5Y0	10/26/2015	08/05/2015	120.81	124.89		0.00	-4.08	0.00	0.00
2000.0	UNITED STATES TREAS BDS 3% DUE 05-1 5-2045								
912810RM2	10/27/2015	10/22/2015	2,055.86	2,043.51		0.00	12.35	0.00	0.00
5000.0	MICROSOFT CORP 3.75% 12 FEB 2045								
594918BD5	10/29/2015	02/09/2015	4,532.65	4,972.35		0.00	-439.70	0.00	0.00
30000.0	UTD STATES TREAS 1.75% DUE 09-30-2022								
912828L57	11/02/2015	09/28/2015	29,678.91	29,857.03		0.00	-178.12	0.00	0.00
74000.0	UTD STATES TREAS 1.375% DUE 09-30-2020								
912828L65	11/02/2015	Various	73,429.11	73,645.16		0.00	-216.05	0.00	0.00
25000.0	FNMA SINGLE FAMILY MORTGAGE 3.5% MAT 30 YEARS SETTLES NOVEMBER								
01F0326B5	11/03/2015	10/07/2015	25,980.47	26,060.55		0.00	-80.08	0.00	0.00
30000.0	FNMA SINGLE FAMILY MORTGAGE 4.0 MAT 30 YEARS SETTLES NOVEMBER								
01F0406B8	11/03/2015	10/07/2015	31,903.13	31,960.55		0.00	-57.42	0.00	0.00
31000.0	FHLMC 30 YEAR GOLD PARTICIPATION CERTIFICATE (PC) 0 30 YEARS SETTLES NOV								
02R0526B8	11/03/2015	10/07/2015	34,385.78	34,382.15		0.00	3.63	0.00	0.00

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 THE GORDON A CAIN FOUNDATION
 8 GREENWAY PLZ STE 606
 HOUSTON, TX 77046-0892

Account Number: 26-93890
 Recipient's Tax ID Number: XX-XXX3773

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5000.0	GILEAD SCIENCES INC 3.25% 01 SEP 2022								
375558BC6	11/03/2015	09/10/2015	5,057.40	5,014.10		0.00	43.30	0.00	0.00
15000.0	FNMA SINGLE FAMILY MORTGAGE 2.5% 15 YEARS SETTLES NOVEMBER								
01F0224B8	11/04/2015	10/20/2015	15,247.27	15,334.57		0.00	-87.30	0.00	0.00
25000.0	FNMA SINGLE FAMILY MORTGAGE 3% 15 YEARS SETTLES NOVEMBER								
01F0304B1	11/04/2015	10/09/2015	25,984.38	26,017.58		0.00	-33.20	0.00	0.00
25000.0	FNMA SINGLE FAMILY MORTGAGE 3.5% 15 YEARS SETTLES NOVEMBER								
01F0324B7	11/04/2015	10/09/2015	26,382.81	26,390.63		0.00	-7.82	0.00	0.00
2000.0	UNITED STATES TREAS NTS DTD 08/15/2015 2.0% 15 AUG 2025								
912828K74	11/04/2015	09/28/2015	1,957.97	1,980.63		0.00	-22.66	0.00	0.00
5000.0	ACTAVIS FDG SCS 3.45% 15 MAR 2022								
00507UAR2	11/05/2015	03/10/2015	5,014.90	5,040.15		0.00	-25.25	0.00	0.00
20000.0	GNMA I SINGLE FAMILY MORTGAGE 4% 30 YEARS SETTLES NOVEMBER								
01N0406B9	11/05/2015	10/13/2015	21,246.09	21,292.19		0.00	-46.10	0.00	0.00
35000.0	GNMA II JUMBOS 3.0% MAT 30 YEARS SETTLES NOVEMBER								
21H0306B5	11/05/2015	10/14/2015	35,590.63	35,818.95		0.00	-228.32	0.00	0.00
2000.0	UNITED STATES TREAS NTS DTD 08/15/2015 2.0% 15 AUG 2025								
912828K74	11/05/2015	09/28/2015	1,957.81	1,980.62		0.00	-22.81	0.00	0.00

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 Recipient's Name and Address:
 THE GORDON A CAIN FOUNDATION
 8 GREENWAY PLZ STE 606
 HOUSTON, TX 77046-0892

Account Number: 26-93890
 Recipient's Tax ID Number: XX-XXX3773

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5000.0	UTD STATES TREAS .875% DUE 10-15-2018								
912828L81	11/05/2015	10/30/2015	4,961.72	4,976.57		0.00	-14.85	0.00	0.00
25000.0	UNITED STATES TREAS NTS .625% 31 AUG 2017								
912828TM2	11/05/2015	09/02/2015	24,925.78	24,960.94		0.00	-35.16	0.00	0.00
5000.0	UNITED STATES TREAS NTS .625 09-30-2017 REG								
912828TS9	11/05/2015	10/16/2015	4,983.01	5,001.56		0.00	-18.55	0.00	0.00
25000.0	FNMA SINGLE FAMILY MORTGAGE 3.5% 15 YEARS SETTLES NOVEMBER								
01F0324B7	11/06/2015	11/06/2015	26,292.97	26,292.97		0.00	0.00	0.00	0.00
764.95	AMERICREDIT FLTGT RT .6141% DUE 04-09-2018								
03065KAC5	11/09/2015	01/14/2015	764.95	764.95		0.00	0.00	0.00	0.00
5000.0	UNITED STATES TREAS NTS DTD 10/31/2015 1.375% 31 OCT 2020								
912828L99	11/09/2015	11/02/2015	4,914.06	4,959.38		0.00	-45.32	0.00	0.00
35000.0	GNMA II JUMBOS 3.0% MAT 30 YEARS SETTLES NOVEMBER								
21H0306B5	11/10/2015	11/10/2015	35,456.64	35,456.64		0.00	0.00	0.00	0.00
5000.0	UNITED STATES TREAS NTS DTD 08/15/2015 2.0% 15 AUG 2025								
912828K74	11/10/2015	09/28/2015	4,855.47	4,951.56		0.00	-96.09	0.00	0.00
5000.0	UNITED STATES TREAS NTS DTD 08/15/2015 2.0% 15 AUG 2025								
912828K74	11/10/2015	09/28/2015	4,855.47	4,951.57		0.00	-96.10	0.00	0.00

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 Recipient's Name and Address:
 THE GORDON A CAIN FOUNDATION
 8 GREENWAY PLZ STE 606
 HOUSTON, TX 77046-0892
 Ref: WXT

Account Number: 26-93890
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10000.0	UTD STATES TREAS 1.875% DUE 10-31-2022								
912828M49	11/10/2015	11/02/2015	9,867.58	9,974.97		0.00	-107.39	0.00	0.00
5000.0	UNITED STATES TREAS 3% DUE 05-1 5-2045								
912810RM2	11/13/2015	Various	4,934.38	4,960.66		0.00	-26.28	0.00	0.00
5000.0	UNIV NOTRE DAME DU 3.438% DUE 02-15-2045								
914744AD9	11/13/2015	01/15/2015	4,579.20	5,070.90		0.00	-491.70	0.00	0.00
828.46	SANTANDER DRIVE AUTO RECEIVABLES TR 2015 NT CL A-2-B 09-17-2018								
80284BAC8	11/16/2015	04/15/2015	828.46	828.46		0.00	0.00	0.00	0.00
1000.0	UNITED STATES TREAS BDS 3% DUE 05-1 5-2045								
912810RM2	11/16/2015	11/13/2015	984.77	984.73		0.00	0.04	0.00	0.00
41000.0	UNITED STATES TREAS NTS DTD 08/15/2015 2.0% 15 AUG 2025								
912828K74	11/16/2015	Various	40,045.65	40,378.20		0.00	-332.55	0.00	0.00
5000.0	UTD STATES TREAS .875% DUE 10-15-2018								
912828L81	11/16/2015	10/30/2015	4,958.59	4,976.56		0.00	-17.97	0.00	0.00
2000.0	UNITED STATES TREAS NTS DTD 11/15/2015 2.25% DUE 11-15-2025 REG								
912828M56	11/16/2015	11/16/2015	1,996.17	1,998.75		0.00	-2.58	0.00	0.00
3000.0	UNITED STATES TREAS NTS DTD 11/15/2015 2.25% DUE 11-15-2025 REG								
912828M56	11/16/2015	11/16/2015	2,995.31	2,998.13		0.00	-2.82	0.00	0.00

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10000.0	UNITED STATES TREAS NTS DTD 10/31/2015	1.375% 31 OCT 2020							
912828L99	11/17/2015	11/02/2015	9,870.70	9,918.75		0.00	-48.05	0.00	0.00
2000.0	MICROSOFT CORP 4.45% 03 NOV 2045								
594918BL7	11/18/2015	10/29/2015	2,008.14	2,024.72		0.00	-16.58	0.00	0.00
525.74	GNMA POOL #MA2601 3.5% 02-20-2045 BEO								
36179Q3J8	11/20/2015	03/18/2015	525.74	552.93		0.00	-27.19	0.00	0.00
514.48	GM FINL AUTOMOBILE 1.1% DUE 12-20-2017								
38013PAB5	11/20/2015	03/18/2015	514.48	514.45		0.00	0.03	0.00	0.00
15000.0	UNITED STATES TREAS NTS DTD 10/31/2015	1.375% 31 OCT 2020							
912828L99	11/24/2015	11/02/2015	14,790.82	14,878.12		0.00	-87.30	0.00	0.00
125.96	FNMA POOL #AS5666 4% 08-01-2045 BEO								
3138WFJL1	11/25/2015	09/09/2015	125.96	134.42		0.00	-8.46	0.00	0.00
240.34	FNMA POOL #AW8597 3% 08-01-2029 BEO								
3138XYRT3	11/25/2015	04/10/2015	240.34	252.13		0.00	-11.79	0.00	0.00
125.47	FNMA POOL #AZ0862 3.5% 07-01-2045 BEO								
3138YR5Y0	11/25/2015	08/05/2015	125.47	129.71		0.00	-4.24	0.00	0.00
54000.0	UNITED STATES TREAS NTS DTD 10/31/2015	1.375% 31 OCT 2020							
912828L99	11/30/2015	Various	53,278.77	53,554.61		0.00	-275.84	0.00	0.00

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20000.0	UTD STATES TREAS 1.875% DUE 10-31-2022								
912828M49	11/30/2015	11/02/2015	19,851.54	19,949.93		0.00	-98.39	0.00	0.00
1000.0	UNITED STATES TREAS BDS 00245 2.875% DUE 08-15-2045 REG								
912810RNO	12/02/2015	12/02/2015	989.53	987.66		0.00	1.87	0.00	0.00
15000.0	UNITED STATES TREAS NTS DTD 11/15/2015 1.25% 15 NOV 2018								
912828M64	12/02/2015	Various	15,010.55	15,018.39		0.00	-7.84	0.00	0.00
10000.0	UNITED STATES TREAS NTS 1.625% DUE 11-30-2020 REG								
912828M98	12/02/2015	11/30/2015	9,988.67	9,987.50		0.00	1.17	0.00	0.00
25000.0	FNMA SINGLE FAMILY MORTGAGE 3.5 MAT 30 YEARS SETTLES DECEMBER								
01F0326C3	12/03/2015	11/03/2015	25,831.05	25,922.85		0.00	-91.80	0.00	0.00
30000.0	FNMA SINGLE FAMILY MORTGAGE 4.0 MAT 30 YEARS SETTLES DECEMBER								
01F0406C6	12/03/2015	11/03/2015	31,785.94	31,843.36		0.00	-57.42	0.00	0.00
20000.0	GNMA I SINGLE FAMILY MORTGAGE 4% 30 YEARS SETTLES DECEMBER								
01N0406C7	12/03/2015	11/05/2015	21,196.88	21,219.53		0.00	-22.65	0.00	0.00
31000.0	FHLMC 30 YEAR GOLD PARTICIPATION CTF (PC) 5.5 30 YEARS SETTLES DEC								
02R0526C6	12/03/2015	11/03/2015	34,100.00	34,371.25		0.00	-271.25	0.00	0.00
4000.0	UNITED STATES TREAS BDS 00245 2.875% DUE 08-15-2045 REG								
912810RNO	12/03/2015	12/02/2015	3,845.63	3,950.62		0.00	-104.99	0.00	0.00

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689.58	AMERICREDIT FLTG RT .6141% DUE 04-09-2018								
03065KAC5	12/08/2015	01/14/2015	689.58	689.58		0.00	0.00	0.00	0.00
5000.0	MEDTRONIC INC 2.75% DUE 04-01-2023 REG								
585055AZ9	12/08/2015	01/07/2015	4,913.60	4,943.20		0.00	-29.60	0.00	0.00
15000.0	FNMA SINGLE FAMILY MORTGAGE 2.5 MAT 15 YEARS SETTLES DECEMBER								
01F0224C6	12/09/2015	11/04/2015	15,189.84	15,214.45		0.00	-24.61	0.00	0.00
25000.0	FNMA SINGLE FAMILY MORTGAGE 3% 15 YEARS SETTLES DECEMBER								
01F0304C9	12/09/2015	11/04/2015	25,860.35	25,936.52		0.00	-76.17	0.00	0.00
25000.0	FNMA SINGLE FAMILY MORTGAGE 3.5% 15 YEARS SETTLES DECEMBER								
01F0324C5	12/09/2015	11/04/2015	26,274.41	26,346.68		0.00	-72.27	0.00	0.00
5000.0	UNITED STATES TREAS NTS DTD 11/15/2015 2.25% DUE 11-15-2025 REG								
912828M56	12/09/2015	11/30/2015	5,012.89	5,014.65		0.00	-1.76	0.00	0.00
35000.0	GNMA 11.5 YEARS SINGLE FAMILY MORTGAGE 3.0 MAT 30 YEARS SETTLES DECEMBER								
21H0306C3	12/10/2015	11/05/2015	35,541.41	35,509.96		0.00	31.45	0.00	0.00
5000.0	UNITED STATES TREAS NTS DTD 11/15/2015 2.25% DUE 11-15-2025 REG								
912828M56	12/10/2015	11/16/2015	5,007.81	4,996.88		0.00	10.93	0.00	0.00
10000.0	FNMA SINGLE FAMILY MORTGAGE 3% 15 YEARS SETTLES JANUARY								
01F030413	12/11/2015	12/09/2015	10,335.94	10,326.56		0.00	9.38	0.00	0.00

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 Recipient's Name and Address:
 THE GORDON A CAIN FOUNDATION
 8 GREENWAY PLZ STE 606
 HOUSTON, TX 77046-0892

Account Number: 26-93890
 Recipient's Tax ID Number: XX-XXX3773

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
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728.18	SANTANDER DRIVE AUTO RECEIVABLES TR 2015 NT CL A-2-B 09-17-2018								
80284BAC8	12/15/2015	04/15/2015	728.18	728.18		0.00	0.00	0.00	0.00
5000.0	ANHEUSER BUSCH INBEV FIN INC 3.7 DUE 02-01-2024								
03524BAE6	12/16/2015	10/09/2015	5,077.70	5,078.85		0.00	-1.15	0.00	0.00
2000.0	CANADIAN NAT RES LTD 3.9% DUE 02-01-2025								
136385AV3	12/16/2015	09/14/2015	1,811.22	1,879.88		0.00	-68.66	0.00	0.00
5000.0	FNMA SINGLE FAMILY MORTGAGE 2.5% 15 YEARS SETTLES JANUARY								
01F022410	12/17/2015	12/09/2015	5,039.26	5,052.34		0.00	-13.08	0.00	0.00
15000.0	FNMA SINGLE FAMILY MORTGAGE 3% 15 YEARS SETTLES JANUARY								
01F030413	12/21/2015	12/09/2015	15,480.47	15,489.85		0.00	-9.38	0.00	0.00
30000.0	FNMA SINGLE FAMILY MORTGAGE 4.0 MAT 30 YEARS SETTLES JANUARY								
01F040610	12/21/2015	12/03/2015	31,734.38	31,720.31		0.00	14.07	0.00	0.00
399.95	GNMA POOL #MA2601 3.5% 02-20-2045 BEO								
36179Q3J8	12/21/2015	03/18/2015	399.95	420.64		0.00	-20.69	0.00	0.00
494.03	GM FINL AUTOMOBILE 1.1% DUE 12-20-2017								
38013PAB5	12/21/2015	03/18/2015	494.03	494.00		0.00	0.03	0.00	0.00
5000.0	UNITED STATES TREAS BD STRIPPED PRIN PMTZCP 11-15-2043 REG								
912803EE9	12/21/2015	08/31/2015	2,210.30	2,148.06		0.00	62.24	0.00	0.00

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5000.0	UNITED STATES TREAS BDS 00245 2.875% DUE 08-15-2045 REG								
912810RNO	12/21/2015	12/09/2015	4,952.34	4,867.19		0.00	85.15	0.00	0.00
5000.0	UNITED STATES TREAS NTS DTD 11/15/2015 2.25% DUE 11-15-2025 REG								
912828M56	12/21/2015	11/16/2015	5,024.61	4,996.87		0.00	27.74	0.00	0.00
10000.0	UNITED STATES TREAS NTS DTD 11/30/2015 2% DUE 11-30-2022 REG								
912828M80	12/21/2015	11/30/2015	10,000.78	10,006.25		0.00	-5.47	0.00	0.00
5000.0	UNITED STATES TREAS NTS DTD 10/31/2012 7.75% DUE 10-31-2017 REG								
912828TW0	12/21/2015	11/05/2015	4,978.32	4,992.97		0.00	-14.65	0.00	0.00
10000.0	UNITED STATES TREAS NTS DTD 11/15/2015 2.25% DUE 11-15-2025 REG								
912828M56	12/22/2015	11/16/2015	10,030.47	9,993.75		0.00	36.72	0.00	0.00
10000.0	UNITED STATES TREAS NTS DTD 11/15/2015 2.25% DUE 11-15-2025 REG								
912828M56	12/22/2015	11/16/2015	10,013.67	9,993.75		0.00	19.92	0.00	0.00
5000.0	LOCKHEED MARTIN 3.35 DUE 09-15-2021								
539830AY5	12/23/2015	07/15/2015	5,129.70	5,129.20		0.00	0.50	0.00	0.00
5000.0	ONCOR ELEC 4.1 DUE 06-01-2022								
68233JAR5	12/23/2015	08/31/2015	5,179.65	5,237.15		0.00	-57.50	0.00	0.00
165.44	FNMA POOL #AS5666 4% 08-01-2045 BEO								
3138WFFJL1	12/28/2015	09/09/2015	165.44	176.56		0.00	-11.12	0.00	0.00

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THE GORDON A CAIN FOUNDATION
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234.45	FNMA POOL #AW8597 3% 08-01-2029 BEO								
3138XYRT3	12/28/2015	04/10/2015	234.45	245.95		0.00	-11.50	0.00	0.00
143.09	FNMA POOL #AZ0862 3.5% 07-01-2045 BEO								
3138YR5Y0	12/28/2015	08/05/2015	143.09	147.92		0.00	-4.83	0.00	0.00
Total Short Term Sales			5,480,757.73	5,486,878.97		0.00	-6,121.28	0.00	0.00
Long Term Sales									
2162.07	GNMA POOL #783276 4.5% 03-15-2041 BEO								
36241LT97	01/06/2015	06/27/2011	2,370.17	2,297.85		0.00	72.32	0.00	0.00
30649.58	FNMA POOL #AB2270 4% 02-01-2041 BEO								
31416XQY9	01/07/2015	08/08/2011	32,948.31	31,607.37		0.00	1,340.94	0.00	0.00
15000.0	RESOLUTION FDG CORP FED BOOK ENTRY PRIN STRIPS GENERIC PRIN								
76116FAA5	PMT 10-15-2019	10/10/2013	13,790.57	13,510.88		0.00	279.68	0.00	0.00
544.41	CMO BEAR STEARNS COMML MTG SECS TR 2006-PWR13 CL-A4 5.54 DUE								
07388LAE0	09-11-2041 REG	03/14/2013	544.41	616.25		0.00	-71.84	0.00	0.00
27.02	CMO BEAR STEARNS TR 2006-TP24 MTG PSSTHRU CTF CL A-4 10-12-2041								
07388NAE6	01/12/2015	11/16/2010	27.02	29.64		0.00	-2.62	0.00	0.00

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35.75	BEAR STEARNS COM1 MTG SECS TR 2007-TOP26MTG PASSTHRU CTF CL								
07388VAE8	A-4 12 JAN 2045	01/12/2015	35.75	28.05		0.00	7.70	0.00	0.00
0.02	GNMA POOL #783276 4.5% 03-15-2041 BEO								
36241LT97		01/12/2015	0.02	0.02		0.00	0.00	0.00	0.00
428.19	CMO J P MORGAN CHASE COM1 MTG SECS TR 2006-C CL ASB 5.79 DUE								
46627QB83	06-12-2043 REG	01/11/2010	428.19	449.73		0.00	-21.54	0.00	0.00
18.66	CMO J P MORGAN CHASE COM1 MTG SECS TR 2007-C MTG PASSTHRU								
46629YAC3	DUE 5.44 6-12-47	01/12/2015	18.66	19.95		0.00	-1.29	0.00	0.00
318.56	SMART ABS SER 2013-1US TR CL A-3A .84 DUE 09-14-2016 REG								
78447NAD8		01/16/2013	318.56	318.55		0.00	0.01	0.00	0.00
376.65	FEDERAL HOME LN MTG CORP POOL #G06031 5.5% 03-01-2040 BEO								
3128M8A87		01/15/2015	376.65	400.43		0.00	-23.78	0.00	0.00
438.11	FORD CR AUTO LEASE TR 2013-A CL A3.6 DUE 03-15-2016								
34530CAC5		01/15/2015	438.11	438.07		0.00	0.04	0.00	0.00
570.19	GNMA POOL #730990 4.5% 01-15-2040 BEO								
3620AJC31		01/15/2015	570.19	586.32		0.00	-16.13	0.00	0.00
4637.47	CMO GS MTG SECS CORP II 2005-GG4 CL A-4A4.751% DUE 07-10-2039 REG								
36228CVU4		01/15/2015	4,637.47	4,965.72		0.00	-328.25	0.00	0.00

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1122.57	HARLEY DAVIDSON	.68% DUE 04-17-2017							
41283TAC2	01/15/2015	03/22/2013	1,122.57	1,125.38		0.00	-2.81	0.00	0.00
69.67	CMQ WACHOVIA BK COML MTG TR SER 2005-C21CL A4 FLT RT DUE 10-15-2044 BEO	03/14/2013	69.67	75.98		0.00	-6.31	0.00	0.00
9297667G2	01/16/2015	11/16/2010	42.70	46.28		0.00	-3.58	0.00	0.00
42.7	WACHOVIA BK COML MTG TR 2005-C22 COML MTG PASSTHRU CTF CL A-4 15 DEC 2044	01/16/2015	42.70	46.28		0.00	-3.58	0.00	0.00
92976BBN1	01/16/2015	11/16/2010	42.70	46.28		0.00	-3.58	0.00	0.00
33.76	GNMA POOL #783276 4.5% 03-15-2041 BEO		33.76	35.88		0.00	-2.12	0.00	0.00
36241LT97	01/21/2015	06/27/2011	33.76	35.88		0.00	-2.12	0.00	0.00
13889.85	FNMA POOL #AD6942 5% 06-01-2040 BEO		15,539.27	15,296.21		0.00	243.06	0.00	0.00
31418UWC4	01/22/2015	03/26/2013	15,539.27	15,296.21		0.00	243.06	0.00	0.00
25440.28	GNMA POOL #730990 4.5% 01-15-2040 BEO		27,841.21	26,159.75		0.00	1,681.46	0.00	0.00
3620AJC31	01/23/2015	02/23/2011	27,841.21	26,159.75		0.00	1,681.46	0.00	0.00
10.26	FNMA POOL #463205 4.6% 09-01-2019 BEO		10.26	10.22		0.00	0.04	0.00	0.00
31381KR27	01/26/2015	07/27/2009	10.26	10.22		0.00	0.04	0.00	0.00
346.0	FEDERAL NATL MTG ASSN GTD MTG POOL #A15589 4.5% 07-01-2041 BEO		346.00	366.54		0.00	-20.54	0.00	0.00
3138AKF79	01/26/2015	08/08/2011	346.00	366.54		0.00	-20.54	0.00	0.00
116.81	FNMA POOL #AS0353 3.5% 09-01-2043 BEO		116.81	116.87		0.00	-0.06	0.00	0.00
3138W9MB3	01/26/2015	08/29/2013	116.81	116.87		0.00	-0.06	0.00	0.00

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397.38	FNMA POOL #AU6416 3% 09-01-2028 BEO								
3138X6DW2	01/26/2015	09/24/2013	397.38	410.17		0.00	-12.79	0.00	0.00
181.94	FNMA POOL #889691 6% 07-01-2038 BEO								
31410KNG5	01/26/2015	11/19/2010	181.94	198.60		0.00	-16.66	0.00	0.00
24.48	FNMA POOL #932268 5.5% 11-01-2039 BEO								
31412QW54	01/26/2015	06/09/2010	24.48	26.33		0.00	-1.85	0.00	0.00
209.86	FNMA POOL #983363 6% 08-01-2038 BEO								
31415LML8	01/26/2015	06/09/2010	209.86	227.04		0.00	-17.18	0.00	0.00
126.78	FNMA POOL #AB2270 4% 02-01-2041 BEO								
31416XQY9	01/26/2015	08/08/2011	126.78	130.74		0.00	-3.96	0.00	0.00
106.98	FNMA POOL #AD4333 5% 03-01-2040 BEO								
31418RY70	01/26/2015	04/04/2011	106.98	112.08		0.00	-5.10	0.00	0.00
419.24	FNMA POOL #AD6942 5% 06-01-2040 BEO								
31418UWC4	01/26/2015	03/26/2013	419.24	461.69		0.00	-42.45	0.00	0.00
9.1	FNMA POOL #AE1589 4.5% 10-01-2040 BEO								
31419BXT7	01/26/2015	04/04/2011	9.10	9.28		0.00	-0.18	0.00	0.00
1612.24	GE EQUIP TRANSN .62% DUE 07-25-2016								
36163AAD6	01/26/2015	03/21/2013	1,612.24	1,611.11		0.00	1.13	0.00	0.00

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0.01	GNMA POOL #730990 4.5% 01-15-2040 BEO								
3620AJC31	01/29/2015	02/23/2011	0.01	0.01		0.00	0.00	0.00	0.00
10000.0	ARCHER DANIELS 7% DUE 02-01-2031 02/08/2001 7 DUE 02-01-2031 BEO								
039483AS1	02/11/2015	06/30/2010	13,301.30	12,494.40		0.00	806.90	0.00	0.00
291.05	CMO BEAR STEARNS COM1 MTG SECS TR 2006-PWR13 CL-A4 5.54 DUE 09-11-2041 REG								
07388LAE0	02/11/2015	03/14/2013	291.05	329.45		0.00	-38.40	0.00	0.00
27.37	CMO BEAR STEARNS TR 2006-TP24 MTG PSSTHRU CTF CL A-4 10-12-2041								
07388NAE6	02/12/2015	11/16/2010	27.37	30.02		0.00	-2.65	0.00	0.00
10.59	BEAR STEARNS COM1 MTG SECS TR 2007-TOP26MTG PASSTHRU CTF CL A-4 12 JAN 2045								
07388VAE8	02/12/2015	01/08/2009	10.59	8.31		0.00	2.28	0.00	0.00
2246.84	CMO GS MTG SECS CORP II 2005-GG4 CL A-4A4.751% DUE 07-10-2039 REG								
36228CVU4	02/12/2015	12/07/2011	2,246.84	2,405.88		0.00	-159.04	0.00	0.00
404.18	CMO J P MORGAN CHASE COM1 MTG SECS TR 2006-C CL ASB 5.79 DUE 06-12-2043 REG								
46627QBB3	02/12/2015	01/11/2010	404.18	424.52		0.00	-20.34	0.00	0.00
17.57	CMO J P MORGAN CHASE COM1 MTG SECS TR 2007-C MTG PASSTHRU DUE 5.44 6-12-47								
46629YAC3	02/12/2015	10/06/2010	17.57	18.79		0.00	-1.22	0.00	0.00
490.67	CMO MORGAN STANLEY CAP I TR 2006-TOP23 SER 2006-T23 CL-A4 DUE 08-12-2041 BEO								
61749MAV1	02/12/2015	05/11/2010	490.67	521.41		0.00	-30.74	0.00	0.00

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352.91	FEDERAL HOME LN MTG CORP POOL #G06031 5.5% 03-01-2040 BEO								
3128M8A87	02/17/2015	04/11/2011	352.91	375.19		0.00	-22.28	0.00	0.00
477.83	FORD CR AUTO LEASE TR 2013-A CL A3.6 DUE 03-15-2016								
34530CAC5	02/17/2015	03/12/2013	477.83	477.78		0.00	0.05	0.00	0.00
1085.38	HARLEY DAVIDSON .68% DUE 04-17-2017								
41283TAC2	02/17/2015	03/22/2013	1,085.38	1,088.09		0.00	-2.71	0.00	0.00
65.42	MERCEDES-BENZ AUTO SER 2013-1 CL A-3.78 DUE 08-15-2017								
58768WAC3	02/17/2015	07/23/2013	65.42	65.42		0.00	0.00	0.00	0.00
298.5	SMART ABS SER 2013-1US TR CL A-3A .84 DUE 09-14-2016 REG								
78447NAD8	02/17/2015	01/16/2013	298.50	298.49		0.00	0.01	0.00	0.00
1456.08	CMO WACHOVIA BK COML MTG TR SER 2005-C21CL A4 FLT RT DUE 10-15-2044 BEO								
9297667G2	02/18/2015	03/14/2013	1,456.08	1,588.03		0.00	-131.95	0.00	0.00
42.9	WACHOVIA BK COML MTG TR 2005-C22 COML MTG PASSTHRU CTF CL A-4 15 DEC 2044								
92976BBN1	02/18/2015	11/16/2010	42.90	46.50		0.00	-3.60	0.00	0.00
1614.14	GE EQUIP TRANSN .62% DUE 07-25-2016								
36163AAD6	02/24/2015	03/21/2013	1,614.14	1,613.00		0.00	1.14	0.00	0.00
10.31	FNMA POOL #463205 4.6% 09-01-2019 BEO								
31381KR27	02/25/2015	07/27/2009	10.31	10.27		0.00	0.04	0.00	0.00

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2015 Tax Information Statement

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 Recipient's Name and Address:
 THE GORDON A CAIN FOUNDATION
 8 GREENWAY PLZ STE 606
 HOUSTON, TX 77046-0892

Account Number: 26-93890
 Recipient's Tax ID Number: XX-XXX3773

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

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Description of property

Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Code if any	Adjustments	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
394.53	FEDERAL NATL MTG ASSN GTD MTG POOL #AI5589 4.5% 07-01-2041 BEO								
3138AKF79	02/25/2015	08/08/2011	394.53	417.96		0.00	-23.43	0.00	0.00
41.44	FNMA POOL #AS0353 3.5% 09-01-2043 BEO								
3138W9MB3	02/25/2015	08/29/2013	41.44	41.46		0.00	-0.02	0.00	0.00
527.83	FNMA POOL #AU6416 3% 09-01-2028 BEO								
3138X6DW2	02/25/2015	09/24/2013	527.83	544.82		0.00	-16.99	0.00	0.00
158.97	FNMA POOL #889691 6% 07-01-2038 BEO								
31410KNG5	02/25/2015	11/19/2010	158.97	173.53		0.00	-14.56	0.00	0.00
2090.1	FNMA POOL #932268 5.5% 11-01-2039 BEO								
31412QW54	02/25/2015	06/09/2010	2,090.10	2,248.17		0.00	-158.07	0.00	0.00
343.06	FNMA POOL #983363 6% 08-01-2038 BEO								
31415LML8	02/25/2015	06/09/2010	343.06	371.15		0.00	-28.09	0.00	0.00
147.42	FNMA POOL #AB2270 4% 02-01-2041 BEO								
31416XQY9	02/25/2015	08/08/2011	147.42	152.03		0.00	-4.61	0.00	0.00
112.03	FNMA POOL #AD4333 5% 03-01-2040 BEO								
31418RY70	02/25/2015	04/04/2011	112.03	117.37		0.00	-5.34	0.00	0.00
28.11	FNMA POOL #AD6942 5% 06-01-2040 BEO								
31418UWC4	02/25/2015	03/26/2013	28.11	30.96		0.00	-2.85	0.00	0.00

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 Recipient's Name and Address:
 THE GORDON A CAIN FOUNDATION
 8 GREENWAY PLZ STE 606
 HOUSTON, TX 77046-0892

Account Number: 26-93890
 Recipient's Tax ID Number: XX-XXX3773

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17.5	FNMA POOL #AE1589 4.5% 10-01-2040 BEO								
31419BXT7	02/25/2015	04/04/2011	17.50	17.84		0.00	-0.34	0.00	0.00
935.1	SMALL BUSINESS ADMIN GTD DEV PARTN CTF SER 2013-20C CL 1 2.22% 01 MAR 2033								
83162CVK6	03/04/2015	03/07/2013	935.10	935.10		0.00	0.00	0.00	0.00
5000.0	DUKE ENERGY OHIO INC 1ST MTG BD FLTG RATE DUE 03-06-2015 REG								
26442EAC4	03/06/2015	09/03/2013	5,000.00	5,000.00		0.00	0.00	0.00	0.00
182.99	CMO BEAR STEARNS COMML MTG SECS TR 2006-PWR13 CL-A4 5.54 DUE 09-11-2041 REG								
07388LAE0	03/11/2015	03/14/2013	182.99	207.14		0.00	-24.15	0.00	0.00
34.55	CMO BEAR STEARNS TR 2006-TP24 MTG PSSTHRU CTF CL A-4 10-12-2041								
07388NAE6	03/12/2015	11/16/2010	34.55	37.90		0.00	-3.35	0.00	0.00
13.66	BEAR STEARNS COMML MTG SECS TR 2007-TOP26MTG PASSTHRU CTF CL A-4 12 JAN 2045								
07388VAE8	03/12/2015	01/08/2009	13.66	10.72		0.00	2.94	0.00	0.00
493.3	CMO J P MORGAN CHASE COMML MTG SECS TR 2006-C CL ASB 5.79 DUE 06-12-2043 REG								
46627QBB3	03/12/2015	01/11/2010	493.30	518.12		0.00	-24.82	0.00	0.00
51.92	CMO J P MORGAN CHASE COMML MTG SECS TR 2007-C MTG PASSTHRU DUE 5.44 6-12-47								
46629YAC3	03/12/2015	10/06/2010	51.92	55.51		0.00	-3.59	0.00	0.00
9.78	CMO MORGAN STANLEY CAP I TR 2006-TOP23 SER 2006-T23 CL-A4 DUE 08-12-2041 BEO								
61749MAV1	03/12/2015	05/11/2010	9.78	10.39		0.00	-0.61	0.00	0.00

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 Recipient's Name and Address:
 THE GORDON A CAIN FOUNDATION
 8 GREENWAY PLZ STE 606
 HOUSTON, TX 77046-0892

Account Number: 26-93890
 Recipient's Tax ID Number: XX-XXX3773

THE NORTHERN TRUST COMPANY
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1057.07	CMO GS MTG SECS CORP II 2005-GG4 CL A-4A4.751% DUE 07-10-2039 REG								
38228CVU4	03/13/2015	12/07/2011	1,057.07	1,131.89		0.00	-74.82	0.00	0.00
212.8	FEDERAL HOME LN MTG CORP POOL #G06031 5.5% 03-01-2040 BEO								
3128M8A87	03/16/2015	04/11/2011	212.80	226.23		0.00	-13.43	0.00	0.00
471.05	FORD CR AUTO LEASE TR 2013-A CL A3.6 DUE 03-15-2016								
34530CAC5	03/16/2015	03/12/2013	471.05	471.00		0.00	0.05	0.00	0.00
1066.0	HARLEY DAVIDSON .68% DUE 04-17-2017								
41283TAC2	03/16/2015	03/22/2013	1,066.00	1,068.67		0.00	-2.67	0.00	0.00
636.87	MERCEDES-BENZ AUTO SER 2013-1 CL A-3.78 DUE 08-15-2017								
58768WAC3	03/16/2015	07/23/2013	636.87	636.85		0.00	0.02	0.00	0.00
293.33	SMART ABS SER 2013-1US TR CL A-3A .84 DUE 09-14-2016 REG								
78447NAD8	03/16/2015	01/16/2013	293.33	293.32		0.00	0.01	0.00	0.00
30.61	CMO COBALT CMBS COML MTG TR 2007-C3 DUE 05-15-2046 REG								
19075DAE1	03/17/2015	03/06/2014	30.61	34.11		0.00	-3.50	0.00	0.00
48.92	CMO WACHOVIA BK COML MTG TR SER 2005-C21CL A4 FLT RT DUE 10-15-2044 BEO								
9297667G2	03/17/2015	03/14/2013	48.92	53.35		0.00	-4.43	0.00	0.00
251.24	WACHOVIA BK COML MTG TR 2005-C22 COML MTG PASSTHRU CTF CL A-4 15 DEC 2044								
92976BBN1	03/17/2015	11/16/2010	251.24	272.33		0.00	-21.09	0.00	0.00

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 Recipient's Name and Address:
 THE GORDON A CAIN FOUNDATION
 8 GREENWAY PLZ STE 606
 HOUSTON, TX 77046-0892

Account Number: 26-93890
 Recipient's Tax ID Number: XX-XXX3773

THE NORTHERN TRUST COMPANY
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5000.0	ARCHER DANIELS 7% DUE 02-01-2031	02/08/2001	7 DUE 02-01-2031 BEO						
039483AS1	03/20/2015	06/30/2010	6,755.70	6,247.20		0.00	508.50	0.00	0.00
1230.06	GE EQUIP TRANSN .62% DUE 07-25-2016								
36163AAD6	03/24/2015	03/21/2013	1,230.06	1,229.19		0.00	0.87	0.00	0.00
15.0	FNMA POOL #463205 4.6% 09-01-2019 BEO								
31381KR27	03/25/2015	07/27/2009	15.00	14.93		0.00	0.07	0.00	0.00
252.08	FEDERAL NATL MTG ASSN GTD MTG POOL #A15589 4.5% 07-01-2041 BEO								
3138AKF79	03/25/2015	08/08/2011	252.08	267.05		0.00	-14.97	0.00	0.00
54.26	FNMA POOL #AS0353 3.5% 09-01-2043 BEO								
3138W9MB3	03/25/2015	08/29/2013	54.26	54.29		0.00	-0.03	0.00	0.00
1017.25	FNMA POOL #AU6416 3% 09-01-2028 BEO								
3138X6DW2	03/25/2015	09/24/2013	1,017.25	1,049.99		0.00	-32.74	0.00	0.00
124.82	FNMA POOL #889691 6% 07-01-2038 BEO								
31410KNG5	03/25/2015	11/19/2010	124.82	136.25		0.00	-11.43	0.00	0.00
21.46	FNMA POOL #932268 5.5% 11-01-2039 BEO								
314120W54	03/25/2015	06/09/2010	21.46	23.08		0.00	-1.62	0.00	0.00
406.22	FNMA POOL #983363 6% 08-01-2038 BEO								
31415LML8	03/25/2015	06/09/2010	406.22	439.48		0.00	-33.26	0.00	0.00

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 Recipient's Name and Address:
 THE GORDON A CAIN FOUNDATION
 8 GREENWAY PLZ STE 606
 HOUSTON, TX 77046-0892

Account Number: 26-93890
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300.53	FNMA POOL #AB2270 4% 02-01-2041 BEO								
31416XQY9	03/25/2015	08/08/2011	300.53	309.92		0.00	-9.39	0.00	0.00
110.54	FNMA POOL #AD4333 5% 03-01-2040 BEO								
31418RY70	03/25/2015	04/04/2011	110.54	115.81		0.00	-5.27	0.00	0.00
151.46	FNMA POOL #AD6942 5% 06-01-2040 BEO								
31418UWC4	03/25/2015	03/26/2013	151.46	166.80		0.00	-15.34	0.00	0.00
18.38	FNMA POOL #AE1589 4.5% 10-01-2040 BEO								
31419BXT7	03/25/2015	04/04/2011	18.38	18.74		0.00	-0.36	0.00	0.00
4000.0	VALE OVERSEAS LTD 4.375% DUE 01-11-2022								
91911TAM5	04/02/2015	03/14/2013	3,839.24	4,118.04		0.00	-278.80	0.00	0.00
3000.0	VALE OVERSEAS LTD 4.375% DUE 01-11-2022								
91911TAM5	04/07/2015	Various	2,878.02	3,075.11		0.00	-197.09	0.00	0.00
3000.0	VALE OVERSEAS LTD 4.375% DUE 01-11-2022								
91911TAM5	04/08/2015	03/28/2012	2,890.23	3,068.40		0.00	-178.17	0.00	0.00
5000.0	COMCAST CORP NEW 6.4% DUE 03-01-2040								
20030NBB6	04/09/2015	02/24/2010	6,851.50	4,976.20		0.00	1,875.30	0.00	0.00
21385.53	FNMA POOL #AU6416 3% 09-01-2028 BEO								
3138X6DW2	04/10/2015	09/24/2013	22,427.24	22,073.89		0.00	353.35	0.00	0.00

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 Recipient's Name and Address:
 THE GORDON A CAIN FOUNDATION
 8 GREENWAY PLZ STE 606
 HOUSTON, TX 77046-0892

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50.56	CMO BEAR STEARNS COMML MTG SECS TR 2006-PWR13 CL-A4 5.54 DUE								
07388LAE0	09-11-2041 REG 04/13/2015	03/14/2013	50.56	57.23		0.00	-6.67	0.00	0.00
492.28	CMO BEAR STEARNS TR 2006-TP24 MTG PSSTHRU CTF CL A-4 10-12-2041								
07388NAE6	04/13/2015	11/16/2010	492.28	539.97		0.00	-47.69	0.00	0.00
11.51	BEAR STEARNS COMML MTG SECS TR 2007-TOP26MTG PASSTHRU CTF CL								
07388VAE8	04-12-2045 04/13/2015	01/08/2009	11.51	9.04		0.00	2.47	0.00	0.00
387.82	CMO J P MORGAN CHASE COMML MTG SECS TR 2006-C CL ASB 5.79 DUE								
46627QBB3	06-12-2043 REG 04/13/2015	01/11/2010	387.82	407.33		0.00	-19.51	0.00	0.00
17.58	CMO J P MORGAN CHASE COMML MTG SECS TR 2007-C MTG PASSTHRU								
46629YAC3	06-12-2041 REG 04/13/2015	10/06/2010	17.58	18.80		0.00	-1.22	0.00	0.00
7.68	CMO MORGAN STANLEY CAP I TR 2006-TOP23 SER 2006-T23 CL-A4 DUE								
61749MAV1	08-12-2041 BEO 04/13/2015	05/11/2010	7.68	8.16		0.00	-0.48	0.00	0.00
365.09	SMART ABS SER 2013-TUS TR CL A-3A.84 DUE 09-14-2016 REG								
78447NAD8	04/14/2015	01/16/2013	365.09	365.08		0.00	0.01	0.00	0.00
293.08	FEDERAL HOME LN MTG CORP POOL #G06031 5.5% 03-01-2040 BEO								
3128M8A87	04/15/2015	04/11/2011	293.08	311.58		0.00	-18.50	0.00	0.00
479.97	FORD CR AUTO LEASE TR 2013-A CL A3.6 DUE 03-15-2016								
34530CAC5	04/15/2015	03/12/2013	479.97	479.92		0.00	0.05	0.00	0.00

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 THE GORDON A CAIN FOUNDATION
 8 GREENWAY PLZ STE 606
 HOUSTON, TX 77046-0892

Account Number: 26-93890
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1229.2	HARLEY DAVIDSON .68% DUE 04-17-2017								
41283TAC2	04/15/2015	03/22/2013	1,229.20	1,232.27		0.00	-3.07	0.00	0.00
54.67	HYUNDAI AUTO 1.01% DUE 02-15-2018 02-15-2018								
44890QAC7	04/15/2015	09/11/2013	54.67	54.66		0.00	0.01	0.00	0.00
556.27	MERCEDES-BENZ AUTO FLTGT RT .38655% DUE 06-15-2016								
58768EAD1	04/15/2015	04/01/2014	556.27	556.27		0.00	0.00	0.00	0.00
764.4	MERCEDES-BENZ AUTO SER 2013-1 CL A-3.78 DUE 08-15-2017								
58768WAC3	04/15/2015	07/23/2013	764.40	764.37		0.00	0.03	0.00	0.00
23.38	CMO COBALT CMBS COML MTG TR 2007-C3 DUE 05-15-2046 REG								
19075DAE1	04/17/2015	03/06/2014	23.38	26.05		0.00	-2.67	0.00	0.00
439.29	CMO WACHOVIA BK COML MTG TR SER 2005-C21CL A4 FLT RT DUE 10-15-2044 BEO								
9297667G2	04/17/2015	03/14/2013	439.29	479.10		0.00	-39.81	0.00	0.00
782.92	WACHOVIA BK COML MTG TR 2005-C22 COML MTG PASSTHRU CTF CL A-4 15 DEC 2044								
92976BBN1	04/17/2015	11/16/2010	782.92	848.64		0.00	-65.72	0.00	0.00
1405.0	GE EQUIP TRANSN .62% DUE 07-25-2016								
36163AAD6	04/24/2015	03/21/2013	1,405.00	1,404.01		0.00	0.99	0.00	0.00
1846.44	KENTUCKY ASSET / LIABILITY COMMN GEN FD REV 3.165% 04-01-2018 BEO								
491189FC5	04/24/2015	08/18/2010	1,846.44	1,846.44		0.00	0.00	0.00	0.00

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10.44	FNMA POOL #463205 4.6% 09-01-2019 BEO								
31381KR27	04/27/2015	07/27/2009	10.44	10.39		0.00	0.05	0.00	0.00
240.72	FEDERAL NATL MTG ASSN GTD MTG POOL #AI5589 4.5% 07-01-2041 BEO								
3138AKF79	04/27/2015	08/08/2011	240.72	255.01		0.00	-14.29	0.00	0.00
239.49	FNMA POOL #AS0353 3.5% 09-01-2043 BEO								
3138W9MB3	04/27/2015	08/29/2013	239.49	239.62		0.00	-0.13	0.00	0.00
756.83	FNMA POOL #AU6416 3% 09-01-2028 BEO								
3138X6DW2	04/27/2015	09/24/2013	756.83	781.19		0.00	-24.36	0.00	0.00
132.31	FNMA POOL #889691 6% 07-01-2038 BEO								
31410KNG5	04/27/2015	11/19/2010	132.31	144.42		0.00	-12.11	0.00	0.00
21.44	FNMA POOL #932268 5.5% 11-01-2039 BEO								
31412QW54	04/27/2015	06/09/2010	21.44	23.06		0.00	-1.62	0.00	0.00
123.1	FNMA POOL #983363 6% 08-01-2038 BEO								
31415LML8	04/27/2015	06/09/2010	123.10	133.18		0.00	-10.08	0.00	0.00
453.29	FNMA POOL #AB2270 4% 02-01-2041 BEO								
31416XQY9	04/27/2015	08/08/2011	453.29	467.46		0.00	-14.17	0.00	0.00
10.77	FNMA POOL #AD4333 5% 03-01-2040 BEO								
31418RY70	04/27/2015	04/04/2011	10.77	11.28		0.00	-0.51	0.00	0.00

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Ref: WXT

Account Number: 26-93890
Recipient's Tax ID Number: XX-XXX3773

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158.65	FNMA POOL #AD6942 5% 06-01-2040 BEO								
31418UWC4	04/27/2015	03/26/2013	158.65	174.71		0.00	-16.06	0.00	0.00
28.5	FNMA POOL #AE1589 4.5% 10-01-2040 BEO								
31419BXT7	04/27/2015	04/04/2011	28.50	29.05		0.00	-0.55	0.00	0.00
104.01	CMO BEAR STEARNS COML MTG SECS TR 2006-PWR13 CL-A4 5.54 DUE 09-11-2041 REG								
07388LAE0	05/11/2015	03/14/2013	104.01	117.73		0.00	-13.72	0.00	0.00
143.75	CMO BEAR STEARNS TR 2006-TP24 MTG PSSTHRU CTF CL A-4 10-12-2041								
07388NAE6	05/12/2015	11/16/2010	143.75	157.68		0.00	-13.93	0.00	0.00
51.13	BEAR STEARNS COML MTG SECS TR 2007-TOP26MTG PASSTHRU CTF CL A-4 12 JAN 2045								
07388VAE8	05/12/2015	01/08/2009	51.13	40.15		0.00	10.98	0.00	0.00
427.58	CMO J P MORGAN CHASE COML MTG SECS TR 2006-C CL ASB 5.79 DUE 06-12-2043 REG								
46627QBB3	05/12/2015	01/11/2010	427.58	449.09		0.00	-21.51	0.00	0.00
19.49	CMO J P MORGAN CHASE COML MTG SECS TR 2007-C MTG PASSTHRU DUE 5.44 8-12-47								
46629YAC3	05/12/2015	10/06/2010	19.49	20.84		0.00	-1.35	0.00	0.00
8.54	CMO MORGAN STANLEY CAP I TR 2006-TOP23 SER 2006-T23 CL-A4 DUE 08-12-2041 BEO								
61749MAV1	05/12/2015	05/11/2010	8.54	9.08		0.00	-0.54	0.00	0.00
22557.55	CMO BEAR STEARNS COML MTG SECS TR 2006-PWR13 CL-A4 5.54 DUE 09-11-2041 REG								
07388LAE0	05/14/2015	03/14/2013	23,430.78	25,534.09		0.00	-2,103.31	0.00	0.00

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2015 Tax Information Statement

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 Recipient's Name and Address: Ref: WXT
 THE GORDON A CAIN FOUNDATION
 8 GREENWAY PLZ STE 606
 HOUSTON, TX 77046-0892

Account Number: 26-93890
 Recipient's Tax ID Number: XX-XXX3773

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

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Description of property

Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Code if any	Adjustments	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
10000.0	BURLINGTON NORTHN SANTA FE LLC 3.05 DUE 03-15-2022								
12189LAH4	05/14/2015	02/29/2012	10,140.70	9,999.90		0.00	140.80	0.00	0.00
10000.0	FORD CR AUTO OWNER .79% DUE 05-15-2018 05-15-2018								
34530HAC4	05/14/2015	01/14/2014	9,986.72	9,998.51		0.00	-11.79	0.00	0.00
5000.0	KINDER MORGAN 3.95% DUE 09-01-2022								
494550BL9	05/14/2015	03/08/2012	4,997.55	4,987.35		0.00	10.20	0.00	0.00
5000.0	METLIFE INC 7.717% 15 FEB 2019								
59156RAT5	05/14/2015	02/11/2009	6,032.45	5,017.50		0.00	1,014.95	0.00	0.00
10000.0	PECO ENERGY CO 5.35% DUE 03-01-2018 DUE 03-01-2018/03-02-2008 BEO								
693304AL1	05/14/2015	02/28/2008	11,086.80	10,125.10		0.00	961.70	0.00	0.00
5000.0	PRUDENTIAL FINL INC MEDIUM TERM NTS BOOK# TR 00038 4.5 DUE 11-15-2020								
74432QBP9	05/14/2015	11/15/2010	5,515.60	4,961.90		0.00	553.70	0.00	0.00
337.34	SMART ABS SER 2013-1US TR CL A-3A .84 DUE 09-14-2016 REG								
78447NAD8	05/14/2015	01/16/2013	337.34	337.33		0.00	0.01	0.00	0.00
133.81	CMO COBALT CMBS COML MTG TR 2007-C3 DUE 05-15-2046 REG								
19075DAE1	05/15/2015	03/06/2014	133.81	149.09		0.00	-15.28	0.00	0.00
347.95	FEDERAL HOME LN MTG CORP POOL #G06031 5.5% 03-01-2040 BEO								
3128M8A87	05/15/2015	04/11/2011	347.95	369.91		0.00	-21.96	0.00	0.00

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THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-93890
Recipient's Tax ID Number: XX-XXX3773

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Recipient's Name and Address:
THE GORDON A CAIN FOUNDATION
8 GREENWAY PLZ STE 606
HOUSTON, TX 77046-0892

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547.38	FORD CR AUTO LEASE TR 2013-A CL A3 .6 DUE 03-15-2016		547.38	547.33		0.00	0.05	0.00	0.00
34530CAC5	05/15/2015	03/12/2013	547.38	547.33		0.00	0.05	0.00	0.00
1126.96	HARLEY DAVIDSON .68% DUE 04-17-2017								
41283TAC2	05/15/2015	03/22/2013	1,126.96	1,129.78		0.00	-2.82	0.00	0.00
355.49	HYUNDAI AUTO 1.01% DUE 02-15-2018 02-15-2018								
44890OAC7	05/15/2015	09/11/2013	355.49	355.43		0.00	0.06	0.00	0.00
520.75	MERCEDES-BENZ AUTO FLTGT RT .38655% DUE 06-15-2016								
58768EAD1	05/15/2015	04/01/2014	520.75	520.75		0.00	0.00	0.00	0.00
671.64	MERCEDES-BENZ AUTO SER 2013-1 CL A-3.78 DUE 08-15-2017								
58768WAC3	05/15/2015	07/23/2013	671.64	671.62		0.00	0.02	0.00	0.00
450.85	SANTANDER DR AUTO FLTGT RT .52655% DUE 07-17-2017								
80283WAC3	05/15/2015	04/16/2014	450.85	450.85		0.00	0.00	0.00	0.00
465.9	CMO WACHOVIA BK COML MTG TR SER 2005-C21CL A4 FLT RT DUE 10-15-2044 BEO								
9297667G2	05/15/2015	03/14/2013	465.90	508.12		0.00	-42.22	0.00	0.00
1631.24	WACHOVIA BK COML MTG TR 2005-C22 COML MTG PASSTHRU CTF CL A-4 15 DEC 2044								
92976BBN1	05/15/2015	11/16/2010	1,631.24	1,768.18		0.00	-136.94	0.00	0.00
12.03	FNMA POOL #463205 4.6% 09-01-2019 BEO								
31381KR27	05/26/2015	07/27/2009	12.03	11.98		0.00	0.05	0.00	0.00

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 Recipient's Name and Address:
 THE GORDON A CAIN FOUNDATION
 8 GREENWAY PLZ STE 606
 HOUSTON, TX 77046-0892

Account Number: 26-93890
 Recipient's Tax ID Number: XX-XXX3773

THE NORTHERN TRUST COMPANY
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278.27	FEDERAL NATL MTG ASSN GTD MTG POOL #A15589 4.5% 07-01-2041 BEO								
3138AKF79	05/26/2015	08/08/2011	278.27	294.79		0.00	-16.52	0.00	0.00
176.28	FNMA POOL #AS0353 3.5% 09-01-2043 BEO								
3138W9MB3	05/26/2015	08/29/2013	176.28	176.38		0.00	-0.10	0.00	0.00
166.02	FNMA POOL #889691 6% 07-01-2038 BEO								
31410KNG5	05/26/2015	11/19/2010	166.02	181.22		0.00	-15.20	0.00	0.00
21.51	FNMA POOL #932268 5.5% 11-01-2039 BEO								
31412QW54	05/26/2015	06/09/2010	21.51	23.14		0.00	-1.63	0.00	0.00
137.29	FNMA POOL #983363 6% 08-01-2038 BEO								
31415LML8	05/26/2015	06/09/2010	137.29	148.53		0.00	-11.24	0.00	0.00
727.84	FNMA POOL #AB2270 4% 02-01-2041 BEO								
31416XQY9	05/26/2015	08/08/2011	727.84	750.58		0.00	-22.74	0.00	0.00
10.89	FNMA POOL #AD4333 5% 03-01-2040 BEO								
31418RY70	05/26/2015	04/04/2011	10.89	11.41		0.00	-0.52	0.00	0.00
145.99	FNMA POOL #AD6942 5% 06-01-2040 BEO								
31418UWC4	05/26/2015	03/26/2013	145.99	160.77		0.00	-14.78	0.00	0.00
24.27	FNMA POOL #AE1589 4.5% 10-01-2040 BEO								
31419BXT7	05/26/2015	04/04/2011	24.27	24.74		0.00	-0.47	0.00	0.00

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1045.0	GE EQUIP TRANSN .62% DUE 07-25-2016								
36163AAD6	05/26/2015	03/21/2013	1,045.00	1,044.27		0.00	0.73	0.00	0.00
1164.7	SMALL BUSINESS ADMIN GTD DEV PARTN CTF PARTN SER 2012-20L CL 1								
83162CVE0	06/01/2015	05/20/2014	1,164.70	1,112.77		0.00	51.93	0.00	0.00
5000.0	UNITED STATES TREAS NTS DTD 11/30/2013 .25% 30 NOV 2015								
912828A26	06/02/2015	11/29/2013	5,003.71	4,996.48		0.00	7.23	0.00	0.00
8000.0	CONAGRA FOODS INC 3.2% DUE 01-25-2023 01-25-2023 USD1000								
205887BR2	06/09/2015	05/29/2014	7,544.72	7,861.92		0.00	-317.20	0.00	0.00
25.71	CMO BEAR STEARNS TR 2006-TP24 MTG PSSTHRU CTF CL A-4 10-12-2041								
07388NAE6	06/12/2015	11/16/2010	25.71	28.20		0.00	-2.49	0.00	0.00
80.97	BEAR STEARNS COML MTG SECS TR 2007-TOP26MTG PASSTHRU CTF CL A-4 12 JAN 2045								
07388VAE8	06/12/2015	01/08/2009	80.97	63.60		0.00	17.37	0.00	0.00
703.08	CMO J P MORGAN CHASE COML MTG SECS TR 2006-C CL ASB 5.79 DUE 08-12-2043 REG								
46627QBB3	06/12/2015	01/11/2010	703.08	738.46		0.00	-35.38	0.00	0.00
165.73	CMO J P MORGAN CHASE COML MTG SECS TR 2007-C MTG PASSTHRU DUE 5.44 8-12-47								
46629YAC3	06/12/2015	10/06/2010	165.73	177.20		0.00	-11.47	0.00	0.00
7.92	CMO MORGAN STANLEY CAP I TR 2006-TOP23 SER 2006-T23 CL-A4 DUE 08-12-2041 BEO								
61749MAV1	06/12/2015	05/11/2010	7.92	8.42		0.00	-0.50	0.00	0.00

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2015 Tax Information Statement

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 Recipient's Name and Address:
 THE GORDON A CAIN FOUNDATION
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330.27	FEDERAL HOME LN MTG CORP POOL #G06031 5.5% 03-01-2040 BE0								
3128M8A87	06/15/2015	04/11/2011	330.27	351.12		0.00	-20.85	0.00	0.00
512.39	FORD CR AUTO LEASE TR 2013-A CL A3.6 DUE 03-15-2016								
34530CAC5	06/15/2015	03/12/2013	512.39	512.34		0.00	0.05	0.00	0.00
1042.3	HARLEY DAVIDSON .68% DUE 04-17-2017								
41283TAC2	06/15/2015	03/22/2013	1,042.30	1,044.91		0.00	-2.61	0.00	0.00
341.13	HYUNDAI AUTO 1.01% DUE 02-15-2018 02-15-2018								
44890QAC7	06/15/2015	09/11/2013	341.13	341.07		0.00	0.06	0.00	0.00
484.31	MERCEDES-BENZ AUTO FLTGT RT .38655% DUE 06-15-2016								
58768EAD1	06/15/2015	04/01/2014	484.31	484.31		0.00	0.00	0.00	0.00
600.3	MERCEDES-BENZ AUTO SER 2013-1 CL A-3.78 DUE 08-15-2017								
58768WAC3	06/15/2015	07/23/2013	600.30	600.28		0.00	0.02	0.00	0.00
361.27	SMART ABS SER 2013-1US TR CL A-3A .84 DUE 09-14-2016 REG								
78447NAD8	06/15/2015	01/16/2013	361.27	361.26		0.00	0.01	0.00	0.00
419.48	SANTANDER DR AUTO FLTGT RT .52655% DUE 07-17-2017								
80283WAC3	06/15/2015	04/16/2014	419.48	419.48		0.00	0.00	0.00	0.00
95.27	CMO COBALT CMBS COML MTG TR 2007-C3 DUE 05-15-2046 REG								
19075DAE1	06/17/2015	03/06/2014	95.27	106.15		0.00	-10.88	0.00	0.00

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2015 Tax Information Statement

Page 66 of 110
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 THE GORDON A CAIN FOUNDATION
 8 GREENWAY PLZ STE 606
 HOUSTON, TX 77046-0892

Account Number: 26-93890
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4830.57	CNO WACHOVIA BK COML MTG TR SER 2005-C21CL A4 FLT RT DUE 10-15-2044 BEO	03/14/2013	4,830.57	5,268.34		0.00	-437.77	0.00	0.00
9297667G2		06/17/2015							
2939.29	WACHOVIA BK COML MTG TR 2005-C22 COML MTG PASSTHRU CTF CL A-4 15 DEC 2044	11/16/2010	2,939.29	3,186.03		0.00	-246.74	0.00	0.00
92976BBN1		06/17/2015							
5000.0	UNITED STATES TREAS BD STRIPPED PRIN PMTZCP 11-15-2043 REG								
912803EE9		06/18/2015	1,971.15	1,692.69		0.00	278.46	0.00	0.00
1191.22	GE EQUIP TRANSN .62% DUE 07-25-2016								
36163AAD6		06/24/2015	1,191.22	1,190.38		0.00	0.84	0.00	0.00
10.55	FNMA POOL #463205 4.6% 09-01-2019 BEO								
31381KR27		06/25/2015	10.55	10.50		0.00	0.05	0.00	0.00
462.55	FEDERAL NATL MTG ASSN GTD MTG POOL #A15589 4.5% 07-01-2041 BEO								
3138AKF79		06/25/2015	462.55	490.01		0.00	-27.46	0.00	0.00
179.2	FNMA POOL #AS0353 3.5% 09-01-2043 BEO								
3138W9MB3		06/25/2015	179.20	179.30		0.00	-0.10	0.00	0.00
627.21	FNMA POOL #AS2498 4% 05-01-2044 BEO								
3138WBX42		06/25/2015	627.21	660.19		0.00	-32.98	0.00	0.00
134.07	FNMA POOL #889691 6% 07-01-2038 BEO								
31410KNG5		06/25/2015	134.07	146.35		0.00	-12.28	0.00	0.00

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22.34	FNMA POOL #932268 5.5% 11-01-2039 BEO								
31412QW54	06/25/2015	06/09/2010	22.34	24.03		0.00	-1.69	0.00	0.00
305.05	FNMA POOL #983363 6% 08-01-2038 BEO								
31415LML8	06/25/2015	06/09/2010	305.05	330.03		0.00	-24.98	0.00	0.00
146.25	FNMA POOL #AB2270 4% 02-01-2041 BEO								
31416XQY9	06/25/2015	08/08/2011	146.25	150.82		0.00	-4.57	0.00	0.00
406.07	FNMA POOL #AD4333 5% 03-01-2040 BEO								
31418RY70	06/25/2015	04/04/2011	406.07	425.42		0.00	-19.35	0.00	0.00
148.92	FNMA POOL #AD6942 5% 06-01-2040 BEO								
31418UWC4	06/25/2015	03/26/2013	148.92	164.00		0.00	-15.08	0.00	0.00
22.33	FNMA POOL #AE1589 4.5% 10-01-2040 BEO								
31419BXT7	06/25/2015	04/04/2011	22.33	22.76		0.00	-0.43	0.00	0.00
22063.35	SMALL BUSINESS ADMIN GTD DEV PARTN CTF SER 2013-20C CL 1 2.22%								
83162CVK6	01 MAR 2033 07/09/2015	03/07/2013	21,601.40	22,063.35		0.00	-461.95	0.00	0.00
307.2	CMO BEAR STEARNS TR 2006-TP24 MTG PSSSTHRU CTF CL A-4 10-12-2041								
07388NAE6	07/13/2015	11/16/2010	307.20	336.96		0.00	-29.76	0.00	0.00
11.76	BEAR STEARNS COML MTG SECS TR 2007-TOP26MTG PASSTHRU CTF CL A-4 12 JAN 2045								
07388VAE8	07/13/2015	01/08/2009	11.76	9.24		0.00	2.52	0.00	0.00

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442.23	CMO J P MORGAN CHASE COML MTG SECS TR 2006-C CL ASB 5.79 DUE 06-12-2043 REG	07/13/2015	01/11/2010	442.23	464.48	0.00	-22.25	0.00	0.00
466270BB3									
19.54	CMO J P MORGAN CHASE COML MTG SECS TR 2007-C MTG PASSTHRU DUE 5.44.6-12-47	07/13/2015	10/06/2010	19.54	20.89	0.00	-1.35	0.00	0.00
46629YAC3									
8.67	CMO MORGAN STANLEY CAP I TR 2006-TOP23 SER 2006-T23 CL-A4 DUE 08-12-2041 BEO	07/13/2015	05/11/2010	8.67	9.21	0.00	-0.54	0.00	0.00
61749MAV1									
403.14	SMART ABS SER 2013-1US TR CL A-3A .84 DUE 09-14-2016 REG	07/14/2015	01/16/2013	403.14	403.12	0.00	0.02	0.00	0.00
78447NAD8									
321.2	FEDERAL HOME LN MTG CORP POOL #G06031 5.5% 03-01-2040 BEO	07/15/2015	04/11/2011	321.20	341.48	0.00	-20.28	0.00	0.00
3128M8A87									
91.46	FORD CR AUTO LEASE TR 2013-A CL A3 .6 DUE 03-15-2016	07/15/2015	03/12/2013	91.46	91.45	0.00	0.01	0.00	0.00
34530CAC5									
972.94	HARLEY DAVIDSON .68% DUE 04-17-2017	07/15/2015	03/22/2013	972.94	975.37	0.00	-2.43	0.00	0.00
41283TAC2									
330.33	HYUNDAI AUTO 1.01% DUE 02-15-2018 02-15-2018	07/15/2015	09/11/2013	330.33	330.27	0.00	0.06	0.00	0.00
44890OAC7									
547.57	MERCEDES-BENZ AUTO FLTGT RT .38655% DUE 06-15-2016	07/15/2015	04/01/2014	547.57	547.57	0.00	0.00	0.00	0.00
58768EAD1									

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Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Code if any	Adjustments	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
636.11	MERCEDES-BENZ AUTO SER 2013-1 CLA-3.78 DUE 08-15-2017								
58768WAC3	07/15/2015	07/23/2013	636.11	636.09		0.00	0.02	0.00	0.00
412.04	SANTANDER DR AUTO FLTGT RT .52655% DUE 07-17-2017								
80283WAC3	07/15/2015	04/16/2014	412.04	412.04		0.00	0.00	0.00	0.00
26.56	CMO COBALT CMBS COML MTG TR 2007-C3 DUE 05-15-2046 REG								
19075DAE1	07/17/2015	03/06/2014	26.56	29.59		0.00	-3.03	0.00	0.00
3440.97	CMO WACHOVIA BK COML MTG TR SER 2005-C21CL A4 FLT RT DUE 10-15-2044 BEO								
9297667G2	07/17/2015	03/14/2013	3,440.97	3,752.80		0.00	-311.83	0.00	0.00
1357.77	WACHOVIA BK COML MTG TR 2005-C22 COML MTG PASSTHRU CTF CL A-4 15 DEC 2044								
929768BN1	07/17/2015	11/16/2010	1,357.77	1,471.75		0.00	-113.98	0.00	0.00
12.14	FNMA POOL #463205 4.6% 09-01-2019 BEO								
31381KR27	07/27/2015	07/27/2009	12.14	12.09		0.00	0.05	0.00	0.00
422.28	FEDERAL NATL MTG ASSN GTD MTG POOL #A15589 4.5% 07-01-2041 BEO								
3138AKF79	07/27/2015	08/08/2011	422.28	447.35		0.00	-25.07	0.00	0.00
374.37	FNMA POOL #AS0353 3.5% 09-01-2043 BEO								
3138W9MB3	07/27/2015	08/29/2013	374.37	374.58		0.00	-0.21	0.00	0.00
391.72	FNMA POOL #AS2498 4% 05-01-2044 BEO								
3138WBX42	07/27/2015	06/12/2014	391.72	412.32		0.00	-20.60	0.00	0.00

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Recipient's Name and Address:

THE GORDON A CAIN FOUNDATION
8 GREENWAY PLZ STE 606
HOUSTON, TX 77046-0892

Account Number: 26-93890

Recipient's Tax ID Number: XX-XXX3773

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

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147.08	FNMA POOL #889691 6% 07-01-2038 BEO								
31410KNG5	07/27/2015	11/19/2010	147.08	160.55		0.00	-13.47	0.00	0.00
1660.28	FNMA POOL #932268 5.5% 11-01-2039 BEO								
31412QW54	07/27/2015	06/09/2010	1,660.28	1,785.84		0.00	-125.56	0.00	0.00
107.17	FNMA POOL #983363 6% 08-01-2038 BEO								
31415LML8	07/27/2015	06/09/2010	107.17	115.94		0.00	-8.77	0.00	0.00
624.69	FNMA POOL #AB2270 4% 02-01-2041 BEO								
31416XQY9	07/27/2015	08/08/2011	624.69	644.21		0.00	-19.52	0.00	0.00
192.26	FNMA POOL #AD4333 5% 03-01-2040 BEO								
31418RY70	07/27/2015	04/04/2011	192.26	201.42		0.00	-9.16	0.00	0.00
268.5	FNMA POOL #AD6942 5% 06-01-2040 BEO								
31418UWC4	07/27/2015	03/26/2013	268.50	295.69		0.00	-27.19	0.00	0.00
35.14	FNMA POOL #AE1589 4.5% 10-01-2040 BEO								
31419BXT7	07/27/2015	04/04/2011	35.14	35.82		0.00	-0.68	0.00	0.00
10000.0	UNITED MEXICAN STATES # TR 0002112/23/08 5.95 DUE 03-19-2019 BEO								
91086QAW8	07/30/2015	Various	11,234.00	11,515.00		0.00	-281.00	0.00	0.00
21708.89	CMO COBALT CMBS COM1 MTG TR 2007-C3 DUE 05-15-2046 REG								
19075DAE1	08/04/2015	03/06/2014	23,114.88	24,188.46		0.00	-1,073.58	0.00	0.00

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8 GREENWAY PLZ STE 606
HOUSTON, TX 77046-0892

Account Number: 26-93890

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5000.0	BURLINGTON NORTHN SANTA FE LLC 3.05 DUE 03-15-2022								
12189LAH4	08/06/2015	02/29/2012	4,985.10	4,999.95		0.00	-14.85	0.00	0.00
5000.0	PRUDENTIAL FINL INC MEDIUM TERM NTS BOOK# TR 00038 4.5 DUE 11-15-2020								
74432QBP9	08/11/2015	11/15/2010	5,447.80	4,961.90		0.00	485.90	0.00	0.00
5000.0	WAL-MART STORES 2.55% DUE 04-11-2023								
931142DH3	08/11/2015	07/22/2014	4,869.40	4,869.40		0.00	0.00	0.00	0.00
25.56	CMO BEAR STEARNS TR 2006-TP24 MTG PSSTHRU CTF CL A-4 10-12-2041								
07388NAE6	08/12/2015	11/16/2010	25.56	28.04		0.00	-2.48	0.00	0.00
14.76	BEAR STEARNS COML MTG SECS TR 2007-TOP26MTG PASSTHRU CTF CL A-4 12 JAN 2045								
07388VAE8	08/12/2015	01/08/2009	14.76	11.60		0.00	3.16	0.00	0.00
466.03	CMO J P MORGAN CHASE COML MTG SECS TR 2006-C CL ASB 5.79 DUE 06-12-2043 REG								
46627QBB3	08/12/2015	01/11/2010	466.03	489.48		0.00	-23.45	0.00	0.00
17.84	CMO J P MORGAN CHASE COML MTG SECS TR 2007-C MTG PASSTHRU DUE 5.44 6-12-47								
46629YAC3	08/12/2015	10/06/2010	17.84	19.07		0.00	-1.23	0.00	0.00
67.26	CMO MORGAN STANLEY CAP I TR 2006-TOP23 SER 2006-T23 CL-A4 DUE 08-12-2041 BEO								
61749MAV1	08/12/2015	05/11/2010	67.26	71.47		0.00	-4.21	0.00	0.00
430.7	SMART ABS SER 2013-1US TR CL A-3A.84 DUE 09-14-2016 REG								
78447NAD8	08/14/2015	01/16/2013	430.70	430.68		0.00	0.02	0.00	0.00

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 Recipient's Name and Address:
 THE GORDON A CAIN FOUNDATION
 8 GREENWAY PLZ STE 606
 HOUSTON, TX 77046-0892
 Ref: WXT

Account Number: 26-93890
 Recipient's Tax ID Number: XX-XXX3773
☐ Corrected ☐ FATCA ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

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252.94	FEDERAL HOME LN MTG CORP POOL #606031 5.5% 03-01-2040 BEO								
3128M8A87	08/17/2015	04/11/2011	252.94	268.91		0.00	-15.97	0.00	0.00
173.05	HARLEY DAVIDSON .68% DUE 04-17-2017								
41283TAC2	08/17/2015	03/22/2013	173.05	173.48		0.00	-0.43	0.00	0.00
327.98	HYUNDAI AUTO 1 01% DUE 02-15-2018 02-15-2018								
44890QAC7	08/17/2015	09/11/2013	327.98	327.92		0.00	0.06	0.00	0.00
522.86	MERCEDES-BENZ AUTO FLTGT RT .38655% DUE 06-15-2016								
58768EAD1	08/17/2015	04/01/2014	522.86	522.86		0.00	0.00	0.00	0.00
584.42	MERCEDES-BENZ AUTO SER 2013-1 CLA-3.78 DUE 08-15-2017								
58768WAC3	08/17/2015	07/23/2013	584.42	584.40		0.00	0.02	0.00	0.00
415.34	SANTANDER DR AUTO FLTGT RT .52655% DUE 07-17-2017								
80283WAC3	08/17/2015	04/16/2014	415.34	415.34		0.00	0.00	0.00	0.00
1340.14	CMO WACHOVIA BK COML MTG TR SER 2005-C21CL A4 FLT RT DUE 10-15-2044 BEO								
9297667G2	08/17/2015	03/14/2013	1,340.14	1,461.59		0.00	-121.45	0.00	0.00
7424.67	WACHOVIA BK COML MTG TR 2005-C22 COML MTG PASSTHRU CTF CL A-4 15 DEC 2044								
92976BBN1	08/17/2015	11/16/2010	7,424.67	8,047.94		0.00	-623.27	0.00	0.00
10.66	FNMA POOL #463205 4.6% 09-01-2019 BEO								
31381KR27	08/25/2015	07/27/2009	10.66	10.61		0.00	0.05	0.00	0.00

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 THE GORDON A CAIN FOUNDATION
 8 GREENWAY PLZ STE 606
 HOUSTON, TX 77046-0892

Account Number: 26-93890
 Recipient's Tax ID Number: XX-XXX3773

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210.96	FEDERAL NATL MTG ASSN GTD MTG POOL #A15589 4.5% 07-01-2041 BEO								
3138AKF79	08/25/2015	08/08/2011	210.96	223.49		0.00	-12.53	0.00	0.00
201.1	FNMA POOL #AS0774 3% 10-01-2043 BEO								
3138W92G4	08/25/2015	08/08/2014	201.10	199.06		0.00	2.04	0.00	0.00
110.42	FNMA POOL #AS0353 3.5% 09-01-2043 BEO								
3138W9MB3	08/25/2015	08/29/2013	110.42	110.48		0.00	-0.06	0.00	0.00
318.58	FNMA POOL #AS2498 4% 05-01-2044 BEO								
3138WBX42	08/25/2015	06/12/2014	318.58	335.33		0.00	-16.75	0.00	0.00
132.92	FNMA POOL #889691 6% 07-01-2038 BEO								
31410KNG5	08/25/2015	11/19/2010	132.92	145.09		0.00	-12.17	0.00	0.00
19.57	FNMA POOL #932268 5.5% 11-01-2039 BEO								
31412QW54	08/25/2015	06/09/2010	19.57	21.05		0.00	-1.48	0.00	0.00
114.44	FNMA POOL #983363 6% 08-01-2038 BEO								
31415LML8	08/25/2015	06/09/2010	114.44	123.81		0.00	-9.37	0.00	0.00
265.74	FNMA POOL #AB2270 4% 02-01-2041 BEO								
31416XQY9	08/25/2015	08/08/2011	265.74	274.04		0.00	-8.30	0.00	0.00
114.26	FNMA POOL #AD4333 5% 03-01-2040 BEO								
31418RY70	08/25/2015	04/04/2011	114.26	119.71		0.00	-5.45	0.00	0.00

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Ref: WXT

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83.06	FNMA POOL #AD6942 5% 06-01-2040 BEO								
31418UWC4	08/25/2015	03/26/2013	83.06	91.47		0.00	-8.41	0.00	0.00
28.3	FNMA POOL #AE1589 4.5% 10-01-2040 BEO								
31419BXT7	08/25/2015	04/04/2011	28.30	28.85		0.00	-0.55	0.00	0.00
4000.0	HERSHEY CO 7.2% DUE 08-15-2027 7.2 DUE 08-15-2027 REG								
427866AL2	08/26/2015	Various	5,645.24	5,551.40		0.00	93.84	0.00	0.00
17740.31	FNMA POOL #AS2498 4% 05-01-2044 BEO								
3138WBX42	09/09/2015	06/12/2014	18,893.43	18,673.06		0.00	220.37	0.00	0.00
64.26	CMO BEAR STEARNS TR 2006-TP24 MTG PSSTHRU CTF CL A-4 10-12-2041								
07388NAE6	09/14/2015	11/16/2010	64.26	70.49		0.00	-6.23	0.00	0.00
32.41	BEAR STEARNS COML MTG SECS TR 2007-TOP26MTG PASSTHRU CTF CL A-4 12 JAN 2045								
07388VAE8	09/14/2015	01/08/2009	32.41	25.47		0.00	6.94	0.00	0.00
407.99	CMO J P MORGAN CHASE COML MTG SECS TR 2006-C CL ASB 5.79 DUE 06-12-2043 REG								
4662QB83	09/14/2015	01/11/2010	407.99	428.52		0.00	-20.53	0.00	0.00
16.23	CMO J P MORGAN CHASE COML MTG SECS TR 2007-C MTG PASSTHRU DUE 5.44 6-12-47								
46629YAC3	09/14/2015	10/06/2010	16.23	17.35		0.00	-1.12	0.00	0.00
21.73	CMO MORGAN STANLEY CAP I TR 2006-TOP23 SER 2006-T23 CL-A4 DUE 08-12-2041 BEO								
61749MAV1	09/14/2015	05/11/2010	21.73	23.09		0.00	-1.36	0.00	0.00

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THE GORDON A CAIN FOUNDATION
8 GREENWAY PLZ STE 606
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404.3	SMART ABS SER 2013-1US TR CL A-3A .84 DUE 09-14-2016 REG								
78447NAD8	09/14/2015	01/16/2013	404.30	404.29		0.00	0.01	0.00	0.00
271.09	FEDERAL HOME LN MTG CORP POOL #G06031 5.5% 03-01-2040 BEO								
3128M8A87	09/15/2015	04/11/2011	271.09	288.20		0.00	-17.11	0.00	0.00
302.18	HYUNDAI AUTO 1.01% DUE 02-15-2018 02-15-2018								
44890QAC7	09/15/2015	09/11/2013	302.18	302.13		0.00	0.05	0.00	0.00
519.0	MERCEDES-BENZ AUTO FLTGT RT .38655% DUE 06-15-2016								
58768EAD1	09/15/2015	04/01/2014	519.00	519.00		0.00	0.00	0.00	0.00
557.11	MERCEDES-BENZ AUTO SER 2013-1 CL A-3.78 DUE 08-15-2017								
58768WAC3	09/15/2015	07/23/2013	557.11	557.09		0.00	0.02	0.00	0.00
390.38	SANTANDER DR AUTO FLTGT RT .52655% DUE 07-17-2017								
80283WAC3	09/15/2015	04/16/2014	390.38	390.38		0.00	0.00	0.00	0.00
1000.0	DOW CHEM CO 4.25% DUE 11-15-2020								
260543CC5	09/17/2015	11/02/2011	1,073.99	1,025.48		0.00	48.51	0.00	0.00
3582.65	WACHOVIA BK COML MTG TR 2005-C23 COML MTG PASSTHRU CTF CL A-4 15 DEC 2044								
92976BBN1	09/18/2015	11/16/2010	3,582.65	3,883.40		0.00	-300.75	0.00	0.00
10.71	FNMA POOL #463205 4.6% 09-01-2019 BEO								
31381KR27	09/25/2015	07/27/2009	10.71	10.66		0.00	0.05	0.00	0.00

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247.97	FEDERAL NATL MTG ASSN GTD MTG POOL #A15589 4.5% 07-01-2041 BEO								
3138AKF79	09/25/2015	08/08/2011	247.97	262.69		0.00	-14.72	0.00	0.00
219.1	FNMA POOL #AS0774 3% 10-01-2043 BEO								
3138W92G4	09/25/2015	08/08/2014	219.10	216.87		0.00	2.23	0.00	0.00
109.08	FNMA POOL #AS0353 3.5% 09-01-2043 BEO								
3138W9MB3	09/25/2015	08/29/2013	109.08	109.14		0.00	-0.06	0.00	0.00
439.31	FNMA POOL #AS2498 4% 05-01-2044 BEO								
3138WBX42	09/25/2015	06/12/2014	439.31	462.41		0.00	-23.10	0.00	0.00
113.82	FNMA POOL #889691 6% 07-01-2038 BEO								
31410KNG5	09/25/2015	11/19/2010	113.82	124.24		0.00	-10.42	0.00	0.00
1997.66	FNMA POOL #932268 5.5% 11-01-2039 BEO								
31412QW54	09/25/2015	06/09/2010	1,997.66	2,148.73		0.00	-151.07	0.00	0.00
75.41	FNMA POOL #983363 6% 08-01-2038 BEO								
31415LML8	09/25/2015	06/09/2010	75.41	81.58		0.00	-6.17	0.00	0.00
165.72	FNMA POOL #AB2270 4% 02-01-2041 BEO								
31416XQY9	09/25/2015	08/08/2011	165.72	170.90		0.00	-5.18	0.00	0.00
201.84	FNMA POOL #AD4333 5% 03-01-2040 BEO								
31418RY70	09/25/2015	04/04/2011	201.84	211.46		0.00	-9.62	0.00	0.00

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454.06	FNMA POOL #AD6942 5% 06-01-2040 BEO								
31418UWC4	09/25/2015	03/26/2013	454.06	500.03		0.00	-45.97	0.00	0.00
28.71	FNMA POOL #AE1589 4.5% 10-01-2040 BEO								
31419BXT7	09/25/2015	04/04/2011	28.71	29.27		0.00	-0.56	0.00	0.00
23.47	CMO COBALT CMBS COM1 MTG TR 2007-C3 DUE 05-15-2046 REG								
19075DAE1	09/28/2015	03/06/2014	23.49	26.15		0.00	-2.66	0.00	0.00
15000.0	ABBVIE INC SR NT FLTG RATE DUE 11-06-2015								
00287YAH2	10/01/2015	08/20/2013	15,007.35	15,161.55		0.00	-154.20	0.00	0.00
95.85	CMO BEAR STEARNS TR 2006-TP24 MTG PSSSTHRU CTF CL A-4 10-12-2041								
07388NAE6	10/13/2015	11/16/2010	95.85	105.14		0.00	-9.29	0.00	0.00
11.6	BEAR STEARNS COM1 MTG SECS TR 2007-TOP26MTG PASSTHRU CTF CL A-4 12 JAN 2045								
07388VAE8	10/13/2015	01/08/2009	11.60	9.12		0.00	2.48	0.00	0.00
582.69	CMO J P MORGAN CHASE COM1 MTG SECS TR 2006-C CL ASB 5.79 DUE 06-12-2043 REG								
46627QBB3	10/13/2015	01/11/2010	582.69	612.01		0.00	-29.32	0.00	0.00
19.3	CMO J P MORGAN CHASE COM1 MTG SECS TR 2007-C MTG PASSTHRU DUE 5.44 6-12-47								
46629YAC3	10/13/2015	10/06/2010	19.30	20.64		0.00	-1.34	0.00	0.00
33.74	CMO MORGAN STANLEY CAP I TR 2006-TOP23 SER 2006-T23 CL-A4 DUE 08-12-2041 BEO								
61749MAV1	10/13/2015	05/11/2010	33.74	35.85		0.00	-2.11	0.00	0.00

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 Recipient's Name and Address:
 THE GORDON A CAIN FOUNDATION
 8 GREENWAY PLZ STE 606
 HOUSTON, TX 77046-0892

Account Number: 26-93890
 Recipient's Tax ID Number: XX-XXX3773

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

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Description of property

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164.85	SMART ABS SER 2013-1US TR CL A-3A .84 DUE 09-14-2016 REG								
78447NAD8	10/14/2015	01/16/2013	164.85	164.84		0.00	0.01	0.00	0.00
271.86	FEDERAL HOME LN MTG CORP POOL #G06031 5.5% 03-01-2040 BEO								
3128M8A87	10/15/2015	04/11/2011	271.86	289.02		0.00	-17.16	0.00	0.00
297.77	HYUNDAI AUTO 1.01% DUE 02-15-2018 02-15-2018								
44890OAC7	10/15/2015	09/11/2013	297.77	297.72		0.00	0.05	0.00	0.00
232.63	HYUNDAI AUTO RECEIVABLES TR 2014-A A3 .79 07-16-2018								
44890RAC5	10/15/2015	01/28/2014	232.63	232.59		0.00	0.04	0.00	0.00
104.22	MERCEDES-BENZ AUTO FLTGT RT .38655% DUE 06-15-2016								
58768EAD1	10/15/2015	04/01/2014	104.22	104.22		0.00	0.00	0.00	0.00
547.85	MERCEDES-BENZ AUTO SER 2013-1 CL A-3.78 DUE 08-15-2017								
58768WAC3	10/15/2015	07/23/2013	547.85	547.83		0.00	0.02	0.00	0.00
273.88	SANTANDER DR AUTO FLTGT RT .52655% DUE 07-17-2017								
80283WAC3	10/15/2015	04/16/2014	273.88	273.88		0.00	0.00	0.00	0.00
5000.0	TRANSCANADA FLTGT RT 6.35% DUE 05-15-2067ISSJR SUB NT 6.35 DUE 05-15-2067 REG								
89352HAC3	10/16/2015	03/28/2012	4,168.75	5,175.00		0.00	-1,006.25	0.00	0.00
5000.0	YUM BRANDS INC 5.35 DUE 11-01-2043								
988498AK7	10/22/2015	03/19/2014	3,712.50	5,142.00		0.00	-1,429.50	0.00	0.00

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 Recipient's Name and Address:
 THE GORDON A CAIN FOUNDATION
 8 GREENWAY PLZ STE 606
 HOUSTON, TX 77046-0892

Account Number: 26-93890
 Recipient's Tax ID Number: XX-XXX3773

THE NORTHERN TRUST COMPANY
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12.3	FNMA POOL #463205	4.6% 09-01-2019 BEO							
31381KR27	10/26/2015	07/27/2009	12.30	12.25		0.00	0.05	0.00	0.00
531.79	FEDERAL NATL MTG ASSN GTD MTG POOL #A15589	4.5% 07-01-2041 BEO							
3138AKF79	10/26/2015	08/08/2011	531.79	563.37		0.00	-31.58	0.00	0.00
270.67	FNMA POOL #AS0774	3% 10-01-2043 BEO							
3138W92G4	10/26/2015	08/08/2014	270.67	267.92		0.00	2.75	0.00	0.00
243.15	FNMA POOL #AS0353	3.5% 09-01-2043 BEO							
3138W9MB3	10/26/2015	08/29/2013	243.15	243.28		0.00	-0.13	0.00	0.00
122.28	FNMA POOL #889691	6% 07-01-2038 BEO							
31410KNG5	10/26/2015	11/19/2010	122.28	133.48		0.00	-11.20	0.00	0.00
16.6	FNMA POOL #932268	5.5% 11-01-2039 BEO							
31412QW54	10/26/2015	06/09/2010	16.60	17.86		0.00	-1.26	0.00	0.00
139.63	FNMA POOL #983363	6% 08-01-2038 BEO							
31415LML8	10/26/2015	06/09/2010	139.63	151.06		0.00	-11.43	0.00	0.00
37.53	FNMA POOL #AB2270	4% 02-01-2041 BEO							
31416XQY9	10/26/2015	08/08/2011	37.53	38.70		0.00	-1.17	0.00	0.00
9.48	FNMA POOL #AD4333	5% 03-01-2040 BEO							
31418RY70	10/26/2015	04/04/2011	9.48	9.93		0.00	-0.45	0.00	0.00

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 Recipient's Name and Address:
 THE GORDON A CAIN FOUNDATION
 8 GREENWAY PLZ STE 606
 HOUSTON, TX 77046-0892

Account Number: 26-93890
 Recipient's Tax ID Number: XX-XXX3773

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338.0	FNMA POOL #AD6942 5% 06-01-2040 BEO								
31418UWC4	10/26/2015	03/26/2013	338.00	372.22		0.00	-34.22	0.00	0.00
23.96	FNMA POOL #AE1589 4.5% 10-01-2040 BEO								
31419BXT7	10/26/2015	04/04/2011	23.96	24.42		0.00	-0.46	0.00	0.00
10000.0	EMBRAER OVERSEAS 6.375% DUE 01-15-2020 01-15-2020								
29081YAC0	11/02/2015	Various	10,777.20	10,000.00		0.00	777.20	0.00	0.00
101.33	CMO BEAR STEARNS TR 2006-TP24 MTG PSSTHRU CTF CL A-4 10-12-2041								
07388NAE6	11/12/2015	11/16/2010	101.33	111.15		0.00	-9.82	0.00	0.00
10.68	BEAR STEARNS COMML MTG SECS TR 2007-TOP26MTG PASSTHRU CTF CL A-4 12 JAN 2045								
07388VAE8	11/12/2015	01/08/2009	10.68	8.39		0.00	2.29	0.00	0.00
855.76	CMO J P MORGAN CHASE COMML MTG SECS TR 2006-C CL ASB 5.79 DUE 06-12-2043 REG								
46627QB83	11/12/2015	01/11/2010	855.76	898.81		0.00	-43.05	0.00	0.00
16.6	CMO J P MORGAN CHASE COMML MTG SECS TR 2007-C MTG PASSTHRU DUE 5.44 6-12-47								
46629YAC3	11/12/2015	10/06/2010	16.60	17.75		0.00	-1.15	0.00	0.00
74.02	CMO MORGAN STANLEY CAP I TR 2006-TOP23 SER 2006-T23 CL-A4 DUE 08-12-2041 BEO								
61749MAV1	11/12/2015	05/11/2010	74.02	78.66		0.00	-4.64	0.00	0.00
358.49	FEDERAL HOME LN MTG CORP POOL #G06031 5.5% 03-01-2040 BEO								
3128M8A87	11/16/2015	04/11/2011	358.49	381.12		0.00	-22.63	0.00	0.00

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 THE GORDON A CAIN FOUNDATION
 8 GREENWAY PLZ STE 606
 HOUSTON, TX 77046-0892
 Ref: WXT

Account Number: 26-93890
 Recipient's Tax ID Number: XX-XXX3773
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THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
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283.17	HYUNDAI AUTO 1.01% DUE 02-15-2018 02-15-2018								
44890OAC7	11/16/2015	09/11/2013	283.17	283.12		0.00	0.05	0.00	0.00
340.63	HYUNDAI AUTO RECEIVABLES TR 2014-A A3.79 07-16-2018								
44890RAC5	11/16/2015	01/28/2014	340.63	340.57		0.00	0.06	0.00	0.00
527.36	MERCEDES-BENZ AUTO SER 2013-1 CL A-3.78 DUE 08-15-2017								
58768WAC3	11/16/2015	07/23/2013	527.36	527.34		0.00	0.02	0.00	0.00
648.64	TOYOTA AUTO RECEIVABLES 2014-A .67% 15 DEC 2017								
89231MAC9	11/16/2015	03/11/2014	648.64	648.52		0.00	0.12	0.00	0.00
5000.0	US TREAS BD STRIPPED PRIN PMT 05-15-2043REG								
912803EC3	11/17/2015	08/25/2014	2,091.80	2,042.83		0.00	48.97	0.00	0.00
15000.0	RESOLUTION FDG CORP FED BOOK ENTRY PRIN STRIPS GENERIC PRIN PMT 10-15-2019								
76116FAA5	11/24/2015	04/01/2014	14,013.00	13,747.54		0.00	265.46	0.00	0.00
10.83	FNMA POOL #463205 4.6% 09-01-2019 BEO								
31381KR27	11/25/2015	07/27/2009	10.83	10.78		0.00	0.05	0.00	0.00
463.92	FEDERAL NATL MTG ASSN GTD MTG POOL #A15589 4.5% 07-01-2041 BEO								
3138AKF79	11/25/2015	08/08/2011	463.92	491.47		0.00	-27.55	0.00	0.00
222.44	FNMA POOL #AS0774 3% 10-01-2043 BEO								
3138W92G4	11/25/2015	08/08/2014	222.44	220.18		0.00	2.26	0.00	0.00

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Recipient's Name and Address:
THE GORDON A CAIN FOUNDATION
8 GREENWAY PLZ STE 606
HOUSTON, TX 77046-0892

Account Number: 26-93890
Recipient's Tax ID Number: XX-XXX3773

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299.97	FNMA POOL #AS0353	3.5% 09-01-2043 BEO							
3138W9MB3	11/25/2015	08/29/2013	299.97	300.13		0.00	-0.16	0.00	0.00
122.04	FNMA POOL #889691	6% 07-01-2038 BEO							
31410KNG5	11/25/2015	11/19/2010	122.04	133.21		0.00	-11.17	0.00	0.00
17.36	FNMA POOL #932268	5.5% 11-01-2039 BEO							
31412QW54	11/25/2015	06/09/2010	17.36	18.67		0.00	-1.31	0.00	0.00
140.55	FNMA POOL #983363	6% 08-01-2038 BEO							
31415LML8	11/25/2015	06/09/2010	140.55	152.06		0.00	-11.51	0.00	0.00
375.82	FNMA POOL #AB2270	4% 02-01-2041 BEO							
31416XQY9	11/25/2015	08/08/2011	375.82	387.56		0.00	-11.74	0.00	0.00
73.03	FNMA POOL #AD4333	5% 03-01-2040 BEO							
31418RY70	11/25/2015	04/04/2011	73.03	76.51		0.00	-3.48	0.00	0.00
143.68	FNMA POOL #AD6942	5% 06-01-2040 BEO							
31418UWC4	11/25/2015	03/26/2013	143.68	158.23		0.00	-14.55	0.00	0.00
28.38	FNMA POOL #AE1589	4.5% 10-01-2040 BEO							
31419BXT7	11/25/2015	04/04/2011	28.38	28.93		0.00	-0.55	0.00	0.00
946.96	SMALL BUSINESS ADMIN	GTD DEV PARTN CTF PARTN SER 2012-20L CL 1							
83162CVE0	1.93 12-01-2032	12/01/2015 05/20/2014	946.96	905.73		0.00	41.23	0.00	0.00

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 THE GORDON A CAIN FOUNDATION
 8 GREENWAY PLZ STE 606
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5000.0	NEXEN INC 6.4% DUE 05-15-2037								
65334HAG7	12/09/2015	03/24/2014	5,825.75	5,685.05		0.00	140.70	0.00	0.00
28.49	CMO BEAR STEARNS TR 2006-TP24 MTG PSSTHRU CTF CL A-4 10-12-2041								
07388NAE6	12/14/2015	11/16/2010	28.49	31.25		0.00	-2.76	0.00	0.00
40.36	BEAR STEARNS COML MTG SECS TR 2007-TOP26MTG PASSTHRU CTF CL A-4 12 JAN 2045								
07388VAE8	12/14/2015	01/08/2009	40.36	31.75		0.00	8.61	0.00	0.00
4164.22	CMO J P MORGAN CHASE 2006-CIBC14 A-M 5.6301 DUE 12-12-2044 REG								
46625YA29	12/14/2015	05/15/2014	4,164.22	4,452.46		0.00	-288.24	0.00	0.00
544.5	CMO J P MORGAN CHASE COML MTG SECS TR 2007-C MTG PASSTHRU DUE 5.44 6-12-47								
46629YAC3	12/14/2015	10/06/2010	544.50	582.19		0.00	-37.69	0.00	0.00
106.2	CMO MORGAN STANLEY CAP I TR 2006-TOP23 SER 2006-T23 CL-A4 DUE 08-12-2041 BEO								
61749MAV1	12/14/2015	05/11/2010	106.20	112.85		0.00	-6.65	0.00	0.00
218.2	FEDERAL HOME LN MTG CORP POOL #G06031 5.5% 03-01-2040 BEO								
3128M8A87	12/15/2015	04/11/2011	218.20	231.97		0.00	-13.77	0.00	0.00
268.85	HYUNDAI AUTO 1.01% DUE 02-15-2018 02-15-2018								
44890QAC7	12/15/2015	09/11/2013	268.85	268.80		0.00	0.05	0.00	0.00
308.47	HYUNDAI AUTO RECEIVABLES TR 2014-A A3.79 07-16-2018								
44890RAC5	12/15/2015	01/28/2014	308.47	308.41		0.00	0.06	0.00	0.00

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485.36	MERCEDES-BENZ AUTO SER 2013-1 CL A-3.78 DUE 08-15-2017		485.36	485.34		0.00	0.02	0.00	0.00
58768WAC3	12/15/2015	07/23/2013	485.36	485.34		0.00	0.02	0.00	0.00
741.77	TOYOTA AUTO RECEIVABLES 2014-A. 67% 15 DEC 2017		741.77	741.63		0.00	0.14	0.00	0.00
89231MAC9	12/15/2015	03/11/2014	741.77	741.63		0.00	0.14	0.00	0.00
5000.0	GENERAL ELEC CAP CORP MEDIUM TERM NTS 3 1 DUE 01-09-2023		5,089.15	4,928.60		0.00	160.55	0.00	0.00
36962G6S8	12/21/2015	03/14/2013	5,089.15	4,928.60		0.00	160.55	0.00	0.00
5000.0	HESS CORP 5.6% DUE 02-15-2041		4,222.35	5,372.80		0.00	-1,150.45	0.00	0.00
42809HAD9	12/21/2015	03/14/2013	4,222.35	5,372.80		0.00	-1,150.45	0.00	0.00
6000.0	UNITED STATES TREAS BD STRIPPED PRIN PMT11-15-2041 REG		2,819.40	2,188.79		0.00	630.61	0.00	0.00
912803DU4	12/21/2015	09/30/2013	2,819.40	2,188.79		0.00	630.61	0.00	0.00
5000.0	US TREAS BD STRIPPED PRIN PMT 05-15-2043REG		2,193.50	2,049.09		0.00	144.41	0.00	0.00
912803EC3	12/21/2015	08/25/2014	2,193.50	2,049.09		0.00	144.41	0.00	0.00
20000.0	UNITED STATES TREAS BD STRIPPED PRIN PMTZCP 11-15-2043 REG		8,841.20	6,651.98		0.00	2,189.22	0.00	0.00
912803EE9	12/21/2015	Various	8,841.20	6,651.98		0.00	2,189.22	0.00	0.00
20000.0	UNITED STATES TREAS NTS DTD 05/31/2014 .375% DUE 05-31-2016 REG		19,991.41	19,984.37		0.00	7.04	0.00	0.00
912828WM8	12/21/2015	09/26/2014	19,991.41	19,984.37		0.00	7.04	0.00	0.00
17461.24	CMO BEAR STEARNS TR 2006-TP24 MTG PSSTHRU CTF CL A-4 10-12-2041		17,540.37	19,152.78		0.00	-1,612.41	0.00	0.00
07388NAE6	12/22/2015	11/16/2010	17,540.37	19,152.78		0.00	-1,612.41	0.00	0.00

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5000.0	CATERPILLAR FINL SVCS CORP MEDIUM TERM NTS-BOOK ENTRY 2.1% DUE 06-09-2019	12/23/2015	4,997.50	4,988.95		0.00	8.55	0.00	0.00
14912L6B2		07/30/2014							
10000.0	NEVADA PWR CO 7.125% DUE 03-15-2019 7.125% DUE 03-15-2019 REG								
641423BY3		12/23/2015	11,429.40	10,077.10		0.00	1,352.30	0.00	0.00
12.41	FNMA POOL #463205 4.6% 09-01-2019 BEO								
31381KR27		12/28/2015	12.41	12.36		0.00	0.05	0.00	0.00
309.28	FEDERAL NATL MTG ASSN GTD MTG POOL #A15589 4.5% 07-01-2041 BEO								
3138AKF79		12/28/2015	309.28	327.64		0.00	-18.36	0.00	0.00
93.98	FNMA POOL #AS0774 3% 10-01-2043 BEO								
3138W92G4		12/28/2015	93.98	93.03		0.00	0.95	0.00	0.00
360.78	FNMA POOL #AS0353 3.5% 09-01-2043 BEO								
3138W9MB3		12/28/2015	360.78	360.98		0.00	-0.20	0.00	0.00
104.09	FNMA POOL #889691 6% 07-01-2038 BEO								
31410KNG5		12/28/2015	104.09	113.62		0.00	-9.53	0.00	0.00
16.45	FNMA POOL #932268 5.5% 11-01-2039 BEO								
31412QW54		12/28/2015	16.45	17.69		0.00	-1.24	0.00	0.00
410.04	FNMA POOL #983363 6% 08-01-2038 BEO								
31415LML8		12/28/2015	410.04	443.61		0.00	-33.57	0.00	0.00

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37.08	FNMA POOL #AB2270 4% 02-01-2041 BEO								
31416XQY9	12/28/2015	08/08/2011	37.08	38.24		0.00	-1.16	0.00	0.00
9.38	FNMA POOL #AD4333 5% 03-01-2040 BEO								
31418RY70	12/28/2015	04/04/2011	9.38	9.83		0.00	-0.45	0.00	0.00
275.41	FNMA POOL #AD6942 5% 06-01-2040 BEO								
31418UWC4	12/28/2015	03/26/2013	275.41	303.30		0.00	-27.89	0.00	0.00
21.6	FNMA POOL #AE1589 4.5% 10-01-2040 BEO								
31419BXT7	12/28/2015	04/04/2011	21.60	22.02		0.00	-0.42	0.00	0.00
10000.0	STATE STR CORP STEP CPN 4.956% DUE 03-15-2018								
857477AF0	12/29/2015	02/02/2011	10,504.00	10,100.60		0.00	403.40	0.00	0.00
Total Long Term Sales			612,829.03	611,938.73		0.00	890.29	0.00	0.00

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8 GREENWAY PLZ STE 606
HOUSTON, TX 77046-0892

Account Number: 26-95403
Recipient's Tax ID Number: XX-XXX3773

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

2015 Proceeds from Broker and Barter Exchange Transactions

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Description of property

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Short Term Sales									
1345.0	OCCIDENTAL PETROLEUM CORP								
674599105	01/13/2015	Various	101,261.73	131,672.23		0.00	-30,410.50	0.00	0.00
1893.0	EQTY RESDNTL EFF 5/15/02 TO EQTY RESDNTL 29476L107 EFF 5/15/02								
29476L107	02/10/2015	Various	146,892.41	112,430.27		0.00	34,462.14	0.00	0.00
747.0	INTL BUSINESS MACHINES CORP								
459200101	02/10/2015	10/28/2014	116,631.31	121,178.19		0.00	-4,546.88	0.00	0.00
2533.0	ISHARES TR MSCI UTD KINGDOM ETF								
46434V548	02/27/2015	02/04/2015	48,469.82	47,132.54		0.00	1,337.28	0.00	0.00
625.0	ADR NATIONAL GRID TRANSCO PLC SPONSORED ADR NEW								
636274300	03/10/2015	08/15/2014	40,531.32	45,789.93		0.00	-5,258.61	0.00	0.00
1008.0	ADR NATIONAL GRID TRANSCO PLC SPONSORED ADR NEW								
636274300	03/11/2015	08/15/2014	64,395.50	73,850.01		0.00	-9,454.51	0.00	0.00
609.0	ADR DIAGEO PLC SPONSORED ADR NEW								
25243Q205	04/23/2015	10/08/2014	68,583.40	68,694.47		0.00	-111.07	0.00	0.00
454.0	ADR DIAGEO PLC SPONSORED ADR NEW								
25243Q205	04/24/2015	10/08/2014	51,566.73	51,210.65		0.00	356.08	0.00	0.00
428.0	PROCTER & GAMBLE CO								
742718109	04/30/2015	11/10/2014	34,009.28	38,197.07		0.00	-4,187.79	0.00	0.00

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154.0	AES CORP								
00130H105	05/14/2015	02/27/2015	2,085.89	1,963.96		0.00	121.93	0.00	0.00
189.0	AT&T INC								
00206R102	05/14/2015	02/12/2015	6,443.84	6,524.51		0.00	-80.67	0.00	0.00
18.0	ALLSTATE CORP DEP SH REPSTG 1/1000TH PERP PFD SER E								
020002879	05/14/2015	09/15/2014	482.93	464.75		0.00	18.18	0.00	0.00
24.0	BANK AMER CORP DEP SHS REPSTG 1/1000TH PFD SER W 6.625%								
060505344	05/14/2015	01/07/2015	609.82	625.03		0.00	-15.21	0.00	0.00
14.0	BOEING CO								
097023105	05/14/2015	01/05/2015	2,068.32	1,810.79		0.00	257.53	0.00	0.00
24.0	CAP 1 FINL CORP DEPOSITARY SHS REPSTG 1/40TH INT PERP PFD SER C 6.25%								
14040H600	05/14/2015	02/04/2015	603.10	612.60		0.00	-9.50	0.00	0.00
23.0	CATERPILLAR INC								
149123101	05/14/2015	04/27/2015	2,041.44	1,963.63		0.00	77.81	0.00	0.00
36.0	CINCINNATI FINANCIAL CORP								
172062101	05/14/2015	12/12/2014	1,837.76	1,849.90		0.00	-12.14	0.00	0.00
120.0	CISCO SYS INC								
17275R102	05/14/2015	03/26/2015	3,480.53	3,264.06		0.00	216.47	0.00	0.00

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96.0	COVANTA HLDG CORP COM								
22282E102	05/14/2015	02/13/2015	2,106.20	2,027.03		0.00	79.17	0.00	0.00
38.0	DIGITAL RLTY TR INC COM								
253868103	05/14/2015	11/10/2014	2,501.11	2,606.07		0.00	-104.96	0.00	0.00
71.0	DOMINION RES INC VA NEW								
25746U109	05/14/2015	02/11/2015	5,074.27	5,372.76		0.00	-298.49	0.00	0.00
32.0	EPR PTYS COM SH BEN INT COM SH BEN INT								
26884U109	05/14/2015	06/03/2014	1,874.52	1,746.07		0.00	128.45	0.00	0.00
32.0	EMERSON ELECTRIC CO								
291011104	05/14/2015	02/10/2015	1,935.96	1,846.31		0.00	89.65	0.00	0.00
40.0	EXXON MOBIL CORP								
30231G102	05/14/2015	01/13/2015	3,479.13	3,617.58		0.00	-138.45	0.00	0.00
19.0	GOLDMAN SACHS DEPOSITARY SHS REPSTG 1/1000TH PERP PFD SER I								
38145G209	05/14/2015	09/22/2014	475.56	459.79		0.00	15.77	0.00	0.00
42.0	HASBRO INC								
418056107	05/14/2015	11/11/2014	3,010.08	2,389.20		0.00	620.88	0.00	0.00
44.0	INTERNATIONAL PAPER CO								
460146103	05/14/2015	11/11/2014	2,360.33	2,356.90		0.00	3.43	0.00	0.00

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19.0	JPMORGAN CHASE & DEPOSITORY SHS REPSTG 1/400TH PFD SER O								
48126E750	05/14/2015	07/29/2014	455.23	442.17		0.00	13.06	0.00	0.00
18.0	JPMORGAN CHASE & DEPOSITORY SH REPSTG 1/400TH PFD SER T 6.70%								
48127A161	05/14/2015	10/09/2014	476.09	465.62		0.00	10.47	0.00	0.00
92.0	KINDER MORGAN INC DEL COM								
49456B101	05/14/2015	12/03/2014	3,876.80	3,842.66		0.00	34.14	0.00	0.00
51.0	LEGGETT & PLATT INC								
524660107	05/14/2015	09/10/2014	2,409.70	1,806.77		0.00	602.93	0.00	0.00
7.0	MACQUARIE INFRASTRUCTURE CORP MEMBERSHIP INT COM STK								
55608B105	05/14/2015	03/23/2015	586.09	574.26		0.00	11.83	0.00	0.00
64.0	MAXIM INTEGRATED PRODS INC								
57772K101	05/14/2015	07/25/2014	2,088.92	1,856.90		0.00	232.02	0.00	0.00
92.0	MICROSOFT CORP COM								
594918104	05/14/2015	02/10/2015	4,470.65	3,924.36		0.00	546.29	0.00	0.00
61.0	NATIONAL RETAIL PPTYS INC COM STK								
637417106	05/14/2015	11/10/2014	2,333.20	2,359.35		0.00	-26.15	0.00	0.00
41.0	PAYCHEX INC								
704326107	05/14/2015	10/09/2014	2,043.61	1,809.10		0.00	234.51	0.00	0.00

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121.0	PEOPLES UTD FINL INC COM								
712704105	05/14/2015	09/08/2014	1,861.55	1,821.34		0.00	40.21	0.00	0.00
63.0	POTASH CORP. OF SASKATCHEWAN INC								
73755L107	05/14/2015	02/05/2015	2,029.82	2,326.96		0.00	-297.14	0.00	0.00
13.0	SIMON PPTY GROUP INC NEW								
828806109	05/14/2015	11/10/2014	2,402.48	2,351.97		0.00	50.51	0.00	0.00
20.0	UNITED PARCEL SERVICE INC CL B								
911312106	05/14/2015	04/27/2015	2,016.96	1,953.28		0.00	63.68	0.00	0.00
52.0	WEINGARTEN RLTY INVS COM								
948741103	05/14/2015	10/24/2014	1,780.18	1,817.74		0.00	-37.56	0.00	0.00
82.0	WILLIAMS COS INC								
969457100	05/14/2015	03/26/2015	4,364.77	4,044.04		0.00	320.73	0.00	0.00
416.0	HASBRO INC								
418056107	07/07/2015	11/11/2014	31,445.32	23,664.45		0.00	7,780.87	0.00	0.00
0.34	REYNOLDS AMERICAN INC COM								
761713106	07/09/2015	06/12/2015	25.83	24.53		0.00	1.30	0.00	0.00
644.0	REYNOLDS AMERICAN INC COM								
761713106	07/28/2015	06/12/2015	52,834.91	46,464.60		0.00	6,370.31	0.00	0.00

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4260.0	POTASH CORP. OF SASKATCHEWAN INC								
73755L107	08/11/2015	Various	111,787.58	156,951.80		0.00	-45,164.22	0.00	0.00
731.0	HASBRO INC								
418056107	09/09/2015	Various	55,589.84	39,751.92		0.00	15,837.92	0.00	0.00
481.0	INTERNATIONAL PAPER CO								
460146103	09/16/2015	11/11/2014	19,830.30	25,765.25		0.00	-5,934.95	0.00	0.00
10000.0	AES CORP								
00130H105	09/22/2015	02/27/2015	104,859.06	126,530.00		0.00	-21,670.94	0.00	0.00
1498.0	CATERPILLAR INC								
149123101	09/28/2015	04/27/2015	94,617.17	127,891.90		0.00	-33,274.73	0.00	0.00
2052.0	EMERSON ELECTRIC CO								
291011104	10/16/2015	Various	92,996.77	114,373.45		0.00	-21,376.68	0.00	0.00
498.0	EMERSON ELECTRIC CO								
291011104	10/16/2015	02/10/2015	22,447.83	28,733.15		0.00	-6,285.32	0.00	0.00
1823.0	COVANTA HLDG CORP COM								
22282E102	10/28/2015	02/13/2015	30,847.87	37,953.31		0.00	-7,105.44	0.00	0.00
619.0	COVANTA HLDG CORP COM								
22282E102	10/28/2015	02/13/2015	10,617.38	12,887.05		0.00	-2,269.67	0.00	0.00

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1333.0	COVANTA HLDG CORP COM								
22282E102	10/28/2015	Various	22,622.06	27,619.13		0.00	-4,997.07	0.00	0.00
1378.0	COVANTA HLDG CORP COM								
22282E102	10/28/2015	02/12/2015	22,898.76	27,976.78		0.00	-5,078.02	0.00	0.00
1029.0	COVANTA HLDG CORP COM								
22282E102	10/28/2015	02/12/2015	17,575.27	20,891.22		0.00	-3,315.95	0.00	0.00
6099.0	KINDER MORGAN INC DEL COM								
49456B101	11/30/2015	Various	144,611.93	249,269.97		0.00	-104,658.04	0.00	0.00
2134.0	QUALCOMM INC								
747525103	11/30/2015	Various	103,253.17	127,039.49		0.00	-23,786.32	0.00	0.00
279.0	AT&T INC								
00206R102	12/22/2015	02/12/2015	9,555.57	9,631.41		0.00	-75.84	0.00	0.00
76.0	BB&T CORP								
054937107	12/22/2015	05/28/2015	2,850.70	3,029.34		0.00	-178.64	0.00	0.00
39.0	BANK AMER CORP DEP SHS REPSTG 1/1000TH PFD SER W 6.625%								
060505344	12/22/2015	01/07/2015	1,031.92	1,015.67		0.00	16.25	0.00	0.00
29.0	BOEING CO								
097023105	12/22/2015	09/16/2015	4,117.05	3,961.31		0.00	155.74	0.00	0.00

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9.0	CMS ENERGY CORP								
125896100	12/22/2015	12/10/2015	320.93	314.94		0.00	5.99	0.00	0.00
41.0	CAP 1 FINL CORP DEPOSITARY SHS REPSTG 1/40TH INT PERP PFD SER C								
14040H600	12/22/2015	02/04/2015	1,067.62	1,046.52		0.00	21.10	0.00	0.00
160.0	CISCO SYS INC								
17275R102	12/22/2015	03/26/2015	4,283.12	4,352.08		0.00	-68.96	0.00	0.00
78.0	DOMINION RES INC VA NEW								
25746U109	12/22/2015	02/11/2015	5,214.98	5,902.47		0.00	-687.49	0.00	0.00
94.0	EXXON MOBIL CORP								
30231G102	12/22/2015	01/13/2015	7,302.72	8,501.30		0.00	-1,198.58	0.00	0.00
24.0	GAMESTOP CORP CL A COM								
36467W109	12/22/2015	11/30/2015	692.86	852.59		0.00	-159.73	0.00	0.00
44.0	GENERAL MILLS INC								
370334104	12/22/2015	11/30/2015	2,536.99	2,555.27		0.00	-18.28	0.00	0.00
28.0	GENUINE PARTS CO								
372460105	12/22/2015	09/09/2015	2,355.31	2,347.26		0.00	8.05	0.00	0.00
62.0	LAMAR ADVERTISING CO NEW CL A CLA								
512816109	12/22/2015	05/28/2015	3,694.51	3,767.40		0.00	-72.89	0.00	0.00

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22.0	LOCKHEED MARTIN CORP								
539830109	12/22/2015	10/06/2015	4,759.61	4,660.21		0.00	99.40	0.00	0.00
45.0	MACQUARIE INFRASTRUCTURE CORP MEMBERSHIP INT COM STK								
55608B105	12/22/2015	06/30/2015	3,034.74	3,631.32		0.00	-596.58	0.00	0.00
79.0	MATTEL INC								
577081102	12/22/2015	12/09/2015	2,089.51	2,020.78		0.00	68.73	0.00	0.00
40.0	MCDONALDS CORP								
580135101	12/22/2015	10/06/2015	4,718.31	4,070.26		0.00	648.05	0.00	0.00
134.0	MICROSOFT CORP COM								
594918104	12/22/2015	10/07/2015	7,337.70	6,245.54		0.00	1,092.16	0.00	0.00
31.0	MID-AMER APT CMINTYS INC COM								
59522J103	12/22/2015	11/05/2015	2,818.46	2,669.47		0.00	148.99	0.00	0.00
33.0	NEXTERA ENERGY INC COM								
65339F101	12/22/2015	09/16/2015	3,386.39	3,217.83		0.00	168.56	0.00	0.00
39.0	OCCIDENTAL PETROLEUM CORP								
674599105	12/22/2015	07/29/2015	2,584.87	2,749.07		0.00	-164.20	0.00	0.00
63.0	PAYCHEX INC								
704326107	12/22/2015	10/28/2015	3,336.41	3,294.37		0.00	42.04	0.00	0.00

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205.0	PEOPLES UTD FINL INC COM								
712704105	12/22/2015	10/28/2015	3,294.28	3,400.05		0.00	-105.77	0.00	0.00
66.0	PEPSICO INC								
713448108	12/22/2015	05/28/2015	6,525.95	6,387.49		0.00	138.46	0.00	0.00
60.0	PROCTER & GAMBLE CO								
742718109	12/22/2015	07/07/2015	4,750.71	4,866.34		0.00	-115.63	0.00	0.00
26.0	ADR ROYAL DUTCH SHELL PLC SPONSORED ADR REPSTG B SHS								
780259107	12/22/2015	11/30/2015	1,148.91	1,304.23		0.00	-155.32	0.00	0.00
48.0	SCHLUMBERGER LTD ISIN #AN8068571086								
806857108	12/22/2015	08/27/2015	3,283.61	3,517.81		0.00	-234.20	0.00	0.00
20.0	SIMON PPTY GROUP INC NEW								
828806109	12/22/2015	07/28/2015	3,849.92	3,722.53		0.00	127.39	0.00	0.00
31.0	UNITED PARCEL SERVICE INC CL B								
911312106	12/22/2015	04/27/2015	3,026.78	3,027.58		0.00	-0.80	0.00	0.00
92.0	VIACOM INC								
92553P201	12/22/2015	10/06/2015	3,640.37	4,279.67		0.00	-639.30	0.00	0.00
45.0	WAL MART STORES INC								
931142103	12/22/2015	10/07/2015	2,686.45	2,973.73		0.00	-287.28	0.00	0.00

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 Ref: WXT

Account Number: 26-95403
 Recipient's Tax ID Number: XX-XXX3773
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THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

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119.0	WILLIAMS COS INC								
989457100	12/22/2015	03/26/2015	2,615.57	5,868.80		0.00	-3,253.23	0.00	0.00
55.0	SEAGATE TECHNOLOGY PLC COM USD0.000001								
G7945M107	12/22/2015	Various	1,899.66	1,976.01		0.00	-76.35	0.00	0.00
Total Short Term Sales			1,802,681.88	2,078,001.47		0.00	-275,319.59	0.00	0.00
Long Term Sales									
1207.0	UNITED PARCEL SERVICE INC CL B								
911312106	01/05/2015	08/12/2013	130,910.74	105,956.49		0.00	24,954.25	0.00	0.00
1100.0	ALTRIA GROUP INC								
02209S103	02/04/2015	07/19/2011	59,632.65	29,688.89		0.00	29,943.76	0.00	0.00
511.0	E I DU PONT DE NEMOURS & CO								
263534109	02/12/2015	Various	38,765.79	24,754.43		0.00	14,011.36	0.00	0.00
1538.0	E I DU PONT DE NEMOURS & CO								
263534109	02/12/2015	Various	116,676.69	76,008.23		0.00	40,668.46	0.00	0.00
1289.0	REYNOLDS AMERICAN INC COM								
761713106	02/12/2015	Various	93,610.10	50,231.79		0.00	43,378.31	0.00	0.00

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901.0	ALTRIA GROUP INC								
02209S103	02/27/2015	07/19/2011	50,751.85	24,317.90		0.00	26,433.95	0.00	0.00
433.67	#REORG/KRAFT STOCK MERGER KRAFT HEINZ COMPANY COMMON								
50076Q106	0002818A91 02 JUL 2015		36,232.81	14,109.59		0.00	22,123.22	0.00	0.00
	03/26/2015 Various								
2198.33	#REORG/KRAFT STOCK MERGER KRAFT HEINZ COMPANY COMMON								
50076Q106	0002818A91 02 JUL 2015		183,668.85	90,642.65		0.00	93,026.20	0.00	0.00
	03/26/2015 Various								
1126.0	PROCTER & GAMBLE CO								
742718109	04/30/2015 Various		89,473.02	81,866.15		0.00	7,606.87	0.00	0.00
52.0	AGL RES INC								
001204106	05/14/2015 11/01/2013		2,569.27	2,483.71		0.00	85.56	0.00	0.00
39.0	ABBVIE INC COM USD0.01								
00287Y109	05/14/2015 02/19/2013		2,570.83	1,478.60		0.00	1,092.23	0.00	0.00
161.0	ALTRIA GROUP INC								
02209S103	05/14/2015 07/19/2011		8,453.95	4,345.37		0.00	4,108.58	0.00	0.00
156.0	CENTERPOINT ENERGY INC COM								
15189T107	05/14/2015 03/08/2013		3,138.66	3,397.85		0.00	-259.19	0.00	0.00
41.0	CHEVRONTXACO CORP COM								
166764100	05/14/2015 12/13/2013		4,446.77	4,938.65		0.00	-491.88	0.00	0.00

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65.0	COCA COLA CO								
191216100	05/14/2015	04/23/2014	2,696.80	2,644.78		0.00	52.02	0.00	0.00
71.0	CONOCOPHILLIPS								
20825C104	05/14/2015	12/13/2013	4,639.76	4,964.96		0.00	-325.20	0.00	0.00
64.0	DOW CHEMICAL CO								
260543103	05/14/2015	01/07/2013	3,273.85	2,146.83		0.00	1,127.02	0.00	0.00
65.0	DUKE ENERGY CORP NEW COM NEW								
26441C204	05/14/2015	03/07/2013	4,899.60	4,529.41		0.00	370.19	0.00	0.00
196.0	GENERAL ELECTRIC CO								
369604103	05/14/2015	12/18/2013	5,353.64	5,341.08		0.00	12.56	0.00	0.00
111.0	INTEL CORP COM								
458140100	05/14/2015	12/12/2011	3,648.50	2,655.12		0.00	993.38	0.00	0.00
24.0	MFC ISHARES TR IBOX USD INVT GRADE CORPBD ETF								
464287242	05/14/2015	04/01/2011	2,826.66	2,600.40		0.00	226.26	0.00	0.00
34.0	MFC ISHARES IBOX \$ HIGH YIELD CORPORATEBOND ETF								
464288513	05/14/2015	07/19/2011	3,086.29	3,095.59		0.00	-9.30	0.00	0.00
95.0	MFC ISHARES U.S PREFERRED STOCK ETF								
464288687	05/14/2015	03/28/2013	3,779.98	3,849.21		0.00	-69.23	0.00	0.00

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46.0	J P MORGAN CHASE & CO								
46625H100	05/14/2015	05/14/2012	3,035.94	1,668.96		0.00	1,366.98	0.00	0.00
20.0	JOHNSON & JOHNSON								
478160104	05/14/2015	02/14/2013	2,034.96	1,517.42		0.00	517.54	0.00	0.00
25.0	KIMBERLY CLARK CORP								
494368103	05/14/2015	12/12/2011	2,790.94	1,671.61		0.00	1,119.33	0.00	0.00
55.0	ELI LILLY & CO								
532457108	05/14/2015	12/12/2011	4,012.17	2,137.30		0.00	1,874.87	0.00	0.00
13.0	LOCKHEED MARTIN CORP								
539830109	05/14/2015	09/27/2011	2,505.44	967.65		0.00	1,537.79	0.00	0.00
34.0	#REORG/ LORILLARD CASH AND STOCK MERGER REYNOLDS AMERICAN								
544147101	2008914 12 JUNE 2015	05/14/2015	2,440.81	1,614.88		0.00	825.93	0.00	0.00
26.0	MCDONALDS CORP								
580135101	05/14/2015	03/28/2013	2,538.33	2,591.20		0.00	-52.87	0.00	0.00
19.0	MERCK & CO INC								
58933Y105	05/14/2015	12/10/2010	1,132.95	681.54		0.00	451.41	0.00	0.00
14.0	MERCK & CO INC								
58933Y105	05/14/2015	07/19/2011	834.80	499.94		0.00	334.86	0.00	0.00

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19.0	NEXTERA ENERGY INC COM								
65339F101	05/14/2015	01/10/2014	1,916.30	1,655.64		0.00	260.66	0.00	0.00
134.0	Pfizer Inc								
717081103	05/14/2015	04/01/2011	4,541.84	2,728.24		0.00	1,813.60	0.00	0.00
59.0	Philip Morris International								
718172109	05/14/2015	12/12/2011	5,119.04	4,426.18		0.00	692.86	0.00	0.00
25.0	Procter & Gamble Co								
742718109	05/14/2015	12/12/2011	2,013.96	1,604.25		0.00	409.71	0.00	0.00
48.0	Republic Svcs Inc								
760759100	05/14/2015	11/07/2013	1,947.32	1,642.39		0.00	304.93	0.00	0.00
119.0	Reynolds American Inc Com								
761713106	05/14/2015	07/19/2011	9,147.75	4,513.63		0.00	4,634.12	0.00	0.00
47.0	Sysco Corp								
871829107	05/14/2015	07/19/2011	1,756.59	1,463.11		0.00	293.48	0.00	0.00
44.0	Texas Instruments Inc								
882508104	05/14/2015	03/08/2013	2,440.63	1,541.33		0.00	899.30	0.00	0.00
128.0	Verizon Communications								
92343V104	05/14/2015	04/01/2011	6,403.72	4,915.19		0.00	1,488.53	0.00	0.00

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39.0	WASTE MGMT INC DEL								
94106L109	05/14/2015	06/17/2013	1,934.75	1,581.43		0.00	353.32	0.00	0.00
54.0	WELLS FARGO & CO NEW								
949746101	05/14/2015	05/09/2013	3,021.78	2,068.39		0.00	953.39	0.00	0.00
588.0	PROCTER & GAMBLE CO								
742718109	05/28/2015	12/10/2010	46,482.54	37,386.92		0.00	9,095.62	0.00	0.00
993.0	PROCTER & GAMBLE CO								
742718109	05/28/2015	Various	78,498.58	62,057.51		0.00	16,441.07	0.00	0.00
2794.0	TEXAS INSTRUMENTS INC								
882508104	05/28/2015	03/08/2013	156,133.67	97,874.37		0.00	58,259.30	0.00	0.00
2215.0	#REORG/ LORILLARD CASH AND STOCK MERGER REYNOLDS AMERICAN								
544147101	2008/14/12 JUNE 2015	06/12/2015	158,346.63	105,204.75		0.00	53,141.88	0.00	0.00
182.0	REYNOLDS AMERICAN INC COM								
761713106	07/28/2015	07/19/2011	14,931.61	6,903.21		0.00	8,028.40	0.00	0.00
624.0	SYSCO CORP								
871829107	07/28/2015	Various	22,308.27	18,332.51		0.00	3,975.76	0.00	0.00
2429.0	SYSCO CORP								
871829107	07/28/2015	Various	86,837.82	70,889.93		0.00	15,947.89	0.00	0.00

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1404.0	ALTRIA GROUP INC								
02209S103	07/29/2015	Various	76,338.71	37,026.51		0.00	39,312.20	0.00	0.00
829.0	REYNOLDS AMERICAN INC COM								
761713106	07/29/2015	07/19/2011	69,426.47	31,443.72		0.00	37,982.75	0.00	0.00
3376.0	AGL RES INC								
001204106	08/24/2015	Various	207,551.98	158,007.58		0.00	49,544.40	0.00	0.00
1419.0	ELI LILLY & CO								
532457108	09/03/2015	Various	116,497.04	53,738.70		0.00	62,758.34	0.00	0.00
97.0	ELI LILLY & CO								
532457108	09/09/2015	Various	7,982.56	3,403.69		0.00	4,578.87	0.00	0.00
625.0	ELI LILLY & CO								
532457108	09/09/2015	Various	51,434.04	22,124.05		0.00	29,309.99	0.00	0.00
2396.0	INTERNATIONAL PAPER CO								
460146103	09/16/2015	10/17/2013	98,780.47	106,850.04		0.00	-8,069.57	0.00	0.00
673.0	REYNOLDS AMERICAN INC COM								
761713106	10/06/2015	07/19/2011	30,471.32	12,763.34		0.00	17,707.98	0.00	0.00
540.0	REYNOLDS AMERICAN INC COM								
761713106	10/06/2015	Various	24,502.74	10,151.04		0.00	14,351.70	0.00	0.00

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833.0	ELI LILLY & CO								
532457108	10/07/2015	12/10/2010	69,898.74	29,140.59		0.00	40,758.15	0.00	0.00
145.0	ELI LILLY & CO								
532457108	10/07/2015	12/10/2010	12,115.85	5,072.49		0.00	7,043.36	0.00	0.00
482.0	ELI LILLY & CO								
532457108	10/07/2015	03/08/2011	40,274.74	16,841.08		0.00	23,433.66	0.00	0.00
1324.0	REYNOLDS AMERICAN INC COM								
761713106	10/07/2015	09/13/2011	59,702.96	24,679.36		0.00	35,023.60	0.00	0.00
1138.0	REYNOLDS AMERICAN INC COM								
761713106	11/04/2015	Various	52,270.56	21,019.86		0.00	31,250.70	0.00	0.00
1354.0	HASBRO INC								
418056107	11/30/2015	10/15/2014	99,005.22	72,977.76		0.00	26,027.46	0.00	0.00
220.0	HASBRO INC								
418056107	11/30/2015	10/15/2014	16,096.45	11,857.54		0.00	4,238.91	0.00	0.00
1508.0	REYNOLDS AMERICAN INC COM								
761713106	11/30/2015	04/01/2011	70,227.16	27,286.96		0.00	42,940.20	0.00	0.00
792.0	REYNOLDS AMERICAN INC COM								
761713106	11/30/2015	Various	36,630.35	14,050.00		0.00	22,580.35	0.00	0.00

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150.0	DOW CHEMICAL CO								
260543103	12/10/2015	01/07/2013	8,162.09	5,031.63		0.00	3,130.46	0.00	0.00
1806.0	DOW CHEMICAL CO								
260543103	12/10/2015	01/07/2013	98,991.53	60,580.83		0.00	38,410.70	0.00	0.00
61.0	ABBVIE INC COM USD0.01								
00287Y109	12/22/2015	02/19/2013	3,447.04	2,312.68		0.00	1,134.36	0.00	0.00
26.0	ALLSTATE CORP DEP SH REPSTG 1/1000TH PERP PFD SER E								
020002879	12/22/2015	09/15/2014	712.64	671.31		0.00	41.33	0.00	0.00
171.0	ALTRIA GROUP INC								
02209S103	12/22/2015	09/13/2011	9,818.63	4,480.20		0.00	5,338.43	0.00	0.00
239.0	CENTERPOINT ENERGY INC COM								
15189T107	12/22/2015	03/08/2013	4,187.20	5,205.68		0.00	-1,018.48	0.00	0.00
63.0	CHEVRONTXACO CORP COM								
166764100	12/22/2015	12/13/2013	5,616.34	7,588.67		0.00	-1,972.33	0.00	0.00
55.0	CINCINNATI FINANCIAL CORP								
172062101	12/22/2015	12/12/2014	3,215.79	2,826.23		0.00	389.56	0.00	0.00
100.0	COCA COLA CO								
191216100	12/22/2015	04/23/2014	4,282.92	4,068.89		0.00	214.03	0.00	0.00

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107.0	CONOCOPHILLIPS								
20825C104	12/22/2015	12/13/2013	4,838.45	7,482.41		0.00	-2,643.96	0.00	0.00
57.0	DIGITAL RLTY TR INC COM								
253868103	12/22/2015	11/10/2014	4,284.61	3,909.10		0.00	375.51	0.00	0.00
36.0	DOW CHEMICAL CO								
260543103	12/22/2015	01/07/2013	1,846.76	1,207.59		0.00	639.17	0.00	0.00
99.0	DUKE ENERGY CORP NEW COM NEW								
26441C204	12/22/2015	03/07/2013	6,962.54	6,898.64		0.00	63.90	0.00	0.00
51.0	EPR PTYS COM SH BEN INT COM SH BEN INT								
26884U109	12/22/2015	06/03/2014	2,920.20	2,766.51		0.00	153.69	0.00	0.00
293.0	GENERAL ELECTRIC CO								
389604103	12/22/2015	12/18/2013	8,877.73	7,984.37		0.00	893.36	0.00	0.00
29.0	GOLDMAN SACHS DEPOSITORY SHS REPSTG 1/1000TH PERP PFD SER I								
38145G209	12/22/2015	09/22/2014	744.70	701.79		0.00	42.91	0.00	0.00
171.0	INTEL CORP COM								
458140100	12/22/2015	12/12/2011	5,860.06	4,090.32		0.00	1,769.74	0.00	0.00
38.0	MFC ISHARES TR IBOX USD INVT GRADE CORPBD ETF								
464287242	12/22/2015	04/01/2011	4,347.12	4,117.30		0.00	229.82	0.00	0.00

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 THE GORDON A CAIN FOUNDATION
 8 GREENWAY PLZ STE 606
 HOUSTON, TX 77046-0892

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THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

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Description of property

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48.0	MFC ISHARES IBOXX \$ HIGH YIELD CORPORATE BOND ETF								
464288513	12/22/2015	07/19/2011	3,811.12	4,370.25		0.00	-559.13	0.00	0.00
147.0	MFC ISHARES U.S. PREFERRED STOCK ETF								
464288687	12/22/2015	03/28/2013	5,675.56	5,956.15		0.00	-280.59	0.00	0.00
70.0	J P MORGAN CHASE & CO								
46625H100	12/22/2015	05/14/2012	4,614.31	2,539.73		0.00	2,074.58	0.00	0.00
29.0	JOHNSON & JOHNSON								
478160104	12/22/2015	02/14/2013	2,948.66	2,200.26		0.00	748.40	0.00	0.00
31.0	JPMORGAN CHASE & DEPOSITARY SHS REPSTG 1/400TH PFD SER O								
48126E750	12/22/2015	07/29/2014	776.53	721.44		0.00	55.09	0.00	0.00
27.0	JPMORGAN CHASE & DEPOSITARY SH REPSTG 1/400TH PFD SER T 6.70%								
48127A161	12/22/2015	10/09/2014	736.81	698.42		0.00	38.39	0.00	0.00
37.0	KIMBERLY CLARK CORP								
494368103	12/22/2015	12/12/2011	4,642.67	2,473.98		0.00	2,168.69	0.00	0.00
79.0	LEGGETT & PLATT INC								
524660107	12/22/2015	09/10/2014	3,357.43	2,798.72		0.00	558.71	0.00	0.00
97.0	MAXIM INTEGRATED PRODS INC								
57772K101	12/22/2015	07/25/2014	3,659.74	2,814.37		0.00	845.37	0.00	0.00

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52.0	MERCK & CO INC								
58933Y105	12/22/2015	07/19/2011	2,701.35	1,856.92		0.00	844.43	0.00	0.00
95.0	NATIONAL RETAIL PPTY'S INC COM STK								
637417106	12/22/2015	11/10/2014	3,735.33	3,659.47		0.00	75.86	0.00	0.00
205.0	PFIZER INC								
717081103	12/22/2015	04/01/2011	6,668.52	4,173.80		0.00	2,494.72	0.00	0.00
90.0	PHILIP MORRIS INTERNATIONAL								
718172109	12/22/2015	12/12/2011	7,821.75	6,751.80		0.00	1,069.95	0.00	0.00
74.0	REPUBLIC SVCS INC								
760759100	12/22/2015	11/07/2013	3,244.84	2,532.01		0.00	712.83	0.00	0.00
86.0	REYNOLDS AMERICAN INC COM								
761713106	12/22/2015	03/08/2011	3,911.20	1,475.30		0.00	2,435.90	0.00	0.00
195.0	VERIZON COMMUNICATIONS								
92343V104	12/22/2015	04/01/2011	9,004.93	7,487.98		0.00	1,516.95	0.00	0.00
60.0	WASTE MGMT INC DEL								
94106L109	12/22/2015	06/17/2013	3,177.54	2,432.98		0.00	744.56	0.00	0.00
60.0	WEINGARTEN RLTY INVS COM								
948741103	12/22/2015	10/24/2014	2,055.56	2,095.56		0.00	-40.00	0.00	0.00

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84.0	WELLS FARGO & CO NEW								
949746101	12/22/2015	05/09/2013	4,565.31	3,217.50		0.00	1,347.81	0.00	0.00
Total Long Term Sales			2,875,649.07	1,836,802.26		0.00	1,038,846.81	0.00	0.00