Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No 1545-0052

2015

Open to Public Inspection

For calendar year 2015 or tax year beginning , and ending

Name of foundation: The Rock Foundation

A Employer identification number: 20-8164535

Number and street (or P O box number if mail is not delivered to street address): c/o McGuireWoods LLP P. O. Box 397

Room/suite:

B Telephone number: (804) 775-1023

City or town, state or province, country, and ZIP or foreign postal code: Richmond, VA 23218

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 1,551,632.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I	**Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))	**(a)** Revenue and expenses per books	**(b)** Net investment income	**(c)** Adjusted net income	**(d)** Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check ▶ ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	33,678.	33,673.		Statement 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	32,369.			
	b Gross sales price for all assets on line 6a 677,327.				
	7 Capital gain net income (from Part IV, line 2)		32,369.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income				
	12 **Total.** Add lines 1 through 11	66,047.	66,042.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	3,736.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees Stmt 2	3,207.	0.		3,207.
	b Accounting fees				
	c Other professional fees Stmt 3	11,135.	11,135.		0.
	17 Interest				
	18 Taxes Stmt 4	2,555.	345.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses Stmt 5	25.	0.		25.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	20,658.	11,480.		3,232.
	25 Contributions, gifts, grants paid	109,000.			109,000.
	26 **Total expenses and disbursements.** Add lines 24 and 25	129,658.	11,480.		112,232.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	<63,611.>			
	b **Net investment income** (if negative, enter -0-)		54,562.		
	c **Adjusted net income** (if negative, enter -0-)			N/A	

RECEIVED
208 MAY 19 2016 IRS-OSC
OGDEN, UT

SCANNED MAY 26 2016

523501
11-24-15 LHA **For Paperwork Reduction Act Notice, see instructions.**

22

Part II Balance Sheets

		Attached schedules and amounts in the description column should be for end-of-year amounts only	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments	73,058.	72,205.	72,205.
	3	Accounts receivable ▶ Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable ▶ Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U.S. and state government obligations			
	b	Investments - corporate stock			
	c	Investments - corporate bonds			
	11	Investments - land, buildings, and equipment basis ▶ Less accumulated depreciation ▶			
	12	Investments - mortgage loans			
	13	Investments - other Stmt 7	1,277,858.	1,215,465.	1,479,427.
	14	Land, buildings, and equipment: basis ▶ Less accumulated depreciation ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	1,350,916.	1,287,670.	1,551,632.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	1,350,916.	1,287,670.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds	0.	0.	
	30	**Total net assets or fund balances**	1,350,916.	1,287,670.	
	31	**Total liabilities and net assets/fund balances**	1,350,916.	1,287,670.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,350,916.
2	Enter amount from Part I, line 27a	2	<63,611.>
3	Other increases not included in line 2 (itemize) ▶ See Statement 6	3	365.
4	Add lines 1, 2, and 3	4	1,287,670.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,287,670.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	US Trust-See attached Gain/Loss Schedule	P		
b	US Trust-See attached Gain/Loss Schedule	P		
c	Capital Gains Dividends			
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	310,739.		328,667.	<17,928.>
b	352,721.		316,291.	36,430.
c	13,867.			13,867.
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				<17,928.>
b				36,430.
c				13,867.
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	32,369.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2014	103,821.	1,657,927.	.062621
2013	88,032.	1,690,116.	.052086
2012	83,612.	1,510,462.	.055355
2011	88,530.	1,547,533.	.057207
2010	80,056.	1,447,710.	.055298

2	**Total** of line 1, column (d)	2	.282567
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.056513
4	Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	4	1,626,124.
5	Multiply line 4 by line 3	5	91,897.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	546.
7	Add lines 5 and 6	7	92,443.
8	Enter qualifying distributions from Part XII, line 4	8	112,232.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ____________ **(attach copy of letter if necessary-see instructions)**				
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b			1	546.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).				
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0.
3 Add lines 1 and 2			3	546.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	546.
6 Credits/Payments:				
a 2015 estimated tax payments and 2014 overpayment credited to 2015	6a	1,616.		
b Exempt foreign organizations - tax withheld at source	6b			
c Tax paid with application for extension of time to file (Form 8868)	6c			
d Backup withholding erroneously withheld	6d			
7 Total credits and payments. Add lines 6a through 6d			7	1,616.
8 Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			8	
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	1,070.
11 Enter the amount of line 10 to be: **Credited to 2016 estimated tax** ▶		1,070. **Refunded** ▶	11	0.

Part VII-A Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? *If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*	1b		X
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ 0. **(2)** On foundation managers. ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ VA			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

		Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ None

14 The books are in care of ▶ Rita Smith Telephone no. ▶ (804) 794-8230

Located at ▶ 2530 Salisbury Road, Midlothian, VA ZIP+4 ▶ 23113

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

		Yes	No
16 At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

		Yes	No
File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a During the year did the foundation (either directly or indirectly):			
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
(6) Agree to pay money or property to a government official? (**Exception**. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		X
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1c		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? ☐ Yes ☒ No			
If "Yes," list the years ▶ , , ,			
b Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A	2b		
c If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ , , ,			
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b If "Yes," did it have excess business holdings in 2015 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.)* N/A	3b		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No			
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No			
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)	☐ Yes ☒ No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No			
b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	N/A	**5b**		
Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A	☐ Yes ☐ No			
If "Yes," attach the statement required by Regulations section 53.4945-5(d)				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		**6b**		X
If "Yes" to 6b, file Form 8870				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No			
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
Rita M. Smith 2530 Salisbury Rd Midlothian, VA 23113	President/Treasurer/Direct 1.00	3,736.	0.	0.
M. Anne Greggs 5616 Hardrock Place Richmond, VA 23230	Vice Pres/Director 1.00	0.	0.	0.
John B. O'Grady c/o McGuireWoods LLP 901 E. Cary St Richmond, VA 23219	Secretary/Director 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 The Rock Foundation makes grants to qualifying charitable organizations and does not carry on charitable activities directly.	0.
2	
3	
4	

Part IX-B **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:				
a	Average monthly fair market value of securities			1a	1,546,683.
b	Average of monthly cash balances			1b	104,204.
c	Fair market value of all other assets			1c	
d	**Total** (add lines 1a, b, and c)			1d	1,650,887.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.		
2	Acquisition indebtedness applicable to line 1 assets			2	0.
3	Subtract line 2 from line 1d			3	1,650,887.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)			4	24,763.
5	**Net value of noncharitable-use assets**. Subtract line 4 from line 3. Enter here and on Part V, line 4			5	1,626,124.
6	**Minimum investment return**. Enter 5% of line 5			6	81,306.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	81,306.
2a	Tax on investment income for 2015 from Part VI, line 5	2a	546.		
b	Income tax for 2015. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	546.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	80,760.
4	Recoveries of amounts treated as qualifying distributions			4	0.
5	Add lines 3 and 4			5	80,760.
6	Deduction from distributable amount (see instructions)			6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	80,760.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	112,232.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions**. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	112,232.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	546.
6	**Adjusted qualifying distributions**. Subtract line 5 from line 4	6	111,686.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7					80,760.
2 Undistributed income, if any, as of the end of 2015					
a Enter amount for 2014 only				0.	
b Total for prior years: ______ , ______ , ______			0.		
3 Excess distributions carryover, if any, to 2015:					
a From 2010	8,216.				
b From 2011	11,941.				
c From 2012	8,855.				
d From 2013	4,545.				
e From 2014	24,151.				
f **Total** of lines 3a through e		57,708.			
4 Qualifying distributions for 2015 from Part XII, line 4: ▶ $	112,232.				
a Applied to 2014, but not more than line 2a				0.	
b Applied to undistributed income of prior years (Election required - see instructions)			0.		
c Treated as distributions out of corpus (Election required - see instructions)		0.			
d Applied to 2015 distributable amount					80,760.
e Remaining amount distributed out of corpus		31,472.			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a).)		0.			0.
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		89,180.			
b Prior years' undistributed income. Subtract line 4b from line 2b			0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed			0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions			0.		
e Undistributed income for 2014. Subtract line 4a from line 2a. Taxable amount - see instr.				0.	
f Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016					0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)		0.			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7		8,216.			
9 **Excess distributions carryover to 2016.** Subtract lines 7 and 8 from line 6a		80,964.			
10 Analysis of line 9:					
a Excess from 2011	11,941.				
b Excess from 2012	8,855.				
c Excess from 2013	4,545.				
d Excess from 2014	24,151.				
e Excess from 2015	31,472.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2015	(b) 2014	(c) 2013	(d) 2012	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

None

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
Bon Secours Richmond Health Care 7229 Forest Avenue, Suite 200 Richmond, VA 23226	None	PC	2015 Parsley's Center for Healthy Communities	2,000.
Commonwealth Catholic Charities PO Box 6565 Richmond, VA 232600565	None	PC	2015 Grant for New Building (2 of 5 installments)	30,000.
FETCH a Cure 5609 Patterson Ave, #D Richmond, VA 23226	None	PC	2015 Campaign Support (2 of 5 installments)	10,000.
Lewis Ginter Botanical Garden 1800 Lakeside Ave Richmond, VA 23228	None	PC	2015 Grant for Renovation of Bloemendaal House	2,000.
Longwood University 201 High Street Farmville, VA 23909	None	PC	2015 Grant Hull Springs Farm/LCVA Community Outreach	5,000.
Total See continuation sheet(s)			▶ 3a	109,000.
b Approved for future payment None				
Total			▶ 3b	0.

523611
11-24-15

The Rock Foundation 20-8164535

Part XV **Supplementary Information**

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Maymont Foundation 1700 Hampton Street Richmond, VA 23220	None	PC	2015 & 2016 Installments for NEH Grant Match Paid in Full	13,500.
Maymont Foundation 1700 Hampton Street Richmond, VA 23220	None	PC	2015 Grant for Restoration & Blue Room Furniture	2,500.
Richmond Centerstage 600 E Grace St #400 Richmond, VA 23219	None	PC	2015 Grant Onstage Family Series	2,500.
Richmond Cultureworks 1906 Hamilton St #A Richmond, VA 23230	None	PC	2015 Grant Charitable Support	2,000.
Rose Group for Cross-Cultural Understanding 616 E St NW Cb 909 Washington, DC 20004	None	PC	2015 Grant for China Fest	3,500.
St John's Church Foundation 2319 E Broad St Richmond, VA 23223	None	PC	2015 Grant Charitable Support	2,000.
The Valentine 1015 East Clay St Richmond, VA 23219	None	PC	2015 Operating Support	2,500.
Union Presbyterian Seminary 3401 Brook Road Richmond, VA 23227	None	PC	2015 Grant for Richmond Hall	2,000.
Virginia College Fund 4900 Augusta Ave #101 Richmond, VA 23230	None	PC	2015 Grant for Scholarships	2,000.
Virginia Museum of Fine Arts 200 N Boulevard Richmond, VA 23220	None	PC	2015 Grant to support ArtShare	12,500.
Total from continuation sheets				60,000.

The Rock Foundation 20-8164535

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Woodrow Wilson Presidential Library Fdn PO Box 24 Staunton, VA 24402	None	PC	2015 Grant for Archival Acquisitions	3,000.
The Doorways 612 E. Marshall Street Richmond, VA 23219	None	PC	2015 Grant for Family Assistance Program	1,500.
Elijah House Academy 6627-B Jahnke Road Richmond, VA 23225	None	PC	2015 Grant for Scholarship Assistance	1,500.
Friends of Hollywood Cemetery 412 S. Cherry Street Richmond, VA 23220	None	PC	2015 Grant for Capital Restoration	2,000.
Partnership for Nonprofit Excellence 7501 Boulders View Drive Richmond, VA 23225	None	PC	2015 Grant for Charitable Support	1,500.
Peter Paul Development Center 1708 N. 22nd Street Richmond, VA 23223	None	PC	2015 Grant (1st of 2 Installments)	2,500.
Safe Harbor 2006 Bremo Road, #201 Richmond, VA 23226	None	PC	2015 Grant for Charitable Support	1,500.
The Science Museum of Virginia Fund 2500 W. Broad Street Richmond, VA 23220	None	PC	2015 Grant for Charitable Support	1,500.
Total from continuation sheets				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	33,678.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	32,369.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		66,047.	0.

13 Total. Add line 12, columns (b), (d), and (e) 13 66,047.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	1a(1)		X
(2) Other assets	1a(2)		X
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
(3) Rental of facilities, equipment, or other assets	1b(3)		X
(4) Reimbursement arrangements	1b(4)		X
(5) Loans or loan guarantees	1b(5)		X
(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

▶ Rita M. Smith 5-10-16 ▶ PRESIDENT/TREAS

Signature of officer or trustee Date Title

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name John B. O'Grady	Preparer's signature	Date 5/9/16	Check ☐ if self- employed	PTIN P01316037
Firm's name ▶ McGuireWoods LLP				Firm's EIN ▶ 54-0505857
Firm's address ▶ P. O. Box 397 Richmond, VA 23218-0397				Phone no. (804)775-1000

Form 990-PF Dividends and Interest from Securities Statement 1

Source	Gross Amount	Capital Gains Dividends	(a) Revenue Per Books	(b) Net Investment Income	(c) Adjusted Net Income
Bank of America-Agent Divs	43,541.	13,867.	29,674.	29,669.	
Bank of America-Agent Int	4,004.	0.	4,004.	4,004.	
To Part I, line 4	47,545.	13,867.	33,678.	33,673.	

Form 990-PF Legal Fees Statement 2

Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
McGuireWoods LLP	3,207.	0.		3,207.
To Fm 990-PF, Pg 1, ln 16a	3,207.	0.		3,207.

Form 990-PF Other Professional Fees Statement 3

Description	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Bank of America-Agency Fees	11,135.	11,135.		0.
To Form 990-PF, Pg 1, ln 16c	11,135.	11,135.		0.

Form 990-PF Taxes Statement 4

Description	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
2015 estimated excise tax	1,616.	0.		0.
Foreign Tax paid from income	345.	345.		0.
2014 excise tax balance due	594.	0.		0.
To Form 990-PF, Pg 1, ln 18	2,555.	345.		0.

Form 990-PF Other Expenses Statement 5

Description	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Treasurer of VA - 2014 Registration Fee	25.	0.		25.
To Form 990-PF, Pg 1, ln 23	25.	0.		25.

Form 990-PF Other Increases in Net Assets or Fund Balances Statement 6

Description	Amount
Cost basis adjustments/timing difference	365.
Total to Form 990-PF, Part III, line 3	365.

Form 990-PF Other Investments Statement 7

Description	Valuation Method	Book Value	Fair Market Value
See schedule attached	COST	1,215,465.	1,479,427.
Total to Form 990-PF, Part II, line 13		1,215,465.	1,479,427.

Settlement Date

Portfolio Detail

Dec 01, 2015 through Dec. 31, 2015

Account: [redacted]0867 THE ROCK FOUNDATION - COMBINED
Sub-Account: [redacted]1886 IM THE ROCK FOUNDATION

Units	Description	CUSIP Sector (2)	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Cash/Currency								
Cash Equivalents								
53,541.410	BANK OF AMERICA MONEY MARKET SAVINGS ACCOUNT (Income Investment)	994458719	$53,541.41	$3.59	$53,541.41 1.000	$0.00	$48.19	0.09%
18,663.740	BANK OF AMERICA MONEY MARKET SAVINGS ACCOUNT	994458719	18,663.74	0.86	18,663.74 1.000	0.00	16.80	0.09
	Total Cash Equivalents		**$72,205.15**	**$4.45**	**$72,205.15**	**$0.00**	**$64.99**	**0.09%**
Total Cash/Currency			**$72,205.15**	**$4.45**	**$72,205.15**	**$0.00**	**$64.99**	**0.09%**

Equities

(2)Industry Sector Codes

CND = Consumer Discretionary
CNS = Consumer Staples
ENR = Energy
FIN = Financials
HEA = Health Care
IND = Industrials
IFT = Information Technology
MAT = Materials
OEQ = Other Equities
TEL = Telecommunication Services
UTL = Utilities

Units	Description	CUSIP Sector (2)	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
U.S. Large Cap								
200.000	ABBOTT LABS Ticker: ABT	002824100 HEA	$8,982.00 44.910	$0.00	$6,316.93 31.585	$2,665.07	$208.00	2.31%
200.000	ABBVIE INC Ticker: ABBV	00287Y109 HEA	11,848.00 59.240	0.00	6,554.46 32.772	5,293.54	456.00	3.84
18.000	ALPHABET INC CL A COM Ticker: GOOG L	02079K305 IFT	14,004.18 778.010	0.00	9,484.40 526.911	4,519.78	0.00	0.00
18.000	AMAZON COM INC Ticker: AMZN	023135106 CND	12,166.02 675.890	0.00	5,222.43 290.135	6,943.59	0.00	0.00
100.000	AMERICAN EXPRESS CO Ticker: AXP	025816109 FIN	6,955.00 69.550	0.00	5,136.28 51.363	1,818.72	116.00	1.66
200.000	AMERICAN WTR WKS CO INC NEW COM Ticker: AWK	030420103 UTL	11,950.00 59.750	0.00	9,417.10 47.086	2,532.90	272.00	2.27

Portfolio Detail

Dec 01, 2015 through Dec. 31, 2015

Account: [redacted]0867 THE ROCK FOUNDATION - COMBINED
Sub-Account: [redacted]1886 IM THE ROCK FOUNDATION

Units	Description	CUSIP Sector (2)	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Equities (cont)								
U.S. Large Cap (cont)								
65.000	AMGEN INC Ticker: AMGN	031162100 HEA	10,551.45 162.330	0.00	4,370.34 67.236	6,181.11	260.00	2.46
225.000	APPLE INC Ticker: AAPL	037833100 IFT	23,683.50 105.260	0.00	6,733.45 29.926	16,950.05	468.00	1.97
250.000	AT&T INC Ticker: T	00206R102 TEL	8,602.50 34.410	0.00	8,265 04 33.060	337.46	480.00	5.58
125.000	AUTOMATIC DATA PROCESSING INC Ticker: ADP	053015103 IFT	10,590.00 84.720	66.25	6,269.60 50.157	4,320.40	265.00	2.50
75.000	BECTON DICKINSON & CO Ticker: BDX	075887109 HEA	11,556.75 154.090	0.00	7,705.58 102.741	3,851 17	198.00	1.71
35.000	BLACKROCK INC CL A Ticker: BLK	09247X101 FIN	11,918.20 340.520	0.00	8,419.06 240.545	3,499.14	305.20	2.56
75.000	BOEING CO Ticker: BA	097023105 IND	10,844.25 144.590	0.00	5,744.57 76.594	5,099.68	327.00	3.01
185.000	BRISTOL MYERS SQUIBB CO Ticker: BMY	110122108 HEA	12,726.15 68.790	70.30	5,584 63 30.187	7,141.52	281.20	2.21
90.000	CELGENE CORP Ticker: CELG	151020104 HEA	10,778.40 119.760	0.00	6,514.93 72.388	4,263.47	0.00	0.00
53.000	CHEVRON CORP Ticker: CVX	166764100 ENR	4,767.88 89.960	0.00	3,776.12 71.248	991.76	226.84	4.75
400.000	CISCO SYS INC Ticker: CSCO	17275R102 IFT	10,862.00 27.155	0.00	9,901.60 24.754	960.40	336.00	3.09
150.000	COCA COLA CO Ticker: KO	191216100 CNS	6,444.00 42.960	0.00	5,798.25 38.655	645.75	198.00	3.07
2,500.000	COLUMBIA SELECT LARGE CAP GROWTH FUND CLASS Z SHARES Ticker: UMLG X	19765Y688 OEQ	42,150.00 16.860	0.00	24,775.00 9.910	17,375.00	0.00	0.00
250.000	CONOCOPHILLIPS Ticker: COP	20825C104 ENR	11,672.50 46.690	0.00	14,582.23 58.329	-2,909.73	740.00	6.34
65.000	COSTCO WHSL CORP NEW Ticker: COST	22160K105 CNS	10,497.50 161.500	0.00	7,481.62 115.102	3,015.88	104.00	0.99

Settlement Date

Portfolio Detail

Dec 01, 2015 through Dec. 31, 2015

Account: [redacted]0867 THE ROCK FOUNDATION - COMBINED
Sub-Account: [redacted]1886 IM THE ROCK FOUNDATION

Units	Description	CUSIP Sector (2)	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Equities (cont)								
U.S. Large Cap (cont)								
100.000	CVS HEALTH CORP Ticker: CVS	126650100 CNS	9,777.00 97.770	0.00	7,923.50 79.235	1,853.50	170 00	1.73
75.000	DISNEY WALT CO COM DISNEY Ticker: DIS	254687106 CND	7,881.00 105.080	53.25	7,753.13 103.375	127.87	106.50	1.35
150.000	DOW CHEM CO Ticker: DOW	260543103 MAT	7,722.00 51.480	69.00	6,713.25 44 755	1,008.75	276.00	3.57
125.000	DU PONT E I DE NEMOURS & CO Ticker: DD	263534109 MAT	8,325.00 66.600	0.00	6,057.84 48.463	2,267 16	190.00	2.28
50.000	EOG RES INC Ticker: EOG	26875P101 ENR	3,539 50 70.790	0.00	3,914 57 78.291	-375.07	33.50	0.94
115.000	EXXON MOBIL CORP Ticker: XOM	30231G102 ENR	8,964.25 77.950	0.00	8,207.55 71.370	756.70	335.80	3.74
200.000	FRANKLIN RES INC Ticker: BEN	354613101 FIN	7,364.00 36.820	36.00	8,110.36 40.552	-746.36	144.00	1.95
350.000	GENERAL ELEC CO Ticker: GE	369604103 IND	10,902.50 31.150	80.50	8,465.75 24.188	2,436.75	322.00	2.95
200.000	GENERAL MTRS CO Ticker: GM	37045V100 CND	6,802.00 34.010	0.00	7,156.40 35.782	-354.40	288.00	4.23
90.000	GILEAD SCIENCES INC Ticker: GILD	375558103 HEA	9,107.10 101.190	0.00	4,817.97 53.533	4,289.13	154.80	1.70
100.000	HOME DEPOT INC Ticker: HD	437076102 CND	13,225.00 132.250	0.00	2,815.55 28.156	10,409.45	236.00	1.78
100.000	HONEYWELL INTL INC Ticker: HON	438516106 IND	10,357.00 103.570	0.00	5,406:10 54.061	4,950.90	238.00	2.29
200.000	J P MORGAN CHASE & CO Ticker: JPM	46625H100 FIN	13,206.00 66.030	0.00	10,262.64 51.313	2,943.36	352.00	2.66
125.000	JOHNSON & JOHNSON Ticker: JNJ	478160104 HEA	12,840.00 102.720	0.00	8,153.58 65.229	4,686.42	375.00	2.92
85.000	KIMBERLY CLARK CORP Ticker: KMB	494368103 CNS	10,820.50 127.300	74.80	6,979.88 82.116	3,840.62	299.20	2 76

Settlement Date

Portfolio Detail

Account: [redacted]0867 THE ROCK FOUNDATION - COMBINED
Sub-Account: [redacted]1886 IM THE ROCK FOUNDATION

Dec. 01, 2015 through Dec 31, 2015

Units	Description	CUSIP Sector (2)	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Equities (cont)								
U.S. Large Cap (cont)								
150.000	KRAFT HEINZ CORP Ticker: KHC	500754106 CNS	10,914.00 72.760	86.25	9,524.62 63.497	1,389.38	345.00	3.16
75.000	LILLY ELI & CO Ticker: LLY	532457108 HEA	6,319.50 84.260	0.00	6,148.99 81.987	170.51	153.00	2.42
30.000	LOCKHEED MARTIN CORP Ticker: LMT	539830109 IND	6,514.50 217.150	0.00	6,239.75 207.992	274.75	198.00	3.03
200.000	MERCK & CO INC NEW COM Ticker: MRK	58933Y105 HEA	10,564.00 52.820	92.00	8,137.71 40.689	2,426.29	368.00	3.48
400.000	MICRON TECHNOLOGY INC Ticker: MU	595112103 IFT	5,664.00 14.160	0.00	6,559.44 16.399	-895.44	0.00	0.00
300.000	MICROSOFT CORP Ticker: MSFT	594918104 IFT	16,644.00 55.480	0.00	8,319.40 27.731	8,324.60	432.00	2.59
275.000	MORGAN STANLEY Ticker: MS	617446448 FIN	8,747.75 31.810	0.00	8,727.03 31.735	20.72	165.00	1.88
35.000	NORTHROP GRUMMAN CORP Ticker: NOC	666807102 IND	6,608.35 188.810	0.00	5,969.71 170.563	638.64	112.00	1.69
75.000	OCCIDENTAL PETE CORP DEL Ticker: OXY	674599105 ENR	5,070.75 67.610	56.25	5,630.69 75.076	-559.94	225.00	4.43
100.000	PEPSICO INC Ticker: PEP	713448108 CNS	9,992.00 99.920	70.25	8,151.01 81.510	1,840.99	281.00	2.81
375.000	PFIZER INC Ticker: PFE	717081103 HEA	12,105.00 32.280	0.00	8,595.78 22.922	3,509.22	450.00	3.71
100.000	PHILLIPS 66 Ticker: PSX	718546104 ENR	8,180.00 81.800	0.00	6,802 86 68.029	1,377.14	224.00	2.73
71.000	PNC FINL SVCS GROUP INC Ticker: PNC	693475105 FIN	6,767.01 95.310	0.00	3,894.35 54.850	2,872.66	144.84	2.14
100.000	PRICE T ROWE GROUP INC Ticker: TROW	74144T108 FIN	7,149.00 71.490	0.00	7,127.91 71.279	21.09	208.00	2.90
100.000	PROCTER & GAMBLE CO Ticker: PG	742718109 CNS	7,941.00 79.410	0.00	6,836.28 68.363	1,104.72	265.20	3.34

Settlement Date

Portfolio Detail

Dec. 01, 2015 through Dec. 31, 2015

Account: [redacted]0867 THE ROCK FOUNDATION - COMBINED
Sub-Account: [redacted]1886 IM THE ROCK FOUNDATION

Units	Description	CUSIP Sector (2)	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Equities (cont)								
U.S. Large Cap (cont)								
100.000	RAYTHEON CO COM NEW Ticker: RTN	755111507 IND	12,453.00 124.530	0.00	5,696.59 56.966	6,756.41	268.00	2.15
100.000	SALESFORCE COM INC Ticker: CRM	79466L302 IFT	7,840.00 78.400	0.00	7,232.50 72.325	607.50	0.00	0.00
175.000	TEXAS INSTRS INC Ticker: TXN	882508104 IFT	9,591.75 54.810	0.00	6,645.15 37.972	2,946.60	266.00	2.77
115.000	TIME WARNER INC NEW COM Ticker: TWX	887317303 CND	7,437.05 64.670	0.00	3,872.36 33.673	3,564.69	161.00	2.16
125.000	TJX COS INC NEW Ticker: TJX	872540109 CND	8,863.75 70.910	0.00	5,454.65 43.637	3,409.10	105.00	1.18
100.000	TRAVELERS COS INC Ticker: TRV	89417E109 FIN	11,286.00 112.860	0.00	9,376.50 93.765	1,909.50	244.00	2.16
100.000	UNITED TECHNOLOGIES CORP Ticker: UTX	913017109 IND	9,607.00 96.070	0.00	10,470.74 104.707	-863.74	256.00	2.66
200.000	US BANCORP DEL COM NEW Ticker: USB	902973304 FIN	8,534.00 42.670	51.00	5,139.33 25.697	3,394.67	204.00	2.39
125.000	V F CORP Ticker: VFC	918204108 CND	7,781.25 62.250	0.00	6,862.52 54.900	918.73	185.00	2.37
1,100.000	VANGUARD GROWTH ETF Ticker: VUG	922908736 OEQ	117,029.00 106.390	0.00	94,875.49 86.250	22,153.51	1,526.80	1.30
250.000	VERIZON COMMUNICATIONS INC Ticker: VZ	92343V104 TEL	11,555.00 46.220	0.00	8,929.88 35.720	2,625.12	565.00	4.89
165.000	VISA INC CL A COM Ticker: V	92826C839 IFT	12,795.75 77.550	0.00	8,634.60 52.331	4,161 15	92.40	0.72
250.000	WELLS FARGO & CO NEW COM Ticker: WFC	949746101 FIN	13,590.00 54.360	0.00	9,495.84 37.983	4,094.16	375.00	2.75

Portfolio Detail

Dec. 01, 2015 through Dec. 31, 2015

Account: [redacted]0867 THE ROCK FOUNDATION - COMBINED
Sub-Account: [redacted]1886 IM THE ROCK FOUNDATION

Units	Description	CUSIP Sector (2)	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Equities (cont)								
U.S. Large Cap (cont)								
75.000	3M CO Ticker: MMM	88579Y101 IND	11,298.00 150.640	0.00	10,856.91 144.759	441.09	307.50	2.72
	Total U.S. Large Cap		**$783,225.54**	**$805.85**	**$571,000.28**	**$212,225.26**	**$16,888.78**	**2.15%**
U.S. Mid Cap								
1,300.000	EATON VANCE ATLANTA CAP SMID-CAP FUND CL I Ticker: EISMX	277902698 OEQ	$33,696.00 25.920	$0.00	$16,146.00 12.420	$17,550.00	$0.00	0.00%
150.000	FMC TECHNOLOGIES INC Ticker: FTI	30249U101 ENR	4,351.50 29.010	0.00	5,143.76 34.292	-792.26	0.00	0.00
3,195.892	JOHN HANCOCK FDS III DISCIPLINED VALUE MID CAP FUND Ticker: JVMIX	47803W406 OEQ	61,201.33 19.150	0.00	35,700.00 11.171	25,501.33	335.57	0.54
200.000	VANGUARD MID-CAP ETF Ticker: VO	922908629 OEQ	24,022.00 120.110	0.00	19,435.00 97.175	4,587.00	695.60	2.89
	Total U.S. Mid Cap		**$123,270.83**	**$0.00**	**$76,424.76**	**$46,846.07**	**$1,031.17**	**0.83%**
U.S. Small Cap								
150.000	CEF ISHARES CORE S&P SMALL-CAP Ticker: IJR	464287804 OEQ	$16,516.50 110.110	$0.00	$15,740.25 104.935	$776.25	$245.25	1.48%
675.000	VANGUARD SMALL-CAP ETF Ticker: VB	922908751 OEQ	74,682.00 110.640	0.00	75,937.18 112.500	-1,255.18	2,139.75	2.86
	Total U.S. Small Cap		**$91,198.50**	**$0.00**	**$91,677.43**	**-$478.93**	**$2,385.00**	**2.61%**
International Developed								
650.000	ARTISAN INTL VALUE FUND Ticker: ARTKX	04314H881 OEQ	$20,611.50 31.710	$0.00	$19,643.00 30.220	$968.50	$179.40	0.87%
750.000	ISHARES MSCI EAFE ETF Ticker: EFA	464287465 OEQ	44,040.00 58.720	0.00	47,272.90 63.031	-3,232.90	1,215.00	2.75

Settlement Date

Portfolio Detail

Dec. 01, 2015 through Dec. 31, 2015

Account: [redacted]0867 THE ROCK FOUNDATION - COMBINED
Sub-Account: [redacted]1886 IM THE ROCK FOUNDATION

Units	Description	CUSIP Sector (2)	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Equities (cont)								
International Developed (cont)								
425.000	ISHARES TR MSCI EAFE MIN VOL INDEX FUND Ticker: EFAV	46429B689 OEQ	27,569.75 64.870	0.00	27,759.47 65.316	-189.72	685.95	2.48
85.000	LYONDELLBASELL INDUSTRIES NV CL A COM NETHERLANDS Ticker: LYB	N53745100 MAT	7,386 50 86.900	0.00	7,265.05 85.471	121.45	265.20	3.59
500.000	WISDOMTREE EUROPE HEDGED EQUITY FUND Ticker: HEDJ	97717X701 OEQ	26,905.00 53.810	0.00	30,978.30 61 957	-4,073.30	640.00	2.37
	Total International Developed		**$126,512.75**	**$0.00**	**$132,918.72**	**-$6,405.97**	**$2,985.55**	**2.36%**
Emerging Markets								
200.000	ISHARES MSCI EMERGING MKTS MIN VOL ETF Ticker: EEMV	464286533 OEQ	$9,732.00 48.660	$0.00	$11,370.95 56.855	-$1,638.95	$247.80	2.54%
350.000	VANGUARD FTSE EMERGING MKTS ETF Ticker: VWO	922042858 OEQ	11,448 50 32.710	0.00	14,318.57 40.910	-2,870.07	373.10	3.25
	Total Emerging Markets		**$21,180.50**	**$0.00**	**$25,689.52**	**-$4,509.02**	**$620.90**	**2.93%**
Total Equities			**$1,145,388.12**	**$805.85**	**$897,710.71**	**$247,677.41**	**$23,911.40**	**2.08%**
Fixed Income								
Investment Grade Taxable								
7,000.000	***2016*** CITIGROUP INC SR UNSECD NT DTD 01/10/13 1.250% DUE 01/15/16 Moody's: BAA1 S&P· BBB+	172967GG0	$7,000.91 100.013	$40.34	$7,037.17 100.531	-$36.26	$87.50	1.25% 0.88

Settlement Date

Portfolio Detail

Dec. 01, 2015 through Dec. 31, 2015

Account: [redacted]0867 THE ROCK FOUNDATION - COMBINED
Sub-Account: [redacted]1886 IM THE ROCK FOUNDATION

Units	Description	CUSIP Sector (2)	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Fixed Income (cont)								
Investment Grade Taxable (cont)								
50,000.000	DEERE JOHN CAP CORP UNSECD MTN DTD 01/17/14 1.050% DUE 12/15/16 Moody's: A2 S&P: A	24422ESH3	49,992.00 99.984	23.33	50,054.50 100.109	-62.50	525.00	1.05 1.04
50,000.000	***2017*** ANHEUSER BUSCH INBEV WORLDWIDE INC UNSECD GTD SR NT DTD 07/16/12 1.375% DUE 07/15/17 Moody's: A2 S&P: A-	03523TBN7	49,833.50 99.667	317.01	50,345.50 100.691	-512.00	687.50	1.38 1.59
50,000.000	***2018*** GENERAL ELEC CAP CORP SR UNSECD MTN DTD 04/02/13 1.625% DUE 04/02/18 Moody's: A1 S&P: AA+	36962G6W9	50,163.50 100.327	200.86	50,083.50 100.167	80.00	812.50	1.62 1.43
50,000.000	***2019*** APPLE INC UNSECD SR NT DTD 05/06/14 2.100% DUE 05/06/19 Moody's: AA1 S&P: AA+	037833AQ3	50,584.50 101.169	160.41	50,780.50 101.561	-196.00	1,050.00	2.07 1.71
50,000.000	***2020*** AMERICAN HONDA FIN CORP UNSECD MTN DTD 09/24/15 2.450% DUE 09/24/20 Moody's: A1 S&P: A+	02665WAZ4	50,077.50 100.155	330.07	50,426.10 100.852	-348.60	1,225.00	2.44 2.37
1,250.000	PRINCIPAL PFD SECS FUND INSTL CL	74253Q416	12,625.00 10.100	0.00	12,862.50 10.290	-237.50	658.75	5.21
	Total Investment Grade Taxable		**$270,276.91**	**$1,072.02**	**$271,589.77**	**-$1,312.86**	**$5,046.25**	**1.86%**
Total Fixed Income			**$270,276.91**	**$1,072.02**	**$271,589.77**	**-$1,312.86**	**$5,046.25**	**1.86%**

Settlement Date

Portfolio Detail

Account: [redacted]0867 THE ROCK FOUNDATION - COMBINED
Sub-Account: [redacted]1886 IM THE ROCK FOUNDATION

Dec 01, 2015 through Dec 31, 2015

Units	*Description*	*CUSIP Sector (2)*	*Market Value(1)/ Market Price*	*Accrued Income*	*Tax Cost/ Average Unit Cost*	*Unrealized Gain/Loss*	*Estimated Annual Income*	*Cur Yld/ YTM*
Real Estate								
Public REITs								
90.000	AVALONBAY CMNTYS INC	053484101	$16,571.70 184.130	$112.50	$12,874.41 143.049	$3,697.29	$450.00	2.71%
110.000	BOSTON PROPERTIES INC	101121101	14,029.40 127.540	209.00	11,695.17 106.320	2,334.23	286.00	2.03
75.000	PUBLIC STORAGE INC COM (REIT)	74460D109	18,577.50 247.700	0.00	10,700.76 142.677	7,876.74	510.00	2.74
75.000	SIMON PPTY GROUP INC NEW	828806109	14,583.00 194.440	0.00	10,894.33 145.258	3,688.67	453.75	3.11
	Total Public REITs		**$63,761.60**	**$321.50**	**$46,164.67**	**$17,596.93**	**$1,699.75**	**2.66%**
Total Real Estate			**$63,761.60**	**$321.50**	**$46,164.67**	**$17,596.93**	**$1,699.75**	**2.66%**
Total Portfolio			**$1,551,631.78**	**$2,203.82**	**$1,287,670.30**	**$263,961.48**	**$30,722.39**	**1.98%**
Accrued Income			$2,203.82					
Total			**$1,553,835.60**					

(1) Market Value in the Portfolio Detail section does not include Accrued Income.

Income for 2015

This section reports your sales transactions during the year. A schedule of your net gains and losses is shown below. Please note that if you have sold an asset for which the cost basis information is unknown it is shown separately in the section entitled Transactions with Unknown Cost Basis. Please review these carefully when preparing your return. An asterisk symbol (*) next to the tax cost indicates that the tax cost has been adjusted for current year's return of capital.

The following items are provided to you to assist in the preparation of your tax returns. We have included the following Codes and Adjustment amounts: "W" for wash sales, "C" for collectibles, and "D" for Market Discount. Typically, collectibles are taxed at 28% rates. For your convenience, if applicable, we have included the portion subject to Section 988 translation gains and losses and Market Discount in the column titled "Portion Subject to 988 and Market Discount". We have also includes gains on collectibles taxed at 28% rates in the last column. If we have included a dagger symbol (†) next to the sale transaction with a net loss, this indicates that you can not take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure.

Short Term Transactions

DESCRIPTION		CUSIP	SYMBOL						
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
AVALONBAY CMNTYS INC		**053484101**	**AVB**						
03/23/15	10/08/14	10	$1,806.62	$1,430 49	$376 13		$0.00	$0 00	$0.00
BIOGEN IDEC INC		**09062X103**	**BIIB**						
04/15/15	05/07/14	5	$2,146 53	$1,422 35	$724 18		$0 00	$0 00	$0 00
BOSTON PPTYS INC		**101121101**	**BXP**						
03/23/15	10/08/14	15	$2,173 38	$1,740 50	$432 88		$0 00	$0 00	$0 00
CATERPILLAR INC		**149123101**	**CAT**						
01/12/15	10/08/14	85	$7,371 92	$7,977 49	$-605 57		$0 00	$0 00	$0 00

Short Term Transactions

DESCRIPTION		CUSIP	SYMBOL						
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
CATERPILLAR INC		**149123101**	**CAT**						
01/12/15	08/25/14	85	$7,371.92	$9,190 72	$-1,818 80		$0 00	$0 00	$0 00
CELGENE CORP COM		**151020104**	**CELG**						
04/15/15	05/07/14	10	$1,170 83	$723 88	$446 95		$0 00	$0 00	$0 00
CHIPOTLE MEXICAN GRILL INC CL A		**169656105**	**CMG**						
12/08/15	04/22/15	15	$7,925.00	$9,650 01	$-1,725 01		$0.00	$0 00	$0 00
CITIGROUP INC		**172967424**	**C**						
03/10/15	08/25/14	25	$1,285 18	$1,291 63	$-6 45		$0 00	$0 00	$0.00
COCA COLA		**191216100**	**KO**						
01/12/15	02/12/14	50	$2,126.65	$1,927.50	$199 15		$0 00	$0 00	$0 00
EMC CORPORATION		**268648102**	**EMC**						
06/04/15	08/25/14	350	$9,475 83	$10,355 98	$-880 15		$0 00	$0 00	$0 00
FIDELITY ADVISOR FLOATING RATE		**315807552**	**FFRI X**						
01/12/15	03/17/14	1500	$14,415 00	$14,955 00	$-540 00		$0 00	$0 00	$0 00
HALLIBURTON CO		**406216101**	**HAL**						
01/12/15	05/07/14	110	$4,225 00	$7,088 43	$-2,863 43		$0 00	$0 00	$0 00
INTEL CORP		**458140100**	**INTC**						
06/04/15	08/25/14	41	$1,331 31	$1,430 29	$-98 98		$0 00	$0 00	$0 00

Short Term Transactions

DESCRIPTION		CUSIP	SYMBOL						
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
ISHARES TR MSCI EMERGING MKTS		**464287234**	**EEM**						
01/12/15	08/25/14	425	$16,543 86	$19,106 68	$-2,562 82		$0 00	$0 00	$0 00
01/12/15	05/07/14	25	$973 17	$1,044.33	$-71 16		$0 00	$0.00	$0 00
03/23/15	05/07/14	150	$6,027 01	$6,265 99	$-238 98		$0 00	$0 00	$0 00
ISHARES TR MSCI EAFE INDEX FD		**464287465**	**EFA**						
01/12/15	02/12/14	150	$8,918 74	$9,911 61	$-992 87		$0 00	$0 00	$0 00
11/17/15	06/04/15	350	$21,111 61	$23,350 18	$-2,238 57		$0 00	$0 00	$0 00
ISHARES TR MSCI ALL COUNTRY ASIA		**464288182**	**AAXJ**						
03/23/15	01/12/15	250	$15,931 80	$15,300 00	$631 80		$0 00	$0 00	$0.00
ISHARES DOW JONES EPAC SELECT		**464288448**	**IDV**						
06/04/15	10/08/14	950	$32,048 61	$33,003 38	$-954 77		$0 00	$0 00	$0 00
09/16/15	01/12/15	750	$22,214 59	$24,851 70	$-2,637 11		$0 00	$0.00	$0 00
09/16/15	10/08/14	250	$7,404 86	$8,685 10	$-1,280 24		$0.00	$0 00	$0 00
KRAFT FOODS GROUP INC		**50076Q106**	**KRFT**						
07/02/15	01/12/15	150	$13,371 75	$10,896 75	$2,475 00		$0 00	$0.00	$0 00
METLIFE INC		**59156R108**	**MET**						
01/12/15	02/12/14	107	$5,388 71	$5,326 80	$61.91		$0 00	$0 00	$0.00
MICRON TECHNOLOGY		**595112103**	**MU**						
11/17/15	06/04/15	300	$4,509 10	$8,117 46	$-3,608 36		$0 00	$0 00	$0 00

Short Term Transactions

DESCRIPTION Date sold or disposed	Date acquired	CUSIP Units	SYMBOL Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
MONSANTO CO NEW		**61166W101**	**MON**						
01/12/15	01/23/14	85	$10,154 94	$9,229 90	$925 04		$0 00	$0 00	$0.00
QUALCOMM INC		**747525103**	**QCOM**						
01/12/15	02/12/14	100	$7,348 94	$7,658 82	$-309 88		$0 00	$0 00	$0 00
STANDARDS & POORS DEP RCPTS TR SER		**78462F103**	**SPY**						
03/23/15	10/08/14	200	$42,137 22	$38,572 66	$3,564 56		$0 00	$0 00	$0 00
VANGUARD INTL EQUITY INDEX FD INC		**922042858**	**VWO**						
09/16/15	03/23/15	150	$5,240 90	$6,136 53	$-895 63		$0 00	$0.00	$0 00
09/16/15	04/15/15	200	$6,987 87	$8,758 82	$-1,770 95		$0 00	$0.00	$0 00
WISDOMTREE EUROPE HEDGED EQUITY		**97717X701**	**HEDJ**						
11/17/15	03/23/15	350	$21,600 17	$23,266.04	$-1,665 87		$0 00	$0 00	$0 00
Total Short Term Gain/Loss			*$310,739 02*	*$328,667 02*	*$-17,928 00*		*$0 00*	*$0 00*	*$0.00*

Long Term Transactions

DESCRIPTION Date sold or disposed	Date acquired	CUSIP Units	SYMBOL Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
ALPHABET INC		**02079K305**	**GOOG L**						
11/17/15	08/25/14	2	$1,504 00	$1,180 49	$323 51		$0 00	$0.00	$0 00

Long Term Transactions

DESCRIPTION		CUSIP	SYMBOL						
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
AMAZON COM INC		**023135106**	**AMZN**						
11/17/15	07/08/13	2	$1,290 34	$580 27	$710.07		$0 00	$0 00	$0 00
AMERICAN WTR WKS CO INC NEW		**030420103**	**AWK**						
09/16/15	08/25/14	25	$1,313.35	$1,246 13	$67.22		$0 00	$0 00	$0.00
AMGEN INC		**031162100**	**AMGN**						
04/15/15	01/04/13	4	$657 08	$354 56	$302 52		$0 00	$0 00	$0.00
04/15/15	01/23/14	6	$985 63	$740 79	$244 84		$0 00	$0 00	$0 00
11/17/15	01/04/13	8	$1,252 98	$709 12	$543 86		$0 00	$0 00	$0 00
11/17/15	01/03/13	2	$313 24	$177 16	$136 08		$0 00	$0 00	$0 00
APPLE COMPUTER INC		**037833100**	**AAPL**						
01/12/15	01/14/10	30	$3,275 32	$897 79	$2,377 53		$0 00	$0 00	$0 00
11/17/15	01/14/10	25	$2,854 56	$748 16	$2,106 40		$0.00	$0 00	$0 00
BP CAP MKTS PLC		**05565QBN7**	**BP 15**						
10/01/15	03/18/14	50000	$50,000 00	$51,983 50	$-1,983 50		$0 00	$0 00	$0 00
BIOGEN IDEC INC		**09062X103**	**BIIB**						
11/17/15	05/07/14	20	$5,651 65	$5,689 41	$-37 76		$0 00	$0 00	$0 00
CHEMOURS CO		**163851108**	**CC**						
07/07/15	11/23/11	3 6	$56 41	$40 68	$15 73		$0 00	$0 00	$0 00
07/07/15	03/21/12	5 8	$90 88	$77 66	$13 22		$0 00	$0 00	$0.00

Long Term Transactions

DESCRIPTION		CUSIP	SYMBOL						
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
CHEMOURS CO		**163851108**	**CC**						
07/07/15	01/23/14	11 2	$175 50	$175 86	$-0 36		$0 00	$0 00	$0 00
07/07/15	11/22/11	9 4	$147 29	$108 85	$38 44		$0 00	$0 00	$0 00
CHEVRONTEXACO CORP		**166764100**	**CVX**						
09/16/15	11/04/11	18	$1,404 32	$1,914 09	$-509 77		$0 00	$0 00	$0 00
09/16/15	04/11/11	5	$390 09	$543 99	$-153 90		$0 00	$0 00	$0 00
CHUBB CORP		**171232101**	**CB**						
11/17/15	08/25/14	20	$2,591 84	$1,820 70	$771 14		$0 00	$0.00	$0.00
11/17/15	11/23/11	2	$259 18	$128.14	$131 04		$0 00	$0 00	$0 00
11/17/15	11/23/11	11	$1,425 52	$705 49	$720 03		$0 00	$0 00	$0 00
11/17/15	10/21/11	40	$5,183 69	$2,730 52	$2,453 17		$0 00	$0 00	$0 00
11/17/15	02/12/14	25	$3,239.81	$2,119 08	$1,120 73		$0 00	$0 00	$0 00
11/17/15	10/21/11	2	$259 18	$136 85	$122 33		$0 00	$0 00	$0 00
CITIGROUP INC		**172967424**	**C**						
03/10/15	01/08/14	150	$7,711 05	$8,219 14	$-508 09		$0 00	$0 00	$0.00
COCA COLA		**191216100**	**KO**						
01/12/15	07/08/13	125	$5,316 62	$5,083 80	$232 82		$0 00	$0 00	$0 00
COLUMBIA FDS SER TR I SELECT LARGE		**19765Y688**	**UMLG X**						
03/10/15	01/25/10	500	$9,415.00	$4,955 00	$4,460 00		$0 00	$0 00	$0 00
11/17/15	01/25/10	500	$8,960 00	$4,955 00	$4,005 00		$0 00	$0 00	$0 00

Long Term Transactions

DESCRIPTION		CUSIP	SYMBOL						
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
COMCAST CORP NEW CL A		**20030N101**	**CMCS A**						
01/12/15	11/01/13	70	$3,923 06	$3,398.57	$524 49		$0 00	$0 00	$0 00
DEVON ENERGY CORPORATION NEW		**25179M103**	**DVN**						
09/16/15	08/25/14	100	$4,010 64	$7,455.50	$-3,444 86		$0 00	$0 00	$0 00
DOVER CORP		**260003108**	**DOV**						
01/12/15	02/17/10	3	$205 78	$110 63	$95 15		$0 00	$0 00	$0 00
01/12/15	11/21/11	18	$1,234 68	$764 99	$469 69		$0 00	$0 00	$0 00
01/12/15	02/04/10	27	$1,852 02	$965.44	$886 58		$0 00	$0 00	$0 00
DOW CHEMICAL		**260543103**	**DOW**						
09/16/15	01/23/14	25	$1,096.13	$1,118 88	$-22 75		$0 00	$0 00	$0 00
DU PONT (E I) DE NEMOURS & CO		**263534109**	**DD**						
09/16/15	01/23/14	25	$1,209.91	$1,465 46	$-255 55		$0 00	$0 00	$0.00
EATON VANCE ATLANTA CAP SMID-CAP		**277902698**	**EISM X**						
03/10/15	01/14/10	300	$7,794 00	$3,726 00	$4,068 00		$0.00	$0 00	$0 00
03/23/15	01/14/10	150	$4,021 50	$1,863 00	$2,158 50		$0 00	$0 00	$0.00
04/15/15	01/14/10	250	$6,735 00	$3,105 00	$3,630 00		$0 00	$0 00	$0 00
EXXON MOBIL CORP		**30231G102**	**XOM**						
09/16/15	03/01/12	10	$735 69	$869 87	$-134 18		$0 00	$0 00	$0 00

Long Term Transactions

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
DESCRIPTION		**CUSIP**	**SYMBOL**						
FIDELITY ADVISOR FLOATING RATE		**315807552**	**FFRI X**						
09/16/15	09/10/13	3500	$33,320 00	$34,790 00	$-1,470 00		$0 00	$0 00	$0 00
GENUINE PARTS CO		**372460105**	**GPC**						
03/10/15	07/08/13	75	$6,948 24	$6,276 13	$672 11		$0 00	$0 00	$0 00
GILEAD SCIENCES INC		**375558103**	**GILD**						
04/15/15	07/08/13	10	$1,037 42	$535 33	$502 09		$0 00	$0 00	$0 00
HALYARD HEALTH INC		**40650V100**	**HYH**						
01/12/15	11/14/11	1 63	$78 43	$38.42	$40 01		$0 00	$0 00	$0 00
01/12/15	11/14/11	0 25	$12 07	$5 90	$6 17		$0 00	$0 00	$0 00
01/12/15	11/14/11	5 13	$247 35	$120.92	$126.43		$0 00	$0 00	$0 00
HEALTH CARE REIT INC		**42217K106**	**HCN**						
03/23/15	07/08/13	15	$1,188 18	$960 59 *	$227 59		$0 00	$0 00	$0 00
06/03/15	07/08/13	10	$693 25	$640 39 *	$52 86		$0 00	$0 00	$0 00
06/03/15	01/08/14	119	$8,249 69	$6,312 28 *	$1,937 41		$0 00	$0 00	$0 00
06/04/15	01/08/14	56	$3,878 86	$2,970 48 *	$908 38		$0 00	$0 00	$0.00
HOME DEPOT INC		**437076102**	**HD**						
09/16/15	04/11/11	15	$1,743 93	$565 61	$1,178 32		$0 00	$0 00	$0 00
11/17/15	04/11/11	2	$250 77	$75 41	$175 36		$0 00	$0 00	$0 00
11/17/15	02/17/10	8	$1,003 06	$240 14	$762 92		$0 00	$0 00	$0 00

Long Term Transactions

DESCRIPTION		CUSIP	SYMBOL						
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
INTEL CORP		**458140100**	**INTC**						
06/04/15	12/23/06	52	$1,688 49	$1,075.83	$612 66		$0 00	$0 00	$0 00
06/04/15	11/18/11	122	$3,961 46	$2,973 25	$988 21		$0 00	$0 00	$0 00
06/04/15	12/10/06	75	$2,435 33	$1,554 47	$880 86		$0 00	$0 00	$0 00
06/04/15	12/23/06	10	$324 71	$223 12	$101 59		$0 00	$0 00	$0 00
ISHARES TR MSCI EMERGING MKTS		**464287234**	**EEM**						
03/23/15	01/08/14	500	$20,090 03	$19,905.00	$185 03		$0 00	$0 00	$0 00
03/23/15	01/23/14	100	$4,018 00	$3,929 00	$89 00		$0 00	$0 00	$0 00
ISHARES TR MSCI EAFE INDEX FD		**464287465**	**EFA**						
01/12/15	01/08/14	200	$11,891 66	$13,248 00	$-1,356 34		$0 00	$0 00	$0 00
ISHARES TR S&P SMALLCAP 600 INDEX		**464287804**	**IJR**						
03/23/15	02/12/14	125	$14,989 10	$13,116 87	$1,872 23		$0 00	$0 00	$0 00
11/17/15	02/12/14	150	$16,892 76	$15,740 25	$1,152 51		$0 00	$0 00	$0 00
METLIFE INC		**59156R108**	**MET**						
01/12/15	02/04/10	43	$2,165 55	$1,489 84	$675 71		$0 00	$0 00	$0 00
PUBLIC STORAGE INC		**74460D109**	**PSA**						
03/23/15	01/08/14	10	$2,015 51	$1,501 67	$513 84		$0.00	$0 00	$0 00
SCHLUMBERGER LTD		**806857108**	**SLB**						
09/16/15	09/06/13	35	$2,611 03	$2,988.36	$-377 33		$0.00	$0 00	$0.00

Long Term Transactions

DESCRIPTION		**CUSIP**	**SYMBOL**						
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
SCHLUMBERGER LTD		**806857108**	**SLB**						
09/16/15	02/12/14	45	$3,357 05	$4,064.18	$-707.13		$0 00	$0 00	$0 00
SIMON PPTY GROUP INC NEW		**828806109**	**SPG**						
03/23/15	12/10/12	10	$1,981 41	$1,468 93	$512 48		$0 00	$0 00	$0 00
VANGUARD GLOBAL EX-U S REAL		**922042676**	**VNQI**						
11/17/15	09/10/13	250	$13,071.01	$13,837 25	$-766 24		$0 00	$0 00	$0 00
VANGUARD SHORT-TERM CORP BD ETF		**92206C409**	**VCSH**						
09/16/15	08/25/14	400	$31,706 05	$32,069.92	$-363 87		$0 00	$0 00	$0 00
VERIZON COMMUNICATIONS		**92343V104**	**VZ**						
09/16/15	03/12/13	22	$1,018.47	$1,051 37	$-32 90		$0 00	$0 00	$0 00
09/16/15	02/24/14	35	$1,620 29	$1,684 03	$-63 74		$0 00	$0 00	$0.00
VISA INC CL A		**92826C839**	**V**						
11/17/15	08/25/14	15	$1,185 22	$810.13	$375 09		$0.00	$0.00	$0 00
LYONDELLBASELL INDUSTRIES NV		**N53745100**	**LYB**						
03/10/15	01/23/14	56	$4,761 87	$4,355.96	$405 91		$0.00	$0 00	$0 00
03/10/15	06/25/13	12	$1,020 40	$798 66	$221 74		$0 00	$0 00	$0 00
03/10/15	05/07/13	32	$2,721 07	$2,007 58	$713 49		$0 00	$0 00	$0.00
Total Long Term Gain/Loss			*$352,720.66*	*$316,290 84*	*$36,429 82*		*$0 00*	*$0 00*	*$0 00*