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Form **990-PF**

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2015

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

Do not enter social security numbers on this form as it may be made public.
Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

For calendar year 2015 or tax year beginning , and ending

| | | | | |
|--|-------------------------------|--|--|--|
| Name of foundation THE DOMINUS NIHIL FOUNDATION | | | A Employer identification number 20-8104194 | |
| Number and street (or P O box number if mail is not delivered to street address) P O BOX 1501, NJ2-130-03-31 | | Room/suite | B Telephone number (see instructions) 609-274-6834 | |
| City or town PENNINGTON | State NJ | ZIP code 08534-1501 | C If exemption application is pending, check here <input type="checkbox"/> | |
| Foreign country name | Foreign province/state/county | Foreign postal code | D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> | |
| G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change | | | E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> | |
| H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation | | | F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/> | |
| I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 4,670,881 | | J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ | | |

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

| | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|------------------------------------|---------------------------|-------------------------|---|
| 1 Contributions, gifts, grants, etc., received (attach schedule) | 1,759,200 | | | |
| 2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B | | | | |
| 3 Interest on savings and temporary cash investments | | | | |
| 4 Dividends and interest from securities | 84,060 | 80,708 | | |
| 5a Gross rents | | | | |
| b Net rental income or (loss) | | | | |
| 6a Net gain or (loss) from sale of assets not on line 10 | 4,268 | | | |
| b Gross sales price for all assets on line 6a 5,049,698 | | | | |
| 7 Capital gain net income (from Part IV, line 2) | | 1,749,990 | | |
| 8 Net short-term capital gain | | | | |
| 9 Income modifications | | | | |
| 10a Gross sales less returns and allowances | | | | |
| b Less: Cost of goods sold | | | | |
| c Gross profit or (loss) (attach schedule) | | | | |
| 11 Other income (attach schedule) | | | | |
| 12 Total. Add lines 1 through 11 | 1,847,528 | 1,830,698 | 0 | |
| 13 Compensation of officers, directors, trustees, etc | | | | |
| 14 Other employee salaries and wages | | | | |
| 15 Pension plans, employee benefits | | | | |
| 16a Legal fees (attach schedule) | | | | |
| b Accounting fees (attach schedule) | 2,500 | | | 2,500 |
| c Other professional fees (attach schedule) | 30,137 | 18,082 | | 12,055 |
| 17 Interest | | | | |
| 18 Taxes (attach schedule) (see instructions) | 16,744 | 1,276 | | |
| 19 Depreciation (attach schedule) and depletion | | | | |
| 20 Occupancy | | | | |
| 21 Travel, conferences, and meetings | | | | |
| 22 Printing and publications | | | | |
| 23 Other expenses (attach schedule) | 160 | | | 160 |
| 24 Total operating and administrative expenses. Add lines 13 through 23 | 49,541 | 19,358 | 0 | 14,715 |
| 25 Contributions, gifts, grants paid | | | | |
| 26 Total expenses and disbursements. Add lines 24 and 25. | 49,541 | 19,358 | 0 | 14,715 |
| 27 Subtract line 26 from line 12: | | | | |
| a Excess of revenue over expenses and disbursements | 1,797,987 | | | |
| b Net investment income (if negative, enter -0-) | | 1,811,340 | | |
| c Adjusted net income (if negative, enter -0-) | | | 0 | |

For Paperwork Reduction Act Notice, see instructions.

Form **990-PF** (2015)

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| Part II | Balance Sheets | Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions) | Beginning of year | | End of year | |
|-----------------------------|---|---|-------------------|----------------|-----------------------|--|
| | | | (a) Book Value | (b) Book Value | (c) Fair Market Value | |
| Assets | 1 | Cash—non-interest-bearing | 1,465 | 427 | 427 | |
| | 2 | Savings and temporary cash investments | 100,165 | 127,748 | 127,748 | |
| | 3 | Accounts receivable ▶ | | | | |
| | | Less: allowance for doubtful accounts ▶ | | | | |
| | 4 | Pledges receivable ▶ | | | | |
| | | Less: allowance for doubtful accounts ▶ | | | | |
| | 5 | Grants receivable | | | | |
| | 6 | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) | | | | |
| | 7 | Other notes and loans receivable (attach schedule) ▶ | | | | |
| | | Less: allowance for doubtful accounts ▶ | | | | |
| | 8 | Inventories for sale or use | | | | |
| | 9 | Prepaid expenses and deferred charges | | | | |
| | 10a | Investments—U S. and state government obligations (attach schedule) | | | | |
| | b | Investments—corporate stock (attach schedule) | | 3,200 | 1,731,200 | |
| | c | Investments—corporate bonds (attach schedule) | | | | |
| | 11 | Investments—land, buildings, and equipment basis ▶ | | | | |
| | Less: accumulated depreciation (attach schedule) ▶ | | | | | |
| 12 | Investments—mortgage loans | | | | | |
| 13 | Investments—other (attach schedule) | 2,832,353 | 2,851,185 | 2,811,506 | | |
| 14 | Land, buildings, and equipment, basis ▶ | | | | | |
| | Less: accumulated depreciation (attach schedule) ▶ | | | | | |
| 15 | Other assets (describe ▶) | | | | | |
| 16 | Total assets (to be completed by all filers—see the instructions Also, see page 1, item I) | 2,933,983 | 2,982,560 | 4,670,881 | | |
| Liabilities | 17 | Accounts payable and accrued expenses | | | | |
| | 18 | Grants payable | | | | |
| | 19 | Deferred revenue | | | | |
| | 20 | Loans from officers, directors, trustees, and other disqualified persons | | | | |
| | 21 | Mortgages and other notes payable (attach schedule) | | | | |
| | 22 | Other liabilities (describe ▶) | | | | |
| | 23 | Total liabilities (add lines 17 through 22) | 0 | 0 | | |
| Net Assets or Fund Balances | Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. <input type="checkbox"/> | | | | | |
| | 24 | Unrestricted | | | | |
| | 25 | Temporarily restricted | | | | |
| | 26 | Permanently restricted | | | | |
| | Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input checked="" type="checkbox"/> | | | | | |
| | 27 | Capital stock, trust principal, or current funds | 2,933,983 | 2,982,560 | | |
| | 28 | Paid-in or capital surplus, or land, bldg, and equipment fund | | | | |
| 29 | Retained earnings, accumulated income, endowment, or other funds | | | | | |
| 30 | Total net assets or fund balances (see instructions) | 2,933,983 | 2,982,560 | | | |
| 31 | Total liabilities and net assets/fund balances (see instructions) | 2,933,983 | 2,982,560 | | | |

Part III Analysis of Changes in Net Assets or Fund Balances

| | | | |
|---|--|---|-----------|
| 1 | Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 2,933,983 |
| 2 | Enter amount from Part I, line 27a | 2 | 1,797,987 |
| 3 | Other increases not included in line 2 (itemize) ▶ MUTUAL FUND AND CTF INCOME ADDITION | 3 | 346 |
| 4 | Add lines 1, 2, and 3 | 4 | 4,732,316 |
| 5 | Decreases not included in line 2 (itemize) ▶ See Attached Statement | 5 | 1,749,756 |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 | 6 | 2,982,560 |

Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co) | | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|--|--|---|--|---|
| 1a See Attached Statement | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |
| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) | |
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |
| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | | (i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h)) |
| (i) FMV as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | | |
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |
| 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 } | | 2 | 1,749,990 | |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 } | | 3 | 0 | |

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col. (b) divided by col. (c)) |
|--|--|--|---|
| 2014 | 299,460 | 2,328,397 | 0.128612 |
| 2013 | 91,610 | 2,065,900 | 0.044344 |
| 2012 | 52,989 | 1,083,952 | 0.048885 |
| 2011 | 82,208 | 1,084,330 | 0.075815 |
| 2010 | 67,717 | 1,075,028 | 0.062991 |
| 2 Total of line 1, column (d) | | | 0.360647 |
| 3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | | | 0.072129 |
| 4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5 | | | 3,060,646 |
| 5 Multiply line 4 by line 3 | | | 220,761 |
| 6 Enter 1% of net investment income (1% of Part I, line 27b) | | | 18,113 |
| 7 Add lines 5 and 6 | | | 238,874 |
| 8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions | | | 14,715 |

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

| | | | | |
|-----------|---|-----------|--------|--|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions) | | | |
| b | Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b | 1 | 36,227 | |
| c | All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col. (b) | | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | 2 | 0 | |
| 3 | Add lines 1 and 2 | 3 | 36,227 | |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | 4 | | |
| 5 | Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0- | 5 | 36,227 | |
| 6 | Credits/Payments: | | | |
| a | 2015 estimated tax payments and 2014 overpayment credited to 2015 | 6a | 1,575 | |
| b | Exempt foreign organizations—tax withheld at source | 6b | | |
| c | Tax paid with application for extension of time to file (Form 8868) | 6c | | |
| d | Backup withholding erroneously withheld | 6d | | |
| 7 | Total credits and payments. Add lines 6a through 6d | 7 | 1,575 | |
| 8 | Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached | 8 | | |
| 9 | Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed | 9 | 34,652 | |
| 10 | Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid | 10 | 0 | |
| 11 | Enter the amount of line 10 to be Credited to 2016 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/> | 11 | 0 | |

Part VII-A Statements Regarding Activities

| | Yes | No |
|--|-----|-----|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | | X |
| b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i> | | X |
| c Did the foundation file Form 1120-POL for this year? | | X |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ \$ _____ (2) On foundation managers ▶ \$ _____ | | |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ _____ | | |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i> | | X |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i> | | X |
| 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? | | X |
| b If "Yes," has it filed a tax return on Form 990-T for this year? | | N/A |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i> | | X |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | X | |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV</i> | X | |
| 8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CA | | |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation</i> | X | |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i> | | X |
| 10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i> | X | |

Part VII-A Statements Regarding Activities (continued)

| | | | | |
|----|---|----|-----|---------|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) | 11 | | X |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) | 12 | | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A | 13 | X | |
| 14 | The books are in care of ▶ UST-MLT, A DIVISION OF BANK OF AMERICA, N Telephone no ▶ 609-274-6834 Located at ▶ 1300 MERRILL LYNCH DRIVE PENNINGTON NJ ZIP+4 ▶ 08534-1501 | | | |
| 15 | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 | | | |
| 16 | At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶ | 16 | Yes | No X |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

| | Yes | No |
|--|-----|-----|
| 1a During the year did the foundation (either directly or indirectly): | | |
| (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ▶ <input type="checkbox"/> | 1b | N/A |
| c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015? | 1c | X |
| 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)) | | |
| a At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____ | | |
| b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions) | 2b | N/A |
| c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____ | | |
| 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| b If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015) | 3b | N/A |
| 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | X |
| b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015? | 4b | X |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Yes No
 Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No
 If "Yes," attach the statement required by Regulations section 53.4945–5(d). N/A

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No
 If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---------------------------------------|
| JENNIFER A ECKSTINE 1207 CRESTVIEW DRIVE CARDIFF, CA 92007 | PRESIDENT 1 00 | 0 | | |
| DARLINE LILO 11343 AVENUDA DEL GATO SAN DIEGO, CA | SECRETARY/CFO 1 00 | 0 | | |
| | | | | |
| | | | | |

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|------------------|---|---------------------------------------|
| NONE | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

Total number of other employees paid over \$50,000 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
|---|---------------------|------------------|
| NONE | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| Total number of others receiving over \$50,000 for professional services | | |

Part IX-A Summary of Direct Charitable Activities

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | Expenses |
|--|----------|
| 1 NONE | |
| 2 | |
| 3 | |
| 4 | |

Part IX-B Summary of Program-Related Investments (see instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|--|--------|
| 1 NONE | |
| 2 | |
| All other program-related investments. See instructions | |
| 3 NONE | |
| Total. Add lines 1 through 3 | 0 |

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | |
|----------|---|-----------|-----------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes | | |
| a | Average monthly fair market value of securities | 1a | 2,987,183 |
| b | Average of monthly cash balances | 1b | 120,072 |
| c | Fair market value of all other assets (see instructions) | 1c | |
| d | Total (add lines 1a, b, and c) | 1d | 3,107,255 |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) | 1e | |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | |
| 3 | Subtract line 2 from line 1d | 3 | 3,107,255 |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions) | 4 | 46,609 |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5 | 3,060,646 |
| 6 | Minimum investment return. Enter 5% of line 5 | 6 | 153,032 |

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part)

| | | | | |
|-----------|---|-----------|----------|---------|
| 1 | Minimum investment return from Part X, line 6 | | 1 | 153,032 |
| 2a | Tax on investment income for 2015 from Part VI, line 5 | 2a | 36,227 | |
| b | Income tax for 2015 (This does not include the tax from Part VI.) | 2b | | |
| c | Add lines 2a and 2b | 2c | 36,227 | |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1 | 3 | 116,805 | |
| 4 | Recoveries of amounts treated as qualifying distributions | 4 | | |
| 5 | Add lines 3 and 4 | 5 | 116,805 | |
| 6 | Deduction from distributable amount (see instructions) | 6 | | |
| 7 | Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | 7 | 116,805 | |

Part XII Qualifying Distributions (see instructions)

| | | | |
|----------|--|-----------|--------|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes | | |
| a | Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26 | 1a | 14,715 |
| b | Program-related investments—total from Part IX-B | 1b | |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the | | |
| a | Suitability test (prior IRS approval required) | 3a | |
| b | Cash distribution test (attach the required schedule) | 3b | |
| 4 | Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 14,715 |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions) | 5 | |
| 6 | Adjusted qualifying distributions. Subtract line 5 from line 4 | 6 | 14,715 |

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

| | (a) Corpus | (b) Years prior to 2014 | (c) 2014 | (d) 2015 |
|---|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2015 from Part XI, line 7 | | | | 116,805 |
| 2 Undistributed income, if any, as of the end of 2015 | | | | |
| a Enter amount for 2014 only | | | 0 | |
| b Total for prior years 20____, 20____, 20____ | | | | |
| 3 Excess distributions carryover, if any, to 2015 | | | | |
| a From 2010 | 15,580 | | | |
| b From 2011 | 29,289 | | | |
| c From 2012 | 102 | | | |
| d From 2013 | | | | |
| e From 2014 | 208,198 | | | |
| f Total of lines 3a through e | 253,169 | | | |
| 4 Qualifying distributions for 2015 from Part XII, line 4 ▶ \$ 14,715 | | | | |
| a Applied to 2014, but not more than line 2a | | | | |
| b Applied to undistributed income of prior years (Election required—see instructions) | | | | |
| c Treated as distributions out of corpus (Election required—see instructions) | | | | |
| d Applied to 2015 distributable amount | | | | 14,715 |
| e Remaining amount distributed out of corpus | | | | |
| 5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a)) | 102,090 | | | 102,090 |
| 6 Enter the net total of each column as indicated below: | | | | |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 | 151,079 | | | |
| b Prior years' undistributed income Subtract line 4b from line 2b | | | 0 | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | | | |
| d Subtract line 6c from line 6b Taxable amount—see instructions | | | | |
| e Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount—see instructions | | | 0 | |
| f Undistributed income for 2015 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016 | | | | 0 |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions) | | | | |
| 8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions) | | | | |
| 9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a | 151,079 | | | |
| 10 Analysis of line 9 | | | | |
| a Excess from 2011 | | | | |
| b Excess from 2012 | | | | |
| c Excess from 2013 | | | | |
| d Excess from 2014 | 151,079 | | | |
| e Excess from 2015 | | | | |

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

- 1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling ▶
- b** Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

| | Tax year | | | | (e) Total |
|--|----------|----------|----------|----------|-----------|
| | (a) 2015 | (b) 2014 | (c) 2013 | (d) 2012 | |
| 2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | | 0 |
| b 85% of line 2a | | | | | 0 |
| c Qualifying distributions from Part XII, line 4 for each year listed | | | | | 0 |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | 0 |
| e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c | | | | | 0 |
| 3 Complete 3a, b, or c for the alternative test relied upon: | | | | | |
| a "Assets" alternative test—enter: | | | | | |
| (1) Value of all assets | | | | | 0 |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | 0 |
| b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | | 0 |
| c "Support" alternative test—enter: | | | | | |
| (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | 0 |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) | | | | | 0 |
| (3) Largest amount of support from an exempt organization | | | | | 0 |
| (4) Gross investment income | | | | | 0 |

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

- 1 Information Regarding Foundation Managers:**
- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

JENNIFER A ECKSTINE

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

- 2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**
- Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

- a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

- b** The form in which applications should be submitted and information and materials they should include

- c** Any submission deadlines

- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|--|--------------------------------------|-------------------------------------|-------------|
| <p>a <i>Paid during the year</i> NONE</p> | | | | |
| Total | | | | 3a 0 |
| <p>b <i>Approved for future payment</i> NONE</p> | | | | |
| Total | | | | 3b 0 |

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2015

| | |
|---|---|
| Name of the organization THE DOMINUS NIHIL FOUNDATION | Employer identification number 20-8104194 |
|---|---|

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

501(c)() (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions

General Rule

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

| | |
|---|---|
| Name of organization THE DOMINUS NIHIL FOUNDATION | Employer identification number 20-8104194 |
|---|---|

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed

| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
|------------|---|----------------------------|---|
| 1 | JENNIFER A ECKSTINE REV TRUST 1207 CRESTVIEW DRIVE CARDIFF CA 92007 Foreign State or Province _____ Foreign Country _____ | \$ 1,759,200 | Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions) |
| ----- | _____ _____ Foreign State or Province _____ Foreign Country _____ | \$ _____ | Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions) |
| ----- | _____ _____ Foreign State or Province _____ Foreign Country _____ | \$ _____ | Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions) |
| ----- | _____ _____ Foreign State or Province _____ Foreign Country _____ | \$ _____ | Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions) |
| ----- | _____ _____ Foreign State or Province _____ Foreign Country _____ | \$ _____ | Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions) |
| ----- | _____ _____ Foreign State or Province _____ Foreign Country _____ | \$ _____ | Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions) |
| ----- | _____ _____ Foreign State or Province _____ Foreign Country _____ | \$ _____ | Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions) |

| | |
|---|--|
| Name of organization THE DOMINUS NIHIL FOUNDATION | Employer identification number 20-8104194 |
|---|--|

Part II Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed.

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---------------------------|---|--|----------------------|
| 1 | 20,000 SHARES ----- SERVICENOW INC ----- CUSIP 81762P102 ----- | \$ 1,759,200 | 12/29/2015 |
| ----- | ----- ----- ----- | \$ ----- | ----- |
| ----- | ----- ----- ----- | \$ ----- | ----- |
| ----- | ----- ----- ----- | \$ ----- | ----- |
| ----- | ----- ----- ----- | \$ ----- | ----- |
| ----- | ----- ----- ----- | \$ ----- | ----- |
| ----- | ----- ----- ----- | \$ ----- | ----- |

| | |
|---|---|
| Name of organization THE DOMINUS NIHIL FOUNDATION | Employer identification number 20-8104194 |
|---|---|

Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this information once. See instructions) ▶ \$ 0
 Use duplicate copies of Part III if additional space is needed.

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---------------------|-------------------------|-------------------------|-------------------------------------|
| ----- | | | |

| (e) Transfer of gift | |
|--|--|
| Transferee's name, address, and ZIP + 4 | Relationship of transferor to transferee |
| For Prov Country | |

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---------------------|-------------------------|-------------------------|-------------------------------------|
| ----- | | | |

| (e) Transfer of gift | |
|--|--|
| Transferee's name, address, and ZIP + 4 | Relationship of transferor to transferee |
| For Prov Country | |

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---------------------|-------------------------|-------------------------|-------------------------------------|
| ----- | | | |

| (e) Transfer of gift | |
|--|--|
| Transferee's name, address, and ZIP + 4 | Relationship of transferor to transferee |
| For Prov Country | |

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---------------------|-------------------------|-------------------------|-------------------------------------|
| ----- | | | |

| (e) Transfer of gift | |
|--|--|
| Transferee's name, address, and ZIP + 4 | Relationship of transferor to transferee |
| For Prov Country | |

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

| Description | CUSIP # | Check "X" to include in Part IV | Purchaser | Check "X" if Purchaser is a Business | Acquisition Method | Date Acquired | Date Sold | Totals | | Valuation Method | Expense of Sale and Cost of Improvements | Depreciation | Adjustments | Net Gain or Loss |
|-------------|-------------------------|---------------------------------|-----------|--------------------------------------|--------------------|---------------|------------|-----------------------------|---|------------------|--|--------------|-------------|------------------|
| | | | | | | | | Capital Gains/Losses | Other sales | | | | | |
| | | | | | | | | Gross Sales | Cost or Other Basis, Expenses, Depreciation and Adjustments | | | | | |
| | | | | | | | | 16,082 | 0 | | | | | |
| | | | | | | | | Long Term CG Distributions | | | | | | |
| | | | | | | | | Short Term CG Distributions | | | | | | |
| 1 | DOUBLELINE TOTAL RETURN | 258620103 | X | | | 6/5/2014 | 12/14/2015 | 5,144 | 5,220 | | | | | -76 |
| 2 | DOUBLELINE TOTAL RETURN | 258620103 | X | | | 5/30/2014 | 12/14/2015 | 11 | 11 | | | | | 0 |
| 3 | DOUBLELINE TOTAL RETURN | 258620103 | X | | | 4/30/2014 | 12/14/2015 | 11 | 11 | | | | | 0 |
| 4 | DOUBLELINE TOTAL RETURN | 258620103 | X | | | 9/30/2015 | 12/14/2015 | 186 | 186 | | | | | -2 |
| 5 | DOUBLELINE TOTAL RETURN | 258620103 | X | | | 6/20/2014 | 12/14/2015 | 130 | 132 | | | | | -2 |
| 6 | DOUBLELINE TOTAL RETURN | 258620103 | X | | | 3/31/2015 | 12/14/2015 | 184 | 188 | | | | | 0 |
| 7 | DOUBLELINE TOTAL RETURN | 258620103 | X | | | 12/31/2014 | 12/14/2015 | 11 | 11 | | | | | 0 |
| 8 | DOUBLELINE TOTAL RETURN | 258620103 | X | | | 12/31/2014 | 12/14/2015 | 162 | 165 | | | | | -3 |
| 9 | DOUBLELINE CORE FIXED | 258620301 | X | | | 11/30/2015 | 12/14/2015 | 97 | 97 | | | | | 0 |
| 10 | DOUBLELINE CORE FIXED | 258620301 | X | | | 11/30/2015 | 12/14/2015 | 11 | 11 | | | | | 0 |
| 11 | DOUBLELINE CORE FIXED | 258620301 | X | | | 11/30/2015 | 12/14/2015 | 4 | 4 | | | | | 0 |
| 12 | DOUBLELINE CORE FIXED | 258620301 | X | | | 10/30/2015 | 12/14/2015 | 95 | 98 | | | | | -3 |
| 13 | DOUBLELINE CORE FIXED | 258620301 | X | | | 10/28/2015 | 12/14/2015 | 38,303 | 38,978 | | | | | -675 |
| 14 | EATON VANCE RCHRD BRST | 277902573 | X | | | 12/21/2012 | 12/20/15 | 202 | 156 | | | | | 46 |
| 15 | EATON VANCE RCHRD BRST | 277902573 | X | | | 1/14/2013 | 12/20/15 | 10,397 | 8,205 | | | | | 2,192 |
| 16 | EATON VANCE RCHRD BRST | 277902573 | X | | | 12/24/2014 | 12/20/15 | 13 | 13 | | | | | 0 |
| 17 | EATON VANCE RCHRD BRST | 277902573 | X | | | 12/24/2014 | 12/20/15 | 187 | 190 | | | | | -3 |
| 18 | EATON VANCE RCHRD BRST | 277902573 | X | | | 12/24/2014 | 12/20/15 | 346 | 351 | | | | | -5 |
| 19 | EATON VANCE RCHRD BRST | 277902573 | X | | | 12/21/2012 | 12/20/15 | 14 | 14 | | | | | 0 |
| 20 | EATON VANCE RCHRD BRST | 277902573 | X | | | 2/10/2012 | 12/20/15 | 144 | 98 | | | | | 46 |
| 21 | EATON VANCE RCHRD BRST | 277902573 | X | | | 4/27/2011 | 12/20/15 | 11,233 | 9,005 | | | | | 2,228 |
| 22 | EATON VANCE RCHRD BRST | 277902573 | X | | | 12/23/2014 | 12/20/15 | 21,111 | 20,979 | | | | | 132 |
| 23 | FPA CRESCENT FUND | 302541759 | X | | | 12/23/2014 | 12/20/15 | 640 | 644 | | | | | -4 |
| 24 | FPA CRESCENT FUND | 302541759 | X | | | 12/23/2014 | 12/20/15 | 52 | 68 | | | | | -16 |
| 25 | PRUDENTIAL SHORT TERM | 74441R508 | X | | | 2/28/2013 | 12/20/15 | 22 | 23 | | | | | -1 |
| 26 | PRUDENTIAL SHORT TERM | 74441R508 | X | | | 2/28/2013 | 12/20/15 | 11 | 12 | | | | | -1 |
| 27 | PRUDENTIAL SHORT TERM | 74441R508 | X | | | 1/31/2013 | 12/20/15 | 22 | 23 | | | | | -1 |
| 28 | PRUDENTIAL SHORT TERM | 74441R508 | X | | | 1/14/2013 | 12/20/15 | 5,203 | 5,365 | | | | | -162 |
| 29 | PRUDENTIAL SHORT TERM | 74441R508 | X | | | 12/31/2012 | 12/20/15 | 11 | 12 | | | | | -1 |
| 30 | PRUDENTIAL SHORT TERM | 74441R508 | X | | | 12/31/2012 | 12/20/15 | 11 | 12 | | | | | -1 |
| 31 | PRUDENTIAL SHORT TERM | 74441R508 | X | | | 11/30/2012 | 12/20/15 | 11 | 12 | | | | | -1 |
| 32 | PRUDENTIAL SHORT TERM | 74441R508 | X | | | 11/2/2012 | 12/20/15 | 11 | 12 | | | | | -1 |
| 33 | PRUDENTIAL SHORT TERM | 74441R508 | X | | | 11/2/2012 | 12/20/15 | 11 | 12 | | | | | -1 |
| 34 | PRUDENTIAL SHORT TERM | 74441R508 | X | | | 9/28/2012 | 12/20/15 | 11 | 12 | | | | | -1 |
| 35 | PRUDENTIAL SHORT TERM | 74441R508 | X | | | 8/31/2012 | 12/20/15 | 12 | 12 | | | | | -1 |
| 36 | PRUDENTIAL SHORT TERM | 74441R508 | X | | | 8/31/2012 | 12/20/15 | 11 | 12 | | | | | -1 |
| 37 | PRUDENTIAL SHORT TERM | 74441R508 | X | | | 7/31/2012 | 12/20/15 | 11 | 12 | | | | | -1 |
| 38 | PRUDENTIAL SHORT TERM | 74441R508 | X | | | 3/30/2012 | 12/20/15 | 11 | 12 | | | | | -1 |
| 39 | PRUDENTIAL SHORT TERM | 74441R508 | X | | | 3/27/2012 | 12/20/15 | 11 | 12 | | | | | -1 |
| 40 | PRUDENTIAL SHORT TERM | 74441R508 | X | | | 3/27/2012 | 12/20/15 | 22 | 23 | | | | | -1 |
| 41 | PRUDENTIAL SHORT TERM | 74441R508 | X | | | 3/19/2012 | 12/20/15 | 5,709 | 5,837 | | | | | -128 |
| 42 | PRUDENTIAL SHORT TERM | 74441R508 | X | | | 3/19/2012 | 12/20/15 | 11 | 11 | | | | | 0 |
| 43 | PRUDENTIAL SHORT TERM | 74441R508 | X | | | 3/19/2012 | 12/20/15 | 79 | 80 | | | | | -1 |
| 44 | PRUDENTIAL SHORT TERM | 74441R508 | X | | | 3/19/2012 | 12/20/15 | 11 | 11 | | | | | 0 |
| 45 | PRUDENTIAL SHORT TERM | 74441R508 | X | | | 2/27/2012 | 12/20/15 | 4 | 4 | | | | | 0 |
| 46 | PRUDENTIAL SHORT TERM | 74441R508 | X | | | 7/31/2014 | 3/10/2015 | 11 | 11 | | | | | 0 |
| 47 | PRUDENTIAL SHORT TERM | 74441R508 | X | | | 4/16/2012 | 3/10/2015 | 11 | 12 | | | | | -1 |
| 48 | PRUDENTIAL SHORT TERM | 74441R508 | X | | | 3/19/2012 | 3/10/2015 | 11 | 11 | | | | | 0 |
| 49 | PRUDENTIAL SHORT TERM | 74441R508 | X | | | 3/31/2015 | 4/17/2015 | 0 | 0 | | | | | 0 |
| 50 | PUTNAM CAPITAL SPECTRU | 74676P151 | X | | | 12/9/2014 | 12/20/15 | 273 | 273 | | | | | -2 |
| 51 | PUTNAM CAPITAL SPECTRU | 74676P151 | X | | | 12/9/2014 | 12/20/15 | 233 | 234 | | | | | -1 |
| 52 | PUTNAM CAPITAL SPECTRU | 74676P151 | X | | | 6/5/2014 | 12/20/15 | 13,918 | 13,470 | | | | | 448 |
| 53 | PUTNAM CAPITAL SPECTRU | 74676P151 | X | | | 12/9/2014 | 12/20/15 | 39 | 38 | | | | | 1 |
| 54 | PRUDENTIAL SHORT TERM | 74441R508 | X | | | 7/31/2013 | 12/20/15 | 22 | 23 | | | | | -1 |
| 55 | BLACKROCK FLOATING RATI | 09256H187 | X | | | 7/15/2013 | 10/28/2015 | 8,214 | 8,497 | | | | | -283 |
| 56 | BLACKROCK FLOATING RATI | 09256H187 | X | | | 2/20/2014 | 10/28/2015 | 10 | 11 | | | | | -1 |
| 57 | DOUBLELINE TOTAL RETURN | 258620103 | X | | | 11/30/2015 | 12/14/2015 | 3 | 3 | | | | | 0 |
| 58 | DOUBLELINE TOTAL RETURN | 258620103 | X | | | 2/27/2015 | 12/14/2015 | 173 | 177 | | | | | -4 |
| 59 | DOUBLELINE TOTAL RETURN | 258620103 | X | | | 1/30/2015 | 12/14/2015 | 314 | 319 | | | | | -5 |
| 60 | DOUBLELINE TOTAL RETURN | 258620103 | X | | | 3/10/2015 | 12/14/2015 | 12,574 | 12,771 | | | | | -197 |
| 61 | DOUBLELINE TOTAL RETURN | 258620103 | X | | | 12/20/15 | 12/14/2015 | 151 | 154 | | | | | -3 |
| 62 | DOUBLELINE TOTAL RETURN | 258620103 | X | | | 10/31/2014 | 12/14/2015 | 11 | 11 | | | | | 0 |
| 63 | DOUBLELINE TOTAL RETURN | 258620103 | X | | | 2/28/2014 | 12/14/2015 | 11 | 11 | | | | | 0 |
| 64 | DOUBLELINE TOTAL RETURN | 258620103 | X | | | 7/21/2014 | 12/14/2015 | 22 | 22 | | | | | 0 |
| 65 | DOUBLELINE TOTAL RETURN | 258620103 | X | | | 11/26/2014 | 12/14/2015 | 141 | 143 | | | | | -2 |
| 66 | DOUBLELINE TOTAL RETURN | 258620103 | X | | | 10/31/2014 | 12/14/2015 | 141 | 143 | | | | | -2 |
| 67 | DOUBLELINE TOTAL RETURN | 258620103 | X | | | 11/30/2015 | 12/14/2015 | 292 | 293 | | | | | -1 |
| 68 | DOUBLELINE TOTAL RETURN | 258620103 | X | | | 7/31/2014 | 12/14/2015 | 11 | 11 | | | | | 0 |
| 69 | DOUBLELINE TOTAL RETURN | 258620103 | X | | | 3/31/2014 | 12/14/2015 | 11 | 11 | | | | | 0 |
| 70 | DOUBLELINE TOTAL RETURN | 258620103 | X | | | 12/16/2014 | 12/14/2015 | 35,783 | 36,213 | | | | | -430 |
| 71 | DOUBLELINE TOTAL RETURN | 258620103 | X | | | 12/16/2014 | 12/14/2015 | 24 | 24 | | | | | 0 |
| 72 | VANGUARD FTSE ALL WORL | 922042775 | X | | | 12/30/2014 | 1/28/2015 | | | | | | | 0 |

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

| Description | CUSIP # | Check "X" to include in Part IV | Purchaser | Check "X" if Purchaser is a Business | Acquisition Method | Date Acquired | Date Sold | Totals | | Gross Sales | Valuation Method | Expense of Sale and Cost of Improvements | Depreciation | Adjustments | Net Gain or Loss |
|------------------------------|-----------|---------------------------------|-----------|--------------------------------------|--------------------|---------------|------------|---|-------------|-------------|------------------|--|--------------|-------------|------------------|
| | | | | | | | | Capital Gains/Losses | Other sales | | | | | | |
| | | | | | | | | Amount | 16,082 | 0 | | | | | |
| | | | | | | | | Long Term CG Distributions | 16,082 | 0 | | | | | |
| | | | | | | | | Short Term CG Distributions | 0 | 0 | | | | | |
| | | | | | | | | Gross Sales | 5,049,938 | 0 | | | | | |
| | | | | | | | | Cost or Other Basis, Expenses, Depreciation and Adjustments | 3,299,708 | 0 | | | | | |
| | | | | | | | | Net Gain or Loss | 1,749,990 | 0 | | | | | |
| 73 VANGUARD FTSE EMERGING | 922042858 | X | | | | 6/24/2013 | 1/12/2015 | 26,923 | 25,330 | | | | | | 1,593 |
| 74 VANGUARD FTSE EMERGING | 922042858 | X | | | | 1/12/2015 | 1/12/2015 | 39 | 0 | | | | | | 39 |
| 76 VANGUARD FTSE EMERGING | 922042858 | X | | | | 12/30/2014 | 1/12/2015 | 39 | 40 | | | | | | -1 |
| 77 VANGUARD FTSE EMERGING | 922042858 | X | | | | 10/1/2014 | 1/12/2015 | 156 | 160 | | | | | | -4 |
| 78 VANGUARD FTSE EMERGING | 922042858 | X | | | | 10/1/2014 | 1/12/2015 | 390 | 415 | | | | | | -25 |
| 79 VANGUARD FTSE EMERGING | 922042858 | X | | | | 6/5/2014 | 1/12/2015 | 7,180 | 7,918 | | | | | | -738 |
| 80 FPA CRESCENT FUND | 302541759 | X | | | | 1/21/2014 | 1/12/2015 | 39 | 39 | | | | | | 0 |
| 81 FPA CRESCENT FUND | 302541759 | X | | | | 7/2/2014 | 1/12/2015 | 34 | 34 | | | | | | 0 |
| 82 FPA CRESCENT FUND | 302541759 | X | | | | 1/14/2013 | 1/12/2015 | 21,663 | 18,488 | | | | | | 3,165 |
| 83 FPA CRESCENT FUND | 302541759 | X | | | | 12/23/2014 | 1/12/2015 | 16 | 21 | | | | | | -5 |
| 84 FIDELITY ADV EMERGING | 315920702 | X | | | | 6/5/2014 | 1/12/2015 | 12,900 | 13,960 | | | | | | -1,060 |
| 85 FIDELITY ADV EMERGING | 315920702 | X | | | | 12/29/2014 | 1/12/2015 | 2 | 2 | | | | | | 0 |
| 86 FIDELITY ADV EMERGING | 315920702 | X | | | | 12/29/2014 | 1/12/2015 | 105 | 106 | | | | | | -1 |
| 87 FIDELITY ADV EMERGING | 315920702 | X | | | | 12/29/2014 | 1/12/2015 | 13 | 14 | | | | | | -1 |
| 88 FIDELITY ADV EMERGING | 315920702 | X | | | | 12/29/2014 | 1/12/2015 | 13 | 13 | | | | | | 0 |
| 89 GOTHAM ABSOLUTE RETURN | 360873301 | X | | | | 1/21/2014 | 1/12/2015 | 15,310 | 14,329 | | | | | | 981 |
| 90 GOTHAM ABSOLUTE RETURN | 360873301 | X | | | | 12/19/2014 | 1/12/2015 | 2 | 2 | | | | | | 0 |
| 91 GOTHAM ABSOLUTE RETURN | 360873301 | X | | | | 12/19/2014 | 1/12/2015 | 42 | 42 | | | | | | 0 |
| 92 GOTHAM ABSOLUTE RETURN | 360873301 | X | | | | 12/19/2014 | 1/12/2015 | 14 | 13 | | | | | | 1 |
| 93 GOTHAM ABSOLUTE RETURN | 360873301 | X | | | | 12/19/2014 | 1/12/2015 | 222 | 221 | | | | | | 1 |
| 94 LATEEF FUND CL I | 360873301 | X | | | | 11/22/2011 | 1/12/2015 | 14 | 10 | | | | | | 4 |
| 95 LATEEF FUND CL I | 360873301 | X | | | | 1/14/2013 | 1/12/2015 | 26,085 | 22,558 | | | | | | 3,527 |
| 96 LATEEF FUND CL I | 360873301 | X | | | | 12/10/2014 | 1/12/2015 | 144 | 156 | | | | | | -12 |
| 97 LATEEF FUND CL I | 360873301 | X | | | | 6/5/2014 | 1/12/2015 | 57 | 60 | | | | | | -3 |
| 98 LATEEF FUND CL I | 360873301 | X | | | | 6/5/2014 | 1/12/2015 | 14 | 12 | | | | | | 2 |
| 99 LATEEF FUND CL I | 360873301 | X | | | | 12/10/2014 | 1/12/2015 | 3,156 | 3,160 | | | | | | -4 |
| 100 LATEEF FUND CL I | 360873301 | X | | | | 12/10/2014 | 1/12/2015 | 11 | 12 | | | | | | -1 |
| 101 LATEEF FUND CL I | 360873301 | X | | | | 12/10/2014 | 1/12/2015 | 156 | 156 | | | | | | 0 |
| 102 LATEEF FUND CL I | 360873301 | X | | | | 11/22/2011 | 1/12/2015 | 10,219 | 7,104 | | | | | | 3,115 |
| 103 LATEEF FUND CL I | 360873301 | X | | | | 6/1/2011 | 1/12/2015 | 5,945 | 4,397 | | | | | | 1,548 |
| 104 GOLDMAN SACHS STRATEG | 38145C646 | X | | | | 12/31/2014 | 1/12/2015 | 1 | 1 | | | | | | 0 |
| 105 GOLDMAN SACHS STRATEG | 38145C646 | X | | | | 12/31/2014 | 1/12/2015 | 41 | 41 | | | | | | 0 |
| 106 GOLDMAN SACHS STRATEG | 38145C646 | X | | | | 12/29/2014 | 1/12/2015 | 132 | 134 | | | | | | -2 |
| 107 GOLDMAN SACHS STRATEG | 38145C646 | X | | | | 11/28/2014 | 1/12/2015 | 61 | 62 | | | | | | -1 |
| 108 GOLDMAN SACHS STRATEG | 38145C646 | X | | | | 10/31/2014 | 1/12/2015 | 41 | 42 | | | | | | -1 |
| 109 GOLDMAN SACHS STRATEG | 38145C646 | X | | | | 7/31/2014 | 1/12/2015 | 2 | 2 | | | | | | 0 |
| 110 BLACKROCK FLOATING RATIO | 09256H187 | X | | | | 10/28/2014 | 10/28/2015 | 30 | 33 | | | | | | -3 |
| 111 BLACKROCK FLOATING RATIO | 09256H187 | X | | | | 6/30/2015 | 10/28/2015 | 272 | 278 | | | | | | -6 |
| 112 BLACKROCK FLOATING RATIO | 09256H187 | X | | | | 3/1/2015 | 10/28/2015 | 10 | 10 | | | | | | 0 |
| 113 BLACKROCK FLOATING RATIO | 09256H187 | X | | | | 3/28/2015 | 10/28/2015 | 61 | 63 | | | | | | -2 |
| 114 BLACKROCK FLOATING RATIO | 09256H187 | X | | | | 6/30/2014 | 10/28/2015 | 10 | 11 | | | | | | -1 |
| 115 BLACKROCK FLOATING RATIO | 09256H187 | X | | | | 9/30/2013 | 10/28/2015 | 74 | 74 | | | | | | 0 |
| 116 BLACKROCK FLOATING RATIO | 09256H187 | X | | | | 10/28/2015 | 10/28/2015 | 141 | 143 | | | | | | -2 |
| 117 BLACKROCK FLOATING RATIO | 09256H187 | X | | | | 6/5/2014 | 10/28/2015 | 3,330 | 3,475 | | | | | | -145 |
| 118 BLACKROCK FLOATING RATIO | 09256H187 | X | | | | 1/14/2013 | 10/28/2015 | 3,744 | 3,788 | | | | | | -44 |
| 119 BLACKROCK FLOATING RATIO | 09256H187 | X | | | | 1/14/2013 | 10/28/2015 | 4,793 | 4,984 | | | | | | -171 |
| 120 BLACKROCK FLOATING RATIO | 09256H187 | X | | | | 3/10/2015 | 10/28/2015 | 1,100 | 1,128 | | | | | | -28 |
| 121 BLACKROCK FLOATING RATIO | 09256H187 | X | | | | 7/31/2015 | 10/28/2015 | 10 | 10 | | | | | | 0 |
| 122 BLACKROCK FLOATING RATIO | 09256H187 | X | | | | 1/14/2013 | 10/28/2015 | 222 | 230 | | | | | | -8 |
| 123 BLACKROCK STRATEGIC | 09256H286 | X | | | | 11/30/2011 | 1/12/2015 | 10 | 10 | | | | | | 0 |
| 124 BLACKROCK STRATEGIC | 09256H286 | X | | | | 1/14/2013 | 1/12/2015 | 2 | 2 | | | | | | 0 |
| 125 BLACKROCK STRATEGIC | 09256H286 | X | | | | 5/31/2011 | 1/12/2015 | 71 | 70 | | | | | | 1 |
| 126 BLACKROCK STRATEGIC | 09256H286 | X | | | | 11/20/2014 | 1/12/2015 | 130 | 134 | | | | | | -4 |
| 127 BLACKROCK STRATEGIC | 09256H286 | X | | | | 6/30/2011 | 1/12/2015 | 10 | 10 | | | | | | 0 |
| 128 PUTNAM CAPITAL SPECTRUM | 74872P151 | X | | | | 12/9/2014 | 1/12/2015 | 13 | 13 | | | | | | 0 |
| 129 PUTNAM TAX FREE HIGH | 74872P151 | X | | | | 7/31/2015 | 1/12/2015 | 4 | 4 | | | | | | 0 |
| 130 PUTNAM TAX FREE HIGH | 74872P151 | X | | | | 12/20/15 | 8/28/2015 | 212 | 214 | | | | | | -2 |
| 131 PUTNAM TAX FREE HIGH | 74872P151 | X | | | | 1/2/2015 | 8/28/2015 | 2,799 | 2,890 | | | | | | -91 |
| 132 PUTNAM TAX FREE HIGH | 74872P151 | X | | | | 7/31/2015 | 8/28/2015 | 211 | 211 | | | | | | 0 |
| 133 PUTNAM TAX FREE HIGH | 74872P151 | X | | | | 6/30/2015 | 8/28/2015 | 235 | 236 | | | | | | -1 |
| 134 PUTNAM TAX FREE HIGH | 74872P151 | X | | | | 5/29/2015 | 8/28/2015 | 198 | 201 | | | | | | -3 |
| 135 PUTNAM TAX FREE HIGH | 74872P151 | X | | | | 1/20/2015 | 8/28/2015 | 214 | 214 | | | | | | 0 |
| 136 PUTNAM TAX FREE HIGH | 74872P151 | X | | | | 1/20/2015 | 8/28/2015 | 12 | 13 | | | | | | -1 |
| 137 RIVERNORTH DOUBLE LINE | 78881N202 | X | | | | 9/30/2015 | 10/28/2015 | 7 | 7 | | | | | | 0 |
| 138 RIVERNORTH DOUBLE LINE | 78881N202 | X | | | | 9/30/2015 | 10/28/2015 | 368 | 363 | | | | | | 5 |
| 139 RIVERNORTH DOUBLE LINE | 78881N202 | X | | | | 6/31/2015 | 10/28/2015 | 11 | 11 | | | | | | 0 |
| 140 RIVERNORTH DOUBLE LINE | 78881N202 | X | | | | 9/31/2015 | 10/28/2015 | 336 | 335 | | | | | | 1 |
| 141 VANGUARD FTSE EMERGING | 922042858 | X | | | | 1/21/2014 | 1/12/2015 | 156 | 157 | | | | | | -1 |
| 142 VANGUARD FTSE EMERGING | 922042858 | X | | | | 1/21/2014 | 1/12/2015 | 4,058 | 4,054 | | | | | | 4 |
| 143 VANGUARD FTSE EMERGING | 922042858 | X | | | | 12/30/2014 | 1/12/2015 | 13 | 12 | | | | | | 1 |
| 144 VANGUARD TOTAL STK MKT | 922908769 | X | | | | 12/30/2014 | 1/12/2015 | 2,819 | 2,900 | | | | | | -81 |

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

| Description | CUSIP # | Check "X" to include in Part IV | Purchaser | Check "X" if Purchaser is a Business | Acquisition Method | Date Acquired | Date Sold | Totals | | Valuation Method | Expense of Sale and Cost of Improvements | Depreciation | Adjustments | Net Gain or Loss |
|-----------------------------|-----------|---------------------------------|-----------|--------------------------------------|--------------------|---------------|-----------|-------------------|----------------------------------|------------------|--|--------------|-------------|------------------|
| | | | | | | | | Gross Sales Price | Capital Gains/Losses Other sales | | | | | |
| Long Term CG Distributions | | | | | | | | Amount | 15,082 | | | | | |
| Short Term CG Distributions | | | | | | | | Amount | 0 | | | | | |
| Totals | | | | | | | | Gross Sales | 5,049,693 | | | | | |
| | | | | | | | | Net Gain or Loss | 1,749,990 | | | | | |
| 145 VANGUARD TOTAL STK MKT | 922908769 | X | | | 12/30/2014 | 1/22/2015 | 104 | 107 | | | | | 3 | 0 |
| 146 VANGUARD TOTAL STK MKT | 922908769 | X | | | 10/12/2014 | 1/22/2015 | 1,670 | 1,611 | | | | | | 59 |
| 147 VANGUARD TOTAL STK MKT | 922908769 | X | | | 10/12/2014 | 1/22/2015 | 104 | 101 | | | | | | 3 |
| 148 VANGUARD TOTAL STK MKT | 922908769 | X | | | 11/29/2012 | 1/22/2015 | 5,742 | 4,014 | | | | | | 1,728 |
| 149 VANGUARD TOTAL STK MKT | 922908769 | X | | | 11/15/2012 | 1/22/2015 | 7,830 | 5,203 | | | | | | 2,627 |
| 150 VANGUARD TOTAL STK MKT | 922908769 | X | | | 9/24/2012 | 1/22/2015 | 5,846 | 4,175 | | | | | | 1,671 |
| 151 VANGUARD TOTAL STK MKT | 922908769 | X | | | 4/1/2015 | 5/29/2015 | 3,062 | 3,001 | | | | | | 61 |
| 152 VANGUARD TOTAL STK MKT | 922908769 | X | | | 12/19/2012 | 5/29/2015 | 14,546 | 12,308 | | | | | | 2,238 |
| 153 VANGUARD TOTAL STK MKT | 922908769 | X | | | 11/29/2012 | 5/29/2015 | 24,389 | 16,275 | | | | | | 8,114 |
| 154 GOLDMAN SACHS STRATEG | 38145C646 | X | | | 6/30/2014 | 1/20/2015 | 11 | 11 | | | | | | -1 |
| 155 GOLDMAN SACHS STRATEG | 38145C646 | X | | | 6/5/2014 | 1/20/2015 | 10 | 11 | | | | | | -1 |
| 156 GOLDMAN SACHS STRATEG | 38145C646 | X | | | 6/5/2014 | 1/20/2015 | 2,465 | 2,563 | | | | | | -98 |
| 157 GOLDMAN SACHS STRATEG | 38145C646 | X | | | 5/30/2014 | 1/20/2015 | 20 | 11 | | | | | | -9 |
| 158 GOLDMAN SACHS STRATEG | 38145C646 | X | | | 4/7/2014 | 1/20/2015 | 10 | 22 | | | | | | -12 |
| 159 GOLDMAN SACHS STRATEG | 38145C646 | X | | | 3/10/2014 | 1/20/2015 | 20 | 22 | | | | | | -2 |
| 160 GOLDMAN SACHS STRATEG | 38145C646 | X | | | 2/17/2014 | 1/20/2015 | 10 | 11 | | | | | | -1 |
| 161 GOLDMAN SACHS STRATEG | 38145C646 | X | | | 1/31/2014 | 1/20/2015 | 10 | 11 | | | | | | -1 |
| 162 GOLDMAN SACHS STRATEG | 38145C646 | X | | | 1/31/2014 | 1/20/2015 | 10 | 11 | | | | | | -1 |
| 163 GOLDMAN SACHS STRATEG | 38145C646 | X | | | 10/11/2013 | 1/20/2015 | 7,847 | 8,022 | | | | | | -175 |
| 164 GOLDMAN SACHS STRATEG | 38145C646 | X | | | 9/30/2013 | 1/20/2015 | 10 | 10 | | | | | | 0 |
| 165 GOLDMAN SACHS STRATEG | 38145C646 | X | | | 8/30/2013 | 1/20/2015 | 10 | 10 | | | | | | 0 |
| 166 GOLDMAN SACHS STRATEG | 38145C646 | X | | | 7/25/2013 | 1/20/2015 | 14,154 | 14,510 | | | | | | -356 |
| 167 GOLDMAN SACHS STRATEG | 38145C646 | X | | | 7/25/2013 | 1/20/2015 | 10 | 11 | | | | | | -1 |
| 168 GOLDMAN SACHS STRATEG | 38145C646 | X | | | 7/25/2013 | 1/20/2015 | 10 | 11 | | | | | | -1 |
| 169 GOLDMAN SACHS STRATEG | 38145C646 | X | | | 7/25/2013 | 3/10/2015 | 10 | 11 | | | | | | -1 |
| 170 GOLDMAN SACHS STRATEG | 38145C646 | X | | | 7/25/2013 | 1/20/2015 | 10 | 11 | | | | | | -1 |
| 171 GOLDMAN SACHS STRATEG | 38145C646 | X | | | 8/22/2013 | 3/10/2015 | 10 | 10 | | | | | | -1 |
| 172 GOLDMAN SACHS STRATEG | 38145C646 | X | | | 11/28/2014 | 3/10/2015 | 10 | 10 | | | | | | -1 |
| 173 GOLDMAN SACHS STRATEG | 38145C646 | X | | | 2/27/2015 | 3/10/2015 | 8 | 8 | | | | | | 0 |
| 174 GOLDMAN SACHS STRATEG | 38145C646 | X | | | 7/25/2013 | 3/10/2015 | 10 | 11 | | | | | | -1 |
| 175 GOLDMAN SACHS STRATEG | 38145C646 | X | | | 10/31/2014 | 3/10/2015 | 10 | 11 | | | | | | -1 |
| 176 GOLDMAN SACHS STRATEG | 38145C646 | X | | | 3/31/2015 | 4/17/2015 | 0 | 0 | | | | | | 0 |
| 177 HARTFORD EMERGING | 41664M318 | X | | | 10/31/2014 | 1/20/2015 | 41 | 45 | | | | | | -4 |
| 178 HARTFORD EMERGING | 41664M318 | X | | | 12/20/15 | 12/20/15 | 2 | 2 | | | | | | 0 |
| 179 HARTFORD EMERGING | 41664M318 | X | | | 12/1/2014 | 1/20/2015 | 8 | 9 | | | | | | -1 |
| 180 HARTFORD EMERGING | 41664M318 | X | | | 12/1/2014 | 1/20/2015 | 33 | 36 | | | | | | -3 |
| 181 HARTFORD EMERGING | 41664M318 | X | | | 7/22/2014 | 1/20/2015 | 24 | 27 | | | | | | -3 |
| 182 HARTFORD EMERGING | 41664M318 | X | | | 6/5/2014 | 1/20/2015 | 6,708 | 7,596 | | | | | | -888 |
| 183 HARTFORD EMERGING | 41664M318 | X | | | 10/31/2014 | 1/20/2015 | 8 | 9 | | | | | | -1 |
| 184 HARTFORD EMERGING | 41664M318 | X | | | 6/30/2014 | 1/20/2015 | 8 | 9 | | | | | | -1 |
| 185 HARTFORD EMERGING | 41664M318 | X | | | 6/24/2014 | 1/20/2015 | 16 | 18 | | | | | | -2 |
| 186 HARTFORD EMERGING | 41664M318 | X | | | 4/11/2014 | 1/20/2015 | 8 | 11 | | | | | | -3 |
| 187 HARTFORD EMERGING | 41664M318 | X | | | 4/11/2014 | 1/20/2015 | 8 | 10 | | | | | | -2 |
| 188 HARTFORD EMERGING | 41664M318 | X | | | 12/1/2014 | 1/20/2015 | 82 | 87 | | | | | | -5 |
| 189 BLACKROCK STRATEGIC | 09256H286 | X | | | 12/31/2012 | 1/20/2015 | 61 | 61 | | | | | | 0 |
| 190 BLACKROCK STRATEGIC | 09256H286 | X | | | 11/30/2011 | 1/20/2015 | 71 | 68 | | | | | | 3 |
| 191 BLACKROCK STRATEGIC | 09256H286 | X | | | 1/14/2013 | 1/20/2015 | 10 | 10 | | | | | | 0 |
| 192 BLACKROCK STRATEGIC | 09256H286 | X | | | 2/28/2011 | 1/20/2015 | 71 | 70 | | | | | | 1 |
| 193 BLACKROCK STRATEGIC | 09256H286 | X | | | 10/31/2011 | 1/20/2015 | 71 | 67 | | | | | | 4 |
| 194 BLACKROCK STRATEGIC | 09256H286 | X | | | 2/29/2012 | 1/20/2015 | 81 | 78 | | | | | | 3 |
| 195 BLACKROCK STRATEGIC | 09256H286 | X | | | 12/31/2014 | 1/20/2015 | 5 | 5 | | | | | | 0 |
| 196 BLACKROCK STRATEGIC | 09256H286 | X | | | 6/30/2011 | 1/20/2015 | 81 | 79 | | | | | | 2 |
| 197 BLACKROCK STRATEGIC | 09256H286 | X | | | 12/31/2014 | 1/20/2015 | 20 | 20 | | | | | | 0 |
| 198 BLACKROCK STRATEGIC | 09256H286 | X | | | 3/31/2011 | 1/20/2015 | 81 | 80 | | | | | | 1 |
| 199 BLACKROCK STRATEGIC | 09256H286 | X | | | 10/31/2012 | 1/20/2015 | 10 | 10 | | | | | | 0 |
| 200 BLACKROCK STRATEGIC | 09256H286 | X | | | 12/31/2014 | 1/20/2015 | 130 | 131 | | | | | | 1 |
| 201 BLACKROCK STRATEGIC | 09256H286 | X | | | 3/31/2011 | 1/20/2015 | 10 | 10 | | | | | | 0 |
| 202 BLACKROCK STRATEGIC | 09256H286 | X | | | 1/14/2013 | 1/20/2015 | 679 | 681 | | | | | | -2 |
| 203 BLACKROCK STRATEGIC | 09256H286 | X | | | 11/30/2012 | 1/20/2015 | 71 | 70 | | | | | | 1 |
| 204 BLACKROCK STRATEGIC | 09256H286 | X | | | 1/31/2012 | 1/20/2015 | 71 | 68 | | | | | | 3 |
| 205 BLACKROCK STRATEGIC | 09256H286 | X | | | 3/30/2012 | 1/20/2015 | 10 | 10 | | | | | | 0 |
| 206 HARTFORD EMERGING | 41664M318 | X | | | 1/21/2014 | 1/20/2015 | 5,375 | 5,697 | | | | | | -322 |
| 207 HARTFORD EMERGING | 41664M318 | X | | | 5/1/2014 | 1/20/2015 | 8 | 9 | | | | | | -1 |
| 208 HARTFORD EMERGING | 41664M318 | X | | | 2/27/2015 | 3/10/2015 | 4 | 4 | | | | | | 0 |
| 209 HARTFORD EMERGING | 41664M318 | X | | | 1/21/2014 | 1/20/2015 | 78 | 84 | | | | | | -6 |
| 210 HIGHLAND LONG/SHORT | 430070409 | X | | | 12/22/2014 | 1/20/2015 | 12 | 12 | | | | | | 0 |
| 211 HIGHLAND LONG/SHORT | 430070409 | X | | | 12/22/2014 | 1/20/2015 | 3 | 3 | | | | | | 0 |
| 212 HIGHLAND LONG/SHORT | 430070409 | X | | | 12/22/2014 | 1/20/2015 | 181 | 179 | | | | | | 2 |
| 213 HIGHLAND LONG/SHORT | 430070409 | X | | | 12/22/2014 | 1/20/2015 | 12 | 12 | | | | | | 0 |
| 214 HIGHLAND LONG/SHORT | 430070409 | X | | | 7/8/2013 | 1/20/2015 | 4,925 | 4,823 | | | | | | 102 |
| 215 HOTCHKIS & WILEY HIGH | 44134R735 | X | | | 7/29/2013 | 10/28/2015 | 12 | 13 | | | | | | -1 |
| 216 HOTCHKIS & WILEY HIGH | 44134R735 | X | | | 1/21/2014 | 10/28/2015 | 15,562 | 17,266 | | | | | | -1,704 |

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

| Description | CUSIP # | Check "X" to include in Part IV | Purchaser | Check "X" if Purchaser is a Business | Acquisition Method | Date Acquired | Date Sold | Totals | | Valuation Method | Expense of Sale and Cost of Improvements | Depreciation | Adjustments | Net Gain or Loss |
|----------------------------|-----------|---------------------------------|-----------|--------------------------------------|--------------------|---------------|-----------|----------------------------------|-------------|------------------|--|--------------|-------------|------------------|
| | | | | | | | | Capital Gains/Losses Other sales | Gross Sales | | | | | |
| 217 HOTCHKIS & WILEY HIGH | 44134R735 | X | | | 10/31/2014 | 10/28/2015 | 142 | 154 | | | | | | -12 |
| 218 HOTCHKIS & WILEY HIGH | 44134R735 | X | | | 10/31/2014 | 10/28/2015 | 9,347 | 10,086 | | | | | | -739 |
| 219 HOTCHKIS & WILEY HIGH | 44134R735 | X | | | 7/15/2014 | 10/28/2015 | 47 | 52 | | | | | | -5 |
| 220 HOTCHKIS & WILEY HIGH | 44134R735 | X | | | 3/31/2015 | 10/28/2015 | 498 | 528 | | | | | | -30 |
| 221 HOTCHKIS & WILEY HIGH | 44134R735 | X | | | 10/28/2015 | 10/28/2015 | 308 | 322 | | | | | | -14 |
| 222 HOTCHKIS & WILEY HIGH | 44134R735 | X | | | 9/30/2015 | 10/28/2015 | 4 | 4 | | | | | | 0 |
| 223 HOTCHKIS & WILEY HIGH | 44134R735 | X | | | 9/31/2015 | 10/28/2015 | 567 | 567 | | | | | | -10 |
| 224 HOTCHKIS & WILEY HIGH | 44134R735 | X | | | 10/28/2015 | 10/28/2015 | 533 | 566 | | | | | | -33 |
| 225 HOTCHKIS & WILEY HIGH | 44134R735 | X | | | 6/30/2015 | 10/28/2015 | 510 | 538 | | | | | | -28 |
| 226 HOTCHKIS & WILEY HIGH | 44134R735 | X | | | 4/30/2015 | 10/28/2015 | 462 | 495 | | | | | | -33 |
| 227 HOTCHKIS & WILEY HIGH | 44134R735 | X | | | 2/27/2015 | 10/28/2015 | 415 | 442 | | | | | | -27 |
| 228 HOTCHKIS & WILEY HIGH | 44134R735 | X | | | 11/17/2014 | 10/28/2015 | 154 | 166 | | | | | | -12 |
| 229 HOTCHKIS & WILEY HIGH | 44134R735 | X | | | 10/31/2014 | 10/28/2015 | 1 | 1 | | | | | | 0 |
| 230 HOTCHKIS & WILEY HIGH | 44134R735 | X | | | 10/31/2014 | 10/28/2015 | 12 | 13 | | | | | | -1 |
| 231 HOTCHKIS & WILEY HIGH | 44134R735 | X | | | 7/31/2014 | 10/28/2015 | 12 | 13 | | | | | | -1 |
| 232 HOTCHKIS & WILEY HIGH | 44134R735 | X | | | 5/30/2014 | 10/28/2015 | 12 | 13 | | | | | | -1 |
| 233 HOTCHKIS & WILEY HIGH | 44134R735 | X | | | 3/31/2014 | 10/28/2015 | 12 | 13 | | | | | | -1 |
| 234 HOTCHKIS & WILEY HIGH | 44134R735 | X | | | 2/28/2014 | 10/28/2015 | 12 | 13 | | | | | | -1 |
| 235 HOTCHKIS & WILEY HIGH | 44134R735 | X | | | 9/30/2013 | 10/28/2015 | 12 | 13 | | | | | | -1 |
| 236 HOTCHKIS & WILEY HIGH | 44134R735 | X | | | 8/19/2013 | 10/28/2015 | 12 | 13 | | | | | | -1 |
| 237 HOTCHKIS & WILEY HIGH | 44134R735 | X | | | 8/19/2013 | 10/28/2015 | 95 | 105 | | | | | | -10 |
| 238 HOTCHKIS & WILEY HIGH | 44134R735 | X | | | 7/29/2013 | 10/28/2015 | 569 | 624 | | | | | | 0 |
| 239 HOTCHKIS & WILEY HIGH | 44134R735 | X | | | 7/29/2013 | 10/28/2015 | 14,921 | 18,354 | | | | | | -1,433 |
| 240 HOTCHKIS & WILEY HIGH | 44134R735 | X | | | 7/29/2013 | 10/28/2015 | 12 | 13 | | | | | | -1 |
| 241 HOTCHKIS & WILEY HIGH | 44134R735 | X | | | 7/29/2013 | 10/28/2015 | 12 | 13 | | | | | | 0 |
| 242 HOTCHKIS & WILEY HIGH | 44134R735 | X | | | 5/29/2015 | 10/28/2015 | 498 | 535 | | | | | | -37 |
| 243 HOTCHKIS & WILEY HIGH | 44134R735 | X | | | 9/30/2015 | 10/28/2015 | 616 | 609 | | | | | | 7 |
| 244 HOTCHKIS & WILEY HIGH | 44134R735 | X | | | 12/11/2015 | 12/16/2015 | 132 | 133 | | | | | | -1 |
| 245 HOTCHKIS & WILEY HIGH | 44134R735 | X | | | 11/30/2015 | 12/16/2015 | 11 | 12 | | | | | | -1 |
| 246 ICW EMERGING | 87234N765 | X | | | 10/11/2013 | 10/28/2015 | 16,610 | 17,336 | | | | | | -726 |
| 247 RIVERNORTH DOUBLE LINE | 76881N202 | X | | | 10/28/2015 | 10/28/2015 | 11 | 11 | | | | | | 0 |
| 248 RIVERNORTH DOUBLE LINE | 76881N202 | X | | | 7/31/2015 | 10/28/2015 | 326 | 329 | | | | | | -3 |
| 249 RIVERNORTH DOUBLE LINE | 76881N202 | X | | | 6/30/2015 | 10/28/2015 | 294 | 298 | | | | | | -4 |
| 250 RIVERNORTH DOUBLE LINE | 76881N202 | X | | | 5/29/2015 | 10/28/2015 | 11 | 11 | | | | | | 0 |
| 251 RIVERNORTH DOUBLE LINE | 76881N202 | X | | | 5/29/2015 | 10/28/2015 | 294 | 304 | | | | | | -10 |
| 252 RIVERNORTH DOUBLE LINE | 76881N202 | X | | | 4/30/2015 | 10/28/2015 | 210 | 219 | | | | | | -9 |
| 253 RIVERNORTH DOUBLE LINE | 76881N202 | X | | | 3/10/2015 | 10/28/2015 | 495 | 510 | | | | | | -15 |
| 254 RIVERNORTH DOUBLE LINE | 76881N202 | X | | | 1/20/2015 | 10/28/2015 | 11 | 11 | | | | | | 0 |
| 255 RIVERNORTH DOUBLE LINE | 76881N202 | X | | | 12/20/2014 | 10/28/2015 | 74,546 | 76,448 | | | | | | -1,902 |
| 256 SPDR S&P 500 ETF TRUST | 78462F103 | X | | | 12/20/2014 | 12/20/2015 | 33,102 | 33,017 | | | | | | 85 |
| 257 SPDR S&P 500 ETF TRUST | 78462F103 | X | | | 12/20/2014 | 15/20/2015 | 13,789 | 13,945 | | | | | | -176 |
| 258 SERVICEOWN INC | 81762P102 | X | | | 8/3/2006 | 12/29/2015 | 1,750,122 | 4,400 | | | | | | 1,745,722 |
| 259 ICW EMERGING | 87234N765 | X | | | 12/30/2014 | 12/20/2015 | 5 | 5 | | | | | | 0 |
| 260 ICW EMERGING | 87234N765 | X | | | 12/30/2014 | 12/20/2015 | 189 | 189 | | | | | | -1 |
| 261 ICW EMERGING | 87234N765 | X | | | 12/29/2014 | 12/20/2015 | 160 | 168 | | | | | | -8 |
| 262 ICW EMERGING | 87234N765 | X | | | 9/30/2013 | 12/20/2015 | 8 | 8 | | | | | | 0 |
| 263 ICW EMERGING | 87234N765 | X | | | 11/17/2014 | 12/20/2015 | 8 | 8 | | | | | | 0 |
| 264 ICW EMERGING | 87234N765 | X | | | 11/17/2014 | 12/20/2015 | 13,422 | 14,002 | | | | | | -580 |
| 265 ICW EMERGING | 87234N765 | X | | | 11/3/2014 | 12/20/2015 | 104 | 111 | | | | | | -7 |
| 266 ICW EMERGING | 87234N765 | X | | | 7/31/2014 | 12/20/2015 | 8 | 9 | | | | | | -1 |
| 267 ICW EMERGING | 87234N765 | X | | | 6/5/2014 | 12/20/2015 | 8 | 9 | | | | | | -1 |
| 268 ICW EMERGING | 87234N765 | X | | | 6/5/2014 | 12/20/2015 | 2,203 | 2,398 | | | | | | -183 |
| 269 ICW EMERGING | 87234N765 | X | | | 5/30/2014 | 12/20/2015 | 8 | 8 | | | | | | 0 |
| 270 ICW EMERGING | 87234N765 | X | | | 8/30/2013 | 12/20/2015 | 8 | 8 | | | | | | -1 |
| 271 BLACKROCK STRATEGIC | 09256H286 | X | | | 8/31/2012 | 12/20/2015 | 81 | 79 | | | | | | 0 |
| 272 BLACKROCK STRATEGIC | 09256H286 | X | | | 8/31/2012 | 12/20/2015 | 90 | 92 | | | | | | 0 |
| 273 BLACKROCK STRATEGIC | 09256H286 | X | | | 9/29/2012 | 12/20/2015 | 78 | 79 | | | | | | 0 |
| 274 BLACKROCK STRATEGIC | 09256H286 | X | | | 7/31/2012 | 12/20/2015 | 10 | 10 | | | | | | 0 |
| 275 BLACKROCK STRATEGIC | 09256H286 | X | | | 8/31/2012 | 12/20/2015 | 71 | 69 | | | | | | 0 |
| 276 BLACKROCK STRATEGIC | 09256H286 | X | | | 7/31/2012 | 12/20/2015 | 81 | 79 | | | | | | 0 |
| 277 BLACKROCK STRATEGIC | 09256H286 | X | | | 10/31/2014 | 12/20/2015 | 90 | 92 | | | | | | 0 |
| 278 BLACKROCK STRATEGIC | 09256H286 | X | | | 9/29/2012 | 12/20/2015 | 71 | 70 | | | | | | 0 |
| 279 BLACKROCK STRATEGIC | 09256H286 | X | | | 8/31/2012 | 12/20/2015 | 10 | 10 | | | | | | 0 |
| 280 BLACKROCK STRATEGIC | 09256H286 | X | | | 3/30/2012 | 12/20/2015 | 71 | 68 | | | | | | 0 |
| 281 BLACKROCK STRATEGIC | 09256H286 | X | | | 4/17/2014 | 12/20/2015 | 90 | 99 | | | | | | 9 |
| 282 BLACKROCK STRATEGIC | 09256H286 | X | | | 1/31/2012 | 12/20/2015 | 10 | 10 | | | | | | 0 |
| 283 BLACKROCK STRATEGIC | 09256H286 | X | | | 12/30/2011 | 12/20/2015 | 71 | 66 | | | | | | 0 |
| 284 BLACKROCK STRATEGIC | 09256H286 | X | | | 9/30/2011 | 12/20/2015 | 81 | 76 | | | | | | 0 |
| 285 HOTCHKIS & WILEY HIGH | 44134R735 | X | | | 8/31/2011 | 12/20/2015 | 91 | 87 | | | | | | 0 |
| 286 HOTCHKIS & WILEY HIGH | 44134R735 | X | | | 12/22/2015 | 12/16/2015 | 41,526 | 46,561 | | | | | | -5,035 |
| 287 HOTCHKIS & WILEY HIGH | 44134R735 | X | | | 12/11/2015 | 12/16/2015 | 309 | 343 | | | | | | -34 |
| 288 HOTCHKIS & WILEY HIGH | 44134R735 | X | | | 7/31/2013 | 12/16/2015 | 353 | 355 | | | | | | -2 |
| | | | | | | | 11 | 14 | | | | | | 0 |

Amount 16,082

Long Term CG Distributions 16,082
Short Term CG Distributions 0

Gross Sales 5,049,698
Cost or Other Basis, Expenses, Depreciation and Adjustments 3,299,708
Net Gain or Loss 1,749,990

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

| Description | CUSIP # | Check "X" to include in Part IV | Purchaser | Check "X" if Purchaser is a Business | Acquisition Method | Date Acquired | Date Sold | Gross Sales Price | Cost or Other Basis | Valuation Method | Expense of Sale and Cost of Improvements | Depreciation | Adjustments | Net Gain or Loss | Totals | | |
|-------------|---------------------------|---------------------------------|-----------|--------------------------------------|--------------------|---------------|------------|-------------------|---------------------|------------------|--|--------------|-------------|----------------------------|-----------------------------|------------------|--|
| | | | | | | | | | | | | | | | Capital Gains/Losses | Other Sales | |
| | | | | | | | | | | | | | | Amount | 16,082 | 0 | |
| | | | | | | | | | | | | | | Long Term CG Distributions | Short Term CG Distributions | Net Gain or Loss | |
| 288 | HOTCHKIS & WILEY HIGH | 44134R735 | X | | | 7/31/2013 | 12/16/2015 | 351 | 416 | | | | | 0 | -65 | | |
| 290 | HOTCHKIS & WILEY HIGH | 44134R735 | X | | | 1/22/2015 | 12/16/2015 | 11 | 12 | | | | | 0 | -1 | | |
| 287 | HOTCHKIS & WILEY HIGH | 44134R735 | X | | | 11/30/2015 | 12/16/2015 | 329 | 346 | | | | | 0 | -17 | | |
| 292 | HOTCHKIS & WILEY HIGH | 44134R735 | X | | | 1/2/2015 | 12/16/2015 | 11 | 12 | | | | | 0 | -1 | | |
| 284 | HOTCHKIS & WILEY HIGH | 44134R735 | X | | | 1/2/2015 | 12/16/2015 | 7,221 | 8,103 | | | | | 0 | -882 | | |
| 283 | HOTCHKIS & WILEY HIGH | 44134R735 | X | | | 7/31/2013 | 12/16/2015 | 175 | 208 | | | | | 33 | 0 | | |
| 285 | HOTCHKIS & WILEY HIGH | 44134R735 | X | | | 7/31/2013 | 12/16/2015 | 11 | 12 | | | | | 0 | -1 | | |
| 286 | HOTCHKIS & WILEY HIGH | 44134R735 | X | | | 12/12/2014 | 12/16/2015 | 11 | 13 | | | | | 0 | -2 | | |
| 287 | HOTCHKIS & WILEY HIGH | 44134R735 | X | | | 12/12/2014 | 12/16/2015 | 55 | 61 | | | | | 0 | -6 | | |
| 288 | HOTCHKIS & WILEY HIGH | 44134R735 | X | | | 6/30/2015 | 12/16/2015 | 13 | 13 | | | | | 0 | -2 | | |
| 289 | HOTCHKIS & WILEY HIGH | 44134R735 | X | | | 11/17/2014 | 12/16/2015 | 7,939 | 9,203 | | | | | 0 | -1,264 | | |
| 300 | HOTCHKIS & WILEY HIGH | 44134R735 | X | | | 12/11/2015 | 12/16/2015 | 6 | 6 | | | | | 0 | 0 | | |
| 301 | HOTCHKIS & WILEY HIGH | 44134R735 | X | | | 2/27/2015 | 12/16/2015 | 13 | 13 | | | | | 0 | -2 | | |
| 302 | HOTCHKIS & WILEY HIGH | 44134R735 | X | | | 12/11/2015 | 12/16/2015 | 11 | 11 | | | | | 0 | 0 | | |
| 303 | HOTCHKIS & WILEY HIGH | 44134R735 | X | | | 12/31/2014 | 10/28/2015 | 249 | 260 | | | | | 0 | -11 | | |
| 304 | HOTCHKIS & WILEY HIGH | 44134R735 | X | | | 7/29/2013 | 12/16/2015 | 13 | 13 | | | | | 2 | 0 | | |
| 305 | ADVISORY RESEARCH MLP | 461418535 | X | | | 11/3/2015 | 12/31/2015 | 6 | 7 | | | | | 0 | -1 | | |
| 306 | ADVISORY RESEARCH MLP | 461418535 | X | | | 11/3/2015 | 12/31/2015 | 9,171 | 11,730 | | | | | 0 | -2,559 | | |
| 307 | ADVISORY RESEARCH MLP | 461418535 | X | | | 11/3/2015 | 12/31/2015 | 8 | 13 | | | | | 0 | -5 | | |
| 308 | ADVISORY RESEARCH MLP | 461418535 | X | | | 5/29/2015 | 12/31/2015 | 21,403 | 35,003 | | | | | 0 | -13,600 | | |
| 309 | ISHARES CORE U.S. | 464287226 | X | | | 1/5/2015 | 2/6/2015 | 6,887 | 6,871 | | | | | 0 | 16 | | |
| 310 | ISHARES CORE U.S. | 464287226 | X | | | 1/5/2015 | 5/29/2015 | 110 | 111 | | | | | 1 | 0 | | |
| 311 | ISHARES CORE U.S. | 464287226 | X | | | 1/5/2015 | 5/29/2015 | 111 | 111 | | | | | 1 | 0 | | |
| 312 | ISHARES CORE U.S. | 464287226 | X | | | 5/8/2015 | 5/29/2015 | 331 | 331 | | | | | 0 | 0 | | |
| 313 | ISHARES CORE U.S. | 464287226 | X | | | 1/5/2015 | 5/29/2015 | 66,908 | 67,266 | | | | | 0 | -360 | | |
| 314 | ISHARES CORE U.S. | 464287226 | X | | | 1/5/2015 | 12/14/2015 | 113,144 | 115,918 | | | | | 0 | -2,774 | | |
| 315 | ISHARES CORE U.S. | 464287226 | X | | | 1/15/2015 | 12/14/2015 | 108 | 110 | | | | | 0 | -2 | | |
| 318 | ISHARES CORE U.S. | 464287226 | X | | | 12/8/2015 | 12/14/2015 | 108 | 109 | | | | | 0 | -1 | | |
| 317 | ISHARES CORE U.S. | 464287226 | X | | | 12/8/2015 | 12/14/2015 | 108 | 108 | | | | | 0 | -1 | | |
| 318 | ISHARES CORE U.S. | 464287226 | X | | | 11/8/2015 | 12/14/2015 | 216 | 216 | | | | | 0 | 0 | | |
| 319 | ISHARES CORE U.S. | 464287226 | X | | | 10/8/2015 | 12/14/2015 | 108 | 109 | | | | | 0 | -1 | | |
| 320 | ISHARES CORE U.S. | 464287226 | X | | | 10/8/2015 | 12/14/2015 | 108 | 110 | | | | | 0 | -2 | | |
| 321 | ISHARES CORE U.S. | 464287226 | X | | | 8/10/2015 | 12/14/2015 | 216 | 218 | | | | | 0 | -2 | | |
| 322 | ISHARES CORE U.S. | 464287226 | X | | | 3/10/2015 | 12/14/2015 | 216 | 221 | | | | | 0 | -5 | | |
| 323 | ISHARES CORE U.S. | 464287226 | X | | | 1/15/2015 | 12/14/2015 | 108 | 109 | | | | | 0 | -1 | | |
| 324 | ISHARES CORE U.S. | 464287226 | X | | | 1/5/2015 | 12/14/2015 | 108 | 111 | | | | | 0 | -3 | | |
| 325 | ISHARES CORE U.S. | 464287226 | X | | | 7/9/2015 | 12/14/2015 | 219 | 218 | | | | | 0 | -2 | | |
| 326 | ISHARES IBOX\$ HIGH YIELD | 464288513 | X | | | 10/29/2014 | 12/22/2015 | 46,514 | 47,874 | | | | | 0 | -1,360 | | |
| 327 | ISHARES CORE U.S. | 464287226 | X | | | 10/8/2015 | 12/14/2015 | 108 | 110 | | | | | 0 | -2 | | |
| 328 | ISHARES CORE U.S. | 464287226 | X | | | 12/8/2015 | 12/14/2015 | 325 | 326 | | | | | 0 | -1 | | |
| 329 | ISHARES CORE U.S. | 464287226 | X | | | 9/8/2015 | 12/14/2015 | 216 | 218 | | | | | 0 | -2 | | |
| 330 | ISHARES CORE U.S. | 464287226 | X | | | 12/8/2015 | 12/21/2015 | 13 | 13 | | | | | 0 | 0 | | |
| 331 | ISHARES MSCI EMERGING | 464287234 | X | | | 12/9/2014 | 12/20/2015 | 8,185 | 8,403 | | | | | 0 | -218 | | |
| 332 | ISHARES MSCI LEAF | 464287465 | X | | | 12/9/2014 | 12/20/2015 | 29,939 | 29,939 | | | | | 0 | -1,159 | | |
| 333 | ISHARES TR CORE MSCI | 46432F834 | X | | | 1/5/2015 | 1/12/2015 | 20,074 | 20,066 | | | | | 0 | 8 | | |
| 334 | ISHARES TR CORE MSCI | 46432F834 | X | | | 1/5/2015 | 5/29/2015 | 46,454 | 42,269 | | | | | 0 | 4,225 | | |
| 335 | ISHARES RUSSELL 3000 | 464287689 | X | | | 10/29/2014 | 1/5/2015 | 147,752 | 144,528 | | | | | 0 | 3,223 | | |
| 336 | ISHARES MSCI AOMI | 464288240 | X | | | 10/29/2014 | 12/20/2015 | 66,716 | 90,402 | | | | | 0 | -3,684 | | |
| 337 | ISHARES IBOX\$ HIGH YIELD | 464288513 | X | | | 10/29/2014 | 1/5/2015 | 5,947 | 6,204 | | | | | 0 | -257 | | |
| 338 | JANUS FLEXIBLE BOND | 47103C746 | X | | | 5/29/2015 | 12/16/2015 | 123,163 | 126,628 | | | | | 0 | -3,465 | | |
| 339 | JANUS FLEXIBLE BOND | 47103C746 | X | | | 5/29/2015 | 12/16/2015 | 9 | 9 | | | | | 0 | 0 | | |
| 340 | JP MORGAN INCOME BLD | 4812A3254 | X | | | 12/31/2014 | 12/20/2015 | 3 | 3 | | | | | 0 | 0 | | |
| 341 | JP MORGAN INCOME BLD | 4812A3254 | X | | | 12/31/2014 | 12/20/2015 | 57 | 61 | | | | | 0 | -4 | | |
| 342 | JP MORGAN INCOME BLD | 4812A3254 | X | | | 12/11/2014 | 12/20/2015 | 19 | 21 | | | | | 0 | -2 | | |
| 343 | JP MORGAN INCOME BLD | 4812A3254 | X | | | 11/17/2014 | 12/20/2015 | 9,350 | 9,528 | | | | | 0 | -178 | | |
| 344 | JP MORGAN INCOME BLD | 4812A3254 | X | | | 11/17/2014 | 12/20/2015 | 3 | 3 | | | | | 0 | 0 | | |
| 345 | JP MORGAN INCOME BLD | 4812A3254 | X | | | 11/17/2014 | 12/20/2015 | 10 | 10 | | | | | 0 | 0 | | |
| 346 | JP MORGAN INCOME BLD | 4812A3254 | X | | | 11/17/2014 | 12/20/2015 | 10 | 10 | | | | | 0 | 0 | | |
| 347 | JP MORGAN INCOME BLD | 4812A3254 | X | | | 11/17/2014 | 12/20/2015 | 10 | 10 | | | | | 0 | 0 | | |
| 348 | JP MORGAN INCOME BLD | 4812A3254 | X | | | 2/26/2015 | 3/10/2015 | 8 | 8 | | | | | 0 | 0 | | |
| 349 | JP MORGAN INCOME BLD | 4812A3254 | X | | | 11/17/2014 | 3/10/2015 | 10 | 10 | | | | | 0 | 0 | | |
| 350 | JP MORGAN INCOME BLD | 4812A3254 | X | | | 11/17/2014 | 3/10/2015 | 10 | 10 | | | | | 0 | 0 | | |
| 351 | JP MORGAN INCOME BLD | 4812A3254 | X | | | 11/17/2014 | 3/10/2015 | 31 | 31 | | | | | 0 | 0 | | |
| 352 | JP MORGAN INCOME BLD | 4812A3254 | X | | | 11/17/2014 | 3/10/2015 | 10 | 10 | | | | | 0 | 0 | | |
| 353 | JP MORGAN STRATEGIC | 4812A4351 | X | | | 12/31/2014 | 12/20/2015 | 1 | 1 | | | | | 0 | 0 | | |
| 354 | JP MORGAN STRATEGIC | 4812A4351 | X | | | 12/31/2014 | 12/20/2015 | 94 | 94 | | | | | 0 | 0 | | |
| 355 | JP MORGAN STRATEGIC | 4812A4351 | X | | | 12/1/2014 | 12/20/2015 | 12 | 12 | | | | | 0 | 0 | | |
| 356 | JP MORGAN STRATEGIC | 4812A4351 | X | | | 11/3/2014 | 12/20/2015 | 47 | 47 | | | | | 0 | 0 | | |
| 357 | JP MORGAN STRATEGIC | 4812A4351 | X | | | 6/5/2014 | 12/20/2015 | 12 | 12 | | | | | 0 | 0 | | |
| 358 | BLACKROCK STRATEGIC | 09256H286 | X | | | 7/29/2011 | 12/20/2015 | 91 | 89 | | | | | 0 | 2 | | |
| 359 | BLACKROCK STRATEGIC | 09256H286 | X | | | 7/22/2011 | 12/20/2015 | 41 | 40 | | | | | 0 | 1 | | |
| 360 | BLACKROCK STRATEGIC | 09256H286 | X | | | 4/30/2012 | 12/20/2015 | 71 | 68 | | | | | 0 | 3 | | |

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

| Description | CUSIP # | Check "X" to include in Part IV | Purchaser | Check "X" if Purchaser is a Business | Acquisition Method | Date Acquired | Date Sold | Capital Gains/Losses | | Gross Sales | Valuation Method | Expense of Sale and Cost of Improvements | Depreciation | Adjustments | Net Gain or Loss |
|-------------------------|-----------|---------------------------------|-----------|--------------------------------------|--------------------|---------------|-----------|----------------------|-------------|-------------|------------------|--|--------------|-------------|------------------|
| | | | | | | | | Gross Sales Price | Other sales | | | | | | |
| 381 BLACKROCK STRATEGIC | 09256H286 | X | | | 5/31/2012 | 1/2/2015 | 81 | 78 | 0 | 5,049,698 | | | | | 1,749,990 |
| 382 BLACKROCK STRATEGIC | 09256H286 | X | | | 1/2/2015 | 1/2/2015 | 10 | 10 | 0 | 0 | | | | | 0 |
| 383 BLACKROCK STRATEGIC | 09256H286 | X | | | 10/31/2012 | 1/2/2015 | 81 | 80 | 0 | 0 | | | | | 0 |
| 384 BLACKROCK STRATEGIC | 09256H286 | X | | | 3/28/2013 | 10/28/2015 | 100 | 101 | 0 | 0 | | | | | 0 |
| 385 BLACKROCK STRATEGIC | 09256H286 | X | | | 1/4/2013 | 1/2/2015 | 10 | 10 | 0 | 0 | | | | | 0 |
| 386 BLACKROCK STRATEGIC | 09256H286 | X | | | 2/3/2011 | 1/2/2015 | 4,082 | 4,026 | 0 | 0 | | | | | 56 |
| 387 BLACKROCK STRATEGIC | 09256H286 | X | | | 4/29/2011 | 1/2/2015 | 81 | 80 | 0 | 0 | | | | | 1 |
| 388 BLACKROCK STRATEGIC | 09256H286 | X | | | 10/28/2015 | 10/28/2015 | 159 | 163 | 0 | 0 | | | | | 4 |
| 389 BLACKROCK STRATEGIC | 09256H286 | X | | | 5/30/2014 | 10/28/2015 | 10 | 10 | 0 | 0 | | | | | 0 |
| 390 BLACKROCK STRATEGIC | 09256H286 | X | | | 6/5/2014 | 10/28/2015 | 5,050 | 5,232 | 0 | 0 | | | | | -182 |
| 391 BLACKROCK STRATEGIC | 09256H286 | X | | | 4/30/2013 | 10/28/2015 | 130 | 133 | 0 | 0 | | | | | -3 |
| 392 BLACKROCK STRATEGIC | 09256H286 | X | | | 11/29/2014 | 10/28/2015 | 130 | 136 | 0 | 0 | | | | | -6 |
| 393 BLACKROCK STRATEGIC | 09256H286 | X | | | 5/29/2015 | 10/28/2015 | 169 | 173 | 0 | 0 | | | | | -4 |
| 394 BLACKROCK STRATEGIC | 09256H286 | X | | | 10/11/2013 | 10/28/2015 | 26,958 | 27,118 | 0 | 0 | | | | | -160 |
| 395 BLACKROCK STRATEGIC | 09256H286 | X | | | 11/29/2014 | 10/28/2015 | 10 | 10 | 0 | 0 | | | | | 0 |
| 396 BLACKROCK STRATEGIC | 09256H286 | X | | | 5/29/2015 | 10/28/2015 | 10 | 10 | 0 | 0 | | | | | 0 |
| 397 JP MORGAN STRATEGIC | 4812A4351 | X | | | 1/14/2013 | 10/28/2015 | 80 | 81 | 0 | 0 | | | | | -1 |
| 398 JP MORGAN STRATEGIC | 4812A4351 | X | | | 11/4/2013 | 10/28/2015 | 14,213 | 14,492 | 0 | 0 | | | | | -269 |
| 399 JP MORGAN STRATEGIC | 4812A4351 | X | | | 6/5/2014 | 1/2/2015 | 2,428 | 2,465 | 0 | 0 | | | | | -37 |
| 400 JP MORGAN STRATEGIC | 4812A4351 | X | | | 6/2/2014 | 1/2/2015 | 12 | 12 | 0 | 0 | | | | | 0 |
| 401 JP MORGAN STRATEGIC | 4812A4351 | X | | | 4/23/2014 | 1/2/2015 | 23 | 24 | 0 | 0 | | | | | -1 |
| 402 JP MORGAN STRATEGIC | 4812A4351 | X | | | 2/25/2014 | 1/2/2015 | 1,771 | 1,804 | 0 | 0 | | | | | -33 |
| 403 JP MORGAN STRATEGIC | 4812A4351 | X | | | 10/1/2013 | 1/2/2015 | 47 | 48 | 0 | 0 | | | | | -1 |
| 404 JP MORGAN STRATEGIC | 4812A4351 | X | | | 9/3/2013 | 1/2/2015 | 12 | 12 | 0 | 0 | | | | | 0 |
| 405 JP MORGAN STRATEGIC | 4812A4351 | X | | | 9/3/2013 | 1/2/2015 | 12 | 12 | 0 | 0 | | | | | 0 |
| 406 JP MORGAN STRATEGIC | 4812A4351 | X | | | 8/1/2013 | 1/2/2015 | 59 | 60 | 0 | 0 | | | | | -1 |
| 407 JP MORGAN STRATEGIC | 4812A4351 | X | | | 8/1/2013 | 1/2/2015 | 12 | 12 | 0 | 0 | | | | | 0 |
| 408 JP MORGAN STRATEGIC | 4812A4351 | X | | | 8/1/2013 | 1/2/2015 | 59 | 60 | 0 | 0 | | | | | -1 |
| 409 JP MORGAN STRATEGIC | 4812A4351 | X | | | 7/8/2013 | 1/2/2015 | 30,920 | 31,210 | 0 | 0 | | | | | -290 |
| 410 JP MORGAN STRATEGIC | 4812A4351 | X | | | 12/1/2014 | 3/10/2015 | 12 | 12 | 0 | 0 | | | | | 0 |
| 411 JP MORGAN STRATEGIC | 4812A4351 | X | | | 8/1/2014 | 3/10/2015 | 12 | 12 | 0 | 0 | | | | | 0 |
| 412 JP MORGAN STRATEGIC | 4812A4351 | X | | | 6/5/2014 | 3/10/2015 | 59 | 60 | 0 | 0 | | | | | -1 |
| 413 JP MORGAN STRATEGIC | 4812A4351 | X | | | 2/28/2015 | 3/10/2015 | 0 | 0 | 0 | 0 | | | | | 0 |
| 414 JP MORGAN STRATEGIC | 4812C0498 | X | | | 12/31/2014 | 1/2/2015 | 2 | 2 | 0 | 0 | | | | | 0 |
| 415 JP MORGAN STRATEGIC | 4812C0498 | X | | | 12/31/2014 | 1/2/2015 | 199 | 202 | 0 | 0 | | | | | -3 |
| 416 JP MORGAN STRATEGIC | 4812C0498 | X | | | 12/15/2014 | 1/2/2015 | 641 | 626 | 0 | 0 | | | | | 15 |
| 417 JP MORGAN STRATEGIC | 4812C0498 | X | | | 12/1/2014 | 1/2/2015 | 14 | 14 | 0 | 0 | | | | | 0 |
| 418 JP MORGAN STRATEGIC | 4812C0498 | X | | | 12/1/2014 | 1/2/2015 | 43 | 44 | 0 | 0 | | | | | 0 |
| 419 JP MORGAN STRATEGIC | 4812C0498 | X | | | 12/1/2014 | 1/2/2015 | 43 | 44 | 0 | 0 | | | | | 0 |
| 420 JP MORGAN STRATEGIC | 4812C0498 | X | | | 9/24/2014 | 1/2/2015 | 15 | 15 | 0 | 0 | | | | | -1 |
| 421 JP MORGAN STRATEGIC | 4812C0498 | X | | | 8/1/2014 | 1/2/2015 | 14 | 14 | 0 | 0 | | | | | 0 |
| 422 JP MORGAN STRATEGIC | 4812C0498 | X | | | 7/20/2014 | 1/2/2015 | 14 | 14 | 0 | 0 | | | | | 0 |
| 423 JP MORGAN STRATEGIC | 4812C0498 | X | | | 7/20/2014 | 1/2/2015 | 14 | 14 | 0 | 0 | | | | | 0 |
| 424 JP MORGAN STRATEGIC | 4812C0498 | X | | | 7/20/2014 | 1/2/2015 | 14 | 14 | 0 | 0 | | | | | 0 |
| 425 JP MORGAN STRATEGIC | 4812C0498 | X | | | 7/20/2014 | 1/2/2015 | 43 | 44 | 0 | 0 | | | | | 0 |
| 426 JP MORGAN STRATEGIC | 4812C0498 | X | | | 5/1/2014 | 1/2/2015 | 13 | 13 | 0 | 0 | | | | | 0 |
| 427 JP MORGAN STRATEGIC | 4812C0498 | X | | | 4/1/2014 | 1/2/2015 | 14 | 11 | 0 | 0 | | | | | 3 |
| 428 JP MORGAN STRATEGIC | 4812C0498 | X | | | 3/3/2014 | 1/2/2015 | 14 | 13 | 0 | 0 | | | | | 1 |
| 429 JP MORGAN STRATEGIC | 4812C0498 | X | | | 12/1/2014 | 1/2/2015 | 25,765 | 23,377 | 0 | 0 | | | | | 2,388 |
| 430 JP MORGAN STRATEGIC | 4812C0498 | X | | | 9/3/2013 | 1/2/2015 | 14 | 12 | 0 | 0 | | | | | 2 |
| 431 JP MORGAN STRATEGIC | 4812C0498 | X | | | 8/1/2013 | 1/2/2015 | 14 | 12 | 0 | 0 | | | | | 2 |
| 432 JP MORGAN STRATEGIC | 4812C0498 | X | | | 7/11/2013 | 1/2/2015 | 43 | 35 | 0 | 0 | | | | | 8 |
| 433 JP MORGAN STRATEGIC | 4812C0498 | X | | | 7/11/2013 | 1/2/2015 | 14 | 14 | 0 | 0 | | | | | 0 |
| 434 JP MORGAN STRATEGIC | 4812C0498 | X | | | 6/3/2013 | 1/2/2015 | 28 | 24 | 0 | 0 | | | | | 4 |
| 435 JP MORGAN STRATEGIC | 4812C0498 | X | | | 5/1/2013 | 1/2/2015 | 14 | 11 | 0 | 0 | | | | | 3 |
| 436 JP MORGAN STRATEGIC | 4812C0498 | X | | | 4/1/2013 | 1/2/2015 | 43 | 34 | 0 | 0 | | | | | 9 |
| 437 JP MORGAN STRATEGIC | 4812C0498 | X | | | 3/1/2013 | 1/2/2015 | 14 | 11 | 0 | 0 | | | | | 3 |
| 438 JP MORGAN STRATEGIC | 4812C0498 | X | | | 1/28/2013 | 1/2/2015 | 43 | 33 | 0 | 0 | | | | | 10 |
| 439 JP MORGAN STRATEGIC | 4812C0498 | X | | | 2/28/2015 | 3/10/2015 | 15,761 | 11,978 | 0 | 0 | | | | | 3,783 |
| 440 JP MORGAN STRATEGIC | 4812C0498 | X | | | 12/15/2014 | 3/10/2015 | 7 | 8 | 0 | 0 | | | | | -1 |
| 441 JP MORGAN STRATEGIC | 4812C0498 | X | | | 12/15/2014 | 3/10/2015 | 70 | 70 | 0 | 0 | | | | | 0 |
| 442 JP MORGAN STRATEGIC | 4812C0498 | X | | | 12/15/2014 | 3/10/2015 | 70 | 70 | 0 | 0 | | | | | 0 |
| 443 JP MORGAN STRATEGIC | 4812C0498 | X | | | 12/1/2014 | 3/10/2015 | 14 | 14 | 0 | 0 | | | | | 0 |
| 444 JP MORGAN STRATEGIC | 4812C0498 | X | | | 12/1/2014 | 3/10/2015 | 42 | 43 | 0 | 0 | | | | | -1 |
| 445 JP MORGAN STRATEGIC | 4812C0498 | X | | | 6/5/2014 | 1/2/2015 | 1,102 | 1,194 | 0 | 0 | | | | | -92 |
| 446 JP MORGAN STRATEGIC | 4812C0498 | X | | | 6/5/2014 | 1/2/2015 | 6 | 6 | 0 | 0 | | | | | 0 |
| 447 JP MORGAN STRATEGIC | 4812C0498 | X | | | 2/25/2014 | 1/2/2015 | 2 | 2 | 0 | 0 | | | | | 0 |
| 448 JP MORGAN STRATEGIC | 4812C0498 | X | | | 2/25/2014 | 1/2/2015 | 8,721 | 7,271 | 0 | 0 | | | | | -1,450 |
| 449 JP MORGAN STRATEGIC | 4812C0498 | X | | | 12/17/2014 | 1/2/2015 | 13 | 13 | 0 | 0 | | | | | 0 |
| 450 JP MORGAN STRATEGIC | 4812C0498 | X | | | 12/17/2014 | 1/2/2015 | 163 | 161 | 0 | 0 | | | | | 2 |
| 451 JP MORGAN STRATEGIC | 4812C0498 | X | | | 12/17/2014 | 1/2/2015 | 44 | 44 | 0 | 0 | | | | | 0 |
| 452 JP MORGAN STRATEGIC | 4812C0498 | X | | | 11/25/2014 | 1/2/2015 | 15 | 16 | 0 | 0 | | | | | -1 |
| 453 JP MORGAN STRATEGIC | 4812C0498 | X | | | 11/25/2014 | 1/2/2015 | 15 | 16 | 0 | 0 | | | | | -1 |
| 454 JP MORGAN STRATEGIC | 4812C0498 | X | | | 10/27/2014 | 1/2/2015 | 15 | 15 | 0 | 0 | | | | | 0 |

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Part 1, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

| Description | CUSIP # | Check "X" to include in Part IV | Purchaser | Check "X" if Purchaser is a Business | Acquisition Method | Date Acquired | Date Sold | Totals | | Valuation Method | Expense of Sale and Cost of Improvements | Depreciation | Adjustments | Net Gain or Loss |
|-----------------------------|-----------|---------------------------------|-----------|--------------------------------------|--------------------|---------------|-----------|----------------------|-------------|------------------|--|--------------|-------------|------------------|
| | | | | | | | | Capital Gains/Losses | Gross Sales | | | | | |
| Long Term CG Distributions | Amount | | | | | | | Gross Sales | Other sales | | | | | Net Gain or Loss |
| 433 LOOMIS SAYLES BOND FD | 543495840 | X | | | 8/13/2014 | 1/2/2015 | | 15 | 16 | | | | | -1 |
| 434 LOOMIS SAYLES BOND FD | 543495840 | X | | | 6/24/2014 | 1/2/2015 | | 15 | 16 | | | | | -1 |
| 435 LOOMIS SAYLES BOND FD | 543495840 | X | | | 6/24/2014 | 1/2/2015 | | 15 | 16 | | | | | -1 |
| 436 JP MORGAN EQUITY INCOME | 481200498 | X | | | 1/3/2014 | 1/2/2015 | | 28 | 28 | | | | | 0 |
| 437 LOOMIS SAYLES BOND FD | 543495840 | X | | | 6/5/2014 | 1/2/2015 | | 577 | 612 | | | | | -35 |
| 438 LOOMIS SAYLES BOND FD | 543495840 | X | | | 3/26/2014 | 1/2/2015 | | 15 | 15 | | | | | 0 |
| 439 LOOMIS SAYLES BOND FD | 543495840 | X | | | 2/25/2014 | 1/2/2015 | | 15 | 15 | | | | | 0 |
| 440 LOOMIS SAYLES BOND FD | 543495840 | X | | | 1/2/2014 | 1/2/2015 | | 5,679 | 5,872 | | | | | -193 |
| 441 MFS MUNI HIGH INCOME FD | 552984692 | X | | | 1/2/2014 | 1/2/2015 | | 2 | 2 | | | | | 0 |
| 442 MFS MUNI HIGH INCOME FD | 552984692 | X | | | 6/5/2014 | 1/2/2015 | | 8,013 | 7,807 | | | | | 206 |
| 443 MFS MUNI HIGH INCOME FD | 552984692 | X | | | 6/5/2014 | 1/2/2015 | | 8 | 8 | | | | | 0 |
| 444 MFS MUNI HIGH INCOME FD | 552984692 | X | | | 2/25/2014 | 1/2/2015 | | 9,286 | 8,717 | | | | | 569 |
| 445 MATTHEWS ASIA GROWTH | 57130887 | X | | | 6/24/2013 | 1/2/2015 | | 20,892 | 19,223 | | | | | 1,669 |
| 446 MATTHEWS ASIA GROWTH | 57130887 | X | | | 4/12/2013 | 1/2/2015 | | 3 | 3 | | | | | 0 |
| 447 METROPOLITAN WEST TOTAL | 592905509 | X | | | 5/29/2015 | 5/29/2015 | | 3 | 3 | | | | | 0 |
| 448 METROPOLITAN WEST TOTAL | 592905509 | X | | | 5/29/2015 | 5/29/2015 | | 3 | 3 | | | | | 0 |
| 449 METROPOLITAN WEST TOTAL | 592905509 | X | | | 4/30/2015 | 5/29/2015 | | 11 | 11 | | | | | 0 |
| 450 METROPOLITAN WEST TOTAL | 592905509 | X | | | 4/30/2015 | 5/29/2015 | | 103 | 110 | | | | | -7 |
| 451 METROPOLITAN WEST TOTAL | 592905509 | X | | | 3/10/2015 | 5/29/2015 | | 656 | 656 | | | | | 0 |
| 452 METRO WEST LOW DURATIC | 592905509 | X | | | 1/2/2015 | 5/29/2015 | | 82,036 | 81,956 | | | | | 81 |
| 453 METRO WEST LOW DURATIC | 592905509 | X | | | 6/5/2014 | 1/2/2015 | | 3 | 3 | | | | | 0 |
| 454 METRO WEST LOW DURATIC | 592905509 | X | | | 11/30/2015 | 1/2/2015 | | 33,660 | 33,775 | | | | | -115 |
| 455 NEUBERGER BERMAN STRA | 64128K751 | X | | | 1/30/2014 | 1/2/2015 | | 235 | 246 | | | | | -11 |
| 456 BLACKROCK STRATEGIC | 09256H286 | X | | | 10/28/2015 | 10/28/2015 | | 90 | 90 | | | | | -3 |
| 457 BLACKROCK STRATEGIC | 09256H286 | X | | | 2/7/2015 | 10/28/2015 | | 10 | 10 | | | | | 0 |
| 458 BLACKROCK STRATEGIC | 09256H286 | X | | | 7/18/2014 | 10/28/2015 | | 100 | 104 | | | | | -4 |
| 459 TCW EMERGING | 87234N765 | X | | | 7/12/2013 | 1/2/2015 | | 6,812 | 7,169 | | | | | -357 |
| 460 TCW EMERGING | 87234N765 | X | | | 7/8/2013 | 1/2/2015 | | 8 | 9 | | | | | -1 |
| 461 TCW EMERGING | 87234N765 | X | | | 7/8/2013 | 1/2/2015 | | 80 | 89 | | | | | -9 |
| 462 TCW EMERGING | 87234N765 | X | | | 5/21/2013 | 1/2/2015 | | 8 | 10 | | | | | -2 |
| 463 TEMPLETON GBLB BOND FD | 880208400 | X | | | 12/17/2014 | 1/2/2015 | | 62 | 61 | | | | | 1 |
| 464 TEMPLETON GBLB BOND FD | 880208400 | X | | | 12/17/2014 | 1/2/2015 | | 12 | 12 | | | | | 0 |
| 465 TEMPLETON GBLB BOND FD | 880208400 | X | | | 12/17/2014 | 1/2/2015 | | 1,089 | 1,094 | | | | | -5 |
| 466 TEMPLETON GBLB BOND FD | 880208400 | X | | | 11/17/2014 | 1/2/2015 | | 27,255 | 28,996 | | | | | -1,731 |
| 467 TEMPLETON GBLB BOND FD | 880208400 | X | | | 5/29/2015 | 12/31/2015 | | 23,440 | 25,209 | | | | | -1,769 |
| 468 TEMPLETON GBLB BOND FD | 880208400 | X | | | 5/29/2015 | 12/31/2015 | | 10 | 11 | | | | | -1 |
| 469 VANGUARD DIVIDEND | 921908844 | X | | | 6/30/2014 | 1/5/2015 | | 90 | 77 | | | | | 13 |
| 470 TEMPLETON GLOBAL TOTAL | 880208855 | X | | | 1/2/2015 | 2/6/2015 | | 10,284 | 10,171 | | | | | 113 |
| 471 TEMPLETON GLOBAL TOTAL | 880208855 | X | | | 1/2/2015 | 2/6/2015 | | 9 | 9 | | | | | 0 |
| 472 VANGUARD DIVIDEND | 921908844 | X | | | 2/7/2014 | 1/5/2015 | | 480 | 431 | | | | | 49 |
| 473 VANGUARD DIVIDEND | 921908844 | X | | | 12/26/2014 | 1/5/2015 | | 80 | 82 | | | | | -2 |
| 474 VANGUARD DIVIDEND | 921908844 | X | | | 12/26/2014 | 1/5/2015 | | 560 | 577 | | | | | -17 |
| 475 VANGUARD DIVIDEND | 921908844 | X | | | 10/29/2014 | 1/5/2015 | | 7,995 | 7,782 | | | | | 213 |
| 476 VANGUARD DIVIDEND | 921908844 | X | | | 1/21/2014 | 1/5/2015 | | 1,119 | 1,040 | | | | | 79 |
| 477 NEUBERGER BERMAN STRA | 64128K751 | X | | | 10/28/2015 | 12/14/2015 | | 126 | 130 | | | | | 4 |
| 478 NEUBERGER BERMAN STRA | 64128K751 | X | | | 11/30/2015 | 12/14/2015 | | 6 | 7 | | | | | -1 |
| 479 NEUBERGER BERMAN STRA | 64128K751 | X | | | 10/30/2015 | 12/14/2015 | | 10 | 11 | | | | | -1 |
| 480 NEUBERGER BERMAN STRA | 64128K751 | X | | | 10/30/2015 | 12/14/2015 | | 10 | 10 | | | | | 0 |
| 481 NEUBERGER BERMAN STRA | 64128K751 | X | | | 10/28/2015 | 12/14/2015 | | 80,820 | 83,441 | | | | | -2,621 |
| 482 INVESCO DIVERSIFIED | 00141B204 | X | | | 1/28/2013 | 1/2/2015 | | 0 | 0 | | | | | 0 |
| 483 INVESCO DIVERSIFIED | 00141B204 | X | | | 1/28/2013 | 1/2/2015 | | 15,939 | 12,333 | | | | | 3,606 |
| 484 NUVEEN HIGH YIELD MUNI | 67065Q772 | X | | | 6/5/2014 | 1/2/2015 | | 2 | 2 | | | | | 0 |
| 485 AQR DIVERSIFIED | 00203H602 | X | | | 12/22/2014 | 1/2/2015 | | 178 | 182 | | | | | -4 |
| 486 NUVEEN HIGH YIELD MUNI | 67065Q772 | X | | | 6/5/2014 | 1/2/2015 | | 10,080 | 9,789 | | | | | 291 |
| 487 NUVEEN HIGH YIELD MUNI | 67065Q772 | X | | | 6/5/2014 | 1/2/2015 | | 17 | 16 | | | | | 1 |
| 488 NUVEEN HIGH YIELD MUNI | 67065Q772 | X | | | 2/25/2014 | 1/2/2015 | | 12,468 | 11,572 | | | | | 894 |
| 489 AQR DIVERSIFIED | 00203H602 | X | | | 1/21/2014 | 1/2/2015 | | 9,464 | 10,214 | | | | | -750 |
| 490 OPPENHEIMER DEVELOPING | 683974505 | X | | | 12/5/2014 | 1/2/2015 | | 593 | 620 | | | | | -27 |
| 491 OPPENHEIMER DEVELOPING | 683974505 | X | | | 12/5/2014 | 1/2/2015 | | 35 | 36 | | | | | -1 |
| 492 OPPENHEIMER DEVELOPING | 683974505 | X | | | 12/5/2014 | 1/2/2015 | | 2 | 2 | | | | | 0 |
| 493 OPPENHEIMER DEVELOPING | 683974505 | X | | | 12/5/2014 | 1/2/2015 | | 32 | 32 | | | | | 0 |
| 494 OPPENHEIMER DEVELOPING | 683974505 | X | | | 12/5/2014 | 1/2/2015 | | 209 | 219 | | | | | -10 |
| 495 OPPENHEIMER DEVELOPING | 683974505 | X | | | 2/25/2014 | 1/2/2015 | | 36 | 36 | | | | | 0 |
| 496 AQR DIVERSIFIED | 00203H602 | X | | | 12/22/2014 | 1/2/2015 | | 5 | 5 | | | | | 0 |
| 497 OPPENHEIMER DEVELOPING | 683974505 | X | | | 2/25/2014 | 1/2/2015 | | 11,786 | 12,084 | | | | | -298 |
| 498 OPPENHEIMER DEVELOPING | 683974505 | X | | | 1/21/2014 | 1/2/2015 | | 3,697 | 3,697 | | | | | -175 |
| 499 OPPENHEIMER DEVELOPING | 683974505 | X | | | 1/14/2013 | 1/2/2015 | | 13,285 | 13,614 | | | | | -329 |
| 500 INVESCO DIVERSIFIED | 00141B204 | X | | | 3/21/2013 | 1/2/2015 | | 92 | 74 | | | | | 18 |
| 501 INVESCO DIVERSIFIED | 00141B204 | X | | | 6/20/2013 | 1/2/2015 | | 75 | 91 | | | | | -16 |
| 502 POPLAR FOREST PARTNERS | 00768D798 | X | | | 12/17/2012 | 12/31/2015 | | 41 | 31 | | | | | 10 |
| 503 INVESCO DIVERSIFIED | 00141B204 | X | | | 1/21/2014 | 1/2/2015 | | 25,272 | 23,394 | | | | | 1,878 |
| 504 POPLAR FOREST PARTNERS | 00768D798 | X | | | 1/4/2013 | 12/31/2015 | | 41 | 31 | | | | | 10 |

Long Term CG Distributions 16,082

Short Term CG Distributions 0

Capital Gains/Losses Other sales 0

Gross Sales 5,049,698

Cost or Other Basis Expenses, Depreciation and Adjustments 3,299,708

Net Gain or Loss 1,749,990

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

| Description | CUSIP # | Check "X" to include in Part IV | Purchaser | Check "X" if Purchaser is a Business | Acquisition Method | Date Acquired | Date Sold | Totals | | Valuation Method | Expense of Sale and Cost of Improvements | Depreciation | Adjustments | Net Gain or Loss |
|----------------------------|-----------|---------------------------------|-----------|--------------------------------------|--------------------|---------------|------------|----------------------------------|-------------|------------------|--|--------------|-------------|------------------|
| | | | | | | | | Capital Gains/Losses Other sales | Gross Sales | | | | | |
| 505 POPLAR FOREST PARTNERS | 00768D798 | X | | | | 3/26/2012 | 12/31/2015 | 694 | 527 | | | | | 167 |
| 506 POPLAR FOREST PARTNERS | 00796D798 | X | | | | 1/4/2012 | 12/31/2015 | 4,125 | 2,779 | | | | | 1,346 |
| 507 POPLAR FOREST PARTNERS | 00766D798 | X | | | | 12/16/2014 | 12/31/2015 | 123 | 127 | | | | | -4 |
| 508 POPLAR FOREST PARTNERS | 00768D798 | X | | | | 2/26/2014 | 12/31/2015 | 1 | | | | | | 1 |
| 509 POPLAR FOREST PARTNERS | 00768D798 | X | | | | 1/14/2013 | 12/31/2015 | 23,238 | 18,240 | | | | | 4,998 |
| 510 POPLAR FOREST PARTNERS | 00766D798 | X | | | | 12/8/2015 | 12/31/2015 | 41 | 42 | | | | | -1 |
| 511 OPPENHEIMER DEVELOPING | 683974505 | X | | | | 1/14/2013 | 12/2015 | 35 | 35 | | | | | 0 |
| 512 OPPENHEIMER DEVELOPING | 683974505 | X | | | | 1/4/2013 | 12/2015 | 7,148 | 7,250 | | | | | -102 |
| 513 OPPENHEIMER DEVELOPING | 683974505 | X | | | | 12/10/2012 | 12/2015 | 33 | 33 | | | | | 0 |
| 514 OPPENHEIMER DEVELOPING | 683974505 | X | | | | 12/10/2012 | 12/2015 | 35 | 34 | | | | | 1 |
| 515 OPPENHEIMER DEVELOPING | 683974505 | X | | | | 5/29/2012 | 12/2015 | 1,848 | 1,597 | | | | | 251 |
| 516 PIMCO ALL ASSET ALL | 72201M438 | X | | | | 12/31/2014 | 12/2015 | 5 | 6 | | | | | -1 |
| 517 PIMCO ALL ASSET ALL | 72201M438 | X | | | | 12/31/2014 | 12/2015 | 1,128 | 1,132 | | | | | -4 |
| 518 PIMCO ALL ASSET ALL | 72201M438 | X | | | | 3/10/2015 | 12/2015 | 0 | 0 | | | | | 0 |
| 519 PIMCO ALL ASSET ALL | 72201M438 | X | | | | 6/5/2014 | 3/10/2015 | 1,118 | 1,285 | | | | | -167 |
| 520 PIONEER STRATEGIC | 723884409 | X | | | | 6/5/2014 | 1/10/2015 | 1,567 | 1,621 | | | | | -54 |
| 521 PIMCO ALL ASSET ALL | 72201M438 | X | | | | 6/5/2014 | 12/2015 | 1,041 | 1,181 | | | | | -140 |
| 522 PIMCO ALL ASSET ALL | 72201M438 | X | | | | 6/5/2014 | 12/2015 | 9 | 10 | | | | | -1 |
| 523 PIONEER STRATEGIC | 723884409 | X | | | | 2/25/2014 | 12/2015 | 1,052 | 1,072 | | | | | -20 |
| 524 PIMCO ALL ASSET ALL | 72201M438 | X | | | | 1/21/2014 | 12/2015 | 3,013 | 3,270 | | | | | -257 |
| 525 PIONEER STRATEGIC | 723884409 | X | | | | 10/11/2013 | 12/2015 | 8,316 | 8,494 | | | | | -178 |
| 526 PIONEER STRATEGIC | 723884409 | X | | | | 9/30/2013 | 12/2015 | 11 | 11 | | | | | 0 |
| 527 PIONEER STRATEGIC | 723884409 | X | | | | 8/20/2013 | 12/2015 | 11 | 11 | | | | | 0 |
| 528 PIMCO ALL ASSET ALL | 72201M438 | X | | | | 1/21/2014 | 12/2015 | 9 | 10 | | | | | -1 |
| 529 PIONEER STRATEGIC | 723884409 | X | | | | 7/31/2013 | 12/2015 | 11 | 11 | | | | | 0 |
| 530 PIONEER STRATEGIC | 723884409 | X | | | | 7/31/2013 | 12/2015 | 64 | 66 | | | | | -2 |
| 531 PIMCO ALL ASSET ALL | 72201M438 | X | | | | 1/23/2013 | 12/2015 | 91 | 108 | | | | | -17 |
| 532 PIMCO ALL ASSET ALL | 72201M438 | X | | | | 1/14/2013 | 12/2015 | 26,398 | 32,292 | | | | | -5,893 |
| 533 PIMCO ALL ASSET ALL | 72201M438 | X | | | | 12/23/2010 | 12/2015 | 155 | 180 | | | | | -25 |
| 534 PIONEER STRATEGIC | 723884409 | X | | | | 6/28/2013 | 12/2015 | 11 | 11 | | | | | 0 |
| 535 PIONEER STRATEGIC | 723884409 | X | | | | 6/28/2013 | 12/2015 | 75 | 77 | | | | | -2 |
| 536 PIONEER STRATEGIC | 723884409 | X | | | | 5/31/2013 | 12/2015 | 75 | 79 | | | | | -4 |
| 537 PIONEER STRATEGIC | 723884409 | X | | | | 4/30/2013 | 12/2015 | 75 | 80 | | | | | -5 |
| 538 PIONEER STRATEGIC | 723884409 | X | | | | 3/28/2013 | 12/2015 | 75 | 80 | | | | | -5 |
| 539 PIONEER STRATEGIC | 723884409 | X | | | | 2/28/2013 | 12/2015 | 75 | 79 | | | | | -4 |
| 540 PIONEER STRATEGIC | 723884409 | X | | | | 2/28/2013 | 12/2015 | 75 | 79 | | | | | -4 |
| 541 PIONEER STRATEGIC | 723884409 | X | | | | 1/31/2013 | 12/2015 | 64 | 68 | | | | | -4 |
| 542 PIONEER STRATEGIC | 723884409 | X | | | | 1/14/2013 | 12/2015 | 6,898 | 7,095 | | | | | -398 |
| 543 PIONEER STRATEGIC | 723884409 | X | | | | 12/31/2012 | 12/2015 | 79 | 79 | | | | | 0 |
| 544 PIONEER STRATEGIC | 723884409 | X | | | | 12/31/2012 | 12/2015 | 11 | 11 | | | | | 0 |
| 545 PIONEER STRATEGIC | 723884409 | X | | | | 11/30/2012 | 12/2015 | 54 | 56 | | | | | -2 |
| 546 PIONEER STRATEGIC | 723884409 | X | | | | 12/31/2015 | 12/31/2015 | 626 | 626 | | | | | 0 |
| 547 PIONEER STRATEGIC | 723884409 | X | | | | 9/30/2015 | 12/31/2015 | 548 | 514 | | | | | 34 |
| 548 VANGUARD DIVIDEND | 921908844 | X | | | | 9/30/2015 | 12/31/2015 | 78 | 73 | | | | | 5 |
| 549 VANGUARD DIVIDEND | 921908844 | X | | | | 7/6/2015 | 12/31/2015 | 548 | 552 | | | | | -4 |
| 550 VANGUARD DIVIDEND | 921908844 | X | | | | 3/30/2015 | 12/31/2015 | 470 | 486 | | | | | -16 |
| 551 VANGUARD DIVIDEND | 921908844 | X | | | | 10/29/2014 | 12/31/2015 | 19,883 | 19,767 | | | | | 116 |
| 552 VANGUARD DIVIDEND | 921908844 | X | | | | 10/29/2014 | 12/31/2015 | 78 | 78 | | | | | 0 |
| 553 VANGUARD DIVIDEND | 921908844 | X | | | | 10/29/2014 | 12/31/2015 | 34,044 | 34,013 | | | | | 31 |
| 554 VANGUARD TOTAL BOND MK | 921937835 | X | | | | 10/29/2014 | 15/2015 | 1,159 | 1,156 | | | | | 3 |
| 555 VANGUARD TOTAL BOND MK | 921937835 | X | | | | 10/29/2014 | 2/6/2015 | 7,067 | 7,017 | | | | | 50 |
| 556 VANGUARD TOTAL BOND MK | 921937835 | X | | | | 5/8/2015 | 5/29/2015 | 330 | 330 | | | | | 0 |
| 557 VANGUARD TOTAL BOND MK | 921937835 | X | | | | 10/29/2014 | 5/29/2015 | 82 | 83 | | | | | -1 |
| 558 VANGUARD TOTAL BOND MK | 921937835 | X | | | | 10/29/2014 | 5/29/2015 | 66,578 | 66,708 | | | | | -128 |
| 559 VANGUARD TOTAL BOND MK | 921937835 | X | | | | 10/29/2014 | 5/29/2015 | 82 | 83 | | | | | -1 |
| 560 VANGUARD TOTAL BOND MK | 921937835 | X | | | | 10/29/2014 | 5/29/2015 | 165 | 165 | | | | | 0 |
| 561 VANGUARD TOTAL BOND MK | 921937835 | X | | | | 11/2/2012 | 12/2015 | 54 | 56 | | | | | -2 |
| 562 VANGUARD TOTAL BOND MK | 921937835 | X | | | | 1/21/2014 | 3/10/2015 | 5,114 | 4,983 | | | | | 131 |
| 563 VANGUARD TOTAL BOND MK | 921937835 | X | | | | 3/26/2012 | 12/31/2015 | 41 | 41 | | | | | 0 |
| 564 VANGUARD TOTAL BOND MK | 921937835 | X | | | | 12/17/2012 | 12/31/2015 | 41 | 31 | | | | | 10 |
| 565 ARTISAN GLOBAL | 04314H824 | X | | | | 11/19/2014 | 3/10/2015 | 46 | 56 | | | | | -10 |
| 566 ARTISAN GLOBAL | 04314H824 | X | | | | 11/19/2014 | 3/10/2015 | 19 | 19 | | | | | 0 |
| 567 POPLAR FOREST PARTNERS | 00768D798 | X | | | | 1/19/2014 | 12/31/2015 | 28 | 29 | | | | | -1 |
| 568 POPLAR FOREST PARTNERS | 00768D798 | X | | | | 1/4/2013 | 12/31/2015 | 15,479 | 12,150 | | | | | 3,329 |
| 569 ARTISAN GLOBAL | 04314H824 | X | | | | 11/19/2014 | 3/10/2015 | 10 | 13 | | | | | -3 |
| 570 ARTISAN GLOBAL | 04314H824 | X | | | | 3/13/2013 | 3/10/2015 | 18,743 | 15,533 | | | | | 3,210 |
| 571 POPLAR FOREST PARTNERS | 00768D798 | X | | | | 12/17/2012 | 12/31/2015 | 123 | 93 | | | | | 30 |

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

| Description | CUSIP # | Check "X" to include in Part IV | Purchaser | Check "X" if Purchaser is a Business | Acquisition Method | Date Acquired | Date Sold | Gross Sales Price | Cost or Other Basis | Valuation Method | Expense of Sale and Cost of Improvements | Depreciation | Adjustments | Net Gain or Loss | Totals | | |
|------------------------------|-----------|---------------------------------|-----------|--------------------------------------|--------------------|---------------|------------|-------------------|---------------------|------------------|--|--------------|-------------|------------------|---|------------------|--|
| | | | | | | | | | | | | | | | Capital Gains/Losses | Other sales | |
| | | | | | | | | | | | | | | Gross Sales | Cost or Other Basis, Expenses, Depreciation and Adjustments | Net Gain or Loss | |
| | | | | | | | | | | | | | | 16,082 | 5,049,898 | 1,749,990 | |
| | | | | | | | | | | | | | | 0 | 0 | 0 | |
| 577 POPLAR FOREST PARTNERS | 00768D798 | X | | | | 12/16/2014 | 12/31/2015 | 41 | 42 | | | | | 0 | -1 | | |
| 578 POPLAR FOREST PARTNERS | 00768D798 | X | | | | 12/16/2014 | 12/31/2015 | 2,796 | 2,829 | | | | | 0 | -93 | | |
| 579 AB HIGH INCOME MUNICIPAL | 018642744 | X | | | | 12/20/15 | 12/20/15 | 12,464 | 11,615 | | | | | 0 | 849 | | |
| 580 AB HIGH INCOME MUNICIPAL | 018642744 | X | | | | 2/25/2014 | 12/20/15 | 8 | 7 | | | | | 0 | 1 | | |
| 581 AB HIGH INCOME MUNICIPAL | 018642744 | X | | | | 6/5/2014 | 12/20/15 | 8,568 | 8,346 | | | | | 0 | 212 | | |
| 582 ANGEL OAK MULTI STRATEG | 03463K406 | X | | | | 11/17/2014 | 12/20/15 | 13,833 | 13,890 | | | | | 0 | -57 | | |
| 583 ANGEL OAK MULTI STRATEG | 03463K406 | X | | | | 11/17/2014 | 12/20/15 | 1 | 1 | | | | | 0 | 0 | | |
| 584 ARTISAN GLOBAL | 04314H924 | X | | | | 1/21/2014 | 3/10/2015 | 19 | 17 | | | | | 0 | 2 | | |
| 585 ARTISAN GLOBAL | 04314H924 | X | | | | 11/19/2014 | 12/20/15 | 545 | 541 | | | | | 0 | 4 | | |
| 586 BLACKROCK LOW DURATION | 091928283 | X | | | | 10/31/2014 | 12/20/15 | 16,767 | 16,937 | | | | | 0 | -170 | | |
| 587 BLACKROCK LOW DURATION | 091928283 | X | | | | 12/23/2014 | 12/20/15 | 10 | 10 | | | | | 0 | 0 | | |
| 588 BLACKROCK LOW DURATION | 091928283 | X | | | | 11/28/2014 | 12/20/15 | 10 | 10 | | | | | 0 | 0 | | |
| 589 BLACKROCK LOW DURATION | 091928283 | X | | | | 4/30/2014 | 12/20/15 | 10 | 10 | | | | | 0 | 0 | | |
| 590 BLACKROCK LOW DURATION | 091928283 | X | | | | 10/6/2015 | 10/28/2015 | 164 | 164 | | | | | 0 | 0 | | |
| 591 VANGUARD TOTAL BOND MM | 82734N765 | X | | | | 8/5/2013 | 12/20/15 | 8 | 9 | | | | | 0 | -1 | | |
| 592 TOW EMERGING | 82734N765 | X | | | | 7/18/2014 | 10/28/2015 | 10 | 10 | | | | | 0 | 0 | | |
| 593 BLACKROCK STRATEGIC | 09256H286 | X | | | | 11/4/2013 | 10/28/2015 | 10 | 10 | | | | | 0 | 0 | | |
| 594 BLACKROCK STRATEGIC | 09256H286 | X | | | | 11/4/2013 | 10/28/2015 | 689 | 681 | | | | | 0 | -12 | | |
| 595 BLACKROCK STRATEGIC | 09256H286 | X | | | | 1/28/2015 | 10/28/2015 | 129 | 134 | | | | | 0 | -5 | | |
| 596 BLACKROCK STRATEGIC | 09256H286 | X | | | | 7/31/2015 | 10/28/2015 | 149 | 151 | | | | | 0 | -2 | | |
| 597 BLACKROCK STRATEGIC | 09256H286 | X | | | | 6/30/2015 | 10/28/2015 | 149 | 151 | | | | | 0 | -2 | | |
| 598 BLACKROCK STRATEGIC | 09256H286 | X | | | | 8/31/2015 | 10/28/2015 | 139 | 140 | | | | | 0 | -1 | | |
| 599 BLACKROCK STRATEGIC | 09256H286 | X | | | | 7/31/2015 | 10/28/2015 | 10 | 10 | | | | | 0 | 0 | | |
| 600 BLACKROCK STRATEGIC | 09256H286 | X | | | | 9/30/2015 | 10/28/2015 | 119 | 119 | | | | | 0 | 0 | | |
| 601 BLACKROCK STRATEGIC | 09256H286 | X | | | | 3/31/2015 | 10/28/2015 | 10 | 10 | | | | | 0 | 0 | | |
| 602 BLACKROCK STRATEGIC | 09256H286 | X | | | | 9/30/2015 | 10/28/2015 | 7 | 7 | | | | | 0 | 0 | | |
| 603 BLACKROCK STRATEGIC | 09256H286 | X | | | | 9/30/2015 | 10/28/2015 | 10 | 10 | | | | | 0 | 0 | | |
| 604 BLACKROCK STRATEGIC | 09256H286 | X | | | | 3/17/2014 | 10/28/2015 | 10 | 11 | | | | | 0 | -1 | | |
| 605 BLACKROCK STRATEGIC | 09256H286 | X | | | | 12/31/2014 | 12/20/15 | 3 | 3 | | | | | 0 | 0 | | |
| 606 BLACKROCK LOW DURATION | 091928283 | X | | | | 3/7/2014 | 12/20/15 | 10 | 10 | | | | | 0 | -1 | | |
| 607 BLACKROCK LOW DURATION | 091928283 | X | | | | 2/10/2014 | 12/20/15 | 10 | 10 | | | | | 0 | 0 | | |
| 608 BLACKROCK LOW DURATION | 091928283 | X | | | | 11/28/2014 | 12/20/15 | 19 | 20 | | | | | 0 | -1 | | |
| 609 BLACKROCK LOW DURATION | 091928283 | X | | | | 1/24/2014 | 12/20/15 | 10 | 10 | | | | | 0 | 0 | | |
| 610 BLACKROCK LOW DURATION | 091928283 | X | | | | 3/28/2014 | 12/20/15 | 10 | 11 | | | | | 0 | -1 | | |
| 611 BLACKROCK LOW DURATION | 091928283 | X | | | | 12/31/2014 | 12/20/15 | 19 | 19 | | | | | 0 | -1 | | |
| 612 BLACKROCK LOW DURATION | 091928283 | X | | | | 12/31/2014 | 12/20/15 | 22 | 22 | | | | | 0 | -3 | | |
| 613 BLACKROCK LOW DURATION | 091928283 | X | | | | 2/10/2014 | 12/20/15 | 10 | 10 | | | | | 0 | -1 | | |
| 614 BLACKROCK LOW DURATION | 091928283 | X | | | | 2/27/2015 | 3/10/2015 | 7 | 7 | | | | | 0 | 0 | | |
| 615 BLACKROCK LOW DURATION | 091928283 | X | | | | 12/12/2014 | 3/10/2015 | 19 | 20 | | | | | 0 | -1 | | |
| 616 BLACKROCK LOW DURATION | 091928283 | X | | | | 9/28/2012 | 12/20/15 | 54 | 56 | | | | | 0 | -2 | | |
| 617 BLACKROCK LOW DURATION | 091928283 | X | | | | 8/31/2012 | 12/20/15 | 11 | 11 | | | | | 0 | 0 | | |
| 618 PIONEER STRATEGIC | 723884409 | X | | | | 8/31/2012 | 12/20/15 | 54 | 56 | | | | | 0 | -2 | | |
| 619 PIONEER STRATEGIC | 723884409 | X | | | | 7/31/2012 | 12/20/15 | 54 | 54 | | | | | 0 | 0 | | |
| 620 PIONEER STRATEGIC | 723884409 | X | | | | 6/28/2012 | 12/20/15 | 54 | 55 | | | | | 0 | -1 | | |
| 621 PIONEER STRATEGIC | 723884409 | X | | | | 5/31/2012 | 12/20/15 | 11 | 11 | | | | | 0 | 0 | | |
| 622 PIONEER STRATEGIC | 723884409 | X | | | | 5/31/2012 | 12/20/15 | 54 | 54 | | | | | 0 | 0 | | |
| 623 PIONEER STRATEGIC | 723884409 | X | | | | 5/31/2012 | 12/20/15 | 54 | 54 | | | | | 0 | 0 | | |
| 624 PIONEER STRATEGIC | 723884409 | X | | | | 3/30/2012 | 12/20/15 | 54 | 55 | | | | | 0 | -1 | | |
| 625 PIONEER STRATEGIC | 723884409 | X | | | | 3/30/2012 | 12/20/15 | 54 | 55 | | | | | 0 | -1 | | |
| 626 PIONEER STRATEGIC | 723884409 | X | | | | 3/30/2012 | 12/20/15 | 11 | 11 | | | | | 0 | 0 | | |
| 627 PIONEER STRATEGIC | 723884409 | X | | | | 2/29/2012 | 12/20/15 | 54 | 55 | | | | | 0 | -1 | | |
| 628 PIONEER STRATEGIC | 723884409 | X | | | | 1/31/2012 | 12/20/15 | 54 | 54 | | | | | 0 | 0 | | |
| 629 PIONEER STRATEGIC | 723884409 | X | | | | 12/30/2011 | 12/20/15 | 75 | 74 | | | | | 0 | 1 | | |
| 630 PIONEER STRATEGIC | 723884409 | X | | | | 12/30/2011 | 12/20/15 | 11 | 11 | | | | | 0 | 0 | | |
| 631 PIONEER STRATEGIC | 723884409 | X | | | | 11/30/2011 | 12/20/15 | 54 | 53 | | | | | 0 | 1 | | |
| 632 PIONEER STRATEGIC | 723884409 | X | | | | 11/30/2011 | 12/20/15 | 11 | 11 | | | | | 0 | 0 | | |
| 633 PIONEER STRATEGIC | 723884409 | X | | | | 11/29/2011 | 12/20/15 | 21 | 21 | | | | | 0 | 0 | | |
| 634 PIONEER STRATEGIC | 723884409 | X | | | | 11/29/2011 | 12/20/15 | 97 | 96 | | | | | 0 | 2 | | |
| 635 PIONEER STRATEGIC | 723884409 | X | | | | 10/31/2011 | 12/20/15 | 54 | 54 | | | | | 0 | 0 | | |
| 636 PIONEER STRATEGIC | 723884409 | X | | | | 10/31/2011 | 12/20/15 | 11 | 11 | | | | | 0 | 0 | | |
| 637 PIONEER STRATEGIC | 723884409 | X | | | | 9/30/2011 | 12/20/15 | 53 | 53 | | | | | 0 | 0 | | |
| 638 PIONEER STRATEGIC | 723884409 | X | | | | 8/31/2011 | 12/20/15 | 54 | 54 | | | | | 0 | 0 | | |
| 639 PIONEER STRATEGIC | 723884409 | X | | | | 7/29/2011 | 12/20/15 | 54 | 55 | | | | | 0 | -1 | | |
| 640 PIONEER STRATEGIC | 723884409 | X | | | | 6/30/2011 | 12/20/15 | 54 | 54 | | | | | 0 | 0 | | |
| 641 PIONEER STRATEGIC | 723884409 | X | | | | 5/31/2011 | 12/20/15 | 54 | 55 | | | | | 0 | -1 | | |
| 642 PIONEER STRATEGIC | 723884409 | X | | | | 4/29/2011 | 12/20/15 | 54 | 56 | | | | | 0 | -2 | | |
| 643 PIONEER STRATEGIC | 723884409 | X | | | | 3/31/2011 | 12/20/15 | 11 | 11 | | | | | 0 | 0 | | |
| 644 PIONEER STRATEGIC | 723884409 | X | | | | 2/28/2011 | 12/20/15 | 54 | 55 | | | | | 0 | -1 | | |
| 645 PIONEER STRATEGIC | 723884409 | X | | | | 2/28/2011 | 12/20/15 | 43 | 44 | | | | | 0 | -1 | | |
| 646 PIONEER STRATEGIC | 723884409 | X | | | | 2/28/2011 | 12/20/15 | 43 | 43 | | | | | 0 | 0 | | |
| 647 PIONEER STRATEGIC | 723884409 | X | | | | 2/28/2011 | 12/20/15 | 12,951 | 13,313 | | | | | 0 | -362 | | |
| 648 PIONEER STRATEGIC | 723884409 | X | | | | | | | | | | | | 0 | 0 | | |
| 649 PIONEER STRATEGIC | 723884409 | X | | | | | | | | | | | | 0 | 0 | | |
| 650 PIONEER STRATEGIC | 723884409 | X | | | | | | | | | | | | 0 | 0 | | |
| 651 PIONEER STRATEGIC | 723884409 | X | | | | | | | | | | | | 0 | 0 | | |
| 652 PIONEER STRATEGIC | 723884409 | X | | | | | | | | | | | | 0 | 0 | | |
| 653 PIONEER STRATEGIC | 723884409 | X | | | | | | | | | | | | 0 | 0 | | |
| 654 PIONEER STRATEGIC | 723884409 | X | | | | | | | | | | | | 0 | 0 | | |
| 655 PIONEER STRATEGIC | 723884409 | X | | | | | | | | | | | | 0 | 0 | | |
| 656 PIONEER STRATEGIC | 723884409 | X | | | | | | | | | | | | 0 | 0 | | |
| 657 PIONEER STRATEGIC | 723884409 | X | | | | | | | | | | | | 0 | 0 | | |
| 658 PIONEER STRATEGIC | 723884409 | X | | | | | | | | | | | | 0 | 0 | | |
| 659 PIONEER STRATEGIC | 723884409 | X | | | | | | | | | | | | 0 | 0 | | |
| 660 PIONEER STRATEGIC | 723884409 | X | | | | | | | | | | | | 0 | 0 | | |
| 661 PIONEER STRATEGIC | 723884409 | X | | | | | | | | | | | | 0 | 0 | | |
| 662 PIONEER STRATEGIC | 723884409 | X | | | | | | | | | | | | 0 | 0 | | |
| 663 PIONEER STRATEGIC | 723884409 | X | | | | | | | | | | | | 0 | 0 | | |
| 664 PIONEER STRATEGIC | 723884409 | X | | | | | | | | | | | | 0 | 0 | | |
| 665 PIONEER STRATEGIC | 723884409 | X | | | | | | | | | | | | 0 | 0 | | |
| 666 PIONEER STRATEGIC | 723884409 | X | | | | | | | | | | | | 0 | 0 | | |
| 667 PIONEER STRATEGIC | 723884409 | X | | | | | | | | | | | | 0 | 0 | | |
| 668 PIONEER STRATEGIC | 723884409 | X | | | | | | | | | | | | 0 | 0 | | |
| 669 PIONEER STRATEGIC | 723884409 | X | | | | | | | | | | | | 0 | 0 | | |
| 670 PIONEER STRATEGIC | 723884409 | X | | | | | | | | | | | | 0 | 0 | | |
| 671 PIONEER STRATEGIC | 723884409 | X | | | | | | | | | | | | 0 | 0 | | |
| 672 PIONEER STRATEGIC | 723884409 | X | | | | | | | | | | | | 0 | 0 | | |
| 673 PIONEER STRATEGIC | 723884409 | X | | | | | | | | | | | | 0 | 0 | | |
| 674 PIONEER STRATEGIC | 723884409 | X | | | | | | | | | | | | | | | |

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

| Description | CUSIP # | Check "X" to include in Part IV | Purchaser | Check "X" if Purchaser is a Business | Acquisition Method | Date Acquired | Date Sold | Gross Sales Price | Cost or Other Basis | Valuation Method | Expense of Sale and Cost of Improvements | Depreciation | Adjustments | Net Gain or Loss | Totals | |
|-----------------------------|-----------|---------------------------------|-----------|--------------------------------------|--------------------|---------------|------------|-------------------|---------------------|------------------|--|--------------|-------------|------------------|----------------------------------|-------------|
| | | | | | | | | | | | | | | | Capital Gains/Losses Other sales | Gross Sales |
| Long Term CG Distributions | 16,082 | | | | | | | | | | | | | | 5,049,698 | 3,296,708 |
| Short Term CG Distributions | 0 | | | | | | | | | | | | | | 0 | 0 |
| | | | | | | | | | | | | | | | | 1,749,990 |
| 648 PIONEER STRATEGIC | 723884409 | X | | | | 12/2015 | 12/2015 | 5 | 5 | | | | | 0 | 0 | 0 |
| 650 PIONEER STRATEGIC | 723884409 | X | | | | 12/2015 | 12/2015 | 129 | 129 | | | | | 0 | 0 | 0 |
| 651 PIONEER STRATEGIC | 723884409 | X | | | | 11/28/2014 | 12/2015 | 107 | 107 | | | | | 0 | 0 | 0 |
| 652 PIONEER STRATEGIC | 723884409 | X | | | | 11/28/2014 | 12/2015 | 322 | 322 | | | | | 0 | 0 | 0 |
| 653 PIONEER STRATEGIC | 723884409 | X | | | | 10/31/2014 | 12/2015 | 110 | 110 | | | | | 0 | 0 | 0 |
| 654 PIONEER STRATEGIC | 723884409 | X | | | | 7/31/2014 | 12/2015 | 11 | 11 | | | | | 0 | 0 | 0 |
| 655 PIONEER STRATEGIC | 723884409 | X | | | | 7/31/2014 | 12/2015 | 11 | 11 | | | | | 0 | 0 | 0 |
| 656 BLACKROCK GLOBAL LONG | 091938732 | X | | | | 1/31/2014 | 8/28/2015 | 10 | 11 | | | | | 0 | 0 | 0 |
| 657 BLACKROCK GLOBAL LONG | 091938732 | X | | | | 3/31/2014 | 8/28/2015 | 11 | 11 | | | | | 0 | 0 | 0 |
| 658 BLACKROCK GLOBAL LONG | 091938732 | X | | | | 3/10/2015 | 8/28/2015 | 10 | 10 | | | | | 0 | 0 | 0 |
| 659 BLACKROCK LOW DURATION | 091938732 | X | | | | 3/31/2015 | 4/17/2015 | 0 | 0 | | | | | 0 | 0 | 0 |
| 660 BLACKROCK GLOBAL LONG | 091938732 | X | | | | 12/23/2014 | 8/28/2015 | 1,412 | 1,400 | | | | | 0 | 0 | 0 |
| 661 BLACKROCK GLOBAL LONG | 091938732 | X | | | | 6/4/2013 | 8/28/2015 | 28,849 | 29,401 | | | | | 0 | 0 | 0 |
| 662 BLACKROCK GLOBAL LONG | 091938732 | X | | | | 12/23/2014 | 8/28/2015 | 10 | 11 | | | | | 0 | 0 | 0 |
| 663 BLACKROCK GLOBAL LONG | 091938732 | X | | | | 6/28/2013 | 8/28/2015 | 10 | 11 | | | | | 0 | 0 | 0 |
| 664 BLACKROCK GLOBAL LONG | 091938732 | X | | | | 3/10/2015 | 8/28/2015 | 0 | 0 | | | | | 0 | 0 | 0 |
| 665 BLACKROCK GLOBAL LONG | 091938732 | X | | | | 6/28/2013 | 8/28/2015 | 10 | 11 | | | | | 0 | 0 | 0 |
| 666 BLACKROCK GLOBAL LONG | 091938732 | X | | | | 2/28/2014 | 8/28/2015 | 10 | 11 | | | | | 0 | 0 | 0 |
| 667 BLACKROCK GLOBAL LONG | 091938732 | X | | | | 5/30/2014 | 8/28/2015 | 10 | 11 | | | | | 0 | 0 | 0 |
| 668 BLACKROCK GLOBAL LONG | 091938732 | X | | | | 6/30/2013 | 8/28/2015 | 10 | 11 | | | | | 0 | 0 | 0 |
| 669 BLACKROCK GLOBAL LONG | 091938732 | X | | | | 1/2/2015 | 8/28/2015 | 2,152 | 21,341 | | | | | 0 | 0 | 0 |
| 670 BLACKROCK GLOBAL LONG | 091938732 | X | | | | 6/5/2014 | 8/28/2015 | 3,326 | 3,486 | | | | | 0 | 0 | 0 |
| 671 BLACKROCK GLOBAL LONG | 091938732 | X | | | | 3/10/2015 | 8/28/2015 | 199 | 200 | | | | | 0 | 0 | 0 |
| 672 BLACKROCK GLOBAL LONG | 091938732 | X | | | | 7/31/2013 | 8/28/2015 | 11 | 11 | | | | | 0 | 0 | 0 |
| 673 BLACKROCK TOTAL RETURN | 09252M883 | X | | | | 7/31/2015 | 10/28/2015 | 12 | 12 | | | | | 0 | 0 | 0 |
| 674 BLACKROCK TOTAL RETURN | 09252M883 | X | | | | 9/30/2015 | 10/28/2015 | 5 | 5 | | | | | 0 | 0 | 0 |
| 675 BLACKROCK GLOBAL | 09256H286 | X | | | | 12/15/2014 | 12/2015 | 2 | 2 | | | | | 0 | 0 | 0 |
| 676 BLACKROCK STRATEGIC | 09256H286 | X | | | | 10/11/2013 | 10/28/2015 | 10 | 10 | | | | | 0 | 0 | 0 |
| 677 BLACKROCK STRATEGIC | 09256H286 | X | | | | 11/17/2014 | 10/28/2015 | 32,378 | 33,283 | | | | | 0 | 0 | 0 |
| 678 BLACKROCK STRATEGIC | 09256H286 | X | | | | 1/14/2013 | 10/28/2015 | 130 | 130 | | | | | 0 | 0 | 0 |
| 679 BLACKROCK STRATEGIC | 09256H286 | X | | | | 5/31/2013 | 10/28/2015 | 130 | 133 | | | | | 0 | 0 | 0 |
| 680 BLACKROCK STRATEGIC | 09256H286 | X | | | | 6/30/2013 | 10/28/2015 | 10 | 10 | | | | | 0 | 0 | 0 |
| 681 BLACKROCK STRATEGIC | 09256H286 | X | | | | 3/31/2015 | 10/28/2015 | 129 | 133 | | | | | 0 | 0 | 0 |
| 682 BLACKROCK STRATEGIC | 09256H286 | X | | | | 2/28/2013 | 10/28/2015 | 90 | 91 | | | | | 0 | 0 | 0 |
| 683 BLACKROCK STRATEGIC | 09256H286 | X | | | | 12/23/2014 | 10/28/2015 | 529 | 536 | | | | | 0 | 0 | 0 |
| 684 BLACKROCK STRATEGIC | 09256H286 | X | | | | 3/10/2015 | 10/28/2015 | 388 | 388 | | | | | 0 | 0 | 0 |
| 685 BLACKROCK STRATEGIC | 09256H286 | X | | | | 4/7/2014 | 10/28/2015 | 101 | 101 | | | | | 0 | 0 | 0 |
| 686 BLACKROCK STRATEGIC | 09256H286 | X | | | | 7/31/2013 | 10/28/2015 | 10 | 10 | | | | | 0 | 0 | 0 |
| 687 BLACKROCK STRATEGIC | 09256H286 | X | | | | 6/28/2013 | 10/28/2015 | 100 | 100 | | | | | 0 | 0 | 0 |
| 688 BLACKROCK STRATEGIC | 09256H286 | X | | | | 1/31/2013 | 10/28/2015 | 10 | 10 | | | | | 0 | 0 | 0 |
| 689 BLACKROCK STRATEGIC | 09256H286 | X | | | | 2/27/2015 | 10/28/2015 | 119 | 123 | | | | | 0 | 0 | 0 |
| 690 BLACKROCK STRATEGIC | 09256H286 | X | | | | 3/28/2013 | 10/28/2015 | 10 | 10 | | | | | 0 | 0 | 0 |
| 691 BLACKROCK GLOBAL | 09256H328 | X | | | | 12/15/2014 | 12/2015 | 152 | 151 | | | | | 0 | 0 | 0 |
| 692 BLACKROCK GLOBAL | 09256H328 | X | | | | 10/17/2014 | 12/2015 | 257 | 253 | | | | | 0 | 0 | 0 |
| 693 BLACKROCK GLOBAL | 09256H328 | X | | | | 4/17/2014 | 12/2015 | 12 | 12 | | | | | 0 | 0 | 0 |
| 694 BLACKROCK GLOBAL | 09256H328 | X | | | | 1/28/2013 | 12/2015 | 14,006 | 12,891 | | | | | 0 | 0 | 0 |
| 695 BLACKROCK GLOBAL | 09256H328 | X | | | | 12/15/2014 | 12/2015 | 12 | 12 | | | | | 0 | 0 | 0 |
| 696 BLACKROCK TOTAL RETURN | 09252M883 | X | | | | 4/30/2015 | 10/28/2015 | 12 | 12 | | | | | 0 | 0 | 0 |
| 697 BLACKROCK TOTAL RETURN | 09252M883 | X | | | | 2/6/2015 | 10/28/2015 | 15,041 | 15,388 | | | | | 0 | 0 | 0 |
| 698 BLACKROCK TOTAL RETURN | 09252M883 | X | | | | 5/29/2015 | 10/28/2015 | 38,212 | 38,699 | | | | | 0 | 0 | 0 |
| 699 BLACKROCK TOTAL RETURN | 09252M883 | X | | | | 6/30/2015 | 10/28/2015 | 12 | 12 | | | | | 0 | 0 | 0 |
| 700 BLACKROCK TOTAL RETURN | 09252M883 | X | | | | 2/6/2015 | 10/28/2015 | 12 | 12 | | | | | 0 | 0 | 0 |
| 701 BLACKROCK TOTAL RETURN | 09252M883 | X | | | | 4/30/2015 | 10/28/2015 | 234 | 239 | | | | | 0 | 0 | 0 |
| 702 BLACKROCK TOTAL RETURN | 09252M883 | X | | | | 5/29/2015 | 10/28/2015 | 246 | 250 | | | | | 0 | 0 | 0 |
| 703 BLACKROCK TOTAL RETURN | 09252M883 | X | | | | 8/31/2015 | 10/28/2015 | 328 | 328 | | | | | 0 | 0 | 0 |
| 704 BLACKROCK TOTAL RETURN | 09252M883 | X | | | | 9/30/2015 | 10/28/2015 | 328 | 328 | | | | | 0 | 0 | 0 |
| 705 BLACKROCK TOTAL RETURN | 09252M883 | X | | | | 7/31/2015 | 10/28/2015 | 328 | 330 | | | | | 0 | 0 | 0 |
| 706 BLACKROCK TOTAL RETURN | 09252M883 | X | | | | 12/2015 | 10/28/2015 | 80,702 | 81,861 | | | | | 0 | 0 | 0 |
| 707 BLACKROCK TOTAL RETURN | 09252M883 | X | | | | 3/10/2015 | 10/28/2015 | 3,194 | 3,236 | | | | | 0 | 0 | 0 |
| 708 BLACKROCK TOTAL RETURN | 09252M883 | X | | | | 12/2015 | 10/28/2015 | 294 | 297 | | | | | 0 | 0 | 0 |
| 709 BLACKROCK TOTAL RETURN | 09252M883 | X | | | | 8/31/2015 | 10/28/2015 | 12 | 12 | | | | | 0 | 0 | 0 |
| 710 BLACKROCK TOTAL RETURN | 09252M883 | X | | | | 6/30/2015 | 10/28/2015 | 328 | 328 | | | | | 0 | 0 | 0 |
| 711 BLACKROCK HIGH YIELD | 09253C769 | X | | | | 12/2014 | 12/2015 | 9 | 9 | | | | | 0 | 0 | 0 |
| 712 BLACKROCK HIGH YIELD | 09253C769 | X | | | | 6/5/2014 | 12/2015 | 8,032 | 7,752 | | | | | 0 | 0 | 0 |
| 713 BLACKROCK HIGH YIELD | 09253C769 | X | | | | 2/25/2014 | 12/2015 | 9,348 | 8,614 | | | | | 0 | 0 | 0 |
| 714 PIONEER STRATEGIC | 723884409 | X | | | | 6/20/2014 | 12/2015 | 11 | 11 | | | | | 0 | 0 | 0 |
| 715 PIONEER STRATEGIC | 723884409 | X | | | | 6/20/2014 | 12/2015 | 97 | 99 | | | | | 0 | 0 | 0 |
| 716 PIONEER STRATEGIC | 723884409 | X | | | | 6/5/2014 | 12/2015 | 11 | 11 | | | | | 0 | 0 | 0 |
| 717 PIONEER STRATEGIC | 723884409 | X | | | | 2/27/2015 | 3/10/2015 | 10 | 10 | | | | | 0 | 0 | 0 |
| 718 PIONEER STRATEGIC | 723884409 | X | | | | 11/25/2014 | 3/10/2015 | 118 | 119 | | | | | 0 | 0 | 0 |
| 719 PIONEER STRATEGIC | 723884409 | X | | | | 11/25/2014 | 3/10/2015 | 11 | 11 | | | | | 0 | 0 | 0 |
| 720 PIONEER STRATEGIC | 723884409 | X | | | | 3/31/2015 | 4/17/2015 | 0 | 0 | | | | | 0 | 0 | 0 |

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

| Description | CUSIP # | Check "X" to include in Part IV | Purchaser | Check "X" if Purchaser is a Business | Acquisition Method | Date Acquired | Date Sold | Gross Sales Price | Gross Sales | Valuation Method | Expense of Sale and Cost of Improvements | Depreciation | Adjustments | Net Gain or Loss |
|----------------------------|-----------|---------------------------------|-----------|--------------------------------------|--------------------|---------------|------------|-------------------|-------------|------------------|--|--------------|-------------|------------------|
| | | | | | | | | | | | | | | |
| 721 PRUDENTIAL JENNISSON | 74441L832 | X | | | | 12/19/2014 | 1/2/2015 | 5 | 5,049,699 | | | | | 1,749,990 |
| 722 PRUDENTIAL JENNISSON | 74441L832 | X | | | | 12/19/2014 | 1/2/2015 | 156 | | | | | | 0 |
| 723 PRUDENTIAL JENNISSON | 74441L832 | X | | | | 12/19/2014 | 1/2/2015 | 1,418 | | | | | | 0 |
| 724 PRUDENTIAL JENNISSON | 74441L832 | X | | | | 12/19/2014 | 1/2/2015 | 17 | | | | | | 0 |
| 725 PRUDENTIAL JENNISSON | 74441L832 | X | | | | 12/19/2014 | 1/2/2015 | 18 | | | | | | 0 |
| 726 PRUDENTIAL JENNISSON | 74441L832 | X | | | | 12/19/2014 | 1/2/2015 | 173 | | | | | | 0 |
| 727 PRUDENTIAL JENNISSON | 74441L832 | X | | | | 9/19/2014 | 1/2/2015 | 69 | | | | | | 0 |
| 728 PRUDENTIAL JENNISSON | 74441L832 | X | | | | 12/12/2014 | 1/2/2015 | 20,541 | | | | | | 250 |
| 729 PRUDENTIAL JENNISSON | 74441L832 | X | | | | 10/22/2013 | 1/2/2015 | 17 | | | | | | 0 |
| 730 PRUDENTIAL JENNISSON | 74441L832 | X | | | | 10/22/2013 | 1/2/2015 | 69 | | | | | | 0 |
| 731 PRUDENTIAL JENNISSON | 74441L832 | X | | | | 9/20/2013 | 1/2/2015 | 17 | | | | | | 0 |
| 732 PRUDENTIAL JENNISSON | 74441L832 | X | | | | 6/20/2013 | 1/2/2015 | 225 | | | | | | 0 |
| 733 BLACKROCK GLOBAL | 09256H828 | X | | | | 4/18/2013 | 1/2/2015 | 140 | | | | | | 0 |
| 734 BLACKROCK GLOBAL | 09256H828 | X | | | | 12/19/2014 | 1/2/2015 | 12 | | | | | | 0 |
| 735 BLACKROCK GLOBAL | 09256H828 | X | | | | 10/17/2014 | 1/2/2015 | 12 | | | | | | 0 |
| 736 BLACKROCK GLOBAL | 09256H828 | X | | | | 10/17/2014 | 1/2/2015 | 12 | | | | | | 0 |
| 737 VANGUARD TOTAL BOND MH | 921937835 | X | | | | 10/8/2015 | 10/28/2015 | 82 | | | | | | 0 |
| 738 VANGUARD TOTAL BOND MH | 921937835 | X | | | | 9/9/2015 | 10/28/2015 | 82 | | | | | | 0 |
| 739 VANGUARD TOTAL BOND MH | 921937835 | X | | | | 9/9/2015 | 10/28/2015 | 164 | | | | | | 0 |
| 740 VANGUARD TOTAL BOND MH | 921937835 | X | | | | 9/9/2015 | 10/28/2015 | 82 | | | | | | 0 |
| 741 VANGUARD TOTAL BOND MH | 921937835 | X | | | | 8/10/2015 | 10/28/2015 | 82 | | | | | | 0 |
| 742 VANGUARD TOTAL BOND MH | 921937835 | X | | | | 8/10/2015 | 10/28/2015 | 164 | | | | | | 0 |
| 743 VANGUARD TOTAL BOND MH | 921937835 | X | | | | 7/9/2015 | 10/28/2015 | 163 | | | | | | 0 |
| 744 VANGUARD TOTAL BOND MH | 921937835 | X | | | | 3/10/2015 | 10/28/2015 | 246 | | | | | | 0 |
| 745 VANGUARD TOTAL BOND MH | 921937835 | X | | | | 1/18/2014 | 10/28/2015 | 82 | | | | | | 0 |
| 746 VANGUARD TOTAL BOND MH | 921937835 | X | | | | 1/18/2014 | 10/28/2015 | 164 | | | | | | 0 |
| 747 VANGUARD TOTAL BOND MH | 921937835 | X | | | | 1/18/2014 | 10/28/2015 | 163 | | | | | | 0 |
| 748 VANGUARD TOTAL BOND MH | 921937835 | X | | | | 1/18/2014 | 10/28/2015 | 82 | | | | | | 0 |
| 749 VANGUARD TOTAL BOND MH | 921937835 | X | | | | 10/29/2014 | 10/28/2015 | 114,480 | | | | | | -935 |
| 750 VANGUARD TOTAL BOND MH | 921937835 | X | | | | 10/8/2015 | 11/23/2015 | 53 | | | | | | -1 |
| 751 VANGUARD FTSE ALL WORL | 922042775 | X | | | | 10/29/2014 | 1/5/2015 | 102,460 | | | | | | -5,982 |
| 752 VANGUARD FTSE ALL WORL | 922042775 | X | | | | 1/23/2014 | 1/5/2015 | 2,605 | | | | | | -90 |
| 753 PRUDENTIAL JENNISSON | 74441L832 | X | | | | 4/30/2014 | 1/2/2015 | 16,206 | | | | | | 1,769 |
| 754 PRUDENTIAL SHORT TERM | 74441R508 | X | | | | 12/31/2014 | 1/2/2015 | 8 | | | | | | 0 |
| 755 PRUDENTIAL SHORT TERM | 74441R508 | X | | | | 4/21/2014 | 1/2/2015 | 11 | | | | | | 0 |
| 756 PRUDENTIAL SHORT TERM | 74441R508 | X | | | | 12/31/2014 | 1/2/2015 | 22 | | | | | | 0 |
| 757 PRUDENTIAL SHORT TERM | 74441R508 | X | | | | 12/31/2014 | 1/2/2015 | 20 | | | | | | 0 |
| 758 PRUDENTIAL SHORT TERM | 74441R508 | X | | | | 11/28/2014 | 1/2/2015 | 23 | | | | | | 0 |
| 759 PRUDENTIAL SHORT TERM | 74441R508 | X | | | | 3/31/2014 | 1/2/2015 | 11 | | | | | | 0 |
| 760 PRUDENTIAL SHORT TERM | 74441R508 | X | | | | 11/3/2014 | 1/2/2015 | 31 | | | | | | 0 |
| 761 PRUDENTIAL SHORT TERM | 74441R508 | X | | | | 2/28/2014 | 1/2/2015 | 11 | | | | | | 0 |
| 762 PRUDENTIAL SHORT TERM | 74441R508 | X | | | | 6/30/2014 | 1/2/2015 | 1 | | | | | | 0 |
| 763 PRUDENTIAL SHORT TERM | 74441R508 | X | | | | 6/5/2014 | 1/2/2015 | 1,023 | | | | | | -14 |
| 764 PRUDENTIAL SHORT TERM | 74441R508 | X | | | | 2/5/2014 | 1/2/2015 | 899 | | | | | | -14 |
| 765 PRUDENTIAL SHORT TERM | 74441R508 | X | | | | 5/30/2014 | 1/2/2015 | 11 | | | | | | 0 |
| 766 PRUDENTIAL SHORT TERM | 74441R508 | X | | | | 5/21/2014 | 1/2/2015 | 20 | | | | | | 0 |
| 767 PRUDENTIAL SHORT TERM | 74441R508 | X | | | | 9/30/2013 | 1/2/2015 | 22 | | | | | | 0 |
| 768 PRUDENTIAL SHORT TERM | 74441R508 | X | | | | 8/30/2013 | 1/2/2015 | 22 | | | | | | 0 |
| 769 PIONEER STRATEGIC | 729884409 | X | | | | 6/30/2013 | 1/2/2015 | 11 | | | | | | 0 |
| 770 PIONEER STRATEGIC | 729884409 | X | | | | 6/30/2014 | 1/2/2015 | 11 | | | | | | 0 |
| 771 BLACKROCK HIGH YIELD | 09253C769 | X | | | | 12/8/2014 | 1/2/2015 | 2 | | | | | | 0 |
| 772 BLACKROCK HIGH YIELD | 09253C769 | X | | | | 6/5/2014 | 1/2/2015 | 9 | | | | | | 0 |
| 773 BLACKROCK FLOATING RAT | 09256H187 | X | | | | 10/31/2014 | 10/28/2015 | 131 | | | | | | 0 |
| 774 BLACKROCK FLOATING RAT | 09256H187 | X | | | | 12/31/2014 | 10/28/2015 | 131 | | | | | | 0 |
| 775 BLACKROCK FLOATING RAT | 09256H187 | X | | | | 1/31/2013 | 10/28/2015 | 50 | | | | | | 0 |
| 776 BLACKROCK FLOATING RAT | 09256H187 | X | | | | 1/31/2013 | 10/28/2015 | 50 | | | | | | 0 |
| 777 BLACKROCK FLOATING RAT | 09256H187 | X | | | | 2/6/2015 | 10/28/2015 | 6,266 | | | | | | 0 |
| 778 BLACKROCK FLOATING RAT | 09256H187 | X | | | | 3/28/2013 | 10/28/2015 | 10 | | | | | | 0 |
| 779 BLACKROCK FLOATING RAT | 09256H187 | X | | | | 1/21/2014 | 10/28/2015 | 40 | | | | | | 0 |
| 780 BLACKROCK FLOATING RAT | 09256H187 | X | | | | 2/21/2014 | 10/28/2015 | 14,388 | | | | | | -614 |
| 781 BLACKROCK FLOATING RAT | 09256H187 | X | | | | 1/21/2014 | 10/28/2015 | 10 | | | | | | 0 |
| 782 BLACKROCK FLOATING RAT | 09256H187 | X | | | | 5/29/2015 | 10/28/2015 | 161 | | | | | | 0 |
| 783 BLACKROCK FLOATING RAT | 09256H187 | X | | | | 5/29/2015 | 10/28/2015 | 29,031 | | | | | | -717 |
| 784 BLACKROCK FLOATING RAT | 09256H187 | X | | | | 6/28/2013 | 10/28/2015 | 151 | | | | | | 0 |
| 785 BLACKROCK FLOATING RAT | 09256H187 | X | | | | 7/31/2013 | 10/28/2015 | 71 | | | | | | 0 |
| 786 BLACKROCK FLOATING RAT | 09256H187 | X | | | | 5/21/2014 | 10/28/2015 | 282 | | | | | | 0 |
| 787 BLACKROCK FLOATING RAT | 09256H187 | X | | | | 2/28/2013 | 10/28/2015 | 81 | | | | | | 0 |
| 788 BLACKROCK FLOATING RAT | 09256H187 | X | | | | 2/27/2015 | 10/28/2015 | 131 | | | | | | 0 |
| 789 BLACKROCK FLOATING RAT | 09256H187 | X | | | | 5/29/2015 | 10/28/2015 | 10 | | | | | | 0 |
| 790 BLACKROCK FLOATING RAT | 09256H187 | X | | | | 9/30/2015 | 10/28/2015 | 242 | | | | | | 0 |
| 791 BLACKROCK FLOATING RAT | 09256H187 | X | | | | 10/11/2014 | 1/5/2015 | 46 | | | | | | 0 |
| 792 VANGUARD FTSE ALL WORL | 922042775 | X | | | | | | | | | | | | 0 |

Amount: 16,082

Net Gain or Loss: 1,749,990

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

| Description | CUSIP # | Check "X" to include in Part IV | Purchaser | Check "X" if Purchaser is a Business | Acquisition Method | Date Acquired | Date Sold | Totals | | Valuation Method | Expense of Sale and Cost of Improvements | Depreciation | Adjustments | Net Gain or Loss | | | | |
|-----------------------------|-----------|---------------------------------|-----------|--------------------------------------|--------------------|---------------|-----------|-----------------------------|-------------|------------------|--|--------------|--|------------------|-----------|------------------|--|-----------|
| | | | | | | | | Capital Gains/Losses | Other sales | | | | | | | | | |
| | | | | | | | | Amount | 16,082 | Gross Sales | | 5,049,698 | Cost or Other Basis, Expenses Depreciation and Adjustments | | 3,598,708 | Net Gain or Loss | | 1,749,990 |
| | | | | | | | | Long Term CG Distributions | 0 | Gross Sales | | 0 | Cost or Other Basis, Expenses Depreciation and Adjustments | | 0 | Net Gain or Loss | | 0 |
| | | | | | | | | Short Term CG Distributions | 0 | Gross Sales | | 0 | Cost or Other Basis, Expenses Depreciation and Adjustments | | 0 | Net Gain or Loss | | 0 |
| 783 VANGUARD FISE ALL WORL | 922042775 | X | | | 7/23/2014 | 1/5/2015 | 46 | 52 | | | | | | 6 | | | | |
| 784 VANGUARD FISE ALL WORL | 922042775 | X | | | 7/23/2014 | 1/5/2015 | 1,188 | 1,302 | | | | | | -114 | | | | |
| 785 VANGUARD FISE ALL WORL | 922042775 | X | | | 7/11/2014 | 1/5/2015 | 503 | 579 | | | | | | -76 | | | | |
| 786 VANGUARD FISE ALL WORL | 922042775 | X | | | 2/25/2014 | 1/5/2015 | 17,598 | 19,381 | | | | | | -1,783 | | | | |
| 787 VANGUARD FISE ALL WORL | 922042775 | X | | | 2/14/2014 | 1/5/2015 | 18,695 | 20,429 | | | | | | -1,734 | | | | |
| 788 VANGUARD FISE ALL WORL | 922042775 | X | | | 2/17/2014 | 1/5/2015 | 1,463 | 1,565 | | | | | | -102 | | | | |
| 789 VANGUARD FISE ALL WORL | 922042775 | X | | | 1/21/2014 | 1/5/2015 | 21,463 | 23,636 | | | | | | -2,153 | | | | |
| 800 VANGUARD FISE ALL WORL | 922042775 | X | | | 12/19/2013 | 1/5/2015 | 35,927 | 38,428 | | | | | | -2,501 | | | | |
| 801 VANGUARD FISE ALL WORL | 922042775 | X | | | 7/26/2013 | 1/5/2015 | 65,410 | 69,828 | | | | | | -4,418 | | | | |
| 802 VANGUARD FISE ALL WORL | 922042775 | X | | | 11/29/2012 | 1/5/2015 | 14,353 | 13,868 | | | | | | 484 | | | | |
| 803 VANGUARD FISE ALL WORL | 922042775 | X | | | 11/14/2012 | 1/5/2015 | 46 | 42 | | | | | | 4 | | | | |
| 804 VANGUARD FISE ALL WORL | 922042775 | X | | | 9/24/2012 | 1/5/2015 | 1,691 | 1,619 | | | | | | 72 | | | | |
| 805 VANGUARD FISE ALL WORL | 922042775 | X | | | 8/20/2012 | 1/5/2015 | 1,737 | 1,633 | | | | | | 104 | | | | |
| 806 VANGUARD FISE ALL WORL | 922042775 | X | | | 8/16/2012 | 1/5/2015 | 3,245 | 3,096 | | | | | | 189 | | | | |
| 807 VANGUARD FISE ALL WORL | 922042775 | X | | | 7/12/2012 | 1/5/2015 | 3,474 | 3,040 | | | | | | 434 | | | | |
| 808 VANGUARD FISE ALL WORL | 922042775 | X | | | 6/25/2012 | 1/5/2015 | 1,234 | 1,055 | | | | | | 179 | | | | |
| 809 VANGUARD FISE ALL WORL | 922042775 | X | | | 6/21/2012 | 1/5/2015 | 10,193 | 8,877 | | | | | | 1,316 | | | | |
| 810 VANGUARD FISE ALL WORL | 922042775 | X | | | 4/27/2012 | 1/5/2015 | 8,776 | 8,395 | | | | | | 381 | | | | |
| 811 VANGUARD FISE ALL WORL | 922042775 | X | | | 3/13/2012 | 1/5/2015 | 11,062 | 10,636 | | | | | | 426 | | | | |
| 812 VANGUARD FISE ALL WORL | 922042775 | X | | | 3/8/2012 | 1/5/2015 | 4,662 | 4,535 | | | | | | 127 | | | | |
| 813 BLACKROCK FLOATING RAT | 09256H187 | X | | | 7/31/2013 | 10/28/2015 | 10 | 10 | | | | | | 0 | | | | |
| 814 BLACKROCK FLOATING RAT | 09256H187 | X | | | 7/15/2013 | 10/28/2015 | 10 | 10 | | | | | | 0 | | | | |
| 815 PRUDENTIAL SHORT TERM | 74441R508 | X | | | 5/31/2013 | 1/2/2015 | 11 | 12 | | | | | | -1 | | | | |
| 816 BLACKROCK FLOATING RAT | 09256H187 | X | | | 4/30/2014 | 10/28/2015 | 10 | 10 | | | | | | 0 | | | | |
| 817 PRUDENTIAL SHORT TERM | 74441R508 | X | | | 5/31/2013 | 1/2/2015 | 22 | 23 | | | | | | -1 | | | | |
| 818 BLACKROCK FLOATING RAT | 09256H187 | X | | | 3/13/2014 | 10/28/2015 | 10 | 11 | | | | | | -1 | | | | |
| 819 BLACKROCK FLOATING RAT | 09256H187 | X | | | 10/4/2013 | 10/28/2015 | 10 | 10 | | | | | | 0 | | | | |
| 820 BLACKROCK FLOATING RAT | 09256H187 | X | | | 9/30/2015 | 10/28/2015 | 5 | 5 | | | | | | 0 | | | | |
| 821 BLACKROCK FLOATING RAT | 09256H187 | X | | | 2/6/2015 | 10/28/2015 | 10 | 10 | | | | | | 0 | | | | |
| 822 BLACKROCK FLOATING RAT | 09256H187 | X | | | 10/29/2013 | 10/28/2015 | 10 | 10 | | | | | | 0 | | | | |
| 823 BLACKROCK FLOATING RAT | 09256H187 | X | | | 11/29/2014 | 10/28/2015 | 131 | 135 | | | | | | -4 | | | | |
| 824 BLACKROCK FLOATING RAT | 09256H187 | X | | | 9/30/2015 | 10/28/2015 | 262 | 265 | | | | | | -3 | | | | |
| 825 BLACKROCK FLOATING RAT | 09256H187 | X | | | 3/31/2015 | 10/28/2015 | 151 | 155 | | | | | | -4 | | | | |
| 826 BLACKROCK FLOATING RAT | 09256H187 | X | | | 11/17/2014 | 10/28/2015 | 2,775 | 2,849 | | | | | | -74 | | | | |
| 827 BLACKROCK FLOATING RAT | 09256H187 | X | | | 1/21/2014 | 10/28/2015 | 10 | 10 | | | | | | 0 | | | | |
| 828 BLACKROCK FLOATING RAT | 09256H187 | X | | | 5/31/2013 | 10/28/2015 | 71 | 73 | | | | | | -2 | | | | |
| 829 BLACKROCK FLOATING RAT | 09256H187 | X | | | 7/31/2014 | 10/28/2015 | 11 | 11 | | | | | | -1 | | | | |
| 830 BLACKROCK FLOATING RAT | 09256H187 | X | | | 7/31/2013 | 1/2/2015 | 11 | 11 | | | | | | 0 | | | | |
| 831 PRUDENTIAL SHORT TERM | 74441R508 | X | | | 6/28/2013 | 1/2/2015 | 22 | 23 | | | | | | -1 | | | | |
| 832 PRUDENTIAL SHORT TERM | 74441R508 | X | | | 4/30/2013 | 1/2/2015 | 11 | 12 | | | | | | -1 | | | | |
| 833 PRUDENTIAL SHORT TERM | 74441R508 | X | | | 4/30/2013 | 1/2/2015 | 22 | 23 | | | | | | -1 | | | | |
| 834 PRUDENTIAL SHORT TERM | 74441R508 | X | | | 3/28/2013 | 1/2/2015 | 22 | 23 | | | | | | -1 | | | | |
| 835 PRUDENTIAL SHORT TERM | 74441R508 | X | | | 12/15/2014 | 1/2/2015 | 655 | 652 | | | | | | 3 | | | | |
| 836 BLACKROCK GLOBAL | 09256H328 | X | | | 1/21/2014 | 1/2/2015 | 24,348 | 24,828 | | | | | | -478 | | | | |
| 837 BLACKROCK GLOBAL | 09256H328 | X | | | 7/19/2013 | 1/2/2015 | 12 | 11 | | | | | | 1 | | | | |
| 838 CREDIT SUISSE FLOATING | 22540S836 | X | | | 10/28/2015 | 12/17/2015 | 76,045 | 77,777 | | | | | | -1,732 | | | | |
| 839 CREDIT SUISSE FLOATING | 22540S836 | X | | | 11/30/2015 | 12/17/2015 | 4 | 4 | | | | | | 0 | | | | |
| 840 CREDIT SUISSE FLOATING | 22540S836 | X | | | 11/30/2015 | 12/17/2015 | 4 | 4 | | | | | | -7 | | | | |
| 841 CREDIT SUISSE FLOATING | 22540S836 | X | | | 10/30/2015 | 12/17/2015 | 266 | 273 | | | | | | -7 | | | | |
| 842 CREDIT SUISSE FLOATING | 22540S836 | X | | | 10/30/2015 | 12/17/2015 | 7 | 7 | | | | | | 0 | | | | |
| 843 CREDIT SUISSE FLOATING | 22540S836 | X | | | 10/30/2015 | 12/17/2015 | 13 | 13 | | | | | | 0 | | | | |
| 844 CREDIT SUISSE FLOATING | 22540S836 | X | | | 10/28/2015 | 12/17/2015 | 177 | 181 | | | | | | 4 | | | | |
| 845 DEUTSCHE X-TRACKERS MS | 233061200 | X | | | 1/5/2015 | 12/31/2015 | 4,987 | 4,899 | | | | | | 88 | | | | |
| 846 DOUBLELINE TOTAL RETURN | 258620103 | X | | | 4/30/2015 | 12/14/2015 | 184 | 187 | | | | | | -3 | | | | |
| 847 DOUBLELINE TOTAL RETURN | 258620103 | X | | | 3/31/2015 | 12/14/2015 | 11 | 11 | | | | | | 0 | | | | |
| 848 DOUBLELINE TOTAL RETURN | 258620103 | X | | | 6/30/2015 | 12/14/2015 | 11 | 11 | | | | | | 0 | | | | |
| 849 DOUBLELINE TOTAL RETURN | 258620103 | X | | | 6/30/2015 | 12/14/2015 | 184 | 185 | | | | | | -1 | | | | |
| 850 DOUBLELINE TOTAL RETURN | 258620103 | X | | | 5/29/2015 | 12/14/2015 | 195 | 198 | | | | | | -3 | | | | |
| 851 DOUBLELINE TOTAL RETURN | 258620103 | X | | | 10/30/2015 | 12/14/2015 | 303 | 306 | | | | | | -3 | | | | |
| 852 DOUBLELINE TOTAL RETURN | 258620103 | X | | | 8/31/2015 | 12/14/2015 | 184 | 185 | | | | | | -1 | | | | |
| 853 DOUBLELINE TOTAL RETURN | 258620103 | X | | | 10/28/2015 | 12/14/2015 | 37,516 | 37,896 | | | | | | -380 | | | | |
| 854 DOUBLELINE TOTAL RETURN | 258620103 | X | | | 7/31/2015 | 12/14/2015 | 11 | 11 | | | | | | 0 | | | | |
| 855 DOUBLELINE TOTAL RETURN | 258620103 | X | | | 7/31/2015 | 12/14/2015 | 195 | 197 | | | | | | -2 | | | | |
| 856 DOUBLELINE TOTAL RETURN | 258620103 | X | | | 10/30/2015 | 12/14/2015 | 11 | 11 | | | | | | 0 | | | | |
| 857 DOUBLELINE TOTAL RETURN | 258620103 | X | | | 11/30/2015 | 12/14/2015 | 11 | 11 | | | | | | 0 | | | | |

Part I, Line 16b (990-PF) - Accounting Fees

| | | 2,500 | 0 | 0 | 2,500 |
|-------------|-----------------|--------------------------------|-----------------------|---------------------|---|
| Description | | Revenue and Expenses per Books | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes (Cash Basis Only) |
| 1 | ACCOUNTING FEES | 2,500 | | | 2,500 |

Part I, Line 16c (990-PF) - Other Professional Fees

| | | 30,137 | 18,082 | 0 | 12,055 |
|-------------|-----------------------|--------------------------------|-----------------------|---------------------|---|
| Description | | Revenue and Expenses per Books | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes (Cash Basis Only) |
| 1 | UST-MLT FEES AS AGENT | 29,987 | 17,992 | | 11,995 |
| 2 | ANNUAL EMA FEE | 150 | 90 | | 60 |

Part I, Line 18 (990-PF) - Taxes

| | | 16,744 | 1,276 | 0 | 0 |
|-------------|-------------------------------|--------------------------------------|--------------------------|------------------------|---|
| Description | | Revenue and Expenses per Books | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
| 1 | FOREIGN TAX WITHHELD | 1,276 | 1,276 | | |
| 2 | PY EXCISE TAX DUE | 13,893 | | | |
| 3 | ESTIMATED EXCISE TAX PAYMENTS | 1,575 | | | |

Part I, Line 23 (990-PF) - Other Expenses

| | | 160 | 0 | 0 | 160 |
|-------------|---------------|--------------------------------|-----------------------|---------------------|---------------------------------------|
| Description | | Revenue and Expenses per Books | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
| 1 | STATE AG FEES | 160 | 0 | | 160 |

Part II, Line 10b (990-PF) - Investments - Corporate Stock

| | Description | Num Shares/ Face Value | Book Value Beg of Year | Book Value End of Year | FMV Beg. of Year | FMV End of Year |
|---|----------------|---------------------------|---------------------------|---------------------------|---------------------|--------------------|
| 1 | | | | 3,200 | 0 | 1,731,200 |
| 2 | SERVICENOW INC | 0 | | 3,200 | | 1,731,200 |

Part II, Line 13 (990-PF) - Investments - Other

| Asset Description | | Basis of Valuation | Book Value Beg. of Year | Book Value End of Year | FMV End of Year |
|-------------------|-----------------------------------|--------------------|-------------------------|------------------------|-----------------|
| 1 | | | 2,832,353 | 2,851,185 | 2,811,506 |
| 2 | AMG YACHTMAN FOCUSED | | 0 | 54,105 | 50,452 |
| 3 | KOPERNIK GLOBAL ALL CAP | | 0 | 56,672 | 48,452 |
| 4 | POPLAR FOREST PARTNERS | | 0 | 37,644 | 47,457 |
| 5 | BLACKROCK BOND FUND | | 0 | 136,883 | 136,168 |
| 6 | BLACKROCK FLOATING RATE | | 0 | 76,203 | 76,114 |
| 7 | BLACKROCK STRATEGIC | | 0 | 81,911 | 81,003 |
| 8 | MSCI LEAF CURRENCY | | 0 | 86,487 | 87,944 |
| 9 | DELAWARE VALUE FUND | | 0 | 54,248 | 52,397 |
| 10 | HENDERSON INTERNATIONAL | | 0 | 46,628 | 47,056 |
| 11 | HOTCHKIS & WILEY VALUE | | 0 | 60,174 | 50,861 |
| 12 | ARISTOTLE/SAUL GLOBAL | | 0 | 28,958 | 26,981 |
| 13 | FAMCO MLP & ENERGY | | 0 | 47,566 | 30,588 |
| 14 | ISHARES TR CORE MSCI | | 0 | 385,033 | 365,767 |
| 15 | LORD ABBETT SHORT | | 0 | 54,637 | 53,641 |
| 16 | MATTHEWS ASIA SMALL | | 0 | 23,370 | 23,501 |
| 17 | MATTHEWS INTL FDS ASIAN CONV SECS | | 0 | 25,636 | 23,043 |
| 18 | METROPOLITAN WEST FDS TOTAL RETU | | 0 | 141,135 | 138,167 |
| 19 | PIMCO INVESTMENT GRADE | | 0 | 60,550 | 60,130 |
| 20 | PIMCO INCOME FUND CL P | | 0 | 88,244 | 84,449 |
| 21 | PUTNAM MULTI CAP CORE | | 0 | 53,292 | 51,162 |
| 22 | PUTNAM TAX FREE HIGH | | 0 | 58,481 | 58,436 |
| 23 | RIVERNORTH DOUBLE LINE | | 0 | 129,087 | 129,087 |
| 24 | SPDR LEHMAN AGGREGT BOND | | 0 | 111,437 | 109,536 |
| 25 | TEMPLETON GLOBAL BD FD ADVISOR CL | | 0 | 25,220 | 23,451 |
| 26 | TEMPLETON GLOBAL TOTAL | | 0 | 28,760 | 26,446 |
| 27 | VANGUARD DIVIDEND | | 0 | 105,882 | 105,801 |
| 28 | VANGUARD TOTAL BOND MKT | | 0 | 107,417 | 107,007 |
| 29 | VANGUARD VALUE VIPERS | | 0 | 11,177 | 11,061 |
| 30 | VANGUARD INDEX TR VANGUARD TOTAL | | 0 | 568,214 | 600,228 |
| 31 | WISDOMTREE TR | | 0 | 106,134 | 105,120 |

Part III (990-PF) - Changes in Net Assets or Fund Balances

Line 3 - Other increases not included in Part III, Line 2

| | | | |
|---|-------------------------------------|---|-----|
| 1 | MUTUAL FUND AND CTF INCOME ADDITION | 1 | 346 |
| 2 | Total | 2 | 346 |

Line 5 - Decreases not included in Part III, Line 2

| | | | |
|---|--|---|-----------|
| 1 | COST BASIS ADJUSTMENT | 1 | 11,681 |
| 2 | MUTUAL FUND AND CTF INCOME SUBTRACTION | 2 | 241 |
| 3 | GAIN ON CY SALES NOT SETTLED AT YEAR END | 3 | 1,737,834 |
| 4 | Total | 4 | 1,749,756 |

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

| Long Term CG Distributions | | Short Term CG Distributions | | Amount | 16,082 | | 0 | | 5,033,616 | | 1,733,908 | | 3,299,948 | | 1,733,908 | | 0 | | 0 | | 1,733,908 | |
|------------------------------|-----------|-----------------------------|---------------|------------|-------------------|----------------------|-------------|--|--------------|----------------------|-------------------------------|-----------------------------------|---|--|-----------|--|---|--|---|--|-----------|--|
| Description of Property Sold | CUSIP # | Acquisition Method | Date Acquired | Date Sold | Gross Sales Price | Depreciation Allowed | Adjustments | Cost or Other Basis Plus Expense of Sale | Gain or Loss | F M V as of 12/31/69 | Adjusted Basis as of 12/31/69 | Excess of FMV Over Adjusted Basis | Excess of FMV Over Adj. Basis or Losses | Gains Minus Excess FMV Over Adj. Basis or Losses | | | | | | | | |
| 553 VANGUARD DIVIDEND | 921908844 | | 3/30/2015 | 12/31/2015 | 78 | | 3 | 81 | 0 | | 0 | 0 | 0 | 0 | | | | | | | | |
| 554 VANGUARD DIVIDEND | 921908844 | | 10/29/2014 | 12/31/2015 | 19,883 | | 0 | 19,767 | 116 | | 0 | 0 | 0 | 116 | | | | | | | | |
| 555 VANGUARD DIVIDEND | 921908844 | | 10/29/2014 | 12/31/2015 | 78 | | 0 | 78 | 0 | | 0 | 0 | 0 | 0 | | | | | | | | |
| 556 VANGUARD TOTAL BOND MK | 921937835 | | 10/29/2014 | 1/2/2015 | 34,044 | | 0 | 34,013 | 31 | | 0 | 0 | 0 | 31 | | | | | | | | |
| 557 VANGUARD TOTAL BOND MK | 921937835 | | 10/29/2014 | 1/5/2015 | 1,159 | | 0 | 1,156 | 3 | | 0 | 0 | 0 | 3 | | | | | | | | |
| 558 VANGUARD TOTAL BOND MK | 921937835 | | 10/29/2014 | 2/6/2015 | 7,067 | | 0 | 7,017 | 50 | | 0 | 0 | 0 | 50 | | | | | | | | |
| 559 VANGUARD TOTAL BOND MK | 921937835 | | 5/8/2015 | 5/29/2015 | 330 | | 0 | 330 | 0 | | 0 | 0 | 0 | 0 | | | | | | | | |
| 560 VANGUARD TOTAL BOND MK | 921937835 | | 10/29/2014 | 5/29/2015 | 82 | | 0 | 83 | -1 | | 0 | 0 | 0 | -1 | | | | | | | | |
| 561 VANGUARD TOTAL BOND MK | 921937835 | | 10/29/2014 | 5/29/2015 | 66,578 | | 0 | 66,706 | -128 | | 0 | 0 | 0 | -128 | | | | | | | | |
| 562 VANGUARD TOTAL BOND MK | 921937835 | | 10/29/2014 | 5/29/2015 | 82 | | 0 | 83 | -1 | | 0 | 0 | 0 | -1 | | | | | | | | |
| 563 VANGUARD TOTAL BOND MK | 921937835 | | 10/29/2014 | 5/29/2015 | 165 | | 0 | 165 | 0 | | 0 | 0 | 0 | 0 | | | | | | | | |
| 564 VANGUARD TOTAL BOND MK | 921937835 | | 10/29/2014 | 5/29/2015 | 81 | | 0 | 81 | 0 | | 0 | 0 | 0 | 0 | | | | | | | | |
| 565 PIONEER STRATEGIC | 723884409 | | 11/2/2012 | 1/2/2015 | 94 | | 0 | 96 | -2 | | 0 | 0 | 0 | -2 | | | | | | | | |
| 566 ARTISAN GLOBAL | 04314H824 | | 12/1/2014 | 3/10/2015 | 5,114 | | 0 | 4,993 | 131 | | 0 | 0 | 0 | 131 | | | | | | | | |
| 567 POPLAR FOREST PARTNERS | 007680798 | | 3/26/2012 | 12/31/2015 | 41 | | 0 | 27 | 14 | | 0 | 0 | 0 | 14 | | | | | | | | |
| 568 POPLAR FOREST PARTNERS | 007680798 | | 12/17/2012 | 12/31/2015 | 41 | | 0 | 31 | 10 | | 0 | 0 | 0 | 10 | | | | | | | | |
| 569 ARTISAN GLOBAL | 04314H824 | | 11/9/2014 | 3/10/2015 | 46 | | 0 | 56 | -10 | | 0 | 0 | 0 | -10 | | | | | | | | |
| 570 ARTISAN GLOBAL | 04314H824 | | 11/9/2014 | 3/10/2015 | 19 | | 0 | 19 | 0 | | 0 | 0 | 0 | 0 | | | | | | | | |
| 571 POPLAR FOREST PARTNERS | 007680798 | | 12/8/2015 | 12/31/2015 | 28 | | 0 | 29 | -1 | | 0 | 0 | 0 | -1 | | | | | | | | |
| 572 POPLAR FOREST PARTNERS | 007680798 | | 1/4/2013 | 12/31/2015 | 15,479 | | 0 | 12,150 | 3,329 | | 0 | 0 | 0 | 3,329 | | | | | | | | |
| 573 ARTISAN GLOBAL | 04314H824 | | 11/9/2014 | 3/10/2015 | 10 | | 0 | 13 | -3 | | 0 | 0 | 0 | -3 | | | | | | | | |
| 574 ARTISAN GLOBAL | 04314H824 | | 3/13/2013 | 3/10/2015 | 18,743 | | 0 | 15,533 | 3,210 | | 0 | 0 | 0 | 3,210 | | | | | | | | |
| 575 POPLAR FOREST PARTNERS | 007680798 | | 2/26/2014 | 12/31/2015 | 12 | | 0 | 0 | 12 | | 0 | 0 | 0 | 12 | | | | | | | | |
| 576 POPLAR FOREST PARTNERS | 007680798 | | 12/17/2012 | 12/31/2015 | 123 | | 0 | 93 | 30 | | 0 | 0 | 0 | 30 | | | | | | | | |
| 577 POPLAR FOREST PARTNERS | 007680798 | | 12/16/2014 | 12/31/2015 | 41 | | 0 | 42 | -1 | | 0 | 0 | 0 | -1 | | | | | | | | |
| 578 POPLAR FOREST PARTNERS | 007680798 | | 12/16/2014 | 12/31/2015 | 2,736 | | 0 | 2,829 | -93 | | 0 | 0 | 0 | -93 | | | | | | | | |
| 579 AB HIGH INCOME MUNICIPAL | 018642744 | | 2/25/2014 | 1/2/2015 | 12,464 | | 0 | 11,615 | 849 | | 0 | 0 | 0 | 849 | | | | | | | | |
| 580 AB HIGH INCOME MUNICIPAL | 018642744 | | 2/25/2014 | 1/2/2015 | 8 | | 0 | 7 | 1 | | 0 | 0 | 0 | 1 | | | | | | | | |
| 581 AB HIGH INCOME MUNICIPAL | 018642744 | | 6/9/2014 | 1/2/2015 | 8,358 | | 0 | 8,346 | 12 | | 0 | 0 | 0 | 12 | | | | | | | | |
| 582 ANGEL OAK MULTI STRATEG | 03463K406 | | 11/17/2014 | 1/2/2015 | 13,833 | | 0 | 13,890 | -57 | | 0 | 0 | 0 | -57 | | | | | | | | |
| 583 ANGEL OAK MULTI STRATEG | 03463K406 | | 11/17/2014 | 1/2/2015 | 1 | | 0 | 1 | 0 | | 0 | 0 | 0 | 0 | | | | | | | | |
| 584 ARTISAN GLOBAL | 04314H824 | | 12/1/2014 | 3/10/2015 | 19 | | 0 | 17 | 2 | | 0 | 0 | 0 | 2 | | | | | | | | |
| 585 ARTISAN GLOBAL | 04314H824 | | 11/19/2014 | 3/10/2015 | 545 | | 0 | 541 | 4 | | 0 | 0 | 0 | 4 | | | | | | | | |
| 586 BLACKROCK LOW DURATION | 091928283 | | 12/1/2014 | 1/2/2015 | 16,767 | | 0 | 16,937 | -170 | | 0 | 0 | 0 | -170 | | | | | | | | |
| 587 BLACKROCK LOW DURATION | 091928283 | | 10/31/2014 | 1/2/2015 | 19 | | 0 | 19 | 0 | | 0 | 0 | 0 | 0 | | | | | | | | |
| 588 BLACKROCK LOW DURATION | 091928283 | | 12/23/2014 | 1/2/2015 | 10 | | 0 | 10 | 0 | | 0 | 0 | 0 | 0 | | | | | | | | |
| 589 BLACKROCK LOW DURATION | 091928283 | | 11/28/2014 | 1/2/2015 | 10 | | 0 | 10 | 0 | | 0 | 0 | 0 | 0 | | | | | | | | |
| 590 BLACKROCK LOW DURATION | 091928283 | | 4/30/2014 | 1/2/2015 | 10 | | 0 | 10 | 0 | | 0 | 0 | 0 | 0 | | | | | | | | |
| 591 VANGUARD TOTAL BOND MK | 921937835 | | 10/6/2015 | 10/28/2015 | 164 | | 0 | 164 | 0 | | 0 | 0 | 0 | 0 | | | | | | | | |
| 592 TCW EMERGING | 8723AN765 | | 8/5/2013 | 1/2/2015 | 8 | | 0 | 9 | -1 | | 0 | 0 | 0 | -1 | | | | | | | | |
| 593 BLACKROCK STRATEGIC | 09256H286 | | 7/16/2014 | 10/28/2015 | 10 | | 0 | 10 | 0 | | 0 | 0 | 0 | 0 | | | | | | | | |
| 594 BLACKROCK STRATEGIC | 09256H286 | | 11/4/2013 | 10/28/2015 | 10 | | 0 | 10 | 0 | | 0 | 0 | 0 | 0 | | | | | | | | |
| 595 BLACKROCK STRATEGIC | 09256H286 | | 11/4/2013 | 10/28/2015 | 669 | | 0 | 681 | -12 | | 0 | 0 | 0 | -12 | | | | | | | | |
| 596 BLACKROCK STRATEGIC | 09256H286 | | 1/28/2015 | 10/28/2015 | 139 | | 0 | 134 | 5 | | 0 | 0 | 0 | 5 | | | | | | | | |
| 597 BLACKROCK STRATEGIC | 09256H286 | | 7/31/2015 | 10/28/2015 | 149 | | 0 | 151 | -2 | | 0 | 0 | 0 | -2 | | | | | | | | |
| 598 BLACKROCK STRATEGIC | 09256H286 | | 6/30/2015 | 10/28/2015 | 149 | | 0 | 151 | -2 | | 0 | 0 | 0 | -2 | | | | | | | | |
| 599 BLACKROCK STRATEGIC | 09256H286 | | 8/31/2015 | 10/28/2015 | 139 | | 0 | 140 | -1 | | 0 | 0 | 0 | -1 | | | | | | | | |
| 600 BLACKROCK STRATEGIC | 09256H286 | | 7/31/2015 | 10/28/2015 | 10 | | 0 | 10 | 0 | | 0 | 0 | 0 | 0 | | | | | | | | |
| 601 BLACKROCK STRATEGIC | 09256H286 | | 9/30/2015 | 10/28/2015 | 119 | | 0 | 119 | 0 | | 0 | 0 | 0 | 0 | | | | | | | | |
| 602 BLACKROCK STRATEGIC | 09256H286 | | 3/31/2015 | 10/28/2015 | 7 | | 0 | 7 | 0 | | 0 | 0 | 0 | 0 | | | | | | | | |
| 603 BLACKROCK STRATEGIC | 09256H286 | | 9/30/2015 | 10/28/2015 | 10 | | 0 | 10 | 0 | | 0 | 0 | 0 | 0 | | | | | | | | |
| 604 BLACKROCK STRATEGIC | 09256H286 | | 9/30/2015 | 10/28/2015 | 10 | | 0 | 10 | 0 | | 0 | 0 | 0 | 0 | | | | | | | | |
| 605 BLACKROCK STRATEGIC | 09256H286 | | 3/1/2014 | 10/28/2015 | 10 | | 0 | 11 | -1 | | 0 | 0 | 0 | -1 | | | | | | | | |
| 606 BLACKROCK LOW DURATION | 091928283 | | 12/31/2014 | 1/2/2015 | 3 | | 0 | 3 | 0 | | 0 | 0 | 0 | 0 | | | | | | | | |
| 607 BLACKROCK LOW DURATION | 091928283 | | 11/2/2014 | 1/2/2015 | 10 | | 0 | 11 | -1 | | 0 | 0 | 0 | -1 | | | | | | | | |
| 608 BLACKROCK LOW DURATION | 091928283 | | 2/10/2014 | 1/2/2015 | 10 | | 0 | 10 | 0 | | 0 | 0 | 0 | 0 | | | | | | | | |
| 609 BLACKROCK LOW DURATION | 091928283 | | 11/28/2014 | 1/2/2015 | 19 | | 0 | 20 | -1 | | 0 | 0 | 0 | -1 | | | | | | | | |
| 610 BLACKROCK LOW DURATION | 091928283 | | 12/4/2014 | 1/2/2015 | 10 | | 0 | 10 | 0 | | 0 | 0 | 0 | 0 | | | | | | | | |
| 611 BLACKROCK LOW DURATION | 091928283 | | 3/29/2014 | 1/2/2015 | 10 | | 0 | 11 | -1 | | 0 | 0 | 0 | -1 | | | | | | | | |
| 612 BLACKROCK LOW DURATION | 091928283 | | 12/1/2014 | 1/2/2015 | 19 | | 0 | 20 | -1 | | 0 | 0 | 0 | -1 | | | | | | | | |
| 613 BLACKROCK LOW DURATION | 091928283 | | 12/31/2014 | 1/2/2015 | 19 | | 0 | 19 | 0 | | 0 | 0 | 0 | 0 | | | | | | | | |
| 614 BLACKROCK LOW DURATION | 091928283 | | 3/28/2014 | 1/2/2015 | 19 | | 0 | 22 | -3 | | 0 | 0 | 0 | -3 | | | | | | | | |
| 615 BLACKROCK LOW DURATION | 091928283 | | 2/10/2014 | 1/2/2015 | 10 | | 0 | 11 | -1 | | 0 | 0 | 0 | -1 | | | | | | | | |
| 616 BLACKROCK LOW DURATION | 091928283 | | 2/27/2015 | 3/10/2015 | 7 | | 0 | 7 | 0 | | 0 | 0 | 0 | 0 | | | | | | | | |
| 617 BLACKROCK LOW DURATION | 091928283 | | 12/1/2014 | 1/2/2015 | 19 | | 0 | 20 | -1 | | 0 | 0 | 0 | -1 | | | | | | | | |
| 618 PIONEER STRATEGIC | 723884409 | | 9/28/2012 | 1/2/2015 | 54 | | 0 | 56 | -2 | | 0 | 0 | 0 | -2 | | | | | | | | |
| 619 PIONEER STRATEGIC | 723884409 | | 8/31/2012 | 1/2/2015 | 11 | | 0 | 11 | 0 | | 0 | 0 | 0 | 0 | | | | | | | | |
| 620 PIONEER STRATEGIC | 723884409 | | 8/31/2012 | 1/2/2015 | 54 | | 0 | 56 | -2 | | 0 | 0 | 0 | -2 | | | | | | | | |
| 621 PIONEER STRATEGIC | 723884409 | | 7/31/2012 | 1/2/2015 | 54 | | 0 | 55 | -1 | | 0 | 0 | 0 | -1 | | | | | | | | |

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

| Long Term CG Distributions | | Short Term CG Distributions | | Amount | 16,082 | 0 | 5,033,616 | 0 | 240 | 3,299,948 | 1,733,908 | 0 | 1,733,908 |
|------------------------------|------------------------|-----------------------------|---------------|------------|-------------------|----------------------|-------------|--|--------------|----------------------|-------------------------------|-----------------------------------|---|
| Description of Property Sold | CUSIP # | Acquisition Method | Date Acquired | Date Sold | Gross Sales Price | Depreciation Allowed | Adjustments | Cost or Other Basis Plus Expense of Sale | Gain or Loss | F M V as of 12/31/69 | Adjusted Basis as of 12/31/69 | Excess of FMV Over Adjusted Basis | Gains Minus Excess FMV Over Adj Basis or Losses |
| 622 | PIONEER STRATEGIC | 723884409 | 6/29/2012 | 1/2/2015 | 54 | | | 55 | -1 | 0 | 0 | 0 | 0 |
| 623 | PIONEER STRATEGIC | 723884409 | 5/31/2012 | 1/2/2015 | 11 | | | 11 | 0 | 0 | 0 | 0 | 0 |
| 624 | PIONEER STRATEGIC | 723884409 | 5/31/2012 | 1/2/2015 | 54 | | | 54 | 0 | 0 | 0 | 0 | 0 |
| 625 | PIONEER STRATEGIC | 723884409 | 4/30/2012 | 1/2/2015 | 54 | | | 55 | -1 | 0 | 0 | 0 | 0 |
| 626 | PIONEER STRATEGIC | 723884409 | 3/30/2012 | 1/2/2015 | 54 | | | 55 | -1 | 0 | 0 | 0 | 0 |
| 627 | PIONEER STRATEGIC | 723884409 | 3/30/2012 | 1/2/2015 | 11 | | | 11 | 0 | 0 | 0 | 0 | 0 |
| 628 | PIONEER STRATEGIC | 723884409 | 2/29/2012 | 1/2/2015 | 54 | | | 55 | -1 | 0 | 0 | 0 | 0 |
| 629 | PIONEER STRATEGIC | 723884409 | 1/31/2012 | 1/2/2015 | 54 | | | 54 | 0 | 0 | 0 | 0 | 0 |
| 630 | PIONEER STRATEGIC | 723884409 | 12/30/2011 | 1/2/2015 | 79 | | | 74 | 1 | 0 | 0 | 0 | 0 |
| 631 | PIONEER STRATEGIC | 723884409 | 12/30/2011 | 1/2/2015 | 11 | | | 11 | 0 | 0 | 0 | 0 | 0 |
| 632 | PIONEER STRATEGIC | 723884409 | 11/30/2011 | 1/2/2015 | 54 | | | 53 | 1 | 0 | 0 | 0 | 0 |
| 633 | PIONEER STRATEGIC | 723884409 | 11/30/2011 | 1/2/2015 | 11 | | | 11 | 0 | 0 | 0 | 0 | 0 |
| 634 | PIONEER STRATEGIC | 723884409 | 11/29/2011 | 1/2/2015 | 21 | | | 21 | 0 | 0 | 0 | 0 | 0 |
| 635 | PIONEER STRATEGIC | 723884409 | 11/29/2011 | 1/2/2015 | 97 | | | 95 | 2 | 0 | 0 | 0 | 0 |
| 636 | PIONEER STRATEGIC | 723884409 | 10/31/2011 | 1/2/2015 | 54 | | | 54 | 0 | 0 | 0 | 0 | 0 |
| 637 | PIONEER STRATEGIC | 723884409 | 10/31/2011 | 1/2/2015 | 11 | | | 11 | 0 | 0 | 0 | 0 | 0 |
| 638 | PIONEER STRATEGIC | 723884409 | 9/30/2011 | 1/2/2015 | 54 | | | 53 | 1 | 0 | 0 | 0 | 0 |
| 639 | PIONEER STRATEGIC | 723884409 | 8/31/2011 | 1/2/2015 | 54 | | | 54 | 0 | 0 | 0 | 0 | 0 |
| 640 | PIONEER STRATEGIC | 723884409 | 7/29/2011 | 1/2/2015 | 54 | | | 55 | -1 | 0 | 0 | 0 | 0 |
| 641 | PIONEER STRATEGIC | 723884409 | 7/29/2011 | 1/2/2015 | 11 | | | 11 | 0 | 0 | 0 | 0 | 0 |
| 642 | PIONEER STRATEGIC | 723884409 | 6/30/2011 | 1/2/2015 | 54 | | | 55 | -1 | 0 | 0 | 0 | 0 |
| 643 | PIONEER STRATEGIC | 723884409 | 5/31/2011 | 1/2/2015 | 54 | | | 56 | -2 | 0 | 0 | 0 | 0 |
| 644 | PIONEER STRATEGIC | 723884409 | 4/29/2011 | 1/2/2015 | 54 | | | 56 | -2 | 0 | 0 | 0 | 0 |
| 645 | PIONEER STRATEGIC | 723884409 | 3/31/2011 | 1/2/2015 | 11 | | | 11 | 0 | 0 | 0 | 0 | 0 |
| 646 | PIONEER STRATEGIC | 723884409 | 3/31/2011 | 1/2/2015 | 54 | | | 55 | -1 | 0 | 0 | 0 | 0 |
| 647 | PIONEER STRATEGIC | 723884409 | 2/28/2011 | 1/2/2015 | 43 | | | 44 | -1 | 0 | 0 | 0 | 0 |
| 648 | PIONEER STRATEGIC | 723884409 | 2/3/2011 | 1/2/2015 | 12,951 | | | 13,313 | -362 | 0 | 0 | 0 | -362 |
| 649 | PIONEER STRATEGIC | 723884409 | 12/31/2014 | 1/2/2015 | 5 | | | 5 | 0 | 0 | 0 | 0 | 0 |
| 650 | PIONEER STRATEGIC | 723884409 | 12/31/2014 | 1/2/2015 | 129 | | | 129 | 0 | 0 | 0 | 0 | 0 |
| 651 | PIONEER STRATEGIC | 723884409 | 11/28/2014 | 1/2/2015 | 107 | | | 108 | -1 | 0 | 0 | 0 | 0 |
| 652 | PIONEER STRATEGIC | 723884409 | 11/25/2014 | 1/2/2015 | 322 | | | 326 | -4 | 0 | 0 | 0 | 0 |
| 653 | PIONEER STRATEGIC | 723884409 | 10/31/2014 | 1/2/2015 | 107 | | | 110 | -3 | 0 | 0 | 0 | 0 |
| 654 | PIONEER STRATEGIC | 723884409 | 7/31/2014 | 1/2/2015 | 11 | | | 11 | 0 | 0 | 0 | 0 | 0 |
| 655 | PIONEER STRATEGIC | 723884409 | 7/21/2014 | 1/2/2015 | 11 | | | 11 | 0 | 0 | 0 | 0 | 0 |
| 656 | BLACKROCK GLOBAL LONG | 091936732 | 1/31/2014 | 8/28/2015 | 10 | | | 11 | -1 | 0 | 0 | 0 | 0 |
| 657 | BLACKROCK GLOBAL LONG | 091936732 | 3/31/2014 | 8/28/2015 | 10 | | | 11 | -1 | 0 | 0 | 0 | 0 |
| 658 | BLACKROCK GLOBAL LONG | 091936732 | 3/10/2015 | 8/28/2015 | 10 | | | 10 | 0 | 0 | 0 | 0 | 0 |
| 659 | BLACKROCK LOW DURATION | 091928283 | 3/31/2015 | 4/17/2015 | 0 | | | 0 | 0 | 0 | 0 | 0 | 0 |
| 660 | BLACKROCK GLOBAL LONG | 091936732 | 12/23/2014 | 8/28/2015 | 1,412 | | | 1,400 | 12 | 0 | 0 | 0 | 12 |
| 661 | BLACKROCK GLOBAL LONG | 091936732 | 6/4/2013 | 8/28/2015 | 28,849 | | | 29,401 | -552 | 0 | 0 | 0 | -552 |
| 662 | BLACKROCK GLOBAL LONG | 091936732 | 12/23/2014 | 8/28/2015 | 10 | | | 11 | -1 | 0 | 0 | 0 | 0 |
| 663 | BLACKROCK GLOBAL LONG | 091936732 | 6/28/2013 | 8/28/2015 | 10 | | | 11 | -1 | 0 | 0 | 0 | 0 |
| 664 | BLACKROCK GLOBAL LONG | 091936732 | 3/10/2015 | 8/28/2015 | 0 | | | 0 | 0 | 0 | 0 | 0 | 0 |
| 665 | BLACKROCK GLOBAL LONG | 091936732 | 6/28/2013 | 8/28/2015 | 0 | | | 11 | -1 | 0 | 0 | 0 | 0 |
| 666 | BLACKROCK GLOBAL LONG | 091936732 | 2/28/2014 | 8/28/2015 | 10 | | | 11 | -1 | 0 | 0 | 0 | 0 |
| 667 | BLACKROCK GLOBAL LONG | 091936732 | 5/30/2014 | 8/28/2015 | 10 | | | 11 | -1 | 0 | 0 | 0 | 0 |
| 668 | BLACKROCK GLOBAL LONG | 091936732 | 8/30/2013 | 8/28/2015 | 10 | | | 11 | -1 | 0 | 0 | 0 | 0 |
| 669 | BLACKROCK GLOBAL LONG | 091936732 | 1/2/2015 | 8/28/2015 | 21,527 | | | 21,341 | 186 | 0 | 0 | 0 | 186 |
| 670 | BLACKROCK GLOBAL LONG | 091936732 | 6/5/2014 | 8/28/2015 | 3,326 | | | 3,488 | -162 | 0 | 0 | 0 | -162 |
| 671 | BLACKROCK GLOBAL LONG | 091936732 | 3/10/2015 | 8/28/2015 | 199 | | | 200 | -1 | 0 | 0 | 0 | 0 |
| 672 | BLACKROCK GLOBAL LONG | 091936732 | 7/31/2013 | 8/28/2015 | 12 | | | 11 | 1 | 0 | 0 | 0 | 1 |
| 673 | BLACKROCK TOTAL RETURN | 09252M883 | 7/31/2015 | 10/28/2015 | 12 | | | 12 | 0 | 0 | 0 | 0 | 0 |
| 674 | BLACKROCK TOTAL RETURN | 09252M883 | 9/30/2015 | 10/28/2015 | 5 | | | 5 | 0 | 0 | 0 | 0 | 0 |
| 675 | BLACKROCK GLOBAL | 09256H328 | 12/19/2014 | 1/2/2015 | 2 | | | 2 | 0 | 0 | 0 | 0 | 0 |
| 676 | BLACKROCK STRATEGIC | 09256H286 | 10/1/2013 | 10/28/2015 | 10 | | | 10 | 0 | 0 | 0 | 0 | 0 |
| 677 | BLACKROCK STRATEGIC | 09256H286 | 11/17/2014 | 10/28/2015 | 32,378 | | | 33,283 | -905 | 0 | 0 | 0 | -905 |
| 678 | BLACKROCK STRATEGIC | 09256H286 | 1/14/2013 | 10/28/2015 | 130 | | | 132 | 0 | 0 | 0 | 0 | 0 |
| 679 | BLACKROCK STRATEGIC | 09256H286 | 5/31/2013 | 10/28/2015 | 130 | | | 133 | -3 | 0 | 0 | 0 | -3 |
| 680 | BLACKROCK STRATEGIC | 09256H286 | 8/30/2013 | 10/28/2015 | 129 | | | 133 | -4 | 0 | 0 | 0 | -4 |
| 681 | BLACKROCK STRATEGIC | 09256H286 | 3/31/2015 | 10/28/2015 | 90 | | | 91 | -1 | 0 | 0 | 0 | -1 |
| 682 | BLACKROCK STRATEGIC | 09256H286 | 2/28/2013 | 10/28/2015 | 529 | | | 536 | -7 | 0 | 0 | 0 | -7 |
| 683 | BLACKROCK STRATEGIC | 09256H286 | 12/23/2014 | 10/28/2015 | 379 | | | 388 | -9 | 0 | 0 | 0 | -9 |
| 684 | BLACKROCK STRATEGIC | 09256H286 | 3/10/2015 | 10/28/2015 | 90 | | | 101 | -11 | 0 | 0 | 0 | -11 |
| 685 | BLACKROCK STRATEGIC | 09256H286 | 4/17/2014 | 10/28/2015 | 90 | | | 101 | -11 | 0 | 0 | 0 | -11 |
| 686 | BLACKROCK STRATEGIC | 09256H286 | 7/31/2013 | 10/28/2015 | 100 | | | 100 | 0 | 0 | 0 | 0 | 0 |
| 687 | BLACKROCK STRATEGIC | 09256H286 | 6/28/2013 | 10/28/2015 | 100 | | | 100 | 0 | 0 | 0 | 0 | 0 |
| 688 | BLACKROCK STRATEGIC | 09256H286 | 1/31/2013 | 10/28/2015 | 119 | | | 123 | -4 | 0 | 0 | 0 | -4 |
| 689 | BLACKROCK STRATEGIC | 09256H286 | 2/17/2015 | 10/28/2015 | 10 | | | 10 | 0 | 0 | 0 | 0 | 0 |
| 690 | BLACKROCK STRATEGIC | 09256H286 | 3/28/2013 | 10/28/2015 | 10 | | | 10 | 0 | 0 | 0 | 0 | 0 |

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

| Long Term CG Distributions | | Short Term CG Distributions | | Amount | | 5,033,616 | | 0 | | 240 | | 3,299,948 | | 1,733,908 | | 0 | | 1,733,908 | |
|------------------------------|-----------|-----------------------------|---------------|------------|-------------------|----------------------|-------------|-------------------------------|--------------|----------------------|-------------------------------|-----------------------------------|---|-----------|--|---|--|-----------|--|
| Description of Property Sold | CUSIP # | Acquisition Method | Date Acquired | Date Sold | Gross Sales Price | Depreciation Allowed | Adjustments | Cost or Other Expense of Sale | Gain or Loss | F M V as of 12/31/69 | Adjusted Basis as of 12/31/69 | Excess of FMV Over Adjusted Basis | Gains Minus Excess FMV Over Adj Basis or Losses | | | | | | |
| 829 BLACKROCK FLOATING RAIT | 09256H187 | | 5/31/2013 | 10/28/2015 | 71 | | 0 | 73 | -2 | 0 | 0 | 0 | -2 | | | | | | |
| 830 BLACKROCK FLOATING RAIT | 09256H187 | | 7/31/2014 | 10/28/2015 | 10 | | 0 | 11 | -1 | 0 | 0 | 0 | -1 | | | | | | |
| 831 PRUDENTIAL SHORT TERM | 74441R508 | | 7/31/2013 | 1/2/2015 | 11 | | 0 | 11 | 0 | 0 | 0 | 0 | 0 | | | | | | |
| 832 PRUDENTIAL SHORT TERM | 74441R508 | | 6/28/2013 | 1/2/2015 | 22 | | 0 | 23 | -1 | 0 | 0 | 0 | -1 | | | | | | |
| 833 PRUDENTIAL SHORT TERM | 74441R508 | | 4/30/2013 | 1/2/2015 | 11 | | 0 | 12 | -1 | 0 | 0 | 0 | -1 | | | | | | |
| 834 PRUDENTIAL SHORT TERM | 74441R508 | | 4/30/2013 | 1/2/2015 | 22 | | 0 | 23 | -1 | 0 | 0 | 0 | -1 | | | | | | |
| 835 PRUDENTIAL SHORT TERM | 74441R508 | | 3/28/2013 | 1/2/2015 | 22 | | 0 | 23 | -1 | 0 | 0 | 0 | -1 | | | | | | |
| 836 BLACKROCK GLOBAL | 09256H328 | | 12/15/2014 | 1/2/2015 | 655 | | 0 | 652 | 3 | 0 | 0 | 0 | 3 | | | | | | |
| 837 BLACKROCK GLOBAL | 09256H328 | | 1/21/2014 | 1/2/2015 | 24,348 | | 0 | 24,826 | -478 | 0 | 0 | 0 | -478 | | | | | | |
| 838 BLACKROCK GLOBAL | 09256H328 | | 7/19/2013 | 1/2/2015 | 12 | | 0 | 11 | 1 | 0 | 0 | 0 | 1 | | | | | | |
| 839 CREDIT SUISSE FLOATING | 22540S836 | | 10/28/2015 | 12/17/2015 | 76,045 | | 0 | 77,777 | -1,732 | 0 | 0 | 0 | -1,732 | | | | | | |
| 840 CREDIT SUISSE FLOATING | 22540S836 | | 11/30/2015 | 12/17/2015 | 4 | | 0 | 4 | 0 | 0 | 0 | 0 | 0 | | | | | | |
| 841 CREDIT SUISSE FLOATING | 22540S836 | | 11/30/2015 | 12/17/2015 | 266 | | 0 | 273 | -7 | 0 | 0 | 0 | -7 | | | | | | |
| 842 CREDIT SUISSE FLOATING | 22540S836 | | 10/30/2015 | 12/17/2015 | 7 | | 0 | 7 | 0 | 0 | 0 | 0 | 0 | | | | | | |
| 843 CREDIT SUISSE FLOATING | 22540S836 | | 10/30/2015 | 12/17/2015 | 13 | | 0 | 13 | 0 | 0 | 0 | 0 | 0 | | | | | | |
| 844 CREDIT SUISSE FLOATING | 22540S836 | | 10/28/2015 | 12/17/2015 | 177 | | 4 | 181 | 0 | 0 | 0 | 0 | 0 | | | | | | |
| 845 DEUTSCHE X-TRACKERS MS | 233051200 | | 1/5/2015 | 12/31/2015 | 4,987 | | 0 | 4,899 | 88 | 0 | 0 | 0 | 88 | | | | | | |
| 846 DOUBLELINE TOTAL RETURN | 258620103 | | 4/30/2015 | 12/14/2015 | 184 | | 0 | 187 | -3 | 0 | 0 | 0 | -3 | | | | | | |
| 847 DOUBLELINE TOTAL RETURN | 258620103 | | 3/31/2015 | 12/14/2015 | 11 | | 0 | 11 | 0 | 0 | 0 | 0 | 0 | | | | | | |
| 848 DOUBLELINE TOTAL RETURN | 258620103 | | 6/30/2015 | 12/14/2015 | 11 | | 0 | 11 | 0 | 0 | 0 | 0 | 0 | | | | | | |
| 849 DOUBLELINE TOTAL RETURN | 258620103 | | 6/30/2015 | 12/14/2015 | 184 | | 0 | 185 | -1 | 0 | 0 | 0 | -1 | | | | | | |
| 850 DOUBLELINE TOTAL RETURN | 258620103 | | 5/29/2015 | 12/14/2015 | 195 | | 0 | 198 | -3 | 0 | 0 | 0 | -3 | | | | | | |
| 851 DOUBLELINE TOTAL RETURN | 258620103 | | 10/30/2015 | 12/14/2015 | 303 | | 0 | 305 | -2 | 0 | 0 | 0 | -2 | | | | | | |
| 852 DOUBLELINE TOTAL RETURN | 258620103 | | 8/31/2015 | 12/14/2015 | 184 | | 0 | 185 | -1 | 0 | 0 | 0 | -1 | | | | | | |
| 853 DOUBLELINE TOTAL RETURN | 258620103 | | 10/28/2015 | 12/14/2015 | 37,516 | | 0 | 37,896 | -380 | 0 | 0 | 0 | -380 | | | | | | |
| 854 DOUBLELINE TOTAL RETURN | 258620103 | | 7/31/2015 | 12/14/2015 | 11 | | 0 | 11 | 0 | 0 | 0 | 0 | 0 | | | | | | |
| 855 DOUBLELINE TOTAL RETURN | 258620103 | | 7/31/2015 | 12/14/2015 | 195 | | 0 | 197 | -2 | 0 | 0 | 0 | -2 | | | | | | |
| 856 DOUBLELINE TOTAL RETURN | 258620103 | | 10/30/2015 | 12/14/2015 | 11 | | 0 | 11 | 0 | 0 | 0 | 0 | 0 | | | | | | |
| 857 DOUBLELINE TOTAL RETURN | 258620103 | | 11/30/2015 | 12/14/2015 | 11 | | 0 | 11 | 0 | 0 | 0 | 0 | 0 | | | | | | |

Part VIII, Line 1 (990-PF) - Compensation of Officers, Directors, Trustees and Foundation Managers

| | Name | Check "X" if Business | Street | City | State | Zip Code | Foreign Country | Title | Avg Hrs Per Week | Compensation | Benefits | Expense Account |
|---|---------------------|-----------------------|------------------------|-----------|-------|----------|-----------------|----------------|------------------|--------------|----------|-----------------|
| 1 | JENNIFER A ECKSTINE | | 1207 CRESTVIEW DRIVE | CARDIFF | CA | 92007 | | PRESIDENT | 1 00 | 0 | | |
| 2 | DARLINE LILO | | 11343 AVENUDA DEL GATO | SAN DIEGO | CA | | | SECRETARY /CFO | 1 00 | 0 | | |

Part XV, Lines 1a-1b (990-PF) - Information Regarding Foundation Managers

List Managers who contributed more than 2% of the total contributions received by the foundation

- 1 JENNIFER A ECKSTINE
- 2 _____
- 3 _____
- 4 _____
- 5 _____
- 6 _____
- 7 _____
- 8 _____
- 9 _____
- 10 _____

List Managers who own 10% or more of the stock of a corporation of which the foundation has a 10% or greater interest

- 1 _____
 - 2 _____
 - 3 _____
 - 4 _____
 - 5 _____
 - 6 _____
 - 7 _____
 - 8 _____
 - 9 _____
 - 10 _____
-

Part VI, Line 6a (990-PF) - Estimated Tax Payments

| | <u>Date</u> | <u>Amount</u> |
|--|-------------|---------------|
| 1 Credit from prior year return | | 1 0 |
| 2 First quarter estimated tax payment | 5/14/2015 | 2 403 |
| 3 Second quarter estimated tax payment | 6/12/2015 | 3 474 |
| 4 Third quarter estimated tax payment | 9/14/2015 | 4 430 |
| 5 Fourth quarter estimated tax payment | 12/14/2015 | 5 268 |
| 6 Other payments | | 6 |
| 7 Total | | 7 1,575 |