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Form

990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2015

Open to Public Inspection

For calendar year 2015, or tax year beginning 01-01-2015, and ending 12-31-2015

Name of foundation AKS FOUNDATION INC		A Employer identification number 20-5960221	
Number and street (or P O box number if mail is not delivered to street address) 18 TARKINGTON COURT		B Telephone number (see instructions) (609) 430-1645	
City or town, state or province, country, and ZIP or foreign postal code PRINCETON, NJ 08540		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation			
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 688,500		J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)	

Part I Analysis of Revenue and Expenses <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</i>			Revenue and expenses per books (a)	Net investment income (b)	Adjusted net income (c)	Disbursements for charitable purposes (d) (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	1,100			
	2	Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	19,876	19,876		
	5a	Gross rents				
	b	Net rental income or (loss) _____				
	6a	Net gain or (loss) from sale of assets not on line 10	62,466			
	b	Gross sales price for all assets on line 6a 372,217				
	7	Capital gain net income (from Part IV, line 2) . . .		62,466		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
b	Less Cost of goods sold					
c	Gross profit or (loss) (attach schedule)					
11	Other income (attach schedule)	239	239			
12	Total. Add lines 1 through 11	83,681	82,581			
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0	0		0
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule).	556	0		0
	b	Accounting fees (attach schedule).	3,225	1,613		0
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	30	0		0
	19	Depreciation (attach schedule) and depletion . . .				
	20	Occupancy				
	21	Travel, conferences, and meetings.				
	22	Printing and publications				
	23	Other expenses (attach schedule).	8,349	8,294		0
	24	Total operating and administrative expenses. Add lines 13 through 23	12,160	9,907		0
	25	Contributions, gifts, grants paid	77,000			77,000
26	Total expenses and disbursements. Add lines 24 and 25	89,160	9,907		77,000	
	27	Subtract line 26 from line 12				
	a	Excess of revenue over expenses and disbursements	-5,479			
	b	Net investment income (if negative, enter -0-)		72,674		
	c	Adjusted net income (if negative, enter -0-) . . .				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	10,188	44,987	44,987
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions).			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)			
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans.			
	13	Investments—other (attach schedule)	631,636	543,491	643,513
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets(to be completed by all filers—see the instructions Also, see page 1, item I)	641,824	588,478	688,500	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule).			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities(add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds	0	0	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
	29	Retained earnings, accumulated income, endowment, or other funds	641,824	588,478	
	30	Total net assets or fund balances(see instructions)	641,824	588,478	
31	Total liabilities and net assets/fund balances(see instructions)	641,824	588,478		

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	641,824
2	Enter amount from Part I, line 27a	2	-5,479
3	Other increases not included in line 2 (itemize) ▶ _____	3	0
4	Add lines 1, 2, and 3	4	636,345
5	Decreases not included in line 2 (itemize) ▶ _____	5	47,867
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	588,478

Part IV

Capital Gains and Losses for Tax on Investment Income

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)		How acquired P—Purchase (b) D—Donation	Date acquired (c) (mo , day, yr)	Date sold (d) (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	62,466
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 }		3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)
If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2014	90,475	783,383	0 115493
2013	74,784	814,081	0 091863
2012	67,000	816,333	0 082074
2011	98,797	857,098	0 115269
2010	77,651	889,356	0 087311

2	Total of line 1, column (d).	2	0 492010
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 098402
4	Enter the net value of noncharitable-use assets for 2015 from Part X, line 5.	4	712,214
5	Multiply line 4 by line 3.	5	70,083
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	727
7	Add lines 5 and 6.	7	70,810
8	Enter qualifying distributions from Part XII, line 4.	8	77,000

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See
the Part VI instructions

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a

Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1
Date of ruling or determination letter _____
(attach copy of letter if necessary—see instructions)

b

Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b

c

All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)

2

Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

2

0

3

Add lines 1 and 2.

3

727

4

Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

4

0

5

Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-

5

727

6

Credits/Payments

a

2015 estimated tax payments and 2014 overpayment credited to 2015

6a

315

b

Exempt foreign organizations—tax withheld at source.

6b

c

Tax paid with application for extension of time to file (Form 8868).

6c

d

Backup withholding erroneously withheld.

6d

7

Total credits and payments. Add lines 6a through 6d.

7

315

8

Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached.

8

9

Tax due. If the total of lines 5 and 8 is more than line 7, enter **amount owed**

9

412

10

Overpayment. If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid**.

10

11

Enter the amount of line 10 to be **Credited to 2015 estimated tax** ☐ **Refunded** ☐

11

Part VII-AStatements Regarding Activities

1a

During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?

1a

No

b

Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.

1b

No

c

Did the foundation file **Form 1120-POL** for this year?

1c

No

d

Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:
(1) On the foundation ☐ \$ _____ **(2)** On foundation managers ☐ \$ _____

e

Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ _____

2

Has the foundation engaged in any activities that have not previously been reported to the IRS?
If "Yes," attach a detailed description of the activities.

2

No

3

Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*

3

No

4a

Did the foundation have unrelated business gross income of \$1,000 or more during the year?

4a

No

b

If "Yes," has it filed a tax return on **Form 990-T** for this year?

4b

5

Was there a liquidation, termination, dissolution, or substantial contraction during the year?
If "Yes," attach the statement required by General Instruction T.

5

No

6

Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:
• By language in the governing instrument, or
• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

6

Yes

7

Did the foundation have at least \$5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*

7

Yes

8a

Enter the states to which the foundation reports or with which it is registered (see instructions):
☐ NJ _____

b

If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation.*

8b

Yes

9

Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)?
If "Yes," complete Part XIV

9

No

10

Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*

10

No

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Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶N/A	13	Yes	
14	The books are in care of ▶THE ORGANIZATION Telephone no ▶(609) 430-1645 Located at ▶18 TARKINGTON COURT PRINCETON NJ ZIP+4 ▶08540			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year ▶	15		
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶	16	Yes	No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> Organizations relying on a current notice regarding disaster assistance check here. ▶ <input type="checkbox"/>	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.</i>)	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a

During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes

☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes

☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes

☒ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions).

☐ Yes

☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes

☒ No

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

Organizations relying on a current notice regarding disaster assistance check here.

☐

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

☐ Yes

☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes

☒ No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

No

If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes

☒ No

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
See Additional Data Table				

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	Contributions to employee benefit plans and deferred compensation (d)	Expense account, (e) other allowances
NONE				

Total

number of other employees paid over \$50,000.

0

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Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services. 		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 	0

Part X

Minimum Investment Return

(All domestic foundations must complete this part. Foreign foundations,see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	695,473
b	Average of monthly cash balances.	1b	27,587
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	723,060
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	723,060
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	10,846
5	Net value of noncharitable-use assets.Subtract line 4 from line 3 Enter here and on Part V, line 4	5	712,214
6	Minimum investment return.Enter 5% of line 5.	6	35,611

Part XI

Distributable Amount

(see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	35,611
2a	Tax on investment income for 2015 from Part VI, line 5.	2a	727
b	Income tax for 2015 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	727
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	34,884
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	34,884
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amountas adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	34,884

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	77,000
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions.Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	77,000
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	727
6	Adjusted qualifying distributions.Subtract line 5 from line 4.	6	76,273

Note:The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				34,884
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only.			0	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2015				
a From 2010.	33,881			
b From 2011.	56,848			
c From 2012.	27,057			
d From 2013.	34,712			
e From 2014.	51,856			
f Total of lines 3a through e.	204,354			
4 Qualifying distributions for 2015 from Part XII, line 4 ▶ \$ 77,000				
a Applied to 2014, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2015 distributable amount.				34,884
e Remaining amount distributed out of corpus	42,116			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	246,470			
b Prior years' undistributed income Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015.				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions). . .	33,881			
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a.	212,589			
10 Analysis of line 9				
a Excess from 2011.	56,848			
b Excess from 2012.	27,057			
c Excess from 2013.	34,712			
d Excess from 2014.	51,856			
e Excess from 2015.	42,116			

Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling. . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2015	(b) 2014	(c) 2013	(d) 2012	
2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed				
b	85% of line 2a				
c	Qualifying distributions from Part XII, line 4 for each year listed				
d	Amounts included in line 2c not used directly for active conduct of exempt activities				
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c				
3	Complete 3a, b, or c for the alternative test relied upon				
a	"Assets" alternative test—enter				
	(1) Value of all assets				
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)				
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.				
c	"Support" alternative test—enter				
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)				
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).				
	(3) Largest amount of support from an exempt organization				
	(4) Gross investment income				

Part XV **Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

AMY SMITH

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information(continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			3a	77,000
b <i>Approved for future payment</i>				
Total			3b	0

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue					
a	_____					
b	_____					
c	_____					
d	_____					
e	_____					
f	_____					
g	Fees and contracts from government agencies					
2	Membership dues and assessments.					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities. . . .			14	19,876	
5	Net rental income or (loss) from real estate					
a	Debt-financed property.					
b	Not debt-financed property.					
6	Net rental income or (loss) from personal property					
7	Other investment income.			18	239	
8	Gain or (loss) from sales of assets other than inventory			18	62,466	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue a _____					
b	_____					
c	_____					
d	_____					
e	_____					
12	Subtotal Add columns (b), (d), and (e). . . .		0		82,581	0
13	Total. Add line 12, columns (b), (d), and (e). (See worksheet in line 13 instructions to verify calculations)			13		82,581

[illegible]

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
IVY ASSET STRATEGY I	P	2014-12-01	2015-01-06
VIRTUS PREM ALPHASECTOR (SM) I	P	2014-06-27	2015-03-19
VIRTUS PREM ALPHASECTOR (SM) I	P	2014-11-25	2015-03-19
VIRTUS PREM ALPHASECTOR (SM) I	P	2014-12-30	2015-03-19
INVESCO BALANCED-RISK ALLOC Y	P	2014-12-12	2015-03-19
TCW EMERGING MKT INC N	P	2014-04-01	2015-03-19
TCW EMERGING MKT INC N	P	2014-05-01	2015-03-19
TCW EMERGING MKT INC N	P	2014-06-01	2015-03-19
TCW EMERGING MKT INC N	P	2014-07-01	2015-03-19
TCW EMERGING MKT INC N	P	2014-08-01	2015-03-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,102		1,044	58
24		32	-8
2,700		2,862	-162
53		56	-3
879		846	33
34		36	-2
34		36	-2
33		36	-3
33		37	-4
33		37	-4

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			58
			-8
			-162
			-3
			33
			-2
			-2
			-3
			-4
			-4

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	How acquired P—Purchase (b) D—Donation	Date acquired (c) (mo , day, yr)	(d) Date sold (mo , day, yr)
TCW EMERGING MKT INC N	P	2014-09-01	2015-03-19
TCW EMERGING MKT INC N	P	2014-10-01	2015-03-19
TCW EMERGING MKT INC N	P	2014-11-03	2015-03-19
TCW EMERGING MKT INC N	P	2014-12-01	2015-03-19
TCW EMERGING MKT INC N	P	2014-12-30	2015-03-19
TCW EMERGING MKT INC N	P	2015-01-30	2015-03-19
TCW EMERGING MKT INC N	P	2015-02-10	2015-03-19
TCW EMERGING MKT INC N	P	2015-02-27	2015-03-19
COLUMBIA DIVIDEND INC A	P	2014-09-24	2015-07-20
COLUMBIA DIVIDEND INC A	P	2014-12-15	2015-07-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
33		37	-4
34		37	-3
34		37	-3
35		37	-2
37		37	0
37		38	-1
19		27	-8
18		18	0
39		40	-1
554		530	24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-4
			-3
			-3
			-2
			0
			-1
			-8
			0
			-1
			24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	Date acquired (c) (mo , day, yr)	(d) Date sold (mo , day, yr)
COLUMBIA DIVIDEND INC A	P	2015-03-20	2015-07-20
COLUMBIA DIVIDEND INC A	P	2015-06-22	2015-07-20
IVA WORLDWIDE A	P	2014-12-17	2015-07-20
CENTER COAST MLP FOCUS I	P	2014-07-30	2015-07-20
CENTER COAST MLP FOCUS I	P	2014-08-28	2015-07-20
CENTER COAST MLP FOCUS I	P	2014-09-26	2015-07-20
CENTER COAST MLP FOCUS I	P	2014-10-29	2015-07-20
CENTER COAST MLP FOCUS I	P	2014-11-11	2015-07-20
CENTER COAST MLP FOCUS I	P	2014-11-25	2015-07-20
CENTER COAST MLP FOCUS I	P	2015-01-18	2015-07-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
51		52	-1
52		52	0
366		324	42
32		37	-5
31		38	-7
32		38	-6
33		38	-5
33		38	-5
33		38	-5
36		38	-2

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1
			0
			42
			-5
			-7
			-6
			-5
			-5
			-5
			-2

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
CENTER COAST MLP FOCUS I	P	2015-02-25	2015-07-20
CENTER COAST MLP FOCUS I	P	2015-03-27	2015-07-20
CENTER COAST MLP FOCUS I	P	2015-04-28	2015-07-20
CENTER COAST MLP FOCUS I	P	2015-05-27	2015-07-20
CUSHING MLP PREMIER	P	2014-07-25	2015-07-20
CUSHING MLP PREMIER	P	2014-10-24	2015-07-20
CUSHING MLP PREMIER	P	2015-01-23	2015-07-20
CUSHING MLP PREMIER	P	2015-04-24	2015-07-20
FIRST EAGLE GLOBAL A	P	2014-12-15	2015-07-20
TRANSAMERICAN SYS SM MD	P	2014-12-23	2015-07-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
35		39	-4
37		39	-2
36		39	-3
36		39	-3
94		114	-20
100		115	-15
111		117	-6
112		119	-7
399		324	75
542		524	18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-4
			-2
			-3
			-3
			-20
			-15
			-6
			-7
			75
			18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
LOCKHEED MARTIN	P	2015-07-23	2015-08-27
IVY ASSET STRATEGY I	P	2010-04-12	2015-01-06
IVY ASSET STRATEGY I	P	2010-12-09	2015-01-06
IVY ASSET STRATEGY I	P	2011-11-30	2015-01-06
IVY ASSET STRATEGY I	P	2012-12-01	2015-01-06
IVY ASSET STRATEGY I	P	2013-12-12	2015-01-06
LINN ENERGY LLC UTS REP LTS LI	P	2011-01-25	2015-02-23
LINN ENERGY LLC UTS REP LTS LI	P	2011-07-28	2015-02-23
VIRTUS PREM ALPHASECTOR (SM) I	P	2011-01-25	2015-03-19
VIRTUS PREM ALPHASECTOR (SM) I	P	2011-06-29	2015-03-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
30,269		30,701	-432
5,451		5,164	287
10		10	0
22		21	1
164		155	9
35		33	2
3,712		2,711	1,001
18,559		20,633	-2,074
10,464		9,983	481
24		23	1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-432
			287
			0
			1
			9
			2
			1,001
			-2,074
			481
			1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
VIRTUS PREM ALPHASECTOR (SM) I	P	2011-12-29	2015-03-19
VIRTUS PREM ALPHASECTOR (SM) I	P	2012-06-28	2015-03-19
VIRTUS PREM ALPHASECTOR (SM) I	P	2012-12-28	2015-03-19
VIRTUS PREM ALPHASECTOR (SM) I	P	2013-06-27	2015-03-19
VIRTUS PREM ALPHASECTOR (SM) I	P	2013-12-30	2015-03-19
INVESCO BALANCED-RISK ALLOC Y	P	2012-02-24	2015-03-19
INVESCO BALANCED-RISK ALLOC Y	P	2012-12-01	2015-03-19
INVESCO BALANCED-RISK ALLOC Y	P	2012-12-01	2015-03-19
INVESCO BALANCED-RISK ALLOC Y	P	2012-12-01	2015-03-19
INVESCO BALANCED-RISK ALLOC Y	P	2013-12-13	2015-03-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
53		49	4
50		48	2
69		69	0
42		48	-6
312		394	-82
9,555		10,000	-445
201		244	-43
151		168	-17
151		111	40
784		764	20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			4
			2
			0
			-6
			-82
			-445
			-43
			-17
			40
			20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	How acquired P—Purchase (b) D—Donation	Date acquired (c) (mo , day, yr)	(d) Date sold (mo , day, yr)
TCW EMERGING MKT INC N	P	2011-01-25	2015-03-19
TCW EMERGING MKT INC N	P	2011-12-29	2015-03-19
TCW EMERGING MKT INC N	P	2012-02-01	2015-03-19
TCW EMERGING MKT INC N	P	2012-03-01	2015-03-19
TCW EMERGING MKT INC N	P	2012-04-01	2015-03-19
TCW EMERGING MKT INC N	P	2012-05-01	2015-03-19
TCW EMERGING MKT INC N	P	2012-06-01	2015-03-19
TCW EMERGING MKT INC N	P	2012-07-01	2015-03-19
TCW EMERGING MKT INC N	P	2012-08-01	2015-03-19
TCW EMERGING MKT INC N	P	2012-09-01	2015-03-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
5,145		5,674	-529
117		122	-5
97		104	-7
94		105	-11
89		99	-10
89		99	-10
93		100	-7
91		100	-9
99		113	-14
99		114	-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-529
			-5
			-7
			-11
			-10
			-10
			-7
			-9
			-14
			-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	How acquired P—Purchase (b) D—Donation	Date acquired (c) (mo , day, yr)	(d) Date sold (mo , day, yr)
TCW EMERGING MKT INC N	P	2012-10-01	2015-03-19
TCW EMERGING MKT INC N	P	2012-11-01	2015-03-19
TCW EMERGING MKT INC N	P	2012-12-01	2015-03-19
TCW EMERGING MKT INC N	P	2012-12-28	2015-03-19
TCW EMERGING MKT INC N	P	2012-12-28	2015-03-19
TCW EMERGING MKT INC N	P	2013-01-31	2015-03-19
TCW EMERGING MKT INC N	P	2013-02-28	2015-03-19
TCW EMERGING MKT INC N	P	2013-03-28	2015-03-19
TCW EMERGING MKT INC N	P	2013-04-30	2015-03-19
TCW EMERGING MKT INC N	P	2013-05-31	2015-03-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
99		115	-16
98		115	-17
97		116	-19
175		116	59
395		556	-161
101		120	-19
102		120	-18
104		121	-17
103		121	-18
106		122	-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-16
			-17
			-19
			59
			-161
			-19
			-18
			-17
			-18
			-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	How acquired P—Purchase (b) D—Donation	Date acquired (c) (mo , day, yr)	(d) Date sold (mo , day, yr)
TCW EMERGING MKT INC N	P	2013-06-28	2015-03-19
TCW EMERGING MKT INC N	P	2013-07-31	2015-03-19
TCW EMERGING MKT INC N	P	2013-08-30	2015-03-19
TCW EMERGING MKT INC N	P	2013-09-30	2015-03-19
TCW EMERGING MKT INC N	P	2013-11-01	2015-03-19
TCW EMERGING MKT INC N	P	2013-11-29	2015-03-19
TCW EMERGING MKT INC N	P	2013-12-30	2015-03-19
TCW EMERGING MKT INC N	P	2014-02-01	2015-03-19
TCW EMERGING MKT INC N	P	2014-03-01	2015-03-19
COLUMBIA DIVIDEND INC A	P	2011-10-28	2015-07-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
113		122	-9
114		123	-9
93		96	-3
91		97	-6
90		97	-7
92		97	-5
92		98	-6
34		36	-2
34		36	-2
7,019		5,000	2,019

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-9
			-9
			-3
			-6
			-7
			-5
			-6
			-2
			-2
			2,019

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	How acquired P—Purchase (b) D—Donation	Date acquired (c) (mo , day, yr)	(d) Date sold (mo , day, yr)
COLUMBIA DIVIDEND INC A	P	2011-12-19	2015-07-20
COLUMBIA DIVIDEND INC A	P	2012-03-23	2015-07-20
COLUMBIA DIVIDEND INC A	P	2012-06-22	2015-07-20
COLUMBIA DIVIDEND INC A	P	2012-09-25	2015-07-20
COLUMBIA DIVIDEND INC A	P	2012-12-18	2015-07-20
COLUMBIA DIVIDEND INC A	P	2013-03-22	2015-07-20
COLUMBIA DIVIDEND INC A	P	2013-06-24	2015-07-20
COLUMBIA DIVIDEND INC A	P	2013-09-24	2015-07-20
COLUMBIA DIVIDEND INC A	P	2013-12-17	2015-07-20
COLUMBIA DIVIDEND INC A	P	2014-03-27	2015-07-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
48		34	14
45		35	10
49		37	12
44		35	9
48		37	11
38		32	6
39		34	5
37		33	4
142		131	11
43		42	1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			14
			10
			12
			9
			11
			6
			5
			4
			11
			1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
COLUMBIA DIVIDEND INC A	P	2014-06-24	2015-07-20
FRONTEIR COMMUNICATIONS CORP	P	2010-07-01	2015-07-20
FRONTEIR COMMUNICATIONS CORP	P	2011-08-24	2015-07-20
IVA WORLDWIDE A	P	2010-04-12	2015-07-20
IVA WORLDWIDE A	P	2010-12-15	2015-07-20
IVA WORLDWIDE A	P	2011-12-14	2015-07-20
IVA WORLDWIDE A	P	2011-12-14	2015-07-20
IVA WORLDWIDE A	P	2011-12-14	2015-07-20
IVA WORLDWIDE A	P	2012-12-01	2015-07-20
IVA WORLDWIDE A	P	2012-12-01	2015-07-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
40		40	0
937			937
976		1,441	-465
5,732		5,068	664
167		148	19
127		112	15
127		112	15
127		112	15
94		83	11
94		83	11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			0
			937
			-465
			664
			19
			15
			15
			15
			11
			11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	Date acquired (c) (mo , day, yr)	(d) Date sold (mo , day, yr)
IVA WORLDWIDE A	P	2013-12-17	2015-07-20
CENTER COAST MLP FOCUS I	P	2013-10-30	2015-07-20
CENTER COAST MLP FOCUS I	P	2013-11-13	2015-07-20
CENTER COAST MLP FOCUS I	P	2013-11-25	2015-07-20
CENTER COAST MLP FOCUS I	P	2014-01-29	2015-07-20
CENTER COAST MLP FOCUS I	P	2014-02-26	2015-07-20
CENTER COAST MLP FOCUS I	P	2014-03-27	2015-07-20
CENTER COAST MLP FOCUS I	P	2014-04-25	2015-07-20
CENTER COAST MLP FOCUS I	P	2014-05-28	2015-07-20
CENTER COAST MLP FOCUS I	P	2014-06-26	2015-07-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
280		247	33
6,408		7,000	-592
33		35	-2
33		36	-3
34		36	-2
34		36	-2
34		37	-3
33		37	-4
33		37	-4
32		37	-5

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			33
			-592
			-2
			-3
			-2
			-2
			-3
			-4
			-4
			-5

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	How acquired P—Purchase (b) D—Donation	Date acquired (c) (mo , day, yr)	(d) Date sold (mo , day, yr)
CUSHING MLP PREMIER	P	2013-10-30	2015-07-20
CUSHING MLP PREMIER	P	2014-01-24	2015-07-20
CUSHING MLP PREMIER	P	2014-04-25	2015-07-20
AMERICAN ELECTRIC POWER	P	2010-08-04	2015-07-20
ANNALY CAPITAL MNGMT	P	2011-08-24	2015-07-20
ANNALY CAPITAL MNGMT	P	2011-08-25	2015-07-20
FIRST EAGLE GLOBAL A	P	2010-04-12	2015-07-20
FIRST EAGLE GLOBAL A	P	2010-12-16	2015-07-20
FIRST EAGLE GLOBAL A	P	2011-12-13	2015-07-20
FIRST EAGLE GLOBAL A	P	2011-12-13	2015-07-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
6,596		7,000	-404
102		110	-8
100		112	-12
16,754		10,826	5,928
1,953		3,552	-1,599
2,929		5,225	-2,296
6,358		5,165	1,193
89		72	17
59		48	11
90		73	17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-404
			-8
			-12
			5,928
			-1,599
			-2,296
			1,193
			17
			11
			17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
FIRST EAGLE GLOBAL A	P	2012-12-01	2015-07-20
FIRST EAGLE GLOBAL A	P	2012-12-01	2015-07-20
FIRST EAGLE GLOBAL A	P	2012-12-01	2015-07-20
FIRST EAGLE GLOBAL A	P	2013-12-17	2015-07-20
HELMERICH & PAYNE	P	2013-07-02	2015-07-22
JOHNSON & JOHNSON	P	2010-02-03	2015-07-22
PFIZER INC	P	2013-07-03	2015-07-22
PHILIP MORRIS INTL INC	P	2009-05-08	2015-07-22
SCHLUMBERGER LTD	P	2009-02-12	2015-07-22
TECO ENERGY	P	2009-10-01	2015-07-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
108		87	21
54		44	10
146		119	27
339		275	64
30,354		32,031	-1,677
10,001		6,303	3,698
26,268		20,645	5,623
10,723		5,086	5,637
12,589		7,065	5,524
21,240		13,908	7,332

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			21
			10
			27
			64
			-1,677
			3,698
			5,623
			5,637
			5,524
			7,332

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
UNION BANKSHARES INC VT	P	2010-11-01	2015-07-22
NEW HAMPSHIRE THRIFT BANCSHARE	P	2010-08-04	2015-07-22
CHEVRON CORP	P	2010-04-09	2015-08-27
DUKE ENERGY CORP	P	2009-10-01	2015-08-27
DUKE ENERGY CORP	P	2010-04-09	2015-08-27
PHILIP MORRIS INTL INC	P	2009-05-08	2015-08-27
PHILIP MORRIS INTL INC	P	2009-10-01	2015-08-27
PHILIP MORRIS INTL INC	P	2010-04-09	2015-08-27
VERIZON COMM	P	2010-04-09	2015-08-27
CAPITAL GAINS DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
7,129		5,006	2,123
7,380		5,139	2,241
14,818		15,571	-753
14,870		9,328	5,542
12,433		8,259	4,174
5,961		3,052	2,909
7,948		4,834	3,114
15,897		10,528	5,369
18,264		11,696	6,568
1,548			1,548

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			2,123
			2,241
			-753
			5,542
			4,174
			2,909
			3,114
			5,369
			6,568
			1,548

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation(If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
AMY SMITH 18 TARKINGTON COURT PRINCETON, NJ 08540	PRESIDENT 1 00	0	0	0
CARY DAWSON 18 TARKINGTON COURT PRINCETON, NJ 08540	SECRETARY 1 00	0	0	0
DOUGLAS KUNIAISKY 18 TARKINGTON COURT PRINCETON, NJ 08540	TREASURER 1 00	0	0	0
JULIANNA HOFMANN 18 TARKINGTON COURT PRINCETON, NJ 08540	TRUSTEE 1 00	0	0	0
MARYJANE MARCASIANO 18 TARKINGTON COURT PRINCETON, NJ 08540	TRUSTEE 1 00	0	0	0
MATT CLARK 18 TARKINGTON COURT PRINCETON, NJ 08540	TRUSTEE 1 00	0	0	0
JEREMY HOFMANN 18 TARKINGTON COURT PRINCETON, NJ 08540	TRUSTEE 1 00	0	0	0

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ACLU FOUNDATION 125 BROAD STREET 18TH FLOOR NEW YORK,NY 10004	NONE	EXEMPT ORGANIZATION	UNRESTRICTED SUPPORT	1,000
AMERICAN CONFERENCE ON DIVERSITY 109 CHURCH STREET NEW BRUNSWICK,NJ 08901	NONE	EXEMPT ORGANIZATION	UNRESTRICTED SUPPORT	500
AMERICAN RED CROSS 707 ALEXANDER RD STE 101 PRINCETON,NJ 08540	NONE	EXEMPT ORGANIZATION	UNRESTRICTED SUPPORT	500
FRIENDS OF ISRAEL DISABLED VETERANS CHARITY 1133 BROADWAY SUITE 232 NEW YORK,NY 10010	NONE	EXEMPT ORGANIZATION	UNRESTRICTED SUPPORT	500
GREENWOOD HOUSE 53 WALTER STREET EWING,NJ 08628	NONE	EXEMPT ORGANIZATION	UNRESTRICTED SUPPORT	500
HARLEM JUNIOR TENNIS & EDUCATION 40 WEST 143RD STREET NEW YORK,NY 10037	NONE	EXEMPT ORGANIZATION	UNRESTRICTED SUPPORT	25,000
HOMEFRONT 1880 PRINCETON AVENUE LAWRENCEVILLE,NJ 08648	NONE	EXEMPT ORGANIZATION	UNRESTRICTED SUPPORT	1,000
INNOCENCE PROJECT CHARITY 40 WORTH STREET SUITE 701 NEW YORK,NY 10013	NONE	EXEMPT ORGANIZATION	UNRESTRICTED SUPPORT	1,000
JEWISH FAMILY AND CHILDRENS SERVICES 707 ALEXANDER RD STE 102 PRINCETON,NJ 08540	NONE	EXEMPT ORGANIZATION	UNRESTRICTED SUPPORT	500
MERCER ST FRIENDS 151 MERCER STREET TRENTON,NJ 08611	NONE	EXEMPT ORGANIZATION	UNRESTRICTED SUPPORT	500
NJTL 949 WEST STATE STREET TRENTON,NJ 08618	NONE	EXEMPT ORGANIZATION	UNRESTRICTED SUPPORT	1,000
PLANNED PARENTHOOD 437 EAST STATE STREET TRENTON,NJ 08608	NONE	EXEMPT ORGANIZATION	UNRESTRICTED SUPPORT	1,500
PRINCETON SENIOR RESOURCE CENTER CHARITY 45 STOCKTON STREET PRINCETON,NJ 08540	NONE	EXEMPT ORGANIZATION	UNRESTRICTED SUPPORT	500
TRENTON AREA SOUP KITCHEN 72 1/2 ESCHER STREET PO BOX 872 TRENTON,NJ 08605	NONE	EXEMPT ORGANIZATION	UNRESTRICTED SUPPORT	500
USTA FOUNDATION 70 WEST RED OAK LANE WHITE PLAINS,NY 10604	NONE	EXEMPT ORGANIZATION	UNRESTRICTED SUPPORT	15,000
Total			3a	77,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
NATIONAL JUNIOR TENNIS AND LEARING OF TRENTON 70 WEST RED OAK LANE TRENTON,NJ 08618	NONE	EXEMPT ORGANIZATION	UNRESTRICTED SUPPORT	25,000
PORTLAND AFTER SCHOOL TENNIS AND EDUCATION 7519 N BURLINGTON AVE PORTLAND,OR 97203	NONE	EXEMPT ORGANIZATION	UNRESTRICTED SUPPORT	1,500
MARY JACOBS MEMORIAL LIBRARY FOUNDATION 64 WASHINGTON STREET ROCKY HILL,NJ 08553	NONE	EXEMPT ORGANIZATION	UNRESTRICTED SUPPORT	1,000
Total. ▶ 3a				77,000

TY 2015 Accounting Fees Schedule

Name: AKS FOUNDATION INC

EIN: 20-5960221

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	3,225	1,613		0

TY 2015 Investments - Other Schedule

Name: AKS FOUNDATION INC

EIN: 20-5960221

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
MS # 1042	AT COST	0	0
PNC INVESTMENTS	AT COST	543,491	643,513

TY 2015 Legal Fees Schedule

Name: AKS FOUNDATION INC

EIN: 20-5960221

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES	556	0		0

TY 2015 Other Decreases Schedule

Name: AKS FOUNDATION INC

EIN: 20-5960221

Description	Amount
PTP BASIS ADJUSTMENT	47,867

TY 2015 Other Expenses Schedule**Name:** AKS FOUNDATION INC**EIN:** 20-5960221

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT FEES	7,500	7,500		0
PORTFOLIO DEDUCTIONS-LINN ENERGY LLC	778	778		0
PORTFOLIO DEDUCTIONS- BLACKSTONE GROUP	16	16		0
BANK FEES	55	0		0

TY 2015 Other Income Schedule

Name: AKS FOUNDATION INC

EIN: 20-5960221

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
OTHER INVESTMENT INCOME	-431	-431	-431
LINN ENERGY LLC	547	547	547
BLACKSTONE	123	123	123

TY 2015 Taxes Schedule**Name:** AKS FOUNDATION INC**EIN:** 20-5960221

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL	0	0		0
STATE	30	0		0