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EXTENDED TO NOVEMBER 15, 2016

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2015

Open to Public Inspection

Form 990-PF

Department of the Treasury
Internal Revenue Service

For calendar year 2015 or tax year beginning

, and ending

Name of foundation THE HAVEN FOUNDATION		A Employer identification number 20-4356938
Number and street (or P.O. box number if mail is not delivered to street address) C/O MP LLP, 685 THIRD AVE.	Room/suite 5TH FL	B Telephone number 212-503-8822
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10017		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 12,086,901.	J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		19,567.		N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		96,256.	96,256.		STATEMENT 1
4 Dividends and interest from securities		269,493.	269,493.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		207,895.			
b Gross sales price for all assets on line 6a 7,557,356.					
7 Capital gain net income (from Part IV, line 2)			207,895.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		2,092.	2,092.		STATEMENT 3
12 Total. Add lines 1 through 11		595,303.	575,736.		
13 Compensation of officers, directors, trustees, etc.		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees STMT 4		15,169.	7,582.		7,587.
b Accounting fees					
c Other professional fees STMT 5		62,326.	62,326.		0.
17 Interest		9,663.	9,663.		0.
18 Taxes STMT 6		22,571.	1,571.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 7		10,696.	6,769.		3,927.
24 Total operating and administrative expenses. Add lines 13 through 23		120,425.	87,911.		11,514.
25 Contributions, gifts, grants paid		783,574.			671,374.
26 Total expenses and disbursements. Add lines 24 and 25		903,999.	87,911.		682,888.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		<308,696.>			
b Net investment income (if negative, enter -0-)			487,825.		
c Adjusted net income (if negative, enter -0-)				N/A	

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LHA For Paperwork Reduction Act Notice, see instructions.

Form 990-PF (2015)

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SCANNED NOV 30 2016

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	237,547.	713,453.	713,453.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	1,506.	1,583.	1,583.
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 8	12,178,184.	11,513,425.	11,371,865.
	c Investments - corporate bonds			
	Liabilities	11 Investments - land, buildings, and equipment basis ▶		
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other				
14 Land, buildings, and equipment: basis ▶				
Less: accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		12,417,237.	12,228,461.	12,086,901.
17 Accounts payable and accrued expenses				
18 Grants payable		81,501.	199,878.	
19 Deferred revenue				
20 Loans from officers, directors, trustees, and other disqualified persons				
21 Mortgages and other notes payable				
22 Other liabilities (describe ▶)				
23 Total liabilities (add lines 17 through 22)	81,501.	199,878.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ <input type="checkbox"/>			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/>			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	12,335,736.	12,028,583.	
30 Total net assets or fund balances	12,335,736.	12,028,583.		
31 Total liabilities and net assets/fund balances	12,417,237.	12,228,461.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	12,335,736.
2 Enter amount from Part I, line 27a	2	<308,696.>
3 Other increases not included in line 2 (itemize) ▶ COST BASIS ADJUSTMENT	3	1,543.
4 Add lines 1, 2, and 3	4	12,028,583.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	12,028,583.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b SEE ATTACHED STATEMENTS				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 7,557,356.		7,349,461.	207,895.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			207,895.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter -0- in Part I, line 7 } 2 207,895.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter -0- in Part I, line 8 3 N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2014	603,775.	12,813,609.	.047120
2013	872,468.	12,646,032.	.068991
2012	310,999.	11,841,019.	.026265
2011	339,853.	4,542,582.	.074815
2010			

2 Total of line 1, column (d)	2	.217191
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.054298
4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	4	12,483,542.
5 Multiply line 4 by line 3	5	677,831.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	4,878.
7 Add lines 5 and 6	7	682,709.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	682,888.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	4,878.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	4,878.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	4,878.
6 Credits/Payments:			
a 2015 estimated tax payments and 2014 overpayment credited to 2015	6a	11,355.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	10,000.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	21,355.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	16,477.	
11 Enter the amount of line 10 to be: Credited to 2016 estimated tax <input checked="" type="checkbox"/> 16,477. Refunded <input type="checkbox"/>	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers. <input checked="" type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input checked="" type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2	X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3	X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	X
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T	5	X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	7	X
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? If "Yes," complete Part XIV	9	X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10	X

N/A

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>WWW.THEHAVENFDN.ORG</u>	X	
14 The books are in care of ► <u>C/O MARK LEVENFUS, MARKS PANETH LLP</u> Telephone no. ► <u>212-503-8822</u> Located at ► <u>685 THIRD AVENUE, NEW YORK, NY</u> ZIP+4 ► <u>10017</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A
16 At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►	16	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	1b
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?		1c
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? If "Yes," list the years ►	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?		4b

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☒ Yes ☐ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

Organizations relying on a current notice regarding disaster assistance check here

☐

5b

X

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

6b

X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	12,270,645.
b	Average of monthly cash balances	1b	403,002.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	12,673,647.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	12,673,647.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	190,105.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	12,483,542.
6	Minimum investment return. Enter 5% of line 5	6	624,177.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	624,177.
2a	Tax on investment income for 2015 from Part VI, line 5	2a	4,878.
b	Income tax for 2015. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	4,878.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	619,299.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	619,299.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	619,299.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	682,888.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	682,888.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	4,878.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	678,010.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				619,299.
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2015:				
a From 2010				
b From 2011				
c From 2012				
d From 2013				28,506.
e From 2014				
f Total of lines 3a through e	28,506.			
4 Qualifying distributions for 2015 from Part XII, line 4: ▶ \$	682,888.			
a Applied to 2014, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2015 distributable amount				619,299.
e Remaining amount distributed out of corpus	63,589.			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	92,095.			
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2014. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a	92,095.			
10 Analysis of line 9:				
a Excess from 2011				
b Excess from 2012				
c Excess from 2013				28,506.
d Excess from 2014				
e Excess from 2015				63,589.

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
BILL DOBBINS 2012 VETERANS AVENUE LOS ANGELES, CA 90025	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	12,500.
MICHAEL GOLDEN 45 W. 54TH ST., SUITE 1E NEW YORK, NY 10019	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	2,254.
PEGGY MERCER 988 ESTLEE MERCER ROAD DOUGLAS, GA 31535	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	685.
COLEMAN HOUGH 1223 WILSHIRE BLVD #762 SANTA MONICA, CA 90403	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	5,000.
THOMAS KARGES 1806 FIVE IRON DRIVE CASTLE ROCK, CO 80104	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	3,000.
Total	SEE CONTINUATION SHEET(S)			783,574.
b Approved for future payment				
NONE				
Total				0.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

<p>1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?</p> <p>a Transfers from the reporting foundation to a noncharitable exempt organization of:</p> <p>(1) Cash</p> <p>(2) Other assets</p> <p>b Other transactions:</p> <p>(1) Sales of assets to a noncharitable exempt organization</p> <p>(2) Purchases of assets from a noncharitable exempt organization</p> <p>(3) Rental of facilities, equipment, or other assets</p> <p>(4) Reimbursement arrangements</p> <p>(5) Loans or loan guarantees</p> <p>(6) Performance of services or membership or fundraising solicitations</p> <p>c Sharing of facilities, equipment, mailing lists, other assets, or paid employees</p> <p>d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.</p>		Yes	No
	1a(1)		X
	1a(2)		X
	1b(1)		X
	1b(2)		X
	1b(3)		X
	1b(4)		X
	1b(5)		X
	1b(6)		X
	1c		X

[illegible]


2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.		
(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee: [Signature] Date: 11/7/2016 Title: ASST TREASURER

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only	Print/Type preparer's name GIAMPAPA, JOSEPH	Preparer's signature 	Date 11/7/16	Check <input type="checkbox"/> if self-employed	PTIN P01073462
	Firm's name ▶ MARKS PANETH LLP			Firm's EIN ▶ 11-3518842	
	Firm's address ▶ 685 THIRD AVENUE NEW YORK, NY 10017			Phone no. 212 503-8800	

THE HAVEN FOUNDATION

CONTINUATION FOR 990-PF, PART IV
20-4356938 PAGE 1 OF 65**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	AT&T INC GLOBAL SR NT DTD 07/30/10 2.500% DUE 08/	P	11/30/12	08/15/15
b	AT&T INC GLOBAL SR NT DTD 07/30/10 2.500% DUE 08/	P	11/30/12	06/18/15
c	ACE INA HLDG INC CO GTD NT DTD 11/23/10 2.600% DU	P	06/05/13	11/23/15
d	AMERICA MOVIL S A B DE C V CO GTD SR NT MEXICO DT	P	12/10/12	03/30/15
e	AMERICA MOVIL S A B DE C V CO GTD SR NT MEXICO DT	P	12/10/12	03/30/15
f	AMERICAN HONDA FIN CORP SR UNSECD MTN DTD 10/10/1	P	10/03/13	06/18/15
g	APPLE INC SR UNSECD NT DTD 05/03/13 1.000% DUE 05	P	08/26/13	06/18/15
h	BB&T CORP SR MTN CALL 2/16/16 @100 DTD 03/07/11 3	P	12/19/12	06/18/15
i	BHP BILLITON FIN USA LTD CO GTD SR NT AUSTRALIA D	P	12/10/12	06/18/15
j	BANK NEW YORK INC MEDIUM TERM SR NT DTD 11/16/09	P	12/19/12	01/15/15
k	BANK NEW YORK INC MELLON UNSECD MEDIUM TERM SR NT	P	03/09/15	06/18/15
l	BERKSHIRE HATHAWAY INC DEL SR UNSECD NT DTD 01/31	P	12/19/12	06/18/15
m	CAPITAL ONE FINL CORP UNSECD SR NT DTD 03/23/12 2	P	11/28/12	03/23/15
n	CONAGRA FOODS INC SR UNSECD NT DTD 01/25/13 1.900	P	08/26/13	06/18/15
o	COPANO ENERGY LLC/COPANO ENERGY FIN CORP CO GTD S	P	03/13/15	06/19/15

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 41,000.		42,820.	<1,820.>
b 25,044.		26,110.	<1,066.>
c 50,000.		52,252.	<2,252.>
d 20,000.		21,315.	<1,315.>
e 30,000.		31,988.	<1,988.>
f 101,375.		99,614.	1,761.
g 98,859.		95,546.	3,313.
h 50,782.		53,325.	<2,543.>
i 50,588.		51,894.	<1,306.>
j 100,000.		105,005.	<5,005.>
k 25,167.		25,885.	<718.>
l 50,816.		51,644.	<828.>
m 50,000.		51,109.	<1,109.>
n 49,879.		49,141.	738.
o 53,162.		53,888.	<726.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<1,820.>
b			<1,066.>
c			<2,252.>
d			<1,315.>
e			<1,988.>
f			1,761.
g			3,313.
h			<2,543.>
i			<1,306.>
j			<5,005.>
k			<718.>
l			<828.>
m			<1,109.>
n			738.
o			<726.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

THE HAVEN FOUNDATION

CONTINUATION FOR 990-PF, PART IV
20-4356938 PAGE 2 OF 65**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	DIAGEO FIN BV CO GTD NT NETHERLANDS DTD 11/06/09	P	11/29/12	01/15/15
b	DOW CHEM CO NT DTD 05/06/08 5.700% DUE 05/15/18	P	01/09/14	06/19/15
c	DU PONT E I DE NEMOURS & CO SR NT DTD 11/09/09 3.	P	12/10/12	01/15/15
d	ENTERPRISE PRODS OPER LLC SR UNSECD NT DTD 08/13/	P	07/10/14	08/13/15
e	ENTERPRISE PRODS OPER LLC SR UNSECD NT DTD 08/13/	P	07/10/14	08/13/15
f	ENTERPRISE PRODS OPER LLC SR UNSECD NT DTD 08/13/	P	07/10/14	03/16/15
g	ENTERPRISE PRODS OPER LLC SR UNSECD NT DTD 08/13/	P	07/10/14	03/16/15
h	FORD MTR CR CO LLC UNSECD NT DTD 01/11/13 2.375%	P	07/14/14	06/18/15
i	GENERAL ELEC CAP CORP SR UNSECD MTN DTD 01/09/12	P	07/03/13	06/18/15
j	GOLDMAN SACHS GROUP INC SR UNSECD NT DTD 02/07/11	P	11/29/12	06/18/15
k	HSBC USA INC SR UNSECD NT DTD 02/13/12 2.375% DUE	P	12/26/12	02/13/15
l	HEWLETT PACKARD CO SR UNSECD NT DTD 01/14/14 2.75	P	09/18/14	06/18/15
m	INTEL CORP UNSECD SR NT DTD 12/11/12 1.350% DUE 1	P	07/19/13	06/18/15
n	INTEL CORP UNSECD SR NT DTD 12/11/12 1.350% DUE 1	P	07/19/13	06/05/15
o	JPMORGAN CHASE & CO SR UNSECD NT DTD 05/15/13 1.6	P	07/02/13	06/18/15

(e) Gross sales price		(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	100,000.		105,454.	<5,454.>
b	27,699.		28,637.	<938.>
c	100,000.		105,688.	<5,688.>
d	22,000.		22,154.	<154.>
e	28,000.		28,196.	<196.>
f	1,000.		1,007.	<7.>
g	24,003.		24,170.	<167.>
h	50,383.		50,974.	<591.>
i	51,460.		51,839.	<379.>
j	50,840.		52,984.	<2,144.>
k	100,000.		102,896.	<2,896.>
l	50,563.		50,825.	<262.>
m	50,016.		49,480.	536.
n	50,014.		49,480.	534.
o	99,539.		96,401.	3,138.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<5,454.>
b			<938.>
c			<5,688.>
d			<154.>
e			<196.>
f			<7.>
g			<167.>
h			<591.>
i			<379.>
j			<2,144.>
k			<2,896.>
l			<262.>
m			536.
n			534.
o			3,138.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

THE HAVEN FOUNDATION

CONTINUATION FOR 990-PF, PART IV
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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	METLIFE INC UNSECD SR NT DTD 04/10/14 3.600% DUE	P	02/26/15	06/18/15
b	NATIONAL RURAL UTILS COOP FIN CORP SECD NT CALL 1	P	01/20/15	06/18/15
c	NISOURCE FIN CORP GTD NT DTD 05/20/08 6.800% DUE	P	12/05/14	06/18/15
d	PEPSICO INC SR NT DTD 05/10/11 2.500% DUE 05/10/1	P	12/10/12	06/18/15
e	PETRO-CDA UNSECD BD CANADA DTD 05/15/08 6.050% DU	P	02/13/13	02/20/15
f	ROYAL BK CDA GLOBAL UNSECD MEDIUM TERM SR BK NT C	P	03/17/14	06/18/15
g	SIMON PPTY GROUP L P NT CALL 9/2/16 @100 DTD 12/1	P	12/21/12	06/18/15
h	STATOIL ASA GO GTD NT NORWAY DTD 08/17/10 3.125%	P	02/15/13	06/18/15
i	TELEFONICA EMISIONES S A U CO GTD NT SPAIN DTD 04	P	03/03/14	04/27/15
j	TIME WARNER INC NEW NT DTD 11/13/06 5.875% DUE 11	P	12/04/12	06/03/15
k	TOTAL CAP CO GTD UNSECD SR NT FRANCE DTD 06/24/10	P	12/19/12	06/24/15
l	TOTAL CAP CO GTD UNSECD SR NT FRANCE DTD 06/24/10	P	12/19/12	06/18/15
m	TOYOTA MTR CR CORP SR UNSECD MTN DTD 09/15/11 2.0	P	12/19/12	06/18/15
n	UNITED STATES TREAS NT DTD 11/17/14 2.250% DUE 11	P	02/24/15	06/19/15
o	UNITED STATES TREAS NT DTD 11/17/14 2.250% DUE 11	P	02/26/15	06/18/15

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 25,132.		26,589.	<1,457.>
b 98,698.		99,792.	<1,094.>
c 57,652.		58,794.	<1,142.>
d 101,606.		105,729.	<4,123.>
e 56,219.		60,282.	<4,063.>
f 50,268.		49,995.	273.
g 52,065.		56,616.	<4,551.>
h 51,963.		54,128.	<2,165.>
i 50,000.		51,597.	<1,597.>
j 53,675.		58,709.	<5,034.>
k 50,000.		52,958.	<2,958.>
l 50,002.		52,958.	<2,956.>
m 50,705.		51,755.	<1,050.>
n 99,949.		102,063.	<2,114.>
o 198,641.		204,782.	<6,141.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<1,457.>
b			<1,094.>
c			<1,142.>
d			<4,123.>
e			<4,063.>
f			273.
g			<4,551.>
h			<2,165.>
i			<1,597.>
j			<5,034.>
k			<2,958.>
l			<2,956.>
m			<1,050.>
n			<2,114.>
o			<6,141.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

THE HAVEN FOUNDATION

CONTINUATION FOR 990-PF, PART IV

20-4356938

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.				(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	UNITED STATES TREAS NT DTD 11/17/14 2.250% DUE 11			P	02/24/15	06/18/15
b	UNITED STATES TREAS NT DTD 12/31/14 1.625% DUE 12			P	01/28/15	02/24/15
c	UNITED STATES TREAS NT DTD 06/02/14 1.500% DUE 05			P	12/10/14	02/24/15
d	UNITEDHEALTH GROUP INC SR UNSECD NT DTD 10/22/12			P	02/15/13	06/18/15
e	VERIZON COMMUNICATIONS INC SR UNSECD NT DTD 11/03			P	11/29/12	06/18/15
f	VODAFONE GROUP PLC NEW NT UNITED KINGDOM DTD 02/2			P	12/19/12	06/18/15
g	WAL-MART STORES INC SR UNSECD NT DTD 10/25/10 1.5			P	12/19/12	03/10/15
h	WASTE MGMT INC DEL CO GTD SR NT DTD 08/29/11 2.60			P	12/04/12	06/18/15
i	WESTPAC BKG CORP SR UNSECD GMTN AUSTRALIA DTD 01/			P	01/20/15	06/19/15
j	WISCONSIN ENERGY CORP SR UNSECD NT CALL 3/15/25 @			P	06/04/15	06/18/15
k	XEROX CORP SR UNSECD NT DTD 12/04/09 4.250% DUE 0			P	01/16/13	02/15/15
l	XEROX CORP UNSECD SR NT DTD 03/15/12 2.950% DUE 0			P	02/13/15	10/29/15
m	MEDTRONIC PLC COM IRELAND			P	01/26/15	11/12/15
n	MEDTRONIC PLC COM IRELAND			P	03/26/15	06/18/15
o	MEDTRONIC PLC COM IRELAND			P	01/26/15	06/18/15

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	198,641.		204,126.	<5,485.>
b	100,632.		101,559.	<927.>
c	200,968.		199,938.	1,030.
d	50,091.		50,034.	57.
e	50,478.		52,021.	<1,543.>
f	53,250.		58,849.	<5,599.>
g	100,679.		102,738.	<2,059.>
h	50,798.		52,698.	<1,900.>
i	25,057.		25,105.	<48.>
j	49,808.		49,992.	<184.>
k	50,000.		52,528.	<2,528.>
l	50,527.		51,494.	<967.>
m	3,741.		3,811.	<70.>
n	4,684.		4,698.	<14.>
o	3,225.		3,224.	1.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<5,485.>
b			<927.>
c			1,030.
d			57.
e			<1,543.>
f			<5,599.>
g			<2,059.>
h			<1,900.>
i			<48.>
j			<184.>
k			<2,528.>
l			<967.>
m			<70.>
n			<14.>
o			1.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	ACE LTD COM SWITZERLAND	P	09/25/14	06/18/15
b	ACE LTD COM SWITZERLAND	P	04/24/13	06/18/15
c	LYONDELLBASELL INDUSTRIES NV CL A COM NETHERLANDS	P	02/04/15	06/18/15
d	ABBVIE INC COM	P	05/29/13	02/04/15
e	ABBVIE INC COM	P	03/12/13	02/04/15
f	ABBVIE INC COM	P	01/07/13	02/04/15
g	ALTRIA GROUP INC COM	P	06/24/14	03/28/69
h	ALTRIA GROUP INC COM	P	05/29/13	06/18/15
i	ALTRIA GROUP INC COM	P	04/24/13	06/18/15
j	AMERICAN ELEC PWR INC COM	P	05/29/13	06/18/15
k	AMERICAN ELEC PWR INC COM	P	04/24/13	06/18/15
l	AMERICAN EXPRESS CO COM	P	04/24/13	03/25/15
m	AMERICAN EXPRESS CO COM	P	01/07/13	03/25/15
n	AMGEN INC COM	P	01/07/13	06/29/15
o	AMGEN INC COM	P	05/29/13	06/18/15

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,723.		1,691.	32.
b 3,338.		2,785.	553.
c 3,265.		2,662.	603.
d 4,632.		3,564.	1,068.
e 4,175.		2,776.	1,399.
f 5,604.		3,385.	2,219.
g 6,640.		5,677.	963.
h 2,676.		1,967.	709.
i 942.		678.	264.
j 877.		739.	138.
k 2,522.		2,341.	181.
l 3,692.		3,107.	585.
m 5,457.		4,079.	1,378.
n 8,696.		4,950.	3,746.
o 4,012.		2,620.	1,392.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			32.
b			553.
c			603.
d			1,068.
e			1,399.
f			2,219.
g			963.
h			709.
i			264.
j			138.
k			181.
l			585.
m			1,378.
n			3,746.
o			1,392.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

THE HAVEN FOUNDATION

CONTINUATION FOR 990-PF, PART IV

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	AMGEN INC COM	P	04/24/13	06/18/15
b	AMGEN INC COM	P	01/07/13	06/18/15
c	AMGEN INC COM	P	01/14/14	01/15/15
d	AMGEN INC COM	P	04/24/13	01/15/15
e	APPLE INC COM	P	02/06/14	11/20/15
f	APPLE INC COM	P	01/15/15	06/18/15
g	APPLE INC COM	P	06/20/14	06/18/15
h	APPLE INC COM	P	02/06/14	06/18/15
i	AUTOMATIC DATA PROCESSING INC COM	P	05/29/13	06/18/15
j	AUTOMATIC DATA PROCESSING INC COM	P	04/24/13	06/18/15
k	BP P L C SPONSORED ADR UNITED KINGDOM	P	03/26/14	09/28/15
l	BP P L C SPONSORED ADR UNITED KINGDOM	P	03/26/14	06/18/15
m	BLACKROCK INC CL A	P	01/14/14	06/18/15
n	BLACKROCK INC CL A	P	05/29/13	06/18/15
o	BLACKROCK INC CL A	P	04/24/13	06/18/15

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	3,531.		2,310.	1,221.
b	1,926.		1,061.	865.
c	2,450.		1,883.	567.
d	8,729.		5,984.	2,745.
e	1,191.		733.	458.
f	4,223.		3,554.	669.
g	14,203.		10,176.	4,027.
h	1,024.		586.	438.
i	2,031.		1,468.	563.
j	4,232.		2,917.	1,315.
k	1,778.		2,841.	<1,063.>
l	2,651.		3,030.	<379.>
m	4,688.		4,036.	652.
n	2,524.		1,994.	530.
o	1,442.		1,035.	407.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			1,221.
b			865.
c			567.
d			2,745.
e			458.
f			669.
g			4,027.
h			438.
i			563.
j			1,315.
k			<1,063.>
l			<379.>
m			652.
n			530.
o			407.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6);
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

THE HAVEN FOUNDATION

CONTINUATION FOR 990-PF, PART IV
20-4356938 PAGE 7 OF 65**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	BOEING CO COM	P	04/24/13	06/18/15
b	BOEING CO COM	P	01/07/13	06/18/15
c	CDK GLOBAL INC COM	P	05/29/13	01/15/15
d	CDK GLOBAL INC COM	P	04/24/13	01/15/15
e	CDK GLOBAL INC COM	P	01/07/13	01/15/15
f	CME GROUP INC COM	P	11/06/14	06/18/15
g	CME GROUP INC COM	P	11/05/14	06/18/15
h	CME GROUP INC COM	P	05/29/13	06/18/15
i	CME GROUP INC COM	P	04/24/13	06/18/15
j	CMS ENERGY CORP COM	P	05/29/13	06/18/15
k	CMS ENERGY CORP COM	P	04/24/13	06/18/15
l	CVS HEALTH CORP COM	P	08/28/14	06/18/15
m	CVS HEALTH CORP COM	P	08/27/14	06/18/15
n	CVS HEALTH CORP COM	P	06/24/14	06/18/15
o	CHEVRON CORP COM	P	01/07/13	11/20/15

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	6,731.	4,167.	2,564.
b	878.	456.	422.
c	420.	265.	155.
d	868.	523.	345.
e	854.	454.	400.
f	2,981.	2,670.	311.
g	2,885.	2,573.	312.
h	1,731.	1,212.	519.
i	962.	602.	360.
j	688.	569.	119.
k	1,901.	1,693.	208.
l	3,069.	2,297.	772.
m	5,927.	4,446.	1,481.
n	1,799.	1,290.	509.
o	5,011.	6,134.	<1,123.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			2,564.
b			422.
c			155.
d			345.
e			400.
f			311.
g			312.
h			519.
i			360.
j			119.
k			208.
l			772.
m			1,481.
n			509.
o			<1,123.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

THE HAVEN FOUNDATION

CONTINUATION FOR 990-PF, PART IV
20-4356938 PAGE 8 OF 65**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	CHEVRON CORP COM	P	04/24/13	07/06/15
b	CHEVRON CORP COM	P	01/07/13	07/06/15
c	CHEVRON CORP COM	P	01/14/14	06/18/15
d	CHEVRON CORP COM	P	05/22/13	06/18/15
e	CHEVRON CORP COM	P	04/24/13	06/18/15
f	CHUBB CORP COM	P	05/29/13	11/12/15
g	CHUBB CORP COM	P	01/07/13	11/12/15
h	CHUBB CORP COM	P	05/29/13	06/18/15
i	CHUBB CORP COM	P	04/24/13	06/18/15
j	CISCO SYS INC COM	P	06/25/13	06/18/15
k	CISCO SYS INC COM	P	05/29/13	06/18/15
l	CISCO SYS INC COM	P	03/07/13	06/18/15
m	COMCAST CORP NEW CL A COM	P	08/27/14	08/14/15
n	COMCAST CORP NEW CL A COM	P	09/11/14	06/18/15
o	COMCAST CORP NEW CL A COM	P	08/27/14	06/18/15

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,330.	1,660.	<330.>
b	855.	986.	<131.>
c	1,106.	1,316.	<210.>
d	1,810.	2,246.	<436.>
e	5,331.	6,284.	<953.>
f	1,157.	785.	372.
g	514.	309.	205.
h	1,393.	1,221.	172.
i	4,379.	3,922.	457.
j	2,609.	2,158.	451.
k	2,110.	1,744.	366.
l	3,429.	2,564.	865.
m	995.	926.	69.
n	2,553.	2,391.	162.
o	669.	599.	70.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<330.>
b			<131.>
c			<210.>
d			<436.>
e			<953.>
f			372.
g			205.
h			172.
i			457.
j			451.
k			366.
l			865.
m			69.
n			162.
o			70.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

THE HAVEN FOUNDATION

CONTINUATION FOR 990-PF, PART IV
20-4356938 PAGE 9 OF 65**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	COMCAST CORP NEW CL A COM	P	07/17/14	06/18/15
b	CONOCOPHILLIPS COM	P	09/06/13	01/15/15
c	DOMINION RES INC VA NEW COM	P	05/29/13	06/18/15
d	DOMINION RES INC VA NEW COM	P	04/24/13	06/18/15
e	DOVER CORP COM	P	01/14/14	06/18/15
f	DOVER CORP COM	P	05/29/13	06/18/15
g	DOVER CORP COM	P	04/24/13	06/18/15
h	DU PONT E I DE NEMOURS & CO COM	P	04/24/13	06/29/15
i	DU PONT E I DE NEMOURS & CO COM	P	01/07/13	06/29/15
j	DU PONT E I DE NEMOURS & CO COM	P	05/29/13	06/18/15
k	DU PONT E I DE NEMOURS & CO COM	P	04/24/13	06/18/15
l	DUKE ENERGY CORP NEW COM	P	05/29/13	03/25/15
m	DUKE ENERGY CORP NEW COM	P	04/24/13	03/25/15
n	DUKE ENERGY CORP NEW COM	P	01/08/13	03/25/15
o	EMC CORP COM	P	07/17/13	06/29/15

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 12,037.		10,809.	1,228.
b 9,422.		10,358.	<936.>
c 549.		456.	93.
d 1,783.		1,591.	192.
e 1,470.		1,592.	<122.>
f 1,617.		1,436.	181.
g 1,543.		1,230.	313.
h 1,026.		843.	183.
i 5,260.		3,760.	1,500.
j 2,394.		1,905.	489.
k 4,717.		3,529.	1,188.
l 977.		871.	106.
m 1,654.		1,646.	8.
n 1,729.		1,494.	235.
o 5,352.		5,206.	146.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			1,228.
b			<936.>
c			93.
d			192.
e			<122.>
f			181.
g			313.
h			183.
i			1,500.
j			489.
k			1,188.
l			106.
m			8.
n			235.
o			146.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

THE HAVEN FOUNDATION

CONTINUATION FOR 990-PF, PART IV

20-4356938

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	EMC CORP COM	P	07/17/13	06/18/15
b	ESSEX PPTY TR INC COM	P	03/27/15	06/18/15
c	ESSEX PPTY TR INC COM	P	03/26/15	06/18/15
d	EXXON MOBIL CORP COM	P	01/15/15	06/18/15
e	EXXON MOBIL CORP COM	P	04/21/14	06/18/15
f	EXXON MOBIL CORP COM	P	01/29/14	06/18/15
g	EXXON MOBIL CORP COM	P	04/24/13	06/18/15
h	GENERAL ELEC CO COM	P	11/06/14	06/18/15
i	GENERAL ELEC CO COM	P	11/05/14	06/18/15
j	GENERAL MLS INC COM	P	03/25/14	06/18/15
k	GILEAD SCIENCES INC COM	P	02/04/15	06/18/15
l	HALYARD HEALTH INC COM	P	05/29/13	01/15/15
m	HALYARD HEALTH INC COM	P	04/24/13	01/15/15
n	HALYARD HEALTH INC COM	P	01/07/13	01/15/15
o	HANESBRANDS INC COM	P	05/06/14	08/05/15

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	5,727.		5,308.	419.
b	2,215.		2,340.	<125.>
c	443.		466.	<23.>
d	4,459.		4,622.	<163.>
e	772.		840.	<68.>
f	3,773.		4,220.	<447.>
g	5,574.		5,837.	<263.>
h	3,491.		3,320.	171.
i	2,018.		1,909.	109.
j	4,762.		4,339.	423.
k	9,217.		7,523.	1,694.
l	155.		112.	43.
m	241.		181.	60.
n	293.		179.	114.
o	3,181.		2,247.	934.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			419.
b			<125.>
c			<23.>
d			<163.>
e			<68.>
f			<447.>
g			<263.>
h			171.
i			109.
j			423.
k			1,694.
l			43.
m			60.
n			114.
o			934.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a HANESBRANDS INC COM		P	05/06/14	06/18/15
b HANESBRANDS INC COM		P	05/06/14	03/26/15
c HANESBRANDS INC COM		P	05/05/14	03/26/15
d HOME DEPOT INC COM		P	04/24/13	06/18/15
e HOME DEPOT INC COM		P	01/07/13	06/18/15
f HOME DEPOT INC COM		P	04/24/13	03/26/15
g HONEYWELL INTL INC COM		P	09/25/14	06/18/15
h HONEYWELL INTL INC COM		P	05/29/13	06/18/15
i HONEYWELL INTL INC COM		P	04/24/13	06/18/15
j INTEL CORP COM		P	04/24/13	11/20/15
k INTEL CORP COM		P	01/07/13	11/20/15
l INTEL CORP COM		P	05/29/13	06/18/15
m INTEL CORP COM		P	04/24/13	06/18/15
n INTERNATIONAL BUSINESS MACHS COM		P	04/24/13	03/26/15
o INTERNATIONAL BUSINESS MACHS COM		P	01/22/13	03/26/15

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 3,701.		2,247.	1,454.
b 267.		164.	103.
c 1,736.		1,065.	671.
d 8,419.		5,509.	2,910.
e 4,490.		2,516.	1,974.
f 3,162.		2,057.	1,105.
g 2,010.		1,759.	251.
h 3,596.		2,687.	909.
i 4,548.		3,223.	1,325.
j 1,776.		1,213.	563.
k 1,567.		957.	610.
l 3,213.		2,405.	808.
m 5,907.		4,327.	1,580.
n 5,297.		6,344.	<1,047.>
o 3,371.		3,883.	<512.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			1,454.
b			103.
c			671.
d			2,910.
e			1,974.
f			1,105.
g			251.
h			909.
i			1,325.
j			563.
k			610.
l			808.
m			1,580.
n			<1,047.>
o			<512.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

2

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	INTERNATIONAL BUSINESS MACHS COM	P	04/24/13	01/15/15
b	INTERNATIONAL BUSINESS MACHS COM	P	01/07/13	01/15/15
c	J P MORGAN CHASE & CO COM	P	08/27/14	06/18/15
d	J P MORGAN CHASE & CO COM	P	01/14/14	06/18/15
e	J P MORGAN CHASE & CO COM	P	05/29/13	06/18/15
f	J P MORGAN CHASE & CO COM	P	04/24/13	06/18/15
g	JOHNSON & JOHNSON COM	P	05/29/13	06/18/15
h	JOHNSON & JOHNSON COM	P	04/24/13	06/18/15
i	KLA-TENCOR CORP COM	P	05/29/13	02/05/15
j	KLA-TENCOR CORP COM	P	04/24/13	02/05/15
k	KLA-TENCOR CORP COM	P	05/29/13	02/04/15
l	KLA-TENCOR CORP COM	P	02/08/13	02/04/15
m	KLA-TENCOR CORP COM	P	02/07/13	02/04/15
n	KIMBERLY CLARK CORP COM	P	05/29/13	06/18/15
o	KIMBERLY CLARK CORP COM	P	04/24/13	06/18/15

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	2,479.		3,076.	<597.>
b	1,239.		1,547.	<308.>
c	1,647.		1,432.	215.
d	7,961.		6,719.	1,242.
e	4,461.		3,561.	900.
f	2,539.		1,808.	731.
g	4,316.		3,687.	629.
h	9,133.		7,701.	1,432.
i	567.		464.	103.
j	3,657.		2,975.	682.
k	1,140.		928.	212.
l	507.		422.	85.
m	2,723.		2,249.	474.
n	1,857.		1,633.	224.
o	4,915.		4,484.	431.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<597.>
b			<308.>
c			215.
d			1,242.
e			900.
f			731.
g			629.
h			1,432.
i			103.
j			682.
k			212.
l			85.
m			474.
n			224.
o			431.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

THE HAVEN FOUNDATION

CONTINUATION FOR 990-PF, PART IV

20-4356938

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	KINDER MORGAN INC DEL COM	P	02/02/15	11/12/15
b	KINDER MORGAN INC DEL COM	P	01/15/15	11/12/15
c	KINDER MORGAN INC DEL COM	P	01/15/15	06/18/15
d	KINDER MORGAN INC DEL COM	P	01/15/15	06/18/15
e	LOCKHEED MARTIN CORP COM	P	12/11/14	06/18/15
f	MACYS INC COM	P	08/07/13	11/20/15
g	MACYS INC COM	P	04/24/13	11/20/15
h	MACYS INC COM	P	01/07/13	11/20/15
i	MACYS INC COM	P	08/07/13	06/18/15
j	MARSH & MCLENNAN COS INC COM	P	05/29/13	06/18/15
k	MARSH & MCLENNAN COS INC COM	P	04/24/13	06/18/15
l	MCDONALDS CORP COM	P	05/29/13	06/18/15
m	MCDONALDS CORP COM	P	04/24/13	06/18/15
n	MCDONALDS CORP COM	P	01/07/13	06/18/15
o	MCDONALDS CORP COM	P	04/24/13	03/26/15

(e) Gross sales price		(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,040.		1,694.	<654.>
b	2,270.		3,933.	<1,663.>
c	1,765.		1,803.	<38.>
d	2,085.		2,130.	<45.>
e	6,597.		6,457.	140.
f	386.		480.	<94.>
g	1,390.		1,583.	<193.>
h	1,390.		1,356.	34.
i	5,849.		3,982.	1,867.
j	2,093.		1,412.	681.
k	4,306.		2,694.	1,612.
l	3,088.		3,172.	<84.>
m	675.		708.	<33.>
n	868.		817.	51.
o	4,660.		4,857.	<197.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<654.>
b			<1,663.>
c			<38.>
d			<45.>
e			140.
f			<94.>
g			<193.>
h			34.
i			1,867.
j			681.
k			1,612.
l			<84.>
m			<33.>
n			51.
o			<197.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8 }

2

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	MEDTRONIC INC COM	P	01/15/15	01/26/15
b	MERCK & CO INC NEW COM	P	01/14/14	06/18/15
c	MERCK & CO INC NEW COM	P	05/29/13	06/18/15
d	MERCK & CO INC NEW COM	P	04/24/13	06/18/15
e	METLIFE INC COM	P	05/06/14	01/15/15
f	METLIFE INC COM	P	05/29/13	01/15/15
g	METLIFE INC COM	P	04/24/13	01/15/15
h	METLIFE INC COM	P	01/07/13	01/15/15
i	MICROSOFT CORP COM	P	05/29/13	06/18/15
j	MICROSOFT CORP COM	P	04/24/13	06/18/15
k	NEXTERA ENERGY INC COM	P	05/29/13	06/18/15
l	NEXTERA ENERGY INC COM	P	04/24/13	06/18/15
m	OCCIDENTAL PETE CORP DEL COM	P	06/24/14	06/18/15
n	PG&E CORP COM	P	01/15/15	06/18/15
o	PNC FINL SVCS GROUP INC COM	P	05/29/13	06/18/15

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	11,158.		10,408.	750.
b	4,290.		3,859.	431.
c	59.		47.	12.
d	9,345.		7,651.	1,694.
e	2,130.		2,239.	<109.>
f	823.		751.	72.
g	1,791.		1,424.	367.
h	1,791.		1,324.	467.
i	5,557.		4,155.	1,402.
j	9,759.		6,641.	3,118.
k	1,224.		909.	315.
l	2,856.		2,256.	600.
m	5,857.		7,389.	<1,532.>
n	3,560.		3,960.	<400.>
o	2,736.		2,014.	722.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			750.
b			431.
c			12.
d			1,694.
e			<109.>
f			72.
g			367.
h			467.
i			1,402.
j			3,118.
k			315.
l			600.
m			<1,532.>
n			<400.>
o			722.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

THE HAVEN FOUNDATION

CONTINUATION FOR 990-PF, PART IV
20-4356938 PAGE 15 OF 65**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	PNC FINL SVCS GROUP INC COM	P	04/24/13	06/18/15
b	PARKER HANNIFIN CORP COM	P	05/29/13	06/18/15
c	PARKER HANNIFIN CORP COM	P	04/24/13	06/18/15
d	PEPSICO INC COM	P	03/26/15	06/18/15
e	PFIZER INC COM	P	05/29/13	06/18/15
f	PFIZER INC COM	P	04/24/13	06/18/15
g	PFIZER INC COM	P	01/14/14	02/04/15
h	PFIZER INC COM	P	04/24/13	02/04/15
i	PHILIP MORRIS INTL INC COM	P	09/20/13	06/18/15
j	PHILIP MORRIS INTL INC COM	P	05/29/13	06/18/15
k	PHILIP MORRIS INTL INC COM	P	04/24/13	06/18/15
l	PHILIP MORRIS INTL INC COM	P	01/07/13	06/18/15
m	PHILIP MORRIS INTL INC COM	P	04/24/13	03/26/15
n	PRICE T ROWE GROUP INC COM	P	05/29/13	06/18/15
o	PRICE T ROWE GROUP INC COM	P	04/24/13	06/18/15

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	4,690.		3,281.	1,409.
b	1,192.		985.	207.
c	2,145.		1,609.	536.
d	7,821.		7,845.	<24.>
e	4,171.		3,430.	741.
f	8,928.		7,963.	965.
g	3,592.		3,474.	118.
h	2,438.		2,337.	101.
i	3,838.		4,163.	<325.>
j	5,506.		6,079.	<573.>
k	1,835.		2,084.	<249.>
l	667.		689.	<22.>
m	2,295.		2,842.	<547.>
n	878.		854.	24.
o	2,794.		2,554.	240.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			1,409.
b			207.
c			536.
d			<24.>
e			741.
f			965.
g			118.
h			101.
i			<325.>
j			<573.>
k			<249.>
l			<22.>
m			<547.>
n			24.
o			240.

- 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }
- 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8 }

2

3

THE HAVEN FOUNDATION

CONTINUATION FOR 990-PF, PART IV
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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	PROCTER & GAMBLE CO COM	P	05/29/13	06/18/15
b	PROCTER & GAMBLE CO COM	P	04/24/13	06/18/15
c	PUBLIC STORAGE INC COM (REIT)	P	03/26/15	06/18/15
d	PUBLIC STORAGE INC COM (REIT)	P	01/15/15	06/18/15
e	PUBLIC STORAGE INC COM (REIT)	P	09/25/14	06/18/15
f	RAYTHEON CO COM NEW	P	04/24/13	08/18/15
g	RAYTHEON CO COM NEW	P	04/24/13	08/17/15
h	RAYTHEON CO COM NEW	P	04/24/13	08/14/15
i	RAYTHEON CO COM NEW	P	01/07/13	08/14/15
j	RAYTHEON CO COM NEW	P	01/14/14	06/18/15
k	RAYTHEON CO COM NEW	P	05/29/13	06/18/15
l	RAYTHEON CO COM NEW	P	01/07/13	06/18/15
m	ROCHE HLDG LTD SPONSORED ADR SWITZERLAND	P	02/11/14	06/29/15
n	ROCHE HLDG LTD SPONSORED ADR SWITZERLAND	P	11/07/14	06/18/15
o	ROCHE HLDG LTD SPONSORED ADR SWITZERLAND	P	02/11/14	06/18/15

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,865.		1,818.	47.
b	6,082.		5,841.	241.
c	2,509.		2,563.	<54.>
d	5,211.		5,376.	<165.>
e	772.		655.	117.
f	768.		407.	361.
g	5,598.		2,964.	2,634.
h	880.		465.	415.
i	2,750.		1,460.	1,290.
j	2,033.		1,821.	212.
k	3,456.		2,284.	1,172.
l	3,964.		2,278.	1,686.
m	6,801.		6,865.	<64.>
n	2,332.		2,383.	<51.>
o	4,557.		4,541.	16.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			47.
b			241.
c			<54.>
d			<165.>
e			117.
f			361.
g			2,634.
h			415.
i			1,290.
j			212.
k			1,172.
l			1,686.
m			<64.>
n			<51.>
o			16.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

THE HAVEN FOUNDATION

CONTINUATION FOR 990-PF, PART IV
20-4356938 PAGE 17 OF 65**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	ROCHE HLDG LTD SPONSORED ADR SWITZERLAND	P	11/07/14	03/26/15
b	ROYAL DUTCH SHELL PLC SPONSORED ADR CL A DUTCH LI	P	09/26/13	09/28/15
c	ROYAL DUTCH SHELL PLC SPONSORED ADR CL A DUTCH LI	P	04/24/13	09/28/15
d	ROYAL DUTCH SHELL PLC SPONSORED ADR CL A DUTCH LI	P	05/29/13	06/18/15
e	ROYAL DUTCH SHELL PLC SPONSORED ADR CL A DUTCH LI	P	04/24/13	06/18/15
f	SCHLUMBERGER LTD COM NETHERLANDS ANTILLES	P	08/27/14	06/18/15
g	SCHLUMBERGER LTD COM NETHERLANDS ANTILLES	P	01/14/14	06/18/15
h	SEMPRA ENERGY COM	P	05/29/13	06/18/15
i	SEMPRA ENERGY COM	P	04/24/13	06/18/15
j	SEMPRA ENERGY COM	P	01/07/13	06/18/15
k	SHERWIN WILLIAMS CO COM	P	04/24/13	06/18/15
l	SHERWIN WILLIAMS CO COM	P	01/07/13	06/18/15
m	SIMON PPTY GROUP INC NEW COM	P	09/25/14	06/18/15
n	SIMON PPTY GROUP INC NEW COM	P	04/24/13	06/18/15
o	SIMON PPTY GROUP INC NEW COM	P	04/17/13	06/18/15

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 3,864.		4,143.	<279.>
b 185.		264.	<79.>
c 3,277.		4,726.	<1,449.>
d 2,418.		2,776.	<358.>
e 2,123.		2,396.	<273.>
f 1,519.		1,887.	<368.>
g 5,717.		5,683.	34.
h 1,680.		1,296.	384.
i 1,470.		1,143.	327.
j 210.		144.	66.
k 5,386.		3,442.	1,944.
l 1,984.		1,107.	877.
m 1,643.		1,468.	175.
n 2,191.		1,994.	197.
o 730.		659.	71.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<279.>
b			<79.>
c			<1,449.>
d			<358.>
e			<273.>
f			<368.>
g			34.
h			384.
i			327.
j			66.
k			1,944.
l			877.
m			175.
n			197.
o			71.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

THE HAVEN FOUNDATION

CONTINUATION FOR 990-PF, PART IV
20-4356938 PAGE 18 OF 65**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	TEXAS INSTRS INC COM	P	06/25/13	06/18/15
b	TEXAS INSTRS INC COM	P	05/29/13	06/18/15
c	TEXAS INSTRS INC COM	P	04/24/13	06/18/15
d	TIME WARNER INC NEW COM	P	03/27/15	06/18/15
e	TIME WARNER INC NEW COM	P	03/26/15	06/18/15
f	US BANCORP DEL COM NEW	P	04/24/13	06/18/15
g	US BANCORP DEL COM NEW	P	01/07/13	06/18/15
h	US BANCORP DEL COM NEW	P	05/29/13	01/15/15
i	US BANCORP DEL COM NEW	P	04/24/13	01/15/15
j	UNITED PARCEL SVC INC CL B	P	09/25/14	06/18/15
k	UNITED PARCEL SVC INC CL B	P	06/25/13	06/18/15
l	UNITED PARCEL SVC INC CL B	P	05/29/13	06/18/15
m	UNITED PARCEL SVC INC CL B	P	05/09/13	06/18/15
n	UNITED TECHNOLOGIES CORP COM	P	12/11/14	11/20/15
o	UNITED TECHNOLOGIES CORP COM	P	05/29/13	11/20/15

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,194.	769.	425.
b	1,629.	1,085.	544.
c	3,800.	2,520.	1,280.
d	3,808.	3,656.	152.
e	3,896.	3,735.	161.
f	4,620.	3,413.	1,207.
g	1,121.	824.	297.
h	2,612.	2,264.	348.
i	2,204.	1,789.	415.
j	812.	774.	38.
k	1,320.	1,117.	203.
l	2,944.	2,505.	439.
m	2,031.	1,778.	253.
n	2,169.	2,513.	<344.>
o	887.	858.	29.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			425.
b			544.
c			1,280.
d			152.
e			161.
f			1,207.
g			297.
h			348.
i			415.
j			38.
k			203.
l			439.
m			253.
n			<344.>
o			29.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

THE HAVEN FOUNDATION

CONTINUATION FOR 990-PF, PART IV

20-4356938

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	UNITED TECHNOLOGIES CORP COM	P	04/24/13	11/20/15
b	UNITED TECHNOLOGIES CORP COM	P	01/07/13	11/20/15
c	UNITED TECHNOLOGIES CORP COM	P	12/11/14	06/18/15
d	V F CORP COM	P	05/29/13	06/18/15
e	V F CORP COM	P	04/24/13	06/18/15
f	VERIZON COMMUNICATIONS INC COM	P	02/24/14	08/14/15
g	VERIZON COMMUNICATIONS INC COM	P	02/24/14	06/18/15
h	VERIZON COMMUNICATIONS INC COM	P	05/29/13	06/18/15
i	VERIZON COMMUNICATIONS INC COM	P	04/24/13	06/18/15
j	WAL-MART STORES INC COM	P	08/27/14	11/20/15
k	WAL-MART STORES INC COM	P	08/27/14	09/28/15
l	WAL-MART STORES INC COM	P	01/15/15	06/18/15
m	WAL-MART STORES INC COM	P	11/05/14	06/18/15
n	WAL-MART STORES INC COM	P	08/27/14	06/18/15
o	WASTE MGMT INC DEL COM	P	05/29/13	06/18/15

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,873.		1,752.	121.
b	1,873.		1,611.	262.
c	8,256.		8,110.	146.
d	1,056.		694.	362.
e	2,042.		1,264.	778.
f	1,427.		1,443.	<16.>
g	2,250.		2,261.	<11.>
h	6,367.		6,612.	<245.>
i	5,218.		5,636.	<418.>
j	1,760.		2,198.	<438.>
k	2,993.		3,563.	<570.>
l	4,768.		5,668.	<900.>
m	2,934.		3,110.	<176.>
n	1,100.		1,137.	<37.>
o	1,112.		964.	148.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			121.
b			262.
c			146.
d			362.
e			778.
f			<16.>
g			<11.>
h			<245.>
i			<418.>
j			<438.>
k			<570.>
l			<900.>
m			<176.>
n			<37.>
o			148.

- 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }
- 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8 }

2

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	WASTE MGMT INC DEL COM	P	04/24/13	06/18/15
b	WELLS FARGO & CO NEW COM	P	06/13/13	06/18/15
c	WELLS FARGO & CO NEW COM	P	05/29/13	06/18/15
d	WELLS FARGO & CO NEW COM	P	04/24/13	06/18/15
e	WISCONSIN ENERGY CORP COM	P	05/29/13	06/18/15
f	WISCONSIN ENERGY CORP COM	P	04/24/13	06/18/15
g	MEDTRONIC PLC COM IRELAND	P	01/26/15	06/18/15
h	MEDTRONIC PLC COM IRELAND	P	01/26/15	02/19/15
i	ADIDAS AG SPONSORED ADR GERMANY	P	01/26/15	06/18/15
j	ADIDAS AG SPONSORED ADR GERMANY	P	01/26/15	02/19/15
k	ALIBABA GROUP HLDG LTD ADS CAYMAN ISLANDS	P	09/22/14	06/18/15
l	ALIBABA GROUP HLDG LTD ADS CAYMAN ISLANDS	P	09/22/14	02/19/15
m	AMAZON COM INC COM	P	01/21/14	11/19/15
n	AMAZON COM INC COM	P	01/21/14	07/24/15
o	AMAZON COM INC COM	P	01/21/14	06/18/15

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,934.		1,579.	355.
b 9,743.		6,836.	2,907.
c 2,825.		1,998.	827.
d 1,326.		860.	466.
e 659.		572.	87.
f 1,647.		1,539.	108.
g 5,989.		5,987.	2.
h 4,445.		4,386.	59.
i 3,603.		3,101.	502.
j 3,012.		2,687.	325.
k 695.		718.	<23.>
l 436.		449.	<13.>
m 3,333.		2,027.	1,306.
n 9,030.		6,891.	2,139.
o 24,388.		22,700.	1,688.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			355.
b			2,907.
c			827.
d			466.
e			87.
f			108.
g			2.
h			59.
i			502.
j			325.
k			<23.>
l			<13.>
m			1,306.
n			2,139.
o			1,688.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	AMAZON COM INC COM	P	02/18/14	02/19/15
b	AMAZON COM INC COM	P	01/21/14	02/19/15
c	AMERICAN EXPRESS CO COM	P	04/17/15	06/18/15
d	AMERICAN EXPRESS CO COM	P	03/06/15	06/18/15
e	AMERICAN EXPRESS CO COM	P	04/24/13	06/18/15
f	AMERICAN EXPRESS CO COM	P	01/07/13	06/18/15
g	AMERICAN EXPRESS CO COM	P	04/24/13	02/19/15
h	AMERICAN INTL GROUP INC NEW COM	P	01/21/14	06/18/15
i	AMERICAN INTL GROUP INC NEW COM	P	01/21/14	02/19/15
j	AMERICAN TOWER CORP COM	P	06/05/15	06/18/15
k	AMGEN INC COM	P	04/15/14	06/18/15
l	AMGEN INC COM	P	05/29/13	06/18/15
m	AMGEN INC COM	P	01/07/13	06/18/15
n	AMGEN INC COM	P	04/15/14	02/19/15
o	APACHE CORP COM	P	01/21/14	06/18/15

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	6,074.		6,581.	<507.>
b	9,490.		10,134.	<644.>
c	2,014.		1,941.	73.
d	806.		802.	4.
e	161.		135.	26.
f	1,450.		1,080.	370.
g	1,881.		1,621.	260.
h	11,074.		9,008.	2,066.
i	7,096.		6,528.	568.
j	5,564.		5,372.	192.
k	160.		114.	46.
l	1,444.		943.	501.
m	5,135.		2,829.	2,306.
n	4,363.		3,188.	1,175.
o	3,813.		5,583.	<1,770.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<507.>
b			<644.>
c			73.
d			4.
e			26.
f			370.
g			260.
h			2,066.
i			568.
j			192.
k			46.
l			501.
m			2,306.
n			1,175.
o			<1,770.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	APACHE CORP COM	P	01/21/14	02/19/15
b	APPLE INC COM	P	01/21/14	09/30/15
c	APPLE INC COM	P	01/21/14	06/18/15
d	APPLE INC COM	P	01/21/14	02/19/15
e	APPLE INC COM	P	01/21/14	01/29/15
f	CABOT OIL & GAS CORP COM	P	03/28/14	12/21/15
g	CABOT OIL & GAS CORP COM	P	03/28/14	06/18/15
h	CABOT OIL & GAS CORP COM	P	03/28/14	02/19/15
i	CARMAX INC COM	P	04/15/14	06/18/15
j	CARMAX INC COM	P	10/23/14	02/19/15
k	CARMAX INC COM	P	04/15/14	02/19/15
l	CATERPILLAR INC COM	P	01/21/14	06/18/15
m	CATERPILLAR INC COM	P	01/21/14	06/18/15
n	CATERPILLAR INC COM	P	01/21/14	02/19/15
o	CHEVRON CORP COM	P	01/07/13	06/18/15

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	3,126.		3,976.	<850.>
b	1,312.		942.	370.
c	20,089.		12,327.	7,762.
d	14,530.		8,872.	5,658.
e	5,339.		3,533.	1,806.
f	1,308.		2,755.	<1,447.>
g	3,080.		3,095.	<15.>
h	1,915.		2,347.	<432.>
i	6,389.		3,771.	2,618.
j	1,431.		1,107.	324.
k	3,748.		2,357.	1,391.
l	875.		904.	<29.>
m	2,012.		2,079.	<67.>
n	1,760.		1,898.	<138.>
o	2,313.		2,519.	<206.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<850.>
b			370.
c			7,762.
d			5,658.
e			1,806.
f			<1,447.>
g			<15.>
h			<432.>
i			2,618.
j			324.
k			1,391.
l			<29.>
m			<67.>
n			<138.>
o			<206.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8 }

3

THE HAVEN FOUNDATION

CONTINUATION FOR 990-PF, PART IV
20-4356938 PAGE 23 OF 65**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	CHEVRON CORP COM	P	01/07/13	02/19/15
b	CISCO SYS INC COM	P	03/30/15	06/18/15
c	CITIGROUP INC NEW COM	P	06/05/15	06/18/15
d	CITIGROUP INC NEW COM	P	01/23/15	06/18/15
e	CITIGROUP INC NEW COM	P	08/12/14	06/18/15
f	CITIGROUP INC NEW COM	P	07/08/14	06/18/15
g	CITIGROUP INC NEW COM	P	06/04/14	06/18/15
h	CITIGROUP INC NEW COM	P	03/28/14	06/18/15
i	CITIGROUP INC NEW COM	P	01/23/15	02/19/15
j	DANAHER CORP DEL COM	P	09/19/14	06/18/15
k	DANAHER CORP DEL COM	P	09/19/14	02/19/15
l	DIAGEO PLC SPONSORED ADR NEW UNITED KINGDOM	P	01/21/14	06/18/15
m	DIAGEO PLC SPONSORED ADR NEW UNITED KINGDOM	P	01/21/14	02/19/15
n	EMC CORP COM	P	02/13/14	07/13/15
o	EMC CORP COM	P	02/13/14	07/09/15

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,742.		1,753.	<11.>
b	7,327.		6,917.	410.
c	2,320.		2,303.	17.
d	2,489.		2,160.	329.
e	1,754.		1,503.	251.
f	2,546.		2,133.	413.
g	6,563.		5,560.	1,003.
h	1,188.		989.	199.
i	10,166.		9,720.	446.
j	3,797.		3,508.	289.
k	2,787.		2,551.	236.
l	4,685.		5,132.	<447.>
m	3,362.		3,816.	<454.>
n	2,334.		2,260.	74.
o	1,360.		1,331.	29.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<11.>
b			410.
c			17.
d			329.
e			251.
f			413.
g			1,003.
h			199.
i			446.
j			289.
k			236.
l			<447.>
m			<454.>
n			74.
o			29.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	EMC CORP COM	P	07/17/13	07/09/15
b	EMC CORP COM	P	07/17/13	06/18/15
c	EMC CORP COM	P	07/17/13	02/19/15
d	EMC CORP COM	P	01/21/14	01/23/15
e	EMC CORP COM	P	01/06/14	01/23/15
f	EMC CORP COM	P	07/17/13	01/23/15
g	EBAY INC COM	P	01/23/15	09/02/15
h	EBAY INC COM	P	01/23/15	06/18/15
i	EBAY INC COM	P	01/23/15	06/11/15
j	EBAY INC COM	P	09/30/14	06/11/15
k	EBAY INC COM	P	09/30/14	02/19/15
l	EXXON MOBIL CORP COM	P	01/07/13	06/18/15
m	EXXON MOBIL CORP COM	P	04/01/14	02/19/15
n	EXXON MOBIL CORP COM	P	01/07/13	02/19/15
o	FACEBOOK INC CL A COM	P	03/28/14	11/19/15

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	770.	762.	8.
b	5,152.	4,774.	378.
c	4,228.	3,733.	495.
d	7,128.	6,490.	638.
e	1,068.	1,001.	67.
f	2,049.	1,803.	246.
g	1,756.	1,476.	280.
h	4,504.	4,213.	291.
i	1,506.	1,423.	83.
j	7,107.	6,722.	385.
k	6,251.	6,209.	42.
l	3,173.	3,243.	<70.>
m	1,345.	1,464.	<119.>
n	897.	876.	21.
o	2,361.	1,342.	1,019.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			8.
b			378.
c			495.
d			638.
e			67.
f			246.
g			280.
h			291.
i			83.
j			385.
k			42.
l			<70.>
m			<119.>
n			21.
o			1,019.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	FACEBOOK INC CL A COM	P	03/28/14	06/18/15
b	FACEBOOK INC CL A COM	P	02/13/14	06/18/15
c	FACEBOOK INC CL A COM	P	02/13/14	03/30/15
d	FACEBOOK INC CL A COM	P	02/28/14	02/19/15
e	FACEBOOK INC CL A COM	P	02/13/14	02/19/15
f	GENERAL ELEC CO COM	P	01/21/14	09/03/15
g	GENERAL ELEC CO COM	P	01/21/14	06/18/15
h	GENERAL ELEC CO COM	P	01/21/14	02/19/15
i	GOLDMAN SACHS GROUP INC COM	P	01/21/14	06/18/15
j	GOLDMAN SACHS GROUP INC COM	P	01/21/14	04/17/15
k	GOLDMAN SACHS GROUP INC COM	P	01/21/14	02/19/15
l	GOOGLE INC CL A COM	P	01/21/14	06/18/15
m	GOOGLE INC CL A COM	P	01/21/14	02/19/15
n	GOOGLE INC COM CL C	P	01/21/14	09/30/15
o	GOOGLE INC COM CL C	P	01/21/14	06/18/15

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	249.	183.	66.
b	13,604.	10,972.	2,632.
c	1,082.	870.	212.
d	4,522.	3,885.	637.
e	6,903.	5,820.	1,083.
f	906.	971.	<65.>
g	7,009.	6,743.	266.
h	4,505.	4,723.	<218.>
i	16,962.	13,651.	3,311.
j	1,381.	1,210.	171.
k	11,742.	10,713.	1,029.
l	7,238.	7,551.	<313.>
m	4,909.	5,228.	<319.>
n	1,207.	1,155.	52.
o	6,993.	7,507.	<514.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			66.
b			2,632.
c			212.
d			637.
e			1,083.
f			<65.>
g			266.
h			<218.>
i			3,311.
j			171.
k			1,029.
l			<313.>
m			<319.>
n			52.
o			<514.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

THE HAVEN FOUNDATION

CONTINUATION FOR 990-PF, PART IV
20-4356938 PAGE 26 OF 65**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	GOOGLE INC COM CL C	P	01/21/14	05/19/15
b	GOOGLE INC COM CL C	P	01/21/14	02/19/15
c	IHS INC CL A COM	P	01/21/14	06/18/15
d	IHS INC CL A COM	P	01/21/14	02/19/15
e	J P MORGAN CHASE & CO COM	P	01/21/14	06/18/15
f	J P MORGAN CHASE & CO COM	P	05/29/13	06/18/15
g	J P MORGAN CHASE & CO COM	P	04/24/13	06/18/15
h	J P MORGAN CHASE & CO COM	P	01/21/14	04/17/15
i	J P MORGAN CHASE & CO COM	P	01/21/14	02/19/15
j	LENNAR CORP COM	P	01/21/14	09/16/15
k	LENNAR CORP COM	P	05/07/15	06/18/15
l	LENNAR CORP COM	P	01/21/14	06/18/15
m	LENNAR CORP COM	P	01/21/14	04/08/15
n	LENNAR CORP COM	P	01/21/14	02/19/15
o	LINKEDIN CORP CL A COM	P	05/21/14	06/18/15

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 37.		40.	<3.>
b 4,879.		5,211.	<332.>
c 5,742.		5,384.	358.
d 3,921.		3,862.	59.
e 15,853.		13,390.	2,463.
f 4,461.		3,561.	900.
g 275.		195.	80.
h 1,382.		1,275.	107.
i 13,285.		12,984.	301.
j 1,414.		1,002.	412.
k 5,588.		5,438.	150.
l 7,212.		5,605.	1,607.
m 2,184.		1,596.	588.
n 8,274.		6,088.	2,186.
o 4,826.		3,347.	1,479.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<3.>
b			<332.>
c			358.
d			59.
e			2,463.
f			900.
g			80.
h			107.
i			301.
j			412.
k			150.
l			1,607.
m			588.
n			2,186.
o			1,479.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	LINKEDIN CORP CL A COM	P	05/21/14	03/11/15
b	LINKEDIN CORP CL A COM	P	05/21/14	02/19/15
c	LOWES COS INC COM	P	01/21/14	06/18/15
d	LOWES COS INC COM	P	01/21/14	02/19/15
e	MCDONALDS CORP COM	P	05/29/13	03/27/15
f	MCDONALDS CORP COM	P	01/07/13	03/27/15
g	MCDONALDS CORP COM	P	05/29/13	02/19/15
h	MCDONALDS CORP COM	P	04/24/13	02/19/15
i	MCDONALDS CORP COM	P	04/24/13	02/18/15
j	MEDTRONIC INC COM	P	01/21/14	01/26/15
k	MERCK & CO INC NEW COM	P	05/29/13	06/18/15
l	MERCK & CO INC NEW COM	P	01/07/13	06/18/15
m	MERCK & CO INC NEW COM	P	05/29/13	02/19/15
n	MERCK & CO INC NEW COM	P	04/24/13	02/19/15
o	MORGAN STANLEY COM	P	01/21/14	06/18/15

(e) Gross sales price		(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	3,439.		1,978.	1,461.
b	6,682.		3,804.	2,878.
c	7,695.		5,190.	2,505.
d	6,002.		3,952.	2,050.
e	1,069.		1,090.	<21.>
f	5,638.		5,266.	372.
g	1,972.		2,082.	<110.>
h	469.		506.	<37.>
i	2,546.		2,732.	<186.>
j	15,621.		12,037.	3,584.
k	3,703.		2,943.	760.
l	3,115.		2,232.	883.
m	581.		467.	114.
n	3,893.		3,224.	669.
o	6,244.		5,125.	1,119.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			1,461.
b			2,878.
c			2,505.
d			2,050.
e			<21.>
f			372.
g			<110.>
h			<37.>
i			<186.>
j			3,584.
k			760.
l			883.
m			114.
n			669.
o			1,119.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8 }

3

THE HAVEN FOUNDATION

CONTINUATION FOR 990-PF, PART IV

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	MORGAN STANLEY COM	P	01/21/14	02/19/15
b	NVR INC COM	P	01/21/14	06/18/15
c	NVR INC COM	P	01/21/14	02/19/15
d	NOVARTIS A G SPONSORED ADR SWITZERLAND	P	01/21/14	06/18/15
e	NOVARTIS A G SPONSORED ADR SWITZERLAND	P	01/21/14	02/19/15
f	OCCIDENTAL PETE CORP DEL COM	P	01/21/14	06/18/15
g	OCCIDENTAL PETE CORP DEL COM	P	01/21/14	02/19/15
h	ORACLE CORP COM	P	01/21/14	06/18/15
i	ORACLE CORP COM	P	01/21/14	02/19/15
j	ORACLE CORP COM	P	01/21/14	01/23/15
k	PAYPAL HOLDINGS INC COM	P	01/23/15	10/05/15
l	PEPSICO INC COM	P	01/21/14	06/18/15
m	PEPSICO INC COM	P	01/21/14	02/19/15
n	PHILIP MORRIS INTL INC COM	P	01/07/13	01/23/15
o	PRICE T ROWE GROUP INC COM	P	01/21/14	06/18/15

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	4,190.		3,754.	436.
b	6,801.		5,089.	1,712.
c	6,700.		5,089.	1,611.
d	6,823.		5,462.	1,361.
e	5,008.		3,995.	1,013.
f	5,699.		6,249.	<550.>
g	4,215.		4,513.	<298.>
h	2,851.		2,587.	264.
i	2,383.		2,054.	329.
j	11,293.		9,700.	1,593.
k	2,155.		2,282.	<127.>
l	5,818.		5,062.	756.
m	4,355.		3,651.	704.
n	5,969.		6,204.	<235.>
o	3,592.		3,679.	<87.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			436.
b			1,712.
c			1,611.
d			1,361.
e			1,013.
f			<550.>
g			<298.>
h			264.
i			329.
j			1,593.
k			<127.>
l			756.
m			704.
n			<235.>
o			<87.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

THE HAVEN FOUNDATION

CONTINUATION FOR 990-PF, PART IV

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	PRICE T ROWE GROUP INC COM	P	05/29/13	06/18/15
b	PRICE T ROWE GROUP INC COM	P	04/24/13	06/18/15
c	PRICE T ROWE GROUP INC COM	P	04/01/14	02/19/15
d	PRICE T ROWE GROUP INC COM	P	01/21/14	02/19/15
e	PRUDENTIAL FINL INC COM	P	01/21/14	06/18/15
f	PRUDENTIAL FINL INC COM	P	01/21/14	02/19/15
g	QUALCOMM INC COM	P	01/21/14	06/18/15
h	QUALCOMM INC COM	P	01/21/14	03/11/15
i	QUALCOMM INC COM	P	01/21/14	02/19/15
j	RALPH LAUREN CORP CL A COM	P	06/03/15	06/18/15
k	RALPH LAUREN CORP CL A COM	P	04/08/15	06/18/15
l	RALPH LAUREN CORP CL A COM	P	03/27/15	06/18/15
m	SABMILLER PLC SPONSORED ADR UNITED KINGDOM	P	01/21/14	10/20/15
n	SABMILLER PLC SPONSORED ADR UNITED KINGDOM	P	01/21/14	06/18/15
o	SABMILLER PLC SPONSORED ADR UNITED KINGDOM	P	01/21/14	02/19/15

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,197.		1,164.	33.
b 1,117.		1,022.	95.
c 3,736.		3,741.	<5.>
d 913.		899.	14.
e 3,152.		3,158.	<6.>
f 2,082.		2,346.	<264.>
g 6,472.		7,244.	<772.>
h 12,190.		13,054.	<864.>
i 9,588.		10,187.	<599.>
j 5,847.		5,755.	92.
k 2,923.		2,923.	0.
l 1,531.		1,435.	96.
m 3,380.		2,805.	575.
n 3,364.		3,213.	151.
o 2,538.		2,346.	192.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			33.
b			95.
c			<5.>
d			14.
e			<6.>
f			<264.>
g			<772.>
h			<864.>
i			<599.>
j			92.
k			0.
l			96.
m			575.
n			151.
o			192.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

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CONTINUATION FOR 990-PF, PART IV
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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SCHLUMBERGER LTD COM NETHERLANDS ANTILLES	P	02/18/14	06/18/15
b	SCHLUMBERGER LTD COM NETHERLANDS ANTILLES	P	01/21/14	06/18/15
c	SCHLUMBERGER LTD COM NETHERLANDS ANTILLES	P	12/25/13	06/18/15
d	SCHLUMBERGER LTD COM NETHERLANDS ANTILLES	P	01/21/14	02/19/15
e	SCHLUMBERGER LTD COM NETHERLANDS ANTILLES	P	01/21/14	02/19/15
f	SOUTHWESTERN ENERGY CO COM	P	06/23/15	12/11/15
g	SOUTHWESTERN ENERGY CO COM	P	01/26/14	12/11/15
h	SOUTHWESTERN ENERGY CO COM	P	01/21/14	12/11/15
i	SOUTHWESTERN ENERGY CO COM	P	01/21/14	06/18/15
j	SOUTHWESTERN ENERGY CO COM	P	01/21/14	02/19/15
k	STARBUCKS CORP COM	P	02/25/14	06/03/15
l	STARBUCKS CORP COM	P	02/25/14	02/19/15
m	STATE STR CORP COM	P	01/21/14	06/05/15
n	STATE STR CORP COM	P	01/21/14	02/19/15
o	TEMPUR SEALY INTL INC COM	P	01/21/14	06/18/15

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,965.		1,904.	61.
b 5,449.		5,560.	<111.>
c 2,233.		2,156.	77.
d 2,614.		2,734.	<120.>
e 3,660.		3,828.	<168.>
f 29.		122.	<93.>
g 380.		2,730.	<2,350.>
h 351.		2,445.	<2,094.>
i 1,528.		2,645.	<1,117.>
j 1,383.		2,004.	<621.>
k 8,440.		5,828.	2,612.
l 2,889.		2,230.	659.
m 16,411.		15,528.	883.
n 6,281.		6,092.	189.
o 7,246.		5,297.	1,949.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			61.
b			<111.>
c			77.
d			<120.>
e			<168.>
f			<93.>
g			<2,350.>
h			<2,094.>
i			<1,117.>
j			<621.>
k			2,612.
l			659.
m			883.
n			189.
o			1,949.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

THE HAVEN FOUNDATION

CONTINUATION FOR 990-PF, PART IV
20-4356938 PAGE 31 OF 65**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	TEMPUR SEALY INTL INC COM	P	10/31/14	02/19/15
b	TEMPUR SEALY INTL INC COM	P	01/21/14	02/19/15
c	UNITED PARCEL SVC INC CL B	P	06/25/13	06/18/15
d	UNITED PARCEL SVC INC CL B	P	03/18/13	06/18/15
e	UNITED PARCEL SVC INC CL B	P	03/15/13	06/18/15
f	UNITED PARCEL SVC INC CL B	P	06/25/13	02/19/15
g	UNITED PARCEL SVC INC CL B	P	05/29/13	02/19/15
h	UNITED PARCEL SVC INC CL B	P	05/09/13	02/19/15
i	WELLS FARGO & CO NEW COM	P	06/05/15	06/18/15
j	WELLS FARGO & CO NEW COM	P	01/07/13	06/18/15
k	WELLS FARGO & CO NEW COM	P	04/24/13	02/19/15
l	WELLS FARGO & CO NEW COM	P	01/07/13	02/19/15
m	EMC CORP/MASS	P	07/14/15	12/21/15
n	EMC CORP/MASS	P	02/19/15	12/21/15
o	AON PLC	P	07/14/15	12/18/15

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	3,552.		3,431.	121.
b	546.		477.	69.
c	1,523.		1,289.	234.
d	508.		425.	83.
e	2,031.		1,709.	322.
f	1,121.		945.	176.
g	816.		691.	125.
h	1,019.		889.	130.
i	3,286.		3,227.	59.
j	2,191.		1,318.	873.
k	2,351.		1,608.	743.
l	273.		173.	100.
m	1,474.		1,469.	5.
n	1,423.		1,599.	<176.>
o	2,315.		2,552.	<237.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			121.
b			69.
c			234.
d			83.
e			322.
f			176.
g			125.
h			130.
i			59.
j			873.
k			743.
l			100.
m			5.
n			<176.>
o			<237.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	TIME WARNER INC	P	07/14/15	12/17/15
b	NORTHROP GRUMMAN CORP	P	05/28/15	12/17/15
c	TIME WARNER INC	P	01/08/15	12/17/15
d	ALLSTATE CORP	P	12/17/14	12/17/15
e	UGI CORP	P	04/14/15	11/20/15
f	RAYTHEON COMPANY	P	07/14/15	11/13/15
g	RAYTHEON COMPANY	P	01/16/15	11/13/15
h	QUINTILES TRANSNATIONAL HOLDIN	P	07/14/15	11/05/15
i	ALLERGAN PLC	P	07/14/15	10/14/15
j	STAPLES INC	P	07/15/15	10/09/15
k	ELECTRONIC ARTS INC	P	07/14/15	10/08/15
l	KOHL'S CORP	P	07/14/15	10/08/15
m	KOHL'S CORP	P	05/14/15	10/08/15
n	KOHL'S CORP	P	03/31/15	10/08/15
o	SM ENERGY CO	P	05/11/15	10/06/15

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,689.		2,335.	<646.>
b	1,894.		1,594.	300.
c	1,624.		2,132.	<508.>
d	1,503.		1,635.	<132.>
e	3,113.		3,110.	3.
f	1,996.		1,702.	294.
g	1,644.		1,476.	168.
h	1,319.		1,514.	<195.>
i	1,600.		1,927.	<327.>
j	3,246.		4,075.	<829.>
k	2,687.		2,986.	<299.>
l	1,351.		1,869.	<518.>
m	1,537.		2,165.	<628.>
n	699.		1,180.	<481.>
o	4,769.		7,349.	<2,580.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			<646.>
b			300.
c			<508.>
d			<132.>
e			3.
f			294.
g			168.
h			<195.>
i			<327.>
j			<829.>
k			<299.>
l			<518.>
m			<628.>
n			<481.>
o			<2,580.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6); If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a KOHLS CORP		P	03/31/15	10/02/15
b KOHLS CORP		P	03/30/15	10/02/15
c FORD MOTOR CO		P	07/14/15	10/01/15
d FORD MOTOR CO		P	10/17/14	10/01/15
e STAPLES INC		P	07/15/15	09/24/15
f STAPLES INC		P	07/15/15	09/24/15
g AMERICAN INTERNATIONAL GROUP		P	07/14/15	09/14/15
h FORD MOTOR CO		P	10/17/14	09/14/15
i FORD MOTOR CO		P	10/17/14	09/14/15
j PAYPAL HOLDINGS INC		P	07/14/15	08/14/15
k PAYPAL HOLDINGS INC		P	01/30/15	08/14/15
l PAYPAL HOLDINGS INC		P	09/29/14	08/14/15
m INTEL CORP		P	07/14/15	08/04/15
n INTEL CORP		P	05/06/15	08/04/15
o INTEL CORP		P	03/06/15	08/04/15

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 814.		1,416.	<602.>
b 6,334.		10,765.	<4,431.>
c 2,117.		2,297.	<180.>
d 1,547.		1,613.	<66.>
e 2,886.		3,547.	<661.>
f 76.		93.	<17.>
g 2,718.		2,989.	<271.>
h 14.		14.	0.
i 1,163.		1,203.	<40.>
j 1,098.		1,072.	26.
k 2,158.		1,771.	387.
l 3,787.		3,056.	731.
m 1,738.		1,774.	<36.>
n 4,722.		5,242.	<520.>
o 1,449.		1,663.	<214.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<602.>
b			<4,431.>
c			<180.>
d			<66.>
e			<661.>
f			<17.>
g			<271.>
h			0.
i			<40.>
j			26.
k			387.
l			731.
m			<36.>
n			<520.>
o			<214.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6);
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

THE HAVEN FOUNDATION

CONTINUATION FOR 990-PF, PART IV

20-4356938

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	INTEL CORP	P	01/29/15	08/04/15
b	AUTOZONE INC	P	10/02/14	07/16/15
c	UNITEDHEALTH GROUP INC	P	12/23/14	06/24/15
d	UNITEDHEALTH GROUP INC	P	11/24/14	06/24/15
e	UNITEDHEALTH GROUP INC	P	09/04/14	06/24/15
f	ANTHEM INC	P	07/24/14	06/24/15
g	LOCKHEED MARTIN CORP	P	02/19/15	06/10/15
h	LOCKHEED MARTIN CORP	P	01/08/15	06/10/15
i	LOCKHEED MARTIN CORP	P	11/26/14	06/10/15
j	MARATHON PETROLEUM CORP	P	02/09/15	06/09/15
k	MARATHON PETROLEUM CORP	P	02/06/15	06/09/15
l	POLARIS INDUSTRIES INC	P	07/31/14	06/09/15
m	MARATHON PETROLEUM CORP	P	02/06/15	06/05/15
n	AFFILIATED MANAGERS GROUP INC	P	01/08/15	06/04/15
o	LOCKHEED MARTIN CORP	P	11/26/14	06/02/15

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	5,794.		6,749.	<955.>
b	4,084.		3,084.	1,000.
c	1,684.		1,439.	245.
d	2,526.		2,036.	490.
e	962.		704.	258.
f	5,252.		3,582.	1,670.
g	2,083.		2,205.	<122.>
h	1,893.		1,954.	<61.>
i	757.		755.	2.
j	2,296.		2,285.	11.
k	699.		693.	6.
l	2,140.		2,219.	<79.>
m	2,320.		2,278.	42.
n	4,260.		3,891.	369.
o	3,045.		3,021.	24.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<955.>
b			1,000.
c			245.
d			490.
e			258.
f			1,670.
g			<122.>
h			<61.>
i			2.
j			11.
k			6.
l			<79.>
m			42.
n			369.
o			24.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

THE HAVEN FOUNDATION

CONTINUATION FOR 990-PF, PART IV
20-4356938 PAGE 35 OF 65**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	LOCKHEED MARTIN CORP	P	11/26/14	06/01/15
b	LOCKHEED MARTIN CORP	P	08/06/14	06/01/15
c	DISCOVER FINANCIAL SERVICES	P	05/06/15	05/29/15
d	CF INDUSTRIES HOLDINGS INC	P	04/13/15	05/29/15
e	ROSS STORES INC	P	04/09/15	05/29/15
f	KOHL'S CORP	P	03/30/15	05/29/15
g	UNITED TECHNOLOGIES CORP	P	03/23/15	05/29/15
h	MOBILEYE NV	P	02/11/15	05/29/15
i	MARATHON PETROLEUM CORP	P	02/06/15	05/29/15
j	INTEL CORP	P	01/29/15	05/29/15
k	MEDTRONIC PLC	P	01/27/15	05/29/15
l	MCKESSON CORP	P	12/03/14	05/29/15
m	L-3 COMMUNICATIONS HOLDINGS	P	11/17/14	05/29/15
n	VALERO ENERGY CORP	P	10/21/14	05/29/15
o	DELTA AIR LINES INC	P	10/20/14	05/29/15

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	948.	944.	4.
b	379.	327.	52.
c	2,329.	2,344.	<15.>
d	2,221.	1,994.	227.
e	1,353.	1,447.	<94.>
f	2,472.	2,922.	<450.>
g	1,408.	1,430.	<22.>
h	1,312.	1,018.	294.
i	1,348.	1,288.	60.
j	2,272.	2,227.	45.
k	2,143.	2,101.	42.
l	1,425.	1,268.	157.
m	2,356.	2,395.	<39.>
n	2,660.	2,148.	512.
o	1,970.	1,633.	337.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			4.
b			52.
c			<15.>
d			227.
e			<94.>
f			<450.>
g			<22.>
h			294.
i			60.
j			45.
k			42.
l			157.
m			<39.>
n			512.
o			337.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6);
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a GOLDMAN SACHS GROUP INC	P	09/08/14	05/29/15
b FISERV INC	P	09/05/14	05/29/15
c UNITEDHEALTH GROUP INC	P	09/04/14	05/29/15
d LOCKHEED MARTIN CORP	P	08/06/14	05/29/15
e DOLLAR GENERAL CORP	P	07/28/14	05/29/15
f EMC CORP/MASS	P	07/25/14	05/29/15
g ANTHEM INC	P	07/24/14	05/29/15
h ITT CORP	P	07/08/14	05/29/15
i DR PEPPER SNAPPLE GROUP INC	P	07/01/14	05/29/15
j AETNA INC	P	06/12/14	05/29/15
k OCCIDENTAL PETROLEUM CORP	P	08/18/14	05/08/15
l OCCIDENTAL PETROLEUM CORP	P	07/01/14	05/08/15
m VALERO ENERGY CORP	P	10/21/14	05/04/15
n MOBILEYE NV	P	02/11/15	04/29/15
o MOBILEYE NV	P	01/15/15	04/29/15

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,238.		1,082.	156.
b 1,364.		1,114.	250.
c 2,049.		1,497.	552.
d 1,505.		1,307.	198.
e 2,833.		2,204.	629.
f 2,287.		2,526.	<239.>
g 5,035.		3,467.	1,568.
h 3,118.		3,462.	<344.>
i 3,604.		2,778.	826.
j 5,199.		3,555.	1,644.
k 1,937.		2,407.	<470.>
l 5,036.		6,438.	<1,402.>
m 7,191.		5,920.	1,271.
n 510.		400.	110.
o 4,406.		3,574.	832.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			156.
b			250.
c			552.
d			198.
e			629.
f			<239.>
g			1,568.
h			<344.>
i			826.
j			1,644.
k			<470.>
l			<1,402.>
m			1,271.
n			110.
o			832.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

THE HAVEN FOUNDATION

CONTINUATION FOR 990-PF, PART IV
20-4356938 PAGE 37 OF 65**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	FIDELITY NATIONAL INFORMATION	P	10/20/14	04/29/15
b	PARTNERRE LTD	P	06/30/14	04/14/15
c	BOOZ ALLEN HAMILTON HOLDING	P	05/09/14	04/10/15
d	INTERCONTINENTAL EXCHANGE IN	P	10/17/14	04/02/15
e	LINEAR TECHNOLOGY CORP	P	01/30/15	04/01/15
f	LINEAR TECHNOLOGY CORP	P	01/16/15	04/01/15
g	VF CORP	P	12/24/14	03/31/15
h	SLM CORP	P	05/22/14	03/27/15
i	SLM CORP	P	04/16/14	03/27/15
j	VF CORP	P	12/24/14	03/25/15
k	LINEAR TECHNOLOGY CORP	P	01/16/15	03/23/15
l	VF CORP	P	12/24/14	03/20/15
m	BOOZ ALLEN HAMILTON HOLDING	P	05/09/14	03/20/15
n	NETSUITE INC	P	11/14/14	03/19/15
o	NETSUITE INC	P	07/31/14	03/19/15

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 6,421.		5,357.	1,064.
b 5,183.		4,368.	815.
c 2,793.		2,346.	447.
d 2,282.		1,972.	310.
e 2,258.		2,222.	36.
f 2,258.		2,210.	48.
g 1,885.		1,866.	19.
h 3,134.		3,104.	30.
i 2,687.		2,710.	<23.>
j 2,841.		2,837.	4.
k 2,276.		2,120.	156.
l 3,220.		3,210.	10.
m 1,544.		1,248.	296.
n 1,529.		1,745.	<216.>
o 478.		427.	51.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			1,064.
b			815.
c			447.
d			310.
e			36.
f			48.
g			19.
h			30.
i			<23.>
j			4.
k			156.
l			10.
m			296.
n			<216.>
o			51.

- 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }
- 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6);
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8 }

2

3

THE HAVEN FOUNDATION

CONTINUATION FOR 990-PF, PART IV

20-4356938

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	LINEAR TECHNOLOGY CORP	P	01/16/15	03/18/15
b	NETSUITE INC	P	07/31/14	03/18/15
c	NETSUITE INC	P	07/31/14	03/18/15
d	SERVICENOW INC	P	05/08/14	03/18/15
e	EOG RESOURCES INC	P	07/15/14	03/10/15
f	ASSURANT INC	P	09/05/14	02/19/15
g	ASSURANT INC	P	04/29/14	02/19/15
h	DTE ENERGY COMPANY	P	08/20/14	02/18/15
i	JPMORGAN CHASE & CO	P	10/01/14	02/17/15
j	UNITED PARCEL SERVICE-CL B	P	01/20/15	02/10/15
k	DOW CHEMICAL CO/THE	P	05/05/14	01/29/15
l	DOW CHEMICAL CO/THE	P	04/10/14	01/29/15
m	DOW CHEMICAL CO/THE	P	02/20/14	01/29/15
n	MICROSOFT CORP	P	04/10/14	01/28/15
o	MEDTRONIC INC	P	01/07/15	01/27/15

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,040.		1,939.	101.
b 289.		256.	33.
c 1,635.		1,451.	184.
d 1,604.		934.	670.
e 2,162.		2,839.	<677.>
f 1,517.		1,664.	<147.>
g 1,517.		1,666.	<149.>
h 2,082.		1,922.	160.
i 1,494.		1,503.	<9.>
j 2,212.		2,448.	<236.>
k 3,306.		3,678.	<372.>
l 2,204.		2,432.	<228.>
m 4,407.		4,683.	<276.>
n 837.		809.	28.
o 3,976.		3,836.	140.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			101.
b			33.
c			184.
d			670.
e			<677.>
f			<147.>
g			<149.>
h			160.
i			<9.>
j			<236.>
k			<372.>
l			<228.>
m			<276.>
n			28.
o			140.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8 }

3

THE HAVEN FOUNDATION

CONTINUATION FOR 990-PF, PART IV
20-4356938 PAGE 39 OF 65**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	MEDTRONIC INC	P	12/05/14	01/27/15
b	NXP SEMICONDUCTORS NV	P	07/31/14	01/16/15
c	AMERICAN EXPRESS CO	P	06/20/14	01/07/15
d	AMERICAN EXPRESS CO	P	05/06/14	01/07/15
e	AMERICAN EXPRESS CO	P	01/27/14	01/07/15
f	JPMORGAN CHASE & CO	P	01/27/14	01/07/15
g	VERIZON COMMUNICATIONS INC	P	01/27/14	01/07/15
h	VERIZON COMMUNICATIONS INC	P	01/27/14	01/07/15
i	ANTHEM INC	P	08/05/14	12/22/15
j	ANTHEM INC	P	07/24/14	12/22/15
k	EMC CORP/MASS	P	09/08/14	12/21/15
l	AON PLC	P	12/03/14	12/18/15
m	AON PLC	P	09/19/13	12/18/15
n	TIME WARNER INC	P	11/24/14	12/17/15
o	TIME WARNER INC	P	11/12/14	12/17/15

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	3,676.		3,668.	8.
b	5,796.		4,622.	1,174.
c	2,253.		2,391.	<138.>
d	4,505.		4,327.	178.
e	13,335.		12,764.	571.
f	4,498.		4,203.	295.
g	1,623.		1,677.	<54.>
h	93.		96.	<3.>
i	2,091.		1,659.	432.
j	279.		231.	48.
k	3,177.		3,653.	<476.>
l	2,223.		2,238.	<15.>
m	648.		527.	121.
n	1,949.		2,440.	<491.>
o	3,249.		3,884.	<635.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			8.
b			1,174.
c			<138.>
d			178.
e			571.
f			295.
g			<54.>
h			<3.>
i			432.
j			48.
k			<476.>
l			<15.>
m			121.
n			<491.>
o			<635.>

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	}	3	

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	STARBUCKS CORP	P	09/29/14	12/17/15
b	EMC CORP/MASS	P	09/08/14	12/17/15
c	MICROSOFT CORP	P	08/04/14	12/17/15
d	DOLLAR GENERAL CORP	P	07/28/14	12/17/15
e	EMC CORP/MASS	P	07/25/14	12/17/15
f	EMC CORP/MASS	P	07/25/14	12/17/15
g	ANTHEM INC	P	07/24/14	12/17/15
h	DR PEPPER SNAPPLE GROUP INC	P	07/01/14	12/17/15
i	AETNA INC	P	06/12/14	12/17/15
j	TIME WARNER INC	P	05/22/14	12/17/15
k	MICROSOFT CORP	P	04/10/14	12/17/15
l	FACEBOOK INC-A	P	04/07/14	12/17/15
m	WALT DISNEY CO/THE	P	02/28/14	12/17/15
n	AB DISCOVERY GRWTH FUND- ADV	P	01/27/14	12/17/15
o	AB DISCOVERY VALUE FUND- ADV	P	01/27/14	12/17/15

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,556.	975.	581.
b	642.	731.	<89.>
c	1,901.	1,464.	437.
d	1,367.	1,074.	293.
e	898.	1,016.	<118.>
f	2,003.	2,264.	<261.>
g	1,674.	1,387.	287.
h	1,401.	887.	514.
i	1,635.	1,212.	423.
j	1,624.	1,677.	<53.>
k	1,734.	1,253.	481.
l	2,137.	1,138.	999.
m	225.	162.	63.
n	2,685.	2,919.	<234.>
o	3,215.	3,697.	<482.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			581.
b			<89.>
c			437.
d			293.
e			<118.>
f			<261.>
g			287.
h			514.
i			423.
j			<53.>
k			481.
l			999.
m			63.
n			<234.>
o			<482.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a AB GLOBAL BOND FUND- ADV	P	01/27/14	12/17/15
b AB LIMITED DURATION HIGH INCOME PORT- ADVISOR CLA	P	01/27/14	12/17/15
c ALTRIA GROUP INC	P	01/27/14	12/17/15
d JOHNSON & JOHNSON	P	01/27/14	12/17/15
e SHERWIN-WILLIAMS CO/THE	P	01/27/14	12/17/15
f TIME WARNER INC	P	01/27/14	12/17/15
g HOME DEPOT INC	P	12/04/13	12/17/15
h NIKE INC -CL B	P	12/03/13	12/17/15
i CVS HEALTH CORP	P	11/20/13	12/17/15
j CVS HEALTH CORP	P	11/19/13	12/17/15
k ANSYS INC	P	08/09/13	12/17/15
l COSTCO WHOLESALE CORP	P	06/18/13	12/17/15
m COSTCO WHOLESALE CORP	P	06/06/13	12/17/15
n ANSYS INC	P	04/22/13	12/17/15
o VISA INC - CLASS A SHRS	P	02/25/13	12/17/15

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 111,765.		113,820.	<2,055.>
b 16,255.		17,700.	<1,445.>
c 2,113.		1,328.	785.
d 1,667.		1,445.	222.
e 1,589.		1,141.	448.
f 2,404.		2,237.	167.
g 2,508.		1,488.	1,020.
h 1,836.		1,106.	730.
i 1,145.		787.	358.
j 1,050.		720.	330.
k 91.		89.	2.
l 807.		559.	248.
m 1,937.		1,323.	614.
n 1,276.		1,049.	227.
o 2,371.		1,196.	1,175.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			<2,055.>
b			<1,445.>
c			785.
d			222.
e			448.
f			167.
g			1,020.
h			730.
i			358.
j			330.
k			2.
l			248.
m			614.
n			227.
o			1,175.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	BIOGEN INC	P	01/16/13	12/17/15
b	BANK OF AMERICA CORP	P	11/28/12	12/17/15
c	ALPHABET INC-CL A	P	10/23/12	12/17/15
d	APPLE INC	P	10/18/12	12/17/15
e	DANAHER CORP	P	10/18/12	12/17/15
f	GILEAD SCIENCES INC	P	10/18/12	12/17/15
g	LYONDELLBASELL INDU-CL A	P	10/18/12	12/17/15
h	WALT DISNEY CO/THE	P	10/18/12	12/17/15
i	WELLS FARGO & COMPANY	P	06/25/12	12/17/15
j	PFIZER INC	P	07/29/11	12/17/15
k	PFIZER INC	P	07/11/11	12/17/15
l	COMCAST CORP-CLASS A	P	04/06/11	12/17/15
m	ALPHABET INC-CL A	P	03/30/11	12/17/15
n	COMCAST CORP-CLASS A	P	03/29/11	12/17/15
o	BERNSTEIN INTERMEDIATE DURATION PORTFOLIO	P	12/14/10	12/17/15

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,491.		714.	777.
b 1,986.		1,091.	895.
c 1,549.		683.	866.
d 4,378.		3,634.	744.
e 1,943.		1,129.	814.
f 2,059.		686.	1,373.
g 1,410.		886.	524.
h 2,475.		1,155.	1,320.
i 2,452.		1,414.	1,038.
j 390.		231.	159.
k 1,495.		921.	574.
l 1,268.		546.	722.
m 1,549.		585.	964.
n 691.		295.	396.
o 96,570.		100,717.	<4,147.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			777.
b			895.
c			866.
d			744.
e			814.
f			1,373.
g			524.
h			1,320.
i			1,038.
j			159.
k			574.
l			722.
m			964.
n			396.
o			<4,147.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a BERNSTEIN INTERNATIONAL PORTFOLIO	P	12/14/10	12/17/15
b VALERO ENERGY CORP	P	11/26/14	12/16/15
c VALERO ENERGY CORP	P	10/21/14	12/16/15
d AON PLC	P	09/19/13	11/19/15
e AON PLC	P	09/19/13	11/17/15
f AON PLC	P	08/05/13	11/17/15
g AON PLC	P	08/05/13	11/17/15
h RAYTHEON COMPANY	P	07/30/14	11/13/15
i STARBUCKS CORP	P	09/29/14	11/12/15
j MONSTER BEVERAGE CORP	P	03/10/14	11/12/15
k STARBUCKS CORP	P	01/31/14	11/12/15
l QUINTILES TRANSNATIONAL HOLDIN	P	07/31/14	11/05/15
m QUINTILES TRANSNATIONAL HOLDIN	P	03/13/14	11/05/15
n QUINTILES TRANSNATIONAL HOLDIN	P	03/13/14	11/05/15
o BERNSTEIN INTERMEDIATE DURATION PORTFOLIO	P	12/14/10	10/22/15

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 16,385.		16,819.	<434.>
b 1,387.		1,022.	365.
c 3,882.		2,673.	1,209.
d 2,631.		2,107.	524.
e 2,349.		1,881.	468.
f 94.		69.	25.
g 94.		69.	25.
h 117.		93.	24.
i 917.		563.	354.
j 2,230.		1,099.	1,131.
k 795.		464.	331.
l 1,649.		1,347.	302.
m 3,627.		2,860.	767.
n 3,297.		2,569.	728.
o 600.		606.	<6.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<434.>
b			365.
c			1,209.
d			524.
e			468.
f			25.
g			25.
h			24.
i			354.
j			1,131.
k			331.
l			302.
m			767.
n			728.
o			<6.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a RAYTHEON COMPANY		P	07/30/14	10/16/15
b RAYTHEON COMPANY		P	04/23/14	10/16/15
c ALLERGAN PLC		P	07/15/14	10/14/15
d RAYTHEON COMPANY		P	04/23/14	10/13/15
e RAYTHEON COMPANY		P	01/27/14	10/13/15
f WALT DISNEY CO/THE		P	10/18/12	10/13/15
g ALLERGAN PLC		P	07/15/14	10/09/15
h ALLERGAN PLC		P	06/09/14	10/09/15
i ALLERGAN PLC		P	06/09/14	10/09/15
j ALLERGAN PLC		P	05/13/14	10/09/15
k RAYTHEON COMPANY		P	01/27/14	10/09/15
l RAYTHEON COMPANY		P	01/27/14	10/09/15
m ELECTRONIC ARTS INC		P	11/20/13	10/08/15
n ELECTRONIC ARTS INC		P	11/01/13	10/08/15
o BROCADE COMMUNICATIONS SYS		P	06/03/14	10/07/15

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,667.		2,226.	441.
b 2,556.		2,311.	245.
c 3,734.		3,063.	671.
d 222.		201.	21.
e 2,663.		2,117.	546.
f 3,610.		1,784.	1,826.
g 275.		219.	56.
h 1,102.		833.	269.
i 1,653.		1,250.	403.
j 3,030.		2,266.	764.
k 2,690.		2,117.	573.
l 112.		88.	24.
m 4,915.		1,752.	3,163.
n 852.		335.	517.
o 2,100.		1,850.	250.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			441.
b			245.
c			671.
d			21.
e			546.
f			1,826.
g			56.
h			269.
i			403.
j			764.
k			573.
l			24.
m			3,163.
n			517.
o			250.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

THE HAVEN FOUNDATION

CONTINUATION FOR 990-PF, PART IV
20-4356938 PAGE 45 OF 65**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	BROCADE COMMUNICATIONS SYS	P	03/11/14	10/07/15
b	GOLDMAN SACHS GROUP INC	P	09/08/14	10/05/15
c	BROCADE COMMUNICATIONS SYS	P	03/11/14	10/05/15
d	BROCADE COMMUNICATIONS SYS	P	03/11/14	10/05/15
e	AB GLOBAL BOND FUND- ADV	P	01/27/14	09/29/15
f	BERNSTEIN INTERMEDIATE DURATION PORTFOLIO	P	12/14/10	09/29/15
g	FORD MOTOR CO	P	03/05/14	09/14/15
h	AMERICAN INTERNATIONAL GROUP	P	11/08/13	09/14/15
i	FORD MOTOR CO	P	03/05/14	09/10/15
j	FORD MOTOR CO	P	01/27/14	09/10/15
k	RAYTHEON COMPANY	P	01/27/14	09/10/15
l	AMERICAN INTERNATIONAL GROUP	P	11/08/13	09/10/15
m	FORD MOTOR CO	P	10/25/12	09/10/15
n	AMERICAN INTERNATIONAL GROUP	P	11/08/13	09/08/15
o	AMERICAN INTERNATIONAL GROUP	P	05/06/13	09/08/15

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 987.		940.	47.
b 1,616.		1,623.	<7.>
c 21.		20.	1.
d 2,020.		1,961.	59.
e 48,650.		48,533.	117.
f 51,350.		52,039.	<689.>
g 1,573.		1,798.	<225.>
h 1,504.		1,262.	242.
i 1,828.		2,111.	<283.>
j 1,693.		1,953.	<260.>
k 2,223.		1,852.	371.
l 3,902.		3,253.	649.
m 366.		280.	86.
n 412.		340.	72.
o 3,411.		2,622.	789.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			47.
b			<7.>
c			1.
d			59.
e			117.
f			<689.>
g			<225.>
h			242.
i			<283.>
j			<260.>
k			371.
l			649.
m			86.
n			72.
o			789.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

THE HAVEN FOUNDATION

CONTINUATION FOR 990-PF, PART IV

20-4356938

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	FORD MOTOR CO	P	10/25/12	09/08/15
b	FORD MOTOR CO	P	10/25/12	09/08/15
c	MEAD JOHNSON NUTRITION CO	P	01/30/14	09/04/15
d	MEAD JOHNSON NUTRITION CO	P	01/27/14	09/04/15
e	AMERICAN INTERNATIONAL GROUP	P	05/06/13	09/04/15
f	MEAD JOHNSON NUTRITION CO	P	01/27/14	09/03/15
g	FORD MOTOR CO	P	10/25/12	09/03/15
h	FORD MOTOR CO	P	10/18/12	09/03/15
i	MONSTER BEVERAGE CORP	P	03/10/14	09/02/15
j	MONSTER BEVERAGE CORP	P	02/21/14	09/02/15
k	AMERICAN INTERNATIONAL GROUP	P	05/06/13	09/02/15
l	AMERICAN INTERNATIONAL GROUP	P	04/24/13	09/02/15
m	ELECTRONIC ARTS INC	P	11/01/13	09/01/15
n	ANSYS INC	P	04/22/13	08/26/15
o	ANSYS INC	P	10/18/12	08/26/15

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	3,719.		2,833.	886.
b	14.		10.	4.
c	1,887.		1,962.	<75.>
d	981.		1,011.	<30.>
e	3,147.		2,442.	705.
f	3,083.		3,112.	<29.>
g	1,379.		1,027.	352.
h	1,393.		1,048.	345.
i	2,561.		1,393.	1,168.
j	404.		225.	179.
k	768.		588.	180.
l	1,004.		705.	299.
m	3,080.		1,237.	1,843.
n	525.		449.	76.
o	2,099.		1,705.	394.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			886.
b			4.
c			<75.>
d			<30.>
e			705.
f			<29.>
g			352.
h			345.
i			1,168.
j			179.
k			180.
l			299.
m			1,843.
n			76.
o			394.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SHERWIN-WILLIAMS CO/THE	P	01/27/14	08/25/15
b	AON PLC	P	08/05/13	08/25/15
c	COSTCO WHOLESALE CORP	P	06/06/13	08/24/15
d	COSTCO WHOLESALE CORP	P	04/17/13	08/24/15
e	PAYPAL HOLDINGS INC	P	05/22/14	08/14/15
f	ALLERGAN PLC	P	05/13/14	08/14/15
g	ELECTRONIC ARTS INC	P	11/01/13	08/14/15
h	OFFICE DEPOT INC	P	02/04/14	08/10/15
i	HESS CORP	P	01/10/14	08/04/15
j	ELECTRONIC ARTS INC	P	11/01/13	08/04/15
k	HESS CORP	P	10/31/13	08/04/15
l	HESS CORP	P	10/14/13	08/04/15
m	ELECTRONIC ARTS INC	P	05/31/13	08/04/15
n	BERNSTEIN INTERMEDIATE DURATION PORTFOLIO	P	12/14/10	07/24/15
o	AUTOZONE INC	P	05/09/14	07/16/15

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 5,651.		4,185.	1,466.
b 5,008.		3,718.	1,290.
c 1,073.		882.	191.
d 805.		629.	176.
e 2,158.		1,704.	454.
f 3,147.		2,060.	1,087.
g 5,334.		1,881.	3,453.
h 5,759.		3,722.	2,037.
i 517.		728.	<211.>
j 2,919.		1,056.	1,863.
k 4,022.		5,703.	<1,681.>
l 1,494.		2,106.	<612.>
m 3,417.		1,115.	2,302.
n 459.		466.	<7.>
o 681.		534.	147.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			1,466.
b			1,290.
c			191.
d			176.
e			454.
f			1,087.
g			3,453.
h			2,037.
i			<211.>
j			1,863.
k			<1,681.>
l			<612.>
m			2,302.
n			<7.>
o			147.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	AUTOZONE INC	P	05/09/14	07/15/15
b	AUTOZONE INC	P	05/09/14	07/13/15
c	AB GLOBAL BOND FUND- ADV	P	01/27/14	07/13/15
d	BERNSTEIN INTERMEDIATE DURATION PORTFOLIO	P	12/14/10	07/13/15
e	STARBUCKS CORP	P	01/31/14	07/10/15
f	MEAD JOHNSON NUTRITION CO	P	01/27/14	07/10/15
g	MEAD JOHNSON NUTRITION CO	P	01/15/14	07/10/15
h	STARBUCKS CORP	P	11/21/12	07/10/15
i	AETNA INC	P	06/12/14	06/24/15
j	POLARIS INDUSTRIES INC	P	11/19/13	06/09/15
k	AMERICAN TOWER CORP	P	01/27/14	06/05/15
l	POLARIS INDUSTRIES INC	P	11/19/13	06/05/15
m	POLARIS INDUSTRIES INC	P	11/12/13	06/05/15
n	POLARIS INDUSTRIES INC	P	11/12/13	06/04/15
o	POLARIS INDUSTRIES INC	P	10/03/13	06/04/15

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	2,036.		1,603.	433.
b	2,046.		1,603.	443.
c	145,050.		145,576.	<526.>
d	139,065.		141,882.	<2,817.>
e	3,101.		2,033.	1,068.
f	1,503.		1,323.	180.
g	354.		334.	20.
h	2,013.		934.	1,079.
i	5,056.		3,232.	1,824.
j	999.		915.	84.
k	3,905.		3,324.	581.
l	430.		392.	38.
m	2,296.		2,075.	221.
n	576.		519.	57.
o	1,584.		1,416.	168.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			433.
b			443.
c			<526.>
d			<2,817.>
e			1,068.
f			180.
g			20.
h			1,079.
i			1,824.
j			84.
k			581.
l			38.
m			221.
n			57.
o			168.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

THE HAVEN FOUNDATION

CONTINUATION FOR 990-PF, PART IV
20-4356938 PAGE 49 OF 65**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	AFFILIATED MANAGERS GROUP INC	P	10/18/12	06/04/15
b	AFFILIATED MANAGERS GROUP INC	P	10/08/12	06/04/15
c	AMERICAN TOWER CORP	P	01/27/14	06/03/15
d	ESTEE LAUDER COMPANIES-CL A	P	05/28/14	05/29/15
e	EBAY INC	P	05/22/14	05/29/15
f	ACTAVIS PLC	P	05/13/14	05/29/15
g	AUTOZONE INC	P	05/09/14	05/29/15
h	AMERICAN ELECTRIC POWER	P	05/02/14	05/29/15
i	EBAY INC	P	04/15/14	05/29/15
j	GOLDMAN SACHS GROUP INC	P	04/15/14	05/29/15
k	MICROSOFT CORP	P	04/10/14	05/29/15
l	FACEBOOK INC-A	P	04/07/14	05/29/15
m	QUINTILES TRANSNATIONAL HOLDIN	P	03/13/14	05/29/15
n	BROCADE COMMUNICATIONS SYS	P	03/11/14	05/29/15
o	LOCKHEED MARTIN CORP	P	03/07/14	05/29/15

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 4,485.		2,510.	1,975.
b 673.		370.	303.
c 2,140.		1,820.	320.
d 2,454.		2,120.	334.
e 1,103.		929.	174.
f 2,760.		1,854.	906.
g 1,349.		1,069.	280.
h 1,350.		1,270.	80.
i 1,531.		1,338.	193.
j 825.		617.	208.
k 4,641.		4,002.	639.
l 3,634.		2,618.	1,016.
m 1,395.		1,040.	355.
n 1,341.		1,080.	261.
o 1,129.		1,000.	129.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			1,975.
b			303.
c			320.
d			334.
e			174.
f			906.
g			280.
h			80.
i			193.
j			208.
k			639.
l			1,016.
m			355.
n			261.
o			129.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	LOCKHEED MARTIN CORP	P	03/07/14	05/29/15
b	US BANCORP	P	02/28/14	05/29/15
c	F5 NETWORKS INC	P	02/26/14	05/29/15
d	MONSTER BEVERAGE CORP	P	02/21/14	05/29/15
e	OFFICE DEPOT INC	P	02/04/14	05/29/15
f	BALL CORP	P	02/03/14	05/29/15
g	AB DISCOVERY GRWTH FUND- ADV	P	01/27/14	05/29/15
h	AB DISCOVERY VALUE FUND- ADV	P	01/27/14	05/29/15
i	AB GLOBAL BOND FUND- ADV	P	01/27/14	05/29/15
j	ALTRIA GROUP INC	P	01/27/14	05/29/15
k	AMDOCS LTD	P	01/27/14	05/29/15
l	AMERICAN TOWER CORP	P	01/27/14	05/29/15
m	AMERICAN TOWER CORP	P	01/27/14	05/29/15
n	JOHNSON & JOHNSON	P	01/27/14	05/29/15
o	LOCKHEED MARTIN CORP	P	01/27/14	05/29/15

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	2,634.		2,333.	301.
b	2,978.		2,850.	128.
c	1,386.		1,245.	141.
d	2,298.		1,349.	949.
e	1,536.		805.	731.
f	3,696.		2,674.	1,022.
g	9,260.		8,689.	571.
h	8,435.		8,230.	205.
i	7,575.		7,467.	108.
j	1,793.		1,291.	502.
k	2,412.		1,861.	551.
l	3,448.		2,928.	520.
m	2,050.		1,741.	309.
n	2,713.		2,438.	275.
o	753.		589.	164.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			301.
b			128.
c			141.
d			949.
e			731.
f			1,022.
g			571.
h			205.
i			108.
j			502.
k			551.
l			520.
m			309.
n			275.
o			164.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

THE HAVEN FOUNDATION

CONTINUATION FOR 990-PF, PART IV
20-4356938 PAGE 51 OF 65**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	PEPSICO INC	P	01/27/14	05/29/15
b	RAYTHEON COMPANY	P	01/27/14	05/29/15
c	SHERWIN-WILLIAMS CO/THE	P	01/27/14	05/29/15
d	TIME WARNER INC	P	01/27/14	05/29/15
e	UNION PACIFIC CORP	P	01/27/14	05/29/15
f	MEAD JOHNSON NUTRITION CO	P	01/15/14	05/29/15
g	GAMESTOP CORP-CLASS A	P	12/05/13	05/29/15
h	HOME DEPOT INC	P	12/04/13	05/29/15
i	NIKE INC -CL B	P	12/03/13	05/29/15
j	MEAD JOHNSON NUTRITION CO	P	11/20/13	05/29/15
k	CVS HEALTH CORP	P	11/19/13	05/29/15
l	CVS HEALTH CORP	P	11/15/13	05/29/15
m	HESS CORP	P	10/14/13	05/29/15
n	POLARIS INDUSTRIES INC	P	10/03/13	05/29/15
o	AON PLC	P	08/05/13	05/29/15

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,348.		1,154.	194.
b	3,101.		2,646.	455.
c	2,588.		1,712.	876.
d	3,217.		2,298.	919.
e	1,817.		1,536.	281.
f	1,556.		1,336.	220.
g	787.		818.	<31.>
h	4,460.		3,132.	1,328.
i	2,232.		1,738.	494.
j	1,362.		1,162.	200.
k	1,946.		1,244.	702.
l	2,049.		1,309.	740.
m	3,649.		4,373.	<724.>
n	1,294.		1,159.	135.
o	405.		275.	130.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			194.
b			455.
c			876.
d			919.
e			281.
f			220.
g			<31.>
h			1,328.
i			494.
j			200.
k			702.
l			740.
m			<724.>
n			135.
o			130.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	AON PLC	P	07/24/13	05/29/15
b	SCHLUMBERGER LTD	P	07/22/13	05/29/15
c	ELECTRONIC ARTS INC	P	05/31/13	05/29/15
d	AMERICAN INTERNATIONAL GROUP	P	04/24/13	05/29/15
e	COSTCO WHOLESALE CORP	P	04/17/13	05/29/15
f	COSTCO WHOLESALE CORP	P	04/05/13	05/29/15
g	PRICELINE GROUP INC/THE	P	04/01/13	05/29/15
h	CAPITAL ONE FINANCIAL CORP	P	03/25/13	05/29/15
i	VISA INC - CLASS A SHRS	P	02/25/13	05/29/15
j	AMPHENOL CORP-CL A	P	02/11/13	05/29/15
k	BIOGEN INC	P	01/16/13	05/29/15
l	BIOGEN INC	P	01/10/13	05/29/15
m	GAMESTOP CORP-CLASS A	P	01/02/13	05/29/15
n	BANK OF AMERICA CORP	P	11/28/12	05/29/15
o	STARBUCKS CORP	P	11/21/12	05/29/15

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	2,531.	1,673.	858.
b	1,183.	1,092.	91.
c	3,959.	1,463.	2,496.
d	5,156.	3,649.	1,507.
e	1,995.	1,467.	528.
f	712.	528.	184.
g	2,333.	1,390.	943.
h	2,759.	1,793.	966.
i	1,648.	957.	691.
j	2,173.	1,339.	834.
k	1,588.	571.	1,017.
l	1,588.	569.	1,019.
m	875.	508.	367.
n	3,933.	2,278.	1,655.
o	3,265.	1,590.	1,675.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			858.
b			91.
c			2,496.
d			1,507.
e			528.
f			184.
g			943.
h			966.
i			691.
j			834.
k			1,017.
l			1,019.
m			367.
n			1,655.
o			1,675.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

THE HAVEN FOUNDATION

CONTINUATION FOR 990-PF, PART IV
20-4356938 PAGE 53 OF 65**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	STARBUCKS CORP	P	11/06/12	05/29/15
b	HEWLETT-PACKARD CO	P	10/23/12	05/29/15
c	ANSYS INC	P	10/18/12	05/29/15
d	APPLE INC	P	10/18/12	05/29/15
e	DANAHER CORP	P	10/18/12	05/29/15
f	FORD MOTOR CO	P	10/18/12	05/29/15
g	GILEAD SCIENCES INC	P	10/18/12	05/29/15
h	KROGER CO	P	10/18/12	05/29/15
i	LYONDELLBASELL INDU-CL A	P	10/18/12	05/29/15
j	SCHLUMBERGER LTD	P	10/18/12	05/29/15
k	VISA INC - CLASS A SHRS	P	10/18/12	05/29/15
l	WALT DISNEY CO/THE	P	10/18/12	05/29/15
m	AFFILIATED MANAGERS GROUP INC	P	10/08/12	05/29/15
n	LYONDELLBASELL INDU-CL A	P	10/05/12	05/29/15
o	FORD MOTOR CO	P	09/24/12	05/29/15

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	2,177.	1,093.	1,084.
b	2,296.	996.	1,300.
c	980.	782.	198.
d	261.	182.	79.
e	432.	269.	163.
f	1,898.	1,310.	588.
g	1,011.	309.	702.
h	2,261.	778.	1,483.
i	2,431.	1,329.	1,102.
j	728.	597.	131.
k	2,747.	1,416.	1,331.
l	5,394.	2,571.	2,823.
m	449.	247.	202.
n	1,013.	530.	483.
o	1,518.	1,032.	486.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			1,084.
b			1,300.
c			198.
d			79.
e			163.
f			588.
g			702.
h			1,483.
i			1,102.
j			131.
k			1,331.
l			2,823.
m			202.
n			483.
o			486.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8 }

2

3

THE HAVEN FOUNDATION

CONTINUATION FOR 990-PF, PART IV
20-4356938 PAGE 54 OF 65**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	AFFILIATED MANAGERS GROUP INC	P	09/21/12	05/29/15
b	ANSYS INC	P	07/23/12	05/29/15
c	WELLS FARGO & COMPANY	P	06/25/12	05/29/15
d	HEWLETT-PACKARD CO	P	06/04/12	05/29/15
e	DANAHER CORP	P	04/24/12	05/29/15
f	WELLS FARGO & COMPANY	P	04/24/12	05/29/15
g	WELLS FARGO & COMPANY	P	04/13/12	05/29/15
h	HEWLETT-PACKARD CO	P	03/28/12	05/29/15
i	PFIZER INC	P	07/11/11	05/29/15
j	PFIZER INC	P	06/24/11	05/29/15
k	DANAHER CORP	P	03/30/11	05/29/15
l	GOOGLE INC-CL A	P	03/30/11	05/29/15
m	APPLE INC	P	03/07/11	05/29/15
n	GILEAD SCIENCES INC	P	12/15/10	05/29/15
o	APPLE INC	P	12/14/10	05/29/15

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,124.		630.	494.
b 891.		572.	319.
c 1,287.		739.	548.
d 1,664.		1,050.	614.
e 2,159.		1,328.	831.
f 2,798.		1,654.	1,144.
g 1,399.		832.	567.
h 832.		591.	241.
i 139.		80.	59.
j 1,737.		1,017.	720.
k 173.		104.	69.
l 1,637.		877.	760.
m 2,742.		1,063.	1,679.
n 4,494.		744.	3,750.
o 6,398.		2,250.	4,148.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			494.
b			319.
c			548.
d			614.
e			831.
f			1,144.
g			567.
h			241.
i			59.
j			720.
k			69.
l			760.
m			1,679.
n			3,750.
o			4,148.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

THE HAVEN FOUNDATION

CONTINUATION FOR 990-PF, PART IV
20-4356938 PAGE 55 OF 65**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	BERNSTEIN EMERGING MARKETS PORTFOLIO	P	12/14/10	05/29/15
b	BERNSTEIN INTERMEDIATE DURATION PORTFOLIO	P	12/14/10	05/29/15
c	BERNSTEIN INTERNATIONAL PORTFOLIO	P	12/14/10	05/29/15
d	GOOGLE INC-CL A	P	12/14/10	05/29/15
e	PFIZER INC	P	12/14/10	05/29/15
f	AMERICAN TOWER CORP	P	01/27/14	05/28/15
g	LOCKHEED MARTIN CORP	P	01/27/14	05/28/15
h	LOCKHEED MARTIN CORP	P	01/27/14	05/28/15
i	AMERICAN INTERNATIONAL GROUP	P	04/24/13	05/28/15
j	AMERICAN INTERNATIONAL GROUP	P	04/24/13	05/28/15
k	OCCIDENTAL PETROLEUM CORP	P	09/12/13	05/08/15
l	OCCIDENTAL PETROLEUM CORP	P	08/12/13	05/08/15
m	MONSANTO CO	P	04/29/14	05/04/15
n	ELECTRONIC ARTS INC	P	05/31/13	05/04/15
o	MONSANTO CO	P	03/25/13	05/04/15

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	5,710.		6,631.	<921.>
b	32,205.		32,252.	<47.>
c	8,915.		8,421.	494.
d	3,819.		2,090.	1,729.
e	2,537.		1,258.	1,279.
f	2,452.		2,058.	394.
g	760.		589.	171.
h	3,230.		2,503.	727.
i	2,608.		1,824.	784.
j	59.		41.	18.
k	4,649.		5,237.	<588.>
l	7,748.		8,464.	<716.>
m	2,333.		2,216.	117.
n	1,711.		673.	1,038.
o	1,166.		1,002.	164.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<921.>
b			<47.>
c			494.
d			1,729.
e			1,279.
f			394.
g			171.
h			727.
i			784.
j			18.
k			<588.>
l			<716.>
m			117.
n			1,038.
o			164.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

THE HAVEN FOUNDATION

CONTINUATION FOR 990-PF, PART IV
20-4356938 PAGE 56 OF 65**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	MONSANTO CO	P	02/26/13	05/04/15
b	MONSANTO CO	P	02/15/13	05/04/15
c	ELECTRONIC ARTS INC	P	02/13/13	05/04/15
d	MONSTER BEVERAGE CORP	P	02/21/14	04/30/15
e	MONSTER BEVERAGE CORP	P	02/12/14	04/30/15
f	FIDELITY NATIONAL INFORMATION	P	01/27/14	04/29/15
g	BERNSTEIN INTERMEDIATE DURATION PORTFOLIO	P	12/14/10	04/24/15
h	GOLDMAN SACHS GROUP INC	P	04/15/14	04/16/15
i	PARTNERRE LTD	P	09/11/13	04/14/15
j	CHUBB CORP	P	03/01/13	04/10/15
k	MONSANTO CO	P	02/15/13	04/10/15
l	MONSANTO CO	P	05/17/11	04/10/15
m	MONSANTO CO	P	05/17/11	04/10/15
n	INTERCONTINENTAL EXCHANGE IN	P	12/20/12	04/02/15
o	PHILIP MORRIS INTERNATIONAL	P	10/18/12	03/31/15

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	2,333.		1,982.	351.
b	1,633.		1,441.	192.
c	1,475.		429.	1,046.
d	3,987.		2,173.	1,814.
e	2,474.		1,301.	1,173.
f	11,237.		8,849.	2,388.
g	439.		436.	3.
h	6,220.		4,779.	1,441.
i	777.		528.	249.
j	3,315.		2,777.	538.
k	2,505.		2,162.	343.
l	239.		126.	113.
m	1,551.		818.	733.
n	2,054.		1,151.	903.
o	4,100.		4,799.	<699.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			351.
b			192.
c			1,046.
d			1,814.
e			1,173.
f			2,388.
g			3.
h			1,441.
i			249.
j			538.
k			343.
l			113.
m			733.
n			903.
o			<699.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	VERIZON COMMUNICATIONS INC	P	03/24/14	03/26/15
b	VERIZON COMMUNICATIONS INC	P	01/27/14	03/26/15
c	CHUBB CORP	P	03/01/13	03/26/15
d	CHUBB CORP	P	02/14/13	03/26/15
e	PHILIP MORRIS INTERNATIONAL	P	10/18/12	03/26/15
f	PHILIP MORRIS INTERNATIONAL	P	09/17/12	03/26/15
g	PHILIP MORRIS INTERNATIONAL	P	09/10/12	03/26/15
h	PHILIP MORRIS INTERNATIONAL	P	09/10/12	03/25/15
i	PHILIP MORRIS INTERNATIONAL	P	08/10/12	03/25/15
j	PHILIP MORRIS INTERNATIONAL	P	08/08/12	03/25/15
k	CHUBB CORP	P	02/14/13	03/23/15
l	CHUBB CORP	P	02/14/13	03/23/15
m	CHUBB CORP	P	02/05/13	03/23/15
n	INTERCONTINENTAL EXCHANGE IN	P	12/20/12	03/23/15
o	INTERCONTINENTAL EXCHANGE IN	P	12/05/12	03/23/15

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	8,522.		8,154.	368.
b	1,850.		1,821.	29.
c	200.		168.	32.
d	1,601.		1,336.	265.
e	76.		89.	<13.>
f	1,147.		1,360.	<213.>
g	994.		1,153.	<159.>
h	542.		621.	<79.>
i	1,161.		1,377.	<216.>
j	774.		913.	<139.>
k	622.		501.	121.
l	1,348.		1,085.	263.
m	1,037.		829.	208.
n	1,414.		768.	646.
o	943.		520.	423.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			368.
b			29.
c			32.
d			265.
e			<13.>
f			<213.>
g			<159.>
h			<79.>
i			<216.>
j			<139.>
k			121.
l			263.
m			208.
n			646.
o			423.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

THE HAVEN FOUNDATION

CONTINUATION FOR 990-PF, PART IV
20-4356938 PAGE 58 OF 65**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	ALTRIA GROUP INC	P	01/27/14	03/18/15
b	UNION PACIFIC CORP	P	01/27/14	03/18/15
c	PARTNERRE LTD	P	09/11/13	03/18/15
d	PRICELINE GROUP INC/THE	P	03/14/13	03/18/15
e	CHUBB CORP	P	02/05/13	03/18/15
f	INTERCONTINENTAL EXCHANGE IN	P	12/05/12	03/18/15
g	INTERCONTINENTAL EXCHANGE IN	P	12/05/12	03/18/15
h	KROGER CO	P	10/18/12	03/18/15
i	SCHLUMBERGER LTD	P	10/18/12	03/18/15
j	DANAHER CORP	P	03/30/11	03/18/15
k	COMCAST CORP-CLASS A	P	03/29/11	03/18/15
l	COMCAST CORP-CLASS A	P	03/18/11	03/18/15
m	PFIZER INC	P	12/14/10	03/18/15
n	KROGER CO	P	10/18/12	03/03/15
o	KROGER CO	P	10/18/12	03/03/15

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,535.		1,107.	428.
b	2,113.		1,536.	577.
c	1,697.		1,321.	376.
d	2,345.		1,425.	920.
e	1,532.		1,243.	289.
f	1,854.		1,041.	813.
g	695.		390.	305.
h	1,896.		627.	1,269.
i	1,792.		1,641.	151.
j	2,616.		1,564.	1,052.
k	1,655.		688.	967.
l	591.		241.	350.
m	1,508.		758.	750.
n	70.		25.	45.
o	4,412.		1,580.	2,832.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			428.
b			577.
c			376.
d			920.
e			289.
f			813.
g			305.
h			1,269.
i			151.
j			1,052.
k			967.
l			350.
m			750.
n			45.
o			2,832.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	MONSTER BEVERAGE CORP	P	02/12/14	02/26/15
b	EVEREST RE GROUP LTD	P	01/27/14	02/23/15
c	PARTNERRE LTD	P	09/11/13	02/23/15
d	PARTNERRE LTD	P	04/10/13	02/23/15
e	ASSURANT INC	P	02/03/14	02/19/15
f	DTE ENERGY COMPANY	P	02/14/14	02/18/15
g	DTE ENERGY COMPANY	P	02/03/14	02/18/15
h	JPMORGAN CHASE & CO	P	01/27/14	02/17/15
i	PARTNERRE LTD	P	04/10/13	02/06/15
j	PARTNERRE LTD	P	04/09/13	02/06/15
k	ALLERGAN INC	P	01/23/14	02/05/15
l	ALLERGAN INC	P	09/09/13	02/05/15
m	ALLERGAN INC	P	09/03/13	02/05/15
n	MICROSOFT CORP	P	01/27/14	01/28/15
o	MEAD JOHNSON NUTRITION CO	P	11/20/13	01/26/15

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	2,212.		1,301.	911.
b	5,327.		4,335.	992.
c	462.		352.	110.
d	2,657.		2,093.	564.
e	1,699.		1,806.	<107.>
f	6,246.		5,341.	905.
g	6,246.		5,114.	1,132.
h	5,916.		5,476.	440.
i	3,268.		2,457.	811.
j	1,694.		1,290.	404.
k	6,753.		3,507.	3,246.
l	6,753.		2,656.	4,097.
m	3,376.		1,346.	2,030.
n	10,460.		9,031.	1,429.
o	2,065.		1,742.	323.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			911.
b			992.
c			110.
d			564.
e			<107.>
f			905.
g			1,132.
h			440.
i			811.
j			404.
k			3,246.
l			4,097.
m			2,030.
n			1,429.
o			323.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

THE HAVEN FOUNDATION

CONTINUATION FOR 990-PF, PART IV
20-4356938 PAGE 60 OF 65**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	BERNSTEIN INTERMEDIATE DURATION PORTFOLIO	P	12/14/10	01/23/15
b	PRECISION CASTPARTS CORP	P	01/31/13	01/16/15
c	PRECISION CASTPARTS CORP	P	01/16/13	01/16/15
d	STARBUCKS CORP	P	11/06/12	01/13/15
e	BOEING CO/THE	P	05/01/13	01/05/15
f	BOEING CO/THE	P	04/25/13	01/05/15
g	GOOGLE INC-CL C	P	01/01/01	05/06/15
h	GLOBAL X FDS GLOBAL X FTSE PORTUGAL 20 ETF	P	06/29/15	12/21/15
i	GLOBAL X FDS GLOBAL X FTSE PORTUGAL 20 ETF	P	06/29/15	12/21/15
j	GLOBAL X FDS GLOBAL X FTSE PORTUGAL 20 ETF	P	06/29/15	12/21/15
k	GLOBAL X FDS GLOBAL X FTSE PORTUGAL 20 ETF	P	06/29/15	12/21/15
l	GLOBAL X FDS GLOBAL X FTSE PORTUGAL 20 ETF	P	06/29/15	12/21/15
m	GLOBAL X FDS GLOBAL X FTSE PORTUGAL 20 ETF	P	06/29/15	12/21/15
n	CALAMOS CONV OPPORTUNITIES & INCOME FD SH BEN INT	P	06/29/15	12/17/15
o	CALAMOS CONV OPPORTUNITIES & INCOME FD SH BEN INT	P	06/29/15	12/17/15

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	597.		592.	5.
b	1,907.		1,831.	76.
c	2,288.		2,202.	86.
d	1,529.		989.	540.
e	4,519.		3,197.	1,322.
f	3,615.		2,576.	1,039.
g	44.			44.
h	414.		453.	<39.>
i	5,172.		5,663.	<491.>
j	43,442.		47,874.	<4,432.>
k	4,137.		4,563.	<426.>
l	3,724.		4,106.	<382.>
m	3,103.		3,422.	<319.>
n	973.		1,204.	<231.>
o	973.		1,204.	<231.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			5.
b			76.
c			86.
d			540.
e			1,322.
f			1,039.
g			44.
h			<39.>
i			<491.>
j			<4,432.>
k			<426.>
l			<382.>
m			<319.>
n			<231.>
o			<231.>

- 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }
- 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8 }

2

3

THE HAVEN FOUNDATION

CONTINUATION FOR 990-PF, PART IV

20-4356938

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.							(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	CALAMOS CONV OPPORTUNITIES & INCOME	FD	SH	BEN	INT		P	06/29/15	12/17/15
b	CALAMOS CONV OPPORTUNITIES & INCOME	FD	SH	BEN	INT		P	06/29/15	12/17/15
c	CALAMOS CONV OPPORTUNITIES & INCOME	FD	SH	BEN	INT		P	06/29/15	12/17/15
d	CALAMOS CONV OPPORTUNITIES & INCOME	FD	SH	BEN	INT		P	06/29/15	12/17/15
e	CALAMOS CONV OPPORTUNITIES & INCOME	FD	SH	BEN	INT		P	06/29/15	12/17/15
f	CALAMOS CONV OPPORTUNITIES & INCOME	FD	SH	BEN	INT		P	06/29/15	12/17/15
g	CALAMOS CONV OPPORTUNITIES & INCOME	FD	SH	BEN	INT		P	06/29/15	12/17/15
h	CALAMOS CONV OPPORTUNITIES & INCOME	FD	SH	BEN	INT		P	06/29/15	12/17/15
i	CALAMOS CONV OPPORTUNITIES & INCOME	FD	SH	BEN	INT		P	06/29/15	12/17/15
j	CALAMOS CONV OPPORTUNITIES & INCOME	FD	SH	BEN	INT		P	06/29/15	12/17/15
k	CALAMOS CONV OPPORTUNITIES & INCOME	FD	SH	BEN	INT		P	06/29/15	12/17/15
l	CALAMOS CONV OPPORTUNITIES & INCOME	FD	SH	BEN	INT		P	06/29/15	12/17/15
m	CALAMOS CONV OPPORTUNITIES & INCOME	FD	SH	BEN	INT		P	06/29/15	12/17/15
n	CALAMOS CONV OPPORTUNITIES & INCOME	FD	SH	BEN	INT		P	06/29/15	12/17/15
o	CALAMOS CONV OPPORTUNITIES & INCOME	FD	SH	BEN	INT		P	06/29/15	12/17/15

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	973.	1,204.	<231.>
b	1,946.	2,410.	<464.>
c	973.	1,205.	<232.>
d	973.	1,205.	<232.>
e	2,919.	3,615.	<696.>
f	4,864.	6,025.	<1,161.>
g	360.	446.	<86.>
h	360.	446.	<86.>
i	613.	760.	<147.>
j	973.	1,206.	<233.>
k	973.	1,206.	<233.>
l	973.	1,206.	<233.>
m	973.	1,206.	<233.>
n	973.	1,206.	<233.>
o	973.	1,206.	<233.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<231.>
b			<464.>
c			<232.>
d			<232.>
e			<696.>
f			<1,161.>
g			<86.>
h			<86.>
i			<147.>
j			<233.>
k			<233.>
l			<233.>
m			<233.>
n			<233.>
o			<233.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

THE HAVEN FOUNDATION

CONTINUATION FOR 990-PF, PART IV
20-4356938 PAGE 62 OF 65**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.				(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	CALAMOS CONV OPPORTUNITIES & INCOME	FD	SH BEN INT	P	06/29/15	12/17/15
b	CALAMOS CONV OPPORTUNITIES & INCOME	FD	SH BEN INT	P	06/29/15	12/17/15
c	CALAMOS CONV OPPORTUNITIES & INCOME	FD	SH BEN INT	P	06/29/15	12/17/15
d	CALAMOS CONV OPPORTUNITIES & INCOME	FD	SH BEN INT	P	06/29/15	12/17/15
e	CALAMOS CONV OPPORTUNITIES & INCOME	FD	SH BEN INT	P	06/29/15	12/17/15
f	CALAMOS CONV OPPORTUNITIES & INCOME	FD	SH BEN INT	P	06/29/15	12/17/15
g	CALAMOS CONV OPPORTUNITIES & INCOME	FD	SH BEN INT	P	06/29/15	12/17/15
h	CALAMOS CONV OPPORTUNITIES & INCOME	FD	SH BEN INT	P	06/29/15	12/17/15
i	CALAMOS CONV OPPORTUNITIES & INCOME	FD	SH BEN INT	P	06/29/15	12/17/15
j	CALAMOS CONV OPPORTUNITIES & INCOME	FD	SH BEN INT	P	06/29/15	12/17/15
k	CALAMOS CONV OPPORTUNITIES & INCOME	FD	SH BEN INT	P	06/29/15	12/17/15
l	CALAMOS CONV OPPORTUNITIES & INCOME	FD	SH BEN INT	P	06/29/15	12/17/15
m	CALAMOS CONV OPPORTUNITIES & INCOME	FD	SH BEN INT	P	06/29/15	12/17/15
n	CALAMOS CONV OPPORTUNITIES & INCOME	FD	SH BEN INT	P	06/29/15	12/17/15
o	CALAMOS CONV OPPORTUNITIES & INCOME	FD	SH BEN INT	P	06/29/15	12/17/15

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	973.	1,206.	<233.>
b	973.	1,206.	<233.>
c	973.	1,206.	<233.>
d	973.	1,206.	<233.>
e	973.	1,206.	<233.>
f	973.	1,207.	<234.>
g	973.	1,207.	<234.>
h	973.	1,207.	<234.>
i	973.	1,207.	<234.>
j	2,919.	3,621.	<702.>
k	1,946.	2,414.	<468.>
l	973.	1,208.	<235.>
m	973.	1,208.	<235.>
n	973.	1,208.	<235.>
o	973.	1,209.	<236.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<233.>
b			<233.>
c			<233.>
d			<233.>
e			<233.>
f			<234.>
g			<234.>
h			<234.>
i			<234.>
j			<702.>
k			<468.>
l			<235.>
m			<235.>
n			<235.>
o			<236.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

THE HAVEN FOUNDATION

CONTINUATION FOR 990-PF, PART IV
20-4356938 PAGE 63 OF 65**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	CALAMOS CONV OPPORTUNITIES & INCOME FD SH BEN INT	P	06/29/15	12/17/15
b	CALAMOS CONV OPPORTUNITIES & INCOME FD SH BEN INT	P	06/29/15	12/17/15
c	CALAMOS CONV OPPORTUNITIES & INCOME FD SH BEN INT	P	06/29/15	12/17/15
d	CALAMOS CONV OPPORTUNITIES & INCOME FD SH BEN INT	P	06/29/15	12/17/15
e	ISHARES TR MSCI INDIA ETF	P	06/29/15	10/06/15
f	ISHARES TR MSCI INDIA ETF	P	06/29/15	10/06/15
g	ISHARES TR MSCI INDIA ETF	P	06/29/15	10/06/15
h	ISHARES TR MSCI INDIA ETF	P	06/29/15	10/06/15
i	ISHARES TR MSCI INDIA ETF	P	06/29/15	10/06/15
j	ISHARES TR MSCI INDIA ETF	P	06/29/15	10/06/15
k	ISHARES TR MSCI INDIA ETF	P	06/29/15	10/06/15
l	ISHARES TR MSCI INDIA ETF	P	06/29/15	10/06/15
m	ISHARES TR MSCI INDIA ETF	P	06/29/15	10/06/15
n	ISHARES TR MSCI INDIA ETF	P	06/29/15	10/06/15
o	SPDR S&P 500 ETF TR TR UNIT	P	07/20/15	09/24/15

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 973.		1,209.	<236.>
b 38,916.		48,399.	<9,483.>
c 59,346.		73,808.	<14,462.>
d 30,198.		37,558.	<7,360.>
e 8,387.		8,620.	<233.>
f 2,922.		3,003.	<81.>
g 17,359.		17,840.	<481.>
h 2,367.		2,433.	<66.>
i 555.		571.	<16.>
j 2,922.		3,003.	<81.>
k 6,429.		6,608.	<179.>
l 7,891.		8,109.	<218.>
m 2,952.		3,033.	<81.>
n 1,958.		2,012.	<54.>
o 13,823.		15,300.	<1,477.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<236.>
b			<9,483.>
c			<14,462.>
d			<7,360.>
e			<233.>
f			<81.>
g			<481.>
h			<66.>
i			<16.>
j			<81.>
k			<179.>
l			<218.>
m			<81.>
n			<54.>
o			<1,477.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6);
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

THE HAVEN FOUNDATION

CONTINUATION FOR 990-PF, PART IV
20-4356938 PAGE 64 OF 65**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	BLACKROCK FLOATING RATE INCOME PORTFOLIO INST.	P	06/29/15	09/24/15
b	ISHARES TR MSCI INDIA ETF	P	06/29/15	09/02/15
c	ISHARES TR MSCI INDIA ETF	P	06/29/15	09/02/15
d	ISHARES TR MSCI INDIA ETF	P	06/29/15	09/02/15
e	ISHARES TR CHINA LARGE-CAP ETF	P	06/29/15	09/02/15
f	ISHARES TR CHINA LARGE-CAP ETF	P	06/29/15	09/02/15
g	ISHARES TR CHINA LARGE-CAP ETF	P	06/29/15	09/02/15
h	ISHARES TR CHINA LARGE-CAP ETF	P	06/29/15	09/02/15
i	ISHARES TR JPMORGAN USD EMERGING MKTS BD ETF	P	06/29/15	08/19/15
j	ISHARES TR JPMORGAN USD EMERGING MKTS BD ETF	P	06/29/15	08/19/15
k	PIMCO INVESTMENT GRADE CORPORATE BOND FUND INST C	P	06/29/15	08/17/15
l	PIMCO INVESTMENT GRADE CORPORATE BOND FUND INST C	P	07/31/15	08/17/15
m	ISHARES TR CORE U S CR BD ETF	P	06/29/15	08/17/15
n	ISHARES TR CORE U S CR BD ETF	P	06/29/15	08/17/15
o	ISHARES TR CHINA LARGE-CAP ETF	P	06/29/15	07/08/15

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 122,992.		124,468.	<1,476.>
b 821.		901.	<80.>
c 5,609.		6,157.	<548.>
d 4,788.		5,256.	<468.>
e 1,506.		2,008.	<502.>
f 274.		416.	<142.>
g 3,148.		4,780.	<1,632.>
h 23,097.		35,073.	<11,976.>
i 56,408.		57,155.	<747.>
j 32,417.		32,848.	<431.>
k 90,178.		89,957.	221.
l 651.		653.	<2.>
m 92,678.		92,166.	512.
n 10,987.		10,926.	61.
o 983.		1,299.	<316.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<1,476.>
b			<80.>
c			<548.>
d			<468.>
e			<502.>
f			<142.>
g			<1,632.>
h			<11,976.>
i			<747.>
j			<431.>
k			221.
l			<2.>
m			512.
n			61.
o			<316.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

THE HAVEN FOUNDATION

CONTINUATION FOR 990-PF, PART IV
20-4356938 PAGE 65 OF 65**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	ISHARES TR CHINA LARGE-CAP ETF	P	06/29/15	07/08/15
b	ISHARES TR CHINA LARGE-CAP ETF	P	06/29/15	07/08/15
c	ISHARES TR CHINA LARGE-CAP ETF	P	06/29/15	07/08/15
d	CAPITAL GAIN DISTRIBUTIONS	P	01/01/15	12/31/15
e	CLARITY DIVERSIFIED ALTERNATIVES PORTFOLIO LP K-1	P	VARIOUS	12/31/15
f	CLARITY DIVERSIFIED ALTERNATIVES PORTFOLIO LP K-1	P	VARIOUS	12/31/15
g	CLARITY DIVERSIFIED ALTERNATIVES PORTFOLIO LP K-1	P	VARIOUS	12/31/15
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 365.		365.	0.
b 4,199.		4,199.	0.
c 31,952.		31,952.	0.
d 36,487.			36,487.
e		13,055.	<13,055.>
f 8,432.			8,432.
g 3.			3.
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			0.
b			0.
c			0.
d			36,487.
e			<13,055.>
f			8,432.
g			3.
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	207,895.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

THE HAVEN FOUNDATION

20-4356938

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
STEPHEN COHN 3810 B. HEFFRON DRIVE BURBANK, CA 91505	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	675.
TOM PICCIRILLI 1142 SOUTH REDBUD DRIVE LOVELAND, CO 80537	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	20,000.
JULIE BUSH 1626 N. WILCOX AVE. #925 LOS ANGELES, CA 90028	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	1,500.
ZAK ZAIKINE 8885 OAK GROVE AVE SEBASTOPOL, CA 95472	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	800.
MUSICARES 11 WEST 42ND STREET, 27TH FL NEW YORK, NY 10036	NONE	PC	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
EMILY HABANSKY 655 12TH STREET, UNIT 406 OAKLAND, CA 94607	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
JIM GERARD 312 WEST 15TH ST., APT 17 NEW YORK, NY 10011	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	3,500.
PEN AMERICAN CENTER 588 BROADWAY, SUITE 303 NEW YORK, NY 10012	NONE	NC	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
CERF PO BOX 838 MONTPELIER, VT 05601	NONE	NC	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
CORINNA WEST 1921 NEBRASKAAVE KANSAS CITY, KS 66102	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	1,560.
Total from continuation sheets				760,135.

THE HAVEN FOUNDATION

20-4356938

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ELLAMAY KAY 1469 CUMMINGS AVE EAU CLAIRE, WI 54701	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	10,000.
AMY SEIDEMAN 237 7TH AVENUE SAN FRANCISCO, CA 94118	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	6,000.
THE ACTORS FUND 729 SEVENTH AVE, 10TH FLOOR NEW YORK, NY 10019	NONE	PC	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
THE ARTISTS' FEL 47 FIFTH AVENUE NEW YORK, NY 10003	NONE	NC	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
LISA RENTZ 2002 PARK AVENUE BEAUFORT, SC 29902	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	6,000.
MAURY BREECHER 1719 3RD STREET, APT 4, PO BOX 331235 CORPUS CHRISTI, TX 78463	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	3,000.
NANCY BRAVER 1646 1/2 N CORONADO ST LOS ANGELES, CA 90026	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	3,500.
ROBERT MEURER 12831 MOORPARK STREET, #14 TUDIO CITY, CA 91604	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	5,000.
SAG FOUNDATION 1900 BROADWAY, 5TH FLOOR NEW YORK, NY 10023	NONE	PC	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
DAVE BUSCEMA 3443 CRESCENT ST., APT 1S ASTORIA, NY 11106	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	15,600.
Total from continuation sheets				

THE HAVEN FOUNDATION

20-4356938

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
LEX GARRAWAY 216 ROCKAWAY AVE, 16C BROOKLYN, NY 11233	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	5,000.
KEVON GRANTT 635 JOHN WRIGHT ROAD MT. JULIET, TN 37122	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
KATHY KISSIK 1544 MICHIGAN AVE, APT #2 MIAMI BEACH, FL 33139	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	10,000.
ELISABETH NICKLES 234 WEST RITTENHOUSE STREET PHILADELPHIA, PA 19144	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
GARY CORBETT 143 REBECCA DR HENDERSONVILLE, TN 37075	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
ORLANDO WATSON 1223 WALDRON AVE ST. LOUIS, MO 63130	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	15,000.
CINDY FURMAN 5023 LEANN DRIVE PEGRAM, TN 37143	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	20,000.
RAYMOND JACKSON, JR 307 WILLIAM STREET, #2-C EAST ORANGE, NJ 07017	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	7,000.
MACIEJ MIERNIK 2710 30TH AVE, APT 5B ASTORIA, NY 11102	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	15,500.
ELVIN SUTHERLAND 16469 CR3540 ADA, OK 74820	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
Total from continuation sheets				

THE HAVEN FOUNDATION

20-4356938

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ROBERTO GUZMAN PO BOX 40203 AUSTIN, TX 78704	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	12,000.
NORM BREYFOGLE 1100 W QUINEY STREET HANCOCK, MI 49930	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
MAX MORAN 2209 OSBORNE AVE BAITING HOLLOW, NY 11933	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	10,000.
MELISSA CRABTREE PO BOX 261 ARROYO SECO, NM 87514	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	10,000.
JOHN DERHAK 343 GREELEY'S LANDING ROAD DOVER-FOXCROFT, ME 04426	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	15,000.
DONNA CLARK GOODRICH 701 SOUTH DOBSON ROAD #350 MESA, AZ 85202	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	3,200.
PAMELA HOKE 228 KINGFISHER LANE FRIDAY HARBOR, WA 98250	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	15,000.
NICHOLAS PATTERSON PO BOX 295 EDDINGTON, ME 04428	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	5,000.
SHAD PETERS 11600 S, C.R. 396 EAST MUNCIE, IN 47302	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	5,000.
RODNEY STEVENS 2000 N BELTLINE BLVD, APT 30 COLUMBIA, SC 29204	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	2,100.
Total from continuation sheets				

THE HAVEN FOUNDATION

20-4356938

Part XV Supplementary Information**3** Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ASTRID WALSCHOT-STAPP 201 CATOCTIN AVENUE FREDERICK, MD 21701	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	10,000.
MUSIC HEALTH ALLIANCE 2021 RICHARD JONES ROAD, SUITE 160 NASHVILLE, TN 37215	NONE	NC	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
LOCAL 802 MUSICIANS' ERF 322 WEST 48TH STREET NEW YORK, NY 10036	NONE	PC	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	10,000.
WILLIAM F ACCORST PO BOX 583 WOODSTOCK, NY 12498	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	12,000.
DANIEL ALTIERI PO BOX 1456 MENDOCINO, CA 95460	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	10,000.
LASHONDA BARNETT 219 WEST 81ST STREET APT. 10K NEW YORK, NY 10024	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	10,000.
DON BENDELL PO BOX 244 FLORENCE, CO 81226	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	5,000.
JOHN F CHRISTGAU PO 2704 COMSTOCK CIRCLE BELMONT, CA 94002	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	5,000.
THERESE DEPREZ 217 THOMPSON ST., 342 NEW YORK, NY 10012	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	6,000.
DAVID EWING 1015 BAMMAN MOUNTAIN ROAD ASHLAND CITY, TN 37015	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
Total from continuation sheets				

THE HAVEN FOUNDATION

20-4356938

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
JAMES P FOX 7735 SUE ELLEN DRIVE PORT RICHEY, FL 34668	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	1,000.
MARIE GABRIELLE 450 WEST 42ND ST. 42F NEW YORK, NY 10036	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	4,000.
JOHN GRIFFIN 4605 FULTON AVE, #2 SHERMAN OAKS, CA 91423	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	3,200.
KACEY JONES 1100 18TH AVE. APT A NASHVILLE, TN 37212	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
LORI KENT 228 AVENUE B APT 10 NEW YORK, NY 10009	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	10,000.
KEVIN KREIS 1407 COLINA BULLHEAD CITY, AZ 86442	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	12,000.
CHRISTINE LEE 1100 E LEMON ST. #C216 TEMPE, AZ 85281	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	10,000.
CHERYL MATTHYNESSENS PO BOX 91, 313 A RIVERSIDE CUT-OFF ROAD RIVERSIDE, WA 98849	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	24,000.
STEPHEN ROMANO 3911B AVENUE F AUSTIN, TX 78751	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
SHERRY SHEPARD MASSAT 6967 ROSWELL ROAD APT J SANDY SPRINGS, GA 30328	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	1,000.
Total from continuation sheets				

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
CLARITY DIVERSIFIED ALTERNATIVES PORTFOLIO LP K-1	2,647.	2,647.	
KCPS	1,049.	1,049.	
SANFORD BERNSTEIN US TRUST	19. 92,541.	19. 92,541.	
TOTAL TO PART I, LINE 3	96,256.	96,256.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
CLARITY DIVERSIFIED ALTERNATIVES	1,245.	0.	1,245.	1,245.	
JPMORGAN	7.	0.	7.	7.	
KCPS	38,154.	0.	38,154.	38,154.	
SANFORD BERNSTEIN	199,513.	0.	199,513.	199,513.	
US TRUST	30,574.	0.	30,574.	30,574.	
TO PART I, LINE 4	269,493.	0.	269,493.	269,493.	

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
CLARITY DIVERSIFIED ALTERNATIVES PORTFOLIO LP K-1 - ORDINARY LOSS	<953.>	<953.>	
CLARITY DIVERSIFIED ALTERNATIVES PORTFOLIO LP K-1 - OTHER INCOME	3,045.	3,045.	
TOTAL TO FORM 990-PF, PART I, LINE 11	2,092.	2,092.	

FORM 990-PF	LEGAL FEES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
COHEN TAUBER SPOEVACK & WAGNER	15,169.	7,582.		7,587.
TO FM 990-PF, PG 1, LN 16A	15,169.	7,582.		7,587.

FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENTS FEES	62,326.	62,326.		0.
TO FORM 990-PF, PG 1, LN 16C	62,326.	62,326.		0.

FORM 990-PF	TAXES	STATEMENT	6
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAX WITHHELD	1,483.	1,483.		0.
US TREASURY	21,000.	0.		0.
CLARITY DIVERSIFIED ALTERNATIVES PORTFOLIO LP K-1 - FOREIGN TAX WITHHELD	88.	88.		0.
TO FORM 990-PF, PG 1, LN 18	22,571.	1,571.		0.

FORM 990-PF	OTHER EXPENSES	STATEMENT	7
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INSURANCE	1,761.	0.		1,761.
FILING FEE	775.	0.		775.
POSTAGE	537.	0.		537.
OFFICE EXPENSES	854.	0.		854.
CLARITY DIVERSIFIED ALTERNATIVES PORTFOLIO LP K-1 - OTHER EXPENSE	6,769.	6,769.		0.
TO FORM 990-PF, PG 1, LN 23	10,696.	6,769.		3,927.

FORM 990-PF	CORPORATE STOCK	STATEMENT	8
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
STOCKS - SCHEDULE ATTACHED	11,513,425.	11,371,865.
TOTAL TO FORM 990-PF, PART II, LINE 10B	11,513,425.	11,371,865.

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Quantity	Security	Description	COST	FMV
145,729	SHS	BERNSTEIN INTERMEDIATE DURATION PORTFOLIO(1)	2,015,268	1,895,939
		ACCRUED INCOME		1,542
232,438	SHS	AB GLOBAL BOND FUND- ADV(1)	1,932,990	1,894,358
		ACCRUED INCOME		3,636
38,647	SHS	AB LIMITED DURATION HIGH INCOME PORT- ADVISOR CLASS(1)	410,867	379,124
		ACCRUED INCOME		1,418
2,922	SHS	AB DISCOVERY VALUE FUND- ADV	60,439	53,473
6,050	SHS	AB DISCOVERY GRWTH FUND- ADV	58,532	53,478
139	SHS	AETNA INC	11,516	15,029
411	SHS	ALLSTATE CORP	28,241	25,519
		ACCRUED INCOME		131
8	SHS	ALPHABET INC-CL A	2,733	6,224
47	SHS	ALPHABET INC-CL C	21,637	35,687
470	SHS	ALTRIA GROUP INC	24,801	27,359
		ACCRUED INCOME		266
193	SHS	AMDOCS LTD	8,547	10,632
		ACCRUED INCOME		33
213	SHS	AMERICAN ELECTRIC POWER	11,746	12,412
199	SHS	AMPHENOL CORP-CL A	8,174	10,394
		ACCRUED INCOME		28
129	SHS	ANSYS INC	10,637	11,933
109	SHS	ANTHEM INC	13,266	15,199
416	SHS	APPLE INC	42,859	43,788
1,112	SHS	APPLIED MATERIALS INC	20,918	20,761
226	SHS	BALL CORP	13,258	16,437
1,606	SHS	BANK OF AMERICA CORP	22,981	27,029
43	SHS	BIOGEN INC	8,980	13,173
192	SHS	CAPITAL ONE FINANCIAL CORP	12,817	13,858
448	SHS	CF INDUSTRIES HOLDINGS INC	24,251	18,283
431	SHS	CITIZENS FINANCIAL GROUP	11,366	11,288
351	SHS	COMCAST CORP-CLASS A	16,986	19,807
79	SHS	COSTCO WHOLESALE CORP	9,768	12,759
233	SHS	CVS HEALTH CORP	18,474	22,780
224	SHS	DANAHER CORP	17,022	20,805
		ACCRUED INCOME		33
279	SHS	DELTA AIR LINES INC	10,990	14,143
274	SHS	DISCOVER FINANCIAL SERVICES	16,048	14,692
235	SHS	DOLLAR GENERAL CORP	15,984	16,866
		ACCRUED INCOME		52
186	SHS	DR PEPPER SNAPPLE GROUP INC	11,857	17,335
		ACCRUED INCOME		96
378	SHS	EBAY INC	9,313	10,387
224	SHS	EDISON INTERNATIONAL	12,795	13,263
		ACCRUED INCOME		108
195	SHS	EOG RESOURCES INC	16,087	13,804
138	SHS	ESTEE LAUDER COMPANIES-CL A	10,729	12,240
241	SHS	FACEBOOK INC-A	18,289	25,223
126	SHS	FISERV INC	8,540	11,524
21	SHS	F5 NETWORKS INC	2,376	2,036
244	SHS	GAMESTOP CORP-CLASS A	9,372	6,842
208	SHS	GILEAD SCIENCES INC	14,660	21,048
193	SHS	HESS CORP	14,516	9,357
679	SHS	HEWLETT PACKARD ENTERPRIS	7,176	10,321
		ACCRUED INCOME		37
192	SHS	HOME DEPOT INC	16,153	25,392
679	SHS	HP INC	5,967	8,039
		ACCRUED INCOME		84
25	SHS	INTUITIVE SURGICAL INC	10,246	13,654
384	SHS	ITT CORP	15,519	13,220
305	SHS	JETBLUE AIRWAYS	6,836	6,908
180	SHS	JOHNSON & JOHNSON	16,459	16,480

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67	SHS	KIMBERLY-CLARK CORP	7,334	8,529
		ACCRUED INCOME		59
328	SHS	KROGER CO	6,363	13,720
89	SHS	L BRANDS INC	6,532	6,812
147	SHS	L-3 COMMUNICATIONS HOLDINGS	17,617	17,588
199	SHS	LYONDELLBASELL INDU-CL A	15,554	17,293
147	SHS	MAGNA INTERNATIONAL INC	8,077	5,962
111	SHS	MCDONALD'S CORP	10,603	13,114
53	SHS	MCKESSON CORP	11,430	10,453
		ACCRUED INCOME		15
156	SHS	MEDTRONIC PLC	11,676	12,000
		ACCRUED INCOME		59
134	SHS	MERCK & CO. INC.	7,326	7,078
		ACCRUED INCOME		62
673	SHS	MICROSOFT CORP	31,321	37,338
98	SHS	MOBILEYE NV	3,843	4,143
52	SHS	MONSTER BEVERAGE CORP	4,371	7,746
390	SHS	MURPHY OIL CORP	16,331	8,756
320	SHS	NIKE INC -CL B	13,453	20,000
		ACCRUED INCOME		56
106	SHS	NORTHROP GRUMMAN CORP	17,004	20,014
280	SHS	ORACLE CORP	10,864	10,228
230	SHS	PEPSICO INC	21,240	22,982
		ACCRUED INCOME		162
618	SHS	PFIZER INC	16,636	19,949
448	SHS	PPL CORPORATION	14,825	15,290
		ACCRUED INCOME		169
9	SHS	PRICELINE GROUP INC/THE	7,458	11,475
27	SHS	PUBLIC STORAGE	5,811	6,688
48	SHS	ROCKWELL COLLINS INC. :	4,445	4,430
314	SHS	ROSS STORES INC	15,828	16,898
107	SHS	SCHLUMBERGER LTD	9,629	7,463
		ACCRUED INCOME		54
243	SHS	SEALED AIR CORP	12,702	10,838
55	SHS	SERVICENOW INC	2,568	4,761
40	SHS	SHERWIN-WILLIAMS CO/THE	8,402	10,384
259	SHS	STARBUCKS CORP	9,760	15,548
89	SHS	UNION PACIFIC CORP	7,595	6,980
99	SHS	UNITED TECHNOLOGIES CORP	11,835	9,511
203	SHS	UNITEDHEALTH GROUP INC	23,088	23,881
413	SHS	US BANCORP	17,665	17,623
		ACCRUED INCOME		105
155	SHS	VALERO ENERGY CORP	8,338	10,960
190	SHS	VERIZON COMMUNICATIONS INC	8,665	8,782
306	SHS	VISA INC - CLASS A SHRS	15,184	23,730
283	SHS	VOYA FINANCIAL INC	11,148	9,707
165	SHS	WALT DISNEY CO/THE	15,237	17,338
		ACCRUED INCOME		134
646	SHS	WELLS FARGO & COMPANY	28,797	35,117
1,024	SHS	XEROX CORP	10,911	10,885
		ACCRUED INCOME		72
32,167	SHS	BERNSTEIN INTERNATIONAL PORTFOLIO(1)	478,717	489,581
4,008	SHS	BERNSTEIN EMERGING MARKETS PORTFOLIO(1)	114,466	90,058
23,520	SHS	ALLIANCEBERNSTEIN ALL MARKET R CLASS 1(1)	238,223	171,462
		ACCRUED INCOME		10
50,000	SHS	GOLDMAN SACHS GROUP INC SR UNSECD NY DTD 02/07/11 3.625% DUE 02/07/16	52,984	50,127
		ACCRUED INCOME		725
50,000	SHS	KRAFT FOODS INC SR UNSECD NY DTD 02/08/10 4.125% DUE 02/09/16	53,699	50,129
		ACCRUED INCOME		814

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50,000	SHS	KINDER MORGAN ENERGY PARTNERS L P SR UNSECD SR NT DTD 03/04/11 3.500% DUE 03/01/16	53,447	50,161
		ACCRUED INCOME		583
50,000	SHS	BB&T CORP SR MTN CALL 2/16/16 @100 DTD 03/07/11 3.200% DUE 03/15/16	53,325	50,137
		ACCRUED INCOME		471
50,000	SHS	EXPRESS SCRIPTS INC CO GTD NY DTD 05/02/11 3.125% DUE 05/15/16	53,050	50,334
		ACCRUED INCOME		200
50,000	SHS	UNITED STATES TREAS NT DTD 08/31/11 1.000% DUE 08/31/16	50,318	50,102
		ACCRUED INCOME		169
50,000	SHS	ANADARKO PETE CORP SR NT DTD 09/19/08 5.950% DUE 09/15/16	56,702	51,378
		ACCRUED INCOME		876
50,000	SHS	TOYOTA MTR CR CORP SR UNSECD MTN DTD 09/15/11 2.000% DUE 09/15/16	51,755	50,404
		ACCRUED INCOME		294
50,000	SHS	VERIZON COMMUNICATIONS INC SR UNSECD NT DTD 11/03/11 2.000% DUE 11/01/16	52,021	50,312
		ACCRUED INCOME		167
50,000	SHS	SIMON PPY GROUP L P NT CALL 9/2/16 @100 DTD 12/12/08 5.250% DUE 12/01/16	56,616	51,353
		ACCRUED INCOME		219
50,000	SHS	GENERAL ELEC CAP CORP SR UNSECD MTN DTD 01/09/12 2.900% DUE 01/09/17	51,839	50,833
		ACCRUED INCOME		693
50,000	SHS	BERKSHIRE HATHAWAY INC DEL SR UNSECD NT DTD 01/31/12 1.900% DUE 01/31/17	51,644	50,434
		ACCRUED INCOME		398
50,000	SHS	KELLOGG CO UNSECD SR NT DTD 05/17/12 1.750% DUE 05/17/17	50,834	50,022
		ACCRUED INCOME		107
50,000	SHS	INTERNATIONAL BUSINESS MACHS CORP SR NT DTD 09/14/07 5.700% DUE 09/14/17	54,258	53,629
		ACCRUED INCOME		847
50,000	SHS	UNITEDHEALTH GROUP INC SR UNSECD NT DTD 10/22/12 1.400% DUE 10/15/17	50,034	49,904
		ACCRUED INCOME		148
50,000	SHS	ABBVIE INC UNSECD SR NT DTD 05/06/13 1.750% DUE 11/08/17	50,461	49,803
		ACCRUED INCOME		134
50,000	SHS	CITIGROUP INC GLOBAL SR UNSECD NT DTD 11/21/07 6.125% DUE 11/21/17	59,523	53,860
		ACCRUED INCOME		340
25,000	SHS	CONOCOPHILLIPS SR UNSECD NT CALL 09/15/17 @100 DTD 12/07/12 1.050% DUE 12/15/17	24,767	24,547
		ACCRUED INCOME		12
50,000	SHS	CITIGROUP INC UNSECD SR NT DTD 04/27/15 1.700% DUE 04/27/18	49,601	48,505
		ACCRUED INCOME		151
50,000	SHS	WAL-MART STORES INC SR UNSECD NT DTD 10/02/13 1.950% DUE 12/15/18	50,615	50,742
		ACCRUED INCOME		43
50,000	SHS	CHEVRON CORP NEW SR UNSECD NT DTD 03/03/15 1.981% DUE 03/03/20	49,504	49,272
		ACCRUED INCOME		321
50,000	SHS	AMERICAN INTL GROUP INC SR UNSECD NT DTD 10/02/13 4.125% DUE 02/15/24	54,191	51,346

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		ACCRUED INCOME		779
50,000	SHS	METLIFE INC UNSECD SR NT DTD 04/10/14 3.600% DUE 04/10/24	53,177	51,300
		ACCRUED INCOME		405
50,000	SHS	BANK NEW YORK INC MELLON UNSECD MEDIUM TERM SR NY C04/15/24 @100 DTD 05/07/14 3.400% DUE 05/15/24	51,770	51,128
		ACCRUED INCOME		217
100,000	SHS	UNITED STATES TREAS NT DTD 11/17/14 2.250% DUE 11/15/24	101,481	99,949
		ACCRUED INCOME		291
50,000	SHS	EOG RES INC SR UNSECD NT CALL 01/01/25 @100 DTD 03/17/15 3.150% DUE 04/01/25	51,433	47,292
		ACCRUED INCOME		394
50,000	SHS	WISCONSIN ENERGY CORP SR UNSECD NT CALL 3/15/25 @100 DTD 06/10/15 3.550% DUE 06/15/25	49,992	50,282
		ACCRUED INCOME		79
50,000	SHS	WESTPAC BKG CORP SR UNSECD GMTN AUSTRALIA DTD 01/14/13 0.950% DUE 01/12/18	50,210	50,003
		ACCRUED INCOME		223
50,000	SHS	AMERICA MOVIL S A B DE C V CO GTD SR NT MEXICO DTD 09/08/11 2.375% DUE 09/08/16	50,886	50,184
		ACCRUED INCOME		373
50,000	SHS	TEVA PHARMACEUTICAL FIN CO B V CO GTD NT NETHERLANDS ANTILLES DTD 11/10/11 2.400% DUE 11/10/16	52,002	50,310
		ACCRUED INCOME		170
50,000	SHS	BHP BILLITON FIN USA LTD CO GTD SR NT AUSTRALIA DTD 11/21/11 1.875% DUE 11/21/16	51,894	50,182
		ACCRUED INCOME		104
50,000	SHS	VODAFONE GROUP PLC NEW NT UNITED KINGDOM DTD 02/27/07 5.625% DUE 02/27/17	58,849	52,202
		ACCRUED INCOME		969
50,000	SHS	ACTAVIS FDG SCS SR UNSECD NT LUXEMBOURG DTD 08/19/14 1.300% DUE 08/15/17	49,486	49,525
		ACCRUED INCOME		29
50,000	SHS	TELEFONICA EMISIONES S A U CO GY SPAIN DTD 07/02/07 6.221% DUE 07/03/17	55,004	53,138
		ACCRUED INCOME		1,538
50,000	SHS	STATOIL ASA GO GTD NT NORWAY DTD 08/17/10 3.125% DUE 08/17/17	54,128	51,285
		ACCRUED INCOME		582
		ACCRUED INCOME		1
43	SHS	ABBVIE INC COM	2,625	2,547
77	SHS	ACE LTD COM SWITZERLAND	6,989	8,997
		ACCRUED INCOME		52
144	SHS	ACTIVISION BLIZZARD INC COM	5,425	5,574
182	SHS	ALTRIA GROUP INC COM	6,518	11,178
		ACCRUED INCOME		108
60	SHS	AMERICAN ELEC PWR INC COM	2,630	3,496
143	SHS	APPLE INC COM	10,477	15,052
73	SHS	AUTOMATIC DATA PROCESSING INC COM	3,837	6,185
		ACCRUED INCOME		39
25	SHS	BLACKROCK INC CL A	5,887	8,513
50	SHS	BOEING CO COM	3,797	7,230
118	SHS	BRISTOL MYERS SQUIBB CO COM	7,860	8,117
		ACCRUED INCOME		45
45	SHS	CHUBB CORP COM	3,478	5,969
		ACCRUED INCOME		28

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323	SHS	CISCO SYS INC COM	7,170	8,771
88	SHS	CME GROUP INC COM	4,977	7,973
		ACCRUED INCOME		255
227	SHS	COMCAST CORP NEW CL A COM	11,354	12,810
98	SHS	CVS HEALTH CORP COM	7,434	9,581
34	SHS	DOMINION RES INC VA NEW COM	1,818	2,300
48	SHS	EASTMAN CHEM CO COM	3,960	3,240
		ACCRUED INCOME		22
65	SHS	EVERSOURCE ENERGY COM	3,196	3,320
240	SHS	EXXON MOBIL CORP COM	20,285	18,708
58	SHS	GENERAL DYNAMICS CORP COM	8,434	7,692
414	SHS	GENERAL ELEC CO COM	10,792	12,896
		ACCRUED INCOME		85
140	SHS	GENERAL MLS INC COM	7,456	8,072
36	SHS	GENUINE PARTS CO COM	3,253	3,092
		ACCRUED INCOME		22
74	SHS	GILEAD SCIENCES INC COM	7,325	7,488
114	SHS	HOME DEPOT INC COM	7,170	15,077
115	SHS	HONEYWELL INTL INC COM	8,591	11,911
178	SHS	INTEL CORP COM	3,786	6,132
234	SHS	J P MORGAN CHASE & CO COM	10,966	15,451
183	SHS	JOHNSON & JOHNSON COM	12,889	18,743
81	SHS	KIMBERLY CLARK CORP COM	5,096	7,785
		ACCRUED INCOME		54
42	SHS	LOCKHEED MARTIN CORP COM	8,306	9,120
138	SHS	MARSH & MCLENNAN COS INC COM	5,622	7,652
49	SHS	MCDONALDS CORP COM	4,449	5,789
248	SHS	MERCK & CO INC NEW COM	11,196	13,099
		ACCRUED INCOME		114
441	SHS	MICROSOFT CORP COM	14,234	24,467
38	SHS	NEXTERA ENERGY INC COM	2,714	3,948
72	SHS	OCCIDENTAL PETE CORP DEL COM	5,922	4,888
		ACCRUED INCOME		54
28	SHS	PARKER HANNIFIN CORP.COM	2,474	2,715
83	SHS	PEPSICO INC COM	7,940	8,293
		ACCRUED INCOME		58
377	SHS	PFIZER INC COM	9,909	12,170
68	SHS	PG&E CORP COM	3,902	3,617
		ACCRUED INCOME		31
139	SHS	PHILIP MORRIS INTL INC COM	11,849	12,219
		ACCRUED INCOME		142
75	SHS	PNC FINL SVCS GROUP INC.COM	4,685	7,148
46	SHS	PRICE T ROWE GROUP INC.COM	3,181	3,289
98	SHS	PROCTER & GAMBLE CO COM	7,053	7,782
145	SHS	SCHLUMBERGER LTD COM CURACAO	11,631	10,114
		ACCRUED INCOME		73
30	SHS	SEMPRA ENERGY COM	2,159	2,820
		ACCRUED INCOME		21
26	SHS	SHERWIN WILLIAMS CO COM	4,112	6,750
116	SHS	TEXAS INSTRS INC COM	3,835	6,358
87	SHS	TIME WARNER INC NEW COM	7,386	5,626
46	SHS	TJX COS INC NEW COM	3,245	3,262

THE HAVEN FOUNDATION

EIN #: 20-4356938

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85	SHS	UNITED PARCEL SVC INC CL B	7,459	8,180
128	SHS	US BANCORP DEL COM NEW	4,218	5,482
		ACCRUED INCOME		33
43	SHS	V F CORP COM	1,849	2,677
72	SHS	VALERO ENERGY CORP NEW COM	5,176	5,091
253	SHS	VERIZON COMMUNICATIONS INC COM	11,432	11,894
44	SHS	WAL-MART STORES INC COM	3,335	2,697
		ACCRUED INCOME		22
61	SHS	WASTE MGMT INC DEL COM	2,138	3,256
48	SHS	WEC ENERGY GROUP INC COM	1,827	2,463
261	SHS	WELLS FARGO & CO NEW COM	9,993	14,188
77	SHS	CMS ENERGY CORP COM	1,947	2,778
63	SHS	DOVER CORP COM	3,581	3,863
86	SHS	NORTHERN TR CORP COM	6,333	6,200
		ACCRUED INCOME		31
31	SHS	LYONDELLBASELL INDUSTRIES NV CL A COM NETHERLANDS	2,662	2,694
53	SHS	MEDTRONIC PLC COM IRELAND	4,078	4,077
		ACCRUED INCOME		20
31	SHS	CROWN CASTLE INTL CORP REITS	2,667	2,680
11	SHS	ESSEX PPTY TR INC COM	2,565	2,634
		ACCRUED INCOME		16
43	SHS	PUBLIC STORAGE INC COM (REIT)	6,672	10,651
25	SHS	SIMON PPTY GROUP INC NEW.COM	3,847	4,861
		ACCRUED INCOME		1
10	SHS	ALPHABET INC CL C COM	5,774	7,589
12	SHS	ALPHABET INC CL A COM	6,971	9,338
25	SHS	AMAZON COM INC COM	10,134	16,897
50	SHS	AMERICAN EXPRESS CO COM	2,989	3,478
157	SHS	AMERICAN INTL GROUP INC NEW COM	7,945	9,729
36	SHS	AMGEN INC COM	3,182	5,844
57	SHS	APACHE CORP COM	4,822	2,535
126	SHS	APPLE INC COM	9,893	13,263
10	SHS	BLACKROCK INC CL A	3,136	3,405
118	SHS	CARMAX INC COM	5,462	6,422
37	SHS	CATERPILLAR INC COM	3,343	2,516
20	SHS	CHEVRON CORP COM	2,181	1,789
219	SHS	CISCO SYS INC COM	6,059	5,947
265	SHS	CITIGROUP INC NEW COM	12,483	13,714
39	SHS	DANAHER CORP DEL COM	3,110	3,622
		ACCRUED INCOME		5
31	SHS	EXXON MOBIL CORP COM	2,717	2,416
122	SHS	FACEBOOK INC CL A COM	7,358	12,769
183	SHS	GENERAL ELEC CO COM	4,774	5,700
		ACCRUED INCOME		42
70	SHS	GOLDMAN SACHS GROUP INC COM	12,095	12,616
266	SHS	J P MORGAN CHASE & CO COM	12,530	17,564
25	SHS	LINKEDIN CORP CL A COM	3,894	5,627
94	SHS	LOWES COS INC COM	4,476	7,148
41	SHS	MEAD JOHNSON NUTRITION CO COM	3,081	3,237
		ACCRUED INCOME		17
97	SHS	MERCK & CO INC NEW COM	4,085	5,124
		ACCRUED INCOME		45

THE HAVEN FOUNDATION

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134	SHS	MORGAN STANLEY COM	4,374	4,283
64	SHS	OCCIDENTAL PETE CORP DEL COM	5,054	4,327
		ACCRUED INCOME		48
72	SHS	ORACLE CORP COM	2,739	2,630
53	SHS	PEPSICO INC COM	4,398	5,298
		ACCRUED INCOME		37
66	SHS	PRICE T ROWE GROUP INC COM	4,645	4,718
61	SHS	PROCTER & GAMBLE CO COM	4,376	4,844
32	SHS	PRUDENTIAL FINL INC COM	2,888	2,605
84	SHS	QUALCOMM INC COM	6,339	4,199
84	SHS	RALPH LAUREN CORP CL A COM	10,858	9,384
		ACCRUED INCOME		42
92	SHS	SCHLUMBERGER LTD COM CURACAO	7,870	6,417
		ACCRUED INCOME		46
40	SHS	UNITED PARCEL SVC INC CL B	3,457	3,849
49	SHS	UNITED TECHNOLOGIES CORP COM	4,848	4,707
83	SHS	WELLS FARGO & CO NEW COM	2,880	4,512
43	SHS	IHS INC CL A COM	5,043	5,092
205	SHS	LENNAR CORP COM	7,610	10,027
5	SHS	NVR INC COM	5,089	8,215
97	SHS	TEMPUR SEALY INTL INC COM	4,629	6,835
44	SHS	UNITED RENTALS INC COM	2,988	3,182
84	SHS	ADIDAS AG SPONSORED ADR GERMANY	2,894	4,102
366	SHS	DANONE SPONSORED ADR FRANCE	4,476	4,952
34	SHS	DIAGEO PLC SPONSORED ADR NEW UNITED KINGDOM	4,474	3,708
68	SHS	MEDTRONIC PLC COM IRELAND	5,233	5,231
		ACCRUED INCOME		26
59	SHS	NOVARTIS A G SPONSORED ADR SWITZERLAND	4,810	5,076
57	SHS	ALIBABA GROUP HLDG LTD ADS CAYMAN ISLANDS	4,435	4,632
51	SHS	AMERICAN TOWER CORP COM	4,724	4,944
		ACCRUED INCOME		25
70,000	SHS	GOLDMAN SACHS GROUP INC MEDIUM TERM NYS FXD RATE FLTG RT NTS SER D 2.008% 11/29/23 B/E DTD 11/29/13	71,356	70,622
70,000	SHS	GOLDMAN SACHS GROUP INC FLTG RT NTS 1.476% 04/23/20 B/E DTD 01/23/15	70,833	70,104
70,000	SHS	JP MORGAN CHASE & CO FLOATING RT NTS 1.271% 01/23/20 B/E DTD 01/23/15	70,425	70,057
71,000	SHS	WELLS FARGO & CO NEW MEDIUM TERM SR NTS FLTG RT NTS SER N 1.200% 07/22/20 B/E DTD 07/22/15	71,307	70,741
8,282	SHS	BLACKROCK FLOATING RATE INCOME	85,146	81,989
72	SHS	BLACKROCK FLOATING RATE INCOME	746	714
63	SHS	BLACKROCK FLOATING RATE INCOME	650	629
135	SHS	BLACKROCK FLOATING RATE INCOME	1,374	1,336
9,125	SHS	BROOKFIELD GLOBAL LISTED REAL ESTATE FUND CLASS Y	117,646	115,249
234	SHS	BROOKFIELD GLOBAL LISTED REAL ESTATE FUND CLASS Y	2,923	2,962
20,833	SHS	GOLDMAN SACHS STRATEGIC INCOME FUND CLASS I	209,397	200,413
590	SHS	GOLDMAN SACHS STRATEGIC INCOME FUND CLASS I	5,768	5,676
8,667	SHS	PIMCO INVESTMENT GRADE CORPORATE BOND FUND INST CLASS	90,582	85,976
43	SHS	PIMCO INVESTMENT GRADE CORPORATE BOND FUND INST CLASS	452	429
5,568	SHS	PIMCO INVESTMENT GRADE CORPORATE BOND FUND INST CLASS	57,821	55,235

THE HAVEN FOUNDATION
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485	SHS	PIMCO INVESTMENT GRADE CORPORATE BOND FUND INST CLASS	4,871	4,807
880	SHS	BARCLAYS BK PLC IPATH S&P 500 VIX SHORT TERM FUTURES ETN NEW 2013	19,161	17,288
791	SHS	FACTORSHARES TR PUREFUNDS ISE CYBER SEC ETF	25,044	20,487
500	SHS	ISHARES TR CORE U S CR BD ETF	54,088	53,355
100	SHS	ISHARES TR CORE U S CR BD ETF	10,818	10,871
99	SHS	ISHARES TR CORE U S CR BD ETF	10,708	10,584
7	SHS	ISHARES TR CORE U S CR BD ETF	757	747
570	SHS	ISHARES TR 1-3 YR CR BD ETF	59,849	59,822
1,200	SHS	ISHARES MSCI UNITED KINGDOM ETF	22,457	19,388
2,329	SHS	ISHARES MSCI UNITED KINGDOM ETF	43,578	37,590
200	SHS	MARKET VECTORS ETF TR GOLD MINERS ETF FD	3,634	2,744
1,044	SHS	MARKET VECTORS ETF TR GOLD MINERS ETF FD	18,963	14,324
850	SHS	PIMCO ETF TR TOTAL RETURN ACTIVE ETF	90,781	88,587
541	SHS	PIMCO ETF TR TOTAL RETURN ACTIVE ETF	57,821	56,383
1,081	SHS	PROSHARES TR ULTRAPRO SHORT S&P500 NEW JAN 2014	38,545	34,279
503	SHS	SPDR S&P 500 ETF TR TR UNIT	104,920	102,547
46	SHS	SPDR S&P 500 ETF TR TR UNIT	9,775	9,378
931	SHS	SPDR GOLD TR GOLD SHS	104,999	94,459
1,580	SHS	SELECT SECTOR SPDR TR FINANCIAL	39,030	37,651
928	SHS	SELECT SECTOR SPDR TR TECHNOLOGY	39,005	39,746
139	SHS	WISDOMTREE TR JAPAN HEDGED EQUITY FD	8,032	6,981
181	SHS	WISDOMTREE TR JAPAN HEDGED EQUITY FD	9,304	8,063
1,414	SHS	WISDOMTREE TR JAPAN HEDGED EQUITY FD	81,703	70,813
1,712	SHS	WISDOMTREE TR EUROPE HEDGED EQUITY FD	108,206	92,123
7,500	UTS	KCPS DIVERSIFIED ALTERNATIVE K-1	735,823	737,834
		ROUNDING DISCREPANCY		(35)
		GRAND TOTAL	11,513,425	11,371,865

FORM 990-PF	PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS	STATEMENT	9
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NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
STEPHEN KING C/O MARKS PANETH LLP, 685 THIRD AVE. 5TH FL NEW YORK, NY 10017	DIRECTOR 1.00	0.	0.	0.
SUSAN GREENE C/O MARKS PANETH LLP, 685 THIRD AVE. 5TH FL NEW YORK, NY 10017	TREAS/ASST SECR/DIR 1.00	0.	0.	0.
MARK LEVENFUS C/O MARKS PANETH LLP, 685 THIRD AVE. 5TH FL NEW YORK, NY 10017	SECRETARY/ASST TREAS 1.00	0.	0.	0.
FREDRIC M. SANDERS C/O MARKS PANETH LLP, 685 THIRD AVE. 5TH FL NEW YORK, NY 10017	PRESIDENT 1.00	0.	0.	0.
ARTHUR B. GREENE C/O MARKS PANETH LLP, 685 THIRD AVE. 5TH FL NEW YORK, NY 10017	DIRECTOR 1.00	0.	0.	0.
DAVID RAPKIN C/O MARKS PANETH LLP, 685 THIRD AVE. 5TH FL NEW YORK, NY 10017	DIRECTOR 1.00	0.	0.	0.
JOHN MCELROY C/O MARKS PANETH LLP, 685 THIRD AVE. 5TH FL NEW YORK, NY 10017	DIRECTOR 1.00	0.	0.	0.
MARSHA DEFILIPPO C/O MARKS PANETH LLP, 685 THIRD AVE. 5TH FL NEW YORK, NY 10017	DIRECTOR 1.00	0.	0.	0.
CHUCK VERRILL C/O MARKS PANETH LLP, 685 THIRD AVE. 5TH FL NEW YORK, NY 10017	DIRECTOR 1.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

STATEMENT 9

[Home](#) [About](#) [Guidelines](#) [Application](#) [FAQ](#) [Links](#)[Contact Us](#)

Guidelines for Assistance

The mission of The Haven Foundation is to strengthen and sustain the careers of freelance professional writers, artists and others connected with the entertainment industry across the United States ("qualified persons"). The Foundation accomplishes its mission through direct financial assistance to qualified persons, including financial support.

What The Haven Foundation Offers

Financial Support

Please see "Criteria for Assistance" for information on how The Foundation determines the level of financial assistance for each applicant. Receipt of a completed Confidential Application for Assistance is required before The Foundation will consider a request for financial assistance.

Chronic Illness or Injury Grant

In situations where a qualified person is dealing with a health crisis due to a recent chronic illness or chronic condition due to a recent injury or illness and where there is a question about returning to work. The Foundation's Grant Committee will determine whether a grant offer will be made. Grants are for one year and may be renewed for up to four more years, provided that the recipient submits a supplemental application annually, and that such supplemental application is approved by the Grant Committee. Renewal grants may not be for the same amount as the original grant. The amount of the renewal is to be determined by the Grant Committee.

Eligibility for Assistance

In order to be eligible for assistance an applicant must be:

- **A freelance qualified person.** The Foundation defines the group to include all persons connected with the artistic or entertainment industries including, but not limited to authors, actors, singers, dancers, directors, producers, choreographers, musicians, artists, screenwriters, technical support professionals or other performers. The Foundation considers the following media categories as part of the entertainment industry: books (including audio books), movies, music, theatre, dance and television.
- **A professional.** An individual who is committed to his/her industry or work, who has derived at least 1/3 (33.3%) of his/her income over the past three (3) years from his/her personal production, performance or other work in the industry.
- All work must be done by the qualified person; all work must be done in the United States. A qualified person that makes a portion of his/her income in a related activity such as teaching classes relevant to his/her field may also be eligible for support.
- **Experiencing a specific career-threatening emergency.** The qualified person must have experienced a recent, unforeseen emergency or triggering event that has significantly and adversely affected the qualified person's ability to produce, perform and/or market his/her

work and, thus, creates the need for immediate relief funds and/or assistance. The Foundation is not able to assist in situations of financial need that result solely from lack of employment, poor sales and/or poor business practices.

- **Legal US resident.**

Criteria for Assistance

All applicants must meet the basic eligibility requirements described above and submit completed applications with all required supporting documents in order to have their applications reviewed.

Application Procedure

Applicants must fill out and mail the application form with all requested information. **Incomplete applications cannot be considered.**

The completed, signed and dated application and supplementary materials should be sent to The Foundation's office. If the application package is deemed complete by The Foundation's staff it will be submitted to The Foundation's Grant Committee for consideration. The Foundation will make every effort to expedite the decision-making process so that we can respond to applicants quickly. If the application is not complete, the applicant will be notified.

The Foundation does not discriminate against applicants based on sex, race, religion, color, age, national origin, disability or sexual orientation.

Approval, Disbursement of Funds & Reporting

Once the application has been reviewed, the applicant will be notified.

The Foundation requests that beneficiaries provide periodic reports concerning their recovery from the emergency. **These may be requested on an annual basis for up to five years after The Foundation's assistance.**

We will provide beneficiaries with advance notice of any deadlines and reporting requirements.

Grants are not transferable.

Confidentiality

All information provided by applicants remains confidential, unless the applicant has deemed otherwise.

Application Checklist

Please complete the checklist below to make sure that all required materials have been included in your application package. Note that an application is not complete without the items listed below. If the situation has caused some of these items to be unavailable, please include an explanation with the application. This checklist is solely for your own use. It does not need to be included in your application package.

- ☐ Completed and Signed Application.
- ☐ Resume.
- ☐ Supporting Documentation:
 - ☐ Medical records/bills, police reports, etc.
 - ☐ Documentation of your work (books, articles, color and/or black & white copies, brochures, CD/DVD/VHS, etc.) Electronic versions or web address where your work can be seen is also acceptable. If you would like any materials returned, please include a list of items to be returned and a self-addressed, stamped envelope.
- ☐ IRS 1040 Forms and Schedule C's for the last three years.

Mail completed application to:

Grants

The Haven Foundation

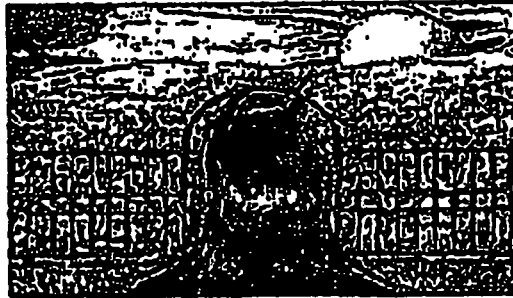
P. O. Box 128

Brewer, ME 04412

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Sample



THE HAVEN FOUNDATION
PO BOX 128
Brewer, Maine 04412

(Name)
(Address)

(Date)

Re: Haven Foundation Grant of _____ a month for six months

Dear _____:

The Haven Foundation is giving you a one-time, non-transferable grant of \$ _____ a month to help with your rent. You will be ineligible to apply for any more funds until _____.

The check will be sent out from our accounting firm in New York as per the current arrangement on a monthly basis.

Please send periodic updates to margaret@thehavenfdn.org.

If you have any questions concerning your grant award, please contact me at The Haven Foundation, PO Box 128, Brewer, Maine 04412.

Sincerely,

Margaret Morehouse RN,
The Haven Foundation Administrator

I have read and understand the terms of this agreement and will comply with the reporting requirements.

Acknowledgment: _____
Signature Date

Sample



THE HAVEN FOUNDATION
PO BOX 128
Brewer, Maine 04412

(Name)
(Address)

(Date)

Re: Haven Foundation Grant of _____ a month for six months

Dear _____:

The Haven Foundation is giving you a one-time, non-transferable grant of \$ _____ a month to help with your rent. You will be ineligible to apply for any more funds until _____.

The check will be sent out from our accounting firm in New York as per the current arrangement on a monthly basis.

Please send periodic updates to margaret@thehavenfdn.org.

If you have any questions concerning your grant award, please contact me at The Haven Foundation, PO Box 128, Brewer, Maine 04412.

Sincerely,

Margaret Morehouse RN,
The Haven Foundation Administrator

I have read and understand the terms of this agreement and will comply with the reporting requirements.

Acknowledgment: _____
Signature Date

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION
PART XV, LINES 2A THROUGH 2D

STATEMENT 10

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

MARGARET MOREHOUSE
MARKS PANETH LLP, 685 THIRD AVE
NEW YORK, NY 10017

TELEPHONE NUMBER

212-503-8800

FORM AND CONTENT OF APPLICATIONS

GENERAL REQUEST GIVING DETAIL AS TO STATUS OF GRANTEE AND PURPOSE OF GRANT
OR GIFT

ANY SUBMISSION DEADLINES

APPLIC ARE ACCEP AT ANY TIME. NOTICE OF APPROVAL, REJECTION OR REQUEST FOR
ADD'L INFO. SENT IN 2 MOS

RESTRICTIONS AND LIMITATIONS ON AWARDS

NO RESTRICTIONS AS TO GEOGRAPHICAL AREAS, CHARITABLE FIELD & EDUCATIONAL