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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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▶ Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2015

Open to Public Inspection

For calendar year 2015, or tax year beginning 01-01-2015, and ending 12-31-2015

Name of foundation The Lucey Family Foundation Inc		A Employer identification number 20-3863497	
% Foundation Source		B Telephone number (see instructions) (800) 839-1754	
Number and street (or P O box number if mail is not delivered to street address) Foundation Source 501 Silverside Rd		Room/suite	
City or town, state or province, country, and ZIP or foreign postal code Wilmington, DE 198091377		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 655,896		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</i>		Revenue and expenses per books (a)	Net investment income (b)	Adjusted net income (c)	Disbursements for charitable purposes (d) (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	202,336			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	10	10		
	4 Dividends and interest from securities	21,911	21,911		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	9,178			
	b Gross sales price for all assets on line 6a 503,517				
	7 Capital gain net income (from Part IV, line 2)		74,032		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	240	240		
	12 Total. Add lines 1 through 11	233,675	96,193		
	13 Compensation of officers, directors, trustees, etc	0			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule).				
	b Accounting fees (attach schedule).				
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	739			
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings.				
	22 Printing and publications				
	23 Other expenses (attach schedule).	7,287			7,287
	24 Total operating and administrative expenses. Add lines 13 through 23	8,026	0		7,287
	25 Contributions, gifts, grants paid	164,400			164,400
	26 Total expenses and disbursements. Add lines 24 and 25	172,426	0		171,687
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	61,249			
	b Net investment income (if negative, enter -0-)		96,193		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	9,749	86,228	86,228
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions).			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	434,003	427,022	410,981
	c	Investments—corporate bonds (attach schedule)	172,099	172,099	158,687
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans.			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets(to be completed by all filers—see the instructions Also, see page 1, item I)	615,851	685,349	655,896	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule).			
	22	Other liabilities (describe ▶ _____)	1,722	9,971	
	23	Total liabilities(add lines 17 through 22)	1,722	9,971	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	614,129	675,378	
	30	Total net assets or fund balances(see instructions)	614,129	675,378	
	31	Total liabilities and net assets/fund balances(see instructions)	615,851	685,349	

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	614,129
2	Enter amount from Part I, line 27a	2	61,249
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3	4	675,378
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	675,378

Part IV

Capital Gains and Losses for Tax on Investment Income

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)		How acquired P—Purchase (b) D—Donation	Date acquired (c) (mo , day, yr)	Date sold (d) (mo , day, yr)
1 a	Publicly-traded Securities			
b				
c				
d				
e				

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
a 503,517		429,485	74,032
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
a			74,032
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	74,032
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 }		3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)
If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2014	175,748	344,060	0 510806
2013	319,428	251,588	1 269647
2012	5,689	194,225	0 029291
2011	15,879	223,871	0 070929
2010	31,749	243,976	0 130132

2	Total of line 1, column (d).	2	2 010805
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 402161
4	Enter the net value of noncharitable-use assets for 2015 from Part X, line 5.	4	620,953
5	Multiply line 4 by line 3.	5	249,723
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	962
7	Add lines 5 and 6.	7	250,685
8	Enter qualifying distributions from Part XII, line 4.	8	171,687

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See
the Part VI instructions

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a

Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1
Date of ruling or determination letter _____
(attach copy of letter if necessary—see instructions)

b

Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b

c

All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)

2

Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

3

Add lines 1 and 2.

4

Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

5

Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-

6

Credits/Payments

a

2015 estimated tax payments and 2014 overpayment credited to 2015

6a

400

b

Exempt foreign organizations—tax withheld at source

6b

c

Tax paid with application for extension of time to file (Form 8868).

6c

1,572

d

Backup withholding erroneously withheld

6d

7

Total credits and payments. Add lines 6a through 6d.

8

Enter any **penalty** for underpayment of estimated tax. Check here ☒ if Form 2220 is attached

9

Tax due. If the total of lines 5 and 8 is more than line 7, enter **amount owed**

10

Overpayment. If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid**.

11

Enter the amount of line 10 to be **Credited to 2015 estimated tax** 48 **Refunded**

1	1,924
2	
3	1,924
4	
5	1,924
6a	400
6b	
6c	1,572
6d	
7	1,972
8	
9	
10	48
11	

Part VII-AStatements Regarding Activities

1a

During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?

1a

No

b

Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.

1b

No

c

Did the foundation file **Form 1120-POL** for this year?

1c

No

d

Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year
(1) On the foundation \$ _____ (2) On foundation managers \$ _____

e

Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ _____

2

Has the foundation engaged in any activities that have not previously been reported to the IRS?
If "Yes," attach a detailed description of the activities.

2

No

3

Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*

3

No

4a

Did the foundation have unrelated business gross income of \$1,000 or more during the year?

4a

No

b

If "Yes," has it filed a tax return on **Form 990-T** for this year?

4b

5

Was there a liquidation, termination, dissolution, or substantial contraction during the year?
If "Yes," attach the statement required by General Instruction T.

5

Yes

6

Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either
• By language in the governing instrument, or
• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

6

Yes

7

Did the foundation have at least \$5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*

7

Yes

8a

Enter the states to which the foundation reports or with which it is registered (see instructions)
 DE _____

b

If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation.*

8b

Yes

9

Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)?
If "Yes," complete Part XIV

9

No

10

Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*

10

No

Form 990-PF (2015)

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address	13	Yes	
14	The books are in care of Foundation Source Telephone no (800) 839-1754 Located at 501 Silverside Road Suite 123 Wilmington DE ZIP+4 198091377			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year.	15		
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country	16	Yes	No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here.	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? If "Yes," list the years 20 , 20 , 20 , 20			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20 , 20 , 20 , 20			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?			
b	If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a

During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A) ? (see instructions).

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

☐ Yes ☒ No

Organizations relying on a current notice regarding disaster assistance check here.

☐

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

☐ Yes ☒ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

☐ Yes ☒ No

If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

☐ Yes ☒ No

5b

6b

No

7b

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
Chnstopher Lucey	VP / Dir	0	0	0
Foundation Source 501 Silverside Rd Wilmington, DE 198091377	1 0			
Donald F Lucey	Pres / Dir / Sec	0	0	0
Foundation Source 501 Silverside Rd Wilmington, DE 198091377	1 0			
James Lucey	VP / Dir	0	0	0
Foundation Source 501 Silverside Rd Wilmington, DE 198091377	1 0			
Marianne Lucey	VP / Dir	0	0	0
Foundation Source 501 Silverside Rd Wilmington, DE 198091377	1 0			

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	Contributions to employee benefit plans and deferred compensation (d)	Expense account, (e) other allowances

Total number of other employees paid over \$50,000.

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation

Total number of others receiving over \$50,000 for professional services. ▶

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3. ▶	

Part X

Minimum Investment Return

(All domestic foundations must complete this part. Foreign foundations,see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	584,409
b	Average of monthly cash balances.	1b	46,000
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	630,409
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	630,409
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	9,456
5	Net value of noncharitable-use assets.Subtract line 4 from line 3 Enter here and on Part V, line 4	5	620,953
6	Minimum investment return.Enter 5% of line 5.	6	31,048

Part XI

Distributable Amount

(see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☒ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	31,048
2a	Tax on investment income for 2015 from Part VI, line 5.	2a	1,924
b	Income tax for 2015 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	1,924
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	29,124
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	29,124
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amountas adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	29,124

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	171,687
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions.Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	171,687
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	
6	Adjusted qualifying distributions.Subtract line 5 from line 4.	6	171,687

Note:The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				29,124
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only.				
b Total for prior years 2013 , 2012 , 2011				
3 Excess distributions carryover, if any, to 2015				
a From 2010.	20,296			
b From 2011.	5,137			
c From 2012.				
d From 2013.	307,697			
e From 2014.	159,223			
f Total of lines 3a through e.	492,353			
4 Qualifying distributions for 2015 from Part XII, line 4 ▶ \$ 171,687				
a Applied to 2014, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2015 distributable amount.				29,124
e Remaining amount distributed out of corpus	142,563			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	634,916			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions). . .	20,296			
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a	614,620			
10 Analysis of line 9				
a Excess from 2011.	5,137			
b Excess from 2012.				
c Excess from 2013.	307,697			
d Excess from 2014.	159,223			
e Excess from 2015.	142,563			

Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling. . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section 170(c)(2)(B)(i) or 170(c)(2)(B)(ii) ☐ 170(c)(2)(B)(i) or ☐ 170(c)(2)(B)(ii)

	Tax year	Prior 3 years			(e) Total
	(a) 2015	(b) 2014	(c) 2013	(d) 2012	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

See Additional Data Table

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

• Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information(continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	164,400
b Approved for future payment				
Total			3b	


Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount		
1 Program service revenue						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments.						
3 Interest on savings and temporary cash investments			14	10		
4 Dividends and interest from securities.			14	21,911		
5 Net rental income or (loss) from real estate						
a Debt-financed property.						
b Not debt-financed property.						
6 Net rental income or (loss) from personal property						
7 Other investment income.						
8 Gain or (loss) from sales of assets other than inventory			18	9,178		
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue a <u>BANK FEE REVERSAL</u>			01	240		
b _____						
c _____						
d _____						
e _____						
12 Subtotal Add columns (b), (d), and (e).				31,339		
13 Total. Add line 12, columns (b), (d), and (e).						
(See worksheet in line 13 instructions to verify calculations)						

[illegible]

Form 990PF Part XV Line 1a - List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000).

Donald F Lucey
Marianne Lucey

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
BOSTON COLLEGE TRUSTEES 140 COMMONWEALTH AVE CHESTNUT HILL, MA 02467	N/A	PC	The Lucey Family Scholarship Fund	100,000
BOSTON COLLEGE TRUSTEES 140 COMMONWEALTH AVE CHESTNUT HILL, MA 02467	N/A	PC	The Mackin-McIntyre Irish Studies Scholarship Fund	10,000
BRUNSWICK SCHOOL INC 100 MAHER AVE GREENWICH, CT 06830	N/A	PC	General & Unrestricted	42,500
CONNECTICUT FUND FOR THE ENVIRONMENT INC 142 TEMPLE ST 3RD FL NEW HAVEN, CT 06510	N/A	PC	General & Unrestricted	500
DAILY ORANGE CORPORATION 744 OSTROM AVE SYRACUSE, NY 13210	N/A	PC	Sports Travel Fund	500
DOCTORS WITHOUT BORDERS USA INC 333 7TH AVE 2ND FL NEW YORK, NY 10001	N/A	PC	General & Unrestricted	1,000
FRIENDS OF NATHANIEL WITHERELL INC 70 PARSONAGE RD GREENWICH, CT 06830	N/A	PC	General & Unrestricted	500
GREENWICH EMERGENCY MEDICAL SERVICE INCORPORATED 1111 E PUTNAM AVE RIVERSIDE, CT 06878	N/A	PC	General & Unrestricted	500
GREENWICH GREEN AND CLEAN INC 113 PEMBERWICK RD GREENWICH, CT 06831	N/A	PC	General & Unrestricted	200
GREENWICH TEEN CENTER INC PO BOX 1339 GREENWICH, CT 06836	N/A	PC	General & Unrestricted	200
HOME FOR AGED OF LITTLE SISTERS OF POOR OF NYC 2999 SCHURZ AVE BRONX, NY 10465	N/A	PC	General & Unrestricted	500
HOMELESS SOLUTIONS INC 6 DUMONT PL STE 3 MORRISTOWN, NJ 07960	N/A	PC	General & Unrestricted	2,500
HOSPITAL FOR SPECIAL SURGERY FUND INC 535 E 70TH ST NEW YORK, NY 10021	N/A	PC	General & Unrestricted	1,000
MEALS-ON-WHEELS INC OF GREENWICH CONNECTICUT 89 MAPLE AVE GREENWICH, CT 06830	N/A	PC	General & Unrestricted	500
NEIGHBOR TO NEIGHBOR INC 248 E PUTNAM AVE GREENWICH, CT 06830	N/A	PC	General & Unrestricted	500
Total  3a				164,400

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ST MICHAEL THE ARCHANGEL PARISH 469 N ST GREENWICH,CT 06830	N/A	PC	General & Unrestricted	500
SYRACUSE UNIVERSITY 820 COMSTOCK AVE STE 100 SYRACUSE,NY 13244	N/A	PC	CitrusTV Program	500
THAT MAN MAY SEE INC 10 KORET WAY BOX 0352 SAN FRANCISCO,CA 94143	N/A	PC	General & Unrestricted	500
THE GREENWICH LAND TRUST INC 370 ROUND HILL RD GREENWICH,CT 06831	N/A	PC	General & Unrestricted	500
TRINITY CHURCH 15 SHERWOOD PL GREENWICH,CT 06830	N/A	PC	General & Unrestricted	500
WATERSIDE SCHOOL INC 770 PACIFIC ST STAMFORD,CT 06902	N/A	PC	General & Unrestricted	1,000
Total ▶ 3a				164,400

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2015 Depreciation Schedule

Name: The Lucey Family Foundation Inc

EIN: 20-3863497

TY 2015 Investments Corporate Bonds Schedule

Name: The Lucey Family Foundation Inc

EIN: 20-3863497

Name of Bond	End of Year Book Value	End of Year Fair Market Value
ENBRIDGE ENERGY - 9.875% - 03/	65,549	56,937
MS D W DISC SRMTNS - 3.000% -	106,550	101,750

TY 2015 Investments Corporate Stock Schedule**Name:** The Lucey Family Foundation Inc**EIN:** 20-3863497

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ANNALY CAPITAL MANAGEMENT INC	17,666	16,212
APPLE INC	56,675	52,630
EXXON MOBIL CORP	68,302	56,514
FORD MOTOR COMPANY	32,729	28,180
GOLDMAN SACHS GROUP	93,050	90,114
MICROSOFT CORP	47,912	61,028
POWERSHARES FINANCIAL PREFERRE	19,782	21,655
UNITED TECHNOLOGIES CORP	45,974	38,428
VERIZON COMMUNICATIONS	44,932	46,220

TY 2015 LiquidationExplanationStmt

Name: The Lucey Family Foundation Inc

EIN: 20-3863497

Statement: This statement is submitted to report the distribution of certain assets during the year. The distributions resulted in a substantial contraction of assets. The following information is submitted in accordance with Treasury Regulation Section 1.6043-3(a)(1) and the Form 990-PF instructions: During the taxable year ending December 31, 2015, the Foundation made distributions from assets from sources other than current income. Collectively, the distributions in excess of current income totaled \$164,400. This amount represents over 25% of the Foundation's net assets of \$598,393 (as measured by fair market value) at the beginning of the Foundation's taxable year ending December 31, 2015. Although the Foundation technically experienced a substantial contraction, it will continue in existence and has no plans for dissolution. The Foundation made distributions of cash to the grantees listed in the attachment to Part XV, Line 3a; each such grant was made solely for the charitable purpose specified therein.

TY 2015 Other Expenses Schedule**Name:** The Lucey Family Foundation Inc**EIN:** 20-3863497

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Administrative Fees	7,262			7,262
STATE OR LOCAL FILING FEES	25			25

TY 2015 Other Income Schedule

Name: The Lucey Family Foundation Inc

EIN: 20-3863497

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
Bank Fee Reversal	240	240	

TY 2015 Other Liabilities Schedule

Name: The Lucey Family Foundation Inc

EIN: 20-3863497

Description	Beginning of Year - Book Value	End of Year - Book Value
OUTSTANDING OPTIONS	1,722	9,971

TY 2015 Taxes Schedule

Name: The Lucey Family Foundation Inc

EIN: 20-3863497

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
990-PF Estimated Tax for 2015	400			
990-PF Extension for 2014	339			

Schedule B (Form 990, 990-EZ, or 990-PF) Department of the Treasury Internal Revenue Service	Schedule of Contributors ▶ Attach to Form 990, 990-EZ, or 990-PF. ▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at <u>www.irs.gov/form990</u>.	OMB No 1545-0047
		2015

Name of the organization The Lucey Family Foundation Inc	Employer identification number 20-3863497
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Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	<input type="checkbox"/> 501(c)() (enter number) organization
	<input type="checkbox"/> 4947(a)(1) nonexempt charitable trust not treated as a private foundation
	<input type="checkbox"/> 527 political organization
Form 990-PF	<input checked="" type="checkbox"/> 501(c)(3) exempt private foundation
	<input type="checkbox"/> 4947(a)(1) nonexempt charitable trust treated as a private foundation
	<input type="checkbox"/> 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than \$1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer “No” on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization The Lucey Family Foundation Inc	Employer identification number 20-3863497
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Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed			
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1			Person <input checked="" type="checkbox"/>
	Lucey Donald F 7 Meadow Lane	\$ 202,336	Payroll <input type="checkbox"/>
	Greenwich, CT 06831		Noncash <input checked="" type="checkbox"/> <small>(Complete Part II for noncash contributions)</small>
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
			Person <input type="checkbox"/>
		\$	Payroll <input type="checkbox"/>
			Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions)</small>
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
			Person <input type="checkbox"/>
		\$	Payroll <input type="checkbox"/>
			Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions)</small>
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
			Person <input type="checkbox"/>
		\$	Payroll <input type="checkbox"/>
			Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions)</small>
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
			Person <input type="checkbox"/>
		\$	Payroll <input type="checkbox"/>
			Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions)</small>
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
			Person <input type="checkbox"/>
		\$	Payroll <input type="checkbox"/>
			Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions)</small>
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
			Person <input type="checkbox"/>
		\$	Payroll <input type="checkbox"/>
			Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions)</small>

Name of organization The Lucey Family Foundation Inc	Employer identification number 20-3863497
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Part II	Noncash Property (see Instructions) Use duplicate copies of Part II if additional space is needed
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(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	See Additional Data Table	\$ _____	_____
(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____		\$ _____	_____
(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____		\$ _____	_____
(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____		\$ _____	_____
(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____		\$ _____	_____
(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____		\$ _____	_____
(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____		\$ _____	_____

Name of organization The Lucey Family Foundation Inc	Employer identification number 20-3863497
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Part III	Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of <i>exclusively</i> religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$ _____ Use duplicate copies of Part III if additional space is needed
-----------------	---

(a) No.from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
-	_____	_____	
	_____	_____	
	---	_____	
	_____	_____	
(a) No.from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
-	_____	_____	
	_____	_____	
	---	_____	
	_____	_____	
(a) No.from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
-	_____	_____	
	_____	_____	
	---	_____	
	_____	_____	
(a) No.from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
-	_____	_____	
	_____	_____	
	---	_____	
	_____	_____	

Additional Data

Software ID:
Software Version:
EIN: 20-3863497
Name: The Lucey Family Foundation Inc

Form 990 Schedule B, Part II - Noncash Property (see Instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
<u>1</u>	CDK GLOBAL INC CDK, 1 000000000 sh	<u>\$ 47</u>	<u>2015-12-03</u>
<u>1</u>	ABBVIE INC ABBV, 25 000000000 sh	<u>\$ 1,591</u>	<u>2015-11-09</u>
<u>1</u>	ABBVIE INC ABBV, 25 000000000 sh	<u>\$ 1,591</u>	<u>2015-11-09</u>
<u>1</u>	ABBOTT LABS ABT, 20 000000000 sh	<u>\$ 903</u>	<u>2015-11-09</u>
<u>1</u>	ABBOTT LABS ABT, 25 000000000 sh	<u>\$ 1,129</u>	<u>2015-11-09</u>
<u>1</u>	ACCENTURE PLC ACN, 5 000000000 sh	<u>\$ 526</u>	<u>2015-11-09</u>
<u>1</u>	ACCENTURE PLC ACN, 10 000000000 sh	<u>\$ 1,051</u>	<u>2015-11-09</u>
<u>1</u>	ADOBE SYSTEMS, INC ADBE, 5 000000000 sh	<u>\$ 449</u>	<u>2015-11-09</u>
<u>1</u>	ADOBE SYSTEMS, INC ADBE, 10 000000000 sh	<u>\$ 897</u>	<u>2015-11-09</u>
<u>1</u>	ANALOG DEVICES INC ADI, 5 000000000 sh	<u>\$ 305</u>	<u>2015-11-09</u>

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
<u>1</u>	ANALOG DEVICES INC ADI, 15 00000000 sh	<u>\$ 916</u>	<u>2015-11-09</u>
<u>1</u>	AUTOMATIC DATA PROCESSING INC ADP, 5 00000000 sh	<u>\$ 434</u>	<u>2015-11-09</u>
<u>1</u>	AUTOMATIC DATA PROCESSING INC ADP, 10 00000000 sh	<u>\$ 867</u>	<u>2015-11-09</u>
<u>1</u>	AMERICAN ELECTRIC POWER INC AEP, 5 00000000 sh	<u>\$ 270</u>	<u>2015-11-09</u>
<u>1</u>	AMERICAN ELECTRIC POWER INC AEP, 10 00000000 sh	<u>\$ 541</u>	<u>2015-11-09</u>
<u>1</u>	AETNA INC AET, 15 00000000 sh	<u>\$ 1,603</u>	<u>2015-11-09</u>
<u>1</u>	ALEXION PHARMACEUTICALS, INC ALXN, 5 00000000 sh	<u>\$ 860</u>	<u>2015-11-09</u>
<u>1</u>	AMGEN INC AMGN, 10 00000000 sh	<u>\$ 1,595</u>	<u>2015-11-09</u>
<u>1</u>	AMGEN INC AMGN, 15 00000000 sh	<u>\$ 2,392</u>	<u>2015-11-09</u>
<u>1</u>	AMAZON COM AMZN, 10 00000000 sh	<u>\$ 6,549</u>	<u>2015-11-09</u>

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
<u>1</u>	ANTHEM INC ANTM, 10 00000000 sh	<u>\$ 1,331</u>	<u>2015-11-09</u>
<u>1</u>	AVAGO TECHNOLOGIES LIMITED AVGO-OLD, 5 00000000 sh	<u>\$ 637</u>	<u>2015-11-09</u>
<u>1</u>	AVAGO TECHNOLOGIES LIMITED AVGO-OLD, 5 00000000 sh	<u>\$ 637</u>	<u>2015-11-09</u>
<u>1</u>	BOEING CO BA, 10 00000000 sh	<u>\$ 1,463</u>	<u>2015-11-09</u>
<u>1</u>	BARD C R INC BCR, 5 00000000 sh	<u>\$ 925</u>	<u>2015-11-09</u>
<u>1</u>	BECTON DICKINSON & CO BDX, 5 00000000 sh	<u>\$ 760</u>	<u>2015-11-09</u>
<u>1</u>	BECTON DICKINSON & CO BDX, 10 00000000 sh	<u>\$ 1,520</u>	<u>2015-11-09</u>
<u>1</u>	BEMIS INC BMS, 15 00000000 sh	<u>\$ 683</u>	<u>2015-11-09</u>
<u>1</u>	BRISTOL-MYERS SQUIBB CO BMY, 25 00000000 sh	<u>\$ 1,625</u>	<u>2015-11-09</u>
<u>1</u>	BRISTOL-MYERS SQUIBB CO BMY, 25 00000000 sh	<u>\$ 1,625</u>	<u>2015-11-09</u>

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
<u>1</u>	BROADCOM CORPORATION BRCM, 10 00000000 sh	<u>\$ 532</u>	<u>2015-11-09</u>
<u>1</u>	BROADCOM CORPORATION BRCM, 10 00000000 sh	<u>\$ 532</u>	<u>2015-11-09</u>
<u>1</u>	BOSTON SCIENTIFIC BSX, 40 00000000 sh	<u>\$ 744</u>	<u>2015-11-09</u>
<u>1</u>	CONAGRA FOODS INC CAG, 15 00000000 sh	<u>\$ 615</u>	<u>2015-11-09</u>
<u>1</u>	CARDINAL HEALTH INC CAH, 5 00000000 sh	<u>\$ 438</u>	<u>2015-11-09</u>
<u>1</u>	CARDINAL HEALTH INC CAH, 5 00000000 sh	<u>\$ 438</u>	<u>2015-11-09</u>
<u>1</u>	CHUBB CORPORATION CB-OLD, 5 00000000 sh	<u>\$ 644</u>	<u>2015-11-09</u>
<u>1</u>	CHUBB CORPORATION CB-OLD, 15 00000000 sh	<u>\$ 1,933</u>	<u>2015-11-09</u>
<u>1</u>	CARNIVAL CORP CCL, 5 00000000 sh	<u>\$ 262</u>	<u>2015-11-09</u>
<u>1</u>	CARNIVAL CORP CCL, 10 00000000 sh	<u>\$ 524</u>	<u>2015-11-09</u>

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
<u>1</u>	CDK GLOBAL INC CDK, 1 00000000 sh	<u>\$ 49</u>	<u>2015-11-09</u>
<u>1</u>	CDK GLOBAL INC CDK, 3 00000000 sh	<u>\$ 147</u>	<u>2015-11-09</u>
<u>1</u>	CELGENE CORP CELG, 15 00000000 sh	<u>\$ 1,719</u>	<u>2015-11-09</u>
<u>1</u>	CELGENE CORP CELG, 30 00000000 sh	<u>\$ 3,439</u>	<u>2015-11-09</u>
<u>1</u>	CERNER CORPORATION CERN, 5 00000000 sh	<u>\$ 298</u>	<u>2015-11-09</u>
<u>1</u>	CERNER CORPORATION CERN, 5 00000000 sh	<u>\$ 298</u>	<u>2015-11-09</u>
<u>1</u>	CIGNA CI, 10 00000000 sh	<u>\$ 1,325</u>	<u>2015-11-09</u>
<u>1</u>	CLOROX CO CLX, 5 00000000 sh	<u>\$ 607</u>	<u>2015-11-09</u>
<u>1</u>	CLOROX CO CLX, 10 00000000 sh	<u>\$ 1,214</u>	<u>2015-11-09</u>
<u>1</u>	COMCAST CORP CMCSA, 35 00000000 sh	<u>\$ 2,135</u>	<u>2015-11-09</u>

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
<u>1</u>	COMCAST CORP CMCSA, 40 00000000 sh	<u>\$ 2,440</u>	<u>2015-11-09</u>
<u>1</u>	CME GROUP, INC CME, 5 00000000 sh	<u>\$ 486</u>	<u>2015-11-09</u>
<u>1</u>	CME GROUP, INC CME, 5 00000000 sh	<u>\$ 486</u>	<u>2015-11-09</u>
<u>1</u>	COSTCO WHOLESALE CORPORATION COST, 5 00000000 sh	<u>\$ 777</u>	<u>2015-11-09</u>
<u>1</u>	COSTCO WHOLESALE CORPORATION COST, 10 00000000 sh	<u>\$ 1,555</u>	<u>2015-11-09</u>
<u>1</u>	SALESFORCE COM CRM, 5 00000000 sh	<u>\$ 391</u>	<u>2015-11-09</u>
<u>1</u>	SALESFORCE COM CRM, 10 00000000 sh	<u>\$ 782</u>	<u>2015-11-09</u>
<u>1</u>	CISCO SYSTEMS INC CSCO, 70 00000000 sh	<u>\$ 1,977</u>	<u>2015-11-09</u>
<u>1</u>	CISCO SYSTEMS INC CSCO, 75 00000000 sh	<u>\$ 2,118</u>	<u>2015-11-09</u>
<u>1</u>	COGNIZANT TECHNOLOGY SOLUTIONS CORP CTSH, 10 00000000 sh	<u>\$ 681</u>	<u>2015-11-09</u>

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
<u>1</u>	COGNIZANT TECHNOLOGY SOLUTIONS CORP CTSH, 10 00000000 sh	<u>\$ 681</u>	<u>2015-11-09</u>
<u>1</u>	CVS CAREMARK CORP CVS, 15 00000000 sh	<u>\$ 1,465</u>	<u>2015-11-09</u>
<u>1</u>	CVS CAREMARK CORP CVS, 20 00000000 sh	<u>\$ 1,953</u>	<u>2015-11-09</u>
<u>1</u>	DELTA AIR LINES INC DAL, 10 00000000 sh	<u>\$ 506</u>	<u>2015-11-09</u>
<u>1</u>	DELTA AIR LINES INC DAL, 15 00000000 sh	<u>\$ 759</u>	<u>2015-11-09</u>
<u>1</u>	DOLLAR GENERAL CORP DG, 5 00000000 sh	<u>\$ 336</u>	<u>2015-11-09</u>
<u>1</u>	DOLLAR GENERAL CORP DG, 5 00000000 sh	<u>\$ 336</u>	<u>2015-11-09</u>
<u>1</u>	DANAHER CORP DHR, 15 00000000 sh	<u>\$ 1,431</u>	<u>2015-11-09</u>
<u>1</u>	DANAHER CORP DHR, 15 00000000 sh	<u>\$ 1,431</u>	<u>2015-11-09</u>
<u>1</u>	WALT DISNEY HOLDINGS CO DIS, 20 00000000 sh	<u>\$ 2,319</u>	<u>2015-11-09</u>

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
<u>1</u>	WALT DISNEY HOLDINGS CO DIS, 25 00000000 sh	<u>\$ 2,899</u>	<u>2015-11-09</u>
<u>1</u>	DOLLAR TREE INC DLTR, 10 00000000 sh	<u>\$ 670</u>	<u>2015-11-09</u>
<u>1</u>	DR PEPPER SNAPPLE GROUP, INC DPS, 5 00000000 sh	<u>\$ 430</u>	<u>2015-11-09</u>
<u>1</u>	DR PEPPER SNAPPLE GROUP, INC DPS, 20 00000000 sh	<u>\$ 1,718</u>	<u>2015-11-09</u>
<u>1</u>	DARDEN RESTAURANTS INC DRI, 5 00000000 sh	<u>\$ 304</u>	<u>2015-11-09</u>
<u>1</u>	DARDEN RESTAURANTS INC DRI, 10 00000000 sh	<u>\$ 607</u>	<u>2015-11-09</u>
<u>1</u>	EBAY INC EBAY, 15 00000000 sh	<u>\$ 437</u>	<u>2015-11-09</u>
<u>1</u>	EBAY INC EBAY, 20 00000000 sh	<u>\$ 582</u>	<u>2015-11-09</u>
<u>1</u>	CONSOLIDATED EDISON INC ED, 5 00000000 sh	<u>\$ 309</u>	<u>2015-11-09</u>
<u>1</u>	CONSOLIDATED EDISON INC ED, 5 00000000 sh	<u>\$ 309</u>	<u>2015-11-09</u>

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
<u>1</u>	EQUITY RESIDENTIAL PPTYS TR EQR, 15 00000000 sh	<u>\$ 1,140</u>	<u>2015-11-09</u>
<u>1</u>	EXPRESS SCRIPTS HOLDING CO ESRX, 10 00000000 sh	<u>\$ 884</u>	<u>2015-11-09</u>
<u>1</u>	EXPRESS SCRIPTS HOLDING CO ESRX, 15 00000000 sh	<u>\$ 1,325</u>	<u>2015-11-09</u>
<u>1</u>	EXPEDITORS INTL WASH INC EXPD, 10 00000000 sh	<u>\$ 489</u>	<u>2015-11-09</u>
<u>1</u>	EXPEDIA INC EXPE, 5 00000000 sh	<u>\$ 656</u>	<u>2015-11-09</u>
<u>1</u>	FACEBOOK INC FB, 25 00000000 sh	<u>\$ 2,676</u>	<u>2015-11-09</u>
<u>1</u>	FACEBOOK INC FB, 35 00000000 sh	<u>\$ 3,747</u>	<u>2015-11-09</u>
<u>1</u>	GEN DYNAMICS CP GD, 5 00000000 sh	<u>\$ 723</u>	<u>2015-11-09</u>
<u>1</u>	GEN DYNAMICS CP GD, 5 00000000 sh	<u>\$ 723</u>	<u>2015-11-09</u>
<u>1</u>	GENERAL GROWTH PPTYS INC GGP, 20 00000000 sh	<u>\$ 539</u>	<u>2015-11-09</u>

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
<u>1</u>	GENERAL MILLS INC GIS, 5 00000000 sh	<u>\$ 282</u>	<u>2015-11-09</u>
<u>1</u>	GENERAL MILLS INC GIS, 15 00000000 sh	<u>\$ 845</u>	<u>2015-11-09</u>
<u>1</u>	GOLDMAN SACHS GROUP GS, 15 00000000 sh	<u>\$ 2,972</u>	<u>2015-11-09</u>
<u>1</u>	HOME DEPOT INC HD, 15 00000000 sh	<u>\$ 1,874</u>	<u>2015-11-09</u>
<u>1</u>	HOME DEPOT INC HD, 25 00000000 sh	<u>\$ 3,123</u>	<u>2015-11-09</u>
<u>1</u>	HARTFORD FINANCIALSERVICES GROUP HIG, 5 00000000 sh	<u>\$ 236</u>	<u>2015-11-09</u>
<u>1</u>	HARTFORD FINANCIALSERVICES GROUP HIG, 10 00000000 sh	<u>\$ 472</u>	<u>2015-11-09</u>
<u>1</u>	HONEYWELL INTL HON, 10 00000000 sh	<u>\$ 1,028</u>	<u>2015-11-09</u>
<u>1</u>	HONEYWELL INTL HON, 15 00000000 sh	<u>\$ 1,542</u>	<u>2015-11-09</u>
<u>1</u>	INT'L FLAVORS & FRAGRANCES INC IFF, 5 00000000 sh	<u>\$ 567</u>	<u>2015-11-09</u>

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
<u>1</u>	INT'L FLAVORS & FRAGRANCES INC IFF, 5 00000000 sh	<u>\$ 567</u>	<u>2015-11-09</u>
<u>1</u>	KRAFT HEINZ CO KHC, 5 00000000 sh	<u>\$ 359</u>	<u>2015-11-09</u>
<u>1</u>	KRAFT HEINZ CO KHC, 5 00000000 sh	<u>\$ 359</u>	<u>2015-11-09</u>
<u>1</u>	KRAFT HEINZ CO KHC, 10 00000000 sh	<u>\$ 718</u>	<u>2015-11-09</u>
<u>1</u>	KIMBERLY CLARK CORP KMB, 5 00000000 sh	<u>\$ 590</u>	<u>2015-11-09</u>
<u>1</u>	KIMBERLY CLARK CORP KMB, 5 00000000 sh	<u>\$ 590</u>	<u>2015-11-09</u>
<u>1</u>	CARMAX INC KMX, 5 00000000 sh	<u>\$ 283</u>	<u>2015-11-09</u>
<u>1</u>	CARMAX INC KMX, 10 00000000 sh	<u>\$ 567</u>	<u>2015-11-09</u>
<u>1</u>	KROGER CO KR, 10 00000000 sh	<u>\$ 372</u>	<u>2015-11-09</u>
<u>1</u>	KROGER CO KR, 10 00000000 sh	<u>\$ 372</u>	<u>2015-11-09</u>

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
<u>1</u>	KROGER CO KR, 10 000000000 sh	<u>\$ 372</u>	<u>2015-11-09</u>
<u>1</u>	L BRANDS, INC LB, 10 000000000 sh	<u>\$ 939</u>	<u>2015-11-09</u>
<u>1</u>	LENNAR CORP LEN, 5 000000000 sh	<u>\$ 239</u>	<u>2015-11-09</u>
<u>1</u>	LENNAR CORP LEN, 20 000000000 sh	<u>\$ 958</u>	<u>2015-11-09</u>
<u>1</u>	ELI LILLY & CO LLY, 5 000000000 sh	<u>\$ 397</u>	<u>2015-11-09</u>
<u>1</u>	ELI LILLY & CO LLY, 10 000000000 sh	<u>\$ 794</u>	<u>2015-11-09</u>
<u>1</u>	ELI LILLY & CO LLY, 15 000000000 sh	<u>\$ 1,192</u>	<u>2015-11-09</u>
<u>1</u>	LOWES COMPANIES INC LOW, 10 000000000 sh	<u>\$ 723</u>	<u>2015-11-09</u>
<u>1</u>	LOWES COMPANIES INC LOW, 10 000000000 sh	<u>\$ 723</u>	<u>2015-11-09</u>
<u>1</u>	LOWES COMPANIES INC LOW, 15 000000000 sh	<u>\$ 1,084</u>	<u>2015-11-09</u>

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
<u>1</u>	MASTERCARD INC MA, 10 00000000 sh	<u>\$ 1,005</u>	<u>2015-11-09</u>
<u>1</u>	MASTERCARD INC MA, 15 00000000 sh	<u>\$ 1,507</u>	<u>2015-11-09</u>
<u>1</u>	MACERICH CO MAC, 5 00000000 sh	<u>\$ 392</u>	<u>2015-11-09</u>
<u>1</u>	MONDELEZ INTERNATIONAL INC MDLZ, 5 00000000 sh	<u>\$ 222</u>	<u>2015-11-09</u>
<u>1</u>	MONDELEZ INTERNATIONAL INC MDLZ, 20 00000000 sh	<u>\$ 887</u>	<u>2015-11-09</u>
<u>1</u>	MONDELEZ INTERNATIONAL INC MDLZ, 25 00000000 sh	<u>\$ 1,108</u>	<u>2015-11-09</u>
<u>1</u>	3M CO MMM, 5 00000000 sh	<u>\$ 789</u>	<u>2015-11-09</u>
<u>1</u>	3M CO MMM, 10 00000000 sh	<u>\$ 1,577</u>	<u>2015-11-09</u>
<u>1</u>	ALTRIA GROUP INC MO, 25 00000000 sh	<u>\$ 1,425</u>	<u>2015-11-09</u>
<u>1</u>	ALTRIA GROUP INC MO, 35 00000000 sh	<u>\$ 1,994</u>	<u>2015-11-09</u>

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
<u>1</u>	MICROSOFT CORP MSFT, 115 00000000 sh	<u>\$ 6,235</u>	<u>2015-11-09</u>
<u>1</u>	NEXTERA ENERGY, INC NEE, 5 00000000 sh	<u>\$ 494</u>	<u>2015-11-09</u>
<u>1</u>	NEXTERA ENERGY, INC NEE, 5 00000000 sh	<u>\$ 494</u>	<u>2015-11-09</u>
<u>1</u>	NIKE INC-CL B NKE, 10 00000000 sh	<u>\$ 1,303</u>	<u>2015-11-09</u>
<u>1</u>	NIKE INC-CL B NKE, 15 00000000 sh	<u>\$ 1,955</u>	<u>2015-11-09</u>
<u>1</u>	NORTHROP GRUMMAN CORP NOC, 5 00000000 sh	<u>\$ 913</u>	<u>2015-11-09</u>
<u>1</u>	ORACLE CORP ORCL, 45 00000000 sh	<u>\$ 1,806</u>	<u>2015-11-09</u>
<u>1</u>	ORACLE CORP ORCL, 45 00000000 sh	<u>\$ 1,806</u>	<u>2015-11-09</u>
<u>1</u>	PEPSICO INC PEP, 20 00000000 sh	<u>\$ 1,978</u>	<u>2015-11-09</u>
<u>1</u>	PEPSICO INC PEP, 20 00000000 sh	<u>\$ 1,978</u>	<u>2015-11-09</u>

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
<u>1</u>	PFIZER INC PFE, 85 00000000 sh	<u>\$ 2,858</u>	<u>2015-11-09</u>
<u>1</u>	PFIZER INC PFE, 95 00000000 sh	<u>\$ 3,194</u>	<u>2015-11-09</u>
<u>1</u>	PROGRESSIVE CORP OHIO PGR, 15 00000000 sh	<u>\$ 475</u>	<u>2015-11-09</u>
<u>1</u>	PPG INDUSTRIES INC PPG, 10 00000000 sh	<u>\$ 1,018</u>	<u>2015-11-09</u>
<u>1</u>	PPG INDUSTRIES INC PPG, 20 00000000 sh	<u>\$ 2,035</u>	<u>2015-11-09</u>
<u>1</u>	PUBLIC STORAGE INC PSA, 5 00000000 sh	<u>\$ 1,126</u>	<u>2015-11-09</u>
<u>1</u>	PAYPAL HOLDINGS, INC PYPL, 15 00000000 sh	<u>\$ 543</u>	<u>2015-11-09</u>
<u>1</u>	PAYPAL HOLDINGS, INC PYPL, 20 00000000 sh	<u>\$ 724</u>	<u>2015-11-09</u>
<u>1</u>	REYNOLDS AMERICAN INC RAI, 8 00000000 sh	<u>\$ 356</u>	<u>2015-11-09</u>
<u>1</u>	STARBUCKS CORP COM SBUX, 20 00000000 sh	<u>\$ 1,228</u>	<u>2015-11-09</u>

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
<u>1</u>	STARBUCKS CORP COM SBUX, 20 00000000 sh	<u>\$ 1,228</u>	<u>2015-11-09</u>
<u>1</u>	SEALED AIR CORP SEE, 5 00000000 sh	<u>\$ 236</u>	<u>2015-11-09</u>
<u>1</u>	SNAP ON INC SNA, 5 00000000 sh	<u>\$ 847</u>	<u>2015-11-09</u>
<u>1</u>	SNAP ON INC SNA, 10 00000000 sh	<u>\$ 1,693</u>	<u>2015-11-09</u>
<u>1</u>	STERICYCLE INC SRCL, 5 00000000 sh	<u>\$ 624</u>	<u>2015-11-09</u>
<u>1</u>	STERICYCLE INC SRCL, 10 00000000 sh	<u>\$ 1,249</u>	<u>2015-11-09</u>
<u>1</u>	SUNTRUST BKS INC STI, 5 00000000 sh	<u>\$ 218</u>	<u>2015-11-09</u>
<u>1</u>	SUNTRUST BKS INC STI, 10 00000000 sh	<u>\$ 437</u>	<u>2015-11-09</u>
<u>1</u>	TE CONNECTIVITY LTD TEL, 10 00000000 sh	<u>\$ 661</u>	<u>2015-11-09</u>
<u>1</u>	TARGET CORPORATION TGT, 15 00000000 sh	<u>\$ 1,143</u>	<u>2015-11-09</u>

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
<u>1</u>	TJX COMPANIES INC TJX, 10 00000000 sh	<u>\$ 716</u>	<u>2015-11-09</u>
<u>1</u>	TJX COMPANIES INC TJX, 15 00000000 sh	<u>\$ 1,074</u>	<u>2015-11-09</u>
<u>1</u>	THERMO FISHER SCIENTIFIC INC TMO, 5 00000000 sh	<u>\$ 669</u>	<u>2015-11-09</u>
<u>1</u>	THERMO FISHER SCIENTIFIC INC TMO, 5 00000000 sh	<u>\$ 669</u>	<u>2015-11-09</u>
<u>1</u>	TESORO CORP TSO, 5 00000000 sh	<u>\$ 566</u>	<u>2015-11-09</u>
<u>1</u>	TEXAS INSTRUMENTS INC TXN, 15 00000000 sh	<u>\$ 859</u>	<u>2015-11-09</u>
<u>1</u>	TEXAS INSTRUMENTS INC TXN, 15 00000000 sh	<u>\$ 859</u>	<u>2015-11-09</u>
<u>1</u>	UNITEDHEALTH GROUP INC UNH, 10 00000000 sh	<u>\$ 1,138</u>	<u>2015-11-09</u>
<u>1</u>	UNITEDHEALTH GROUP INC UNH, 15 00000000 sh	<u>\$ 1,707</u>	<u>2015-11-09</u>
<u>1</u>	UNITED PARCEL SERVICE UPS, 5 00000000 sh	<u>\$ 521</u>	<u>2015-11-09</u>

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
<u>1</u>	UNITED PARCEL SERVICE UPS, 15 00000000 sh	<u>\$ 1,562</u>	<u>2015-11-09</u>
<u>1</u>	VISA INC V, 20 00000000 sh	<u>\$ 1,557</u>	<u>2015-11-09</u>
<u>1</u>	VISA INC V, 40 00000000 sh	<u>\$ 3,114</u>	<u>2015-11-09</u>
<u>1</u>	WALGREENS BOOTS ALLIANCE WBA, 10 00000000 sh	<u>\$ 834</u>	<u>2015-11-09</u>
<u>1</u>	WALGREENS BOOTS ALLIANCE WBA, 15 00000000 sh	<u>\$ 1,251</u>	<u>2015-11-09</u>
<u>1</u>	WELLS FARGO & CO WFC, 65 00000000 sh	<u>\$ 3,619</u>	<u>2015-11-09</u>
<u>1</u>	WELLS FARGO & CO WFC, 70 00000000 sh	<u>\$ 3,898</u>	<u>2015-11-09</u>
<u>1</u>	WHIRLPOOL CORP WHR, 5 00000000 sh	<u>\$ 776</u>	<u>2015-11-09</u>
<u>1</u>	ZOETIS INC ZTS, 5 00000000 sh	<u>\$ 228</u>	<u>2015-11-09</u>
<u>1</u>	ZOETIS INC ZTS, 5 00000000 sh	<u>\$ 228</u>	<u>2015-11-09</u>