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Form **990-PF**

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OMB No 1545-0052

Return of Private Foundation or Section 4947(a)(1) Trust Treated as Private Foundation ▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf

| | | of the Treasury enue Service | -▶ Info | ► Do not rmation abo | enter : | social se m 990-PF | curity numbers or and its separate | this form as | it may be ma | de public. s.gov/form990pf | <u> </u> |
|---|-------|--|---|--|----------|--|---------------------------------------|--|---------------------|---|--|
| | _ | | r tax year begini | | <u> </u> | | and 15 departed | | ending | <u> </u> | Open to Public Inspection |
| | | foundation | | | | | - | | | A Employer identification | number |
| TH: | E | CARTER | FAMILY | FOUND | ATI | ON | <u></u> | | | 20-3311749 | |
| | | SPUR C | ox number if mail is r IRCLE | not delivered to | street a | ddress) | | | Room/suite | B Telephone number 763-390-42 | 77 |
| City o | r to | own, state or pro | ovince, country, a | | eign p | ostal cod | e | | | C If exemption application is pe | |
| | | ZATA, MI all that apply: | | <u>-9577</u> return | | | Instal rature of a | lauraa aublia | | | abaata baara |
| G CHE | CK | ан шагарріу. | Final | | | 뭄 | Initial return of a t | ormer public | charity | D 1. Foreign organizations | , check here |
| | | | = | ss change | | Ħ | Name change | | | Foreign organizations mee check here and attach cor | eting the 85% test, |
| H Che | ck | type of organiza | | |)(3) ex | cempt pri | vate foundation | | | E if private foundation stat | |
| | | | nonexempt char | - | | | able private found | ation | | under section 507(b)(1)(| A), check here |
| | | | assets at end of | year J Ac | | ng metho | - | Acc | rual | F If the foundation is in a 8 | |
| (fron ►\$ | n P | Part II, col. (c), | line 16) 2 007 65 | (B) (Down | | ther (spec | nust be on cash | hara l | | under section 507(b)(1)(| B), check here |
| Part | _ | | evenue and Expe | | , colu | | | | nuostmost | (a) Adwated not | (d) Disbursements |
| | | The total of amo | unts in columns (b), i I the amounts in colu | (c), and (d) may | not | expe | Revenue and enses per books | | nvestment ome | (c) Adjusted net income | for charitable purposes (cash basis only) |
| | 1 | Contributions, | gıfts, grants, etc., | received | | | 698,500. | <i>"</i> | ٠, ş, ^{\$} | N/A | |
| | 2 | Check (Interest on savings | If the foundation is not re | quired to attach So | h B | ^ <u> </u> | | | " <i>.h.</i> " | * · ` · · · · · · · · · · · · · · · · · | |
| | 3 | cash investments | | | | <u> </u> | 564. | | 553. | | STATEMENT 1 |
| j | 4 | | interest from seci | urities | | | 26,755. | 4 | 6,407. | | STATEMENT 2 |
| | | Gross rents Net rental income | or (loop) | | | Y. di | . * 4 | 3 | ' & XX. | | |
| en 6 | | | rom sale of assets n | ot on line 10 | | 14. (28) | 19,269. | 33 | | | * * * * |
| E E | | Gross sales price t assets on line 6a | | 56,00 | 7. | * , | *** | N. % | | | |
| V & E | | | come (from Part IV, I | | | ¥ 7 | · • · · · · | 1 | 9,269. | | |
| | 8 | Net short-term_ | capital.gain | | | 14 1 | | | % % | | 4. V. V. |
| | 9 | Income modific | cations REC | ニュマニン | ٦ (| | 4. 1 4. | 18 14 | i. 3 | | |
|) 10 | υa | and allowances | | | 15 | 2 % | <u> </u> | 7, 3 | * *** | <u> </u> | |
| | | Less Cost of good | | <u> </u> | -1, | 7 1 | <u></u> | | <u> </u> | | |
| | | Gross profit or | (1055) | - | 1 | - | 22. | 18 3 | 22. | | STATEMENT 3 |
| CANNED | - | Other income 1 Total. Add lines | 1 through 11 | المارية الماري | ار | | 745,110. | 4 | 6,251. | | STATEMENT 3 |
| لا) ال ا | | | officers, directors, tru | ustees etc | | | 0. | | 0. | | 0. |
| 14 | | | e salaries and wa | | | | | | | | |
| 18 | 5 | Pension plans, | employee benefit | S | | | | | | | |
| တ္တို 16 | 6a | Legal fees | | | | | | | | | |
| Expenses | | Accounting fees | | STMT | | | 3,743. | | 1,872. | | 1,871. |
| | | Other professio | nal fees | STMT | 5 | <u> </u> | 4,622. | | 4,622. | | 0. |
| e 17 | | Interest | | CIMMI | <u>_</u> | | 1 200 | | 308. | | |
| Administrative 12 12 12 12 12 12 12 12 12 12 12 12 12 | | Taxes Depreciation an | ud danlation | STMT | О | | 1,308. | | 300. | | 0. |
| siuu 20 | | Occupancy | iu depietion | | | | | | | | <u> </u> |
| Adr 2 | | | nces, and meeting | os | | | | | | | |
| and | | Printing and pu | - | , - | | | | | | | |
| | 3 | Other expenses | 1 | STMT | 7 | | 25. | | 0. | | 25. |
| ÷ 24 | 4 | Total operating | and administrat | tive | | | | | | | |
| Operating | | • | lines 13 through | 23 | | | 9,698. | | 6,802. | | 1,896. |
| 25 | | | gifts, grants paid | | | | 118,500. | ** ** | `\$* _ % | | 118,500. |
| 26 | | | and disburseme | ents. | | | 128,198. | | 6,802. | [| 120,396. |
| 27 | | Add lines 24 an Subtract line 26 | | | | | 140,190. | | 0,002. | * | 140,330. |
| " | | | over expenses and | dishursemento | | - | 616,912. | * * | (1) F.S. | | |
| | | | income (if negative | | | A 16 | | 3 | 9,449. | , " | , , |
| | С | | come (if negative, | | | | A' N | | | N/A | |
| 523501 11-24-15 | ; | LHA For Par | erwork Reduction | n Act Notice | , see i | instructio | | | | | Form 990-PF (2015) |

| F | art | Balance Sheets Attached schedules and amounts in the description | Beginning of year | End | of year |
|------------------|----------|--|-------------------|----------------|--|
| 느 | | column should be for end-of-year amounts only | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| | 1 | Cash - non-interest-bearing | 55,816. | 131,070 | |
| | 2 | Şavings and temporary cash investments | 79,403. | 119,360 | 119,360. |
| | 3 | Accounts receivable > | | | |
| | | Less: allowance for doubtful accounts | | | |
| | 4 | Pledges receivable ► | | | |
| | | Less: allowance for doubtful accounts | | | |
| | 5 | Grants receivable | | | |
| | 6 | Receivables due from officers, directors, trustees, and other | · | | |
| | _ | disqualified persons | | | |
| | 7 | Other notes and loans receivable | | | |
| | ' | Less: allowance for doubtful accounts | | | |
| | lα | Inventories for sale or use | | | |
| Assets | ٩ | Prepaid expenses and deferred charges | | | |
| Ass | 102 | Investments - U.S. and state government obligations | · | | |
| | ٠٠٠ | Investments - corporate stock | | | |
| | 1 | Investments - corporate bonds | | | |
| | ļ | Investments - land, buildings, and equipment basis | | | |
| | '' | | 3.34.34. | <u> </u> | |
| | ١,, | Less accumulated depreciation | | | |
| | 12 13 | Investments - mortgage loans Investments - other STMT 9 | 1 104 002 | 1,673,828 | 1,757,228. |
| | | | 1,184,902. | 1,073,020 | |
| | 14 | Land, buildings, and equipment: basis | 7 1 - w2887 c | 7 | |
| | 4.5 | | | | |
| | l | Other assets (describe | | | |
| | 10 | Total assets (to be completed by all filers - see the | 1 220 121 | 1 024 250 | 2 007 650 |
| | 47 | Instructions. Also, see page 1, item I) | 1,340,141. | 1,924,258. | 2,007,658. |
| | l | Accounts payable and accrued expenses Grants payable | | | |
| | 18 | | | | |
| es | 19 | Deferred revenue | | | |
| Ĕ | 20 | Loans from officers, directors, trustees, and other disqualified persons | | | |
| Liabilities | 21 | Mortgages and other notes payable | | | |
| _ | 22 | Other liabilities (describe) | | | |
| | | Table High Males (add hose 47 Absorbt 00) | 0. | 0. | |
| _ | 23 | Total liabilities (add lines 17 through 22) | 0. | | 4 % |
| | | Foundations that follow SFAS 117, check here | | | |
| s | | and complete lines 24 through 26 and lines 30 and 31. | | | |
| ဦ | | Unrestricted Target of the set of | | | |
| alar | 25 | Temporarily restricted | | | - |
| ä | 26 | Permanently restricted | | | |
| or Fund Balances | | Foundations that do not follow SFAS 117, check here | | | |
| ᅜ | | and complete lines 27 through 31. | | 0 | |
| ş | 27 | Capital stock, trust principal, or current funds | 0. | 0. | |
| Assets | 28 | Paid-in or capital surplus, or land, bldg., and equipment fund | 0. | 0. | |
| Ϋ́ | 29 | Retained earnings, accumulated income, endowment, or other funds | 1,320,121. | 1,924,258. | |
| Š | 30 | Total net assets or fund balances | 1,320,121. | 1,924,258. | |
| | | | 1 220 121 | 1 004 050 | |
| _ | 31 | Total liabilities and net assets/fund balances | 1,320,121. | 1,924,258. | |
| Ŗ | art | Analysis of Changes in Net Assets or Fund Ba | alances | | |
| _ | Total | net assets or fund balances at beginning of year - Part II, column (a), line | 20 | ——— | T |
| | | t agree with end-of-year figure reported on prior year's return) | 30 | | 1,320,121. |
| | | amount from Part I, line 27a | | 1 | 545 040 |
| | | Increases not included in line 2 (itemize) | | 2 | |
| | | increases not included in line 2 (iterrize) | | 3 | 1 007 000 |
| | | eases not included in line 2 (itemize) | מינים מינים | Δ ΤΕΜΕΝΤ Ω 6 | 40 |
| | | | | ATEMENT 8 5 | |
| 6 | ıvıal | net assets or fund balances at end of year (line 4 minus line 5) - Part II, co | numm (D), nme 30 | | Form 990-PF (2015) |
| | | | | | FULIII 220-FF (2015) |

| Part IV Capital Gains and Losses for Tax on Investment Income | _ | | CARTER FAMILY FO | | | | | 2 | 0-331 | 1749 | Page 3 |
|--|------|---|---|--|-----------------------|--------------------------------|-------------------------------------|--------------|--|-----------------------------|--|
| 18 NW MUTUAL | L | Part IV Capital Gains a | and Losses for Tax on In | vestment | Income | 1 | | | | | |
| N.W. MUTUAL - SEE ATTACHED | | | | | | (b) н Р- D- | ow acquired Purchase Donation | | | | |
| CHARLES SCHWAB - SER ATTACHED | 18 | NW MUTUAL - SEI | E ATTACHED | | | | | | | | |
| CARLES SCHWAB - SEE ATTACHED CAPITAL GAINS DIVIDENDS | Ŀ | NW MUTUAL - SEI | E ATTACHED | | | | | | | | |
| CAPITAL GAINS DIVIDENDS (i) Deprescion allowed (in allowable) (ii) Cast or other bases (iv) Gains or (less) | _ | CHARLES SCHWAB | - SEE ATTACHED | | | | | | | | |
| (e) Gross sales price (f) Deprenational allowed (or allowable) (plus expense of sale (e) plus (f) minus (g) (a. 1.456.) (b. 1.456.) (b. 1.871.) (c. 1.5.36.) (c. 1.10.) (b. 1.5.348.) (b. 1.5.272.) (c. 1.6.535.) (c. 7.7.193.) (c. 7.193.) (c | | CHARLES SCHWAB | - SEE ATTACHED | | | | | | | | |
| (i) Class sees pince (or allowable) | _ | CAPITAL GAINS I | DIVIDENDS | | | | | | | | |
| 35, 90 | | (e) Gross sales price | | | | | | | | | |
| \$ 35, 90 0, | _ | 1,456. | | | 1.87 | 1. | | | | | -415. |
| 15,348 15,272 76. 4 96,110 79,575 16,536 6 7,193 7,193 7,193 Complete only for assests showing gain in column (h) and owned by the foundation on 123169 (i) Gains (Cot. (h) gain minus (cot. (h) gain gain gain gain gain (cot. (h) gain gain gain gain gain gain gain gain | _ | | | | | | | | | | |
| 16, 535. 7, 193. 79, 575. 16, 535. 7, 193. | _ | | <u> </u> | | | | | | | | |
| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 (ii) Gans (Cot. (h) gain music col. (k), bid not less than 4-y) or (iii) Fam. V. as of 12/31/69 (iii) Fam. V. as of 12/31/69 (iiii) Fam. V. as of 12/31/69 (iii) Fam. V. as of 12/31/69 (iiii) Fam. V. as of 12/31/69 (iii) Fam. V. as of 12/31/69 | | | | | | | | | | 16 | |
| Complete only for assets showing gain in column (f)) and owned by the foundation on 123 fe9 (I) EMV, as of 123 fe9 (I) Adjusted basis and 123 fe9 (II) Expenses of Lot. (I) any coll. (I), that my coll. (II), that | | 7 400 | | | | | | | | | |
| (i) F.M.V. as of 12/31/69 (ii) Adjusted basis as of 12/31/69 (iii) Adjusted basis as of 12/31/69 (iv) Adjusted basis as of 12/31/69 (iv) Adjusted basis as of 12/31/69 (iii) A | ` | | g gain in column (h) and owned by t | the foundation | on 12/31/69 | | | Gains (C | Cot (h) gain | | , _ , |
| Capital gain net income or (net capital loss) Eli gain, also enter in Part I, line 7 19., 269 . 20., 20., 20., 20., 20., 20., 20., 20., | _ | | (j) Adjusted basis | (k) E> | ccess of col. (1) | | | (k), but | not less thar | 1 -0-) or | |
| Capital gain net income or (net capital loss) Eli gain, also enter in Part I, line 7 19., 269 . 20., 20., 20., 20., 20., 20., 20., 20., | _ | ` | | | | | | | | | -415. |
| Capital gam net income or (net capital loss) (if gam, also enter in Part I, line 7 | | | | | | _ | | | | | |
| Capital gain net income or (net capital loss) If gain, also enter in Part I, line 7 If (loss), enter-0- in Part I, line 8 Part V | | | | <u> </u> | | | | | | | |
| 2 Capital gain net income or (net capital loss) 2 Capital gain net income or (net capital loss) 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If gain, also enter in Part I, line 8, column (c). If gain, also enter in Part I, line 8, column (c). If gain, also enter in Part I, line 8, column (c). If gain, also enter in Part I, line 8, column (c). If gain, also enter in Part I, line 8, column (c). If gain, also enter in Part I, line 8, column (c). If gain, also enter in Part I, line 8, column (c). If gain, also enter in Part I, line 8, column (c). If gain, also enter in Part I, line 8, column (c). If gain, also enter in Part I, line 7 3 N/A Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income (For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.) If section 4940(d)(2) applies, leave this part blank. Was the foundation hable for the section 4942 tax on the distributable amount of any year in the base period? Yes X No (Investment income) Yes X No (Investment income | _ | | | | | | | | | 16 | |
| 2 Capital gain net income or (net capital loss) If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 8 If gain, also enter in Part I, line 8, column (c) If gain, also enter in Part I, line 6, column (c) If gain, also enter in Part I, line 6, column (c) If gain, also enter in Part I, line 6, column (c) If gain, also enter in Part I, line 6, column (c) If gain, also enter in Part I, line 6, column (c) If gain, also enter in Part I, line 6, column (c) If gain, also enter in Part I, line 6, column (c) If gain, also enter in Part I, line 6, column (c) If gain, also enter in Part I, line 6, column (c) If gain, also enter in Part I, line 6, column (c) If gain, also enter in Part I, line 6, column (c) If gain, also enter in Part I, line 6, column (c) If gain, also enter in Part I, line 6, column (c) If gain, also enter in Part I, line 6, column (c) If gain, also enter | | | | | | | | | | | |
| 2 Capital gain net income or (net capital loss) | _5 | - | | <u></u> | | $\overline{}$ | | | | | , 1, 3, 3, 6 |
| If gan, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 Part V. Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income | 2 | Capital gain net income or (net ca | ipital loss) { If gain, also enter -0 | r in Part I, line - in Part I, line | 7 : 7 | } | 2 | | | 19 | ,269. |
| It (loss), enter -0- in Part I, line 8 Part V: Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income (For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.) It section 4940(d)(2) applies, leave this part blank. Was the foundation hable for the section 4942 tax on the distributable amount of any year in the base period? Yes X No | 3 | Net short-term capital gain or (los | ss) as defined in sections 1222(5) an | ıd (6): | | \ \ \ | | | | | |
| Part V ₂ Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income (for optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.) It section 4940(d)(2) applies, leave this part blank. Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes X No | | | column (c). | | | \downarrow | | | /- | | |
| For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.) | T.F | If (loss), enter -0- in Part I, line 8 | | Daduard | Tana and NaA | | 3 | | N/A | | |
| ## Section 4940(d)(2) applies, leave this part blank. Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes | L | Part V Qualification U | nder Section 4940(e) for | Reduced | Tax on Net I | inves | stment inco | me | | | |
| Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes \ No Mt Yes,* the foundation does not qualify under section 4940(e). Do not complete this part. Enter the appropriate amount in each column for each year; see the instructions before making any entries. Base period years | (F | or optional use by domestic private | foundations subject to the section 4 | 1940(a) tax on | net investment ind | come.) | | | | | |
| Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes \ No Mt Yes,* the foundation does not qualify under section 4940(e). Do not complete this part. Enter the appropriate amount in each column for each year; see the instructions before making any entries. Base period years | H. | section 4940(d)(2) annies leave th | ne nart hlank | | | | | | | | |
| Enter the appropriate amount in each column for each year; see the instructions before making any entries. Calendar year (or tax year beginning in) Adjusted qualifying distributions Net value of noncharitable-use assets (col. (b) divided by col. (c)) | ** | section 4340(u)(z) applies, leave ti | us pait mank. | | | | | | | _ | |
| Enter the appropriate amount in each column for each year; see the instructions before making any entries. (a) | W | as the foundation liable for the sect | tion 4942 tax on the distributable am | ount of any ye | ear in the base peri | od? | | | | Yes | X No |
| Base period years Adjusted qualifying distributions Net value of noncharitable-use assets Col. (b) divided by col. (c) | lf ' | "Yes," the foundation does not qual | ify under section 4940(e). Do not co | mplete this pa | rt | | | | | | |
| Base period years Calendar year (or tax year beginning in) Adjusted qualifying distributions Net value of noncharitable-use assets Col. (b) divided by col. (c) | | | | | | itries. | | | | | |
| Adjusted qualifying distributions Net value of noncharitable-use assets Cool. (b) divided by col. (c) | _ | (a) | (b) | | | (c) | | | Distant | (d) | |
| 2014 93,725 | | Base period years Calendar year (or tax year beginning) | | tributions | Net value of no | | lable-use assets | ł | (col. (b) div | ution ratio ided by col. | (c)) |
| 2013 88 , 184 . | _ | | | 3.725. | | 1. | 368.954 | | ((-/ | | |
| 2012 125,387. 818,342 | _ | | | | | | | | | | |
| 2011 50 , 787 . 553 , 862 | _ | | | | | | 919 3/2 | <u> </u> | | | |
| 2 Total of line 1, column (d) 2 A Verage distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years 4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5 5 Multiply line 4 by line 3 6 Enter 1% of net investment income (1% of Part I, line 27b) 6 Add lines 5 and 6 7 160 , 573 . 8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. | _ | | | | | | 553 962 | •+ | | | |
| 2 Total of line 1, column (d) 3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years 4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5 5 Multiply line 4 by line 3 6 Enter 1% of net investment income (1% of Part I, line 27b) 7 Add lines 5 and 6 8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. | _ | | | | | | | | | | |
| Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years 4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5 5 Multiply line 4 by line 3 6 Enter 1% of net investment income (1% of Part I, line 27b) 7 Add lines 5 and 6 8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. | _ | 2010 | | 9,330. | | | 282,951 | | | . 0 | 08344 |
| Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years 4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5 5 Multiply line 4 by line 3 6 Enter 1% of net investment income (1% of Part I, line 27b) 7 Add lines 5 and 6 8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. | | | | | | | | i | 1 | | -40-0 |
| the foundation has been in existence if less than 5 years 4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5 5 Multiply line 4 by line 3 6 Enter 1% of net investment income (1% of Part I, line 27b) 7 Add lines 5 and 6 8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. | 2 | Total of line 1, column (d) | | | | | | 2_ | | . 4 | 51873 |
| 4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5 Multiply line 4 by line 3 5 160,179. 6 Enter 1% of net investment income (1% of Part I, line 27b) 7 Add lines 5 and 6 8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. | 3 | Average distribution ratio for the | 5-year base period - divide the total o | on line 2 by 5, | or by the number | of year | rs . | | { | | |
| 5 Multiply line 4 by line 3 6 Enter 1% of net investment income (1% of Part I, line 27b) 7 Add lines 5 and 6 7 160,573. 8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. | | the foundation has been in exister | nce if less than 5 years | | | | | 3_ | | .0 | <u>90375</u> |
| 5 Multiply line 4 by line 3 6 Enter 1% of net investment income (1% of Part I, line 27b) 6 Add lines 5 and 6 7 160,573. 8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. | | | | | | | | | | | |
| 5 Multiply line 4 by line 3 6 Enter 1% of net investment income (1% of Part I, line 27b) 6 Add lines 5 and 6 7 160,573. 8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. | 4 | Enter the net value of noncharitab | le-use assets for 2015 from Part X, I | ine 5 | | | | 4 | 1 | 1,772 | ,384. |
| 6 Enter 1% of net investment income (1% of Part I, line 27b) 7 Add lines 5 and 6 8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. | | | , | | | | | | | _ _ | |
| 6 Enter 1% of net investment income (1% of Part I, line 27b) 7 Add lines 5 and 6 8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. | 5 | Multinly line 4 by line 3 | | | | | | 1 5 |] | 160 | .179. |
| 7 Add lines 5 and 6 7 160,573. 8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. | • | | | | | | | <u> </u> | | | <u>, </u> |
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| NOT THE PARTY OF THE PROPERTY OF THE PARTY O | • | If line 8 is equal to or greater than | | 1b, and comp | olete that part using | g a 1% | tax rate. | _ <u>~</u> _ | <u>. </u> | | <u></u> |

| Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), or 4948 - see instructions) 1a Evenot operating foundations described in section 4940(d)(c), check here main and enter *WM on the 1. Due of risking or determination effects (attach copy of letter il necessary-see instructions) Due of risking or determination effects (attach copy of letter il necessary-see instructions) Due of risking or determination effects (attach copy of letter il necessary-see instructions) Due of risking or determination effects (attach copy of letter il necessary-see instructions) Due of risking or determination effects (attach copy of letter il necessary-see instructions) Due of risking or determination effects (attach copy of letter il necessary-see instructions) Due of risking or (attach copy of letter il necessary-see instructions) Defended of Paymon (attach copy of letter il necessary-see instructions) Add inter 1 and 2 (attach copy of letter il necessary-see instructions) Add inter 1 and 2 (attach copy of letter il necessary-see instructions) Add inter 1 and 2 (attach copy of letter il necessary-see instructions) Add inter 1 and 2 (attach copy of letter il necessary-see instructions) Add inter 1 and 2 (attach copy of letter il necessary-see instructions) Add inter 1 and 2 (attach copy of letter il necessary-see instructions) Add inter 1 and 2 (attach copy of letter il necessary-see instructions) Add inter 1 and 2 (attach copy of letter il necessary-see instructions) Add inter 1 and 2 (attach copy of letter il necessary-see instructions) Add inter 1 and 2 (attach copy of letter il necessary-see instructions) Add inter 1 and 2 (attach copy of letter il necessary-see instructions) Add inter 1 and 2 (attach copy of letter il necessary-see instructions) Add inter 1 and 2 (attach copy of letter il necessary-see instructions) Add inter 1 and 2 (attach copy of letter il necessary-see instructions) Add inter 1 and 2 (atta | | 1990-PF (2015) THE CARTER FAMILY FOUNDATION | | 20-33: | | Page 4 |
|--|----|--|-----------------|-------------|----------|-------------|
| Date of ruling or determination latter: | | | e), or 494 | 18 - See | instruc | tions) |
| b Dopesto foundations that meet the section 4947(a) regurements in Part V, check here | 1a | | | | | |
| of Part I, line 27b c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b). 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) 3 Add lines 1 and 2 5 Tax based on linvestment income. Subtract line 4 from line 3. If zero or less, enter -0-) 5 Tax based on linvestment income. Subtract line 4 from line 3. If zero or less, enter -0-) 5 Tax based on linvestment income. Subtract line 4 from line 3. If zero or less, enter -0-) 5 Tax based on linvestment income. Subtract line 4 from line 3. If zero or less, enter -0-) 5 Tax based on linvestment income. Subtract line 4 from line 3. If zero or less, enter -0-) 5 Tax based on linvestment income. Subtract line 4 from line 3. If zero or less, enter -0-) 5 Tax based on linvestment income. Subtract line 4 from line 3. If zero or less, enter -0-) 5 Tax based on linvestment income. Subtract line 4 from line 3. If zero or less, enter -0-) 5 Tax based on linvestment income. Subtract line 5 from 2868) 6 Bert any penalty for underpayment of estimated tax. Once the ferom 8689 6 Bert any penalty for underpayment of estimated tax. Check here I if Form 2220 is attached 7 Total credits and payments. Add lines 5 and 6, enter the amount ower paid 10 Overpayment. If line? It is more than the total of lines 5 and 6, enter the amount ower paid 10 Overpayment. If line? It is more than the total of lines 5 and 6, enter the amount overpaid 10 Overpayment. If line? It is more than the total of lines 5 and 6, enter the amount overpaid 10 Overpayment. If line? It is more than the total of lines 5 and 6, enter the amount overpaid 10 Overpayment. If line? It is more than the total of lines 5 and 6, enter the amount overpaid 10 Overpayment. If line? It is more than the total of lines 5 and 6, enter the amount overpaid 10 Overpayment. If line? It is more than the total of lines 5 and 6, enter the amount overpaid 10 Overpayment. If line? It is more than the t | | | \ \ \ | | | 700 |
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| If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities. c Did the foundation file Form 1120-PDL for this year? d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$ 0. (2) On foundation managers. ▶ \$ 0. e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ 0. 2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities. 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? b If "Yes," has it filed a tax return on Form 990-T for this year? If "Yes," as it filed a tax return on Form 990-T for this year? If "Yes," attach the statement required by General Instruction T. Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV 7 X 18 Enter the states to which the foundation furnished a copy of Form 990-PF to the Altorney General (or designate) of each state as required by General Instruction GP If "No," attach explanation 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the ta | h | | e definition)? | , | | Х |
| c Did the foundation file Form 1120-POL for this year? d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$\\$ 0. (2) On foundation managers. \$\\$ 0. (2) On foundation managers. \$\\$ 0. (3) On the foundation managers. \$\\$ 0. (4) On the foundation managers. \$\\$ 0. (5) On foundation managers. \$\\$ 0. (5) On foundation managers. \$\\$ 0. (6) On foundation managers. \$\\$ 0. (7) On the foundation managers. \$\\$ 0. (7) On the foundation managers. \$\\$ 0. (8) On foundation managers. \$\\$ 0. (9) On foundation foundation on foundation or foundation foundation of the foundation of the foundation of the foundation of the foundation of the foundation of the foundation of the foundation of the foundation founda | - | | | | | W. Y. |
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| 11 All any time during the year, did the loundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 17%, attach schriddle (see instructions) 10 did the foundation make a distribution to a donor advised fund over which the foundation or a degualited person had adwisory privileges? 11 The books are in care of \$\int\$ DIANA CLARKE—CARTER 12 | Pa | rt VII-A Statements Regarding Activities (continued) | | | |
|---|----|--|--------------|-------------|-------------|
| section 512(D)(13)? If "Yes," attach schedule (see instructions) 10 off the foundation make a discribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) 10 off the foundation comply with the public inspection requirements for its annual returns and exemption application? 11 The books are in care of \(^{1}\) DIANA CLARKE—CARTER 12 | | | | Yes | No |
| 12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? 18 Yes, attach statement (see instructions) 19 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A 18 X 18 X 18 X 19 DIANA CLARKE—CARTER Telephone no. ► 76.3 – 3.9 0 – 4.277 Lorated at ► 1.30 SPUR CIRCLE, WAYZATA, MN 70 Section 549(70) nonexempt charable trusts filting from 599-Fin its of Form 1041 - Check hard and enter the amount of the exemption intense received or accrued during the year 16 Am yin time during calcander year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? Set the instructions for exceptions and filting requirements for FinCEN Form 114. If Yes,* enter the name of the foreign country or the instructions for exceptions and filting requirements for FinCEN Form 14720 May Be Required File Form 4720 than yit term is checked in the "Yes" column, unless an exception applies. 10 Jump the year did the foundation (either directly) or indirectly); 11) Engage in the sist or exchange, or leading of processing the sistence of the processing of the processing of the sistence of the processing of | 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of | | [| |
| If Yes, attach statement (see instructions) 13 Unite floorandson comply with the public imspection requirements for its annual returns and exemption application? Website address ► N/A 15 The books are in care of ► DIANA CLARKE - CARTER 15 Section 4947(a)(1) nonexempt charitable trusts filing form 950-PF in lieu of Form 1041 - Check here and earlier the amount of flax-evering interest received or accrued during the year and earlier the amount of 162-evering interest received or accrued during the year and earlier the amount of 162-evering interest received or accrued during the year and earlier the amount of 162-evering interest received or accrued during the year and earlier the amount of 162-evering interest received or accrued during the year and earlier the orbit financial accounts of the fundation have an interest in or a signature or other authority over a bank, securities, or other financial accounts of the fundation have an interest in or a signature or other authority over a bank, securities, or other financial accounts of the fundation have an interest in or a signature or other authority over a bank, securities, or other financial accounts of the fundation have an interest in or a signature or other authority over a bank, securities, or other financial accounts of the fundation of the fundation of the year of the fundation have an interest in or a signature or other authority over a bank, securities, or other financial accounts of the fundation of the fundation of interestic or the bent fundation of interestic or fundation or fundation or fundation or fundation or fundation or fundation or fundation or fundation or exchange, or leasing of property with a disqualitied person? (2) Brown money from, lend money to, or otherwise extend credit to (or accept the from) and siqualitied person? (3) Fundation of the section of t | | section 512(b)(13)? If "Yes," attach schedule (see instructions) | 11 | | <u> </u> |
| If Yes, attach statement (see instructions) 13 Unite floorandson comply with the public imspection requirements for its annual returns and exemption application? Website address ► N/A 15 The books are in care of ► DIANA CLARKE - CARTER 15 Section 4947(a)(1) nonexempt charitable trusts filing form 950-PF in lieu of Form 1041 - Check here and earlier the amount of flax-evering interest received or accrued during the year and earlier the amount of 162-evering interest received or accrued during the year and earlier the amount of 162-evering interest received or accrued during the year and earlier the amount of 162-evering interest received or accrued during the year and earlier the amount of 162-evering interest received or accrued during the year and earlier the orbit financial accounts of the fundation have an interest in or a signature or other authority over a bank, securities, or other financial accounts of the fundation have an interest in or a signature or other authority over a bank, securities, or other financial accounts of the fundation have an interest in or a signature or other authority over a bank, securities, or other financial accounts of the fundation have an interest in or a signature or other authority over a bank, securities, or other financial accounts of the fundation of the fundation of the year of the fundation have an interest in or a signature or other authority over a bank, securities, or other financial accounts of the fundation of the fundation of interestic or the bent fundation of interestic or fundation or fundation or fundation or fundation or fundation or fundation or fundation or fundation or exchange, or leasing of property with a disqualitied person? (2) Brown money from, lend money to, or otherwise extend credit to (or accept the from) and siqualitied person? (3) Fundation of the section of t | 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? | | | |
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| Website address ► N/A I The books are in care of ► DIANA CLARKE-CARTER Located at ► 130 SPUR CIRCLE, WAYZATA, MN 15 Section 497(a)(1) noneampt chartable trusts filing Form 930-Ff in lieu of Form 1041 - Check here and earlier the amount of face-exempt interest screeder of a corried during the year 16 At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or or other financial account an a foreign country? See the instructions for exceptions and filing requirements for Fin/EN Form 114. If "Yes," enter the name of the first form on country ► Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required File Form 4720 if any trem is checked in the "Yes" column, unless an exception applies. 10 Juning thy save did the foundation dether directly or indirectly; (1) Engage in the sale or exchange, or lessing of property with a disqualified person? (2) Borrow money from, lead movel, for or directly; (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or remburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of eithe available for the benefit or use of a disqualified person (or make any of eithe available for the benefit or use of a disqualified person (or make any of eithe available for the benefit or use of a disqualified person (or make any of eithe available for the benefit or use of a disqualified person (or make any of eithe available for the benefit or use of a disqualified person (or make any of eithe available for the benefit or use of a disqualified person (or make any of eithe available for the benefit or use of a disqualified person (or make any of eithe available for the benefit or use of a disqualified person (or make any of eithe available for the benefit or use of a disqualified person (or make any of eithe available for the person of the original or available | 13 | · | 13 | Х | |
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| Located at N 130 SPUR CIRCLE, WAYZATA, MN Section 4947(a)(1) nonexempt charitable trusts filing form 990-PF in lieu of Form 1041 - Check here and other the amount of tax-exempt interest received or accrued during the year 16. At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. 1 During the year off the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a dequalified person? (2) Borrow money from, lead money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish goods, services, or facilities to or otherwise extend credit to (or accept it from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a dequalified person? (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? (6) Agree to pay imoney or property to a government official? (Exception, Check 'No' if the foundation agreed to make a grint to or to employ the official for a period after termination of government service, it termination within 90 days of the acts fail to qualify under the exceptions described in Regulations section 53,494 (104)-3 or in a current notice regarding disaster assistance check here 2 Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the taxy set beginning in 2015? 2 Taxes on failure to distribute income (section 4942) (does not applying section 4942(a)(2) (relating to incorrect valu | 14 | The books are in care of ► DIANA CLARKE-CARTER Telephone no. ► 763-39 | 0-4: | 277 | |
| 15 Section 4947(a)(1) nonexempt charitable treats filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year 16 At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If Yes,* enter the name of the foreign country. Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. 10 Juring the year did the foundation (either directly or indirectly): (11) Engage in the sale or exchange, or leasing of property with a dequalited person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualited person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualitied person? (4) Pay compensation to, or pay or reimburs the expenses of, a disqualitied person? (5) Transfer any income or assets to a disqualitied person? yes No (6) Agree to pay money or property to a government official? (Exception, Check 'No' at the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) 10) If any answer is "Fest to 1a(1)-(6), did any of the acts fail to quality under the exceptions described in Regulations section 53.44 (1(1)-3) or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance (see instructions)? Organizations rel | | | <u>391</u> | <u>-95'</u> | 77_ |
| and eather the amount of lax-exempt interest received or accrued during the year in \$1.4 at my time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, so crither financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCRN Form 114. If Yes," enter the name of the foreign country! Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. 1 During the year of the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish poods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburs the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available for the boundation appeal to make a grant to or to employ the official for a period differ termination of government expert, either mindry within 90 days. If the foundation engage in a prior year in any of the acts fail to qualify under the exceptions described in Regulations section 53.44 (1)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance (see instructions)? Programizations relying on a current notice regarding disaster assistance (see instructions)? Toganizations relying on a current notice regarding disaster assistance (see instructions)? Toganizations relying on a current notice regarding disaster assistance (see instructions)? Toganizations relying on a current notice regarding disaster assistance (see instructions)? Toganizations relying on a current notice regarding disaster assistance (see instructions)? Toganizat | 15 | | | | |
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| securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If Yes, "enter the name of the foreign country ▶ Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. 1a During the year did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes No 1a During the year did the foundation of or otherwise extend credit to (or accept it from) a disqualified person? Yes No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? Yes No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes No (4) Pay compensation to, or pay or remiburse the expenses of, a disqualified person? Yes No (5) Transfer any income or assets to a disqualified person (or make any of either available or the benefit or use of a disqualified person)? Yes No (6) Agree to pay money or property to a government official? (Exception, Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) Yes No b if any answer is 'Yes' to ta(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 33.4941(d)-3 or in a current notice regarding dissater assistance (see instructions)? No Cranications relying on a current notice regarding dissater assistance (see instructions)? No Cranications relying on a current notice regarding dissater assistance (see instructions)? No Form 4720, to a stermination of assets to the vasa beginning in 2015? If Yes, I is the years No It the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years h | 16 | At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, | | Yes | No |
| See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country #Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required FILE Form 4720 if any item is checked in the "Yes" column, unless an exception applies. 1a During the year did the foundation (either directly or indirectly); (1) Fingage in the sale or exchange, or leasing of property with a disqualified person? Yes No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? Yes No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? Yes No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? Yes No (6) Agree to pay money or property to a government official? (Exception, Check No' of the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) Yes No b) If any answer is "Yes" to 141(-16), did any of the acts fast foul quality under the exceptions described in Regulations section 53.4941(0)-3 or in a current notice regarding disaster assistance (see instructions)? N/A Difference the first day of the tax year beginning in 2015? Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(10)(3) or 4942(10)(5)): A the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? Yes No If "Yes," list the years Provision of assets to discuss the discussion of assets of the several provision of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed incom | | | 16 | | _X_ |
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| File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. 1a During the year did the foundation (either directly) or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person) or of either available for the benefit or use of a disqualified person (or make any of either available for the benefit or use of a disqualified person) or or either available for the benefit or use of a disqualified person? (6) Agree to pay money or property to a government official? (Exception, Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) b If any answer is "Yes to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(0)-3 or in a current notice regarding disaster assistance (see instructions)? N/A Organizations relying on a current notice regarding disaster assistance (see instructions)? Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(0)(3) or 4942(0)(5): A the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? If "Ses," list the years" B Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years | | foreign country | . 3 | Ì | 2 |
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| (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) b if any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? C Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015? 2 Taxes on faultive to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? If 'Yes,' tild it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1968; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by grift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.) 3b If the foundation invest during the year any amount in a manner that would geopardize its charitable purpose that | 1a | | i. 8 | | . 0.0 |
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|--|---|---------------------------------------|--|---|
| Part VII-B Statements Regarding Activities for Which F | orm 4720 May Be Re | equired (contin | ued) | |
| 5a During the year did the foundation pay or incur any amount to: | | | 1 | 1 1 |
| (1) Carry on propaganda, or otherwise attempt to influence legislation (section | 4945(e))? | Ye | s X No | |
| (2) Influence the outcome of any specific public election (see section 4955); or | to carry on, directly or indire | ctly, | | |
| any voter registration drive? | | Ye | s X No | |
| (3) Provide a grant to an individual for travel, study, or other similar purposes? | > | Ye | s X No | |
| (4) Provide a grant to an organization other than a charitable, etc., organization | | | | |
| 4945(d)(4)(A)? (see instructions) | | ☐ Ye | es X No | |
| (5) Provide for any purpose other than religious, charitable, scientific, literary, | or educational purposes, or fo |)r | | |
| the prevention of cruelty to children or animals? | , | | s X No | |
| b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify und | der the exceptions described in | | | |
| section 53.4945 or in a current notice regarding disaster assistance (see instruc | | · · · · · · · · · · · · · · · · · · · | N/A | 5b |
| Organizations relying on a current notice regarding disaster assistance check hi | | | ▶ □ | |
| c If the answer is "Yes" to question 5a(4), does the foundation claim exemption fr | | ned | | |
| expenditure responsibility for the grant? | | /A 🗀 Ye | s No | |
| | | / | .5 110 | |
| If "Yes," attach the statement required by Regulations section 53 4945- 6a Did the foundation, during the year, receive any funds, directly or indirectly, to p | | | | |
| a personal benefit contract? | ay premiums on | [v | es X No | |
| b Did the foundation, during the year, pay premiums, directly or indirectly, on a p | oroonal hamafit contract? | | | 6ь Х |
| | ersonal benefit contract. | | | |
| If "Yes" to 6b, file Form 8870. | hallan tuangan tuan | [| es X No | |
| 7a At any time during the tax year, was the foundation a party to a prohibited tax s | | L Y6 | | |
| b If "Yes," did the foundation receive any proceeds or have any net income attributed in the foundation of the state of th | | ogore Highly | N/A | 7b |
| Part VIII Information About Officers, Directors, Truster Paid Employees, and Contractors | es, roundation Man | agers, migniy | | |
| List all officers, directors, trustees, foundation managers and their of the second seco | compensation. | | | |
| List dil emergio, di estero, di deteso, realidaden menagero di a di en | | (c) Compensation | (d) Contributions to | (e) Expense |
| (a) Name and address | (b) Title, and average hours per week devoted | (If not paid, | (d) Contributions to employee benefit plans and deferred | (e) Expense account, other allowances |
| DIANA CLARKE-CARTER | to position DIRECTOR/CHIE | enter-0-) F EXECUTIV | compensation | allowalices |
| 130 SPUR CIRCLE | DIRECTOR/CHIE. | PERECUIT | 713 0 | |
| WAYZATA, MN 55391 | 0.25 | 0. | 0. | 0. |
| | DIRECTOR/OFFI | | | |
| | DIRECTOR/OFFI | LEK | | |
| 130 SPUR CIRCLE | 0.25 | _ | 0. | ۸ ا |
| WAYZATA, MN 55391 | | 0. | | 0. |
| | DIRECTOR/EXEC | OLIVE DIK | ECTO | |
| 130 SPUR CIRCLE | 0.05 | ١ , | _ | ١ , |
| WAYZATA, MN 55391 | 0.25 | 0. | 0. | 0. |
| | DIRECTOR/OFFI | CER | | |
| 130 SPUR CIRCLE | 2 25 | | | |
| WAYZATA, MN 55391 | 0.25 | 0. | 0. | 0. |
| 2 Compensation of five highest-paid employees (other than those incl | (b) Title, and average | nter "NUNE." | (d) Contributions to | (e) Expense |
| (a) Name and address of each employee paid more than \$50,000 | ` 'hours per week " | (c) Compensation | (d) Contributions to employee benefit plans and deferred | account, other |
| | devoted to position | | compensation | allowances |
| NONE | | į | [| |
| | | | | |
| | | | | |
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| | | [| | |
| | | | | |
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| | 1 | | | |
| | | | <u> </u> | L |
| Total number of other employees paid over \$50,000 | | | | 0 |

| Form 990-PF (2015) THE CARTER FAMILY FOUNDATION | 20-33 | 11749 Page 7 |
|---|------------------------|------------------|
| Part VIII Information About Officers, Directors, Trustees, Foundation Paid Employees, and Contractors (continued) | Managers, Highly | " |
| 3 Five highest-paid independent contractors for professional services. If none, enter "NC | NE." | |
| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
| NONE | | |
| | | |
| | | |
| | | ł |
| | | |
| | | |
| | | |
| | | 1 |
| | | |
| | | |
| Total number of others receiving over \$50,000 for professional services | | ▶ 0 |
| Part IX-A Summary of Direct Charitable Activities | | |
| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical i | nformation such as the | Cynonese |
| number of organizations and other beneficiaries served, conferences convened, research papers produced, | etc. | Expenses |
| 1 N/A | | |
| | | |
| | | |
| 2 | | |
| | | |
| | | |
| 3 | | |
| | | |
| | | |
| 4 | | |
| | | |
| <u></u> | | |
| Part IX-B Summary of Program-Related Investments | | |
| Describe the two largest program-related investments made by the foundation during the tax year on lines | 1 and 2. | Amount |
| 1 N/A | | |
| | | |
| | | |
| 2 | | |
| | <u></u> | |
| | | |
| All other program-related investments. See instructions. | ļ | |
| 3 | | |
| | | |
| | | |
| Total. Add lines 1 through 3 | | 0. |

Form 990-PF (2015) Page 8 Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions) Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: 1,450,226. a Average monthly fair market value of securities 1a 349.149. 1b Average of monthly cash balances c Fair market value of all other assets 1c 799,375. 1d d Total (add lines 1a, b, and c) e Reduction claimed for blockage or other factors reported on lines 1a and 0. 1c (attach detailed explanation) 2 Acquisition indebtedness applicable to line 1 assets 2 799. Subtract line 2 from line 1d 3 26.991 Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions) 4 1,772,384. 5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4 88,619. Minimum investment return. Enter 5% of line 5 Part XI. Distributable Amount (see instructions) (Section 4942(1)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.) 88,619. 1 Minimum investment return from Part X, line 6 789. Tax on investment income for 2015 from Part VI, line 5 2b Income tax for 2015. (This does not include the tax from Part VI.) 2c 789 Add lines 2a and 2b 87,830 Distributable amount before adjustments. Subtract line 2c from line 1 3 Recoveries of amounts treated as qualifying distributions 4 87 830 Add lines 3 and 4 5 0 6 Deduction from distributable amount (see instructions) 6 830. Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 Qualifying Distributions (see instructions) Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: 120,396. a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 1a b Program-related investments - total from Part IX-B 1b Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes 2 2 Amounts set aside for specific charitable projects that satisfy the; a Suitability test (prior IRS approval required) 3a **b** Cash distribution test (attach the required schedule) 3b 120,396 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 4 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section

Form 990-PF (2015)

Adjusted qualifying distributions. Subtract line 5 from line 4

4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

| | • | (a) Corpus | (b) Years prior to 2014 | (c) 2014 | (d) 2015 |
|---|---|---------------|---|---------------------------------------|-------------|
| _ | Distributelle and at the code to a Distributelle | Обграз | Todas prior to 2014 | 2014 | 2013 |
| 1 | Distributable amount for 2015 from Part XI, | | | | 07 000 |
| _ | line 7 | | | | 87,830. |
| | Undistributed income, if any, as of the end of 2015 | | | | |
| | a Enter amount for 2014 only | | · · · · · · · · · · · · · · · · · · · | 0. | ļ |
| t | Total for prior years: | | | | |
| | | | 0. | | |
| 3 | Excess distributions carryover, if any, to 2015: | [| | | |
| í | a From 2010 |] | | | |
| l | From 2011 2,179. | | | | |
| (| From 2012 125,514. | | | | |
| (| d From 2013 25,697. | | | | |
| • | e From 2014 26,013. | | | | |
| 1 | f Total of lines 3a through e | 179,403. | | \$ \$ | |
| | Qualifying distributions for 2015 from | | ` | | |
| | Part XII, line 4: ►\$ 120,396. | | * | | |
| , | a Applied to 2014, but not more than line 2a | † | , Xå. '. | 0. | |
| | Applied to undistributed income of prior | | | | |
| ٠ | years (Election required - see instructions) | , | 0. | , ×, | |
| , | c Treated as distributions out of corpus | | | 7,27,2 | |
| • | - | 0. | | | |
| _ | (Election required - see instructions) d Applied to 2015 distributable amount | ć | | | 87,830. |
| | | 32,566. | | | 07,030. |
| | Remaining amount distributed out of corpus | | | | 3 % |
| 5 | Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount | 0. | (0.38) (1.10) | | 0. |
| | must be shown in column (a)) | | | | |
| 6 | Enter the net total of each column as indicated below: | | | | |
| , | 2 Corpus Add lines 3f, 4c, and 4e Subtract line 5 | 211,969. | | | |
| | b Prior years' undistributed income. Subtract | | | | |
| • | line 4b from line 2b | | 0. | | |
| | c Enter the amount of prior years' | | | 14 28 3 | |
| Ì | undistributed income for which a notice of | | | | |
| | deficiency has been issued, or on which the section 4942(a) tax has been previously | | | | |
| | assessed | | 0. | | |
| (| d Subtract line 6c from line 6b. Taxable | | | VI (A) | \$ 13 14 |
| | amount - see instructions | , A.A. | 0. | | |
| | e Undistributed income for 2014. Subtract line | , | ** >> * 458 | 4 1 | |
| • | 4a from line 2a. Taxable amount - see instr. | , | | 0. | |
| • | Undistributed income for 2015. Subtract | | (' ' ' ' ' ' ' ' ' ' ' ' ' ' ' ' ' ' ' | - J. | |
| • | lines 4d and 5 from line 1. This amount must | , | | | |
| | be distributed in 2016 | | , , | * | 0. |
| 7 | Amounts treated as distributions out of | | | <u>%</u> | J . |
| 1 | |] | | | |
| | corpus to satisfy requirements imposed by | | | * | |
| | section 170(b)(1)(F) or 4942(g)(3) (Election | | | | |
| _ | may be required - see instructions) | 0. | | · · · · · · · · · · · · · · · · · · · | |
| 8 | Excess distributions carryover from 2010 | | | | |
| | not applied on line 5 or line 7 | 0. | | | |
| 9 | Excess distributions carryover to 2016. | | | | |
| | Subtract lines 7 and 8 from line 6a | 211,969. | | <u> </u> | |
| 0 | Analysis of line 9: | | | | |
| a | Excess from 2011 2,179. | | | , | |
| t | Excess from 2012 125,514. | | | , | |
| C | Excess from 2013 25,697. | | ` | | |
| c | Excess from 2014 26,013. | | 4 | , | 1 |
| e | Excess from 2015 32,566. | | | | |

| m 990-PF (2015) THE CART art XIV Private Operating For | | FOUNDATION nstructions and Part V | I-A, question 9) | N/A | 11749 F |
|--|-------------------------|--|--------------------------|--------------------------------|--|
| a If the foundation has received a ruling or o | letermination letter th | at it is a private operating | | | |
| foundation, and the ruling is effective for 2 | 015, enter the date of | the ruling | ▶ | | |
| b Check box to indicate whether the foundat | ion is a private operat | ing foundation described | ın section | 4942(j)(3) or 49 | 942(j)(5) |
| a Enter the lesser of the adjusted net | Tax year | | Prior 3 years | |] |
| income from Part I or the minimum | (a) 2015 | (b) 2014 | (c) 2013 | (d) 2012 | (e) Total |
| investment return from Part X for | | | | | |
| each year listed | | | | | |
| b 85% of line 2a | - | <u> </u> | | | |
| c Qualifying distributions from Part XII, | | | | | |
| line 4 for each year listed | | | | | |
| d Amounts included in line 2c not | | | | | |
| used directly for active conduct of | | | | | Ì |
| exempt activities | | | | | |
| e Qualifying distributions made directly | | | | | - |
| for active conduct of exempt activities. | | | | | ì |
| Subtract line 2d from line 2c | | | | | |
| Complete 3a, b, or c for the | | | | | |
| alternative test relied upon: | | | | | |
| a "Assets" alternative test - enter: | | | | | |
| (1) Value of all assets | | | | | |
| (2) Value of assets qualifying | | | | | İ |
| under section 4942(j)(3)(B)(i) b "Endowment" alternative test - enter | | | | | |
| 2/3 of minimum investment return | | | | | 1 |
| shown in Part X, line 6 for each year | | | | | |
| listed | · | | | | |
| c "Support" alternative test - enter: | | | | | |
| (1) Total support other than gross | | | | | 1 |
| investment income (interest, dividends, rents, payments on | | | | | |
| securities loans (section | | | | | 1 |
| 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public | | | | | |
| and 5 or more exempt organizations as provided in | | | 1 | | |
| section 4942(j)(3)(B)(III) | | | | | |
| (3) Largest amount of support from | | | | | |
| an exempt organization | | | | | |
| (4) Gross investment income | | | | | _ |
| art XV Supplementary Inform | • | • | if the foundatio | n had \$5,000 or mo | re in assets |
| at any time during the | e year-see inst | ructions.) | | | |
| Information Regarding Foundation | Managers: | | | | |
| a List any managers of the foundation who | have contributed more | than 2% of the total con | tributions received by t | he foundation before the clos | e of any tax |
| year (but only if they have contributed mo | re than \$5,000). (See | section 507(d)(2).) | | | |
| SEE STATEMENT 10 | | | | | |
| b List any managers of the foundation who | own 10% or more of t | he stock of a corporation | (or an equally large po | rtion of the ownership of a pa | artnership or |
| other entity) of which the foundation has a | 10% or greater intere | est. | | | |
| ONE | | | | | |
| Information Regarding Contribution | n, Grant, Gift, Loan | , Scholarship, etc., P | rograms: | | |
| Check here 🕨 🔲 if the foundation onl | y makes contributions | to preselected charitable | organizations and doe | s not accept unsolicited requ | ests for funds. If |
| the foundation makes gifts, grants, etc. (s | ee instructions) to ind | ividuals or organizations | under other conditions, | complete items 2a, b, c, and | d. |
| a The name, address, and telephone numbe | r or e-mail address of | the person to whom appl | ications should be addi | ressed: | |
| , , , , , | | | | | |
| EE STATEMENT 11 | | | | | |
| b The form in which applications should be | submitted and informa | ation and materials they s | hould include: | | |
| c Any submission deadlines: | | | | | |
| | ·· | | · · · · · | | |
| d Any restrictions or limitations on awards, | | | | | |

Page 11

Supplementary Information (continued) Grants and Contributions Paid During the Year or Approved for Future Payment If recipient is an individual, Foundation Purpose of grant or show any relationship to Amount status of contribution any foundation manager Name and address (home or business) recipient or substantial contributor a Paid during the year FIRST STEP INITIATIVE PUBLIC CHARITY GENERAL N/A PO BOX 270532 GOLDEN VALLEY, MN 55427 10,000. NEW COVENANT FOUNDATION N/A PUBLIC CHARITY GENERAL PO BOX 218 COEUR D'ALENE, IN 83816 20,000. PEACE LUTHERAN CHURCH N/A PUBLIC CHARITY GENERAL 20 NORTHDALE BOULEVARD NW COON RAPIDS, MN 55448 10,000. RESTART, INC. N/A PUBLIC CHARITY GENERAL 4000 OLSON MEMORIAL HIGHWAY, STE 610 GOLDEN VALLEY, MN 55422 1,500. PUBLIC CHARITY UMD ERIC K CLARKE, JR. UNIVERSITY OF MINNESOTA FOUNDATION N/A 200 OAK STREET S.E., SUITE 500 MEMORIAL SCHOLARSHIP MINNEAPOLIS, MN 55455-2010 10,000. SEE CONTINUATION SHEET(S) ► <u>3a</u> 118,500. Total **b** Approved for future payment NONE Total

Part XVI-A Analysis of Income-Producing Activities

| | | | | ·· | |
|--|-----------------|--|-----------------------|----------------------------------|-------------------|
| Enter gross amounts unless otherwise indicated. | | ed business income | | ided by section 512, 513, or 514 | (e) |
| | (a) Business | (b) Amount | (C) Exclu- sion | (d) | Related or exempt |
| 1 Program service revenue. | code | Amount | code | Amount | function income |
| a | - | | | | |
| b | _ | | ļ | | |
| C | _ | | <u> </u> | | |
| d | _ | | <u> </u> | | |
| e | | | | | |
| f | _ | | | | |
| g Fees and contracts from government agencies | | | <u> </u> | | |
| 2 Membership dues and assessments | | | <u> </u> | | |
| 3 Interest on savings and temporary cash | | | ľ | | |
| investments | | | 14 | 564. | <u></u> |
| 4 Dividends and interest from securities | | | 14 | | |
| 5 Net rental income or (loss) from real estate: | ·************ | 46. 4. 4. 4. 4. 4. 4. 4. 4. 4. 4. 4. 4. 4. | | | *. Se \$3.5.4 |
| a Debt-financed property | | | | | |
| b Not debt-financed property | | | | | |
| 6 Net rental income or (loss) from personal | | | | | |
| property | | | | | |
| 7 Other investment income | | | 14 | 22. | |
| 8 Gain or (loss) from sales of assets other | | | | | |
| than inventory | | | 18 | 19,269. | |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue: | | | | | |
| a | | | | | |
| b | | | | | |
| c | I | | 1 | | • |
| d | | | <u> </u> | | |
| | | | | - | ···· |
| e | | | | | |
| e | _ | 0. | 40, | 46,610. | 0. |
| e | | 0. | 40, | 30,010. | 1.5.510 |
| 13 Total. Add line 12, columns (b), (d), and (e) | | 0. | 4%, | | |
| 13 Total. Add line 12, columns (b), (d), and (e) (See worksheet in line 13 instructions to verify calculations.) | | | <u> </u> | 13 | 16 610 |
| 13 Total. Add line 12, columns (b), (d), and (e) (See worksheet in line 13 instructions to verify calculations.) Part*XVI-B* Relationship of Activities | to the Acco | mplishment of Exe | empt | 13 Purposes | 46,610. |
| 13 Total. Add line 12, columns (b), (d), and (e) (See worksheet in line 13 instructions to verify calculations.) Part*XVI-B Relationship of Activities Line No. Explain below how each activity for which income | to the Acco | mplishment of Exe | empt | 13 Purposes | 46,610. |
| 13 Total. Add line 12, columns (b), (d), and (e) (See worksheet in line 13 instructions to verify calculations.) Part*XVI=B Relationship of Activities Line No. Explain below how each activity for which incomplete the foundation's exempt purposes (other than | to the Acco | mplishment of Exe | empt | 13 Purposes | 46,610. |
| 13 Total. Add line 12, columns (b), (d), and (e) (See worksheet in line 13 instructions to verify calculations.) Part*XVI-B Relationship of Activities Line No. Explain below how each activity for which income | to the Acco | mplishment of Exe | empt | 13 Purposes | 46,610. |
| 13 Total. Add line 12, columns (b), (d), and (e) (See worksheet in line 13 instructions to verify calculations.) Part*XVI=B Relationship of Activities Line No. Explain below how each activity for which incomplete the foundation's exempt purposes (other than | to the Acco | mplishment of Exe | empt | 13 Purposes | 46,610. |
| 13 Total. Add line 12, columns (b), (d), and (e) (See worksheet in line 13 instructions to verify calculations.) Part*XVI=B Relationship of Activities Line No. Explain below how each activity for which incomplete the foundation's exempt purposes (other than | to the Acco | mplishment of Exe | empt | 13 Purposes | 46,610. |
| 13 Total. Add line 12, columns (b), (d), and (e) (See worksheet in line 13 instructions to verify calculations.) Part*XVI=B Relationship of Activities Line No. Explain below how each activity for which incomplete the foundation's exempt purposes (other than | to the Acco | mplishment of Exe | empt | 13 Purposes | 46,610. |
| 13 Total. Add line 12, columns (b), (d), and (e) (See worksheet in line 13 instructions to verify calculations.) Part*XVI=B Relationship of Activities Line No. Explain below how each activity for which incomplete the foundation's exempt purposes (other than | to the Acco | mplishment of Exe | empt | 13 Purposes | 46,610. |
| 13 Total. Add line 12, columns (b), (d), and (e) (See worksheet in line 13 instructions to verify calculations.) Part*XVI=B Relationship of Activities Line No. Explain below how each activity for which incomplete the foundation's exempt purposes (other than | to the Acco | mplishment of Exe | empt | 13 Purposes | 46,610. |
| 13 Total. Add line 12, columns (b), (d), and (e) (See worksheet in line 13 instructions to verify calculations.) Part*XVI=B Relationship of Activities Line No. Explain below how each activity for which incomplete the foundation's exempt purposes (other than | to the Acco | mplishment of Exe | empt | 13 Purposes | 46,610. |
| 13 Total. Add line 12, columns (b), (d), and (e) (See worksheet in line 13 instructions to verify calculations.) Part*XVI=B Relationship of Activities Line No. Explain below how each activity for which incomplete the foundation's exempt purposes (other than | to the Acco | mplishment of Exe | empt | 13 Purposes | 46,610. |
| 13 Total. Add line 12, columns (b), (d), and (e) (See worksheet in line 13 instructions to verify calculations.) Part*XVI=B Relationship of Activities Line No. Explain below how each activity for which incomplete the foundation's exempt purposes (other than | to the Acco | mplishment of Exe | empt | 13 Purposes | 46,610. |
| 13 Total. Add line 12, columns (b), (d), and (e) (See worksheet in line 13 instructions to verify calculations.) Part*XVI=B Relationship of Activities Line No. Explain below how each activity for which incomplete the foundation's exempt purposes (other than | to the Acco | mplishment of Exe | empt | 13 Purposes | 46,610. |
| 13 Total. Add line 12, columns (b), (d), and (e) (See worksheet in line 13 instructions to verify calculations.) Part*XVI=B Relationship of Activities Line No. Explain below how each activity for which incomplete the foundation's exempt purposes (other than | to the Acco | mplishment of Exe | empt | 13 Purposes | 46,610. |
| 13 Total. Add line 12, columns (b), (d), and (e) (See worksheet in line 13 instructions to verify calculations.) Part*XVI=B Relationship of Activities Line No. Explain below how each activity for which incomplete the foundation's exempt purposes (other than | to the Acco | mplishment of Exe | empt | 13 Purposes | 46,610. |
| 13 Total. Add line 12, columns (b), (d), and (e) (See worksheet in line 13 instructions to verify calculations.) Part*XVI=B Relationship of Activities Line No. Explain below how each activity for which incomplete the foundation's exempt purposes (other than | to the Acco | mplishment of Exe | empt | 13 Purposes | 46,610. |
| 13 Total. Add line 12, columns (b), (d), and (e) (See worksheet in line 13 instructions to verify calculations.) Part*XVI=B Relationship of Activities Line No. Explain below how each activity for which incomplete the foundation's exempt purposes (other than | to the Acco | mplishment of Exe | empt | 13 Purposes | 46,610. |
| 13 Total. Add line 12, columns (b), (d), and (e) (See worksheet in line 13 instructions to verify calculations.) Part*XVI=B Relationship of Activities Line No. Explain below how each activity for which incomplete the foundation's exempt purposes (other than | to the Acco | mplishment of Exe | empt | 13 Purposes | 46,610. |
| 13 Total. Add line 12, columns (b), (d), and (e) (See worksheet in line 13 instructions to verify calculations.) Part*XVI=B Relationship of Activities Line No. Explain below how each activity for which incomplete the foundation's exempt purposes (other than | to the Acco | mplishment of Exe | empt | 13 Purposes | 46,610. |
| 13 Total. Add line 12, columns (b), (d), and (e) (See worksheet in line 13 instructions to verify calculations.) Part*XVI=B Relationship of Activities Line No. Explain below how each activity for which incomplete the foundation's exempt purposes (other than | to the Acco | mplishment of Exe | empt | 13 Purposes | 46,610. |
| 13 Total. Add line 12, columns (b), (d), and (e) (See worksheet in line 13 instructions to verify calculations.) Part*XVI=B Relationship of Activities Line No. Explain below how each activity for which incomplete the foundation's exempt purposes (other than | to the Acco | mplishment of Exe | empt | 13 Purposes | 46,610. |

| 2a Is the four | ndation directly or indirectly affiliated with, | or related to, one | or more tax-exempt organ | izations described | | |
|-----------------------|---|---------------------|--------------------------|--|------------------------|---|
| ın section | 501(c) of the Code (other than section 501 | (c)(3)) or in secti | on 527? | | | Yes X No |
| b If "Yes," co | omplete the following schedule. | | | <u>, </u> | | |
| | (a) Name of organization | | (b) Type of organization | | (c) Description of rel | lationship |
| | N/A | | | | | |
| | | | | | | |
| | | | | | | |
| | · · · · · · · · · · · · · · · · · · · | | | | , <u> </u> | |
| | | | | <u> </u> | | |
| | penalties of perium, I declare that Mave examined the lief, it is true, correct, and complete. Declaration of | | | | | May the IRS discuss this return with the preparer |
| Sign and be Here | 1 1/1//// | | 11-11-1 | / • • • • • • • • • • • • • • • • • • • | | shown below (see instr)? |
| | | | 1// //-// | | OR/CEO | _ X Yes No |
| Sign | nature of officer of trustee | 15 | Date | Title | 01-1- | DTIN |
| | Print/Type preparer's name | Preparer's si | gnature | Date | | PTIN |
| Paid | ELLYN W. MARELL, | | | | self- employed | -00540075 |
| | CPA | ELLAN | W. MARELL, | 11/14/16 | | P00649275 |
| Preparer | Firm's name ► WIPFLI LLP | | | | Firm's EIN ► 3 | 9-0758449 |
| Use Only | 5 / 11 - 2 - 2 - 2 - 2 - 2 - 2 - 2 - 2 - 2 | | | | | |
| | Firm's address ► 7601 FRANC | | | TE 400 | 0.54 | |
| | MINNEAPOLI | S, MN | 55435 | <u> </u> | Phone no. 952 | 2.548.3400 |
| | | | | | | Form 990-PF (2015) |

THE CARTER FAMILY FOUNDATION

Part XV **Supplementary Information** Grants and Contributions Paid During the Year (Continuation) If recipient is an individual, Recipient Purpose of grant or contribution show any relationship to Foundation **Amount** any foundation manager or substantial contributor status of Name and address (home or business) recipient N/A PUBLIC CHARITY GENERAL WELLSPRING FOR THE WORLD, INC. PO BOX 9993 5,000. FARGO, ND 58106 WESTMINSTER CHURCH N/A PUBLIC CHARITY GENERAL 1200 MARQUETTE AVENUE SOUTH MINNEAPOLIS, MN 55403 22,000. PUBLIC CHARITY GENERAL UNIVERSITY OF MINNESOTA FOUNDATION N/A 200 OAK STREET S.E., SUITE 500 MINNEAPOLIS, MN 55455-2010 40,000. 67,000. Total from continuation sheets

Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury Internal Revenue Service

Schedule of Contributors

➤ Attach to Form 990, Form 990-EZ, or Form 990-PF.

Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www irs gov/form990.

OMB No 1545-0047

2015

Name of the organization

Employer identification number

Schedule B (Form 990, 990-EZ, or 990-PF) (2015)

| Т | HE CARTER FAMILY FOUNDATION | 20-3311749 |
|--|---|---|
| Organization type (check | one): | |
| Filers of: | Section: | |
| Form 990 or 990-EZ | 501(c)() (enter number) organization | |
| | 4947(a)(1) nonexempt charitable trust not treated as a private foundation | |
| | 527 political organization | |
| Form 990-PF | X 501(c)(3) exempt private foundation | |
| | 4947(a)(1) nonexempt charitable trust treated as a private foundation | |
| | 501(c)(3) taxable private foundation | |
| | n is covered by the General Rule or a Special Rule. c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Ru | e. See instructions |
| | on filing Form 990, 990·EZ, or 990·PF that received, during the year, contributions totaling ny one contributor. Complete Parts I and II. See instructions for determining a contributor. | • |
| Special Rules | | |
| sections 509(a)(1 any one contribu | ion described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support i) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, itor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amout EZ, line 1 Complete Parts I and II | or 16b, and that received from |
| year, total contri | non described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from butions of more than \$1,000 <i>exclusively</i> for religious, charitable, scientific, literary, or education for children or animals. Complete Parts I, II, and III | |
| year, contributio is checked, ente purpose. Do not | non described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from the exclusively for religious, charitable, etc., purposes, but no such contributions totaled may refer the total contributions that were received during the year for an exclusively religious complete any of the parts unless the General Rule applies to this organization because the ble, etc., contributions totaling \$5,000 or more during the year | ore than \$1,000. If this box s, charitable, etc , |
| but it must answer "No" o | that is not covered by the General Rule and/or the Special Rules does not file Schedule E on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its F et the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF) | |

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF.

Name of organization

Employer identification number

THE CARTER FAMILY FOUNDATION

20-3311749

| Part 1 | Contributors (see instructions). Use duplicate copies of Part I if add | ditional space is needed. | |
|--------------|--|----------------------------|---|
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
| 1 | SUMMIT MORTGAGE CORPORATION 1335 10TH AVE. N, STE 100 PLYMOUTH, MN 55441 | \$698,500. | Person X Payroll Noncash (Complete Part II for noncash contributions) |
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
| | | \$ | Person Payroll Occupate Part II for noncash contributions. |
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
| | | | Person Payroll Oncash Complete Part II for noncash contributions) |
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
| | | | Person Payroll Noncash (Complete Part II for noncash contributions) |
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
| | | \$ | Person Payroll Oncash Complete Part II for noncash contributions) |
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
| 522452 10 26 | | \$ | Person Payroll Noncash (Complete Part II for noncash contributions.) |

Name of organization

Employer identification number

THE CARTER FAMILY FOUNDATION

20-3311749

| Part 11 | Noncash Property (see instructions). Use duplicate copies of Part II if an | dditional space is needed. | |
|------------------------------|--|--|----------------------|
| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
| | | \$ | |
| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
| | | \$ | |
| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
| | | \$ | |
| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
| | | \$ | |
| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
| | | \$ | |
| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
| | | \$ | |

Employer identification number

| | RTER FAMILY FOUNDATION | | 20-3311749 | | | | |
|---------------------------|--|---|--|--|--|--|--|
| Part III | the year from any one contributor. Complete completing Part III, enter the total of exclusively religiou | Columns (a) through (e) and the follow s, charitable, etc , contributions of \$1,000 or le | section 501(c)(7), (8), or (10) that total more than \$1,000 for ring line entry. For organizations sess for the year (Enter this info once) | | | | |
| (a) No. from Part I | Use duplicate copies of Part III if addition (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held | | | | |
| - | | | | | | | |
| - | | (e) Transfer of gift | | | | | |
| - | Transferee's name, address, a | nd ZIP + 4 | Relationship of transferor to transferee | | | | |
| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held | | | | |
| | | | | | | | |
| - | | (e) Transfer of gift | | | | | |
| - | Transferee's name, address, a | nd ZIP + 4 | Relationship of transferor to transferee | | | | |
| - | | | | | | | |
| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held | | | | |
| | | | | | | | |
| - | | (e) Transfer of gift | | | | | |
| - | Transferee's name, address, a | nd ZIP + 4 | Relationship of transferor to transferee | | | | |
| - | | | | | | | |
| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held | | | | |
| | | | | | | | |
| | | (e) Transfer of gift | | | | | |
| - | Transferee's name, address, a | nd ZIP + 4 | Relationship of transferor to transferee | | | | |
| - | | | | | | | |

| FORM 990-PF INT | EREST ON SAVI | NGS AND T | EMPORA | ARY CASH | INV | ESTMENTS | នា | PATEMENT 1 |
|--|---|-----------------------------|-----------|------------------------------|--------|---------------------------------|------------|-------------------------------|
| SOURCE | | | | | | B) VESTMENT COME | | (C) ADJUSTED IET INCOME |
| CHARLES SCHWAB | | | 5 (| <u> </u> | | 553. | | ···· |
| TOTAL TO PART I, | LINE 3 | | 564. | | | 553. | | |
| FORM 990-PF | DIVIDEND | S AND INT | EREST | FROM SEC | URI | TIES | SI | PATEMENT 2 |
| SOURCE | GROSS AMOUNT | CAPIT GAIN DIVIDE | S | (A) REVENUE PER BOOK | | (B) NET INVES MENT INCO | | (C) ADJUSTED NET INCOME |
| CHARLES SCHWAB CHARLES SCHWAB NORTHWESTERN | 16,180 13 | | 0. 13. | 16,18 | 0. | | 2. | |
| MUTUAL NORTHWESTERN MUTUAL | 7,180 10,575 | | 180. | 10,57 | 0. | | 0. | |
| TO PART I, LINE | | 7, | | - | | | | |
| FORM 990-PF | | OTHER | INCON | ſΕ | | | Sī | PATEMENT 3 |
| DESCRIPTION | | | REV | (A) /ENUE BOOKS | | (B) ET INVEST- ENT INCOME | | (C) ADJUSTED NET INCOME |
| OTHER INCOME | | | | 22. | | 22 | • | |
| TOTAL TO FORM 99 | O-PF, PART I, | LINE 11 | | 22. | | 22 | <u>.</u> = | |
| FORM 990-PF | , , , , , , , , , , , , , , , , , , , | ACCOUN | TING E | FEES | | | SI | PATEMENT 4 |
| DESCRIPTION | | (A) EXPENSES PER BOOK | | (B) TINVEST- NT INCOME | | (C) ADJUSTED NET INCOM | | (D) CHARITABLI PURPOSES |
| TAX RETURN PREPA | RATION | 3,74 | 3. | 1,872 | | | | 1,871 |
| TO FORM 990-PF, | PG 1, LN 16B | 3,74 | 3. | 1,872 | :. | | | 1,871 |
| | = | | | | == = | | | |

| FORM 990-PF | OTHER PROFES | SIONAL FEES | S | TATEMENT 5 |
|---|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
| NW MUTUAL INVESTMENT FEES | 4,622. | 4,622. | | 0. |
| TO FORM 990-PF, PG 1, LN 160 | 4,622. | 4,622. | | 0. |
| FORM 990-PF | TAX | ES | S | TATEMENT 6 |
| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
| 2014 EXCISE TAXES PAID IN 2015 FOREIGN TAX PAID | 1,000. | | | 0. |
| TO FORM 990-PF, PG 1, LN 18 | 1,308. | 308. | | 0. |
| FORM 990-PF | OTHER E | XPENSES | S | TATEMENT 7 |
| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
| MN ANNUAL REGISTRATION | 25. | 0. | • | 25. |
| TO FORM 990-PF, PG 1, LN 23 | 25. | 0. | | 25. |
| EODM 000 DE OMIED DECREA | CDC TNI NIDM AC | CEMC OF FINE | ONI ANCEC C | патемечт о |
| FORM 990-PF OTHER DECREAS | SES IN NET AS | SETS OR FUND I | BALANCES S | TATEMENT 8 |
| DESCRIPTION | | | | AMOUNT |
| INCOME RECOGNITION TIMING DEACCRUED CURRENT YEAR INCOME | IFFERENCES | | | 9,797 2,978 |
| TOTAL TO FORM 990-PF, PART 1 | III, LINE 5 | | | 12,775 |

| FORM 990-PF OTHER | INVESTMENTS | | STATEMENT 9 |
|---|---------------------|------------|----------------------|
| DESCRIPTION | VALUATION METHOD | BOOK VALUE | FAIR MARKET VALUE |
| NORTHWESTERN MUTUAL -SEE ATTACHED | COST | | |
| SCHEDULE CHARLES SCHWAB - SEE ATTACHED | сост | 437,667. | 450,565. |
| SCHEDULE | COST | 1,236,161. | 1,306,663. |
| TOTAL TO FORM 990-PF, PART II, LINE | 13 | 1,673,828. | 1,757,228. |

| ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~ | | |
|--|-----------------------------|--------------|
| FORM 990-PF | PART XV - LINE 1A | STATEMENT 10 |
| | LIST OF FOUNDATION MANAGERS | |

NAME OF MANAGER

DIANA CLARKE-CARTER ROBERT LOWELL CARTER

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION PART XV, LINES 2A THROUGH 2D

STATEMENT 11

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

EXECUTIVE DIRECTOR, CARTER FAMILY FOUNDATION 130 SPUR CIRCLE WAYZATA, MN 55391

TELEPHONE NUMBER

763-390-4277

FORM AND CONTENT OF APPLICATIONS

APPLICANTS SHOULD REVIEW MISSION STATEMENT AND SUBMIT LETTER OF INTEREST INDICATING THE FOCUS OF THE PROGRAM, HOW THE FOCUS IS CONSISTENT WITH THE FOUNDATIONS GOALS AND THE AMOUNT AND SPECIFIC USE OF THE REQUESTED GRANT. THE FOUNDATION WILL NOTIFY THE APPLICANT WITHIN 3 WEEKS IF THE FOUNDATION IS WILLING TO REVIEW A FULL PROPOSAL.

ANY SUBMISSION DEADLINES

NONE

RESTRICTIONS AND LIMITATIONS ON AWARDS

MUST BE CONSISTENT WITH FOUNDATION MISSION STATEMENT.

PORTFOLIO APPRAISAL

Carter Family Foundation
Balanced Portfolio #733a

Schwab 2215-3100

December 31, 2015

| Quantity | Security | Security Symbol | Date | Unit | Total Cost | Price | Pct Assets | Market Value |
|-------------------------------------|---|--------------------|----------|--------|--------------------------------------|--------|----------------------|--------------------------------------|
| Money Market, Cash | Money Market, Cash, and Accrued Dividends Dividend Accruals Schwab AMT Tax-Free | divacc swfxx | | I | 2,062.50 113,977 67 116,040.17 | | 0.14 8 01 8.16 | 2,062 50 113,977.67 116,040.17 |
| Taxable Municipal Bonds 25,000 Oreg | icipal Bonds 25,000 Oregon State GO Oregon Univ Sys | 68608uc38 | 12-08-15 | 100.72 | 25,178.75 | 69 001 | 1.77 | 25,173 25 |
| 35,000 | | 764265ml5 | 12-09-15 | 101.89 | 35,660.75 | 99.10 | 2 44 | 34,684 30 |
| 35,000 | 2.500% Due 11-01-20 35,000 Fargo ND GO Dev 2015E | 30747nbf0 | 12-08-15 | 100 04 | 35,015.00 | 71.66 | 2.45 | 34,918.80 |
| 35,000 | | 967244264 | 12-11-15 | 105.11 | 36,787 75 | 104.91 | 2 58 | 36,717 80 |
| 35,000 | 3 200% Due 06-01-22 Houston TX GO Ltd 2011B M-W Call 3 393% Due 03-01-23 | 442331vf8 | 12-09-15 | 105.12 | 36,793 35 | 104 35 | 2 57 | 36,523.55 |

PORTFOLIO APPRAISAL Carter Family Foundation Balanced Portfolio #733a Schwab 2215-3100 December 31, 2015

| Market Value | 35,730.10 | 34,518.75 | 916.15 | 239,182 70 | | 39,710.00 | 36,006.00 | 0000 | 37,888.00 | 33,730.65 | 39,938.40 | 46,323 90 | 37,658.50 | 48,167 60 | 44,697 00 | 42,527.10 | 31,511 20 | 47,764 80 |
|--------------------|-----------------------------------|---|---|------------|---------------|---------------|----------------|------------|--------------|-----------------|--------------|------------|------------|-------------|------------|------------------------|---------------------|-------------------|
| Pct Assets | 2 51 | 2 43 | 90 0 | 18 91 | ; | 2 79 | 2 53 | , | 7 00 | 2.37 | 2 81 | 3 26 | 2.65 | 3 39 | 3.14 | 2 99 | 2 21 | 3.36 |
| Price | 102.09 | 98 63 | | | | 104 50 | 84.72 | | 189 44 | 71.76 | 92 88 | 114 38 | 45 10 | 40 82 | 148 99 | 36.82 | 92 68 | 102 72 |
| Total Cost | 36,071 30 | 35,767.50 | | 241,274.40 | , | 29,792 88 | 30,887.76 | | 26,792.75 | 22,620.90 | 32,164.97 | 42,954.22 | 35,959.49 | 48,323 75 | 42,639.10 | 55,316 98 | 27,253.95 | 44,255 41 |
| Unit Cost | 103 06 | 102.19 | | | | 78 40 | 72 68 | , | 133.96 | 65 57 | 74 80 | 106.06 | 43 07 | 40.95 | 142 13 | 47 89 | 80 16 | 95.17 |
| Date | 12-11-15 | 12-14-15 | | | | 11-25-13 | 12-20-13 | | 12-13-13 | 11-18-13 | 11-06-13 | 12-17-13 | 11-18-13 | 07-21-15 | 11-25-13 | 11-06-13 | 12-17-13 | 12-13-13 |
| Security Symbol | 949493us7 | 938429n37 | | | | acn | adp | | pcr | cvs | dhr | ecl | expd | fast | fdx | pen | ıtw | jnj |
| Security | Wellesley MA GO LD Acquisition | LTD 2015 3 000% Due 06-01-24 Washington Cty OR GO Ltd Sch Dist 481 Reaverton Pensn 2015 | 3.160% Due 06-30-25 Accrued Interest | | | Accenture PLC | Automatic Data | Processing | CR Bard Inc. | CVS Health Corp | Danaher Corp | Ecolab Inc | Expeditors | Fastenal Co | Fedex Corp | Franklin Resources Inc | Illinois Tool Works | Johnson & Johnson |
| Quantity | 35,000 | 35,000 | | | Common Stocks | 380 | 425 | | 200 | 345 | 430 | 405 | 835 | 1,180 | 300 | 1155 | 340 | 465 |

PORTFOLIO APPRAISAL

Carter Family Foundation

Balanced Portfolio #733a

Schwab 2215-3100

December 31, 2015

| Quantity | Security | Security Symbol | Date | Unit Cost | Total Cost | Price | Pct Assets | Market Value |
|-----------------|-----------------------------|--------------------|----------|--------------|---------------|--------|---------------------------------------|-----------------|
| 1 135 | 1 135 Johnson Controls Inc. | 701936 | 11-06-13 | 44.45 | 50 451 63 | 39 49 | 3.15 | \$1 168 97 |
| 661,1 | OLD | 100001 | | <u>:</u> | | | 2 | |
| 630 | Medtronic PLC | mdt | 01-27-15 | 76 75 | 48,355 50 | 76 92 | 3 41 | 48,459.60 |
| 700 | Microsoft Corp | msft | 11-06-13 | 37 72 | 26,402 59 | 55.48 | 2 73 | 38,836 00 |
| 385 | Novartis AG ADR | nvs | 12-20-13 | 80 54 | 31,008.66 | 86 04 | 2.33 | 33,125 40 |
| 1,200 | Oracle Corp | orcl | 11-06-13 | 36 64 | 43,962 93 | 36 53 | 3.08 | 43,836 00 |
| 999 | Procter & Gamble Co | pg | 12-02-13 | 78 17 | 51,980 54 | 79 41 | 3.71 | 52,807.65 |
| 835 | Qualcomm, Inc. | dcom | 11-06-13 | 63 52 | 53,042.70 | 49.99 | 2.93 | 41,737 48 |
| 190 | Sherwin-Williams Co | shw | 12-20-13 | 198 96 | 37,802.58 | 259 60 | 3 47 | 49,324.00 |
| 360 | Stryker Corp | syk | 11-18-13 | 74.43 | 26,794.39 | 92.94 | 235 | 33,458 40 |
| 370 | ThermoFisher | tmo | 11-27-13 | 107 30 | 39,701 39 | 141.85 | 3 69 | 52,484.50 |
| | Scientific Inc | | | | | | | |
| 635 | | > | 07-15-14 | 59 54 | 37,805.47 | 77.55 | 3.46 | 49,244 25 |
| 210 | W W. Gr | gww | 02-26-15 | 234 89 | 49,325 95 | 202.59 | 2.99 | 42,543.90 |
| 830 | | wmt | 11-06-13 | 71 43 | 59,289 85 | 61 30 | 3.58 | 50,879 00 |
| | | | | ĺ | 994,886.34 | | 75.03 | 1,067,480 48 |
| TOTAL PORTFOLIO | 01 | | | | 1.352.200.91 | | 100.00 | 1.422.703.35 |
| | 2 | | | | | | · · · · · · · · · · · · · · · · · · · | |

Statement Period: 12/01/2015 - 12/31/2015

Account Statement

Your Account Information (continued)

Tax Lot Default Disposition Method

Default Method for Mutual Funds: AVERACE COST (USING FIRST IN FIRST OUT)
Default Method for Stocks in a Dividend Reinvestment Plan FIRST IN FIRST OUT
Default Method for all Other Securities: FIRST IN FIRST OUT

Bond Amortization Elections:

Amortize premium on taxable bonds based on Constant Yield Method Yes Accual market discount method for all other bond types. Constant Yield Method

indude market discount in income annually: No

Electronic Delivery

You have not selected any account communications for electronic delivery. To register and turn off paper communications, log in to your account or contact your Financial Representative for more information.

Portfolio Holdings

| Opening Date | Countily | Account | Activity Ending | Opening Belance | Desing Belence | Acrose | boses This Year | Pod-Oc. | Cerrent Field |
|---|---------------------------|--------------------------------|--------------------|---|-------------------|------------------------|--------------------|--------------------------------------|------------------|
| Cash, Money Funds, and Bank Deposits 1.00% of Portfolio Money Market | d Bank Dep | osits 1.00% of | Portfolio | , | 1 | | | | |
| GENERAL MNY MKT FUND CL B | 1. 18 3.319 790 | 8 3319790 0000637754 | 12/31/15 | 3.878.89 | 3,319,79 | 00 0 | 0.58 | 0018 | 0.01% |
| Total Money Market | | | | \$3,828.89 | \$3,319.79 | \$0.00 | \$0.58 | | |
| Total Cash, Money Funds, and Bank Deposits | ds, and Ban | k Deposits | | \$3,828.89 | \$3,319.79 | \$0.00 | \$0.58 | | |
| Date Acquired | Countilly | i E | (est Ross | Market Price | Market Veloc | Unredized Gein/Less | | Estimated Estimate Assert Income Tel | |
| Mutual Funds 99.00% of Portfolio | of Portfolio | | | | | | | | |
| AMERICAN CENTURY DIVERSIFIED BOND INVESTOR CLASS | SIFIED BOND | | Security ld ਹ | Security Identifier. ADFIX CUSIP 024932402 | | | | | |
| Open End Fund Dividend Option, Reinvest; Capital Gains Option: Reinvest | tal Gains Option | n: Reinvest |) | | | | | | |
| Average | 1,609 066 | 10,7110 | 17,234.78 | . 10.6400 | 17,120.46 | -114.32 | | 377.12 | 220% |
| Total Noncovered | 1,609.066 | | 17,234.78 | | 17,120.46 | -114.32 | | 577.12 | |
| Average | 626.394 | 10.9110 | 6,834 72 | 10.6400 | 6,664.83 | -169 89 | | 146.81 | 220% |
| Total Covered | 626.394 | | 6,834.72 | | 6,664.83 | -169.89 | | 146.81 | |
| Total | 2,235.460 | | \$24,069.50 | | \$23,785.29 | -\$284.21 | | \$523.93 | |

Page 3 of 24

| | (continued) |
|---|-------------|
| | 58 |
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| | £ |
| | 9 |
| | 19 |
| • | ē |

| | Usail Cast | Cest Besis | Markel Price | Market Veloc | Gein/Aess | Assed became | Tredd |
|--|-------------|---------------------|--|---------------------------------------|-------------|--------------|---------|
| Mutual Funds (continued) | | | | | | • | |
| HARTFORD WORLD BOND FUND CLASS I Open End Fund | | Security Idea | Security Identifier: HWDIX CUSIP. 41664M235 | * | 1 | | |
| Dividend Option: Reinvest, Capital Gains Option: Reinvest | n' Reinvest | ı | | | | | |
| Average 1,861.533 | 10 7420 | 19,996.90 | 10 0900 | 18,782 87 | -1,214.03 | 65.991 | 1.06% |
| INTERNATIONAL GROWTH AND INCOME | | Security ld | dentifier. IGFFX | | | | |
| FUND CLASS F-2 | | 3 | CUSIP: 459561501 | | | | |
| Open End rund Dividend Option, Reinvest: Capital Gains Option: Reinvest | n: Reinvest | | | , | ; ; ; | • | |
| Average 285.314 | 34.9250 | 9,964 62 | 28.3500 | 8,088.65 | 1,875 97 | 281.77 | 3.48% |
| JOHN HANCOCK DISCIPLINED VALUE | | Security 14 | Security Identifier: JVMIX | | • | | |
| MID CAP FUND CLASS I | | SID | CUSIP: 47803W406 | , | , | | |
| Open End Fund Dividend Option: Removest Capital Gains Option Removest | n Reinvest | | | | - | | |
| Average 785 649 | 16 9340 | 17 407 99 | 19 1500 | 15 045 18 | 1 741 19 | 98 68 | 0.5506 |
| LIE CHAD O' ACC I | — į- | 7 | William Melity | | Citte | 02:00 | |
| Mrs Valor rows CLASs a | , | | CUSIP 552983694 | 5 | | | |
| Dividend Option Reinvest, Capital Gains Option Reinvest | n Reinvest | } | | • . | . • | | |
| Average 3 851 403 | 23.5060 | 20,013.29 | 32 9600 | 28,062.25 | 8,048 96 | 622.52 | 2.21% |
| Total Noncovered 851.403 | | 20,013.29 | | 28,062.25 | 8,048.96 | 15729 | |
| 1 ' | 31.7060 | 5,468.53 | 32.9600 | 5,684 77 | 21624 | 176.11 | 221% |
| ered | | 5,468.53 | 1 | 5,684.77 | 216.24 | 126.11 | |
| Total 1,023.878 | , | \$25,481.82 | - | \$33,747.02 | \$8,265.20 | \$748.63 | |
| METROPOLITAN WEST TOTAL RETURN BOND | OND | Security Idea | Security Identifier: MWIIX | 1 | | | |
| FUND CLASS I | | 3 | CUSIP. 592905509 | ŧ | | | |
| Open End Fund | Deiniert | | 1 . | | | | |
| Average | 109160 | 19 650 91 | .10,6200 | 07 2 1 1 5 1 | -533 51 | C7 072 | 1 0,704 |
| | 20. | 10,000,01 | 00200 | , , , , , , , , , , , , , , , , , , , | 10.000 | 79.646 | |
| NEW WOKLD FUND CLASS F-2 Open End Fund | | Security lde | Security Identifier: NFFFX CUSIP. 649280823 | | | | |
| Dividend Option. Reinvest, Capital Gains Option Reinvest | n Reinvest | | | \$ | | | , |
| Average 411.377 | 57.9240 | 23,828.60 | 49.8800 | 20,51948 | -3,309.12 | 193 71 | 0 94% |
| NORTHERN SMALL CAP VALUE FUND | | Security Ider | Security Identifier: NOSGX | * | 1 | | |
| Open End Fulld Dwidend Option Reinvest, Capital Gains Option, Reinvest | n. Reinvest | 3 | COSIL BOSIBZAND | *** | | | |
| Average 383011 | 17.4520 | 6,684.13 | 19.2800 | 7,384 45 | 700.32 | 86 83 | 1.17% |
| PARNASSUS CORE EQUITY FUND | | Security Id | Security Identifier: PRILX | | | | |
| INSTITUTIONAL CLASS | 1 | (D) | CUSIP 701769408 | | , | | |
| Open End Fund Dwidend Option: Reinvest: Capital Gains Option Beinvest | n Reinvest | | ı | 1 1 | , | | |
| ands sum mides because monds sussessing | , | | | | ! ! : ; | | |

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Account Number: A41-142927

B00000C0CSF109DP

Account Statement

Statement Period: 12/01/2015 - 12/31/2015

Portfolio Holdings (continued)

| Date Acquired | Quantity | Unity Cost | Cest Besis | Market Price | Market Value | Umrodized Grin/Less | Estimated Estimated Americal Income Trook | Stands Told |
|--|---|---|---------------|--|--------------|------------------------|---|-------------------|
| Mutual Funds (continued) PADNACSIS CORE FOLITY FIND (continued) | UD (continued) | | | | | | | |
| Average | 461.452 | 38.2680 | 17,659 06 | 37.0300 | . 17,087.57 | -57149 - | 410.09 | 2.39% |
| INCOME FUND CLASS | ۵. | | Security Idea | Identifier: PONPX | | | | |
| Open End Fund | * | · · · · · · · · · · · · · · · · · · · | CG | CUSIP. 72201M7.19 . | | 1 4 | | |
| Dividend Option. Reinvest, Capital Gains Option: Reinvest | Gains Option: Re | sinvest | | | | | | |
| Average | 3,856.956 | 12,2620 | 47,293 22 | 11.7300 | 45,242 09 | -2,051 13 | 2,517.80 | 2 56% |
| T ROWE PRICE REAL ESTATE | | | Security Ide | Security Identifier: TRREX | | | | |
| Open Era Fund Dividend Ontion: Reinvest: Capital Cains Option: Reinvest | Gains Option: R | PINVEST | 3 | COSIP 7/9919109 | İ | | | |
| Average | 703.881 | 17 9920 | 12,66427 | 27.4900 | 19,349.69 | 6,685 42 | 436 40 | 225% |
| Total Noncovered | 703.881 | 1 | 12,664.27 | | 19,349.69 | 6,685.42 | 436.40 | |
| Average | 100 962 | 22.8180 | 2,303 71 | 27.4900 | 2,775.44 | 471.73 | 62.60 | 225% |
| Total Covered | 100.962 | | 2,303.71 | | 2,775,44 | 471.73 | 62.60 | |
| Total | 804.843 | | \$14,967.98 | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, | \$22,125.13 | \$7,157.15 | \$499.00 | |
| TEMPLETON GLOBAL BOND FUND ADVISOR | UND ADVISOR | | Security Ide | Security Identifier: TGBAX | | | | |
| CLASS | | | | CUSIP 880208400 | | , | | |
| Open End Fund | | | | | | | | |
| Dividend Option. Reinvest, Capital Gains Option. Reinvest | Gains Option. R | einvest | ĵ | | • | | | |
| Average | 1,283,234 | 13.4360 | 17,241 12 | 11.5300 | 14,795.68 | -2,445 44 | 500 84 | 3.38% |
| Total Noncovered | 1,283,234 | | 17,241.12 | | 14,795.68 | -2,445.44 | 200.84 | |
| Average | 406,475 | 12 8430 | 5,220.25 | 11.5300 | 4,686 66 | -533.59 | 158.65 | 3.38% |
| Total Covered | 406.475 | | 5,220.25 | | 4,686.66 | -533.59 | 158.65 | |
| Total | 1,689.709 | *************************************** | \$22,461.37 | | \$19,482.34 | -\$2,979.03 | \$659\$ | |
| VANGUARD SHORT-TERM INVESTMENT GRADE FUND INVESTOR SHARES | ESTIMENT GRA | 3G | > | Security Identifier: VF5TX CUSIP. 922031406 | | ; | | |
| Open End Fund Dividend Option: Reinvest, Capital Gains Option. Reinvest | Gains Option. R | einvest | | | | | | |
| Average | 801 686 | 0692 01 | 10,651.52 | 10 5600 | 10,444.98 | -206.54 | 186 69 | 1 78% |
| LOCAL MONCOVERED | 363.106 | 020001 | 10,651.52 | 0000 | 10,444,96 | 4C.007- | 190.03 | 700E 1 |
| Average | 1,951.659 | 10.8250 | 20,905 48 | 10 2600 | 20,598.11 | 15.105- | 364 60 | 0 / 8/ |

Account Number: A41-142927

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| Date Acraired | Grantiiv | Unit Cost | Cast Basis | Market Price | Market Volue | Uhurodized Gain/Less | | Estimated Estimate Assert boson - Yes | 7 |
|---|------------|-----------------------|--------------|--------------|--------------|-------------------------|--------|---------------------------------------|---|
| Mutual Funds (continued) VANGUARD SHORT-TERM INVESTMENT GRADE (continued) | STMENT GRA | DE (continued) | 1 | | 1 | • | | | 1 |
| Total Covered | 1,931.639 | | 20,905.48 | | 20,398.11 | -507.37 | | 364.60 | |
| Total | 2,920,747 | | \$31,557.00 | | \$30,843.09 | -\$713.91 | | \$551.29 | |
| Mutual Funds | 1 | • | \$437,667.28 | | \$450,564.63 | \$12,897.35 | | \$9,419.36 | |
| , | i | | | . 1 | Market Value | Unredized Grin/Loss | Acrued | Estimated Aureof lacone | |
| Total Portfolio Holdings | 28 | * | \$440,987.07 | 1 | \$453,884.42 | \$12,897.35 | \$0.00 | \$9,419.94 | |

Noncovered under the cost basis rules as defined below.

All other securities in this section are securities which are "covered" under the new cost basis reporting rules. Securities which are "covered" under the new cost basis reporting rules are defined as non-retirement. US taxpayers securities which have been acquired on or after their "applicable date(s)" at which they are subject to the cost basis reporting rules and the adjusted basis will be reported to the IRS on form 1099-B amendments enacted by P.L. 110-343, the Emergency Economic Stabilization Act of 2008) and are, therefore, considered "noncovered," under the new cost basis reporting rules, and marked or denoted as such Securities acquired before 2011 or in retirement accounts are generally not subject to the new cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended ("IRC") (incorporating for the applicable tax year in which the security is disposed.

Reporting requirements generally will be phased in over a three-year period, as follows:

- Stock in a corporation acquired on or after January 1, 2011
- Mutual funds and dividend reinvestment plan (DRP) shares acquired on or after January 1, 2012
- Options and any Debt Instruments that provide a simple fixed payment schedule for which a yield to maturity can be easily determined acquired on or after January 1, 2014.
- ³ The cost basis of this security has been provided to us by you or your introducing firm and Pershing makes no representation as to the accuracy of this information.

Portfolio Holdings Disclosures

This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period. The market prices, unless otherwise noted have been obtained from independent vendor services, which we believe to be reliable. Market prices do not constitute a bid or an offer, and may differ from the actual sale price. Securities for which a price is not available are marked "N/A" and are omitted from the Total.

THE AS OF PRICE DATE ONLY APPEARS WHEN THE PRICE DATE DOES NOT EQUAL THE STATEMENT DATE.

Estimated Annual Figures

These figures are computed using information from providers believed to be reliable, however, no assurance can be made as to the accuracy. Since interest and dividend rates are subject to change at any time, The estimated annual income (EAI) and estimated annual yield (EAY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or guarantee of future results: and may be affected by current and future economic, political, and business conditions, they should not be refied on for making investment, trading, or tax decisions. These figures assume that the position quantities, interest and dividend rates, and prices remain constant. A capital gain or return of principal may be included in the figures for certain securities, thereby overstathing them. Refer to www.pershing.com/business_continuity.html for specific details as to formulas used to calculate the figures. Accrued interest represents interest earned but not yet received.

The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your statement may have been reinvested. You will not receive confirmation of these reinvestments, Upon written request to your financial institution, information pertaining to these transactions, including the time of execution and the name of the person from whom your security was purchased, may be obtained. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow.

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Clearing through Pershing LLC, a wholly or and subsidiary of The Bank of New York Mellon Corporation (BAY Mellon)

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Schwab One® Account of THE CARTER FAMILY FOUNDATION

Account Number 2215-3100

Report Period January 1 - December 31, 2015

2015 Year-End Schwab Gain/Loss Report

| Realized Gain or (Loss) | | | | | Accour | Accounting Method: High Cost |
|---------------------------|--------------|---------------------|-------------------|----------------|-------------|------------------------------|
| Short-Term | Quantity/Par | Acquired/ Opened | Sold/ Closed | Total Proceeds | Cost Basis | Realized Gain or (Loss) |
| ACCENTURE PLC CL A F' ACN | 20 0000 | 11/24/14 | 05/20/15 | \$4,839.09 | \$4,246 93 | \$592 16 |
| Security Subtotal | | | | \$4,839.09 | \$4,246.93 | \$592.16 |
| CVS HEALTH CORP. CVS | 40 0000 | 12/10/14 | 06/23/15 | \$4,239 00 | \$3,655 51 | \$583.49 |
| Security Subtotal | | | | \$4,239.00 | \$3,655.51 | \$583.49 |
| DONALDSON COMPANY: DCI | 185.0000 | 11/24/14 | 07/13/15 | \$6,270 34 | \$7,369.63 | (\$1,099.29) |
| Security Subtotal | | | | \$6,270.34 | \$7,369.63 | (\$1,099.29) |
| Total Short-Term | | | | \$15,348.43 | \$15,272.07 | \$76.36 |
| | | Acquired/ | Sold/ | | | Realized |
| Long-Term | Quantity/Par | Opened | Closed | Total Proceeds | Cost Basis | Gain or (Loss) |
| CVS HEALTH CORP CVS | 10 0000 | 11/18/13 | 06/23/15 | \$1,059 75 | \$655 68 | \$404 07 |
| Security Subtotal | | | | \$1,059.75 | \$655.68 | \$404.07 |
| DONALDSON COMPANY: DCI | 640 0000 | 12/02/13 | 12/02/13 07/13/15 | \$21,691 99 | \$26,909 62 | (\$5,217.63) |
| DONALDSON COMPANY DCI | 80.0000 | 05/23/14 | 07/13/15 | \$2,711 50 | \$3,248 82 | (\$537 32) |
| Security Subtotal | | | | \$24,403.49 | \$30,158.44 | (\$5,754.95) |



Schwab One® Account of THE CARTER FAMILY FOUNDATION

Account Number 2215-3100

Report Period January 1 - December 31, 2015

2015 Year-End Schwab Gain/Loss Report

| Realized Gain or (Loss) (continued) | į | | | | Accoun | Accounting Method: High Cost |
|---|--------------|---------------------|-------------------|----------------|-------------|------------------------------|
| Long-Term (continued) | Quantity/Par | Acquired/ Opened | Sold/ Closed | Total Proceeds | Cost Basis | Realized Gain or (Loss) |
| MEDTRONIC INC XXXMANDATORY EXCHANGE EFF 01/27/15 585055106 | 470 0000 | 11/25/13 | 11/25/13 01/27/15 | \$36,166 50 | \$27,153 33 | \$9,013 17 |
| Security Subtotal | | | | \$36,166.50 | \$27,153.33 | \$9,013.17 |
| SHERWIN WILLIAMS CO: SHW | 20 0000 | 12/20/13 | 12/20/13 02/03/15 | \$5,415.27 | \$3,622.78 | \$1,792 49 |
| Security Subtotal | | | | \$5,415.27 | \$3,622.78 | \$1,792.49 |
| SIGMA ALDRICH CORP· SIAL | 210 0000 | 11/06/13 | 11/06/13 02/23/15 | \$29,064 74 | \$17,984 58 | \$11,080.16 |
| Security Subtotal | | | | \$29,064.74 | \$17,984.58 | \$11,080.16 |
| Total Long-Term | | | | \$96,109.75 | \$79,574.81 | \$16,534.94 |
| | | | | | | |
| Total Realized Gain or (Loss) | | | | \$111,458.18 | \$94,846.88 | \$16,611.30 |

Schwab has provided realized gain/loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. See Terms and Conditions.

Option Customers Realized gain/loss of underlying securities is adjusted to reflect the premiums of assigned or exercised options.