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Form

990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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▶ Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2015

Open to Public Inspection

For calendar year 2015, or tax year beginning 01-01-2015, and ending 12-31-2015

Name of foundation Cornelia Cogswell Rossi Foundation Inc		A Employer identification number 20-1420345	
% Foundation Source		B Telephone number (see instructions) (800) 839-1754	
Number and street (or P O box number if mail is not delivered to street address) Foundation Source 501 Silverside Rd		Room/suite	
City or town, state or province, country, and ZIP or foreign postal code Wilmington, DE 198091377		C If exemption application is pending, check here ▶ ☐	
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change		☐ Initial return of a former public charity ☐ Amended return ☐ Name change	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		D 1. Foreign organizations, check here ▶ ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 14,894,349		E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐	
J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐	

Part I Analysis of Revenue and Expenses <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</i>		Revenue and expenses per books (a)	Net investment income (b)	Adjusted net income (c)	Disbursements for charitable purposes (d) (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	0			
	2 Check ▶ ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	3,682	3,682		
	4 Dividends and interest from securities	305,862	305,862		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	616,671			
	b Gross sales price for all assets on line 6a 3,356,544				
	7 Capital gain net income (from Part IV, line 2) . . .		632,397		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	926,215	941,941		
	13 Compensation of officers, directors, trustees, etc	0			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule).	48,810	0	0	48,810
	b Accounting fees (attach schedule).				
	c Other professional fees (attach schedule)	61,532	61,532		
	17 Interest				
	18 Taxes (attach schedule) (see instructions) . . .	8,097			
	19 Depreciation (attach schedule) and depletion . .				
	20 Occupancy				
	21 Travel, conferences, and meetings.				
	22 Printing and publications				
	23 Other expenses (attach schedule).	61,782			61,782
	24 Total operating and administrative expenses.				
	Add lines 13 through 23	180,221	61,532	0	110,592
	25 Contributions, gifts, grants paid	1,630,000			1,630,000
	26 Total expenses and disbursements. Add lines 24 and 25	1,810,221	61,532	0	1,740,592
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-884,006			
	b Net investment income (if negative, enter -0-)		880,409		
	c Adjusted net income (if negative, enter -0-) . . .				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	3,217,099	3,928,847	3,928,847
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions).			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	5,630,061	5,373,703	9,289,334
	c	Investments—corporate bonds (attach schedule)	2,995,131	1,655,735	1,676,168
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans.			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets(to be completed by all filers—see the instructions Also, see page 1, item I)	11,842,291	10,958,285	14,894,349	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule).			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities(add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	11,842,291	10,958,285	
	30	Total net assets or fund balances(see instructions)	11,842,291	10,958,285	
31	Total liabilities and net assets/fund balances(see instructions)	11,842,291	10,958,285		

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	11,842,291
2	Enter amount from Part I, line 27a	2	-884,006
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3	4	10,958,285
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	10,958,285

Part IV

Capital Gains and Losses for Tax on Investment Income

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)		How acquired P—Purchase (b) D—Donation	Date acquired (c) (mo , day, yr)	Date sold (d) (mo , day, yr)
1 a	Publicly-traded Securities			
b				
c				
d				
e				

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
a 3,356,544		2,724,147	632,397
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
a			632,397
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	632,397
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)
If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2014	1,959,214	17,595,176	0 111349
2013	1,145,378	17,562,222	0 065218
2012	1,876,508	17,613,395	0 106539
2011	898,011	17,736,818	0 05063
2010	810,329	17,051,253	0 047523

2 Total of line 1, column (d).	2	0 381259
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 076252
4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5.	4	15,843,954
5 Multiply line 4 by line 3.	5	1,208,133
6 Enter 1% of net investment income (1% of Part I, line 27b).	6	8,804
7 Add lines 5 and 6.	7	1,216,937
8 Enter qualifying distributions from Part XII, line 4.	8	1,740,592

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See
the Part VI instructions

Part VI

Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a

Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1
Date of ruling or determination letter _____
(attach copy of letter if necessary—see instructions)

b

Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b

c

All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)

2

Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

2

3

Add lines 1 and 2.

3

8,804

4

Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

4

5

Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-

5

8,804

6

Credits/Payments

a

2015 estimated tax payments and 2014 overpayment credited to 2015

6a

8,000

b

Exempt foreign organizations—tax withheld at source

6b

c

Tax paid with application for extension of time to file (Form 8868).

6c

804

d

Backup withholding erroneously withheld

6d

7

Total credits and payments. Add lines 6a through 6d.

7

8,804

8

Enter any **penalty** for underpayment of estimated tax. Check here ☒ if Form 2220 is attached

8

9

Tax due. If the total of lines 5 and 8 is more than line 7, enter **amount owed**

9

10

Overpayment. If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid**.

10

11

Enter the amount of line 10 to be **Credited to 2015 estimated tax** **Refunded**

11

Part VII-A

Statements Regarding Activities

1a

During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?

1a

No

b

Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?

1b

No

If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.

c

Did the foundation file **Form 1120-POL** for this year?

1c

No

d

Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:
(1) On the foundation \$ _____ (2) On foundation managers \$ _____

e

Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ _____

2

Has the foundation engaged in any activities that have not previously been reported to the IRS?
If "Yes," attach a detailed description of the activities.

2

No

3

Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes

3

No

4a

Did the foundation have unrelated business gross income of \$1,000 or more during the year?

4a

No

b

If "Yes," has it filed a tax return on **Form 990-T** for this year?

4b

5

Was there a liquidation, termination, dissolution, or substantial contraction during the year?
If "Yes," attach the statement required by General Instruction T.

5

No

6

Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:
• By language in the governing instrument, or
• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

6

Yes

7

Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.

7

Yes

8a

Enter the states to which the foundation reports or with which it is registered (see instructions)
 CT _____

b

If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .

8b

Yes

9

Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)?
If "Yes," complete Part XIV

9

No

10

Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses.

10

No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address				
14	The books are in care of Foundation Source Telephone no (800) 839-1754			
Located at 501 Silverside Road Suite 123 Wilmington DE		ZIP+4 198091377		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year.	15		
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	Yes	No	
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	Yes	No	
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	Yes	No	
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	Yes	No	
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	Yes	No	
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).	Yes	No	
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here.	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? If "Yes," list the years 20, 20, 20, 20		Yes	No
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20, 20, 20, 20			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?		Yes	No
b	If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b		No

5a During the year did the foundation pay or incur any amount to

- (1)** Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2)** Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3)** Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4)** Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). ☐ Yes ☒ No
- (5)** Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

5b

6b

No

7b

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

Total number of other employees paid over \$50,000. ►

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Foundation Source	Administrative	61,732
55 WALLS DRIVE 3RD FLOOR Fairfield,CT 06824		
LEGG MASON	INVESTMENT MGT	53,813
640 FIFTH AVENUE 9TH FLOOR NEW YORK,NY 10019		
Total number of others receiving over \$50,000 for professional services.		

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3	

Part X

Minimum Investment Return
(All domestic foundations must complete this part. Foreign foundations,see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	12,448,626
b	Average of monthly cash balances.	1b	3,636,606
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	16,085,232
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	16,085,232
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	241,278
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	15,843,954
6	Minimum investment return. Enter 5% of line 5.	6	792,198

Part XI

Distributable Amount
(see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	792,198
2a	Tax on investment income for 2015 from Part VI, line 5.	2a	8,804
b	Income tax for 2015 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	8,804
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	783,394
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	783,394
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	783,394

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	1,740,592
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	1,740,592
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	8,804
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	1,731,788

Note:The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				783,394
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only.				
b Total for prior years 2013 , 2012 , 2011				
3 Excess distributions carryover, if any, to 2015				
a From 2010.				
b From 2011.				
c From 2012.				562,906
d From 2013.				282,937
e From 2014.				1,095,441
f Total of lines 3a through e.	1,941,284			
4 Qualifying distributions for 2015 from Part XII, line 4 ▶ \$ 1,740,592				
a Applied to 2014, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2015 distributable amount.				783,394
e Remaining amount distributed out of corpus	957,198			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	2,898,482			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions). . .				
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a	2,898,482			
10 Analysis of line 9				
a Excess from 2011.				
b Excess from 2012.				562,906
c Excess from 2013.				282,937
d Excess from 2014.				1,095,441
e Excess from 2015.				957,198

Part XIV

b Check box to indicate whether the organization is a private operating foundation described in section 170(c)(2)(B)(i) or 170(c)(2)(B)(ii): ☐ 170(c)(2)(B)(i) or ☐ 170(c)(2)(B)(ii)

3 Complete 3a, b, or c for the alternative test relied upon

1 Information Regarding Foundation Managers:

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

Form **990-PF** (2015)

Part XV

Supplementary Information(continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	1,630,000
b Approved for future payment				
Total			3b	

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount		
1 Program service revenue						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments.						
3 Interest on savings and temporary cash investments			14	3,682		
4 Dividends and interest from securities.			14	305,862		
5 Net rental income or (loss) from real estate						
a Debt-financed property.						
b Not debt-financed property.						
6 Net rental income or (loss) from personal property						
7 Other investment income.						
8 Gain or (loss) from sales of assets other than inventory			18	616,671		
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue a _____						
b _____						
c _____						
d _____						
e _____						
12 Subtotal Add columns (b), (d), and (e).				926,215		
13 Total. Add line 12, columns (b), (d), and (e).			13			926,215

(See worksheet in line 13 instructions to verify calculations)

[illegible]

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

	Yes	No
1a(1)		No
1a(2)		No
1b(1)		No
1b(2)		No
1b(3)		No
1b(4)		No
1b(5)		No
1b(6)		No
1c		No

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

* * * * *

2016-07-14

* * * * *

Signature of officer or trustee

Date

Title

May the IRS discuss this return with the preparer shown below
(see instr)? ☒ Yes ☐ No

**Paid
Preparer
Use
Only**

Print/Type preparer's name Jeffrey D Haskell	Preparer's Signature	Date	Check if self-employed ☐	PTIN P01345770
Firm's name ☐ Foundation Source			Firm's EIN ☐	
Firm's address ☐ One Hollow Ln Ste 212 Lake Success, NY 11042			Phone no (800) 839-1754	

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
ALS THERAPY DEVELOPMENT FOUNDATION INC 300 TECHNOLOGY SQ STE 400 CAMBRIDGE,MA 02139	N/A	PC	General & Unrestricted	5,000
AMERICAN NATIONAL RED CROSS - GREENWICH CHAPTER 99 INDIAN FIELD RD GREENWICH,CT 06830	N/A	PC	General & Unrestricted	25,000
AMERICAN SCHOOL FOR THE DEAF 139 N MAIN ST WEST HARTFORD,CT 06107	N/A	PC	General & Unrestricted	10,000
AMERICARES FOUNDATION INC 88 HAMILTON AVE STAMFORD,CT 06902	N/A	PC	Nepal Earthquake Disaster Fund	25,000
AMERICARES FREE CLINIC INC 88 HAMILTON AVE STAMFORD,CT 06902	N/A	PC	Stamford Free Clinic Project	25,000
BERKSHIRE SCHOOL INC 245 N UNDERMOUNTAIN RD SHEFFIELD,MA 01257	N/A	PC	Hail Berkshire Fund	50,000
BREAST CANCER ALLIANCE INC 48 MAPLE AVE GREENWICH,CT 06830	N/A	PC	General & Unrestricted	2,500
BUCKLEY SCHOOL IN THE CITY OF NEW YORK 113 E 73RD ST NEW YORK,NY 10021	N/A	PC	Centennial Building Campaign	10,000
CAMDEN PUBLIC LIBRARY 55 MAIN ST CAMDEN,ME 04843	N/A	PC	General & Unrestricted	1,500
CAMP SUNSHINE AT SEBAGO LAKE INC 35 ACADIA RD CASCO,ME 04015	N/A	PC	General & Unrestricted	50,000
CHILDREN OF FALLEN PATRIOTS FOUNDATION 419 3RD ST N JACKSONVILLE BEACH,FL 32250	N/A	PC	General & Unrestricted	2,000
COLUMBIA COLLEGE OF COLUMBIA UNIVERSITY 475 RIVERSIDE DR RM 917 NEW YORK,NY 10115	N/A	PC	Carroll Adams Scholarship Fund	500
CONNECTICUT FOOD BANK INC PO BOX 8686 NEW HAVEN,CT 06512	N/A	PC	General & Unrestricted	2,000
FISHER HOUSE FOUNDATION INC 111 ROCKVILLE PIKE STE 420 ROCKVILLE,MD 20850	N/A	PC	General & Unrestricted	25,000
FOOD BANK OF LOWER FAIRFIELD COUNTY INC 461 GLENBROOK RD STAMFORD,CT 06906	N/A	PC	General & Unrestricted	6,000
Total				1,630,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
FRIENDS OF ACADIA PO BOX 45 BAR HARBOR,ME 04609	N/A	PC	Second Century Campaign, Organizational Endowment Fund	200,000
FRIENDS OF NATHANIEL WITHERELL INC 70 PARSONAGE RD GREENWICH,CT 06830	N/A	PC	General & Unrestricted	50,000
GRAND CANYON ASSOCIATION PO BOX 399 GRAND CANYON,AZ 86023	N/A	PC	General & Unrestricted	1,000
GREENWICH ADULT DAY CARE INC 125 RIVER RD EXT COS COB,CT 06807	N/A	PC	General & Unrestricted	3,500
GREENWICH COMMUNITY PROJECTS FUND INC 44 AMOGERONE CROSSWAY NO 8005 GREENWICH,CT 06836	N/A	PC	Cos Cob 9/11 Memorial Project	1,000
GREENWICH COUNTRY DAY SCHOOL INC PO BOX 623 GREENWICH,CT 06836	N/A	PC	Annual Fund	4,000
GREENWICH HOSPITAL 5 PERRYRIDGE RD GREENWICH,CT 06830	N/A	PC	General & Unrestricted	1,500
GREENWICH LIBRARY 101 W PUTNAM AVE GREENWICH,CT 06830	N/A	PC	General & Unrestricted	1,000
GREENWICH SYMPHONY ORCHESTRA INC PO BOX 35 GREENWICH,CT 06836	N/A	PC	General & Unrestricted	5,000
HOLE IN THE WALL GANG FUND INC 555 LONG WHARF DR NEW HAVEN,CT 06511	N/A	PC	General & Unrestricted	500
HOSPITAL FOR SPECIAL SURGERY FUND INC 535 E 70TH ST NEW YORK,NY 10021	N/A	PC	General & Unrestricted	2,000
ISLAND CONNECTIONS 15 EAGLE LAKE RD BAR HARBOR,ME 04609	N/A	PC	General & Unrestricted	25,000
ISLAND INSTITUTE 386 MAIN ST ROCKLAND,ME 04841	N/A	PC	General & Unrestricted	25,000
JACKSON LABORATORY 600 MAIN ST BAR HARBOR,MA 01001	N/A	PC	General & Unrestricted	325,000
KIDS IN CRISIS INC 1 SALEM ST COS COB,CT 06807	N/A	PC	General & Unrestricted	50,000
Total				1,630,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
LEWA WILDLIFE CONSERVANCY USA 495 MILLER AVE STE 301 MILL VALLEY,CA 94941	N/A	PC	General & Unrestricted	5,000
LIFEFLIGHT OF MAINE LLC PO BOX 899 CAMDEN,ME 04843	N/A	PC	General & Unrestricted	50,000
MARINE TOYS FOR TOTS FOUNDATION 18251 QUANTICO GATEWAY DR TRIANGLE,VA 22172	N/A	PC	General & Unrestricted	1,000
MASSACHUSETTS GENERAL HOSPITAL 100 CAMBRIDGE ST STE 1310 BOSTON,MA 02114	N/A	PC	Center for Law, Brain and Behavior Program	2,500
MASSACHUSETTS GENERAL HOSPITAL 100 CAMBRIDGE ST STE 1310 BOSTON,MA 02114	N/A	PC	Leadership Council for Psychiatry Program	3,000
MEMORIAL SLOAN-KETTERING CANCER CENTER 1275 YORK AVE NEWYORK,NY 10065	N/A	PC	General & Unrestricted	2,500
MERRY GO ROUND INC 12 BOLLING PL GREENWICH,CT 06830	N/A	PC	General & Unrestricted	1,000
MOUNT DESERT ISLAND HOSPITAL 10 WAYMAN LN BAR HARBOR,ME 04609	N/A	PC	General & Unrestricted	50,000
MOUNT DESERT NURSING ASSOCIATION PO BOX 397 NORTHEAST HARBOR,ME 04662	N/A	PC	General & Unrestricted	10,000
NATIONAL FOREST FOUNDATION BLDG 27 STE 3 FORT MISSOULA RD MISSOULA,MT 59804	N/A	PC	General & Unrestricted	1,000
NEIGHBOR TO NEIGHBOR INC 248 E PUTNAM AVE GREENWICH,CT 06830	N/A	PC	General & Unrestricted	2,000
NEW YORK HISTORICAL SOCIETY 170 CENTRAL PARK W NEWYORK,NY 10024	N/A	PC	General & Unrestricted	500
NORTH HAVEN FOUNDATION PO BOX 664 ROCKLAND,ME 04841	N/A	PC	General & Unrestricted	30,000
NORTH HAVEN SUSTAINABLE HOUSING 148 CRABTREE POINT RD NORTH HAVEN,ME 04853	N/A	PC	Eldercare Campaign	10,000
NORTHEAST HARBOR AMBULANCE SERVICE INC PO BOX 122 NORTHEAST HARBOR,ME 04662	N/A	PC	General & Unrestricted	25,000
Total  3a				1,630,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
NYU LANGONE MEDICAL CENTER 1 PARK AVE 17TH FL NEWYORK,NY 10016	N/A	PC	Stephen Banner Fund for Lung Cancer Research	2,500
PART OF THE SOLUTION INC 2759 WEBSTER AVE BRONX,NY 10458	N/A	PC	General & Unrestricted	1,000
PATHWAYS INC 175 MILBANK AVE GREENWICH,CT 06830	N/A	PC	General & Unrestricted	1,000
PERROT MEMORIAL LIBRARY ASSOCIATION OF OLD GREENWI 90 SOUND BEACH AVE OLD GREENWICH,CT 06870	N/A	PC	General & Unrestricted	1,000
PILOBOLUS INC PO BOX 388 WASHINGTON DT,CT 06794	N/A	PC	General & Unrestricted	2,500
SALISBURY SCHOOL INC 251 CANAAN RD SALISBURY,CT 06068	N/A	PC	General & Unrestricted	5,000
SALVATION ARMY - GREATER HARTFORD AREA SERVICES 217 WASHINGTON ST HARTFORD,CT 06106	N/A	PC	General & Unrestricted	2,000
SAVE THE CHILDREN FEDERATION INC 501 KINGS HWY E STE 400 FAIRFIELD,CT 06825	N/A	PC	General & Unrestricted	1,000
SHELTER FOR THE HOMELESS INC 137 HENRY ST STE 205 STAMFORD,CT 06902	N/A	PC	General & Unrestricted	7,500
SHRINERS HOSPITALS FOR CHILDREN 2900 N ROCKY POINT DR TAMPA,FL 33607	N/A	PC	for medical services at the hospital which the Shriners organization deems to have the greatest need	1,000
SIMPLY SMILES INC 1771 POST RD E WESTPORT,CT 06880	N/A	PC	Cheyenne River Sioux Reservation restoration Project	1,000
SQUASH HAVEN INC 70 TOWER PKWY NEW HAVEN,CT 06511	N/A	PC	General & Unrestricted	2,000
ST JUDE CHILDRENS RESEARCH HOSPITAL INC 262 DANNY THOMAS PL MSC 512 MEMPHIS,TN 38105	N/A	PC	General & Unrestricted	3,000
THE ANDREW K DWYER FOUNDATION 756 GUARD HILL RD BEDFORD,NY 10506	N/A	PC	General & Unrestricted	1,000
THE MAINE SEA COAST MISSION 127 W ST BAR HARBOR,ME 04609	N/A	PC	General & Unrestricted	50,000
Total				1,630,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
THE MARITIME AQUARIUM AT NORWALK INC 10 N WATER ST SOUTH NORWALK,CT 06854	N/A	PC	General & Unrestricted	3,500
THE TRANSPORTATION ASSOCIATION OF GREENWICH INC 13 RIVERSIDE AVE RIVERSIDE,CT 06878	N/A	PC	General & Unrestricted	1,500
THE YANKEE INSTITUTE FOR PUBLIC POLICY STUDIES INC 216 MAIN ST HARTFORD,CT 06106	N/A	PC	General & Unrestricted	3,500
TRUSTEES OF PHILLIPS ACADEMY 180 MAIN ST ANDOVER,MA 01810	N/A	PC	General & Unrestricted	5,000
VISITING NURSE & HOSPICE OF FAIRFIELD COUNTY PO BOX 489 WILTON,CT 06897	N/A	PC	General & Unrestricted	2,500
WATERSIDE SCHOOL INC 770 PACIFIC ST STAMFORD,CT 06902	N/A	PC	General & Unrestricted	4,000
WIDENER UNIVERSITY ONE UNIVERSITY PL CHESTER,PA 19013	N/A	PC	Oskin Leadership Institute Project	10,000
WILLIAM F BUCKLEY JR PROGRAM AT YALE PO BOX 204539 NEW HAVEN,CT 06520	N/A	PC	General & Unrestricted	1,500
WWP INC - WOUNDED WARRIOR PROJECT INC 4899 BELFORT RD STE 300 JACKSONVILLE,FL 32256	N/A	PC	General & Unrestricted	7,500
YALE ALUMNI CHORUS FOUNDATION INC 1140 CHAPEL ST NEW HAVEN,CT 06511	N/A	PC	YAC Scholarship Fund honoring Conner Fay	5,000
YALE NEW HAVEN HOSPITAL 20 YORK ST NEW HAVEN,CT 06510	N/A	PC	General & Unrestricted	1,500
YALE UNIVERSITY PO BOX 2038 NEW HAVEN,CT 06521	N/A	PC	Yale Glee Club Associates Fund	2,000
YALE UNIVERSITY PO BOX 2038 NEW HAVEN,CT 06521	N/A	PC	Brewster Papers Project	2,500
YALE UNIVERSITY PO BOX 2038 NEW HAVEN,CT 06521	N/A	PC	Yale University Art Gallery and the Cornelia Cogswell Rossi Gallery of Art of the Ancient Americas	300,000
YALE UNIVERSITY PO BOX 2038 NEW HAVEN,CT 06521	N/A	PC	Class of 1966 Yale Alumni Fund	3,000
Total			3a	1,630,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
YALE UNIVERSITY PO BOX 209010 NEW HAVEN,CT 06520	N/A	PC	Yale Library - Conservation Laboratory Division	5,000
YALE UNIVERSITY PO BOX 209010 NEW HAVEN,CT 06520	N/A	PC	Yale Squash Association Program	1,000
YELLOWSTONE PARK FOUNDATION INC 222 E MAIN ST STE 301 BOZEMAN,MT 59715	N/A	PC	Annual Fund	5,000
YELLOWSTONE PARK FOUNDATION INC 222 E MAIN ST STE 301 BOZEMAN,MT 59715	N/A	PC	Yellowstone Forever Capital Campaign-Wilderness Forever Fund	50,000
ZELIENOPLE HISTORICAL SOCIETY 243 S MAIN ST ZELIENOPLE,PA 16063	N/A	PC	General & Unrestricted	7,000
Total			3a	1,630,000

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2015 Depreciation Schedule

Name: Cornelia Cogswell Rossi Foundation Inc

EIN: 20-1420345

TY 2015 Investments Corporate Bonds Schedule**Name:** Cornelia Cogswell Rossi Foundation Inc**EIN:** 20-1420345

Name of Bond	End of Year Book Value	End of Year Fair Market Value
CR BARD INC - 2.875% - 01/15/2	250,365	250,125
GE CAP CORP - 1.600% - 11/20/2	251,018	251,625
GOLDMAN SACHS - 3.625% - 02/07	319,546	300,762
PEPSICO INC - 5.000% - 06/01/2	282,480	324,237
TRANS-CANADA PIPELINES - 0.750	250,479	249,995
UNITEDHEALTH GROUP INC - 1.400	301,847	299,424

TY 2015 Investments Corporate Stock Schedule

Name: Cornelia Cogswell Rossi Foundation Inc
EIN: 20-1420345

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ABBVIE INC	56,863	118,480
AFLAC INC	90,940	119,800
AIR PRODS & CHEM INC	114,700	260,220
ALPHABET INC CL A	45,560	46,681
ALTRIA GROUP INC	54,015	174,630
AMERICAN EXPRESS CO	80,016	173,875
APPLE INC	43,784	368,410
BANK OF AMERICA CORP	61,035	50,490
BORG WARNER INC	123,689	108,075
BROADCOM CORPORATION	51,330	173,460
CERNER CORPORATION	80,623	90,255
CHEVRON CORP	144,590	179,920
CISCO SYSTEMS INC	104,580	162,930
COGNIZANT TECHNOLOGY SOLUTIONS	47,442	48,016
CVS CAREMARK CORP	90,135	293,310
DEERE CO	55,771	114,405
EMERSON ELECTRIC CO	95,085	143,490
EVERSOURCE ENERGYINC	109,608	229,815
EXPRESS SCRIPTS HOLDING CO	104,760	305,935
EXXON MOBIL CORP	219,104	233,850
GENERAL ELECTRIC CO	134,568	224,280
GILEAD SCIENCES INC	79,233	354,165
HARBOR FDS INTL FD	480,310	621,632
HOME DEPOT INC	31,868	198,375
INTERNATIONAL BUSINESS MACHINE	85,480	137,620
JOHNSON & JOHNSON	118,770	205,440
JP MORGAN CHASE	125,608	221,201
LAZARD EMERGING MARKETS EQUITY	103,949	71,434
LOWES COMPANIES INC	68,989	228,120
MCDONALD'S CORP	54,890	118,140

Name of Stock	End of Year Book Value	End of Year Fair Market Value
MONDELEZ INTERNATIONAL INC	40,866	99,276
MONSANTO CO	130,418	147,780
NATL OILWELL VARCO	174,428	150,705
NETAPP, INC	66,500	132,650
NEXTERA ENERGY, INC	90,030	207,780
PACCAR INC	53,130	94,800
PARKER HANNIFIN CP	72,960	193,960
PEPSICO INC	165,615	299,760
PFIZER INC	116,900	225,960
PHILIP MORRIS INTL	83,890	175,820
PRAXAIR INC	92,993	153,600
PROCTER GAMBLE CO	128,260	158,820
QUALCOMM INC	126,455	99,970
SCHLUMBERGER LTD	160,366	209,250
TARGET CORPORATION	120,690	145,220
TETRA TECH INC	132,808	130,100
TJX COMPANIES INC	89,023	106,365
UNITED TECHNOLOGIES CORP	102,110	192,140
VERIZON COMMUNICATIONS	57,232	94,659
VMWARE INC	131,181	84,855
WELLS FARGO & CO	99,118	190,260
YUM BRANDS INC	81,435	219,150

TY 2015 Legal Fees Schedule

Name: Cornelia Cogswell Rossi Foundation Inc

EIN: 20-1420345

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
General Consultations	48,810			48,810

TY 2015 Other Expenses Schedule

Name: Cornelia Cogswell Rossi Foundation Inc

EIN: 20-1420345

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Administrative Fees	61,732			61,732
State or Local Filing Fees	50			50

TY 2015 Other Professional Fees Schedule

Name: Cornelia Cogswell Rossi Foundation Inc

EIN: 20-1420345

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Investment Management Services	61,532	61,532		

TY 2015 Taxes Schedule**Name:** Cornelia Cogswell Rossi Foundation Inc**EIN:** 20-1420345

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
990-PF Estimated Tax for 2015	8,000			
990-PF Excise Tax for 2014	97			