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Form 990-PF

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation
Do not enter social security numbers on this form as it may be made public.

OMB No 1545-0052 2015

Department of the Treasury

		f foundation				A Employer identif	
		ALCON FOUNDATION INC.				20-016660	
N	umber	and street (or P O box number if mail is not delivered	to street address)		Room/suite	B Telephone numb	oer (see instruction
(	ONE	HEALTH PLAZA, BLDG 200				(817) 29	93-0450
		own, state or province, country, and ZIP or foreign pos	stal code		<u> </u>		
						C If exemption applic pending, check her	e
_		HANOVER, NJ 07936  k all that apply   Initial return	Initial return o	of a former r	ublic charit	V D	
J	OHE!	Final return	Amended ret	•	Jone Charit	y D 1 Foreign organiza 2 Foreign organiz	
		X Address change	Name change			85% test, check	
H	Che	ck type of organization. X Section 501(	· <del></del>			E If private foundate	
$\perp$		ection 4947(a)(1) nonexempt charitable trust	Other taxable pri				b)(1)(A), check here
		<del></del>	ounting method X Ca	ash Acc	rual	F If the foundation i	
	end 16)		other (specify) column (d) must be on cas	h basis I	<del></del>	under section 507(	b)(1)(B), check here
_		Analysis of Revenue and Expenses (The	<del>,                                     </del>			- <del></del>	(d) Disburs
		total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in	expenses per	(b) Net inve	i i	(c) Adjusted net income	for charr purpos
_		column (a) (see instructions) )	books				(cash basi
		Contributions, gifts, grants, etc., received (attach schedule).	5,874,309.				<del> </del>
1		attach Sch B					<del> </del>
		Interest on savings and temporary cash investments.					<del> </del>
		Dividends and interest from securities Gross rents					
		Net rental income or (loss)					
9	6a	Net gain or (loss) from sale of assets not on line 10					
ē	b	Gross sales price for all assets on line 6a	<del> </del>				<del> </del>
Revenue		Capital gain net income (from Part IV, line 2).		<u> </u>	0.		<del> </del>
-		Net short-term capital gain					<del>                                     </del>
ł	9 10 a	Income modifications					
	h	and allowances Less Cost of goods sold .	<del> </del>				<del> </del>
l		Gross profit or (loss) (attach schedule)					
	11	Other income (attach schedule)					<del> </del>
4	12	Total Add lines 1 through 11			- 0.		
S	13 14	Compensation of officers, directors, trustees, etc					
nse	14	Other employee salaries and wages Pension plans, employee benefits		<del> </del>		<del> </del>	<del> </del>
eg)	16a	Legal fees (attach schedule)			{{{\{ }}}	DECE	n-=
Administrative Expens	b	Accounting fees (attach schedule)			à	RECEN	
ŧξ	С	Other professional fees (attach schedule). [1]	10 000			(2) (10) (a) (b) (c) (c) (c) (c) (c) (c) (c) (c) (c) (c	30.00
itra	17	Interest				S NOV 23 2	2015 <u>                                     </u>
inis	18	Taxes (attach schedule) (see instructions)					-
Ę	19 20	Depreciation (attach schedule) and depletion.  Occupancy				OGDEN,	UT
ੂ੬	21	Travel, conferences, and meetings					1
and /	21 22	Printing and publications					
	23.	Other expenses (attach schedule) ATCH .2.	1 2 2 2 4				
ati	24	Total operating and administrative expenses.	1 00 004				
Oper	25	Add lines 13 through 23	E 052 405	<del></del>			5,8
	l	Contributions, gifts, grants paid	F 074 200	<del></del>	0.		5,8
i	26 27	Total expenses and disbursements Add lines 24 and 25 Subtract line 26 from line 12	3,3,1,333.		<del></del>		1
<u> </u>	1	Excess of revenue over expenses and disbursements	0.				
	1	Net investment income (if negative, enter -0-)			0.		
		Adjusted net income (if negative, enter -0-)	<del></del>	<u></u>			
~· =	or Par	perwork Reduction Act Notice, see instruction	s.				Form 990

Form 99		0-PF(2015) THE ALCON FOUNDATION INC.		20-0166600 Pa			
Dor4		Attached schedules and amounts in the	Beginning of year		End of year		
P	art II	Balance Sheets description column should be for end-of-year amounts only (See instructions )	(a) Book Value	(b) Book Value		(c) Fair Market Value	
	1	Cash - non-interest-bearing					
	2	Savings and temporary cash investments					
	3	Accounts receivable					
		Less allowance for doubtful accounts ▶		an expression of the contract		management of the second of th	
	4	Pledges receivable					
	~						
	5	Grants receivable				<del></del>	
	6	Receivables due from officers, directors, trustees, and other					
		disqualified persons (attach schedule) (see instructions)					
	_	Other notes and loans receivable (attach schedule)					
	7	Less allowance for doubtful accounts				AND A CAMPAGE SECURITY OF THE PROPERTY OF	
S	_						
Assets	8	Inventories for sale or use	<u> </u>				
ŝ	9	Prepaid expenses and deferred charges	<del></del>				
_	104	Investments - U S and state government obligations (attach schedule)					
		Investments - corporate stock (attach schedule)					
	11 11	Investments - corporate bonds (attach schedule)	······································	······································			
	' '	and equipment basis	, 2 v	A		The second of th	
		Less accumulated depreciation (attach schedule)		_			
	12	Investments - mortgage loans	_			<u> </u>	
	13 14	Investments - other (attach schedule)					
	'*	anyumant hasis		**** * *** * ** ****		* * * * * * * * * * * * * * * * * * *	
		equipment basis Less accumulated depreciation (attach schedule)					
	15	Other assets (describe ATCH 3 )				30,000.	
	16	Total assets (to be completed by all filers - see the					
_		ınstructions Also, see page 1, item I)	0.		0.	30,000.	
	17	Accounts payable and accrued expenses				*	
	18	Grants payable					
es	19	Deferred revenue					
Liabilities	20	Loans from officers, directors, trustees, and other disqualified persons					
æ	21	Mortgages and other notes payable (attach schedule)					
_	22	Other liabilities (describe ►)					
						* , *	
_	23	Total liabilities (add lines 17 through 22)	0.		0.	·	
		Foundations that follow SFAS 117, check here . ▶ X				٠	
ces		and complete lines 24 through 26 and lines 30 and 31.					
Ĕ	24	Unrestricted				· · · · · · · · · · · · · · · · · · ·	
Assets or Fund Balan	25	Temporarily restricted				* , , , , , , , ,	
8	26	Permanently restricted				, , , , ,	
Ĭ		Foundations that do not follow SFAS 117,					
ΙŢ		check here and complete lines 27 through 31.				* ** ** ** ** ** ** ** ** ** ** ** ** *	
ō	27	Capital stock, trust principal, or current funds					
ets	28	Paid-in or capital surplus, or land, bldg, and equipment fund	·			· ,	
SS	29	Retained earnings, accumulated income, endowment, or other funds				;	
Ą	30	Total net assets or fund balances (see instructions)	0.		0.	ŧ	
Net	31	Total liabilities and net assets/fund balances (see				ı	
_		instructions)	0.		Ο.		
	art I	Analysis of Changes in Net Assets or Fund Bala	inces			· · · · · · · · · · · · · · · · · · ·	
	_	al net assets or fund balances at beginning of year - Part		must agree with			
•		I-of-year figure reported on prior year's return)			1	0.	
2		er amount from Part I, line 27a			2	0	
			· · · · · · · · · · · · · · · · · · ·		3		
		d lines 1, 2, and 3			4	0	
		creases not included in line 2 (itemize) ▶			5		
		al net assets or fund balances at end of year (line 4 minus	s line 5) - Part II, column	(b), line 30	6	Ō	

_	•
Page	-

Part IV Capital Gains	and Losses for Tax on Inve	estment Income			Fage •
	d describe the kind(s) of property sold (e	•	(b) How acquired	(c) Date acquired	(d) Date sold
2-story b	rick warehouse, or common stock, 200 s	shs MLC Co )	P - Purchase D - Donation	(mo , day, yr )	(mo , day, yr )
<u>1a</u>					
<u>b</u>			ļ		
<u>c</u>			<del></del>		
e					<u> </u>
	(f) Depreciation allowed	(g) Cost or other basis		(h) Gain or (lo	966)
(e) Gross sales price	(or allowable)	plus expense of sale	ļ	(e) plus (f) min	
a					
b					
С				. <u> </u>	
d					
_e		10.01.00	<del> </del>		
Complete only for assets	showing gain in column (h) and owned			Gains (Col (h) g	
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	COI	(k), but not less to Losses (from common	
		over our gy, it dily		<del></del>	
<u>a</u> b			<del>                                     </del>		
C					
d					
е					
2 Constal compact masses	(not contol loca)	gain, also enter in Part I, line 7			
2 Capital gain net income	or (flet capital loss)	(loss), enter -0- in Part I, line 7	2		
	ain or (loss) as defined in sections 1		1		
	art I, line 8, column (c) (see inst				
	Jnder Section 4940(e) for Rec	dona di Tanana Madilana dan and I	3		
		<del></del>			<del></del>
(1 of optional use by domestic	c private foundations subject to the	section 4340(a) tax on het investi	inent inco	ille )	
If section 4940(d)(2) applies,	leave this part blank				
	the section 4942 tax on the distrib		ase perio	<b>ታ</b> ? [	Yes X No
	not qualify under section 4940(e)				
1 Enter the appropriate am	nount in each column for each yea	r, see the instructions before makin	ig any ent	ries (d)	
Base period years	(b) Adjusted qualifying distributions	(c) Net value of nonchantable-use assets		Distribution r	
Calendar year (or tax year beginning in) 2014	4,411,762.	29,550.		(col (b) divided by	9.298206
2014	5,128,872.	29,550.	<del> </del>		3.565888
2012	6,398,990.	29,550.	<del>                                     </del>		6.547885
2011	4,006,094.	29,550.	<del>                                     </del>		5.570017
2010	7,574,599.	29,550.			6.331607
2 Total of line 1, column (c	B)	, , ,	2	93	1.313603
	o for the 5-year base period - divid				
number of years the four	ndation has been in existence if les	s than 5 years	3	18	6.262721
4 Enter the net value of no	incharitable-use assets for 2015 fro	om Part X, line 5	4		29,550.
			_	_	
5 Multiply line 4 by line 3			5 -	5,	-504-,063
6 Enter 1% of net investme	ent income (1% of Part I, line 27b)	• • • • • • • • • • • • • • • • • • • •	6 -		
7 Add Inc. 5 and 6			7	5	504 063
7 Add lines 5 and 6		• • • • • • • • • • • • • • • • • • • •	-  -		504,063.
8 Enter qualifying distributi	ions from Part XII, line 4		8	5.	874,309.
If line 8 is equal to or a	reater than line 7, check the box	in Part VI, line 1b. and complete			
Part VI instructions					
JSA					990-PE (2015

	990-PF (2015)  THE ALCON FOUNDATION INC.	4048 500			age 4
	Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or	4946 - See	mstru	Ctions	·)
1a	Exempt operating foundations described in section 4940(d)(2), check here  and enter "N/A" on line 1				
	Date of ruling or determination letter (attach copy of letter if necessary - see instructions)				^
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check	1			0.
	here ▶ X and enter 1% of Part I, line 27b				
С	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of $\cal J$ Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2			
3	Add lines 1 and 2	3			0.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4			0.
5	Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0	5			0.
6	Credits/Payments				
а	2015 estimated tax payments and 2014 overpayment credited to 2015   6a				
b	Exempt foreign organizations - tax withheld at source				
С	Tax paid with application for extension of time to file (Form 8868) 6c				
d	Backup withholding erroneously withheld	-			
7	Total credits and payments Add lines 6a through 6d	7			0.
8	Enter any <b>penalty</b> for underpayment of estimated tax. Check here if Form 2220 is attached	8			
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9			0.
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10			
11	Enter the amount of line 10 to be Credited to 2016 estimated tax ▶ Refunded ▶	11			
Pai	t VII-A Statements Regarding Activities		1	_	
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation	n or did it		Yes	No
	participate or intervene in any political campaign?		1a		X
ь	Did it spend more than \$100 during the year (either directly or indirectly) for political pu	rposes (see			
	Instructions for the definition)?		1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of a	ny materials	1		
	published or distributed by the foundation in connection with the activities				
С	Did the foundation file Form 1120-POL for this year?		1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year				
	(1) On the foundation 1 4	<u>•</u>			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax	imposed on			
	foundation managers > \$0.			ļ	
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?		2		X
	If "Yes," attach a detailed description of the activities				
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument			!	v
	incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		3	-	X
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?		4a		
b	If "Yes," has it filed a tax return on Form 990-T for this year?		4b	-	N/A X
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?		5		
	If "Yes," attach the statement required by General Instruction T				
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either				
	By language in the governing instrument, or				
	By state legislation that effectively amends the governing instrument so that no mandatory di			X	
	conflict with the state law remain in the governing instrument?		7	X	_
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c),	and Part XV	'		<del>                                     </del>
8a					
	TX,		-		
b			8b	x	
	(or designate) of each state as required by General Instruction G? If "No," attach explanation		85	<u> </u>	<del> </del>
9	Is the foundation claiming status as a private operating foundation within the meaning of section				
-	4942(j)(5) for calendar_year_2015 or the taxable year beginning in 2015 (see instructions for Part X		- 1		
	complete Part XIV		9	1	<del>  ••</del>
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule		40		X
	names and addresses ,		10		

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Pa	rt VII-A Statements Regarding Activities (continued)			<del></del>
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the	$\overline{}$	Yes	No
	meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		х
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualifie	; <del>  ``</del>	+	<del></del>
	person had advisory privileges? If "Yes," attach statement (see instructions)	1 12		x
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
	Website address ► N/A	_ 13	1 <u>v</u>	
14	The books are in care of ▶ SHARON WOODS, LEGAL DEPT Telephone no ▶ 817-29	3-20	99	
	Located at ► ONE HEALTH PLAZA, BLDG 200 EAST HANOVER, NJ ZIP+4 ► 07936	3 20.		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here	-		ТТ
	and enter the amount of tax-exempt interest received or accrued during the year	i	•	´—
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authori	<u> </u>	Yes	No
	over a bank, securities, or other financial account in a foreign country?	. 16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name	:   ''	٠	
	the foreign country N/A	"		i
Pai	t VII-B Statements Regarding Activities for Which Form 4720 May Be Required			<u></u>
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.	Т-	Yes	No
1a	During the year did the foundation (either directly)		1.03	110
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes X No	-		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a			1
	disqualified person?			:
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	ĺ	1	,
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for		^	,
	the benefit or use of a disqualified person)?			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the			;
	foundation agreed to make a grant to or to employ the official for a period after		,	
	termination of government service, if terminating within 90 days )	İ		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations			,
	section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	. 1b		х
	Organizations relying on a current notice regarding disaster assistance check here	·   •••	<u> </u>	
С	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that			
	were not corrected before the first day of the tax year beginning in 2015?	1c		х
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private	, <del>  [C</del>		
	operating foundation defined in section 4942(j)(3) or 4942(j)(5))	İ		
а	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and			
	6e, Part XIII) for tax year(s) beginning before 2015?			
	If "Yes," list the years	-		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2)	ļ.		
	(relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to			
	all years listed, answer "No" and attach statement - see instructions )	2b		X
С	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here	- 25		
	•		ŀ	
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise			1
	at any time during the year?			
b	If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or			
	disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the			i
	Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of			1
	the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the			i
	foundation had excess business holdings in 2015)	3b	,,,	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	N/A	<u> </u>
_ b	Did the foundation make any investment in a prior-year (but after December 31,-1969) that could jeopardize its		<del></del>	
	charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b	-	X
	,,		0 DE	

3 Five	and Contractors (continued) highest-paid independent contractors for professional services (see instructions). If none, enter "NON	
J FIVE	(a) Name and address of each person paid more than \$50,000 (b) Type of service	(c) Compensation
	(4) 1) 10 10 10 10 10 10 10 10 10 10 10 10 10	(c) componedic.
NONE		
otal num	per of others receiving over \$50,000 for professional services	0
Part IX-A	Summary of Direct Charitable Activities	
	undation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of one and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A		
2		
3		
<b>-</b>		
Part IX-B		<del></del>
Describe 1 NONE	the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
I NONE	<u></u>	,
2		
		<u> </u>
All other t	program-related investments. See instructions	1
All other p	program-related investments. See instructions	
,	· ·	

orm 9	90-PF (2015)		Page <b>8</b>
Part	X Minimum Investment Return (All domestic foundations must complete this part. Foreigee instructions.)	gn foun	
1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc.,		
	purposes		
а	Average monthly fair market value of securities	1a	
b	Average of monthly cash balances	1b	
С	Fair market value of all other assets (see instructions)	1c	30,000.
d	<b>Total</b> (add lines 1a, b, and c)	1d	30,000.
е	Reduction claimed for blockage or other factors reported on lines 1a and	<b>i</b>	
	1c (attach detailed explanation)		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d  Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see	3	30,000.
4	Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see		
	instructions)	4	450.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	29,550.
6	Minimum investment return. Enter 5% of line 5	6	1,478.
Part	XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating found and certain foreign organizations check here ▶ and do not complete this part)	dations	
	·	1	1,478.
1	Minimum investment return from Part X, line 6	<b>├</b>	1,4/8.
	Tax on investment income for 2015 from Part VI, line 5	-	
þ	Income tax for 2015 (This does not include the tax from Part VI). 2b		
c	Add lines 2a and 2b	2c	
3	Distributable amount before adjustments Subtract line 2c from line 1	3	1,478.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	1,478.
6	Deduction from distributable amount (see instructions)	6	<del></del>
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII,	ļ ļ	
	line 1	7	1,478.
Pari	XII Qualifying Distributions (see instructions)		
1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
а	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	5,874,309.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc.,		
	purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
а	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	5,874,309.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income		<del>,                                      </del>
	Enter 1% of Part I, line 27b (see instructions)	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	5,874,309.
	Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when ca	alculating	

qualifies for the section 4940(e) reduction of tax in those years

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Part XIII Undistributed Income (see ins	tructions)			
	(a)	(b)	(c)	(d)
1 Distributable amount for 2015 from Part XI	Corpus	Years prior to 2014	2014	2015
line 7				1,478.
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only	·			
<b>b</b> Total for prior years 20 13 ,20 12 ,20 11		-		
3 Excess distributions carryover, if any, to 2015				
<b>a</b> From 2010 4,004,616	+			
c From 2012 6,397,512	⊣			
d From 2013 5,128,872	<del>-</del>			
e From 2014 4,411,762	]			
f Total of lines 3a through e	19,942,762.			
4 Qualifying distributions for 2015 from Part XII	,			
line 4 ▶ \$ 5,874,309.				
a Applied to 2014, but not more than line 2a				
<b>b</b> Applied to undistributed income of prior years				
(Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2015 distributable amount				1,478.
e Remaining amount distributed out of corpus	15 050 001			
5 Excess distributions carryover applied to 2015				
(If an amount appears in column (d), the same				
amount must be shown in column (a) ) 6 Enter the net total of each column as				
indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line	25,815,593.			
<b>b</b> Prior years' undistributed income Subtrac	et			
line 4b from line 2b				<del>-</del>
income for which a notice of deficiency ha	1			
been issued, or on which the section 4942(a	· 1			
tax has been previously assessed				
d Subtract line 6c from line 6b Taxabl amount - see instructions	t			
e Undistributed income for 2014 Subtract lin	e			
4a from line 2a Taxable amount - se instructions				
f Undistributed income for 2015 Subtract line	•			
4d and 5 from line 1. This amount must b	1			
distributed in 2016				
7 Amounts treated as distributions out of corpu	i			
to satisfy requirements imposed by section				
170(b)(1)(F) or 4942(g)(3) (Election may b required - see instructions)				
	i i			<del>                                     </del>
<b>8</b> Excess distributions carryover from 2010 no applied on line 5 or line 7 (see instructions)	1			
9 Excess distributions carryover to 2016				
Subtract lines 7 and 8 from line 6a	2 0 2 5 6 6 6 7			
10 Analysis of line 9			_	
a Excess from 2011 4,004,616				
<b>b</b> Excess from 2012 6,397,512				
c Excess from 2013 5,128,872				
5 0F0 021				
e Excess from 2015 5,872,831		<u> </u>		Form <b>990-PF</b> (2015)

Pa	rt XIV Private Ope	erating Foundations	(see instructions a	ind Part VII-A, quest	ion 9)	NOT APPLICABLE
1 a	If the foundation has i	received a ruling or d	etermination letter that	at it is a private oper	ating	
	foundation, and the ruling	•		•	. i	
h	Check box to indicate v		~			2(j)(3) or 4942(j)(5)
	Officer box to maleute v	Tax year	is a private operating	Prior 3 years	1 30000011	1 4342(1)(3)
2 a	Enter the lesser of the ad-		41.0044	<del></del>	(4) 0040	— (e) Total
	justed net income from Part I or the minimum investment	(a) 2015	<b>(b)</b> 2014	(c) 2013	(d) 2012	
	return from Part X for each					
	year listed			<u> </u>		
b	85% of line 2a					
c	Qualifying distributions from Part					
٠	XII, line 4 for each year listed					
d	Amounts included in line 2c not	<del></del>				
Ī	used directly for active conduct of exempt activities					
е	Qualifying distributions made					
	directly for active conduct of					
	exempt activities Subtract line 2d from line 2c					
3	Complete 3a, b, or c for the					
	alternative test relied upon					}
а	"Assets" alternative test - enter					
	(1) Value of all assets			<del> </del>	<del></del>	<del></del>
	(2) Value of assets qualifying under section					
	4942(j)(3)(B)(i)			\	<del> </del>	
b	"Endowment" alternative test-					
	enter 2/3 of minimum invest-					
	ment return shown in Part X, line 6 for each year listed					Ì
С	"Support" alternative test - enter					
	(1) Total support other than					
	gross investment income					
	(interest, dividends, rents, payments on securities					
	loans (section 512(a)(5)),					
	or royalties)			<del> </del>	<del> </del>	
	(2) Support from general public and 5 or more					
	exempt organizations as					
	provided in section 4942 (j)(3)(B)(III)				<u> </u>	
	(3) Largest amount of sup-					
	port from an exempt organization					
	(4) Gross investment income					
Рa	rt XV Supplemer	ntary Information (	Complete this part	only if the founda	tion had \$5,000	or more in assets at
		uring the year - see				
1	Information Regardin		<del></del>	· · · · · · · · · · · · · · · · · · ·		<del></del>
	•	-		re than 2% of the tot	al contributions rec	eived by the foundation
u	before the close of any	tax year (but only if th	ney have contributed	more than \$5,000) (S	See section 507(d)(2)	))
				, (-	, , , , , , , , , , , , , , , , , , , ,	,
	ATTACHME		- 400/		<del></del>	
b						ally large portion of the
	ownership of a partner	snip or other entity) o	rwnich the roundatio	n nas a 10% or greate	r interest.	
	N/A					
2	Information Regardin	g Contribution, Grant	, Gift, Loan, Scholars	hip, etc., Programs:		
	Check here ▶ ☐ If t	he foundation only	makes contributions	to preselected char	ritable organizations	and does not accept
						or organizations under
	other conditions, comp			(300	,	or organizations critical
	The name, address, a			the person to whom a	anlications should be	addressed
а	ATCH 6	na telephone number	or e-mail address or	the person to whom a	opiications should be i	addressed
h		lications should be su	hmitted and informat	on and materials they	chauld ipoludo	
Ū	The form in which app	iicarions siionia be su	nuuren anu muonnat	ion and materials they		
	ATCH 7					
C	Any submission deadl	ines				
		OT ANY SUBMISS		<del></del>	<del></del>	
d	. •	imitations on awards	, such as by geog	raphical areas, charit	able fields, kinds o	of institutions, or other
	factors					
	ATCH 8					

orm 990-PF (2015)			<u> </u>	Page 1
Part XV Supplementary Information	(continued)	ound for E	iuturo Daymont	
Recipient	If recipient is an individual,	Foundation	Para of and a	
3 Grants and Contributions Paid Du Recipient Name and address (home or business)	show any relationship to any foundation manager	status of	Purpose of grant or contribution	Amount
a Paid during the year	or substantial contributor	recipient		
ATCH 9				
		ļ		
			İ	
Total			▶ 3a	5,853,405
<b>b</b> Approved for future payment				
ATCH 10				
		i	]	
			ì	
Total				4,403,139

Part XV	I-A . Analysis of Income-Prod					
Enter gross	s amounts unless otherwise indicated	(a)	ated business income (b)	(c)	y section 512, 513, or 514 (d)	(e) Related or exempt function income
•	m service revenue	Business code	Amount	Exclusion code	Amount	(See instructions)
f						
g Fees	and contracts from government agencies					
2 Membe	ership dues and assessments					
3 Interest	on savings and temporary cash investments -	<u> </u>				
4 Divider	nds and interest from securities					
5 Net ren	ntal income or (loss) from real estate			<del>_</del>		
	ot-financed property		-			
	debt-financed property	-		<del>-  </del>		
	tal income or (loss) from personal property	<u> </u>	<del> </del>		<del></del>	
	nvestment income		<del> </del>			
	(loss) from sales of assets other than inventory	<del>                                     </del>		<u> </u>		
	come or (loss) from special events · · · profit or (loss) from sales of inventory					
	revenue a					
е						
	al Add columns (b), (d), and (e)					
	Add line 12, columns (b), (d), and (e)				13	
	sheet in line 13 instructions to verify calc			<del></del>		
Part XV	I-B Relationship of Activitie	s to the A	accomplishment of	Exempt Pur	poses	
Line No.	Explain below how each activity					
▼	accomplishment of the foundation	on's exemp	ot purposes (other that	n by providing	g funds for such purpose	s) (See instructions)
	N/A					
	IN/A				<del></del>	
						<del></del>
					<del> </del>	
			<del></del>			
	<u> </u>					
						~ 000 DE

	,								
Part XVII	Information	Regarding	Transfers	To and	<b>Transactions</b>	and Relatio	nships Wit	th Noncha	ritable
	.Exempt Org	ganizations							

		р							
1			-	ngage in any of the follow	_			Yes	No
	ın secti	on 501(c) of the C	ode (other than se	ection 501(c)(3) organizati	ons) or in	section 527, rela	ating to political		
	organız	ations?							
а	Transfe	ers from the reporti	ng foundation to a	noncharitable exempt org	anization o	of			
	(1) Cas	h					1a(1)		_ X
							<b>I</b>		X
b	Other to	ransactions							
			oncharitable exem	pt organization			16(1)		Х
				le exempt organization					X
				ssets				, ,	X
									X
									<u>x</u>
								1 1	- <u>X</u>
				or fundraising solicitations				) <del> </del>	<u>x</u>
	_		_	s, other assets, or paid emp				<u> </u>	
q				" complete the following					
		•		es given by the reporting					
	value ii		or sharing arrange	ement, show in column (d	) the value	e of the goods, o	other assets, or service	s rece	31Ved
(a) L	ine no	(b) Amount involved	(c) Name of no	ncharitable exempt organization	( <b>d</b> ) De	escription of transfers	, transactions, and sharing arr	angemer	nts
		N/A			N/A				
		-							
				-					
		***							
						<del></del>	<del></del>		
							•		
					_	· <del></del>		-	
	-		<del>                                     </del>						
	-	· <del></del>			<del>-</del>				
						·			
							<del></del>		
		<del></del>					<del></del>		
			<u></u>						
	describ		c) of the Code (oth	nated with, or related to, ner than section 501(c)(3))				es X	] No
<u>~</u>		(a) Name of organizat		(b) Type of organization	1	(c)	Description of relationship		
						·			
	$\overline{}$	<b>\</b>							
	Under	penalties of perjury, I de	clare that I have examine	ed this return, including accompanyin	g schedules ar	nd statements, and to t	the best of my knowledge and	belief, it	is true
	corre	t, and complete Declaration	of reparer (other than tax	(payer) is based on all information of wh	ich preparer ha	is any knowledge			
Sig	n 🔱	1, K	XVIV (	111111111111111111111111111111111111111	Dec	older The	May the IRS discu		
Her	e   🖳		- DONOR	Data	Tulo b	SKILLIN, MY			below
	Sigi	nature of officer or truste	e •	Date	IIIe N	con founda		X Yes	No.
		I D		D		Thete	al.		
Pai	d	Print/Type preparer's i	name	Preparer's signature	ــــ مـــا	Date	Check if PTIN		
		DEVIN L DUNC	<u> </u>	deme		11/11/1	6 self-employed P012	24952	1
	parer	Firm's name   K	CPMG LLP			F	ım's EIN ▶13-55652	07	
Use	Only	Firm's address > 3	45 PARK AVEN	UE					_
_		N	IEW YORK, NY		1	0154-0102 P	hone no 212-758-9	700	
				<u></u>			Form <b>9</b> 9	0-PF	(2015)
									•

## Schedule B

(Form 990, 990-EZ,

or 990-PF)
Department of the Treasury
Internal Revenue Service

## **Schedule of Contributors**

► Attach to Form 990, Form 990-EZ, or Form 990-PF.

▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs gov/form990

OMB No 1545-0047

Name of the organization		Employer Identification number
THE ALCON FOUNDATION	ON INC.	20-0166600
Organization type (check o	ne)	
Filers of:	Section:	
Form 990 or 990-EZ	501(c)( ) (enter number) organization	
	4947(a)(1) nonexempt charitable trust <b>not</b> treated a	as a private foundation
	527 political organization	
Form 990-PF	X 501(c)(3) exempt private foundation	
	4947(a)(1) nonexempt charitable trust treated as a	a private foundation
	501(c)(3) taxable private foundation	
	on filing Form 990, 990-EZ, or 990-PF that received, during the y or property) from any one contributor. Complete Parts I and	
Special Rules	Contributions	
For an organizati regulations under 13, 16a, or 16b, a	on described in section 501(c)(3) filing Form 990 or 990-EZ the sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedu and that received from any one contributor, during the year, tot of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 9	ile A (Form 990 or 990-EZ), Part II, line tal contributions of the greater of (1)
contributor, durin	on described in section 501(c)(7), (8), or (10) filing Form 990 or g the year, total contributions of more than \$1,000 exclusively toonal purposes, or for the prevention of cruelty to children or a	for religious, charitable, scientific,
contributor, durin contributions tota during the year fo <b>General Rule</b> app	on described in section 501(c)(7), (8), or (10) filing Form 990 of the year, contributions exclusively for religious, charitable, etc. led more than \$1,000. If this box is checked, enter here the tot or an exclusively religious, charitable, etc., purpose. Do not comblies to this organization because it received nonexclusively religions remore during the year	c , purposes, but no such tal contributions that were received inplete any of the parts unless the pious, charitable, etc , contributions
	at is not covered by the General Rule and/or the Special Rules	

Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF

Schedule B (Form 990, 990-EZ, or 990-PF) (2015)

Name of organization THE ALCON FOUNDATION INC.

Employer identification number 20-0166600

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ALCON LABORATORIES, INC. 6201 SOUTH FREEWAY	\$5,874,309.	Person X Payroll Noncash
	FORT WORTH, TX 76134		(Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		<b>\$</b>	Person Payroll Noncash (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c)  Total contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II for noncash contributions)

Employer identification number

art II Nonca	sh Property (see instructions). Use duplicate copies	of Part II if additional space is ne	eded
a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
i) No. rom Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		 \$	
a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\ \$	
a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
a) No. from =  - Part I	(b)  Description of noncash property given	(c) FMV (or_estimate) (see instructions)	(d) Date received
		   \$	

Name	of orga	nization	THE	ALCON	FOUNDATION	TNC

Employer identification number

20-0166600

Part III	Exclusively religious, charitable, etc., co	ontributions to organizati	ons described in section 501(c)(7), (8), or
			ributor. Complete columns (a) through (e) and
	the following line entry. For organizations	s completing Part III, enter	the total of exclusively religious, charitable, etc.,
	contributions of \$1,000 or less for the y		
	Use duplicate copies of Part III if addition	al space is needed.	,
(a) No.	(h) Parana at 27	(a) Up - of oith	(d) December of how wife in held
from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
		·	
		(e) Transfer of gift	
		(e) Transier or gift	
	Turnefore all name address and 7	VID + 4	Deletionship of transferor to transferor
	Transferee's name, address, and Z	<u> </u>	Relationship of transferor to transferee
(a) No. from	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
Part I	(b) i dipose of girt	(c) 556 57 gm	(a) Description of now gire is note
			,
		(e) Transfer of gift	
		.,	
	Transferee's name, address, and 2	ZIP + 4	Relationship of transferor to transferee
(a) No.			<del></del>
from	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
Part I			
		(e) Transfer of gift	
	Transferee's name, address, and a	ZIP + 4	Relationship of transferor to transferee
(a) No.		<del></del>	
(a) No. from	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
Part I		····	· <del></del>
		(e) Transfer of gift	· · · · · · · · · · · · · · · · · · ·
	Transferee's name, address, and	ZIP + 4	Relationship of transferor to transferee
			······································

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

EXPENSES PER BOOKS AND

REVENUE

18,600.

18,600.

TOTALS

CONSULTING & PROFESSIONAL FEES

DESCRIPTION

CHARITABLE PURPOSES

18,600.

18,600.

ATTACHMENT 1 PAGE 20

2840406

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DESCRIPTION BANK FEES

- OTHER EXPENSES

PART I

FORM 990PF,

REVENUE
AND
EXPENSES
PER BOOKS
2,304.

2,304.

TOTALS

CHARITABLE PURPOSES 2,304.

2,304.

ATTACHMENT 2 PAGE 21

2840406

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ATTACHMENT 3 PAGE 22

- OTHER ASSETS PART II FORM 990PF,

THE ALCON FOUNDATION INC.

DESCRIPTION

ENDING BOOK VALUE

ENDING FMV

OTHER (ARTWORK)

30,000.

30,000.

30,000.

TOTALS

30,000.

ATTACHMENT

2840406

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FORM 990PE, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

THE ALCON FOUNDATION INC.

2840406

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NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
BETTINA MAUNZ C/O TAX DEPARTMENT ONE SOUTH RIDGEDALE AVENUE EAST HANOVER, NJ 07936	PRESIDENT 1.00	.0	°O	.0
CHRISTINA ACKERMANN C/O TAX DEPARTMENT ONE SOUTH RIDGEDALE AVENUE EAST HANOVER, NJ 07936	VICE PRESIDENT, TREASURER 1.00	.0	Ö	.0
RHONDA BRYANT C/O TAX DEPARTMENT ONE SOUTH RIDGEDALE AVENUE EAST HANOVER, NJ 07936	SECRETARY 1.00	.0	. 0	·
ROBERT WARNER C/O TAX DEPARTMENT ONE SOUTH RIDGEDALE AVENUE EAST HANOVER, NJ 07936	DIRECTOR 1.00	· 0	· 0	0
SERGIO DUPLAN C/O TAX DEPARTMENT ONE SOUTH RIDGEDALE AVENUE EAST HANOVER, NJ 07936	DIRECTOR 1.00	.0	Ö	.0

THE ALCON FOUNDATION INC.

FORM 990PF, PART VIII - LIST OF OFFICERS	ICERS, DIRECTORS, AND TRUSTEES		ATTACHMENT	MENT 4 (CONT'D)
NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
HUNTER CHERWEK  C/O TAX DEPARTMENT  ONE SOUTH RIDGEDALE AVENUE  EAST HANOVER, NJ 07936	DIRECTOR 1.00	.0	.0	.0
RICK WEISBARTH C/O TAX DEPARTMENT ONE SOUTH RIDGEDALE AVENUE EAST HANOVER, NJ 07936	DIRECTOR 1.00	Ċ	·O	·o
JEFF GEORGE C/O TAX DEPARTMENT ONE SOUTH RIDGEDALE AVENUE EAST HANOVER, NJ 07936	CHAIRMAN 1.00	·	. 0	· o
CRAIG OSTEN C/O TAX DEPARTMENT ONE SOUTH RIDGEDALE AVENUE EAST HANOVER, NJ 07936	DIRECTOR 1.00	.0	.0	·
STEPHEN WILSON (THRU 04/2015) C/O TAX DEPARTMENT ONE SOUTH RIDGEDALE AVENUE EAST HANOVER, NJ 07936	VICE PRESIDENT, TREASURER 1.00	. 0	· o	·

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THE ALCON FOUNDATION INC.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES	ERS, DIRECTORS, AND TRUSTEES		ATTACH	ATTACHMENT 4 (CONT'D)
NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
UNEEK MEHRA (THRU 08/2015) C/O TAX DEPARTMENT, ONE SOUTH RIDGEDALE AVENUE EASH HANOVER, NJ 07936	DIRECTOR 1.00		.0	. 0
	GRAND TOTALS	0	0	0

FORM 990PF, PART XV - INFORMATION REGARDING FOUNDATION MANAGERS

ALCON LABORATORIES, INC., CONTRIBUTES MORE THAN 2% OF THE TOTAL CONTRIBUTIONS OF THE ALCON FOUNDATION, INC. INDICATED BELOW ARE OFFICERS OR DIRECTORS OF THE ALCON FOUNDATION, INC. WHO ARE OFFICERS OF ALCON LABORATORIES, INC. BETTINA MAUNZ

## FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

BETTINA MAUNZ, PRESIDENT ALCON FDTN INC. C/O TAX DEPARTMENT ONE HEALTH PLAZA BLDG 200 EAST HANOVER, NJ 07936 817-293-0450

ATTA	CHM	FNT	-

## 990PF, PART XV - FORM AND CONTENTS OF SUBMITTED APPLICATIONS

GRANT REQUESTS SHOULD BE SUBMITTED IN WRITING AND INCLUDE CURRENT IRS DOCUMENTATION CERTIFYING THAT THE APPLICANT IS A TAX-EXEMPT ORGANIZATION UNDER SECTION 501(C)(3) OF THE INTERNAL REVENUE CODE.

- INFORMATION ABOUT THE APPLICANT AND A BRIEF DESCRIPTION OF THE SPECIFIC PROGRAM FOR WHICH FUNDS ARE BEING REQUESTED.
- NAMES AND AFFILIATIONS OF THE OFFICERS, TRUSTEES AND/OR BOARD OF DIRECTORS OF THE APPLICANT.
- LIST OF THE APPLICANT'S CURRENT CORPORATE AND FOUNDATION SUPPORTERS.
- MOST RECENT AUDITED FINANCIAL STATEMENTS OF THE APPLICANT.

## 990PF, PART XV - RESTRICTIONS OR LIMITATIONS ON AWARDS

THE ALCON FOUNDATION, INC. SUPPORTS ORGANIZATIONS IN THE FIELDS OF HEALTH CARE, LEADERSHIP PROGRAMS, RESEARCH, EDUCATION AND COMMUNITY RESPONSIBILITY. PROGRAMS THAT ADVANCE THE EDUCATION AND SKILL LEVELS OF EYE CARE PROFESSIONALS ARE GIVEN SPECIAL CONSIDERATION. ALCON BELIEVES THESE TYPES OF PROGRAMS BENEFIT THE EYE CARE PROFESSIONAL AND IMPROVE PATIENT CARE. IN ADDITION, THE FOUNDATION SUPPORTS THE PHILANTHROPIC INTEREST OF ALCON. ALCON IS COMMITTED TO MAKING A DIFFERENCE IN THE COMMUNITIES WHERE WE HAVE A LARGE EMPLOYEE PRESENCE AND BELIEVE IT IS OUR SOCIAL RESPONSIBILITY TO SUPPORT EDUCATIONAL PROGRAMS, GOOD WORKS AND CHARITIES. THE ALCON FOUNDATION, INC. SUPPORTS CIVIC AND COMMUNITY, HEALTH AND HUMAN SERVICES, EDUCATION, ARTS AND CULTURAL PROGRAMS.

## THE ALCON FOUNDATION INC. EIN#20-0166600 FOR THE YEAR ENDED 12/31/2015

Part XV, Line 3a - Grants and Contributions Paid During the Year					
Decrease Name	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation Status of	Purpose of Grant or Contribution	<b>A</b>	
Recipient Name BIG BROTHERS BIG SISTERS LONE STAR		recipient PC	GOODWILL	\$10,000 00	
BIG BROTHERS BIG SISTERS LONE STAR BIG BROTHERS BIG SISTERS LONE STAR	N/A N/A	PC	GOODWILL	\$10,000 00	
BOYS & GIRLS CLUB OF GREATER FORT WORTH	N/A	PC PC	GOODWILL	\$10,000 00	
CABELL COUNTY PUBLIC LIBRARY	N/A	PC	GOODWILL	\$2,300 00	
FORT WORTH PROMOTION & DVLPMT FUND	N/A	PC	GOODWILL	\$10,000 00	
PRESBYTERIAN NIGHT SHELTER	N/A	PC	GOODWILL	\$10,000 00	
SENIOR CITIZEN SERVICES OF GREATER TARRANT COUNTY, INC	N/A	PC	GOODWILL	\$10,000 00	
WHAT ABOUT REMEMBERING ME CENTER INC	N/A	PC	GOODWILL	\$10,000 00	
WOMEN'S CENTER OF TARRANT COUNTY	N/A	PC	GOODWILL	\$3,000 00	
BOYS & GIRLS CLUBS OF SOUTHERN NEVADA	N/A	PC	GOODWILL	\$2,000 00	
GREATER LAS VEGAS INNER-CITY GAMES .	N/A	PC	GOODWILL	\$1,000 00	
NEVADA PARTNERSHIP FOR HOMELESS YOUTH	N/A	PC	GOODWILL	\$4,000 00	
RONALD MCDONALD HOUSE CHARITIES OF GREATER LAS VEGAS INC	N/A	PC	GOODWILL	\$1,000 00	
AAO-HNS FOUNDATION, INC	N/A	PC PC	GOODWILL	\$10,000 00	
ASCRS FOUNDATION	N/A	PC PC	GOODWILL GOODWILL	\$75,000 00	
ACCESSOC SOUTHERN CALIFORNIA  AMERICAN HEALTH ASSISTANCE FOUNDATION	N/A N/A	PC	GOODWILL	\$10,000 00 \$25,000 00	
BERKS ARTS COUNCIL	N/A	PC	GOODWILL	\$10,000 00	
BERKS COUNTY CHAMBER FOUNDATION	N/A	PC	GOODWILL	\$5,000 00	
ESSILOR VISION FOUNDATION	N/A	PC	GOODWILL	\$250,000 00	
EVERMAN ISD EDUCATION FOUNDATION INC	N/A	PC	GOODWILL	\$1,000 00	
FORT WORTH ART ASSOCIATION DBA MODERN ART MUSEUM OF FORT WO	N/A	PC	GOODWILL	\$10,000 00	
FORT WORTH SYMPHONY ORCHESTRA	N/A	PC	GOODWILL	\$10,000 00	
GA LIONS LIGHTHOUSE FOUNDATION, INC	N/A	PC	GOODWILL	\$25,000_00	
GIVE THE GIFT OF SIGHT FOUNDATION	N/A	PC	GOODWILL	\$75,000 00	
HIMALAYAN CATARACTPROJECT	N/A	PC	GOODWILL	\$100,000 00	
ILLINOIS COLLEGE OF OPTOMETRY	N/A	PC	GOODWILL	\$10,000 00	
LAURA'SHOUSE	N/A	PC	GOODWILL	\$5,000 00	
RETINA GLOBAL	N/A	PC PC	GOODWILL	\$40,000 00	
SURGICAL EYE EXPEDITIONS INTERNATIONAL, INC	N/A	PC PC	GOODWILL	\$50,000 00	
WEST VIRGINIA UNIVERSITY FOUNDATION, INC ARVO FOUNDATION	N/A N/A	PC	GOODWILL GOODWILL	\$50,000 00 \$19,800 00	
ARVO FOUNDATION	N/A	PC	GOODWILL	\$12,750 00	
ARVO FOUNDATION	N/A	PC	GOODWILL	\$15,000 00	
ARLINGTON LIFE SHELTER	N/A	PC	GOODWILL	\$5,000 00	
CATHOLIC CHARITIES	N/A	PC	GOODWILL	\$10,000 00	
CHILD STUDY CENTER	N/A	PC	GOODWILL	\$10,000 00	
MASSACHUSETTS EYE AND EAR INFIRMARY	N/A	PC	GOODWILL	\$73,700 00	
ORBIS INTERNATIONAL	N/A	PC	GOODWILL	\$360,000 00	
OPTOMETRY GIVING SIGHT	N/A	PC	GOODWILL	\$50,000 00	
SPECIAL OLYMPICS TEXAS	N/A	PC	GOODWILL	\$10,000 00	
TARRANT AREA FOOD BANK	N/A	PC	GOODWILL	\$25,000 00	
TARRANTLITERACYCOALITION	N/A	PC	GOODWILL	\$10,000 00	
TEXAS CHRISTIAN UNIVERSITY	N/A	PC	GOODWILL	\$50,000 00	
TRINITY HABITAT FOR HUMANITY	N/A	PC PC	GOODWILL	\$100,000 00	
UNIVERSITY OF HOUSTON - COLLEGE OF OPTOMETRY  AMON CARTER MUSEUM OF WESTERN ART	N/A N/A	PC	GOODWILL	\$10,000 00 \$10,000 00	
ASSISTANCE LEAGUE OF SANTA ANA	N/A	PC	GOODWILL	\$3,000 00	
GLAUCOMA FOUNDATION	N/A	PC	GOODWILL	\$100,000 00	
GLAUCOMARESEARCH FOUNDATION	N/A	PC	GOODWILL	\$100,000 00	
INTERNATIONAL COUNCIL OF OPHTHALMOLOGY FOUNDATION	N/A	PC	GOODWILL	\$100,000 00	
JA OF CHISHOLM TRAIL, INC	N/A	PC	GOODWILL	\$32,600 00	
LONE STAR FILM SOCIETY, INC	N/A	PC	GOODWILL	\$10,000 00	
MEDICAL MINISTRY USA DBA MEDICAL MINISTRY INTERNATIONAL	- N/A	PC	GOODWILL	\$60,000 00	
NATIONAL SOCIETY TO PREVENT BLINDNESS (DBA PREVENT BLINDNESS)	N/A	PC	GOODWILL	\$75,000 00	
PREVENT BLINDNESS GEORGIA	N/A	PC	GOODWILL	\$50,000 00	
PREVENT BLINDNESS TEXAS	N/A	PC	GOODWILL	\$25,000 00	
TARRANT COUNTY ASSOCIATION FOR THE BLIND	N/A	PC	GOODWILL	\$10,000 00	
THE HOPE CENTER	N/A	PC PC	GOODWILL	\$3,500 00	
UNITED COMMUNITY CENTERS, INC	N/A	PC PC	GOODWILL	\$5,000 00	
VANCLIBURN FOUNDATION	N/A	PC PC	GOODWILL	\$25,000 00	
VISIONSPRING	N/A	Ι Ρ	GOODWILL	\$200,000 00	

#### THE ALCON FOUNDATION INC EIN# 20-0166600 FOR THE YEAR ENDED 12/31/2015

AMERICAN GLÂUCOMA SOCIETY FOUNDATION N/A PC ARIZONA BLIND & DEAF CHILDREN'S FOUNDATION, INC N/A PC		
	GOODWILL	\$80,000 00
FOUNDATION OF THE AMERICAN ACADCAN OF OBJETHALANDLOCK	GOODWILL	\$10,000 00
FOUNDATION OF THE AMERICAN ACADEMY OF OPHTHALMOLOGY N/A PC	GOODWILL	\$250,000 00
FOUNDATION OF THE AMERICAN ACADEMY OF OPHTHALMOLOGY N/A PC	GOODWILL	\$10,000 00
	GOODWILL	\$40,000 00
MERCY SHIPS N/A PC	GOODWILL	\$200,000 00
SPECIAL OLYMPICS, INC N/A PC	GOODWILL	\$25,000 00
UNIVERSITY OF TEXAS MEDICAL BRANCH N/A PC	GOODWILL	\$25,000 00
VISIONSPRING N/A PC	GOODWILL	\$200,000 00
AMERICAN OPTOMETRIC FOUNDATION N/A PC	GOODWILL	\$9,500 00
	GOODWILL	\$40,000 00
SPECIAL OLYMPICSTEXAS N/A PC	GOODWILL	\$5,000 00
ST JOSEPH HOSPITAL OF ORANGE N/A PC	GOODWILL	\$5,000 00
ASCO N/A PC	GOODWILL	\$5,000 00
BIG BROTHERS BIG SISTERS OF THE TRI-STATE, INC N/A PC	GOODWILL	\$5,000 00
CABELL-WAYNE ASSOCIATION OF THE BLIND N/A PC	GOODWILL	\$5,000 00
FORT WORTH MUSEUM OF SCIENCE & HISTORY N/A PC	GOODWILL	\$10,000 00
INTERNATIONAL EYE FOUNDATION N/A PC	GOODWILL	\$75,000 00
JACKSON HOLE THERAPEUTIC RIDING ASSOCIATION N/A PC	GOODWILL	\$3,000 00
NATIONAL MERIT SCHOLARSHIP PROGRAM N/A PC	GOODWILL	\$8,625 00
NORTHWEST ASSISTANCE MINISTRIES - NAM N/A PC		
	GOODWILL	\$10,000 00
OPTOMETRY'S CHARITY - THE AOA FOUNDATION N/A PC	GOODWILL	\$10,000 00
SIGHTLIFE N/A PC	GOODWILL	\$10,000 00
YMCA OF METROPOLITAN FORT WORTH N/A PC	GOODWILL	\$10,000 00
AMERICAN RED CROSS N/A PC	GOODWILL	\$5,000 00
CENTER FOR EYE RESEARCH & EDUCATION (CERE)  N/A  PC	GOODWILL	\$10,000 00
	<del></del>	
ESSILOR VISION FOUNDATION N/A PC	GOODWILL	\$250,000 00
JCAHPON/A PC	GOODWILL	<u>\$8,</u> 700 00
MANSFIELD ISD EDUCATION FOUNDATION N/A PC	GOODWILL	\$5,000 00
FORT WORTH CHAMBER DEVELOPMENT FOUNDATION N/A PC	GOODWILL	\$37,500 00
NORTH AMERICA IAPB INC N/A PC	GOODWILL	\$100,000 00
ORBIS INTERNATIONAL N/A PC	GOODWILL	\$350,000 00
WILLS EYE HOSPITAL N/A PC	GOODWILL	\$100,000 00
AMERICAN OPTOMETRIC FOUNDATION N/A PC	GOODWILL	\$50,000 00
HABITAT FOR HUMANITY- NORTH CENTRAL GEORGIA N/A PC	GOODWILL	\$10,000 00
OPTOMETRY GIVING SIGHT N/A PC	GOODWILL	\$10,000 00
ALLIANCE FOR CHILDREN N/A PC	GOODWILL	\$3,000 00
<del>}</del>	<del></del>	
ARLINGTON LIFE SHELTER 'N/A PC	GOODWILL	\$10,000 00
BIG BROTHERS BIG SISTERS LONE STAR N/A PC	GOODWILL	\$10,000 00
BIG BROTHERS BIG SISTERS LONE STAR N/A PC	GOODWILL	\$10,000 00
BOYS & GIRLS CLUB OF GREATER FORT WORTH N/A PC	GOODWILL	\$10,000 00
GILL CHILDREN'S SERVICES N/A PC	GOODWILL	\$5,000 00
HUNTINGTON CITY MISSION N/A PC	GOODWILL	
	<del></del>	\$10,000 00
IMPOSSIBLE POSSIBILITIES N/A PC	GOODWILL	\$5,000 00
KIMBELLART MUSEUMN/A PC	GOODWILL	\$10,000 00
LATIN ARTS ASSOCIATION OF FORT WORTH N/A PC	GOODWILL	\$10,000 00
SAFEHAVEN OF TARRANT COUNTY N/A PC	GOODWILL	\$8,000 00
WHAT ABOUT REMEMBERING ME CENTER INC N/A PC	GOODWILL	\$10,000 00
ORANGE COUNTY'S UNITED WAY N/A PC	GOODWILL	\$67,222 59
UNITED WAY OF METROPOLITAN ATLANTA INC N/A PC	GOODWILL	\$8,910 47
UNITED WAY OF BERKS COUNTY N/A PC	GOODWILL	\$25,423 69
UNITED WAY OF METROPOLITAN TARRANT COUNTY N/A PC	GOODWILL	\$279,360 48
	GOODWILL	\$5,061 10
UNITED WAY OF THE RIVER CITIES, INC N/A PC		
	GOODWILL	[ S6 457 01
UNITED WAY OF THE TEXAS GULF COAST N/A PC	GOODWILL	
UNITED WAY OF THE TEXAS GULF COAST N/A PC ORANGE COUNTY'S UNITED WAY N/A PC	GOODWILL	\$30,000 00
UNITED WAY OF THE TEXAS GULF COAST N/A PC ORANGE COUNTY'S UNITED WAY N/A PC UNITED WAY OF METROPOLITAN ATLANTA INC N/A PC	GOODWILL GOODWILL	\$30,000 00 \$3,000 00
UNITED WAY OF THE TEXAS GULF COAST N/A PC ORANGE COUNTY'S UNITED WAY N/A PC	GOODWILL	\$6,452 01 \$30,000 00 \$3,000 00 \$22,500 00
UNITED WAY OF THE TEXAS GULF COAST N/A PC ORANGE COUNTY'S UNITED WAY N/A PC UNITED WAY OF METROPOLITAN ATLANTA INC N/A PC	GOODWILL GOODWILL	\$30,000 00 \$3,000 00
UNITED WAY OF THE TEXAS GULF COAST         N/A         PC           ORANGE COUNTY'S UNITED WAY         N/A         PC           UNITED WAY OF METROPOLITAN ATLANTA INC         N/A         PC           UNITED WAY OF BERKS COUNTY         N/A         PC           UNITED WAY OF METROPOLITAN TARRANT COUNTY         N/A         PC	GOODWILL GOODWILL GOODWILL	\$30,000 00 \$3,000 00 \$22,500 00 \$175,000 00
UNITED WAY OF THE TEXAS GULF COAST  ORANGE COUNTY'S UNITED WAY  N/A  PC  UNITED WAY OF METROPOLITAN ATLANTA INC  UNITED WAY OF BERKS COUNTY  N/A  PC  UNITED WAY OF METROPOLITAN TARRANT COUNTY  N/A  PC  UNITED WAY OF THE RIVER CITIES, INC  N/A  PC	GOODWILL GOODWILL GOODWILL GOODWILL	\$30,000 00 \$3,000 00 \$22,500 00 \$175,000 00 \$4,000 00
UNITED WAY OF THE TEXAS GULF COAST  ORANGE COUNTY'S UNITED WAY  N/A  PC  UNITED WAY OF METROPOLITAN ATLANTA INC  UNITED WAY OF BERKS COUNTY  N/A  PC  UNITED WAY OF METROPOLITAN TARRANT COUNTY  N/A  PC  UNITED WAY OF THE RIVER CITIES, INC  UNITED WAY OF THE TEXAS GULF COAST  N/A  PC	GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL	\$30,000 00 \$3,000 00 \$22,500 00 \$175,000 00 \$4,000 00 \$5,000 00
UNITED WAY OF THE TEXAS GULF COAST  ORANGE COUNTY'S UNITED WAY  N/A  PC  UNITED WAY OF METROPOLITAN ATLANTA INC  UNITED WAY OF BERKS COUNTY  N/A  PC  UNITED WAY OF METROPOLITAN TARRANT COUNTY  N/A  PC  UNITED WAY OF THE RIVER CITIES, INC  UNITED WAY OF THE TEXAS GULF COAST  N/A  PC  ORANGE COUNTY'S UNITED WAY  N/A  PC	GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL	\$30,000 00 \$3,000 00 \$22,500 00 \$175,000 00 \$4,000 00 \$5,000 00
UNITED WAY OF THE TEXAS GULF COAST  ORANGE COUNTY'S UNITED WAY  N/A  PC  UNITED WAY OF METROPOLITAN ATLANTA INC  UNITED WAY OF BERKS COUNTY  N/A  PC  UNITED WAY OF METROPOLITAN TARRANT COUNTY  N/A  PC  UNITED WAY OF THE RIVER CITIES, INC  N/A  PC  UNITED WAY OF THE TEXAS GULF COAST  N/A  PC  ORANGE COUNTY'S UNITED WAY  UNITED WAY OF METROPOLITAN ATLANTA INC  N/A  PC  UNITED WAY OF METROPOLITAN ATLANTA INC  N/A  PC	GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL	\$30,000 00 \$3,000 00 \$22,500 00 \$175,000 00 \$4,000 00 \$5,000 00 \$30,000 00
UNITED WAY OF THE TEXAS GULF COAST  ORANGE COUNTY'S UNITED WAY  N/A  PC  UNITED WAY OF METROPOLITAN ATLANTA INC  UNITED WAY OF BERKS COUNTY  N/A  PC  UNITED WAY OF METROPOLITAN TARRANT COUNTY  N/A  PC  UNITED WAY OF THE RIVER CITIES, INC  UNITED WAY OF THE TEXAS GULF COAST  N/A  PC  ORANGE COUNTY'S UNITED WAY  N/A  PC	GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL	\$30,000 00 \$3,000 00 \$22,500 00 \$175,000 00 \$4,000 00 \$5,000 00 \$30,000 00
UNITED WAY OF THE TEXAS GULF COAST  ORANGE COUNTY'S UNITED WAY  N/A  PC  UNITED WAY OF METROPOLITAN ATLANTA INC  UNITED WAY OF BERKS COUNTY  N/A  PC  UNITED WAY OF METROPOLITAN TARRANT COUNTY  N/A  PC  UNITED WAY OF THE RIVER CITIES, INC  N/A  PC  UNITED WAY OF THE TEXAS GULF COAST  N/A  PC  ORANGE COUNTY'S UNITED WAY  UNITED WAY OF METROPOLITAN ATLANTA INC  N/A  PC  UNITED WAY OF METROPOLITAN ATLANTA INC  N/A  PC	GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL	\$30,000 00 \$3,000 00 \$22,500 00 \$175,000 00 \$4,000 00 \$5,000 00 \$30,000 00 \$3,000 00 \$22,500 00
UNITED WAY OF THE TEXAS GULF COAST  ORANGE COUNTY'S UNITED WAY  N/A  PC  UNITED WAY OF METROPOLITAN ATLANTA INC  UNITED WAY OF BERKS COUNTY  N/A  PC  UNITED WAY OF METROPOLITAN TARRANT COUNTY  N/A  PC  UNITED WAY OF THE RIVER CITIES, INC  UNITED WAY OF THE TEXAS GULF COAST  ORANGE COUNTY'S UNITED WAY  UNITED WAY OF METROPOLITAN ATLANTA INC  UNITED WAY OF METROPOLITAN ATLANTA INC  UNITED WAY OF BERKS COUNTY  N/A  PC  UNITED WAY OF BERKS COUNTY  N/A  PC  UNITED WAY OF METROPOLITAN ATLANTA INC  UNITED WAY OF METROPOLITAN TARRANT COUNTY  N/A  PC	GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL	\$30,000 00 \$3,000 00 \$22,500 00 \$175,000 00 \$4,000 00 \$5,000 00 \$30,000 00 \$3,000 00 \$22,500 00
UNITED WAY OF THE TEXAS GULF COAST  ORANGE COUNTY'S UNITED WAY  N/A  PC  UNITED WAY OF METROPOLITAN ATLANTA INC  UNITED WAY OF BERKS COUNTY  N/A  PC  UNITED WAY OF METROPOLITAN TARRANT COUNTY  N/A  PC  UNITED WAY OF THE RIVER CITIES, INC  N/A  PC  UNITED WAY OF THE TEXAS GULF COAST  N/A  PC  UNITED WAY OF THE TEXAS GULF COAST  N/A  PC  UNITED WAY OF METROPOLITAN ATLANTA INC  UNITED WAY OF BERKS COUNTY  N/A  PC  UNITED WAY OF BERKS COUNTY  N/A  PC  UNITED WAY OF METROPOLITAN ATLANTA INC  N/A  PC  UNITED WAY OF METROPOLITAN TARRANT COUNTY  N/A  PC  UNITED WAY OF METROPOLITAN TARRANT COUNTY  N/A  PC  UNITED WAY OF METROPOLITAN TARRANT COUNTY  N/A  PC  UNITED WAY OF THE RIVER CITIES, INC	GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL	\$30,000 00 \$3,000 00 \$22,500 00 \$175,000 00 \$4,000 00 \$5,000 00 \$30,000 00 \$3,000 00 \$22,500 00 \$175,000 00
UNITED WAY OF THE TEXAS GULF COAST  ORANGE COUNTY'S UNITED WAY  N/A  PC  UNITED WAY OF METROPOLITAN ATLANTA INC  UNITED WAY OF BERKS COUNTY  N/A  PC  UNITED WAY OF METROPOLITAN TARRANT COUNTY  N/A  PC  UNITED WAY OF THE RIVER CITIES, INC  N/A  PC  UNITED WAY OF THE TEXAS GULF COAST  N/A  PC  UNITED WAY OF THE TEXAS GULF COAST  N/A  PC  UNITED WAY OF METROPOLITAN ATLANTA INC  UNITED WAY OF METROPOLITAN ATLANTA INC  UNITED WAY OF METROPOLITAN TARRANT COUNTY  N/A  PC  UNITED WAY OF METROPOLITAN TARRANT COUNTY  N/A  PC  UNITED WAY OF METROPOLITAN TARRANT COUNTY  N/A  PC  UNITED WAY OF THE RIVER CITIES, INC  N/A  PC  UNITED WAY OF THE RIVER CITIES, INC  N/A  PC  UNITED WAY OF THE RIVER CITIES, INC  N/A  PC	GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL	\$30,000 00 \$3,000 00 \$22,500 00 \$175,000 00 \$5,000 00 \$30,000 00 \$30,000 00 \$31,000 00 \$31,000 00 \$31,000 00 \$31,000 00 \$31,000 00 \$31,000 00 \$31,000 00 \$31,000 00 \$31,000 00 \$31,000 00 \$31,000 00 \$31,000 00
UNITED WAY OF THE TEXAS GULF COAST  ORANGE COUNTY'S UNITED WAY  N/A  PC  UNITED WAY OF METROPOLITAN ATLANTA INC  UNITED WAY OF BERKS COUNTY  N/A  PC  UNITED WAY OF METROPOLITAN TARRANT COUNTY  N/A  PC  UNITED WAY OF THE RIVER CITIES, INC  N/A  PC  UNITED WAY OF THE TEXAS GULF COAST  N/A  PC  UNITED WAY OF METROPOLITAN ATLANTA INC  UNITED WAY OF METROPOLITAN ATLANTA INC  UNITED WAY OF BERKS COUNTY  N/A  PC  UNITED WAY OF BERKS COUNTY  N/A  PC  UNITED WAY OF BERKS COUNTY  N/A  PC  UNITED WAY OF THE RIVER CITIES, INC  N/A  PC  UNITED WAY OF THE RIVER CITIES, INC  N/A  PC  UNITED WAY OF THE RIVER CITIES, INC  N/A  PC  UNITED WAY OF THE TEXAS GULF COAST  N/A  PC  UNITED WAY OF THE RIVER CITIES, INC  N/A  PC  UNITED WAY OF THE TEXAS GULF COAST  N/A  PC	GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL	\$30,000 00 \$3,000 00 \$22,500 00 \$175,000 00 \$5,000 00 \$30,000 00 \$32,500 00 \$175,000 00 \$3,000 00 \$3,000 00 \$3,000 00 \$3,000 00 \$3,000 00 \$3,000 00
UNITED WAY OF THE TEXAS GULF COAST  ORANGE COUNTY'S UNITED WAY  N/A  PC  UNITED WAY OF METROPOLITAN ATLANTA INC  UNITED WAY OF BERKS COUNTY  N/A  PC  UNITED WAY OF METROPOLITAN TARRANT COUNTY  N/A  PC  UNITED WAY OF THE RIVER CITIES, INC  N/A  PC  UNITED WAY OF THE TEXAS GULF COAST  N/A  PC  UNITED WAY OF THE TEXAS GULF COAST  N/A  PC  UNITED WAY OF METROPOLITAN ATLANTA INC  UNITED WAY OF METROPOLITAN ATLANTA INC  UNITED WAY OF METROPOLITAN TARRANT COUNTY  N/A  PC  UNITED WAY OF METROPOLITAN TARRANT COUNTY  N/A  PC  UNITED WAY OF METROPOLITAN TARRANT COUNTY  N/A  PC  UNITED WAY OF THE RIVER CITIES, INC  N/A  PC  UNITED WAY OF THE RIVER CITIES, INC  N/A  PC  UNITED WAY OF THE RIVER CITIES, INC  N/A  PC	GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL	\$30,000 00 \$3,000 00 \$22,500 00 \$175,000 00 \$5,000 00 \$30,000 00 \$32,500 00 \$175,000 00 \$3,000 00 \$3,000 00 \$3,000 00 \$3,000 00 \$3,000 00 \$3,000 00
UNITED WAY OF THE TEXAS GULF COAST  ORANGE COUNTY'S UNITED WAY  UNITED WAY OF METROPOLITAN ATLANTAINC  UNITED WAY OF BERKS COUNTY  UNITED WAY OF METROPOLITAN TARRANT COUNTY  N/A  PC  UNITED WAY OF THE RIVER CITIES, INC  UNITED WAY OF THE RIVER CITIES, INC  UNITED WAY OF THE TEXAS GULF COAST  N/A  PC  UNITED WAY OF METROPOLITAN ATLANTAINC  UNITED WAY OF METROPOLITAN ATLANTAINC  UNITED WAY OF BERKS COUNTY  UNITED WAY OF BERKS COUNTY  N/A  PC  UNITED WAY OF METROPOLITAN TARRANT COUNTY  N/A  PC  UNITED WAY OF METROPOLITAN TARRANT COUNTY  N/A  PC  UNITED WAY OF THE RIVER CITIES, INC  N/A  PC  UNITED WAY OF THE RIVER CITIES, INC  N/A  PC  UNITED WAY OF THE TEXAS GULF COAST  N/A  PC  UNITED WAY OF THE TEXAS GULF COAST  N/A  PC  ORANGE COUNTY'S UNITED WAY  N/A  PC	GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL	\$30,000 00 \$3,000 00 \$22,500 00
UNITED WAY OF THE TEXAS GULF COAST  ORANGE COUNTY'S UNITED WAY  N/A  PC  UNITED WAY OF METROPOLITAN ATLANTAINC  UNITED WAY OF BERKS COUNTY  UNITED WAY OF METROPOLITAN TARRANT COUNTY  N/A  PC  UNITED WAY OF THE RIVER CITIES, INC  UNITED WAY OF THE TEXAS GULF COAST  N/A  PC  UNITED WAY OF THE TEXAS GULF COAST  N/A  PC  UNITED WAY OF METROPOLITAN ATLANTAINC  N/A  PC  UNITED WAY OF BERKS COUNTY  UNITED WAY OF BERKS COUNTY  UNITED WAY OF THE RIVER CITIES, INC  UNITED WAY OF THE RIVER CITIES, INC  UNITED WAY OF THE RIVER CITIES, INC  UNITED WAY OF THE TEXAS GULF COAST  N/A  PC  UNITED WAY OF THE TEXAS GULF COAST  N/A  PC  UNITED WAY OF THE TEXAS GULF COAST  N/A  PC  UNITED WAY OF THE TEXAS GULF COAST  N/A  PC  UNITED WAY OF THE TEXAS GULF COAST  N/A  PC  UNITED WAY OF THE TEXAS GULF COAST  N/A  PC  UNITED WAY OF METROPOLITAN ATLANTAINC  N/A  PC  UNITED WAY OF METROPOLITAN ATLANTAINC  N/A  PC  UNITED WAY OF METROPOLITAN ATLANTAINC  N/A  PC  UNITED WAY OF METROPOLITAN ATLANTAINC  N/A  PC  UNITED WAY OF BERKS COUNTY  N/A  PC	GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL	\$30,000 00 \$3,000 00 \$22,500 00 \$175,000 00 \$4,000 00 \$5,000 00 \$30,000 00 \$22,500 00 \$4,000 00 \$375,000 00 \$4,000 00 \$5,000 00 \$30,000 00 \$30,000 00
UNITED WAY OF THE TEXAS GULF COAST  ORANGE COUNTY'S UNITED WAY  N/A  PC  UNITED WAY OF METROPOLITAN ATLANTA INC  UNITED WAY OF BERKS COUNTY  UNITED WAY OF METROPOLITAN TARRANT COUNTY  N/A  PC  UNITED WAY OF THE RIVER CITIES, INC  UNITED WAY OF THE RIVER CITIES, INC  UNITED WAY OF THE TEXAS GULF COAST  N/A  PC  UNITED WAY OF METROPOLITAN ATLANTA INC  UNITED WAY OF METROPOLITAN ATLANTA INC  UNITED WAY OF BERKS COUNTY  UNITED WAY OF METROPOLITAN TARRANT COUNTY  N/A  PC  UNITED WAY OF THE RIVER CITIES, INC  UNITED WAY OF THE RIVER CITIES, INC  UNITED WAY OF THE RIVER CITIES, INC  UNITED WAY OF THE TEXAS GULF COAST  N/A  PC  UNITED WAY OF THE TEXAS GULF COAST  N/A  PC  UNITED WAY OF THE TEXAS GULF COAST  N/A  PC  UNITED WAY OF METROPOLITAN ATLANTA INC  UNITED W	GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL	\$30,000 00 \$3,000 00 \$22,500 00 \$175,000 00 \$4,000 00 \$5,000 00 \$30,000 00 \$22,500 00 \$4,000 00 \$4,000 00 \$4,000 00 \$5,000 00 \$30,000 00 \$30,000 00 \$30,000 00 \$31,000 00 \$31,000 00 \$31,000 00 \$31,000 00 \$31,000 00 \$31,000 00 \$31,000 00 \$31,000 00 \$31,000 00 \$31,000 00 \$31,000 00 \$31,000 00
UNITED WAY OF THE TEXAS GULF COAST  ORANGE COUNTY'S UNITED WAY  N/A  PC  UNITED WAY OF METROPOLITAN ATLANTAINC  UNITED WAY OF BERKS COUNTY  UNITED WAY OF METROPOLITAN TARRANT COUNTY  N/A  PC  UNITED WAY OF THE RIVER CITIES, INC  UNITED WAY OF THE TEXAS GULF COAST  N/A  PC  UNITED WAY OF THE TEXAS GULF COAST  N/A  PC  UNITED WAY OF METROPOLITAN ATLANTAINC  N/A  PC  UNITED WAY OF BERKS COUNTY  UNITED WAY OF BERKS COUNTY  UNITED WAY OF THE RIVER CITIES, INC  UNITED WAY OF THE RIVER CITIES, INC  UNITED WAY OF THE RIVER CITIES, INC  UNITED WAY OF THE TEXAS GULF COAST  N/A  PC  UNITED WAY OF THE TEXAS GULF COAST  N/A  PC  UNITED WAY OF THE TEXAS GULF COAST  N/A  PC  UNITED WAY OF THE TEXAS GULF COAST  N/A  PC  UNITED WAY OF THE TEXAS GULF COAST  N/A  PC  UNITED WAY OF THE TEXAS GULF COAST  N/A  PC  UNITED WAY OF METROPOLITAN ATLANTAINC  N/A  PC  UNITED WAY OF METROPOLITAN ATLANTAINC  N/A  PC  UNITED WAY OF METROPOLITAN ATLANTAINC  N/A  PC  UNITED WAY OF METROPOLITAN ATLANTAINC  N/A  PC  UNITED WAY OF BERKS COUNTY  N/A  PC	GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL GOODWILL	\$30,000 00 \$3,000 00 \$22,500 00 \$175,000 00 \$5,000 00 \$30,000 00 \$22,500 00 \$175,000 00 \$34,000 00 \$22,500 00 \$375,000 00 \$4,000 00 \$30,000 00 \$30,000 00

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### THE ALCON FOUNDATION INC EIN#20-0166600 FOR THE YEAR ENDED 12/31/2015

ORANGE COUNTY'S UNITED WAY	N/A	PC	GOODWILL	\$30,000 00
UNITED WAY OF METROPOLITAN ATLANTA INC	N/A	PC	GOODWILL	\$3,000 00
UNITED WAY OF BERKS COUNTY	N/A	PC	GOODWILL	\$22,500 00
UNITED WAY OF METROPOLITAN TARRANT COUNTY	N/A	PC	GOODWILL	\$175,000 00
UNITED WAY OF THE RIVER CITIES, INC	N/A	PC	GOODWILL	\$4,000 00
UNITED WAY OF THE TEXAS GULF COAST	N/A	PC	GOODWILL	\$5,000 00

\$5,853,405 34

### THE ALCON FOUNDATION INC. EIN#20-0166600 FOR THE YEAR ENDED 12/31/2015

Page 11, Part XV, Line 3 (b) - Contributions Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation Status of recipient	Purpose of Grant or Contribution	Amount
AAO-HNSFOUNDATION, INC	N/A	PC	Goodwill	\$10,000 00
MERCYSHIPS	N/A	PC	Goodwill	300,000 00
LA FONDATION DE L'HÔPITAL GENERAL DE MONTREAL - THE MONTREAL	<del>                                     </del>			
GENERAL HOSPITAL FOUNDATION	N/A	PC	Goodwill	120,000 00
Project ORBIS International, Inc	N/A	PC	Goodwill	360,000 00
ARVO Foundation for Eye Research	N/A	PC	Goodwill	15,000 00
ARVO Foundation for Eye Research	N/A	PC	Goodwill	21,000 00
ARVO Foundation for Eye Research	N/A	PC	Goodwill	12,750 00
Massachusetts Eye and Ear Infirmary	N/A	PC	Goodwill	73,700 00
International Council of Ophthalmology Foundation	N/A	PC	Goodwill	100,000 00
Fort Worth Chamber Development Foundation	N/A	PC	Goodwill	37,500 00
Wills Eye Hospital	N/A	PC	Goodwill	100,000 00
TRINITY HABITAT FOR HUMANITY	N/A	PC	Goodwill	100,000 00
ESSILOR VISION FOUNDATION	N/A	PC	Goodwill	300,000 00
SIGHTLIFE	N/A	PC	Goodwill	60,000 00
HELENKELLERINTERNATIONAL	N/A	PC	Goodwill	75,000 00
INTERNATIONALEYE FOUNDATION	N/A	PC	Goodwill	145,000 00
SURGICAL EYE EXPEDITIONS	N/A	PC	Goodwill	125,000 00
VISIONSPRING	N/A	PC	Goodwill	75,000 00
OPTOMETRY GIVING SIGHT - Fellowship in DR	N/A	PC	Goodwill	52,689 00
OPTOMETRY GIVING SIGHT - Mexico Program	N/A	PC	Goodwill	37,000 00
GEORGIA LIONS LIGHTHOUSE FOUNDATION, INC	N/A	PC	Goodwill	25,000 00
EYELLIANCE	N/A	PC	Goodwill	100,000 00
ASCRS	N/A	PC	Goodwill	75,000 00
RETINAGLOBAL	N/A	PC	Goodwill	26,500 00
PB TEXAS HOUSTON EYE BALL	N/A	PC	Goodwill	10,000 00
PB TEXAS PROGRAM SUPPORT	N/A	PC	Goodwill	25,000 00
PREVENT BLINDNESS AMERICA	N/A	PC	Goodwill	75,000 00
VAN CLIBURN	N/A	PC	Goodwill	10,000 00
ORBIS	N/A	PC	Goodwill	500,000 00
JUNIOR ACHIEVEMENT OF THE CHISHOLM TRAIL, INC	N/A	PC	Goodwill	10,000 00
PERFORMING ARTS FORT WORTH (BASS HALL)	N/A	PC	Goodwill	100,000 00
ONESIGHT	N/A	PC	Goodwill	50,000 00
	N/A N/A	PC	Goodwill	47,300 00
PREVENT BLINDNESS GEORGIA THE GLAUCOMA FOUNDATION	N/A	PC	Goodwill	100,000 00
	N/A N/A	PC	Goodwill	120,000 00
GLAUCOMARESEARCH FOUNDATION	N/A N/A	PC	Goodwill	50,000 00
AAO Foundation - Eye Care America	<del></del>	<del> </del>	<del></del>	
AAO Foundation - ONE Network	N/A N/A	PC PC	Goodwill	200,000 00
AMERICAN GLAUCOMA SOCIETY FOUNDATION		<del></del>	Goodwill	
FORT WORTH ZOO	N/A	PC PC	Goodwill	100,000 00
TEXAS CHRISTIAN UNIVERSITY	N/A	PC	<del> </del>	50,000 00
EMORY UNIVERSITY	N/A	PC	Goodwill	50,000 00
BASCOM PALMER	N/A	<del> </del>		<del></del>
WEST VIRGINIA UNIVERSITY	N/A	PC PC	Goodwill	100,000 00
ILLINOIS COLLEGE OF OPTOMETRY	N/A	PC	Goodwill	45,000 00
MARSHALL B KETCHUM UNIVERSITY	N/A	PC	Goodwill	44,700 00
PB TEXAS HOUSTON EYE STROLL	N/A	PC	Goodwill	5,000 00
SPECIAL OLYMPICS INTERNATIONAL	N/A	PC	Goodwill	10,000 00
FOUNDATION FIGHTING BLINDNESS	N/A	PC	Goodwill	40,000 00
CERE	N/A	PC	Goodwill	35,000 00
NORTH AMERICA IAPB	N/A	PC	Goodwill	100,000 00
	<del></del>	<del> </del>	<del> </del>	
	_	<del></del>		\$4,403,139.00