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Form 990-PF

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

2015

Open to Public Inspection

Department of the Treasury Internal Revenue Service

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For calendar year 2015, or tax year beginning 01-01-2015, and ending 12-31-2015

Name of foundation: O'CONNOR FAMILY FOUNDATION. Employer identification number: 13-7557714. Telephone number: (212) 308-7700. City: New York, NY 10022. Accounting method: Cash.

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

Table with 5 columns: (a) Revenue and expenses per books, (b) Net investment income, (c) Adjusted net income, (d) Disbursements for charitable purposes. Rows include Revenue (1-12), Operating and Administrative Expenses (13-26), and Summary (27-29).

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash—non-interest-bearing				
	2	Savings and temporary cash investments	1,423,031	420,762	420,762	
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____				
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions).				
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments—U S and state government obligations (attach schedule)				
	b	Investments—corporate stock (attach schedule)	5,227,371 <input checked="" type="checkbox"/>	5,644,933	5,814,366	
	c	Investments—corporate bonds (attach schedule)	2,905,579 <input checked="" type="checkbox"/>	3,212,418	3,132,450	
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____				
	12	Investments—mortgage loans				
	13	Investments—other (attach schedule)	327,623 <input checked="" type="checkbox"/>	327,622	234,223	
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____				
15	Other assets (describe ▶ _____)	<input checked="" type="checkbox"/> 8,248 <input checked="" type="checkbox"/>	<input checked="" type="checkbox"/> 7,140 <input checked="" type="checkbox"/>	<input checked="" type="checkbox"/> 7,140		
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	9,891,852	9,612,875	9,608,941		
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule).				
	22	Other liabilities (describe ▶ _____)				
	23	Total liabilities (add lines 17 through 22)		0		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted	9,891,852	9,612,875		
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, bldg , and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds				
	30	Total net assets or fund balances (see instructions)	9,891,852	9,612,875		
31	Total liabilities and net assets/fund balances (see instructions)	9,891,852	9,612,875			

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	9,891,852
2	Enter amount from Part I, line 27a	2	-278,977
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3	4	9,612,875
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	9,612,875

Part IV Capital Gains and Losses for Tax on Investment Income

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	How acquired	Date acquired	Date sold
	(b) P—Purchase D—Donation	(c) (mo, day, yr)	(d) (mo, day, yr)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	434,898
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)
 If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2014	561,024	10,792,761	0.051982
2013	511,356	10,472,971	0.048826
2012	447,507	9,940,900	0.045017
2011	259,553	9,290,390	0.027938
2010	234,459	5,759,206	0.04071
2 Total of line 1, column (d).			2 0.214473
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.042895
4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5.			4 10,019,440
5 Multiply line 4 by line 3.			5 429,784
6 Enter 1% of net investment income (1% of Part I, line 27b).			6 6,052
7 Add lines 5 and 6.			7 435,836
8 Enter qualifying distributions from Part XII, line 4.			8 874,851

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	6,052
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2.	3	6,052
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	6,052
6	Credits/Payments		
a	2015 estimated tax payments and 2014 overpayment credited to 2015	6a	7,200
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868).	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	7,200
8	Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed <input type="checkbox"/>	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid <input type="checkbox"/>	10	1,148
11	Enter the amount of line 10 to be Credited to 2015 estimated tax <input type="checkbox"/> 1,148 Refunded <input type="checkbox"/>	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		No
c Did the foundation file Form 1120-POL for this year?		No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ <u>0</u> (2) On foundation managers <input type="checkbox"/> \$ <u>0</u>		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ <u>0</u>		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>		No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		No
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>		No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	Yes	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	Yes	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> NY _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	Yes	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>		No

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A
14 The books are in care of O'CONNOR CAPITAL PARTNERS Telephone no (212) 308-7700 Located at 535 MADISON AVENUE NEW YORK NY ZIP+4 10022
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year. 15
16 At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year did the foundation (either directly or indirectly)
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here.
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))
a At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? If "Yes," list the years 20, 20, 20, 20
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement-see instructions)
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20, 20, 20, 20
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?
b If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.)
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

- 5a** During the year did the foundation pay or incur any amount to
 - (1)** Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No
 - (2)** Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? Yes No
 - (3)** Provide a grant to an individual for travel, study, or other similar purposes? Yes No
 - (4)** Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). Yes No
 - (5)** Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No
- b** If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Yes No
Organizations relying on a current notice regarding disaster assistance check here.
- c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No
If "Yes," attach the statement required by Regulations section 53.4945–5(d).
- 6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No
- b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No
If "Yes" to 6b, file Form 8870.
- 7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No
- b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No

5b					
6b			No		
7b					

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
Joan B O'Connor 293 Pondfield Road Bronxville, NY 10708	TRUSTEE 0 01	0	0	0
John O'Connor 535 Madison Avenue New York, NY 10022	Trustee 0 01	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	Contributions to employee benefit plans and deferred compensation (d)	Expense account, (e) other allowances

Total number of other employees paid over \$50,000.

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation

Total number of others receiving over \$50,000 for professional services. ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions	
3	

Total. Add lines 1 through 3 ▶

Part X Minimum Investment Return

(All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	9,690,380
b	Average of monthly cash balances.	1b	474,500
c	Fair market value of all other assets (see instructions).	1c	7,140
d	Total (add lines 1a, b, and c).	1d	10,172,020
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	10,172,020
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	152,580
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	10,019,440
6	Minimum investment return. Enter 5% of line 5.	6	500,972

Part XI Distributable Amount

(see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	500,972
2a	Tax on investment income for 2015 from Part VI, line 5.	2a	6,052
b	Income tax for 2015 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	6,052
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	494,920
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	494,920
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	494,920

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	874,851
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	874,851
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	6,052
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	868,799

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				494,920
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only.			458,926	
b Total for prior years 2013, 2012, 2011				
3 Excess distributions carryover, if any, to 2015				
a From 2010.				
b From 2011.				
c From 2012.				
d From 2013.				
e From 2014.	0			
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2015 from Part XII, line 4 ▶ \$ <u>874,851</u>				
a Applied to 2014, but not more than line 2a			458,926	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2015 distributable amount.				415,925
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2015 <i>(If an amount appears in column (d), the same amount must be shown in column (a).)</i>	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015				78,995
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions).				
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2011.				
b Excess from 2012.				
c Excess from 2013.				
d Excess from 2014.				
e Excess from 2015.	0			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling. . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2015	(b) 2014	(c) 2013	(d) 2012	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

JOAN B O'CONNOR

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NA

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information**(continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			▶ 3a	871,500
b <i>Approved for future payment</i>				
Total			▶ 3b	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
341 81 BLACKROCK EQUITY DIVID FUND	P	2014-12-31	2015-03-19
5,857 81 BLACKROCK EQUITY DIVID FUND	P	2012-01-12	2015-03-19
9,801 40 COLUMBIA STRATEGIC INCOME FUND	P	2014-12-31	2015-03-25
75,668 71 COLUMBIA STRATEGIC INCOME FUND	P	2014-01-01	2015-03-25
0 40 CHEMOURS CO	P	2009-01-30	2015-07-30
10,558 25 CAMBIAR SMALL CAP FUND	P	2014-01-01	2015-09-23
585 48 COLUMBIA ACORN INTERNATIONAL	P	2011-06-02	2015-09-23
330 CATERPILLAR INC	P	2009-01-30	2015-09-24
84,518 16 COLUMBIA STRATEGIC INCOME FUND	P	2014-01-01	2015-10-23
1,559 18 COLUMBIA STRATEGIC INCOME FUND	P	2014-12-31	2015-10-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
8,491		8,249	242
145,508		106,341	39,167
57,338		57,674	-336
442,662		422,485	20,177
4		2	2
191,844		200,000	-8,156
22,945		25,000	-2,055
21,630		10,219	11,411
481,754		432,806	48,948
8,887		9,037	-150

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			242
			39,167
			-336
			20,177
			2
			-8,156
			-2,055
			11,411
			48,948
			-150

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	Date acquired (c) (mo, day, yr)	(d) Date sold (mo, day, yr)
5 082 PIMCO TOTAL RETURN FUND	P	2014-01-01	2015-01-02
938 538 COLUMBIA STRATEGIC INCOME FUND	P	2014-01-01	2015-03-25
381 333 COLUMBIA STRATEGIC INCOME FUND	P	2014-01-01	2015-04-27
469 579 COLUMBIA STRATEGIC INCOME FUND CLASS Z SHARES	P	2014-01-01	2015-10-23
26 BLACKROCK EQUITY DIVID FUND	P	2014-04-16	2015-03-19
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
55		55	
5,490		5,490	
2,231		2,231	
2,677		2,677	
646		633	13
			325,635

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			13

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
The Bronxville School Foundation Inc 177 Pondfield Road Bronxville, NY 10708	None	Public	Unrestricted	5,000
Heritage Museums & Gardens 67 Grove Street Sandwich, MA 02563	None	Public	Unrestricted	10,000
Stamford Hospital Foundation 1351 Washington Blvd Stamford, CT 06902	None	Public	Unrestricted	500
Adaptive Sports Foundation 100 Silverman Way Windham, NY 12496	None	Public	Unrestricted	5,000
Gregorian University Foundation 106 W 56th Street 3 New York, NY 10019	None	Public	Unrestricted	10,000
New Canaan Cares Inc P O Box 178 New Canaan, CT 06840	None	Public	Unrestricted	1,500
Williams College - Alumni Fund 75 Park Street Willamstown, MA 01267	None	Public	Unrestricted	6,000
New Canaan Nature Center 144 Oenoke Rdg New Canaan, CT 06840	None	Public	Unrestricted	1,500
St Aloysius Church 21 Cherry Street New Canaan, CT 06840	None	Public	Unrestricted	500
New Canaan Library 151 Main Street New Canaan, CT 06840	None	Public	Unrestricted	1,000
College of the Holy Cross 1 College Street Worcester, MA 01610	None	Public	Unrestricted	63,500
Catholic Charities 1011 First Avenue Suite 1400 New York, NY 10022	None	Public	unrestricted	10,000
Catholic Relief Services PO Box 17090 Baltimore, MD 21203	None	Public	unrestricted	125,000
Lawrence Hospital Center 55 Palmer Avenue Bronxville, NY 02138	None	Public	unrestricted	125,000
New Canaan Community Foundation 111 Cherry Street New Canaan, CT 06840	None	Public	Unrestricted	2,500
Total			3a	871,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Partners in Health 888 Commonwealth Ave 3rd Floor Boston, MA 02215	none	Public	unrestricted	50,000
Phillips Academy 180 Main Street Andover, MA 018104161	none	Public	unrestricted	1,000
Save our Sound 4 Barnstable Road Hyannis, MA 02601	None	Public	Unrestricted	10,000
St Patrick's Cathedral Landmark Foundation 1011 First Avenue Suite 1400 New York, NY 10022	None	Public	Unrestricted	307,500
The Community Fund 17 Sagamore Road Bronxville, NY 10708	None	Public	unrestricted	1,500
The Cardinal's Annual Appeal 1011 First Avenue 14th Floor New York, NY 10022	None	PC	Unrestricted	75,000
Concordia College 171 White Plains Road Bronxville, NY 10708	None	PC	Unrestricted	500
Harvard College 86 Brattle Street Cambridge, MA 02138	None	PC	Unrestricted	25,000
Columbia Business School 33 West 60th Street 7th Floor New York, NY 10023	None	PC	Unrestricted	1,000
St Josephs Church 15 Cedar ST Bronxville NY, NY 10708	None	PC	Unrestricted	400
Lutheran Home 24724 U S Highway 52 Albemarie, NC 28001	None	PC	Unrestricted	500
Dup15q Alliance PO Box 674 Fayetteville, NY 13066	None	PC	Unrestricted	1,000
Reformed Church Nursery School 6 Kraft Avenue Bronxville, NY 10708	nONE	PC	Unrestricted	1,500
Brunswick School 100 Maher Avenue Greenwich, CT 06830	nONE	PC	Unrestricted	5,000
New Canaan YMCA 564 South Avenue New Canaan, CT 06840	nONE	PC	Unrestricted	1,000
Total			3a	871,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ABC House of New Canaan 64 Locust Ave New Canaan, CT 06840	None	PC	Unrestricted	1,500
University of North Carolina at Chapel Hill P O Box 309 Chapel Hill, NC 27514	None	PC	Unrestricted	500
Cape Cod Heath Care Foundation Post Office Box 370 Hyannis, MA 02601	None	PC	Unrestricted	5,000
Memorial Sloan Kettering Cancer Center 633 Third Avenue New York, NY 10017	None	PC	Unrestricted	15,000
The Friends of The Bronxville Public Library 201 Pondfield Road Bronxville, NY 10708	None	PC	unrestricted	100
Georgetown University Department Number 0734 Washington, DC 20073	None	PC	Unrestricted	1,500
Total			3a	871,500

TY 2015 Accounting Fees Schedule

Name: O'CONNOR FAMILY FOUNDATION

EIN: 13-7557714

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FTI CONSULTING INC	5,202	2,601		2,601

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2015 Depreciation Schedule

Name: O'CONNOR FAMILY FOUNDATION

EIN: 13-7557714

TY 2015 Investments Corporate Bonds Schedule

Name: O'CONNOR FAMILY FOUNDATION

EIN: 13-7557714

Name of Bond	End of Year Book Value	End of Year Fair Market Value
CORPORATE BONDS-SEE STMT. A	3,212,418	3,132,450

TY 2015 Investments Corporate Stock Schedule

Name: O'CONNOR FAMILY FOUNDATION

EIN: 13-7557714

Name of Stock	End of Year Book Value	End of Year Fair Market Value
CORPORATE STOCK-SEE STMT. A	5,644,933	5,814,366

TY 2015 Investments - Other Schedule

Name: O'CONNOR FAMILY FOUNDATION

EIN: 13-7557714

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
6,689 BARCLAYS COMMODITY INDX	AT COST	227,622	143,613
OTHER SECURITIES-SEE STMT A	AT COST	100,000	90,610

TY 2015 Other Assets Schedule

Name: O'CONNOR FAMILY FOUNDATION

EIN: 13-7557714

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
DUE FROM BROKER	8,248	7,140	7,140

TY 2015 Other Expenses Schedule

Name: O'CONNOR FAMILY FOUNDATION

EIN: 13-7557714

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
NYS FILING FEE	750			750
INVESTMENT ADVISORY FEES	49,292	49,292		

TY 2015 Taxes Schedule

Name: O'CONNOR FAMILY FOUNDATION

EIN: 13-7557714

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL TAX	9,344			
FOREIGN TAX	3,976		3,976	

O'Connor Family Foundation
Year-End Investment Balance
As of December 31, 2015

13-7557714

Description	2015		Market Value
	Quantities	Tax Cost	
Corporate Stock			
COLUMBIA DIVIDEND INCOME FUND	55,544 390	830,000 00	977,025 82
COLUMBIA SELECT LARGE CAP GROWTH	63,471 297	1,000,000 00	1,070,126 07
CONOCOPHILLIPS	1,708 000	104,378 76	79,746 52
DU PONT E I DE NEMOURS & CO	432 000	9,499 43	28,771 20
HEWLETT PACKARD CO	284 000	5,243 80	4,316 80
HP INC	284 000	4,678 25	3,362 56
INTERCONTINENTAL EXCHANGE INC	77 000	8,724 89	19,732 02
JOHNSON & JOHNSON	173 000	10,005 54	17,770 56
MICROSOFT CORP	579 000	10,015 25	32,122 92
PHILLIPS 66	854 000	31,019 79	69,857 20
SPDR S&P 500 ETF TR UNIT SER 1	350 000	72,581 25	71,354 50
TOUCHSTONE SANDS CAP SELECT	48,941 672	875,000 00	829,071 92
3M CO	183 000	9,885 20	27,567 12
FLUOR CORP	129 000	5,071 42	6,091 38
ISHARES RUSSELL MID-CAP GROWTH ETF	2,750 000	227,751 36	252,780 00
JOHN HANCOCK FDS III DISCIPLINED VALUE MID CAP FUND	22,347 991	275,000 00	427,964 03
MARKET VECTORS GOLD MINERS ETF	1,714 000	62,926 77	23,516 08
CHEMOURS CO	86 000	506 57	460 96
EAGLE SM CAP GROWTH FUND	1,771 690	75,000 00	90,462 49
GLENMEDE SMALL CAPITALIZATION	6,681 940	175,000 00	173,062 25
LEGG MASON CLEARBRIDGE SMALL CAP GROWTH FUND	6,321 351	180,000 00	176,492 12
HARBOR INTL FUND INSTL	1,545 356	100,000 00	91,840 51
ISHARES INTL SELECT DIVIDEND ETF	11,000 000	367,644 45	315,810 00
MFS INTERNATIONAL NEW DISCOVERY FUND CL I	550 257	15,000 00	15,621 80
OPPENHEIMER INTL GROWTH FUND	14,282 659	490,000 00	512,604 63
DELAWARE EMERGING MKTS FUND	20,179 627	325,000 00	251,438 15
LAZARD DEVELOPING MKTS EQUITY-PORTFOLIO INSTL FUND	4,803 074	50,000 00	43,419 79
LAZARD EMERGING MKTS EQUITY	15,028 014	325,000 00	201,976 51
		<u>5,644,932 73</u>	<u>5,814,365 91</u>
Corporate Bonds			
COLUMBIA STRATEGIC INCOME FUND CLASS Z	338 372	1,985 48	1,884 73
FRANKLIN FTLG RATE DAILY ACCESS FUND CL AD	28,935 185	250,000 00	241,319 44
DOUBLELINE TOTAL RETURN BD FUND CL	147,856 784	1,625,000 00	1,593,896 13
ISHARES INTERMEDIATE CR BD ETF	2,000 000	219,184 00	214,560 00
LOOMIS SAYLES BOND FUND	67,353 748	900,714 45	867,516 27
PIMCO TOTAL RETURN FUND	0 008	0 08	0 08
VANGUARD SHORT-TERM CORPORATE BOND ETF	2,700 000	215,533 98	213,273 00
		<u>3,212,417 99</u>	<u>3,132,449 65</u>
Tangible Assets			
IPATH DOW JONES-UBS COMMODITY INDEX TOTAL RETURN	6,689 000	227,622 56	143,612 83
Others			
PIMCO GLOBAL MULTI-ASSET FUND INSTL CL	8,237 232	100,000 00	90,609 55
		<u>100,000 00</u>	<u>90,609 55</u>
TOTAL		<u>9,184,973.28</u>	<u>9,181,037.94</u>