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Form 990-PF

Return of Private Foundation or Section 4947(a)(1) Trust Treated as Private Foundation

2015

Open to Public Inspection

Department of the Treasury Internal Revenue Service

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For calendar year 2015, or tax year beginning 01-01-2015, and ending 12-31-2015

Name of foundation WINFIELD FOUNDATION 141020900, A Employer identification number 13-6158017, B Telephone number (see instructions) (212) 632-3000, C If exemption application is pending, check here, D 1. Foreign organizations, check here, E If private foundation status was terminated under section 507(b)(1)(A), check here, F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

Part I Analysis of Revenue and Expenses

Table with 5 columns: (a) Revenue and expenses per books, (b) Net investment income, (c) Adjusted net income, (d) Disbursements for charitable purposes. Rows include Revenue (1-12), Operating and Administrative Expenses (13-26), and Summary (27-29).

| Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions) | | Beginning of year | End of year | |
|--|---|-------------------|----------------|-----------------------|
| | | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| Assets | 1 Cash—non-interest-bearing | 138,955 | 107,752 | 107,752 |
| | 2 Savings and temporary cash investments | 36,000 | 73,000 | 73,000 |
| | 3 Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____ | | 0 | 0 |
| | 4 Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____ | | | |
| | 5 Grants receivable | | | |
| | 6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions). | | | |
| | 7 Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____ 0 | | | |
| | 8 Inventories for sale or use | | | |
| | 9 Prepaid expenses and deferred charges | | | |
| | 10a Investments—U S and state government obligations (attach schedule) | 31,641 | 473 | 546 |
| | b Investments—corporate stock (attach schedule) | 1,126,912 | 1,328,200 | 1,615,840 |
| | c Investments—corporate bonds (attach schedule) | 409,457 | 229,537 | 227,825 |
| | 11 Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____ | | | |
| | 12 Investments—mortgage loans. | | | |
| | 13 Investments—other (attach schedule) | | | 0 |
| | 14 Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____ | | | |
| 15 Other assets (describe ▶ _____) | | | | |
| 16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I) | 1,742,965 | 1,738,962 | 2,024,963 | |
| Liabilities | 17 Accounts payable and accrued expenses | | | |
| | 18 Grants payable | | | |
| | 19 Deferred revenue | | | |
| | 20 Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 Mortgages and other notes payable (attach schedule). | | | |
| | 22 Other liabilities (describe ▶ _____) | | | |
| | 23 Total liabilities (add lines 17 through 22) | | 0 | |
| Net Assets or Fund Balances | Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31. | | | |
| | 24 Unrestricted | | | |
| | 25 Temporarily restricted | | | |
| | 26 Permanently restricted | | | |
| | Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31. | | | |
| | 27 Capital stock, trust principal, or current funds | 1,742,965 | 1,738,962 | |
| | 28 Paid-in or capital surplus, or land, bldg, and equipment fund | | | |
| | 29 Retained earnings, accumulated income, endowment, or other funds | | | |
| 30 Total net assets or fund balances (see instructions) | 1,742,965 | 1,738,962 | | |
| 31 Total liabilities and net assets/fund balances (see instructions) | 1,742,965 | 1,738,962 | | |

| Part III Analysis of Changes in Net Assets or Fund Balances | | | |
|--|--|----------|-----------|
| 1 | Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 1,742,965 |
| 2 | Enter amount from Part I, line 27a | 2 | 32,830 |
| 3 | Other increases not included in line 2 (itemize) ▶ _____ | 3 | 2,000 |
| 4 | Add lines 1, 2, and 3 | 4 | 1,777,795 |
| 5 | Decreases not included in line 2 (itemize) ▶ _____ | 5 | 38,833 |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 | 6 | 1,738,962 |

Part IV Capital Gains and Losses for Tax on Investment Income

| | List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | How acquired | Date acquired | Date sold |
|-----------|--|-------------------------------------|--------------------------|--------------------------|
| | | (b) P—Purchase D—Donation | (c) (mo, day, yr) | (d) (mo, day, yr) |
| 1a | See Additional Data Table | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|----------|------------------------------|--|---|--|
| a | See Additional Data Table | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--|---|---|
| (i) FMV as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| a | See Additional Data Table | | |
| b | | | |
| c | | | |
| d | | | |
| e | | | |

| | | | | |
|----------|---|---|----------|----------|
| 2 | Capital gain net income or (net capital loss) | { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 } | 2 | 55,023 |
| 3 | Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 | | } | 3 |

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)
If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col (b) divided by col (c)) |
|---|--|--|--|
| 2014 | 114,196 | 2,072,162 | 0.05511 |
| 2013 | 80,145 | 1,909,461 | 0.041973 |
| 2012 | 90,763 | 1,821,731 | 0.049822 |
| 2011 | 82,650 | 1,907,075 | 0.043339 |
| 2010 | 81,425 | 1,848,227 | 0.044056 |

| | | | |
|----------|--|----------|-----------|
| 2 | Total of line 1, column (d). | 2 | 0.2343 |
| 3 | Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | 0.04686 |
| 4 | Enter the net value of noncharitable-use assets for 2015 from Part X, line 5. | 4 | 2,050,061 |
| 5 | Multiply line 4 by line 3. | 5 | 96,066 |
| 6 | Enter 1% of net investment income (1% of Part I, line 27b). | 6 | 851 |
| 7 | Add lines 5 and 6. | 7 | 96,917 |
| 8 | Enter qualifying distributions from Part XII, line 4. | 8 | 57,718 |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

| | | | |
|-----------|---|-----------|-------|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions) | | |
| b | Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b | 1 | 1,701 |
| c | All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b) | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | 2 | 0 |
| 3 | Add lines 1 and 2. | 3 | 1,701 |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | 4 | 0 |
| 5 | Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- | 5 | 1,701 |
| 6 | Credits/Payments | | |
| a | 2015 estimated tax payments and 2014 overpayment credited to 2015 | 6a | 592 |
| b | Exempt foreign organizations—tax withheld at source | 6b | |
| c | Tax paid with application for extension of time to file (Form 8868). | 6c | 2,812 |
| d | Backup withholding erroneously withheld | 6d | |
| 7 | Total credits and payments. Add lines 6a through 6d. | 7 | 3,404 |
| 8 | Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached | 8 | 0 |
| 9 | Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed | 9 | |
| 10 | Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid | 10 | 1,703 |
| 11 | Enter the amount of line 10 to be Credited to 2015 estimated tax <input type="checkbox"/> 1,703 Refunded <input type="checkbox"/> | 11 | 0 |

Part VII-A Statements Regarding Activities

| | | | | |
|-----------|--|-----------|-----|----|
| 1a | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | 1a | Yes | No |
| b | Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i> | 1b | | No |
| c | Did the foundation file Form 1120-POL for this year? | 1c | | No |
| d | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____ | | | |
| e | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____ | | | |
| 2 | Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i> | 2 | | No |
| 3 | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i> | 3 | | No |
| 4a | Did the foundation have unrelated business gross income of \$1,000 or more during the year? | 4a | | No |
| b | If "Yes," has it filed a tax return on Form 990-T for this year? | 4b | | |
| 5 | Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i> | 5 | | No |
| 6 | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | Yes | |
| 7 | Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i> | 7 | Yes | |
| 8a | Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> NY _____ | | | |
| b | If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i> | 8b | Yes | |
| 9 | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i> | 9 | | No |
| 10 | Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i> | 10 | | No |

Part VII-A Statements Regarding Activities (continued)

| | | | | | | |
|-----------|--|-----------|------------|-----------|--|--|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions). | 11 | | No | | |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) | 12 | | No | | |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ _____ | 13 | Yes | | | |
| 14 | The books are in care of ▶ <u>FIDUCIARY TRUST COMPANY INTL</u> Telephone no ▶ <u>(212) 632-3000</u> Located at ▶ <u>600 FIFTH AVENUE NEW YORK NY</u> ZIP+4 ▶ <u>100202302</u> | | | | | |
| 15 | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year ▶ <table border="1"><tr><td>15</td><td></td></tr></table> | 15 | | | | |
| 15 | | | | | | |
| 16 | At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶ _____ | 16 | Yes | No | | |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

| | | Yes | No |
|-----------|---|------------|-----------|
| 1a | During the year did the foundation (either directly or indirectly) | | |
| | (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| | (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| | (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| | (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| | (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| | (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| b | If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? 1b | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015? 1c | | No |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)) | | |
| a | At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____ | | |
| b | Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions) 2b | | No |
| c | If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____ | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| b | If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.) 3b | | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a | | No |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015? 4b | | No |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Yes No
 Organizations relying on a current notice regarding disaster assistance check here.

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No
 If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No
 If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

| (a) Name and address | Title, and average hours per week (b) devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | Expense account, (e) other allowances |
|---------------------------|---|---|---|---------------------------------------|
| See Additional Data Table | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | Title, and average hours per week (b) devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | Expense account, (e) other allowances |
|---|---|------------------|---|---------------------------------------|
| NONE | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

Total number of other employees paid over \$50,000. Yes No 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
|---|---------------------|------------------|
| NONE | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |

Total number of others receiving over \$50,000 for professional services. 0

Part IX-A Summary of Direct Charitable Activities

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | Expenses |
|--|----------|
| 1 | |
| 2 | |
| 3 | |
| 4 | |

Part IX-B Summary of Program-Related Investments (see instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|--|--------|
| 1 | |
| 2 | |
| All other program-related investments. See instructions | |
| 3 | |

Total. Add lines 1 through 3 ▶

Part X Minimum Investment Return

(All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | |
|----------|--|-----------|-----------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes | | |
| a | Average monthly fair market value of securities. | 1a | 1,900,745 |
| b | Average of monthly cash balances. | 1b | 180,535 |
| c | Fair market value of all other assets (see instructions). | 1c | 0 |
| d | Total (add lines 1a, b, and c). | 1d | 2,081,280 |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). | 1e | 0 |
| 2 | Acquisition indebtedness applicable to line 1 assets. | 2 | 0 |
| 3 | Subtract line 2 from line 1d. | 3 | 2,081,280 |
| 4 | Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions). | 4 | 31,219 |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4 | 5 | 2,050,061 |
| 6 | Minimum investment return. Enter 5% of line 5. | 6 | 102,503 |

Part XI Distributable Amount

(see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

| | | | |
|-----------|--|-----------|---------|
| 1 | Minimum investment return from Part X, line 6. | 1 | 102,503 |
| 2a | Tax on investment income for 2015 from Part VI, line 5. | 2a | 1,701 |
| b | Income tax for 2015 (This does not include the tax from Part VI). | 2b | |
| c | Add lines 2a and 2b. | 2c | 1,701 |
| 3 | Distributable amount before adjustments Subtract line 2c from line 1. | 3 | 100,802 |
| 4 | Recoveries of amounts treated as qualifying distributions. | 4 | 0 |
| 5 | Add lines 3 and 4. | 5 | 100,802 |
| 6 | Deduction from distributable amount (see instructions). | 6 | 0 |
| 7 | Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. | 7 | 100,802 |

Part XII Qualifying Distributions (see instructions)

| | | | |
|----------|--|-----------|--------|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes | | |
| a | Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. | 1a | 57,718 |
| b | Program-related investments—total from Part IX-B. | 1b | 0 |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. | 2 | 0 |
| 3 | Amounts set aside for specific charitable projects that satisfy the | | |
| a | Suitability test (prior IRS approval required). | 3a | 0 |
| b | Cash distribution test (attach the required schedule). | 3b | 0 |
| 4 | Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 57,718 |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions). | 5 | 0 |
| 6 | Adjusted qualifying distributions. Subtract line 5 from line 4. | 6 | 57,718 |

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

| | (a) Corpus | (b) Years prior to 2014 | (c) 2014 | (d) 2015 |
|--|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2015 from Part XI, line 7 | | | | 100,802 |
| 2 Undistributed income, if any, as of the end of 2015 | | | | |
| a Enter amount for 2014 only. | | | 0 | |
| b Total for prior years 2013, 20__, 20__ | | 0 | | |
| 3 Excess distributions carryover, if any, to 2015 | | | | |
| a From 2010. | 23,962 | | | |
| b From 2011. | 82,650 | | | |
| c From 2012. | 250 | | | |
| d From 2013. | 0 | | | |
| e From 2014. | 11,726 | | | |
| f Total of lines 3a through e. | 118,588 | | | |
| 4 Qualifying distributions for 2015 from Part XII, line 4 ▶ \$ <u>57,718</u> | | | | |
| a Applied to 2014, but not more than line 2a | | | 0 | |
| b Applied to undistributed income of prior years (Election required—see instructions). | | 0 | | |
| c Treated as distributions out of corpus (Election required—see instructions). | 0 | | | |
| d Applied to 2015 distributable amount. | | | | 57,718 |
| e Remaining amount distributed out of corpus | | 0 | | |
| 5 Excess distributions carryover applied to 2015 <i>(If an amount appears in column (d), the same amount must be shown in column (a).)</i> | 43,084 | | | 43,084 |
| 6 Enter the net total of each column as indicated below: | | | | |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 | 75,504 | | | |
| b Prior years' undistributed income Subtract line 4b from line 2b | | 0 | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. | | 0 | | |
| d Subtract line 6c from line 6b Taxable amount—see instructions | | 0 | | |
| e Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount—see instructions | | | 0 | |
| f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015 | | | | 0 |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). | 0 | | | |
| 8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions). | 0 | | | |
| 9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a | 75,504 | | | |
| 10 Analysis of line 9 | | | | |
| a Excess from 2011. | 63,528 | | | |
| b Excess from 2012. | 250 | | | |
| c Excess from 2013. | 0 | | | |
| d Excess from 2014. | 11,726 | | | |
| e Excess from 2015. | | | | |

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling.

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

| Tax year | Prior 3 years | | | (e) Total |
|--|---------------|----------|----------|-----------|
| (a) 2015 | (b) 2014 | (c) 2013 | (d) 2012 | |
| b 85% of line 2a | | | | |
| c Qualifying distributions from Part XII, line 4 for each year listed | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon | | | | |
| a "Assets" alternative test—enter | | | | |
| (1) Value of all assets | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | |
| b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. | | | | |
| c "Support" alternative test—enter | | | | |
| (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). | | | | |
| (3) Largest amount of support from an exempt organization | | | | |
| (4) Gross investment income | | | | |

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information(continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|--------------------------------|----------------------------------|------------------|
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> See Additional Data Table | | | | |
| Total | | | | 3a 50,400 |
| b <i>Approved for future payment</i> | | | | |
| Total | | | | 3b |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo, day, yr) | (d) Date sold (mo, day, yr) |
|--|--|------------------------------------|--------------------------------|
| 441 0933 FEDERAL HOME LN MTG CORP MTG PL# C62388 DTD 12/1/2001 6 00% 1 | | 2001-12-27 | 2015-01-15 |
| 71 8532 FEDERAL NATL MTG ASSN MTG PL # 632303 DTD 3/1/2002 6 50% 3/1/2 | | | 2015-01-26 |
| 20000 BERKSHIRE HATHAWAY INC SR NT DTD 2/11/2010 3 2% 2/11/2015 | | 2010-02-09 | 2015-02-11 |
| 439 2382 FEDERAL HOME LN MTG CORP MTG PL# C62388 DTD 12/1/2001 6 00% 1 | | 2001-12-27 | 2015-02-16 |
| 20000 PROCTER & GAMBLE CO NT DTD 2/6/2009 3 5% 2/15/2015 | | 2009-02-03 | 2015-02-16 |
| 71 1831 FEDERAL NATL MTG ASSN MTG PL # 632303 DTD 3/1/2002 6 50% 3/1/2 | | 2002-02-12 | 2015-02-25 |
| 500 AFLAC INC | | 2012-11-27 | 2015-03-04 |
| 800 MYLAN LABS INC | | 2013-08-29 | 2015-03-04 |
| 30000 FEDERAL HOME LOAN BANK DTD 2/3/2010 2 75% 3/13/2015 | | 2011-06-06 | 2015-03-13 |
| 437 3811 FEDERAL HOME LN MTG CORP MTG PL# C62388 DTD 12/1/2001 6 00% 1 | | 2001-12-27 | 2015-03-16 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 2 | | 2 | |
| 1 | | 1 | |
| 20,000 | | 20,014 | -14 |
| 2 | | 2 | |
| 20,000 | | 19,916 | 84 |
| 1 | | 1 | |
| 31,112 | | 25,968 | 5,144 |
| 46,164 | | 28,356 | 17,808 |
| 30,000 | | 31,054 | -1,054 |
| 2 | | 2 | |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|-----------------------------------|--|--|
| (i) FMV as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | |
| | | | -14 |
| | | | 84 |
| | | | 5,144 |
| | | | 17,808 |
| | | | -1,054 |
| | | | |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo, day, yr) | (d) Date sold (mo, day, yr) |
|--|--|------------------------------------|--------------------------------|
| 70 5096 FEDERAL NATL MTG ASSN MTG PL # 632303 DTD 3/1/2002 6 50% 3/1/2 | | 2002-02-12 | 2015-03-25 |
| 435 5059 FEDERAL HOME LN MTG CORP MTG PL# C62388 DTD 12/1/2001 6 00% 1 | | 2001-12-27 | 2015-04-15 |
| 69 832 FEDERAL NATL MTG ASSN MTG PL # 632303 DTD 3/1/2002 6 50% 3/1/20 | | 2002-02-12 | 2015-04-27 |
| 4 ENERGY TRANSFERS PARTNERS LP | | 2014-05-08 | 2015-04-30 |
| 1098 GOOGLE INC CL C | | 2012-09-14 | 2015-05-04 |
| 421 RITE AID CORP | | 2014-03-06 | 2015-05-12 |
| 433 6288 FEDERAL HOME LN MTG CORP MTG PL# C62388 DTD 12/1/2001 6 00% 1 | | 2001-12-27 | 2015-05-15 |
| 69 1504 FEDERAL NATL MTG ASSN MTG PL # 632303 DTD 3/1/2002 6 50% 3/1/2 | | 2002-02-12 | 2015-05-25 |
| 250 DIAGEO PLC SPONSORED ADR NEW | | 2012-09-14 | 2015-06-02 |
| 431 7331 FEDERAL HOME LN MTG CORP MTG PL# C62388 DTD 12/1/2001 6 00% 1 | | 2001-12-27 | 2015-06-15 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 1 | | 1 | |
| 2 | | 2 | |
| 1 | | 1 | |
| 23 | | 27 | -4 |
| 59 | | 39 | 20 |
| 3 | | 3 | |
| 2 | | 2 | |
| 1 | | 1 | |
| 27,473 | | 27,127 | 346 |
| 2 | | 2 | |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|-----------------------------------|--|--|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | |
| | | | |
| | | | -4 |
| | | | 20 |
| | | | |
| | | | |
| | | | |
| | | | 346 |
| | | | |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo, day, yr) | (d) Date sold (mo, day, yr) |
|--|--|------------------------------------|--------------------------------|
| 68 4653 FEDERAL NATL MTG ASSN MTG PL # 632303 DTD 3/1/2002 6 50% 3/1/2 | | | 2015-06-25 |
| 500 KRAFT FOODS GROUP INC | | 2013-05-10 | 2015-07-02 |
| 429 8354 FEDERAL HOME LN MTG CORP MTG PL# C62388 DTD 12/1/2001 6 00% 1 | | 2001-12-27 | 2015-07-15 |
| 67 7758 FEDERAL NATL MTG ASSN MTG PL # 632303 DTD 3/1/2002 6 50% 3/1/2 | | 2002-02-12 | 2015-07-27 |
| 8 UNITED TECHNOLOGIES CORP | | 2013-09-12 | 2015-08-03 |
| 427 9192 FEDERAL HOME LN MTG CORP MTG PL# C62388 DTD 12/1/2001 6 00% 1 | | 2001-12-27 | 2015-08-17 |
| 67 0827 FEDERAL NATL MTG ASSN MTG PL # 632303 DTD 3/1/2002 6 50% 3/1/2 | | 2002-02-12 | 2015-08-25 |
| 20000 MARYLAND ST COPS COP ETM SER A DTD 1/20/2011 2 919% 9/1/2015 | | 2011-01-12 | 2015-09-01 |
| 426 4374 FEDERAL HOME LN MTG CORP MTG PL# C62388 DTD 12/1/2001 6 00% 1 | | 2001-12-27 | 2015-09-15 |
| 800 CYTEC INDS INC | | 2014-08-04 | 2015-09-17 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 1 | | 1 | |
| 43,750 | | 35,500 | 8,250 |
| 2 | | 2 | |
| 1 | | 1 | |
| 80 | | 102 | -22 |
| 2 | | 2 | |
| 1 | | 1 | |
| 20,000 | | 20,000 | |
| 1 | | 1 | |
| 59,340 | | 31,722 | 27,618 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|-----------------------------------|--|--|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | 8,250 |
| | | | |
| | | | -22 |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | 27,618 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | Date acquired (c) (mo, day, yr) | (d) Date sold (mo, day, yr) |
|--|--|---|--|
| 250 MEAD JOHNSON NUTRITION COMPANY | | 2013-09-12 | 2015-09-17 |
| 500 WP CAREY INC | | 2013-06-24 | 2015-09-17 |
| 500 ALCOA INC CNV PFD 5 375% | | 2014-09-22 | 2015-09-24 |
| 5795 RITE AID CORP | | 2014-08-26 | 2015-09-24 |
| 400 ACCENTURE PLC | | 2012-09-14 | 2015-09-24 |
| 800 MYLAN NV | | 2015-03-02 | 2015-09-24 |
| 66 3856 FEDERAL NATL MTG ASSN MTG PL # 632303 DTD 3/1/2002 6 50% 3/1/2 | | 2002-02-12 | 2015-09-25 |
| 76 GENESEE & WYO INC CL A | | 2013-05-03 | 2015-10-01 |
| 307 GENESEE & WYO INC CL A | | 2013-05-03 | 2015-10-08 |
| 20 PJT PARTNERS INC CL A | | 2014-02-04 | 2015-10-08 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|------------------------------|---|--|---|
| 18,803 | | 18,471 | 332 |
| 28,917 | | 32,091 | -3,174 |
| 16,338 | | 24,965 | -8,627 |
| 39,095 | | 39,436 | -341 |
| 38,686 | | 25,660 | 13,026 |
| 35,448 | | 46,164 | -10,716 |
| 1 | | 1 | |
| 45 | | 61 | -16 |
| 20,616 | | 22,380 | -1,764 |
| 416 | | 321 | 95 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|---|--|---|
| (i) FMV as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | 332 |
| | | | -3,174 |
| | | | -8,627 |
| | | | -341 |
| | | | 13,026 |
| | | | -10,716 |
| | | | -16 |
| | | | -1,764 |
| | | | 95 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo, day, yr) | (d) Date sold (mo, day, yr) |
|--|--|------------------------------------|--------------------------------|
| 424 5112 FEDERAL HOME LN MTG CORP MTG PL# C62388 DTD 12/1/2001 6 00% 1 | | 2001-12-27 | 2015-10-15 |
| 65 6841 FEDERAL NATL MTG ASSN MTG PL # 632303 DTD 3/1/2002 6 50% 3/1/2 | | 2002-02-12 | 2015-10-26 |
| 422 5655 FEDERAL HOME LN MTG CORP MTG PL# C62388 DTD 12/1/2001 6 00% 1 | | 2001-12-27 | 2015-11-16 |
| 800 BLACKSTONE GROUP LP (USD) | | 2014-02-04 | 2015-11-18 |
| 64 979 FEDERAL NATL MTG ASSN MTG PL # 632303 DTD 3/1/2002 6 50% 3/1/20 | | | 2015-11-25 |
| 420 6183 FEDERAL HOME LN MTG CORP MTG PL# C62388 DTD 12/1/2001 6 00% 1 | | 2001-12-27 | 2015-12-15 |
| 64 2699 FEDERAL NATL MTG ASSN MTG PL # 632303 DTD 3/1/2002 6 50% 3/1/2 | | 2002-02-12 | 2015-12-28 |
| CAPITAL GAIN DIVIDENDS | P | | |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 2 | | 2 | |
| 1 | | 1 | |
| 2 | | 2 | |
| 24,783 | | 17,236 | 7,547 |
| 1 | | 1 | |
| 2 | | 2 | |
| 1 | | 1 | |
| | | | 485 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|-----------------------------------|--|--|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | |
| | | | |
| | | | |
| | | | 7,547 |
| | | | |
| | | | |
| | | | |
| | | | |

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

| (a) Name and address | Title, and average hours per week (b) devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | Expense account, (e) other allowances |
|--|---|--|--|--|
| MR FRANKLIN W McCANN 51 TRADD STREET CHARLESTON, SC 29401 | PRESIDENT 1 | 0 | | |
| MR JONATHAN W McCANN PO BOX 1775 SAG HARBOR, NY 11963 | VICE PRESIDENT 1 | 0 | | |
| MR DOUGLAS IRWIN 46 CHESTNUT STREET APT 1 BOSTON, MA 02108 | BOARD MEMBER 1 | 0 | | |
| MS ALISON McCANN 2457 MONTROSE AVENUE MONTROSE, CA 91020 | BOARD MEMBER 1 | 0 | | |
| MS LAURA IMRIE 136 ST JOHNS ROAD WILTON, CT 06897 | BOARD MEMBER 1 | 0 | | |
| MS CAROLIN RALEIGH BUTTRICK 1081 PONUS RIDGE ROAD NEW CANAAN, CT 06840 | BOARD MEMBER 1 | 0 | | |
| MS HELEN HOOKE 60 EAST 42nd STREET SUITE 1825 NEW YORK, NY 10165 | SECRETARY 2 | 3,000 | | |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|--------------------------------|----------------------------------|--------|
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| SOUTHAMPTON ANIMAL SHELTER FOUNDATION 102 OLD RIVERHEAD ROAD HAMPTON BAYS, NY 11946 | NONE | PUBLIC | UNRESTRICTED | 4,000 |
| US TOO INC 5003 FAITVIEW AVENUE DOWNERS GROVE, IL 60515 | NONE | PUBLIC | UNRESTRICTED | 2,000 |
| HISTORIC NEW ENGLAND 141 CAMBRIDGE STREET BOSTON, MA 02114 | NONE | PUBLIC | HARRISON GRAY OTIS HOUSE | 15,000 |
| BREAST CANCER ALLIANCE 48 MAPLE AVENUE GREENWICH, CT 06830 | NONE | PUBLIC | UNRESTRICTED | 3,000 |
| WARRIORS & QUIET WATERS FOUNDATION 4432 ANNETTE PARK DRIVE BOZEMAN, MT 59715 | NONE | PUBLIC | UNRESTRICTED | 2,000 |
| LENOX HILL NEIGHBORHOOD ASSN 331 EAST 70TH STREET NEW YORK, NY 10021 | NNOE | PUBLIC | SUMMER CAMP PREOGRAM | 1,000 |
| TADA - THEATER AND DANCE ALLIANCE 15 WEST 28TH STREET NEW YORK, NY 10001 | NONE | PUBLIC | UNRESTRICTED | 1,600 |
| COALITION FOR THE HOMELESS 129 FULTON STREET NEW YORK, NY 10038 | NONE | PUBLIC | UNRESTRICTED | 2,400 |
| WOOLWORTH MEMORIAL UNITED METHODIST CHURCH PO BOX 189 GREAT BEND, NY 13643 | NONE | PUBLIC | UNRESTRICTED | 2,000 |
| CANCER CARE 275 SEVENTH AVENUE NEW YORK, NY 10001 | NONE | PUBLIC | UNRESTRICTED | 2,400 |
| LONG ISLAND SOUNDKEEPER FUND PO BOX 4058 NORWALK, CT 06855 | NONE | PUBLIC | UNRESTRICTED | 2,000 |
| GOD'S LOVE WE DELIVER 166 AVENUE OF THE AMERICAS NEW YORK, NY 10013 | NONE | PUBLIC | UNRESTRICTED | 2,000 |
| LYME RESEARCH ALLIANCE 2001 WEST MAIN STREET SUITE 280 STAMFORD, CT 06902 | NONE | PUBLIC | UNRESTRICTED | 3,000 |
| EAST END HOSPICE 481 WESTHAMPTON-RIVERHEAD ROAD WESTHAMPTON BEACH, NY 11978 | NONE | PUBLIC | UNRESTRICTED | 2,000 |
| NEXT GENERATION CHOICES FND 1247 WASHINGTON ROAD RYE, NH 03870 | NONE | PUBLIC | LESS CANCER CAMPAIGN | 3,000 |
| Total | | | 3a | 50,400 |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|--------------------------------|----------------------------------|------------------|
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| ALEX'S LEMONADE STAND FOUNDATION 111 PRESIDENTIAL BLVD BALA CYNWYD, PA 19096 | NONE | PUBLIC | GENERAL | 3,000 |
| Total | | | | 3a 50,400 |

Form 990PF Part XVI-A Line 11 - Other revenue:

| Enter gross amounts unless otherwise indicated | Unrelated business income | | Excluded by section 512, 513, or 514 | | (e) Related or exempt function income (See the instructions) |
|--|-----------------------------|----------------------|--------------------------------------|----------------------|--|
| | (a) Business code | (b) Amount | (c) Exclusion code | (d) Amount | |
| 11 Other revenue | | | | | |
| a STANLEY BLACK & DE | | | 14 | 1,200 | |
| b UNITED TECHNOLOGIE | | | 14 | 1,339 | |
| c REGENCY ENERGY PAR | | | 14 | 503 | |
| d THE BLACKSTONE GRO | | | 14 | 2,320 | |
| e ENERGY TRANSFERS P | | | 14 | 1,279 | |
| f ENTERPRISE PRODUCT | | | 14 | 1,208 | |
| g KKR & CO LP | | | 14 | 1,209 | |
| h VYTORIN CLASS ACTI | | | 14 | 73 | |
| i SCHERING PLOUGH CL | | | 14 | 14 | |

TY 2015 Accounting Fees Schedule

Name: WINFIELD FOUNDATION 141020900

EIN: 13-6158017

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|-----------------------------------|--------|--------------------------|------------------------|---|
| TAX PREPARATION FEE (NON-ALLOC | 1,750 | 1,400 | | 350 |

TY 2015 Investments Corporate Bonds Schedule

Name: WINFIELD FOUNDATION 141020900

EIN: 13-6158017

| Name of Bond | End of Year Book Value | End of Year Fair Market Value |
|--------------------------------|------------------------|-------------------------------|
| 20000 BERKSHIRE HATHAWAY INC S | | |
| 20000 PROCTOR & GAMBLE CO NT D | | |
| 20000 MARYLAND ST COPS COP 1.2 | | |
| 750 ISHARES IBOXX INVEST GRADE | 88,699 | 85,508 |
| 1000 ISHARES INTERMEDIATE CR. | 109,574 | 107,280 |
| 15000 RITE AIDE CORP SR NT 5.2 | | |
| 500 ALCOA INC SNV PFD | | |
| 200 GENESEE & WYOMING INC CNV | | |
| 300 STANLEY BLACK & DECKER INC | 31,264 | 35,037 |
| 600 UNITED TECHNOLOGIES CORP C | | |

TY 2015 Investments Corporate Stock Schedule**Name:** WINFIELD FOUNDATION 141020900**EIN:** 13-6158017

| Name of Stock | End of Year Book Value | End of Year Fair Market Value |
|--------------------------------|-------------------------------|--------------------------------------|
| 800 ABBOTT LABORATORIES | 39,289 | 35,928 |
| 700 ABBVIE INC | 24,112 | 41,468 |
| 400 ACCENTURE PLC | | |
| 400 ACE LTD | 29,107 | 46,740 |
| 40 ALPHABET INC CL A | 13,014 | 31,120 |
| 40 ALPHABET INC CL C | 13,009 | 30,355 |
| 500 AFLAC INC | | |
| 700 ALTRIA GROUP INC | 23,410 | 40,747 |
| 400 AMERICAN TOWER REIT INC | 31,076 | 38,780 |
| 400 AMETEK INC | 20,498 | 21,436 |
| 500 ANADARKO PETROLEUM CORP | 39,619 | 24,290 |
| 420 APPLE INC | 27,318 | 44,209 |
| 150 BLACKROCK INC | 25,806 | 51,078 |
| 800 BLACKSTONE GROUP LP | | |
| 400 CELGENE CORP | 13,927 | 47,904 |
| 500 CERNER CORP | 32,075 | 30,085 |
| 500 CHEVRON CORP | 51,457 | 44,980 |
| 800 COMCAST CORP CL A | 27,820 | 45,144 |
| 500 CVS HEALTH CORP | 25,006 | 48,885 |
| 800 CYTEC INDUSTRIES INC | | |
| 250 DIAGEO PLC ADR | | |
| 500 DISCOVER FINANCIAL SVS | 27,534 | 26,810 |
| 700 DOW CHEMICAL CO | 37,170 | 36,036 |
| 170 ECOLAB INC | 18,904 | 19,444 |
| 412 ENERGY TRANSFERS PARTNRS L | 23,897 | 13,897 |
| 800 ENTERPRISE PRODS PARTNERS | 21,105 | 20,464 |
| 1500 GENERAL ELECTRIC CO | 34,223 | 46,725 |
| 600 GILEAD SCIENCES INC | 16,741 | 60,714 |
| 600 INTEL CORP | 20,013 | 20,670 |
| 150 IBM CORP | 29,149 | 20,643 |

| Name of Stock | End of Year Book Value | End of Year Fair Market Value |
|--------------------------------|------------------------|-------------------------------|
| 65 JOHNSON & JOHNSON CO | 4,159 | 6,677 |
| 765 KKR & CO LP | 17,576 | 11,926 |
| 500 KRAFT HEINZ CO | 27,590 | 36,380 |
| 600 LIBERTY PROPERTY TRUST SBI | 21,851 | 18,630 |
| 250 MCKESSON CORP | 46,251 | 49,308 |
| 250 MEAD JOHNSON NUTRITION CO | | |
| 600 MICROCHIP TECH INC | 20,774 | 27,924 |
| 600 MICROSOFT CORP | 26,655 | 33,288 |
| 800 MORGAN STANLEY | 25,125 | 25,448 |
| 400 NESTLE S A ADR | 25,106 | 29,768 |
| 1000 NIKE INC CL B | 24,009 | 62,500 |
| 600 ORACLE CORP | 22,335 | 21,918 |
| 800 PAYCHEX INC | 26,541 | 42,312 |
| 400 PEPSICO INC | 33,140 | 39,968 |
| 400 QUALCOMM INC | 24,221 | 19,994 |
| 1200 REGAL ENTERTAINMENT | 22,075 | 22,644 |
| 1000 REGENCY ENERGY PARTNERS L | | |
| 700 ROCHE HOLDING LTD ADR | 24,831 | 24,129 |
| 350 SCHLUMBERGER LTD | 30,632 | 24,413 |
| 600 TJX COS INC | 28,752 | 42,546 |
| 300 UNION PACIFIC CORP | 25,205 | 23,460 |
| 307 UNITED TECH CORP | 33,043 | 29,494 |
| 300 V F CORP | 21,280 | 18,675 |
| 550 VERIZON COMMUNICATIONS | 27,376 | 25,421 |
| 500 WP CAREY INC | | |
| 1000 ISHARES MSCI EMERGING MAR | 45,054 | 32,190 |
| 1500 ISHARES US PREFERED STOCK | 59,340 | 58,275 |
| 800MYLAN INC | | |

TY 2015 Investments Government Obligations Schedule

Name: WINFIELD FOUNDATION 141020900

EIN: 13-6158017

**US Government Securities - End of
Year Book Value:**

473

**US Government Securities - End of
Year Fair Market Value:**

546

**State & Local Government
Securities - End of Year Book
Value:**

**State & Local Government
Securities - End of Year Fair
Market Value:**

TY 2015 Legal Fees Schedule

Name: WINFIELD FOUNDATION 141020900

EIN: 13-6158017

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|-------------------|--------|--------------------------|------------------------|---|
| HOLLYER BRADY LLP | 1,500 | | | 1,500 |

TY 2015 Other Decreases Schedule

Name: WINFIELD FOUNDATION 141020900

EIN: 13-6158017

| Description | Amount |
|---|--------|
| 2014 OUTSTANDING CHECKS CLEARED IN 2015 | 38,500 |
| MUTUAL FUND CASH OFFSET | 333 |

TY 2015 Other Expenses Schedule

Name: WINFIELD FOUNDATION 141020900

EIN: 13-6158017

| Description | Revenue and Expenses per Books | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|--------------------------|--------------------------------|-----------------------|---------------------|---------------------------------------|
| NYS ATTORNEY GENERAL FEE | 250 | 0 | | 250 |
| NY LAW JOURNAL | 145 | 0 | | 145 |

TY 2015 Other Income Schedule**Name:** WINFIELD FOUNDATION 141020900**EIN:** 13-6158017

| Description | Revenue And Expenses Per Books | Net Investment Income | Adjusted Net Income |
|---------------------------------|---------------------------------------|------------------------------|----------------------------|
| REGENCY ENERGY PARTNERS LP | 503 | -345 | |
| THE BLACKSTONE GROUP LP | 2,320 | 1,582 | |
| ENERGY TRANSFER PARTNERS LP | 1,279 | -1,161 | |
| ENTERPRISE PRODUCTS PARTNERS LP | 1,208 | -1,092 | |
| KKR & CO LP | 1,209 | 888 | |
| STANLEY BLACK & DECKER | 1,200 | 1,200 | |
| UNITED TECHNOLOGIES | 1,339 | 1,339 | |
| VYTORIN CLASS ACTION | 73 | 73 | |
| SCHERING PLOUGH CLASS ACTION | 14 | 14 | |

TY 2015 Other Increases Schedule

Name: WINFIELD FOUNDATION 141020900

EIN: 13-6158017

| Description | Amount |
|-------------------------|--------|
| 2015 OUTSTANDING CHECKS | 2,000 |

TY 2015 Other Professional Fees Schedule

Name: WINFIELD FOUNDATION 141020900

EIN: 13-6158017

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|-------------------------------|--------|--------------------------|------------------------|---|
| CUSTODIAN & MANAGMENT FEES | 18,364 | 14,691 | | 3,673 |
| ADR FEES | 58 | 58 | | |

TY 2015 Taxes Schedule

Name: WINFIELD FOUNDATION 141020900

EIN: 13-6158017

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|--------------------------------|--------|--------------------------|------------------------|---|
| FOREIGN TAXES | 241 | 241 | | 0 |
| FEDERAL TAX PAYMENT - PRIOR YE | 750 | 0 | | 0 |
| FOREIGN TAXES ON QUALIFIED FOR | 83 | 83 | | 0 |
| FOREIGN TAXES ON NONQUALIFIED | 33 | 33 | | 0 |