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EXTENDED TO NOVEMBER 15, 2016

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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OMB No. 1545-0052

2015

Open to Public Inspection

Form 990-PF

Department of the Treasury
Internal Revenue Service

For calendar year 2015 or tax year beginning

, and ending

Name of foundation RITA ALLEN FOUNDATION, INC.		A Employer identification number 13-6116429
Number and street (or P.O. box number if mail is not delivered to street address) 92 NASSAU STREET, 3RD FLOOR		B Telephone number (609) 683-8010
City or town, state or province, country, and ZIP or foreign postal code PRINCETON, NJ 08542		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐
H Check type of organization ☒ Section 501(c)(3) exempt private foundation		2. Foreign organizations meeting the 85% test, check here and attach computation ☐
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 152,150,417.	J Accounting method ☐ Cash ☐ Accrual ☒ Other (specify) MODIFIED CASH	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		0.		N/A	
2 Check ☒ if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		2,029,932.	2,353,603.		STATEMENT 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		316,164.			
b Gross sales price for all assets on line 6a		50,996,515.			
7 Capital gain net income (from Part IV, line 2)			91,475.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less: Cost of goods sold					
c Gross profit or (loss)					
11 Other income		14,181.	9,739.		STATEMENT 2
12 Total. Add lines 1 through 11		2,360,277.	2,454,817.		
13 Compensation of officers, directors, trustees, etc		619,542.			619,542.
14 Other employee salaries and wages		361,008.			361,008.
15 Pension plans, employee benefits		219,142.	0.		219,142.
16a Legal fees STMT 3		23,029.	0.		23,029.
b Accounting fees STMT 4		32,100.	0.		32,100.
c Other professional fees STMT 5		1,141,612.	1,133,282.		8,330.
17 Interest			39,145.		
18 Taxes STMT 6		116,571.	23,185.		0.
19 Depreciation and depletion					
20 Occupancy		102,568.	0.		102,568.
21 Travel, conferences, and meetings		42,216.	0.		42,216.
22 Printing and publications					
23 Other expenses STMT 7		286,306.	80,604.		286,306.
24 Total operating and administrative expenses. Add lines 13 through 23		2,944,094.	1,276,216.		1,694,241.
25 Contributions, gifts, grants paid		6,187,880.			6,187,880.
26 Total expenses and disbursements. Add lines 24 and 25		9,131,974.	1,276,216.		7,882,121.
27 Subtract line 26 from line 12		-6,771,697.			
a Excess of revenue over expenses and disbursements					
b Net investment income (if negative, enter -0-)			1,178,601.		
c Adjusted net income (if negative, enter -0-)				N/A	

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LHA For Paperwork Reduction Act Notice, see Instructions.

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18261024 756359 1622835.000 2015.04030 RITA ALLEN FOUNDATION, INC. 16228351

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	20,960,907.	11,886,329.	11,886,329.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 8	98,490,373.	80,114,040.	80,114,040.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶			
Liabilities	Less: accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 9	49,471,452.	60,143,995.	60,143,995.
	14 Land, buildings, and equipment, basis ▶			
	Less: accumulated depreciation ▶			
	15 Other assets (describe ▶ SECURITY DEPOSIT)	6,053.	6,053.	6,053.
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	168,928,785.	152,150,417.	152,150,417.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
Net Assets or Fund Balances	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
	Foundations that follow SFAS 117, check here ▶ ☒ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	165,213,458.	148,466,572.	
	25 Temporarily restricted	135,705.	104,223.	
	26 Permanently restricted	3,579,622.	3,579,622.	
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances	168,928,785.	152,150,417.	
	31 Total liabilities and net assets/fund balances	168,928,785.	152,150,417.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	168,928,785.
2 Enter amount from Part I, line 27a	2	-6,771,697.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	162,157,088.
5 Decreases not included in line 2 (itemize) ▶ UNREALIZED LOSS ON INVESTMENTS	5	10,006,671.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	152,150,417.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b SEE ATTACHED STATEMENT				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 50,996,515.		49,864,949.	91,475.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			91,475.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	91,475.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2014	7,737,150.	167,005,174.	.046329
2013	7,177,028.	155,553,514.	.046139
2012	7,079,635.	143,958,992.	.049178
2011	6,640,171.	150,362,307.	.044161
2010	5,750,790.	141,132,376.	.040747

2 Total of line 1, column (d)	2	.226554
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.045311
4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	4	161,887,375.
5 Multiply line 4 by line 3	5	7,335,279.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	11,786.
7 Add lines 5 and 6	7	7,347,065.
8 Enter qualifying distributions from Part XII, line 4	8	7,882,121.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)	1	11,786.
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	11,786.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	11,786.
6	Credits/Payments		
a	2015 estimated tax payments and 2014 overpayment credited to 2015	6a	182,974.
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	182,974.
8	Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	171,188.
11	Enter the amount of line 10 to be Credited to 2016 estimated tax ☒ 171,188. Refunded ☐	11	0.

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year. (1) On the foundation ☒ \$ 0. (2) On foundation managers ☒ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☒ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ NY, NJ		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address WWW.RITAALLEN.ORG	X	
14 The books are in care of THE FOUNDATION Telephone no. (609) 683-8010 Located at 92 NASSAU STREET, 3RD FLOOR, PRINCETON, NJ ZIP+4 08542		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year		N/A
16 At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☒ Yes ☐ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ☐		X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)). a At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? ☐ Yes ☒ No If "Yes," list the years N/A b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No b If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.) N/A		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☒ Yes ☐ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?5b ☒ X

Organizations relying on a current notice regarding disaster assistance check here

▶ ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

SEE STATEMENT 11

☒ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No

6b

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?☒ X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 10		619,542.	76,545.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JONATHAN KARTT - 92 NASSAU STREET, 3RD FLOOR, PRINCETON, NJ 08542	PROGRAM & EVALUATION 35.00	158,000.	33,052.	0.
MOLLY SHARLACH - 92 NASSAU STREET, 3RD FLOOR, PRINCETON, NJ 08542	COMMUNICATIONS AND DIGITAL ENGAGEMENT 35.00	60,426.	534.	0.
KATHERINE BELYI - 92 NASSAU STREET, 3RD FLOOR, PRINCETON, NJ 08542	COMMUNICATIONS, KNOWLEDGE & SECRETARIA 20.00	60,238.	0.	0.

Total number of other employees paid over \$50,000

▶ 0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NEW PROVIDENCE ASSET MANAGEMENT LP - 570 LEXINGTON AVENUE, 5TH FLOOR, NEW YORK, NY	INVESTMENT MANAGEMENT	534,278.
BROWN ADVISORY MANAGEMENT, LLC - 901 S. BOND STREET, SUITE 400, BALTIMORE, MD 21231	INVESTMENT MANAGEMENT	190,248.
REINHART PARTNERS, INC. - 1500 W. MARKET ST., SUITE 100, MEQUON, WI 53092	INVESTMENT MANAGEMENT	82,299.
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc

	Expenses
1 SEE STATEMENT 12	150,374.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3	0.

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Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	150,142,644.
b	Average of monthly cash balances	1b	14,203,968.
c	Fair market value of all other assets	1c	6,053.
d	Total (add lines 1a, b, and c)	1d	164,352,665.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	164,352,665.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	2,465,290.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	161,887,375.
6	Minimum investment return. Enter 5% of line 5	6	8,094,369.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	8,094,369.
2a	Tax on investment income for 2015 from Part VI, line 5	2a	11,786.
b	Income tax for 2015 (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	11,786.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	8,082,583.
4	Recoveries of amounts treated as qualifying distributions	4	5,996.
5	Add lines 3 and 4	5	8,088,579.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	8,088,579.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	7,882,121.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	7,882,121.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b	5	11,786.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	7,870,335.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				8,088,579.
2 Undistributed income, if any, as of the end of 2015			7,445,632.	
a Enter amount for 2014 only				
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2015				
a From 2010				
b From 2011				
c From 2012				
d From 2013				
e From 2014				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2015 from Part XII, line 4: ▶ \$ 7,882,121.			7,445,632.	
a Applied to 2014, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			436,489.
d Applied to 2015 distributable amount	0.			
e Remaining amount distributed out of corpus	0.			0.
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:	0.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount - see instr.			0.	
f Undistributed income for 2015 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2016				7,652,090.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2011				
b Excess from 2012				
c Excess from 2013				
d Excess from 2014				
e Excess from 2015				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

- 1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling

- b Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(1)(3) or ☐ 4942(1)(5)

- 2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b 85% of line 2a

c Qualifying distributions from Part XII,
line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities

Subtract line 2d from line 2c

- 3 Complete 3a, b, or c for the alternative test relied upon.

- a "Assets" alternative test - enter:
- (1) Value of all assets

(2) Value of assets qualifying under section 4942(i)(3)(B)(i)

- b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed**

- c "Support" alternative test - enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(i)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV **Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 Information Regarding Foundation Managers:

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

- b The form in which applications should be submitted and information and materials they should include.

- c Any submission deadlines

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors.

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
ACADEMY OF AMERICAN POETS 75 MAIDAN LANE, SUITE 901 NEW YORK, NY 10038	N/A	PC	2015 DIRECTOR DESIGNATED GRANT	15,000.
AFRICAN LEADERSHIP FOUNDATION PO BOX 7408 NEW YORK, NY 10150	N/A	PC	2015 DIRECTOR DESIGNATED GRANT	15,000.
AMERICAN ASSOC FOR ADVANCEMENT OF SCIENCE 1200 NEW YORK AVENUE, NW, 7TH FLOOR WASHINGTON, DC 20005	N/A	PC	EMERGING LEADERS IN SCIENCE AND SOCIETY(ELISS) (PYMT 2 OF 2)	25,000.
AMERICAN PAIN SOCIETY PO BOX 3781 OAK BROOK, IL 60522	N/A	PC	2015 GRANT CYCLE	10,000.
AMERICAN PRESS INSTITUTE 4401 WILSON BLVD., STE 900 ARLINGTON, VA 22203	N/A	PC	GRANT (PYMT 2 OF 2) JOURNALISM FACT CHECKING	50,000.
Total			SEE CONTINUATION SHEET(S)	6,187,880.
b Approved for future payment				
DATAKIND 150 COURT ST STE 2 BROOKLYN, NY 11201	N/A	PC	DATAKIND LABS OPEN BLUEPRINT	75,000.
GERALDINE R. DODGE FOUNDATION 14 MAPLE AVENUE, SUITE 400 MORRISTOWN, NJ 07960	N/A	PF	CREATING ENGAGED LOCAL JOURNALISM	88,000.
HARVARD NEURODISCOVERY CTR 2 MORRISSEY BOULEVARD DORCHESTER, MA 02125	N/A	PC	PAIN RESEARCH FORUM	50,000.
Total			SEE CONTINUATION SHEET(S)	1,193,000.

Form 990-PF (2015)

RITA ALLEN FOUNDATION, INC.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLG Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SALE OF PUBLICLY TRADED SECURITIES	P		
b	CAPITAL LOSS THRU K-1S	P		
c	SAB OVERSEAS FUND, LTD.	P		
d	GOTHAM HEDGED VALUE STRATEGIES 115/65	P		
e	GOTHAM HEDGED VALUE STRATEGIES 140/40 CLASS A	P		
f	CONVEXITY CAPITAL OFFSHORE L.P.	P		
g	JFL CAPITAL GROUP FUND LTD.	P		
h	YORK CREDIT OPPORTUNITIES UNIT TRUST	P		
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 50,996,515.		49,864,949.	1,131,566.
b			-177,285.
c			-721,008.
d			-10,362.
e			49,003.
f			-2,260.
g			21,393.
h			-199,572.
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
(l) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col. (j), if any	
a			1,131,566.
b			-177,285.
c			-721,008.
d			-10,362.
e			49,003.
f			-2,260.
g			21,393.
h			-199,572.
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	91,475.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	N/A

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
BRANDEIS UNIVERSITY 415 SOUTH STREET MAILSTOP 100 WALTHAM, MA 02453	N/A	PC	2014 GRANT BALANCE DUE	90,469.
BRANDEIS UNIVERSITY 415 SOUTH STREET MAILSTOP 100 WALTHAM, MA 02453	N/A	PC	2015 GRANT IN ADVANCE	74,531.
BUCKNELL UNIVERSITY 301 MARKET STREET SUITE #2 LEWISBURG, PA 17837	N/A	PC	2015 DIRECTOR DESIGNATED GRANT	5,000.
CAL POLY CORPORATION BUILDING 38, ROOM 102 SAN LUIS OBISPO, CA 93407	N/A	PC	DIGITAL DEMOCRACY PROGRAM(PYMT 1 OF 2)	100,000.
CAL POLY CORPORATION BUILDING 38, ROOM 102 SAN LUIS OBISPO, CA 93407	N/A	PC	DIGITAL DEMOCRACY PROGRAM(PYMT 2 OF 2)	65,000.
CENTER FOR PUBLIC INTEGRITY 910 17TH STREET NW WASHINGTON, DC 20006	N/A	PC	HEALTHCARE INVESTIGATIVE REPORTING PROJECT	50,000.
CENTER FOR PUBLIC INTEGRITY 910 17TH STREET NW WASHINGTON, DC 20006	N/A	PC	STATE INTEGRITY INVESTIGATION (PYMT 2 OF 2)	150,000.
CENTER FOR RESPONSIVE POLITICS 1101 14TH STREET NW WASHINGTON, DC 20005	N/A	PC	ONLINE CITIZEN ENGAGEMENT CAMPAIGN (PYMT 2 OF 2)	75,000.
CLIMATE CENTRAL ONE PALMER SQUARE, SUITE 330 PRINCETON, NJ 08542	N/A	PC	UNDERSTANDING SKEPTICISM OF MAINSTREAM SCIENCE PROGRAM	250,000.
COLD SPRING HARBOR LABORATORY ONE BUNGTOWN ROAD COLD SPRING HARBOR, NY 11724	N/A	PC	HAMMELL, CHRISTOPHER 2012 SCHOLAR	110,000.
Total from continuation sheets				6,072,880.

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
COLD SPRING HARBOR LABORATORY ONE BUNG TOWN ROAD COLD SPRING HARBOR, NY 11724	N/A	PC	HAMMELL, MOLLY 2014 SCHOLAR	110,000.
COLD SPRING HARBOR LABORATORY ONE BUNG TOWN ROAD COLD SPRING HARBOR, NY 11724	N/A	PC	SUPPORT OF TRAVEL FOR POST-DOCTORAL FELLOWS	6,000.
COLUMBIA UNIVERSITY PO BOX 29789 NEW YORK, NY 10027	N/A	PC	DING, LEI 2014 SCHOLAR	100,000.
COLUMBIA UNIVERSITY PO BOX 29789 NEW YORK, NY 10027	N/A	PC	KOHWI, MINOREE 2015 SCHOLAR	100,000.
COMMUNITY FOUNDATION OF GREATER NEW HAVEN 70 AUDUBON STREET NEW HAVEN, CT 06510	N/A	PC	FOR THE JOAN A. STEITZ FUND	6,000.
COMMUNITY PARTNERS INTERNATIONAL 2560 NINTH STREET, SUITE 315B BERKELEY, CA 94710	N/A	PC	2015 DIRECTOR DESIGNATED GRANT	10,000.
COUNCIL OF NEW JERSEY GRANTMAKERS 101 WEST STATE STREET TRENTON, NJ 08608	N/A	PC	CNJG IN EXCESS DUES 2016	8,050.
COUNCIL OF NEW JERSEY GRANTMAKERS 101 WEST STATE STREET TRENTON, NJ 08608	N/A	PC	CNJG LEADERSHIP	1,300.
COUNCIL ON FOUNDATIONS PO BOX 75661 BALTIMORE, MD 21275-5661	N/A	PC	PORTION IN EXCESS OF BASE DUES	16,730.
CRISIS MINISTRY OF MERCER COUNTY 123 E. HANOVER STREET TRENTON, NJ 08608	N/A	PC	2015 DIRECTOR DESIGNATED GRANT	5,000.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
DEMOCRACY WORKS, INC. (TURBOVOTE) 20 JAY STREET, SUITE 824 BROOKLYN, NY 11201	N/A	PC	EXPANDING TURBOVOTE CORPORATE PARTNERSHIPS	150,000.
DOCTORS WITHOUT BORDERS USA PO BOX 5023 HAGERSTOWN, MD 21741-5023	N/A	PC	2015 EMPLOYEE MATCHING GIFT-MOLLY SHARLACH	435.
DREW UNIVERSITY 36 MADISON AVENUE MADISON, NJ 07940	N/A	PC	2015 DIRECTOR DESIGNATED GRANT	30,000.
DUKE UNIVERSITY LOCKBOX 602651, CHARLOTTE, NC 28262	N/A	PC	BOYCE, MICHAEL 2013 SCHOLAR	100,000.
EARTHJUSTICE 50 CALIFORNIA STREET, 5TH FLOOR SAN FRANCISCO, CA 94111	N/A	PC	2015 DIRECTOR DESIGNATED GRANT	15,000.
FAST FORWARD 1014 TORNEY AVENUE SAN FRANCISCO, CA 94129	N/A	PC	2016 TECH NONPROFIT ACCELERATOR PROGRAM	50,000.
FORDHAM UNIVERSITY 888 7TH AVENUE 7TH FLOOR NEW YORK, NY 10019	N/A	PC	2015 DIRECTOR DESIGNATED GRANT	5,000.
GALLATIN VALLEY LAND TRUST PO BOX 7021 BOZEMAN, MT 59771	N/A	PC	2015 DIRECTOR DESIGNATED GRANT	5,000.
GRANTMAKERS FOR EFFECTIVE ORGANIZATIONS 1725 DESALES STREET, NW, SUITE 404 WASHINGTON, DC 20036	N/A	PC	9/1/15-8/31/16 PORTION IN EXCESS OF BASE DUES	2,550.
HARMONY INSTITUTE 54 WEST 21ST STREET NEW YORK, NY 10013	N/A	PC	GRANT-MEDIA IMPACT FELLOWSHIP PROGRAM	150,000.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
HEALTHRIGHT INTERNATIONAL 240 GREENE STREET, 2ND FLOOR NEW YORK, NY 10003	N/A	PC	2015 DIRECTOR DESIGNATED GRANT	10,000.
HORIZONS NATIONAL STUDENT ENRICH. PROGRAM 120 POST ROAD WEST SUITE 202 WESTPORT, CT 06880	N/A	PC	2015 DIRECTOR DESIGNATED GRANT	10,000.
INSTITUTE FOR ADVANCED STUDY 1 EINSTEIN DRIVE PRINCETON, NJ 08540	N/A	PC	GRANT	10,000.
INSTITUTE FOR ADVANCED STUDY 1 EINSTEIN DRIVE PRINCETON, NJ 08540	N/A	PC	TO REPLACE CHECK # 4474 DATED 8/17/15	5,000.
INSTITUTE FOR ADVANCED STUDY 1 EINSTEIN DRIVE PRINCETON, NJ 08540	N/A	PC	2015 DIRECTOR DESIGNATED GRANT	10,000.
JCC SARA WALKER NURSERY SCHOOL 1035 NEWFIELD AVENUE STAMFORD, CT 06905	N/A	PC	2015 DIRECTOR DESIGNATED GRANT	10,000.
KENT PLACE SCHOOL 42 NORWOOD AVENUE SUMMIT, NJ 07901	N/A	PC	2015 DIRECTOR DESIGNATED GRANT	5,000.
LELAN STANFORD JUNIOR UNIVERSITY PO BOX 44253 SAN FRANCISCO, CA 94144-4253	N/A	PC	SCHERRER, GREGORY 2014 PAIN SCHOLAR	50,000.
LITERACY NEW JERSEY 100 MENLO PARK DR., STE 314 EDISON, NJ 08837	N/A	PC	2015 EMPLOYEE MATCHING GIFT-MOLLY SHARLACH	435.
MCCARTER THEATER 91 UNIVERSITY PLACE PRINCETON, NJ 08540	N/A	PC	2015 DIRECTOR DESIGNATED GRANT	5,000.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
MCGILL UNIVERSITY 3465 DUCHOCHER STREET, 3RD FLOOR MONTREAL, CANADA H2X 0A8	N/A	PC	SHARIF-NAEINI, REZA 2013 PAIN SCHOLAR	50,000.
MEDIA IMPACT FUNDERS 200 WEST WASHINGTON SQUARE PHILADELPHIA, PA 19106	N/A	PC	NETWORKING TO IMPROVE SCIENCE COMMUNICATION(PYMT 1 OF 2)	25,000.
MEDIA IMPACT FUNDERS SUITE 220 200 WEST WASHINGTON SQUARE PHILADELPHIA, PA 19106	N/A	PC	2015 DIRECTOR DESIGNATED GRANT	5,000.
MPN RESEARCH FOUNDATION 180 NORTH MICHIGAN AVE., SUITE 1870 CHICAGO, IL 60601	N/A	PC	2015 DIRECTOR DESIGNATED GRANT	5,000.
NEW CANAAN COUNTRY SCHOOL 635 FROGTOWN ROAD NEW CANAAN, CT 06840	N/A	PC	2015 DIRECTOR DESIGNATED GRANT	15,000.
NEW YORK UNIVERSITY 25 W. 4TH STREET, 4TH FLOOR NEW YORK, NY 10012	N/A	PC	YE, YI 2015 PAIN SCHOLAR	50,000.
NORTHWESTERN UNIVERSITY 633 CLARK STREET, RM G 547 EVANSTON, IL 60208	N/A	PC	KOZOROVITSKIY, YEVGENIA 2015 SCHOLAR	100,000.
NYU SCHOOL OF MEDICINE PO BOX 415026 BOSTON, MA 02241-5026	N/A	PC	LONG, MICHAEL 2012 SCHOLAR	100,000.
ONLINE NEWS ASSOCIATION 1111 NORTH CAPITOL STREET NE, SIXTH FLOOR WASHINGTON, DC 20002	N/A	PC	CHALLENGE FUND FOR JOURNALISM EDUCATION (PYMT 2 OF 2)	100,000.
PARTICIPATORY BUDGETING PROJECT INC. 33 FLATBUSH AVENUE, 4TH FLOOR BROOKLYN, NY 11217	N/A	PC	PARTICIPATION LAB PROGRAM	75,000.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
PARTICIPATORY POLITICS FOUNDATION 156 5TH AVE. 2ND FL. NEW YORK, NY 10010	N/A	PC	COUNCILMATIC PROGRAM (PYMT 1 OF 2)	75,000.
PEOPLE & STORIES 140 EAST HANOVER STREET TRENTON, NJ 08608-1704	N/A	PC	2015 DIRECTOR DESIGNATED GRANT	5,000.
PHILANTHROPY NY 79 FIFTH AVENUE, FOURTH FLOOR NEW YORK, NY 10003	N/A	PC	PORTION IN EXCESS OF BASE DUES	4,250.
PINGRY SCHOOL 131 MARTINSVILLE ROAD BASKING RIDGE, NJ 07920	N/A	PC	2015 DIRECTOR DESIGNATED GRANT	5,000.
PRESIDENT AND FELLOWS OF HARVARD COLLEGE 2 MORRISSEY BOULEVARD DORCHESTER, MA 02125	N/A	PC	GRANT-HARVARD NEURODISCOVERY CENTER (PYMT 1 OF 2)	50,000.
PRESIDENT AND FELLOWS OF HARVARD COLLEGE 2 MORRISSEY BOULEVARD DORCHESTER, MA 02125	N/A	PC	HARVARD KENNEDY SCHOOL OF GOVERNMENT-DEMOCRACY WORKS CASE STUDY	30,000.
PRINCETON PUBLIC LIBRARY FOUNDATION 65 WITHERSPOON STREET PRINCETON, NJ 08542	N/A	PC	2015 DIRECTOR DESIGNATED GRANT	5,000.
PRINCETON SYMPHONY ORCHESTRA PO BOX 250 PRINCETON, NJ 08542	N/A	PC	2015 DIRECTOR DESIGNATED GRANT	5,000.
PRINCETON UNIV ART MUSEUM-DD GRANT PO BOX 5292 PRINCETON, NJ 08544	N/A	PC	2015 DIRECTOR DESIGNATED GRANT	5,000.
PRINCETON UNIVERSITY 701 CARNEGIE CENTER, STE 435 PRINCETON, NJ 08540	N/A	PC	FIEDLER, DOROTHEA 2013 SCHOLAR	100,000.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
PROPUBICA 155 AVENUE OF THE AMERICAS 13TH FLR NEW YORK, NY 10013	N/A	PC	EXPANSION OF MULTIMEDIA WORK (PYMT 2 OF 2)	50,000.
PUBLIC AGENDA, INC. 6 E. 39TH STREET NEW YORK, NY 10016	N/A	PC	PARTICIPATORY BUDGETING EVALUATION, RESEARCH & COMMUNICATIONS PROGRAM	75,000.
PUBLIC LAB PO BOX 426113 CAMBRIDGE, MA 02142	N/A	PC	COMMUNITY ENGAGEMENT EVALUATION (PYMT 1 OF 3)	50,000.
PUBLIC MEDIA NJ, INC. 825 EIGHT AVENUE, 14TH FLOOR NEW YORK, NY 10019	N/A	PC	NJTV CURIOUS CITY PROGRAM(PYMT 1 OF 1)	62,000.
REGENTS OF THE UNIVERSITY OF CALIFORNIA 1855 FOLSOM STREET, MCB 425 BOX 0897 SAN FRANCISCO, CA 94143-0897	N/A	PC	DUMONT, SOPHIE 2013 SCHOLAR	110,000.
REGENTS OF THE UNIVERSITY OF CALIFORNIA 1855 FOLSOM STREET, MCB 425 BOX 0897 SAN FRANCISCO, CA 94143-0897	N/A	PC	KNIGHT, ZACHARY 2014 SCHOLAR	100,000.
ROBERT C MAYNARD INSTITUTE FOR JOURNALISM 1320 QUAIL RIDGE DRIVE RESTON, VA 20194	N/A	PC	2015 DIRECTOR DESIGNATED GRANT	10,000.
ROCKEFELLER PHILANTHROPY ADVISORS 6 WEST 48TH STREET, 10TH FLOOR NEW YORK, NY 10036	N/A	PC	FUND FOR SHARED INSIGHT	250,000.
RUTGERS UNIVERSITY FOUNDATION SUITE 250 120 ALBANY STREET NEW BRUNSWICK, NJ 08901	N/A	PC	GRANT TO RUTGERS CANCER INSTITUTE OF NEW JERSEY	5,000.
RUTGERS UNIVERSITY FOUNDATION SUITE 250 120 ALBANY STREET NEW BRUNSWICK, NJ 08901	N/A	PC	GRANT TO RUTGERS CANCER INSTITUTE OF NEW JERSEY	5,000.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
RUTGERS UNIVERSITY FOUNDATION SUITE 250 120 ALBANY STREET NEW BRUNSWICK, NJ 08901	N/A	PC	HIPHOP PROMISE CLINIC	10,000.
SALK INSTITUTE FOR BIOLOGICAL STUDIES 10010 NORTH TORREY PINES ROAD LA JOLLA, CA 92037-1002	N/A	PC	LAW, JULIE 2015 SCHOLAR	100,000.
SALK INSTITUTE FOR BIOLOGICAL STUDIES 10010 NORTH TORREY PINES ROAD LA JOLLA, CA 92037-1002	N/A	PC	CHALASANI, SREEKANTH 2012 SCHOLAR	100,000.
SALK INSTITUTE FOR BIOLOGICAL STUDIES 10010 NORTH TORREY PINES ROAD LA JOLLA, CA 92037-1002	N/A	PC	NIMMERJAHN, AXEL 2011 SCHOLAR	100,000.
SOUTH KENT SCHOOL 40 BULLS BRIDGE ROAD SOUTH KENT, CT 06785	N/A	PC	2015 DIRECTOR DESIGNATED GRANT	15,000.
STAMFORD JCC 458 LAKESIDE DRIVE STAMFORD, CT 06905	N/A	PC	SARA WALKER NURSERY SCHOOL	10,000.
STANFORD UNIVERSITY PO BOX 44253 SAN FRANCISCO, CA 94144-4253	N/A	PC	LIN, MICHAEL 2011 SCHOLAR	100,000.
STANFORD UNIVERSITY-GREENLEAF PO BOX 44253 SAN FRANCISCO, CA 94144-4253	N/A	PC	GREENLEAF, WILLIAM 2013 SCHOLAR	100,000.
SUNLIGHT FOUNDATION 1818 N STREET NW, SUITE 300 WASHINGTON, DC 20036	N/A	PC	OPEN CONGRESS (PYMT 2 OF 2)	100,000.
THE CITIZENS CAMPAIGN 450 MAIN ST. 2ND FLR. METUCHEN, NJ 08840	N/A	PC	CIVIC CITIES (PYMT 3 OF 3)	50,000.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
THE CONVERSATION US, INC. 25 FAIRFAX ST. WEST NEWTON, MA 02465	N/A	PC	THE CONVERSATION'S COVERAGE OF SCIENCE (PYMT 1 OF 1)	50,000.
THE CONVERSATION US, INC. 25 FAIRFAX ST. WEST NEWTON, MA 02465	N/A	PC	UNLOCKING HIGH QUALITY SCIENCE FOR THE WIDER COMMUNITY PROGRAM (PYMT 1 OF 2)	200,000.
THE JOHN S. AND JAMES L. KNIGHT FOUNDATION 200 SOUTH BISCAYNE BOULEVARD MIAMI, FL 33131-2349	N/A	PF	JULY 2015 KNIGHT NEWS CHALLENGE	125,000.
THE NATURE CONSERVANCY 15 EAST RIDGE PIKE, SUITE 100 CONSHOHOCKEN, PA 19428	N/A	PC	2015 DIRECTOR DESIGNATED GRANT	5,000.
THE OPENGOV FOUNDATION PO BOX 1388 VISTA, CA 92085	N/A	PC	THE CHICAGO WAY PROGRAM (PYMT 1 OF 2)	100,000.
THE ROCKEFELLER UNIVERSITY BOX 259 1230 YORK AVENUE NEW YORK, NY 10065	N/A	PC	KLINGE, SEBASTIAN 2014 SCHOLAR	100,000.
THE ROCKEFELLER UNIVERSITY BOX 259 1230 YORK AVENUE NEW YORK, NY 10065	N/A	PC	MARRAFFINI, LUCIANO 2012 SCHOLAR	100,000.
TRUSTEES PRINCETON UNIVERSITY PO BOX 5292 PRINCETON, NJ 08544	N/A	PC	PRINCETON UNIVERSITY NEUROSCIENCE INSTITUTE	10,000.
UNITED JEWISH APPEAL FEDERATION OF NY 130 EAST 59TH STREET NEW YORK, NY 10022	N/A	PC	BALANCE 2014	45,236.
UNITED JEWISH APPEAL FEDERATION OF NY 130 EAST 59TH STREET NEW YORK, NY 10022	N/A	PC	ON ACCOUNT FOR 2015	39,764.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
UNIV OF PENNSYLVANIA 3451 WALNUT STREET PHILADELPHIA, PA 19104-6205	N/A	PC	2015 DIRECTOR DESIGNATED GRANT	5,000.
UNIVERSITY OF ALABAMA AT BIRMINGHAM 1720 2ND AVENUE SOUTH, AB 990 BIRMINGHAM, AL 35924-0109	N/A	PC	SORGE, ROBERT 2015 PAIN SCHOLAR	50,000.
UNIVERSITY OF CALGARY 2500 UNIVERSITY DRIVE NW CALGARY, CANADA T2N 1N4	N/A	PC	TRANG, TUAN 2014 PAIN SCHOLAR	50,000.
UNIVERSITY OF CALIFORNIA, DAVIS PO BOX 989062 WEST SACRAMENTO, CA 95798-9062	N/A	PC	TIAN, LIN 2014 SCHOLAR	100,000.
UNIVERSITY OF CALIFORNIA, LA 11000 KINROSS AVENUE SUITE 211 LOS ANGELES, CA 90095-1406	N/A	PC	HALLEM, ELISSA 2011 SCHOLAR	100,000.
UNIVERSITY OF NOTRE DAME 1251 N. EDDY STREET, SUITE 300 SOUTH BEND, IN 46617	N/A	PC	2015 DIRECTOR DESIGNATED GRANT	5,000.
UNIVERSITY OF PENNSYLVANIA 3451 WALNUT STREET PHILADELPHIA, PA 19104-6205	N/A	PC	WILUSZ, JEREMY 2015 SCHOLAR	100,000.
UNIVERSITY OF PENNSYLVANIA 3451 WALNUT STREET PHILADELPHIA, PA 19104-6205	N/A	PC	KOHLI, RAHU MANU 2011 SCHOLAR	100,000.
UNIVERSITY OF PITTSBURGH 3100 CATHEDRAL OF LEARNING PITTSBURGH, PA 15260	N/A	PC	SEAL, REBECCA 2013 PAIN SCHOLAR	50,000.
UNIVERSITY OF TEXAS AT AUSTIN 101 E. 27TH STREET, NOA 5.300 AUSTIN, TX 78712	N/A	PC	ENGAGING NEWS PROJECT (PYMT 2 OF 2)	75,000.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
UNIVERSITY OF WISCONSIN 21 N. PARK STREET, SUITE 6401 MADISON, WI 53706	N/A	PC	BURTON, BRIANA 2011 SCHOLAR	110,000.
UT SOUTHWESTERN MEDICAL CENTER PO BOX 841753 DALLAS, TX 75284-1753	N/A	PC	LIU, XIN 2012 SCHOLAR	100,000.
UT SOUTHWESTERN MEDICAL CENTER PO BOX 841753 DALLAS, TX 75284-1753	N/A	PC	SCHOGGINS, JOHN 2015 SCHOLAR	110,000.
WGBH EDUCATIONAL FOUNDATION ONE GUEST STREET BOSTON, MA 02135	N/A	PC	SCIENCE MEDIA SYMPOSIUM (PYMT 1 OF 2)	75,000.
WHYY 150 N. 6TH STREET PHILADELPHIA, PA 19106	N/A	PC	2015 EMPLOYEE MATCHING GIFT-MOLLY SHARLACH	30.
WILLIAMS COLLEGE 75 PARK STREET WILLIAMSTOWN, MA 01267	N/A	PC	2015 EMPLOYEE MATCHING GIFT-MOLLY SHARLACH	100.
YALE UNIVERSITY 47 COLLEGE STREET, SUITE 216 NEW HAVEN, CT 06510-3209	N/A	PC	GRACHEVA, ELANA 2013 SCHOLAR	100,000.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Approved for Future Payment (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
HEALTHY DEMOCRACY 519 SW PARK AVENUE SUITE 602 PORTLAND, OR 97205	N/A	PC	VOTING INFORMATION COLLABORATIVE	35,000.
INVESTIGATIVE NEWS NETWORK PO BOX 8606 CALABASAS, CA 91372	N/A	PC	IMPAQ.ME SOCIAL MEDIA	150,000.
MAPLIGHT 2223 SHATTUCK AVE. BERKELEY, CA 94704	N/A	PC	VOTERS EDGE	150,000.
MEDIA IMPACT FUNDERS SUITE 220 200 WEST WASHINGTON SQUARE PHILADELPHIA, PA 19106	N/A	PC	NETWORKING SCIENCE COMMUNICATION	25,000.
NON PROFIT QUARTERLY 112 WATER ST. SUITE 400 BOSTON, MA 02109	N/A	PC	STRATEGIC PLAN	50,000.
OPENGOV FOUNDATION PO BOX 1388 VISTA, CA 92085	N/A	PC	THE CHICAGO WAY	100,000.
PARTICIPATORY POLITICS FOUNDATION 156 5TH AVE. 2ND FL. NEW YORK, NY 10010	N/A	PC	COUNCILMATIC	75,000.
PUBLIC LABORATORY FOR OPEN TECH & SCIENCE PO BOX 426113 CAMBRIDGE, MA 02142	N/A	PC	DCCEE	100,000.
RPA, FUND FOR SHARED INSIGHT 6 WEST 48TH STREET NEW YORK, NY 10036	N/A	PC	LISTEN FOR GOOD	120,000.
THE CONVERSATION US, INC. 25 FAIRFAX ST. WEST NEWTON, MA 02465	N/A	PC	UNLOCKING HIGH QUALITY SCIENCE	100,000.
Total from continuation sheets				980,000.

3 Grants and Contributions Approved for Future Payment (Continuation)

Total from continuation sheets

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	1
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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
DIVIDENDS	2,028,956.	0.	2,028,956.	2,028,956.	
INTEREST	976.	0.	976.	976.	
TO PART I, LINE 4	2,029,932.	0.	2,029,932.	2,029,932.	

FORM 990-PF	OTHER INCOME	STATEMENT	2
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DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
OTHER INVESTMENT REVENUE	8,185.	8,185.	
OTHER GAIN THRU K-1S	0.	1,554.	
GRANT REFUNDS	5,996.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	14,181.	9,739.	

FORM 990-PF	LEGAL FEES	STATEMENT	3
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	23,029.	0.		23,029.
TO FM 990-PF, PG 1, LN 16A	23,029.	0.		23,029.

FORM 990-PF	ACCOUNTING FEES			STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
ACCOUNTING FEES	32,100.	0.		32,100.	
TO FORM 990-PF, PG 1, LN 16B	32,100.	0.		32,100.	

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
INVESTMENT MANAGEMENT FEES	1,082,313.	1,082,313.		0.	
BNYM CUSTODY FEES	50,969.	50,969.		0.	
MISCELLANEOUS	8,330.	0.		8,330.	
TO FORM 990-PF, PG 1, LN 16C	1,141,612.	1,133,282.		8,330.	

FORM 990-PF	TAXES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
EXCISE TAX	110,000.	0.		0.	
FOREIGN TAX WITHHELD	6,571.	23,185.		0.	
TO FORM 990-PF, PG 1, LN 18	116,571.	23,185.		0.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
INSURANCE	4,238.	0.		4,238.	
OFFICE EXPENSES	11,436.	0.		11,436.	
MEMBERSHIPS AND DUES	43,700.	0.		43,700.	
ANNUAL REPORT FILING	1,525.	0.		1,525.	
SCIENTIFIC ADVISORY HONORARIA	37,000.	0.		37,000.	
IT SERVICE & WEB DESIGN	24,592.	0.		24,592.	
PAYROLL SERVICE	2,691.	0.		2,691.	
GRANT SOFTWARE & WEB SERVICES	32,734.	0.		32,734.	
OFFICE FURNITURE AND EQUIPMENT	19,959.	0.		19,959.	
PROGRAM/COMMUNICATIONS EXPENSE	2,700.	0.		2,700.	
STRATEGIC PLANNING	29,561.	0.		29,561.	
SCHOLARS WORKSHOP	7,547.	0.		7,547.	
SCHOLARS PLENARY	68,623.	0.		68,623.	
OTHER PARTNERSHIP DEDUCTIONS	0.	80,604.		0.	
TO FORM 990-PF, PG 1, LN 23	286,306.	80,604.		286,306.	

FORM 990-PF	CORPORATE STOCK		STATEMENT	8
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE		
CORPORATE STOCK - SEE ATTACHMENT A	80,114,040.	80,114,040.		
TOTAL TO FORM 990-PF, PART II, LINE 10B	80,114,040.	80,114,040.		

FORM 990-PF	OTHER INVESTMENTS		STATEMENT	9
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE	
MUTUAL FUNDS - SEE ATTACHMENT A	FMV	4,547,322.	4,547,322.	
HEDGE FUNDS - SEE ATTACHMENT A	FMV	53,704,151.	53,704,151.	
PRIVATE EQUITIES - SEE ATTACHMENT A	FMV	1,892,522.	1,892,522.	
TOTAL TO FORM 990-PF, PART II, LINE 13		60,143,995.	60,143,995.	

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS STATEMENT 10
 TRUSTEES AND FOUNDATION MANAGERS

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
WILLIAM F. GADSDEN 92 NASSAU STREET, 3RD FLOOR PRINCETON, NJ 08540	CHAIRMAN OF THE BOARD 10.00	7,000.	0.	0.
ELIZABETH G. CHRISTOPHERSON 92 NASSAU STREET, 3RD FLOOR PRINCETON, NJ 08540	PRESIDENT AND CEO 35.00	372,340.	66,612.	0.
KARI WILLIAMS TYKSINSKI 92 NASSAU STREET, 3RD FLOOR PRINCETON, NJ 08540	TREASURER/FINANCE DIRECTOR 20.00	80,802.	0.	0.
KATHLEEN THOMPSON 92 NASSAU STREET, 3RD FLOOR PRINCETON, NJ 08540	SECRETARY/PROGRAM DIRECTOR 20.00	147,400.	9,933.	0.
ROBBERT DIJKGRAAF 92 NASSAU STREET, 3RD FLOOR PRINCETON, NJ 08540	DIRECTOR 1.00	4,000.	0.	0.
SIVAN HONG 92 NASSAU STREET, 3RD FLOOR PRINCETON, NJ 08540	DIRECTOR 1.00	0.	0.	0.
LANDON Y. JONES 92 NASSAU STREET, 3RD FLOOR PRINCETON, NJ 08540	DIRECTOR 1.00	0.	0.	0.
HONORABLE THOMAS H. KEAN 92 NASSAU STREET, 3RD FLOOR PRINCETON, NJ 08540	DIRECTOR 1.00	0.	0.	0.
GENEVA OVERHOLSER 92 NASSAU STREET, 3RD FLOOR PRINCETON, NJ 08540	DIRECTOR 1.00	4,000.	0.	0.
SAMUEL S.-H. WANG 92 NASSAU STREET, 3RD FLOOR PRINCETON, NJ 08540	DIRECTOR 1.00	4,000.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		619,542.	76,545.	0.

FORM 990-PF	EXPENDITURE RESPONSIBILITY STATEMENT	STATEMENT 11
	PART VII-B, LINE 5C	

GRANTEE'S NAME

THE JOHN S. AND JAMES L. KNIGHT FOUNDATION

GRANTEE'S ADDRESSSUITE 3300, 200 SOUTH BISCAYNE BOULEVARD
MIAMI, FL 33131-2349

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
125,000.	07/27/15	125,000.

PURPOSE OF GRANTTO SUPPORT THE 2015 KNIGHT NEWS CHALLENGE GRANTMAKING INITIATIVE ON
ELECTIONSDATES OF REPORTS BY GRANTEE

3/4/2016

ANY DIVERSION BY GRANTEE

NONE

RESULTS OF VERIFICATION

N/A

FORM 990-PF

SUMMARY OF DIRECT CHARITABLE ACTIVITIES

STATEMENT 12

ACTIVITY ONE

THE RITA ALLEN FOUNDATION IDENTIFIES OPPORTUNITIES WITHIN TWO DOMAINS; ADVANCING YOUNG LEADERS IN SCIENCE AND SOCIAL INNOVATION AND CIVIC LITERACY AND ENGAGEMENT. STAFF MEMBERS WORKED WITH GRANT RECIPIENTS TO BUILD CAPACITY BEYOND FINANCIAL SUPPORT, INCLUDING VALUE-ADDED ACTIVITIES FOR THE RITA ALLEN FOUNDATION SCHOLARS, THE PLANNING OF A SCHOLARS 40TH ANNIVERSARY PLENARY CONVENING TO FOSTER KNOWLEDGE SHARING AND COLLABORATION BETWEEN SCHOLARS, AND OTHER MENTORING AND GRANTEE TECHNICAL ASSISTANCE ACTIVITIES.

EXPENSES

TO FORM 990-PF, PART IX-A, LINE 1

150,374.