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Form **990-PF**

Department of the Treasury  
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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▶ Information about Form 990-PF and its instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

OMB No 1545-0052

**2015**

Open to Public Inspection

For calendar year 2015, or tax year beginning 01-01-2015, and ending 12-31-2015

Name of foundation JOEL DEAN FOUNDATION INC		A Employer identification number  13-6097306	
% JURRIEN DEAN		B Telephone number (see instructions)  (301) 656-1227	
Number and street (or P O box number if mail is not delivered to street address) C/O JURRIEN DEAN 7422 HAMPDEN LANE		Room/suite	
City or town, state or province, country, and ZIP or foreign postal code BETHESDA, MD 20814		C If exemption application is pending, check here ▶ <input type="checkbox"/>	
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change		<input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		D 1. Foreign organizations, check here ▶ <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ▶ <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 6,308,919		E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ <input type="checkbox"/>	
J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ <input type="checkbox"/>	

Part I Analysis of Revenue and Expenses <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )</i>		Revenue and expenses per books (a)	Net investment income (b)	Adjusted net income (c)	Disbursements for charitable purposes (d) (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule) . . . . .	0			
	2 Check ▶ <input checked="" type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B . . . . .				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities . . . . .	112,218	112,218		
	5a Gross rents . . . . .				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	121,388			
	b Gross sales price for all assets on line 6a 300,615				
	7 Capital gain net income (from Part IV, line 2) . . .		121,388		
	8 Net short-term capital gain . . . . .				
	9 Income modifications . . . . .				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold . . . . .				
	c Gross profit or (loss) (attach schedule) . . . . .				
	11 Other income (attach schedule) . . . . .				
	12 <b>Total.</b> Add lines 1 through 11 . . . . .	233,606	233,606		
	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages . . . . .				
	15 Pension plans, employee benefits . . . . .				
	16a Legal fees (attach schedule). . . . .				
	b Accounting fees (attach schedule). . . . .	5,000	2,500	0	2,500
	c Other professional fees (attach schedule) . . . . .				
	17 Interest . . . . .				
	18 Taxes (attach schedule) (see instructions) . . .	9,315			
	19 Depreciation (attach schedule) and depletion . .				
	20 Occupancy . . . . .				
	21 Travel, conferences, and meetings. . . . .				
	22 Printing and publications . . . . .				
	23 Other expenses (attach schedule). . . . .	250			250
	24 <b>Total operating and administrative expenses.</b>				
	Add lines 13 through 23 . . . . .	14,565	2,500	0	2,750
	25 Contributions, gifts, grants paid . . . . .	310,300			310,300
	26 <b>Total expenses and disbursements.</b> Add lines 24 and 25	324,865	2,500	0	313,050
	27 Subtract line 26 from line 12				
	a <b>Excess of revenue over expenses and disbursements</b>	-91,259			
	b <b>Net investment income</b> (if negative, enter -0-)		231,106		
	c <b>Adjusted net income</b> (if negative, enter -0-) . . .				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing . . . . .	10,984	6,369	6,369
	2	Savings and temporary cash investments . . . . .			
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable . . . . .			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions). . . . .			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use . . . . .			
	9	Prepaid expenses and deferred charges . . . . .			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule) . . . . .			
	c	Investments—corporate bonds (attach schedule) . . . . .			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans. . . . .			
	13	Investments—other (attach schedule) . . . . .	4,401,092	4,314,448	6,302,550
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets(to be completed by all filers—see the instructions Also, see page 1, item I)	4,412,076	4,320,817	6,308,919	
Liabilities	17	Accounts payable and accrued expenses . . . . .			
	18	Grants payable . . . . .			
	19	Deferred revenue . . . . .			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule). . . . .			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities(add lines 17 through 22) . . . . .		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted . . . . .	4,412,076	4,320,817	
	25	Temporarily restricted . . . . .			
	26	Permanently restricted . . . . .			
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds . . . . .			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances(see instructions) . . . . .	4,412,076	4,320,817	
31	Total liabilities and net assets/fund balances(see instructions) . . . . .	4,412,076	4,320,817		

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return) . . . . .	1	4,412,076
2	Enter amount from Part I, line 27a . . . . .	2	-91,259
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3 . . . . .	4	4,320,817
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 . . . . .	6	4,320,817

Part IV

Capital Gains and Losses for Tax on Investment Income

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )		How acquired P—Purchase (b) D—Donation	Date acquired (c) (mo , day, yr )	Date sold (d) (mo , day, yr )
1 a	3342 SHS VANGUARD SHORT TERM BOND		2010-06-23	2015-11-25
b	641 SHS VANGUARD TAX MANAGED SMALL CAP		2010-03-31	2015-11-25
c	4492 SHS VANGUARD TOTAL STOCK MARKET		2010-03-31	2015-11-25
d				
e				

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
a 35,615		35,334	281
b 30,000		15,141	14,859
c 235,000		128,752	106,248
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
a			281
b			14,859
c			106,248
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	121,388
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)  If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 . . . . . }		3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )  
If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No  
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2014	275,150	6,341,790	0 043387
2013	231,750	5,583,060	0 041509
2012	235,950	4,655,930	0 050677
2011	241,800	4,750,920	0 050895
2010	249,700	4,868,537	0 051289

2	Total of line 1, column (d). . . . .	2	0 237757
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 047551
4	Enter the net value of noncharitable-use assets for 2015 from Part X, line 5. . . . .	4	6,367,589
5	Multiply line 4 by line 3. . . . .	5	302,785
6	Enter 1% of net investment income (1% of Part I, line 27b). . . . .	6	2,311
7	Add lines 5 and 6. . . . .	7	305,096
8	Enter qualifying distributions from Part XII, line 4. . . . .	8	313,050

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See  
the Part VI instructions

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a

Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1  
Date of ruling or determination letter \_\_\_\_\_  
(attach copy of letter if necessary—see instructions)

b

Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b . . . . .

c

All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)

2

Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

2

3

Add lines 1 and 2. . . . .

3

2,311

4

Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

4

5

**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0- . . . . .

5

2,311

6

Credits/Payments

a

2015 estimated tax payments and 2014 overpayment credited to 2015

6a

6,156

b

Exempt foreign organizations—tax withheld at source. . . . .

6b

c

Tax paid with application for extension of time to file (Form 8868). . . . .

6c

d

Backup withholding erroneously withheld. . . . .

6d

7

Total credits and payments. Add lines 6a through 6d. . . . .

7

6,156

8

Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached.

8

9

**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** . . . . .

9

10

**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid**. . . . .

10

3,845

11

Enter the amount of line 10 to be **Credited to 2015 estimated tax** ☒ 3,845 **Refunded** ☒

11

Part VII-AStatements Regarding Activities

1a

During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? ☒ . . . . .

1a

No

b

Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? . . . . .  
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.

1b

No

c

Did the foundation file **Form 1120-POL** for this year? . . . . .

1c

No

d

Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:  
(1) On the foundation ☒ \$ \_\_\_\_\_ (2) On foundation managers ☒ \$ \_\_\_\_\_

e

Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☒ \$ \_\_\_\_\_

2

Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . .  
If "Yes," attach a detailed description of the activities.

2

No

3

Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes ☒ . . . . .

3

No

4a

Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .

4a

No

b

If "Yes," has it filed a tax return on **Form 990-T** for this year? . . . . .

4b

5

Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . .  
If "Yes," attach the statement required by General Instruction T. ☒

5

No

6

Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:  
• By language in the governing instrument, or  
• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .

6

Yes

7

Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV. . . . .

7

Yes

8a

Enter the states to which the foundation reports or with which it is registered (see instructions):  
☒ NY \_\_\_\_\_

b

If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation . . . . .

8b

Yes

9

Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)?  
If "Yes," complete Part XIV . . . . .

9

No

10

Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses. . . . .

10

No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶N/A	13	Yes	
14	The books are in care of ▶JURRIEN DEAN Telephone no ▶(301) 656-1227 Located at ▶7422 HAMPDEN LANE BEHTESDA MD ZIP+4 ▶20814			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —Check here . . . . . ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year . . . . . ▶	15		
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶	16	Yes	No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input type="checkbox"/> No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . . <input type="checkbox"/> Yes <input type="checkbox"/> No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input type="checkbox"/> No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input type="checkbox"/> No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . . <input type="checkbox"/> Yes <input type="checkbox"/> No			
	(6) Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ). . . . . <input type="checkbox"/> Yes <input type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? . . . . . <input type="checkbox"/>	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015? . . . . . <input type="checkbox"/>	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions ). . . . . <input type="checkbox"/>	2b		No
c	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?( <i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.</i> ) . . . . . <input type="checkbox"/>	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a

During the year did the foundation pay or incur any amount to

(1)

Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

Yes 

No

(2)

Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

Yes 

No

(3)

Provide a grant to an individual for travel, study, or other similar purposes?

Yes 

No

(4)

Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions).

Yes 

No

(5)

Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

Yes 

No

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

Organizations relying on a current notice regarding disaster assistance check here.

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

Yes 

No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

Yes 

No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

No

If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

Yes 

No

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

No

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
JURRIEN DEAN	PRES,TREAS	0		
7422 HAMPDEN LANE	2 0			
BETHESDA, MD 20814				
GILLIAN DEAN	V PRES,DIR	0		
14701 FARWELL AVE	2 0			
SARATOGA, CA 95070				
JOEL DEAN JR	V PRES, DIR	0		
14 HOPKE AVE	2 0			
HASTINGSONHUDSON, NY 10706				

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	Contributions to employee benefit plans and deferred compensation (d)	Expense account, (e) other allowances

Total

number of other employees paid over \$50,000.

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation

Total number of others receiving over \$50,000 for professional services. ▶

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3. ▶	



Part X

Minimum Investment Return

(All domestic foundations must complete this part. Foreign foundations,see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities. . . . .	1a	6,451,279
b	Average of monthly cash balances. . . . .	1b	13,278
c	Fair market value of all other assets (see instructions). . . . .	1c	0
d	<b>Total</b> (add lines 1a, b, and c). . . . .	1d	6,464,557
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	1e	
2	Acquisition indebtedness applicable to line 1 assets. . . . .	2	0
3	Subtract line 2 from line 1d. . . . .	3	6,464,557
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	4	96,968
5	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3 Enter here and on Part V, line 4	5	6,367,589
6	<b>Minimum investment return.</b> Enter 5% of line 5. . . . .	6	318,379

Part XI

Distributable Amount

(see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☒ and do not complete this part.)

1	Minimum investment return from Part X, line 6. . . . .	1	318,379
2a	Tax on investment income for 2015 from Part VI, line 5. . . . .	2a	2,311
b	Income tax for 2015 (This does not include the tax from Part VI ). . . . .	2b	
c	Add lines 2a and 2b. . . . .	2c	2,311
3	Distributable amount before adjustments Subtract line 2c from line 1. . . . .	3	316,068
4	Recoveries of amounts treated as qualifying distributions. . . . .	4	
5	Add lines 3 and 4. . . . .	5	316,068
6	Deduction from distributable amount (see instructions). . . . .	6	
7	<b>Distributable amount</b> as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . . .	7	316,068

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. . . . .	1a	313,050
b	Program-related investments—total from Part IX-B. . . . .	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. . . . .	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required). . . . .	3a	0
b	Cash distribution test (attach the required schedule). . . . .	3b	0
4	<b>Qualifying distributions.</b> Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	313,050
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions). . . . .	5	2,311
6	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4. . . . .	6	310,739

**Note:**The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				316,068
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only. . . . .			309,850	
b Total for prior years 2013 , 2012 , 2011				
3 Excess distributions carryover, if any, to 2015				
a From 2010. . . . .				
b From 2011. . . . .				
c From 2012. . . . .				
d From 2013. . . . .				
e From 2014. . . . . 0				
f Total of lines 3a through e. . . . .	0			
4 Qualifying distributions for 2015 from Part XII, line 4 ▶ \$ 313,050				
a Applied to 2014, but not more than line 2a			309,850	
b Applied to undistributed income of prior years (Election required—see instructions). . . . .				
c Treated as distributions out of corpus (Election required—see instructions). . . . .				
d Applied to 2015 distributable amount. . . . .				3,200
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b . . . . .				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .				
d Subtract line 6c from line 6b Taxable amount —see instructions . . . . .				
e Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015 . . . . .				312,868
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . .				
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions). . .				
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a . . . . .	0			
10 Analysis of line 9				
a Excess from 2011. . . .				
b Excess from 2012. . . .				
c Excess from 2013. . . .				
d Excess from 2014. . . .				
e Excess from 2015. . . . 0				

## Part XIV

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling. . . . ▶

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

**2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .

• 85% of line 2a . . . . .

Qualifying distributions from Part XII,  
line 4 for each year listed . . . . .

Amounts included in line 2c not used  
directly for active conduct of exempt  
activities . . . . .

e. Qualifying distributions made directly  
for active conduct of exempt activities  
Subtract line 2d from line 2c . . . .

**3** Complete 3a, b, or c for the alternative test relied upon

"Assets" alternative test—enter

**(1)** Value of all assets . . . . .

**(2)** Value of assets qualifying  
under section 4942(j)(3)(B)(i)

• "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .

**(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . .

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .

**(3)** Largest amount of support from an exempt organization

**(4) Gross investment income**

[illegible]

**Part XV** **Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)**

## 1 Information Regarding Foundation Managers:

• List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

• List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number or email address of the person to whom applications should be addressed

**b** The form in which applications should be submitted and information and materials they should include

**c** Any submission deadlines

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

### 3 Grants and Contributions Paid During the Year or Approved for Future Payment

Form **990-PF** (2015)

## Enter gross amounts unless otherwise indicated

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2015)

**d** If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

	Yes	No
1a(1)		No
1a(2)		No
1b(1)		No
1b(2)		No
1b(3)		No
1b(4)		No
1b(5)		No
1b(6)		No
1c		No

[illegible]

**b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

\* \* \* \* \*

Title

May the IRS discuss this return with the preparer shown below  
(see instr )? ☒ Yes ☐ No

Phone no (301) 656-1227

TY 2015 Accounting Fees Schedule

**Name:** JOEL DEAN FOUNDATION INC

**EIN:** 13-6097306

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING	5,000	2,500		2,500

**Note:** To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

**TY 2015 Depreciation Schedule**

**Name:** JOEL DEAN FOUNDATION INC

**EIN:** 13-6097306



**TY 2015 Investments - Other Schedule****Name:** JOEL DEAN FOUNDATION INC**EIN:** 13-6097306

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
VANGUARD FEDL PORTFOLIO	AT COST	325,648	325,648
SHORT TERM BOND INDEX FUND	AT COST	637,826	627,681
TAX MANAGED SMALL CAP ADM	AT COST	388,381	637,986
TOTAL STOCK MKT IDX	AT COST	2,962,593	4,711,235

TY 2015 Other Expenses Schedule

**Name:** JOEL DEAN FOUNDATION INC

**EIN:** 13-6097306

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
NYS CHARITABLE FILING FEE	250			250

TY 2015 Taxes Schedule

**Name:** JOEL DEAN FOUNDATION INC

**EIN:** 13-6097306

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL EXCISE TAX	9,315			

# Joel Dean Foundation, Inc Gifts 2015

Organization Name	Address	City State	Gift Amount	Gift Purpose
Bellarmine College Preparatory	Development Office	960 West Hedding Street San Jose, CA 95126	\$1,000.00	program support
Belle Foundation	Belle Foundation	PO Box 8601 San Jose, CA 95155-8601	\$5,000.00	program support
Black Rock Arts Foundation	Black Rock Arts Foundation	660 Alabama Street San Francisco, CA 94110	\$2,000.00	program support
Bucknell University	Office of Annual Giving	Bucknell University Lewisburg PA17837	\$1,000.00	program support
Camp Joy Foundation	Camp Joy Foundation	PO Box 417 Clarksville OH 45133	\$3,000.00	program support
Caron Treatment Center	Caron Treatment Center	234 Galen Hall Road Wernersville, PA 19565	\$500.00	endowment
Castilleja School	Castilleja School	1310 Bryant Street Castilleja School	\$1,000.00	program support

Organization Name	Address	City/State	Gift Amount	Gift Purpose
Channel 9 KQED	KQED Public Radio	2601 Mariposa Street San Francisco, CA 94110-1426	\$2,000.00	program support
Columbia College	Annual Fund	475 Riverside Drive, Suite 917 New York, NY 10115	\$1,000.00	program support
Columbia College	Annual Fund	475 Riverside Drive, Suite 917 New York, NY 10115	\$2,000.00	program support
Columbia University	Columbia College	622 West 113th Street New York, NY 10025	\$85,000.00	Dean Biological Sciences Fund
Columbia University	The Association of the Alumni	100 Haven Avenue, Suite 29D New York, NY 10032	\$5,000.00	program support
Deerfield Academy	Development Office	Deerfield Academy Deerfield, MA 01342	\$1,000.00	program support
Downtown College Prep	Downtown College Prep	1400 Parkmoor Avenue, Suite 206 San Jose, CA 95126	\$5,000.00	program support
FabMo	FabMo, Inc.	970 Terra Bella Avenue, Suite 8 Mountain View, CA 04043	\$17,000.00	program support

Organization Name	Address	City/State	Gift Amount	Gift Purpose
Georgetown Day School	Development Office	4200 Davenport Street Washington, DC 20016-4560	\$1,500.00	program support
Green Acres School	Development Office	11701 Danville Drive Rockville, MD 20852	\$2,000.00	program support
Harvard College	Harvard College Fund	124 Mount Auburn Street Cambridge, MA 02138	\$1,000.00	program support
Harvard College	Harvard College Fund	124 Mount Auburn Street Cambridge, MA 02138	\$1,000.00	program support
Harvey Mudd College	Alumni Fund	301 Platt Boulevard Claremont, CA 91711	\$5,000.00	program support
Hastings Historical Society	Hastings Historical Society	407 Broadway Hastings-on-Hudson, NY 10706	\$1,000.00	program support
Hastings Public School	Hastings Public Schools	27 Farragut Avenue Hastings-on-Hudson, NY 1070	\$6,000.00	Professor Joel Dean Prize
Hastings-on-Hudson Volunteer Fire Department	Hastings Volunteer Fire Department	50 Main Street Hastings-on-Hudson, NY 10706	\$300.00	program support

Organization Name	Address	City/State	Gift Amount	Gift Purpose
Jazz Forum Arts	Jazz Forum Arts, Inc	PO Box 172 Dobbs Ferry, NY 10522	\$500.00	program support
Johns Hopkins University	Krieger School of Arts and Sciences	3400 N. Charles Street Baltimore, MD 21218	\$15,000.00	endowment
Johns Hopkins University	Center for Talented Youth	5801 Smith Ave, Ste 400 Baltimore, MD 2120	\$1,000.00	program support
Johns Hopkins University	Whiting School of Engineering	3400 N. Charles Street Baltimore, MD 21218	\$44,000.00	endowment
Little Red School House	Development Office	272 Sixth Avenue New York, NY 10014	\$500.00	program support
Massachusetts Institute of Technology	Sloan School of Management	600 Memorial Drive, ES2-466 Cambridge, MA 02139-4819	\$10,000.00	excellence in teaching award
Montalvo Arts Center	Montalvo Arts Center	15400 Montalvo Road PO Box 158 Saratoga, CA 95071	\$5,000.00	program support
Oberlin College	Department of Classics	50 West Lorain Street Oberlin, OH 44074	\$15,000.00	endowment

Organization Name	Address	City/State	Gift Amount	Gift Purpose
Oberlin College	Department of Economics	50 West Lorain Street Oberlin, OH 44074	\$2,000.00	program support
Opera San Jose, Inc	Opera San Jose, Inc.	2149 Paragon Drive San Jose, CA 95131	\$13,000.00	program support
Religious of Sacred Heart of Mary	Marymount School of Neully sui S	50 Wilson Park Drive Tarrytown, NY 10591-3023	\$500.00	computer technology
Resource Area for Teaching	Resource Area for Teaching	1355 Ridder Park Drive Saratoga, CA 95131	\$1,000.00	program support
San Jose Jazz Festival	San Jose Jazz Festival	38 West Santa Clara Street San Jose, CA 95113	\$5,000.00	program support
San Jose Museum of Art	San Jose Museum of Art	110 South Market Street San Jose, CA 95113-2583	\$8,000.00	program support
San Jose Quilt and Textile Museum	San Jose Quilt and Textile Museum	520 South First Street San Jose, CA 95113	\$1,000.00	program support
Saratoga Foothill History Landmark Foundation	Saratoga Foothill Historic Landmark	P.O. Box 2233 Saratoga CA 95070	\$4,000.00	program support



Organization Name	Address	City/State	Gift Amount	Gift Purpose
Silicon Valley Creates	Silicon Valley Creates 4 North Second Street San Jose, CA 95113		\$1,000.00	program support
Stanford University	The Stanford Fund PO Box 20466 Stanford, CA 94309		\$1,000.00	program support
Swarthmore College	The 1984 Society 500 College Avenue Swarthmore, PA 19081-9989		\$5,000.00	program support
Swarthmore College	Swarthmore College 500 College Avenue Swarthmore, PA 19081-9989		\$5,000.00	Dean Summer Research Fund
Symphony Silicon Valley	Symphony Silicon Valley P.O. Box 790 San Jose, CA 95106-0790		\$3,000.00	program support
The Chinquapin School	The Chinquapin School 2615 E Wallisville Road Highlands, TX 77562-3199		\$1,000.00	program support
The ISB Foundation	The ISB Foundation PO Box 5910 Princeton, NJ 08543-5910		\$3,000.00	math and science programs
The Maret School	Development Office 3000 Cathedral Avenue, N.W. Washington, DC 20008		\$500.00	program support

Organization Name	Address	City/State	Gift Amount	Gift Purpose
Theatreworks	Theatreworks	P.O. Box 50458 Palo Alto, CA 94303-0458	\$10,000.00	program support
University of Chicago	Development Office	5235 South Harper Court, Suite 450 Chicago, IL 60615	\$1,000.00	scholarship aid
Village of Hastings-on-Hudson	Village of Hastings-on-Hudson	7 Maple Avenue Hastings-on-Hudson, NY 10706	\$2,000.00	landscaping
Wesleyan College	Development Office	318 High Street Middletown, CT 0645	\$1,000.00	program support
Whitman College	Development Office	345 Boyer Avenue Walla Walla, WA 99362	\$2,000.00	program support
			\$310,500.00	