



See a Social Security Number? Say Something!  
Report Privacy Problems to <https://public.resource.org/privacy>  
Or call the IRS Identity Theft Hotline at 1-800-908-4490



EXTENDED TO NOVEMBER 15, 2016

## Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

OMB No 1545-0052

2015

Open to Public Inspection

Form 990-PF

Department of the Treasury  
Internal Revenue Service

For calendar year 2015 or tax year beginning

, and ending

A Employer identification number

13-6082277

B Telephone number

212.679.7266

C If exemption application is pending, check here ☐D 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Name of foundation

THE GREENWALL FOUNDATION

Number and street (or P.O. box number if mail is not delivered to street address)

1 PENN PLAZA, FL. 47

Room/suite

City or town, state or province, country, and ZIP or foreign postal code

NEW YORK, NY 10119-0002

G Check all that apply

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name change

H Check type of organization

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year

J Accounting method

☐ Cash☒ Accrual

(from Part II, col (c), line 16)

☐ Other (specify)

▶ \$ 91,916,097. (Part I, column (d) must be on cash basis)

## Part I

## Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

1 Contributions, gifts, grants, etc., received

2 Check ☒ if the foundation is not required to attach Sch. B

3 Interest on savings and temporary cash investments

4 Dividends and interest from securities

5a Gross rents

b Net rental income or (loss)

6a Net gain or (loss) from sale of assets not on line 10

b Gross sales price for all assets on line 6a

7 Capital gain net income (from Part IV, line 2)

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns and allowances

b Less Cost of goods sold

c Gross profit or (loss)

11 Other income

12 Total Add lines 1 through 11

13 Compensation of officers, directors, trustees, etc.

14 Other employee salaries and wages

15 Pension plans, employee benefits

16a Legal fees STMT 3

b Accounting fees STMT 4

c Other professional fees STMT 5

17 Interest

18 Taxes STMT 6

19 Depreciation and depletion

20 Occupancy

21 Travel, conferences, and meetings

22 Printing and publications

23 Other expenses STMT 7

24 Total operating and administrative expenses. Add lines 13 through 23

25 Contributions, gifts, grants paid

26 Total expenses and disbursements. Add lines 24 and 25

27 Subtract line 26 from line 12

a Excess of revenue over expenses and disbursements

b Net investment income (if negative, enter -0-)

c Adjusted net income (if negative, enter -0-)

523501  
11-24-15

LHA For Paperwork Reduction Act Notice, see instructions.

Form 990-PF (2015)

SCANNED SEP 27 2016

Received in SEP 23 2016

Revenue

Operating and Administrative Expenses

RECEIVED

SEP 19 2015

CODEN, UT

47

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash - non-interest-bearing		85,271.	11,694.	11,694.
	2	Savings and temporary cash investments		8,851,796.	1,596,675.	1,596,675.
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges		17,234.	21,230.	21,230.
	10a	Investments - U.S. and state government obligations		5,922,002.		
	b	Investments - corporate stock STMT 9		72,584,787.	48,513,091.	48,513,091.
	c	Investments - corporate bonds STMT 10		12,777,391.	23,144,627.	23,144,627.
	Liabilities	11	Investments - land, buildings, and equipment basis ▶			
		Less: accumulated depreciation ▶				
12		Investments - mortgage loans				
13		Investments - other STMT 11		0.	18,581,709.	18,581,709.
14		Land, buildings, and equipment basis ▶ STMT 12		115,190.		
		Less: accumulated depreciation STMT 12 ▶		97,534.		
15		Other assets (describe ▶)		12,220.	17,656.	17,656.
16		Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		286,225.	29,415.	29,415.
17		Accounts payable and accrued expenses		100,536,926.	91,916,097.	91,916,097.
18		Grants payable		22,823.	10,515.	
Net Assets or Fund Balances	19	Deferred revenue		2,977,410.	4,007,314.	
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶ STATEMENT 13)		620,075.	27,144.	
	23	Total liabilities (add lines 17 through 22)		3,620,308.	4,044,973.	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted		96,916,618.	87,871,124.	
	25	Temporarily restricted				
	26	Permanently restricted				
		Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, bldg, and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds				
30	Total net assets or fund balances		96,916,618.	87,871,124.		
31	Total liabilities and net assets/fund balances		100,536,926.	91,916,097.		

## Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	96,916,618.
2	Enter amount from Part I, line 27a	2	21,535,931.
3	Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 8	3	561,150.
4	Add lines 1, 2, and 3	4	119,013,699.
5	Decreases not included in line 2 (itemize) ▶ CHANGE IN UNREALIZED LOSS	5	31,142,575.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	87,871,124.

Form 990-PF (2015)

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a SALE OF PUBLICLY TRADED SECURITIES</b>	<b>P</b>	<b>VARIOUS</b>	<b>VARIOUS</b>
b			
c			
d			
e			

  

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 178,683,292.		151,904,818.	26,778,474.
b			
c			
d			
e			

  

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			26,778,474.
b			
c			
d			
e			

  

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	26,778,474.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8		3	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2014	3,310,277.	98,095,681.	.033745
2013	2,849,539.	86,855,505.	.032808
2012	2,869,144.	77,052,694.	.037236
2011	4,717,386.	75,783,748.	.062248
2010	4,306,599.	71,785,692.	.059992

  

2 Total of line 1, column (d)	2	.226029
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.045206
4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	4	95,595,340.
5 Multiply line 4 by line 3	5	4,321,483.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	279,668.
7 Add lines 5 and 6	7	4,601,151.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	5,150,330.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)		1	279,668.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		2	0.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		3	279,668.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
3 Add lines 1 and 2		5	279,668.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		6a	277,716.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		6b	
6 Credits/Payments		6c	25,000.
a 2015 estimated tax payments and 2014 overpayment credited to 2015		6d	
b Exempt foreign organizations - tax withheld at source		7	302,716.
c Tax paid with application for extension of time to file (Form 8868)		8	95.
d Backup withholding erroneously withheld		9	
7 Total credits and payments. Add lines 6a through 6d		10	22,953.
8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached		11	0.
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed			
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid			
11 Enter the amount of line 10 to be Credited to 2016 estimated tax <input checked="" type="checkbox"/> 22,953. Refunded <input type="checkbox"/>			

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers <input checked="" type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input checked="" type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Form 990-PF (2015)

**Part VII-A** Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► WWW.GREENWALL.ORG	X	
14 The books are in care of ► THE GREENWALL FOUNDATION Telephone no ► 212.676.7266 Located at ► 1 PENN PLAZA, FL. 47, NEW YORK, NY ZIP+4 ► 10119-0002		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A		
16 At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		X

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/>	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)) a At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► _____ b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) N/A c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► _____	2b	
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No b If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.) N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b	X

Form 990-PF (2015)

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

▶ ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No

6b

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

X

If "Yes" to 6b, file Form 8870.

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 14		403,333.	58,026.	0.

**2** Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SAM TEIGEN - 1 PENN PLAZA, FL. 47, NEW YORK, NY 10119-0002	FINANCE & OPERATIONS MANAGER	40.00	122,872.	19,551.
REBEKKAH BOXT - 1 PENN PLAZA, FL. 47, NEW YORK, NY 10119-0002	EXECUTIVE ASSISTANT	40.00	62,100.	26,340.

Total number of other employees paid over \$50,000



0

Form 990-PF (2015)

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
GOLDMAN SACHS ASSET MANAGEMENT 200 WEST STREET, 39TH FL., NEW YORK, NY 10282	PORTFOLIO ADVISORY	233,708.

Total number of others receiving over \$50,000 for professional services

0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 SEE STATEMENT 15	173,964.
2	
3	
4	

**Part IX-B** Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
3	
All other program-related investments See instructions	
Total. Add lines 1 through 3	0.

Form 990-PF (2015)



**Part X****Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	93,699,681.
b	Average of monthly cash balances	1b	3,322,011.
c	Fair market value of all other assets	1c	29,415.
d	Total (add lines 1a, b, and c)	1d	97,051,107.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	97,051,107.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	1,455,767.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	95,595,340.
6	Minimum investment return. Enter 5% of line 5	6	4,779,767.

**Part XI****Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	4,779,767.
2a	Tax on investment income for 2015 from Part VI, line 5	2a	279,668.
b	Income tax for 2015 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	279,668.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	4,500,099.
4	Recoveries of amounts treated as qualifying distributions	4	10,099.
5	Add lines 3 and 4	5	4,510,198.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	4,510,198.

**Part XII****Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	5,143,065.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	7,265.
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	5,150,330.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	279,668.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	4,870,662.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2015)

**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
<b>1</b> Distributable amount for 2015 from Part XI, line 7				4,510,198.
<b>2</b> Undistributed income, if any, as of the end of 2015				
<b>a</b> Enter amount for 2014 only			1,101,491.	
<b>b</b> Total for prior years		0.		
<b>3</b> Excess distributions carryover, if any, to 2015				
<b>a</b> From 2010				
<b>b</b> From 2011				
<b>c</b> From 2012				
<b>d</b> From 2013				
<b>e</b> From 2014				
<b>f</b> Total of lines 3a through e	0.			
<b>4</b> Qualifying distributions for 2015 from Part XII, line 4 ▶ \$ 5,150,330.				
<b>a</b> Applied to 2014, but not more than line 2a			1,101,491.	
<b>b</b> Applied to undistributed income of prior years (Election required - see instructions)		0.		
<b>c</b> Treated as distributions out of corpus (Election required - see instructions)	0.			
<b>d</b> Applied to 2015 distributable amount				4,048,839.
<b>e</b> Remaining amount distributed out of corpus	0.			
<b>5</b> Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b		0.		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
<b>d</b> Subtract line 6c from line 6b Taxable amount - see instructions		0.		
<b>e</b> Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount - see instr			0.	
<b>f</b> Undistributed income for 2015 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2016				461,359.
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
<b>8</b> Excess distributions carryover from 2010 not applied on line 5 or line 7	0.			
<b>9</b> Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a	0.			
<b>10</b> Analysis of line 9.				
<b>a</b> Excess from 2011				
<b>b</b> Excess from 2012				
<b>c</b> Excess from 2013				
<b>d</b> Excess from 2014				
<b>e</b> Excess from 2015				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

N/A

- 1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling

**b** Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(i)(3) or ☐ 4942(i)(5)

- 2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b 85% of line 2a

**c** Qualifying distributions from Part XII,  
line 4 for each year listed

**d** Amounts included in line 2c not used directly for active conduct of exempt activities

**e Qualifying distributions made directly for active conduct of exempt activities**

**Subtract line 2d from line 2c**

- 3** Complete 3a, b, or c for the alternative test relied upon

(1) Value of all assets

**(2) Value of assets qualifying under section 4942(j)(3)(B)(i)**

**b "Endowment" alternative test** - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

**(3) Largest amount of support from an exempt organization**

**(4) Gross investment income**

**Part XV** **Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

### 1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b. List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a. The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

SEE ATTACHMENT B

**b The form in which applications should be submitted and information and materials they should include**

SEE ATTACHMENT B

**c Any submission deadlines**

SEE ATTACHMENT B

d. Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE ATTACHMENT B

**Part XV** Supplementary Information (continued)

<b>3. Grants and Contributions Paid During the Year or Approved for Future Payment</b>				
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a. Paid during the year</b>				
SEE ATTACHMENT C	N/A	PC	SEE ATTACHMENT C	4,070,067.
<b>Total</b>			<b>3a</b>	4,070,067.
<b>b. Approved for future payment</b>				
SEE ATTACHMENT D	N/A	PC	SEE ATTACHMENT D	4,007,314.
<b>Total</b>			<b>3b</b>	4,007,314.





---



---

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	1
-------------	--	-----------	---

---

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
BANK OF NEW YORK - CUSTODY	682.	0.	682.	682.	
CITIBANK, NA	3,885.	0.	3,885.	3,885.	
GOLDMAN SACHS & CO	1,831,556.	0.	1,831,556.	1,831,556.	
JOHN W. BRISTOL & CO	240,582.	0.	240,582.	240,582.	
TO PART I, LINE 4	2,076,705.	0.	2,076,705.	2,076,705.	

---



---

FORM 990-PF	OTHER INCOME	STATEMENT	2
-------------	--------------	-----------	---

---

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
SECURITY DEPOSIT INTEREST INCOME	4,052.	4,052.	
GRANT REFUNDS	10,099.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	14,151.	4,052.	

---



---

FORM 990-PF	LEGAL FEES	STATEMENT	3
-------------	------------	-----------	---

---

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
EWENSTEIN YOUNG & ROTH	6,058.	1,170.		17,475.
ROSENBERG & ESTIS, PC	162.	0.		162.
WILKIE FARR & GALLAGHER	23,553.	23,553.		0.
TO FM 990-PF, PG 1, LN 16A	29,773.	24,723.		17,637.

FORM 990-PF	ACCOUNTING FEES			STATEMENT 4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PKF O'CONNOR DAVIES, LLP	32,400.	23,800.		7,007.
DEMASCO, SENA & JAHELKA, LLP	12,325.	8,038.		4,193.
TO FORM 990-PF, PG 1, LN 16B	44,725.	31,838.		11,200.

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT 5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
JOHN W. BRISTOL & CO. - INVESTMENT MGMT FEE	22,090.	22,090.		0.
BANK OF NY MELLON - CUSTODIAL FEE	10,145.	10,145.		0.
ADP - PAYROLL FEE	2,063.	0.		2,063.
GOLDMAN SACHS & CO. - ADVISORY FEES	233,708.	233,708.		0.
PORTFOLIO MANAGEMENT FEES	414,965.	414,965.		0.
TO FORM 990-PF, PG 1, LN 16C	682,971.	680,908.		2,063.

FORM 990-PF	TAXES			STATEMENT 6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL EXCISE TAX	279,412.	0.		0.
TO FORM 990-PF, PG 1, LN 18	279,412.	0.		0.



---



---

FORM 990-PF	OTHER EXPENSES	STATEMENT	7
-------------	----------------	-----------	---

---

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INSURANCE	8,381.	3,821.		4,560.
FACULTY SCHOLARS PROGRAM	23,546.	0.		26,152.
FILING FEES	1,500.	0.		1,500.
OTHER OFFICE EXPENSE	27,411.	10,993.		16,093.
RELOCATION EXPENSE	0.	0.		25.
TO FORM 990-PF, PG 1, LN 23	60,838.	14,814.		48,330.

---



---

FORM 990-PF	OTHER INCREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	8
-------------	--	-----------	---

---

DESCRIPTION	AMOUNT
CHANGE IN DEFERRED FEDERAL EXCISE TAX	561,150.
TOTAL TO FORM 990-PF, PART III, LINE 3	561,150.

---



---

FORM 990-PF	CORPORATE STOCK	STATEMENT	9
-------------	-----------------	-----------	---

---

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHMENT A: PAGE 3 OF 4 - CORPORATE STOCK	48,513,091.	48,513,091.
TOTAL TO FORM 990-PF, PART II, LINE 10B	48,513,091.	48,513,091.

---



---

FORM 990-PF	CORPORATE BONDS	STATEMENT	10
-------------	-----------------	-----------	----

---

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHMENT A: PAGE 2 OF 4 - CORPORATE BONDS	23,144,627.	23,144,627.
TOTAL TO FORM 990-PF, PART II, LINE 10C	23,144,627.	23,144,627.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	11
-------------	-------------------	-----------	----

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHMENT A: PAGE 3 OF 4 - HEDGE FUNDS	FMV	11,266,677.	11,266,677.
SEE ATTACHMENT A: PAGE 4 OF 4	FMV	7,315,032.	7,315,032.
TOTAL TO FORM 990-PF, PART II, LINE 13		18,581,709.	18,581,709.

FORM 990-PF	DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT	STATEMENT	12
-------------	--	-----------	----

DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
OFFICE FURNISHINGS AND EQUIPMENT	115,190.	97,534.	17,656.
TOTAL TO FM 990-PF, PART II, LN 14	115,190.	97,534.	17,656.

FORM 990-PF	OTHER LIABILITIES	STATEMENT	13
-------------	-------------------	-----------	----

DESCRIPTION	BOY AMOUNT	EOY AMOUNT
EXCISE TAX	598,686.	1,948.
DEFERRED RENT LIABILITY	21,389.	25,196.
TOTAL TO FORM 990-PF, PART II, LINE 22	620,075.	27,144.

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS  
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 14

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
CHRISTINE K. CASSEL, MD 1 PENN PLAZA, FL. 47 NEW YORK, NY 10119-0002	DIRECTOR/CHAIR 1.00	9,500.	0.	0.
JAMES A. TULSKY, MD 1 PENN PLAZA, FL. 47 NEW YORK, NY 10119-0002	DIRECTOR/VICE CHAIR 1.00	8,500.	0.	0.
BERNARD LO, MD 1 PENN PLAZA, FL. 47 NEW YORK, NY 10119-0002	DIRECTOR/PRESIDENT/CEO 50.00	307,833.	58,026.	0.
T. DENNIS SULLIVAN 1 PENN PLAZA, FL. 47 NEW YORK, NY 10119-0002	DIRECTOR/TREASURER/SECRETA 1.00	13,500.	0.	0.
ANN ALPERS, JD 1 PENN PLAZA, FL. 47 NEW YORK, NY 10119-0002	DIRECTOR 1.00	11,500.	0.	0.
MARK BARNES, JD 1 PENN PLAZA, FL. 47 NEW YORK, NY 10119-0002	DIRECTOR 1.00	10,500.	0.	0.
GEORGE L. BUNTING, JR. 1 PENN PLAZA, FL. 47 NEW YORK, NY 10119-0002	DIRECTOR 1.00	0.	0.	0.
JASON KARLAWISH, MD 1 PENN PLAZA, FL. 47 NEW YORK, NY 10119-0002	DIRECTOR 1.00	13,500.	0.	0.
JENNIFER L. KLEIN, JD 1 PENN PLAZA, FL. 47 NEW YORK, NY 10119-0002	DIRECTOR 1.00	5,750.	0.	0.
PHYLLIS D. MEADOWS, PHD, MSN, RN 1 PENN PLAZA, FL. 47 NEW YORK, NY 10119-0002	DIRECTOR 1.00	0.	0.	0.
JOEL W. MOTLEY, JD 1 PENN PLAZA, FL. 47 NEW YORK, NY 10119-0002	DIRECTOR 1.00	10,500.	0.	0.

THE GREENWALL FOUNDATION

13-6082277

GAYLE PEMBERTON, PHD	DIRECTOR			
1 PENN PLAZA, FL. 47	1.00	3,750.	0.	0.
NEW YORK, NY 10119-0002				

RICHARD L. SALZER, JR., MD	DIRECTOR			
1 PENN PLAZA, FL. 47	1.00	8,500.	0.	0.
NEW YORK, NY 10119-0002				

TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		403,333.	58,026.	0.
--	--	----------	---------	----

FORM 990-PF	SUMMARY OF DIRECT CHARITABLE ACTIVITIES	STATEMENT	15
-------------	---	-----------	----

ACTIVITY ONE

THE FOUNDATION FACILITATES AND PROVIDES ONGOING MENTORING, PROFESSIONAL DEVELOPMENT AND ACADEMIC COLLABORATIONS FOR CLINICAL SCHOLARS AND ALUMNI OF THE FACULTY SCHOLARS PROGRAM TO IMPLEMENT THE GOALS OF THE GRANT-MAKING INITIATIVE. THIS IS CARRIED OUT PRINCIPALLY THROUGH ORGANIZING SEMI-ANNUAL MEETINGS WHERE SCHOLARS OBTAIN FEEDBACK ON THEIR RESEARCH AND MENTORING ON CAREER DEVELOPMENT AND LEADERSHIP SKILLS. THE FOUNDATION ALSO CONVENES AND FUNDS MEETINGS FOR GROUPS OF SCHOLARS TO MEET WITH EXPERTS ON SPECIFIC TOPICS, DISCIPLINES, OR RESEARCH METHODOLOGIES; CONVENES AND FUNDS MEETINGS OF THE GREENWALL FACULTY SCHOLAR PROGRAM COMMITTEE TO OVERSEE THE PROGRAM; MEETS WITH BIOETHICS EXPERTS ON HOW TO IMPROVE THE PROGRAM; AND DISCUSSES WITH APPLICANTS FOR BIOETHICS GRANTS HOW TO STRENGTHEN THEIR WORK. DURING 2015, THE FOUNDATION ADDED A FULL TIME PROGRAM STAFF PERSON WHOSE TIME WILL BE SPLIT BETWEEN THE FOUNDATION'S BIOETHICS PROGRAMS.

EXPENSES

TO FORM 990-PF, PART IX-A, LINE 1

173,964.



Holdings

FIXED INCOME

	Quantity	Market Price	Market Value / Accrued Income	Contributions/ Distributions To Date	Net Contribution To Date	Economic Gain (Loss)	
INVESTMENT GRADE FIXED INCOME							
BLACKROCK COREALPHA BOND FUND	580,962.364	10.3200	5,995,531.60			(219,809.15)	
BARCLAYS CAPITAL 1-3 YEAR CREDIT BOND INDEX FUND (ISHARES)	47,273.00	104.6000	4,944,755.80	105.4562	4,985,230.16	(40,474.36)	58,519.15
ISHARES 1-3 YR CREDIT BOND ETF Not Rated							
BARCLAYS US TIPS 0-5 YEAR INDEX FUND (VANGUARD)	75,622.00	48.3500	3,656,323.70	48.4143	3,661,188.94	(4,865.24)	
VANGUARD SHORT-TERM INFLATION PROTECTED SECURITIES INDEX FD ETF Not Rated							
TOTAL INVESTMENT GRADE FIXED INCOME			14,596,611.10	14,861,759.85	14,861,759.85	(265,148.75)	213,055.14

# Holdings (Continued)

Period Ended December 31, 2015

## FIXED INCOME (Continued)

	Quantity	Market Price	Market Value / Accrued Income	Contributions/ Distributions To Date	Net Contribution To Date	Economic Gain (Loss)
<b>OTHER FIXED INCOME</b>						
EATON VANCE INCOME FUND OF BOSTON						
EATON VANCE GROUP EATON VANCE INCM FD OF BOSTON MUTUAL FUND CLASS I SHARES	1,188,709 955	5 4000	6,419,033 76			(534,293 11)
TCW EMERGING MARKETS LOCAL CURRENCY INCOME FUND						
TCW EMERGING MARKETS LOCAL CURRENCY INCOME FUND N	275,062 261	7 7400	2,128,981 90			(392,437 02)
<b>TOTAL OTHER FIXED INCOME</b>			<b>8,548,015.66</b>	<b>9,474,745.79</b>		<b>(926,730.13)</b>
<b>TOTAL FIXED INCOME</b>			<b>23,144,626.76</b>	<b>24,336,505.64</b>		<b>(1,191,878.88)</b>
						<b>698,243.74</b>

## PUBLIC EQUITY

	Quantity	Market Price	Market Value / Accrued Income	Contributions/ Distributions To Date	Net Contribution To Date	Economic Gain (Loss)
<b>US EQUITY</b>						
BLACKROCK S&P 500 INDEX FUND						
BLACKROCK BLACKROCK S&P 500 INDEX FUND INSTITUTIONAL (BSPIX)	73,200 864	244 5200	17,899,075 27			(609,415 71)
SMALL CAP MANAGERS PORTFOLIO 1 [SERIES] (GMSSVC)	11,137 42	387 7100	4,318,090 66	4,275,000 00 0 00		43,090 66
MULTI-MANAGER U S DYNAMIC EQUITY FUND						
MULTI-MANAGER U S DYNAMIC EQUITY FUND I (MMUSX)	575,707 329	9 1900	5,290,750 35			(183,490 97)
DOW JONES US SELECT REIT INDEX FUND (SPDR)						
DJ WILSHIRE REIT ETF CMN ETF (RWR)	25,710 00	91 6300	2,355,807 30	96 4106	2,478,716 53	(122,909 23)
<b>TOTAL US EQUITY</b>			<b>29,863,723.58</b>	<b>30,736,448.83</b>		<b>(872,725.25)</b>
						<b>369,399.68</b>



Period Ended December 31, 2015

**PUBLIC EQUITY (Continued)**

	Quantity	Market Price	Market Value / Accrued Income	Contributions/ Distributions To Date	Net Contribution To Date	Economic Gain (Loss)	
<b>NON-US EQUITY</b>							
MULTI-MANAGER INTERNATIONAL EQUITY FUND							
MULTI-MANAGER INTERNATIONAL EQUITY FUND I (MMITX)	813,312 944	9 3400	7,596,342 90			(187,034 64)	
SSGA HEDGED INTERNATIONAL DEVELOPED EQUITY INDEX FUND							
STATE STREET HEDGED INTERNATIONAL DEVELOPED EQUITY INDEX FUND K CLASS (SSHDX)	614,423 860	9 0100	5,535,958 98			(458,586 15)	
SSGA EMERGING MARKET EQUITY INDEX FUND							
STATE STREET EMERGING MARKETS EQUITY INDEX FUND K CLASS (SSKEX)	325,315 780	9 9900	3,249,904 64			(3,253 16)	
DJ GBL EX-US SELECT REAL ESTATE SECURITIES INDEX FUND (SPDR)							
SPDR DJ WILSHIRE INTERNATIONAL REAL ESTATE ETF (RWX)	57,954 00	39 1200	2,267,160 48	42 7165	2,475,592 04	(208,431 56)	2 9429 66,720 30
<b>TOTAL NON-US EQUITY</b>			<b>18,649,367 00</b>	<b>19,506,672 51</b>		<b>(857,305 51)</b>	<b>2 9429 66,720 30</b>
<b>TOTAL PUBLIC EQUITY</b>			<b>48,513,090 58</b>	<b>50,243,121 34</b>		<b>(1,730,030 76)</b>	<b>1 9364 436,119 97</b>

**ALTERNATIVE INVESTMENTS**

	Market Value	Contributions To Date	Distributions To Date	Economic Gain (Loss)
<b>HEDGE FUNDS</b>				
HEDGE FUND OPPORTUNITIES	11,266,677 00	11,400,000 00	0 00	(133,323 00)



# Holdings (Continued)

Period Ended December 31, 2015

OTHER INVESTMENTS									
	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Adjusted Cost / Original Cost	Unrealized Gain (Loss)	Yield to Maturity / Current Yield	Estimated Annual Income	
ASSET ALLOCATION INVESTMENTS									
GS TACTICAL TILT IMPLEMENTATION FUND									
GS TACTICAL TILT IMPLEMENTATION FUND INST (TTIFX)	756,466.555	9.6700	7,315,031.59	9.7650	7,386,881.78	(71,850.19)			
			Market Value		Adjusted Cost / % Original Cost	Unrealized Gain (Loss)		Estimated Annual Income	
<b>TOTAL PORTFOLIO</b>			<b>90,485,297.64</b>		<b>93,612,380.47</b>	<b>(3,127,082.83)</b>		<b>1,135,081.89</b>	



**Part XV, line 2(a, b, c, d), Page 10**

- (a) Applications are submitted online at <https://www.grantrequest.com>. Links for each submission form are posted at [www.greenwall.org](http://www.greenwall.org).
- (b) Inquiries may be accepted at [admin@greenwall.org](mailto:admin@greenwall.org).
- (c) Application Forms
  - Faculty Scholars Program: See Attachment B1
  - Bioethics Grants Program (Making a Difference in Real-World Bioethics Dilemmas): See Attachment B2
- (d) Submission deadlines
  - Faculty Scholars Program: Letters of Intent by November 3, 2014
  - Bioethics Grants Program: Letters of Intent by January 12, 2015 (Spring Cycle 2015)
  - Bioethics Grants Program: Letters of Intent by August 3, 2015 (Fall Cycle 2015)
- (e) Restrictions or limitations on awards
  - Fields of Major Interest: Bioethics, Medical Ethics; Geographical area: US National



**New Bioethics Program, Spring 2015**  
***Making a Difference in Real-World Bioethics Dilemmas***

The Greenwall Foundation will fund a bioethics grants program, *Making a Difference in Real-World Bioethics Dilemmas* to support research to help resolve an important emerging or unanswered bioethics problem in clinical care, biomedical research, public health practice, or public policy. We hope these grants will have a real-world, practical impact.

Under this RFP, we will fund four types of bioethics research grants to begin on or after July 1, 2015.

1. Mentored projects
2. Senior collaborations,
3. Normative analyses of implications of empirical research conducted with other funding,
4. Empirical projects.

The first three types of proposals generally will receive priority if the requested time frame is one-year or less, and the annual budget is below \$60,000. Because of the nature of empirical projects, the fourth type of proposal may have longer timelines and larger annual budgets.

**1. Mentored research projects.** *Awards to a senior bioethics researcher to carry out a mentored bioethics research project with a post-doctoral fellow or junior faculty member.* The close mentoring will help ensure that the project is completed within a year. The Foundation will provide salary support for the effort of the mentor on the project. Projects where the mentee already has salary support will receive priority. Proposals in which the mentee has other responsibilities that compete with carrying out such a research project, like courses for a degree program and clinical responsibilities by resident physicians or fellows, will be considered only in exceptional circumstances. Mentored research projects may analyze the normative implications of empirical research conducted with other funding (as described in #3). For projects that involve secondary analysis of existing data sets, the team must include expertise in the obtaining, merging, and analysis of such datasets. For mentored projects, primary data collection will be considered only in exceptional circumstances. Proposals to collect pilot or preliminary data for a larger project will not be considered.

**2. Senior collaboration projects.** *Grants to allow innovative biomedical or clinical researchers or leaders of health care organizations or government agencies to partner with an established bioethics scholar to carry out research on the intersection of their primary work with bioethics.* For example, a

leading researcher in an innovative biomedical field could bring deep knowledge of that field to help analyze important unresolved bioethics problems in it. As another example, a physician-leader in a safety-net hospital or a public health agency could analyze ethical problems she or he had encountered and struggled with. Both collaborating senior scholars are eligible for salary support.

**3. Analyzing the normative implications of empirical research you are conducting with other funding.** Researchers able to obtain funding from other sponsors to carry out empirical research on a bioethics dilemma or issue, may lack support to write about the conceptual or normative implications of the findings of this empirical research. We will fund investigators to write conceptual or normative analyses, providing that the empirical study is well-designed and the findings interesting.

**4. Empirical bioethics research involving primary data collection.** We invite projects that involve the collection of primary data, are tightly linked to an active real-world bioethics problem or policy dilemma, and likely to contribute to its resolution. Priority will be given to important bioethics problems or policy questions, particularly those being actively debated by policy makers. The research team must demonstrate the ability to carry out such projects within the proposed time frame. Methodology should be rigorous, (e.g. attention to response rates, representativeness of the sample, and minimizing bias). These projects may have extended time frames and annual budgets larger than \$100,000, however, all other things being equal, cost conscious projects will receive priority; for example by adding questions to already-funded survey projects or using cost sharing arrangements such as with existing grants or research trainees whose salary is supported from other sources (provided that trainees do not have conflicting classwork or clinical responsibilities). Proposals to collect pilot or preliminary data for a larger project are discouraged. Partial salary support may be requested for staff to manage the budget/finances and administration as appropriate.

We expect grantees to disseminate their research through practical articles in one or more peer-reviewed journals that reach the appropriate audience for the topic studied, through presentations in relevant national and international professional meetings, and in other ways that will increase real-world impact.

Examples of the kinds of real-life bioethics problems grantees might address include:

- **Ethical and policy implications of advances in neurosciences and related disciplines. *This is a new emphasis area for this funding cycle.*** These advances are transforming concepts of health and disease, understandings of what is normal human development and aging versus disease, and our capacity to intervene on brain function to change human behavior. These advances are having clinical impact, for example in

concepts of "preclinical" or "prodromal" disease stages and technologies that can alter brain function. The Foundation encourages projects that will address two ethical and policy challenges related to these advances: (1) the design and conduct of research; and (2) the translation and dissemination of research results into clinical practice and daily life; including legal, social, and cultural issues.

- Dilemmas raised by innovative biomedical research and by new communication technologies in clinical medicine.
- Dilemmas from major changes in the delivery of U.S. health care resulting from the Affordable Care and Patient Protection Acts, changes in the FDA regulatory process, and private initiatives.
- Dilemmas that are particularly salient -- and particularly ripe for analysis -- in certain cultural and ethnic communities, although they also involve people across the population.

In evaluating proposals The Foundation will consider.

- The real-world importance of the bioethics problem to be studied and the likelihood the project will have a constructive real-world impact.
- The appropriateness and rigor of the methods, analysis plan, strategy, and approach
- The innovative nature of the project's approach and how it goes beyond previous work on the issue.
- The professional background of the proposed investigators, and their close, practical familiarity with the bioethics problems to be addressed.
- The previous success of the principal investigator in carrying out similar projects (mentoring, collaboration, normative implication of empirical research, or primary data collection).
- The success of the investigators publishing practical bioethics articles, similar to what is proposed, in top-tier journals with a broad audience.
- The value added by interdisciplinary collaboration.
- The reasonableness of the budget. All things being equal, projects with smaller budgets will receive priority.

While we will give strong preference to proposals that meet these criteria, we will also consider exceptional proposals outside these criteria that meet our strategic goal of supporting bioethics research that will have a real-world impact. More than one applicant may apply from each institution, but primary investigators may submit only one letter of intent per funding cycle.

Projects with the following characteristics will **not** be funded under this initiative:

- Projects that implement or make incremental improvements in established approaches to bioethics problems, build institutional infrastructure, or provide bioethics education, training or course work.
- Projects that simply describe or analyze bioethics issues or provide a conceptual framework, without making practical recommendations for resolving the issues. However, projects that present normative recommendations that are based on previous empirical research are encouraged (project category three).
- Proposals to gather pilot or preliminary data for a larger project.
- Projects whose main goal is to convene or enhance a meeting.
- Projects to support or extend ongoing or core activities of an organization.
- Applications from unaffiliated individuals and from institutions outside the U.S. The Greenwall Foundation awards grants only to tax-exempt institutions in the U.S.

We welcome e-mail inquiries about this initiative to [rboxt@greenwall.org](mailto:rboxt@greenwall.org).

**Application Process: Spring 2015**

**Letters of Inquiry Due by January 12, 2015**

**Full Proposals Due by March 9, 2015**

**Projects to commence on or after July 1, 2015**

**Directions for Submitting a Letter of Intent**

Click on [https://www.grantrequest.com/SID\\_1837?SA=SNA&FID=35006](https://www.grantrequest.com/SID_1837?SA=SNA&FID=35006)

In order to access the online application, you must allow cookies in your browser. If you have any issues accessing the online application, a common fix is to clear your browsing history.

You will need to create a username and password by clicking on "New Applicant?" under the "email" box on the left side of the page. Please store your login credentials in a secure place because you will need them to access your application. If you have applied for a Greenwall grant previously using our online platform, please enter your credentials.

You will need to save your application frequently so that your input is captured; any unsaved information will be lost. There is no option to save and continue working, so you must select "Save and Finish Later". You can return to your "In Progress" application by clicking on the blue link on the new page that will appear in your browser. Upon clicking "Save and Finish Later," you also will be emailed a link to the application portal and a copy of your application will appear in the body of the email.

To access your application after you have logged out of the online application system, use the link that was emailed to you when you clicked "Save and Finish Later". It is important to use the link that is emailed to you to resume work on an application. If you use the link listed here, you will always be brought to a NEW application, not the one you were previously working on.

If you have any questions or concerns regarding the online application process, please contact Rebekkah Bost by email at [rbost@greenwall.org](mailto:rbost@greenwall.org) or phone at 212-679-7266. We will not accept late applications because of technical issues with the online application portal, so please try logging in and entering your information in advance of the deadline.

Letters of intent require the following information be entered into our online application from:

- PI Contact information

- Title
- Type of project: senior collaboration, mentored project, normative implications, primary data collection, and clinical trials data sharing
- The amount and duration of funding requested (Salaries for investigators are capped at 1.5x the 2015 NIH cap for the basis of the percent effort allocation and fringe rates will be capped at 35%)
- A one sentence summary of the project for a lay audience
- Names of the proposed research team and copies of CV's (no more than 3 pages each, highlighting publications relevant to the application) of the two main investigators (or mentee and mentor), all in NIH-style biosketch format.
- A narrative organized around the following headers:
  - The bioethics problem to be addressed
  - The specific aims or research questions of the project
  - How the proposed project is innovative and goes beyond the current work on the problem, particularly in its potential to have a real-world impact
  - The nature of peer-reviewed publication(s) expected from the project and how the target journal audience includes key individuals who can change practice or policy

This narrative should be no longer than 3 double-spaced pages plus references. Please do not send any additional information. Please title it: "PI Last Name, Institution, Project Title."

**Letters of intent for this cycle must be submitted online by January 12, 2015 at 4:00pm ET.** However we ***strongly*** encourage applicants to submit letters of intent earlier, so that they have more time to respond to feedback from reviewers, if invited to submit a full proposal. Full proposals will be due March 9, 2015, with project periods to start on or after July 1, 2015. Because of the relatively tight deadline for full proposals, with financial information approved by an appropriate officer, there may be some allowance for budget deadlines. However, priority will be given to fully completed proposals.

We will fund another cycle of grants in Fall 2015. The request for proposals and due date for this cycle will be announced in the Summer of 2015.



**New Bioethics Program, Fall 2015**  
***Making a Difference in Real-World Bioethics Dilemmas***

The Greenwall Foundation will fund a bioethics grants program, *Making a Difference in Real-World Bioethics Dilemmas* to support research to help resolve an important emerging or unanswered bioethics problem in clinical care, biomedical research, public health practice, or public policy. We hope these grants will have a real-world, practical impact.

Examples of real-life bioethics problems that we would like applicants to address include:

- **Ethical and policy issues related to the increasing use of big data and mobile health applications in clinical care and research.** Specific issues of interest include privacy issues regarding such data and ethical issues concerning precision medicine. *This is a new priority topic for this cycle.* Priority for funding will be to collaborative projects involving a bioethics scholar and persons working in big data, mobile health, precision medicine, or privacy.
- **Ethical issues arising in clinical and system interventions to reduce health disparities and in carrying out research in health disparities.** *This is a new priority topic for this cycle.*
- **Ethical issues concerning the loss of cognitive, procedural, and physical skills in physicians because of age or illness.** *This is a new priority topic for this cycle.*
- Ethical dilemmas raised by innovative biomedical research, including proposals to carry out human germ line modification.
- Ethical and policy implications of advances in neurosciences. The Foundation encourages projects that address ethical issue in: (1) the design and conduct of research regarding these advances; and (2) the translation of research results into clinical practice and daily life.
- Dilemmas from major changes in the delivery of U.S. health care resulting from the Affordable Care and Patient Protection Acts, changes in the FDA regulatory process, and private initiatives.
- Dilemmas that are particularly salient -- and particularly ripe for analysis -- in certain cultural and ethnic communities, although they also involve people across the population.

In evaluating proposals The Foundation will consider:

- The real-world importance of the bioethics problem to be studied and the likelihood the project will have a constructive real-world impact.
- The innovative nature of the project's approach and how it goes beyond previous work on the issue.
- The appropriateness and rigor of the methods, analysis plan, strategy, and approach.
- The professional background of the proposed investigators, and their close, practical familiarity with the bioethics problems to be addressed. Priority will be given to collaborative projects involving a bioethics scholar and researchers and clinicians working on the topic of the proposal.
- The previous success of the principal investigator in carrying out similar projects (mentoring, collaboration, normative implication of empirical research, or primary data



collection) Young investigators who have not previously published results from a bioethics project are advised to apply for a mentored project (see #4 below).

- The success of the investigators publishing practical bioethics articles, similar to what is proposed, in top-tier journals with a broad audience.
- The value added by interdisciplinary collaboration.
- The reasonableness of the budget. The amount and duration of funding requested (Salaries for investigators are capped at 1.5x the 2015 NIH cap for the basis of the percent effort allocation and fringe rates will be capped at 35%). All things being equal, projects with smaller budgets will receive priority.

Under this RFP, we will fund four types of bioethics research grants to begin on or after January 1, 2016:

1. Senior collaborations,
2. Normative analyses of implications of empirical research conducted with other funding,
3. Empirical projects.
4. Mentored projects

**1. Senior collaboration projects.** Grants to allow innovative biomedical or clinical researchers or leaders of health care organizations or government agencies to partner with an established bioethics scholar to carry out research on the intersection of their primary work with bioethics. For example, a leading researcher in innovative biomedical research could bring deep knowledge of that field to help analyze important unresolved bioethics problems in it. As another example, a physician-leader in a safety-net hospital or a public health agency could analyze ethical problems she or he had encountered and struggled with. Both collaborating senior scholars are eligible for salary support.

**2. Analyzing the normative implications of empirical research being conducted with other funding.** Researchers who have obtained funding from other sponsors to carry out empirical research on a bioethics dilemma or issue may lack support to write about the conceptual or normative implications of the findings of this empirical research. We will fund investigators to write conceptual or normative analyses, providing that the empirical study is well-designed and the findings interesting.

**3. Empirical bioethics research involving primary data collection.** We invite projects that involve the collection of primary data, are tightly linked to an active real-world bioethics problem or policy dilemma, and likely to contribute to its resolution. Priority will be given to important bioethics problems or bioethics policy questions, particularly those being actively debated by policy makers. The research team must demonstrate the ability to carry out such projects within the proposed time frame. Methodology should be rigorous, (e.g. attention to response rates, representativeness of the sample, and minimizing bias). These projects may have extended time frames and annual budgets larger than \$100,000, however, all other things being equal, cost conscious projects will receive priority; for example by adding questions to already-funded survey projects or using cost sharing arrangements such as with existing grants or research trainees whose salary is supported from other sources (provided that trainees do not have conflicting classwork or clinical responsibilities). Partial salary support may be requested for staff to manage the budget/finances and administration as appropriate. Proposals may be submitted to collect pilot or preliminary data for a larger project to be funded by another source.

**4. Mentored research projects.** Awards to a senior bioethics researcher to carry out a mentored bioethics research project with a post-doctoral fellow or junior faculty member. The close mentoring will help ensure that the project is completed within a year. The Foundation will provide salary support for the effort of the mentor on the project. Projects where the mentee already has salary support will receive priority. Proposals in which the mentee has other responsibilities that

compete with carrying out such a research project, like courses for a degree program and clinical responsibilities by resident physicians or fellows, will be considered only in exceptional circumstances. Mentored research projects may analyze the normative implications of empirical research conducted with other funding (as described in #2). For projects that involve secondary analysis of existing data sets, the team must include expertise in the obtaining, merging, and analysis of such datasets. For mentored projects, primary data collection will be considered only in exceptional circumstances. Proposals to collect pilot or preliminary data for a larger project for the mentee will not be considered.

The Greenwall Foundation will fund 10% indirect costs to the grantee institution for salary and benefits only.

We expect grantees to disseminate their research through practical articles in one or more peer-reviewed journals that reach the appropriate audience for the topic studied, through presentations in relevant national and international professional meetings, and in other ways that will increase real-world impact.

While we will give strong preference to proposals that meet these criteria, we will also consider exceptional proposals outside these criteria that meet our strategic goal of supporting bioethics research that will have a real-world impact. More than one applicant may apply from each institution, but primary investigators may submit only one letter of intent per funding cycle.

Projects with the following characteristics will **not** be funded under this initiative:

- Projects that implement or make incremental improvements in established approaches to bioethics problems, build institutional infrastructure, or provide bioethics education, training or course work.
- Projects that simply describe or analyze bioethics issues or provide a conceptual framework, without making practical recommendations for resolving the issues. However, projects that present normative recommendations that are based on previous empirical research are encouraged (project category three)
- Projects whose main goal is to convene or enhance a meeting, unless there is a well-developed plan to produce a peer-reviewed publication resulting from the meeting.
- Projects to support or extend ongoing or core activities of an organization.
- Projects with a principal investigator that does not have one of the following graduate degrees: PhD, JD, MD, or its equivalent
- Applications from unaffiliated individuals and from institutions outside the U.S. The Greenwall Foundation awards grants only to tax-exempt institutions in the U.S.

Please e-mail inquiries about this initiative to [rboxt@greenwall.org](mailto:rboxt@greenwall.org).

**Application Process: Fall 2015**

**Letters of Inquiry Due by August 3, 2015**

**Invited Full Proposals Due by September 15, 2015**

**Projects to commence on or after January 1, 2016**

**Directions for Submitting a Letter of Intent**

Click on [https://www.grantrequest.com/SID\\_1837?SA=SNA&FID=35006](https://www.grantrequest.com/SID_1837?SA=SNA&FID=35006)

In order to access the online application, you must allow cookies in your browser. If you have any issues accessing the online application, a common fix is to clear your browsing history.

You will need to create a username and password by clicking on "New Applicant?" under the "email" box on the left side of the page. Please store your login credentials in a secure place because you will need them to access your application. If you have applied for a Greenwall grant previously using our online platform, please enter your credentials.

You will need to save your application frequently so that your input is captured; any unsaved information will be lost. There is no option to save and continue working, so you must select "Save and Finish Later". You can return to your "In Progress" application by clicking on the blue link on the new page that will appear in your browser. Upon clicking "Save and Finish Later," you also will be emailed a link to the application portal and a copy of your application will appear in the body of the email.

To access your application after you have logged out of the online application system, use the link that was emailed to you when you clicked "Save and Finish Later". It is important to use the link that is emailed to you to resume work on an application. If you use the link listed here, you will always be brought to a NEW application, not the one you were previously working on.

If you have any questions or concerns regarding the online application process, please contact Rebekkah Bost by email at [rbost@greenwall.org](mailto:rbost@greenwall.org) or phone at 212-679-7266. We will not accept late applications because of technical issues with the online application portal, so please try logging in and entering your information in advance of the deadline.

Letters of intent require the following information be entered into our online application from:

- PI Contact information (please do not input administrative assistant information, this should be the best contact information for the principle investigator)
- Title
- Type of project: senior collaboration, normative implications, empirical, and mentored project
- The amount and duration of funding requested (Salaries for investigators are capped at 1.5x the 2015 NIH cap for the basis of the percent effort allocation and fringe rates will be capped at 35%)
- A one sentence summary of the project for a lay audience
- Names of the proposed research team and copies of CV's (no more than 3 pages each, single-spaced, highlighting publications relevant to the application) of the two main investigators (or mentee and mentor), all in NIH-style biosketch format.
- A narrative organized around the following headers:
  - The bioethics problem to be addressed
  - The specific aims or research questions of the project
  - How the proposed project is innovative and goes beyond the current work on the problem, particularly in its potential to have a real-world impact
  - The nature of peer-reviewed publication(s) expected from the project and how the target journal audience includes key individuals who can change practice or policy.

This narrative should be no longer than 3 double-spaced pages plus references. Please do not send any additional information. Please title it: "PI Last Name, Institution, Project Title."

**Letters of intent for this cycle must be submitted online by August 3, 2015 at 4:00pm ET.**

However we ***strongly*** encourage applicants to submit letters of intent earlier, so that they have more time to respond to feedback from reviewers, if invited to submit a full proposal. Full proposals will be due September 15, 2015, with project periods to start on or after January 1, 2016. Because of the relatively tight deadline for full proposals, with financial information approved by an appropriate officer, there may be some allowance for budget deadlines. However, priority will be given to fully completed proposals.

We will fund another cycle of grants in Spring, 2015. The request for proposals and due date for this cycle will be announced in the Winter, 2015.



### **The Greenwall Faculty Scholars Program**

The Greenwall Faculty Scholars Program in Bioethics is a career development award to enable junior faculty members to carry out innovative bioethics research. Each year around three Greenwall Faculty Scholars are selected to receive 50 percent salary support for three years to enable them to develop their research program.

The Greenwall Faculty Scholars Program in Bioethics supports research that goes beyond current work in bioethics to help resolve pressing ethical issues in clinical care, biomedical research, and public policy.

Scholars and Alumni/ae attend twice-yearly meetings, where they present their work in progress, receive feedback and mentoring from the Faculty Scholars Program Committee and other Scholars, and have the opportunity to develop collaborations with other researchers. The ongoing involvement of Alumni/ae with the Program provides them ongoing opportunities for professional development and feedback and engages them in mentoring of younger Scholars. The Greenwall Faculty Scholars Program creates a community that enhances future bioethics research by Scholars and Alumni/ae.

The Faculty Scholars Program Committee provides oversight and direction for the program and is involved not only with selection of the Scholars but with mentoring and faculty development activities.

#### **Who may apply?**

Applicants must be junior faculty members holding at least a 60% appointment in a tenure series at a university or non-profit research institute in the U.S. Priority will be given to applicants who have not yet been considered for tenure, who have not received a comparable career development award, and whose work will have an impact on public policy, biomedical research, or clinical practice.

Faculty Scholars will be selected on the basis of their achievements, the strength of their research project, their commitment to the field of bioethics, and support from their home institution. While the amount and quality of an applicant's research in bioethics will count favorably towards his/her application, outstanding candidates with less direct experience in bioethics will also be considered.

Within this group, priority will be given to applicants whose research addresses innovative and emerging topics. Lower priority will be given to applicants who are primarily carrying out institutional change, educational reform, or theoretical bioethics research.

Please note: Up to two applicants from a university will be considered in each application cycle. Institutions are requested to have an internal screening and selection process. No more than one award per institution will be made in each Faculty Scholars grant cycle. The unit of award will be the overseeing university, thus if a university with a law school, medical school, several teaching hospitals, and a faculty of arts and sciences, nominates two applicants in a cycle, only one may be chosen.

### **Funding for Greenwall Faculty Scholars**

The award supports 50% of a Scholar's salary plus benefits for three years, up to the NIH salary cap, with 10% institutional costs for the salary and benefits. In addition, we provide \$5000 each year for limited project support and travel (no indirect costs are provided for these items).

#### ***What does the Faculty Scholars Program Committee look for in applications?***

1. The proposed project. Does it address an important bioethics issue in an innovative way? Does the application show how the project will make a significant advance beyond what has already been published on the topic? Is the applicant thinking about the conceptual and normative ethical issues regarding the topic in a rigorous and creative way?

We commonly receive proposals to carry out an empirical study of a topic that has a bioethics component. The most successful of these proposals have already conducted enough empirical research to allow the applicant to discuss what conceptual or normative bioethics issues they will focus on. Because the Greenwall Faculty Scholar award supports only effort of the Scholar, other support will be needed to collect and analyze new empirical data. Applicants who propose to carry out focus groups and a survey on a topic that intersects with bioethics, without a strong conceptual framework, normative analysis, and plans for mentoring on these research methodologies are unlikely to be successful. Applicants who are extending previous empirical research to a new population or clinical condition are unlikely to be successful unless there is a persuasive demonstration of how their proposed work is innovative.

2. Demonstrated ability to carry out innovative bioethics research. At the full application stage of the selection process, the Faculty Scholars Program Committee carefully reads a peer-reviewed first-authored bioethics paper written by the applicant that has been published or is in press. Because this demonstrated publication of bioethics research is given great weight, applicants who have not yet published a strong bioethics article will not be successful. Scholars who have published a book should submit a chapter that best indicates the quality of their thinking in bioethics.

#### ***What Bioethics Activities Do We Not Fund?***

There are a number of bioethics-related activities that we do not fund.

- We do not fund Scholars to carry out bioethics teaching, institutional change, or quality improvement on bioethical issues. We expect, however, that Greenwall Faculty Scholars, and the students they teach, will do such activities during their careers.
- We do not fund theoretical ethics research without clear application to pressing, real-world problems in patient care, biomedical research, or public policy
- We do not fund survey research or qualitative research that touches on a bioethics issue unless there is a strong a conceptual analysis of the bioethics issue or thoughtful analysis of the bioethics implications of the empirical findings. We are, however, interested in bioethics researchers who want to work on conceptual or normative analysis linked to their empirical findings.
- We do not fund basic science research that has implications for a bioethics issue.
- We do not fund bioethics work directed towards predetermined conclusions.

As a nonprofit organization, we do not support or engage in political advocacy.

### **Submitting a Letter of Intent**

Letters of intent must be submitted online by 4pm ET on Monday, November 3, 2014 using this link, [https://www.grantrequest.com/SID\\_1837/?SA=SNA&FID=35009](https://www.grantrequest.com/SID_1837/?SA=SNA&FID=35009).

The letter of intent should combine the following into a single .pdf titled, "PI Last Name, PI's Institution, Project Title":

1. A one page cover letter including the project title and the applicant's contact information
2. A three-page letter of intent that includes:
  - a. A description of the research proposal, particularly its significance
  - b. How the research will be carried out and how it is likely to have an impact on public policy or clinical practice
  - c. A personal statement describing the applicant's goals in the field of bioethics
3. A curriculum vitae, no longer than 3 single-spaced pages

Approximately 12 applicants submitting letters of intent will be invited to submit full applications, which will be due Friday, January 30, 2015. Approximately six applicants will be invited to in-person interviews in Chicago on April 21-22, 2015.

### **Directions for Submitting a Letter of Intent**

You will need to upload the .pdf of the combined components described above into our online grants application system. We recommend using Adobe Acrobat (instructions available [here](#)). If you are unfamiliar with combining documents, please contact your IT specialist for assistance.

In order to access the online application, you must allow cookies in your browser. If you have any issues accessing the online application, a common fix is to clear your browsing history.

Click on [https://www.grantrequest.com/SID\\_1837/?SA=SNA&FID=35009](https://www.grantrequest.com/SID_1837/?SA=SNA&FID=35009)

You will need to create a username and password by clicking on "New Applicant?" under the "email" box on the left side of the page. Please store your login credentials in a secure place because you will need them to access your application.

You will need to save your application frequently so that your input is captured; any unsaved information will be lost. There is no option to save and continue working, so you must select "Save and Finish Later". You can return to your "In Progress" application by clicking on the blue link on the new page that will appear in your browser. Upon clicking "Save and Finish Later," you also will be emailed a link to the application portal and a copy of your application will appear in the body of the email.

To access your application after you have logged out of the online application system, use the link that was emailed to you when you clicked "Save and Finish Later". It is important to use the link that is emailed to you to resume work on an application. If you use the link listed here, you will always be brought to a NEW application, not the one you were previously working on.

We will not accept late applications because of technical issues with the online application portal, so please try logging in and entering your information in advance of the deadline.

THE GREENWALL FOUNDATION  
EIN# 13-6082277

**Questions and Concerns**

Questions should be directed to Bernard Lo, MD, Greenwall Foundation President, or the  
Greenwall Faculty Scholars Program Coordinator, Rebekkah Bost, at  
[rbost@greenwall.org](mailto:rbost@greenwall.org)



**The Greenwall Foundation  
Grants Paid in 2015**

**EIN#13-6082277**

<b>Grant Name and Address</b>	<b>Purpose</b>	<b>Grant Amount</b>
American Bar Association 1050 Connecticut Avenue NW, #400 Washington DC 20036	Bioethics Spring 2015, Research and Recommendations on Restoration of Rights in Adult Guardianship	\$64,915
American Field Service-USA 120 Wall Street New York, NY 10005	In honor of Gayle Pemberton, PhD	\$10,000
American Friends of Leket Israel PO Box 2090 Teaneck, NJ	In honor of Baruch Brody, PhD	\$10,000
Baylor College of Medicine McNair Campus, 7200 Cambridge St., 9th Floor, MS NB302 Houston, TX 77030	Leadership Development for Faculty Scholars	\$117,423
Baylor College of Medicine McNair Campus, 7200 Cambridge St , 9th Floor, MS NB302 Houston, TX 77030	Bioethics Fall 2013, "Ethically Responsible Choice Architecture in Medical Decision Making" (Blumenthal- Barby)	\$60,500
Baylor College of Medicine McNair Campus, 7200 Cambridge St , 9th Floor, MS NB302 Houston, TX 77030	Greenwall Faculty Scholars Philosophical Bioethics Seminar Series	\$154,183
Brigham and Women's Hospital 75 Francis Street Boston, MA 02115	FSP Class of 2016 Access to Drugs and Devices That Have Limited Supporting Data Ethical Implications for Patients and Physicians (Aaron Kesselheim, MD, JD, MPH)	\$71,073
Cleveland Clinic Foundation 9500 Euclid Avenue Cleveland, OH 44195-5521	Bioethics Fall 2014, Physician Disclosures in the Real World of Conflicting Interests and Off-Label Prescribing	\$187,570
Community-Campus Partnerships 1107 NE 45th Street, Suite 345 Seattle, WA 98105	Bioethics Spring 2014, Research Ethics Reconsidered in the Context of Community-Engaged Research	\$72,028
Columbia University 435 West 116th Street New York, NY 10027	towards endowing the Harvey J Goldschmid Professor of Law	\$25,000
Duke University 2400 Pratt Street, Room 0311 Durham, NC 27705	Bioethics Fall 2014, Toward Policies that Accomodate the Concerns of American Americans in Resolving Disputes about the Use of Life-Sustaining Technology	\$144,121
Emory University 1599 Clifton Road Atlanta, GA 30322	Presidential Award: Reframing Research Informed Consent. A Function-Based Approach	\$16,700

**The Greenwall Foundation  
Grants Paid in 2015**

**EIN#13-6082277**

<b>Grant Name and Address</b>	<b>Purpose</b>	<b>Grant Amount</b>
Emory University 1599 Clifton Road Atlanta, GA 30322	FSP Class of 2016 An Integrated Approach to Consent-related Challenges in Acute Cardiology Research (Neal W. Dickert, MD, PhD)	\$69,455
Fordham University 113 West 60th Street New York, NY 10023	FSP Class of 2016 The Role of Race and Law in the Use and Development of Assisted Reproductive Technology, Controlled Substances, and Epigenetics Research (Kimani Paul-Emile JD PhD)	\$76,526
Foundation Center 79 Fifth Avenue/16th Street New York, NY 10003-3076	General support for 2015	\$5,000
Guidestar 4801 Courthouse Street, Suite 220 Williamsburg VA 23188-2678	General support for 2015	\$5,000
Houston Food Bank 535 Portwall Street Houston, TX 77029	In honor of Baruch Brody, PhD	\$10,000
Johns Hopkins University 3910 Keswick Road Baltimore, MD 21211	FSP Scholar Class of 2018, Medicine at a Distance: The Recurring Ethical Challenge of Telemedicine	\$44,738
Johns Hopkins University C/o Bank of America 12529 Collections Center Drive Chicago IL 60693	Bioethics Spring 2015, Justice-Enhanced Economic Evaluation of New Technologies for Gambiense Human African Trypanosomiasis (HAT)	\$59,950
Massachusetts General Hospital Institute for Health Policy 50 Staniford Street 9th Floor Boston, MA 02114	(Moved from Duke to MGH) Bioethics Fall 2013, Does Comparative Effectiveness Research Promote Rationing or Rational Cancer Care?	\$8,117
Mayo Clinic P.O. Box 860334 Minneapolis, MN 55486-0334	When the fetus becomes a patient the ethics of fetal surgery.	\$83,285
National Academies of Sciences 2101 Constitution Avenue, N W Washington, DC 20418	The Greenwall Foundation Bioethics Fellowship at the Institute of Medicine	\$826,000
National Academies of Sciences 2101 Constitution Avenue, N.W. Washington, DC 20418	Bioethics Award, Human Gene Editing Scientific, Medical and Ethical Considerations	\$300,000
Nonprofit Coordinating Committee of New York 1350 Broadway, Suite 1801 New York NY 10018-7802	General support for 2015	\$3,000
Ohio State University Foundation 2194 Graves Hall 333 West 10th Ave Columbus OH 43210	FSP Scholar Class of 2017, The Military Biomedical Complex How National Security Impacts Military Medicine and Research	\$52,882

**The Greenwall Foundation  
Grants Paid in 2015**

**EIN#13-6082277**

<b>Grant Name and Address</b>	<b>Purpose</b>	<b>Grant Amount</b>
Oregon Health & Science University 3181 SW Sam Jackson Park Road Portland OR 97239	Bioethics Fall 2014, The Normative Significance of the Optimistic Bias for Informed Consent to Clinical Research.	\$59,125
Philanthropy New York 1500 Broadway, 7th Floor New York, NY 10036	General support for 2015	\$5,850
Regents of the University of Michigan Box 22313 Pittsburgh PA 15251-2131	Bioethics Spring 2014, Evaluating Patient Perspectives to Inform the Ethical Implementation of a Rapid Learning System for Oncology Care	\$76,186
Regents of the University of Colorado Denver North Grant Street, Suite 400 Denver CO 80203	FSP Scholar Class of 2017, Decision Making From Neuroscience to Public Policy	\$39,752
Seattle Children's Hospital Foundation 1900 Ninth Ave Seattle WA 98101	FSP Scholar Class of 2018, When Parents Refuse or Delay Childhood Vaccines Implications for Shared Decision-Making	\$51,264
Southern Poverty Law Center 400 Washington Avenue Montgomery, AL 36104	In honor of Gayle Pemberton, PhD	\$10,000
Stanford University 3160 Porter Drive, Suite 100 Palo Alto, CA 94304-8445	Bioethics Fall 2014, Assessing the impact of videos and comics on knowledge and attitudes about randomization for research on medical practices	\$199,730
Stanford University 3160 Porter Drive, Suite 100 Palo Alto, CA 94304-8445	Bioethics Fall 2014, Clinical Trial Participants' Attitudes Towards Expanded Access to Participant-Level Clinical Trial Data	\$252,399
Stanford University 3160 Porter Drive, Suite 100 Palo Alto, CA 94304-8445	Bioethics Spring 2014, Understanding the Lifecycle of the Perceived Legitimacy of Public Health Laws	\$99,014
The Regents of the University of Michigan 3003 South State St , Rm 1068, SPC 1274 Ann Arbor, MI 48109	Bioethics Spring 2015, Toward a consensus operational definition of child assent for research	\$44,750
Trustees of Indiana University 550 North University Blvd Indianapolis, IN 46202	Bioethics Spring 2014, What do surrogate decision makers mean by goals of care for seriously ill older adults?	\$25,000
Trustees of the University of Pennsylvania 3451 WALNUT STREET PHILADELPHIA, PA 19104-6205 Philadelphia, PA 19104	FSP Class of 2016: Empirical and Normative Analyses of the Ethics of Manipulating Choice Architecture in Organ Donor Registration (Peter P. Reese, MD, MSCE)	\$65,097

**The Greenwall Foundation**  
**Grants Paid in 2015**

**EIN#13-6082277**

<b>Grant Name and Address</b>	<b>Purpose</b>	<b>Grant Amount</b>
Trustees of the University of Pennsylvania 3451 WALNUT STREET PHILADELPHIA, PA 19104-6205 Philadelphia, PA 19104	Bioethics Spring 2015, The Prodromal Schizophrenia Empirical and Ethical Nexus (PSEEN): Normative Implications	\$55,009
University of California, San Diego 3020 Children's Way, Suite 209 San Diego, CA 92123	Bioethics Fall 2014, Latino Perspectives on Data-sharing in Psychosis Research	\$104,764
University of California, San Francisco 3333 California Street, Suite 340 San Francisco, CA 94118	FSP Scholar Class of 2017, Informed Consent for Catholic Hospital Obstetric Patients Regarding the Effects of Doctrine on Care	\$45,339
University of Chicago 5841 South Maryland Ave, MC5000 Chicago IL 60637	FSP Scholar Class of 2018, Shared Decision-Making Among Vulnerable Populations The Confluence of Bioethics, Health Disparities and Medical Decision-Making	\$66,772
University of Houston 4604 Calhoun Road Houston, TX 772046381	FSP Scholar Class of 2018, Genetic Property and Personhood The Role of Ownership in Genetic Research	\$52,368
University of North Carolina at Chapel Hill Carrington Hall CB #7460 Chapel Hill NC 27278	FSP Scholar Class of 2018, Clinical Ethics, Communication, and Physician Aid-in-Dying	\$34,057
University of North Carolina at Chapel Hill Carrington Hall CB #7460 Chapel Hill NC 27278	Presidential Award NPRM for Revisions to the Common Rule	\$25,000
University of Washington 12455 Collections Drive Chicago IL 60693	Bioethics Spring 2015, Advancing the Concept of Patient Self-Management	\$71,536
University of Wisconsin Foundation 21 North Park Street Suite 6401 Madison WI 53705	Bioethics Spring 2015, Failure To Die In Time. Exploration of Experiences and Attitudes of Family Members of Donors Involved in Donation after Circulatory Death	\$69,612
Yale University P O Box 208239 New Haven, CT 06520-8239	Bioethics Fall 2014, Balancing non-maleficence and autonomy Establishing ethical risk thresholds for living kidney donors	\$139,977
<b>Total</b>		<b>\$4,070,067</b>

**The Greenwall Foundation**  
**Remaining Payments of Current Grants Awarded**

**EIN#13-6082277**

<b>Grant Name and Address</b>	<b>Purpose</b>	<b>Grant Amount</b>
Altarum Institute 3520 Green Court #300 Ann Arbor, MI 48105	Bioethics Fall 2015, Healthcare Ethics Consultation (HEC) in U.S. Hospitals: Current Practices and Perspectives of HEC Practitioners and Hospital Administrators	\$306,864
Brigham and Women's Hospital 75 Francis Street Boston, MA 02115	FSP Class of 2016. Access to Drugs and Devices That Have Limited Supporting Data. Ethical Implications for Patients and Physicians (Aaron Kesselheim, MD, JD, MPH)	\$71,072
Brigham and Women's Hospital 75 Francis Street Boston, MA 02115	Bioethics Fall 2015, Ethical Issues in Prescription Drug Access under Restricted Distribution Programs	\$183,393
Brown University 164 Angell Street, Box 1877 Providence, RI 02912	Bioethics Fall 2015, Autonomy in the balance: Incorporating user preferences for ethical decision making about remote monitoring technologies in elder care	\$52,980
Duke University 2400 Pratt Street, Room 0311 Terrace Level, Durham, NC 27705	Bioethics Fall 2014, Toward Policies that Accommodate the Concerns of American Americans in Resolving Disputes about the Use of Life-Sustaining Technology	\$144,122
Emory University 1599 Clifton Road Atlanta, GA 30322	FSP Class of 2016: An Integrated Approach to Consent-related Challenges in Acute Cardiology Research (Neal W. Dickert, MD, PhD)	\$69,455
Fordham University 113 West 60th Street New York, NY 10023	FSP Class of 2016: The Role of Race and Law in the Use and Development of Assisted Reproductive Technology, Controlled Substances, and Epigenetics Research (Kimani Paul-Emile, JD, PhD)	\$76,526
Johns Hopkins University 3910 Keswick Road Baltimore, MD 21211	FSP Scholar Class of 2018, Medicine at a Distance: The Recurring Ethical Challenge of Telemedicine	\$231,368
Ohio State University Foundation 2194 Graves Hall 333 West 10th Ave Columbus, OH 43210	FSP Scholar Class of 2017, The Military Biomedical Complex: How National Security Impacts Military Medicine and Research	\$161,669
Partners Healthcare System Inc Bank of America N.A. P.O. Box 414876 Boston, MA 02241-4876	Bioethics Fall 2015, Promoting Ethical Research with Stored Biospecimens as Science Evolves	\$165,010
Regents of the University of Colorado Denver Denver, CO 80203	FSP Scholar Class of 2017, Decision Making: From Neuroscience to Public Policy	\$121,489
Seattle Children's Hospital Foundation 1900 Ninth Ave Seattle, WA 98101	FSP Scholar Class of 2018, When Parents Refuse or Delay Childhood Vaccines: Implications for Shared Decision-Making	\$274,093

**The Greenwall Foundation**  
**Remaining Payments of Current Grants Awarded**

**EIN#13-6082277**

<b>Grant Name and Address</b>	<b>Purpose</b>	<b>Grant Amount</b>
Stanford University 3160 Porter Drive, Suite 100 Palo Alto, CA 94304-8445	Bioethics Fall 2014, Clinical Trial Participants' Attitudes Towards Expanded Access to Participant-Level Clinical Trial Data	\$84,132
The Regents of the University of Michigan 3003 South State Street Ann Arbor MI 48109	Bioethics Spring 2014, Evaluating Patient Perspectives to Inform the Ethical Implementation of a Rapid Learning System for Oncology Care	\$76,185
The Regents of the University of Michigan 3003 South State Street Ann Arbor MI 48109	Bioethics Spring 2015, Toward a consensus operational definition of child assent for research	\$44,750
Trustees of Indiana University 550 N University Blvd UH 2440 Indianapolis IN 46202	Bioethics Spring 2014, What do surrogate decision makers mean by goals of care for seriously ill older adults?	\$25,000
Trustees of the University of Pennsylvania 3451 WALNUT STREET PHILADELPHIA PA 19104-6205	The Penn Summer Bioethics Boot Camp for Philosophy Graduate Students	\$167,457
Trustees of the University of Pennsylvania 3451 WALNUT STREET PHILADELPHIA PA 19104-6205	Bioethics Fall 2015, Dual use Neurotechnologies and International Governance Arrangements	\$53,829
Trustees of the University of Pennsylvania 3451 WALNUT STREET PHILADELPHIA PA 19104-6205	FSP Class of 2016 Empirical and Normative Analyses of the Ethics of Manipulating Choice Architecture in Organ Donor Registration (Peter P Reese, MD, MSCE)	\$65,096
Tufts University 169 Holland Avenue, Somerville, MA 02144	Bioethics Fall 2015, The social support criterion in transplantation Considerations for Distributive and Procedural Justice	\$136,000
University of California, San Diego 3020 Children's Way, Suite 209 San Diego, CA 92123	Bioethics Fall 2014, Latino Perspectives on Data-sharing in Psychosis Research	\$104,764
University of California, San Francisco 3333 California Street, Suite 340 San Francisco CA 94118	FSP Scholar Class of 2017, Informed Consent for Catholic Hospital Obstetric Patients Regarding the Effects of Doctrine on Care	\$145,044
University of Chicago 5841 South Maryland Ave, MC5000 Chicago IL 60637	FSP Scholar Class of 2018, Shared Decision-Making Among Vulnerable Populations The Confluence of Bioethics, Health Disparities and Medical Decision-Making	\$345,543
University of Houston 4604 Calhoun Road Houston, TX 772046381	FSP Scholar Class of 2018, Genetic Property and Personhood The Role of Ownership in Genetic Research	\$270,473

**The Greenwall Foundation**  
**Remaining Payments of Current Grants Awarded**

**EIN#13-6082277**

<b>Grant Name and Address</b>	<b>Purpose</b>	<b>Grant Amount</b>
University of North Carolina at Chapel Hill Carrington Hall CB #7460 Chapel Hill NC 27278	FSP Scholar Class of 2018, Clinical Ethics, Communication, and Physician Aid-in-Dying	\$185,266
University of North Carolina at Chapel Hill Carrington Hall CB #7460 Chapel Hill NC 27278	Bioethics Fall 2015, Re-Engaging Ethics: Ethical Issues in Engaged Research	\$269,650
University of Washington Foundation 407 Gerberding Hall Seattle WA 98195-1210	Bioethics Spring 2015, CRP Certification: A Novel Approach to Accountability and Regulation Following Medical Error	\$71,536
University of Washington Foundation 407 Gerberding Hall Seattle WA 98195-1210	Bioethics Spring 2015, Advancing the Concept of Patient Self-Management	\$126,357
University of Wisconsin Foundation 21 North Park Street Suite 6401 Madison WI 53705	Bioethics Spring 2015, Failure To Die In Time Exploration of Experiences and Attitudes of Family Members of Donors Involved in Donation after Circulatory Death	\$69,611
<b>Sub Total</b>		<b>\$4,098,734</b>
<b>Less Present Value Discount total</b>		<b>-\$91,420</b>
		<b>\$4,007,314</b>