Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2015

Open to Public Inspection

For calendar year 2015, or tax year beginning 01-01-2015 , and ending 12-31-2015

Name of foundation
Jim Neuberger and Helen Stambler Neuberger Foundation
% Foundation Source

A Employer identification number
13-6066102

Number and street (or P O box number if mail is not delivered to street address)
55 Walls Drive 3rd Fl

Room/suite

B Telephone number (see instructions)
(800) 839-1754

City or town, state or province, country, and ZIP or foreign postal code
Fairfield, CT 06824

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 4,661,069

J Accounting method ☑ Cash ☐ Accrual ☐ Other (specify)
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	0			
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	58	58		
	4	Dividends and interest from securities	72,483	72,483		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	311,296			
	b	Gross sales price for all assets on line 6a 1,454,166				
	7	Capital gain net income (from Part IV, line 2)		311,296		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	383,837	383,837		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0			
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule)	38,971	38,971		
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	7,385	393		
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	24,964	25		24,939
	24	**Total operating and administrative expenses.** Add lines 13 through 23	71,320	39,389		24,939
	25	Contributions, gifts, grants paid	243,125			243,125
	26	**Total expenses and disbursements.** Add lines 24 and 25	314,445	39,389		268,064
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	69,392			
	b	**Net investment income** (if negative, enter -0-)		344,448		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	54,004	134,332	134,332
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	14,106	9,886	10,104
	b	Investments—corporate stock (attach schedule)	2,337,188	2,326,833	3,087,126
	c	Investments—corporate bonds (attach schedule)	1,457,169	1,460,808	1,429,507
	11	Investments—land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	3,862,467	3,931,859	4,661,069
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)		0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here** ▶ ☐ **and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☑ **and complete lines 27 through 31.**			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	3,862,467	3,931,859	
	30	**Total net assets or fund balances** (see instructions)	3,862,467	3,931,859	
	31	**Total liabilities and net assets/fund balances** (see instructions)	3,862,467	3,931,859	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,862,467
2	Enter amount from Part I, line 27a	2	69,392
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	3,931,859
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	3,931,859

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	Publicly-traded Securities			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,454,166		1,142,870	311,296
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				311,296
b				
c				
d				
e				

2	Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	311,296
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)
If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2014	266,183	4,945,584	0 053822
2013	332,123	4,721,497	0 070343
2012	4,863,471	4,484,547	1 084495
2011	1,164,731	11,069,241	0 105222
2010	965,961	13,208,549	0 073131

2	**Total** of line 1, column (d)	2	1 387013
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 277403
4	Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	4	4,792,362
5	Multiply line 4 by line 3	5	1,329,416
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	3,444
7	Add lines 5 and 6	7	1,332,860
8	Enter qualifying distributions from Part XII, line 4	8	268,064

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ___________ **(attach copy of letter if necessary–see instructions)**			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b		1	6,889
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3	Add lines 1 and 2.		3	6,889
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-		5	6,889
6	Credits/Payments			
a	2015 estimated tax payments and 2014 overpayment credited to 2015	6a 6,600		
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868). . .	6c 461		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments Add lines 6a through 6d.		7	7,061
8	Enter any **penalty** for underpayment of estimated tax Check here ☑ if Form 2220 is attached		8	1
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** . . . . ▶		9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid**. . . ▶		10	171
11	Enter the amount of line 10 to be **Credited to 2015 estimated tax** ▶ 171 **Refunded** ▶		11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?.	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?.	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ______________ **(2)** On foundation managers ▶ $ ______________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?.	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?.	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation* .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VII-A Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶

14 The books are in care of ▶Foundation Source Telephone no ▶(800) 839-1754

Located at ▶501 Silverside Road Suite 123 Wilmington DE ZIP+4 ▶198091377

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☑ Yes ☐ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b	Yes	
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2015 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(Continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? . . . ☐ Yes ☑ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions). . . . ☐ Yes ☑ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?. . . . ☐ Yes ☑ No

b If any answer is "Yes" to 5a(1)–(5), did **any**of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? **5b**

Organizations relying on a current notice regarding disaster assistance check here. . . . ▶☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?. . . . ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?. . . . ☐ Yes ☑ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?. . . . **6b** No

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
Jim Kaufman 55 Walls Drive 3rd Fl Fairfield, CT 06824	Dir 1 0	0	0	0
Jim Neuberger 55 Walls Drive 3rd Fl Fairfield, CT 06824	Pres / Dir 1 0	0	0	0
Helen Stambler Neuberger 55 Walls Drive 3rd Fl Fairfield, CT 06824	VP / Dir / Sec 1 0	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances

Total number of other employees paid over $50,000. . . . ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation

Total number of others receiving over $50,000 for professional services. . . . . ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 . . . . ▶	

Part X Minimum Investment Return
(All domestic foundations must complete this part. Foreign foundations,see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	4,687,485
b	Average of monthly cash balances	1b	177,857
c	Fair market value of all other assets (see instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	4,865,342
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	4,865,342
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	72,980
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	4,792,362
6	**Minimum investment return.** Enter 5% of line 5	6	239,618

Part XI Distributable Amount
(see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	239,618
2a	Tax on investment income for 2015 from Part VI, line 5	2a	6,889		
b	Income tax for 2015 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	6,889
3	Distributable amount before adjustments Subtract line 2c from line 1			3	232,729
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	232,729
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	232,729

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	268,064
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	0
b	Cash distribution test (attach the required schedule)	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	268,064
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	268,064

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7					232,729
2 Undistributed income, if any, as of the end of 2015					
a Enter amount for 2014 only.					
b Total for prior years 2013 , 2012 , 2011					
3 Excess distributions carryover, if any, to 2015					
a From 2010.	317,554				
b From 2011.	621,855				
c From 2012.	4,649,078				
d From 2013.	104,294				
e From 2014.	27,450				
f **Total** of lines 3a through e.		5,720,231			
4 Qualifying distributions for 2015 from Part XII, line 4 ▶ $ 268,064					
a Applied to 2014, but not more than line 2a					
b Applied to undistributed income of prior years (Election required—see instructions).					
c Treated as distributions out of corpus (Election required—see instructions).					
d Applied to 2015 distributable amount.					232,729
e Remaining amount distributed out of corpus		35,335			
5 Excess distributions carryover applied to 2015 *(If an amount appears in column (d), the same amount must be shown in column (a).)*					
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		5,755,566			
b Prior years' undistributed income Subtract line 4b from line 2b					
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.					
d Subtract line 6c from line 6b Taxable amount —see instructions					
e Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount—see instructions					
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)					
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions) . . .		317,554			
9 **Excess distributions carryover to 2016.** Subtract lines 7 and 8 from line 6a		5,438,012			
10 Analysis of line 9					
a Excess from 2011. . . .	621,855				
b Excess from 2012. . . .	4,649,078				
c Excess from 2013. . . .	104,294				
d Excess from 2014. . . .	27,450				
e Excess from 2015. . . .	35,335				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2015	**(b)** 2014	**(c)** 2013	**(d)** 2012	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

JIM NEUBERGER

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				243,125
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments			14	58	
4 Dividends and interest from securities. . .			14	72,483	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	311,296	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory . .					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .				383,837	

13 Total. Add line 12, columns (b), (d), and (e). **13** 383,837

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?. ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

******	2016-09-26	******
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
Jeffrey D Haskell				P01345770

Firm's name ▶ Foundation Source

Firm's EIN ▶

Firm's address ▶ One Hollow Ln Ste 212 Lake Success, NY 11042

Phone no (800) 839-1754

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ACTORS COMPANY THEATRE INC 900 BROADWAY STE 905 NEW YORK, NY 10003	N/A	PC	General & Unrestricted	1,000
AMERICAN CIVIL LIBERTIES UNION FOUNDATION INC 125 BROAD ST 18TH FL NEW YORK, NY 10004	N/A	PC	General & Unrestricted	500
AMERICAN KIDNEY FUND INC 11921 ROCKVILLE PIKE STE 300 ROCKVILLE, MD 20852	N/A	PC	General & Unrestricted	500
AMNESTY INTERNATIONAL OF THE USA INC 5 PENN PLZ FL 16 NEW YORK, NY 10001	N/A	PC	General & Unrestricted	500
BANK STREET COLLEGE OF EDUCATION 610 W 112TH ST NEW YORK, NY 10025	N/A	PC	General & Unrestricted	1,500
BARNES FOUNDATION 2025 BENJAMIN FRANKLIN PKWY PHILADELPHIA, PA 19130	N/A	PC	General & Unrestricted	2,500
BROADWAY MALL MAINTENANCE FUND INC 2095 BROADWAY STE 403 NEW YORK, NY 10023	N/A	PC	General & Unrestricted	1,000
BROADWAY MALL MAINTENANCE FUND INC 2095 BROADWAY STE 403 NEW YORK, NY 10023	N/A	PC	Charitable Event	200
BROOKLYN RAIL INC 99 COMMERCIAL ST APT ZA BROOKLYN, NY 11222	N/A	PC	PATRICIA CRONIN - SHRINE FOR GIRLS	5,000
BROWN UNIVERSITY BOX 1976 PROVIDENCE, RI 02912	N/A	PC	General & Unrestricted	500
CAREER TRANSITION FOR DANCERS INC 729 7TH AVE FL 10 NEW YORK, NY 10019	N/A	PC	General & Unrestricted	1,600
CAREERS THROUGH CULINARY ARTS PROGRAM INC 250 W 57TH ST STE 2015 NEW YORK, NY 10107	N/A	PC	General & Unrestricted	2,000
CHAMBER MUSIC CONFERENCE & DIANNE MAHANY 70 RIDGE HILL RD SUDBURY, MA 01776	N/A	PC	General & Unrestricted	100
COALITION FOR THE HOMELESS INC 129 FULTON ST NEW YORK, NY 10038	N/A	PC	General & Unrestricted	500
COPLAND HOUSE INC PO BOX 2177 CORTLANDT MANOR, NY 10566	N/A	PC	General & Unrestricted	1,000
Total . . . . ▶ **3a**				243,125

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CYSTIC FIBROSIS FOUNDATION 4929 WILSHIRE BLVD STE 760 LOS ANGELES, CA 90010	N/A	PC	Great Strides Walk to Cure Cystic Fibrosis Fund	1,000
DOCTORS WITHOUT BORDERS USA INC 333 7TH AVE 2ND FL NEW YORK, NY 10001	N/A	PC	General & Unrestricted	500
ENVIRONMENTAL DEFENSE FUND INCORPORATED 1875 CONNECTICUT AVE NW STE 600 WASHINGTON, DC 20009	N/A	PC	General & Unrestricted	750
ETHICAL CULTURE FIELDSTON SCHOOL 33 CENTRAL PARK W NEW YORK, NY 10023	N/A	PC	General & Unrestricted	1,000
FORTUNE SOCIETY INC 29-76 NORTHERN BLVD LONG ISLAND CITY, NY 11101	N/A	PC	General & Unrestricted	500
FRIENDS OF THE NEUBERGER MUSEUM INC 735 ANDERSON HILL RD PURCHASE, NY 10577	N/A	PC	General & Unrestricted	33,200
FRIENDS OF THE NEUBERGER MUSEUM INC 735 ANDERSON HILL RD PURCHASE, NY 10577	N/A	PC	Acquisitions Fund in Honor of Helen Stambler Neuberger	200
FRIENDS OF THE NEUBERGER MUSEUM INC 735 ANDERSON HILL RD PURCHASE, NY 10577	N/A	PC	ACQUISITIONS FUND IN HONOR OF HELEN STAMBLER Neuberger	750
FRIENDS OF THE NEUBERGER MUSEUM INC 735 ANDERSON HILL RD PURCHASE, NY 10577	N/A	PC	TRIBUTE FUND	100
FRIENDS OF THE NEUBERGER MUSEUM INC 735 ANDERSON HILL RD PURCHASE, NY 10577	N/A	PC	LOUISE FISHMAN EXHIBITION	10,000
HOPE & HEROES CHILDRENS CANCER FUND 161 FORT WASHINGTON AVE NEW YORK, NY 10032	N/A	PC	General & Unrestricted	2,000
HUNTER COLLEGE FOUNDATION INC 695 PARK AVE E1313 NEW YORK, NY 10065	N/A	PC	General & Unrestricted	1,000
INNOCENCE PROJECT INC 40 WORTH ST RM 701 NEW YORK, NY 10013	N/A	PC	General & Unrestricted	1,000
JACOB BURNS FILM CENTER INC 405 MANVILLE RD 3RD FL PLEASANTVILLE, NY 10570	N/A	PC	General & Unrestricted	1,000
JEWISH WOMENS FOUNDATION OF NEW YORK INC 130 E 59TH ST NEW YORK, NY 10022	N/A	PC	General & Unrestricted	1,000
Total . . . . ▶ 3a				243,125

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
LUNGEVITY FOUNDATION 228 S WABASH AVE STE 700 CHICAGO,IL 60604	N/A	PC	General & Unrestricted	500
NATIONAL DANCE INSTITUTE INC 217 W 147TH ST NEW YORK,NY 10039	N/A	PC	General & Unrestricted	55,750
NATIONAL DANCE INSTITUTE INC 217 W 147TH ST NEW YORK,NY 10039	N/A	PC	ADOPT A SCHOOL = MOTT HALL	25,000
NATIONAL DANCE INSTITUTE INC 217 W 147TH ST NEW YORK,NY 10039	N/A	PC	AMERICAN ROOTS MUSIC	10,000
NATIONAL DANCE INSTITUTE INC 217 W 147TH ST NEW YORK,NY 10039	N/A	PC	ART NEST Initiative	500
NATIONAL DANCE INSTITUTE INC 217 W 147TH ST NEW YORK,NY 10039	N/A	PC	Capital Campaign	20,000
NATIONAL SCHOOL CLIMATE CENTER 341 W 38TH ST FL 9 NEW YORK,NY 10018	N/A	PC	General & Unrestricted	500
NEW YORK PUBLIC RADIO 160 VARICK ST NEW YORK,NY 10013	N/A	PC	General & Unrestricted	1,000
NEW YORK-PRESBYTERIAN FUND INC 525 E 68TH ST BOX 156 NEW YORK,NY 10065	N/A	PC	General & Unrestricted	500
PAGUS INC 215 LAUREL LN HAVERFORD,PA 19041	N/A	PC	General & Unrestricted	500
PLANNED PARENTHOOD FEDERATION OF AMERICA INC 123 WILLIAMS ST 10TH FL NEW YORK,NY 10038	N/A	PC	General & Unrestricted	750
POETS HOUSE INC 10 RIVER TER NEW YORK,NY 10282	N/A	PC	General & Unrestricted	425
PUBLIC CITIZEN FOUNDATION INC 1600 20TH ST NW WASHINGTON,DC 20009	N/A	PC	General & Unrestricted	500
RUTGERS UNIVERSITY FOUNDATION 7 COLLEGE AVE NEW BRUNSWICK,NJ 08901	N/A	PC	Graduate School of Education Robert B Davis Institute of Learning	25,000
SARATOGA SPRINGS PERFORMING ARTS CENTER INC 108 AVE OF THE PINES SARATOGA SPRINGS,NY 12866	N/A	PC	General & Unrestricted	1,000
Total . . . . ▶ **3a**				243,125

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
STORM KING ART CENTER 1 MUSEUM RD NEW WINDSOR, NY 12553	N/A	PC	General & Unrestricted	1,000
SUNY NEW PALTZ FOUNDATION INC 1 HAWK DR NEW PALTZ, NY 12561	N/A	PC	General & Unrestricted	300
THE ASSOCIATION FOR FRONTOTEMPORAL DEGENERATION 290 KING OF PRUSSIA RD BLDG 2 RADNOR, PA 19087	N/A	PC	General & Unrestricted	1,000
THE DOOR - A CENTER OF ALTERNATIVES INC 121 AVE OF THE AMER STE 506 NEW YORK, NY 10013	N/A	PC	General & Unrestricted	500
TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW 622 W 113TH ST MC4524 NEW YORK, NY 10025	N/A	PC	DOUBLE DISCOVERY CENTER	25,000
WNET 825 8TH AVE NEW YORK, NY 10019	N/A	PC	General & Unrestricted	1,000
Total . . . . ▶ **3a**				243,125

TY 2015 Depreciation Schedule

Name: Jim Neuberger and Helen Stambler Neuberger Foundation

EIN: 13-6066102

TY 2015 Investments Corporate Bonds Schedule

Name: Jim Neuberger and Helen Stambler Neuberger Foundation

EIN: 13-6066102

Name of Bond	End of Year Book Value	End of Year Fair Market Value
ALLERGAN INC SR NT - 5.750% -	42,979	40,324
AMERICAN EXPRESS CR CORP - 2.8	78,463	75,955
AT&T INC NOTE - 2.400% - 08/15	51,559	50,330
BANK NEW YORK - 2.300% - 07/28	51,762	50,423
BANK OF NOVA SCOTIA - 0.680% -	50,000	50,000
BECTON DICKINSON & CO - 1.750%	51,368	50,252
BOEING CAPITAL - 2.125% - 08/1	51,717	50,347
BP CAP MKTS P L C SR FLT NT -	25,000	24,934
CATERPILLAR FINL SVCS - 2.650%	52,056	50,235
CHEVRON CORP NOTE - 1.344% - 1	40,000	39,910
CISCO SYS INC SR NT - 3.150% -	41,942	40,986
CONSOLIDATED EDISON NOTES - 5.	42,700	41,257
CREDIT SUISSE NEW YORK BRANCH	50,000	49,815
DEERE JOHN CAP CORP MTNS BE -	51,888	50,278
DEUTSCHE BANK AG LONDON BOND -	50,000	49,680
FIFTH THIRD BANK - 0.000% - 11	50,000	49,993
GE CAP BK INC RETAILCD - 1.850	50,887	50,358
GEORGIA POWER COMPANY - 0.663%	50,000	49,964
GOLDMAN SACHS - 5.350% - 01/15	32,428	30,032
INTEL CORP NT - 1.950% - 10/01	51,604	50,312

Name of Bond	End of Year Book Value	End of Year Fair Market Value
INTERNATIONAL BUSINESS MACHS -	51,423	50,299
JP MORGAN CHASE & CO - 3.150%	41,178	40,414
JPMORGAN CHASE & CO - 3.450% -	52,304	50,209
KEY BANK - 0.883% - 11/25/2016	50,000	50,001
RIO TINTO FIN USA PLC - 2.250%	45,315	43,878
ROYAL BANK OF CANADA - 0.000%	50,000	49,988
STRYKER CORP NOTE - 2.000% - 0	51,643	50,378
UBS AG STAMFORD BRH VR - 0.000	40,000	39,812
VODAFONE GROUP NOTE - 0.752% -	25,000	24,986
WAL-MART STORES, INC - 5.375%	43,979	42,125
WYETH - 5.450% - 04/01/2017	43,613	42,032

TY 2015 Investments Corporate Stock Schedule

Name: Jim Neuberger and Helen Stambler Neuberger Foundation

EIN: 13-6066102

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ALLERGAN PLC	113,763	124,999
APPLE INC	38,593	117,153
ASML HOLDING NV NY REG SHS	68,527	66,578
BRISTOL-MYERS SQUIBB CO	69,085	116,942
CABOT OIL & GAS CORP	34,823	26,535
CDW CORP	68,896	81,978
CHURCH & DWIGHT	34,408	57,294
CME GROUP, INC	62,919	77,010
COMCAST CORP	86,202	90,288
CONCHO RESOURCES INC	69,687	58,038
COOPER COMPANIES INC	64,649	70,455
COSTCO WHOLESALE CORPORATION	48,162	68,638
CVS CAREMARK CORP	106,334	105,103
DANAHER CORP	57,393	111,455
DOVER CORP	90,319	93,498
EOG RESOURCES INC	32,693	70,790
ESTEE LAUDER COMPANIES INC	51,058	74,851
EXPRESS SCRIPTS HOLDING CO	65,178	69,928
FEDEX CORPORATION	105,468	93,119
FISERV INC	21,277	105,179
INTUIT	46,309	69,963
IPG PHOTONICS CORP	76,954	98,076
JOHNSON & JOHNSON	92,129	102,720
JP MORGAN CHASE	77,454	103,997
LENNAR CORP	36,852	110,048
MARRIOTT INTERNATIONAL INC. CL	81,959	77,096
MOODYS CORP	70,500	90,306
NESTLE S.A	30,719	66,978
PFIZER INC	65,273	64,560
PITNEY BOWES INC	71,577	65,048

Name of Stock	End of Year Book Value	End of Year Fair Market Value
RANGE RESOURCES CORP DEL	73,239	31,378
ROPER INDUSTRIES	26,626	104,385
SANDISK CORP	62,618	83,589
SCHLUMBERGER LTD	38,637	48,825
SIRONA DENTAL SYSTEMS INC	56,003	76,699
TOLL BROTHERS INC	82,914	84,915
WALT DISNEY HOLDINGS CO	24,523	76,183
WHITEWAVE FOODS COMPANY	23,113	52,529

TY 2015 Investments Government Obligations Schedule

Name: Jım Neuberger and Helen Stambler Neuberger Foundatıon

EIN: 13-6066102

US Government Securities - End of Year Book Value: 9,886

US Government Securities - End of Year Fair Market Value: 10,104

State & Local Government Securities - End of Year Book Value:

State & Local Government Securities - End of Year Fair Market Value:

TY 2015 Other Expenses Schedule

Name: Jim Neuberger and Helen Stambler Neuberger Foundation

EIN: 13-6066102

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Administrative Fees	24,689			24,689
Bank Charges	25	25		
State or Local Filing Fees	250			250

TY 2015 Other Professional Fees Schedule

Name: Jim Neuberger and Helen Stambler Neuberger Foundation

EIN: 13-6066102

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Investment Management Services	38,971	38,971		

TY 2015 Taxes Schedule

Name: Jim Neuberger and Helen Stambler Neuberger Foundation

EIN: 13-6066102

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
990-PF Estimated Tax for 2015	6,600			
990-PF Excise Tax for 2014	392			
Foreign Tax Paid	393	393		