

For calendar year 2015, or tax year beginning 01-01-2015 , and ending 12-31-2015

Name of foundation MAX KADE FOUNDATIONINC		A Employer identification number 13-5658082	
Number and street (or P O box number if mail is not delivered to street address) 6 EAST 87TH STREET		Room/suite	
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10128		B Telephone number (see instructions) (646) 672-4354	
G Check all that apply <div><input type="checkbox"/> Initial return</div> <div><input type="checkbox"/> Initial return of a former public charity</div> <div><input type="checkbox"/> Final return</div> <div><input type="checkbox"/> Amended return</div> <div><input type="checkbox"/> Address change</div> <div><input type="checkbox"/> Name change</div>		C If exemption application is pending, check here <input type="checkbox"/> D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation			
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 79,035,602		J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)	

Part I	Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))	Revenue and expenses per books (a)	Net investment income (b)	Adjusted net income (c)	Disbursements for charitable purposes (d) (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	1,220,345	1,220,345	1,220,345	
	4 Dividends and interest from securities	1,259,032	1,259,032	1,259,032	
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10 _____	517,680			
	b Gross sales price for all assets on line 6a 16,334,111				
	7 Capital gain net income (from Part IV , line 2)		517,680		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances _____				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	107,830	64,103	107,830	
	12 Total. Add lines 1 through 11	3,104,887	3,061,160	2,587,207	
	13 Compensation of officers, directors, trustees, etc	253,878			253,878
	14 Other employee salaries and wages	182,794			182,794
	15 Pension plans, employee benefits	87,694			87,694
	16a Legal fees (attach schedule).				
	b Accounting fees (attach schedule).	35,725			35,725
	c Other professional fees (attach schedule)	69,802	69,802	69,802	
	17 Interest	3,482	3,482	3,482	
	18 Taxes (attach schedule) (see instructions)	79,562			79,562
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings.	12,911			12,911
	22 Printing and publications				
	23 Other expenses (attach schedule).	59,515			59,515
	24 Total operating and administrative expenses. Add lines 13 through 23	785,363	73,284	73,284	712,079
	25 Contributions, gifts, grants paid	5,741,540			5,741,540
	26 Total expenses and disbursements. Add lines 24 and 25	6,526,903	73,284	73,284	6,453,619
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-3,422,016			
	b Net investment income (if negative, enter -0-)		2,987,876		
	c Adjusted net income (if negative, enter -0-)			2,513,923	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	154	10	10
	2	Savings and temporary cash investments	4,235,423	4,590,921	4,590,921
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions).			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges	57,968	42,193	42,193
	10a	Investments—U S and state government obligations (attach schedule)	9,091,462	7,378,870	6,721,099
	b	Investments—corporate stock (attach schedule)	16,885,358	15,948,039	30,958,437
	c	Investments—corporate bonds (attach schedule)	19,933,178	22,068,361	21,456,299
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	17,374,892	14,128,025	15,266,643
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets(to be completed by all filers—see the instructions Also, see page 1, item I)	67,578,435	64,156,419	79,035,602	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule).			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities(add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds	67,578,435	64,156,419	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances(see instructions)	67,578,435	64,156,419	
31	Total liabilities and net assets/fund balances(see instructions)	67,578,435	64,156,419		

Part III Analysis of Changes in Net Assets or Fund Balances				
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	67,578,435	
2	Enter amount from Part I, line 27a	2	-3,422,016	
3	Other increases not included in line 2 (itemize) ▶ _____	3		
4	Add lines 1, 2, and 3	4	64,156,419	
5	Decreases not included in line 2 (itemize) ▶ _____	5		
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	64,156,419	

Part IV Capital Gains and Losses for Tax on Investment Income

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)		How acquired P—Purchase (b) D—Donation	Date acquired (c) (mo , day, yr)	Date sold (d) (mo , day, yr)
1 a	STCGL K1	P	2015-01-01	2015-12-31
b	LTCGL K1	P	2012-01-01	2015-12-31
c	LT BROKER TRANSACTIONS, SEE ATTACHED	P	2014-10-29	2015-12-22
d	ST BROKER TRANSACTIONS SEE ATTACHED	P	2015-09-21	2015-10-30
e	UNRECAPTURED SECTION 1250	P	2013-01-01	2015-12-31

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
a 244			244
b 50,919			50,919
c 13,963,014		13,458,203	504,811
d 2,319,934		2,358,220	-38,286
e		8	-8

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FM V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) (l)
a			244
b			50,919
c			504,811
d			-38,286
e			-8

2	Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	517,680
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	-38,042

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)
If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2014	6,615,473	88,066,375	0 07512
2013	6,196,481	85,208,074	0 07272
2012	5,727,567	84,963,330	0 06741
2011	4,619,139	86,437,837	0 05344
2010	4,215,618	86,090,718	0 04897

2	Total of line 1, column (d).	2	0 317659
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 063532
4	Enter the net value of noncharitable-use assets for 2015 from Part X, line 5.	4	83,146,946
5	Multiply line 4 by line 3.	5	5,282,492
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	29,879
7	Add lines 5 and 6.	7	5,312,371
8	Enter qualifying distributions from Part XII, line 4.	8	6,453,619

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See
the Part VI instructions

Part VII

Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	29,879
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3 Add lines 1 and 2.		3	29,879
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	
5 Tax based on investment income.Subtract line 4 from line 3 If zero or less, enter -0-		5	29,879
6 Credits/Payments			
a 2015 estimated tax payments and 2014 overpayment credited to 2015	6a	71,272	
b Exempt foreign organizations—tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868).	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d.		7	71,272
8 Enter any penalty for underpayment of estimated tax Check here <input checked="" type="checkbox"/> if Form 2220 is attached		8	
9 Tax due.If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10 Overpayment.If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	41,393
11 Enter the amount of line 10 to be Credited to 2015 estimated tax <input checked="" type="checkbox"/> 41,393 Refunded <input type="checkbox"/>		11	

Part VII-A

Statements Regarding Activities

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	Yes	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities	1b		No
c Did the foundation file Form 1120-POL for this year?	1c		No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2		No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	Yes	
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	Yes	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T	5		No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Yes	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> NY _____			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? If "Yes," complete Part XIV	9		No
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	Yes	
14	The books are in care of ► <u>MAX KADE FOUNDATION INC</u> Telephone no ► <u>(646) 672-4354</u> Located at ► <u>6 EAST 87TH STREET NEW YORK CITY NY</u> ZIP+4 ► <u>101280505</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ► <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year ► 15			
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ►				

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> Organizations relying on a current notice regarding disaster assistance check here. ► <input type="checkbox"/>	1b	No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1c	No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► 20____, 20____, 20____, 20____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b	No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015</i>).	3b	No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No **5b** **No**

Organizations relying on a current notice regarding disaster assistance check here. ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No **6b** **No**

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No **7b** **No**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
GUENTER BLOBEL 6 EAST 87TH STREET NEW YORK, NY 10128	Director 0 00	0		
BERTLINE BAIER DALE 6 EAST 87TH STREET NEW YORK, NY 10128	Secretary 0 00	0		
LYA F PFEIFER 6 EAST 87TH STREET NEW YORK, NY 10128	Pres & Treas 40 00	253,878	51,310	
REINHARD AUGUSTIN 6 EAST 87TH STREET NEW YORK, NY 10128	Director 0 00	0		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
KARIN A GERBAVSITS 6 EAST 87TH STREET NEW YORK, NY 10128	ADMINISTRATOR 40 00	105,812	21,061	
KATHLEEN L PENDLETON 6 EAST 87TH STREET NEW YORK, NY 10128	BOOKKEEPER 40 00	76,981	15,323	

Total number of other employees paid over \$50,000. ☐

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services. ▶

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return

(All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	79,164,375
b	Average of monthly cash balances.	1b	5,248,768
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	84,413,143
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	84,413,143
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	1,266,197
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	83,146,946
6	Minimum investment return. Enter 5% of line 5.	6	4,157,347

Part XI Distributable Amount(see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	4,157,347
2a	Tax on investment income for 2015 from Part VI, line 5.	2a	29,879
b	Income tax for 2015 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	29,879
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	4,127,468
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	4,127,468
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	4,127,468

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	6,453,619
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	6,453,619
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	29,879
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	6,423,740

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				4,127,468
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only.				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2015				
a From 2010.				
b From 2011.				367,935
c From 2012.				1,563,778
d From 2013.				2,033,551
e From 2014.				2,281,116
f Total of lines 3a through e.	6,246,380			
4 Qualifying distributions for 2015 from Part XII, line 4 ► \$ 6,453,619				
a Applied to 2014, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2015 distributable amount.				4,127,468
e Remaining amount distributed out of corpus	2,326,151			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	8,572,531			
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions). . .				
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a	8,572,531			
10 Analysis of line 9				
a Excess from 2011. . . .				367,935
b Excess from 2012. . . .				1,563,778
c Excess from 2013. . . .				2,033,551
d Excess from 2014. . . .				2,281,116
e Excess from 2015. . . .				2,326,151

Part XIV

Private Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a

Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
(a) 2015	(b) 2014	(c) 2013	(d) 2012	
b 85% of line 2a				
c Qualifying distributions from Part XII, line 4 for each year listed				
d Amounts included in line 2c not used directly for active conduct of exempt activities				
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c				

3

Complete 3a, b, or c for the alternative test relied upon

a

"Assets" alternative test—enter

(1)

Value of all assets

(2)

Value of assets qualifying under section 4942(j)(3)(B)(i)

b

"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.

c

"Support" alternative test—enter

(1)

Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2)

Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).

(3)

Largest amount of support from an exempt organization

(4)

Gross investment income

Part XV

Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a

The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

MAX KADE FOUNDATION
6 EAST 87TH STREET
NEW YORK, NY 101280505
(646) 672-4354

b

The form in which applications should be submitted and information and materials they should include

WRITTEN APPLICATIONS SHOULD SET FORTH OBJECTIVES AND METHODS FOR IMPLEMENTATION, QUALIFICATIONS OF THE INSTITUTION, ACADEMIC QUALIFICATIONS OF PERSONS INVOLVED AND THE ESTIMATED COST

c

Any submission deadlines

WRITTEN APPLICATIONS ARE ACCEPTED AT ANY REASONABLE TIME

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

PROPOSALS ARE ONLY ACCEPTED FROM PUBLICLY SUPPORTED CHARITABLE ORGANIZATIONS AND NO GRANTS ARE MADE TO INDIVIDUALS

Form 990-PF (2015)

Part XV

Supplementary Information(continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient		If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)					
a <i>Paid during the year</i> MAX KADE SCHEDULE 6 EAST 87TH STREET NEW YORK,NY 10128		NONE	PUBLIC	EDUCATION	5,741,540
				</	

Enter gross amounts unless otherwise indicated

[illegible]

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of				
(1) Cash.		1a(1)		No
(2) Other assets.		1a(2)		No
b Other transactions				
(1) Sales of assets to a noncharitable exempt organization.		1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.		1b(2)		No
(3) Rental of facilities, equipment, or other assets.		1b(3)		No
(4) Reimbursement arrangements.		1b(4)		No
(5) Loans or loan guarantees.		1b(5)		No
(6) Performance of services or membership or fundraising solicitations.		1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.		1c		No
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received				

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes
☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.		
	*****	2016-11-14	*****
	_____ Signature of officer or trustee	_____ Date	_____ Title

May the IRS discuss this return with the preparer shown below
 (see instr.)? ☐ Yes ☒ No

Paid Preparer Use Only	Print/Type preparer's name Louis N Zerbo CPA	Preparer's Signature	Date	Check if self-employed <input type="checkbox"/>	PTIN P01403300
	Firm's name <input type="checkbox"/> LOUIS N ZERBO PC			Firm's EIN <input type="checkbox"/>	
	Firm's address <input type="checkbox"/> 271 US HIGHWAY 46 WEST SuiteD-209 FAIRFIELD , NJ 07004				
				Phone no (973) 575-4985	

TY 2015 Accounting Fees Schedule**Name:** MAX KADE FOUNDATIONINC**EIN:** 13-5658082**Software ID:** 15000324**Software Version:** 2015v2.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PROFESSIONAL FEES	35,725	0	0	35,725

TY 2015 Investments Corporate Bonds Schedule**Name:** MAX KADE FOUNDATIONINC**EIN:** 13-5658082**Software ID:** 15000324**Software Version:** 2015v2.0

Name of Bond	End of Year Book Value	End of Year Fair Market Value
INTERNATIONAL BUSINESS MACH NTS	869,533	804,433
INTL BUS MACH	780,770	864,525
MORGAN STANLEY	238,342	258,568
FPL GROUP	843,545	821,378
ANHEUSER BUSCH COS NTS	820,918	785,438
MARATHON OIL CORP	419,107	362,881
CHUBB CORP NTS	553,985	544,215
PRUDENTIAL FINANCIAL INC NTS	590,275	580,260
GENL ELECT CAP CORP SUB	1,162,735	1,045,440
ICAHN ENTERPRISES LP	1,051,077	1,008,400
WASHINGTON POST CO	580,860	535,830
GENL ELEC CAP CORP	1,045,421	1,127,620
TOYOTA MOTOR CREDIT CORP	308,856	309,786
BERKSHIRE HATHAWAY INC	516,815	526,955
ENTERPRISE PRODUCTS OPER	583,005	537,977
LILLY ELI & CO	598,893	592,620
STAPLES INC. 2.75	254,598	248,435
BANK OF NOVA SCOTIA	756,748	748,680
ARES CAPITAL CORP NTS	523,756	520,590
ROCKWELL COLLINS INC NTS	510,006	507,275

Name of Bond	End of Year Book Value	End of Year Fair Market Value
LEUCADIA NATL CORP	523,506	488,090
CNOOC NEXEN FIN 2014 ULC	775,431	755,940
AMERICAN EXPRESS CO	511,881	491,875
JPMORGAN CHASE & CO	800,006	760,000
CAMPBELL SOUP CO	551,955	532,605
OCCIDENTAL PETROLEUM	750,005	705,855
AGILENT TECHNOLOGIES	768,059	750,533
ANHEUSER BUSCH IN BEV FIN	544,085	510,105
BURLINGTON NORTH SANTA FE	765,006	750,705
QUALCOMM INC	738,434	719,370
TIME WARNER INC	765,081	730,110
INTEL CORP	792,966	775,770
APPLIED MATERIALS	772,701	754,035

TY 2015 Investments Corporate Stock Schedule**Name:** MAX KADE FOUNDATIONINC**EIN:** 13-5658082**Software ID:** 15000324**Software Version:** 2015v2.0

Name of Stock	End of Year Book Value	End of Year Fair Market Value
AIR PRODUCT & CHEMICALS	127,400	1,040,880
ANADARKO PETE CORP	18,271	485,800
BP PLC SPONSORED ADR	399,699	312,600
BERKSHIRE HATHAWAY INC	1,215	2,244,680
COLGATE PALMOLIVE CO	393,922	999,300
EXXON MOBILE CORP	114,638	1,169,250
INTL BUSINESS MACHINE	177,151	825,720
ITT CORP	243,322	454,000
JOHNSON & JOHNSON COM	684,391	1,284,000
MERCK & CO INC.	29,062	792,300
MICROSOFT CORP	541,773	1,109,600
MORGAN STANLEY	510,210	636,200
3M CO	655,133	1,525,230
ORACLE CORP	300,497	730,600
EMC CORP MASS	303,119	449,400
MORNING STAR, INC	473,948	804,100
GENERAL ELECTRIC CORP	385,750	749,500
AMER ELECTRIC POWER CO	233,852	437,025
COHEN STEERS INC	377,156	457,200
FRANKLIN RESOURCES	403,451	552,300
GENUINE PARTS	279,186	579,758
INTEL CORP	210,118	344,500
JP MORGAN CHASE	550,565	990,450
MEAD JOHNSON NUTRITION CO	320,433	532,913
SCHLUMBERGER LTD NETHERLANDS	467,998	523,125
SPECTRA ENERGY CORP	248,919	239,400
CSX CORPORATION	385,726	454,125
LVMH MOET HENNESSY LOUIS NEW	388,399	472,425
MCDONALDS CORP	303,424	502,449
KINDER MORGAN MGMT LLC	327,455	201,793

Name of Stock	End of Year Book Value	End of Year Fair Market Value
HARTFORD FINCL SERVICES GROUP INC	379,418	717,090
INGREDION INC	196,225	958,400
PHILLIPS 66	243,066	572,600
TOYOTA MOTOR CORP NEW JAPAN	339,386	492,160
AMGEN INC	204,155	324,660
APPLE INC.	253,813	315,780
NESTLE A SPONSORED ADR	186,839	602,802
SYSCO CORP	175,250	820,000
WP CAREY INC REIT	513,456	597,847
BROADCOM CORP	370,858	578,200
GILEAD SCIENCES INC	263,788	379,463
HALLIBURTON CO	506,533	391,460
OAO GAZPROM LEVEL 1 ADR PROGRAM	258,948	163,315
UNITED PARCEL SERVICE INC	345,042	336,805
BCS PLUS ON XOP	200,000	194,400
BLACKSTONE GROUP	172,340	146,200
CITI TRIGGER PLUS ON EURO STOXX	200,000	203,400
IAC INTERACTIVE CORP COM	415,389	360,300
PJT PARTNERS INC COM CL A	477	3,535
SYNCHRONY FINANCIAL	14,463	29,984
TIFFANY AND COMPANY	467,590	476,813
UNILEVER PLC ADS	204,943	215,600
WP CAREY INC REIT	179,877	177,000

TY 2015 Investments Government Obligations Schedule**Name:** MAX KADE FOUNDATIONINC**EIN:** 13-5658082**Software ID:** 15000324**Software Version:** 2015v2.0**US Government Securities - End of
Year Book Value:**

5,646,707

**US Government Securities - End of
Year Fair Market Value:**

4,922,671

**State & Local Government
Securities - End of Year Book
Value:**

1,732,163

**State & Local Government
Securities - End of Year Fair
Market Value:**

1,798,428

TY 2015 Investments - Other Schedule**Name:** MAX KADE FOUNDATIONINC**EIN:** 13-5658082**Software ID:** 15000324**Software Version:** 2015v2.0

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
VINTAGE 07 SERIES AIP ASIA FUND LLC	AT COST	382,912	389,225
SPDR Gold Trust	AT COST	413,861	608,760
VANGUARD INTL FSTE ALL WORLD	AT COST	105,183	108,525
UTILITIES SECTOR SPDR	AT COST	215,470	296,468
ISHARES TRUST RUSSELL 2000	AT COST	255,236	405,432
ISHARES IBOXX HIGH YIELD	AT COST	378,696	402,900
ISHARES INC MSCI GERMANY	AT COST	398,307	458,325
VANGUARD SECTOR INDEX FD	AT COST	502,127	863,720
DOUBLE BLACK DIAMOND, LTD	AT COST	1,000,000	1,184,666
ALPHAKEYS KKR FUND XI LLC INVESTED	AT COST	150,857	183,146
MATTHEWS ASIA DIVIDEND (MAPIX)	AT COST	89,748	95,344
OPPENHEIMER DEVELOPING MARKETS FUND	AT COST	170,266	140,851
BLACKROCK HIGH YIELD BOND	AT COST	208,406	185,676
BLACKROCK GLOBAL ALLOCATION FUND	AT COST	205,303	184,167
FIRST EAGLE GLOBAL FUND	AT COST	206,058	209,192
VANGUARD INDEX EMERGING MARKET	AT COST	1,145,103	922,422
ISHARES TRUST S&P GLOBAL	AT COST	286,799	317,934
WISDOMTREE TRUST JAPAN	AT COST	417,750	425,680
LYRICAL US VALUE EQUITY FUND	AT COST	278,213	293,284
TEMPLETON GLOBAL TOTAL RETURN	AT COST	320,051	270,275
ENTERPRISE PRODUCTS PARTNER	AT COST	269,862	818,560
KKR & CO LP	AT COST	464,429	311,800
BLUE MOUNTAIN CREDIT	AT COST	750,000	751,395
WISDOMTREE TRUST EUROPE HEDGED	AT COST	300,719	269,050
HAMLIN HIGH DIVIDEND EQUITY FUND	AT COST	188,611	177,926
HENDERSON INTERNATIONAL OPPORTUNITIES	AT COST	158,038	155,944
FIDELITY ADVISOR LIMITED TERM BOND FUND	AT COST	303,831	298,837
METROPOLITAN WEST TOTAL RETURN BOND FUND	AT COST	199,702	196,163
SPDR DOUBLELINE TR TACT ETF	AT COST	192,297	186,624
BALYASNY ATLAS ENHANCED	AT COST	1,000,000	987,095

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
ALPHAKEYS CARLYLE REALTY	AT COST	124,474	196,216
PETERSHILL II OFFSHORE LP	AT COST	149,124	150,000
CARLYLE REALTY K-1	AT COST	26,315	26,315
THE BLACKSTONE GROUP K-1	AT COST	2,314	2,314
HIGHLAND LG SHT HEALTHCARE Z	AT COST	200,000	199,414
PRUDENTIAL JENNISON GROWTH Z	AT COST	250,000	248,738
UBS AG TRIGGER ROS CMCIER	AT COST	220,000	132,220
HSBC USA INC	AT COST	250,000	273,115
BARCLAYS BANK PLC	AT COST	250,000	251,500
MORGAN STANLEY TRIGGER PS SPX	AT COST	800,000	791,600
GOLDMAN SACHS BANK	AT COST	375,000	379,125
JPMORGAN CHASE & CO 6.300	AT COST	250,000	262,600
PUBLIC STORAGE SER Q 6.500	AT COST	272,963	254,100

TY 2015 Other Expenses Schedule**Name:** MAX KADE FOUNDATIONINC**EIN:** 13-5658082**Software ID:** 15000324**Software Version:** 2015v2.0

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INSURANCE	43,516			43,516
NY STATE FILING FEE	1,500			1,500
OFFICE EXPENSE	9,310			9,310
OFFICE SUPPLIES & EQUIPMENT	1,388			1,388
POSTAGE & TELEPHONE	3,801			3,801

TY 2015 Other Income Schedule**Name:** MAX KADE FOUNDATIONINC**EIN:** 13-5658082**Software ID:** 15000324**Software Version:** 2015v2.0

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
K-1 INVESTMENT INCOME	64,103	64,103	64,103
REFUND-PRIOR YEAR GRANTS	7,846		7,846
UBTI ORDINARY INCOME	35,881		35,881

TY 2015 Other Professional Fees Schedule**Name:** MAX KADE FOUNDATIONINC**EIN:** 13-5658082**Software ID:** 15000324**Software Version:** 2015v2.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT ADVISORY FEES	69,802	69,802	69,802	0

TY 2015 Taxes Schedule**Name:** MAX KADE FOUNDATIONINC**EIN:** 13-5658082**Software ID:** 15000324**Software Version:** 2015v2.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL EXCISE TAX	29,879			29,879
FEDERAL UBTI TAX	5,232			5,232
FOREIGN TAXES	15,814			15,814
PAYROLL TAXES	25,498			25,498
STATE CORPORATE TAX	3,139			3,139

MAX KADE FOUNDATION, INC.
I.D. NO. 13-5658082

GRANTS APPROVED FOR FUTURE PAYMENT
as of December 31, 2015

Grantee and Field	Amount
GRANTS APPROVED TO EDUCATIONAL INSTITUTIONS TOWARD SUPPORT OF POSTDOCTORAL RESEARCH EXCHANGE PROGRAMS	
Boston Children's Hospital (PD Research Mattias Schaefer) 300 Longwood Ave , Boston, MA 02115	\$ 49,150 00
University of California Regents (PD Research Bilen Emek Abali) 2195 Hearst Ave , Berkeley, CA 94720	64,200 00
University of California Regents (PD Research Bernhard Hofko) One Shields Ave , Davis, CA 95616	70,800 00
Columbia University (PD Research Gianna Zocco) 1150 Amsterdam Ave , New York, NY 10027	64,200 00
North Carolina State University (PD Research Pelin Berik) 911 Oval Drive, Raleigh, NC 27695	55,200 00
Stanford University PD Research (Benjamin Vyssoki) 300 Pasteur Drive, Stanford, CA 94305	<u>70,800 00</u>
	\$ 374,350 00

**GRANTS APPROVED TO EDUCATIONAL INSTITUTIONS
IN SUPPORT OF VISITING FACULTY EXCHANGE PROGRAMS**

Brown University, 190 Hope St , Providence, RI 02912 In support of a Visiting Professor	\$ 14,000 00
University of California Regents, One Shields Ave , Davis, CA 95616 In support of a Visiting Professor	12,000 00
Georgetown University, Box 571048, Washington, DC 20057 In support of a Visiting Professor	18,000 00
Johns Hopkins University, 3400 N Charles St , Baltimore, MD 21218 In support of a Visiting Professor	18,000 00
University of Illinois, 601 South Morgan St , Chicago, IL 60607 In support of a Visiting Professor	14,000 00

MAX KADE FOUNDATION, INC.

I.D. NO. 13-5658082

GRANTS APPROVED FOR FUTURE PAYMENT
as of December 31, 2015

Grantee and Field	Amount
New York University, 70 Washington Sq Park, New York, NY 10012 In support of a Writer-in-Residence	7,250 00
Northwestern University, 1880 Campus Drive, Evanston, IL 60208 In support of a Visiting Professor	15,000 00
Rutgers University Foundation, 172 College Ave , New Brunswick, NJ 08901 In support of a Visiting Professor	18,000 00
University of Washington, 340-C Denny, Box 353130, Seattle, WA 98195 In support of a Visiting Professor	8,000 00
Washington University, One Brookings Drive, St Louis, MO 63130 In support of a Writer-in-Residence	11,500 00
University of Wisconsin Foundation, 1220 Linden Drive, Madison, WI 53706 In support of a Writer-in-Residence	<u>10,000 00</u>
	\$ 145,750 00

**GRANTS APPROVED TO EDUCATIONAL INSTITUTIONS FOR THE
TRAINING OF LANGUAGE TEACHERS AND GRADUATE STUDY ABROAD
AND INTERNATIONAL CONFERENCES**

The American Austrian Foundation, Inc , 201 West 72 nd St , New York, NY 10023 In support of US students studying Austrian medical practice	\$ 43,200 00
American Friends of DLA Marbach, Inc One Brookings Drive, St Louis, MO 63130 In support of research study in Marbach	8,000 00
Belmont University, 1900 Belmont Boulevard, Nashville, TN 37212 In support of a study abroad program in Berlin	12,000 00
Brown University, 190 Hope St , Providence, RI 02912 In support of study abroad program in Berlin & Vienna	18,000 00
University of California, 5319 Dwinelle Hal, Berkeley, CA 94720 In support of a Germanic Linguistics Roundtable	4,000 00
Carnegie Mellon University, Baker Hall 160, Pittsburgh, PA 15213 In support of summer study at Goethe Institutes in Germany	9,000 00
University of Cincinnati, 733 Old Chemistry, Cincinnati, OH 45221 In support of a prize for best article in Journal of Austrian Studies	1,500 00
Elysium-Between Two Continents Inc 303 East 83 rd Street, New York, NY 10028 In support of summer program for young singers to study German	30,000 00

MAX KADE FOUNDATION, INC.
I.D. NO. 13-5658082

GRANTS APPROVED FOR FUTURE PAYMENT
as of December 31, 2015

Grantee and Field	Amount
Emory University, 532 Kilgo Circle, Atlanta, GA 30322 In support of a summer study program in Vienna	16,000 00
Georgetown University, Box 571048, Washington, DC 20057 In support of an international conference on German studies	1,000 00
German American Partnership Program, Inc , 72 Spring St , New York, NY 10012 In support of high school students studying in Germany (31)	25,000 00
Hunter College Foundation, Inc , 695 Park Ave , New York, NY 10065 In support of summer study in Kassel	25,000 00
Hunter College Foundation, Inc , 695 Park Ave , New York, NY 10065 In support of a lecture for German Department	500 00
University of Illinois, 601 South Morgan St , Chicago, IL 60607 In support of graduate fellowships in German studies (3)	54,000 00
University of Illinois, 601 South Morgan St , Chicago, IL 60607 In support of summer study in Germany	7,000 00
Indiana University, 1020 E Kirkwood Avenue, Bloomington, IN 47405 In support of Graduate Fellowships (5)	80,000 00
Indiana University, 1020 E Kirkwood Avenue, Bloomington, IN 47405 In support of a summer study program in Graz	5,000 00
Indiana University, 1020 E Kirkwood Avenue, Bloomington, IN 47405 In support of a study abroad program in Graz & Freiburg for undergrads	5,000 00
Indiana University, 1020 E Kirkwood Avenue, Bloomington, IN 47405 In support of a theatre festival for students studying German	5,000 00
Indiana University, 1020 E Kirkwood Avenue, Bloomington, IN 47405 In support of a Midwestern symposium in German studies	4,000 00
New York University, 70 Washington Sq Park, New York, NY 10012 In support of an exchange program with Berlin-Spring in NYC	36,000 00
Northwestern University, 1880 Campus Drive, Evanston, IL 60208 In support of a summer study program in Berlin	14,000 00
Oregon State University, 300E Gilkey Hall, Corvallis, OR 97331 In support of a transatlantic student symposium on German studies	10,000 00
Rutgers University Foundation, 172 College Ave , New Brunswick, NJ 08901 In support of a summer study program in Berlin	24,000 00
Rutgers University Foundation, 172 College Ave , New Brunswick, NJ 08901 In support of two graduate fellowships in German studies	40,000 00
Syracuse University, 346 Eggers Hall, Syracuse, NY 13244 In support of Atlantis study abroad program in Germany	18,000 00

MAX KADE FOUNDATION, INC.

I.D. NO. 13-5658082

GRANTS APPROVED FOR FUTURE PAYMENT
as of December 31, 2015

Grantee and Field	Amount
Vanderbilt University, 301 Kirkland Hall, Nashville, TN 37240 In support of research program with Leipzig	50,000 00
University of Washington, 340-C Denny, Seattle, WA 98195 In support of study abroad program in Vienna and Heidelberg	10,000 00
University of Washington, 340-C Denny, Seattle, WA 98195 In support of two graduate fellowships in German studies	<u>36,000 00</u>
	\$ 591,200 00

**GRANTS APPROVED TO EDUCATIONAL INSTITUTIONS FOR
EQUIPMENT OF MAX KADE LANGUAGE CENTERS
AND FOR OTHER CHARITABLE ACTIVITIES**

American Friends of DLA Marbach, Inc One Brookings Drive, St Louis, MO 63130 In support of the renovation of Collegienhaus in Marbach (2 pmts)	\$500,000 00
University of Applied Sciences, Kurt Schumacher Ring 18, 65197 Wiesbaden, Hessen In support of a Max Kade Haus in Wiesbaden (3 pmts)	600,000 00
Liederkrantz Foundation, Inc , 6 East 87 St , New York, NY 10128 In support of expenses pursuant to Agreement	50,000 00
Vanderbilt University, 301 Kirkland Hall, Nashville, TN 37240 In support of a Max Kade Chair in German Studies (2 nd)	<u>500,000 00</u>
	\$1,650,000 00

MISCELLANEOUS GRANTS

None -0-

**Total grants approved for
future payment as of 12/31/15:** **\$ 2,761,300.00**
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MAX KADE FOUNDATION, INC.

ID NO 13-5658082

DESCRIPTION OF GRANTS MADE IN 2015

Name and Address of Grantee / Description of Grant or Project	Amount of Grant
<p>The following grants, listed according to field or title, were made in 2015. The name and address of the recipient institutions and a brief description of the project or the purpose of said grant follows the title. The amounts listed are the grant payments made during the calendar year ended December 31, 2015. Grants are generally awarded only to U.S. colleges, universities, research institutions and other educational organizations. No grants are made to individuals.</p>	
<p>I. GRANTS MADE TO EDUCATIONAL INSTITUTIONS TOWARD SUPPORT OF POSTDOCTORAL RESEARCH EXCHANGE PROGRAMS</p>	
<p>The Postdoctoral Research Exchange Program offers assistance to American universities and qualified research institutions for postdoctoral exchange research projects. The participating universities determine the research project, designate the project director responsible for training and research, and select the research scholars. Visiting scholars are nominated by their home institutions and are screened by the respective Academy of Sciences. The final selection and acceptance, however, is left to the decision of the sponsoring U.S. university or research institution.</p>	
<p>Participating institutions receiving the grants are characterized by highest quality of research activity and a strong faculty, committed to training and research in medicine or in the natural and physical sciences. Research scholars admitted by said institutions must hold doctoral degrees in the discipline in which they intend to conduct research.</p>	
<p>Regents of the University of California, Berkeley, 201 Gilman Hall, Berkeley, CA, 94720 Research and training in the field of Bio-informatics, focusing on the study of integrative modeling and engineering of <i>Escherichia coli</i> and <i>Pseudomonas putida</i> for biofuel production, by Dr. Georg Basler, under the direction of Dr. Jeffrey Reimer, conducted by the Department of Chemical and Biomolecular Engineering</p>	\$ 67,500.00
<p>Regents of the University of California, Berkeley, 5319 Dwinelle Hall, Berkeley, CA, 94720 Research and training in the field of German Studies, focusing on the study of influences, transnational and hypertextual connections between Beat literature and Austrian literature and culture, by Dr. Thomas Antonic, under the direction of Dr. Nikolaus Largier, conducted by the Department of German</p>	\$ 30,250.00
<p>University of California, San Diego, 200 Arbor Drive, San Diego, CA, 92103 Research and training in the field of Medicine, focusing on the study of linkage to care-repeat testers, seroconverters and HIV-positive patients, by Dr. Martin Hoengl, under the direction of Dr. Susan Little, conducted by the Department of Medicine</p>	\$ 30,250.00
<p>Children's Hospital, 300 Longwood Avenue, Boston, MA 02115 Research and training in the field of Medicine, focusing on the study of platelet aggregation, by Dr. Thomas Gremmel, under the direction of Dr. Alan D. Michelson, conducted by the Department of Platelet Research Studies</p>	\$ 64,200.00
<p>Columbia University, 1051 Riverside Drive, New York, NY, 10032 Research and training in the field of Neuroscience, focusing on the study of complex computations in mesoscopic spiking networks, by Dr. Raoul-Martin Memmesheimer, under the direction of Dr. Larry Abbott, conducted by the Department of Neuroscience</p>	\$ 46,340.00
<p>Columbia University in the City of NY, 61 Route 9W, Palisades, NY, 10964 Research and training in the field of Geology, focusing on the study of deciphering antarctic intermediate water variability during the Pliocene, by Dr. Cyrus Karas, under the direction of Dr. Peter deMenocal, conducted by the Department of Earth & Environmental Sciences</p>	\$ 26,750.00
<p>Columbia University in the City of NY, 61 Route 9W, Palisades, NY, 10964 Research and training in the field of Geology, focusing on the study of paleo-ph reconstruction across the middle Eocene climatic optimum using boron isotopes, by Dr. Iris Moebius, under the direction of Dr. Baerbel Hoenisch, conducted by the Department of Geochemistry</p>	\$ 55,200.00
<p>Johns Hopkins University, 1800 Orleans Street, Baltimore, MD, 21287 Research and training in the field of Medicine, focusing on the study of percutaneous chemotherapy for pancreatic cancer, by Dr. Ruediger Scherthaner, under the direction of Dr. Jean-Francois Geschwind, conducted by the Department of Radiology and Radiological Science</p>	\$ 4,850.00
<p>Institute for Advanced Study, 1 Einstein Drive, Princeton, NJ 08540 Research and training in the field of Economics, focusing on the study of bureaucrats, transplant effect and institutional quality, by Dr. Valentin Seidler, under the direction of Dr. Dani Rodrik, conducted by the Department of Social Sciences</p>	\$ 67,500.00

MAX KADE FOUNDATION, INC.

ID NO 13-5658082

DESCRIPTION OF GRANTS MADE IN 2015

Name and Address of Grantee / Description of Grant or Project	Amount of Grant
General Hospital Corporation/Massachusetts General Hospital, 149 13th Street, Charlestown, MA 02129 Research and training in the field of Medicine, focusing on the study of TREM2 deficiency and mutations on the pathogenesis of Alzheimer's disease, by Dr. Maïke Gold, under the direction of Dr. Joseph ElKhoury, conducted by the Department of Immunology and Inflammatory Diseases	\$ 25,600 00
Massachusetts Institute of Technology, 77 Massachusetts Ave., Cambridge, MA 02139 Research and training in the field of Mechanical Engineering, focusing on the study of emission spectroscopic thruster for nano-satellite propulsion, by Dr. David Krejci, under the direction of Dr. Paulo Lozano, conducted by the Department of Aeronautics and Astronautics	\$ 29,100 00
Massachusetts Institute of Technology, 77 Massachusetts Ave., Cambridge, MA 02139 Research and training in the field of Comparative Media Studies, focusing on the study of system experience across media, by Dr. Nikolaus Koenig, under the direction of Dr. William Uricchio and Dr. James Paradis, conducted by the Department of Comparative Media Studies	\$ 29,100 00
Massachusetts Institute of Technology, 77 Massachusetts Avenue, Cambridge, MA 02139 Research and training in the field of Atmospheric and Oceanic Sciences, focusing on the study of shallow trade wind cumulus, by Dr. Louise Nuijens, under the direction of Dr. Kerry Emanuel, conducted by the Department of Earth, Atmospheric & Planetary Sciences	\$ 55,200 00
The University of New Mexico, 1101 Yale Boulevard, Albuquerque, NM, 87106 Research and training in the field of Medicine, focusing on the study of functional magnetic resonance imaging brain imaging data from early high risk schizophrenia, by Dr. Eva Mennigen, under the direction of Dr. Vince Calhoun, conducted by the Department of Electrical and Computer Engineering	\$ 55,200 00
Stanford University, 401 Quarry Road, Stanford, CA, 94305 Research and training in the field of Medicine, focusing on the study of neurobehavioral intervention for emotional reactivity and regulation in late adolescence, by Dr. Julia Huemer, under the direction of Dr. Amit Etkin, conducted by the Department of Psychiatry and Behavioral Sciences	\$ 25,600 00
Stanford University, 300 Pasteur Drive, Stanford, CA, 94305 Research and training in the field of Neuroscience, focusing on the study of neural circuits underlying post-stroke behavior recovery, by Dr. Markus Aswendt, under the direction of Dr. Gary Steinberg, conducted by the Department of Neurosurgery	\$ 50,800 00
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	<hr/> \$ 663,440 00 <hr/>

II. GRANTS MADE TO EDUCATIONAL INSTITUTIONS IN SUPPORT OF VISITING FACULTY EXCHANGE PROGRAMS

In view of the conviction that exceptional students must be exposed to distinguished minds to stimulate and inspire them, and in the belief that the exchange of scholars is not only essential to the advancement of knowledge, but it is also one of the most promising roads for better understanding, the Foundation made limited funds available for "Distinguished Visiting Professorships" in the field of foreign language and literature.

These grants are made to encourage the recipient institutions to enlist some outstanding scholars, to enrich the intellectual development of the university and to make possible a special effort in a particular field. The selection of the scholars is left to the independent judgment of the university. These awards are granted on a challenge basis to supplement the cost so as to provide incentive for enlisting the interest and active support for the institutions in question.

ALLEGHENY COLLEGE, 520 North Main Street, Meadville, PA, 16335 In support of its Writer-in-Residence Program-Marion Poschmann	\$ 14,000 00
AMERICAN FRIENDS OF BUCERIUS LAW SCHOOL, INC., 871 United Nations Plaza, 15th Floor, New York, NY, 10017 In support of its Visiting Professorship Program-David Clark	\$ 15,000 00
THE UNIVERSITY OF ARIZONA, 301 Learning Services Building, Tucson, AZ, 85721 In support of its Writer-in-Residence Program-Michael Speier	\$ 14,000 00
BOWLING GREEN STATE UNIVERSITY, Shatzel Hall 103, Bowling Green, OH, 43403 In support of its Writer-in-Residence Program-Peter Rosei	\$ 14,000 00
BROWN UNIVERSITY, 190 Hope Street, Providence, RI, 02912 In support of its Visiting Professorship Program-Remberth Hueser	\$ 14,000 00
UNIVERSITY OF CALIFORNIA REGENTS, 5319 Dwinelle Hall, Berkeley, CA, 94720 In support of its Visiting Professorship Program-Helmut Lethen	\$ 12,000 00

MAX KADE FOUNDATION, INC.

ID NO 13-5658082

DESCRIPTION OF GRANTS MADE IN 2015

Name and Address of Grantee / Description of Grant or Project	Amount of Grant
UNIVERSITY OF CALIFORNIA REGENTS, One Shields Avenue, Davis, CA, 95616 In support of its Visiting Professorship Program-Philipp Stiasny	\$ 12,000 00
UNIVERSITY OF CALIFORNIA REGENTS, 6206 Phelps Hall, Santa Barbara, CA, 93106 In support of its Visiting Professorship Program-Armin Schaefer	\$ 18,000 00
UNIVERSITY OF CINCINNATI, P O Box 210372, Cincinnati, OH, 45221 In support of the Visiting Professorship Program-Sigrid Koehler	\$ 15,000 00
COLGATE UNIVERSITY, 13 Oak Drive, Hamilton, NY, 13346 In support of a Max Kade Teaching Fellowship-Franziska Goetzke	\$ 16,000 00
COLUMBIA UNIVERSITY, 1130 Amsterdam Avenue, New York, NY, 10027 In support of its Visiting Professorship Program-Eva Geulen	\$ 13,000 00
DARTMOUTH COLLEGE, 6084 Dartmouth Hall, Hanover, NH, 03755 In support of its Visiting Professorship Program-Christopher Kloeble	\$ 12,000 00
UNIVERSITY OF GEORGIA FOUNDATION, 201 Joseph E Brown Hall, Athens, GA, 30602 In support of its Visiting Professorship Program-Norbert Eke	\$ 16,000 00
GEORGETOWN UNIVERSITY, 37th and O St , N W , Washington, DC, 20057 In support of its Visiting Writer Program-Maxi Obexer	\$ 18,000 00
HEIDELBERG UNIVERSITY ASSOCIATION INC , 871 United Nations Plaza, New York, NY, 10017 In support of its Visiting Professorship Program-Thomas Stipanowich	\$ 15,000 00
HEIDELBERG UNIVERSITY ASSOCIATION INC , 871 United Nations Plaza, New York, NY, 10017 In support of its Visiting Professorship Program-Daniel Malachuk	\$ 6,000 00
UNIVERSITY OF ILLINOIS, 601 South Morgan Street, Chicago, IL, 60607 In support of its Visiting Professorship Program-Christoph Rauen	\$ 14,000 00
UNIVERSITY OF ILLINOIS AT URBANA-CHAMPAIGN, 707 South Mathews Ave , Urbana, IL, 61801 In support of its Visiting Professorship Program-Christiane Ackermann	\$ 14,000 00
INDIANA UNIVERSITY, 1020 E Kirkwood Avenue, Bloomington, IN, 47405 In support of its Visiting Professorship Program-Helmut Schneider	\$ 10,000 00
THE UNIVERSITY OF IOWA, 1111 University Capitol Centre, Iowa City, IA, 52242 In support of its Writer-in-Residence Program-Teresa Praeuer	\$ 11,200 00
JOHNS HOPKINS UNIVERSITY, 3400 N Charles Street, Baltimore, MD, 21218 In support of a Visiting Professorship Program-Christoph Menke	\$ 18,000 00
LAFAYETTE COLLEGE, 730 High Street, Easton, PA, 18042 In support of its Writer-in-Residence Program-Dimitre Dinev	\$ 12,000 00
MIAMI UNIVERSITY, 400 East Spring Street, Oxford, OH, 45056 In support of its Writer-in-Residence Program-Tanja Dueckers	\$ 9,000 00
MICHIGAN STATE UNIVERSITY, 619 Red Cedar Road, East Lansing, MI, 48824 In support of its Visiting Professorship Program-Christian Klein	\$ 18,000 00
MIDDLEBURY COLLEGE, 131 S Main St , Middlebury, VT, 05753 In support of its Visiting Professors (4) for German Summer Program	\$ 56,000 00
THE UNIVERSITY OF NEW MEXICO, 1 University of New Mexico, Albuquerque, NM, 87131 In support of the German Summer School (7 profs , 21 students)	\$ 35,000 00
NEW YORK UNIVERSITY, 42 Washington Mews, New York, NY, 10003 In support of its Writer-in-Residence Program-Anna Weidenholzer	\$ 7,250 00
UNIVERSITY OF NOTRE DAME, 318 O'Shaughnessy Hall, Notre Dame, IN, 46556 In support of its Visiting Professorship Program-Tim Loerke	\$ 14,000 00
NORTHWESTERN UNIVERSITY, 1880 Campus Drive, Evanston, IL, 60208 In support of a Visiting Professorship Program-Anselm Haverkamp	\$ 15,000 00
PORTLAND STATE UNIVERSITY, SUMMERSCHOOL ON THE PACIFIC, Post Office Box 751, Portland, OR, 97207 In support of its Deutsche Sommerschule am Pazifik (8 profs, 16 lecturers)	\$ 76,000 00

MAX KADE FOUNDATION, INC.

ID NO 13-5658082

DESCRIPTION OF GRANTS MADE IN 2015

Name and Address of Grantee / Description of Grant or Project	Amount of Grant
UNIVERSITY OF RHODE ISLAND, 60 Upper College Road, Providence, RI, 02881 In support of its Deutsche Sommerschule am Atlantik (2 profs , 13 students)	\$ 15,000 00
THE UNIVERSITY OF RHODE ISLAND FOUNDATION, 60 Upper College Road, Providence, RI, 02881 In support of its Distinguished Visitor Program-Hannes Krauss	\$ 15,000 00
RUTGERS UNIVERSITY FOUNDATION, 172 College Avenue, New Brunswick, NJ, 08901 In support of its Writer-in-Residence Program-Evelyn Annuss	\$ 15,000 00
VANDERBILT UNIVERSITY, 2301 Vanderbilt Place, Nashville, TN, 37235 In support of its Visiting Professorship Program-Heide Volkening	\$ 14,000 00
UNIVERSITY OF VIRGINIA, 108 Cocke Hall, P O Box 400125, Charlottesville, VA, 22904 In support of its Visiting Professorship Program-Mona Koerte	\$ 10,000 00
UNIVERSITY OF WASHINGTON, 340-C Denny, Box 353130, Seattle, WA, 98195 In support of its Visiting Professorship Program-Walter Erhart	\$ 8,000 00
WASHINGTON UNIVERSITY, One Brookings Drive, St Louis, MO, 63130 In support of its Writer/Critic in Residence Program-Erpenbeck/Mangold	\$ 23,000 00
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	\$ 633,450 00

III. GRANTS MADE TO EDUCATIONAL INSTITUTIONS FOR THE TRAINING OF LANGUAGE TEACHERS, GRADUATE STUDY ABROAD AND INTERNATIONAL CONFERENCES

This program seeks to offer assistance to American universities and colleges in their effort to increase the competence of prospective academic experience abroad. The concept of study at a foreign university is the most hopeful means of approaching efficiency in a foreign language and culture. The grants are awarded to the universities. The selection of qualified graduate candidates is left to the independent judgment of the participating universities.

ALLEGHENY COLLEGE, 520 North Main Street, Meadville, PA, 16335 In support of its student exchange program with Cologne (9 travel grants)	\$ 10,800 00
THE LICIA ALBANESE-PUCCINI FOUNDATION, INC , 8 East 69th Street, New York, NY, 10021 In support of the 2015 gala concert and its program for international vocal competition	\$ 10,000 00
AMERICAN CENTER FOR MUSICAL ARTS, INC , 201 West 72nd Street, #3K, New York, NY, 10023 In support of the study training and performance of Wagner theater program	\$ 20,000 00
THE AMERICAN AUSTRIAN FOUNDATION, INC , 150 East 42nd Street, 29th Flr , New York, NY, 10017 In support of its program for 15 Austrian medical students doing clerkships in the USA during 2015	\$ 33,000 00
THE AMERICAN AUSTRIAN FOUNDATION, INC , 150 East 42nd Street, 29th Flr , New York, NY, 10017 In support of its exchange program for US medical students studying in Austria (27 @ \$1,600 each)	\$ 43,200 00
AMERICAN FRIENDS OF BUCERIUS LAW SCHOOL, INC , 871 United Nations Plaza, 15th Floor , New York, NY, 10017 In support of its study abroad program in Hamburg (2)	\$ 10,000 00
AMERICAN FRIENDS OF DLA MARBACH, INC , 1505 N W 51st Terrace, Gainesville, FL, 32605 In support of two fellowships for research in Literary Archive in Marbach	\$ 8,000 00
THE UNIVERSITY OF ARIZONA, P O Box 210105, Tucson, AZ, 85721 In support of two Graduate Fellowships @ \$14,000 each	\$ 28,000 00
THE UNIVERSITY OF ARIZONA, P O Box 210105, Tucson, AZ, 85721 In support of travel grants for spring trip to Berlin (1)	\$ 1,000 00
MARTINA ARROYO FOUNDATION, INC , P O Box 2015 Radio City Station, New York, NY, 10101 In support of its 2015 Prelude to Performance and other programs	\$ 35,000 00
BARD COLLEGE, P O Box 5000, Annandale-on-Hudson, NY, 12504 In support of travel grants for students in study abroad program in Berlin (1)	\$ 1,200 00

MAX KADE FOUNDATION, INC.

ID NO 13-5658082

DESCRIPTION OF GRANTS MADE IN 2015

Name and Address of Grantee / Description of Grant or Project	Amount of Grant
BELMONT UNIVERSITY, 1900 Belmont Boulevard, Nashville, TN, 37212 In support of travel grants for summer study abroad program in Berlin (15 students)	\$ 18,000 00
BRANDEIS UNIVERSITY, P O Box 549110, Waltham, MA, 02454 In support of travel grants for summer study program in Germany (10 students)	\$ 12,000 00
BRIGHAM YOUNG UNIVERSITY, 3112 Joseph F Smith Building, Provo, UT, 84602 In support of a Germanic Linguistics Annual Conference	\$ 4,000 00
BROWN UNIVERSITY, 190 Hope Street, Providence, RI, 02912 In support of one Graduate Fellowship in German Studies (supplement)	\$ 8,000 00
BROWN UNIVERSITY, 190 Hope Street, Providence, RI, 02912 In support of travel grants for spring study trip to Cologne, Germany (15 students)	\$ 18,000 00
REGENTS OF THE UNIVERSITY OF CALIFORNIA, One Shields Avenue, Davis, CA, 95616 In support of its Graduate Fellowship program in German Studies	\$ 14,000 00
UNIVERSITY OF CALIFORNIA REGENTS, 5319 Dwinelle Hall, Berkeley, CA, 94720 In support of travel grants for summer study program in Germany (15 graduate students)	\$ 15,000 00
UNIVERSITY OF CALIFORNIA REGENTS, 5319 Dwinelle Hall, Berkeley, CA, 94720 In support of its Graduate Fellowship program in German Studies (3 @ \$16,000 each)	\$ 48,000 00
UNIVERSITY OF CALIFORNIA REGENTS, 5319 Dwinelle Hall, Berkeley, CA, 94720 In support of travel grants for summer study program in Germany (10 undergraduate students)	\$ 10,000 00
UNIVERSITY OF CALIFORNIA REGENTS, 6206 Phelps Hall, Santa Barbara, CA, 93106 In support of its Graduate Fellowship in German Studies program	\$ 14,000 00
CARNEGIE MELLON UNIVERSITY, Baker Hall 160, Pittsburgh, PA, 15213 In support of travel grants for summer study program at Goethe Institutes in Germany (8 students)	\$ 12,000 00
CHATHAM COLLEGE, Woodland Road, Pittsburgh, PA, 15232 In support of travel grants for study trip to Berlin & Brussels (12 students)	\$ 14,400 00
UNIVERSITY OF CINCINNATI, P O Box 210372, Cincinnati, OH, 45221 In support of a Max Kade Prize for best article in Journal of Austrian Studies	\$ 1,500 00
UNIVERSITY OF CINCINNATI, P O Box 210372, Cincinnati, OH, 45221 In support of its Graduate Fellowship program in German Studies (2 @ \$14,000 each)	\$ 28,000 00
UNIVERSITY OF COLORADO FOUNDATION, INC., McKenna 129, Boulder, CO, 80309 In support of its study abroad program in Berlin, Goettingen and Regensburg (15 students)	\$ 18,000 00
UNIVERSITY OF COLORADO FOUNDATION, INC., McKenna 129, Boulder, CO, 80309 In support of its Graduate Fellowship program in German Studies (3 @ \$15,000 each)	\$ 45,000 00
THE COLORADO COLLEGE, 14 East Cache La Poudre, Colorado Springs, CO, 80903 In support of its study abroad program in Lueneburg-17 students @ \$600 each -fall semester	\$ 10,200 00
COLUMBIA UNIVERSITY, 1130 Amsterdam Avenue, New York, NY, 10027 In support of its summer study abroad program in Berlin (9 students)	\$ 9,600 00
CONCORDIA COLLEGE, 901 8th Street South, Moorhead, MN, 56562 In support of its German summer credit program for 15 high-school students	\$ 35,000 00
CORNELL UNIVERSITY, 183 Goldwin Smith Hall, Ithaca, NY, 14853 In support of a conference on Medieval German Culture	\$ 2,500 00
ELYSIUM-BETWEEN TWO CONTINENTS, INC., 303 East 83rd Street, 17E, New York, NY, 10028 In support of its summer program for young singers to study German operas in Beruried, Germany	\$ 30,000 00
EMORY UNIVERSITY, 532 Kilgo Circle, Atlanta, GA, 30322 In support of its summer study abroad program in Vienna (4 students)	\$ 16,000 00
FLORIDA STATE UNIVERSITY FOUNDATION, 110 South Woodward Avenue, Tallahassee, FL, 32306 In support of its student exchange program with Studentenwerk Dresden - 19 students	\$ 10,000 00

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FORDHAM UNIVERSITY, 441 East Fordham Road, Bronx, NY, 10458 In support of travel grants for undergraduate students studying in Vienna (11)	\$ 11,000 00
FRIENDS OF GOETHE NEW YORK INC , 30 Irving Place, New York, NY, 10003 In support of the cultural programs of the Goethe Institute	\$ 15,000 00
UNIVERSITY OF GEORGIA FOUNDATION, 201 Joseph E Brown Hall, Athens, GA, 30602 In support of an international conference on German Studies and the works of George Lohr	\$ 5,000 00
UNIVERSITY OF GEORGIA FOUNDATION, 201 Joseph E Brown Hall, Athens, GA, 30602 In support of one Graduate Fellowship in German Studies	\$ 14,000 00
UNIVERSITY OF GEORGIA FOUNDATION, 201 Joseph E Brown Hall, Athens, GA, 30602 In support of travel grants for summer study in Freiburg (10 students)	\$ 10,000 00
GERMAN-AMERICAN COMMITTEE OF GREATER NEW YORK, INC , Church Street Station, New York, NY, 10008 In support of the 2015 German American Steuben Parade celebrating German history and culture	\$ 25,000 00
GERMAN-AMERICAN PARTNERSHIP PROGRAM INC , 30 Irving Place, New York, NY, 10003 In support of travel for 30 high-school students in GAPP Exchange programs in Germany in summer 2015	\$ 25,000 00
GERMANISTIC SOCIETY OF AMERICA, INC , QUADRILLE ACT , 6 East 87th Street, New York, NY, 10128 In support of its scholarship fund for graduate study abroad (2016)	\$ 12,000 00
GIULIO GARI FOUNDATION INC , 175 West 72nd Street, New York, NY, 10023 In support of its cultural programs for young artists including vocal competition for 2015	\$ 10,000 00
JOHNS HOPKINS UNIVERSITY, 3400 N Charles Street, Baltimore, MD, 21218 In support of one Graduate Fellowship in German Studies	\$ 16,000 00
JOHNS HOPKINS UNIVERSITY, 3400 N Charles Street, Baltimore, MD, 21218 In support of travel grants for 9 graduate students in summer programs in Berlin and Bonn	\$ 10,800 00
JOHNS HOPKINS UNIVERSITY, 3400 N Charles Street, Baltimore, MD, 21218 In support of travel grants for 5 undergraduate students in summer study program in Berlin	\$ 6,000 00
JOHNS HOPKINS UNIVERSITY, 3400 N Charles Street, Baltimore, MD, 21218 In support of a lecture on translating German literature	\$ 1,000 00
THE HUNTER COLLEGE FOUNDATION, INC , 695 Park Avenue, Room W1405, New York, NY, 10065 In support of its summer study abroad program in Kassel, Germany (19 students)	\$ 25,000 00
THE HUNTER COLLEGE FOUNDATION, INC , 695 Park Avenue, Room W1405, New York, NY, 10065 In support of lecture of the German Department	\$ 500 00
UNIVERSITY OF ILLINOIS, 601 South Morgan Street, Chicago, IL, 60607 In support of its Graduate Fellowship program in German Studies (3 @ \$18,000 each)	\$ 54,000 00
UNIVERSITY OF ILLINOIS, 601 South Morgan Street, Chicago, IL, 60607 In support of travel grants for study abroad program in Berlin (8 students)	\$ 8,000 00
UNIVERSITY OF ILLINOIS, 601 South Morgan Street, Chicago, IL, 60607 In support of a German Studies conference	\$ 3,000 00
UNIVERSITY OF ILLINOIS AT URBANA-CHAMPAIGN, 707 South Mathews Avenue, Urbana, IL, 61821 In support of its Graduate Fellowship program in German Studies	\$ 13,000 00
INDIANA UNIVERSITY, 1020 E Kirkwood Avenue, Bloomington, IN, 47405 In support of its Graduate Fellowship program in German Studies (5 @ \$16,000 each) 1st half	\$ 40,000 00
INDIANA UNIVERSITY, 1020 E Kirkwood Avenue, Bloomington, IN, 47405 In support of its Graduate Fellowship program in German Studies (5 @ \$16,000 each) 2nd half	\$ 40,000 00
INDIANA UNIVERSITY, 1020 E Kirkwood Avenue, Bloomington, IN, 47405 In support of travel grants for 5 high-school students studying in Graz Austria	\$ 5,000 00
INDIANA UNIVERSITY, 1020 E Kirkwood Avenue, Bloomington, IN, 47405 In support of travel grants for 5 undergraduate students studying in Graz or Freiburg	\$ 5,000 00

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Name and Address of Grantee / Description of Grant or Project	Amount of Grant
INDIANA UNIVERSITY, 1020 E Kirkwood Avenue, Bloomington, IN, 47405 In support of a theatre festival and competition for German high-school students	\$ 5,000 00
INDIANA UNIVERSITY, 1020 E Kirkwood Avenue, Bloomington, IN, 47405 In support of a Mid-western symposium in German Studies	\$ 4,000 00
INDIANA UNIVERSITY, 425 North University Blvd , Indianapolis, IN, 46202 In support of its Graduate Fellowship program in German Studies (2 @ \$10,000 each)	\$ 20,000 00
THE UNIVERSITY OF IOWA, 1111 University Capitol Centre, Iowa City, IA, 52242 In support of its study abroad program in Germany (7 students)	\$ 7,000 00
KANSAS UNIVERSITY ENDOWMENT ASSOCIATION, 1445 Jayhawk Blvd , Room 2080, Lawrence, KS, 66045 In support of its Graduate Fellowship in German Studies program (1)	\$ 15,000 00
KANSAS UNIVERSITY ENDOWMENT ASSOCIATION, 1445 Jayhawk Blvd , Room 2080, Lawrence, KS, 66045 In support of study trip to Eutin, Germany (1)	\$ 1,000 00
UNIVERSITY OF KENTUCKY, 1055 Patterson Office Tower, Lexington, KY, 40506 In support of a Graduate Fellowship in German Studies	\$ 15,000 00
LIEDERKRANZ FOUNDATION, INC , 6 East 87th Street, New York, NY, 10128 In support of its cultural activities, specifically an annual chorus concert	\$ 3,000 00
LIEDERKRANZ FOUNDATION, INC , 6 East 87th Street, New York, NY, 10128 In support of its cultural activities, specifically a holiday chorus concert	\$ 1,000 00
MASSACHUSETTS INSTITUTE OF TECHNOLOGY, 1 Amherst Street, E40-429, Cambridge, MA, 02139 In support of travel grants for MIT in Germany summer program (15 students)	\$ 15,000 00
UNIVERSITY OF MASSACHUSETTS, Box 33925, Amherst, MA, 01003 In support of a visiting scholar travel grants and translation work in German Studies	\$ 10,400 00
UNIVERSITY OF MASSACHUSETTS, Box 33925, Amherst, MA, 01003 In support of the 2015 DFTA film institute examining Fast German film	\$ 10,000 00
THE METROPOLITAN OPERA ASSOCIATION, INC , Lincoln Center, New York, NY, 10023 In support of its Lindemann Young Artist development program for the study of German during 2015/16	\$ 175,000 00
MIAMI UNIVERSITY, 400 East Spring Street, Oxford, OH, 45056 In support of its study abroad program in Germany (11 travel grants)	\$ 11,000 00
MICHIGAN STATE UNIVERSITY, 320 Linton Hall, East Lansing, MI, 48824 In support of its Graduate Fellowship program in German Studies (2 @ \$16,000 each)	\$ 32,000 00
MICHIGAN STATE UNIVERSITY, 320 Linton Hall, East Lansing, MI, 48824 In support of its study abroad program in Muen, Germany (10 students)	\$ 12,000 00
MIDDLEBURY COLLEGE, 131 S Main St , Middlebury, VT, 05753 In support of its summer language program in German for gifted singers, pianists and master class teachers	\$ 30,000 00
UNIVERSITY OF MINNESOTA, 9 Pleasant Street S E , Minneapolis, MN, 55455 In support of travel grants for study in Germany (4 students)	\$ 4,000 00
UNIVERSITY OF MINNESOTA, 9 Pleasant Street S E , Minneapolis, MN, 55455 In support of its Graduate Fellowship program in German Studies	\$ 18,000 00
MIDDLEBURY INSTITUTE OF INTERNATIONAL STUDIES, 460 Pierce Street, Monterey, CA, 93940 In support of its Graduate Fellowship program in German Studies (2 @ \$16,000 each)	\$ 32,000 00
NEW YORK PUBLIC RADIO, 160 Varick Street, New York, NY, 10013 In support of its activities and programs	\$ 25,000 00
NEW YORK UNIVERSITY, 70 Washington Square South, 11th Floor, New York, NY, 10012 In support of its exchange program with Berlin-Spring in New York 2015 (5 Berlin students)	\$ 30,000 00
NEW YORK UNIVERSITY, 70 Washington Square South, 11th Floor, New York, NY, 10012 In support of an international conference on German Studies	\$ 3,000 00

MAX KADE FOUNDATION, INC.

ID NO 13-5658082

DESCRIPTION OF GRANTS MADE IN 2015

Name and Address of Grantee / Description of Grant or Project	Amount of Grant
NORTHWESTERN UNIVERSITY, 1880 Campus Drive, Evanston, IL, 60208 In support of travel grants for summer study in Berlin program (10)	\$ 10,000 00
UNIVERSITY OF NOTRE DAME, 318 O'Shaughnessy Hall, Notre Dame, IN, 46556 In support of travel grants for summer study in Goethe Institutes in Germany (15 students)	\$ 18,000 00
THE OHIO STATE UNIVERSITY, 1775 College Road, Columbus, OH, 43210 In support of travel grants for 23 students in Dresden Summer Study program	\$ 23,000 00
THE OHIO STATE UNIVERSITY, 1775 College Road, Columbus, OH, 43210 In support of one Graduate Fellowship in German Studies	\$ 17,000 00
OREGON STATE UNIVERSITY, 300E Gilkey Hall, Corvallis, OR, 97331 In support of a transatlantic student symposium on German Studies	\$ 10,000 00
ORPHEUS CHAMBER ORCHESTRA INC , 490 Riverside Drive, 11th Floor, New York, NY, 10027 In support of its tour program in Germany & Austria during April 2015	\$ 50,000 00
ORPHEUS CHAMBER ORCHESTRA INC , 490 Riverside Drive, 11th Floor, New York, NY, 10027 In support of its musical program with Dresden Music Festival	\$ 25,000 00
PATERSON DIOCESE, 777 Valley Road, Clifton, NJ, 07013 In support of a Christmas concert	\$ 5,000 00
THE PENNSYLVANIA STATE UNIVERSITY, 311 Burrowes Building, University Park, PA, 16802 In support of one Graduate Fellowship in German Studies	\$ 18,000 00
THE PENNSYLVANIA STATE UNIVERSITY, 311 Burrowes Building, University Park, PA, 16802 In support of travel grants for summer study in Dresden (6 undergraduate students)	\$ 6,000 00
PRINCETON UNIVERSITY, 209 East Pyne Building, Princeton, NJ, 08544 In support of travel tuition awards (47 @ \$1,750 each) for summer study at Goethe Institute in Munich	\$ 82,250 00
PRINCETON UNIVERSITY, 209 East Pyne Building, Princeton, NJ, 08544 In support of travel fellowships for 16 undergraduates in summer work program in Germany	\$ 19,200 00
PRINCETON UNIVERSITY, 209 East Pyne Building, Princeton, NJ, 08544 In support of one Graduate Fellowship in German Studies-teaching assistant to director of summer work program	\$ 13,000 00
THE UNIVERSITY OF RHODE ISLAND FOUNDATION, 67 Upper College Road, Kingston, RI, 02881 In support of its Graduate Fellowship in Dual-Degree program (3 @ \$12,000 each)	\$ 36,000 00
THE UNIVERSITY OF RHODE ISLAND FOUNDATION, 67 Upper College Road, Kingston, RI, 02881 In support of travel grants for a study trip to Germany (24 students)	\$ 24,000 00
RIPON COLLEGE, 300 Seward Street, Ripon, WI, 54971 In support of travel grants for undergraduate students to study in Bonn (1)	\$ 1,000 00
RUTGERS UNIVERSITY FOUNDATION, 172 College Avenue, New Brunswick, NJ, 08901 In support of travel grants for summer study in Berlin program (18 @ \$1,200)	\$ 21,600 00
RUTGERS UNIVERSITY FOUNDATION, 172 College Avenue, New Brunswick, NJ, 08901 In support of scholarships for study abroad in Germany (2 @ \$5,000)	\$ 10,000 00
RUTGERS UNIVERSITY FOUNDATION, 172 College Avenue, New Brunswick, NJ, 08901 In support of its Graduate Fellowship program in German Studies (2 @ \$18,000 each)	\$ 36,000 00
UNIVERSITY OF SOUTH FLORIDA FOUNDATION, INC , 4202 E Fowler Avenue, SVC 1088, Tampa, FL, 33620 In support of a study abroad program in Osnabrueck, Germany (23 students)	\$ 23,000 00
STONY BROOK FOUNDATION INC , E3320 Melville Library, Stony Brook, NY, 11794 In support of its Graduate Fellowship in philosophy exchange program in Germany (3 @ \$18,000 each)	\$ 54,000 00
THE UNIVERSITY OF TEXAS AT AUSTIN, 1 University Station/C3300, Austin, TX, 78712 In support of a Graduate Fellowship program in German Studies	\$ 14,000 00
THE VALLEY HOSPITAL FOUNDATION, 223 North Van Dien Avenue, Ridgewood, NJ, 07450 In support of research work on neuro-interventional procedures on the brain and back	\$ 147,000 00

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THE VALLEY HOSPITAL FOUNDATION, 223 North Van Dien Avenue, Ridgewood, NJ, 07450 In support of the research program of Valley Hospital	\$ 5,000 00
VALPARAISO UNIVERSITY, 1700 Chapel Drive, Valparaiso, IN, 46383 In support of its Exchange Program with Tuebingen (6 fellowships @ \$4,000 each)	\$ 24,000 00
VANDERBILT UNIVERSITY, 301 Kirkland Hall, Nashville, TN, 37240 In support of a research exchange program with Leipzig (6 students, 2 professors)	\$ 50,000 00
VANDERBILT UNIVERSITY, 301 Kirkland Hall, Nashville, TN, 37240 In support of its Graduate Fellowship program for 2015, 16 (1 @ \$7,500)	\$ 7,500 00
UNIVERSITY OF WASHINGTON, 340-C Denny, Seattle, WA, 98195 In support of its Graduate Fellowship program in German Studies (3 @ \$18,000 each)	\$ 54,000 00
UNIVERSITY OF WASHINGTON, 340-C Denny, Seattle, WA, 98195 In support of travel grants for students in exchange program with Heidelberg and Vienna (10)	\$ 10,000 00
WASHINGTON UNIVERSITY, One Brookings Drive, St. Louis, MO, 63130 In support of its Summer Dissertation program in contemporary German literature	\$ 3,500 00
WASHINGTON UNIVERSITY, One Brookings Drive, St. Louis, MO, 63130 In support of its Graduate Research Assistant	\$ 18,500 00
WAYNE STATE UNIVERSITY, 401 Manoogian Hall, Detroit, MI, 48202 In support of its Junior Year in Munich Program (21 students)	\$ 26,000 00
REGENTS OF THE UNIVERSITY OF WISCONSIN FOUNDATION, 716 Langdon Street, Madison, WI, 53706 In support of its Academic Year in Freiburg program	\$ 25,000 00
WYCKOFF FAMILY YMCA, 691 Wyckoff Avenue, Wyckoff, NJ, 07481 In support of its cultural activities including theater, visual and performing arts	\$ 25,000 00
YALE UNIVERSITY, P O Box 208210, New Haven, CT, 06520 In support of its summer program with Berlin and Baden Wuerttemberg (29 students)	\$ 20,000 00
YALE UNIVERSITY, P O Box 208210, New Haven, CT, 06520 In support of an international conference on Ernst Cassirer	\$ 8,000 00
	\$ 2,365,650 00

IV. GRANTS MADE TO EDUCATIONAL INSTITUTIONS FOR EQUIPMENT OF MAX KADE LANGUAGE CENTERS AND FOR OTHER CHARITABLE ACTIVITIES

These grants are closely related to the program of language study and the effort to increase the competence of language teachers. In order to strengthen quality and effectiveness of teaching and learning of foreign languages, the Foundation has established, at various areas of the U.S., language centers (Max Kade Houses) at qualified universities and colleges. The facilities made available usually include meeting and lecture rooms, lounges, sleeping quarters, as well as a library.

The following grants were made for equipment of said language centers and other charitable activities to the institutions named hereinafter:

ALLEGHENY COLLEGE, 520 North Main Street, Meadville, PA 16335	\$ 6,000 00
AMERICAN FRIENDS OF DLA MARBACH, INC., 1505 N.W. 51st Terrace, Gainesville, FL, 32605 In support of the renovation of the Collegienhaus in Marbach (1)	\$ 300,000 00
BELMONT UNIVERSITY, 1900 Belmont Boulevard, Nashville, TN, 37212	\$ 6,000 00
CASE WESTERN RESERVE UNIVERSITY, 10900 Euclid Avenue, Cleveland, OH, 44106	\$ 6,000 00
THE UNIVERSITY OF CHICAGO, 1050 E. 59th Street, Chicago, IL, 60637	\$ 5,000 00
UNIVERSITY OF CINCINNATI, P O Box 210372, Cincinnati, OH, 45221	\$ 6,000 00
COLGATE UNIVERSITY, 13 Oak Drive, Hamilton, NY 13346	\$ 6,000 00

MAX KADE FOUNDATION, INC.

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Name and Address of Grantee / Description of Grant or Project	Amount of Grant
UNIVERSITY OF COLORADO FOUNDATION, INC., McKenna 129 Boulder CO 80309	\$ 5,000 00
THE COLORADO COLLEGE, 14 East Cache La Poudre, Colorado Springs, CO 80905	\$ 6,000 00
COLUMBIA UNIVERSITY 535 West 116th Street, New York, NY, 10027	\$ 5,000 00
CONCORDIA COLLEGE, 901 5th Street South, Moorhead, MN 56502	\$ 6,000 00
CORNELL UNIVERSITY, 182 Goldwin Smith Hall Ithaca, NY, 14853	\$ 5,000 00
DARTMOUTH COLLEGE 6084 Dartmouth Hall, Hanover, NH 03755	\$ 6,000 00
DEUTSCHES STUDENTENWERK E.V., Monbijouplatz 11, Berlin, Brandenburg, Germany, 10178 In support of the establishment of a Max Kade House dormitory in Frankfurt-Oder (3/final)	\$ 100,000 00
DEUTSCHES STUDENTENWERK E.V. Monbijouplatz 11, Berlin, Brandenburg, Germany, 10178 In support of the establishment of a Max Kade House dormitory in Worms (2)	\$ 200,000 00
DEUTSCHES STUDENTENWERK E.V., Monbijouplatz 11, Berlin, Brandenburg, . 10178 In support of the establishment of a Max Kade House dormitory in Worms (3/final)	\$ 100,000 00
DICKINSON COLLEGE P.O. Box 1773, Carlisle PA, 17013	\$ 6,000 00
FRIENDS OF DRESDEN, INC., 1230 York Avenue, New York, NY, 10065 In support of its projects and programs	\$ 25,000 00
GOETHE UNIVERSITY FRANKFURT AM MAIN, Senckenberganlage 31, Frankfurt a.M., Hessen, Germany 60325 In support of the establishment of a Max Kade House dormitory (3/final)	\$ 100,000 00
THE GERMAN SOCIETY OF PENNSYLVANIA 611 Spring Garden Street, Philadelphia PA, 19123 Library needs & equipment of its building and programs of German culture	\$ 20,000 00
GERMAN-AMERICAN SCHOOL ASSOCIATION 6 East 87th Street, New York, NY 10128 For improvement & maintenance of school's facilities & support of faculty compensation fund	\$ 30,000 00
UNIVERSITY OF ILLINOIS, 601 South Morgan Street, Chicago, IL 60607	\$ 1,000 00
INDIANA UNIVERSITY, 425 University Boulevard, Indianapolis, IN 46202	\$ 6,000 00
JOHNS HOPKINS UNIVERSITY, 3406 N. Charles Street, Baltimore MD, 21218	\$ 5,000 00
KANSAS UNIVERSITY ENDOWMENT ASSOCIATION, 1445 Jayhawk Blvd., Room 2080 Lawrence, KS, 66045	\$ 6,000 00
UNIVERSITY OF KASSEL, Moenchebergstrasse 19 Kassel, Hessen, Germany, . 34109 In support of the establishment of a Max Kade House dormitory (3/final)	\$ 100,000 00
UNIVERSITY OF KENTUCKY 101 Main Building, Lexington, KY, 40506	\$ 6,000 00
LAFAYETTE COLLEGE, 730 High Street, Easton, PA, 18042	\$ 5,000 00
LIEDERKRANZ FOUNDATION INC., 6 East 87th Street, New York, NY, 10128	\$ 25,000 00
LIEDERKRANZ FOUNDATION INC., 6 East 87th Street, New York, NY, 10128	\$ 25,000 00
LIEDERKRANZ FOUNDATION, INC., 6 East 87th Street, New York, NY 10128 In support of the renovation & maintenance of its facilities	\$ 25,000 00
UNIVERSITY OF MASSACHUSETTS Box 33925, Amherst MA, 01005	\$ 6,000 00
THE UNIVERSITY OF MICHIGAN 812 F Washington St. Ann Arbor, MI, 48109	\$ 6,000 00
MIDDLEBURY COLLEGE 131 S Main St., Middlebury, VT 05753	\$ 6,000 00

MAX KADE FOUNDATION, INC.

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MILLERSVILLE UNIVERSITY, P.O. Box 1002, Millersville, PA, 17551	\$ 5,000.00
MIDDLEBURY INSTITUTE OF INTERNATIONAL STUDIES, 460 Pierce Street, Monterey, CA, 93940	\$ 6,000.00
NEW YORK UNIVERSITY, 42 Washington Mews, New York, NY, 10003 For renovations/updates to Deutsches Haus and 2015 cultural programs	\$ 30,000.00
OBERLIN COLLEGE, 50 North Professor Street, Oberlin, OH, 44071	\$ 6,000.00
THE OHIO STATE UNIVERSITY, 1775 College Road, Columbus, OH, 43210	\$ 6,000.00
UNIVERSITY OF PENNSYLVANIA, 255 South 36th Street, Philadelphia, PA, 19104	\$ 6,000.00
THE PENNSYLVANIA STATE UNIVERSITY, 231 Burtowes Building, University Park, PA, 16802	\$ 6,000.00
THE UNIVERSITY OF RHODE ISLAND FOUNDATION, 67 Upper College Road, Kingston, RI, 02881	\$ 5,000.00
UNIVERSITY OF SIEGEN, Herrengarten 3, Siegen, Nordrhein-Westfalen, Germany, 57068 In support of the establishment of a Max Kade House dormitory (2 final)	\$ 300,000.00
UNIVERSITY OF SOUTHERN CALIFORNIA, 2714 South Hoover Street, Los Angeles, CA, 90007	\$ 6,000.00
VALPARAISO UNIVERSITY, Kretzmann Hall, Valparaiso, IN, 46383	\$ 6,000.00
VANDERBILT UNIVERSITY, 205 Kirkland Hall, Nashville, TN, 37240 In support of the establishment of an endowed Max Kade Foundation Chair in German Studies (1)	\$ 500,000.00
VANDERBILT UNIVERSITY, 205 Kirkland Hall, Nashville, TN, 37240	\$ 6,000.00
UNIVERSITY OF VIRGINIA, 108 Coker Hall, Charlottesville, VA, 22904	\$ 6,000.00
UNIVERSITY OF WISCONSIN FOUNDATION, 901 University Bay Drive, Madison, WI, 53705	\$ 6,000.00
	<u>\$ 2,071,000.00</u>

V. MISCELLANEOUS GRANTS

AMERICAN MUSEUM OF NATURAL HISTORY, Central Park at 79th Street, New York, NY, 10024	\$ 3,000.00
EV LUTHERAN CHURCH OF ST. PAUL, P.O. Box 1971, New York, NY, 10013	\$ 5,000.00
	<u>\$ 8,000.00</u>
TOTAL GRANTS MADE IN 2015	<u>\$ 5,741,540.00</u>

Type		Sold	Aquired	Description	Proceeds	Cost
Deposit	s	10/30/2015	09/21/2015	COCA COLA CO	105,710	98,819
Deposit	s	03/26/2015	07/20/2015	RIO TINTO PLC SPON ADR	404,568	443,261
Deposit	s	12/22/2015	01/05/2015	FRANKLIN INCOME ADV	4,674	5,390
Deposit	s	01/05/2015	12/31/2014	ARES CAPITAL CORP	10,768	13,330
Deposit	s	12/22/2015	12/30/2014	ANGEL OAK MULTI STRAT INC	4,400	4,567
Deposit	s	08/14/2015	12/30/2014	BROOKFIELD GLB LISTED INFRNT	5,182	5,715
Deposit	s	12/22/2015	12/30/2014	JP MORGAN CORE BOND SEL	1,842	1,881
Deposit	s	02/12/2015	12/29/2014	ENERGY ECTOR SPDR TRUST	497,652	501,569
Deposit	s	12/22/2015	12/26/2014	AMG YACKTMAN FOCUSED SVC	12,910	13,798
Deposit	s	07/08/2015	08/05/2014	TAL EDUC GROUP ADS	240,626	236,602
Deposit	s	03/11/2015	03/27/2014	JP MORGAN STRATEGIC INCOME OPPORTUNITIES I	13,884	14,080
Deposit	s	01/02/2015	03/26/2014	DRIEHAUS SELECT CREDIT FUND	17,719	19,207
Deposit	s	01/15/2015	01/17/2014	FFCB MED TERM NTS	1,000,000	1,000,000
					2,319,934	2,358,220

Sold	Aquired	Memo	Description	Proceeds	Cost
12/22/2015	10/29/2014	SOLD 103,760 14	FRANKLIN INCOME ADV	213,746	252,124
01/02/2015	10/29/2014	SOLD 12,500	HALLIUBURTON CO (HOLDING CO)	497,141	628,380
10/30/2015	07/09/2014	SOLD 12,500	COCA COLA CO	528,549	520,923
12/22/2015	06/12/2014	SOLD 7,200	VANGUARD INFO TECH ETF	783,506	660,760
09/21/2015	06/04/2014	SOLD 3,600	BROADCOM CORP	188,974	132,334
12/22/2015	04/25/2014	SOLD 16,664 43	ANGEL OAK MULTI STRAT INC	194,307	203,121
08/19/2015	03/28/2014	SOLD 4,256	VEECO INSTRUMENTS INC	94,682	168,309
08/18/2015	02/03/2014	SOLD 8,244	VEECO INSTRUMENTS INC	187,230	256,757
12/31/2015	12/31/2013	Various	Corsair Capital Investors	871,847	750,000
12/31/2015	12/31/2013	Various	Maricopa Cnty AZ	0	9,106
12/31/2015	12/31/2013	Various	PJT PARTNERS INC COM CL A	476	0
12/31/2015	12/31/2013	Various	SYNCHRONY FINANCIAL	14,463	0
12/31/2015	12/31/2013	Various	US Government Obligations	17	0
01/02/2015	12/30/2013	SOLD 17,207 473	DRIEHAUS SELECT CREDIT FUND	157,448	175,000
11/02/2015	11/02/2013	CAPITAL GAIN DISTRII	BLACKSTONE GROUP LP	1,560	0
12/22/2015	09/06/2013	SOLD 36,822 350	BLACKROCK GLOBAL L/S CREDIT	380,375	394,702
12/31/2015	09/06/2013	Various	BLACKROCK GLOBAL L/S CREDIT	0	1
12/22/2015	09/06/2013	SOLD 6,488 331	T ROWE PRICE CAP APPREC FD	161,624	164,405
08/18/2015	08/18/2013	CAPITAL GAIN DISTRII	KKR & CO	7,074	0
08/14/2015	04/19/2013	SOLD 8,834 240	BROOKFIELD GLB LISTED INFRNT	115,025	113,587
12/31/2015	04/19/2013	Various	BROOKFIELD GLB LISTED INFRNT	0	740
02/05/2015	01/09/2013	SOLD 750,000	STAPLES INC NTS 4 375	727,442	766,408
12/22/2015	06/15/2012	SOLD 6,963 39	AMG YACKTMAN FOCUSED SVC	171,091	150,063
12/22/2015	06/15/2012	SOLD 6,183 342	E V ATLANTA CAP SMID CAP I	159,839	118,869
03/11/2015	06/15/2012	SOLD 15,107 295	JP MORGAN STRATEGIC INCOME OPPORTUNITIES F	177,511	177,850
11/18/2015	06/15/2012	SOLD 6,500	PLAINS ALL AMERICAN PIPLIN	163,813	252,477
12/31/2015	06/15/2012	Various	PLAINS ALL AMERICAN PIPLIN	45,455	0
01/02/2015	06/08/2012	SOLD 15,000	SPDR S&P BRIC 40	327,199	359,056
12/22/2015	05/17/2012	SOLD 20,871 76	JP MORGAN CORE BOND SEL	241,695	245,999
09/30/2015	08/01/2011	SOLD 992 537	ALPHAKEYS MILLENNIUM OFFSHORE FUND	1,329,462	1,000,000
12/15/2015	04/20/2011	Various	Church & Dwight	400,000	406,006
07/09/2015	02/28/2011	SOLD 500,000	VALE OVERSEAS	517,744	500,005
02/09/2015	01/21/2011	Sold 500,000 Shares	Wells Fargo	500,000	539,855
12/21/2015	01/20/2011	Various	Metlife	547,391	513,506
10/30/2015	04/06/2010	SOLD 12,500	INTEL CORP	420,491	248,759
12/24/2015	03/16/2010	SOLD 1,417	MC DONALDS CORP	167,663	93,905
10/30/2015	02/05/2010	SOLD 500,000	MARICOPA CNTY	550,549	507,149
01/16/2015	11/17/2009	SOLD 1,000,000	PETRO-CANADA NTS	1,120,755	1,099,395
08/21/2015	08/11/2009	SOLD 10,000	ISHARES MSCI CANADA	240,200	273,621
11/23/2015	08/25/2008	CASH IN LIEU	SYNCHRONY FINANCIAL	13	0
11/04/2015	08/28/2007	SOLD 1,000,000	WYETH	1,011,964	1,000,482
11/04/2015	08/28/2007	Various	WYETH	0	12,023
10/30/2015	03/16/2004	SOLD 2,500	COLAGATE PALMOLIVE CO	166,397	68,729
10/30/2015	05/08/2002	SOLD 2,500	BP PLC ADS	89,081	77,200
03/26/2015	Various	SOLD 10,000	NATL-OILWELLVARCO INC	489,211	616,595
TOTAL				13,963,013	13,458,202