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Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

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▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf

OMB No 1545-0052

2015

Open to Public Inspection

For calendar year 2015 or tax year beginning

, 2015, and ending

, 20

Name of foundation

UW EDWARD & ELLEN ROCHE REL FDN

Number and street (or P O box number if mail is not delivered to street address)

P O BOX 1802

City or town, state or province, country, and ZIP or foreign postal code

PROVIDENCE, RI 02901-1802

G Check all that apply

Initial return

Final return

Address change

Initial return of a former public charity

Amended return

Name change

H Check type of organization:

☐ Section 501(c)(3) exempt private foundation☒ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundation

I Fair market value of all assets at

end of year (from Part II, col. (c), line

16) ▶ \$ 5,314,400.

J Accounting method ☒ Cash ☐ Accrual☐ Other (specify) _____

(Part I, column (d) must be on cash basis)

A Employer identification number

13-5622067

B Telephone number (see instructions)

888-866-3275

C If exemption application is
pending, check here☐

D 1 Foreign organizations, check here

☐2 Foreign organizations meeting the
85% test, check here and attach
computation☐E If private foundation status was terminated
under section 507(b)(1)(A), check here☐F If the foundation is in a 60-month termination
under section 507(d)(1)(B), check here☐**Part I Analysis of Revenue and Expenses** (The
total of amounts in columns (b), (c), and (d)
may not necessarily equal the amounts in
column (a) (see instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule)				
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B.				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	120,956	121,203		STMT 1
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	979,281			
b Gross sales price for all assets on line 6a	3,311,227			
7 Capital gain net income (from Part IV, line 2)		979,281		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)		13		STMT 2
12 Total. Add lines 1 through 11	1,100,237	1,100,497		
13 Compensation of officers, directors, trustees, etc	61,674	37,004		24,670
14 Other employee salaries and wages		NONE	NONE	
15 Pension plans, employee benefits		NONE	NONE	
16a Legal fees (attach schedule)				
b Accounting fees (attach schedule)				
c Other professional fees (attach schedule) STMT 3	13,592			13,592
17 Interest				
18 Taxes (attach schedule) (see instructions) STMT 4	11,751	2,023		
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings		NONE	NONE	
22 Printing and publications	2	NONE	NONE	2
23 Other expenses (attach schedule) STMT 5	2,334	3,484		250
24 Total operating and administrative expenses. Add lines 13 through 23.	89,353	42,511	NONE	38,514
25 Contributions, gifts, grants paid	225,000			225,000
26 Total expenses and disbursements Add lines 24 and 25	314,353	42,511	NONE	263,514
27 Subtract line 26 from line 12				
a Excess of revenue over expenses and disbursements	785,884			
b Net investment income (if negative, enter -0-)		1,057,986		
c Adjusted net income (if negative, enter -0-)				

Operating and Administrative Expenses

Revenue

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments	253,137.	133,108.	133,108.
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶	NONE		
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U S and state government obligations (attach schedule)	400,762.	399,692.	399,524.
	b	Investments - corporate stock (attach schedule)	3,245,751.	4,261,493.	4,504,897.
	c	Investments - corporate bonds (attach schedule)	227,424.	150,701.	149,731.
	Liabilities	11	Investments - land, buildings, and equipment basis Less: accumulated depreciation (attach schedule) ▶		
12		Investments - mortgage loans			
13		Investments - other (attach schedule)	128,464.	129,637.	127,140.
14		Land, buildings, and equipment basis Less: accumulated depreciation (attach schedule) ▶			
15		Other assets (describe ▶)			
16		Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	4,255,538.	5,074,631.	5,314,400.
17		Accounts payable and accrued expenses			
18		Grants payable			
19		Deferred revenue			
20		Loans from officers, directors, trustees, and other disqualified persons . .			
21	Mortgages and other notes payable (attach schedule)				
22	Other liabilities (describe ▶)				
23	Total liabilities (add lines 17 through 22)		NONE		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input checked="" type="checkbox"/>				
	27	Capital stock, trust principal, or current funds	4,255,538.	5,074,631.	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds . .			
30	Total net assets or fund balances (see instructions)	4,255,538.	5,074,631.		
31	Total liabilities and net assets/fund balances (see instructions)	4,255,538.	5,074,631.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	4,255,538.
2	Enter amount from Part I, line 27a	2	785,884.
3	Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 13	3	36,866.
4	Add lines 1, 2, and 3	4	5,078,288.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 14	5	3,657.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	5,074,631.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a PUBLICLY TRADED SECURITIES					
b OTHER GAINS AND LOSSES					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a 2,845,052.		1,853,623.	991,429.		
b 466,175.		478,323.	-12,148.		
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
a			991,429.		
b			-12,148.		
c					
d					
e					
2 Capital gain net income or (net capital loss)			2	979,281.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):			3		
If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8					

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2014	269,942.	5,625,004.	0.047990
2013	247,622.	5,230,070.	0.047346
2012	235,731.	4,798,247.	0.049129
2011	224,941.	4,852,023.	0.046360
2010	223,450.	4,653,626.	0.048016
2 Total of line 1, column (d)			2 0.238841
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.047768
4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5			4 5,476,811.
5 Multiply line 4 by line 3			5 261,616.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 10,580.
7 Add lines 5 and 6			7 272,196.
8 Enter qualifying distributions from Part XII, line 4			8 263,514.
If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1		
	Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	21,160.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2	3	21,160.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	NONE
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	21,160.
6	Credits/Payments:		
a	2015 estimated tax payments and 2014 overpayment credited to 2015	6a	7,000.
b	Exempt foreign organizations - tax withheld at source	6b	NONE
c	Tax paid with application for extension of time to file (Form 8868)	6c	19,470.
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	26,470.
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	5,310.
11	Enter the amount of line 10 to be Credited to 2016 estimated tax <input type="checkbox"/> 5,310. Refunded <input type="checkbox"/> <input type="checkbox"/>	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> <u>NY</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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**Part VII-A Statements Regarding Activities (continued)**

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	X	
14 The books are in care of <u>U S TRUST FIDUCIARY TAX SVC</u> Telephone no <u>(888) 866-3275</u> Located at <u>P.O. BOX 1802, PROVIDENCE, RI</u> ZIP+4 <u>02901-1802</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year		X
16 At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country <u></u>		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <u></u>	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? If "Yes," list the years <u></u>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u></u>		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.)	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). ☐ Yes ☒ No(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ NoOrganizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 17		61,674.		

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

Total number of other employees paid over \$50,000 ☐ NONE

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	5,406,262.
b	Average of monthly cash balances	1b	153,952.
c	Fair market value of all other assets (see instructions).	1c	NONE
d	Total (add lines 1a, b, and c)	1d	5,560,214.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	5,560,214.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	83,403.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	5,476,811.
6	Minimum investment return. Enter 5% of line 5	6	273,841.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	273,841.
2a	Tax on investment income for 2015 from Part VI, line 5 2a		21,160.
b	Income tax for 2015. (This does not include the tax from Part VI.) 2b		
c	Add lines 2a and 2b	2c	21,160.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	252,681.
4	Recoveries of amounts treated as qualifying distributions	4	NONE
5	Add lines 3 and 4	5	252,681.
6	Deduction from distributable amount (see instructions).	6	NONE
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	252,681.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	263,514.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	263,514.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	N/A
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	263,514.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				252,681.
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only.			223,775.	
b Total for prior years 20____, 20____, 20____		NONE		
3 Excess distributions carryover, if any, to 2015				
a From 2010	NONE			
b From 2011	NONE			
c From 2012	NONE			
d From 2013	NONE			
e From 2014	NONE			
f Total of lines 3a through e	NONE			
4 Qualifying distributions for 2015 from Part XII, line 4: ► \$ 263,514.				
a Applied to 2014, but not more than line 2a . . .			223,775.	
b Applied to undistributed income of prior years (Election required - see instructions)		NONE		
c Treated as distributions out of corpus (Election required - see instructions)	NONE			
d Applied to 2015 distributable amount.				39,739.
e Remaining amount distributed out of corpus. . .	NONE			
5 Excess distributions carryover applied to 2015 . (If an amount appears in column (d), the same amount must be shown in column (a).)	NONE			NONE
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	NONE			
b Prior years' undistributed income. Subtract line 4b from line 2b.		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d Subtract line 6c from line 6b. Taxable amount - see instructions		NONE		
e Undistributed income for 2014. Subtract line 4a from line 2a. Taxable amount - see instructions				
f Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016.				212,942.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	NONE			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions) . . .	NONE			
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a	NONE			
10 Analysis of line 9:				
a Excess from 2011 . . .	NONE			
b Excess from 2012 . . .	NONE			
c Excess from 2013 . . .	NONE			
d Excess from 2014 . . .	NONE			
e Excess from 2015 . . .	NONE			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2015	(b) 2014	(c) 2013	(d) 2012	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

N/A

b The form in which applications should be submitted and information and materials they should include:

N/A

c Any submission deadlines:

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

N/A

**Part XV** **Supplementary Information** *(continued)***3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SEE STATEMENT 22				225,000.
Total			▶ 3a	225,000.
b <i>Approved for future payment</i>				
Total			▶ 3b	

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UW EDWARD & ELLEN ROCHE REL FDN

13-5622067

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
USGI REPORTED AS NONQUALIFIED DIVIDENDS	460.	460.
FOREIGN DIVIDENDS	24,472.	24,472.
NONDIVIDEND DISTRIBUTIONS	790.	
DOMESTIC DIVIDENDS	66,626.	66,626.
OTHER INTEREST	4,706.	4,706.
FOREIGN INTEREST	710.	710.
U.S. GOVERNMENT INTEREST(FEDERAL TAXABLE	2,257.	2,257.
NON-TAXABLE FOREIGN INCOME	-1,037.	
NONDISTRIBUTIVE FOREIGN DIVIDENDS	333.	333.
NONQUALIFIED FOREIGN DIVIDENDS	4,002.	4,002.
NONQUALIFIED DOMESTIC DIVIDENDS	17,637.	17,637.
	-----	-----
TOTAL	120,956.	121,203.
	=====	=====

UW EDWARD & ELLEN ROCHE REL FDN

13-5622067

FORM 990PF, PART I - OTHER INCOME
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
PARTNERSHIP INCOME		13.
	-----	-----
TOTALS	=====	=====
		13.
		=====

UW EDWARD & ELLEN ROCHE REL FDN

13-5622067

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS
-------------	---

CHARITABLE PURPOSES

GRANTMAKING FEES - BOA

13,592.

13,592.

TOTALS

13,592.

13,592.

UW EDWARD & ELLEN ROCHE REL FDN

13-5622067

FORM 990PF, PART I - TAXES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
FOREIGN TAXES	2,002.	2,002.
EXCISE TAX - PRIOR YEAR	3,000.	
EXCISE TAX ESTIMATES	6,728.	
FOREIGN TAXES ON QUALIFIED FOR	5.	5.
FOREIGN TAXES ON NONQUALIFIED	16.	16.
	-----	-----
TOTALS	11,751.	2,023.
	=====	=====

UW EDWARD & ELLEN ROCHE REL FDN

13-5622067

FORM 990PF, PART I - OTHER EXPENSES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	CHARITABLE PURPOSES -----
OTHER ALLOCABLE EXPENSE-PRINCI	514.	514.	
OTHER ALLOCABLE EXPENSE-INCOME	514.	514.	
OTHER INVESTMENT FEE	30.	30.	
STATE FILING FEE	250.		250.
DIVIDEND INVESTMENT EXPENSE -	1,026.	1,026.	
PARTNERSHIP EXPENSES	1,400.	1,400.	
TOTALS	2,334.	3,484.	250.
	=====	=====	=====

UW EDWARD & ELLEN ROCHE REL FDN

13-5622067

FORM 990PF, PART II - U.S. AND STATE OBLIGATIONS
=====

DESCRIPTION -----	BEGINNING BOOK VALUE -----	ENDING BOOK VALUE -----	ENDING FMV ---
912828RF9 UNITED STATES TREAS	201,785.	200,715.	200,406.
912828SY7 UNITED STATES TREAS	198,977.	198,977.	199,118.
TOTALS	400,762.	399,692.	399,524.
	=====	=====	=====

UW EDWARD & ELLEN ROCHE REL FDN
FORM 990PF, PART II - CORPORATE STOCK
=====

13-5622067

DESCRIPTION -----	BEGINNING BOOK VALUE -----	ENDING BOOK VALUE -----	ENDING FMV ---
166764100 CHEVRON CORP COM	93,629.	25,110.	26,538.
30231G102 EXXON MOBIL CORP COM	4,203.	3,236.	90,032.
806857108 SCHLUMBERGER LTD COM	46,877.		
150870103 CELANESE CORP DEL SE	33,987.		
61166W101 MONSANTO CO NEW COM	27,349.		
767204100 RIO TINTO PLC SPONSO	79,218.		
235851102 DANAHER CORP DEL COM	49,839.		
291011104 EMERSON ELEC CO COM	46,847.		
438516106 HONEYWELL INTL INC C	37,746.		
775781206 ROLLS-ROYCE HLDGS PL	76,390.		
98419M100 XYLEM INC COM	62,306.		
099724106 BORG WARNER INC COM	29,042.		
548661107 LOWES COS INC COM	47,596.		
87612E106 TARGET CORP COM	30,903.	30,903.	43,566.
887317303 TIME WARNER INC NEW	31,899.	23,286.	47,209.
25243Q205 DIAGEO PLC SPONSORED	82,057.		
50076Q106 KRAFT FOODS GROUP IN	27,094.		
609207105 MONDELEZ INTL INC CO	76,720.		
713448108 PEPSICO INC COM	75,261.	31,585.	63,449.
002824100 ABBOTT LABS COM	44,245.		
071813109 BAXTER INTL INC COM	63,370.		
790849103 ST JUDE MED INC COM	28,957.		
G0408V102 AON PLC COM	24,540.		
857477103 STATE STR CORP COM	111,703.		
902973304 US BANCORP DEL COM N	34,845.	30,431.	55,898.
037833100 APPLE INC COM	36,973.	56,502.	89,997.
17275R102 CISCO SYS INC COM	98,844.	38,952.	52,681.
219350105 CORNING INC COM	86,473.	23,224.	29,796.
268648102 EMC CORP COM	47,980.		

UW EDWARD & ELLEN ROCHE REL FDN

FORM 990PF, PART II - CORPORATE STOCK
=====

13-5622067

DESCRIPTION -----	BEGINNING BOOK VALUE -----	ENDING BOOK VALUE -----	ENDING FMV ---
38259P508 GOOGLE INC CL A COM	51,125.		
594918104 MICROSOFT CORP COM	98,543.	42,088.	87,381.
693390841 PIMCO HIGH YIELD FD	50,765.	112,061.	100,284.
722005667 PIMCO COMMODITY REAL	48,516.		
1261291M7 INTERM GOVT/CREDIT B	264,777.		
82599C991 SHORT TERM TAXABLE B	213,010.		
1261292H7 INTERNATIONAL EQUITY	478,763.	511,733.	351,420.
29099J109 EMERGING MARKETS STO	61,486.	72,991.	109,335.
G65431101 NOBLE CORP PLC COM	36,279.		
260543103 DOW CHEM CO COM	40,319.	19,850.	32,947.
00287Y109 ABBVIE INC COM	29,987.	22,491.	44,430.
30219G108 EXPRESS SCRIPTS HLDG	40,282.		
4812A4351 JPMORGAN STRATEGIC I	59,250.	59,250.	55,450.
693475857 PNC FINL SVCS GROUP	26,178.	26,178.	28,550.
902973817 US BANCORP DEL PRFD	27,969.	27,969.	26,820.
G48833100 WEATHERFORD INTL PL	57,448.		
38259P706 GOOGLE INC COM CL C	50,961.		
74925K581 ROBECO BOSTON PARTNE	73,200.	73,200.	74,550.
73935S105 POWERSHARES DB COMMO		171,393.	130,327.
922908553 VANGUARD REIT ETF		158,209.	163,845.
97717X701 WISDOMTREE EUROPE HE		157,060.	139,099.
055622104 BP P L C SPONSORED A		33,415.	31,260.
20825C104 CONOCOPHILLIPS COM		42,610.	38,519.
49456B101 KINDER MORGAN INC DE		20,304.	13,055.
460146103 INTERNATIONAL PAPER		44,264.	39,208.
369604103 GENERAL ELEC CO COM		30,326.	31,150.
539830109 LOCKHEED MARTIN CORP		38,764.	41,259.
773903109 ROCKWELL AUTOMATION		20,641.	20,522.
911312106 UNITED PARCEL SVC IN		51,058.	48,115.

UW EDWARD & ELLEN ROCHE REL FDN

13-5622067

FORM 990PF, PART II - CORPORATE STOCK
 =====

DESCRIPTION -----	BEGINNING BOOK VALUE -----	ENDING BOOK VALUE -----	ENDING FMV ----
254687106 DISNEY WALT CO COM D		28,323.	25,745.
345370860 FORD MTR CO DEL COM		33,054.	31,491.
437076102 HOME DEPOT INC COM		50,104.	52,900.
501797104 L BRANDS INC COM		34,351.	35,933.
580135101 MCDONALDS CORP COM		38,885.	46,075.
918204108 V F CORP COM		45,556.	45,131.
02209S103 ALTRIA GROUP INC COM		39,585.	40,747.
191216100 COCA COLA CO COM		18,688.	19,332.
494368103 KIMBERLY CLARK CORP		23,447.	25,460.
500754106 KRAFT HEINZ CORP COM		27,094.	48,458.
718172109 PHILIP MORRIS INTL I		62,840.	65,933.
742718109 PROCTER & GAMBLE CO		39,194.	38,911.
G5960L103 MEDTRONIC PLC COM		46,294.	48,075.
031162100 AMGEN INC COM		27,824.	29,219.
478160104 JOHNSON & JOHNSON CO		78,136.	80,635.
532457108 LILLY ELI & CO COM		54,166.	56,033.
58933Y105 MERCK & CO INC NEW C		63,361.	61,799.
717081103 PFIZER INC COM		48,623.	45,676.
054937107 BB&T CORP COM		60,010.	57,282.
09247X101 BLACKROCK INC CL A		63,141.	61,294.
14040H105 CAPITAL ONE FINL COR		35,744.	29,955.
46625H100 J P MORGAN CHASE & C		84,779.	83,858.
59156R108 METLIFE INC COM		44,300.	39,532.
693475105 PNC FINL SVCS GROUP		23,275.	23,828.
744320102 PRUDENTIAL FINL INC		44,529.	41,926.
949746101 WELLS FARGO & CO NEW		55,223.	53,816.
G1151C101 ACCENTURE PLC CL A C		15,520.	15,675.
02079K107 ALPHABET INC CL C CO		27,447.	102,449.
02079K305 ALPHABET INC CL A CO		30,678.	116,702.

UW EDWARD & ELLEN ROCHE REL FDN

13-5622067

FORM 990PF, PART II - CORPORATE STOCK
=====

DESCRIPTION -----	BEGINNING BOOK VALUE -----	ENDING BOOK VALUE -----	ENDING FMV ---
458140100 INTEL CORP COM		16,128.	17,225.
882508104 TEXAS INSTRS INC COM		14,116.	13,703.
92826C839 VISA INC CL A COM		23,543.	23,265.
983919101 XILINX INC COM		7,113.	7,046.
00206R102 AT&T INC COM		55,954.	54,024.
92343V104 VERIZON COMMUNICATIO		56,235.	55,002.
25746U109 DOMINION RES INC VA		49,823.	49,715.
592905509 METRO WEST T/R BD CL		280,294.	270,365.
207543877 SMALL CAP GROWTH LEA		41,973.	40,615.
302993993 MID CAP VALUE CTF		177,836.	157,145.
303995997 SMALL CAP VALUE CTF		42,726.	38,857.
323991307 MID CAP GROWTH CTF		139,870.	136,413.
99Z466197 INTERNATIONAL FOCUS		112,627.	110,960.
TOTALS	3,245,751. =====	4,261,493. =====	4,504,897. =====

UW EDWARD & ELLEN ROCHE REL FDN
FORM 990PF, PART II - CORPORATE BONDS
=====

13-5622067

DESCRIPTION -----	BEGINNING BOOK VALUE -----	ENDING BOOK VALUE -----	ENDING FMV ---
084670AV0 BERKSHIRE HATHAWAY I	50,982.		
36962G5Z3 GENERAL ELEC CAP COR	75,814.		
46623EJR1 J P MORGAN CHASE & C	49,867.		
25243YAR0 DIAGEO CAP PLC CO GT	50,761.	50,546.	49,974.
05531FAM5 BB&T CORP SR MEDIUM		49,875.	49,675.
084670BH0 BERKSHIRE HATHAWAY I		50,280.	50,082.
	-----	-----	-----
TOTALS	227,424.	150,701.	149,731.
	=====	=====	=====

UW EDWARD & ELLEN ROCHE REL FDN

13-5622067

FORM 990PF, PART II - OTHER INVESTMENTS
=====

DESCRIPTION -----	COST/ FMV C OR F -----	BEGINNING BOOK VALUE -----	ENDING BOOK VALUE -----	ENDING FMV ---
99Z353023 EXCELSIOR ABSOLUTE R	C	2,104.	2,104.	1,262.
300862109 UST EXCELSIOR VENTUR	C	20,306.	20,306.	16,071.
8EQO29993 MAN FRM ALTERNATIVE	C	106,054.	107,227.	109,807.
		-----	-----	-----
TOTALS		128,464.	129,637.	127,140.
		=====	=====	=====

UW EDWARD & ELLEN ROCHE REL FDN

13-5622067

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

=====

DESCRIPTION

AMOUNT

PARTNERSHIP ADJUSTMENT
AMORTIZATION ADJUSTMENT

36,685.

181.

TOTAL

36,866.

=====



UW EDWARD & ELLEN ROCHE REL FDN

13-5622067

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES
=====DESCRIPTION
-----AMOUNT

CTF ADJUSTMENT	2,976.
TYE INCOME ADJUSTMENT	609.
COST ADJUSTMENT	72.

TOTAL

3,657.
=====

[illegible]



UW EDWARD & ELLEN ROCHE REL FDN

13-5622067

GAINS AND LOSSES FROM PASS-THRU ENTITIES
=====NET SHORT-TERM GAIN (LOSS) FROM PARTNERSHIPS, S CORPORATIONS
AND OTHER FIDUCIARIES

COMMON TRUST FUNDS

-9,521.00

TOTAL NET SHORT-TERM GAIN OR LOSS (ROUNDED)

-9,521.00
=====NET LONG-TERM GAIN (LOSS) FROM PARTNERSHIPS, S CORPORATIONS
AND OTHER FIDUCIARIES

COMMON TRUST FUNDS

38,359.00

PARTNERSHIPS, TRUSTS, S CORPORATIONS

-36,685.00

TOTAL NET LONG-TERM GAIN OR LOSS (ROUNDED)

1,674.00
=====



.UW EDWARD & ELLEN ROCHE REL FDN

13-5622067

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

=====

OFFICER NAME:

BANK OF AMERICA, N.A.

ADDRESS:

114 W 47TH STREET

NEW YORK, NY 10036

TITLE:

TRUSTEE

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 1

COMPENSATION 61,674.

COMPENSATION EXPLANATION:

SEE ATTACHED

TOTAL COMPENSATION:

61,674.

=====



UW EDWARD & ELLEN ROCHE REL FDN

13-5622067

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID
=====

RECIPIENT NAME:

JACOB A. RIIS NEIGHBORHOOD SETTLEMENT

MR. CHRISTOPHER HANWAY

ADDRESS:

10-25 41ST AVENUE

LONG ISLAND CITY, NY 11101

RELATIONSHIP:

N/A

PURPOSE OF GRANT:

UNRESTRICTED GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 10,000.

RECIPIENT NAME:

ACCION EAST INC.

C/O MR. PAUL QUINTERO

ADDRESS:

80 MAIDEN LANE, SUITE 903

NEW YORK, NY 10038

RELATIONSHIP:

N/A

PURPOSE OF GRANT:

UNRESTRICTED GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 10,000.

RECIPIENT NAME:

HENRY STREET SETTLEMENT

ATTN: DAVID GARZA

ADDRESS:

265 HENRY STREET

NEW YORK, NY 10002

RELATIONSHIP:

N/A

PURPOSE OF GRANT:

UNRESTRICTED GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 15,000.

~~SECRET~~

UW EDWARD & ELLEN ROCHE REL FDN 13-5622067
FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID
=====

RECIPIENT NAME:

BOWERY RESIDENTS' COMMITTEE
ATTN: MR. MUZZY ROSENBLATT

ADDRESS:

131 WEST 25TH STREET, 12TH FLOOR
NEW YORK, NY 10001

RELATIONSHIP:

N/A

PURPOSE OF GRANT:

UNRESTRICTED GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 15,000.

RECIPIENT NAME:

SAFE HORIZON, INC.
MS. ARIEL ZWANG

ADDRESS:

2 LAFAYETTE STREET, 3RD FLOOR
NEW YORK, NY 10007

RELATIONSHIP:

N/A

PURPOSE OF GRANT:

UNRESTRICTED GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 15,000.

RECIPIENT NAME:

SANCTUARY FOR FAMILIES, INC.

ADDRESS:

P.O. BOX 1406, WALL STREET STATION
NEW YORK, NY 10268

RELATIONSHIP:

N/A

PURPOSE OF GRANT:

UNRESTRICTED GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 25,000.



UW EDWARD & ELLEN ROCHE REL FDN

13-5622067

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID
=====

RECIPIENT NAME:

ODYSSEY FOUNDATION

DR. PETER PROVET

ADDRESS:

120 WALL STREET

NEW YORK, NY 10005

RELATIONSHIP:

N/A

PURPOSE OF GRANT:

UNRESTRICTED GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 10,000.

RECIPIENT NAME:

URBAN JUSTICE CENTER

MS MADELINE GARCIA BIGELOW

ADDRESS:

40 RECTOR STREET, 9TH FLOOR

NEW YORK, NY 10006

RELATIONSHIP:

N/A

PURPOSE OF GRANT:

UNRESTRICTED GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 20,000.

RECIPIENT NAME:

LEGAL INFORMATION FOR FAMILIES TODAY

ATTN: MS. SHARON A. MYRIE

ADDRESS:

32 COURT STREET, SUITE 1208

BROOKLYN, NY 11201

RELATIONSHIP:

N/A

PURPOSE OF GRANT:

UNRESTRICTED GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 15,000.

XXXXXX

UW EDWARD & ELLEN ROCHE REL FDN 13-5622067
FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID
=====

RECIPIENT NAME:

GREENWICH HOUSE INC.

ATTN: MR. ROY LEAVITT

ADDRESS:

224 WEST 30TH STREET, SUITE 302

NEW YORK, NY 10001

RELATIONSHIP:

N/A

PURPOSE OF GRANT:

UNRESTRICTED GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 15,000.

RECIPIENT NAME:

INTERNATIONAL RESCUE COMMITTEE, INC.

ATTN: DAVID MILIBAND

ADDRESS:

263 WEST 38TH STREET, FLOOR 6

NEW YORK, NY 10018

RELATIONSHIP:

N/A

PURPOSE OF GRANT:

UNRESTRICTED GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 15,000.

RECIPIENT NAME:

SUNSET PARK HEALTH COUNCIL, INC.

LFHC - FAMILY SUPPORT

ADDRESS:

6025 6TH AVENUE

BROOKLYN, NY 11220

RELATIONSHIP:

N/A

PURPOSE OF GRANT:

UNRESTRICTED GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 15,000.

~~XXXXXX~~

UW EDWARD & ELLEN ROCHE REL FDN

13-5622067

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID
=====

RECIPIENT NAME:

THE NEW YORK OPPORTUNITY NETWORK, INC.
MS. JESSICA PLISKA

ADDRESS:

55 EXCHANGE PLACE, SUITE 503
NEW YORK, NY 10005

RELATIONSHIP:

N/A

PURPOSE OF GRANT:

UNRESTRICTED GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 15,000.

RECIPIENT NAME:

GRACE OUTREACH
ATTN: MR. ANDREW RUBINSON

ADDRESS:

378 E. 151ST STREET, 5TH FLOOR
BRONX, NY 10455

RELATIONSHIP:

N/A

PURPOSE OF GRANT:

UNRESTRICTED GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 15,000.

RECIPIENT NAME:

UPWARDLY GLOBAL

ADDRESS:

505 8TH AVENUE, SUITE 602
NEW YORK, NY 10018

RELATIONSHIP:

N/A

PURPOSE OF GRANT:

UNRESTRICTED GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 15,000.

TOTAL GRANTS PAID:

225,000.
=====

FEDERAL FOOTNOTES
=====

THE COMPENSATION SHOWN ON THE RETURN THAT IS PAID TO BANK OF AMERICA, N.A. AS CORPORATE TRUSTEE IS NOT CALCULATED BASED UPON AN HOURLY RATE FOR TIME SPENT BY THE TRUSTEE; RATHER, BANK OF AMERICA'S COMPENSATION AS CORPORATE TRUSTEE IS CALCULATED USING A MARKET VALUE FEE SCHEDULE. THE TRUST OFFICER'S TIME SPENT PERFORMING ADMINISTRATIVE RESPONSIBILITIES FOR THIS FOUNDATION AVERAGES ONE HOUR PER WEEK. IN ADDITION, TIME IS SPENT BY OTHER STAFF MEMBERS FOR RECORDKEEPING, INVESTMENT MANAGEMENT, INCOME COLLECTION, RENDERING STATEMENTS AND ACCOUNTINGS, REGULATORY REPORTING, REGULATORY COMPLIANCE, AND TAX SERVICES.