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## Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2015

Open to Public Inspection

Department of the Treasury  
Internal Revenue Service

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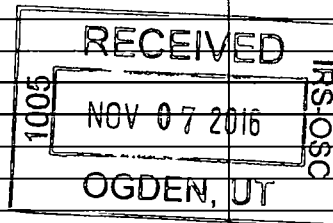
Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

For calendar year 2015 or tax year beginning

, and ending

Name of foundation <b>THE RD FOUNDATION INC. C/O RD CAPITAL</b>		A Employer identification number <b>13-4201151</b>
Number and street (or P.O. box number if mail is not delivered to street address) <b>450 PARK AVE. 3RD FLOOR</b>	Room/suite	B Telephone number <b>310-606-8443</b>
City or town, state or province, country, and ZIP or foreign postal code <b>NEW YORK, NY 10022</b>		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) <b>\$ 522,376.</b> (Part I, column (d) must be on cash basis)	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received	16,000.		N/A	
2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	16,663.	16,663.		STATEMENT 1
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	2,505.			
b Gross sales price for all assets on line 6a	157,581.			
7 Capital gain net income (from Part IV, line 2)		2,505.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss)				
11 Other income				
12 Total. Add lines 1 through 11	35,168.	19,168.		
13 Compensation of officers, directors, trustees, etc	0.	0.		0.
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees				
b Accounting fees	STMT 2	5,415.	2,707.	2,708.
c Other professional fees				
17 Interest				
18 Taxes	STMT 3	6,310.	316.	0.
19 Depreciation and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses	STMT 4	7,003.	7,003.	0.
24 Total operating and administrative expenses. Add lines 13 through 23		18,728.	10,026.	2,708.
25 Contributions, gifts, grants paid		22,138.		22,138.
26 Total expenses and disbursements. Add lines 24 and 25		40,866.	10,026.	24,846.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements		<5,698.>		
b Net investment income (if negative, enter -0-)			9,142.	
c Adjusted net income (if negative, enter -0-)			N/A	



Operating and Administrative Expenses SCANNED NOV 15 2016

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**Part II Balance Sheets**

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	22,191.	13,710.	13,710.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock	1,752.		
	c Investments - corporate bonds STMT 5	6,046.	2,230.	2,320.
	11 Investments - land, buildings, and equipment basis ▶			
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other STMT 6	484,839.	494,146.	506,297.	
14 Land, buildings, and equipment basis ▶				
Less: accumulated depreciation ▶				
15 Other assets (describe ▶ LOAN RECEIVABLE)	49.	49.	49.	
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	514,877.	510,135.	522,376.	
<b>Liabilities</b>	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
<b>Net Assets or Fund Balances</b>	Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	514,877.	510,135.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances	514,877.	510,135.	
31 Total liabilities and net assets/fund balances	514,877.	510,135.		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	514,877.
2 Enter amount from Part I, line 27a	2	<5,698.>
3 Other increases not included in line 2 (itemize) ▶ OTHER INCREASE	3	956.
4 Add lines 1, 2, and 3	4	510,135.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	510,135.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENT			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 157,581.		155,076.	2,505.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			
b			
c			
d			
e			2,505.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	2,505.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ }	3	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2014	15,478.	455,231.	.034000
2013	27,593.	473,058.	.058329
2012	19,950.	430,052.	.046390
2011	26,163.	446,770.	.058560
2010	67,538.	489,398.	.138002

2 Total of line 1, column (d)	2	.335281
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.067056
4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	4	527,173.
5 Multiply line 4 by line 3	5	35,350.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	91.
7 Add lines 5 and 6	7	35,441.
8 Enter qualifying distributions from Part XII, line 4	8	24,846.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.  
 See the Part VI instructions.

## THE RD FOUNDATION INC.

Form 990-PF (2015)

C/O RD CAPITAL

13-4201151

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**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	183.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	183.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	183.
6 Credits/Payments:			
a 2015 estimated tax payments and 2014 overpayment credited to 2015	6a	371.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	500.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	871.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	688.	
11 Enter the amount of line 10 to be: Credited to 2016 estimated tax <input type="checkbox"/> 688. Refunded <input type="checkbox"/>	11	0.	

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input type="checkbox"/> \$ 0. (2) On foundation managers. <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes" attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> <u>NY</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	X	

N/A

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**Part VII-A Statements Regarding Activities** (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	X	
Website address <b>N/A</b>		
14 The books are in care of <b>THE RD FOUNDATION INC</b> Telephone no. <b>310-606-8443</b>		
Located at <b>450 PARK AVENUE 3RD FLR, NEW YORK, NY</b> ZIP+4 <b>10022</b>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year <b>15</b> <b>N/A</b>		
16 At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?		X
See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country <b>N/A</b>		

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	<b>N/A</b>	
Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes," list the years <b>N/A</b>		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	<b>N/A</b>	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.)	<b>N/A</b>	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?		X

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ROSS DWORMAN	DIRECTOR			
450 PARK AVENUE 3RD FLOOR				
NEW YORK, NY 10022	0.00	0.	0.	0.
ALVIN DWORMAN	DIRECTOR			
450 PARK AVENUE 3RD FLOOR				
NEW YORK, NY 10022	0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

## Part VII

**Information About Officers, Directors, Trustees, Key Employees, and Paid Employees, and Contractors** (continued)

**3 Five highest-paid independent contractors for professional services. If none, enter "NONE."**

[illegible]

<b>Total</b> number of others receiving over \$50,000 for professional services	0
---	---

<b>Part IX-A Summary of Direct Charitable Activities</b>	
--	--

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses

1	N/A	
2		
3		
4		

<b>Part IX-B</b>	<b>Summary of Program-Related Investments</b>
------------------	---

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount

1	N/A	
2		
All other program-related investments. See instructions.		
3		

<b>Total.</b> Add lines 1 through 3	<b>0.</b>
-------------------------------------	-----------



**Part X****Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	517,251.
b	Average of monthly cash balances	1b	17,950.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	535,201.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	535,201.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	8,028.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	527,173.
6	Minimum investment return. Enter 5% of line 5	6	26,359.

**Part XI****Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	26,359.
2a	Tax on investment income for 2015 from Part VI, line 5	2a	183.
b	Income tax for 2015. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	183.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	26,176.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	26,176.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	26,176.

**Part XII****Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	24,846.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	24,846.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	24,846.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				26,176.
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2015:				
a From 2010	43,068.			
b From 2011	3,824.			
c From 2012				
d From 2013	3,956.			
e From 2014				
f Total of lines 3a through e	50,848.			
4 Qualifying distributions for 2015 from Part XII, line 4: ▶ \$	24,846.			
a Applied to 2014, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2015 distributable amount				24,846.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2015 (if an amount appears in column (d), the same amount must be shown in column (a))	1,330.			1,330.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	49,518.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2014. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7	41,738.			
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a	7,780.			
10 Analysis of line 9:				
a Excess from 2011	3,824.			
b Excess from 2012				
c Excess from 2013	3,956.			
d Excess from 2014				
e Excess from 2015				



## THE RD FOUNDATION INC.

Form 990-PF (2015)

C/O RD CAPITAL

13-4201151 Page 11

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
CHADWICK SCHOOL	NONE	PUBLIC CHARITY	CHARITABLE CONTRIBUTIONS	7,500.
CITY OF HOPE	NONE	PUBLIC CHARITY	CHARITABLE CONTRIBUTION	300.
COMPASSION INTERNATIONAL	NONE	PUBLIC CHARITY	CHARITABLE CONTRIBUTION	1,368.
DISCOVERY LAND COMPANY FOUNDATION	NONE	PUBLIC CHARITY	CHARITABLE CONTRIBUTIONS	1,750.
MONTESSORI PARENTS ASSOCIATION	NONE	PUBLIC CHARITY	CHARITABLE CONTRIBUTIONS	4,720.
Total SEE CONTINUATION SHEET(S) ▶ 3a				22,138.
b Approved for future payment				
NONE				
Total ▶ 3b				0

Enter gross amounts unless otherwise indicated.

(See worksheet in line 13 instructions to verify calculations.)

## Part XVII

- 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

- a Transfers from the reporting foundation to a noncharitable exempt organization of:**

- (1) Cash  
(2) Other assets

- b Other transactions:**

- (1) Sales of assets to a noncharitable exempt organization
- (2) Purchases of assets from a noncharitable exempt organization
- (3) Rental of facilities, equipment, or other assets
- (4) Reimbursement arrangements
- (5) Loans or loan guarantees
- (6) Performance of services or membership or fundraising solicitation

- c Sharing of facilities, equipment, mailing lists, other assets, or paid employees**

- d** If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

- b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign  
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date 11-2-2014 Title DIRECTOR

May the IRS discuss this return with the preparer shown below (see instr.)?

☒ Yes ☐ No

**Paid  
Preparer  
Use Only**

Print/Type preparer's name

ROY BECKERMAN

Firm's name ► **MBAF CPAS, LLC**

Preparer's signature

For Elker

Date

10/31/16

Check ☐ self-employed

PTIN	
------	--

P00237423

Firm's EIN ► 13-3842744

Firm's address ► 440 PARK AVE. SOUTH  
NEW YORK, NY 10016

Phone no. 212-576-1400

**Schedule B**

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury  
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.  
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and  
its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No 1545-0047

**2015**

Name of the organization

THE RD FOUNDATION INC.  
C/O RD CAPITAL

Employer identification number

13-4201151

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

- ☐ 501(c)( ) (enter number) organization
- ☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
- ☐ 527 political organization

Form 990-PF

- ☒ 501(c)(3) exempt private foundation
- ☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
- ☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

**Special Rules**

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2015)

## Name of organization

THE RD FOUNDATION INC.

C/O RD CAPITAL

## Employer identification number

13-4201151

**Part I Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	RD CAPITAL LLC 450 PARK AVENUE, 3RD FLOOR NEW YORK, NY 10022	\$ 16,000.	Person <input checked="checked" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)



Employer identification number

13-4201151

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
	_____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
	_____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
	_____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
	_____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
	_____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
	_____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
	_____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
	_____ _____ _____	\$ _____	_____

Name of organization

THE RD FOUNDATION INC.

Employer identification number

C/O RD CAPITAL

13-4201151

**Part III**

Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this info once) ▶ \$

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

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FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	1
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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
MERRILL LYNCH #02019	1,988.	0.	1,988.	1,988.	
MERRILL LYNCH #02173	4,330.	0.	4,330.	4,330.	
MERRILL LYNCH #04038	9,951.	0.	9,951.	9,951.	
MERRILL LYNCH #07694	394.	0.	394.	394.	
TO PART I, LINE 4	16,663.	0.	16,663.	16,663.	

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FORM 990-PF	ACCOUNTING FEES	STATEMENT	2
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	5,415.	2,707.		2,708.
TO FORM 990-PF, PG 1, LN 16B	5,415.	2,707.		2,708.

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FORM 990-PF	TAXES	STATEMENT	3
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES	316.	316.		0.
FEDERAL EXCISE TAXES	5,994.	0.		0.
TO FORM 990-PF, PG 1, LN 18	6,310.	316.		0.

FORM 990-PF	OTHER EXPENSES			STATEMENT 4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT ADVISORY FEE	7,003.	7,003.		0.
TO FORM 990-PF, PG 1, LN 23	7,003.	7,003.		0.

FORM 990-PF	CORPORATE BONDS		STATEMENT 5
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
CORPORATE BONDS - ML #885-04038	2,230.	2,320.	
TOTAL TO FORM 990-PF, PART II, LINE 10C	2,230.	2,320.	

FORM 990-PF	OTHER INVESTMENTS		STATEMENT 6
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
300 ACADIA REALTY TRUST REIT	FMV	3,405.	9,945.
13 ACADIA REALTY TRUST REIT	FMV	164.	431.
EQUITIES - ML #885-04038	FMV	289,528.	298,247.
EQUITIES - ML #885-02019	FMV	102,054.	104,833.
EQUITIES - ML #885-02173	FMV	98,995.	92,841.
TOTAL TO FORM 990-PF, PART II, LINE 13		494,146.	506,297.

FORM 990-PF

LIST OF SUBSTANTIAL CONTRIBUTORS  
PART VII-A, LINE 10

STATEMENT 7

NAME OF CONTRIBUTORADDRESS

ROSS DWORMAN

C/O RD CAPITAL, 450 PARK AVENUE, 3RD FL  
NEW YORK, NY 10022

ALVIN DWORMAN

C/O RD CAPITAL, 450 PARK AVENUE, 3RD FL  
NEW YORK, NY 10022

RD CAPITAL LLC

450 PARK AVENUE, 3RD FL  
NEW YORK, NY 10022

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	NET REALIZED LOSSES MERRILL LYNCH A/C #885-02173	P	VARIOUS	VARIOUS
b	NET REALIZED GAINS MERRILL LYNCH A/C #885-02019 -	P	VARIOUS	VARIOUS
c	NET REALIZED GAINS MERRILL LYNCH A/C #885-04038 -	P	VARIOUS	VARIOUS
d				
e				
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 30,583.		31,990.	<1,407.>
b 29,907.		28,444.	1,463.
c 97,091.		94,642.	2,449.
d			
e			
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<1,407.>
b			1,463.
c			2,449.
d			
e			
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	2,505.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

13-4201151

**3 Grants and Contributions Paid During the Year (Continuation)**

523631  
04-01-15

# WCMA Fiscal Statement



## REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
15.0000	COMPASS GROUP PLC SHS	08/13/14	01/02/15	252.47	239.85	12.62 ST
32.0000	COMPASS GROUP PLC SHS	08/13/14	01/09/15	523.03	511.68	11.35 ST
7.0000	SEVERN TRENT PLC SHS ADR	08/13/14	01/22/15	225.39	229.88	(4.49) ST
8.0000	SEVERN TRENT PLC SHS ADR	08/13/14	01/26/15	257.11	262.72	(5.61) ST
6.0000	ALTRIA GROUP INC	08/13/14	02/06/15	321.37	252.81	68.56 ST
2.0000	APPLE INC	08/13/14	02/13/15	252.48	193.36	59.12 ST
10.0000	CME GROUP INC	08/13/14	02/13/15	940.25	734.70	205.55 ST
4.0000	DAIMLER A	08/13/14	02/17/15	376.92	325.24	51.68 ST
8.0000	SEVERN TRENT PLC SHS ADR	08/13/14	01/30/15	258.74	262.72	(3.98) ST
16.0000	WM MORRISON SUPERMARKETS	08/13/14	01/30/15	216.29	227.20	(10.91) ST
7.0000	WM MORRISON SUPERMARKETS	08/13/14	02/09/15	94.36	99.40	(5.04) ST
7.0000	WM MORRISON SUPERMARKETS	10/15/14	02/09/15	94.37	88.40	5.97 ST
15.0000	WM MORRISON SUPERMARKETS	10/15/14	02/11/15	204.25	189.43	14.82 ST
6.0000	YARA INTL ASA SP ADR	08/13/14	02/05/15	306.67	285.66	21.01 ST
5.0000	YARA INTL ASA SP ADR	08/13/14	02/11/15	268.43	238.05	30.38 ST
15.0000	CENTRICA PLC	08/13/14	02/25/15	232.35	315.15	(82.80) ST
33.0000	CENTRICA PLC	08/13/14	02/26/15	498.62	693.33	(194.71) ST
17.0000	CENTRICA PLC	08/13/14	02/27/15	255.48	357.17	(101.69) ST
8.0000	DOMINION RES INC NEW VA	08/13/14	03/11/15	552.61	543.92	8.69 ST
3.0000	IMPERIAL TOB GRP SPS ADR	08/13/14	03/19/15	279.36	252.54	26.82 ST
3.0000	LORILLARD INC	08/13/14	03/19/15	201.39	181.10	20.29 ST
12.0000	MATTEL INC COM	08/13/14	03/24/15	283.84	415.20	(131.36) ST
2.0000	PHILPPNE L D TEL ADR	08/13/14	03/17/15	127.09	140.16	(13.07) ST
1.0000	PHILPPNE L D TEL ADR	08/13/14	03/18/15	61.98	70.08	(8.10) ST
11.0000	MATTEL INC COM	08/13/14	03/27/15	251.51	380.60	(129.09) ST
3.0000	PHILPPNE L D TEL ADR	08/13/14	03/31/15	188.31	210.24	(21.93) ST
2.0000	PHILPPNE L D TEL ADR	08/13/14	04/10/15	132.00	140.16	(8.16) ST
1.0000	PHILPPNE L D TEL ADR	08/13/14	04/13/15	65.60	70.08	(4.48) ST
9.0000	DU PONT E I DE NEMOURS	08/13/14	05/13/15	625.67	584.73	40.94 ST
27.0000	LORILLARD INC	08/13/14	06/12/15	1,930.19	1,629.89	300.30 ST
5.0000	SOUTH32 LTD SHS ADR	05/29/15	06/04/15	41.08	45.00	(3.92) ST
5.0000	TALEN ENERGY CORP SHS	08/13/14	06/09/15	93.44	95.38	(1.94) ST
14.0000	ABBVIE INC SHS	08/13/14	06/29/15	942.38	747.12	195.26 ST
5.0000	APPLE INC	08/13/14	06/29/15	623.45	483.39	140.06 ST
8.0000	BAE SYS PLC SPN ADR	08/13/14	06/30/15	228.45	235.04	(6.59) ST
28.0000	DEUTSCHE TELE AG SPN ADR	08/13/14	06/30/15	484.78	422.80	61.98 ST
2.0000	IMPERIAL TOB GRP SPS ADR	08/13/14	06/30/15	193.72	168.36	25.36 ST
6.0000	JOHNSON AND JOHNSON COM	08/13/14	06/29/15	587.37	610.84	(23.47) ST
12.0000	NOVARTIS ADR	08/13/14	06/29/15	1,184.57	1,040.22	144.35 ST
				14,657.37	13,973.60	683.77

PLEASE SEE REVERSE SIDE

Page  
61 of 66Statement Period  
Year Ending 12/31/15Account No  
885-02173



# WICMA® Fiscal Statement

## REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
8.0000	REYNOLDS AMERICAN INC	08/13/14	06/29/15	600.92	456.14	144.78 ST
2.0000	SANOFI ADR	08/13/14	06/29/15	99.76	104.78	(5.02) ST
33.0000	SVENSKA HANDELSBANKEN AB	08/13/14	06/30/15	240.57	261.47	(20.90) ST
9.0000	SSE PLC SPONSORED ADR	08/13/14	06/30/15	218.35	222.30	(3.95) ST
1.0000	SPDR S&P 500 ETF TRUST	08/13/14	07/28/15	207.38	194.70	12.68 ST
56.0000	UNITED UTILS GROUP ADR	08/13/14	06/30/15	1,585.08	1,617.28	(32.20) ST
24.0000	VINCI SA ADR	08/13/14	06/30/15	349.09	381.40	(32.31) ST
21.0000	WOLTERS KLUWR NV SPN ADR	08/13/14	06/30/15	630.71	569.10	61.61 ST
10.0000	ALTRIA GROUP INC	08/13/14	08/12/15	554.44	421.35	133.09 ST
14.0000	AMEREN CORP	08/13/14	08/12/15	594.65	538.52	56.13 ST
16.0000	DEUTSCHE TELE AG SPN ADR	08/13/14	07/31/15	287.82	241.60	46.22 ST
15.0000	DEUTSCHE TELE AG SPN ADR	08/13/14	08/03/15	276.98	226.50	50.48 ST
3.0000	IMPERIAL TOB GRP SPS ADR	08/13/14	08/13/15	307.90	252.54	55.36 ST
4.0000	MICROSOFT CORP	08/13/14	07/30/15	186.05	175.60	10.45 ST
3.0000	REYNOLDS AMERICAN INC	08/13/14	07/29/15	252.05	171.05	81.00 ST
9.0000	REYNOLDS AMERICAN INC	08/13/14	08/12/15	768.87	513.15	255.72 ST
6.0000	SWISSCOM AG ADR	08/13/14	08/13/15	343.87	339.96	3.91 ST
2.0000	SPDR S&P 500 ETF TRUST	08/13/14	08/12/15	412.59	389.39	23.20 ST
8.0000	VIVENDI SHS UNSP ADR	08/13/14	08/13/15	207.40	205.21	2.19 ST
2.0000	BCE INC	08/13/14	08/18/15	81.22	88.33	(7.11) LT
3.0000	LOCKHEED MARTIN CORP	08/13/14	09/18/15	612.57	504.49	108.08 LT
1.0000	NATIONAL GRID PLC SP ADR	08/13/14	09/18/15	66.60	71.94	(5.34) LT
5.0000	TECO ENERGY INC	08/13/14	09/18/15	133.43	86.38	47.05 LT
9.0000	KLA TENCOR CORP PV\$0.001	08/13/14	10/21/15	583.65	619.83	(36.18) LT
2.0000	KLA TENCOR CORP PV\$0.001	06/29/15	10/21/15	129.70	111.52	18.18 ST
1.0000	KLA TENCOR CORP PV\$0.001	09/15/15	10/21/15	64.85	52.35	12.50 ST
6.0000	SPDR S&P 500 ETF TRUST	08/13/14	10/08/15	1,194.48	1,168.18	26.28 LT
3.0000	AMEC PLC ADR	05/18/15	11/05/15	25.68	43.12	(17.44) ST
3.0000	AMEC PLC ADR	05/19/15	11/05/15	25.69	42.36	(16.67) ST
1.0000	AMEC PLC ADR	05/20/15	11/05/15	8.57	14.17	(5.60) ST
1.0000	AMEC PLC ADR	05/20/15	11/06/15	7.76	14.16	(6.40) ST
5.0000	AMEC PLC ADR	05/21/15	11/06/15	38.80	72.96	(34.16) ST
2.0000	AMEC PLC ADR	05/21/15	11/09/15	15.28	29.18	(13.90) ST
4.0000	AMEC PLC ADR	05/26/15	11/09/15	30.58	58.50	(27.92) ST
3.0000	AMEC PLC ADR	05/27/15	11/09/15	22.94	43.72	(20.78) ST
6.0000	AMEC PLC ADR	05/27/15	11/09/15	46.71	87.43	(40.72) ST
4.0000	AMEC PLC ADR	05/27/15	11/10/15	30.69	58.29	(27.60) ST
12.0000	AMEC PLC ADR	05/28/15	11/10/15	92.09	174.32	(82.23) ST
3.0000	AMEC PLC ADR	08/12/15	11/10/15	23.02	39.67	(16.65) ST
5.0000	AMEC PLC ADR	08/13/15	11/10/15	38.37	65.75	(27.38) ST

11,397.14	10,729.69	667.45
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 Account No.  
 885-02173

# WICMA® Fiscal Statement

MBAF CPA

## REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
3.0000	AMEC PLC ADR	08/14/15	11/10/15	23.02	39.06	(16.04) ST
1.0000	AMEC PLC ADR	08/17/15	11/10/15	7.67	12.88	(5.21) ST
3.0000	AMEC PLC ADR	08/18/15	11/10/15	23.04	38.37	(15.33) ST
11.0000	BHP BILLITON LTD ADR	08/13/14	11/09/15	330.41	781.66	(451.25) LT
3.0000	BHP BILLITON LTD ADR	02/13/15	11/09/15	90.12	153.80	(63.68) ST
69.0000	ELECTRICITE DE FRANCE	08/13/14	11/05/15	235.95	431.42	(195.47) LT
81.0000	ELECTRICITE DE FRANCE	08/13/14	11/16/15	251.03	506.45	(255.42) LT
92.0000	ELECTRICITE DE FRANCE	08/13/14	11/24/15	270.74	575.23	(304.49) LT
2.0000	ALTRIA GROUP INC	08/13/14	12/22/15	114.91	84.27	30.64 LT
6.0000	KINDER MORGAN INC. DEL	10/21/14	12/07/15	95.83	230.09	(134.26) LT
11.0000	KINDER MORGAN INC. DEL	10/22/14	12/07/15	175.69	426.05	(250.36) LT
2.0000	KINDER MORGAN INC. DEL	10/22/14	12/09/15	34.16	77.46	(43.30) LT
21.0000	KINDER MORGAN INC. DEL	12/09/14	12/09/15	358.76	852.15	(493.39) ST
4.0000	KINDER MORGAN INC. DEL	06/29/15	12/09/15	68.33	153.98	(85.65) ST
8.0000	KINDER MORGAN INC. DEL	08/12/15	12/09/15	136.68	268.51	(131.83) ST
45.0000	PEARSON SPONSORED ADR	08/13/14	12/22/15	497.47	828.20	(331.73) LT
2.0000	PHILIP MORRIS INTL INC	08/13/14	12/22/15	174.44	169.07	5.37 LT
9.0000	RIO TINTO PLC SPNSRD ADR	08/13/14	12/08/15	258.16	516.11	(257.95) LT
3.0000	RIO TINTO PLC SPNSRD ADR	10/07/14	12/08/15	86.05	149.00	(62.95) LT
1.0000	RIO TINTO PLC SPNSRD ADR	10/08/14	12/08/15	28.68	48.67	(19.99) LT
4.0000	RIO TINTO PLC SPNSRD ADR	02/13/15	12/08/15	114.75	196.15	(81.40) ST
5.0000	REYNOLDS AMERICAN INC	08/13/14	12/22/15	226.33	142.54	83.79 LT
35.0000	TECO ENERGY INC	08/13/14	12/21/15	925.03	604.69	320.34 LT

4,527.25 7,286.81 (2,579.56)

GRAND TOTAL A/C #02173 30,582.76 31,990.10 (1,407.34) REALIZED LOSSES

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# WCMA® Fiscal Statement

MBAF CPA

## FISCAL YEAR ACTIVITY

Date	Transaction	Quantity	Description	Price	Debit	Credit
Other Activity						
08/04/15	Journal Entry		INV. ADVISORY FEE AUG		119.36	
08/14/15	Cash in Lieu		AT&T INC			16.53
09/02/15	Journal Entry		INV. ADVISORY FEE SEP		112.61	
09/03/15	Fgn Div Tax		TEVA PHARMACTCL INDS ADR		0.82	
09/04/15	CertIF fee		NETEASE.COM INC ADR		0.02	
10/01/15	Fgn Div Tax		CANADIAN NATURAL RES LTD		0.55	
10/05/15	Journal Entry		INV. ADVISORY FEE OCT		108.37	
10/07/15	CertIF fee		NEW ORIENTAL EDUCATIO		0.38	
11/03/15	Journal Entry		INV. ADVISORY FEE NOV		117.27	
11/05/15	CertIF fee		NEW ORIENTAL EDUCATIO		0.38	
12/03/15	Journal Entry		INV. ADVISORY FEE DEC		118.41	
12/03/15	Fgn Div Tax		TEVA PHARMACTCL INDS ADR		0.82	
12/04/15	CertIF fee		NETEASE.COM INC ADR		0.02	
12/31/15	Fgn Div Tax		CANADIAN NATURAL RES LTD		0.52	
Net Total					1,406.37	132.10

## REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
5.0000	BRUNSWICK CORP	08/13/14	01/09/15	287.90	205.40	62.50 ST
11.0000	COVIDIEN PLC SHS NEW	08/13/14	01/27/15	387.09	952.38	(565.29) ST
6.0000	HOME DEPOT INC	08/13/14	01/08/15	639.17	497.94	141.23 ST
13.0000	NATIONAL BANK HOLD-CL A	08/13/14	01/15/15	240.23	261.43	(21.20) ST
6.0000	NATIONAL BANK HOLD-CL A	08/13/14	01/16/15	110.56	120.66	(10.10) ST
14.0000	YAHOO INC	08/13/14	01/20/15	658.62	510.16	148.46 ST
6.0000	CALIFORNIA RESOURCES	08/13/14	02/03/15	34.97	52.12	(17.15) ST
10.0000	DOVER CORP	08/13/14	02/13/15	740.18	876.04	(135.86) ST
5.0000	EOG RESOURCES INC	08/13/14	02/10/15	474.26	532.70	(58.44) ST
5.0000	ELECTRONIC ARTS INC DEL	08/13/14	02/02/15	270.49	179.95	90.54 ST
14.0000	FEDERATED INVESTRS B	08/13/14	02/24/15	480.90	406.98	73.92 ST
64.0000	HUNTINGN BANCSHS INC MD	08/13/14	02/04/15	654.27	618.23	36.04 ST
15.0000	MRC GLOBAL INC	08/13/14	02/23/15	188.25	373.65	(185.40) ST
				5,146.89	5,587.64	(440.75)

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X 89959399

# WICMA® Fiscal Statement



## REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
20.0000	WELLS FARGO & CO NEW DEL	08/13/14	02/24/15	1,107.03	998.40	108.63 ST
27.0000	CA INC	08/13/14	03/04/15	860.95	765.18	95.77 ST
9.0000	EXXON MOBIL CORP COM	08/13/14	02/25/15	804.54	891.51	(86.97) ST
56.0000	FLEXTRONICS INTL LTD	08/13/14	02/26/15	689.88	590.24	99.64 ST
1.0000	HUNTINGTON INGALLS INDS	08/13/14	03/10/15	137.22	98.57	38.65 ST
3.0000	ILLINOIS TOOL WORKS INC	08/13/14	03/10/15	291.92	258.06	33.86 ST
5.0000	MOTOROLA SOLUTIONS INC	08/13/14	03/12/15	325.34	311.10	14.24 ST
15.0000	MEDTRONIC INC COM	08/13/14	01/27/15	1,154.25	950.10	204.15 ST
20.0000	ON SEMICONDUCTOR CRP COM	08/13/14	03/06/15	258.57	172.80	85.77 ST
21.0000	PFIZER INC	08/13/14	03/12/15	712.18	593.67	118.51 ST
10.0000	TE CONNECTIVITY LTD	08/13/14	03/12/15	713.42	618.04	95.38 ST
7.0000	AXIS CAPITAL HOLDINGS	08/13/14	04/22/15	361.29	323.47	37.82 ST
3.0000	MOTOROLA SOLUTIONS INC	08/13/14	04/02/15	187.10	186.66	0.44 ST
5.0000	MOTOROLA SOLUTIONS INC	09/26/14	04/22/15	308.06	312.39	(4.33) ST
11.0000	COVIDIEN PLC SHS NEW CXL	08/13/14	01/27/15	387.09	952.38	565.29 ST
11.0000	COVIDIEN PLC SHS NEW	08/13/14	01/27/15	1,196.30	952.38	243.92 ST
1.0000	HUNTINGTON INGALLS INDS	08/13/14	05/07/15	123.84	98.57	25.27 ST
3.0000	MARATHON PETROLEUM CORP	08/13/14	05/20/15	313.24	261.96	51.28 ST
1.0000	MARATHON PETROLEUM CORP	11/13/14	05/20/15	104.42	93.57	10.85 ST
12.0000	NETAPP INC	11/19/14	05/21/15	372.76	502.31	(129.55) ST
4.0000	II-VI INC	10/09/14	04/28/15	76.61	46.36	30.25 ST
14.0000	II-VI INC	10/09/14	04/29/15	265.57	162.25	103.32 ST
6.0000	II-VI INC	10/09/14	04/30/15	108.52	69.54	38.98 ST
3.0000	II-VI INC	10/10/14	04/30/15	54.26	35.16	19.10 ST
20.0000	LORILLARD INC	08/13/14	06/12/15	1,429.77	1,207.33	222.44 ST
14.0000	DIRECTV SHS	08/13/14	07/27/15	399.00	261.89	137.11 ST
7.0000	ELECTRONIC ARTS INC DEL	08/13/14	07/07/15	487.99	251.93	236.06 ST
8.0000	EMERSON ELEC CO	08/13/14	07/10/15	429.88	501.84	(71.96) ST
3.0000	LEAR CORP SHS	08/13/14	07/24/15	299.87	288.12	11.75 ST
4.0000	MEDTRONIC PLC SHS	01/28/15	07/28/15	309.85	307.80	2.05 ST
2.0000	NETEASE COM INC ADR	08/13/14	07/27/15	273.44	167.06	106.38 ST
1.0000	TOPBUILD CORP SHS	08/13/14	07/07/15	28.24	23.27	4.97 ST
8.0000	ALLSTATE CORP DEL COM	08/13/14	08/04/15	495.47	481.08	14.39 ST
4.0000	BUNGE LIMITED	09/17/14	07/30/15	322.98	345.45	(22.47) ST
19.0000	BROCADE COMMUNICATIONS	08/13/14	08/12/15	189.62	176.84	12.78 ST
1.0000	CARDINAL HEALTH INC OHIO	08/13/14	08/25/15	80.69	71.90	8.79 LT
2.0000	CVS HEALTH CORP	08/13/14	08/25/15	206.74	157.64	49.10 LT
4.0000	CISCO SYSTEMS INC COM	08/13/14	08/25/15	102.65	100.32	2.33 LT
4.0000	EQUIFAX INC	08/13/14	08/26/15	378.42	307.36	71.06 LT
5.0000	GLOBAL PMTS INC GEORGIA	08/13/14	07/29/15	557.75	350.30	207.45 ST
				16,906.72	15,244.80	1,661.92

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# WCMA® Fiscal Statement

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## REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
2.0000	SHIRE PLC-ADR	08/13/14	08/04/15	510.94	481.56	29.38 ST
1.0000	SHIRE PLC-ADR	10/15/14	08/04/15	255.47	191.87	63.80 ST
8.0000	VIACOM INC NEW CL B	08/13/14	08/14/15	355.04	642.40	(287.36) LT
10.0000	AT&T INC	08/13/14	08/27/15	331.55	346.90	(15.35) LT
20.0000	ACTIVISION BLIZZARD INC	08/13/14	08/28/15	586.85	458.40	128.45 LT
14.0000	KORN/FERRY INTL PV \$0.10	08/13/14	09/24/15	490.89	412.58	78.31 LT
8.0000	HARLEY DAVIDSON INC WIS	01/09/15	10/20/15	383.88	520.15	(136.27) ST
4.0000	PARKER HANNIFIN CORP	08/13/14	10/22/15	402.75	452.36	(49.61) LT
12.0000	VALIDUS HOLDINGS LTD	08/13/14	10/05/15	538.19	454.56	83.63 LT
5.0000	CVS HEALTH CORP	08/13/14	11/04/15	493.23	394.11	99.12 LT
5.0000	FIDELITY NATL INFO SVCS	08/13/14	11/03/15	323.43	279.65	43.78 LT
15.0000	MACYS INC	08/13/14	11/09/15	694.10	848.55	(154.45) LT
16.0000	AT&T INC	08/13/14	12/02/15	541.15	555.04	(13.89) LT
13.0000	ACTIVISION BLIZZARD INC	08/13/14	12/15/15	505.70	297.96	207.74 LT
8.0000	CSRA INC SHS WHEN ISSUED	08/13/14	12/04/15	235.01	202.64	32.37 LT
1.0000	CUBIC CORP DEL	08/13/14	12/11/15	46.02	44.91	1.11 LT
3.0000	CUBIC CORP DEL	08/13/14	12/14/15	137.35	134.73	2.62 LT
5.0000	EXXON MOBIL CORP COM	08/13/14	12/17/15	391.22	495.28	(104.06) LT
1.0000	NETEASE COM INC ADR	08/13/14	12/11/15	172.82	83.53	89.09 LT
5.0000	PAYPAL HOLDINGS INC SHS	08/13/14	12/04/15	176.73	157.86	18.87 LT
5.0000	TOTAL SYS SVCS INC	08/13/14	12/04/15	281.38	157.00	124.38 LT

7,853.50 7,611.84 241.66

GRAND TOTAL A/C #02019 29,907.11 28,444.28 1,462.83  
REALIZED GAINS

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# WICMA<sup>®</sup> Fiscal Statement



## FISCAL YEAR ACTIVITY

Date	Transaction	Quantity	Description	Price	Debit	Credit
12/03/15	Journal Entry		INV. ADVISORY FEE DEC		338.96	
			Net Total		28,921.62	67.73

## REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
21.0000	DOMINION RES INC NEW VA	08/13/14	01/07/15	1,604.69	1,427.79	176.90 ST
22.0000	DOMINION RES INC NEW VA	08/13/14	01/14/15	1,673.37	1,495.78	177.59 ST
10.0000	DOMINION RES INC NEW VA	08/13/14	01/15/15	763.78	679.90	83.88 ST
25.0000	INTL BUSINESS MACHINES	08/13/14	01/07/15	3,870.14	4,702.86	(832.72) ST
47.0000	PHILIP MORRIS INTL INC	08/13/14	01/07/15	3,828.72	3,973.13	(144.41) ST
9.0000	PHILIP MORRIS INTL INC	08/13/14	01/13/15	750.46	760.81	(10.35) ST
16.0000	ALBEMARLE CORP COM	08/13/14	03/23/15	837.58	981.76	(144.18) ST
18.0000	ALTRIA GROUP INC	08/13/14	03/23/15	932.56	758.43	174.13 ST
6.0000	BLACKROCK INC	08/13/14	03/23/15	2,260.04	1,875.72	384.32 ST
35.0000	BRISTOL-MYERS SQUIBB CO	08/13/14	03/23/15	2,374.71	1,727.49	647.22 ST
50.0000	CARNIVAL CORP PAIRED SHS	08/13/14	03/23/15	2,305.97	1,850.83	455.14 ST
40.0000	CORRECTIONS CORP OF AMER	08/13/14	03/23/15	1,665.17	1,412.80	252.37 ST
1.0000	CHEVRON CORP	08/13/14	03/23/15	106.18	127.44	(21.26) ST
5.0000	CONOCOPHILLIPS	08/13/14	03/23/15	317.89	405.34	(87.45) ST
42.0000	CA INC	08/13/14	03/23/15	1,392.40	1,306.07	86.33 ST
9.0000	CA INC	01/09/15	03/23/15	298.38	280.02	18.36 ST
28.0000	CINN FINCL CRP OHIO	08/13/14	03/23/15	1,532.69	1,322.98	209.71 ST
42.0000	CISCO SYSTEMS INC COM	08/13/14	03/23/15	1,199.50	1,053.36	146.14 ST
6.0000	COCA COLA COM	08/13/14	03/23/15	245.53	240.30	5.23 ST
79.0000	CORNING INC	08/13/14	03/23/15	1,860.93	1,602.70	258.23 ST
1.0000	DOMINION RES INC NEW VA	08/13/14	03/23/15	72.33	67.99	4.34 ST
7.0000	DUKE ENERGY CORP NEW	08/13/14	03/23/15	537.73	497.18	40.55 ST
51.0000	FEDERATED INVESTS B	08/13/14	03/23/15	1,722.08	1,482.57	239.51 ST
8.0000	GENL DYNAMICS CORP COM	08/13/14	03/23/15	1,088.70	951.04	137.66 ST
48.0000	HASBRO INC COM	08/13/14	03/23/15	2,961.53	2,420.64	540.89 ST
26.0000	LORILLARD INC	08/13/14	03/23/15	1,771.45	1,569.52	201.93 ST
32.0000	ELI LILLY & CO	08/13/14	03/23/15	2,472.39	1,944.00	528.39 ST
25.0000	LOWE'S COMPANIES INC	08/13/14	03/23/15	1,892.82	1,250.42	642.40 ST
3.0000	NEWMARKET CORP	08/13/14	03/23/15	1,389.96	1,195.74	194.22 ST
33.0000	PAYCHEX INC	08/13/14	03/23/15	1,699.47	1,378.74	320.73 ST

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45,429.15      40,743.35      4,685.80

# WICMA® Fiscal Statement

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## REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
77 0000	PFIZER INC	08/13/14	03/23/15	2,724.22	2,176.78	547.44 ST
21.0000	REYNOLDS AMERICAN INC	08/13/14	03/23/15	1,498.68	1,197.36	301.32 ST
2.0000	WINDSTREAM HOLDINGS INC	08/13/14	03/23/15	18.08	22.28	(6.20) ST
13.0000	VORNADO REALTY TRUST	08/13/14	03/23/15	315.25	306.76	8.49 ST
24.0000	THE MOSAIC COMPANY	08/13/14	03/23/15	1,127.02	1,096.45	30.57 ST
22 0000	VERIZON COMMUNICATNS COM	08/13/14	03/23/15	1,094.34	1,075.80	18.54 ST
31.0000	WELLS FARGO & CO NEW DEL	08/13/14	03/23/15	1,733.80	1,547.52	186.28 ST
27.0000	ALBEMARLE CORP COM	08/13/14	05/08/15	1,708.69	1,656.72	51.97 ST
5.0000	ALBEMARLE CORP COM	08/13/14	05/11/15	315.26	306.80	8.46 ST
34 0000	VORNADO REALTY TRUST	08/13/14	04/30/15	800.66	802.30	(1.64) ST
3.0000	VORNADO REALTY TRUST	08/13/14	05/15/15	69.00	70.79	(1.79) ST
37.0000	VORNADO REALTY TRUST	08/14/14	05/15/15	851.08	882.60	(31.52) ST
41.0000	VORNADO REALTY TRUST	08/14/14	05/19/15	937.10	978.02	(40.92) ST
27.0000	VORNADO REALTY TRUST	08/14/14	05/21/15	619.25	644.08	(24.81) ST
39.0000	VORNADO REALTY TRUST	08/14/14	05/22/15	889.61	930.31	(40.70) ST
19.0000	VORNADO REALTY TRUST	08/14/14	05/28/15	432.51	453.23	(20.72) ST
177 0000	LORILLARD INC	08/13/14	06/12/15	12,653.45	10,684.84	1,968.61 ST
3 0000	LORILLARD INC	04/23/15	06/12/15	214.47	212.31	2.16 ST
30 0000	WINDSTREAM HOLDINGS INC	08/13/14	06/23/15	227.19	487.59	(260.40) ST
13 0000	WINDSTREAM HOLDINGS INC	08/13/14	06/24/15	96.80	211.29	(114.49) ST
27 0000	WINDSTREAM HOLDINGS INC	08/13/14	06/25/15	193.59	438.84	(245.25) ST
19.0000	VORNADO REALTY TRUST	08/14/14	05/27/15	430.97	453.23	(22.26) ST
21 0000	VORNADO REALTY TRUST	08/14/14	05/28/15	476.89	500.94	(24.05) ST
1 0000	VORNADO REALTY TRUST	08/14/14	05/29/15	22.73	23.85	(1.12) ST
38.0000	WINDSTREAM HOLDINGS INC	08/13/14	06/28/15	252.23	617.62	(365.39) ST
22 0000	WINDSTREAM HOLDINGS INC	08/13/14	06/29/15	145.23	357.57	(212.34) ST
3.0000	WINDSTREAM HOLDINGS INC	08/13/14	06/30/15	18.91	48.76	(29.85) ST
3 0000	WINDSTREAM HOLDINGS INC	04/23/15	06/30/15	18.92	39.97	(21.05) ST
11.0000	ALBEMARLE CORP COM	08/13/14	09/02/15	470.30	874.96	(204.66) LT
15 0000	ALBEMARLE CORP COM	08/13/14	09/03/15	642.47	920.40	(277.93) LT
8.0000	ALBEMARLE CORP COM	08/13/14	09/04/15	339.42	490.88	(151.46) LT
30 0000	ALBEMARLE CORP COM	08/13/14	09/08/15	1,289.50	1,840.79	(551.29) LT
10 0000	ALBEMARLE CORP COM	08/13/14	09/09/15	433.42	613.60	(180.18) LT
13.0000	ALBEMARLE CORP COM	08/13/14	09/10/15	558.66	787.68	(239.02) LT
3 0000	ALBEMARLE CORP COM	08/13/14	09/11/15	125.97	184.08	(58.11) LT
5 0000	ALBEMARLE CORP COM	08/13/14	09/14/15	209.89	306.80	(96.91) LT
46 0000	ALBEMARLE CORP COM	08/13/14	09/15/15	1,979.82	2,822.55	(842.73) LT
88 0000	CORNING INC	08/13/14	10/16/15	1,486.22	1,785.28	(299.06) LT
89.0000	CORNING INC	08/13/14	10/19/15	1,501.66	1,805.57	(303.91) LT
59.0000	CORNING INC	08/13/14	10/20/15	998.70	1,196.95	(198.25) LT

39,919.96 41,664.13 (1,744.17)

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# WCMA<sup>®</sup> Fiscal Statement

MBAF CPA

## REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
22 0000	CORNING INC	08/13/14	10/21/15	371.40	446.32	(74.92) LT
177 0000	CORNING INC	08/13/14	10/22/15	3,001.26	3,590.85	(589.59) LT
52 0000	CORNING INC	04/23/15	10/22/15	881.73	1,162.72	(280.99) ST
6 0000	FEDERATED INVESTRS B	08/13/14	11/09/15	189.56	174.42	15.14 LT
4 0000	FEDERATED INVESTRS B	08/13/14	11/11/15	126.44	116.28	10.16 LT
21 0000	FEDERATED INVESTRS B	08/13/14	11/10/15	662.39	610.47	51.92 LT
24 0000	FEDERATED INVESTRS B	08/13/14	11/12/15	758.20	697.68	60.52 LT
4 0000	FEDERATED INVESTRS B	08/13/14	11/13/15	124.46	116.28	8.18 LT
8 0000	FEDERATED INVESTRS B	08/13/14	11/16/15	248.83	232.56	16.27 LT
12 0000	FEDERATED INVESTRS B	08/13/14	11/17/15	375.37	348.84	26.53 LT
21 0000	FEDERATED INVESTRS B	08/13/14	11/18/15	662.34	610.47	51.87 LT
13 0000	FEDERATED INVESTRS B	08/13/14	11/19/15	410.68	377.91	32.77 LT
7 0000	FEDERATED INVESTRS B	08/13/14	11/20/15	220.94	203.49	17.45 LT
4 0000	FEDERATED INVESTRS B	08/13/14	11/23/15	126.08	116.28	9.80 LT
10 0000	FEDERATED INVESTRS B	08/13/14	11/24/15	310.34	290.70	19.64 LT
11 0000	FEDERATED INVESTRS B	08/13/14	11/25/15	343.87	319.77	24.10 LT
2 0000	FEDERATED INVESTRS B	08/13/14	11/27/15	62.98	58.14	4.84 LT
7 0000	FEDERATED INVESTRS B	08/13/14	11/30/15	220.19	203.49	16.70 LT
9 0000	FEDERATED INVESTRS B	08/13/14	12/01/15	281.32	261.63	19.69 LT
7 0000	FEDERATED INVESTRS B	08/13/14	12/02/15	216.56	203.49	13.07 LT
24 0000	FEDERATED INVESTRS B	08/13/14	12/04/15	736.89	697.67	39.22 LT
5 0000	FEDERATED INVESTRS B	08/13/14	12/07/15	152.65	145.35	7.30 LT
2 0000	FEDERATED INVESTRS B	08/13/14	12/08/15	59.99	58.14	1.85 LT
5 0000	FEDERATED INVESTRS B	08/13/14	12/10/15	150.01	145.35	4.66 LT
11 0000	FEDERATED INVESTRS B	08/13/14	12/16/15	322.08	319.77	2.31 LT
7 0000	FEDERATED INVESTRS B	08/13/14	12/17/15	203.26	203.49	(0.23) LT
10 0000	FEDERATED INVESTRS B	08/13/14	12/23/15	290.04	290.70	(0.66) LT
6 0000	FEDERATED INVESTRS B	08/13/14	12/24/15	174.10	174.42	(0.32) LT
2 0000	FEDERATED INVESTRS B	08/13/14	12/28/15	57.99	58.14	(0.15) LT
				11,741.95	12,234.82	(492.87)

GRANT TOTAL A/C #04038	97,091.06	94,642.30	2,448.76
			REALIZED GAINS

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