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For calendar year 2015, or tax year beginning 01-01-2015 , and ending 12-31-2015

Name of foundation KLEIN FAMILY FOUNDATION C/O DINAH KLEIN % ORGANIZATION		A Employer identification number 13-4092608	
Number and street (or P O box number if mail is not delivered to street address) 614 AVENUE J		B Telephone number (see instructions)	
City or town, state or province, country, and ZIP or foreign postal code BROOKLYN, NY 11230		C If exemption application is pending, check here	
G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here 2. Foreign organizations meeting the 85% test, check here and attach computation	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 45,173,585		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here	
J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify)			

Part I	Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))	Revenue and expenses per books (a)	Net investment income (b)	Adjusted net income (c)	Disbursements for charitable purposes (d) (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	645,000			
	2 Check ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	2,012,547	2,008,565		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10 _____	266,746			
	b Gross sales price for all assets on line 6a _____ 3,449,704				
	7 Capital gain net income (from Part IV, line 2)		266,746		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances _____				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	98,502			
	12 Total. Add lines 1 through 11	3,022,795	2,275,311		
	13 Compensation of officers, directors, trustees, etc	0			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule).				
	b Accounting fees (attach schedule).				
	c Other professional fees (attach schedule)	26,645			
	17 Interest	407	407		
	18 Taxes (attach schedule) (see instructions)	45,266			
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings.				
	22 Printing and publications				
	23 Other expenses (attach schedule).	124,250	124,250		
	24 Total operating and administrative expenses. Add lines 13 through 23	196,568	124,657		0
	25 Contributions, gifts, grants paid	3,119,980			3,119,980
	26 Total expenses and disbursements. Add lines 24 and 25	3,316,548	124,657		3,119,980
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-293,753			
	b Net investment income (if negative, enter -0-)		2,150,654		
	c Adjusted net income (if negative, enter -0-)				

Part II		Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing		3,741,918	1,217,168	1,217,168
	2	Savings and temporary cash investments				
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____				
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions).				
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments—U S and state government obligations (attach schedule)		19,987,468	20,749,457	22,030,264
	b	Investments—corporate stock (attach schedule)		13,250,498	13,576,197	14,264,845
	c	Investments—corporate bonds (attach schedule)		8,094,972	8,264,470	7,303,384
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____				
	12	Investments—mortgage loans				
	13	Investments—other (attach schedule)		2,835,648	3,841,419	341,419
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____				
15	Other assets (describe ▶ _____)		48,465	16,505	16,505	
16	Total assets(to be completed by all filers—see the instructions Also, see page 1, item I)		47,958,969	47,665,216	45,173,585	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule).				
	22	Other liabilities (describe ▶ _____)				
	23	Total liabilities(add lines 17 through 22)			0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, bldg , and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds		47,958,969	47,665,216	
	30	Total net assets or fund balances(see instructions)		47,958,969	47,665,216	
	31	Total liabilities and net assets/fund balances(see instructions)		47,958,969	47,665,216	

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	47,958,969
2	Enter amount from Part I, line 27a	2	-293,753
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3	4	47,665,216
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	47,665,216

Part IV

Capital Gains and Losses for Tax on Investment Income

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)		How acquired P—Purchase (b) D—Donation	Date acquired (c) (mo , day, yr)	Date sold (d) (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	266,746
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)
If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2014	3,164,158	52,604,493	0 06015
2013	3,267,883	50,195,192	0 065104
2012	2,114,694	49,790,581	0 042472
2011	1,681,812	45,342,820	0 037091
2010	1,131,564	40,564,266	0 027896

2	Total of line 1, column (d).	2	0 232713
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 046543
4	Enter the net value of noncharitable-use assets for 2015 from Part X, line 5.	4	50,930,726
5	Multiply line 4 by line 3.	5	2,370,469
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	21,507
7	Add lines 5 and 6.	7	2,391,976
8	Enter qualifying distributions from Part XII, line 4.	8	3,119,980

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See
the Part VI instructions

Part VII

Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a

Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1
Date of ruling or determination letter _____
(attach copy of letter if necessary—see instructions)

2

Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)

3

Add lines 1 and 2.

4

Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)

5

Tax based on investment income.Subtract line 4 from line 3 If zero or less, enter -0-

6

Credits/Payments

6a

2015 estimated tax payments and 2014 overpayment credited to 2015

6b

Exempt foreign organizations—tax withheld at source

6c

Tax paid with application for extension of time to file (Form 8868).

6d

Backup withholding erroneously withheld

49,707

30,000

7

Total credits and payments Add lines 6a through 6d.

8

Enter any penalty for underpayment of estimated tax Check here ☐ if Form 2220 is attached

9

Tax due.If the total of lines 5 and 8 is more than line 7, enter amount owed

10

Overpayment.If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.

11

Enter the amount of line 10 to be Credited to 2015 estimated tax 58,200 Refunded

1

21,507

21,507

21,507

79,707

58,200

Part VII-A

Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	Yes	No
1a			No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		No
1b			No
1c	Did the foundation file Form 1120-POL for this year?		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation (2) On foundation managers		
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers		
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?		No
4b	If "Yes," has it filed a tax return on Form 990-T for this year?		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) NY		
8b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	Yes	

Form 990-PF (2015)

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12	Yes	
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ►	13	Yes	
14	The books are in care of ► <u>ORGANIZATION</u> Telephone no ► <u>(718) 592-9200</u> Located at ► <u>614 AVENUE J BROOKLYN NY</u> ZIP+4 ► <u>11230</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ☐ and enter the amount of tax-exempt interest received or accrued during the year 15			
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ►	16	Yes	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? 1b			
	Organizations relying on a current notice regarding disaster assistance check here. ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015? 1c			No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? ☐ Yes ☒ No If "Yes," list the years ► 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions). 2b			No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015</i>). 3b			
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No **5b**

Organizations relying on a current notice regarding disaster assistance check here. ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No **6b** **No**

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No **7b** **No**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
See Additional Data Table				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances

Total number of other employees paid over \$50,000. ☐ **0**

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	

Part X Minimum Investment Return

(All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	46,897,233
b	Average of monthly cash balances.	1b	1,387,887
c	Fair market value of all other assets (see instructions).	1c	3,421,201
d	Total (add lines 1a, b, and c).	1d	51,706,321
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	51,706,321
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	775,595
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	50,930,726
6	Minimum investment return. Enter 5% of line 5.	6	2,546,536

Part XI Distributable Amount(see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	2,546,536
2a	Tax on investment income for 2015 from Part VI, line 5.	2a	21,507
b	Income tax for 2015 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	21,507
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	2,525,029
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	2,525,029
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	2,525,029

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	3,119,980
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	3,119,980
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	21,507
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	3,098,473

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				2,525,029
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only.			1,004,795	
b Total for prior years 2013 , 2012 , 2011				
3 Excess distributions carryover, if any, to 2015				
a From 2010.				
b From 2011.				
c From 2012.				
d From 2013.				
e From 2014.				0
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2015 from Part XII, line 4 ▶ \$ 3,119,980				
a Applied to 2014, but not more than line 2a			1,004,795	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2015 distributable amount.				2,115,185
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a))	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015				409,844
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions). . .				
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2011. . . .				
b Excess from 2012. . . .				
c Excess from 2013. . . .				
d Excess from 2014. . . .				
e Excess from 2015. . . .	0			

Part XIV

Private Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a

Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
(a) 2015	(b) 2014	(c) 2013	(d) 2012	
b 85% of line 2a				
c Qualifying distributions from Part XII, line 4 for each year listed				
d Amounts included in line 2c not used directly for active conduct of exempt activities				
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c				

3

Complete 3a, b, or c for the alternative test relied upon

a

"Assets" alternative test—enter

(1)

Value of all assets

(2)

Value of assets qualifying under section 4942(j)(3)(B)(i)

b

"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.

c

"Support" alternative test—enter

(1)

Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2)

Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).

(3)

Largest amount of support from an exempt organization

(4)

Gross investment income

Part XV

Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

SARA DINA KLEIN MIRIAM KLEIN

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a

The name, address, and telephone number or email address of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Form 990-PF (2015)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient		If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)					
a <i>Paid during the year</i> SEE ATTACHMENT SEE ATTACHMENT SEE ATTACHMENT, NY 11230		NONE	PC	CHARITABLE	3,119,980
Total					3,119,980
b <i>Approved for future payment</i>					
Total					

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

Yes	No
-----	----

	No
--	-----------

No

11 of 11

	No
--	-----------

No

No

	No
--	-----------

	No
--	-----------

	No
--	-----------

No

e

gements

5

Discuss this

er shown |

Yes ☐ No ☐

Yes	No
-----	----

PTIN

Phone no

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	Date acquired (c) (mo , day, yr)	(d) Date sold (mo , day, yr)
THROUGH K-1 LONGVIEW FUND LT	P	2014-01-01	2015-12-31
20,000 SH BANK OF AMERICA	P	2015-02-05	2015-12-22
10,000 SH FORD MOTOR COMPANY	P	2011-02-25	2015-10-29
WELLS FARGO #0357 - SEE ATTACHED	P	2014-01-01	2015-12-31
187 SH AOL INC	P	2000-12-19	2015-06-30
LEHMAN BROTHERS HOLDINGS	P	2014-01-01	2015-10-01
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
		26,940	-26,940
156,420		167,172	-10,752
147,479		149,435	-1,956
2,848,331		2,832,437	15,894
9,350		6,974	2,376
4,188			4,188
			283,936

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-26,940
			-10,752
			-1,956
			15,894
			2,376
			4,188

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
SARA DINAH KLEIN 	TRUSTEE 0	0	0	0
614 AVENUE J BROOKLYN, NY 11230				
MORDECHAI KLEIN 	TRUSTEE 0	0	0	0
614 AVENUE J BROOKLYN, NY 11230				
RIVKA KLEIN 	TRUSTEE 0	0	0	0
614 AVENUE J BROOKLYN, NY 11230				
BRACHA WEITS 	TRUSTEE 0	0	0	0
614 AVENUE J BROOKLYN, NY 11230				
CHANA BRAUNER 	TRUSTEE 0	0	0	0
614 AVENUE J BROOKLYN, NY 11230				
ESTHER TRAUB 	TRUSTEE 0	0	0	0
614 AVENUE J BROOKLYN, NY 11230				
YAAKOV KLEIN 	TRUSTEE 0	0	0	0
614 AVENUE J BROOKLYN, NY 11230				
MIRIAM HENDELES 	TRUSTEE 0	0	0	0
614 AVENUE J BROOKLYN, NY 11230				

TY 2015 Compensation Explanation**Name:** KLEIN FAMILY FOUNDATION

C/O DINAH KLEIN

EIN: 13-4092608

Person Name	Explanation
RIVKA KLEIN	
MIRIAM HENDELES	

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2015 Depreciation Schedule

Name: KLEIN FAMILY FOUNDATION

C/O DINAH KLEIN

EIN: 13-4092608

TY 2015 Investments Corporate Bonds Schedule**Name:** KLEIN FAMILY FOUNDATION

C/O DINAH KLEIN

EIN: 13-4092608

Name of Bond	End of Year Book Value	End of Year Fair Market Value
WF I- CORPORATE BONDS-ATT. 9.1	7,407,731	6,634,514
WF I- FOREIGN BONDS-ATT. 9.2	856,739	668,870

TY 2015 Investments Corporate Stock Schedule**Name:** KLEIN FAMILY FOUNDATION

C/O DINAH KLEIN

EIN: 13-4092608

Name of Stock	End of Year Book Value	End of Year Fair Market Value
GOLDMAN SACHS - HEDGE FUNDS	1,000,000	1,146,477
GOLDMAN SACHS - PRIVATE EQUITY	1,238,561	1,238,561
FORD MOTOR COMPANY		
WF I - MUTUAL FUNDS - ATT. 8.1	213,355	151,049
WF I - PREFERRED - ATT. 8.2	1,293,040	1,363,797
WF II - STOCK - ATT. 8.3	1,490,860	1,391,545
WF II - MUTUAL FUNDS- ATT. 8.4	8,340,381	8,973,416

TY 2015 Investments Government Obligations Schedule**Name:** KLEIN FAMILY FOUNDATION

C/O DINAH KLEIN

EIN: 13-4092608**US Government Securities - End of
Year Book Value:****US Government Securities - End of
Year Fair Market Value:****State & Local Government
Securities - End of Year Book
Value:**

20,749,457

**State & Local Government
Securities - End of Year Fair
Market Value:**

22,030,264

TY 2015 Investments - Other Schedule**Name:** KLEIN FAMILY FOUNDATION

C/O DINAH KLEIN

EIN: 13-4092608

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
LONGVIEW FUND		72,073	72,073
BROAD STREET ENERGY PARTNERS		192,623	192,623
BROAD ST ENERGY PTNRS AIV-1		76,723	76,723
PLATINUM PARTNERS VALUE FUND		3,500,000	

TY 2015 Other Assets Schedule**Name:** KLEIN FAMILY FOUNDATION

C/O DINAH KLEIN

EIN: 13-4092608

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
DIVIDENDS RECEIVABLE	5,792	1,389	1,389
CAP GAINS DISTR RECEIVABLE	40,856	11,475	11,475
DISTRIBUTIONS RECEIVABLE	1,817	3,641	3,641

TY 2015 Other Expenses Schedule**Name:** KLEIN FAMILY FOUNDATION

C/O DINAH KLEIN

EIN: 13-4092608

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
K-1 PORTFOLIO EXP	7,269	7,269		
BANK CHARGES	769	769		
BOND AMORTIZATION	116,212	116,212		

TY 2015 Other Income Schedule**Name:** KLEIN FAMILY FOUNDATION

C/O DINAH KLEIN

EIN: 13-4092608

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
BOOK/TAX DIFFERENCES	97,464		
PARTNERSHIP INCOME	848		
OTHER INCOME	190		

TY 2015 Other Liabilities Schedule**Name:** KLEIN FAMILY FOUNDATION

C/O DINAH KLEIN

EIN: 13-4092608

Description	Beginning of Year - Book Value	End of Year - Book Value
DUE TO BROKER-DIV INCORRECT		

TY 2015 Other Professional Fees Schedule

Name: KLEIN FAMILY FOUNDATION

C/O DINAH KLEIN

EIN: 13-4092608

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES	26,645			

**TY 2015 Substantial Contributors
Schedule****Name:** KLEIN FAMILY FOUNDATION

C/O DINAH KLEIN

EIN: 13-4092608

Name	Address
DINAH KLEIN	614 AVENUE J BROOKLYN, NY 11230

TY 2015 Taxes Schedule**Name:** KLEIN FAMILY FOUNDATION

C/O DINAH KLEIN

EIN: 13-4092608

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXCISE TAXES	40,000			
FOREIGN TAXES	4,816			
STATE TAXES	250			
FEDERAL TAX	200			

Schedule B (Form 990, 990-EZ, or 990-PF) Department of the Treasury Internal Revenue Service	Schedule of Contributors ▶ Attach to Form 990, 990-EZ, or 990-PF ▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990	OMB No 1545-0047 2015
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Name of the organization KLEIN FAMILY FOUNDATION C/O DINAH KLEIN	Employer identification number 13-4092608
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Organization type (check one)

Filers of: Form 990 or 990-EZ Form 990-PF	Section: ☐ 501(c)() (enter number) organization ☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation ☐ 527 political organization ☒ 501(c)(3) exempt private foundation ☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation ☐ 501(c)(3) taxable private foundation
--	---

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization KLEIN FAMILY FOUNDATION C/O DINAH KLEIN	Employer identification number 13-4092608
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Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed			
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	DINAH KLEIN	\$ 645,000	Person ☒
	614 AVENUE J		Payroll ☐
	BROOKLYN, NY 11230		Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person ☐
			Payroll ☐
			Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person ☐
			Payroll ☐
			Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person ☐
			Payroll ☐
			Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person ☐
			Payroll ☐
			Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person ☐
			Payroll ☐
			Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person ☐
			Payroll ☐
			Noncash ☐ (Complete Part II for noncash contributions)

Name of organization KLEIN FAMILY FOUNDATION C/O DINAH KLEIN	Employer identification number 13-4092608
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Part II Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed			
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	

Name of organization KLEIN FAMILY FOUNDATION C/O DINAH KLEIN	Employer identification number 13-4092608
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Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ► \$ _____

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	--		
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	--		
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	--		
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	--		

KLEIN FAMILY FOUNDATION #2

DECEMBER 1, 2015 - DECEMBER 31, 2015
ACCOUNT NUMBER 6180-3558

Additional information

Gross proceeds THIS PERIOD 0 00 THIS YEAR 9,350 00

Portfolio detail

Cash and Sweep Balances

Sweep Balances - You have the right, in the course of normal business operations, to withdraw balances in the Bank Deposit Sweep or redeem shares of the money market mutual fund used in the sweep, subject to any open commitments in any of your accounts and have the proceeds returned to your accounts or remitted to you. Note, however, that as required by federal banking regulations, the banks in the Bank Deposit Sweep reserve the right to require seven days prior notice before permitting a transfer out of the Bank Deposit Sweep. In addition, the money market mutual funds in the sweep reserve the right to require one or more day's prior notice before permitting withdrawals.

Bank Deposit Sweep - Consists of monies held at Wells Fargo Bank, N.A. and (if amounts exceed \$250,000) at one or more other Wells Fargo affiliated banks. These assets are not covered by SIPC, but are instead eligible for FDIC insurance of up to \$250,000 per depositor, per institution, in accordance with FDIC rules. For additional information on the Bank Deposit Sweep for your account, please contact Your Financial Advisor.

DESCRIPTION	% OF ACCOUNT	ANNUAL PERCENTAGE YIELD EARNED*	CURRENT MARKET VALUE	ESTIMATED ANNUAL INCOME
BANK DEPOSIT SWEEP	0.07	0.01	6,846.17	0.68
Interest Period 12/01/15 - 12/31/15				

Total Cash and Sweep Balances

\$6,846.17

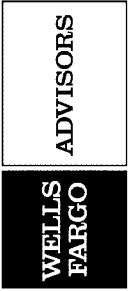
\$0.68

* APYE measures the total amount of the interest paid on an account based on the interest rate and the frequency of the compounding during the interest period. The annual percentage yield earned is expressed as an annualized rate, based on a 365 day year.

Stocks, options & ETFs

Stocks and ETFs

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
ALCATEL-LUCENT ADR									
ALU									
Acquired 08/30/00 L nc		44 48540	172 52	7 671 52		170 38	-7 501 14		
Acquired 09/19/00 L nc		214 62260	141 15	30,281 26		822 00	-29,459 26		
Acquired 11/21/03 L nc		585 33450	15 68	9,175 84		2,241 83	-6,934 01		
Acquired 12/08/05 L nc		975 55750	14 37	14,018 64		3,736 39	-10,282 25		
Total	0.07	1,820	\$33.60	\$61,147.26	3.8300	\$6,970.60	-\$54,176.66	N/A	N/A



KLEIN FAMILY FOUNDATION #2

DECEMBER 1, 2015 - DECEMBER 31, 2015
ACCOUNT NUMBER 6180-3558

Stocks, options & ETFs
Stocks and ETFs continued

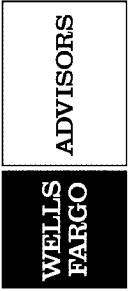
DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
AMERICAN WTS INTERNATIONAL GROUP INC EXP 01/19/21 AIGWS	0.08	347	16.29	5,652.63	23.7600	8,244.72	2,592.09	N/A	N/A
Acquired 01/20/11 L nc									
AMERICAN INTL GROUP INC AIG		200	1,112.00	222,610.00		12,394.00	-210,216.00		
Acquired 10/25/04 L nc		25	1,034.20	25,880.00		1,549.25	-24,330.75		
Acquired 11/21/07 L nc		100	1,003.00	100,393.00		6,197.00	-94,196.00		
Acquired 01/22/08 L nc		325	42.60	13,909.35		20,140.25	6,230.90		
Acquired 11/11/08 L nc									
Total	0.39	650	\$558.14	\$362,792.35	61.9700	\$40,280.50	-\$322,511.85	\$728.00	1.81
CITIGROUP INC NEW C				362,827.05					
Acquired 10/22/07 L nc		201	422.50	85,018.98		10,401.75	-74,617.23		
Acquired 10/22/07 L nc		100	423.20	42,360.00		5,175.00	-37,185.00		
Acquired 01/22/08 L nc		500	239.00	119,650.00		25,875.00	-93,775.00		
Acquired 08/12/09 L nc		40	39.39	1,577.08		2,070.00	492.92		
Acquired 08/12/09 L nc		960	39.40	37,858.56		49,680.00	11,821.44		
Acquired 01/24/11 L		1,800	48.70	87,696.00		93,150.00	5,454.00		
Total	1.80	3,601	\$103.90	\$374,160.62	51.7500	\$186,351.75	-\$187,808.87	\$720.20	0.39
HEWLETT PACKARD ENTERPRISE CO HPE									
Acquired 12/26/00 L nc		2,000	16.38	32,872.70		30,400.00	-2,472.70		
Reinvestments L nc		4	20.55	84.56		62.53	-22.03		
Total	0.29	2,004.11400	\$16.44	\$32,957.26	15.2000	\$30,462.53	-\$2,494.73	\$440.90	1.45
HOVNANIAN ENTERPRISES INC CL A HOV									
Acquired 07/07/08 L nc	0.09	5,000	4.75	23,900.00	1.8100	9,050.00	-14,850.00	N/A	N/A
HP INC HPQ									
Acquired 12/26/00 L nc		2,000	14.61	29,327.30		23,679.99	-5,647.31		
Reinvestments L nc		4	18.33	75.44		48.71	-26.73		
Total	0.23	2,004.11400	\$14.67	\$29,402.74	11.8400	\$23,728.70	-\$5,674.04	\$994.04	4.19

KLEIN FAMILY FOUNDATION #2

DECEMBER 1, 2015 - DECEMBER 31, 2015
ACCOUNT NUMBER 6180-3558

Stocks, options & ETFs
Stocks and ETFs continued

DESCRIPTION	IShares	ET	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
										ANNUAL INCOME	ANNUAL YIELD (%)
RUSSELL 2000 IWM											
On Reinvestment				700	45.83	32,086.60		78,834.00	46,747.40		
Acquired 10/27/08 L nc				600	40.98	24,588.00		67,571.99	42,983.99		
Acquired 11/20/08 L nc				217	77.94	16,922.28		24,450.15	7,527.87		
Reinvestments L m				28	118.40	3,327.02		3,164.50	-162.52		
Reinvestments S											
Total	1.68			1,545.20200	\$49.78	\$76,923.90	112.6200	\$174,020.64	\$97,096.74	\$2,676.28	1.54
JPMORGAN CHASE & CO											
JPM											
Acquired 08/30/00 L nc				185	53.45	9,970.00		12,215.55	2,245.55		
Acquired 09/19/00 L nc				849	46.97	39,976.00		56,059.47	16,083.47		
Acquired 09/07/07 L nc				1,000	43.33	43,389.00		66,030.00	22,641.00		
Acquired 01/08/08 L nc				1,000	39.62	39,660.00		66,030.00	26,370.00		
Acquired 01/22/08 L nc				1,000	38.88	38,928.50		66,030.00	27,101.50		
Total	2.57			4,034	\$42.62	\$171,923.50	66.0300	\$266,365.02	\$94,441.52	\$7,099.84	2.67
NORTEL NETWORKS CORP											
NRTLQ											
Acquired 12/30/04 L nc				1,242	35.31	43,842.60	N/A*	N/A	0.00	N/A	N/A
POWERSHARES QQQ TR ET											
SERIES 1											
QQQ											
On Reinvestment				625	29.50	18,437.50		69,912.49	51,474.99		
Acquired 10/24/08 L nc				1,000	27.00	27,000.00		111,860.00	84,860.00		
Acquired 11/19/08 L nc				128	66.87	8,596.47		14,379.15	5,782.68		
Reinvestments L m				23	110.01	2,629.59		2,673.79	44.20		
Reinvestments S											
Total	1.92			1,777.44900	\$31.88	\$56,663.56	111.8600	\$198,825.43	\$142,161.87	\$1,964.08	0.99
SPDR S&P MIDCAP 400 ET											
TRUST SERIES N											
MDY											
On Reinvestment				200	88.62	17,724.40		50,808.00	33,083.60		
Acquired 10/27/08 L nc				300	80.00	24,000.00		76,211.99	52,211.99		
Acquired 11/20/08 L nc				59	175.04	10,425.65		15,130.87	4,705.22		
Reinvestments L m											



KLEIN FAMILY FOUNDATION #2

DECEMBER 1, 2015 - DECEMBER 31, 2015
ACCOUNT NUMBER 6180-3558

Stocks, options & ETFs
Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Reinvestments S		7 37400	267 83	1,975 03		1,873 30	-101 73		
Total	1.39	566.93500	\$95.47	\$54,125.08	254.0400	\$144,024.16	\$89,899.08	\$1,950.82	1.35
SPDR S&P 500 TRUST ET SPY									
On Reinvestment		175	79 00	13,825 00		35,677 25	21,852 25		
Acquired 11/20/08 L nc		33 77100	139 07	4,696 75		6,884 89	2,188 14		
Reinvestments L m		4 18600	207 49	868 58		853 40	-15 18		
Reinvestments S									
Total	0.42	212.95700	\$91.05	\$19,390.33	203.8700	\$43,415.54	\$24,025.21	\$895.69	2.06
TIME INC									
TIME									
Acquired 12/19/00 L nc		41 61290	29 98	1,247 40		652 07	-595 33		
Acquired 12/26/00 L nc		8 32259	27 09	225 43		130 42	-95 01		
Acquired 11/21/03 L nc		49 93549	10 60	529 69		782 49	252 80		
Acquired 11/16/04 L nc		158 12902	11 84	1,872 35		2,477 88	605 53		
Total	0.04	258	\$15.02	\$3,874.87	15.6700	\$4,042.86	\$167.99	\$196.08	4.85
TIME WARNER CABLE									
TWC									
Acquired 12/19/00 L nc		83 54840	130 51	10,888 63		15,505 75	4,617 12		
Acquired 12/26/00 L nc		16 70970	117 93	1,967 88		3,101 15	1,133 27		
Acquired 11/21/03 L nc		100 25810	46 18	4,623 71		18,606 90	13,983 19		
Acquired 11/16/04 L nc		317 48380	51 55	16,343 83		58,921 82	42,577 99		
Total	0.93	518	\$65.30	\$33,824.05	185.5900	\$96,135.62	\$62,311.57	\$1,554.00	1.62
TIME WARNER INC NEW									
TWX									
Acquired 12/19/00 L nc		333 22580	88 79	29,581 31		21,549 71	-8,031 60		
Acquired 12/26/00 L nc		66 64520	80 23	5,346 16		4,309 95	-1,036 21		
Acquired 11/21/03 L nc		399 87100	31 41	12,561 31		25,859 66	13,298 35		
Acquired 11/16/04 L nc		1,266 25800	35 07	44,401 55		81,888 90	37,487 35		
Total	1.29	2,066	\$44.48	\$91,890.33	64.6700	\$133,608.22	\$41,717.89	\$2,892.40	2.16
VERIZON COMMUNICATIONS									
COM									
VZ									
Acquired 02/24/14 L	0 10	230	48 11	11,066 45	46 2200	10,630 60	-435 85	519 80	4 88

KLEIN FAMILY FOUNDATION #2

DECEMBER 1, 2015 - DECEMBER 31, 2015
ACCOUNT NUMBER 6180-3558

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
VODAFONE GROUP PLC NEW SPONSORED ADR NO PAR VOD									
Acquired 12/19/00 L nc	0.15	477	78.11	37,288.00	32,2600	15,388.02	-21,899.98	816.62	5.30
Total Stocks and ETFs	13.42			\$1,490,825.53		\$1,391,544.91	-\$55,438.02	\$23,448.75	1.69
Total Stocks, options & ETFs	13.42			\$1,490,925.53 1,490,860.23		\$1,391,544.91	-\$55,438.02	\$23,448.75	1.69

* This unpriced security is not reflected in your total portfolio value

m This security contains multiple tax lots that may or may not include cost information that is reportable to the IRS

nc Cost information for this tax lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS

Mutual Funds

If a portion of your fund position was converted, the 'Client Investment' value may include reinvestments from previously held positions

Open End Mutual Funds

Open End Mutual Fund shares are priced at net asset value. Estimated Annual Income and Yield refer to Dividends and Interest Income only, and typically do not reflect Total return

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
COLUMBIA ACORN SELECT FUND CLASS A LTFAX									
On Reinvestment		253.99300	24.84	6,310.46		3,540.67	-2,769.79		
Reinvestments L m		126.37800	15.27	1,930.16		1,761.70	-168.46		
Reinvestments S									
Total	0.05	380.37100	\$21.66	\$8,240.62	13.9400	\$5,302.37	-\$2,938.25	N/A	N/A
FIDELITY ADVISOR NEW INSIGHTS FD CLASS A FNIAIX									
On Reinvestment		3,459.32200	17.27	59,742.49		90,461.27	30,718.78		
Acquired 01/20/06 L nc		5,750.43100	17.39	100,000.00		150,373.77	50,373.77		
Acquired 02/23/06 L nc		5,443.65800	18.37	100,000.00		142,351.66	42,351.66		
Acquired 12/29/06 L nc		7,820.64700	19.18	150,000.00		204,509.91	54,509.91		
Acquired 01/22/08 L nc		4,873.29400	20.52	100,000.00		127,436.64	27,436.64		

KLEIN FAMILY FOUNDATION
ACCOUNT #1

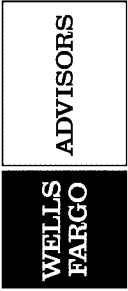
DECEMBER 1, 2015 - DECEMBER 31, 2015
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Foreign Bonds

Foreign Fixed Income securities may be denominated in currencies other than US dollars. If you own securities denominated in a foreign currency, the figures displayed for "Estimated Accrued Interest" and "Estimated Annual Income" below are in the foreign currency, not US dollars. As a result, the figures shown in "Estimated Annual Yield" are not accurate for bonds denominated in foreign currency. Please contact Your Financial Advisor if you own a Foreign Fixed Income security that is denominated in other than US dollars and have additional questions.

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
BNP PARIBAS EURO MEDIUM TERM NOTES FIX TO VAR CPN 4.230% DUE 04/30/33 DTD 04/30/13 FC 07/30/13 S&P NR										
CUSIP 05574LHR2										
Acquired 04/25/13 L nc	175,000		98.50	172,375.00		124,250.00	-48,125.00			
Acquired 07/08/14 L nc	175,000		92.75	162,312.50		124,250.00	-38,062.50			
Acquired 08/05/14 L nc	197,000		91.50	180,255.00		139,870.00	-40,385.00			
Total	1.23	547,000	\$94.13	\$514,942.50	71.0000	\$388,370.00	-\$126,572.50	N/A	\$23,138.10	5.96
ROYAL BANK OF CANADA ACRD ONLY FLOAT SR UNSCR GLOBAL MED TERM INT CLLB CPN 6.400% DUE 07/31/34 DTD 07/31/14 FC 01/31/15 CALL 01/11/16 @ 100.000 Moody NR, S&P AA-										
CUSIP 78010UWFO										
Acquired 07/18/14 L nc	0.49	200,000	100.00	200,000.00	78.0000	156,000.00	-44,000.00	N/A	12,800.00	8.20
ROYAL BANK OF CANADA FIX/FLT MEDIUM TERM NOTE CALLABLE UNSECURED CPN 9.000% DUE 09/29/34 DTD 09/29/14 FC 03/29/15 CALL 03/29/16 @ 100.000 Moody NR, S&P AA-										
CUSIP 78010UWN3										
Acquired 09/11/14 L nc	0.39	150,000	100.00	141,796.57	83.0000	124,500.00	-25,500.00	N/A	13,500.00	10.84



KLEIN FAMILY FOUNDATION
ACCOUNT #1

DECEMBER 1, 2015 - DECEMBER 31, 2015
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Foreign Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
Total Foreign Bonds	2.11	897,000		\$864,942.50	856 739 07	\$668,870.00	-\$196,072.50		\$49,438.10	7.39
Total Fixed Income Securities	92.65			\$29,293,618.10		\$29,333,648.40	\$40,030.30	\$392,553.40	\$1,831,027.76	6.24
				\$29,666,585.10						

& Insufficient data available for accurate cost or other basis adjustment
nc Cost information for this tax lot is not covered by IRS reporting requirements Unless indicated, cost for all other lots will be reported to the IRS

Mutual Funds

If a portion of your fund position was converted, the 'Client Investment' value may include reinvestments from previously held positions

Closed End Mutual Funds

Closed End Fund shares are priced at the market which may be more or less than its net asset value

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ANNUAL INCOME	ANNUAL YIELD (%)	
NUVEEN PREFERRED INCOME OPPORTUNITIES FUND										
JPC - HELD IN MARGIN										
Acquired 12/27/05 L nc		3,400	11 90	38,652 84		31,144 00	-7,508 84			
Acquired 12/27/05 L nc		500	11 91	5,689 20		4,580 00	-1,109 20			
Acquired 12/27/05 L nc		1,500	11 91	17,067 69		13,740 00	-3,327 69			
Acquired 12/27/05 L nc		2,100	11 92	23,915 76		19,236 00	-4,679 76			
Total	0.22	7,500	\$11.38	\$85,325.49	9.1600	\$68,700.00	-\$16,625.49	\$6,030.00		8.78
WESTERN ASSET HIGH INC OPPORTUNITY FUND INC										
HIO - HELD IN MARGIN										
On Reinvestment										
Acquired UNKNOWN O nc		168	6 81	1,144 83		787 92	-356 91			
Acquired 12/19/00 L nc		10,000	8 32	83,276 00		46,900 00	-36,376 00			
Reinvestments L m		5,968 77500	6 11	36,470 32		27 993 55	-8,476 77			
Reinvestments S		1,421 68900	5 02	7,137 99		6,667 72	-470 27			

KLEIN FAMILY FOUNDATION
ACCOUNT #1

DECEMBER 1, 2015 - DECEMBER 31, 2015
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Corporate and municipal bonds and other fixed income securities are priced by a computerized pricing service or, for less actively traded issues, by utilizing a yield-based matrix system to arrive at an estimated market value

Corporate Bonds

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
LEHMAN BROS HOLDINGS ESC ESCROW CUSIP GLOBAL NOTES CPN 6 625% DUE 01/18/12 DTD 01/10/02 FC 07/18/02 CUSIP 525ESC3F4 Acquired 04/17/12 L nc	25,000		N/A##	N/A	N/A*	N/A	N/A	N/A	N/A	N/A
HSBC FINANCE CORP ^ NOTES CPN 5 500% DUE 01/19/16 DTD 01/19/06 FC 07/19/06 Moody BAA1 , S&P A CUSIP 40429CFN7 Acquired 10/24/08 L nc	0 54	170,000	99 70 84 08	169,498 27 142,942 80	100 2120	170,360 40	862 13	4,207 50	9,350 00	5 48
LEHMAN BROTHERS HOLDIESC ESCROW SHARES MONTHLY PAY - CALLABLE CPN 6 100% DUE 08/12/23 DTD 08/12/03 FC 09/12/03 CUSIP 525ESCCR8 Acquired 04/17/12 L nc	50,000		N/A##	N/A	N/A	N/A	N/A	N/A	N/A	N/A
GOLDMAN SACHS GRP INC FLTG RT MEDIUM TERM NOTE CALLABLE CPN 3 696% DUE 03/27/28 DTD 03/27/13 FC 06/27/13 CALL 03/27/16 @ 100 000 Moody NR , S&P BBB+ CUSIP 38141GQW7 Acquired 07/11/13 L nc Acquired 08/28/14 L nc	225,000 175,000		97 50 85 55	219,375,008 149,712 508	349,307 04 A	162,000 00 126,000 00	-57,375 00 -23,712 50			
Total	0.91	400,000	\$92.27	\$569,087.50	72.0000	\$288,000.00	-\$81,087.50	N/A	\$14,784.00	5.13

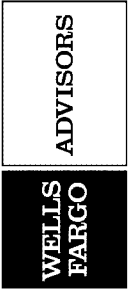
**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1, 2015 - DECEMBER 31, 2015
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Corporate Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
JPMORGAN CHASE & CO ACCURED ONLY FLOAT MEDIUM TERM NOTE CPN 4.540% DUE 03/28/28 DTD 03/28/13 FC 06/28/13 Moody NR , S&P NR CUSIP 48126DR68 Acquired 02/05/15 S nc	0.50	222,000	80.75	179,265.00	71.5000	158,730.00	-20,535.00	N/A	10,078.80	6.34
GOLDMAN SACHS GRP INC MEDIUM TERM NOTES FIXED TO FLOAT CALLBLE CPN 2.624% DUE 04/30/28 DTD 04/30/13 FC 07/30/13 CALL 01/30/16 @ 100.000 Moody NR , S&P BBB+ CUSIP 38141GSM7 Acquired 08/04/14 L nc	0.24	105,000	87.50	91,728.15 A 91,875.00	71.0000	74,550.00	-17,325.00	N/A	2,755.20	3.69
JPMORGAN CHASE & CO MEDIUM TERM NOTES FIXED TO FLOAT CPN 7.000% DUE 04/30/28 DTD 04/30/13 FC 07/30/13 Moody NR , S&P NR CUSIP 48126DS59 Acquired 03/18/15 S nc	0.46	200,000	78.25	154,852.04 A 156,500.00	72.5000	145,000.00	-11,500.00	N/A	14,000.00	9.65
GOLDMAN SACHS GROUP NOTES ACCURED ONLY FLOAT MEDIUM TERM NT CALLABLE CPN 3.220% DUE 05/23/28 DTD 05/23/13 FC 08/23/13 CALL 02/23/16 @ 100.000 Moody NR , S&P BBB+ CUSIP 38141GTZ7 Acquired 05/17/13 L nc Acquired 07/08/14 L nc		200,000 150,000	100.00 88.00	313,659.92 A 200,000.00 132,000.00		142,000.00 106,500.00	-58,000.00 -25,500.00			
Total	0.78	350,000	\$94.85	\$332,000.00	71.0000	\$248,500.00	-\$83,500.00	N/A	\$11,270.00	4.54



KLEIN FAMILY FOUNDATION
ACCOUNT #1

DECEMBER 1, 2015 - DECEMBER 31, 2015
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Corporate Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
MORGAN STANLEY ACCRUED ONLY FLOAT MEDIUM TERM NOTE CPN 4.516% DUE 06/07/28 DTD 06/07/13 FC 09/07/13 Moody NR , S&P BBB+ CUSIP 61760QCS1				629,446.63 A						
Acquired 06/07/13 L nc		250,000	99.00	247,500.00		181,250.00	-66,250.00			
Acquired 06/13/13 L nc		50,000	99.00	49,500.00		36,250.00	-13,250.00			
Acquired 07/28/14 L nc		140,000	91.50	128,100.00		101,500.00	-26,600.00			
Acquired 03/27/15 S nc		214,000	80.25	171,725.00		155,150.00	-16,585.00			
Acquired 03/27/15 S nc		99,000	80.25	79,447.50		71,775.00	-7,672.50			
Total	1.72	753,000	\$89.81	\$676,282.50	72.5000	\$545,925.00	-\$130,357.50	N/A	\$34,005.48	6.23
GOLDMAN SACHS GRP INC FIX TO VAR MEDIUM TERM NOTES CALLABLE CPN 4.084% DUE 06/19/28 DTD 06/19/13 FC 09/19/13 CALL 03/19/16 @ 100.000 Moody NR , S&P BBB+ CUSIP 38147QCN4				68,250.81 A						
Acquired 06/13/13 L nc	0.18	75,000	100.00	75,000.00	77.0000	57,750.00	-17,250.00	N/A	3,063.00	5.30
MORGAN STANLEY MEDIUM TERM NOTES FIXED TO VARIABLE CPN 3.696% DUE 06/28/28 DTD 06/28/13 FC 09/28/13 Moody NR , S&P BBB+ CUSIP 61760QCV4				134,092.64 A						
Acquired 10/22/14 L nc	0.34	150,000	89.00	133,500.00	71.2500	106,875.00	-26,625.00	N/A	5,544.00	5.18
JPMORGAN CHASE & CO SR UNSECURED FIX TO FLOAT CPN 4.115% DUE 08/31/28 DTD 08/30/13 FC 11/30/13 Moody NR , S&P NR CUSIP 48126D6M6										
Acquired 09/03/13 L nc	0.61	250,000	98.50	246,250.00	77.0000	192,500.00	-53,750.00	N/A	10,287.50	5.34

KLEIN FAMILY FOUNDATION
ACCOUNT #1

DECEMBER 1, 2015 - DECEMBER 31, 2015
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Corporate Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
JPMORGAN CHASE & CO MEDIUM TERM NOTES FIXED TO VARIABLE CPN 6.372% DUE 10/23/28 DTD 10/23/13 FC 01/23/14 Moody NR , S&P NR CUSIP 48126D7K9 Acquired 10/21/13 L nc	0.42	150,000	98.50	147,750.00	88.1250	132,187.50	-15,562.50	N/A	9,558.00	7.23
GOLDMAN SACHS GROUP NOTES FIXED TO FLOAT CALLABLE MEDIUM TERM NTS CPN 0.000% DUE 11/13/28 DTD 11/13/13 FC 02/13/14 CALL 02/13/16 @ 100.000 Moody NR , S&P BBB+ CUSIP 38147QK21 Acquired 11/08/13 L nc	0.49	200,000	98.50	197,000.00 180,295.23 A	77.7500	155,500.00	-41,500.00	N/A	N/A	N/A
GOLDMAN SACHS GROUP NOTES ACCRUED ONLY FLOAT MEDIUM TERM NOTE CLLB CPN 6.720% DUE 12/13/28 DTD 12/13/13 FC 03/13/14 CALL 03/13/16 @ 100.000 Moody NR , S&P BBB+ CUSIP 38147Q5E2 Acquired 12/09/13 L nc	0.69	250,000	100.00	250,000.00 222,308.96 A	87.0000	217,500.00	-32,500.00	N/A	16,800.00	7.72
SIM CORP EDNOTES MONTHLY PAY - CALLABLE CPN 6.000% DUE 12/15/28 DTD 09/11/03 FC 10/15/03 CALL 02/01/16 @ 100.000 Moody BA3 , S&P BB- CUSIP 78490FFY0 Acquired 03/17/04 L nc	0.09	40,000	100.00 102.03	40,000.00 40,814.00	67.5500	27,020.00	-12,980.00	106.67	2,400.00	8.88

KLEIN FAMILY FOUNDATION
ACCOUNT #1

DECEMBER 1, 2015 - DECEMBER 31, 2015
ACCOUNT NUMBER 1594-0357

Fixed Income Securities
Corporate Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
GOLDMAN SACHS GRP INC FIX TO VAR MEDIUM TERM NOTE CALLABLE CPN 7.052% DUE 01/10/29 DTD 01/10/14 FC 04/10/14 CALL 01/10/16 @ 100.000 Moody NR , S&P BBB+ CUSIP 38147QAK2	0.40	150,000	98.90	135,052.03	A	84.1140	126,171.00	-22,179.00	N/A	10,578.00
Acquired 01/09/14 L nc				148,350.00						
GOLDMAN SACHS GROUP FIX TO VAR MEDIUM TERM NOTES CALLABLE CPN 6.550% DUE 01/24/29 DTD 01/24/14 FC 04/24/14 CALL 01/24/16 @ 100.000 Moody NR , S&P BBB+ CUSIP 38147QEX0	0.42	150,000	100.00	136,059.13	A	88.3750	132,562.50	-17,437.50	N/A	9,825.00
Acquired 01/21/14 L nc				150,000.00						
GOLDMAN SACHS GRP INC NOTES FLTG RT MDM TRM NT CLLBL CPN 6.000% DUE 06/12/29 DTD 06/12/14 FC 07/12/14 CALL 01/12/16 @ 100.000 Moody NR , S&P A- CUSIP 38147QAH9	1.49	500,000	\$100.00	476,974.10	A	94.0500	\$470,250.00	-14,875.00	N/A	\$30,000.00
Acquired 06/12/14 L nc Acquired 07/02/14 L nc				500,000.00						
Total										

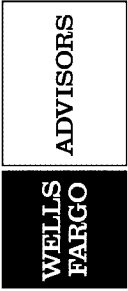
**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1, 2015 - DECEMBER 31, 2015
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Corporate Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ACCRUED INTEREST	ANNUAL INCOME ANNUAL YIELD (%)
MORGAN STANLEY ACCURED ONLY FLOAT MEDIUM TERM NOTE CPN 8.483% DUE 08/12/31 DTD 08/12/11 FC 11/12/11 Moody NR , S&P BBB+ CUSIP 61745E4S7 Acquired 12/05/13 L nc	0.53	175,000	104.27 104.50	182,489.84 182,875.00	95.5000	167,125.00	-15,364.84	N/A	14,845.25 8.88
CITIGROUP INC MEDIUM TERM NOTES FIXED TO FLOAT CALLABLE CPN 3.280% DUE 06/11/33 DTD 06/11/13 FC 09/11/13 CALL 03/11/16 @ 100.000 Moody NR , S&P BBB+ CUSIP 1730T0TQ2 Acquired 05/29/13 L nc Acquired 08/21/14 L nc				359,898.57 A 					



KLEIN FAMILY FOUNDATION
ACCOUNT #1

DECEMBER 1, 2015 - DECEMBER 31, 2015
ACCOUNT NUMBER 1594-0357

Fixed Income Securities
Corporate Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
MORGAN STANLEY MEDIUM TERM NOTE FIXED TO FLOAT CPN 10.000% DUE 08/28/33 DTD 08/28/13 FC 09/28/13 Moody NR , S&P NR CUSIP 61760QDE1 Acquired 07/07/14 L nc	0.28	100,000	100.00	100,000.00 90,144.08 A	87.2500	87,250.00	-12,750.00	N/A	10,000.00	11.46
MORGAN STANLEY MEDIUM TERM NOTE FIXED TO VARIABLE CPN 10.000% DUE 09/26/33 DTD 09/26/13 FC 10/26/13 Moody NR , S&P NR CUSIP 61760QDL5 Acquired 10/01/15 S nc	0.67	250,000	89.50	229,750.00 221,887.41 A	84.3750	210,937.50	-12,812.50	N/A	25,000.00	11.85
MORGAN STANLEY FIX TO VAR MED TERM NOTE CPN 6.372% DUE 10/31/33 DTD 10/31/13 FC 11/30/13 Moody NR , S&P NR CUSIP 61760QDN1 Acquired 10/30/13 L nc Acquired 11/04/13 L nc		300,000 209,000	98.50 98.50	295,500.00 205,865.00		256,500.00 178,695.00	-39,000.00 -27,170.00			
Total	1.37	509,000	\$98.50	\$501,365.00	85.5000	\$435,195.00	-\$66,170.00	N/A	\$32,433.48	7.45
MORGAN STANLEY MEDIUM TERM NOTE ACCRUED ONLY FLOAT CPN 8.164% DUE 11/27/33 DTD 11/27/13 FC 12/27/13 Moody NR , S&P A- CUSIP 61760QDR2 Acquired 11/25/13 L nc Acquired 09/02/15 S nc		250,000 150,000	98.75 92.00	246,875.00 138,000.00 362,545.07 A		217,500.00 130,500.00	-29,375.00 -7,500.00			
Total	1.10	400,000	\$96.21	\$384,875.00	87.0000	\$348,000.00	-\$36,875.00	N/A	\$32,656.00	9.38

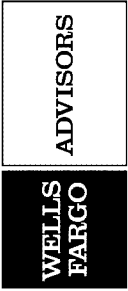
**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1, 2015 - DECEMBER 31, 2015
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Corporate Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ACCRUED INTEREST	ANNUAL INCOME ANNUAL YIELD (%)
MORGAN STANLEY MEDIUM TERM NOTES VAR RATE CPN 8 159% DUE 01/31/34 DTD 01/31/14 FC 02/28/14 Moody NR				452,523 98 A					
CUSIP 61760QDX9 Acquired 02/04/14 L nc Acquired 09/02/15 S nc		150,000 350,000	98 50 91 00	147,750 00 318,500 00		125,437 50 292,687 50	-22,312 50 -25,812 50		
Total	1.32	500,000	\$93.25	\$466,250.00	83.6250	\$418,125.00	-\$48,125.00	N/A	\$40,795.00 9.76
CITIGROUP INC FXD TO FLT MEDIUM TERM NOTE CALLABLE CPN 10 000% DUE 05/30/34 DTD 05/30/14 FC 08/30/14 CALL 02/29/16 @ 100 000 Moody NR , S&P BBB+ CUSIP 1730TOP86 Acquired 05/28/14 L nc				146,337 86 A 150,000 00					
	0 41	150,000	100 00	150,000 00	85 5000	128,250 00	-21,750 00	N/A	15,000 00 11 69
JPMORGAN CHASE & CO FIXED TO FLOAT MEDIUM TERM NOTE CALLABLE CPN 7 236% DUE 07/03/34 DTD 07/03/14 FC 10/03/14 CALL 04/03/16 @ 100 000 Moody NR , S&P A- CUSIP 48126N7A9 Acquired 06/19/14 L nc Acquired 06/20/14 L nc Acquired 06/25/14 L nc Acquired 08/28/15 S nc									
		300,000 150,000 150,000 300,000	100 00 100 00 100 00 90 00	300,000 00 150,000 00 150,000 00 270,000 00		263,220 00 131,610 00 131,610 00 263,220 00	-36,780 00 -18,390 00 -18,390 00 -6,780 00		



KLEIN FAMILY FOUNDATION
ACCOUNT #1

DECEMBER 1, 2015 - DECEMBER 31, 2015
ACCOUNT NUMBER 1594-0357

Fixed Income Securities
Corporate Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
Total	2.49	900,000	\$96.66	\$870,000.00	87.7400	\$789,660.00	-\$80,340.00	N/A	\$65,124.00	8.25
Total Corporate Bonds	20.95	8,194,000	-\$7,763,963.44	\$7,678,606.80	7,407,730.54	\$6,634,514.15	-\$1,069,448.9	\$4,314.17	\$494,973.91	7.46

^ Denotes bonds with a maturity date in the next 60 days Please contact us for further investment opportunities or any assistance
* This unpriced security is not reflected in your total portfolio value
& Insufficient data available for accurate cost or other basis adjustment
Cost information for one or more securities is not available If you have cost information and would like to see it on future statements, contact Your Financial Advisor
nc Cost information for this tax lot is not covered by IRS reporting requirements Unless indicated, cost for all other lots will be reported to the IRS

Municipal Bonds

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
NEW YORK ST ENERGY RESH & DEV ATH POLLUTN CTL VAR REV NIAGARA-B AMBAC CPN 1 060% DUE 12/01/25 DTD 12/26/85 FC 05/14/03 CALL 02/03/16 @ 100 000 Moody AA3 S&P A CUSIP 649845FT6 Acquired 07/29/08 L nc	2.40	800,000	100.00	800,000.00	95.1250	761,000.00	-39,000.00	N/A	8,480.00	1.11
Total Municipal Bonds	2.40	800,000		\$800,000.00		\$761,000.00	-\$39,000.00		\$8,480.00	1.11

nc Cost information for this tax lot is not covered by IRS reporting requirements Unless indicated, cost for all other lots will be reported to the IRS

2015 Year-End Account Summary

As of Date: 02/18/16

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Account Number: 1594-0357

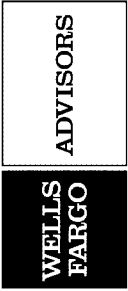
KLEIN FAMILY FOUNDATION
ACCOUNT 1

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Please consult with your Financial Advisor or tax advisor regarding specific questions.

Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Noncovered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis	Code	Adjustments	Gain/Loss Amount	Additional Information
ALLEN PARK MI SER A G/O LTD BIE TXBL CPN 6 6250% DUE 05/01/20 CUSIP 018015966	25000 00000	11/02/2009	05/01/2015	25,000 00	25,000 00		0 00	0 00	Original Basis 25,762 50 Redemption
BANK OF AMERICA CORP FIXED TO VAR MEDIUM TERM CPN 7 7560% DUE 07/11/20 CUSIP 06048WNZ9	300000 00000 235000 00000	06/27/2013 07/01/2013	12/22/2015 12/22/2015	271,050 00 212,322 50	300,000 00 235,000 00		0 00 0 00	-28,950 00 -22,677 50	
Subtotals	535000.00000			\$483,372.50	\$635,000.00		\$0.00	-\$151,627.50	
BANK OF NOVA SCOTIA FIX TO VAR MEDIUM TERM CPN 6 5240% DUE 05/29/20 CUSIP 064159ES1	200000 00000	05/08/2014	12/22/2015	170,280 00	198,000 00		0 00	-27,720 00	
BEAUMONT CA UNI SCH DIST TAXABLE B BUILD AMER BDS CPN 7 4710% DUE 08/01/20 CUSIP 074437CF9	105000 00000	10/25/2012	11/13/2015	119,238 00	117,156 69		0 00	2,081 31	Original Basis 125,877 15
DE WITT MI PUB SCH BLDG & SITE BUILD AMER BDS CPN 6 10% DUE 05/01/2026 CUSIP 242109QN9	100000 00000 15000 00000	12/11/2009 12/15/2009	05/01/2015 05/01/2015	100,000 00 15,000 00	100,000 00 15,000 00		0 00 0 00	0 00 0 00	Original Basis 101,300 00 Redemption Original Basis 15,195 00 Redemption
Subtotals	115000.00000			\$115,000.00	\$115,000.00		\$0.00	\$0.00	
GOLDMAN SACHS GROUP INC MEDIUM TERM NTS FLOATING CPN 3 3560% DUE 03/06/20 CUSIP 38141GPU2	200000 00000 200000 00000	03/04/2013 12/13/2013	12/01/2015 12/01/2015	140,080 00 140,080 00	200,000 00 189,000 00		0 00 0 00	-59,920 00 -48,920 00	
Subtotals	400000.00000			\$280,160.00	\$388,000.00		\$0.00	(76,555 51)	



2015 Year-End Account Summary

As of Date: 02/18/16

Account Number: 1594-0357

KLEIN FAMILY FOUNDATION
ACCOUNT 1

This information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes.
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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Noncovered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis	Code	Adjustments	Gain/Loss Amount	Additional Information
HAYWARD CA UNI SCH DIST TAXABLE ELECTION 2008 CPN 7.35% DUE 08/01/2043 CUSIP 4212902E6	75000 00000 45000 00000 75000 00000 120000 00000 75000 00000 20000 00000 410000.00000	08/10/2012 10/16/2012 04/11/2013 10/17/2013 10/22/2013 11/25/2013	07/24/2015 07/24/2015 07/24/2015 07/24/2015 07/24/2015 07/24/2015	87,150 00 52,290 00 87,150 00 139,440 00 87,150 00 23,240 00 \$476,420.00	83,198 10 50,309 68 85,374 20 130,505 07 81,729 33 21,895 25 \$453,011.63		0.00	3,951 90 1,980 32 1,775 80 8,934 93 5,420 67 1,344 75 \$23,408.37	Original Basis 87,187 50 Original Basis 52,762 50 Original Basis 89,425 50 Original Basis 133,590 00 Original Basis 83,700 00 Original Basis 22,424 00
Subtotals							\$0.00	\$23,408.37	
MORGAN STANLEY 6.60% TR PFD CAP VI DUE 2/1/46 CPN 6.60% DUE 02/01/2046 CUSIP 617461207	4000 00000	01/19/2006	05/27/2015	100,000 00	100,000 00		0.00	0.00	Redemption
NEW YORK NY SER D 1 BUILD AMER BDS G/O UNLTD CPN 5.3990% DUE 12/01/20 CUSIP 64966HTY6	75000 00000 175000 00000 250000.00000	12/30/2009 01/13/2010	08/25/2015 08/25/2015	85,313 25 199,064 25 \$284,377.50	73,650 00 175,061 73 \$248,711.73		0.00 0.00 \$0.00	11,663 25 24,002 52 \$35,665.77	Original Basis 175,087 50
Subtotals							\$0.00	\$35,665.77	
RBS CAP FDG TR V 5.9%PFD GTD TR SECS CUSIP 74928K208	3898 00000 1102 00000 1845 00000 155 00000 2700 00000 200 00000 100 00000 10000.00000	06/26/2003 06/26/2003 07/30/2007 07/30/2007 10/30/2007 10/30/2007 10/30/2007	08/28/2015 08/28/2015 08/28/2015 08/28/2015 08/28/2015 08/28/2015 08/28/2015	95,304 34 26,965 44 45,146 32 3,795 87 66,121 79 4,899 91 2,445 95 \$244,679.62	97,450 00 27,550 00 40,592 77 3,410 23 57,726 00 4,276 00 2,138 00 \$233,143.00		0.00 0.00 0.00 0.00 0.00 0.00 0.00 \$0.00	-2,145 66 -584 56 4,553 55 385 64 8,395 79 623 91 307 95 \$11,536.62	
Subtotals							\$0.00	\$11,536.62	

2015 Year-End Account Summary

As of Date: 02/18/16

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Account Number: 1594-0357

KLEIN FAMILY FOUNDATION
ACCOUNT 1

This information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes.
Please consult with your Financial Advisor or tax advisor regarding specific questions.

Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Noncovered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis	Code	Adjustments	Gain/Loss Amount	Additional Information
REPUBLIC NY CAPITAL I NOTES CPN 7.75% DUE 11/15/2026 CUSIP 760715AB8	240000 00000	02/21/2007	06/26/2015	241,756 80	241,756 80		0 00	0 00	Original Basis 249,100 80 Redemption
ROYAL BK SCOTLAND 5.75% SER L NON CUM PFD CUSIP 780097788	4000 00000	06/16/2003	08/28/2015	98,226 18	100,000 00		0 00	-1,773 82	
SAN BERNARDINO CA CCD ELEC OF 2008 SER C BUILD CPN 7.43% DUE 08/01/2039 CUSIP 796720GJ3	150000 00000	07/22/2009	10/01/2015	194,820 00	156,942 58		0 00	37,877 42	Original Basis 157,522 50
TOLEDO OH TAXABLE STR IMPT G/O LTD BIE ASSURED CPN 6.3000% DUE 12/01/20 CUSIP 889278974	15000 00000	10/15/2009	12/01/2015	15,000 00	15,000 00		0 00	0 00	Original Basis 15,525 00 Redemption
Totals				\$2,848,330.60	\$2,927,722.43		\$0.00	(\$79,391.83)	15,893 76

KLEIN FAMILY FOUNDATION
ACCOUNT #1

DECEMBER 1, 2015 - DECEMBER 31, 2015
ACCOUNT NUMBER 1594-0357

Mutual Funds

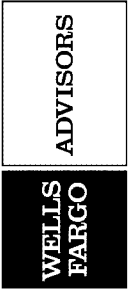
Closed End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Total	0.26	17,558.46400	\$7.29	\$128,029.14	4.6900	\$82,349.19	-\$45,679.95	\$7,479.90	9.08
Client Investment (Excluding Reinvestments) Gain/Loss on Client Investment (Including Reinvestments)									
						\$84,420 83			
						-\$2,071 64			
Total Closed End Mutual Funds	0.48			\$213,354.63		\$151,049.19	-\$62,305.44	\$13,509.90	8.94
Total Mutual Funds	0.48			\$213,354.63		\$151,049.19	-\$62,305.44	\$13,509.90	8.94

m This security contains multiple tax lots that may or may not include cost information that is reportable to the IRS
nc Cost information for this tax lot is not covered by IRS reporting requirements Unless indicated, cost for all other lots will be reported to the IRS

Preferreds/Fixed Rate Cap Securities

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
BARCLAY BANK PLC 7 75% NON CUM PERPETUAL PFD CALL STARTING 3/15/2013									
BCS'C Acquired 11/30/07 L nc	0 42	5,000	25 00	125,000 00	26 3500	131,750 00	6,750 00	9,690 00	7 35
GOLDMAN SACHS GRP 6 20% NON-CUM PERP PFD CALL STARTING 11/10/10									
GS'B - HELD IN MARGIN Acquired 10/21/05 L nc	0 33	4,000	25 00	100,000 00	25 9900	103,960 00	3,960 00	6,200 00	5 96
HSBC HOLDING PLC 8 125% NON-CUM PERP PFD CALL STARTING 4/15/2013									
HSEA - HELD IN MARGIN Acquired 04/02/08 L nc	0 51	6,000	25 00	150,000 00	26 6900	160,140 00	10,140 00	12,186 00	7 60
LEHMAN BROS HLDG 7 95% NON-CUM PERP PFD ESCROW CALL STARTING 2/15/2013									
HELD IN MARGIN Acquired 03/09/12 L Acquired 03/09/12 L		10,000 5,000	N/A## N/A##	N/A N/A	*	N/A N/A	N/A N/A		
Total	0.00	15,000		\$0.00	N/A	\$0.00	\$0.00	N/A	N/A



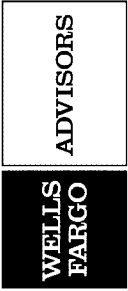
KLEIN FAMILY FOUNDATION
ACCOUNT #1

DECEMBER 1, 2015 - DECEMBER 31, 2015
ACCOUNT NUMBER 1594-0357

Preferreds/Fixed Rate Cap Securities

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
MERRILL LYNCH 6 45% CAP TR DUE 12/15/2066 CALL STARTING 12/15/11 MERK - HELD IN MARGIN Acquired 12/07/06 L nc	0.32	4,000	25.00	100,000.00	25.4242	101,696.80	1,696.80	6,448.00	6.34
MERRILL LYNCH 6 45% PFD CAP TR II DUE 06/15/62 VARIABLE/CALL 8/12/13 MERM - HELD IN MARGIN Acquired 04/25/07 L nc Acquired 10/30/07 L nc		6,000 3,000	25.00 22.68	150,000.00 68,040.00		152,460.00 76,230.00	2,460.00 8,190.00		
Total	0.72	9,000	\$24.23	\$218,040.00	25.4100	\$228,690.00	\$10,650.00	\$14,508.00	6.34
MERRILL LYNCH 7 375% PFD CAP TR III DUE 9/15/2062 CALL STARTING 9/15/2012 MERP - HELD IN MARGIN Acquired 08/15/07 L nc	0.33	4,000	25.00	100,000.00	25.9400	103,760.00	3,760.00	7,376.00	7.10
MORGAN STANLEY 6 45% PFD CAP TRUST VIII 4/15/2067 CALL STARTING 7/15/12 MSK - HELD IN MARGIN Acquired 04/19/07 L nc	0.64	8,000	25.00	200,000.00	25.3100	202,480.00	2,480.00	12,896.00	6.36
PRUDENTIAL PLC 6 50% PERPETUAL EXCH CAP SEC CALL STARTING 9/23/10 PUK'A - HELD IN MARGIN Acquired 07/12/05 L nc	0.34	4,000	25.00	100,000.00	26.6700	106,680.00	6,680.00	6,500.00	6.09
WELLS FARGO & CO 8% PFD CALLABLE 12/15/17 SERIES J WFCJ - HELD IN MARGIN Acquired 12/18/07 L nc	0.71	8,000	25.00	200,000.00	28.0800	224,640.00	24,640.00	16,000.00	7.12
Total Preferreds/Fixed Rate Cap Securities	4.31			\$1,293,040.00		\$1,363,796.80	\$70,756.80	\$91,804.00	6.73

* This unpriced security is not reflected in your total portfolio value
Cost information for one or more securities is not available. If you have cost information and would like to see it on future statements, contact Your Financial Advisor
nc Cost information for this tax lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS.



KLEIN FAMILY FOUNDATION
ACCOUNT #1

DECEMBER 1, 2015 - DECEMBER 31, 2015
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Foreign Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
Total Foreign Bonds	2.11	897,000		\$864,942.50		\$668,870.00	-\$196,072.50		\$49,438.10	7.39
Total Fixed Income Securities	92.65			\$29,293,618.10 \$29,666,585.10		\$29,333,648.40	\$40,030.30	\$392,553.40	\$1,831,027.76	6.24

& Insufficient data available for accurate cost or other basis adjustment
nc Cost information for this tax lot is not covered by IRS reporting requirements Unless indicated, cost for all other lots will be reported to the IRS

Mutual Funds

If a portion of your fund position was converted, the 'Client Investment' value may include reinvestments from previously held positions

Closed End Mutual Funds

Closed End Fund shares are priced at the market which may be more or less than its net asset value

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ANNUAL INCOME	ANNUAL YIELD (%)	
NUVEEN PREFERRED INCOME OPPORTUNITIES FUND JPC - HELD IN MARGIN Acquired 12/27/05 L nc Acquired 12/27/05 L nc Acquired 12/27/05 L nc Acquired 12/27/05 L nc		3,400 500 1,500 2,100	11 90 11 91 11 91 11 92	38,652 84 5,689 20 17,067 69 23,915 76		31,144 00 4,580 00 13,740 00 19,236 00	-7,508 84 -1,109 20 -3,327 69 -4,679 76			
Total	0.22	7,500	\$11.38	\$85,325.49	9.1600	\$68,700.00	-\$16,625.49		\$6,030.00	8.78
WESTERN ASSET HIGH INC OPPORTUNITY FUND INC HIO - HELD IN MARGIN On Reinvestment Acquired UNKNOWN O nc Acquired 12/19/00 L nc Reinvestments L m Reinvestments S		168 10,000 5,968 1,421	6 81 8 32 6 11 5 02	1,144 83 83,276 00 36,470 32 7,137 99		787 92 46,900 00 27,993 55 6,667 72	-356 91 -36,376 00 -8,476 77 -470 27			

KLEIN FAMILY FOUNDATION
ACCOUNT #1

DECEMBER 1, 2015 - DECEMBER 31, 2015
ACCOUNT NUMBER 1594-0357

Mutual Funds

Closed End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Total	0.26	17,558.46400	\$7.29	\$128,029.14	4.6900	\$82,349.19	-\$45,679.95	\$7,479.90	9.08
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)						\$84,420.83			
						-\$2,071.64			
Total Closed End Mutual Funds	0.48			\$213,354.63		\$151,049.19	-\$62,305.44	\$13,509.90	8.94
Total Mutual Funds	0.48			\$213,354.63		\$151,049.19	-\$62,305.44	\$13,509.90	8.94

m This security contains multiple tax lots that may or may not include cost information that is reportable to the IRS
nc Cost information for this tax lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS

Preferreds/Fixed Rate Cap Securities

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
BARCLAY BANK PLC 7.75% NON-CUM PERPETUAL PFD CALL STARTING 3/15/2013									
BCS'C Acquired 11/30/07 L nc	0.42	5,000	25.00	125,000.00	26.3500	131,750.00	6,750.00	9,690.00	7.35
GOLDMAN SACHS GRP 6.20% NON-CUM PERP PFD CALL STARTING 11/10/10									
GS'B - HELD IN MARGIN Acquired 10/21/05 L nc	0.33	4,000	25.00	100,000.00	25.9900	103,960.00	3,960.00	6,200.00	5.96
HSBC HOLDING PLC 8.125% NON-CUM PERP PFD CALL STARTING 4/15/2013									
HSEA - HELD IN MARGIN Acquired 04/02/08 L nc	0.51	6,000	25.00	150,000.00	26.6900	160,140.00	10,140.00	12,186.00	7.60
LEHMAN BROS HLDG 7.95% NON-CUM PERP PFD ESCROW CALL STARTING 2/15/2013									
HELD IN MARGIN Acquired 03/09/12 L Acquired 03/09/12 L		10,000 5,000	N/A## N/A##	N/A N/A	*	N/A N/A	N/A N/A	N/A N/A	N/A
Total	0.00	15,000		\$0.00	N/A	\$0.00	\$0.00	N/A	N/A

KLEIN FAMILY FOUNDATION #2

DECEMBER 1, 2015 - DECEMBER 31, 2015
ACCOUNT NUMBER 6180-3558

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
VODAFONE GROUP PLC NEW SPONSORED ADR NO PAR VOD									
Acquired 12/19/00 L nc	0.15	477	78.11	37,288.00	32,2600	15,388.02	-21,899.98	816.62	5.30
Total Stocks and ETFs	13.42			\$1,490,825.53		\$1,391,544.91	-\$55,438.02	\$23,448.75	1.69
Total Stocks, options & ETFs	13.42			\$1,490,825.53		\$1,391,544.91	-\$55,438.02	\$23,448.75	1.69

* This unpriced security is not reflected in your total portfolio value

m This security contains multiple tax lots that may or may not include cost information that is reportable to the IRS

nc Cost information for this tax lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS

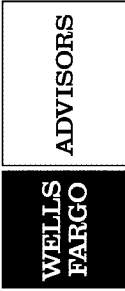
Mutual Funds

If a portion of your fund position was converted, the 'Client Investment' value may include reinvestments from previously held positions

Open End Mutual Funds

Open End Mutual Fund shares are priced at net asset value. Estimated Annual Income and Yield refer to Dividends and Interest Income only, and typically do not reflect Total return

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
COLUMBIA ACORN SELECT FUND CLASS A LTFAX									
On Reinvestment		253.99300	24.84	6,310.46		3,540.67	-2,769.79		
Reinvestments L m		126.37800	15.27	1,930.16		1,761.70	-168.46		
Reinvestments S									
Total	0.05	380.37100	\$21.66	\$8,240.62	13.9400	\$5,302.37	-\$2,938.25	N/A	N/A
FIDELITY ADVISOR NEW INSIGHTS FD CLASS A FNIAIX									
On Reinvestment		3,459.32200	17.27	59,742.49		90,461.27	30,718.78		
Acquired 01/20/06 L nc		5,750.43100	17.39	100,000.00		150,373.77	50,373.77		
Acquired 02/23/06 L nc		5,443.65800	18.37	100,000.00		142,351.66	42,351.66		
Acquired 12/29/06 L nc		7,820.64700	19.18	150,000.00		204,509.91	54,509.91		
Acquired 01/22/08 L nc		4,873.29400	20.52	100,000.00		127,436.64	27,436.64		
Acquired 06/03/08 L nc									



KLEIN FAMILY FOUNDATION #2

DECEMBER 1, 2015 - DECEMBER 31, 2015
ACCOUNT NUMBER 6180-3558

Mutual Funds

Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Reinvestments L m		7,647 11600	24 96	190,938 05		199,972 09	9,034 04		
Reinvestments S		1,561 43000	25 92	40,477 85		40,831 39	353 54		
Total	9.22	36,555.89800	\$20.27	\$741,158.39	26.1500	\$955,936.73	\$214,778.34	\$621.45	0.07
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
HARTFORD FORTIS SER FD									
INC GROWTH OPPTY S FD									
CL A									
HGOAX									
On Reinvestment									
Acquired 04/13/15 S									
Acquired 08/25/15 S									
Reinvestments S									
Total	4.33	11,935.57400	\$38.69	\$461,806.41	37.6000	\$448,777.58	-\$13,028.83	N/A	N/A
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
HARTFORD MUT FDS INC									
INTL GROWTH FUND-CLASS A									
HNCAX									
On Reinvestment									
Acquired 04/13/15 S									
Reinvestments S									
Total	1.57	13,335.69000	\$13.20	\$176,094.82	12.2400	\$163,228.84	-\$12,865.98	\$1,101.52	0.67
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
INTEGRITY FUNDS									
WILLISTON BASIN/MID NRTH									
AMERICA STK FD CL A									
ICPAX									
On Reinvestment									
Acquired 06/13/11 L nc									
Reinvestments L									
Reinvestments S									
Total		117,700 73000	5 48	645,000 00		507,290 14	-137,709 86		
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
Acquired 06/13/11 L nc									
Reinvestments L									
Reinvestments S									
Total		5,632 71200	6 81	38,358 77		24,276 99	-14,081 78		
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
Acquired 06/13/11 L nc									
Reinvestments L									
Reinvestments S									
Total		6,407 58800	5 66	36,318 99		27,616 70	-8,702 29		

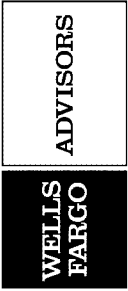
KLEIN FAMILY FOUNDATION #2

DECEMBER 1, 2015 - DECEMBER 31, 2015
ACCOUNT NUMBER 6180-3558

Mutual Funds

Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Total	5.39	129,741.03000	\$5.55	\$719,677.76	4.3100	\$559,183.83	-\$160,493.93	N/A	N/A
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
IVY FDS INC									
ASSET STRATEGY FD CL A									
WASAX									
On Reinvestment		3,668,37900	27.26	100,000.00		80,484.23	-19,515.77		
Acquired 06/03/08 L nc		3,657,64400	27.34	100,000.00		80,248.71	-19,751.29		
Acquired 06/18/08 L nc		3,122,11800	22.99	71,784.81		68,499.27	-3,285.54		
Reinvestments L m		669,23400	22.28	14,910.54		14,682.99	-227.55		
Reinvestments S									
Total	2.35	11,117.37500	\$25.79	\$286,695.35	21.9400	\$243,915.20	-\$42,780.15	\$651.47	0.27
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
NATIXIS ADVISOR EQTY									
LOOMIS SAYLES GLOBAL									
EQTY & INCOME FD CL A									
LGMAX									
On Reinvestment		29,315,96100	15.35	450,000.00		527,394.13	77,394.13		
Acquired 01/13/12 L		3,252,95600	18.59	60,485.34		58,520.68	-1,964.66		
Reinvestments L		1,797,88400	18.02	32,415.84		32,343.93	-71.91		
Reinvestments S									
Total	5.96	34,366.80100	\$15.80	\$542,901.18	17.9900	\$618,258.74	\$75,357.56	\$5,258.12	0.85
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
FRANKLIN MUTUAL									
EUROPEAN FUND									
CLASS Z									
MEURX									
On Reinvestment		5,128,20500	19.50	100,040.00		99,897.43	-142.57		
Acquired 05/21/10 L nc		36,237,96000	22.24	806,160.58		705,915.46	-100,245.12		
Reinvestments L m		3,293,72900	19.53	64,332.07		64,161.84	-170.23		
Reinvestments S									



KLEIN FAMILY FOUNDATION #2

DECEMBER 1, 2015 - DECEMBER 31, 2015
ACCOUNT NUMBER 6180-3558

Mutual Funds

Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Total	8.39	44,659.89400	\$21.73	\$970,532.65	19.4800	\$869,974.73	-\$100,557.92	\$20,364.91	2.34
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
FRANKLIN MUTUAL GLOBAL									
DISCOVERY FUND									
CLASS Z									
MDISX									
On Reinvestment									
Acquired 12/19/00 L nc		9,484 57500	18 55	175,938 86		278,372 28	102,433 42		
Acquired 06/27/01 L nc		201 74000	19 77	3,988 40		5,921 07	1,932 67		
Acquired 06/27/01 L nc		207 52700	19 77	4,102 81		6,090 92	1,988 11		
Acquired 06/27/01 L nc		304 05700	19 77	6,011 21		8,924 07	2,912 86		
Acquired 12/18/01 L nc		2,086 44500	17 66	36,846 62		61,237 16	24,390 54		
Reinvestments L m		20,584 25700	27 47	565,563 85		604,147 93	38,584 08		
Reinvestments S		3,191 37500	29 11	92,909 63		93,666 86	757 23		
Total	10.20	36,059.97600	\$24.55	\$885,361.38	29.3500	\$1,058,360.29	\$172,998.91	\$19,941.16	1.88
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
DAVIS FINANCIAL FUND									
CL A									
RPFGX									
On Reinvestment									
Acquired 01/04/08 L nc		6 375 92500	39 21	250,000 00		251,147 68	1,147 68		
Acquired 01/08/08 L nc		3,888 02500	38 58	150,000 00		153,149 30	3,149 30		
Acquired 06/03/08 L nc		2,748 00800	36 39	100,000 00		108,244 04	8,244 04		
Acquired 06/03/08 L nc		10,992 03100	36 39	400,000 00		432,976 10	32,976 10		
Acquired 12/30/11 L nc		6 638 84700	26 36	175,000 00		261,504 18	86,504 18		
Reinvestments L m		11,932 26100	30 17	360,021 68		470,011 76	109,990 08		
Reinvestments S		960 60800	39 49	37,934 41		37,838 35	-96 06		
Total	16.53	43,535.70500	\$33.83	\$1,472,956.09	39.3900	\$1,714,871.41	\$241,915.32	\$8,315.31	0.48
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
						\$1,075,000 00			
						\$639,871 41			

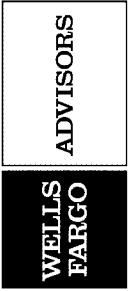
KLEIN FAMILY FOUNDATION #2

DECEMBER 1, 2015 - DECEMBER 31, 2015
ACCOUNT NUMBER 6180-3558

Mutual Funds

Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
OPPENHEIMER DEVELOPING									
MKTS FDS CLASS A									
ODMAX									
On Reinvestment		2,617 80100	38 20	100,000 00		79,581 15	-20,418 85		
Acquired 01/17/06 L nc									
Acquired 01/20/06 L nc		2,636 43600	37 93	100,000 00		80,147 65	-19,852 35		
Acquired 12/31/12 L		11,334 65600	35 29	400,000 00		344,573 54	-55,426 46		
Reinvestments L m		6,053 29700	24 50	148,351 17		184,020 23	35,669 06		
Reinvestments S		93 48100	31 33	2,928 77		2,841 82	-86 95		
Total	6.66	22,735.67100	\$33.04	\$751,279.94	30.4000	\$691,164.39	-\$60,115.55	\$2,932.90	0.42
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
						\$600,000 00			
						\$91,164 39			
OPPENHEIMER GLOBAL FD									
CL A									
OPPAX									
On Reinvestment		6,201 55000	64 50	400,000 00		465,860 44	65,860 44		
Acquired 12/31/12 L		606 58400	77 22	46,842 98		45,566 59	-1,276 39		
Reinvestments L		349 65900	75 60	26,437 69		26,266 38	-171 31		
Reinvestments S									
Total	5.18	7,157.79300	\$66.12	\$473,280.67	75.1200	\$537,693.41	\$64,412.74	\$3,736.36	0.69
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
						\$400,000 00			
						\$137,693 41			
OPPENHEIMER INTL SMALL									
MID COMPANY FD CL A									
OSMAX									
On Reinvestment		1,420 85800	35 19	50,000 00		52,926 96	2,926 96		
Acquired 07/10/07 L nc		897 02200	27 87	25,000 00		33,414 07	8,414 07		
Acquired 08/16/07 L nc		2,874 38900	34 79	100,000 00		107,070 99	7,070 99		
Acquired 10/19/07 L nc		2,975 30500	33 61	100,000 00		110,830 11	10,830 11		
Acquired 11/15/07 L nc		1,928 26800	25 93	50,000 00		71,827 98	21,827 98		
Acquired 12/17/07 L nc		1,884 65900	26 53	50,000 00		70,203 55	20,203 55		
Acquired 01/04/08 L nc		3,488 37200	21 50	75,000 00		129,941 86	54,941 86		
Acquired 01/22/08 L nc		2,941 17600	17 00	50,000 00		109,558 80	59,558 80		
Acquired 08/12/08 L nc		6,141 74000	23 47	144,169 12		228,779 82	84,610 70		
Reinvestments L m		16 49800	37 12	612 57		614 55	1 98		
Reinvestments S									



KLEIN FAMILY FOUNDATION #2

DECEMBER 1, 2015 - DECEMBER 31, 2015
ACCOUNT NUMBER 6180-3558

Mutual Funds

Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Total	8.82	24,568.28700	\$26.24	\$644,781.69	37.2500	\$915,168.69	\$270,387.00	\$611.75	0.07
Client Investment (Excluding Reinvestments)									
						\$500,000.00			
Gain/Loss on Client Investment (Including Reinvestments)						\$415,168.69			
Total Open End Mutual Funds	84.67			\$8,134,766.95		\$8,781,836.21	\$647,069.26	\$63,534.95	0.72

m This security contains multiple tax lots that may or may not include cost information that is reportable to the IRS
nc Cost information for this tax lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS

Closed End Mutual Funds

Closed End Fund shares are priced at the market which may be more or less than its net asset value

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
THE INDIA FUND INC									
IFN									
On Reinvestment									
Acquired 03/05/07 L nc		1,250	36.10	45,125.00		28,425.00	-16,700.00		
Acquired 01/30/09 L nc		3,000	17.89	53,697.90		68,220.00	14,522.10		
Acquired 08/21/09 L nc		1,416	N/A##	37,410.72		32,199.84	-5,210.88		
Reinvestments L m		2,118.03000	24.83	52,600.70		48,164.01	-4,436.69		
Reinvestments S		640.74300	26.18	16,779.66		14,570.48	-2,209.18		
Total	1.85	8,424.77300		\$205,613.98	22.7400	\$191,579.33	-\$14,034.65	\$561.93	0.29
Client Investment (Excluding Reinvestments)									
						\$136,233.62			
Gain/Loss on Client Investment (Including Reinvestments)						\$55,345.71			
Total Closed End Mutual Funds	1.85			\$205,613.98		\$191,579.33	-\$14,034.65	\$561.93	0.29
Total Mutual Funds	86.52			\$8,340,380.93		\$8,973,415.54	\$633,034.61	\$64,096.88	0.71

Cost information for one or more securities is not available. If you have cost information and would like to see it on future statements, contact Your Financial Advisor.
m This security contains multiple tax lots that may or may not include cost information that is reportable to the IRS.
nc Cost information for this tax lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS.

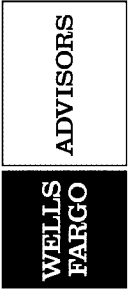
KLEIN FAMILY FOUNDATION
ACCOUNT #1

DECEMBER 1, 2015 - DECEMBER 31, 2015
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
ILLINOIS ST SER 1 BUILS AMER BDS G/O UNLTD B/E CPN 5 163% DUE 02/01/18 DTD 02/04/10 FC 08/01/10 Moody BAA1 , S&P A- CUSIP 452152BG5 Acquired 10/25/10 L nc	0 75	230,000	101 15 103 57	232,646 81 238,222 50	103 6980	238,505 40	5,858 59	4,947 88	11,874 90	4 97
YPSILANTI MICHIGAN REF TAXABLE-LTD TAX-CAP IMPT G/O LTD B/E OID AMBAC CPN 5 800% DUE 05/01/18 DTD 06/08/06 FC 11/01/06 CALL 05/01/16 @ 100 000 Moody A2 , S&P A CUSIP 987830KN5 Acquired 10/05/09 L nc Acquired 10/06/09 L nc		200,000 115,000	100 17 103 01 100 17 103 01	200,356 53 206,020 00 115,205 07 118,461 50		203,006 00 116,728 45	2,649 47 1,523 38			
Total	1.01	315,000	\$100.17 \$103.01	\$315,561.60 \$324,481.50	101.5030	\$319,734.45	\$4,172.85	\$3,045.00	\$18,270.00	5.71
ILLINOIS ST SER 2010 3 BUILD AMER BDS G/O UT B/E TAXABLE CPN 5 547% DUE 04/01/19 DTD 04/26/10 FC 10/01/10 Moody BAA1 , S&P A- CUSIP 452152FK2 Acquired 09/21/10 L nc	0 67	200,000	102 26 105 27	204,532 43 210,552 00	105 5690	211,138 00	6,605 57	2,773 50	11,094 00	5 25
ALLEN PARK MI SER A G/O LTD B/E TXBL CPN 6 625% DUE 05/01/19 DTD 11/12/09 FC 05/01/10 Moody NR , S&P CCC+ CUSIP 018015MV6										



KLEIN FAMILY FOUNDATION
ACCOUNT #1

DECEMBER 1, 2015 - DECEMBER 31, 2015
ACCOUNT NUMBER 1594-0357

Fixed Income Securities
Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 11/02/09 L nc	0 26	85,000	100 00 103 05	85,000 00 87,592 50	98 6770	83,875 45	-1,124 55	938 54	5,631 25	6 71
JENISON MI PUB SCH TAXBL BLDG&SITE A QUAL CONSTR BDS DIR G/O UNLTD B/E CPN 5 450% DUE 05/01/19 DTD 04/20/11 FC 11/01/11 Moody AA3 CUSIP 476034PC1										
Acquired 04/07/11 L nc	0 35	100,000	100 58 101 25	100,586 41 101,250 00	111 4350	111,435 00	10,848 59	908 33	5,450 00	4 89
MILLBRAE CA SCH DIST SER B 1 QUALIFIED SCH CONSTR BDS TAXABLE G/O B/E CPN 5 400% DUE 07/01/20 DTD 04/19/11 FC 01/01/12 Moody AA2 , S&P AA- CUSIP 60012PAZ7										
Acquired 04/04/11 L nc	0 35	100,000	100 74 101 35	100,744 51 101,350 00	111 0110	111,011 00	10,266 49	2,700 00	5,400 00	4 86
KANE COOK & DU PAGE CTYS IL S/D 46 ELGIN UNREF BAL SER A G/O UNLTD TXBL CPN 5 800% DUE 01/01/21 DTD 03/30/11 FC 07/01/11 S&P AA- CUSIP 483836UV2										
Acquired 03/11/11 L nc	0 23	65,000	101 11 101 90	65,724 02 66,235 00	112 7130	73,263 45	7,539 43	1,885 00	3,770 00	5 14
KANE COOK & DU PAGE CTYS IL S/D 46 ELGIN PREREF TXBL SER A G/O UNLTD ETM CPN 5 800% DUE 01/01/21 DTD 03/30/11 FC 07/01/11 CUSIP 483836UP5										
Acquired 03/11/11 L nc	0 13	35,000	101 11 101 90	35,389 86 35,665 00	118 2570	41,389 95	6,000 09	1,015 00	2,030 00	4 90

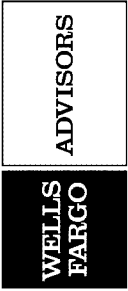
KLEIN FAMILY FOUNDATION
ACCOUNT #1

DECEMBER 1, 2015 - DECEMBER 31, 2015
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ACCRUED INTEREST	ANNUAL INCOME ANNUAL YIELD (%)
ILLINOIS ST									
G/O UNLTD B/E TAXABLE									
CPN 5.750% DUE 03/01/21									
DTD 04/26/10 FC 09/01/10									
Moody BAA1 , S&P A-									
CUSIP 452152EK3									
Acquired 07/21/11 L nc	150,000		102.66	153,990.42		158,832.00	4,841.58		
			104.44	156,661.50					
Acquired 07/25/11 L nc	85,000		102.66	87,262.11		90,004.80	2,742.69		
			104.44	88,774.85					
Total	0.79	235,000	\$102.66 \$104.44	\$241,252.53 \$245,436.35	105.8880	\$248,836.80	\$7,584.27	\$4,504.17	\$13,512.50 5.43
BAYONNE NJ RFDG G/O B/E									
ASSURED GUAR OID B/Q									
CPN 6.250% DUE 06/01/21									
DTD 05/07/09 FC 12/01/09									
Moody A2 , S&P AA									
CUSIP 072887ZQ8									
Acquired 12/31/09 L nc	0.76	215,000	104.42 108.05	224,511.76 232,307.50	111.9810	240,759.15	16,247.39	1,119.79	13,437.50 5.58
ILLINOIS ST									
SER 5 BUILD AMER BDS									
G/O UT B/E OID TAXABLE									
CPN 6.200% DUE 07/01/21									
DTD 07/21/10 FC 01/01/11									
Moody BAA1 , S&P A-									
CUSIP 452152GR6									
Acquired 07/16/10 L nc	0.84	250,000	100.92 101.57	252,300.89 253,937.50	106.1800	265,450.00	13,149.11	7,750.00	15,500.00 5.83
WINNEBAGO BOONE ETC CNTY									
IL CCD SER B BUILD AMER									
BDS B/O UNLTD B/E TXBL									
CPN 5.410% DUE 01/01/22									
DTD 12/22/09 FC 07/01/10									
CALL 01/01/17 @ 100.000									
Moody NR , S&P AA									
CUSIP 974433GH1									
Acquired 12/29/09 L nc	1.06	325,000	99.80	324,350.00	103.3900	336,017.50	11,667.50	8,791.25	17,582.50 5.23



KLEIN FAMILY FOUNDATION
ACCOUNT #1

DECEMBER 1, 2015 - DECEMBER 31, 2015
ACCOUNT NUMBER 1594-0357

Fixed Income Securities
Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
ITHACA CITY NY PUB IMPT SER B G/O UNLTD B/E TXBL CPN 6 000% DUE 01/15/22 DTD 01/15/10 FC 01/15/11 CALL 01/15/19 @ 100 000 Moody AA2 , S&P NR CUSIP 465650QQ5 Acquired 12/31/09 L nc	1 03	300,000	101 90 104 87	305,720 75 314,613 00	108 8280	326,484 00	20,763 25	8,300 00	18,000 00	5 51
ILLINOIS ST TXBL SER 2010-3 BUILD AMER BDS G/O UNLTD B/E CPN 5 947% DUE 04/01/22 DTD 04/28/10 FC 10/01/10 Moody BAA1 , S&P A- CUSIP 452152FN6 Acquired 07/13/11 L nc	1 26	380,000	102 60 103 98	389,886 90 395,124 00	105 2950	400,121 00	10,234 10	5,649 65	22,598 60	5 64
FLORIDA ST DPT ENVMNTL PROTN REV SER B BABS ISSUER SUBSIDY TXBL B/E CPN 6 206% DUE 07/01/22 DTD 01/28/10 FC 07/01/10 CALL 07/01/19 @ 100 000 Moody AA3 , S&P AA- CUSIP 34160WUJ0 Acquired 01/08/10 L nc	0 54	150,000	100 70 101 60	151,053 40 152,400 00	114 1500	171,225 00	20,171 60	4,654 50	9,309 00	5 43

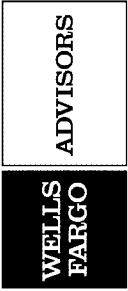
KLEIN FAMILY FOUNDATION
ACCOUNT #1

DECEMBER 1, 2015 - DECEMBER 31, 2015
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
FOLSOM CORDOVA CA USD FACS IMPT DIST NO 3 TXBL BABS DIRECT PAY ASSURED CPN 6.388% DUE 10/01/22 DTD 12/03/09 FC 04/01/10 CALL 10/01/19 @ 100.000 Moody A2, S&P AA CUSIP 34440KBF8 Acquired 11/16/09 L nc	150,000		100.39 100.85 100.39 100.85	150,586.58 151,275.00 15,058.66 15,127.50		167,550.00 16,755.00	16,963.42 1,696.34			
Acquired 11/16/09 L nc	15,000									
Total	0.58	165,000	\$100.39 \$100.85	\$165,645.24 \$166,402.50	111.7000	\$184,305.00	\$18,659.76	\$2,635.05	\$10,540.20	5.72
ROSEMONT IL TAXABLE CORP PURP SER B G/O UNLTD B/E OID CPN 5.250% DUE 12/01/22 DTD 05/26/11 FC 12/01/11 Moody A3, S&P A CUSIP 777543SS9 Acquired 05/23/11 L nc	0.52	150,000	100.11 100.17	150,173.97 150,262.50	109.4650	164,197.50	14,023.53	656.25	7,875.00	4.79
NEW YORK NY SER D 1 BUILD AMER BDS G/O B/E TXBL CPN 5.199% DUE 12/01/22 DTD 12/17/09 FC 06/01/10 Moody AA2, S&P AA CUSIP 64966HTT7 Acquired 12/28/09 L nc Acquired 12/30/09 L nc Acquired 12/31/09 L nc	350,000 105,000 175,000		98.42 98.55 98.67	344,487.50 103,477.50 172,681.25		399,934.50 119,980.35 199,967.25	55,447.00 16,502.85 27,286.00			
Total	2.27	630,000	\$98.51	\$620,646.25	114.2670	\$719,882.10	\$99,235.85	\$2,729.48	\$32,753.70	4.55



KLEIN FAMILY FOUNDATION
ACCOUNT #1

DECEMBER 1, 2015 - DECEMBER 31, 2015
ACCOUNT NUMBER 1594-0357

Fixed Income Securities
Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
OGLE CNTY IL CMNTY UNIT SCH DIST NO 226 TAXABLE SER A BABS G/O B/E CPN 5.898% DUE 01/01/23 DTD 12/30/09 FC 07/01/10 CALL 01/01/20 @ 100.000 Moody AA3 , S&P A+ CUSIP 676822EM7 Acquired 12/23/09 L nc	0.87	250,000	100.74 101.60	251,872.56 254,000.00	110.1730	275,432.50	23,559.94	7,372.50	14,745.00	5.35
ITHACA CITY NY PUB IMPT SER B G/O UNLTD B/E TXBL CPN 6.000% DUE 01/15/23 DTD 01/15/10 FC 01/15/11 CALL 01/15/19 @ 100.000 Moody AA2 , S&P NR CUSIP 465650QR3 Acquired 12/31/09 L nc	1.08	315,000	101.49 103.79	319,700.39 326,960.55	108.4380	341,579.70	21,879.31	8,715.00	18,900.00	5.53
GRAND HAVEN MI CAP IMPT G/O LTD B/E TXBL CPN 5.125% DUE 04/01/23 DTD 10/21/09 FC 04/01/10 CALL 04/01/19 @ 100.000 Moody NR , S&P AA CUSIP 385532HA7 Acquired 10/06/09 L nc	0.78	235,000	99.27	233,300.95	104.6320	245,885.20	12,584.25	3,010.94	12,043.75	4.89
ONEIDA CNTY NY PUB IMPT SER B G/O UNLTD B/E ASSURED GUAR CPN 6.500% DUE 04/15/23 DTD 04/15/09 FC 04/15/10 Moody A1 , S&P AA CUSIP 6824543V3 Acquired 10/27/09 L nc Acquired 10/28/09 L nc		150,000 100,000	105.86 109.30 105.86 109.30	158,799.34 163,950.00 105,866.79 109,300.00		174,234.00 116,156.00	15,434.66 10,289.21			

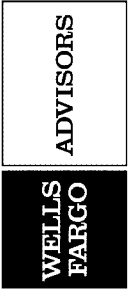
**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1, 2015 - DECEMBER 31, 2015
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ACCRUED INTEREST	ANNUAL INCOME ANNUAL YIELD (%)
Total	0.92	250,000	\$105.86 \$109.30	\$264,666.13 \$273,250.00	116.1560	\$290,390.00	\$25,723.87	\$3,430.56	\$16,250.00 5.60
FLORIDA ST DPT ENVMTNL									
PROTN REV SER B BABS									
ISSUER SUBSIDY TXBL B/E									
CPN 6.306% DUE 07/01/23									
DTD 01/28/10 FC 07/01/10									
CALL 07/01/19 @ 100.000									
Moody AA3, S&P AA-									
CUSIP 34160WUB8									
Acquired 01/08/10 L nc		150,000	100.70	151,056.14		170,259.00	19,202.86		
			101.60	152,400.00					
Acquired 01/11/10 L nc		65,000	100.70	65,457.66		73,778.90	8,321.24		
			101.60	66,040.00					
Acquired 08/25/10 L nc		125,000	104.20	130,251.95		141,882.50	11,630.55		
			109.35	136,687.50					
Total	1.22	340,000	\$101.98 \$104.44	\$346,765.75 \$355,127.50	113.5060	\$385,920.40	\$39,154.65	\$10,720.20	\$21,440.40 5.56
SAN BERNARDINO CNTY CA									
PENSION OBLG RFDG REV									
B/E AGM TXBL									
CPN 6.020% DUE 08/01/23									
DTD 04/16/08 FC 08/01/08									
Moody A1, S&P AA									
CUSIP 796839BP2									
Acquired 10/20/09 L nc	0.49	145,000	100.00	145,000.00	107.7220	156,196.90	11,196.90	3,637.08	5.58
			102.20	148,190.00					
POTTAWATOMIE CNTY KS USD									
NO 320 TXBL SER B BABS									
DIRECT PMT TO ISSUER									
CPN 5.900% DUE 09/01/23									
DTD 12/15/09 FC 03/01/11									
CALL 09/01/19 @ 100.000									
Moody NR, S&P A+									
CUSIP 737823DD3									
Acquired 12/16/09 L nc	0.51	150,000	101.18	151,779.91	108.6530	162,979.50	11,199.59	2,950.00	5.43
			102.67	154,012.50					



KLEIN FAMILY FOUNDATION
ACCOUNT #1

DECEMBER 1, 2015 - DECEMBER 31, 2015
ACCOUNT NUMBER 1594-0357

Fixed Income Securities
Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
SCRANTON PA PENSION FDG SR C AMBAC B/E OID @99 275 5 56 LT TXBL CPN 5 500% DUE 09/01/23 DTD 08/01/03 FC 09/01/03 CALL 01/31/16 @ 100 000 S&P NR CUSIP 810759NN6 Acquired 07/08/10 L nc	0 29	100,000	87 31 81 30	87,314 33 81,300 00	92 0070	92,007 00	4,692 67	1,833 33	5,500 00	5 97
BYRON FOREST IL PRESERV DIST TAXABLE SER B BUILD AMER BDS G/O B/E TXBL CPN 6 125% DUE 01/01/24 DTD 02/01/10 FC 01/01/11 CALL 01/01/19 @ 100 000 Moody NR , S&P A CUSIP 124538CP2 Acquired 01/20/10 L nc	0 68	200,000	101 26 103 21	202,530 10 206,422 00	107 0020	214,004 00	11,473 90	6,125 00	12,250 00	5 72
LANSING MI BLDG AUTH RFDG TAXABLE G/O LTD B/E TXBL CPN 5 800% DUE 06/01/24 DTD 10/08/09 FC 06/01/10 CALL 06/01/19 @ 100 000 Moody A2 , S&P AA- CUSIP 516393KA2 Acquired 10/05/09 L nc	0 57	170,000	101 14 102 75	171,942 69 174,675 00	106 1510	180,456 70	8,514 01	821 67	9,860 00	5 46

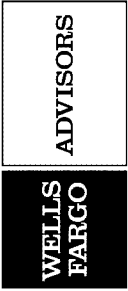
**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1, 2015 - DECEMBER 31, 2015
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
HONOLULU HI CITY & CNTY SER E BUILD AMER BNDS G/O B/E TXBL CPN 5.780% DUE 09/01/24 DTD 11/19/09 FC 09/01/10 CALL 09/01/19 @ 100.000 Moody AA1, S&P NR CUSIP 438670VA6 Acquired 11/06/09 L nc	0.76	215,000	100.66 101.50	216,424.11 218,225.00	111.8560	240,490.40	24,066.29	4,142.33	12,427.00	5.16
FOLSOM CORDOVA CA USD FACS IMPT DIST NO 3 TXBL BABS DIRECT PAY ASSURED CPN 6.638% DUE 10/01/24 DTD 12/03/09 FC 04/01/10 CALL 10/01/19 @ 100.000 Moody A2, S&P AA CUSIP 34440KBH4 Acquired 11/19/09 L nc	0.37	105,000	100.51 101.12	105,545.01 106,181.25	112.3150	117,930.75	12,385.74	1,742.48	6,969.90	5.91
NEW HAVEN CT TAXABLE SER A 2 BUILD AMER G/O TXBL CPN 6.500% DUE 02/01/25 DTD 02/12/10 FC 08/01/10 CALL 02/01/20 @ 100.000 Moody A3, S&P A- CUSIP 645020ZY7 Acquired 02/05/10 L nc	0.86	250,000	101.54 103.20	253,865.92 258,000.00	109.5250	273,812.50	19,946.58	6,770.83	16,250.00	5.93
ILLINOIS ST G/O UNLTD TAXABLE B/E SER 2 BAB CPN 6.150% DUE 03/01/25 DTD 04/26/10 FC 09/01/10 Moody BAA1, S&P A- CUSIP 452152DS7 Acquired 08/22/12 L nc	0.66	200,000	107.94 110.05	215,889.29 220,100.00	104.9150	209,830.00	-6,059.29	4,100.00	12,300.00	5.86



DECEMBER 1, 2015 - DECEMBER 31, 2015
ACCOUNT NUMBER 1594-0357

Fixed Income Securities
Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
UNION CNTY NJ IMPT AUTH REV TAXABLE RFDG GTD LSE LINDEN B/E TXBL CPN 6.650% DUE 03/01/25 DTD 09/01/10 FC 03/01/11 S&P AA-	0.37	100,000	107.77 110.80	107,777.96 110,800.00	118.0990	118,099.00	10,321.04	2,216.67	6,650.00	5.63
CUSIP 906347SQ3 Acquired 08/19/10 L nc										
GIG HARBOR WA W&S REV TAXABLE BUILD AMER BDS B/E TXBL CPN 5.703% DUE 04/01/25 DTD 03/30/10 FC 10/01/10 CALL 04/01/20 @ 100.000 Moody NR , S&P AA- CUSIP 37517HBT6 Acquired 03/15/10 L nc	0.45	135,000	101.39 102.85	136,883.49 138,847.50	105.4290	142,329.15	5,445.66	1,924.76	7,699.05	5.40
DECATUR GA SCH SYS CTF PARTN SCH BRDS ASSN TXBL BABS DRCT PAY RV ASSURED CPN 5.550% DUE 05/01/25 DTD 01/21/10 FC 05/01/10 CALL 05/01/20 @ 100.000 Moody AA3 , S&P AA CUSIP 243124AN4 Acquired 01/14/10 L nc	0.34	100,000	100.83 101.70	100,833.91 101,700.00	108.2130	108,213.00	7,379.09	925.00	5,550.00	5.12
MILLBRAE CA SCH DIST SER B 1 QUALIFIED SCH CONSTR BDS TAXABLE G/O B/E CPN 6.157% DUE 07/01/25 DTD 04/19/11 FC 01/01/12 Moody AA2 , S&P AA- CUSIP 60012PBA1 Acquired 04/04/11 L nc	0.43	115,000	101.16 101.52	116,336.01 116,753.75	118.1880	135,916.20	19,580.19	3,540.28	7,080.55	5.20

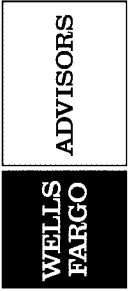
**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1, 2015 - DECEMBER 31, 2015
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ACCRUED INTEREST	ANNUAL YIELD (%)
HILLIARD OH S/D TAXABLE ENERGY CONSV-LT-QLFD SCH CONSTR BD-DIRECT PMT-G/O CPN 5.550% DUE 12/01/25 DTD 03/15/11 FC 12/01/11 Moody AA1, S&P AA CUSIP 431621NM9 Acquired 03/07/11 L nc	0.35	100,000	101.27 101.67	101,271.59 101,675.00	110.4270	110,427.00	9,155.41	462.50	5.550.00
5.02									
TOLEDO OH TAXABLE STR IMPT G/O LTD BIE ASSURED GUAR TXBL CPN 6.300% DUE 12/01/25 DTD 10/15/09 FC 06/01/10 CALL 12/01/19 @ 100.000 Moody A2, S&P AA CUSIP 889278PQ4 Acquired 10/15/09 L nc	0.65	185,000	100.00 103.50	185,000.00 191,475.00	111.2840	205,875.40	20,875.40	971.25	11,655.00
5.66									
CHICAGO HTS IL TXBL G/O UNLTD BIE AGM REOF @98.577359% TXBL CPN 5.750% DUE 01/15/26 DTD 12/28/11 FC 07/15/12 CALL 01/15/21 @ 100.000 Moody A2 CUSIP 167393MU8 Acquired 02/17/12 L nc	0.33	100,000	104.23 106.86	104,239.78 106,860.00	105.9010	105,901.00	1,661.22	2,651.39	5,750.00
5.42									
PHARR SAN JUAN ALAMO TX INDPT S/D QULFD PSF-GTD SCH CONSTR NTS DIRECT CPN 6.247% DUE 02/01/26 DTD 02/01/11 FC 08/01/11 CALL 02/01/21 @ 100.000 Moody AA3, S&P A+ CUSIP 717146RZ9 Acquired 02/07/11 L nc	0.88	250,000	100.90 101.55	252,271.48 253,875.00	111.6530	279,132.50	26,861.02	6,507.29	15,617.50
5.59									



KLEIN FAMILY FOUNDATION
ACCOUNT #1

DECEMBER 1, 2015 - DECEMBER 31, 2015
ACCOUNT NUMBER 1594-0357

Fixed Income Securities
Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
VIRGINIA CLLG BLDG AUTH EDL FACS REV REF TXBL CENTURY CLG F-2 BABS CPN 5.400% DUE 02/01/26 DTD 12/17/09 FC 08/01/10 CALL 02/01/20 @ 100.000 Moody AA1, S&P AA+ CUSIP 927781TN4 Acquired 01/05/10 L nc	0.82	235,000	99.05	232,767.50	111.0480	260,962.80	28,195.30	5,287.50	12,690.00	4.86
PORT ST LUCIE FL STRMWTR UTIL RV SR B RECOVERY ZN ECON DV TXBL BDS ASSURED CPN 6.516% DUE 05/01/26 DTD 01/15/10 FC 05/01/10 CALL 05/01/20 @ 100.000 Moody AA3, S&P AA CUSIP 735351CJ5 Acquired 01/08/10 L nc	0.36	100,000	100.90 101.80	100,904.93 101,800.00	114.8400	114,840.00	13,935.07	1,086.00	6,516.00	5.67
LITTLE LK CA CITY SCH DIST TAXABLE ELECTION 2000 SER D 1 G/O BIE CPN 5.964% DUE 07/01/26 DTD 06/02/10 FC 01/01/11 Moody AA3, S&P AA- CUSIP 537260DY4 Acquired 04/05/11 L nc	0.45	125,000	98.80	123,500.00	115.1290	143,911.25	20,411.25	3,727.50	7,455.00	5.18
MARICOPA CNTY AZ SD #066 ROOSEVELT ELEM TXBL IMPT PJ 2007 G/O UNTLD AGC CPN 6.243% DUE 07/01/26 DTD 08/03/10 FC 01/01/11 Moody A1, S&P AA CUSIP 567337QV5 Acquired 08/09/10 L nc	0.37	100,000	103.72 104.92	103,728.26 104,925.00	117.5650	117,565.00	13,836.74	3,121.50	6,243.00	5.31

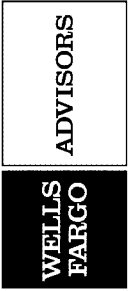
KLEIN FAMILY FOUNDATION
ACCOUNT #1

DECEMBER 1, 2015 - DECEMBER 31, 2015
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
BURLINGTON VT TAXABLE PUB IMPT A QUAL SCH CONST BDS G/O BIE TXBL CPN 6 500% DUE 11/01/26 DTD 07/21/10 FC 05/01/11 Moody BAA2 , S&P NR CUSIP 122062PT3 Acquired 06/27/11 L nc	0 33	100,000	107 18 109 10	107,182 23 109,103 00	103 6080	103,608 00	-3,574 23	1,083 33	6,500 00	6 27
MORGANTOWN WV COMB UTIL SYS REV SR A BLD AMR BDS BIE TXBL ASSURED GUAR CPN 5 500% DUE 12/01/26 DTD 01/28/10 FC 06/01/10 CALL 12/01/20 @ 100 000 Moody NR , S&P AA CUSIP 61759CAQ1 Acquired 01/25/10 L nc	0 35	100,000	100 26 100 50	100,267 00 100,500 00	111 0570	111,057 00	10,790 00	458 33	5,500 00	4 95
NEW ORLEANS LA TXBL PUB IMPT SR A BUILD AMER BDS DIRECT PMT G/O UNLTD CPN 8 000% DUE 12/01/26 DTD 01/19/10 FC 06/01/10 CALL 12/01/19 @ 100 000 Moody A3 , S&P A+ CUSIP 64763FNC9 Acquired 02/07/12 L nc	0 55	150,000	109 02 116 30	163,540 92 174,450 00	116 1340	174,201 00	10,660 08	1,000 00	12,000 00	6 88
DECATUR MI PUB SCHS TAXABLE SCH BLDG & SITE SER A QUAL SCH CONST BDS CPN 6 450% DUE 05/01/27 DTD 06/22/10 FC 11/01/10 CALL 05/01/20 @ 100 000 Moody NR , S&P AA- CUSIP 243312DA0 Acquired 01/27/11 L nc	0 69	200,000	100 86 101 60	201,737 31 203,200 00	108 8640	217,728 00	15,990 69	2,150 00	12,900 00	5 92



KLEIN FAMILY FOUNDATION
ACCOUNT #1

DECEMBER 1, 2015 - DECEMBER 31, 2015
ACCOUNT NUMBER 1594-0357

Fixed Income Securities
Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
OAKLAND MI INTER S/D BLDG&SITE QUAL CONSTR BD G/O LTD B/E TAXABLE CPN 6 250% DUE 05/01/27 DTD 06/02/10 FC 11/01/10 CALL 05/01/20 @ 100 000 Moody NR , S&P AA+ CUSIP 673475CB3 Acquired 07/09/10 L nc	0 50	145,000	100 86 101 67	146,256 93 147,428 75	109 8650	159,304 25	13,047 32	1,510 42	9,062 50	5 68
NASSAU CNTY NY TAXABLE GEN IMPT SER F BUILD AMER BDS G/O UNLTD B/E CPN 6 800% DUE 10/01/27 DTD 12/16/10 FC 04/01/11 CALL 10/01/20 @ 100 000 Moody A2 , S&P A+ CUSIP 63165TFT7 Acquired 01/20/11 L nc	1 18	325,000	102 31 104 10	332 539 44 338,325 00	114 8520	373,269 00	40,729 56	5,525 00	22,100 00	5 92
CHAMPAIGN CNTY IL CMNTY USD #116 URBANA ALT REV SOURCE SR A B/E TAXABLE CPN 6 600% DUE 01/01/28 DTD 12/14/10 FC 07/01/11 CALL 01/01/20 @ 100 000 Moody NR , S&P AA- CUSIP 158285GU4 Acquired 12/03/10 L nc	0 45	130,000	100 86 101 67	131,118 28 132,177 50	109 5230	142,379 90	11,261 62	4,290 00	8,580 00	6 02

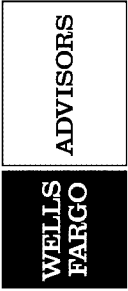
KLEIN FAMILY FOUNDATION
ACCOUNT #1

DECEMBER 1, 2015 - DECEMBER 31, 2015
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
QUINCY IL SER C RECOVERY ZNE BLD AMR BDS ECON DEV G/O UT TXBL ASSURED GUAR CPN 6 050% DUE 01/01/28 DTD 12/15/09 FC 01/01/11 CALL 01/01/19 @ 100 000 Moody NR , S&P AA CUSIP 748437KA7 Acquired 11/25/09 L nc	0 37	110,000	100 60 101 55	110,667 58 111,705 00	107 7640	118,540 40	7,872 82	3,327 50	6,655 00	5 61
CHICAGO HTS IL TXBL G/O UNLTD B/E AGM REOF @98 4797 6 15% TXBL CPN 6 000% DUE 01/15/28 DTD 12/28/11 FC 07/15/12 CALL 01/15/21 @ 100 000 Moody A2 CUSIP 167393MW4 Acquired 02/15/12 L nc	0 20	60,000	103 05 104 90	61,834 25 62,943 00	106 9720	64,183 20	2,348 95	1,660 00	3,600 00	5 60
NY MUN BD BK AGY RECOVERY ACT SUB SER C 2 BUILD REV B/E TAXABLE CPN 6 879% DUE 02/15/28 DTD 12/30/09 FC 08/15/10 CALL 02/15/20 @ 100 000 Moody NR , S&P A+ CUSIP 64988VCC5 Acquired 01/06/10 L nc	0 60	170,000	100 85 101 75	171,461 13 172,975 00	112 3430	190,983 10	19,521 97	4,417 85	11,694 30	6 12
PHILADELPHIA PA AUTH IND DEV PENSN FDG RETIREMENT SY A AGM TAXABLE OY=6 36 CPN 6 350% DUE 04/15/28 DTD 01/15/99 FC 04/15/99 Moody A2 , S&P AA CUSIP 71781LAM1 Acquired 09/21/09 L nc	0 52	150,000	100 79 101 02	151,196 05 151,537 50	110 8120	166,218 00	15,021 95	2,010 83	9,525 00	5 73



KLEIN FAMILY FOUNDATION
ACCOUNT #1

DECEMBER 1, 2015 - DECEMBER 31, 2015
ACCOUNT NUMBER 1594-0357

Fixed Income Securities
Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ACCRUED INTEREST	ANNUAL INCOME ANNUAL YIELD (%)
NASSAU CNTY NY TAXABLE GEN IMPT SER F BUILD AMER BDS G/O B/E TXBL CPN 6.900% DUE 10/01/28 DTD 12/16/10 FC 04/01/11 CALL 10/01/20 @ 100.000 Moody A2, S&P A+ CUSIP 63165TFU4 Acquired 07/23/12 L nc	0.11	30,000	110.11 116.20	33,035.93 34,860.00	115.2470	34,574.10	1,538.17	517.50	2,070.00 5.98
SOUTH CRRCTNL ENTITY FAC PDA WA BUILD AMR BD B DRCT PMT RV B/E OID TXBL CPN 6.416% DUE 01/01/29 DTD 11/04/09 FC 01/01/10 CALL 01/01/20 @ 100.000 Moody AA3, S&P AA CUSIP 83748CAN6 Acquired 12/02/09 L nc	0.52	150,000	101.23 102.62	151,855.70 153,937.50	110.3250	165,487.50	13,631.80	4,812.00	9,624.00 5.81
NY ST MUN BD BK AGY RECOVERY ACT SUB SER C3 ECON DEV REV B/E TAXABLE CPN 6.879% DUE 02/15/29 DTD 12/30/09 FC 08/15/10 CALL 02/15/20 @ 100.000 Moody NR, S&P A+ CUSIP 64988VCG6 Acquired 05/08/13 L nc	0.07	20,000	108.50 113.15	21,700.73 22,630.60	112.3080	22,461.60	760.87	519.75	1,375.80 6.12

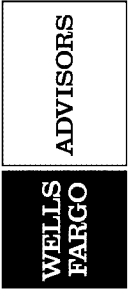
**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1, 2015 - DECEMBER 31, 2015
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
GRAND RAPIDS MI CMNTY CLLG BUILD AMER BDS (DIRECT PMT TO ISSUER) CPN 6.500% DUE 05/01/29 DTD 06/01/09 FC 11/01/09 CALL 05/01/19 @ 100.000 Moody AA1, S&P AA CUSIP 38624JUT2 Acquired 02/01/11 L nc	0.44	125,000	101.50 103.23	126,880.07 129,041.25	110.7170	138,396.25	11,516.18	1,354.17	8,125.00	5.87
AVONDALE AZ PJS OF 1998& 2007 G/O UT B/E B/Q TXBL CPN 7.800% DUE 07/01/29 DTD 07/21/09 FC 01/01/10 CALL 07/01/19 @ 100.000 Moody AA3, S&P AA- CUSIP 054314DX4 Acquired 07/08/09 L nc	0.36	100,000	101.47 103.37	101,470.62 103,370.00	115.1030	115,103.00	13,632.38	3,900.00	7,800.00	6.77
MIAMI DADE CNTY FL TRAN SYS SALES SURTAX RV SR B BLD AMER BDS DRCT TXBL CPN 6.710% DUE 07/01/29 DTD 09/24/09 FC 01/01/10 CALL 07/01/19 @ 100.000 Moody A1, S&P AA CUSIP 59334PCN5 Acquired 09/21/09 L nc	0.36	100,000	101.31 103.05	101,314.73 103,050.00	114.1760	114,176.00	12,861.27	3,355.00	6,710.00	5.87



KLEIN FAMILY FOUNDATION
ACCOUNT #1

DECEMBER 1, 2015 - DECEMBER 31, 2015
ACCOUNT NUMBER 1594-0357

Fixed Income Securities
Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
BONITA CA USD ELCTN 2008 A 1 BUILD AMER BDS DRCT PMT G/O UT B/E TAXABLE CPN 6.800% DUE 08/01/29 DTD 09/23/09 FC 02/01/10 CALL 08/01/19 @ 100.000 Moody NR , S&P AA- CUSIP 098203RQ7 Acquired 09/24/09 L nc	140,000		101.42 103.25 101.42 103.25	141,994.22 144,550.00 101,424.44 103,250.00		158,166.40	16,172.18			
Acquired 09/24/09 L nc	100,000		101.42 103.25			112,976.00	11,551.56			
Total	0.86	240,000	\$101.42 \$103.25	\$243,418.66 \$247,800.00	112.9760	\$271,142.40	\$27,723.74	\$6,800.00	\$16,320.00	6.02
EL MONTE CA S/D ELEC 08 SRA 1 BLD AMR BDS DRCT PMT G/O UT TXBL ASSURED CPN 7.125% DUE 08/01/29 DTD 10/14/09 FC 08/01/10 CALL 08/01/19 @ 100.000 Moody AA3 , S&P AA CUSIP 263309HH8 Acquired 09/30/09 L nc	100,000		102.82 106.45 108.34 115.94	102,825.01 106,455.00 27,086.42 28,986.50		113,185.00	10,359.99			
Acquired 02/15/12 L nc	25,000					28,296.25	1,209.83			
Total	0.45	125,000	\$103.92 \$108.35	\$129,911.43 \$135,441.50	113.1850	\$141,481.25	\$11,569.82	\$3,710.94	\$8,906.25	6.30

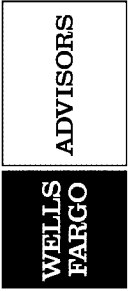
**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1, 2015 - DECEMBER 31, 2015
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
SANTA MONICA MALIBU UNI SCH DIST CA ELCTN 2006 B 1 BLD G/O B/E TXBL CPN 7 406% DUE 08/01/29 DTD 08/05/09 FC 02/01/10 CALL 08/01/19 @ 100 000 Moody AA1 , S&P AA CUSIP 802498JK2 Acquired 07/27/09 L nc	0 36	100,000	100 77 101 75	100,772 86 101,750 00	115 0960	115,096 00	14,323 14	3,085 83	7,406 00	6 43
PUEBLO CNTY CO CTF PARTN TAXABLE SER A BUILD AMER REV B/E OID ASSURED GTY CPN 6 600% DUE 09/15/29 DTD 12/29/09 FC 03/15/10 Moody AA3 , S&P AA CUSIP 744829BU5 Acquired 12/18/09 L nc	0 72	200,000	98 36	196,728 00	114 4010	228,802 00	32,074 00	3,886 67	13,200 00	5 76
NASSAU CNTY NY SER I BUILD AMER BDS G/O UNLTD B/E TAXABLE CPN 6 100% DUE 10/01/29 DTD 12/15/09 FC 04/01/10 CALL 10/01/19 @ 100 000 Moody A2 , S&P A+ CUSIP 63165TBR5 Acquired 03/09/12 L nc Acquired 08/01/12 L nc		40,000 30,000	104 01 107 40 105 58 109 90	41,606 07 42,960 00 31,674 22 32,970 00		44,140 80 33,105 60	2,534 73 1,431 38			
Total	0.24	70,000	\$104.68 \$108.47	\$73,280.29 \$75,930.00	110.3520	\$77,246.40	\$3,966.11	\$1,067.50	\$4,270.00	5.53



KLEIN FAMILY FOUNDATION
ACCOUNT #1

DECEMBER 1, 2015 - DECEMBER 31, 2015
ACCOUNT NUMBER 1594-0357

Fixed Income Securities
Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
BURLINGTON VT PUB IMPT SER B G/O UNLTD B/E TXBL CPN 6 000% DUE 11/01/29 DTD 04/20/09 FC 11/01/09 CALL 11/01/18 @ 100 000 Moody BAA2 CUSIP 122062NA6 Acquired 02/17/11 L nc	0.57	170,000	98.02	166,635.70	105.4420	179,251.40	12,615.70	1,700.00	10,200.00	5.69
CHICAGO IL BRD ED DEDICTD C QUAL SCH CONST BDS G/O UT B/E TAXABLE CPN 6 319% DUE 11/01/29 DTD 11/02/10 FC 05/01/11 Moody B1 , S&P BB CUSIP 167505NG7 Acquired 04/24/15 S Acquired 06/17/15 S Acquired 06/30/15 S		190,000 25,000 440,000	97.91 90.75 89.75	186,040.40 22,687.50 394,900.00		162,546.90 21,387.75 376,424.40	-23,493.50 -1,299.75 -18,475.60			
Total	1.77	655,000	\$92.15	\$603,627.90	85.5510	\$560,359.05	-\$43,268.85	\$6,898.24	\$41,389.45	7.39
CHICAGO IL BRD ED SER 2009 E BABS DIRECT PAYMENT TXBL G/O UNLTD CPN 6 038% DUE 12/01/29 DTD 09/24/09 FC 06/01/10 Moody B1 , S&P BB CUSIP 167505KG0 Acquired 06/17/15 S nc	0.53	200,000	88.00	176,000.00	84.5680	169,136.00	-6,864.00	1,006.33	12,076.00	7.13
MC HENRY CNTY IL CMNTY UNIT S/D#200 WOOD STOCK TXBL A BAB UNLTD G/O B/E CPN 6 400% DUE 01/15/30 DTD 03/05/10 FC 01/15/11 CALL 01/15/19 @ 100 000 S&P AA CUSIP 581158MF6 Acquired 02/15/11 L nc	0.43	125,000	100.10 100.22	125,126.14 125,275.00	109.5890	136,986.25	11,860.11	3,688.89	8,000.00	5.84

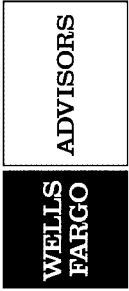
**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1, 2015 - DECEMBER 31, 2015
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
NASSAU CNTY NY TXBL GEN IMPT SER B BUILD AMERICA BONDS G/O BIE CPN 6.600% DUE 04/01/30 DTD 06/24/10 FC 10/01/10 CALL 04/01/20 @ 100.000 Moody A2, S&P A+ CUSIP 63165TCR4 Acquired 03/20/12 L nc	0.35	100,000	105.73 109.90	105,736.71 109,900.00	111.5010	111,501.00	5,764.29	1,650.00	6,600.00	5.91
CHURCHILL CNTY NV S/D IMPT SER A BAB PSF-GTD G/O LTD B/E OID TAXABLE CPN 6.050% DUE 05/01/30 DTD 07/07/10 FC 11/01/10 CALL 05/01/20 @ 100.000 Moody NR, S&P AAA CUSIP 171466MJ1 Acquired 02/28/12 L nc		65,000	106.62 111.52	69,304.07 72,491.25		72,409.35	3,105.28			
Acquired 02/28/12 L nc		60,000	106.62 111.52	63,972.99 66,915.00		66,839.40	2,866.41			
Total	0.44	125,000	\$106.62 \$111.52	\$133,277.06 \$139,406.25	111.3990	\$139,248.75	\$5,971.69	\$1,260.42	\$7,562.50	5.43



KLEIN FAMILY FOUNDATION
ACCOUNT #1

DECEMBER 1, 2015 - DECEMBER 31, 2015
ACCOUNT NUMBER 1594-0357

Fixed Income Securities
Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
USF FING CORP FL CTF PARTN TAXABLE USF FING CORP B REV B/E TXBL CPN 8.348% DUE 07/01/30 DTD 12/23/10 FC 07/01/11 CALL 07/01/20 @ 100.000 Moody A1 , S&P A+ CUSIP 90341UBY7 Acquired 11/25/13 L nc	0.21	55,000	110.74 114.88	60,909.58 63,186.20	119.8390	65,911.45	5,001.87	2,295.70	4,591.40	6.96
SANTA MONICA MALIBU UNI SD CA REF ELEC 2006 SR C 1 BABS G/O UNLTD TXBL CPN 6.434% DUE 07/01/30 DTD 07/27/10 FC 01/01/11 CALL 07/01/20 @ 100.000 Moody AA1 , S&P AA CUSIP 802498KS3 Acquired 07/05/12 L nc	0.27	75,000	109.74 116.16	82,312.26 87,122.25	113.4090	85,056.75	2,744.49	2,412.75	4,825.50	5.67
ANDREWS CNTY TX TAXABLE PERMANENT IMPT G/O B/E TXBL CPN 6.808% DUE 08/15/30 DTD 11/01/10 FC 08/15/11 CALL 08/15/20 @ 100.000 Moody NR , S&P A+ CUSIP 034447BY7 Acquired 11/20/12 L nc	0.27	75,000	111.38 117.90	83,537.75 88,425.00	114.2070	85,655.25	2,117.50	1,928.93	5,106.00	5.96

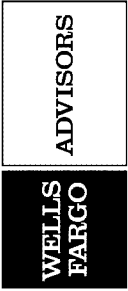
**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1, 2015 - DECEMBER 31, 2015
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
CAPE CORAL FL GAS TAX REV TAXABLE SER B BUILD AMER B/E TXBL CPN 7 147% DUE 10/01/30 DTD 05/04/10 FC 10/01/10 CALL 10/01/20 @ 100 000 Moody A2 , S&P NR CUSIP 139369AA0 Acquired 07/08/13 L nc	0 73	200,000	106 90 109 85	213,813 94 219,700 00	116 0630	232,126 00	18,312 06	3,573 50	14,294 00	6 15
METROPOLITAN TRANSN AUTH NY REV TAXABLE TRANSN SER E BUILD B/E TXBL CPN 7 134% DUE 11/15/30 DTD 12/29/10 FC 05/15/11 CALL 11/15/20 @ 100 000 Moody A1 , S&P AA- CUSIP 59259YGG8 Acquired 01/07/11 L nc	0 56	150,000	102 18 103 80	153,283 73 155,700 00	118 6110	177,916 50	24,632 77	1,367 35	10,701 00	6 01
MARKHAM IL SER A BUILD AMER BDS DRCT PMT G/O UT B/E TAXABLE AGM CPN 8 000% DUE 12/01/30 DTD 12/30/09 FC 06/01/10 CALL 12/01/20 @ 100 000 Moody NR , S&P AA CUSIP 570612EN7 Acquired 01/04/12 L nc	0 48	140,000	109 16 114 90	152,835 64 160,860 00	108 3110	151,635 40	-1,200 24	933 33	11,200 00	7 38



KLEIN FAMILY FOUNDATION
ACCOUNT #1

DECEMBER 1, 2015 - DECEMBER 31, 2015
ACCOUNT NUMBER 1594-0357

Fixed Income Securities
Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
GARDEN GROVE CA PUB FING AUTH REV TXBL WTR SER C RECOVERY ZONE ECON DEV CPN 6.389% DUE 12/15/30 DTD 05/13/10 FC 12/15/11 CALL 12/15/20 @ 100.000 S&P AA+	0.33	90,000	108.01 113.07	97,210.58 101,771.10	115.0550	103,549.50	6,338.92	255.56	5,750.10	5.55
CUSIP 365273BM2 Acquired 02/28/12 L nc										
EL CENTRO CA FING AUTH LSE REV TRANSN IMPTS B BUILD AMER BDS BIE CPN 8.250% DUE 10/01/31 DTD 12/16/09 FC 04/01/10 CALL 10/01/19 @ 100.000 Moody NR , S&P A	0.21	60,000	107.49 110.99	64,494.59 66,594.00	112.7600	67,656.00	3,161.41	1,237.50	4,950.00	7.31
CUSIP 28282RAM2 Acquired 11/25/13 L nc										
BAYONNE NJ REF-TAXABLE- PENSION G/O UNLTD B/E NPFG CPN 5.680% DUE 01/15/33 DTD 01/15/03 FC 07/15/03 Moody A3 , S&P NR	0.55	170,000	90.79 89.55	154,357.26 152,235.00	103.3520	175,698.40	21,341.14	4,452.49	9,656.00	5.49
CUSIP 072887TS1 Acquired 01/28/11 L nc										
EL MONTE CA CITY SCH DIST ELEC OF 2008 SER A 1 G/O B/E ASSURED GUAR CPN 6.953% DUE 08/01/34 DTD 10/14/09 FC 08/01/10 CALL 08/01/19 @ 100.000 Moody AA3 , S&P AA	0.67	190,000	107.04 110.68	203,380.21 210,309.10	112.3610	213,485.90	10,105.69	5,504.46	13,210.70	6.18
CUSIP 283309HJ4 Acquired 11/11/13 L nc										

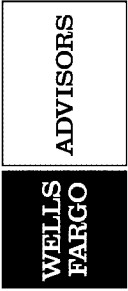
KLEIN FAMILY FOUNDATION
ACCOUNT #1

DECEMBER 1, 2015 - DECEMBER 31, 2015
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ACCRUED INTEREST	ANNUAL INCOME ANNUAL YIELD (%)
ONTARIO MONTCLAIR CA S/D ELCTN 08 SR D BLD AMR BD G/O UT B/E TXBL ASSURED CPN 7.535% DUE 08/01/34 DTD 12/01/09 FC 02/01/10 CALL 08/01/19 @ 100.000 Moody AA3, S&P AA CUSIP 683119DU2 Acquired 02/15/12 L nc	0.13	35,000	107.46 114.10	37,612.05 39,935.00	114.3070	40,007.45	2,395.40	1,098.85	2,637.25 6.59
PASADENA CA UNI SCH DIST SER A 2 BUILD AMER BDS G/O B/E TXBL CPN 7.193% DUE 08/01/34 DTD 09/17/09 FC 08/01/10 CALL 08/01/19 @ 100.000 Moody AA2, S&P A+ CUSIP 702282LR3 Acquired 08/27/13 L nc	0.36	100,000	106.93 110.82	106,938.87 110,828.00	115.3170	115,317.00	8,378.13	2,997.08	7,193.00 6.23
OAKLAND CA USD ALAMEDA CNTY BABS SER B G/O UT B/E TAXABLE CPN 9.500% DUE 08/01/34 DTD 08/12/09 FC 02/01/10 CALL 08/01/19 @ 100.000 Moody WR CUSIP 672325TS6 Acquired 10/10/11 L nc Acquired 10/10/11 L nc		150,000 100,000	106.67 112.60 106.67 112.60	160,017.92 168,900.00 106,678.61 112,600.00		178,660.50 119,107.00	18,642.58 12,428.39		
Total	0.94	250,000	\$106.67 \$112.60	\$266,696.53 \$281,500.00	119.1070	\$297,767.50	\$31,070.97	\$9,895.83	\$23,750.00 7.98



KLEIN FAMILY FOUNDATION
ACCOUNT #1

DECEMBER 1, 2015 - DECEMBER 31, 2015
ACCOUNT NUMBER 1594-0357

Fixed Income Securities
Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
TORRANCE CA UNI SCH DIST										
BUILD AMER BD B 2										
MEASURE Y G/O B/E TXBL										
CPN 7 100% DUE 08/01/34										
DTD 10/29/09 FC 02/01/10										
CALL 08/01/19 @ 100 000										
Moody AA2 , S&P AA-										
CUSIP 891381G66										
Acquired 02/17/12 L nc	0 16	45,000	108 39 116 04	48,778 33 52,219 35	113 5000	51,075 00	2,296 67	1,331 25	3,195 00	6 25
WEST CONTRA COSTA CA UNI										
SCH DIST ELECTION 2005										
SER C 2 G/O B/E TXBL										
CPN 8 460% DUE 08/01/34										
DTD 08/26/09 FC 02/01/10										
CALL 08/01/19 @ 100 000										
Moody AA3 , S&P A+										
CUSIP 952347VB5		125,000	102 31 105 12	127,892 52 131,406 25		147,832 50	19,939 98			
Acquired 09/02/09 L nc										
Acquired 11/21/11 L nc		10,000	108 72 116 75	10,872 28 11,675 00		11,826 60	954 32			
Total	0.50	135,000	\$102.78 \$105.98	\$138,764.80 \$143,081.25	118.2660	\$159,659.10	\$20,894.30	\$4,758.75	\$11,421.00	7.15
CHICAGO IL TAXABLE PROJ										
SER C 1 G/O B/E TXBL										
CPN 7 781% DUE 01/01/35										
DTD 01/26/11 FC 07/01/11										
Moody BA1 , S&P BBB+										
CUSIP 167486MM8										
Acquired 02/04/11 L nc	0 85	250,000	100 73 100 80	251,833 43 252,000 00	107 5060	268,765 00	16,931 57	9,726 25	19,452 50	7 23
CORONA NORCO CA USD										
BUILD AMER BDS D DIRECT										
PMT G/O UNLTD B/E TXBL										
CPN 7 343% DUE 08/01/35										
DTD 12/17/09 FC 02/01/10										
CALL 08/01/19 @ 100 000										

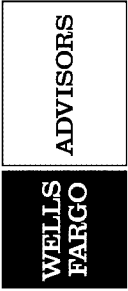
**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1, 2015 - DECEMBER 31, 2015
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
Moody AA2, S&P AA CUSIP 219764LM7 Acquired 12/03/09 L nc	0.73	200,000	100.84 101.85	201,682.89 203,700.00	115.0520	230,104.00	28,421.11	6,119.17	14,686.00	6.38
NASSAU CNTY NY TAXABLE GEN IMPT SER F BUILD AMER BDS G/O B/E TXBL CPN 7.400% DUE 10/01/35 DTD 12/16/10 FC 04/01/11 CALL 10/01/20 @ 100.000 Moody A2, S&P A+ CUSIP 63165TFX8 Acquired 12/20/10 L nc		100,000	101.32 102.30 102.45 104.30 106.57 111.00 106.70 111.20 106.84 111.30 107.10 111.75 107.45 112.11 107.18 111.67 110.06 116.00 108.41 112.00	101,320.29 102,300.00 230,525.91 234,675.00 31,973.60 33,300.00 69,360.30 72,280.00 26,710.46 27,825.00 26,777.48 27,937.50 102,079.91 106,511.15 96,469.40 100,505.70 11,006.88 11,600.00 32,525.55 33,600.90		115,174.00 259,141.50 34,552.20 74,863.10 28,793.50 28,793.50 109,415.30 103,656.60 11,517.40 34,552.20	13,853.71 28,615.59 2,578.60 5,502.80 2,083.04 2,016.02 7,335.39 7,187.20 510.52 2,026.65			
Acquired 01/10/11 L nc		225,000								
Acquired 11/14/11 L nc		30,000								
Acquired 11/21/11 L nc		65,000								
Acquired 01/05/12 L nc		25,000								
Acquired 01/10/12 L nc		25,000								
Acquired 03/22/12 L nc		95,000								
Acquired 03/23/12 L nc		90,000								
Acquired 07/23/12 L nc		10,000								
Acquired 07/11/13 L nc		30,000								
Total	2.53	695,000	\$104.85 \$107.99	\$728,749.78 \$750,535.25	115.1740	\$800,459.30	\$71,709.52	\$12,857.50	\$51,430.00	6.43



KLEIN FAMILY FOUNDATION
ACCOUNT #1

DECEMBER 1, 2015 - DECEMBER 31, 2015
ACCOUNT NUMBER 1594-0357

Fixed Income Securities
Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
OAK PARK MI TAXABLE FAC FING RECOVERY G/O UNLTD B/E TXBL CPN 7.000% DUE 05/01/36 DTD 11/01/10 FC 05/01/11 CALL 05/01/20 @ 100.000 Moody A3, S&P A+ CUSIP 671664PH7 Acquired 09/15/11 L nc	0.33	100,000	103.89 106.88	103,897.83 106,880.00	105.9010	105,901.00	2,003.17	1,166.67	7,000.00	6.60
NASSAU CNTY NY TXBL GEN IMPT SER B BUILD AMERICA BONDS G/O B/E CPN 6.700% DUE 04/01/37 DTD 06/24/10 FC 10/01/10 CALL 04/01/20 @ 100.000 Moody A2, S&P A+ CUSIP 63165TCY9 Acquired 02/08/11 L nc Acquired 02/10/11 L nc Acquired 02/18/11 L nc Acquired 11/14/11 L nc Acquired 11/21/11 L nc Acquired 01/18/12 L nc Acquired 02/15/12 L nc Acquired 03/19/12 L nc Acquired 03/29/12 L nc Acquired 04/09/12 L nc		150,000 200,000 100,000 20,000 35,000 15,000 10,000 150,000 100,000 30,000	93.75 94.02 94.00 93.50 103.08 105.42 104.53 108.02 104.03 107.00 104.92 108.52 105.91 110.20 105.98 110.30 106.26 110.76	140,625.00 188,050.00 94,004.14 93,500.00 20,616.30 21,084.00 36,587.92 37,807.35 15,604.73 16,050.00 10,492.47 10,852.50 158,875.22 165,307.50 105,987.70 110,300.00 31,879.49 33,228.00	110.9440	\$898,646.40	\$95,923.43	\$13,567.50	\$54,270.00	6.04
Total	2.84	810,000	\$99.10 \$100.84	\$802,722.97 \$816,804.35						

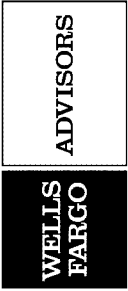
**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1, 2015 - DECEMBER 31, 2015
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
WATERBURY CT PENSION G/O B/E TXBL CPN 7.089% DUE 12/01/38 DTD 09/17/09 FC 12/01/09 Moody NR , S&P AA- CUSIP 941247N20 Acquired 09/08/09 L nc	0.36	100,000	100.95 101.05	100,957.36 101,050.00	113.3180	113,318.00	12,360.64	590.75	7,089.00	6.25
WASHOE CNTY NV HWY REV SER B BUILD AMER BDS DIRECT PAY B/E TXBL CPN 7.213% DUE 02/01/39 DTD 03/17/10 FC 08/01/10 CALL 02/01/20 @ 100.000 Moody A1 , S&P A+ CUSIP 940839AZ6 Acquired 03/15/13 L nc	0.34	95,000	111.44 118.20	105,873.31 112,298.55	112.8040	107,163.80	1,290.49	2,855.15	6,852.35	6.39
PORT ST LUCIE FL STRMWTR UTIL RV SR B RECOVERY ZN ECON DV BDS TXBL ASSURED CPN 7.376% DUE 05/01/39 DTD 01/15/10 FC 05/01/10 CALL 05/01/20 @ 100.000 Moody AA3 , S&P AA CUSIP 735351CH9 Acquired 02/15/12 L nc	0.18	50,000	109.69 116.77	54,845.50 58,386.50	115.1050	57,552.50	2,707.00	614.67	3,688.00	6.40
RIVERSIDE CA SWR REV BUILD AMERICA BONDS TAXABLE B/E OID CPN 7.200% DUE 08/01/39 DTD 08/20/09 FC 02/01/10 CALL 08/01/19 @ 100.000 Moody A1 , S&P A CUSIP 769047HB0 Acquired 11/26/13 L nc	0.07	20,000	105.58 108.35	21,116.06 21,670.00	113.8510	22,770.20	1,654.14	600.00	1,440.00	6.32



KLEIN FAMILY FOUNDATION
ACCOUNT #1

DECEMBER 1, 2015 - DECEMBER 31, 2015
ACCOUNT NUMBER 1594-0357

Fixed Income Securities
Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
SOUTHWESTERN CCD CA ELCTN 08 B BUILD AMR BDS DRCT PMT G/O UT B/E TXBL CPN 7 230% DUE 08/01/39 DTD 11/05/09 FC 02/01/10 CALL 08/01/19 @ 100 000 Moody AA2 , S&P AA- CUSIP 845389DY8 Acquired 07/17/12 L nc	0 56	155,000	110 42 119 12	171,155 20 184,643 75	113 9440	176,613 20	5,458 00	4,669 38	11,206 50	6 34
BRENTWOOD CA INFRA FING AUTH LSE REV CIVIC CTR PROJ SER A B/E CPN 7 647% DUE 10/01/39 DTD 10/27/09 FC 04/01/10 CALL 10/01/19 @ 100 000 Moody NR , S&P AA CUSIP 10727YAU0 Acquired 11/16/12 L nc	0 11	30,000	110 58 118 10	33,174 32 35,430 00	114 5610	34,368 30	1,193 98	573 53	2,294 10	6 67
CHICAGO IL BRD ED SER 2009 E BUILD AMER BNDS DRCT PMT G/O UT B/E TXBL CPN 6 138% DUE 12/01/39 DTD 09/24/09 FC 06/01/10 Moody B1 , S&P BB CUSIP 167505KH8 Acquired 05/04/15 S nc Acquired 06/19/15 S nc		130,000 40,000	92 25 87 25	119,925 00 34,900 00		107 377 40 33,039 20	-12 547 60 -1,860 80			
Total	0.44	170,000	\$91.07	\$154,825.00	82.5980	\$140,416.60	-\$14,408.40	\$869.55	\$10,434.60	7.43

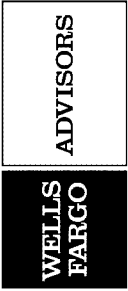
KLEIN FAMILY FOUNDATION
ACCOUNT #1

DECEMBER 1, 2015 - DECEMBER 31, 2015
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
CHICAGO IL SER D RECOVERY ZONE DIRECT PYMT G/O UNLTD B/E TXBL CPN 6.257% DUE 01/01/40 DTD 01/27/10 FC 07/01/10 Moody BA1, S&P BBB+ CUSIP 167486HN2 Acquired 06/19/15 S nc	0.10	35,000	88.75	31,062.50	91.4330	32,001.55	939.05	1,094.98	2,189.95	6.84
PEND OREILLE WA PUD #001 BOX CANYON RV PROD SYS B BLD AMR BD B/E TAXABLE CPN 7.352% DUE 01/01/40 DTD 10/20/09 FC 01/01/10 CALL 01/01/19 @ 100.000 Moody A3, S&P NR CUSIP 706643BK8 Acquired 02/03/12 L nc	0.52	150,000	105.62 111.73	158,443.93 167,598.00	109.7610	164,641.50	6,197.57	5,514.00	11,028.00	6.69
NEW JERSEY ST EFA REV SER B BUILD AMER BDS DIRECT PAYMENT B/E TXBL CPN 7.395% DUE 07/01/40 DTD 01/14/10 FC 07/01/10 CALL 07/01/19 @ 100.000 Moody A2, S&P A CUSIP 646065A44 Acquired 04/04/13 L nc	0.60	170,000	110.18 117.14	187,314.51 199,138.00	111.2770	189,170.90	1,856.39	6,285.75	12,571.50	6.64
REDONDO BCH CA UNI SCH DIST ELECTION 2008 D BUILD AMER BDS DIRECT CPN 6.461% DUE 08/01/40 DTD 09/07/10 FC 02/01/11 CALL 08/01/20 @ 100.000 Moody NR, S&P AA- CUSIP 757710KN7 Acquired 11/28/12 L nc	0.35	100,000	107.89 112.35	107,899.52 112,357.00	111.0040	111,004.00	3,104.48	2,692.08	6,461.00	5.82



KLEIN FAMILY FOUNDATION
ACCOUNT #1

DECEMBER 1, 2015 - DECEMBER 31, 2015
ACCOUNT NUMBER 1594-0357

Fixed Income Securities
Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
NEW BRUNSWICK NJ PARKING AUTH REV CITY GTD C RECOVERY ZONE B/E TXBL CPN 8.420% DUE 09/01/40 DTD 12/15/10 FC 03/01/11 CALL 09/01/20 @ 100.000 Moody A2, S&P A+ CUSIP 642835LQ3 Acquired 07/05/13 L nc	0.15	40,000	111.35 116.27	44,540.11 46,508.80	115.7330	46,293.20	1,753.09	1,122.67	3,368.00	7.27
FLORIDA ST GOVERNMENTAL UTIL AUTH UTIL REV TXBL REF ALOHA UTIL BABS CPN 6.548% DUE 10/01/40 DTD 10/13/10 FC 04/01/11 CALL 10/01/20 @ 100.000 Moody A2, S&P NR CUSIP 34281PLE1 Acquired 02/15/12 L nc	0.18	50,000	105.02 108.27	52,513.04 54,137.50	111.4280	55,714.00	3,200.96	818.50	3,274.00	5.87
JERSEY CITY NJ TAXABLE GEN IMPT SER B G/O B/E TXBL CPN 7.250% DUE 11/01/40 DTD 11/16/10 FC 05/01/11 CALL 11/01/20 @ 100.000 Moody A1, S&P NR CUSIP 476576DB6 Acquired 05/07/13 L nc	0.14	40,000	111.42 116.68	44,568.05 46,673.20	109.9960	43,998.40	-569.65	483.33	2,900.00	6.59

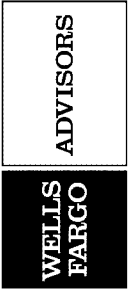
**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1, 2015 - DECEMBER 31, 2015
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
CHICAGO IL BRD ED DEDICATED D BABS G/O UNLTD B/E TAXABLE CPN 6.519% DUE 12/01/40 DTD 11/02/10 FC 06/01/11 Moody B1, S&P BB CUSIP 167505NHS Acquired 05/04/15 S nc Acquired 06/19/15 S nc Acquired 07/07/15 S nc		130,000 65,000 315,000	93.16 88.50 87.08	121,109.30 57,525.00 274,327.20		104,483.60 52,241.80 253,171.80	-16,625.70 -5,283.20 -21,155.40			
Total	1.29	510,000	\$88.81	\$452,961.50	80.3720	\$409,897.20	-\$43,064.30	\$2,770.58	\$33,246.90	8.11
NORTHERN IL UNIV REVS TAXABLE AUXILIARY FACS BUILD B/E TXBL CPN 8.147% DUE 04/01/41 DTD 12/16/10 FC 04/01/11 CALL 04/01/20 @ 100.000 Moody A2 CUSIP 665245XD3 Acquired 05/07/13 L nc	0.07	20,000	114.77 122.69	22,955.17 24,539.80	114.6620	22,932.40	-22.77	407.35	1,629.40	7.10
CHICAGO IL TXBL PROJ SER B G/O B/E TXBL CPN 6.034% DUE 01/01/42 DTD 11/30/11 FC 07/01/12 Moody BA1, S&P BBB+ CUSIP 167486NN5 Acquired 04/30/15 S Acquired 05/12/15 S Acquired 06/19/15 S		365,000 255,000 15,000	91.61 86.25 86.00	334,398.40 219,937.50 12,900.00		320,594.10 223,976.70 13,175.10	-13,804.30 4,039.20 275.10			
Total	1.76	635,000	\$89.32	\$567,235.90	87.8340	\$557,745.90	-\$9,490.00	\$19,157.95	\$38,315.90	6.87



KLEIN FAMILY FOUNDATION
ACCOUNT #1

DECEMBER 1, 2015 - DECEMBER 31, 2015
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ACCRUED INTEREST	ANNUAL YIELD (%)
NAPA VLY CA UNI SCH DIST BUILD AMER BDS SER B G/O BIE TXBL									
CPN 8 100% DUE 08/01/44									
DTD 08/04/09 FC 02/01/10									
CALL 08/01/19 @ 100 000									
Moody AA2 , S&P AA-									
CUSIP 630361Z97									
Acquired 07/28/09 L nc	0 37	100,000	101 38 103 10	101,388 88 103,107 00	115 7990	115,799 00	14,410 12	3,375 00	8,100 00 6 99
TULARE CA SWR REV BUILD AMER BDS SER B BIE AGM OID TXBL									
CPN 8 750% DUE 11/15/44									
DTD 06/30/09 FC 11/15/09									
CALL 11/15/19 @ 100 000									
Moody A2 , S&P AA									
CUSIP 899124HA2									
Acquired 10/10/12 L nc		70,000	114 36 124 48	80,057 41 87,140 90		82,833 10	2,775 69		
Acquired 10/17/12 L nc		50,000	114 39 124 48	57,199 35 62,243 50		59,166 50	1,967 15		
Acquired 11/19/12 L nc		145,000	114 46 124 33	165,974 83 180,278 50		171,582 85	5,608 02		
Acquired 02/06/13 L nc		10,000	114 65 124 05	11,465 58 12,405 30		11,833 30	367 72		
Acquired 03/15/13 L nc		125,000	114 65 123 69	143,312 87 154,612 50		147,916 25	4,603 38		
Acquired 11/04/13 L nc		30,000	112 38 118 28	33,716 15 35,484 00		35,499 90	1,783 75		
Acquired 01/07/14 L nc		180,000	111 07 115 87	199,930 39 208,582 20		212,999 40	13,069 01		
Total	2.28	610,000	\$113.38 \$121.43	\$691,656.58 \$740,746.90	118.3330	\$721,831.30	\$30,174.72	\$6,820.14	\$53,375.00 7.39
Total Taxable Municipal Bonds	67.18	19,680,000		\$19,924,712.49 \$20,323,035.80		\$21,269,264.25	\$1,344,551.76	\$388,239.23	\$1,278,135.75 6.01

nc Cost information for this tax lot is not covered by IRS reporting requirements Unless indicated, cost for all other lots will be reported to the IRS

ADJUSTMENT SO THAT BOND AMORTIZATION MATCHES TO 1099 24,744 35

TOTAL ADJUSTED COST

19,949,456 84

Recipient Name	Recipient Address	Tax ID	Amount	Relationship	Purpose	Status
Afula Educational Center	1274 49th Street	Brooklyn NY 11219	\$ 360 00	None	Charitable	Tax Exempt
Ahavas Chesed	11 Dunhill Lane	Monsey NY 10952	\$ 1,800 00	None	Charitable	Tax Exempt
American Friends of Mosdot Beit Shemesh	1605 56th Street	Brooklyn NY 11204	\$ 200,000 00	None	Charitable	Tax Exempt
American Friends of Ohel Moshe	521 5th Avenue	New York NY 10175	\$ 5,000 00	None	Charitable	Tax Exempt
American Friends of Yeshiva Imrei Emeth	3822 15th Avenue	Brooklyn NY 11218	\$ 5,000 00	None	Charitable	Tax Exempt
Beer Avraham	2 Phyllis Tr	Monsey NY 10952	\$ 10,000 00	None	Charitable	Tax Exempt
BM Center	1327 38th Street	Brooklyn NY 11218	\$ 60,000 00	None	Charitable	Tax Exempt
Bonei Olam	1755 46th Street	Brooklyn NY 11204	\$ 500 00	None	Charitable	Tax Exempt
Chai Lifeline	151 W 30th Street	New York NY 10001	\$ 2,500 00	None	Charitable	Tax Exempt
Chesed Yisrael	1909 50th Street	Brooklyn NY 11204	\$ 1,000 00	None	Charitable	Tax Exempt
Cong Sheves Achim	3054 Bedford Ave	Brooklyn NY 11210	\$ 2,000 00	None	Charitable	Tax Exempt
Cong Beth Hatfilah	5910 20th Ave	Brooklyn NY 11204	\$ 500 00	None	Charitable	Tax Exempt
Cong Hisachdus Hatalmidim	1350 53rd St	Brooklyn NY 11219	\$ 500 00	None	Charitable	Tax Exempt
Cong Kollel Chasidei Rachmastrivkeh	6 Horizon Court	Monsey NY 10952	\$ 15,000 00	None	Charitable	Tax Exempt
Cong Kollel Chasidei Rachmastrivkeh	6 Horizon Court	Monsey NY 10952	\$ 1,000 00	None	Charitable	Tax Exempt
Cong Ohr Yisroel	1843 48th St	Brooklyn NY 11204	\$ 500 00	None	Charitable	Tax Exempt
Cong Pnei Menachem	4533 18th Avenue	Brooklyn NY 11204	\$ 1,000 00	None	Charitable	Tax Exempt
Cong Yad Moishe	1520 39th St	Brooklyn NY 11218	\$ 500 00	None	Charitable	Tax Exempt
Cong Yad Moishe	1520 39th St	Brooklyn NY 11218	\$ 2,500 00	None	Charitable	Tax Exempt
Cong Zichron Avraham	1401 55th Street	Brooklyn NY 11219	\$ 25,000 00	None	Charitable	Tax Exempt
Congregation Beth Halevy	1777 49th St	Brooklyn NY 11204	\$ 2,500 00	None	Charitable	Tax Exempt
Congregation Orach Chaim	1002 Avenue M	Brooklyn NY 11230	\$ 180 00	None	Charitable	Tax Exempt
Donor Advised Fund	6100 West 96th Street, Suite 105	Indianapolis IN 46278	\$ 900,000 00	None	Charitable	Tax Exempt
Ezer Nissim	5110 18th Ave	Brooklyn NY 11204	\$ 18,000 00	None	Charitable	Tax Exempt
Ezrah	11 Gwen Ln	Monsey NY 10952	\$ 1,000 00	None	Charitable	Tax Exempt
Friends of Bais Yisroel of Ashdod	4802 19th Avenue	Brooklyn NY 11204	\$ 1,800 00	None	Charitable	Tax Exempt
Friends of Beth Talmud	1762 54th Street	Brooklyn NY 11204	\$ 1,000 00	None	Charitable	Tax Exempt
Friends of Harim	1310 48th Street	Brooklyn NY 11219	\$ 15,000 00	None	Charitable	Tax Exempt
Friends of Mosdot Gur	1310 48th Street	Brooklyn NY 11219	\$ 56,000 00	None	Charitable	Tax Exempt
Friends of Mosdot Gur	1310 48th Street	Brooklyn NY 11219	\$ 144,000 00	None	Charitable	Tax Exempt
Friends of Mosdot Gur	1310 48th Street	Brooklyn NY 11219	\$ 90,000 00	None	Charitable	Tax Exempt
Friends of Mosdot Gur	1310 48th Street	Brooklyn NY 11219	\$ 131,000 00	None	Charitable	Tax Exempt
Friends of Mosdot Gur	1310 48th Street	Brooklyn NY 11219	\$ 250,000 00	None	Charitable	Tax Exempt
Friends of Mosdot Gur	1310 48th Street	Brooklyn NY 11219	\$ 100,000 00	None	Charitable	Tax Exempt
Friends of Mosdot Gur	1310 48th Street	Brooklyn NY 11219	\$ 50,000 00	None	Charitable	Tax Exempt
Friends of Mosdot Gur	1310 48th Street	Brooklyn NY 11219	\$ 128,000 00	None	Charitable	Tax Exempt
Friends of Mosdot Gur	1310 48th Street	Brooklyn NY 11219	\$ 100,000 00	None	Charitable	Tax Exempt
Friends of Mosdot Gur	1310 48th Street	Brooklyn NY 11219	\$ 100,000 00	None	Charitable	Tax Exempt
Friends of Tifereth Hatorah Institute	699 E 4th Street	Brooklyn NY 11218	\$ 500 00	None	Charitable	Tax Exempt
Galed	95 Rabbi Akiva Street	Bnei Brak Israel 5154117	\$ 3,000 00	None	Charitable	Tax Exempt
Galed	95 Rabbi Akiva Street	Bnei Brak Israel 5154117	\$ 3,000 00	None	Charitable	Tax Exempt
Hachnases Israel	614 Avenue J	Brooklyn NY 11230	\$ 180 00	None	Charitable	Tax Exempt
Hayashar Vehatov	8 Ariel Street	Jerusalem Israel 9438636	\$ 15,000 00	None	Charitable	Tax Exempt
ICPHH	1226 E 10th St	Brooklyn NY 11230	\$ 15,000 00	None	Charitable	Tax Exempt
Kollel Avrechim D'Chasidei Gur	1573 51st Street	Brooklyn NY 11219	\$ 14,000 00	None	Charitable	Tax Exempt
Kollel Avrechim D'Chasidei Gur	1573 51st Street	Brooklyn NY 11219	\$ 14,000 00	None	Charitable	Tax Exempt
Kollel Avrechim D'Chasidei Gur	1573 51st Street	Brooklyn NY 11219	\$ 14,000 00	None	Charitable	Tax Exempt
Kollel Avrechim D'Chasidei Gur	1573 51st Street	Brooklyn NY 11219	\$ 14,000 00	None	Charitable	Tax Exempt
Kollel Avrechim D'Chasidei Gur	1573 51st Street	Brooklyn NY 11219	\$ 19,000 00	None	Charitable	Tax Exempt
Kollel Avrechim of Brooklyn	5321 12th Avenue	Brooklyn NY 11219	\$ 100 00	None	Charitable	Tax Exempt
Kollel Bais Yisroel	5407 19th Avenue	Brooklyn NY 11204	\$ 63,000 00	None	Charitable	Tax Exempt
Kollel Bais Yisroel	5407 19th Avenue	Brooklyn NY 11204	\$ 70,400 00	None	Charitable	Tax Exempt