Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2015

Open to Public Inspection

For calendar year 2015 or tax year beginning , and ending

Name of foundation: ROSE FAMILY FOUNDATION

A Employer identification number: 13-4016964

Number and street (or P O box number if mail is not delivered to street address): 1654 TIGERTAIL AVENUE — Room/suite:

B Telephone number: 585-732-3937

City or town, state or province, country, and ZIP or foreign postal code: MIAMI, FL 33133-2543

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶$ 4,878,239.

J Accounting method: [X] Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check ▶ [X] if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	71,152.	71,152.		STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	100,865.			
	b Gross sales price for all assets on line 6a 1,038,832.				
	7 Capital gain net income (from Part IV, line 2)		100,865.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less. Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income	9,255.	9,255.		STATEMENT 2
	12 **Total.** Add lines 1 through 11	181,272.	181,272.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees STMT 3	5,300.	0.		5,300.
	c Other professional fees STMT 4	34,305.	34,305.		0.
	17 Interest				
	18 Taxes STMT 5	2,916.	875.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 6	290.	0.		0.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	42,811.	35,180.		5,300.
	25 Contributions, gifts, grants paid	343,000.			343,000.
	26 **Total expenses and disbursements.** Add lines 24 and 25	385,811.	35,180.		348,300.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	<204,539.>			
	b **Net investment income** (if negative, enter -0-)		146,092.		
	c **Adjusted net income** (if negative, enter -0-)			N/A	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	190,194.	55,693.	55,693.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations STMT 7	25,010.	25,010.	25,924.
	b Investments - corporate stock STMT 8	2,247,547.	2,098,267.	2,440,781.
	c Investments - corporate bonds STMT 9	204,561.	429,329.	426,255.
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 10	2,029,550.	1,884,024.	1,929,586.
	14 Land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	4,696,862.	4,492,323.	4,878,239.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [X] and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	4,696,862.	4,492,323.	
	30 **Total net assets or fund balances**	4,696,862.	4,492,323.	
	31 **Total liabilities and net assets/fund balances**	4,696,862.	4,492,323.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	4,696,862.
2	Enter amount from Part I, line 27a	2	<204,539.>
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	4,492,323.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	4,492,323.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SEE ATTACHED STATEMENT	P		
b	SEE ATTACHED STATEMENT	P		
c	CAPITAL GAINS DIVIDENDS			
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	107,949.		117,414.	<9,465.>
b	928,341.		820,553.	107,788.
c	2,542.			2,542.
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				<9,465.>
b				107,788.
c				2,542.
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 }	2	100,865.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2014	317,759.	5,328,692.	.059632
2013	317,958.	5,178,130.	.061404
2012	238,074.	4,769,438.	.049917
2011	180,535.	4,596,313.	.039278
2010	182,862.	4,241,719.	.043110

2 Total of line 1, column (d)	2	.253341
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.050668
4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	4	5,073,177.
5 Multiply line 4 by line 3	5	257,048.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,461.
7 Add lines 5 and 6	7	258,509.
8 Enter qualifying distributions from Part XII, line 4	8	348,300.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ____ (attach copy of letter if necessary-see instructions)				
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b			1	1,461.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).				
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0.
3 Add lines 1 and 2			3	1,461.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	1,461.
6 Credits/Payments:				
a 2015 estimated tax payments and 2014 overpayment credited to 2015	6a	2,480.		
b Exempt foreign organizations - tax withheld at source	6b			
c Tax paid with application for extension of time to file (Form 8868)	6c			
d Backup withholding erroneously withheld	6d			
7 Total credits and payments. Add lines 6a through 6d			7	2,480.
8 Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			8	
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	1,019.
11 Enter the amount of line 10 to be: **Credited to 2016 estimated tax** ▶	1,019.	**Refunded** ▶	11	0.

Part VII-A Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?	1b		X
*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ 0. **(2)** On foundation managers. ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NY, DE			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

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Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ TRILLIUM ASSET MANAGMENT Telephone no. ▶617-532-6658
Located at ▶711 ATLANTIC AVE, 4TH FLOOR, BOSTON, MA ZIP+4 ▶02111-2809

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

				Yes	No
1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here	▶☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015?	☐ Yes ☒ No			
	If "Yes," list the years ▶ ______, ______, ______, ______				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ______, ______, ______, ______				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.)*	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No			
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No			
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)	☐ Yes ☒ No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No			
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	N/A	5b		
Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A	☐ Yes ☐ No			
If "Yes," attach the statement required by Regulations section 53 4945-5(d).				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b		X
If "Yes" to 6b, file Form 8870				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No			
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ANN R PODLIPNY 98 BIRCH ROAD CHESTER, NH 03036-4001	VICE PRESIDENT/SECRETARY 0.50	0.	0.	0.
SIMON M ROSE 1654 TIGERTAIL AVENUE MIAMI, FL 33133-2543	PRESIDENT 0.20	0.	0.	0.
JAMES A ROSE 300 MARKS LANE CHAPEL HILL, NC 27516	TREASURER 0.20	0.	0.	0.
MARIAN H ROSE 9 OLD CORNER ROAD BEDFORD, NY 10506	DIRECTOR 0.20	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	4,995,823.
b	Average of monthly cash balances	1b	154,610.
c	Fair market value of all other assets	1c	
d	**Total** (add lines 1a, b, and c)	1d	5,150,433.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	5,150,433.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	77,256.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	5,073,177.
6	**Minimum investment return.** Enter 5% of line 5	6	253,659.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	253,659.
2a	Tax on investment income for 2015 from Part VI, line 5 — 2a: 1,461.		
b	Income tax for 2015. (This does not include the tax from Part VI.) — 2b:		
c	Add lines 2a and 2b	2c	1,461.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	252,198.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	252,198.
6	Deduction from distributable amount (see instructions)	6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	252,198.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	348,300.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	348,300.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	1,461.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	346,839.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII **Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				252,198.
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only			86,114.	
b Total for prior years: ____, ____, ____		0.		
3 Excess distributions carryover, if any, to 2015:				
a From 2010				
b From 2011				
c From 2012				
d From 2013				
e From 2014				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2015 from Part XII, line 4: ▶ $ 348,300.				
a Applied to 2014, but not more than line 2a			86,114.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2015 distributable amount				252,198.
e Remaining amount distributed out of corpus	9,988.			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	9,988.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2014. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2016.** Subtract lines 7 and 8 from line 6a	9,988.			
10 Analysis of line 9:				
a Excess from 2011				
b Excess from 2012				
c Excess from 2013				
d Excess from 2014				
e Excess from 2015 9,988.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2015	(b) 2014	(c) 2013	(d) 2012	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE ATTACHED STATEMENT	NONE	PUBLIC CHARITY	GENERAL SUPPORT	343,000.
Total			▶ **3a**	343,000.
b *Approved for future payment*				
NONE				
Total			▶ **3b**	0.

Form **990-PF** (2015)

523611
11-24-15

09440505 784124 ROSEFAMILY 2015.03040 ROSE FAMILY FOUNDATION ROSEFAM1

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	Related or exempt function income
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	71,152.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	9,255.	
8 Gain or (loss) from sales of assets other than inventory			18	100,865.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		181,272.	0.
13 Total. Add line 12, columns (b), (d), and (e)				13	181,272.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee: [signature] Date: 5/9/16 Title: PRESIDENT

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
EILEEN C. SEMMLER	[signature]	5/5/16		P01239376

Firm's name ▶ BONADIO & CO., LLP — Firm's EIN ▶ 16-1131146

Firm's address ▶ 171 SULLY'S TRAIL, SUITE 201 PITTSFORD, NY 14534 — Phone no. (585) 381-1000

Form **990-PF** (2015)

523622 11-24-15

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
TRILLIUM ASSET MANAGEMENT	73,694.	2,542.	71,152.	71,152.	
TO PART I, LINE 4	73,694.	2,542.	71,152.	71,152.	

FORM 990-PF	OTHER INCOME	STATEMENT 2

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
IROQUOIS VALLEY FARMS LLC FLOW THRU K-1	197.	197.	
MISCELLANEOUS INCOME	489.	489.	
SJF VENTURES III LP	8,569.	8,569.	
TOTAL TO FORM 990-PF, PART I, LINE 11	9,255.	9,255.	

FORM 990-PF	ACCOUNTING FEES	STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	5,300.	0.		5,300.
TO FORM 990-PF, PG 1, LN 16B	5,300.	0.		5,300.

FORM 990-PF | OTHER PROFESSIONAL FEES | STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT FEES	34,305.	34,305.		0.
TO FORM 990-PF, PG 1, LN 16C	34,305.	34,305.		0.

FORM 990-PF | TAXES | STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAX PAID	875.	875.		0.
FEDERAL ESTIMATED TAX	2,041.	0.		0.
TO FORM 990-PF, PG 1, LN 18	2,916.	875.		0.

FORM 990-PF | OTHER EXPENSES | STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
NYS FILING FEE	250.	0.		0.
PUBLICATION FEE	40.	0.		0.
TO FORM 990-PF, PG 1, LN 23	290.	0.		0.

FORM 990-PF U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS STATEMENT 7

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
GOVERNMENT BONDS	X		25,010.	25,924.
TOTAL U.S. GOVERNMENT OBLIGATIONS			25,010.	25,924.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			25,010.	25,924.

FORM 990-PF CORPORATE STOCK STATEMENT 8

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCK	2,098,267.	2,440,781.
TOTAL TO FORM 990-PF, PART II, LINE 10B	2,098,267.	2,440,781.

FORM 990-PF CORPORATE BONDS STATEMENT 9

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE BONDS	429,329.	426,255.
TOTAL TO FORM 990-PF, PART II, LINE 10C	429,329.	426,255.

FORM 990-PF OTHER INVESTMENTS STATEMENT 10

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
INTERNATIONAL FUNDS	COST	210,088.	230,200.
ALTERNATIVE INVESTMENTS	COST	181,853.	206,386.
IROQUOIS VALLEY FARMS LLC	COST	142,083.	143,000.
OTHER FIXED INCOME	COST	1,350,000.	1,350,000.
TOTAL TO FORM 990-PF, PART II, LINE 13		1,884,024.	1,929,586.

Rose Family Foundation
06-6447457
Realized Gains Losses

Sold	Quantity		Short Term Cost	Short Term Proceeds	Long Term Cost	Long Term Proceeds	Gain or Loss Short Term	Gain or Loss Long Term
3/2/2015	50,000 00	Calvert Social Invt Fndtn 0 750% Due 03-02-15			50,000 00	50,000 00		-
3/20/2015	163 00	Gilead Sciences Inc	17,017 18	16,689 36			(327 82)	
3/20/2015	205 00	Gilead Sciences Inc			15,186 50	20,989 69		5,803 19
3/20/2015	100 00	ARM Holdings plc			4,653 87	5,406 05		752 18
3/20/2015	100 00	Citrix Systems Inc			5,879 22	6,361 03		481 81
3/20/2015	20 00	Keurig Green Mountain, Inc			630 93	2,440 33		1,809 40
3/20/2015	50 00	Starwood Hotels & Resorts			2,986 68	4,201 77		1,215 09
3/20/2015	50 00	Starbucks Corporation			2,590 60	4,846 51		2,255 91
3/20/2015	274 00	Cummins Inc			27,438 75	37,960 00		10,521 25
3/20/2015	858 00	Cree Inc			24,083 12	32,813 64		8,730 52
3/20/2015	125 00	First Solar, Inc			7,228 97	7,548 46		319 49
3/20/2015	248 00	Wabtec Corporation			10,175 39	23,865 04		13,689 65
3/20/2015	190 00	Baxter International	14,264 77	12,954 84			(1,309 93)	
3/20/2015	94 00	Baxter International			4,461 92	6,409 24		1,947 32
3/20/2015	276 00	Baxter International			11,672 79	18,818 61		7,145 82
3/20/2015	100 00	Whole Foods Market Inc			4,897 40	5,371 72		474 32
4/3/2015	0 40	Banco Bradesco SA			5 31	3 99		(1 32)
4/7/2015	200 00	ABB Ltd			3,900 29	4,339 17		438 88
4/7/2015	200 00	Applied Materials Inc			2,369 97	4,415 17		2,045 20
4/7/2015	500 00	Banco Bradesco SA			6,637 16	4,976 46		(1,660 70)
4/7/2015	300 00	CBRE Group, Inc			5,835 13	11,559 14		5,724 01
4/7/2015	141 00	Cerner Corporation			5,184 60	10,302 05		5,117 45
4/7/2015	200 00	Forest City Realty Trust Inc			3,243 16	5,118 76		1,875 60
4/7/2015	273 00	Hologic Inc			5,541 63	9,022 81		3,481 18
4/7/2015	100 00	Starwood Hotels & Resorts			5,973 35	8,494 89		2,521 54
4/7/2015	100 00	HSBC Hldgs PLC ADS			4,658 03	4,343 17		(314 86)
4/7/2015	160 00	Lululemon Athletica Inc			10,036 81	10,303 82		267 01
4/7/2015	200 00	ProLogis, Inc			7,392 99	8,830 89		1,437 90
4/7/2015	485 00	Interface, Inc			9,417 49	10,128 82		711 33
4/7/2015	200 00	Unilever N V			7,754 68	8,684 89		930 21
4/7/2015	141 00	United Natural Foods Inc			8,281 17	10,631 27		2,350 10
4/7/2015	30 00	Wabtec Corporation			1,230 89	2,862 33		1,631 44
4/7/2015	2,500 00	Domini Intl Social Eq Instl			17,276 52	20,075 05		2,798 53
6/15/2015	329 00	Apple Inc			32,143 87	41,776 10		9,632 23
6/15/2015	58 00	Apple Inc			4,334 65	7,364 78		3,030 13
6/15/2015	213 00	Church & Dwight Co Inc	16,344 44	17,514 03			1,169 59	
6/15/2015	1,016 23	Domini Intl Social Eq Instl			6,374 56	8,101 11		1,726 55
6/15/2015	100 00	Hexcel Corporation	3,939 46	4,986 06			1,046 60	
6/15/2015	25 00	Waters Corporation	2,532 34	3,309 02			776 68	
6/15/2015	50 00	ARM Holdings plc			2,326 94	2,569 55		242 61
6/15/2015	50 00	BT Group PLC ADS			1,923 60	3,521 19		1,597 59
6/15/2015	200 00	Johnson Controls, Inc			5,765 95	10,299 96		4,534 01
6/15/2015	25 00	Middleby Corporation			974 68	2,674 28		1,699 60
6/15/2015	141 00	SVB Financial Group			8,586 79	20,224 25		11,637 46
6/15/2015	35 00	Verisk Analytics, Inc			2,203 23	2,577 57		374 34
6/15/2015	4,433 00	Nokia Corp			34,086 47	30,832 46		(3,254 01)
8/3/2015	798 00	Interface, Inc			15,495 18	20,656 45		5,161 27
8/3/2015	124 00	Interface, Inc			2,148 60	3,209 77		1,061 17
8/3/2015	2 00	Google Inc Class A	1,122 09	1,331 24			209 15	
8/3/2015	45 00	Google Inc Class A	25,396 57	29,952 88			4,556 31	
8/3/2015	23 00	Google Inc Class A			6,692 51	15,309 25		8,616 74
8/3/2015	27 00	Google Inc Class A			7,846 11	17,971 73		10,125 62
8/3/2015	12 00	Google Inc Class A			3,391 13	7,987 43		4,596 30
9/14/2015	592 00	Westport Innovations Inc			13,757 62	1,853 93		(11,903 69)
9/15/2015	327 00	Apple Inc			24,438 43	37,801 29		13,362 86
9/15/2015	1,455 00	Applied Materials Inc			17,241 54	23,065 72		5,824 18
9/15/2015	422 00	eBay Inc			2,006 17	10,774 94		8,768 77
9/15/2015	237 00	eBay Inc			4,828 83	6,051 33		1,222 50
9/15/2015	89 00	W W Grainger Inc			19,550 99	19,610 20		59 21
9/15/2015	74 00	Baxter International			1,727 57	2,666 13		938 56
9/15/2015	350 00	Baxter International			7,989 40	12,610 05		4,620 65
9/15/2015	634 00	Pentair PLC			32,530 53	33,945 90		1,415 37
9/15/2015	276 00	Westport Innovations Inc			6,414 02	859 48		(5,554 54)
9/15/2015	1,402 00	Westport Innovations Inc			24,396 11	4,365 88		(20,030 23)
9/22/2015	25,000 00	Morgan Stanley 3 800% Due 04-29-16			25,231 75	25,400 00		168 25
9/22/2015	70 00	Salesforce com Inc			3,997 48	4,975 91		978 43
9/22/2015	100 00	Cisco Systems Inc			1,946 46	2,490 10		543 64
9/22/2015	100 00	Forest City Realty Trust Inc			2,013 67	2,046 11		32 44
9/22/2015	25 00	Gilead Sciences Inc			1,852 01	2,592 83		740 82

Rose Family Foundation
06-6447457
Realized Gains Losses

9/22/2015	10 00	Palo Alto Networks, Inc	1,437 39	1,781 17			343 78	
9/22/2015	15 00	Starbucks Corporation			388 59	846 31		457 72
11/17/2015	219 00	Keung Green Mountain, Inc	18,588 60	8,917 08			(9,671 52)	
11/17/2015	232 00	Keung Green Mountain, Inc			7,318 83	9,446 41		2,127 58
12/16/2015	168 00	Middleby Corporation			6,549 84	18,393 59		11,843 75
12/16/2015	397 00	Qualcomm Inc			25,400 69	18,767 11		(6,633 58)
12/16/2015	140 00	SolarCity Corporation	6,883 79	7,161 00			277 21	
12/16/2015	416 00	SolarCity Corporation			23,109 52	21,278 41		(1,831 11)
12/16/2015	200 00	SolarCity Corporation			13,536 79	10,230 01		(3,306 78)
12/16/2015	123 00	Adobe Systems Inc			8,681 15	11,540 57		2,859 42
12/16/2015	1,699 00	Banco Bradesco SA			22,555 74	8,907 02		(13,648 72)
12/16/2015	1,624 00	Banco Bradesco SA			16,716 06	8,517 03		(8,199 03)
12/16/2015	1,594 00	Telefonica S A			23,565 83	18,466 50		(5,099 33)
12/16/2015	117 00	Verisk Analytics, Inc			7,365 07	9,118 74		1,753 67
12/16/2015	521 00	ITC Hldgs Corp			12,765 91	19,541 98		6,776 07
12/16/2015	228 00	Umpqua Holdings Corp			4,295 89	3,736 05		(559 84)
12/16/2015	991 00	Umpqua Holdings Corp			18,365 12	16,238 72		(2,126 40)
12/16/2015	881 00	EnerNOC, Inc	9,887 16	3,352 79			(6,534 37)	
12/16/2015	1,577 00	EnerNOC, Inc			29,095 87	6,001 52		(23,094 35)
		Totals	**117,413.79**	**107,949.47**	**820,553.07**	**928,340.42**	**(9,464.32)**	**107,787.35**

Total Cost Basis	937,966 86
Total Proceeds	1,036,289 89
Net Gain/Loss	**(98,323.03)**

Income Summary

	This Period		Year to Date	
Income Summary	Federally Tax-Exempt	Federally Taxable	Federally Tax-Exempt	Federally Taxable
Money Funds Dividends	0.00	1.37	0.00	15.48
Cash Dividends	0.00	4,731 48	0.00	37,256.90
Total Capital Gains	0.00	2,541.55	0.00	2,542.43
Corporate Bond and Other Interest	0.00	6,500.00	0.00	36,754.44
Agency Security Interest	0.00	205.00	0 00	820 00
Total Income	**0.00**	**13,979.40**	**0.00**	**77,389.25**

Investment Detail - Cash and Money Market Funds [Sweep]

Cash	Market Value	% of Account Assets
Cash	4,929.60	<1%
Total Cash	**4,929.60**	**<1%**

Money Market Funds [Sweep]	Quantity	Market Price	Market Value	Current Yield	% of Account Assets
SCH ADV CASH RESRV PREM: SWZXX	50,763.7500	1 0000	50,763 75	0 01%	1%
Total Money Market Funds [Sweep]			**50,763.75**		**1%**
Total Cash & Money Market [Sweep]			**55,693.35** ✓		**1%**

Schwab has provided accurate gain and loss information wherever possible for most investments Cost basis data may be incomplete or unavailable for some of your holdings
Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement

Investment Detail - Fixed Income

Agency Securities	Par / Units Purchased	Market Price / Cost Per Unit	Market Value / Cost Basis	Adjusted Cost Basis	% of Account Assets / Acquired	Unrealized Gain or (Loss)	Estimated Annual Income / Yield to Maturity
OVERSEAS PRIVATE 3.28%29	**25,000.0000**	**103.6965**	**25,924.13**	**25,010.54**	**<1%**	**913.59** b	**820.00**
DUE 09/15/29	25,000.0000	100 0400	25,010 00	25,010 54	09/18/14	913.59 b	3 27%
CUSIP: 690353C21							
MOODY'S: NR S&P: NR							***Accrued Interest: 36.44***

Government Bonds [redacted]

Total Accrued Interest for Agency Securities: 36.44

Corporate Bonds	Par / Units Purchased	Market Price / Cost Per Unit	Market Value / Cost Basis	Adjusted Cost Basis	% of Account Assets / Acquired	Unrealized Gain or (Loss)	Estimated Annual Income / Yield to Maturity
CALVERT SOCIAL IN 1.5%17	**50,000.0000**	**100.3806**	**50,190.30**	**50,000.00**	**1%**	**190.30**	**750.00**
DUE 02/28/17	50,000.0000	100.0000	50,000.00	50,000.00	02/28/13	190.30	0.00%
CUSIP: 13161E5L7							
MOODY'S: NR S&P: NR							***Accrued Interest: 627.08***
CALVERT SOCIAL IN 1.5%20	**50,000.0000**	**97.9940**	**48,997.00**	**50,000.00**	**1%**	**(1,003.00)**	**750.00**
DUE 01/15/20	50,000 0000	100.0000	50,000.00	50,000 00	01/15/15	(1,003 00)	1.50%
CUSIP: 13161GBC5							
MOODY'S: NR S&P: NR							***Accrued Interest: 708.33***

Schwab has provided accurate gain and loss information wherever possible for most investments Cost basis data may be incomplete or unavailable for some of your holdings
Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement

Investment Detail - Fixed Income (continued)

Corporate Bonds (continued)	Par / Units Purchased	Market Price / Cost Per Unit	Market Value / Cost Basis	Adjusted Cost Basis	% of Account Assets / Acquired	Unrealized Gain or (Loss)	Estimated Annual Income / Yield to Maturity
CALVERT SOCIAL INVS 1%16	**50,000.0000**	**100.0750**	**50,037.50**	**50,000.00**	**1%**	**37.50**	**500.00**
DUE 02/29/16	50,000 0000	100 0000	50,000.00	50,000.00	02/28/13	37 50	1 00%
CUSIP: 13161E5K9							
MOODY'S: NR S&P: NR							***Accrued Interest: 418.06***
CALVERT SOCIAL INVS 2%18	**50,000.0000**	**100.9171**	**50,458.55**	**50,000.00**	**1%**	**458.55**	**1,000.00**
DUE 02/28/18	50,000 0000	100 0000	50,000 00	50,000 00	02/28/13	458 55	2 00%
CUSIP: 13161E5M5							
MOODY'S: NR S&P: NR							***Accrued Interest: 836.11***
CALVERT SOCIAL INVT 3%24	**25,000.0000**	**101.5439**	**25,385.98**	**25,000.00**	**<1%**	**385.98**	**750.00**
DUE 01/02/24	25,000.0000	100.0000	25,000 00	25,000.00	12/31/13	385 98	3 00%
CUSIP. 13161G4D1							
MOODY'S: NR S&P: NR							***Accrued Interest: 720.83***
CALVERT SOCIAL INVT 3%24	**25,000.0000**	**101.2569**	**25,314.23**	**25,000.00**	**<1%**	**314.23**	**750.00**
DUE 03/15/24	25,000 0000	100 0000	25,000 00	25,000.00	03/14/14	314.23	3 00%
CUSIP: 13161G5E8							
MOODY'S: NR S&P: NR							***Accrued Interest: 595.83***
DISCOVERY COMMS 5.625%19	**20,000.0000**	**108.8090**	**21,761.80**	**22,194.62**	**<1%**	**(432.82)** [b]	**1,125.00**
DUE 08/15/19	20,000 0000	114.6030	22,920.60	22,194.62	09/18/14	(432 82) [b]	2.44%
CUSIP: 25470DAA7							
MOODY'S: Baa2 S&P: BBB-							***Accrued Interest: 425.00***

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Investment Detail - Fixed Income (continued)

Corporate Bonds (continued)	Par / Units Purchased	Market Price / Cost Per Unit	Market Value / Cost Basis	Adjusted Cost Basis	% of Account Assets / Acquired	Unrealized Gain or (Loss)	Estimated Annual Income / Yield to Maturity
EUROPEAN INVT BK 2.5%24F	**20,000.0000**	**100.9428**	**20,188.56**	**19,845.40**	**<1%**	**343.16**	**500.00**
DUE 10/15/24	20,000.0000	99 2270	19,845 40	19,845.40	10/08/14	343.16	2.58%
EUROPEAN INVT BK							
CUSIP: 298785GQ3							
MOODY'S: Aaa S&P: AAA							***Accrued Interest: 105.56***
HSBC HLDGS PLC 5.1%21F	**15,000.0000**	**110.9764**	**16,646.46**	**16,605.01**	**<1%**	**41.45** [b]	**765.00**
DUE 04/05/21	15,000.0000	113.5226	17,028.40	16,605.01	06/17/14	41.45 [b]	2.89%
HSBC HLDGS PLC							
CUSIP: 404280AK5							
MOODY'S: A1 S&P: A							***Accrued Interest: 182.75***
KONINKLIJKE PHI 5.75%18F	**15,000.0000**	**107.0266**	**16,053.99**	**16,260.72**	**<1%**	**(206.73)** [b]	**862.50**
DUE 03/11/18	15,000.0000	114 0856	17,112.85	16,260.72	06/17/14	(206 73) [b]	1.82%
KONINKLIJKE PHILIPS							
CUSIP: 500472AB1							
MOODY'S: Baa1 S&P: BBB+							***Accrued Interest: 263.54***
MORGAN STANLEY 3.8%16	**50,000.0000**	**100.8633**	**50,431.65**	**50,043.93**	**1%**	**387.72** [b]	**1,900.00**
DUE 04/29/16	50,000 0000	100 9270	50,463.50	50,043.93	09/06/12	387.72 [b]	3.52%
CUSIP: 61747YDD4							
MOODY'S: A3 S&P: BBB+							***Accrued Interest: 327.22***

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Investment Detail - Fixed Income (continued)

Corporate Bonds (continued)	Par / Units Purchased	Market Price / Cost Per Unit	Market Value / Cost Basis	Adjusted Cost Basis	% of Account Assets / Acquired	Unrealized Gain or (Loss)	Estimated Annual Income / Yield to Maturity
SAFEWAY INC 6.35%17	**10,000.0000**	**103.0000**	**10,300.00**	**10,404.77**	**<1%**	**(104.77) [b]**	**635.00**
DUE 08/15/17	10,000.0000	111.5790	11,157.90	10,404.77	09/06/12	(104.77) [b]	3.75%
CUSIP: 786514BP3							
MOODY'S: B2 S&P: CCC+							***Accrued Interest: 239.89***
SYMANTEC CORP 4.2%20	**20,000.0000**	**103.8853**	**20,777.06**	**20,665.82**	**<1%**	**111.24 [b]**	**840.00**
DUE 09/15/20	20,000 0000	104.3350	20,867.00	20,665.82	05/27/14	111.24 [b]	3.42%
CUSIP: 871503AH1							
MOODY'S: Baa2 S&P: BBB							***Accrued Interest: 247.33***
VORNADO REALTY L. 2.5%19	**20,000.0000**	**98.5582**	**19,711.64**	**19,933.80**	**<1%**	**(222.16)**	**500.00**
DUE 06/30/19	20,000.0000	99 6690	19,933 80	19,933.80	06/09/14	(222 16)	2 57%
CALLABLE 05/30/19 AT 100.00000							
CUSIP: 929043AH0							
MOODY'S. Baa2 S&P: BBB							***Accrued Interest: 1.39***
[redacted]							

Total Accrued Interest for Corporate Bonds: 5,698.92

Investment Detail - Fixed Income (continued)

Other Fixed Income	Par / Units Purchased	Market Price / Cost Per Unit	Market Value / Cost Basis	Adjusted Cost Basis	% of Account Assets / Acquired	Unrealized Gain or (Loss)	Estimated Annual Income / Yield to Maturity
ACCION INTL 1.75%18 [7]	**100,000.0000**	**100.0000**	**100,000.00**	**100,000.00**	**2%**	**0.00**	**1,750.00**
PROM NOTE DUE 4/1/18	100,000.0000	100.0000	100,000.00 [a]	100,000.00	05/03/13	0.00	1.73%
MASSACHUSETTS							
CUSIP: 00499ALG8							***Accrued Interest: 4.86***
CEI INVESTMENT NT 2.5%18 [7]	**100,000.0000**	**100.0000**	**100,000.00**	**100,000.00**	**2%**	**0.00**	**2,500.00**
PROM NOTE DUE 10/1/18	100,000 0000	100 0000	100,000.00 [a]	100,000 00	09/25/13	0 00	2 48%
MAINE							
CUSIP: 12599BNE3							***Accrued Interest: 583.33***
COOPERATIVE FUND NE 2%18 [7]	**175,000.0000**	**100.0000**	**175,000.00**	**175,000.00**	**4%**	**0.00**	**3,500.00**
PROM NOTE DUE 4/1/18	175,000 0000	100.0000	175,000.00 [a]	175,000 00	05/03/13	0 00	1.98%
MASSACHUSETTS							
CUSIP. 21699ADZ9							***Accrued Interest: 2,625.00***
CRAFT3 3%18 [7]	**100,000.0000**	**100.0000**	**100,000.00**	**N/A [i]**	**2%**	**N/A [i]**	**3,000.00**
PROM NOTE DUE 7/31/18	100,000.0000	N/A	100,000.00	N/A	11/13/13	N/A	N/A
** PRIVATE PLACEMENT **							
CUSIP: 22499ACF4							***Accrued Interest: 1,258.33***
ECUMENICAL DEV CORP 2%18 [7]	**150,000.0000**	**100.0000**	**150,000.00**	**150,000.00**	**4%**	**0.00**	**3,000.00**
PROM NOTE DUE 1/1/18	150,000 0000	100.0000	150,000 00 [a]	150,000.00	05/03/13	0.00	1 98%
WASHINGTON DC							
CUSIP: 27899AHM9							***Accrued Interest: 1,866.67***

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Investment Detail - Fixed Income (continued)

Other Fixed Income (continued)	Par / Units Purchased	Market Price / Cost Per Unit	Market Value / Cost Basis	Adjusted Cost Basis	% of Account Assets / Acquired	Unrealized Gain or (Loss)	Estimated Annual Income / Yield to Maturity
SHARED INTEREST 0.5%17 [7]	**50,000.0000**	**100.0000**	**50,000.00**	**50,000.00**	**1%**	**0.00**	**250.00**
PROM NOTE DUE 3/31/17 DELAWARE	50,000 0000	100 0000	50,000 00 [a]	50,000.00	05/03/13	0 00	0 49%
CUSIP: 81999ADB3							***Accrued Interest: 63.19***
VERMONT COMM LOAN 2.5%18 [7]	**175,000.0000**	**100.0000**	**175,000.00**	**175,000.00**	**4%**	**0.00**	**4,375.00**
PROM NOTE DUE 2/15/18 VERMONT	175,000 0000	100 0000	175,000.00 [a]	175,000.00	05/03/13	0.00	2.48%
CUSIP: 92499ARF1							***Accrued Interest: 2,746.53***
Total Other Fixed Income	**850,000.0000**		**850,000.00**	**750,000.00**	**20%**	**0.00**	**18,375.00**
		Total Cost Basis:	850,000.00				
					Total Accrued Interest for Other Fixed Income: 9,147.91		
Total Fixed Income	**1,295,000.0000**		**1,302,178.85**	**1,200,964.61**	**31%**	**1,214.24** [bi]	**30,822.50**
		Total Cost Basis:	***1,204,339.45***				

Accrued Interest represents the interest that would be received if the fixed income investment was sold prior to the coupon payment.

Estimated Annual Income ("EAI") and Estimated Yield ("EY") calculations are for informational purposes only. The actual income and yield might be lower or higher than the estimated amounts EY is based upon EAI and the current price of the security and will fluctuate For certain types of securities, the calculations could include a return of principal or capital gains in which case EAI and EY would be overstated. EY and EAI are not promptly updated to reflect when an issuer has missed a regular payment or announced changes to future payments, in which case EAI and EY will continue to display at a prior rate

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Investment Detail - Equities

Equities	Quantity Units Purchased	Market Price Cost Per Share	Market Value Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Yield Holding Days	Estimated Annual Income Holding Period
ABB LTD F	**3,055.0000**	**17.7300**	**54,165.15**	**1%**	**(9,463.92)**	**N/A**	**N/A**
ADR	1,713.0000	19 5014	33,405.95	09/13/12	(3,034.46)	1204	Long-Term
1 ADR REPS 1 ORD SHS	1,342 0000	22 5209	30,223 12	06/15/15	(6,429.46)	199	Short-Term
SYMBOL. ABB							
Cost Basis			*63,629.07*				
ACUITY BRANDS INC	**268.0000**	**233.8000**	**62,658.40**	**1%**	**17,906.58**	**0.22%**	**139.36**
SYMBOL: AYI	268.0000	166.9844	44,751.82	03/23/15	17,906 58	283	Short-Term
ADOBE SYSTEMS INC	**926.0000**	**93.9400**	**86,988.44**	**2%**	**48,859.84**	**N/A**	**N/A**
SYMBOL: ADBE	723.0000	32.9200	23,801.18	09/13/12	44,117 44	1204	Long-Term
	203 0000	70.5784	14,327.42	09/16/14	4,742 40	471	Long-Term
Cost Basis			*38,128 60*				
ALPHABET INC	**64.0000**	**778.0100**	**49,792.64**	**1%**	**13,885.79**	**N/A**	**N/A**
CLASS A	64.0000	561.0445	35,906.85	10/30/14	13,885.79	427	Long-Term
SYMBOL: GOOGL							
ARM HOLDINGS PLC F	**515.0000**	**45.2400**	**23,298.60**	**<1%**	**(668.85)**	**0.63%**	**147.68**
ADR	515.0000	46 5387	23,967 45	09/16/13	(668 85)	836	Long-Term
1 ADR REPS 3 ORD SHS							
SYMBOL· ARMH							
ASML HLDGS NV F	**378.0000**	**88.7700**	**33,555.06**	**<1%**	**(7,398.77)**	**0.85%**	**287.36**
ADR	378 0000	108.3434	40,953 83	06/15/15	(7,398 77)	199	Short-Term
1 ADR REPS 1 ORD SHS							
SYMBOL: ASML							
AUTODESK INC	**650.0000**	**60.9300**	**39,604.50**	**<1%**	**(575.89)**	**N/A**	**N/A**
SYMBOL· ADSK	650 0000	61 8159	40,180 39	12/17/15	(575 89)	14	Short-Term

Investment Detail - Equities (continued)

Equities (continued)	Quantity / Units Purchased	Market Price / Cost Per Share	Market Value / Cost Basis	% of Account Assets / Acquired	Unrealized Gain or (Loss)	Estimated Yield / Holding Days	Estimated Annual Income / Holding Period
BAXALTA INCORPORATED	**424.0000**	**39.0300**	**16,548.72**	**<1%**	**8,662.48**	**0.71%**	**118.72**
SYMBOL: BXLT	74.0000	18 9471	1,402.09 t	05/26/10	1,486 13	2045	Long-Term
	350 0000	18 5261	6,484.15 t	06/24/10	7,176.35	2016	Long-Term
Cost Basis			*7,886.24*				***Accrued Dividend: 29.68***
BIOGEN INC	**214.0000**	**306.3500**	**65,558.90**	**2%**	**(18,872.44)**	**N/A**	**N/A**
SYMBOL: BIIB	102 0000	472.1574	48,160.06	03/23/15	(16,912 36)	283	Short-Term
	112 0000	323.8507	36,271.28	08/03/15	(1,960.08)	150	Short-Term
Cost Basis			*84,431.34*				
BORG WARNER INC	**817.0000**	**43.2300**	**35,318.91**	**<1%**	**(8,886.05)**	**1.20%**	**424.84**
SYMBOL: BWA	503 0000	50 3904	25,346 42	09/16/13	(3,601.73)	836	Long-Term
	314 0000	60 0590	18,858.54	06/15/15	(5,284.32)	199	Short-Term
Cost Basis			*44,204.96*				
BT GROUP PLC F	**984.0000**	**34.6100**	**34,056.24**	**<1%**	**15,128.00**	**7.54%**	**2,568.92**
ADR	984 0000	19.2360	18,928 24	09/13/12	15,128.00	1204	Long-Term
1 ADR REPS 5 ORD SHS							
SYMBOL BT							***Accrued Dividend: 329.55***
CBRE GROUP INC	**1,076.0000**	**34.5800**	**37,208.08**	**<1%**	**16,279.43**	**N/A**	**N/A**
CLASS A	1,076 0000	19 4504	20,928 65	09/13/12	16,279 43	1204	Long-Term
SYMBOL. CBG							
CERNER CORP	**937.0000**	**60.1700**	**56,379.29**	**1%**	**21,925.57**	**N/A**	**N/A**
SYMBOL. CERN	937 0000	36.7702	34,453.72	09/13/12	21,925.57	1204	Long-Term
CISCO SYSTEMS INC	**2,356.0000**	**27.1550**	**63,977.18**	**2%**	**18,118.62**	**3.09%**	**1,979.04**
SYMBOL: CSCO	2,356 0000	19 4645	45,858 56	09/13/12	18,118 62	1204	Long-Term

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Investment Detail - Equities (continued)

Equities (continued)	Quantity Units Purchased	Market Price Cost Per Share	Market Value Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Yield Holding Days	Estimated Annual Income Holding Period
CITRIX SYSTEMS INC	**540.0000**	**75.6500**	**40,851.00**	**<1%**	**9,103.22**	**N/A**	**N/A**
SYMBOL: CTXS	540.0000	58.7921	31,747 78	06/24/13	9,103.22	920	Long-Term
DISCOVERY COMM INC	**1,428.0000**	**26.6800**	**38,099.04**	**<1%**	**(8,794.73)**	**N/A**	**N/A**
SYMBOL: DISCA	689.0000	29.3825	20,244 61	09/13/12	(1,862.09)	1204	Long-Term
	739.0000	36 0611	26,649 16	10/30/14	(6,932.64)	427	Long-Term
Cost Basis			*46,893.77*				
EATON CORP PLC F	**1,058.0000**	**52.0400**	**55,058.32**	**1%**	**(7,039.23)**	**4.22%**	**2,327.60**
SYMBOL: ETN	738 0000	60.0959	44,350.78	06/24/13	(5,945.26)	920	Long-Term
	320 0000	55.4586	17,746 77	09/15/15	(1,093 97)	107	Short-Term
Cost Basis			*62,097.55*				
FIRST SOLAR INC	**834.0000**	**65.9900**	**55,035.66**	**1%**	**21,233.69**	**N/A**	**N/A**
SYMBOL: FSLR	762 0000	38.8951	29,638.08	09/16/13	20,646.30	836	Long-Term
	72.0000	57.8318	4,163.89	03/18/14	587.39	653	Long-Term
Cost Basis			*33,801.97*				
FOREST CITY ENT XXX	**1,793.0000**	**21.9300**	**39,320.49**	**<1%**	**7,132.39**	**N/A**	**N/A**
NAME CHANGE	999.0000	16.2157	16,199.57	09/13/12	5,708.50	1204	Long-Term
EFF: 12/04/15	794 0000	20.1366	15,988 53	09/16/14	1,423 89	471	Long-Term
SYMBOL FCEA							
Cost Basis			*32,188.10*				
GILEAD SCIENCES INC	**571.0000**	**101.1900**	**57,779.49**	**1%**	**15,479.53**	**1.69%**	**982.12**
SYMBOL: GILD	571 0000	74 0804	42,299 96	12/19/13	15,479.53	742	Long-Term
HEXCEL CORP	**999.0000**	**46.4500**	**46,403.55**	**1%**	**7,048.35**	**0.86%**	**399.60**
SYMBOL. HXL	999.0000	39.3945	39,355 20	09/16/14	7,048.35	471	Long-Term

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Investment Detail - Equities (continued)

Equities (continued)	Quantity / Units Purchased	Market Price / Cost Per Share	Market Value / Cost Basis	% of Account Assets / Acquired	Unrealized Gain or (Loss)	Estimated Yield / Holding Days	Estimated Annual Income / Holding Period
HOLOGIC INC	**1,809.0000**	**38.6900**	**69,990.21**	**2%**	**33,269.33**	**N/A**	**N/A**
SYMBOL· HOLX	1,809 0000	20 2989	36,720 88	09/13/12	33,269.33	1204	Long-Term
HSBC HLDGS PLC F	**1,063.0000**	**39.4700**	**41,956.61**	**<1%**	**(7,665.05)**	**5.06%**	**2,126.00**
ADR	543 0000	46 5802	25,293 08	09/13/12	(3,860 87)	1204	Long-Term
1 ADR REPS 5 ORD SHS	520.0000	46.7857	24,328.58	06/15/15	(3,804 18)	199	Short-Term
SYMBOL: HSBC							
Cost Basis			*49,621.66*				
INTERFACE INC	**1,692.0000**	**19.1400**	**32,384.88**	**<1%**	**3,066.91**	**1.04%**	**338.40**
SYMBOL· TILE	1,692.0000	17 3274	29,317.97	05/08/13	3,066.91	967	Long-Term
J B HUNT TRANSPORT	**362.0000**	**73.3600**	**26,556.32**	**<1%**	**684.75**	**1.14%**	**304.08**
SYMBOL: JBHT	362.0000	71 4684	25,871 57	03/18/14	684.75	653	Long-Term
JOHNSON CONTROLS INC	**1,123.0000**	**39.4900**	**44,347.27**	**1%**	**11,971.45**	**2.63%**	**1,167.92**
SYMBOL: JCI	1,123.0000	28.8297	32,375.82	09/13/12	11,971.45	1204	Long-Term
							Accrued Dividend: 325.67
LULULEMON ATHLETICA	**639.0000**	**52.4700**	**33,528.33**	**<1%**	**(5,367.00)**	**N/A**	**N/A**
SYMBOL. LULU	310.0000	62 7300	19,446 33	03/27/13	(3,180 63)	1009	Long-Term
	329.0000	59.1155	19,449.00	12/19/13	(2,186.37)	742	Long-Term
Cost Basis			*38,895 33*				
MIDDLEBY CORP THE	**230.0000**	**107.8700**	**24,810.10**	**<1%**	**15,843.05**	**N/A**	**N/A**
SYMBOL· MIDD	230 0000	38 9871	8,967.05	09/13/12	15,843.05	1204	Long-Term
MINERALS TECH INC	**756.0000**	**45.8600**	**34,670.16**	**<1%**	**(1,009.90)**	**0.43%**	**151.20**
SYMBOL: MTX	491 0000	36.7081	18,023 71	09/13/12	4,493.55	1204	Long-Term
	265 0000	66 6277	17,656 35	12/17/14	(5,503 45)	379	Long-Term
Cost Basis			*35,680.06*				

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Investment Detail - Equities (continued)

Equities (continued)	Quantity Units Purchased	Market Price Cost Per Share	Market Value Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Yield Holding Days	Estimated Annual Income Holding Period
NOVARTIS AG F	**825.0000**	**86.0400**	**70,983.00**	**2%**	**28,849.39**	**3.09%**	**2,199.41**
ADR	634 0000	49.5600	31,421.04 [t]	09/20/05	23,128.32	3754	Long-Term
1 ADR REPS 1 ORD SHS	150 0000	45.5455	6,831.83 [t]	05/20/10	6,074 17	2051	Long-Term
SYMBOL: NVS	41.0000	94.6521	3,880.74	09/16/14	(353 10)	471	Long-Term
Cost Basis			*42,133.61*				
NXP SEMICONDUCTORS F	**400.0000**	**84.2500**	**33,700.00**	**<1%**	**(2,641.60)**	**N/A**	**N/A**
SYMBOL. NXPI	400 0000	90 8540	36,341.60	09/15/15	(2,641 60)	107	Short-Term
ORMAT TECHNOLOGIES	**1,933.0000**	**36.4700**	**70,496.51**	**2%**	**23,307.35**	**0.65%**	**463.92**
SYMBOL: ORA	1,136 0000	22 3278	25,364.47	06/25/13	16,065 45	919	Long-Term
	797.0000	27 3835	21,824.69	12/17/14	7,241.90	379	Long-Term
Cost Basis			*47,189 16*				
OWENS ILLINOIS INC	**1,465.0000**	**17.4200**	**25,520.30**	**<1%**	**545.09**	**N/A**	**N/A**
SYMBOL: OI	1,465.0000	17.0479	24,975.21	12/17/15	545.09	14	Short-Term
PALO ALTO NETWORKS	**179.0000**	**176.1400**	**31,529.06**	**<1%**	**5,799.81**	**N/A**	**N/A**
SYMBOL: PANW	179.0000	143.7388	25,729.25	03/23/15	5,799.81	283	Short-Term
PANERA BREAD COMPANY	**368.0000**	**194.7800**	**71,679.04**	**2%**	**10,861.27**	**N/A**	**N/A**
CLASS A	175.0000	168 4426	29,477 46	09/16/13	4,609 04	836	Long-Term
SYMBOL· PNRA	125.0000	169 9368	21,242 11	09/24/13	3,105.39	828	Long-Term
	68 0000	148.5029	10,098.20	06/18/14	3,146 84	561	Long-Term
Cost Basis			*60,817.77*				
PAYPAL HOLDINGS INCO	**1,009.0000**	**36.2000**	**36,525.80**	**<1%**	**14,099.14**	**N/A**	**N/A**
SYMBOL: PYPL	422 0000	7 3516	3,102 40 [t]	01/27/09	12,174 00	2529	Long-Term
	237 0000	31.5082	7,467.46	03/27/13	1,111 94	1009	Long-Term
	350.0000	33.8765	11,856.80	09/15/15	813.20	107	Short-Term
Cost Basis			*22,426.66*				

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Investment Detail - Equities (continued)

Equities (continued)	Quantity Units Purchased	Market Price Cost Per Share	Market Value Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Yield Holding Days	Estimated Annual Income Holding Period
ROGERS CORPORATION	**942.0000**	**51.5700**	**48,578.94**	**1%**	**(1,071.59)**	**N/A**	**N/A**
SYMBOL: ROG	422 0000	48.1640	20,325 22	12/21/12	1,437 32	1105	Long-Term
	520 0000	56.3948	29,325.31	08/03/15	(2,508 91)	150	Short-Term
Cost Basis			*49,650.53*				
ROYAL BANK OF CANADA F	**495.0000**	**53.5800**	**26,522.10**	**<1%**	**(227.35)**	**4.42%**	**1,172.65**
SYMBOL. RY	495 0000	54.0392	26,749 45	12/17/15	(227.35)	14	Short-Term
SALESFORCE COM	**585.0000**	**78.4000**	**45,864.00**	**1%**	**12,456.48**	**N/A**	**N/A**
SYMBOL: CRM	585.0000	57.1068	33,407.52	06/18/14	12,456.48	561	Long-Term
SMITH A O	**520.0000**	**76.6100**	**39,837.20**	**<1%**	**(529.27)**	**0.99%**	**395.20**
SYMBOL: AOS	520.0000	77.6278	40,366 47	12/17/15	(529.27)	14	Short-Term
SONOCO PRODUCTS CO	**677.0000**	**40.8700**	**27,668.99**	**<1%**	**(1,837.90)**	**3.42%**	**947.80**
SYMBOL: SON	677.0000	43.5847	29,506.89	06/18/14	(1,837.90)	561	Long-Term
STARBUCKS CORP	**1,055.0000**	**60.0300**	**63,331.65**	**1%**	**36,000.77**	**1.33%**	**844.00**
SYMBOL. SBUX	1,055.0000	25.9060	27,330.88	09/13/12	36,000 77	1204	Long-Term
STARWOOD HTLS & RSTS	**539.0000**	**69.2800**	**37,341.92**	**<1%**	**(676.58)**	**2.16%**	**808.50**
SYMBOL: HOT	291 0000	59.7335	17,382.46	09/13/12	2,778 02	1204	Long-Term
	248 0000	83.2098	20,636.04	09/16/14	(3,454 60)	471	Long-Term
Cost Basis			*38,018.50*				
SVB FINANCIAL GROUP	**546.0000**	**118.9000**	**64,919.40**	**2%**	**23,342.30**	**N/A**	**N/A**
SYMBOL: SIVB	389.0000	60.8991	23,689.78	09/13/12	22,562.32	1204	Long-Term
	157 0000	113.9319	17,887 32	09/16/14	779 98	471	Long-Term
Cost Basis			*41,577.10*				

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Investment Detail - Equities (continued)

Equities (continued)	Quantity Units Purchased	Market Price Cost Per Share	Market Value Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Yield Holding Days	Estimated Annual Income Holding Period
TESLA MOTORS INC	**60.0000**	**240.0100**	**14,400.60**	**<1%**	**495.83**	**N/A**	**N/A**
SYMBOL: TSLA	60.0000	231.7461	13,904.77	12/17/15	495.83	14	Short-Term
UMPQUA HOLDINGS CORP	**2,989.0000**	**15.9000**	**47,525.10**	**1%**	**(3,159.29)**	**4.02%**	**1,912.96**
SYMBOL: UMPQ	1,702.0000	16.4878	28,062 36	09/16/13	(1,000.56)	836	Long-Term
	170 0000	18 5319	3,150 43	03/18/14	(447.43)	653	Long-Term
	1,117.0000	17.4320	19,471.60	09/16/14	(1,711 30)	471	Long-Term
Cost Basis			*50,684.39*				
UNILEVER N V F	**1,566.0000**	**43.3200**	**67,839.12**	**2%**	**3,518.38**	**3.17%**	**2,151.68**
ADR	840.0000	38.7734	32,569.66	12/20/12	3,819.14	1106	Long-Term
1 ADR REPS 1 ORD SHS	726 0000	43 7342	31,751 08	12/17/15	(300.76)	14	Short-Term
SYMBOL: UN							
Cost Basis			*64,320.74*				
UNITED NATURAL FOODS	**1,394.0000**	**39.3600**	**54,867.84**	**1%**	**(20,972.74)**	**N/A**	**N/A**
SYMBOL: UNFI	350 0000	58.7316	20,556.09	09/13/12	(6,780 09)	1204	Long-Term
	414 0000	63.4581	26,271 68	09/16/14	(9,976 64)	471	Long-Term
	630.0000	46.0520	29,012 81	08/03/15	(4,216 01)	150	Short-Term
Cost Basis			*75,840.58*				
VERISK ANALYTICS INC	**350.0000**	**76.8800**	**26,908.00**	**<1%**	**4,875.74**	**N/A**	**N/A**
SYMBOL· VRSK	350 0000	62 9493	22,032.26	03/18/14	4,875.74	653	Long-Term
WABTEC	**720.0000**	**71.1200**	**51,206.40**	**1%**	**8,420.18**	**0.44%**	**230.40**
SYMBOL. WAB	280.0000	41.0298	11,488.35	09/13/12	8,425.25	1204	Long-Term
	440 0000	71.1315	31,297.87	12/17/15	(5.07)	14	Short-Term
Cost Basis			*42,786.22*				
WATERS CORP	**257.0000**	**134.5800**	**34,587.06**	**<1%**	**8,554.62**	**N/A**	**N/A**
SYMBOL. WAT	257.0000	101.2935	26,032.44	09/16/14	8,554.62	471	Long-Term

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Investment Detail - Equities (continued)

Equities (continued)	Quantity / Units Purchased	Market Price / Cost Per Share	Market Value / Cost Basis	% of Account Assets / Acquired	Unrealized Gain or (Loss)	Estimated Yield / Holding Days	Estimated Annual Income / Holding Period
WHITEWAVE FOODS	**1,651.0000**	**38.9100**	**64,240.41**	**2%**	**(3,964.79)**	**N/A**	**N/A**
SYMBOL: WWAV	1,019 0000	42 6714	43,482.17	03/23/15	(3,832.88)	283	Short-Term
	632.0000	39 1187	24,723 03	12/17/15	(131 91)	14	Short-Term
Cost Basis			*68,205.20*				
WHOLE FOODS MARKET	**1,442.0000**	**33.5000**	**48,307.00**	**1%**	**(16,925.99)**	**1.55%**	**749.84**
SYMBOL: WFM	859 0000	48.9740	42,068.68	09/13/12	(13,292.18)	1204	Long-Term
	583.0000	39.7329	23,164 31	05/13/14	(3,633.81)	597	Long-Term
Cost Basis			*65,232.99*				
XYLEM INC	**1,000.0000**	**36.5000**	**36,500.00**	**<1%**	**3,537.50**	**1.54%**	**563.20**
SYMBOL: XYL	1,000.0000	32 9625	32,962.50	09/15/15	3,537.50	107	Short-Term
[redacted]							

Total Accrued Dividend for Equities: 684.90

Estimated Annual Income ("EAI") and Estimated Yield ("EY") calculations are for informational purposes only The actual income and yield might be lower or higher than the estimated amounts EY is based upon EAI and the current price of the security and will fluctuate For certain types of securities, the calculations could include a return of principal or capital gains in which case EAI and EY would be overstated. EY and EAI are not promptly updated to reflect when an issuer has missed a regular payment or announced changes to future payments, in which case EAI and EY will continue to display at a prior rate.

Investment Detail - Mutual Funds

Equity Funds	Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
DOMINI INTL SOCIAL EQTY INST SYMBOL: DOMOX	15,264.2600	7.2700	110,971.17	3%	6 36	95,748.96	15,222.21

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Investment Detail - Mutual Funds (continued)

Equity Funds (continued)	Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
PAX MSCI INTL ESG INDEX FD INDVL INV SYMBOL: PXINX	14,665 3410	8 1300	119,229 22	3%	7 80	114,339 43	4,889.79
[redacted]		International Funds	[redacted]				
[redacted]							

Investment Detail - Other Assets

Other Assets	Quantity Units Purchased	Market Price Cost Per Share	Market Value Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Yield Holding Days	Estimated Annual Income Holding Period
BRANDYWINE REALTY TR	**1,606.0000**	**13.6600**	**21,937.96**	**<1%**	**(3,252.51)**	**4.39%**	**963.60**
REIT	1,606.0000	15.6852	25,190.47	12/17/14	(3,252.51)	379	Long-Term
SYMBOL: BDN							
EIGHTPOINTTHREE ENER LP	**2,200.0000**	**16.1400**	**35,508.00**	**<1%**	**4,428.37**	**3.89%**	**1,381.60**
SYMBOL: CAFD	413 0000	13.3653	5,519.87	09/15/15	1,145.95	107	Short-Term
	787 0000	13.4669	10,598.46	09/16/15	2,103.72	106	Short-Term
	1,000.0000	14.9613	14,961.30	12/17/15	1,178.70	14	Short-Term
Cost Basis			*31,079.63*				
HANNON ARMSTRONG SUS	**1,400.0000**	**18.9200**	**26,488.00**	**<1%**	**(23.86)**	**5.49%**	**1,456.00**
REIT	1,400 0000	18.9370	26,511.86	09/16/15	(23.86)	106	Short-Term
SYMBOL: HASI						***Accrued Dividend: 420.00***	
PROLOGIS INC	**1,074.0000**	**42.9200**	**46,096.08**	**1%**	**6,395.75**	**3.72%**	**1,718.40**
REIT	1,074.0000	36.9649	39,700.33	09/13/12	6,395.75	1204	Long-Term
SYMBOL: PLD							

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Investment Detail - Other Assets (continued)

Other Assets (continued)	Quantity Units Purchased	Market Price Cost Per Share	Market Value Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Yield Holding Days	Estimated Annual Income Holding Period
SJF VENTURES III LP Æ,B	**76,356.0000**	**1.0000**	**76,356.00**	**2%**	**N/A** ˡ	**N/A**	**N/A**
PRIVATE LTD PARTNERSHIP	3,654.0000	N/A	please provide	04/11/14	N/A	629	Long-Term
DELAWARE	18.0000	N/A	please provide	04/30/14	N/A	610	Long-Term
	2,299.0000	N/A	please provide	06/30/14	N/A	549	Long-Term
	15,000.0000	N/A	please provide	06/30/14	N/A	549	Long-Term
	8,208.0000	N/A	please provide	09/30/14	N/A	457	Long-Term
	15,000.0000	N/A	please provide	11/25/14	N/A	401	Long-Term
	15,000.0000	N/A	please provide	03/17/15	N/A	289	Short-Term
	6,847.0000	N/A	please provide	03/31/15	N/A	275	Short-Term
	4,087.0000	N/A	please provide	06/30/15	N/A	184	Short-Term
	6,243.0000	N/A	please provide	09/30/15	N/A	92	Short-Term
Cost Basis			59,371.00				
[redacted]			181,853.29				

Total Accrued Dividend for Other Assets 420.00

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Investment Detail - Unpriced Securities

Unpriced Securities	Quantity / Units Purchased	Market Price / Cost Per Share	Market Value / Cost Basis	Acquired	Unrealized Gain or (Loss)	Holding Days	Holding Period
MERCY LOAN FUND 2.5%17 [®7]	**150,000.0000**	**N/A**	150,000.00		**N/A**		
PROM NOTE DUE 7/1/17 COLORADO	150,000.0000	100.0000	150,000.00 [a]	05/03/13	N/A	972	Long-Term
Accrued Interest: 2,364.58							
NEW HAMPSHIRE CMNTY 3%18 [®7]	**150,000.0000**	**N/A**	150,000.00		**N/A**		
PROM NOTE DUE 6/30/18 NEW HAMPSHIRE	150,000 0000	100.0000	150,000.00 [a]	05/03/13	N/A	972	Long-Term
Accrued Interest: 2,262.50							
THE REINVESTMENT 2.25%18 [7]	**200,000.0000**	**N/A**	200,000.00		**N/A**		
PROM NOTE DUE 12/31/18 PRIVATE PLACEMENT	200,000.0000	100 0000	200,000 00 [a]	09/25/13	N/A	827	Long-Term
Accrued Interest: 12.50							
Total Unpriced Securities	**500,000.0000**		500,000.00		**N/A**		
		Total Cost Basis:	***500,000.00***				

Accrued Interest represents the interest that would be received if the fixed income investment was sold prior to the coupon payment

Total Investment Detail	**4,235,239.61**
Total Account Value (excl. Unpriced Securities)	**4,235,239.61**
Total Cost Basis	***4,135,177.21*** [I]

1 - 0		
1 - 1	Cost per statement	4,135,177.21 +
1 - 2	Plus: Craft3 Cost	100,000.00 +
1 - 3	Plus: Iroquois Investment	142,083.00 +
1 - 4	Plus: SJF Ventures Basis	59,371.00 +
1 - T	Total Cost Basis	4,436,631.21 *

1 - 0		
1 - 1	FMV per statement	4,235,239.61 +
1 - 2	Less: Cash	55,693.35 -
1 - 3	Plus: FMV of Unpriced Securities	500,000.00 +
1 - 4	Plus: Iroquois Investment	143,000.00 +
1 - T	Total FMV of Securities	4,822,546.26 *

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Rose Family Foundation
06-6447457
Form 900-PF, Part XV, Item 3a

DATE	2015 CONTRIBUTIONS	AMOUNT
4/7/2015	Huichol Center	$ 148,000 00
8/28/2015	Green Chimneys	$ 50,000.00
9/1/2015	Randall's Island Park Alliance	$ 75,000.00
9/1/2015	Huichol Center	$ 45,000 00
12/14/2015	EquiCenter	$ 25,000 00
	TOTAL	**$ 343,000.00**