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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2015

Open to Public Inspection

For calendar year 2015, or tax year beginning 01-01-2015 , and ending 12-31-2015

Name of foundation
THE SERENBETZ FAMILY FOUNDATIONINC

A Employer identification number
13-3993644

Number and street (or P O box number if mail is not delivered to street address) 695 WEST STREET | Room/suite

B Telephone number (see instructions)
(914) 698-2251

City or town, state or province, country, and ZIP or foreign postal code
HARRISON, NY 10528

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶$ 9,351,246

J Accounting method ☑ Cash ☐ Accrual ☐ Other (specify) ____
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	1,575,507			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	244	244		
	4	Dividends and interest from securities	190,891	186,630		
	5a	Gross rents				
	b	Net rental income or (loss) ____				
	6a	Net gain or (loss) from sale of assets not on line 10	69,287			
	b	Gross sales price for all assets on line 6a 1,243,542				
	7	Capital gain net income (from Part IV, line 2)		69,287		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	8,053	8,053		
	12	**Total.** Add lines 1 through 11	1,843,982	264,214		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0	0		0
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	11,309	6,235		5,074
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	9,684	1,521		0
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	7,849	6,582		1,267
	24	**Total operating and administrative expenses.** Add lines 13 through 23	28,842	14,338		6,341
	25	Contributions, gifts, grants paid	395,000			395,000
	26	**Total expenses and disbursements.** Add lines 24 and 25	423,842	14,338		401,341
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	1,420,140			
	b	**Net investment income** (if negative, enter -0-)		249,876		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	1,062,606	374,653	374,653
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	73,386	0	0
	b	Investments—corporate stock (attach schedule)	467,334	467,334	626,053
	c	Investments—corporate bonds (attach schedule)	707,051	649,166	655,464
	11	Investments—land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	4,943,283	6,662,576	7,175,005
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)	0	520,071	520,071
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	7,253,660	8,673,800	9,351,246
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☑ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	0	0	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
	29	Retained earnings, accumulated income, endowment, or other funds	7,253,660	8,673,800	
	30	**Total net assets or fund balances** (see instructions)	7,253,660	8,673,800	
	31	**Total liabilities and net assets/fund balances** (see instructions)	7,253,660	8,673,800	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	7,253,660
2	Enter amount from Part I, line 27a	2	1,420,140
3	Other increases not included in line 2 (itemize) ▶	3	0
4	Add lines 1, 2, and 3	4	8,673,800
5	Decreases not included in line 2 (itemize) ▶	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	8,673,800

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	69,287
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)
If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2014	376,400	8,170,816	0 046066
2013	362,826	7,587,560	0 047819
2012	327,736	7,334,484	0 044684
2011	300,697	6,568,947	0 045776
2010	252,140	6,043,682	0 041720

2	**Total** of line 1, column (d).	2	0 226065
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 045213
4	Enter the net value of noncharitable-use assets for 2015 from Part X, line 5.	4	8,956,346
5	Multiply line 4 by line 3.	5	404,943
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	2,499
7	Add lines 5 and 6.	7	407,442
8	Enter qualifying distributions from Part XII, line 4.	8	401,341

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ______ **(attach copy of letter if necessary–see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	4,998
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	0
3	Add lines 1 and 2			3	4,998
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	4,998
6	Credits/Payments				
a	2015 estimated tax payments and 2014 overpayment credited to 2015	6a	6,100		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c	2,000		
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	8,100
8	Enter any **penalty** for underpayment of estimated tax Check here ☑ if Form 2220 is attached			8	4
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	3,098
11	Enter the amount of line 10 to be **Credited to 2015 estimated tax** ▶ 3,098 **Refunded** ▶			11	0

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ 0 **(2)** On foundation managers ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶NY, NJ			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10	Yes	

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶STUART W SERENBETZ Telephone no ▶(914) 698-2251

Located at ▶695 WEST STREET HARRISON NY ZIP +4 ▶10528

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any**of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all**years listed, answer "No" and attach statement—see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2015 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015).*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(Continued)*

			Yes	No
5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	**5b**		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53 4945-5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		No
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
See Additional Data Table				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		

Total number of others receiving over $50,000 for professional services. . . . . ▶ 0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	0
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 . . . . ▶	0

Part X Minimum Investment Return

(All domestic foundations must complete this part. Foreign foundations,see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	7,862,757
b	Average of monthly cash balances.	1b	1,229,980
c	Fair market value of all other assets (see instructions).	1c	0
d	**Total** (add lines 1a, b, and c).	1d	9,092,737
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). — 1e: 0		
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	9,092,737
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	136,391
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	8,956,346
6	**Minimum investment return.** Enter 5% of line 5.	6	447,817

Part XI Distributable Amount

(see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	447,817
2a	Tax on investment income for 2015 from Part VI, line 5. — 2a: 4,998		
b	Income tax for 2015 (This does not include the tax from Part VI). — 2b:		
c	Add lines 2a and 2b.	2c	4,998
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	442,819
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	442,819
6	Deduction from distributable amount (see instructions).	6	0
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	442,819

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	401,341
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	401,341
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4.	6	401,341

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII **Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				442,819
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only.			389,270	
b Total for prior years 20___, 20___, 20___		0		
3 Excess distributions carryover, if any, to 2015				
a From 2010.				
b From 2011.				
c From 2012.				
d From 2013.				
e From 2014.				
f **Total** of lines 3a through e.	0			
4 Qualifying distributions for 2015 from Part XII, line 4 ▶ $ 401,341				
a Applied to 2014, but not more than line 2a			389,270	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2015 distributable amount.				12,071
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2015 *(If an amount appears in column (d), the same amount must be shown in column (a))*	0			0
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount —see instructions		0		
e Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015				430,748
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions) . . .	0			
9 **Excess distributions carryover to 2016.** Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2011. . . .				
b Excess from 2012. . . .				
c Excess from 2013. . . .				
d Excess from 2014. . . .				
e Excess from 2015. . . .				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling. . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2015	**(b)** 2014	**(c)** 2013	**(d)** 2012	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

See Additional Data Table

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
See Additional Data Table				
Total . . . . ▶ **3a**				395,000
b *Approved for future payment*				
Total . . . . ▶ **3b**				0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments			14	244	
4 Dividends and interest from securities. . .			14	190,891	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.			14	8,053	
8 Gain or (loss) from sales of assets other than inventory			18	69,287	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory . .					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .		0		268,475	0

13 Total. Add line 12, columns (b), (d), and (e). 13 268,475

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?. ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

******	2016-11-10	******
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN P01077243

Firm's name ▶ POOLE & ASSOCIATES LLC

Firm's EIN ▶ 47-1686472

Firm's address ▶ 369 LEXINGTON AVENUE NEW YORK, NY 10017

Phone no (212) 679-1007

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
99 SHS ADVENT SOFTWARE INC	P	2014-11-11	2015-07-09
58 SHS LORILARD INC	P	2014-11-11	2015-06-09
SHS ADVENT SOFTWARE INC	P	2013-10-15	2015-07-09
9 SHS ATWOOD OCEANICS INC	P	2013-03-18	2015-10-21
35 SHS CARMAX INC	P	2013-03-18	2015-07-27
4 SHS DECKER OUTDOOR CORPORATION	P	2013-03-18	2015-11-05
30 SHS DOLLAR TREE	P	2013-09-06	2015-07-25
81 SHS HASBRO INC	P	2013-03-18	2015-12-22
157 SHS LORILARD INC	P	2013-03-18	2015-06-09
27 SHS TEMPUR-PEDIC INT'L INC	P	2013-03-08	2015-07-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,381		3,298	1,083
4,141		3,599	542
10,178		5,859	4,319
162		460	-298
2,182		1,452	730
222		198	24
2,335		1,619	716
6,034		4,129	1,905
11,210		6,500	4,710
1,839		1,242	597

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,083
			542
			4,319
			-298
			730
			24
			716
			1,905
			4,710
			597

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
50 SHS AMERICAN WATER WORKS CO	P	2014-02-11	2015-01-13
22 SHS BAXTER INTL INC	P	2014-11-11	2015-06-17
0 25 SHS CARE CAP PPTYS INC	P	2014-11-11	2015-08-17
26 SHS CME GROUP INC	P	2014-02-11	2015-01-13
62 SHS COLUMBIA PIPELINE GRP INC COM	P	2014-11-11	2015-07-15
59 SHS ENSCO PLC CLASS A	P	2014-02-11	2015-01-27
109 SHS GAMESTOP CORP CL A NEW	P	2015-04-09	2015-12-11
26 SHS GOLAR LNG LTD	P	2014-11-11	2015-09-03
118 SHS INTEL CORP	P	2014-05-19	2015-03-12
28 SHS LYONDELLBASELL NV CL-A	P	2014-11-12	2015-05-04

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,722		2,177	545
1,502		1,557	-55
8		9	-1
2,286		1,968	318
1,829		1,671	158
1,704		2,701	-997
3,301		4,364	-1,063
1,022		1,331	-309
3,642		3,837	-195
2,914		2,478	436

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			545
			-55
			-1
			318
			158
			-997
			-1,063
			-309
			-195
			436

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, **(a)** 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
0 503 SHS RMR GROUP INC	P	2015-12-14	2015-12-14
191 SHS STMICROELECTRONICS NV	P	2014-12-17	2015-12-14
42 SHS AMERICAN WATER WORKS CO	P	2014-01-03	2015-01-13
48 SHS BAXTER INTL INC	P	2014-01-03	2015-06-17
21 SHS CME GROUP INC	P	2014-01-03	2015-01-13
124 SHS COLUMBIA PIPELINE GRP INC COM	P	2014-01-03	2015-07-15
14 SHS ENSCO PLC CLASS A	P	2014-01-03	2015-01-27
33 SHS GENERAL ELECTRIC CO	P	2014-01-03	2015-01-13
41 SHS GOLAR LNG LTD	P	2014-01-03	2015-09-03
372 SHS KINDER MORGAN INCORP	P	2014-01-03	2015-12-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
8		6	2
1,253		1,443	-190
2,287		1,740	547
3,277		3,406	-129
1,494		1,344	150
3,663		2,773	890
404		790	-386
790		907	-117
1,612		1,631	-19
6,140		13,192	-7,052

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			2
			-190
			547
			-129
			150
			890
			-386
			-117
			-19
			-7,052

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
68 SHS MAXIM INTEGRATED PRODUCTS INC	P	2014-01-03	2015-10-20
50,000 SHS BATTERY PK CIT	D	2014-10-22	2015-05-19
70,000 SHS DORMITORY AUTH BE	D	2014-10-16	2015-05-19
70,000 SHS DORMITORY AUTH BE	D	2014-10-23	2015-05-19
65,000 SHS METROPOLITAN BE	D	2014-10-31	2015-05-19
50,000 SHS NEW YORK ST LO BE	D	2014-10-20	2015-05-19
60,000 SHS NY ST EFC-A	D	2014-10-20	2015-05-19
65,000 SHS NYC TFA-E	D	2014-10-20	2015-05-19
70,000 SHS NYS THRUWAY	D	2014-10-20	2015-05-19
65,000 SHS SUFFOLK CNTY	D	2014-10-16	2015-05-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,697		2,066	631
60,556		61,147	-591
82,069		83,848	-1,779
81,980		83,506	-1,526
76,141		77,080	-939
57,869		58,797	-928
68,463		69,763	-1,300
71,133		71,819	-686
77,257		78,193	-936
78,282		81,761	-3,479

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			631
			-591
			-1,779
			-1,526
			-939
			-928
			-1,300
			-686
			-936
			-3,479

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
65,000 SHS THE CITY OF NE BE	D	2014-10-22	2015-05-19
50,000 SHS TRIBOROUGH BRI BE	D	2014-10-20	2015-05-19
15,552 SHS E V FLOATING RATE A	P	2014-01-15	2015-11-09
271,123 SHS GNMA	P	2008-11-24	2015-03-15
160,000 SHS IBRD	P	2011-03-21	2015-04-30
25,000 SHS TEXTRON INC	P	2010-03-23	2015-01-05
34 HASBRO	P	2013-03-18	2015-07-27
44 CME GROUP	P	2014-04-17	2015-04-07
44 AMERICAN WATER	P	2014-03-27	2015-10-22
4 CME GROUP	P	2014-03-27	2015-04-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
77,758		78,120	-362
59,677		60,944	-1,267
139,030		149,788	-10,758
73,380		78,188	-4,808
21,163		29,182	-8,019
25,287		25,086	201
2,697		1,429	1,268
4,001		3,535	466
2,571		2,026	545
364		296	68

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-362
			-1,267
			-10,758
			-4,808
			-8,019
			201
			1,268
			466
			545
			68

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
CAPITAL GAINS DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
96,625			96,625

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			96,625

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	Title, and average hours per week **(b)** devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	Expense account, **(e)** other allowances
THELMA R SERENBETZ 695 WEST STREET HARRISON, NY 10528	PRESIDENT & TRUSTEE 0 00	0	0	0
JEAN B SERENBETZ 6 HICKORY DRIVE STAMFORD, CT 06902	SEC'Y/TREAS & TRUSTEE 0 00	0	0	0
WARREN L SERENBETZ JR 165 SIGNAL HILL RD NORTH WILTON, CT 06897	TRUSTEE 0 00	0	0	0
CYNTHIA L SERENBETZ 165 SIGNAL HILL RD NORTH WILTON, CT 06897	VICE PRESIDENT AND TRUSTEE 0 00	0	0	0
STUART W SERENBETZ 6 HICKORY DRIVE STAMFORD, CT 06902	TRUSTEE 0 00	0	0	0
CHRISTIN E WEBERMAN 29 RIVERFIELD DRIVE FAIRFIELD, CT 06824	TRUSTEE 0 00	0	0	0
WARREN L SERENBETZ III 22509 49TH AVENUE SE BOTHELL, WA 98021	TRUSTEE 0 00	0	0	0
ROBERT C SERENBETZ 344 WEST 14TH STREET APT C4 NEW YORK, NY 10014	TRUSTEE 0 00	0	0	0
W TUCKER SERENBETZ 4150 17TH STREET 24 SAN FRANCISCO, CA 94114	TRUSTEE 0 00	0	0	0
G HUNTER SERENBETZ 344 WEST 14TH STREET APT C4 NEW YORK, NY 10014	TRUSTEE 0 00	0	0	0
M SKYLER SERENBETZ 902 WEST 4TH STREET APT 625 NEW YORK, NY 10014	TRUSTEE 0 00	0	0	0

Form 990PF Part XV Line 1a - List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000).

WARREN L SERENBETZ JR
STUART W SERENBETZ

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
FAMILY AND CHILDREN'S AGENCY INC 9 MOTT AVENUE NORWALK,CT 06850	NONE	PUBLIC CHARITY	FAMILY PROGRAMS AND CHILDCARE	40,000
GEORGE WASHINGTON CARVER COMMUNITY CENTER 7 ACADEMY STREET NORWALK,CT 06850	NONE	PUBLIC CHARITY	FAMILY COMMUNITY CENTERS	31,000
HORIZONS STUDENT ENRICHMENT PROGRAM 545 PONUS RIDGE NEW CANAAN,CT 06840	NONE	PUBLIC CHARITY	EDUCATION- LOW INCOME FAMILIES	85,000
REACH PREP 2777 SUMMER STREET STAMFORD,CT 06905	NONE	PUBLIC CHARITY	EDUCATION-CHILDRENEDUCATION OPPORTUNITY	76,000
RED RHINO FOUNDATION 110 WOODBURY ROAD WATERTOWN,CT 06795	NONE	PUBLIC CHARITY	EDUCATION-UNDERPRIVILEGED CHILDREN	5,000
ST VINCENT'S SERVICESHEARTSHARE 66 BOERUM PLACE BROOKLYN,NY 11201	NONE	PUBLIC CHARITY	EDUCATIONAL AND CHILDCARE	36,000
THE HARLEM ACADEMY 1330 5TH AVE NEW YORK,NY 10026	NONE	PUBLIC CHARITY	CULTURAL AND EDUCATIONAL PROGRAMS	36,000
WATERSIDE SCHOOL(2) 535 FAIRFIELD AVENUE STAMFORD,CT 06902	NONE	PUBLIC CHARITY	EDUCTION-CHILDREN	86,000
Total . . . . ▶ **3a**				395,000

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491315009726

TY 2015 Accounting Fees Schedule

Name: THE SERENBETZ FAMILY FOUNDATIONINC

EIN: 13-3993644

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX RETURN PREPARATION FEES	11,160	6,160		5,000
REGISTERED AGENT FEE	149	75		74

TY 2015 Investments Corporate Bonds Schedule

Name: THE SERENBETZ FAMILY FOUNDATIONINC

EIN: 13-3993644

Name of Bond	End of Year Book Value	End of Year Fair Market Value
AMGEN BONDS	25,142	26,437
GOLDMAN SACHS BONDS	22,380	29,247
ORACLE BONDS	25,001	25,037
TARGET BONDS	25,268	27,248
TEXTRON BONDS	0	0
UNITED HEALTH BONDS	25,080	25,216
AMERICAN EXPRESS BONDS	25,183	25,732
INTL BK FOR RECONSTRUCTION BDS	0	0
PITNEY BOWES BONDS	30,525	31,724
ASSOCIATES CORP BONDS	31,525	33,868
HARTFORD FINL GRP BONDS	31,414	33,210
ANGLOGOLD HOLDINGS BONDS	41,619	35,240
GOLDMAN SACHS BONDS	30,215	33,168
HEWLETT PACKARD BONDS	30,798	29,887
CENTURY LINK BONDS	52,930	48,750
ABN AMRO BANK NV BONDS	53,725	52,513
ING BANK NV BONDS 9/25/2023	52,129	54,427
LEUCADIA NATIONAL BONDS	95,808	92,737
ING BANK NV BONDS 11/21/2023	50,424	51,023

TY 2015 Investments Corporate Stock Schedule

Name: THE SERENBETZ FAMILY FOUNDATIONINC

EIN: 13-3993644

Name of Stock	End of Year Book Value	End of Year Fair Market Value
BRISTOL-MYERS SQUIBB CO	25,498	68,790
XCEL ENERGY INC	9,317	17,955
J HANCOCK PATRIOT PREM DIV FUND(M/S)	182,380	198,781
DEUTSCHE TELEKOM AG	50,139	77,921
VANGUARD DIVIDEND GROWTH FUND	200,000	262,606

TY 2015 Investments Government Obligations Schedule

Name: THE SERENBETZ FAMILY FOUNDATIONINC

EIN: 13-3993644

US Government Securities - End of Year Book Value: 0

US Government Securities - End of Year Fair Market Value: 0

State & Local Government Securities - End of Year Book Value: 0

State & Local Government Securities - End of Year Fair Market Value: 0

TY 2015 Investments - Other Schedule

Name: THE SERENBETZ FAMILY FOUNDATIONINC

EIN: 13-3993644

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
VANGUARD REIT INDEX FUND	FMV	274,622	351,658
VANGUARD SMALL-CAP VALUE IDX FD	FMV	91,849	175,881
T R PRICE CAPITAL APP FUND(V/B)	FMV	300,070	369,598
VANGUARD INT'L VALUE FUND	FMV	350,000	291,081
VANGUARD SHORT TERM INVESTMENT GRADE FUND	FMV	300,000	301,681
VANGUARD TOTAL STOCK MARKET FUND	FMV	100,000	173,750
VANGUARD WELLINGTON ADVANTAGE FUND	FMV	425,000	480,170
VANGUARD DEVELOPING MKTS INDEX FD	FMV	175,000	154,912
ALTERNATIVE INVESTMENT PARTNERS ABSOLUTE RETURN FUND	FMV	265,567	254,039
VANGUARD EQUITY INCOME FUND	FMV	596,000	854,858
LORD ABBOTT SHT DURATION TST	FMV	231,161	219,925
OPPENHEIMER INTL BOND FD CLASS A	FMV	321,872	265,923
T R PRICE EQUITY INCOME FUND(V/B)	FMV	100,000	128,654
RECEIVABLE FROM MORGAN STANLEY	FMV	1,154	1,154
VANGUARD INFORMATION TECHNOLOGY FUND	FMV	199,394	357,357
LONDON COMPANY ADVISORS(M/S)	FMV	368,558	369,923
MILLER HOWARD ADVISORS(M/S)	FMV	284,298	259,532
TWEEDY BROWNE GLOBAL FD(V/B)	FMV	300,150	290,046
VIRTUS INSIGHT EMG MKTS FD	FMV	330,448	277,968
E V FLOATING RATE FD	FMV	0	0
VANGUARD HEALTH CARE	AT COST	94,247	94,247
VANGUARD SELECT VALUE FUND	AT COST	60,886	60,886
BANK OF INDIA CD	AT COST	240,000	240,000
BMO HARRIS BANK CD	AT COST	240,000	240,000
UBS ALERIAN	AT COST	200,300	196,200
SKYBRIDGE MULTI -ADSR HF	AT COST	406,000	374,144
IRONWOOD MULTI STRAT	AT COST	406,000	391,418

TY 2015 Other Assets Schedule

Name: THE SERENBETZ FAMILY FOUNDATIONINC

EIN: 13-3993644

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
CONTRIBUTION RECEIVABLE	0	520,071	520,071

TY 2015 Other Expenses Schedule

Name: THE SERENBETZ FAMILY FOUNDATIONINC

EIN: 13-3993644

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
STATE FILING FEES	310	0		310
OFFICE EXPENSES	307	0		307
BUSINESS MEETINGS EXPENSE	1,300	650		650
ADVISORY FEES-M/S THE LONDON COMPANY	3,635	3,635		0
ADVISORY FEES-M/S MILLER HOWARD	2,297	2,297		0

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TY 2015 Other Income Schedule

Name: THE SERENBETZ FAMILY FOUNDATIONINC

EIN: 13-3993644

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
AIP ABSOLUTE RETURN FUND STS	8,053	8,053	8,053

TY 2015 Substantial Contributors Schedule

Name: THE SERENBETZ FAMILY FOUNDATIONINC

EIN: 13-3993644

Name	Address
THELMA R SERENBETZ REVOCABLE TRUST	695 WEST STREET HARRISON,NY 10528
WARREN L SERENBETZ JR	165 SIGNAL HILL ROAD WILTON,CT 06897
STUART W SERENBETZ	6 HICKORY DRIVE STAMFORD,CT 06902
MS MARY G MILINSKY	685 SPRING ST 180 FRIDAY HARBO,WA 98250
ESTATE OF WARREN L SERENBETZ	695 WEST STREET HARRISON,NY 10528
INTERPOOL INC	211 COLLEGE ROAD EAST PRINCETON,NJ 08540

TY 2015 Taxes Schedule

Name: THE SERENBETZ FAMILY FOUNDATIONINC

EIN: 13-3993644

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL EXCISE TAXES	8,163	0		0
FOREIGN TAXES IMPOSED ON DIVIDEND INCOME	1,521	1,521		0

Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www irs gov/form990

OMB No 1545-0047

2015

Name of the organization
THE SERENBETZ FAMILY FOUNDATIONINC

Employer identification number
13-3993644

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☑ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or other property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the $33^1/3$% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling $5,000 or more during the year . . . . ▶ $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization	Employer identification number
THE SERENBETZ FAMILY FOUNDATIONINC	13-3993644

Part I **Contributors** (see Instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
	See Additional Data Table	$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)

Name of organization	Employer identification number
THE SERENBETZ FAMILY FOUNDATIONINC	13-3993644

Part II **Noncash Property**

(see instructions) Use duplicate copies of Part II if additional space is needed

(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	MUNICIPAL BONDS AS PART OF DONATION	$ 833 275	2015-01-29

(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
8	VANGUARD HEALTH FUND	$ 94 247	2015-12-18

(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
9	VANGUARD SELECT FUND	$ 60 886	2015-12-18

(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of organization
THE SERENBETZ FAMILY FOUNDATIONINC

Employer identification number
13-3993644

Part III *Exclusively* **religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of** ***exclusively*** **religious, charitable, etc., contributions of $1,000 or less for the year. (Enter this information once. See instructions.) ▶ $**
Use duplicate copies of Part III if additional space is needed

(a) No.from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-			

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No.from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-			

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No.from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-			

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No.from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-			

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

Additional Data

Software ID:
Software Version:
EIN: 13-3993644
Name: THE SERENBETZ FAMILY FOUNDATIONINC

Form 990 Schedule B, Part I - Contributors (see Instructions) Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	THELMA R SERENBETZ REVOCABLE TRUST 695 WEST STREET HARRISON, NY 10528	$ 833,275	Person ☐ Payroll ☐ Noncash ☑ (Complete Part II for noncash contribution)
2	MS MARY G MILINSKY 685 SPRING ST 180 FRIDAY HARBOR, WA 98250	$ 300	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)
3	WARREN L SERENBETZ JR 165 SIGNAL HILL ROAD WILTON, CT 06897	$ 10,000	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)
4	INTERPOOL INC 211 COLLEGE ROAD EAST PRINCETON, NJ 08540	$ 1,000	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)
5	STUART JEAN SERENBETZ 6 HICKORY DRIVE STAMFORD, CT 06902	$ 20,000	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)
6	ESTATE OF WARREN L SERENBETZ 695 WEST STREET HARRISON, NY 10528	$ 520,071	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)

Form 990 Schedule B, Part I - Contributors (see Instructions) Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
7	THELMA R SERENBETZ REVOCABLE TRUST 695 WEST STREET HARRISON, NY 10528	$ 35,728	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)
8	ESTATE OF WARREN L SERENBETZ 695 WEST STREET HARRISON, NY 10528	$ 94,247	Person ☐ Payroll ☐ Noncash ☑ (Complete Part II for noncash contribution)
9	ESTATE OF WARREN L SERENBETZ 695 WEST STREET HARRISON, NY 10528	$ 60,886	Person ☐ Payroll ☐ Noncash ☑ (Complete Part II for noncash contribution)