

For calendar year 2015, or tax year beginning 01-01-2015, and ending 12-31-2015

Name of foundation BULOVA GALE FOUNDATION		<b>A Employer identification number</b> 13-3866091	
Number and street (or P O box number if mail is not delivered to street address) C/O DAVID L ORENSTEIN - 75 NORTH ST		Room/suite	
City or town, state or province, country, and ZIP or foreign postal code PITTSFIELD, MA 01201		<b>B</b> Telephone number (see instructions) (413) 447-1900	
<b>G</b> Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		<b>C</b> If exemption application is pending, check here <input type="checkbox"/> <b>D 1.</b> Foreign organizations, check here <input type="checkbox"/> <b>2.</b> Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> <b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> <b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
<b>H</b> Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation			
<b>I</b> Fair market value of all assets at end of year (from Part II, col (c), line 16) <b>\$</b> 2,455,316		<b>J</b> Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis )	

Part I	Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )	Revenue and expenses per books (a)	Net investment income (b)	Adjusted net income (c)	Disbursements for charitable purposes (d) (cash basis only)
Revenue	<b>1</b> Contributions, gifts, grants, etc , received (attach schedule) . . . . .				
	<b>2</b> Check <input checked="" type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B . . . . .				
	<b>3</b> Interest on savings and temporary cash investments				
	<b>4</b> Dividends and interest from securities . . . . .	148,418	148,418	148,418	
	<b>5a</b> Gross rents . . . . .				
	<b>b</b> Net rental income or (loss) _____				
	<b>6a</b> Net gain or (loss) from sale of assets not on line 10 _____	59,277			
	<b>b</b> Gross sales price for all assets on line 6a _____ 1,715,296				
	<b>7</b> Capital gain net income (from Part IV , line 2) . . . .		59,277		
	<b>8</b> Net short-term capital gain . . . . .			11,325	
	<b>9</b> Income modifications . . . . .				
	<b>10a</b> Gross sales less returns and allowances				
Operating and Administrative Expenses	<b>b</b> Less Cost of goods sold . . . . .				
	<b>c</b> Gross profit or (loss) (attach schedule) . . . . .				
	<b>11</b> Other income (attach schedule) . . . . .				
	<b>12 Total.</b> Add lines 1 through 11 . . . . .	207,695	207,695	159,743	
	<b>13</b> Compensation of officers, directors, trustees, etc				
	<b>14</b> Other employee salaries and wages . . . . .				
	<b>15</b> Pension plans, employee benefits . . . . .				
	<b>16a</b> Legal fees (attach schedule). . . . .				
	<b>b</b> Accounting fees (attach schedule). . . . .	6,039	4,026		2,013
	<b>c</b> Other professional fees (attach schedule) . . . . .	20,912	20,912		
	<b>17</b> Interest . . . . .				
	<b>18</b> Taxes (attach schedule) (see instructions) . . . .	4,777	4,777		
	<b>19</b> Depreciation (attach schedule) and depletion . . .				
	<b>20</b> Occupancy . . . . .				
	<b>21</b> Travel, conferences, and meetings. . . . .				
	<b>22</b> Printing and publications . . . . .				
	<b>23</b> Other expenses (attach schedule). . . . .	111	111		
	<b>24 Total operating and administrative expenses.</b> Add lines 13 through 23 . . . . .	31,839	29,826		2,013
	<b>25</b> Contributions, gifts, grants paid . . . . .	135,000			135,000
	<b>26 Total expenses and disbursements.</b> Add lines 24 and 25	166,839	29,826		137,013
	<b>27</b> Subtract line 26 from line 12				
	<b>a Excess of revenue over expenses and disbursements</b>	40,856			
	<b>b Net investment income</b> (if negative, enter -0- )		177,869		
	<b>c Adjusted net income</b> (if negative, enter -0- ) . . . .			159,743	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing . . . . .	39,126	107,672	107,672
	2	Savings and temporary cash investments . . . . .			
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable . . . . .			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions). . . . .			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use . . . . .			
	9	Prepaid expenses and deferred charges . . . . .			
	10a	Investments—U S and state government obligations (attach schedule)	8,504	8,481	9,135
	b	Investments—corporate stock (attach schedule) . . . . .	685,137	579,601	424,704
	c	Investments—corporate bonds (attach schedule) . . . . .	26,730	26,678	30,608
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans . . . . .			
	13	Investments—other (attach schedule) . . . . .	2,111,854	2,189,775	1,883,197
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets(to be completed by all filers—see the instructions Also, see page 1, item I)	2,871,351	2,912,207	2,455,316	
Liabilities	17	Accounts payable and accrued expenses . . . . .			
	18	Grants payable . . . . .			
	19	Deferred revenue . . . . .			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule). . . . .			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities(add lines 17 through 22) . . . . .		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted . . . . .	2,871,351	2,912,207	
	25	Temporarily restricted . . . . .			
	26	Permanently restricted . . . . .			
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds . . . . .			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances(see instructions) . . . . .	2,871,351	2,912,207	
	31	Total liabilities and net assets/fund balances(see instructions) . .	2,871,351	2,912,207	

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	1	2,871,351
2	Enter amount from Part I, line 27a . . . . .	2	40,856
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3 . . . . .	4	2,912,207
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	2,912,207

Part IV

Capital Gains and Losses for Tax on Investment Income

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )		How acquired P—Purchase (b) D—Donation	Date acquired (c) (mo , day, yr )	Date sold (d) (mo , day, yr )
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
a	See Additional Data Table		
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
a	See Additional Data Table		
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	59,277
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)  If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	11,325

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )  
If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No  
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2014	142,263	2,789,776	0 05099
2013	137,773	2,827,545	0 04873
2012	137,895	2,672,686	0 05159
2011	135,917	2,759,177	0 04926
2010	114,662	2,585,802	0 04434

2	Total of line 1, column (d).	2	0 244916
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 048983
4	Enter the net value of noncharitable-use assets for 2015 from Part X, line 5.	4	2,676,187
5	Multiply line 4 by line 3.	5	131,088
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	1,779
7	Add lines 5 and 6.	7	132,867
8	Enter qualifying distributions from Part XII, line 4.	8	137,013

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See  
the Part VI instructions

Part VII

Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

<b>1a</b> Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ <b>(attach copy of letter if necessary—see instructions)</b>			
<b>b</b> Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b . . . . .		<b>1</b>	1,779
<b>c</b> All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
<b>2</b> Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		<b>2</b>	
<b>3</b> Add lines 1 and 2. . . . .		<b>3</b>	1,779
<b>4</b> Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		<b>4</b>	
<b>5 Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0- . . . . .		<b>5</b>	1,779
<b>6 Credits/Payments</b>			
<b>a</b> 2015 estimated tax payments and 2014 overpayment credited to 2015	<b>6a</b>		
<b>b</b> Exempt foreign organizations—tax withheld at source . . . . .	<b>6b</b>		
<b>c</b> Tax paid with application for extension of time to file (Form 8868). . . . .	<b>6c</b>		
<b>d</b> Backup withholding erroneously withheld . . . . .	<b>6d</b>		
<b>7</b> Total credits and payments. Add lines 6a through 6d. . . . .		<b>7</b>	
<b>8</b> Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached		<b>8</b>	42
<b>9 Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> . . . . . <b>▶</b>		<b>9</b>	1,821
<b>10 Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> . . . . . <b>▶</b>		<b>10</b>	
<b>11</b> Enter the amount of line 10 to be <b>Credited to 2015 estimated tax</b> <b>▶</b> <b>Refunded</b> <b>▶</b>		<b>11</b>	

Part VII-A

Statements Regarding Activities

<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .		<b>Yes</b>	<b>No</b>
<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? . . . . . <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>			<b>No</b>
<b>c</b> Did the foundation file <b>Form 1120-POL</b> for this year? . . . . .			<b>No</b>
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year <b>(1)</b> On the foundation <b>▶</b> \$ _____ <b>(2)</b> On foundation managers <b>▶</b> \$ _____			
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <b>▶</b> \$ _____			
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . <i>If "Yes," attach a detailed description of the activities</i>	<b>2</b>		<b>No</b>
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i> . . . . .	<b>3</b>		<b>No</b>
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .	<b>4a</b>		<b>No</b>
<b>b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year? . . . . .	<b>4b</b>		<b>No</b>
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . <i>If "Yes," attach the statement required by General Instruction T</i>	<b>5</b>		<b>No</b>
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	<b>6</b>		<b>No</b>
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i> . . . . .	<b>7</b>	<b>Yes</b>	
<b>8a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) <b>▶</b> _____			
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	<b>8b</b>	<b>Yes</b>	
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i> . . . . .	<b>9</b>		<b>No</b>
<b>10</b> Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i> . . . . .	<b>10</b>		<b>No</b>

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	13	Yes	
14	The books are in care of ▶ DAVID L ORENSTEIN Telephone no ▶ (413) 447-1900 Located at ▶ 75 NORTH STREET PITTSFIELD MA ZIP+4 ▶ 01201			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here . . . . . ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year . . . . . ▶ 15	15		
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶	16	Yes No	No No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here. ▶ <input type="checkbox"/>	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions ).	2b		No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015 ).	3b		No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b		No

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)**

<b>5a</b> During the year did the foundation pay or incur any amount to				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions).	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>b</b> If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		<b>5b</b>		<b>No</b>
Organizations relying on a current notice regarding disaster assistance check here. <span style="float: right;">▶</span>	<input type="checkbox"/>			
<b>c</b> If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<i>If "Yes," attach the statement required by Regulations section 53.4945–5(d)</i>				
<b>6a</b> Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>b</b> Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		<b>6b</b>		<b>No</b>
<i>If "Yes" to 6b, file Form 8870</i>				
<b>7a</b> At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>b</b> If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		<b>7b</b>		<b>No</b>

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
PETER GALE 214 DAWSON ROAD HILLSDALE, NY 12529	Vice President 0 50	0		
LYNN GALE 8167 NICE WAY SARASOTA, FL 34238	President 0 50	0		
BARBARA MAISEL 4894 bradshaw COURT SAN DIEGO, CA 92140	SECY/TREAS 0 50	0		
DAVID L ORENSTEIN 75 NORTH STREET PITTSFIELD, MA 01201	CPA 0 00	0		

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	Contributions to employee benefit plans and deferred compensation (d)	Expense account, (e) other allowances
NONE				

**Total** number of other employees paid over \$50,000. ▶

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services. . . . . ▶

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3 . . . . . ▶

**Part X Minimum Investment Return**

(All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
<b>a</b>	Average monthly fair market value of securities. . . . .	<b>1a</b>	2,543,409
<b>b</b>	Average of monthly cash balances. . . . .	<b>1b</b>	173,532
<b>c</b>	Fair market value of all other assets (see instructions). . . . .	<b>1c</b>	0
<b>d</b>	<b>Total</b> (add lines 1a, b, and c). . . . .	<b>1d</b>	2,716,941
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	<b>1e</b>	0
<b>2</b>	Acquisition indebtedness applicable to line 1 assets. . . . .	<b>2</b>	
<b>3</b>	Subtract line 2 from line 1d. . . . .	<b>3</b>	2,716,941
<b>4</b>	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	<b>4</b>	40,754
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3 Enter here and on Part V, line 4	<b>5</b>	2,676,187
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5. . . . .	<b>6</b>	133,809

**Part XI Distributable Amount**(see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6. . . . .	<b>1</b>	133,809
<b>2a</b>	Tax on investment income for 2015 from Part VI, line 5. . . . .	<b>2a</b>	1,779
<b>b</b>	Income tax for 2015 (This does not include the tax from Part VI ). . . . .	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b. . . . .	<b>2c</b>	1,779
<b>3</b>	Distributable amount before adjustments Subtract line 2c from line 1. . . . .	<b>3</b>	132,030
<b>4</b>	Recoveries of amounts treated as qualifying distributions. . . . .	<b>4</b>	
<b>5</b>	Add lines 3 and 4. . . . .	<b>5</b>	132,030
<b>6</b>	Deduction from distributable amount (see instructions). . . . .	<b>6</b>	
<b>7</b>	<b>Distributable amount</b> as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . . .	<b>7</b>	132,030

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
<b>a</b>	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. . . . .	<b>1a</b>	137,013
<b>b</b>	Program-related investments—total from Part IX-B. . . . .	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. . . . .	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required). . . . .	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule). . . . .	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	137,013
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions). . . . .	<b>5</b>	1,779
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4. . . . .	<b>6</b>	135,234

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years



Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				132,030
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only. . . . .			130,840	
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2015				
a From 2010. . . . .				
b From 2011. . . . .				689
c From 2012. . . . .				6,564
d From 2013. . . . .				189
e From 2014. . . . .				7,129
f Total of lines 3a through e. . . . .	14,571			
4 Qualifying distributions for 2015 from Part XII, line 4 ► \$ 137,013				
a Applied to 2014, but not more than line 2a			130,840	
b Applied to undistributed income of prior years (Election required—see instructions). . . . .				
c Treated as distributions out of corpus (Election required—see instructions). . . . .	0			
d Applied to 2015 distributable amount. . . . .				6,173
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a) )	14,571			14,571
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b. . . . .				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .				
d Subtract line 6c from line 6b Taxable amount—see instructions . . . . .				
e Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015 . . . . .				111,286
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . .				
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions). . .				
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a . . . . .				
10 Analysis of line 9				
a Excess from 2011. . . .				
b Excess from 2012. . . .				
c Excess from 2013. . . .				
d Excess from 2014. . . .				
e Excess from 2015. . . .				

## Part XIV

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**b** Check box to indicate whether the organization

Tax year	Prior 3 years			(e) Total
(a) 2015	(b) 2014	(c) 2013	(d) 2012	

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## Part XV

**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number or email address of the person to whom applications should be addressed

**b** The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information(continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient		If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)					
<b>a</b> <i>Paid during the year</i> See Additional Data Table					
<b>Total . . . . .</b>				<b>▶ 3a</b>	135,000
<b>b</b> <i>Approved for future payment</i>					
<b>Total . . . . .</b>				<b>▶ 3b</b>	

Enter gross amounts unless otherwise indicated

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

<b>1</b> Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			<b>Yes</b>	<b>No</b>
<b>a</b> Transfers from the reporting foundation to a noncharitable exempt organization of				
<b>(1)</b> Cash.		<b>1a(1)</b>		<b>No</b>
<b>(2)</b> Other assets.		<b>1a(2)</b>		<b>No</b>
<b>b</b> Other transactions				
<b>(1)</b> Sales of assets to a noncharitable exempt organization.		<b>1b(1)</b>		<b>No</b>
<b>(2)</b> Purchases of assets from a noncharitable exempt organization.		<b>1b(2)</b>		<b>No</b>
<b>(3)</b> Rental of facilities, equipment, or other assets.		<b>1b(3)</b>		<b>No</b>
<b>(4)</b> Reimbursement arrangements.		<b>1b(4)</b>		<b>No</b>
<b>(5)</b> Loans or loan guarantees.		<b>1b(5)</b>		<b>No</b>
<b>(6)</b> Performance of services or membership or fundraising solicitations.		<b>1b(6)</b>		<b>No</b>
<b>c</b> Sharing of facilities, equipment, mailing lists, other assets, or paid employees.		<b>1c</b>		<b>No</b>
<b>d</b> If the answer to any of the above is "Yes," complete the following schedule. Column <b>(b)</b> should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column <b>(d)</b> the value of the goods, other assets, or services received				

[illegible]

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? . . . . . ☐ Yes  
☒ No

b If "Yes," complete the following schedule		
(a) Name of organization	(b) Type of organization	(c) Description of relationship

<b>Sign Here</b>	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.		
	<div style="text-align: center;">*****</div> <hr style="border: 0; border-top: 1px solid black; margin: 5px 0;"/> Signature of officer or trustee	<div style="text-align: center;">2016-11-11</div> <hr style="border: 0; border-top: 1px solid black; margin: 5px 0;"/> Date	<div style="text-align: center;">*****</div> <hr style="border: 0; border-top: 1px solid black; margin: 5px 0;"/> Title

May the IRS discuss this return with the preparer shown below  
 (see instr.)? ☐ Yes ☒ No

<b>Paid Preparer Use Only</b>	Print/Type preparer's name David L Orenstein	Preparer's Signature	Date	Check if self-employed <input checked="" type="checkbox"/>	PTIN P00487427
	Firm's name ▶ DAVID L ORENSTEIN CPA			Firm's EIN ▶	
	Firm's address ▶ 75 NORTH ST PITTSFIELD, MA 012015152			Phone no (413) 447-1900	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
61 464 Ab High Income FundCI AdvisorSymbol Agdyx	P	2014-02-03	2015-01-07
53 378 Ab High Income FundCI AdvisorSymbol Agdyx	P	2014-03-03	2015-01-07
53 965 Ab High Income FundCI AdvisorSymbol Agdyx	P	2014-04-01	2015-01-07
51 296 Ab High Income FundCI AdvisorSymbol Agdyx	P	2014-05-01	2015-01-07
54 128 Ab High Income FundCI AdvisorSymbol Agdyx	P	2014-06-02	2015-01-07
50 421 Ab High Income FundCI AdvisorSymbol Agdyx	P	2014-07-01	2015-01-07
55 408 Ab High Income FundCI AdvisorSymbol Agdyx	P	2014-08-01	2015-01-07
42 941 Ab High Income FundCI AdvisorSymbol Agdyx	P	2014-09-02	2015-01-07
40 407 Ab High Income FundCI AdvisorSymbol Agdyx	P	2014-10-01	2015-01-07
47 783 Ab High Income FundCI AdvisorSymbol Agdyx	P	2014-11-03	2015-01-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
549		578	-29
477		509	-32
482		515	-33
459		491	-32
484		522	-38
451		487	-36
495		530	-35
384		414	-30
361		380	-19
427		450	-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-29
			-32
			-33
			-32
			-38
			-36
			-35
			-30
			-19
			-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
42 506 Ab High Income FundCI AdvisorSymbol Agdyx	P	2014-12-01	2015-01-07
137 859 Ab High Income FundCI AdvisorSymbol Agdyx	P	2014-12-10	2015-01-07
78 56 Ab High Income FundCI AdvisorSymbol Agdyx	P	2014-12-10	2015-01-07
49 329 Ab High Income FundCI AdvisorSymbol Agdyx	P	2015-01-02	2015-01-07
77 7405 Allianzgr Conv & Income Fd IIComSymbol Ncz	P	2014-01-13	2015-01-07
74 3849 Allianzgr Conv & Income Fd IIComSymbol Ncz	P	2014-02-11	2015-01-07
73 7658 Allianzgr Conv & Income Fd IIComSymbol Ncz	P	2014-03-12	2015-01-07
72 9405 Allianzgr Conv & Income Fd IIComSymbol Ncz	P	2014-04-07	2015-01-07
73 6011 Allianzgr Conv & Income Fd IIComSymbol Ncz	P	2014-05-06	2015-01-07
73 9686 Allianzgr Conv & Income Fd IIComSymbol Ncz	P	2014-06-09	2015-01-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
380		397	-17
1,232		1,248	-16
702		711	-9
441		442	-1
669		665	4
640		672	-32
635		678	-43
627		685	-58
633		691	-58
636		697	-61

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-17
			-16
			-9
			-1
			4
			-32
			-43
			-58
			-58
			-61

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
73 965 Allianzgi Conv & Income Fd IIComSymbol Ncz	P	2014-07-09	2015-01-07
82 816 Allianzgi Conv & Income Fd IIComSymbol Ncz	P	2014-08-07	2015-01-07
78 5027 Allianzgi Conv & Income Fd IIComSymbol Ncz	P	2014-09-05	2015-01-07
82 406 Allianzgi Conv & Income Fd IIComSymbol Ncz	P	2014-10-13	2015-01-07
84 2071 Allianzgi Conv & Income Fd IIComSymbol Ncz	P	2014-11-10	2015-01-07
88 214 Allianzgi Conv & Income Fd IIComSymbol Ncz	P	2014-12-10	2015-01-07
535 Blackrock Health Sciences TrSymbol Bme	P	2014-09-03	2015-08-18
11 8842 Blackrock Health Sciences TrSymbol Bme	P	2014-10-13	2015-08-18
39 3613 Blackrock Health Sciences TrSymbol Bme	P	2014-11-04	2015-08-18
22 4345 Blackrock Health Sciences TrSymbol Bme	P	2014-11-13	2015-08-18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
636		703	-67
712		710	2
675		717	-42
709		723	-14
724		730	-6
759		732	27
25,317		19,993	5,324
562		457	105
1,863		1,583	280
1,062		959	103

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-67
			2
			-42
			-14
			-6
			27
			5,324
			105
			280
			103



Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
127 6578 Cohen & Steers Quality IncomeRlty Fd IncSymbol Rqi	P	2014-10-06	2015-08-18
112 9092 Cohen & Steers Quality IncomeRlty Fd IncSymbol Rqi	P	2015-01-08	2015-08-18
142 6846 Cohen & Steers Quality IncomeRlty Fd IncSymbol Rqi	P	2015-04-07	2015-08-18
165 1331 Cohen & Steers Quality IncomeRlty Fd IncSymbol Rqi	P	2015-07-13	2015-08-18
0 858 Cohen & Steers Quality IncomeRlty Fd IncSymbol Rqi	P	2015-07-13	2015-08-18
12 6061 General Electric CompanyComSymbol Ge	P	2014-07-25	2015-05-19
12 8977 General Electric CompanyComSymbol Ge	P	2014-10-27	2015-05-19
14 155 General Electric CompanyComSymbol Ge	P	2015-01-26	2015-05-19
12 4162 General Electric CompanyComSymbol Ge	P	2015-04-27	2015-05-19
59 1524 Invesco Senior Income TrustComSymbol Vvr	P	2014-01-07	2015-01-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,479		1,365	114
1,308		1,389	-81
1,653		1,782	-129
1,914		1,806	108
10		9	1
344		326	18
352		329	23
387		347	40
339		334	5
266		300	-34

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			114
			-81
			-129
			108
			1
			18
			23
			40
			5
			-34

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
54 0801 Invesco Senior Income TrustComSymbol Vvr	P	2014-02-06	2015-01-07
55 002 Invesco Senior Income TrustComSymbol Vvr	P	2014-03-05	2015-01-07
55 5265 Invesco Senior Income TrustComSymbol Vvr	P	2014-04-03	2015-01-07
55 7385 Invesco Senior Income TrustComSymbol Vvr	P	2014-05-05	2015-01-07
56 4236 Invesco Senior Income TrustComSymbol Vvr	P	2014-06-04	2015-01-07
52 5988 Invesco Senior Income TrustComSymbol Vvr	P	2014-07-07	2015-01-07
53 7524 Invesco Senior Income TrustComSymbol Vvr	P	2014-08-05	2015-01-07
54 9898 Invesco Senior Income TrustComSymbol Vvr	P	2014-09-04	2015-01-07
56 5254 Invesco Senior Income TrustComSymbol Vvr	P	2014-10-02	2015-01-07
57 1196 Invesco Senior Income TrustComSymbol Vvr	P	2014-11-07	2015-01-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
243		277	-34
247		279	-32
249		280	-31
250		282	-32
253		283	-30
236		264	-28
241		266	-25
247		267	-20
254		269	-15
256		270	-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-34
			-32
			-31
			-32
			-30
			-28
			-25
			-20
			-15
			-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
57 7271 Invesco Senior Income TrustComSymbol Vvr	P	2014-12-03	2015-01-07
0 9042 Invesco Senior Income TrustComSymbol Vvr	P	2014-12-03	2015-02-24
395 Ishares China Large Cap EtfSymbol Fxi	P	2015-03-04	2015-06-12
265 Ishares China Large Cap EtfSymbol Fxi	P	2015-03-06	2015-06-12
850 Ishares Msci Poland Capped EtfSymbol Epol	P	2014-07-24	2015-03-03
379 Ishares Msci Poland Capped EtfSymbol Epol	P	2014-11-13	2015-03-03
1533 923 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2014-05-21	2015-05-05
45 832 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2014-05-27	2015-05-05
39 948 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2014-06-24	2015-05-05
33 73 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2014-07-28	2015-05-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
259		267	-8
4		4	
19,387		16,514	2,873
13,006		10,923	2,083
19,768		24,866	-5,098
8,814		10,008	-1,194
24,834		26,000	-1,166
742		775	-33
647		686	-39
546		582	-36

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-8
			2,873
			2,083
			-5,098
			-1,194
			-1,166
			-33
			-39
			-36

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
37 944 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2014-08-26	2015-05-05
34 323 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2014-09-25	2015-05-05
38 731 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2014-10-27	2015-05-05
2974 42 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2014-11-13	2015-05-05
63 063 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2014-11-25	2015-05-05
95 881 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2014-12-17	2015-05-05
34 273 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2014-12-17	2015-05-05
350 68 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2014-12-17	2015-05-05
49 264 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2015-01-29	2015-05-05
55 724 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2015-02-26	2015-05-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
614		650	-36
556		581	-25
627		646	-19
48,156		50,000	-1,844
1,021		1,067	-46
1,552		1,530	22
555		547	8
5,678		5,597	81
798		796	2
902		913	-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-36
			-25
			-19
			-1,844
			-46
			22
			8
			81
			2
			-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
36 267 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2015-03-26	2015-05-05
42 774 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2015-04-24	2015-05-05
6 3437 Pepsico IncSymbol Pep	P	2014-03-31	2015-03-03
6 8903 Pepsico IncSymbol Pep	P	2014-06-30	2015-03-03
125 3755 Pepsico IncSymbol Pep	P	2014-07-24	2015-03-03
0 3228 Pepsico IncSymbol Pep	P	2015-03-31	2015-05-19
299 6245 Pepsico IncSymbol Pep	P	2014-07-24	2015-05-19
3 3226 Pepsico IncSymbol Pep	P	2014-09-30	2015-05-19
3 2862 Pepsico IncSymbol Pep	P	2015-01-07	2015-05-19
1 7667 Pepsico IncSymbol Pep	P	2015-03-31	2015-05-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
587		584	3
693		695	-2
624		530	94
677		616	61
12,323		11,494	829
32		31	1
29,420		27,470	1,950
326		310	16
323		312	11
173		170	3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			3
			-2
			94
			61
			829
			1
			1,950
			16
			11
			3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	Date acquired (c) (mo , day, yr )	(d) Date sold (mo , day, yr )
0 67847 Pjt Partners IncClass A ComSymbol Pjt	P	2014-11-03	2015-10-26
0 9853 Pjt Partners IncClass A ComSymbol Pjt	P	2015-02-17	2015-10-26
16 19298 Pjt Partners IncClass A ComSymbol Pjt	P	2015-03-03	2015-10-26
1 34083 Pjt Partners IncClass A ComSymbol Pjt	P	2015-05-04	2015-10-26
1 23701 Pjt Partners IncClass A ComSymbol Pjt	P	2015-08-03	2015-10-26
5 7955 Procter & Gamble CoSymbol Pg	P	2014-08-15	2015-05-19
5 4305 Procter & Gamble CoSymbol Pg	P	2014-11-17	2015-05-19
5 6446 Procter & Gamble CoSymbol Pg	P	2015-02-17	2015-05-19
4 5579 Procter & Gamble CoSymbol Pg	P	2015-05-15	2015-05-19
0 Uit First Trust Cap StrengthBuy Write Port Ser 17Cusip 30284r559	P	2014-10-08	2015-03-25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
15		3	12
22		4	18
364		62	302
30		5	25
28		5	23
469		475	-6
439		478	-39
456		482	-26
369		368	1
1,441		1,441	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			12
			18
			302
			25
			23
			-6
			-39
			-26
			1

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
0 Uit First Trust Cap StrengthBuy Write Port Ser 17Cusip 30284r559	P	2014-10-08	2015-06-25
13157 Uit First Trust Cap StrengthBuy Write Port Ser 17Cusip 30284r559	P	2014-10-08	2015-08-18
3632 Uit First Trust Multi SectorIncome Port Ser 18 MonthlyCusip 30282m438	P	2014-01-13	2015-01-07
10 9014 Uit First Trust Multi SectorIncome Port Ser 18 MonthlyCusip 30282m438	P	2014-02-25	2015-01-07
13 1948 Uit First Trust Multi SectorIncome Port Ser 18 MonthlyCusip 30282m438	P	2014-03-25	2015-01-07
25 8265 Uit First Trust Multi SectorIncome Port Ser 18 MonthlyCusip 30282m438	P	2014-04-25	2015-01-07
13 1431 Uit First Trust Multi SectorIncome Port Ser 18 MonthlyCusip 30282m438	P	2014-05-27	2015-01-07
13 1585 Uit First Trust Multi SectorIncome Port Ser 18 MonthlyCusip 30282m438	P	2014-06-25	2015-01-07
26 6831 Uit First Trust Multi SectorIncome Port Ser 18 MonthlyCusip 30282m438	P	2014-07-25	2015-01-07
15 0428 Uit First Trust Multi SectorIncome Port Ser 18 MonthlyCusip 30282m438	P	2014-08-25	2015-01-07

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,439		1,439	
122,042		120,692	1,350
33,877		33,694	183
102		103	-1
123		126	-3
241		257	-16
123		133	-10
123		139	-16
249		279	-30
140		155	-15

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,350
			183
			-1
			-3
			-16
			-10
			-16
			-30
			-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
35 5096 Uit First Trust Multi SectorIncome Port Ser 18 MonthlyCusip 30282m438	P	2014-09-25	2015-01-07
26 2773 Uit First Trust Multi SectorIncome Port Ser 18 MonthlyCusip 30282m438	P	2014-10-27	2015-01-07
15 7974 Uit First Trust Multi SectorIncome Port Ser 18 MonthlyCusip 30282m438	P	2014-11-25	2015-01-07
13 4655 Uit First Trust Multi SectorIncome Port Ser 18 MonthlyCusip 30282m438	P	2014-12-26	2015-01-07
0 358 Uit First Trust Multi SectorIncome Port Ser 18 MonthlyCusip 30282m438	P	2014-12-26	2015-01-07
10252 Uit First Trust Sabrient BakerDozen Port 2015 Ser MonthlyCusip 30285m534	P	2015-01-14	2015-08-18
10 5265 Uit First Trust Sabrient BakerDozen Port 2015 Ser MonthlyCusip 30285m534	P	2015-03-25	2015-08-18
11 6122 Uit First Trust Sabrient BakerDozen Port 2015 Ser MonthlyCusip 30285m534	P	2015-06-25	2015-08-18
24 8613 Uit First Trust Sabrient BakerDozen Port 2015 Ser MonthlyCusip 30285m534	P	2015-07-27	2015-08-18
0 665 Uit First Trust Sabrient BakerDozen Port 2015 Ser MonthlyCusip 30285m534	P	2015-07-27	2015-08-18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
331		348	-17
245		252	-7
147		154	-7
126		127	-1
3		3	
108,016		100,008	8,008
111		114	-3
122		125	-3
262		260	2
7		7	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-17
			-7
			-7
			-1
			8,008
			-3
			-3
			2



Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	Date acquired (c) (mo , day, yr )	(d) Date sold (mo , day, yr )
12 3544 Uit First Trust Smid High DiviPort Ser 11 Monthly Fee AcctCusip 30282m339	P	2014-08-25	2015-08-18
23 0112 Uit First Trust Smid High DiviPort Ser 11 Monthly Fee AcctCusip 30282m339	P	2014-09-25	2015-08-18
20 3966 Uit First Trust Smid High DiviPort Ser 11 Monthly Fee AcctCusip 30282m339	P	2014-10-27	2015-08-18
6 9418 Uit First Trust Smid High DiviPort Ser 11 Monthly Fee AcctCusip 30282m339	P	2014-11-25	2015-08-18
20 9616 Uit First Trust Smid High DiviPort Ser 11 Monthly Fee AcctCusip 30282m339	P	2014-12-26	2015-08-18
23 3805 Uit First Trust Smid High DiviPort Ser 11 Monthly Fee AcctCusip 30282m339	P	2015-01-26	2015-08-18
26 1168 Uit First Trust Smid High DiviPort Ser 11 Monthly Fee AcctCusip 30282m339	P	2015-03-25	2015-08-18
22 0803 Uit First Trust Smid High DiviPort Ser 11 Monthly Fee AcctCusip 30282m339	P	2015-04-27	2015-08-18
23 0086 Uit First Trust Smid High DiviPort Ser 11 Monthly Fee AcctCusip 30282m339	P	2015-06-25	2015-08-18
23 2424 Uit First Trust Smid High DiviPort Ser 11 Monthly Fee AcctCusip 30282m339	P	2015-07-27	2015-08-18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
120		122	-2
223		224	-1
198		192	6
67		68	-1
203		205	-2
226		221	5
253		258	-5
214		218	-4
223		230	-7
225		228	-3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-2
			-1
			6
			-1
			-2
			5
			-5
			-4
			-7
			-3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
0 0444 Uit First Trust Smid High DiviPort Ser 11 Monthly Fee AcctCusip 30282m339	P	2015-09-25	2015-10-29
0 0878 Uit First Trust Target DividBlend Port Ser MonthlyCusip 30283t424	P	2015-04-27	2015-05-19
10442 Uit First Trust Target DividBlend Port Ser MonthlyCusip 30283t424	P	2014-05-20	2015-05-19
26 0777 Uit First Trust Target DividBlend Port Ser MonthlyCusip 30283t424	P	2014-06-25	2015-05-19
46 9493 Uit First Trust Target DividBlend Port Ser MonthlyCusip 30283t424	P	2014-07-25	2015-05-19
42 0973 Uit First Trust Target DividBlend Port Ser MonthlyCusip 30283t424	P	2014-08-25	2015-05-19
36 8161 Uit First Trust Target DividBlend Port Ser MonthlyCusip 30283t424	P	2014-09-25	2015-05-19
44 0764 Uit First Trust Target DividBlend Port Ser MonthlyCusip 30283t424	P	2014-10-27	2015-05-19
24 1095 Uit First Trust Target DividBlend Port Ser MonthlyCusip 30283t424	P	2014-11-25	2015-05-19
92 4359 Uit First Trust Target DividBlend Port Ser MonthlyCusip 30283t424	P	2014-12-26	2015-05-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1		1	
101,333		100,001	1,332
253		253	
456		467	-11
409		415	-6
357		356	1
428		408	20
234		236	-2
897		903	-6

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,332
			-11
			-6
			1
			20
			-2
			-6

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
12 1274 Uit First Trust Target DividBlend Port Ser MonthlyCusip 30283t424	P	2015-01-08	2015-05-19
37 3988 Uit First Trust Target DividBlend Port Ser MonthlyCusip 30283t424	P	2015-01-26	2015-05-19
26 1385 Uit First Trust Target DividBlend Port Ser MonthlyCusip 30283t424	P	2015-02-25	2015-05-19
35 5311 Uit First Trust Target DividBlend Port Ser MonthlyCusip 30283t424	P	2015-03-25	2015-05-19
40 242 Uit First Trust Target DividBlend Port Ser MonthlyCusip 30283t424	P	2015-04-27	2015-05-19
0 Uit First Trust Target DividBlend Port Ser MonthlyCusip 30283t424	P	2015-05-26	2015-06-25
0 Uit First Trust Target DividBlend Port Ser MonthlyCusip 30283t424	P	2015-05-26	2015-06-25
0 Uit First Trust Target DividBlend Port Ser MonthlyCusip 30283t424	P	2015-05-26	2015-06-25
0 Uit First Trust Target DividBlend Port Ser MonthlyCusip 30283t424	P	2015-06-25	2015-07-25
0 Uit First Trust Target DividBlend Port Ser MonthlyCusip 30283t424	P	2015-05-26	2015-07-25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
118		115	3
363		357	6
254		258	-4
345		347	-2
391		395	-4
2		2	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			3
			6
			-4
			-2
			-4

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
0 Uit First Trust Target DividBlend Port Ser MonthlyCusip 30283t424	P	2015-05-26	2015-07-25
0 Uit First Trust Target DividBlend Port Ser MonthlyCusip 30283t424	P	2015-05-26	2015-07-25
0 Uit First Trust Target DividBlend Port Ser MonthlyCusip 30283t424	P	2015-05-26	2015-07-25
0 Uit First Trust Target DividBlend Port Ser MonthlyCusip 30283t424	P	2015-05-26	2015-07-25
0 0878 Uit First Trust Target DividBlend Port Ser MonthlyCusip 30283t424	P	2015-05-26	2015-08-12
0 3179 Uit First Trust Target DividBlend Port Ser MonthlyCusip 30283t424	P	2015-06-25	2015-08-12
0 Uit First Trust TechnologySelect Port Ser 54 Semi AnnualCusip 30284y232	P	2015-06-25	2015-12-25
0 Uit First Trust Technology SelPort Ser 50 Semi Annual FeeCusip 30282l588	P	2014-06-25	2015-06-25
0 Uit First Trust Technology SelPort Ser 50 Semi Annual FeeCusip 30282l588	P	2014-12-26	2015-06-25
107 5046 Uit First Trust Technology SelPort Ser 50 Semi Annual FeeCusip 30282l588	P	2014-12-26	2015-08-18

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3		3	
1		1	
3		3	
1,325		1,361	-36

[illegible]

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
26 5338 Uit First Trust Technology SelPort Ser 50 Semi Annual FeeCusip 30282I588	P	2015-06-25	2015-08-18
0 2384 Uit First Trust Technology SelPort Ser 50 Semi Annual FeeCusip 30282I588	P	2015-06-25	2015-08-18
0 Uit Guggenheim Defined PortflsSer 1 Technological InnovationCusip 40168h650	P	2014-09-25	2015-01-25
0 Uit Guggenheim Defined PortflsSer 1 Technological InnovationCusip 40168h650	P	2014-02-25	2015-01-25
0 Uit Guggenheim Defined PortflsSer 1 Technological InnovationCusip 40168h650	P	2014-11-25	2015-01-25
0 Uit Guggenheim Defined PortflsSer 1 Technological InnovationCusip 40168h650	P	2014-05-27	2015-01-25
3 4976 Uit Guggenheim Defined PortflsSer 1 Technological InnovationCusip 40168h650	P	2014-09-25	2015-08-18
5 0237 Uit Guggenheim Defined PortflsSer 1 Technological InnovationCusip 40168h650	P	2014-11-25	2015-08-18
86 6759 Uit Guggenheim Defined PortflsSer 1 Technological InnovationCusip 40168h650	P	2015-01-26	2015-08-18
4 0078 Uit Guggenheim Defined PortflsSer 1 Technological InnovationCusip 40168h650	P	2015-03-25	2015-08-18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
327		353	-26
3		3	
1		1	
1		1	
2		2	
2		2	
39		36	3
56		51	5
963		907	56
45		44	1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-26
			3
			5
			56
			1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
3 818 Uit Guggenheim Defined PortflsSer 1 Technological InnovationCusip 40168h650	P	2015-05-26	2015-08-18
0 8082 Uit Guggenheim Defined PortflsSer 1 Technological InnovationCusip 40168h650	P	2015-05-26	2015-08-18
4 3204 Uit Guggenheim Defined PortflsSer 1 Technological InnovationCusip 40168h650	P	2015-08-25	2015-09-28
5654 854 Western Asset Short DurationHigh Income Fund Class ISymbol Shiyx	P	2014-05-20	2015-05-19
36 985 Western Asset Short DurationHigh Income Fund Class ISymbol Shiyx	P	2014-06-02	2015-05-19
68 5 Western Asset Short DurationHigh Income Fund Class ISymbol Shiyx	P	2014-07-01	2015-05-19
74 499 Western Asset Short DurationHigh Income Fund Class ISymbol Shiyx	P	2014-08-01	2015-05-19
77 295 Western Asset Short DurationHigh Income Fund Class ISymbol Shiyx	P	2014-09-02	2015-05-19
69 783 Western Asset Short DurationHigh Income Fund Class ISymbol Shiyx	P	2014-10-01	2015-05-19
82 157 Western Asset Short DurationHigh Income Fund Class ISymbol Shiyx	P	2014-11-03	2015-05-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
42		42	
9		9	
45		46	-1
34,268		36,700	-2,432
224		240	-16
415		445	-30
451		479	-28
468		497	-29
423		440	-17
498		513	-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-1
			-2,432
			-16
			-30
			-28
			-29
			-17
			-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
71 856 Western Asset Short DurationHigh Income Fund Class ISymbol Shiyx	P	2014-12-01	2015-05-19
87 29 Western Asset Short DurationHigh Income Fund Class ISymbol Shiyx	P	2015-01-02	2015-05-19
84 338 Western Asset Short DurationHigh Income Fund Class ISymbol Shiyx	P	2015-02-02	2015-05-19
75 755 Western Asset Short DurationHigh Income Fund Class ISymbol Shiyx	P	2015-03-02	2015-05-19
81 147 Western Asset Short DurationHigh Income Fund Class ISymbol Shiyx	P	2015-04-01	2015-05-19
81 697 Western Asset Short DurationHigh Income Fund Class ISymbol Shiyx	P	2015-05-01	2015-05-19
3476 557 Ab High Income FundCI AdvisorSymbol Agdyx	P	2012-01-26	2015-01-07
45 387 Ab High Income FundCI AdvisorSymbol Agdyx	P	2012-02-21	2015-01-07
61 457 Ab High Income FundCI AdvisorSymbol Agdyx	P	2012-03-21	2015-01-07
71 432 Ab High Income FundCI AdvisorSymbol Agdyx	P	2012-04-23	2015-01-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
435		443	-8
529		523	6
511		497	14
459		457	2
492		485	7
495		493	2
31,080		30,907	173
406		407	-1
549		556	-7
639		641	-2

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-8
			6
			14
			2
			7
			2
			173
			-1
			-7
			-2

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
62 541 Ab High Income FundCI AdvisorSymbol Agdyx	P	2012-05-21	2015-01-07
69 227 Ab High Income FundCI AdvisorSymbol Agdyx	P	2012-07-20	2015-01-07
66 259 Ab High Income FundCI AdvisorSymbol Agdyx	P	2012-08-20	2015-01-07
69 606 Ab High Income FundCI AdvisorSymbol Agdyx	P	2012-09-20	2015-01-07
72 368 Ab High Income FundCI AdvisorSymbol Agdyx	P	2012-10-19	2015-01-07
65 894 Ab High Income FundCI AdvisorSymbol Agdyx	P	2012-11-20	2015-01-07
41 233 Ab High Income FundCI AdvisorSymbol Agdyx	P	2012-12-07	2015-01-07
69 561 Ab High Income FundCI AdvisorSymbol Agdyx	P	2012-12-20	2015-01-07
25 376 Ab High Income FundCI AdvisorSymbol Agdyx	P	2012-12-31	2015-01-07
67 691 Ab High Income FundCI AdvisorSymbol Agdyx	P	2013-01-31	2015-01-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
559		553	6
619		630	-11
592		609	-17
622		654	-32
647		683	-36
589		617	-28
369		390	-21
622		663	-41
227		241	-14
605		650	-45

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			6
			-11
			-17
			-32
			-36
			-28
			-21
			-41
			-14
			-45



Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
64 23 Ab High Income FundCI AdvisorSymbol Agdyx	P	2013-02-28	2015-01-07
69 131 Ab High Income FundCI AdvisorSymbol Agdyx	P	2013-03-28	2015-01-07
38 602 Ab High Income FundCI AdvisorSymbol Agdyx	P	2013-04-30	2015-01-07
41 615 Ab High Income FundCI AdvisorSymbol Agdyx	P	2013-05-31	2015-01-07
38 211 Ab High Income FundCI AdvisorSymbol Agdyx	P	2013-06-28	2015-01-07
30 127 Ab High Income FundCI AdvisorSymbol Agdyx	P	2013-08-01	2015-01-07
44 846 Ab High Income FundCI AdvisorSymbol Agdyx	P	2013-09-03	2015-01-07
37 237 Ab High Income FundCI AdvisorSymbol Agdyx	P	2013-10-01	2015-01-07
41 14 Ab High Income FundCI AdvisorSymbol Agdyx	P	2013-11-01	2015-01-07
3665 966 Ab High Income FundCI AdvisorSymbol Agdyx	P	2013-11-29	2015-01-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
574		617	-43
618		668	-50
345		379	-34
372		405	-33
342		358	-16
269		285	-16
401		418	-17
333		349	-16
368		393	-25
32,774		34,900	-2,126

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-43
			-50
			-34
			-33
			-16
			-16
			-17
			-16
			-25
			-2,126

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
39 271 Ab High Income FundCI AdvisorSymbol Agdyx	P	2013-12-02	2015-01-07
78 949 Ab High Income FundCI AdvisorSymbol Agdyx	P	2013-12-11	2015-01-07
62 341 Ab High Income FundCI AdvisorSymbol Agdyx	P	2013-12-11	2015-01-07
56 735 Ab High Income FundCI AdvisorSymbol Agdyx	P	2014-01-02	2015-01-07
3879 209 Allianzgr Conv & Income Fd IIComSymbol Ncz	P	2007-11-15	2015-01-07
36 092 Allianzgr Conv & Income Fd IIComSymbol Ncz	P	2008-01-11	2015-01-07
595 Allianzgr Conv & Income Fd IIComSymbol Ncz	P	2008-01-25	2015-01-07
58 769 Allianzgr Conv & Income Fd IIComSymbol Ncz	P	2008-01-30	2015-01-07
36 933 Allianzgr Conv & Income Fd IIComSymbol Ncz	P	2008-02-11	2015-01-07
45 569 Allianzgr Conv & Income Fd IIComSymbol Ncz	P	2008-03-12	2015-01-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
351		374	-23
706		741	-35
557		585	-28
507		533	-26
33,372		49,564	-16,192
310		461	-151
5,119		7,475	-2,356
506		757	-251
318		472	-154
392		547	-155

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-23
			-35
			-28
			-26
			-16,192
			-151
			-2,356
			-251
			-154
			-155

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
47 281 Allianzgi Conv & Income Fd IIComSymbol Ncz	P	2008-04-11	2015-01-07
43 74 Allianzgi Conv & Income Fd IIComSymbol Ncz	P	2008-05-13	2015-01-07
48 642 Allianzgi Conv & Income Fd IIComSymbol Ncz	P	2008-07-14	2015-01-07
51 769 Allianzgi Conv & Income Fd IIComSymbol Ncz	P	2008-08-14	2015-01-07
53 572 Allianzgi Conv & Income Fd IIComSymbol Ncz	P	2008-09-11	2015-01-07
81 869 Allianzgi Conv & Income Fd IIComSymbol Ncz	P	2008-10-13	2015-01-07
119 728 Allianzgi Conv & Income Fd IIComSymbol Ncz	P	2009-01-21	2015-01-07
182 488 Allianzgi Conv & Income Fd IIComSymbol Ncz	P	2009-02-02	2015-01-07
87 809 Allianzgi Conv & Income Fd IIComSymbol Ncz	P	2009-02-09	2015-01-07
105 768 Allianzgi Conv & Income Fd IIComSymbol Ncz	P	2009-03-31	2015-01-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
407		552	-145
376		558	-182
418		563	-145
445		569	-124
461		575	-114
704		582	122
1,030		582	448
1,570		867	703
755		423	332
910		456	454

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-145
			-182
			-145
			-124
			-114
			122
			448
			703
			332
			454

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
94 388 Allianzgi Conv & Income Fd IIComSymbol Ncz	P	2009-04-29	2015-01-07
82 251 Allianzgi Conv & Income Fd IIComSymbol Ncz	P	2009-05-12	2015-01-07
66 906 Allianzgi Conv & Income Fd IIComSymbol Ncz	P	2009-08-07	2015-01-07
67 417 Allianzgi Conv & Income Fd IIComSymbol Ncz	P	2009-09-08	2015-01-07
63 133 Allianzgi Conv & Income Fd IIComSymbol Ncz	P	2009-10-02	2015-01-07
62 445 Allianzgi Conv & Income Fd IIComSymbol Ncz	P	2009-11-09	2015-01-07
62 967 Allianzgi Conv & Income Fd IIComSymbol Ncz	P	2009-12-04	2015-01-07
62 388 Allianzgi Conv & Income Fd IIComSymbol Ncz	P	2010-01-06	2015-01-07
85 621 Allianzgi Conv & Income Fd IIComSymbol Ncz	P	2010-01-27	2015-01-07
63 918 Allianzgi Conv & Income Fd IIComSymbol Ncz	P	2010-02-04	2015-01-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
812		465	347
708		465	243
576		480	96
580		486	94
543		492	51
537		497	40
542		502	40
537		508	29
737		702	35
550		513	37

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			347
			243
			96
			94
			51
			40
			40
			29
			35
			37

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
62 12 Allianzgi Conv & Income Fd IIComSymbol Ncz	P	2010-03-04	2015-01-07
59 664 Allianzgi Conv & Income Fd IIComSymbol Ncz	P	2010-04-06	2015-01-07
57 182 Allianzgi Conv & Income Fd IIComSymbol Ncz	P	2010-05-07	2015-01-07
67 162 Allianzgi Conv & Income Fd IIComSymbol Ncz	P	2010-06-08	2015-01-07
68 269 Allianzgi Conv & Income Fd IIComSymbol Ncz	P	2010-07-06	2015-01-07
64 058 Allianzgi Conv & Income Fd IIComSymbol Ncz	P	2010-08-09	2015-01-07
66 748 Allianzgi Conv & Income Fd IIComSymbol Ncz	P	2010-09-20	2015-01-07
63 708 Allianzgi Conv & Income Fd IIComSymbol Ncz	P	2010-10-05	2015-01-07
64 481 Allianzgi Conv & Income Fd IIComSymbol Ncz	P	2010-11-05	2015-01-07
65 383 Allianzgi Conv & Income Fd IIComSymbol Ncz	P	2010-12-06	2015-01-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
534		526	8
513		531	-18
492		536	-44
578		541	37
587		547	40
551		553	-2
574		558	16
548		558	-10
555		569	-14
562		575	-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			8
			-18
			-44
			37
			40
			-2
			16
			-10
			-14
			-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
64 893 Allianzgi Conv & Income Fd IIComSymbol Ncz	P	2010-12-31	2015-01-07
106 54 Allianzgi Conv & Income Fd IIComSymbol Ncz	P	2011-01-12	2015-01-07
63 552 Allianzgi Conv & Income Fd IIComSymbol Ncz	P	2011-02-07	2015-01-07
62 356 Allianzgi Conv & Income Fd IIComSymbol Ncz	P	2011-03-04	2015-01-07
78 964 Allianzgi Conv & Income Fd IIComSymbol Ncz	P	2011-12-06	2015-01-07
78 478 Allianzgi Conv & Income Fd IIComSymbol Ncz	P	2012-01-06	2015-01-07
77 263 Allianzgi Conv & Income Fd IIComSymbol Ncz	P	2012-02-06	2015-01-07
73 798 Allianzgi Conv & Income Fd IIComSymbol Ncz	P	2012-03-06	2015-01-07
74 874 Allianzgi Conv & Income Fd IIComSymbol Ncz	P	2012-04-05	2015-01-07
77 11 Allianzgi Conv & Income Fd IIComSymbol Ncz	P	2012-05-04	2015-01-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
558		580	-22
917		965	-48
547		595	-48
536		600	-64
679		605	74
675		612	63
665		619	46
635		625	10
644		632	12
663		638	25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-22
			-48
			-48
			-64
			74
			63
			46
			10
			12
			25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
86 425 Allianzgi Conv & Income Fd IIComSymbol Ncz	P	2012-06-06	2015-01-07
82 3 Allianzgi Conv & Income Fd IIComSymbol Ncz	P	2012-07-06	2015-01-07
77 4878 Allianzgi Conv & Income Fd IIComSymbol Ncz	P	2013-12-09	2015-01-07
0 6129 Allianzgi Conv & Income Fd IIComSymbol Ncz	P	2014-12-10	2015-01-07
0 2673 Allianzgi Conv & Income Fd IIComSymbol Ncz	P	2015-02-05	2015-02-24
89 3274 Allianzgi Conv & Income Fd IIComSymbol Ncz	P	2015-01-08	2015-02-24
0 6726 Allianzgi Conv & Income Fd IIComSymbol Ncz	P	2015-02-05	2015-02-24
0 6715 Allianzgi Conv & Income Fd IIComSymbol Ncz	P	2015-03-06	2015-05-19
0 2673 Allianzgi Conv & Income Fd IIComSymbol Ncz	P	2015-03-06	2015-05-19
1079 896 American Capital LimitedSymbol Acas	P	2007-11-15	2015-03-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
743		645	98
708		652	56
667		659	8
5		8	-3
2		2	
759		1,124	-365
6		8	-2
5		8	-3
2		3	-1
16,060		43,545	-27,485

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			98
			56
			8
			-3
			-365
			-2
			-3
			-1
			-27,485

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
31 326 American Capital LimitedSymbol Acas	P	2008-04-08	2015-03-03
48 499 American Capital LimitedSymbol Acas	P	2008-07-07	2015-03-03
76 277 American Capital LimitedSymbol Acas	P	2008-10-24	2015-03-03
339 002 American Capital LimitedSymbol Acas	P	2009-06-22	2015-03-03
1600 Blackrock Health Sciences TrSymbol Bme	P	2013-02-08	2015-03-03
780 Blackrock Health Sciences TrSymbol Bme	P	2013-03-27	2015-03-03
25 Blackrock Health Sciences TrSymbol Bme	P	2013-03-27	2015-08-18
19 Blackrock Health Sciences TrSymbol Bme	P	2013-03-28	2015-08-18
165 3673 Blackrock Health Sciences TrSymbol Bme	P	2014-01-07	2015-08-18
31 2829 Blackrock Health Sciences TrSymbol Bme	P	2014-04-02	2015-08-18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
466		1,106	-640
721		1,168	-447
1,134		1,218	-84
5,042		1,092	3,950
67,227		47,984	19,243
32,773		24,294	8,479
1,183		779	404
899		598	301
7,825		5,672	2,153
1,480		1,113	367

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-640
			-447
			-84
			3,950
			19,243
			8,479
			404
			301
			2,153
			367



Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
30 6698 Blackrock Health Sciences TrSymbol Bme	P	2014-07-02	2015-08-18
6600 Cohen & Steers Quality IncomeRlty Fd IncSymbol Rqi	P	2012-10-05	2015-08-18
108 Cohen & Steers Quality IncomeRlty Fd IncSymbol Rqi	P	2013-03-04	2015-08-18
104 Cohen & Steers Quality IncomeRlty Fd IncSymbol Rqi	P	2013-03-28	2015-08-18
128 3606 Cohen & Steers Quality IncomeRlty Fd IncSymbol Rqi	P	2014-01-08	2015-08-18
124 5634 Cohen & Steers Quality IncomeRlty Fd IncSymbol Rqi	P	2014-04-04	2015-08-18
117 6913 Cohen & Steers Quality IncomeRlty Fd IncSymbol Rqi	P	2014-07-08	2015-08-18
0 603 General Electric CompanyComSymbol Ge	P	2015-04-27	2015-05-19
1399 154 General Electric CompanyComSymbol Ge	P	2003-04-30	2015-05-19
12 35 General Electric CompanyComSymbol Ge	P	2012-01-26	2015-05-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,451		1,127	324
76,480		70,025	6,455
1,251		1,189	62
1,205		1,195	10
1,487		1,226	261
1,443		1,319	124
1,364		1,342	22
16		24	-8
38,213		48,576	-10,363
337		238	99

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			324
			6,455
			62
			10
			261
			124
			22
			-8
			-10,363
			99

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
12 327 General Electric CompanyComSymbol Ge	P	2012-04-26	2015-05-19
12 169 General Electric CompanyComSymbol Ge	P	2012-07-25	2015-05-19
22 General Electric CompanyComSymbol Ge	P	2013-03-04	2015-05-19
12 8355 General Electric CompanyComSymbol Ge	P	2014-01-27	2015-05-19
12 0895 General Electric CompanyComSymbol Ge	P	2014-04-25	2015-05-19
960 Indexiq Etf TrIq U S Real Estate Small CapSymbol Roof	P	2013-03-27	2015-09-16
5503 Invesco Senior Income TrustComSymbol Vvr	P	2007-11-15	2015-01-07
1536 998 Invesco Senior Income TrustComSymbol Vvr	P	2007-11-15	2015-01-07
44 775 Invesco Senior Income TrustComSymbol Vvr	P	2008-07-17	2015-01-07
48 005 Invesco Senior Income TrustComSymbol Vvr	P	2008-08-07	2015-01-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
337		240	97
332		242	90
601		509	92
351		321	30
330		324	6
23,155		24,050	-895
24,709		38,969	-14,260
6,902		10,931	-4,029
201		266	-65
216		268	-52

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			97
			90
			92
			30
			6
			-895
			-14,260
			-4,029
			-65
			-52

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
49 395 Invesco Senior Income TrustComSymbol Vvr	P	2008-09-08	2015-01-07
64 954 Invesco Senior Income TrustComSymbol Vvr	P	2008-10-13	2015-01-07
78 954 Invesco Senior Income TrustComSymbol Vvr	P	2008-11-07	2015-01-07
102 309 Invesco Senior Income TrustComSymbol Vvr	P	2008-12-05	2015-01-07
120 949 Invesco Senior Income TrustComSymbol Vvr	P	2009-01-08	2015-01-07
101 676 Invesco Senior Income TrustComSymbol Vvr	P	2009-02-19	2015-01-07
81 683 Invesco Senior Income TrustComSymbol Vvr	P	2009-03-06	2015-01-07
78 045 Invesco Senior Income TrustComSymbol Vvr	P	2009-04-06	2015-01-07
66 822 Invesco Senior Income TrustComSymbol Vvr	P	2009-05-06	2015-01-07
55 595 Invesco Senior Income TrustComSymbol Vvr	P	2009-08-07	2015-01-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
222		269	-47
292		271	21
355		292	63
459		307	152
543		311	232
457		283	174
367		201	166
350		194	156
300		196	104
250		197	53

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-47
			21
			63
			152
			232
			174
			166
			156
			104
			53

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
55 072 Invesco Senior Income TrustComSymbol Vvr	P	2009-09-04	2015-01-07
51 179 Invesco Senior Income TrustComSymbol Vvr	P	2009-10-06	2015-01-07
49 618 Invesco Senior Income TrustComSymbol Vvr	P	2009-11-06	2015-01-07
48 74 Invesco Senior Income TrustComSymbol Vvr	P	2009-12-10	2015-01-07
48 003 Invesco Senior Income TrustComSymbol Vvr	P	2010-01-07	2015-01-07
44 99 Invesco Senior Income TrustComSymbol Vvr	P	2010-02-04	2015-01-07
43 219 Invesco Senior Income TrustComSymbol Vvr	P	2010-03-02	2015-01-07
40 484 Invesco Senior Income TrustComSymbol Vvr	P	2010-04-07	2015-01-07
41 926 Invesco Senior Income TrustComSymbol Vvr	P	2010-05-11	2015-01-07
49 564 Invesco Senior Income TrustComSymbol Vvr	P	2010-06-08	2015-01-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
247		199	48
230		200	30
223		201	22
219		203	16
216		204	12
202		205	-3
194		206	-12
182		207	-25
188		208	-20
223		226	-3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			48
			30
			22
			16
			12
			-3
			-12
			-25
			-20
			-3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
48 05 Invesco Senior Income TrustComSymbol Vvr	P	2010-09-03	2015-01-07
47 325 Invesco Senior Income TrustComSymbol Vvr	P	2010-10-07	2015-01-07
42 918 Invesco Senior Income TrustComSymbol Vvr	P	2010-11-05	2015-01-07
42 555 Invesco Senior Income TrustComSymbol Vvr	P	2010-12-03	2015-01-07
43 74 Invesco Senior Income TrustComSymbol Vvr	P	2011-01-10	2015-01-07
41 018 Invesco Senior Income TrustComSymbol Vvr	P	2011-02-07	2015-01-07
41 123 Invesco Senior Income TrustComSymbol Vvr	P	2011-03-04	2015-01-07
40 754 Invesco Senior Income TrustComSymbol Vvr	P	2011-04-07	2015-01-07
40 296 Invesco Senior Income TrustComSymbol Vvr	P	2011-05-03	2015-01-07
41 212 Invesco Senior Income TrustComSymbol Vvr	P	2011-06-09	2015-01-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
216		219	-3
213		221	-8
193		204	-11
191		205	-14
196		206	-10
184		207	-23
185		208	-23
183		209	-26
181		210	-29
185		211	-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-3
			-8
			-11
			-14
			-10
			-23
			-23
			-26
			-29
			-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
42 012 Invesco Senior Income TrustComSymbol Vvr	P	2011-07-13	2015-01-07
45 039 Invesco Senior Income TrustComSymbol Vvr	P	2011-08-08	2015-01-07
48 702 Invesco Senior Income TrustComSymbol Vvr	P	2011-09-07	2015-01-07
50 301 Invesco Senior Income TrustComSymbol Vvr	P	2011-10-11	2015-01-07
49 158 Invesco Senior Income TrustComSymbol Vvr	P	2011-11-07	2015-01-07
50 468 Invesco Senior Income TrustComSymbol Vvr	P	2011-12-07	2015-01-07
50 535 Invesco Senior Income TrustComSymbol Vvr	P	2012-01-18	2015-01-07
47 543 Invesco Senior Income TrustComSymbol Vvr	P	2012-02-07	2015-01-07
51 786 Invesco Senior Income TrustComSymbol Vvr	P	2012-03-06	2015-01-07
49 783 Invesco Senior Income TrustComSymbol Vvr	P	2012-04-05	2015-01-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
189		212	-23
202		213	-11
219		214	5
226		215	11
221		217	4
227		218	9
227		219	8
213		220	-7
233		244	-11
224		246	-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-23
			-11
			5
			11
			4
			9
			8
			-7
			-11
			-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
50 749 Invesco Senior Income TrustComSymbol Vvr	P	2012-05-04	2015-01-07
52 88 Invesco Senior Income TrustComSymbol Vvr	P	2012-06-06	2015-01-07
51 098 Invesco Senior Income TrustComSymbol Vvr	P	2012-07-06	2015-01-07
250 Invesco Senior Income TrustComSymbol Vvr	P	2013-03-04	2015-01-07
52 Invesco Senior Income TrustComSymbol Vvr	P	2013-03-28	2015-01-07
57 3638 Invesco Senior Income TrustComSymbol Vvr	P	2013-12-06	2015-01-07
0 1063 Invesco Senior Income TrustComSymbol Vvr	P	2015-01-07	2015-02-24
0 4038 Invesco Senior Income TrustComSymbol Vvr	P	2015-02-06	2015-02-24
64 0958 Invesco Senior Income TrustComSymbol Vvr	P	2015-01-07	2015-02-24
0 2788 Invesco Senior Income TrustComSymbol Vvr	P	2015-03-05	2015-10-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
228		247	-19
237		248	-11
229		250	-21
1,123		1,402	-279
234		296	-62
258		298	-40
2		2	
299		462	-163
1		2	-1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-19
			-11
			-21
			-279
			-62
			-40
			-163
			-1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
0 1063 Invesco Senior Income TrustComSymbol Vvr	P	2015-03-05	2015-10-27
2654 213 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2007-11-15	2015-05-05
92 243 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2007-11-30	2015-05-05
15 891 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2008-01-03	2015-05-05
164 254 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2008-01-03	2015-05-05
104 101 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2008-02-01	2015-05-05
94 16 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2008-02-29	2015-05-05
113 94 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2008-03-28	2015-05-05
106 253 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2008-05-02	2015-05-05
106 092 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2008-05-30	2015-05-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
		1	-1
42,972		40,291	2,681
1,493		1,387	106
257		238	19
2,659		2,457	202
1,685		1,553	132
1,524		1,390	134
1,845		1,649	196
1,720		1,561	159
1,718		1,560	158

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1
			2,681
			106
			19
			202
			132
			134
			196
			159
			158



Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
116 768 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2008-06-27	2015-05-05
121 515 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2008-08-01	2015-05-05
117 223 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2008-08-29	2015-05-05
131 996 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2008-09-23	2015-05-05
162 154 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2008-10-28	2015-05-05
163 671 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2008-11-25	2015-05-05
28 59 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2008-12-29	2015-05-05
132 828 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2008-12-29	2015-05-05
274 79 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2008-12-29	2015-05-05
128 268 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2009-01-27	2015-05-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,890		1,675	215
1,967		1,690	277
1,898		1,605	293
2,137		1,677	460
2,625		1,627	998
2,650		1,601	1,049
463		296	167
2,150		1,374	776
4,449		2,842	1,607
2,077		1,372	705

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			215
			277
			293
			460
			998
			1,049
			167
			776
			1,607
			705

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
120 104 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2009-02-26	2015-05-05
128 825 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2009-03-24	2015-05-05
114 147 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2009-04-28	2015-05-05
111 419 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2009-05-27	2015-05-05
108 939 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2009-06-23	2015-05-05
113 03 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2009-07-28	2015-05-05
120 111 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2009-08-25	2015-05-05
102 056 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2009-09-22	2015-05-05
110 818 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2009-10-27	2015-05-05
109 015 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2009-11-24	2015-05-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,944		1,255	689
2,086		1,328	758
1,848		1,230	618
1,804		1,275	529
1,764		1,299	465
1,830		1,403	427
1,945		1,539	406
1,652		1,354	298
1,794		1,493	301
1,765		1,487	278

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			689
			758
			618
			529
			465
			427
			406
			298
			301
			278

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
117 264 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2009-12-22	2015-05-05
115 473 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2010-01-26	2015-05-05
110 076 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2010-02-23	2015-05-05
100 336 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2010-03-23	2015-05-05
103 576 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2010-04-27	2015-05-05
107 476 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2010-05-26	2015-05-05
108 497 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2010-06-22	2015-05-05
117 411 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2010-07-27	2015-05-05
98 123 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2010-08-24	2015-05-05
104 857 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2010-09-21	2015-05-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,899		1,608	291
1,870		1,603	267
1,782		1,526	256
1,624		1,434	190
1,677		1,495	182
1,740		1,483	257
1,757		1,522	235
1,901		1,677	224
1,589		1,403	186
1,698		1,530	168

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			291
			267
			256
			190
			182
			257
			235
			224
			186
			168

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
101 22 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2010-10-26	2015-05-05
105 138 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2010-11-23	2015-05-05
153 563 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2010-12-21	2015-05-05
108 889 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2011-01-25	2015-05-05
110 061 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2011-02-22	2015-05-05
131 814 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2011-11-22	2015-05-05
270 437 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2011-12-20	2015-05-05
76 704 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2012-01-25	2015-05-05
101 379 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2012-02-24	2015-05-05
94 82 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2012-04-24	2015-05-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,639		1,504	135
1,702		1,543	159
2,486		2,242	244
1,763		1,627	136
1,782		1,651	131
2,134		1,878	256
4,378		3,848	530
1,242		1,131	111
1,641		1,528	113
1,535		1,421	114

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			135
			159
			244
			136
			131
			256
			530
			111
			113
			114

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
47 902 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2012-06-25	2015-05-05
43 233 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2012-07-23	2015-05-05
46 982 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2012-08-22	2015-05-05
39 408 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2012-09-21	2015-05-05
41 534 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2012-10-22	2015-05-05
52 352 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2012-11-21	2015-05-05
128 529 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2012-12-20	2015-05-05
38 274 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2013-01-28	2015-05-05
46 202 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2013-02-25	2015-05-05
44 5 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2013-03-26	2015-05-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
776		706	70
700		636	64
761		704	57
638		607	31
672		640	32
848		792	56
2,081		1,988	93
620		604	16
748		717	31
720		703	17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			70
			64
			57
			31
			32
			56
			93
			16
			31
			17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
30 668 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2013-04-25	2015-05-05
37 912 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2013-05-28	2015-05-05
33 016 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2013-06-25	2015-05-05
33 443 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2013-07-29	2015-05-05
37 817 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2013-08-28	2015-05-05
29 513 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2013-09-26	2015-05-05
32 192 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2013-10-29	2015-05-05
2135 863 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2013-11-25	2015-05-05
47 594 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2013-11-27	2015-05-05
66 701 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2013-12-17	2015-05-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
497		495	2
614		619	-5
535		510	25
541		531	10
612		587	25
478		471	7
521		529	-8
34,580		34,900	-320
771		775	-4
1,080		1,078	2

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			2
			-5
			25
			10
			25
			7
			-8
			-320
			-4
			2

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
1 184 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2013-12-17	2015-05-05
29 103 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2013-12-17	2015-05-05
30 29 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2014-01-29	2015-05-05
32 893 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2014-02-25	2015-05-05
33 37 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2014-03-26	2015-05-05
32 477 Loomis Sayles StrategicIncome Fund Class YSymbol Nezyx	P	2014-04-25	2015-05-05
6 963 Pepsico IncSymbol Pep	P	2012-01-04	2015-03-03
7 043 Pepsico IncSymbol Pep	P	2012-04-02	2015-03-03
6 994 Pepsico IncSymbol Pep	P	2012-07-02	2015-03-03
7 Pepsico IncSymbol Pep	P	2013-03-04	2015-03-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
19		19	
471		470	1
490		493	-3
533		545	-12
540		555	-15
526		546	-20
684		462	222
692		467	225
687		491	196
688		533	155

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1
			-3
			-12
			-15
			-20
			222
			225
			196
			155

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	Date acquired (c) (mo , day, yr )	(d) Date sold (mo , day, yr )
6 3905 Pepsico IncSymbol Pep	P	2014-01-02	2015-03-03
0 64967 Pjt Partners IncClass A ComSymbol Pjt	P	2012-10-05	2015-10-01
42 88417 Pjt Partners IncClass A ComSymbol Pjt	P	2012-10-05	2015-10-26
1 18848 Pjt Partners IncClass A ComSymbol Pjt	P	2013-03-04	2015-10-26
0 36715 Pjt Partners IncClass A ComSymbol Pjt	P	2013-11-04	2015-10-26
0 82194 Pjt Partners IncClass A ComSymbol Pjt	P	2014-02-18	2015-10-26
0 53503 Pjt Partners IncClass A ComSymbol Pjt	P	2014-05-05	2015-10-26
0 76864 Pjt Partners IncClass A ComSymbol Pjt	P	2014-08-04	2015-10-26
165 Procter & Gamble CoSymbol Pg	P	2004-06-22	2015-03-03
0 2769 Procter & Gamble CoSymbol Pg	P	2015-05-15	2015-05-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
628		527	101
14		2	12
963		163	800
27		5	22
8		1	7
18		3	15
12		2	10
17		3	14
14,043		7,012	7,031
22		23	-1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			101
			12
			800
			22
			7
			15
			10
			14
			7,031
			-1



Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	Date acquired (c) (mo , day, yr )	(d) Date sold (mo , day, yr )
534 153 Procter & Gamble CoSymbol Pg	P	2004-06-22	2015-05-19
5 669 Procter & Gamble CoSymbol Pg	P	2012-02-16	2015-05-19
6 178 Procter & Gamble CoSymbol Pg	P	2012-05-16	2015-05-19
10 Procter & Gamble CoSymbol Pg	P	2013-03-04	2015-05-19
5 1524 Procter & Gamble CoSymbol Pg	P	2013-11-15	2015-05-19
5 5955 Procter & Gamble CoSymbol Pg	P	2014-02-18	2015-05-19
5 8236 Procter & Gamble CoSymbol Pg	P	2014-05-15	2015-05-19
8617 Uit First Trust Divid StrengthPort Ser 18 Monthly Fee AcctCusip 30281d520	P	2013-05-22	2015-01-07
17 Uit First Trust Divid StrengthPort Ser 18 Monthly Fee AcctCusip 30281d520	P	2013-05-29	2015-01-07
34 Uit First Trust Divid StrengthPort Ser 18 Monthly Fee AcctCusip 30281d520	P	2013-06-25	2015-01-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
43,197		22,701	20,496
458		368	90
500		397	103
809		761	48
417		433	-16
453		437	16
471		471	
101,924		85,926	15,998
201		169	32
402		324	78

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			20,496
			90
			103
			48
			-16
			16
			15,998
			32
			78

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
23 Uit First Trust Divid StrengthPort Ser 18 Monthly Fee AcctCusip 30281d520	P	2013-07-25	2015-01-07
264 Uit First Trust High DividEquity Port Ser 14 MonthlyCusip 30281k623	P	2013-05-22	2015-05-07
3262 Uit First Trust High DividEquity Port Ser 14 MonthlyCusip 30281k623	P	2013-05-22	2015-05-07
9 Uit First Trust High DividEquity Port Ser 14 MonthlyCusip 30281k623	P	2013-05-29	2015-05-07
40 Uit First Trust High DividEquity Port Ser 14 MonthlyCusip 30281k623	P	2013-06-25	2015-05-07
19 Uit First Trust High DividEquity Port Ser 14 MonthlyCusip 30281k623	P	2013-07-25	2015-05-07
5074 Uit First Trust Smid High DiviPort Ser 11 Monthly Fee AcctCusip 30282m339	P	2013-11-29	2015-08-18
23 2288 Uit First Trust Smid High DiviPort Ser 11 Monthly Fee AcctCusip 30282m339	P	2013-12-26	2015-08-18
6 0684 Uit First Trust Smid High DiviPort Ser 11 Monthly Fee AcctCusip 30282m339	P	2014-01-08	2015-08-18
12 771 Uit First Trust Smid High DiviPort Ser 11 Monthly Fee AcctCusip 30282m339	P	2014-01-27	2015-08-18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
272		229	43
2,734		2,496	238
33,784		30,847	2,937
93		85	8
414		354	60
197		179	18
49,143		47,968	1,175
225		220	5
59		58	1
124		120	4

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			43
			238
			2,937
			8
			60
			18
			1,175
			5
			1
			4

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
233 7248 Uit First Trust Smid High DiviPort Ser 11 Monthly Fee AcctCusip 30282m339	P	2014-03-25	2015-08-18
22 792 Uit First Trust Smid High DiviPort Ser 11 Monthly Fee AcctCusip 30282m339	P	2014-04-25	2015-08-18
23 7949 Uit First Trust Smid High DiviPort Ser 11 Monthly Fee AcctCusip 30282m339	P	2014-06-25	2015-08-18
21 1259 Uit First Trust Smid High DiviPort Ser 11 Monthly Fee AcctCusip 30282m339	P	2014-07-25	2015-08-18
0 7518 Uit First Trust Smid High DiviPort Ser 11 Monthly Fee AcctCusip 30282m339	P	2015-07-27	2015-08-18
11 6926 Uit First Trust Smid High DiviPort Ser 11 Monthly Fee AcctCusip 30282m339	P	2015-08-25	2015-10-29
0 0878 Uit First Trust Target DividBlend Port Ser MonthlyCusip 30283t424	P	2015-05-26	2015-08-12
23 4294 Uit First Trust Target DividBlend Port Ser MonthlyCusip 30283t424	P	2015-05-26	2015-08-12
2 5605 Uit First Trust Target DividBlend Port Ser MonthlyCusip 30283t424	P	2015-05-26	2015-08-12
2 6483 Uit First Trust Target DividBlend Port Ser MonthlyCusip 30283t424	P	2015-05-26	2015-08-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
2,264		2,278	-14
221		223	-2
230		240	-10
205		212	-7
7		7	
113		111	2
1		1	
211		229	-18
23		25	-2
24		26	-2

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-14
			-2
			-10
			-7
			2
			-18
			-2
			-2

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
0 Uit First Trust TechnologySelect Port Ser 54 Semi AnnualCusip 30284y232	P	2014-11-13	2015-12-25
0 Uit First Trust Technology SelPort Ser 50 Semi Annual FeeCusip 30282I588	P	2013-11-29	2015-06-25
0 Uit First Trust Technology SelPort Ser 50 Semi Annual FeeCusip 30282I588	P	2013-12-26	2015-06-25
4064 Uit First Trust Technology SelPort Ser 50 Semi Annual FeeCusip 30282I588	P	2013-11-29	2015-08-18
8 8484 Uit First Trust Technology SelPort Ser 50 Semi Annual FeeCusip 30282I588	P	2013-12-26	2015-08-18
27 1132 Uit First Trust Technology SelPort Ser 50 Semi Annual FeeCusip 30282I588	P	2014-06-25	2015-08-18
0 Uit Guggenheim Defined PortflsSer 1 Technological InnovationCusip 40168h650	P	2013-12-26	2015-01-25
0 Uit Guggenheim Defined PortflsSer 1 Technological InnovationCusip 40168h650	P	2013-11-29	2015-01-25
2587 Uit Guggenheim Defined PortflsSer 1 Technological InnovationCusip 40168h650	P	2013-11-29	2015-08-18
3 346 Uit Guggenheim Defined PortflsSer 1 Technological InnovationCusip 40168h650	P	2013-12-26	2015-08-18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
4		4	
50,084		39,324	10,760
109		88	21
334		303	31
1		1	
900		900	
28,752		23,205	5,547
37		30	7

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			10,760
			21
			31
			5,547
			7

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
3 6124 Uit Guggenheim Defined PortflsSer 1 Technological InnovationCusip 40168h650	P	2014-02-25	2015-08-18
5 0186 Uit Guggenheim Defined PortflsSer 1 Technological InnovationCusip 40168h650	P	2014-05-27	2015-08-18
8075 347 Western Asset Short DurationHigh Income Fund Class ISymbol Shiyx	P	2014-05-14	2015-05-19

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
40		36	4
56		47	9
48,937		52,409	-3,472

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			4
			9
			-3,472

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year				
FIRST STEP OF SARASOTA INC 4579 NORTHGATE COURT SARASOTA,FL 34234	NONE	exempt	Medical addictions services	5,000
HAWTHORNE VALLEY ASSOCIATION 327 ROUTE 21C GHENT,NY 12075	NONE	exempt	SCHOLARSHIP FUND, TUITION & FACULTY ASSISTANCE	26,500
THE ARC OF SAN DIEGO 9575 AERO DRIVE SAN DIEGO,CA 92123	NONE	exempt	SERVICES FOR MENTALLY DISABLED	4,500
TRUSTEES OF GRINNELL COLLEGE 733 BROAD STREET GRINELL,IA 50112	NONE	exempt	EDUCATION	19,000
HELEN WOODWARD ANIMAL CENTER PO BOX 64 RANCHO SANTA FE,CA 92067	NONE	exempt	ANIMAL SERVICES	5,000
MAMA'S KITCHEN 1875 SECOND AVENUE SAN DIEGO,CA 92101	NONE	exempt	FOOD SERVICE	5,000
ACLU FOUNDATION INC 125 BROAD STREET - 18TH FLOOR NEW YORK,NY 10004	NONE	exempt	PROTECT CIVIL LIBERTIES	3,500
MARCH OF DIMES 515 MADISON AVENUE 20TH FLOOR NEW YORK,NY 10022	NONE	exempt	HEALTH SERVICES	2,000
MINIATURE SCHNAUZER FRIENDS RESCUE 1540 STEINHART AVENUE REDONDO BEACH,CA 90278	NONE	exempt	ANIMAL RESCUE	5,000
NEURO CHALLENGE FOUNDATION 5602 MARQUESAS CIRCLE - STE 211 SARASOTA,FL 34233	NONE	exempt	MEDICAL SERVICES FOR PARKINSONS DISEASE	10,000
CAMPBILL GHENT 2542 ROUTE 66 CHATHAM,NY 12037	NONE	EXEMPT	SUPPORT ELDERLY ASSISTED LIVING	1,000
UNIVERSITY OF SOUTH FLORIDA FOUNDAT 4001 E FLETCHER AVENUE 6TH FL TAMPA,FL 33613	NONE	EXEMPT	FURTHERANCE OF EDUCATION	10,000
SAN DIEGO LGBT COMMUNITY CENTER 3909 CENTRE STREET SAN DIEGO,CA 92103	NONE	EXEMPT	SUPPORT HEALTH & WELL BEING OF LOCAL LGBT COMMUNITY	3,000
FOUNDATION FOR ANIMAL CARE 10455 SORRENTO VALLEY ROAD SAN DIEGO,CA 92121	NONE	EXEMPT	PROVIDE FINANCIAL ASSISTANCE FOR EMERGENCY VETERINARY CARE FOR ANIMAL OWNERS UABLE TO AFFORD	5,000
RACING DOG RESCUE PROJECT PO BOX 18153 SARASOTA,FL 34276	NONE	EXEMPT	SUPPORT ANIMAL SHELTER FOR RESCUED GREYHOUNDS	5,000
<b>Total . . . . . ▶ 3a</b>				135,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
THE WILDLIFE CENTER OF VENICE INC 3242 BORDER ROAD VENICE,FL 34292	NONE	EXEMPT	SUPPORT REHABILITATION OF ENDNAGERED ANIMALS	3,000
CONCORDIA UNIV FNDTN DE L'UNIVERSIT 1455 DE MAISONNEUVE BLVD W EV571 MONTREAL,QUEBEC H3 1M8 CA	NONE	EXEMPT	EDUCATIONAL SUPPORT	15,000
THE AMER FRIENDS OF ASSOC ADVANCE I 1466 MANOR ROAD STATEN ISLAND,NY 10314	NONE	EXEMPT	SUPPORT COMMUNITY DEVEL FOR ISRAEL PEACE	2,500
BENEV ORG DEV HEALTH INSIGHT 2743 PORTOBELLO DRIVE TORRANCE,CA 90505	NONE	EXEMPT	PROMOTE HEALTH, HUMAN RIGHTS AND EDUCATION IN DISADVANTAGED COUNTRIES	5,000
<b>Total . . . . . ▶ 3a</b>				135,000

**TY 2015 Accounting Fees Schedule****Name:** BULOVA GALE FOUNDATION**EIN:** 13-3866091**Software ID:** 15000324**Software Version:** 2015v2.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	6,039	4,026	0	2,013



**TY 2015 Investments Corporate Bonds Schedule****Name:** BULOVA GALE FOUNDATION**EIN:** 13-3866091**Software ID:** 15000324**Software Version:** 2015v2.0

<b>Name of Bond</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
IBM 6.5% 1/28	13,629	16,240
MERRILL LYNCH 6.5% 01/28	13,049	14,368

**TY 2015 Investments Corporate Stock Schedule****Name:** BULOVA GALE FOUNDATION**EIN:** 13-3866091**Software ID:** 15000324**Software Version:** 2015v2.0

<b>Name of Stock</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
AMERICAN CAPITAL LTD		
BLACKSTONE GROUP	61,138	80,250
ENBRIDGE ENERGY PARTNERS LP	35,409	29,961
ENERPLUS RESOURCES FD	50,264	4,599
GENERAL ELECTRIC		
JOHNSON & JOHNSON	46,575	70,762
KAYNE ANDERSON MLP	150,840	88,824
LINNCO LLC	36,210	1,214
PEPSICO		
PROCTER & GAMBLE		
SEADRILL LTD	40,013	3,517
APPLE INC.	65,191	64,103
BERKSHIRE HILLS BANCORP	33,007	36,562
KKR & CO LP	60,954	44,912

**TY 2015 Investments Government Obligations Schedule****Name:** BULOVA GALE FOUNDATION**EIN:** 13-3866091**Software ID:** 15000324**Software Version:** 2015v2.0**US Government Securities - End of  
Year Book Value:****US Government Securities - End of  
Year Fair Market Value:****State & Local Government  
Securities - End of Year Book  
Value:**

8,481

**State & Local Government  
Securities - End of Year Fair  
Market Value:**

9,135

**TY 2015 Investments - Other Schedule****Name:** BULOVA GALE FOUNDATION**EIN:** 13-3866091**Software ID:** 15000324**Software Version:** 2015v2.0

<b>Category/ Item</b>	<b>Listed at Cost or FMV</b>	<b>Book Value</b>	<b>End of Year Fair Market Value</b>
COHEN & STEERS QUAL INC REALTY	AT COST	50,045	51,348
ALLIANCEBER HIGH INCOME FD	AT COST		
GAMCO NATURAL RESOURCES	AT COST	51,712	24,189
GAMCO GLOBAL GOLD NATURAL RESOURCE	AT COST	80,140	29,433
VOYA GLOBAL EQUITY DIV & PREMIUM FD	AT COST	67,562	37,355
LOOMIS SAYLES STRATEGIC INCOME FD	AT COST		
ALLIANZGI CONV & INC FD II	AT COST		
INVESCO VAN KAMPEN SR INCOME	AT COST		
FIRST TR MULTI SECTOR INCOME 18	AT COST		
BLACKROCK HEALTH SCIENCES OPPORTUNITIES	AT COST	85,747	80,223
BLACKROCK HEALTH SCIENCES TR	AT COST	59,208	56,530
INDEXIQ ETF US REAL EST SM CAP	AT COST		
PIMCO FDS INCOME CL P	AT COST	428,457	402,151
POWERSHARES DYNAMIC ENERGY ETF	AT COST	58,096	38,057
POWERSHARES DYNAMIC HIGH DIV YLD FINAL	AT COST	71,980	59,960
FTP HIGH DIVIDEND STRENGTH 18	AT COST		
FTP HIGH DIVIDEND EQUITY 14	AT COST		
FTP SMID HIGH DIV PORT 11	AT COST		
FTP TECHNOLOFY SELECT 50	AT COST		
FTP TECHNOLOGY SELECT 54	AT COST	50,560	53,235
WISDOMTREE EUROPE SMALLCAP DIVIDEND	AT COST	75,629	71,378
WISDOMTREE MID CAP DIVIDEND	AT COST	75,870	74,092
FTP CAP STRENGHT BUY WRITE PORT SER 17	AT COST		
FTP TARGET DIV BLENDED PORT SER	AT COST		
COHEN & STEERS INFRASTRUCTURE FUND	AT COST	85,831	71,913
ISHARES US BROKER DEALER ETF	AT COST	25,115	25,475
ISHARES MSCI POLAND	AT COST		
POWERSHARES ETF TRUST DYNAMIC SOFTWARE	AT COST	24,879	27,095
SALIENT MLP & ENERGY INFRASTRUCTURE FUND	AT COST	76,111	45,180
WESTERN ASSET SHORT DURATION	AT COST		

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
GUGGENHEIM DEFINED PORTFOLIO TECH SER 1	AT COST		
FEDERATED EQUITY FUNDS KAUFMAN LARGE CAP	AT COST	125,008	118,173
FIRST TRUST ETF DOW JONES INTERNET	AT COST	49,978	48,571
FIRST TRUST ETF NYSE ARCA BIOTECH	AT COST	49,926	49,164
ISHARES CORE HIGH DIVIDEND ETF	AT COST	50,846	49,663
BROOKFIELD INFRASTRUCTURE PARTNERS	AT COST	49,862	45,644
FIRST TRUST ETF ALPHADDEX	AT COST	49,951	46,663
MFS SER TR X INTL VAL FD	AT COST	77,227	71,969
FIRST TR INTL HIGH DIV SER 22	AT COST	50,250	46,989
WISDOMTREE TR INDIA EARNINGS FD ETF	AT COST	27,397	22,926
ALLIANZGI INCOME & GROWTH FUND P	AT COST	228,269	205,330
NEW ECONOMY GROWTH OFFSHORE FUND	AT COST	64,119	30,491

**TY 2015 Other Expenses Schedule****Name:** BULOVA GALE FOUNDATION**EIN:** 13-3866091**Software ID:** 15000324**Software Version:** 2015v2.0

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BANK CHARGES	111	111		

**TY 2015 Other Professional Fees Schedule****Name:** BULOVA GALE FOUNDATION**EIN:** 13-3866091**Software ID:** 15000324**Software Version:** 2015v2.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MANAGEMENT FEES	20,912	20,912	0	0

**TY 2015 Taxes Schedule****Name:** BULOVA GALE FOUNDATION**EIN:** 13-3866091**Software ID:** 15000324**Software Version:** 2015v2.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL EXCISE	4,665	4,665		
FOREIGN TAXE WITHHELD	112	112		