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Form

990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2015

Open to Public Inspection

For calendar year 2015, or tax year beginning 01-01-2015, and ending 12-31-2015

Name of foundation THE PTM CHARITABLE FOUNDATION C/O R BRADFORD EVANS % DORIAN A VERGOS & CO LLC		A Employer identification number 13-3800384	
Number and street (or P O box number if mail is not delivered to street address) 791 PARK AVENUE Suite 7B		B Telephone number (see instructions) (212) 307-7180	
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10021		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 1,739,962		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</i>		Revenue and expenses per books (a)	Net investment income (b)	Adjusted net income (c)	Disbursements for charitable purposes (d) (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	0			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	27,570	27,570		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	-23,588			
	b Gross sales price for all assets on line 6a 408,534				
	7 Capital gain net income (from Part IV, line 2)		0		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	3,982	27,570		
	13 Compensation of officers, directors, trustees, etc	0			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule).				
	b Accounting fees (attach schedule).	14,000	7,000	0	7,000
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)				
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings.				
	22 Printing and publications				
	23 Other expenses (attach schedule).	9,062	9,062		
	24 Total operating and administrative expenses.				
	Add lines 13 through 23	23,062	16,062	0	7,000
	25 Contributions, gifts, grants paid	91,650			91,550
	26 Total expenses and disbursements. Add lines 24 and 25	114,712	16,062	0	98,550
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-110,730			
	b Net investment income (if negative, enter -0-)		11,508		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	37,179	58,057	58,057
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶ <u>95</u>			
		Less allowance for doubtful accounts ▶ _____	0	95	
	4	Pledges receivable ▶ _____			
		Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions).			
	7	Other notes and loans receivable (attach schedule) ▶ _____			
		Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	0	29,954	29,755
	b	Investments—corporate stock (attach schedule)	1,314,270	1,297,363	1,547,185
	c	Investments—corporate bonds (attach schedule)	189,312	85,353	84,974
	11	Investments—land, buildings, and equipment basis ▶ _____			
	Less accumulated depreciation (attach schedule) ▶ _____				
12	Investments—mortgage loans.				
13	Investments—other (attach schedule)	63,907	23,116	19,991	
14	Land, buildings, and equipment basis ▶ _____				
	Less accumulated depreciation (attach schedule) ▶ _____				
15	Other assets (describe ▶ _____)				
16	Total assets(to be completed by all filers—see the instructions Also, see page 1, item I)	1,604,668	1,493,938	1,739,962	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule).			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities(add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted	1,604,668	1,493,938	
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances(see instructions)	1,604,668	1,493,938	
	31	Total liabilities and net assets/fund balances(see instructions)	1,604,668	1,493,938	

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	1,604,668
2	Enter amount from Part I, line 27a	2	-110,730
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3	4	1,493,938
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1,493,938

Part IV

Capital Gains and Losses for Tax on Investment Income

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)		How acquired P—Purchase (b) D—Donation	Date acquired (c) (mo , day, yr)	Date sold (d) (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	<div><div>If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7</div></div>	2	-23,286
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) <div>If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8</div>		3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)
If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2014	101,140	1,705,911	0 059288
2013	187,535	1,684,826	0 111308
2012	146,430	1,273,514	0 114981
2011	106,650	927,675	0 114965
2010	159,435	1,007,704	0 158216

2	Total of line 1, column (d).	2	0 558758
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 111752
4	Enter the net value of noncharitable-use assets for 2015 from Part X, line 5.	4	1,700,456
5	Multiply line 4 by line 3.	5	190,029
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	115
7	Add lines 5 and 6.	7	190,144
8	Enter qualifying distributions from Part XII, line 4.	8	98,550

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See
the Part VI instructions

Part VI

Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	230	
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2		
3 Add lines 1 and 2.	3	230	
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4		
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	230	
6 Credits/Payments			
a 2015 estimated tax payments and 2014 overpayment credited to 2015	6a	1,125	
b Exempt foreign organizations—tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868).	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d.	7	1,125	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached.	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	895	
11 Enter the amount of line 10 to be Credited to 2015 estimated tax <input type="checkbox"/> 895 Refunded <input type="checkbox"/>	11		

Part VII-A

Statements Regarding Activities

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? <input type="checkbox"/>		Yes	No
1a Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?	1a		No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	1b		No
c Did the foundation file Form 1120-POL for this year?	1c		No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2		No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i> <input type="checkbox"/>	3		No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i> <input type="checkbox"/>	5		No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> DE, NY			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	8b	Yes	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9		No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address	13	Yes	
14	The books are in care of <u>DORIAN A VERGOS & CO LLC</u> Telephone no <u>(212) 307-7180</u> Located at <u>352 7TH AVE SUITE 1501 NEW YORK NY</u> ZIP+4 <u>10001</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year	15		
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country	16	Yes	No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here. <input type="checkbox"/>			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.</i>)	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b		No

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

5b

6b

7b

7b

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

Total number of other employees paid over \$50,000. ▶

Part VIII

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation

Total number of others receiving over \$50,000 for professional services.	1
--	---

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions	
3	

[illegible]

Part X

Minimum Investment Return
(All domestic foundations must complete this part. Foreign foundations,see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	1,679,529
b	Average of monthly cash balances.	1b	46,822
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	1,726,351
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	1,726,351
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	25,895
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,700,456
6	Minimum investment return. Enter 5% of line 5.	6	85,023

Part XI

Distributable Amount
(see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☒ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	85,023
2a	Tax on investment income for 2015 from Part VI, line 5.	2a	230
b	Income tax for 2015 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	230
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	84,793
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	84,793
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	84,793

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	98,550
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	98,550
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	98,550

Note:The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				84,793
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only.			0	
b Total for prior years 2013 , 2012 , 2011		0		
3 Excess distributions carryover, if any, to 2015				
a From 2010.	110,440			
b From 2011.	60,765			
c From 2012.	92,673			
d From 2013.	103,357			
e From 2014.	16,656			
f Total of lines 3a through e.	383,891			
4 Qualifying distributions for 2015 from Part XII, line 4 ▶ \$ 98,550				
a Applied to 2014, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2015 distributable amount.				84,793
e Remaining amount distributed out of corpus	13,757			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	397,648			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions). . .	110,440			
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a	287,208			
10 Analysis of line 9				
a Excess from 2011.	60,765			
b Excess from 2012.	92,673			
c Excess from 2013.	103,357			
d Excess from 2014.	16,656			
e Excess from 2015.	13,757			

Part XIV

Private Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2a

Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b

85% of line 2a

c

Qualifying distributions from Part XII, line 4 for each year listed

d

Amounts included in line 2c not used directly for active conduct of exempt activities

e

Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c

3

Complete 3a, b, or c for the alternative test relied upon

a

"Assets" alternative test—enter

1

Value of all assets

2

Value of assets qualifying under section 4942(j)(3)(B)(i)

b

"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.

c

"Support" alternative test—enter

1

Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).

2

Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).

3

Largest amount of support from an exempt organization

4

Gross investment income

Part XV

Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

MR R BRADFORD EVANS

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a

The name, address, and telephone number or email address of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Form **990-PF** (2015)

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount		
1 Program service revenue						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments.						
3 Interest on savings and temporary cash investments						
4 Dividends and interest from securities. . . .			14	27,570		
5 Net rental income or (loss) from real estate						
a Debt-financed property.						
b Not debt-financed property.						
6 Net rental income or (loss) from personal property						
7 Other investment income.						
8 Gain or (loss) from sales of assets other than inventory			18	-23,588		
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue a _____						
b _____						
c _____						
d _____						
e _____						
12 Subtotal Add columns (b), (d), and (e). . .				3,982		
13 Total. Add line 12, columns (b), (d), and (e).			13		3,982	

[illegible]

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
25,000 METHANEX CORP	P	2012-12-18	2015-10-14
15,000 TLEFONICA EMISIO	P	2012-12-28	2015-06-25
10,000 US TSY NOTE 1 875% 1/30/20	P	2015-06-26	2015-06-29
10,000 FHLB 6 25% 10/26/17	P	2015-10-08	2015-11-13
20,000 AIR LEASE CORP 3 875% 4/1/21	P	2014-03-11	2015-09-29
25,000 SHS AFRICAN DEVELOPMENT	P	2014-03-07	2015-04-02
50,000 DIRECTV HLDGS	P	2013-01-30	2015-03-12
5,000 SHS ECOLAB INC	P	2012-12-10	2015-10-30
25,000 GECC 12/11/15	P	2012-12-05	2015-04-02
5,000 SHS REALTY INCOME CORP	P	2013-01-30	2015-10-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
24,975		25,068	-93
15,260		15,141	119
10,105		10,058	47
9,948		9,978	-30
20,300		19,970	330
25,059		24,930	129
49,759		49,328	151
4,978		4,996	-18
25,066		24,975	91
5,013		4,994	19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-93
			119
			47
			-30
			330
			129
			431
			-18
			91
			19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
500 SHS DISCOVER COMMUNICATIONS SER C	P	2015-01-14	2015-11-19
275 SHS GOOGLE INC CIC	P	2015-01-02	2015-05-04
80 SHS PRECISION CASTPARTS CORP	P	2015-05-29	2015-10-06
1,600 SHS DISCOVER COMMUNICATIONS SER C	P		2015-11-19
600 SHS OCCIDENTAL PETROLEUM CORP	P		2015-03-10
600 SHS OMNICOM GROUP	P	2013-12-09	
150 SHS PRECISION CASTPARTS CORP	P	2013-10-31	2015-10-06
150 SHS UNION PACIFIC CORP	P	2013-12-09	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
14,450		14,754	-304
153		144	9
18,404		16,952	1,452
46,239		61,993	-15,754
44,178		54,857	-10,679
43,942		42,967	975
34,507		38,082	-3,575
16,199		12,354	3,845

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-304
			9
			1,452
			-15,754
			-10,679
			975
			-3,575
			3,845

TY 2015 Accounting Fees Schedule

Name: THE PTM CHARITABLE FOUNDATION
C/O R BRADFORD EVANS
EIN: 13-3800384

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	14,000	7,000	0	7,000

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2015 Depreciation Schedule

Name: THE PTM CHARITABLE FOUNDATION
C/O R BRADFORD EVANS
EIN: 13-3800384

TY 2015 Investments Corporate Bonds Schedule

Name: THE PTM CHARITABLE FOUNDATION
C/O R BRADFORD EVANS

EIN: 13-3800384

Name of Bond	End of Year Book Value	End of Year Fair Market Value
CORPORATE BONDS-MS ACCT #17872	85,353	84,974

TY 2015 Investments Corporate Stock Schedule

Name: THE PTM CHARITABLE FOUNDATION
C/O R BRADFORD EVANS

EIN: 13-3800384

Name of Stock	End of Year Book Value	End of Year Fair Market Value
COMMON STOCK (MS ACCT #017409)	1,297,363	1,547,185

TY 2015 Investments Government Obligations Schedule

Name: THE PTM CHARITABLE FOUNDATION
C/O R BRADFORD EVANS

EIN: 13-3800384

**US Government Securities - End of
Year Book Value:** 29,954

**US Government Securities - End of
Year Fair Market Value:** 29,755

**State & Local Government
Securities - End of Year Book
Value:**

**State & Local Government
Securities - End of Year Fair
Market Value:**

TY 2015 Investments - Other Schedule

Name: THE PTM CHARITABLE FOUNDATION

C/O R BRADFORD EVANS

EIN: 13-3800384

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
FOREIGN DEBT SECURITIES -			
MS ACCT #117872		23,116	19,991

TY 2015 Other Expenses Schedule

Name: THE PTM CHARITABLE FOUNDATION

C/O R BRADFORD EVANS

EIN: 13-3800384

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MANAGEMENT FEES	8,447	8,447		
FILING FEES	365	365		
NY REGULATION FEE	250	250		

TY 2015 Other Income Schedule

Name: THE PTM CHARITABLE FOUNDATION
C/O R BRADFORD EVANS
EIN: 13-3800384

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
FEDERAL EXCISE TAX OVERPAYMENT			

TY 2015 Taxes Schedule

Name: THE PTM CHARITABLE FOUNDATION
C/O R BRADFORD EVANS
EIN: 13-3800384

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL EXCISE TAX				

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

RECEIPIENT	RELATIONSHIP	FOUNDATION STATUS	PURPOSE OF CONTRIBUTION	AMOUNT
Alzheimers Association	NONE	Tax-Exempt	For the Organization's Charitable Use	1,000 00
American Italian Cancer Foundation	NONE	Tax-Exempt	For the Organization's Charitable Use	1,000.00
American Red Cross	NONE	Tax-Exempt	For the Organization's Charitable Use	500 00
Amherst College	NONE	Tax-Exempt	For the Organization's Charitable Use	10,000 00
AMREF	NONE	Tax-Exempt	For the Organization's Charitable Use	500 00
Aspetuck Land Trust	NONE	Tax-Exempt	For the Organization's Charitable Use	1,000 00
Bloomington School of Music	NONE	Tax-Exempt	For the Organization's Charitable Use	100 00
Bridges to Community	NONE	Tax-Exempt	For the Organization's Charitable Use	2,500.00
CENTRAL PARK CONSERVANCY	NONE	Tax-Exempt	For the Organization's Charitable Use	2,500 00
City Harvest, Inc	NONE	Tax-Exempt	For the Organization's Charitable Use	500 00
Classroom Inc	NONE	Tax-Exempt	For the Organization's Charitable Use	2,500 00
Collegiate School	NONE	Tax-Exempt	For the Organization's Charitable Use	2,500 00
Connecticut Audubon Society	NONE	Tax-Exempt	For the Organization's Charitable Use	500 00
Connecticut Public Broadcasting, Inc	NONE	Tax-Exempt	For the Organization's Charitable Use	200 00
Cooke Center of Learning & Development	NONE	Tax-Exempt	For the Organization's Charitable Use	1,000 00
Covenant House	NONE	Tax-Exempt	For the Organization's Charitable Use	500 00
Dana Hall School	NONE	Tax-Exempt	For the Organization's Charitable Use	1,000.00
Dartmouth College	NONE	Tax-Exempt	For the Organization's Charitable Use	2,500 00
Doctors Without Borders, USA	NONE	Tax-Exempt	For the Organization's Charitable Use	500.00
Eagle One - Police Aviation Unit	NONE	Tax-Exempt	For the Organization's Charitable Use	100 00
Fairfield Police Athletic League	NONE	Tax-Exempt	For the Organization's Charitable Use	250 00
Family ReEntry, Inc	NONE	Tax-Exempt	For the Organization's Charitable Use	2,500 00
Friends of the High Line	NONE	Tax-Exempt	For the Organization's Charitable Use	1,000 00
God's Love we Deliver	NONE	Tax-Exempt	For the Organization's Charitable Use	500 00
Harvard Business School	NONE	Tax-Exempt	For the Organization's Charitable Use	5,000 00
Howe Library	NONE	Tax-Exempt	For the Organization's Charitable Use	500 00
Huntsman Cancer Foundation	NONE	Tax-Exempt	For the Organization's Charitable Use	500 00
Imagine H20	NONE	Tax-Exempt	For the Organization's Charitable Use	5,000 00
Injured Marine Semper Fund	NONE	Tax-Exempt	For the Organization's Charitable Use	2,500 00
International Rescue Committee	NONE	Tax-Exempt	For the Organization's Charitable Use	1,000 00
Jazz Foundation of America	NONE	Tax-Exempt	For the Organization's Charitable Use	1,000 00
Keys, Inc	NONE	Tax-Exempt	For the Organization's Charitable Use	1,000 00
Learning Ally	NONE	Tax-Exempt	For the Organization's Charitable Use	250 00
Maine Adaptive Sports & Recreation	NONE	Tax-Exempt	For the Organization's Charitable Use	1,000 00
Make a Wish Foundation	NONE	Tax-Exempt	For the Organization's Charitable Use	2,500 00
Marine Corp Scholarship Foundation Inc.	NONE	Tax-Exempt	For the Organization's Charitable Use	1,000 00
McGivney Community Center	NONE	Tax-Exempt	For the Organization's Charitable Use	2,500.00
Naval War College Foundation Inc	NONE	Tax-Exempt	For the Organization's Charitable Use	1,000 00
Near & Far Aid Association	NONE	Tax-Exempt	For the Organization's Charitable Use	3,500 00
New Beginnings Family Academy	NONE	Tax-Exempt	For the Organization's Charitable Use	2,500 00
New York City Police Foundation	NONE	Tax-Exempt	For the Organization's Charitable Use	500 00
New York Philamonic	NONE	Tax-Exempt	For the Organization's Charitable Use	1,000 00
NYC Outward Bound Center	NONE	Tax-Exempt	For the Organization's Charitable Use	1,000 00
One Village Partners	NONE	Tax-Exempt	For the Organization's Charitable Use	500 00
Pajama Program	NONE	Tax-Exempt	For the Organization's Charitable Use	2,500.00
Paws for Purple Hearts	NONE	Tax-Exempt	For the Organization's Charitable Use	500 00
Prep for Prep	NONE	Tax-Exempt	For the Organization's Charitable Use	1,000 00
Publicolor	NONE	Tax-Exempt	For the Organization's Charitable Use	1,000 00
Ronald McDonald House of New York	NONE	Tax-Exempt	For the Organization's Charitable Use	2,500 00
Simply Smiles	NONE	Tax-Exempt	For the Organization's Charitable Use	500 00
Special Olympics Connecticut	NONE	Tax-Exempt	For the Organization's Charitable Use	250 00
The Center for Family Justice	NONE	Tax-Exempt	For the Organization's Charitable Use	1,000 00
The Doe Fund, Inc	NONE	Tax-Exempt	For the Organization's Charitable Use	2,500 00
The Edible Schoolyard New Orleans	NONE	Tax-Exempt	For the Organization's Charitable Use	1,000 00
The Family Place	NONE	Tax-Exempt	For the Organization's Charitable Use	500 00
The Hole in the Wall Gang Fund	NONE	Tax-Exempt	For the Organization's Charitable Use	2,500 00
The Leukemia & Lymphoma Society	NONE	Tax-Exempt	For the Organization's Charitable Use	500 00
The New York Botanical Garden	NONE	Tax-Exempt	For the Organization's Charitable Use	1,500 00
The Norma F Priem Urban Outreach	NONE	Tax-Exempt	For the Organization's Charitable Use	500 00
The Partnership for the Homeless	NONE	Tax-Exempt	For the Organization's Charitable Use	1,000 00
The Seeing Eye	NONE	Tax-Exempt	For the Organization's Charitable Use	500 00
The WorkPlace Inc	NONE	Tax-Exempt	For the Organization's Charitable Use	1,000 00
USO	NONE	Tax-Exempt	For the Organization's Charitable Use	250 00
Visiting Nurse & Hospice of VT and NH	NONE	Tax-Exempt	For the Organization's Charitable Use	500 00
Westport Country Playhouse	NONE	Tax-Exempt	For the Organization's Charitable Use	1,000 00
Wounded Warrior Project	NONE	Tax-Exempt	For the Organization's Charitable Use	250 00
				<u>91,650.00</u>