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EXTENDED TO AUGUST 15, 2016

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2015

Open to Public Inspection

Form 990-PF

Department of the Treasury
Internal Revenue Service

For calendar year 2015 or tax year beginning

, and ending

Name of foundation

THE YASPAN-UNTERBERG FOUNDATION, INC.
C/O RICHARD YASPAN

A Employer identification number

13-3608916

Number and street (or P.O. box number if mail is not delivered to street address)

36 PEMBROKE DRIVE

Room/suite

B Telephone number

516-448-5475

City or town, state or province, country, and ZIP or foreign postal code

GLEN COVE, NY 11542

C If exemption application is pending, check here ☐

G Check all that apply:

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name changeD 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundationE If private foundation status was terminated under section 507(b)(1)(A), check here ☐

I Fair market value of all assets at end of year

(from Part II, col (c), line 16)

J Accounting method:

☒ Cash☐ Accrual☐ Other (specify)F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

>\$ 2,562,572.

(Part I, column (d) must be on cash basis)

Part I

Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received			N/A	
2 Check ☒ if the foundation is not required to attach Sch. B				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	65,259.	65,259.		STATEMENT 1
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	33,120.			
b Gross sales price for all assets on line 6a	1,908,679.			
7 Capital gain net income (from Part IV, line 2)		33,120.		
8 Net short-term capital gain				
9 Income modifications			2,500.	
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss)				
11 Other income				
12 Total. Add lines 1 through 11	98,379.	98,379.	2,500.	
13 Compensation of officers, directors, trustees, etc.	0.	0.		0.
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees				
b Accounting fees	14,000.	7,000.		7,000.
c Other professional fees				
17 Interest	5.	5.		0.
18 Taxes	1,102.	1,102.		0.
19 Depreciation and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses	16,355.	16,061.		294.
24 Total operating and administrative expenses. Add lines 13 through 23	31,462.	24,168.		7,294.
25 Contributions, gifts, grants paid	236,200.			236,200.
26 Total expenses and disbursements. Add lines 24 and 25	267,662.	24,168.		243,494.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	-169,283.			
b Net investment income (if negative, enter -0-)		74,211.		
c Adjusted net income (if negative, enter -0-)			2,500.	

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LHA For Paperwork Reduction Act Notice, see instructions.

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Form 990-PF (2015)

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THE YASPAN-UNTERBERG FOUNDATION, INC.
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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	73,741.	25,747.	25,747.
	2 Savings and temporary cash investments	130,425.	279,372.	279,372.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 5	1,308,108.	1,516,056.	1,908,221.
	c Investments - corporate bonds STMT 6	822,029.	346,345.	349,232.
	11 Investments - land, buildings, and equipment basis ▶			
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other				
14 Land, buildings, and equipment basis ▶				
Less: accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	2,334,303.	2,167,520.	2,562,572.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ☒ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	2,334,303.	2,167,520.	
	30 Total net assets or fund balances	2,334,303.	2,167,520.	
31 Total liabilities and net assets/fund balances	2,334,303.	2,167,520.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,334,303.
2 Enter amount from Part I, line 27a	2	-169,283.
3 Other increases not included in line 2 (itemize) ▶ PRIOR YEAR GRANTS RETURNED	3	2,500.
4 Add lines 1, 2, and 3	4	2,167,520.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	2,167,520.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a NEUBERGER BERMAN #6799 - SEE ATTACHED	P	01/01/15	12/31/15
b NEUBERGER BERMAN #6799 - SEE ATTACHED	P	01/01/14	12/31/15
c CASH IN LIEU	P	01/01/15	12/31/15
d CAPITAL GAINS DIVIDENDS			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 852,199.		926,327.	-74,128.
b 1,055,344.		949,232.	106,112.
c 11.			11.
d 1,125.			1,125.
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			-74,128.
b			106,112.
c			11.
d			1,125.
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2	33,120.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2014	250,096.	3,067,000.	.081544
2013	173,265.	2,853,321.	.060724
2012	154,519.	2,543,529.	.060750
2011	150,891.	2,480,292.	.060836
2010	174,115.	2,271,092.	.076666

2 Total of line 1, column (d)	2	.340520
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.068104
4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	4	2,733,472.
5 Multiply line 4 by line 3	5	186,160.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	742.
7 Add lines 5 and 6	7	186,902.
8 Enter qualifying distributions from Part XII, line 4	8	243,494.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	742.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	742.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	742.
6 Credits/Payments:			
a 2015 estimated tax payments and 2014 overpayment credited to 2015	6a	10,263.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	10,263.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	9,521.	
11 Enter the amount of line 10 to be: Credited to 2016 estimated tax ☒ 9,521. Refunded ☐	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☒ \$ 0. (2) On foundation managers. ☒ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☒ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	X	
14 The books are in care of ► RICHARD YASPAN Telephone no. ► 516-448-5475 Located at ► 36 PEMBROKE DRIVE, GLEN COVE, NY ZIP+4 ► 11542		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year		N/A
16 At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ☐ N/A c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?		
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? ☐ Yes ☒ No If "Yes," list the years ► b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) ☐ N/A c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No b If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015) ☐ N/A		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
RICHARD YASPAN	PRESIDENT			
36 PEMBROKE DRIVE				
GLEN COVE, NY 11542	1.00	0.	0.	0.
DAVID YASPAN	TREASURER AND SECRETARY			
71 SHELDRAKE RD				
SCARSDALE, NY 10583	1.00	0.	0.	0.
PEGGY SHAPIRO	DIRECTOR			
15 VAN TASSEL LN				
ORINDA, CA 94563	1.00	0.	0.	0.
JANET MELTZER	DIRECTOR			
19871 GRANDVIEW DRIVE				
LOS ANGELES, CA 90290	1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1	N/A	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Amount

1	N/A	

All other program-related investments. See instructions.

3		

Total. Add lines 1 through 3

0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	2,583,000.
b	Average of monthly cash balances	1b	192,098.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	2,775,098.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	2,775,098.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	41,626.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,733,472.
6	Minimum investment return. Enter 5% of line 5	6	136,674.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	136,674.
2a	Tax on investment income for 2015 from Part VI, line 5	2a	742.
b	Income tax for 2015. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	742.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	135,932.
4	Recoveries of amounts treated as qualifying distributions	4	2,500.
5	Add lines 3 and 4	5	138,432.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	138,432.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	243,494.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	243,494.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	742.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	242,752.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2015)

THE YASPAN-UNTERBERG FOUNDATION, INC.
C/O RICHARD YASPAN

Form 990-PF (2015)

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				138,432.
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2015:				
a From 2010	64,004.			
b From 2011	30,144.			
c From 2012	32,091.			
d From 2013	42,735.			
e From 2014	100,540.			
f Total of lines 3a through e	269,514.			
4 Qualifying distributions for 2015 from Part XII, line 4: ▶ \$	243,494.			
a Applied to 2014, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2015 distributable amount	105,062.			138,432.
e Remaining amount distributed out of corpus	0.			0.
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:	374,576.			
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2014. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7	64,004.			
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a	310,572.			
10 Analysis of line 9:				
a Excess from 2011	30,144.			
b Excess from 2012	32,091.			
c Excess from 2013	42,735.			
d Excess from 2014	100,540.			
e Excess from 2015	105,062.			

THE YASPAN-UNTERBERG FOUNDATION, INC.
C/O RICHARD YASPAN

Form 990-PF (2015)

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Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2015	(b) 2014	(c) 2013	(d) 2012	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

THE YASPAN-UNTERBERG FOUNDATION, INC.

Form 990-PF (2015)

C/O RICHARD YASPAN

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Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
ALZHEIMERS ASSOCIATION 5900 WILSHIRE BLVD, STE 1100 LOS ANGELES, CA 90036	NONE	PUBLIC CHARITY	GENERAL	1,000.
AMERICAN CANCER SOCIETY 6725 LYONS ST PO BOX 7 EAST SYRACUSE, NY 13057	NONE	PUBLIC CHARITY	GENERAL	1,000.
AMERICAN JEWISH WORLD SERVICES 45 WEST 36TH STREET NEW YORK, NY 10018	NONE	PUBLIC CHARITY	GENERAL	500.
AMERICAN RED CROSS 520 WEST 49TH STREET NEW YORK, NY 10019	NONE	PUBLIC CHARITY	GENERAL	1,000.
BCEFA 165 W 46TH ST. #1300 NEW YORK, NY 10036	NONE	PUBLIC CHARITY	GENERAL	1,000.
Total	SEE CONTINUATION SHEET(S)			236,200.
b Approved for future payment				
NONE				
Total				0.

523611
11-24-15

Form 990-PF (2015)

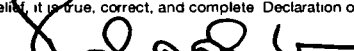
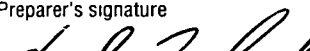
Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|--|---|-------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| | a Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| | b Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| | c Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N / A		

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.				May the IRS discuss this return with the preparer shown below (see instr.)? ☒ Yes ☐ No
	 Signature of officer or trustee	5/25/16 Date	PRESIDENT Title		
Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
	KENNETH LINDENBAUM		5/23/16		P00049072
	Firm's name ▶ RAICH ENDE MALTER AND CO LLP			Firm's EIN ▶ 11-2336434	
	Firm's address ▶ 175 BROADHOLLOW ROAD SUITE 250 MELVILLE, NY 11747			Phone no. 516-228-9000	

THE YASPAN-UNTERBERG FOUNDATION, INC.
C/O RICHARD YASPAN

13-3608916

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
BETH ISRAEL HOSPITAL 555 W. 57TH ST., 18TH FL NEW YORK, NY 10019	NONE	PUBLIC CHARITY	GENERAL	10,000.
BLYTHESDALE CHILDRENS HOSPITAL 95 BRADHURST AVENUE VALHALLA, NY 10595	NONE	PUBLIC CHARITY	GENERAL	1,000.
BREAST CANCER RESEARCH FOUNDATION 60 EAST 56TH STREET, 8TH FL NEW YORK, NY 10022	NONE	PUBLIC CHARITY	GENERAL	1,000.
CAMP INTERACTIVE 2865 UNIVERSITY AVE, E-3 BRONX, NY 10468	NONE	PUBLIC CHARITY	GENERAL	1,500.
CAPS PO BOX 176 ROSLYN, NY 11576	NONE	PUBLIC CHARITY	GENERAL	300.
CHILD CARE COUNCIL OF WESTCHESTER 313 CENTRAL PARK AVENUE SCARSDALE, NY 10583	NONE	PUBLIC CHARITY	GENERAL	250.
CHILDRENS HOSPITAL OF LA 4650 SUNSET BLVD., #29 LOS ANGELES, CA 90027	NONE	PUBLIC CHARITY	GENERAL	12,500.
COHENS CHILDREN'S MEDICAL CENTER 269-01 76TH AVE. NEW HYDE PARK, NY 11040	NONE	PUBLIC CHARITY	GENERAL	1,000.
CROHN'S & COLITIS FOUNDATION 733 THIRD AVENUE, SUITE 510 NEW YORK, NY 10017	NONE	PUBLIC CHARITY	GENERAL	1,000.
DEPT. OF PEDIATRICS MT. SINAI 1184 5TH AVE. NEW YORK, NY 10029	NONE	PUBLIC CHARITY	GENERAL	1,000.
Total from continuation sheets				231,700.

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04-01-15

THE YASPAN-UNTERBERG FOUNDATION, INC.
C/O RICHARD YASPAN

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Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)				
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
DRISTI NEPAL INC 4730 LA VILLA MARINA MARINA DEL REY, CA 90292	NONE	PUBLIC CHARITY	GENERAL	1,000.
FOOD BANK FOR NYC 39 BROADWAY NEW YORK, NY 10038	NONE	PUBLIC CHARITY	GENERAL	2,000.
FOOD BANK FOR WESTCHESTER 200 CLEARBROOK RD. ELMSFORD, NY 10523	NONE	PUBLIC CHARITY	GENERAL	500.
GEFFEN PLAYHOUSE 10886 LE CONTE AVE LOS ANGELES, LA 90024	NONE	PUBLIC CHARITY	GENERAL	1,000.
GILDAS PLACE 80 MAPLE AVENUE WHITE PLAINS, NY 10601	NONE	PUBLIC CHARITY	GENERAL	500.
GOD'S LOVE WE DELIVER 166 AVE OF AMERICAS NEW YORK, NY 10013	NONE	PUBLIC CHARITY	GENERAL	500.
GREEN CHIMNEY'S 400 DOANSBURG RD BREWSTER, NY 10509	NONE	PUBLIC CHARITY	GENERAL	1,000.
HABITAT FOR HUMANITY - NYC 111 JOHN STREET, 23RD FLOOR NEW YORK, NY 10038	NONE	PUBLIC CHARITY	GENERAL	1,000.
HAGADORN LITTLE VILLAGE SCHOOL 750 HICKSVILLE RD. SEAFORD, NY 11783	NONE	PUBLIC CHARITY	GENERAL	1,000.
HEBREW IMMIGRANT AID SOCIETY 333 7TH AVE #1600 NEW YORK, NY 10001	NONE	PUBLIC CHARITY	GENERAL	1,000.
Total from continuation sheets				

THE YASPAN-UNTERBERG FOUNDATION, INC.
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Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
HILLEL OF BROWARD COUNTY 777 GLADES RD, BLDG LY-3A BOCA RATON, FL 33431	NONE	PUBLIC CHARITY	GENERAL	18,000.
HOLY CROSS COLLEGE 1 COLLEGE ST. WORCESTER, MA 01610	NONE	PUBLIC CHARITY	GENERAL	1,000.
HUMANE SOCIETY 306 E 59TH ST NEW YORK, NY 10022	NONE	PUBLIC CHARITY	GENERAL	650.
INTERNATIONAL RESCUE COMMITTEE 122 EAST 42ND STREET NEW YORK, NY 10168	NONE	PUBLIC CHARITY	GENERAL	1,000.
IRAQ AND AFGHANISTAN VETERANS OF AMERICA 292 MADISON AVE, 10TH FL NEW YORK, NY 10017	NONE	PUBLIC CHARITY	GENERAL	1,000.
ISRAEL GUIDE DOG CENTER FOR THE BLIND 968 EASTON ROAD, SUITE H WARRINGTON, PA 18976	NONE	PUBLIC CHARITY	GENERAL	500.
JEWISH FEDERATION - L.A. 6505 WILSHIRE BLVD LOS ANGELES, CA 90048	NONE	PUBLIC CHARITY	GENERAL	15,000.
JEWISH FEDERATION - SPBC 300 GRAND AVENUE OAKLAND, CA 94610	NONE	PUBLIC CHARITY	GENERAL	25,000.
JEWISH PARTISAN EDUCATIONAL FOUNDATION 2107 VAN NESS AVENUE, SUITE 302 SAN FRANCISCO, CA 94109	NONE	PUBLIC CHARITY	GENERAL	1,000.
JEWISH VOCATIONAL SERVICES 6505 WILSHIRE BLVD LOS ANGELES, CA 90048	NONE	PUBLIC CHARITY	GENERAL	1,000.
Total from continuation sheets				

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THE YASPAN-UNTERBERG FOUNDATION, INC.
C/O RICHARD YASPAN

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Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
JEWISH WOMEN FOUNDATION 30 S. WELLS STREET CHICAGO, IL 60606	NONE	PUBLIC CHARITY	GENERAL	500.
JOSHUA STAMM MEMORIAL SCHOLARSHIP FUND 30 SCOTT'S CORNERS DRIVE, STE 203 MONTGOMERY, NY 12549	NONE	PUBLIC CHARITY	GENERAL	1,000.
KIDS IN DISTRESS 819 NE 26TH STREET FORT LAUDERDALE, FL 33305	NONE	PUBLIC CHARITY	GENERAL	1,500.
L.I. CADDIE SCHOLARSHIP FUND 114 OLD COUNTRY ROAD, STE LL80 MINEOLA, NY 11501	NONE	PUBLIC CHARITY	GENERAL	500.
LETS GET READY 50 BROADWAY, SUITE 806 NEW YORK, NY 10004	NONE	PUBLIC CHARITY	GENERAL	11,000.
LONG ISLAND WINS PO BOX 210 OLD WESTBURY, NY 11568	NONE	PUBLIC CHARITY	GENERAL	1,000.
LOUISIANA CENTER FOR CHILDRENS RIGHTS 1100-B MILTON ST NEW ORLEANS, LA 70122	NONE	PUBLIC CHARITY	GENERAL	1,000.
LYMPHATIC EDUCATION & RESEARCH NETWORK 261 MADISION AVE., 10TH FL NEW YORK, NY 10016	NONE	PUBLIC CHARITY	GENERAL	1,000.
MASS AUDOBON'S SANCTUARY SILVER STREET SHEFFIELD, MA 01257	NONE	PUBLIC CHARITY	GENERAL	500.
MEALS ON WHEELS 100 GARDEN CITY PLAZA, SUITE 100 GARDEN CITY, NY 11530	NONE	PUBLIC CHARITY	GENERAL	2,000.
Total from continuation sheets				

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THE YASPAN-UNTERBERG FOUNDATION, INC.
C/O RICHARD YASPAN

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Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
METRO CARING PO BOX 300459 DENVER, CO 80203	NONE	PUBLIC CHARITY	GENERAL	2,000.
MOTHER BEAR PROJECT PO BOX 62188 MINNEAPOLIS, MN 55426	NONE	PUBLIC CHARITY	GENERAL	500.
NATIONAL COUNCIL OF JEWISH WOMEN 475 RIVERSIDE DRIVE NEW YORK, NY 10115	NONE	PUBLIC CHARITY	GENERAL	8,000.
NEW ROCHELLE HUMANE SOCIETY 70 PORTMAN RD NEW ROCHELLE, NY 10801	NONE	PUBLIC CHARITY	GENERAL	500.
NEW YORK PUBLIC LIBRARY FIFTH AVENUE & 42ND ST NEW YORK, NY 10018	NONE	PUBLIC CHARITY	GENERAL	1,000.
NEWBORNS IN NEED 3323 TRABSOU ROAD PFAFFTOWN, NC 27040	NONE	PUBLIC CHARITY	GENERAL	1,000.
OPERATION SPARK 1911 TERPSICHOE STREET NEW ORLEANS, LA 70113	NONE	PUBLIC CHARITY	GENERAL	1,000.
PALISADES CHAMBER OF COMMERCE 15330 ANTIOCH ST. PACIFIC PALISADES, CA 90272	NONE	PUBLIC CHARITY	GENERAL	500.
PALISADES CHARTER HS- BOOSTER CLUB 15777 BOWDOIN STREET PACIFIC PALISADES, CA 90272	NONE	PUBLIC CHARITY	GENERAL	1,000.
PALISADES PRIDE 15330 ANTIOCH ST. PACIFIC PALISADES, CA 90272	NONE	PUBLIC CHARITY	GENERAL	500.
Total from continuation sheets				

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THE YASPAN-UNTERBERG FOUNDATION, INC.
C/O RICHARD YASPAN

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Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)				
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
PROJECT ALIVE P.O. BOX 384 THOMPSON'S STATION, TN 37179	NONE	PUBLIC CHARITY	GENERAL	1,000.
PROJECT ALS 801 RIVERSIDE DRIVE, ST 6G NEW YORK, NY 10032	NONE	PUBLIC CHARITY	GENERAL	2,500.
SCARSDALE VOLUNTEER AMBULANCE 5 WEAVER STREET SCARSDALE, NY 10583	NONE	PUBLIC CHARITY	GENERAL	1,000.
SHARE OUR STRENGTH 1030 15TH STREET, NW, STE 1100W WASHINGTON, DC 20005	NONE	PUBLIC CHARITY	GENERAL	1,000.
SMILE TRAIN 41 MADISON AVENUE, 28TH FLOOR NEW YORK, NY 10010	NONE	PUBLIC CHARITY	GENERAL	500.
SOUTHBOROUGH EDUCATION FDN P.O. BOX 365 SOUTHBOROUGH, MA 01772	NONE	PUBLIC CHARITY	GENERAL	1,000.
SPCA OF WESTCHESTER 590 NORTH STATE ROAD BRIARCLIFF MANOR, NY 10510	NONE	PUBLIC CHARITY	GENERAL	500.
STARKEY HEARING FDN 6700 WASHINGTON AVE S EDEN PRAIRIE, MN 55344	NONE	PUBLIC CHARITY	GENERAL	2,000.
STUDENT ADVOCACY 3 W MAIN ST #212 ELMSFORD, NY 10523	NONE	PUBLIC CHARITY	GENERAL	500.
SUNNY NEW PALTZ - DORSKY MUSEUM 1 HAWK DRIVE NEW PALTZ, NY 12561	NONE	PUBLIC CHARITY	GENERAL	500.
Total from continuation sheets				

THE YASPAN-UNTERBERG FOUNDATION, INC.
C/O RICHARD YASPAN

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Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
TEMPLE BNAI SHALOM 15 FERN RD EAST BRUNSWICK, NJ 08816	NONE	PUBLIC CHARITY	GENERAL	3,500.
TEMPLE EMANUEL 1 E 65TH ST NEW YORK, NY 10065	NONE	PUBLIC CHARITY	GENERAL	3,000.
TEMPLE ISRAEL 112 E. 75TH ST. NEW YORK, NY 10021	NONE	PUBLIC CHARITY	GENERAL	2,000.
TEMPLE KOL TIKVAH 20400 VENTURA BLVD WOODLAND HILLS, CA 91364	NONE	PUBLIC CHARITY	GENERAL	500.
TEMPLE SINAI 120 HARBOR HILL ROAD EAST HILLS, NY 11577	NONE	PUBLIC CHARITY	GENERAL	4,800.
THE ACCELERATION PROJECT P.O. BOX 335 SCARSDALE, NY 10583	NONE	PUBLIC CHARITY	GENERAL	200.
THE BOWERY MISSION 227 BOWERY NEW YORK, NY 10002	NONE	PUBLIC CHARITY	GENERAL	500.
THE FIRST 72 PLUS 3536 SAINT FERDINAND ST NEW ORLEANS, LA 70126	NONE	PUBLIC CHARITY	GENERAL	1,000.
THE FUND FOR SYRACUSE 820 COMSTOCK AVENUE SYRACUSE, NY 13244	NONE	PUBLIC CHARITY	GENERAL	5,000.
THE LOVE OF JEMMA- ALS ASSOCIATION 42 BROADWAY SUITE 1724 NEW YORK, NY 10004	NONE	PUBLIC CHARITY	GENERAL	500.
Total from continuation sheets				

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THE YASPAN-UNTERBERG FOUNDATION, INC.
C/O RICHARD YASPAN

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Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
THE PROMISES FDN INGLEWOOD BLVD LOS ANGELES, CA 90066	NONE	PUBLIC CHARITY	GENERAL	5,000.
TUFTS UNIVERSITY PARENTS FUND PO BOX 3306 BOSTON, MA 02241	NONE	PUBLIC CHARITY	GENERAL	1,500.
UC BERKELEY HILLEL 2736 BANCROFT WAY BERKELEY, CA 94704	NONE	PUBLIC CHARITY	GENERAL	1,500.
UJA FEDERATION OF NEW YORK 130 EAST 59TH STREET NEW YORK, NY 10022	NONE	PUBLIC CHARITY	GENERAL	32,000.
UNITED WAY 819 GRAND BOULEVARD DEER PARK, NY 11729	NONE	PUBLIC CHARITY	GENERAL	1,000.
UNIVERSITY OF SOUTHERN CALIFORNIA UNIVERSITY OF SOUTHERN CALIFORNIA LOS ANGELES, CA 90089	NONE	PUBLIC CHARITY	GENERAL	5,500.
USC - JEWISH LEADERSHIP FUND UNIVERSITY OF SOUTHERN CALIFORNIA LOS ANGELES, CA 90089	NONE	PUBLIC CHARITY	GENERAL	5,000.
USC HILLEL FOUNDATION 3300 SOUTH HOOVER STREET LOS ANGELES, CA 90007	NONE	PUBLIC CHARITY	GENERAL	1,000.
WALLIS ANNENBERG CENTER 9390 N SANTA MONICA BLVD BEVERLY HILLS, CA 90210	NONE	PUBLIC CHARITY	GENERAL	1,000.
WESLEYAN ANNUAL FUND 318 HIGH STREET MIDDLETOWN, CT 06459	NONE	PUBLIC CHARITY	GENERAL	500.
Total from continuation sheets				

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3 Grants and Contributions Paid During the Year (Continuation)

Total from continuation sheets

NEUBERGER BERMAN LLC OH4-RM00 P O BOX 183211 COLUMBUS, OH 43218 FOR UNDELIVERABLE MAIL ONLY		Account No. 541-26799	NEUBERGER BERMAN	
Account Name YASPAN-UNTERBERG FDN INC		Account No. 541-26799		
Recipient's Identification Number. XX-XXX8916		Account No. 541-26799		
Account Executive No 345		Account No. 541-26799		
Telephone Number (212) 476-5505		Account No. 541-26799		
CORRECTED:		Account No. 541-26799		
03/25/16		Account No. 541-26799		

2015 Supplemental Gain or (Loss) Information
Short-Term Gain or (Loss) from Transactions not Reported to IRS

Date of Sale or Exchange	Date of Acquisition	Description	Symbol	Quantity	Gross Proceeds, Less Commission and Option Premium	Cost or Other Basis	REALIZED GAIN/(LOSS)
11/06/15	H 12/04/14	ACTIVISION BLIZZARD INC	ATVI 00507V109	1,350 00000	47,315 14	29,371 28	17,943 86
11/23/15	H 07/17/15	***ALLERGAN PLC	AGN G0177J108	50 00000	15,183 72	15,697 17	(513 45)
04/02/15	H 11/12/14	CHENIERE ENERGY PARTNERS LP HOLDINGS LLC COM REPSTG LTD LIABILITY CO INTS	CQH 16411W108	2,500 00000	60,520 63	60,293 75	226 88
10/08/15	H 08/05/15	EBAY INC	EBAY 278642103	2,250 00000	54,091 03	64,314 00	(10,222 97)
11/06/15	H 08/26/15	HCA HOLDINGS INC	HCA 40412C101	100 00000	6,950 42	8,502 12	(1,551 70)
11/06/15	H 08/26/15	HCA HOLDINGS INC	HCA 40412C101	650 00000	45,177 75	55,263 78	(10,086 03)
01/16/15	H 08/07/14	***HOEGH LNG PARTNERS LP COMMON UNITS REPRESENTING LIMITED PARTNER INTERESTS	HMLP Y3262R100	1,200 00000	21,359 89	24,000 00	(2,640 11)
01/16/15	H 09/05/14	***HOEGH LNG PARTNERS LP COMMON UNITS REPRESENTING LIMITED PARTNER INTERESTS	HMLP Y3262R100	1,550 00000	27,589 85	38,277 87	(10,688 02)
07/23/15	H 02/24/15	INTERNATIONAL BUSINESS MACHINES CORP	IBM 459200101	400 00000	64,610 05	65,711 68	(1,101 63)
09/24/15	H 06/09/15	LINCOLN NATIONAL CORP-IND	LNC 534187109	1,000 00000	46,940 43	59,805 70	(12,865 27)
05/01/15	H 03/26/15	OLD DOMINION FREIGHT LINES INC	ODFL 679580100	150 00000	10,771 30	11,510 09	(738 79)
06/01/15	H 03/26/15	OLD DOMINION FREIGHT LINES INC	ODFL 679580100	400 00000	27,089 78	30,693 56	(3,603 78)

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NEUBERGER Berman LLC OH4-RM00 P O BOX 183211 COLUMBUS, OH 43218 FOR UNDELIVERABLE MAIL ONLY		Account No: 541-26799 Account Name: YASPAN-UNTERBERG FDN INC Recipient's Identification Number: XX-XXX8916 Account Executive No: 345 Telephone Number (212) 476-5505	NEUBERGER BERMAN
CORRECTED. 03/25/16			

2015 Supplemental Gain or (Loss) Information (continued)
Short-Term Gain or (Loss) from Transactions not Reported to IRS

Date of Sale or Exchange	Date of Acquisition	Description	Symbol	Quantity	Gross Proceeds Less Commission and Option Premium	Cost or Other Basis	Realized Gain/Loss
07/23/15	H	ORACLE CORPORATION	ORCL 68389X105	1,250 00000	48,848.98	54,537.75	(5,688.77)
11/23/15	H	PFIZER INC	PFE 717081103	2,750 00000	86,183.41	94,800.75	(8,617.34)
01/13/15	H	SANDISK CORP	SNDK 80004C101	750 00000	60,965.48	74,879.70	(13,914.22)
07/28/15	H	STARWOOD HOTELS & RESORTS WORLDWIDE INC	HOT 85590A401	175.00000	14,191.93	14,250.95	(59.02)
08/13/15	H	STARWOOD HOTELS & RESORTS WORLDWIDE INC	HOT 85590A401	675 00000	51,236.61	54,967.95	(3,731.34)
09/28/15	H	TIME WARNER INC	TWX 887317303	750 00000	50,083.17	63,669.98	(13,586.81)
09/11/15	H	***TEVA PHARMACEUTICAL INDUSTRIES LIMITED ADR	TEVA 881624209	750 00000	47,625.47	42,439.28	5,186.19
05/01/15	H	VERISK ANALYTICS INC COM	VRSK 92345Y106	250 00000	18,864.03	14,995.98	3,868.05
07/21/15	H	***WILLIS GROUP HOLDINGS PLC	G96666105	1,000 00000	46,599.74	48,343.90	(1,744.16)
21 ITEMS - Total Short-Term Not Reported Transactions Gain or (Loss)					852,198.81	926,327.24	(74,128.43)

2015 Supplemental Gain or (Loss) Information
Long-Term Gain or (Loss) from Transactions not Reported to IRS

Date of Sale or Exchange	Date of Acquisition	Description	Symbol	Quantity	Gross Proceeds Less Commission and Option Premium	Cost or Other Basis	Realized Gain/Loss
03/12/15	H	AMERICAN TOWER CORPORATION REIT	AMT 03027X100	350 00000	33,456.55	9,548.66	23,907.89

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NEUBERGER BERMAN LLC OH4-RM00 P O BOX 183211 COLUMBUS, OH 43218 FOR UNDELIVERABLE MAIL ONLY		Account No 541-26799	NEUBERGER BERMAN	
Telephone Number (212) 476-5505		Account Name YASPAN-UNTERBERG FDN INC		
		Recipient's Identification Number XX-XXX8916		
		Account Executive No 345		
		CORRECTED		
		03/25/16		

2015 Supplemental Gain or (Loss) Information (continued)
Long-Term Gain or (Loss) from Transactions not Reported to IRS

Date of Sale or Exchange	Date of Acquisition	Description	Symbol CUSIP	Quantity	Gross Proceeds Less Commission and Option Premium	Cost or Other Basis	REALIZED GAIN/(LOSS)
07/20/15 H	08/29/13	BORG WARNER AUTOMOTIVE INC	BWA 099724106	450.00000	23,428.59	22,072.43	1,356.16
05/29/15 H	09/25/13	CHENIERE ENERGY INC COM	LNG 16411R208	250.00000	19,099.64	8,231.00	10,868.64
12/07/15 H	09/25/13	CHENIERE ENERGY INC COM	LNG 16411R208	1,250.00000	51,346.30	41,155.00	10,191.30
03/18/15 H	10/16/02	ENBRIDGE ENERGY MANAGEMENT LLC SHS UNITS REPSTG LTD LIABILITY COMPANY INTERESTS	EEQ 29250X103	1,100.00000	39,591.68	7,448.24 T	32,143.44
03/24/15 H	10/09/13	IHS INC CLA	IHS 451734107	125.00000	13,846.73	13,683.59	163.14
03/24/15 H	05/20/13	IHS INC CLA	IHS 451734107	500.00000	55,386.93	51,963.70	3,423.23
03/10/15 H	01/31/08	ITC HOLDINGS CORP	ITC 465685105	600.00000	21,799.81	10,325.94	11,473.87
04/22/15 H	09/25/13	INTUIT INC	INTU 461202103	75.00000	7,486.09	4,974.60	2,511.49
04/22/15 H	04/03/13	JOHNSON & JOHNSON	JNJ 478160104	50.00000	5,030.03	4,101.80	928.23
03/09/15 H	05/25/12	NEXTERA ENERGY INC	NEE 65339F101	175.00000	17,362.11	11,463.83	5,898.28
03/09/15 H	01/29/14	NEXTERA ENERGY INC	NEE 65339F101	250.00000	24,803.02	22,136.85	2,666.17
06/08/15 H	04/17/12	STARWOOD PROPERTY TRUST INC	STWD 85571B105	750.00000	17,295.06	11,316.68 T	5,978.38

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NEUBERGER BERMAN LLC OH4-RM00 P O BOX 183211 COLUMBUS, OH 43218 FOR UNDELIVERABLE MAIL ONLY		Account No: 541-26799 Account Name YASPAN-UNTERBERG FDN INC Recipient's Identification Number XX-XXX8916 Account Executive No 345 Telephone Number (212) 476-5505	NEUBERGER BERMAN
CORRECTED: 03/25/16			

2015 Supplemental Gain or (Loss) Information (continued)
Long-Term Gain or (Loss) from Transactions not Reported to IRS

Date of Sale or Exchange	Date of Acquisition	Description	Symbol	Quantity	Gross Proceeds Less Commission and Option Premium	Cost or Other Basis	Realized Gain/Loss
06/08/15 H	11/26/10	STARWOOD PROPERTY TRUST INC	STWD 85571B105	2,000 00000	46,120 15	30,128 66 T	15,991 49
01/22/15 H	10/23/12	**SEADRILL PARTNERS LLC COM UNIT REPGTG LTD LIABILITY CO INT	SDLP Y7545W109	1,750 00000	23,103 68	35,334 92	(12,231 24)
12/17/15 H	06/29/10	**TEEKAY CORPORATION MARSHALL ISLAND	TK Y8564W103	250 00000	1,884 26	6,871 33	(4,987 07)
12/17/15 H	12/31/12	**TEEKAY CORPORATION MARSHALL ISLAND	TK Y8564W103	500 00000	3,768 53	15,552 10	(11,783 57)
12/17/15 H	06/14/10	**TEEKAY CORPORATION MARSHALL ISLAND	TK Y8564W103	1,250 00000	9,421 33	34,887 75	(25,466 42)
12/16/15 H	06/18/08	**TEEKAY OFFSHORE PARTNERS LP	TOO Y8565J101	2,750 00000	21,783 72	16,641 52 T	5,142 20
*03/10/15 H	12/06/10	TARGA RESOURCES CORP	TRGP 87612G101	800 00000	77,220 41	17,122 60	60,097 81
11/24/15 H	08/29/13	**TRANSALTA RENEWABLES INC NPV	TRSWF 893463109	2,500 00000	18,692 90	23,930 00	(5,237 10)
11/24/15 H	08/09/13	**TRANSALTA RENEWABLES INC NPV	TRSWF 893463109	2,500 00000	18,692 91	24,318 50	(5,625 59)
04/01/15 H	03/25/14	**VERESEN INC		1,850 00000	24,028 65	27,862 50	(3,833 85)
04/01/15 H	01/31/14	**VERESEN INC		2,100 00000	27,275 77	28,002 03	(726 26)
11/23/15 H	07/09/12	**TEEKAY CORP SR NT	87900YAA1	25,000 00000	24,562 50	25,498 89	(936 39)
11/23/15 H	01/08/14	**TEEKAY CORP SR NT	87900YAA1	75,000 00000	73,687 50	80,040 84	(6,353 34)

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NEUBERGER BERMAN LLC OH4-RM00 P O BOX 183211 COLUMBUS, OH 43218 FOR UNDELIVERABLE MAIL ONLY		Account No 541-26799	NEUBERGER BERMAN	
		Account Name YASPAN-UNTERBERG FDN INC		
		Recipient's Identification Number XX-XXX8916		
		Account Executive No 345		
Telephone Number, (212) 476-5505		CORRECTED.		
		03/25/16		

2015 Supplemental Gain or (Loss) Information (continued)
Long-Term Gain or (Loss) from Transactions not Reported to IRS

Date of Sale or Exchange	Date of Acquisition	Description	Symbol CUSIP	Quantity	Gross Proceeds Less Commission and Options Premium	Cost or Other Basis	REALIZED GAIN/(LOSS)
01/05/15 H	12/12/13	RANGE RES CORP SR SUB NT	75281AAL3	100,000 00000	104,000 00	107,534 89	(3,534 89)
08/27/15 H	05/13/14	GENESIS ENERGY LP / GENESIS ENERGY FIN CORP	37185LAF9	5,000 00000	4,450 00	5,075 00	(625 00)
08/27/15 H	05/12/14	GENESIS ENERGY LP / GENESIS ENERGY FIN CORP	37185LAF9	20,000 00000	17,800 00	20,000 00	(2,200 00)
07/29/15 H	05/13/14	GENESIS ENERGY LP / GENESIS ENERGY FIN CORP	37185LAF9	25,000 00000	23,281 25	25,375 00	(2,093 75)
05/21/15 H	01/27/12	GENESIS ENERGY LP / GENESIS FIN SR NT	37185LAB8	25,000 00000	26,100 00	25,649 81	450 19
06/29/15 H	03/13/14	UNITED RENTALS NORTH AMER INC SENIOR NOTES	911365AX2	5,000 00000	5,087 50	5,277 14	(189 64)
06/29/15 H	03/13/14	UNITED RENTALS NORTH AMER INC SENIOR NOTES	911365AX2	45,000 00000	45,787 50	47,394 90	(1,607 40)
06/25/15 H	03/13/14	UNITED RENTALS NORTH AMER INC SENIOR NOTES	911365AX2	50,000 00000	51,375 00	52,774 58	(1,399 58)
12/28/15 H	04/10/14	CROWN CASTLE INTL CORP SENIOR NOTES	228227BE3	75,000 00000	77,288 25	75,536 28	1,751 97
35 ITEMS - Total Long-Term Not Reported Transactions Gain or (Loss)					1,055,344.35	949,231.56	106,112.79

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FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	1
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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
DIVIDEND & INTEREST	66,384.	1,125.	65,259.	65,259.	
TO PART I, LINE 4	66,384.	1,125.	65,259.	65,259.	

FORM 990-PF	ACCOUNTING FEES	STATEMENT	2
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
RAICH ENDE MALTER	14,000.	7,000.		7,000.
TO FORM 990-PF, PG 1, LN 16B	14,000.	7,000.		7,000.

FORM 990-PF	TAXES	STATEMENT	3
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES	1,102.	1,102.		0.
TO FORM 990-PF, PG 1, LN 18	1,102.	1,102.		0.

FORM 990-PF	OTHER EXPENSES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BANK CHARGES	44.	0.		44.
INVESTMENT ADV FEES	16,061.	16,061.		0.
NYS FILING FEE	250.	0.		250.
TO FORM 990-PF, PG 1, LN 23	16,355.	16,061.		294.

FORM 990-PF	CORPORATE STOCK	STATEMENT	5
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE STATEMENT ATTACHED	1,516,056.	1,908,221.
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,516,056.	1,908,221.

FORM 990-PF	CORPORATE BONDS	STATEMENT	6
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE STATEMENT ATTACHED	346,345.	349,232.
TOTAL TO FORM 990-PF, PART II, LINE 10C	346,345.	349,232.
