

For calendar year 2015, or tax year beginning 01-01-2015 , and ending 12-31-2015

Name of foundation TRUST FOR MUTUAL UNDERSTANDING		<b>A Employer identification number</b> 13-3212724	
% ROCKEFELLER TRUST COMPANYN		<b>B</b> Telephone number (see instructions) (212) 549-5544	
Number and street (or P O box number if mail is not delivered to street address) C/O RTC NA PO BOX 5016		Room/suite	
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 101855016		<b>C</b> If exemption application is pending, check here <input type="checkbox"/>	
<b>G</b> Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		<b>D 1.</b> Foreign organizations, check here <input type="checkbox"/> <b>2.</b> Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
<b>H</b> Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		<b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
<b>I</b> Fair market value of all assets at end of year (from Part II, col (c), line 16) <b>\$</b> 38,467,839		<b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
<b>J</b> Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis )			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )		Revenue and expenses per books (a)	Net investment income (b)	Adjusted net income (c)	Disbursements for charitable purposes (d) (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule) . . . . .	0			
	2 Check <input type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B . . . . .				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities . . . . .	711,752	711,752		
	5a Gross rents . . . . .				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10 _____	2,821,702			
	b Gross sales price for all assets on line 6a _____ 15,819,475				
	7 Capital gain net income (from Part IV, line 2) . . . .		2,817,397		
	8 Net short-term capital gain . . . . .				
	9 Income modifications . . . . .				
	10a Gross sales less returns and allowances _____				
	b Less Cost of goods sold . . . . .				
	c Gross profit or (loss) (attach schedule) . . . . .				
	11 Other income (attach schedule) . . . . .	 15,264	11,754		
	12 <b>Total.</b> Add lines 1 through 11 . . . . .	3,548,718	3,540,903		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	365,000			365,000
	14 Other employee salaries and wages . . . . .	95,450			95,450
	15 Pension plans, employee benefits . . . . .	191,345			191,345
	16a Legal fees (attach schedule). . . . .				
	b Accounting fees (attach schedule). . . . .				
	c Other professional fees (attach schedule) . . . . .	 250,182	77,337		172,845
	17 Interest . . . . .				
	18 Taxes (attach schedule) (see instructions) . . . .	 136,660	34,660		
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy . . . . .	68,302			68,302
	21 Travel, conferences, and meetings. . . . .	205,837			205,837
	22 Printing and publications . . . . .				
	23 Other expenses (attach schedule). . . . .	 234,723	59,238		177,398
	24 <b>Total operating and administrative expenses.</b> Add lines 13 through 23 . . . . .	1,547,499	171,235		1,276,177
	25 Contributions, gifts, grants paid . . . . .	1,995,757			1,995,757
	26 <b>Total expenses and disbursements.</b> Add lines 24 and 25	3,543,256	171,235		3,271,934
	27 Subtract line 26 from line 12				
	a <b>Excess of revenue over expenses and disbursements</b>	5,462			
	b <b>Net investment income</b> (if negative, enter -0-)		3,369,668		
	c <b>Adjusted net income</b> (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing . . . . .			
	2	Savings and temporary cash investments . . . . .	3,991,472	1,722,953	1,722,953
	3	Accounts receivable ▶ <u>72,023</u>			
		Less allowance for doubtful accounts ▶ _____	81,037	72,023	71,987
	4	Pledges receivable ▶ _____			
		Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable . . . . .			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions). . . . .			
	7	Other notes and loans receivable (attach schedule) ▶ _____			
		Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use . . . . .			
	9	Prepaid expenses and deferred charges . . . . .			
	10a	Investments—U S and state government obligations (attach schedule)	2,133,283	2,131,858	2,132,462
	b	Investments—corporate stock (attach schedule) . . . . .	16,100,686	15,217,273	20,431,537
	c	Investments—corporate bonds (attach schedule) . . . . .	6,010,857	9,517,756	9,284,918
	11	Investments—land, buildings, and equipment basis ▶ _____			
	Less accumulated depreciation (attach schedule) ▶ _____				
12	Investments—mortgage loans . . . . .				
13	Investments—other (attach schedule) . . . . .	2,443,871	2,067,644	4,823,982	
14	Land, buildings, and equipment basis ▶ _____				
	Less accumulated depreciation (attach schedule) ▶ _____				
15	Other assets (describe ▶ _____)				
16	Total assets(to be completed by all filers—see the instructions Also, see page 1, item I)	30,761,206	30,729,507	38,467,839	
Liabilities	17	Accounts payable and accrued expenses . . . . .			
	18	Grants payable . . . . .			
	19	Deferred revenue . . . . .			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule). . . . .			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities(add lines 17 through 22) . . . . .		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted . . . . .			
	25	Temporarily restricted . . . . .			
	26	Permanently restricted . . . . .			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds . . . . .	30,761,206	30,729,507	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances(see instructions) . . . . .	30,761,206	30,729,507	
31	Total liabilities and net assets/fund balances(see instructions) . .	30,761,206	30,729,507		

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	1	30,761,206
2	Enter amount from Part I, line 27a . . . . .	2	5,462
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3 . . . . .	4	30,766,668
5	Decreases not included in line 2 (itemize) ▶ _____	5	37,161
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	30,729,507

Part IV Capital Gains and Losses for Tax on Investment Income

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )		How acquired P—Purchase (b) D—Donation	Date acquired (c) (mo , day, yr )	Date sold (d) (mo , day, yr )
1a See Additional Data Table				
b				
c				
d				
e				

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0- ) or Losses (from col (h)) (l)
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	2,817,397
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )  
If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No  
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2014	3,022,463	41,651,809	0 072565
2013	2,932,678	38,621,799	0 075933
2012	2,694,782	36,160,951	0 074522
2011	4,278,382	37,688,943	0 113518
2010	4,757,934	38,108,809	0 124851




2 Total of line 1, column (d).	2	0 461389
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 092278
4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5.	4	39,910,312
5 Multiply line 4 by line 3.	5	3,682,844
6 Enter 1% of net investment income (1% of Part I, line 27b).	6	33,697
7 Add lines 5 and 6.	7	3,716,541
8 Enter qualifying distributions from Part XII, line 4.	8	3,271,934

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See  
the Part VI instructions

**Part VI** Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ <b>(attach copy of letter if necessary—see instructions)</b>		
<b>b</b>	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b . . . . .	<b>1</b>	67,393
<b>c</b>	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>2</b>	
<b>3</b>	Add lines 1 and 2. . . . .	<b>3</b>	67,393
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>4</b>	
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0- . . . . .	<b>5</b>	67,393
<b>6</b>	Credits/Payments		
<b>a</b>	2015 estimated tax payments and 2014 overpayment credited to 2015	<b>6a</b>	107,547
<b>b</b>	Exempt foreign organizations—tax withheld at source . . . . .	<b>6b</b>	
<b>c</b>	Tax paid with application for extension of time to file (Form 8868). . . . .	<b>6c</b>	20,000
<b>d</b>	Backup withholding erroneously withheld . . . . .	<b>6d</b>	
<b>7</b>	Total credits and payments. Add lines 6a through 6d. . . . .	<b>7</b>	127,547
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	<b>8</b>	
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> . . . . . <b>▶</b>	<b>9</b>	
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> . . . <b>▶</b>	<b>10</b>	60,154
<b>11</b>	Enter the amount of line 10 to be <b>Credited to 2015 estimated tax</b> <b>▶</b> 60,154 <b>Refunded</b> <b>▶</b>	<b>11</b>	

**Part VII-A** Statements Regarding Activities

<b>1a</b>	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?  . . . . .		<b>Yes</b>	<b>No</b>
<b>b</b>	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? . . . . . <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>			<b>No</b>
<b>c</b>	Did the foundation file <b>Form 1120-POL</b> for this year? . . . . .			<b>No</b>
<b>d</b>	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year <b>(1)</b> On the foundation <b>▶</b> \$ _____ <b>(2)</b> On foundation managers <b>▶</b> \$ _____			
<b>e</b>	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <b>▶</b> \$ _____			
<b>2</b>	Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . <i>If "Yes," attach a detailed description of the activities</i>	<b>2</b>		<b>No</b>
<b>3</b>	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>  . . . . .	<b>3</b>		<b>No</b>
<b>4a</b>	Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .	<b>4a</b>	<b>Yes</b>	
<b>b</b>	If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year? . . . . .	<b>4b</b>	<b>Yes</b>	
<b>5</b>	Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . <i>If "Yes," attach the statement required by General Instruction T</i> 	<b>5</b>		<b>No</b>
<b>6</b>	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	<b>6</b>	<b>Yes</b>	
<b>7</b>	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i> . . . . .	<b>7</b>	<b>Yes</b>	
<b>8a</b>	Enter the states to which the foundation reports or with which it is registered (see instructions) <b>▶</b> NY _____			
<b>b</b>	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	<b>8b</b>	<b>Yes</b>	
<b>9</b>	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i> . . . . .	<b>9</b>		<b>No</b>
<b>10</b>	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i> . . . . .	<b>10</b>		<b>No</b>

**Part VII-A Statements Regarding Activities (continued)**

<b>11</b>	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions). . . . .	<b>11</b>		<b>No</b>
<b>12</b>	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) . . . . .	<b>12</b>		<b>No</b>
<b>13</b>	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <b>TMUNY.ORG</b>	<b>13</b>	<b>Yes</b>	
<b>14</b>	The books are in care of ► <b>ROCKEFELLER TRUST COMPANY</b> Telephone no ► <b>(212) 549-5544</b> Located at ► <b>10 ROCKEFELLER PL 3RD FL New York NY</b> ZIP+4 ► <b>10020</b>			
<b>15</b>	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —Check here . . . . . ► <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year . . . . . ► <b>15</b>			
<b>16</b>	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). If "Yes," enter the name of the foreign country ►	<b>16</b>	<b>Yes</b>	<b>No</b>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			<b>Yes</b>	<b>No</b>
<b>1a</b>	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(6) Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ). . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>b</b>	If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? . . . . . <input type="checkbox"/> Organizations relying on a current notice regarding disaster assistance check here. . . . . ► <input type="checkbox"/>	<b>1b</b>		<b>No</b>
<b>c</b>	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015? . . . . .	<b>1c</b>		<b>No</b>
<b>2</b>	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
<b>a</b>	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► 20____, 20____, 20____, 20____			
<b>b</b>	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions ). . . . .	<b>2b</b>		<b>No</b>
<b>c</b>	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____			
<b>3a</b>	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>b</b>	If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? ( <i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015</i> ). . . . .	<b>3b</b>		
<b>4a</b>	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	<b>4a</b>		<b>No</b>
<b>b</b>	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	<b>4b</b>		<b>No</b>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)**

<b>5a</b> During the year did the foundation pay or incur any amount to				
<b>(1)</b> Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>(2)</b> Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>(3)</b> Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>(4)</b> Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions).	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
<b>(5)</b> Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>b</b> If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		<b>5b</b>		<b>No</b>
Organizations relying on a current notice regarding disaster assistance check here.	<input type="checkbox"/>			
<b>c</b> If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
If "Yes," attach the statement required by Regulations section 53.4945–5(d)				
<b>6a</b> Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>b</b> Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		<b>6b</b>		<b>No</b>
If "Yes" to 6b, file Form 8870				
<b>7a</b> At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>b</b> If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		<b>7b</b>		

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

<b>1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).</b>				
(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
See Additional Data Table				
<b>2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."</b>				
(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	Contributions to employee benefit plans and deferred compensation (d)	Expense account, (e) other allowances
JOSHUA ROWE	PROGRAM ASSOCIATE	68,000	21,302	
ONE ROCKEFELLER PLZ ROOM 2500 NEW YORK, NY 10020	40 0			
<b>Total</b> number of other employees paid over \$50,000.				

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
ROCKEFELLER TRUST COMPANY NA 10 ROCKEFELLER PLAZA NEW YORK, NY 10020	TAX, ACCTG, INV	250,182

Total number of others receiving over \$50,000 for professional services. . . . . ▶

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc

	Expenses
1 N/A	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions	
3	

Total. Add lines 1 through 3 . . . . . ▶

**Part X Minimum Investment Return**

(All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
<b>a</b>	Average monthly fair market value of securities. . . . .	<b>1a</b>	37,823,838
<b>b</b>	Average of monthly cash balances. . . . .	<b>1b</b>	2,694,245
<b>c</b>	Fair market value of all other assets (see instructions). . . . .	<b>1c</b>	0
<b>d</b>	<b>Total</b> (add lines 1a, b, and c). . . . .	<b>1d</b>	40,518,083
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets. . . . .	<b>2</b>	0
<b>3</b>	Subtract line 2 from line 1d. . . . .	<b>3</b>	40,518,083
<b>4</b>	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	<b>4</b>	607,771
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3 Enter here and on Part V, line 4	<b>5</b>	39,910,312
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5. . . . .	<b>6</b>	1,995,516

**Part XI Distributable Amount**(see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6. . . . .	<b>1</b>	1,995,516
<b>2a</b>	Tax on investment income for 2015 from Part VI, line 5. . . . .	<b>2a</b>	67,393
<b>b</b>	Income tax for 2015 (This does not include the tax from Part VI ). . . . .	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b. . . . .	<b>2c</b>	67,393
<b>3</b>	Distributable amount before adjustments Subtract line 2c from line 1. . . . .	<b>3</b>	1,928,123
<b>4</b>	Recoveries of amounts treated as qualifying distributions. . . . .	<b>4</b>	
<b>5</b>	Add lines 3 and 4. . . . .	<b>5</b>	1,928,123
<b>6</b>	Deduction from distributable amount (see instructions). . . . .	<b>6</b>	
<b>7</b>	<b>Distributable amount</b> as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . . .	<b>7</b>	1,928,123

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
<b>a</b>	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. . . . .	<b>1a</b>	3,271,934
<b>b</b>	Program-related investments—total from Part IX-B. . . . .	<b>1b</b>	0
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. . . . .	<b>2</b>	0
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required). . . . .	<b>3a</b>	0
<b>b</b>	Cash distribution test (attach the required schedule). . . . .	<b>3b</b>	0
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	3,271,934
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions). . . . .	<b>5</b>	
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4. . . . .	<b>6</b>	3,271,934

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years



Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
<b>1</b> Distributable amount for 2015 from Part XI, line 7				1,928,123
<b>2</b> Undistributed income, if any, as of the end of 2015				
<b>a</b> Enter amount for 2014 only. . . . .			0	
<b>b</b> Total for prior years 2013 , 2012 , 2011		0		
<b>3</b> Excess distributions carryover, if any, to 2015				
<b>a</b> From 2010. . . . .				2,903,718
<b>b</b> From 2011. . . . .				2,443,757
<b>c</b> From 2012. . . . .				937,235
<b>d</b> From 2013. . . . .				1,064,594
<b>e</b> From 2014. . . . .				1,018,819
<b>f</b> Total of lines 3a through e. . . . .	8,368,123			
<b>4</b> Qualifying distributions for 2015 from Part XII, line 4 ► \$ 3,271,934				
<b>a</b> Applied to 2014, but not more than line 2a			0	
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .				
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .				
<b>d</b> Applied to 2015 distributable amount. . . . .				1,928,123
<b>e</b> Remaining amount distributed out of corpus	1,343,811			
<b>5</b> Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a) )				
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	9,711,934			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b . . . . .		0		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .				
<b>d</b> Subtract line 6c from line 6b Taxable amount—see instructions . . . . .		0		
<b>e</b> Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .			0	
<b>f</b> Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015 . . . . .				0
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . .				
<b>8</b> Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions). . .	2,903,718			
<b>9</b> Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a . . . . .	6,808,216			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2011. . . .				2,443,757
<b>b</b> Excess from 2012. . . .				937,235
<b>c</b> Excess from 2013. . . .				1,064,594
<b>d</b> Excess from 2014. . . .				1,018,819
<b>e</b> Excess from 2015. . . .				1,343,811

Part XIV

Private Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling. . . . .

b

Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a

Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .

Tax year	Prior 3 years			(e) Total
(a) 2015	(b) 2014	(c) 2013	(d) 2012	
b 85% of line 2a . . . . .				
c Qualifying distributions from Part XII, line 4 for each year listed . . . . .				
d Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .				
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .				

3

Complete 3a, b, or c for the alternative test relied upon

a

"Assets" alternative test—enter

(1)

Value of all assets . . . . .

(2)

Value of assets qualifying under section 4942(j)(3)(B)(i)

b

"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . . .

c

"Support" alternative test—enter

(1)

Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .

(2)

Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .

(3)

Largest amount of support from an exempt organization

(4)

Gross investment income

Part XV

Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a

The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

Barbara A Lanciers Director  
TMU -ONE ROCKEFELLER PLZ ROOM 2500  
NEW YORK, NY 10020  
(212) 649-5774

b

The form in which applications should be submitted and information and materials they should include

BY INITIAL LETTER OF INQUIRY

c

Any submission deadlines

6 MOS PRIOR TO DATE OF ACTIVITY FOR WHICH SUPPORT IS SOUGHT

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

THE TRUST'S PROGRAMS SUPPORT EXCHANGES BETWEEN THE UNITED STATES, THE FORMER SOVIET UNION, AND EASTERN AND CENTRAL EUROPE IN THE ARTS AND ENVIRONMENTAL CONSERVATION

Form 990-PF (2015)

### 3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient		If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)					
<b>a</b> <i>Paid during the year</i> SEE ATTACHED LIST see attached list New YORK, NY 10020			501C3	GENERAL	1,995,757
<b>Total . . . . .</b>					1,995,757
<b>b</b> <i>Approved for future payment</i>					
<b>Total . . . . .</b>					

Enter gross amounts unless otherwise indicated

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2015)

**1** Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

(1) Cash. . . . .

(2) Other assets. . . . .

(1) Sales of assets to a noncharitable exempt organization. . . . .

(2) Purchases of assets from a noncharitable exempt organization. . . . .

(3) Rental of facilities, equipment, or other assets. . . . .

(4) Reimbursement arrangements. . . . .

(5) Loans or loan guarantees. . . . .

(6) Performance of services or membership or fundraising solicitations. . . . .

**d** If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

[illegible]

**b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign  
Here**

2016-11-04

Signature of officer or trustee

Date \_\_\_\_\_

Title

May the IRS discuss this return with the preparer shown below

(see instr.)? ☒ Yes ☐ No

<b>Paid Preparer Use Only</b>	Print/Type preparer's name Susan E Leitner CPA	Preparer's Signature	Date	Check if self-employed <input type="checkbox"/>	PTIN P00297499
	Firm's name <input type="checkbox"/> Rockefeller Trust Co NA			Firm's EIN <input type="checkbox"/>	
	Firm's address <input type="checkbox"/> PO Box 5016 New York, NY 101855016				
				Phone no (212) 549-5544	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
CAPITAL GAIN DISTRIBUTION THROUGH SSBT	P		
SHORT TERM COVERED (SEE ATTACHED)	P		
SHORT TERM NONCOVERED (SEE ATTACHED)	P		
SHORT TERM NOT REPORTED (SEE ATTACHED)	P		
LONG TERM COVERED (SEE ATTACHED)	P		
LONG TERM NONCOVERED (SEE ATTACHED)	P		
LONG TERM NOT REPORTED (SEE ATTACHED)	P		
GAIN ON LITIGATION SETTLEMENTS	P		
5500 FUND (S-T)	P		
5500 FUND (L-T)	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
21,647			21,647
6,233,839		6,509,319	-233,952
52,358		52,325	33
17,051		15,233	1,818
5,375,195		4,072,905	1,300,326
3,584,450		2,324,606	1,259,844
25,727		24,459	1,268
2,893			2,893
5,964			5,964
127,681			127,681

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			21,647
			-275,480
			33
			1,818
			1,302,290
			1,259,844
			1,268
			2,893
			5,964
			127,681

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	How acquired P—Purchase (b) D—Donation	Date acquired (c) (mo , day, yr )	(d) Date sold (mo , day, yr )
NEW CENTURY HOLDINGS (S-T)	P		
NEW CENTURY HOLDINGS (L-T)	P		
ROCK ACCESS FUND 06-II (S-T)	P		
ROCK ACCESS FUND 06-II (L-T)	P		
ROCK ALT STRATEGIES QP (S-T)	P		
ROCK ALT STRATEGIES QP (L-T)	P		
ROCK GA INVESTORS 2006 (L-T)	P		
VENROCK PARTNERS V (S-T)	P		
VENROCK PARTNERS V (L-T)	P		
VENROCK PARTNERS VII (S-T)	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
		377	-377
		29,504	-29,504
1,430			1,430
70,332			70,332
35			35
9,114			9,114
244,940			244,940
451			451
19,756			19,756
80			80

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-377
			-29,504
			1,430
			70,332
			35
			9,114
			244,940
			451
			19,756
			80

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e g , real estate, <b>(a)</b> 2-story brick warehouse, or common stock, 200 shs MLC Co )	<b>(b)</b> How acquired P—Purchase D—Donation	Date acquired <b>(c)</b> (mo , day, yr )	<b>(d)</b> Date sold (mo , day, yr )
SEC 1202 GAIN - ROCK ACCESS FUND 06-II	P		
SEC 1202 GAIN - VENROCK PARTNERS V	P		
GAIN ON PARTNERSHIP WITHDRAWAL - ALT STRATEGIES	P		

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	Depreciation allowed <b>(f)</b> (or allowable)	Cost or other basis <b>(g)</b> plus expense of sale	Gain or (loss) <b>(h)</b> (e) plus (f) minus (g)
492			492
8,117			8,117
9,314			9,314

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col (h) gain minus col (k), but not less than -0-) <b>or</b> Losses (from col (h))
<b>(i)</b> F M V as of 12/31/69	Adjusted basis <b>(j)</b> as of 12/31/69	Excess of col (i) <b>(k)</b> over col (j), if any	
			492
			8,117
			9,314



**Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation**

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation(If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
RICHARD S LANIER	TRUSTEE 6 0	30,000		
One Rockefeller Plaza - Room 2500 NEW YORK, NY 10020				
ELIZABETH J MCCORMACK	TRUSTEE 2 0	30,000		
One Rockefeller Plaza - Room 2500 NEW YORK, NY 10020				
BLAIR A RUBLE	Trustee 2 0	30,000		
One Rockefeller Plaza - Room 2500 NEW YORK, NY 10020				
MARCIA A MCLEAN	TRUSTEE 6 0	0		
One Rockefeller Plaza - Room 2500 NEW YORK, NY 10020				
BARBARA A LANCIERS	DIRECTOR 40 0	170,000	45,723	
One Rockefeller Plaza - Room 2500 NEW YORK, NY 10020				
ALINA ENGGIST	PROGRAM OFFICER 40 0	105,000	36,256	
One Rockefeller Plaza - Room 2500 NEW YORK, NY 10020				

**Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.**

## **TY 2015 Depreciation Schedule**

**Name:** TRUST FOR MUTUAL UNDERSTANDING

**EIN:** 13-3212724

**Note:** To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

## **TY 2015 Expenditure Responsibility Statement**

**Name:** TRUST FOR MUTUAL UNDERSTANDING

**EIN:** 13-3212724

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
Center for International Theatre Develop	901 Lenton Avenue Baltimore, MD 21212			see attached		NO			

**TY 2015 Investments Corporate Bonds Schedule****Name:** TRUST FOR MUTUAL UNDERSTANDING**EIN:** 13-3212724

Name of Bond	End of Year Book Value	End of Year Fair Market Value
SEE ATTACHED LIST	9,517,756	9,284,918

**TY 2015 Investments Corporate Stock Schedule****Name:** TRUST FOR MUTUAL UNDERSTANDING**EIN:** 13-3212724

Name of Stock	End of Year Book Value	End of Year Fair Market Value
SEE ATTACHED	15,217,273	20,431,537

**TY 2015 Investments Government Obligations Schedule****Name:** TRUST FOR MUTUAL UNDERSTANDING**EIN:** 13-3212724**US Government Securities - End of  
Year Book Value:**

2,131,858

**US Government Securities - End of  
Year Fair Market Value:**

2,132,462

**State & Local Government  
Securities - End of Year Book  
Value:****State & Local Government  
Securities - End of Year Fair  
Market Value:**

**TY 2015 Investments - Other Schedule****Name:** TRUST FOR MUTUAL UNDERSTANDING**EIN:** 13-3212724

<b>Category/ Item</b>	<b>Listed at Cost or FMV</b>	<b>Book Value</b>	<b>End of Year Fair Market Value</b>
5500 FUND		5,094	2,553,335
ROCKEFELLER ALTERNATIVE STRATE		0	14,785
NEW CENTURY HOLDINGS XI, LP		582,646	332,393
ROCKEFELLER ACCESS FUND 06-II		418,038	579,034
ROCKEFELLER GA INVESTORS 2006		705,738	804,954
VENROCK PARTNERS V, LP		119,614	261,046
VENROCK PARTNERS VII, LP		236,514	278,435

**TY 2015 Other Decreases Schedule****Name:** TRUST FOR MUTUAL UNDERSTANDING**EIN:** 13-3212724

Description	Amount
WASH SALE ADJUSTMENT	35,798
BOOK/TAX TIMING DIFFERENCE	1,363



## TY 2015 Other Expenses Schedule

**Name:** TRUST FOR MUTUAL UNDERSTANDING

**EIN:** 13-3212724

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
UTILITIES	3,973			3,973
OFFICE SUPPLIES	9,999			9,999
STORAGE	1,030			1,030
TELEPHONE, FAX & POSTAGE	11,713			11,713
MEMBERSHIP, BOOKS, PERIODICALS	6,794			6,794
INSURANCE EXPENSE	13,618			13,618
FEES AND LICENSES	1,227	477		750
ANNUAL REPORT AND PUBLICATIONS	36,837			36,837
COMPUTER,WEBSITE,PROGRAM EXPS	58,373			58,373
PANTRY/DUP/MAINTENANCE	1,929			1,929
PAYROLL SERVICES	13,030			13,030
SECURITY	305			305
MAINTENANCE,FURNITURE & REPAIR	5,924			5,924
ROCKEFELLER ACCESS FUND 06-11	3,929	5,765		
VENROCK PARTNERS V	2,001	2,001		
VENROCK PARTNERS VII	11,066	11,066		
ROCKEFELLER ALT STRAT QP	395	472		
ROCKEFELLER GA INVESTORS 2006	18,032	18,032		
5500 FUND	20,556	20,556		
FX GAIN/LOSS (SEC 988)	869	869		
ACC ADMIN FEE	13,078			13,078
TEMPORARY OFFICE ASSISTANCE	45			45

**TY 2015 Other Income Schedule****Name:** TRUST FOR MUTUAL UNDERSTANDING**EIN:** 13-3212724

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
New Century Holdings XI, LP	12,201	11,754	
Non Dividend Distribution	3,063		

**TY 2015 Other Professional Fees Schedule****Name:** TRUST FOR MUTUAL UNDERSTANDING**EIN:** 13-3212724

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
RTC AGENCY FEES	250,182	77,337		172,845

**TY 2015 Taxes Schedule****Name:** TRUST FOR MUTUAL UNDERSTANDING**EIN:** 13-3212724

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES ON SECURITIES	34,660	34,660		
EXCISE TAX PAYMENTS -990PF	100,000			
TAX PAYMENTS - FORM 990T	1,500			
NYS CORP TAX - FORM CT13	500			

**JPMorgan Liquidity Funds - US Dollar Liquidity Fund**  
**PFIC Annual Information Statement**

(1) This Information Statement applies to the taxable period of the JPMorgan Liquidity Funds - US Dollar Liquidity Fund (the "Fund") beginning on December 1, 2014 and ending on November 30, 2015 (the "Period").

(2) A Shareholder of the Institutional (dist) shares may compute his or her pro rata share of the ordinary earnings and net capital gain of the Fund attributable to these Institutional (dist) shares for the Period, as follows:

Ordinary Earnings:	See Attachment
Net Capital Gain:	None

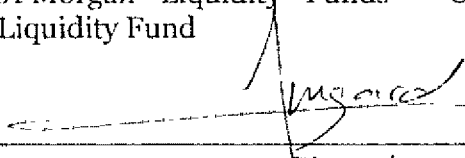
(3) The amount of cash and fair market value of other property distributed or deemed distributed by the Fund to a Shareholder of the Institutional (dist) shares during the Period may be computed as follows:

Cash:	See Attachment
Fair Market Value of Property:	None

(4) The Fund will permit a Shareholder to inspect and copy the Fund's permanent books of account, records, and such other documents as may be maintained by the Fund that are necessary to establish that PFIC ordinary earnings and net capital gain, as provided in Section 1293(e) of the Internal Revenue Code, are computed in accordance with U.S. income tax principles, and to verify these amounts and the Shareholder's pro rata share thereof.

JPMorgan Asset Management (Europe)  
S.à.r.l as management company for  
JPMorgan Liquidity Funds - US Dollar  
Liquidity Fund

Date: 4/7/2016

By:   
Title: Philippe Ringard  
JPMorgan Asset Management (Europe) S.à.r.l  
Conducting Officer

Additional Information

The following additional information is supplied to enable you to complete Form 8621 (Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund):

Name of Passive Foreign Investment Company:	JPMorgan US Dollar Liquidity Fund
Address:	JPMorgan Liquidity Funds European Bank & Business Centre 6, route de Treves, L-2633 Senningerberg Grand Duchy of Luxembourg
Employer Identification Number:	None
Country of Incorporation:	Luxembourg
Year of Incorporation:	December 9, 1986

On November 30, 2015, JPMorgan Liquidity Funds - US Dollar Liquidity Fund has 49,537,127,573.604 Institutional (dist) shares outstanding.

## JP MORGAN US DOLLAR LIQUIDITY FUND

### ANNUAL INTERMEDIARY STATEMENT ATTACHED TO AND MADE PART OF FORM 8621 RETURN BY A SHAREHOLDER OF A PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND FOR TAXABLE YEAR-ENDED November 30, 2015

#### Annual Intermediary Statement Pursuant to Treas. Reg. Section 1.1295-1(g)(3)

**Partner:** Trust for Mutual Understanding

**Account:** 1456-2520

- 1) New Century Holdings XI, L P is a nominee or shareholder of record that holds stock on behalf of its partners in a chain of ownership between its partners and JP Morgan US Dollar Liquidity Fund
- 2) New Century Holdings XI, L P represents that a copy of the PFIC Annual Information Statement has been received from JP Morgan US Dollar Liquidity Fund and is attached to this Annual Intermediary Statement
- 3) The Shareholder's pro rata share of ordinary earnings for the taxable year ending 11/30/15 is \$9
- 4) The Shareholder's pro rata share of net capital gain for the taxable year ending 11/30/15 is \$0
- 5) During the taxable year ending 11/30/15, JP Morgan US Dollar Liquidity fund distributed cash equal to \$9

#### Additional Information

The following information is needed to complete Part 1 of form 8621 (Return by a Shareholder of a PFIC or QEF) Please note the information supplied below is in addition to the information reflected on the PFIC Annual information statement provided by JP Morgan which is included in this package as a separate attachment

Reference ID Number

NCH8444S

#### Part 1

1	Description of Shares	Institutional Shares Held Indirectly
2	Date shares acquired during the tax year	Various
3	Number of shares held at the end of the tax year	24,068 17
4	Value of shares held at the end of the tax year	24,068 17
5	Type of PFIC – B Section 1293 QEF	Inclusions, if any, reflected above

**Rockefeller Trust Company, N.A.**  
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**From: 01/01/2015 To: 12/31/2015**

Item No	Donee Name/Address	Description	Date	Amount
<b>50% Charitable</b>				
1	Accountability Counsel (ACTBLYCN) 244 Kearny Street, Floor 6 San Francisco CA, 94108	Con-PC, Defending Rights of South Gobi Herders	05/19/2015	5,000 00
2	Alaska Community Action on Toxics (AKCOMTOX) 505 W Northern Lights Blvd Suite 205 Anchorage, AK, 99503	Con-PC, Chukotkan Women's Delegation to Win Ban of Penta	06/10/2015	3,000 00
3	American Center for Mongolia Studies (AMCMNGS) c/o Center for East Asian Studies 642 Williams Hall Philadelphia, PA, 19104-6305	PC - Cultural Heritage Exchange, Mongolia	12/02/2015	10,000 00
4	American Dance Festival, Inc (400) Durham, NC	PC - Tatiana Baganova two city tour	12/02/2015	20,000 00
5	American Dance Institute (ADNCEINS) 1570 East Jefferson Street Rockville, MD, 20852	PC - Steve Paxton and Jurij Konja collaboration	12/02/2015	5,000 00
6	Art in General (706)	PC - Eastern European New Commis Program 2016 and What Now?	12/02/2015	35,000 00
7	Association of American Geographers (ASSAM) 1710 16th St N W Washington, DC, 20009	PC - Siberian participation at the 2016 AAM Meeting	12/03/2015	5,000 00
8	Atlantic Theater Company (ATLANTCT) 76 9th Ave, Suite 537 New York, NY, 10011	Refund of Grant DF-1204	08/31/2015	(7,000 00)
9	Baryshnikov Arts Center (BARYSHNI) 450 West 37th Street New York, NY, 10018	Con-PC Zsuzsa Rozsavolgyi, BAC Space residency program 10/15	11/11/2015	9,130 00
10	Biodiversity Research Institute (BDVRTYRI) 276 Canco Road Portland, ME, 04103	PC - Russian and American workshop merganser	12/03/2015	9,000 00
11	Blacksmith Institute (BLKSMITH) 2014 Fifth Ave New York, NY, 10035	Contribution	01/29/2015	15,000 00

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**From: 01/01/2015 To: 12/31/2015**

Item No	Donee Name/Address	Description	Date	Amount
<b>50% Charitable (cont'd)</b>				
12	Brooklyn Academy of Music, Inc (1322) Lafayette Ave Brooklyn, NY,	PC - Maly Theatre's Cherry Orchard	12/03/2015	20,000 00
13	Brooklyn Arts Council (BROKARTS) 20 Jay Street, Suite 616 Brooklyn, NY, 11201	Con-PC, Ilona Nemeth & Edit Andras "Private Nationalism" NYC	11/11/2015	2,000 00
14	Byrd Hoffman Watermill Foundation (BHWF)	Con-PC, Czech artist Zdenek Stehule in Summer Residency 2015	06/10/2015	5,000 00
15	Carnegie Hall Corporation (CARNHALL) 881 Seventh Avenue New York, NY, 10019-3210	Contribution-PC, Russian Fellow at ACJW	05/19/2015	20,000 00
16	CEC Artslink (1687) New York, NY,	Contribution	01/29/2015	8,500 00
		Contribution	04/02/2015	9,400 00
		Contribution	04/02/2015	45,000 00
		Contribution-PC, Art Prospect Festival GAMES	05/19/2015	25,000 00
		Con-PC, Award to support US participation in Prague Quad 6/15	06/10/2015	5,500 00
		PC - WHW NOVA 2016	12/02/2015	10,000 00
		PC - 2016 ArtsLink Awards	12/02/2015	80,000 00
		PC, Kelly Reichardt/Irena Kovarova Mar '16 European trip	12/21/2015	10,000 00
		CEC Artslink (1687) Sub Total		193,400 00
17	Center for International Theatre Development (CITD)	Contribution	01/29/2015	110,000 00
		PC - CITD's 2016 program	12/03/2015	70,000 00
		Center for International Theatre Development (CITD) Sub Total		180,000 00
18	Center for Traditional Music and Dance (CTRFORDC) 32 Broadway Ste 1314 New York, NY, 10004	PC - Opre Khetanesl	12/03/2015	15,000 00
19	Chez Bushwick Inc (CHEZBWIC) 304 Boerum St, Apt 23 Brooklyn, NY 11206	Contribution	01/29/2015	15,000 00
20	Creative Time (CREATI) 59 East 4th Street, 6E New York, NY, 10003	Contribution-PC, 2014 Creative Time Reports and Time Summit	05/19/2015	25,000 00

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**From: 01/01/2015 To: 12/31/2015**

Item No	Donee Name/Address	Description	Date	Amount
<b>50% Charitable (cont'd)</b>				
20	Creative Time (CREATI) (cont'd)			
		Con-PC, Mansa Mazria Katz- OFF-Biennale in Budapest May '15	07/02/2015	1,800 00
		Creative Time (CREATI) Sub Total		26,800 00
21	Crude Accountability Incorporated (CAI) PO Box 2345 Alexandria, VA 22301, VA, 22301			
		PC - Four members of EWNC to North Carolina in Oct 2016	12/03/2015	15,000 00
22	Denver Zoological Foundation (DENVER) 2900 E. 23rd Street Avenue Denver CO, 80205			
		PC - Bilateral exchanges in 2016 in Mongolia	12/02/2015	18,000 00
23	Drama League of New York, Inc (DRAMALG) 520 Eighth Ave., 3rd Fl., Ste. 320 New York, NY, 10018			
		Contribution-PC, 2015 U.S./Bulgaria Stage Directors Exchange	05/19/2015	15,000 00
24	Earth Island Institute (3123) San Francisco, CA			
		Contribution	04/02/2015	15,000 00
		Con-PC, '15 training for Siberian environmental professionals	05/19/2015	30,000 00
		Earth Island Institute (3123) Sub Total		45,000 00
25	EarthCorps (EARTHCOR) 7400 Sand Point Way, NE, Bldg 30 Seattle, WA, 98115			
		PC- 2016 International Environmental Training Exchange	12/02/2015	20,000 00
26	Elaine Summers Experimental Intermedia Foundation, Inc (EXPERIME) 224 Centre Street 3rd Floor New York, NY, 10113			
		Contribution-PC, Skanu Mezs 2015	05/20/2015	5,000 00
27	Emerson College (EMERSONC) 120 Boylston Street Boston, MA, 02116-4624			
		Contribution-PC, Chopin Without Piano	05/19/2015	20,000 00
28	Environmental Film Fest In Nation's Cap (ENVIFILM) Attention: Peter O'Brien, Executive Director 1228 1/2 31st St., NW Washington, DC, 20007			
		PC - 2016 Ukrainian participation	12/03/2015	10,000 00
29	Environmental Law Alliance Worldwide (3381) 1877 Garden Ave Eugene OR, 97403			
		Con-PC, Dr Heidi Weiskel to Ivano-Frankivsk, Lviv, Zakarpattia	05/19/2015	6,000 00

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**From: 01/01/2015 To: 12/31/2015**

Item No	Donee Name/Address	Description	Date	Amount
<b>50% Charitable (cont'd)</b>				
29	Environmental Law Alliance Worldwide (3381) (cont'd)			
		PC- Czech & Ukrainian participation in 2016 E-Law Fellows Pr	12/02/2015	18,000 00
		Environmental Law Alliance Worldwide (3381) Sub Total		24,000 00
30	Eugene O'Neill Memorial Theater Center Inc (EO) 305 Great Neck Road Waterford, CT, 06385			
		Con-PC, Residency for Russian playwright Lyuba Strizhak '15	05/20/2015	8,000 00
		Con-PC 4th Annual Baltic Playwrights Conference in Estonia	08/06/2015	8,000 00
		Eugene O'Neill Memorial Theater Center Inc (EO) Sub Total		16,000 00
31	FD13 Residency for the Arts (FD13ART) 926 Hampden Ave St Paul, MN, 55114			
		PC - Southeast European Season	12/03/2015	10,000 00
32	Florida State University Foundation, Inc (FSUFINC) 2010 Levy Avenue P O Box 3062739 Tallahassee, FL, 32306-2739			
		PC- Developing next generation of Arctic Enviro Scientists	12/02/2015	15,000 00
33	Flux Factory (FLXFACTY) 39-31 29th Street Long Island City, NY, 11101			
		Contribution-PC, TOK/Flux Collaborate/Create	05/19/2015	14,000 00
34	FotoFest (FOTOFEST) 2000 Edwards Street, Bldg C Ste 2 Houston TX, 77007			
		PC - FotoFest 2016 Biennial (CZ, RU, SK)	12/03/2015	12,000 00
35	Foundation for a Civil Society (3740) 419 East 57th Street Suite 14A New York, NY, 10022			
		Con-PC, '15-'16 Young Visual Artists Awards Residency Program	05/19/2015	96,100 00
		Con-PC, 6 curator visits for YVAA artists in residence at RU	09/28/2015	1,700 00
		Foundation for a Civil Society (3740) Sub Total		97,800 00
36	Foundation for Contemporary Arts (ARTFOUND) 820 Greenwich street New York, NY, 10014			
		PC - 2016 Emergency Grants Program	12/03/2015	10,000 00
37	Global Greengrants Fund, Inc (GGFI) 2840 Wilderness Place, Ste E Boulder, CO, 80301			
		Con-PC, Siberian Rivers Meeting in Irkutsk	07/02/2015	5,000 00

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**From: 01/01/2015 To: 12/31/2015**

Item No	Donee Name/Address	Description	Date	Amount
<b>50% Charitable (cont'd)</b>				
38	Headlands Center for the Arts (HEADC) 944 Fort Barry Sausalito, CA, 94063	Con-PC,Building Intl Networks Russia, Central & Eastern EU	05/19/2015	25,000 00
39	Henry Street Settlement (4628)	PC - The Zenko Project	12/02/2015	13,000 00
40	International Crane Foundation (4987)	Con-PC, Endangered cranes in Mongolia & satellite analysis	05/19/2015	10,000 00
41	International Cryosphere Climate Initiative (ICCINTVE) 1496 Church Hill Road Charlotte, VT, 05445	Con-PC, Mitigation of Open Burning in Russia & Ukraine	05/19/2015	20,000 00
42	International Union for the Conservation of Nature (IUCONATR) 1630 Connecticut Avenue, NW Suite 300 Washington, DC, 20009	Con-PC, Mitigation of Open Burning in Russia & Ukraine	11/11/2015	20,000 00
43	Jacob Burns Film Center (JACOB) 364 Manville Road Pleasantville, NY, 10570	Contribution-PC, 2015 MAKING WAVES New Romanian Cinema	05/19/2015	30,000 00
44	Joseph Brodsky Memorial Fellowship Fund (JOBRDSKY)	Con-PC Joseph Brodsky Memorial Fellowship 2015	12/23/2015	12,000 00
45	Knights of Indulgence Theatre U S (KNIGHTIN) 461 Sebastopol Ave Santa Rose, CA, 95401	PC - Kretakor / Imaginists Collaboration	12/03/2015	7,000 00
46	La Mama E T C (LA MAMA)	Con-PC,Roman Paska's "Echo in Camera", Dialog Festival 10/15	06/29/2015	6,000 00
		Con-PC,Belarus Free Theatre/American Indian Youth,Pine Ridge	06/29/2015	8,000 00
		La Mama E T C (LA MAMA) Sub Total		14,000 00
47	Lark Play Development Center (LARK PLA) 939 Eighth Avenue, Suite 301 New York, NY, 10019	Con-PC, Lark Global Bridges Program, Transylvanian & Russian	05/19/2015	20,000 00
48	Lincoln Center for the Performing Arts, Inc (5907)	Contribution-PC, Miss Julie, Lincoln Center Festival	05/19/2015	20,000 00

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**From: 01/01/2015 To: 12/31/2015**

Item No	Donee Name/Address	Description	Date	Amount
<b>50% Charitable (cont'd)</b>				
49	Maryland Institute, College of Art (6267) Baltimore, MD	Contribution-PC, MICA Center for Social Design/MOME EcoLab	11/11/2015	20,000 00
50	Mongol Ecology Center (MONGOLEC) Rokmon Building, Suite 807 The Constitutional Street-24 Ulaanbaatar, 14250	Con-PC, Promoting the National Parks of Mongolia- 2015	05/19/2015	30,000 00
51	Movement Research (MOVEMENT) P O Box 49 Old Chelsea Sta New York, NY 10113	Contribution	01/29/2015	2,000 00
		Contribution	01/29/2015	25,000 00
		Contribution-PC, MRX ECE/US Partners Network	05/19/2015	25,000 00
		PC - East/Central European Partnership (GPS)	12/03/2015	70,000 00
		Movement Research (MOVEMENT) Sub Total		122,000 00
52	Museum of Modern Art (050701E) 11W 53rd Street New York, NY, 10019	Contribution	04/02/2015	4,600 00
		Con-PC,Tatiana Mrdulyash of Tretyakov(Moscow) in NYC & DC	11/11/2015	5,000 00
		Museum of Modern Art (050701E) Sub Total		9,600 00
53	New York Theatre Workshop (NYTW) 79 East 4th Street New York, NY, 10003	Con-PC,John Freedman/Oksana Mysina residency Dartmouth 08/15	06/10/2015	9,000 00
54	Northeast Document Conservation Center (7318) 100 Brickstone Square Andover, MA, 01810-1494	PC - 2016 training internship for C/E European conservator	12/03/2015	10,000 00
55	Northern Rockies Conservation Cooperative (NROCKCC) P O Box 2705 Jackson, WY 83001-2705	Con-PC, Seth Wilson to University of Ljubljana in Slovenia	05/19/2015	8,000 00
56	Oregon Health & Science University Foundation (OHSUF) 1121 WW Salmon Street Ste 200 Portland, OR, 97205-2021	Contribution-PC, WIN- Lena Node	05/19/2015	30,000 00

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**From: 01/01/2015 To: 12/31/2015**

Item No	Donee Name/Address	Description	Date	Amount
<b>50% Charitable (cont'd)</b>				
57	Pacific Environment (PACIFICE) 251 Kearny Street 2nd Floor San Francisco, CA, 94108	Contribution-PC, Russia/US exchanges in 2015-16	05/19/2015	90,000 00
		Con-PC, Pavel Sulyandziga/Inuit & Navajo partners/Summer '15	06/29/2015	7,500 00
		Pacific Environment (PACIFICE) Sub Total		97,500 00
58	Panthera Corporation (PANTHERA) 8 W 40th Street, Fl 18 New York, NY 10018	Con-PC, Reducing Human-Snow Leopard Conflict in Krygyzstan	05/19/2015	13,000 00
59	Performance Space 122 (PERFORMA)	Contribution-PC, PS122 GLOBAL 2015	05/19/2015	13,000 00
		Con-PC, PS122 Global '15 tour of YOUARENOWHERE in Croatia	08/06/2015	12,000 00
		Performance Space 122 (PERFORMA) Sub Total		25,000 00
60	Performance Zone, Inc (P ZONE) C/o The Field 161 6th Avenue New York, NY, 10013	PC - Appointment Novi Sad	12/03/2015	5,000 00
61	Philadelphia Dance Projects (PHILDANC) 1427 Spruce Street, Suite 1F Philadelphia, PA, 19102	Contribution-PC, Workshop Foundation Exchange	04/29/2015	10,000 00
62	Residency Unlimited Inc (RUNLTD) 360 Court Street Brooklyn, NY, 11231	Con-PC, 2-month residencies for 7 YVAA artists at RU 2015-16	05/20/2015	21,000 00
		Con-PC, 3 month residency for Anna Fabricus in Fall 2015	06/10/2015	10,000 00
		Residency Unlimited Inc (RUNLTD) Sub Total		31,000 00
63	Salvage Vanguard Theater (SLVGEVN) 2803 Manor Rd Austin, TX, 78722	PC - Unlived Lives	12/03/2015	5,000 00
64	San Francisco International Arts Festival (SAN FRAN) 870 Market Street, Suite 1256 San Francisco, CA, 94102	PC - "Atmintis" (Memory)	12/03/2015	5,000 00

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**From: 01/01/2015 To: 12/31/2015**

Item No	Donee Name/Address	Description	Date	Amount
<b>50% Charitable (cont'd)</b>				
65	Sidra Bell Dance New York (SDRABLDN) 1006 Pondside Drive White Plains, NY, 10607	Con-PC, Derida Dance Center in Sofia, Bulgaria- Aug/Sep 2015	05/20/2015	7,000 00
66	Slought Foundation (SLOUGHT) 4017 Walnut Street Philadelphia, PA, 19104	Con-PC, "Straying" and associated programs in 2015	09/02/2015	9,000 00
67	Southwest Research and Information Center (9099)	Con-PC, '15 Mining, Renewable Energy, & Environment exchange	05/19/2015	35,000 00
68	The Butterfly Pavilion (BTRFYPAV) 6252 West 104th Ave Westminster, CO, 80020	Con-PC, Ikh Nart Nature Reserve assessment	05/19/2015	7 000 00
69	The Field (THEFIELD) 161 6th Avenue New York, NY, 10013	PC - Rebecca Lazier There Might Be Others	12/03/2015	10,000 00
70	The Metropolitan Museum of Art (9940) 1000 5th Avenue New York, NY, 10028	Con-PC, 2015/16 Metropolitan/Russian Museum Exchange Program	05/19/2015	40 000 00
71	The Museum of Modern Art (C8894) 11 West 53 Street New York, NY, 10019	PC- Bilateral exchange btw MoMa, Pushkin, State Russ Museum	12/02/2015	60,000 00
72	The Nature Conservancy (THE NATU) 4245 Fairfax Drive, Suite 100 Arlington, VA, 22203-1606	PC- 2016 exchanges between Mongolia and the United States	12/02/2015	40,000 00
73	The Snow Leopard Trust (SNWLPRD) 4649 Sunnyside Ave North Suite 325 Seattle, WA, 98103	Con-PC, Residency for Unurzul Dashzeveg for Snow Leopard '15	05/19/2015	6,000 00
74	Tides Foundation (10273) The Presidio P O Box 29903 San Francisco CA, 94129	Con-PC, Arctic Funders Collaborative Annual Support 2015	09/02/2015	4,000 00
75	Trap Door Productions (TDP) 1655 West Cortland Ave Chicago, IL, 60622	Contribution	01/29/2015	4,000 00

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**From: 01/01/2015 To: 12/31/2015**

Item No	Donee Name/Address	Description	Date	Amount
<b>50% Charitable (cont'd)</b>				
76	University of California, Los Angeles (UOC,LA)			
		Refund of Grant DF-1301	08/31/2015	(8,675 77)
		Refund of Grant B-1455	08/31/2015	(3,034 83)
		University of California, Los Angeles (UOC,LA) Sub Total		(11,710 60)
77	Vivian Beaumont Theater, Inc (VIVIAN B) 150 West 65th Street New York, NY, 10023	Contribution	04/23/2015	5,000 00
78	Wax Factory Inc (WAX) 51 MacDougal St , Ste 302 New York, NY, 10012	Contribution	01/29/2015	15,000 00
79	Wild Salmon Center (10203)	Contribution-PC Russia/US exchanges in 2015	05/19/2015	20,000 00
80	Wildlife Conservation Society (11149) 2300 Southern Boulevard Bronx NY, 10460	Con-PC, SMART Law Enforcement in Mongolia	05/19/2015	20,000 00
		Con-PC, Training to build next gen Russian conservationists	05/19/2015	25 000 00
		PC- Building Network of Conservation Practitioners in S Gobi	12/02/2015	18,000 00
		Wildlife Conservation Society (11149) Sub Total		63,000 00
81	Woods Hole Research Center (11305) P O Box 296 13 Church Street Woods Hole, MA, 02543	Refund of Grant EC-1405	04/29/2015	(1,762 00)
82	Woolly Mammoth Theatre Co (WOOLLY)	Contribution	01/29/2015	15,000 00
		PC - Residency for Yuri Urnov	12/03/2015	15 000 00
		Woolly Mammoth Theatre Co (WOOLLY) Sub Total		30,000 00
83	World Wildlife Fund (11359) 1250 - 24th Street, NW c/o Mr Brandt Zimmerman Washington, DC, 20037	Con-PC, Prevent Oil Pollution in the Arctic & Bering Strait	05/19/2015	25,000 00
		PC- Polar Bears and People	12/03/2015	30,000 00
		World Wildlife Fund (11359) Sub Total		55,000 00
84	Yale University (C9040) 1302 Yale Station New Haven, CT, 06520	Con-PC, Yale Eastern Theater Project/Theater Magazine	11/11/2015	10,000 00

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**From: 01/01/2015 To: 12/31/2015**

**50% Charitable (cont'd)**

84 Yale University (C9040) (cont'd)

PC - 2016 Krymov Lab at Yale	12/03/2015	30,000 00
Yale University (C9040) Sub Total		40,000 00
<b>50% Charitable Sub Total</b>		<b>1,995,757 40</b>
<b>Grand Total</b>		<b>1,995,757 40</b>

Run Date 08/30/2016 2 27 PM



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**Rockefeller Trust Company, N.A.**  
**Charitable Contributions Summary Report**  
**Trust For Mutual Understanding**  
**Tax ID: 13-3212724**

(Gifts were made in cash unless otherwise stated. Securities given, unless otherwise stated, were regularly traded on a national securities exchange or over the counter market and were valued at the average of the high and low transactions on the date of gift.)

**From: 01/01/2015 To: 12/31/2015**

**SUMMARY**

Account Number	Description	Total
<b>50% Charitable</b>		
6610	Contributions - Cash 50% Char	1,995,757 40
	<b>Sub-Total :</b>	<u>1,995,757 40</u>
	<b>Grand Total :</b>	<u>1,995,757 40</u>

Trust for Mutual Understanding  
 EIN: 13-3212724  
 Balance Sheet  
 December 31, 2014

Description	Security Name	Cost (USD)	Market Value (USD)
Accounts Receivable	Accrued Dividends Receivable	22,547 01	22,547 01
Accounts Receivable	Accrued Dividends Receivable	9,869 21	9,869 21
Accounts Receivable	Accrued Dividends Receivable	276 72	276 72
Accounts Receivable	Accrued Interest Receivable	48,343 91	48,343 91
Accounts Receivable	Div Receivable - F/X Value Adjustment	0 00	65 21
Accounts Receivable	Unsettled Sales Rec - F/X Value Adjustment	0 00	-407 23
<b>Accounts Receivable Total</b>		<b>81,036 85</b>	<b>80,694 83</b>
Cash	Cash	599,261 34	599,261 34
Cash	Cash	1,705,434 43	1,705,434 43
Cash	Cash	1,439,820 11	1,439,820 11
Cash	Cash	74,617 23	74,617 23
Cash	Cash	172,338 76	172,338 76
<b>Cash Total</b>		<b>3,991,471 87</b>	<b>3,991,471 87</b>
Corporate Bonds	Associates Corp 6 95% 11/01/18	155,143 59	155,342 67
Corporate Bonds	Bank of Amer 5 3% 03/15/17	143,917 93	142,863 95
Corporate Bonds	BB&T Corp 1 6% 08/15/2017	164,764 81	163,911 93
Corporate Bonds	CVS Caremark1 20% 12/05/16	148,738 97	148,409 07
Corporate Bonds	Fedex Corp 5 10% 01/15/44	123,016 99	127,013 26
Corporate Bonds	Fifth Third Bnk 3 625% 1/25/16	144,157 12	143,452 12
Corporate Bonds	GE 6 875% 01/10/39	145,425 85	149,937 74
Corporate Bonds	GECAPF 1 35% 06/22/16	98,488 80	98,654 35
Corporate Bonds	GS 5 95% 01/18/18	145,318 06	144,425 84
Corporate Bonds	JPMorgan Chase 6% 10/01/2017	139,831 20	143,175 04
Corporate Bonds	Keycorp 5 1% Due 3/24/21	140,524 33	145,589 14
Corporate Bonds	MS 6 375% 07/24/42	147,322 79	150,067 84
Corporate Bonds	Rockefeller Core Taxable Bd Fd	3,323,834 37	3,333,127 83
Corporate Bonds	Thermo Fisher 2 25% 08/15/16	145,867 35	145,229 51
Corporate Bonds	Vanguard MBS ETF	502,990 69	500,838 48
Corporate Bonds	VZ 6 55% 09/15/43	97,729 11	98,648 47
Corporate Bonds	WFC 5 75% 02/01/18	145,682 08	145,571 40
Corporate Bonds	Yum! Brands 6 25% 3/15/18	98,103 06	97,333 43
<b>Corporate Bonds Total</b>		<b>6,010,857 10</b>	<b>6,033,592 07</b>
Corporate Stock	Aflac, Inc	209,249 36	310,031 75
Corporate Stock	Alexion Pharmaceuticals, Inc	23,256 46	192,616 23
Corporate Stock	Amada Co Ltd	352,573 23	413,433 42
Corporate Stock	Anadarko Petroleum Corporation	214,542 96	320,017 50
Corporate Stock	Apache Corporation	306,419 27	242,783 58
Corporate Stock	Baker Hughes Incorporated	102,579 19	139,726 44
Corporate Stock	Boskalis Westminster - CVA	111,602 82	214,050 58
Corporate Stock	Cabot Oil & Gas Corp Cl A	394,286 78	351,056 16

Corporate Stock	Capital One Financial Corp	525,579 20	631,755 15
Corporate Stock	Chevron Corporation	298,252 02	551,028 16
Corporate Stock	China Mobile (Hong Kong) Ltd	289,554 04	401,034 76
Corporate Stock	Comcast Corporation - CL A	226,603 59	461,875 62
Corporate Stock	Ctrip com International - ADR	200,012 89	198,789 50
Corporate Stock	Darling Ingredients Inc	244,643 55	234,627 20
Corporate Stock	Delphi Automotive PLC	211,175 66	474,134 40
Corporate Stock	eBay Inc	377,253 82	423,369 28
Corporate Stock	E-House China Holdings Ltd ADR	184,437 46	120,082 64
Corporate Stock	Express Scripts Holding, Inc	419,564 15	514,539 59
Corporate Stock	Google Inc - Class C	193,561 38	393,220 80
Corporate Stock	Google Inc - Class A	193,055 67	396,403 02
Corporate Stock	Honeywell Inc	195,458 28	541,666 32
Corporate Stock	Hyundai Motor Co , Ltd - 2nd	257,085 62	392,272 96
Corporate Stock	Hyundai Motor Company Ltd PFD	75,977 18	118,649 87
Corporate Stock	Incyte Corporation	133,999 67	242,067 21
Corporate Stock	ING Groep	332,640 89	462,070 39
Corporate Stock	InterXion Holding NV	94,876 53	103,454 56
Corporate Stock	J P Morgan Chase & Co	427,614 50	754,714 80
Corporate Stock	Johnson Matthey PLC	118,468 83	233,722 50
Corporate Stock	Kalbe Farma TBK PT	128,621 31	133,108 34
Corporate Stock	Kansai Electric Power Company	137,607 33	134,050 63
Corporate Stock	Korea Electric Power Corp	404,592 66	643,110 69
Corporate Stock	Leju Holdings Ltd-ADR	9,869 21	9,178 28
Corporate Stock	Lloyds TSB Group PLC	511,161 87	488,261 18
Corporate Stock	Mallinckrodt PLC	160,297 22	386,910 21
Corporate Stock	Marvell Technology Group Ltd	200,126 01	212,918 00
Corporate Stock	Merck & Co Inc	299,523 39	425,981 79
Corporate Stock	MGM Resorts International	328,589 37	282,151 86
Corporate Stock	Microsoft Corp	325,069 61	654,480 50
Corporate Stock	Nabtesco Corp	302,313 02	301,511 32
Corporate Stock	NGK Spark Plug Co , Ltd	138,143 75	291,192 29
Corporate Stock	Novartis AG - ADR	335,647 16	602,567 98
Corporate Stock	Oracle Corporation	345,610 79	489,903 18
Corporate Stock	Pentair, PLC	380,444 92	398,852 10
Corporate Stock	PICC Prop & Casualty Co Ltd	263,877 97	406,654 26
Corporate Stock	Prudential plc	144,667 28	337,363 21
Corporate Stock	Qualcomm Inc	169,394 23	334,485 00
Corporate Stock	Regeneron Pharmaceuticals	13,324 26	183,381 75
Corporate Stock	Reinsurance Group of America,	286,106 35	399,547 20
Corporate Stock	Roche Holdings AG	234,387 79	371,355 97
Corporate Stock	Royal Caribbean Cruises Ltd	269,058 88	743,106 45
Corporate Stock	Royal Dutch Shell PLC-ADR A	240,205 41	302,413 15
Corporate Stock	Safran SA	203,771 86	444,902 59
Corporate Stock	Semen Indonesia (Persero) Tbk	145,337 68	165,330 11
Corporate Stock	SMC Corporation	164,241 46	239,984 99
Corporate Stock	Softbank	369,101 06	318,720 55

Corporate Stock	St Jude Medical	267,093 41	310,778 37
Corporate Stock	SwedBank AB	106,766 81	257,878 52
Corporate Stock	Toronto-Dominion Bank	487,276 45	587,980 68
Corporate Stock	United Continental Hld Inc	365,274 87	672,043 83
Corporate Stock	Valeo SA	208,164 04	239,318 01
Corporate Stock	Visa Inc - Class A Shares	535,345 29	656,548 80
Corporate Stock	Wal-Mart Stores, Inc	462,117 52	695,456 24
Corporate Stock	Wells Fargo Company	258,931 40	690,348 26
Corporate Stock	Yum! Brands, Inc	184,299 80	317,407 45
<b>Corporate Stock Total</b>		<b>16,100,686 44</b>	<b>23,962,378 13</b>
Other Assets	5500 Fund (LP)	171,005 00	2,894,766 08
Other Assets	New Century Holdings XI, LP (LP)	600,326 00	349,168 00
Other Assets	Rockefeller Access Fund 06-II (LP)	501,023 00	640,696 01
Other Assets	Rockefeller Alt Strat QP (LP)	0 00	20,206 02
Other Assets	Rockefeller GA Investors 2006 (LP)	1,035,189 00	1,265,569 60
Other Assets	Venrock Partners V, LP (LP)	136,328 00	320,606 00
<b>Other Assets Total</b>		<b>2,443,871 00</b>	<b>5,491,011 71</b>
U S and State Government Obligations	Fed Home Loan Bank 5% 11/17/17	199,248 91	200,068 02
U S and State Government Obligations	FHLB Corp 0 75% 12/30/16	115,000 00	114,714 46
U S and State Government Obligations	NY Met BAB 4 655% 11/15/18	111,079 28	109,546 00
U S and State Government Obligations	NY Nassau Cnty 1 454% 11/15/17	201,825 02	200,580 00
U S and State Government Obligations	PA Build America 4 75% 7/15/22	103,709 27	111,843 00
U S and State Government Obligations	TX San Antonio 4 543% 05/15/19	111,374 60	110,164 00
U S and State Government Obligations	U S Treasury 5 375% 02/15/31	226,516 97	239,738 42
U S and State Government Obligations	US Treas N/B 0 875% 04/30/17	346,228 73	346,405 47
U S and State Government Obligations	US Treasury N/B 0 875% 5/15/17	436,412 11	436,238 44
U S and State Government Obligations	US Treasury N/B 3 125% 8/15/44	98,653 30	104,426 51
U S and State Government Obligations	WI Transn Rev 4 737% 07/01/20	183,235 19	193,040 75
<b>U S and State Government Obligations Total</b>		<b>2,133,283 38</b>	<b>2,166,765 07</b>
<b>Grand Total</b>		<b>30,761,206 64</b>	<b>41,725,913 68</b>