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Form **990-PF****Return of Private Foundation**

OMB No 1545-0052

Department of the Treasury  
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).**2015**

Open to Public Inspection

For calendar year 2015 or tax year beginning

, 2015, and ending

, 20

Name of foundation

PETER K. BARKER FOUNDATION

Number and street (or P O box number if mail is not delivered to street address)

C/O EY LLP; 77 WATER ST

City or town, state or province, country, and ZIP or foreign postal code

NEW YORK, NY 10005

G Check all that apply:

☐ Initial return☐ Final return☒ Address change☐ Initial return of a former public charity☐ Amended return☐ Name change

H Check type of organization

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundation

I Fair market value of all assets at

end of year (from Part II, col (c), line

16) \$ 4,061,468.

J Accounting method: ☒ Cash ☐ Accrual☐ Other (specify)

(Part I, column (d) must be on cash basis)

A Employer identification number

13-3198247

B Telephone number (see instructions)

(212) 440-0800

C If exemption application is pending, check here. ☐D 1. Foreign organizations, check here. ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here. ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here. ☐**Part I Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

(a) Revenue and expenses per books

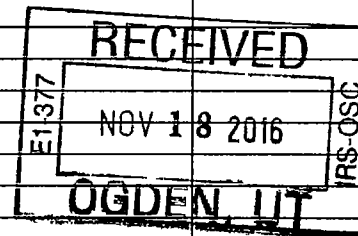
(b) Net investment income

(c) Adjusted net income

N/A

(d) Disbursements for charitable purposes (cash basis only)

|                                                                                             | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---------------------------------------------------------------------------------------------|------------------------------------|---------------------------|-------------------------|-------------------------------------------------------------|
| <b>1</b> Contributions, gifts, grants, etc., received (attach schedule)                     | NONE                               |                           |                         |                                                             |
| <b>2</b> Check <input type="checkbox"/> if the foundation is not required to attach Sch. B. |                                    |                           |                         |                                                             |
| <b>3</b> Interest on savings and temporary cash investments.                                | 257.                               | 257.                      |                         | ATCH 1                                                      |
| <b>4</b> Dividends and interest from securities                                             | 69,788.                            | 69,788.                   |                         | ATCH 2                                                      |
| <b>5a</b> Gross rents                                                                       |                                    |                           |                         |                                                             |
| <b>b</b> Net rental income or (loss)                                                        |                                    |                           |                         |                                                             |
| <b>6a</b> Net gain or (loss) from sale of assets not on line 10                             | 461,631.                           |                           |                         |                                                             |
| <b>b</b> Gross sales price for all assets on line 6a                                        | 2,156,027.                         |                           |                         |                                                             |
| <b>7</b> Capital gain net income (from Part IV, line 2)                                     |                                    | 461,631.                  |                         |                                                             |
| <b>8</b> Net short-term capital gain.                                                       |                                    |                           |                         |                                                             |
| <b>9</b> Income modifications                                                               |                                    |                           |                         |                                                             |
| <b>10a</b> Gross sales less returns and allowances                                          |                                    |                           |                         |                                                             |
| <b>b</b> Less Cost of goods sold                                                            |                                    |                           |                         |                                                             |
| <b>c</b> Gross profit or (loss) (attach schedule)                                           |                                    |                           |                         |                                                             |
| <b>11</b> Other income (attach schedule)                                                    |                                    |                           |                         |                                                             |
| <b>12</b> Total. Add lines 1 through 11                                                     | 531,676.                           | 531,676.                  | 0.                      |                                                             |
| <b>13</b> Compensation of officers, directors, trustees, etc.                               | 0.                                 |                           |                         |                                                             |
| <b>14</b> Other employee salaries and wages                                                 |                                    |                           |                         |                                                             |
| <b>15</b> Pension plans, employee benefits                                                  |                                    |                           |                         |                                                             |
| <b>16a</b> Legal fees (attach schedule)                                                     |                                    |                           |                         |                                                             |
| <b>b</b> Accounting fees (attach schedule)                                                  | 5,500.                             | 2,750.                    |                         | 2,750.                                                      |
| <b>c</b> Other professional fees (attach schedule)                                          | 31,520.                            | 31,520.                   |                         |                                                             |
| <b>17</b> Interest                                                                          |                                    |                           |                         |                                                             |
| <b>18</b> Taxes (attach schedule) (see instructions)                                        | 7,379.                             |                           |                         |                                                             |
| <b>19</b> Depreciation (attach schedule) and depletion.                                     |                                    |                           |                         |                                                             |
| <b>20</b> Occupancy                                                                         |                                    |                           |                         |                                                             |
| <b>21</b> Travel, conferences, and meetings                                                 |                                    |                           |                         |                                                             |
| <b>22</b> Printing and publications                                                         |                                    |                           |                         |                                                             |
| <b>23</b> Other expenses (attach schedule)                                                  | 266.                               | 181.                      |                         | 85.                                                         |
| <b>24</b> Total operating and administrative expenses. Add lines 13 through 23.             | 44,665.                            | 34,451.                   |                         | 2,835.                                                      |
| <b>25</b> Contributions, gifts, grants paid                                                 | 212,450.                           |                           |                         | 212,450.                                                    |
| <b>26</b> Total expenses and disbursements. Add lines 24 and 25                             | 257,115.                           | 34,451.                   | 0.                      | 215,285.                                                    |
| <b>27</b> Subtract line 26 from line 12.                                                    |                                    |                           |                         |                                                             |
| <b>a</b> Excess of revenue over expenses and disbursements                                  | 274,561.                           |                           |                         |                                                             |
| <b>b</b> Net investment income (if negative, enter -0-)                                     |                                    | 497,225.                  |                         |                                                             |
| <b>c</b> Adjusted net income (if negative, enter -0-)                                       |                                    |                           | 0.                      |                                                             |



| Part II Balance Sheets                                                                                     |                                                                                                                                     | Beginning of year                                                                                           |                | End of year           |  |
|------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------|----------------|-----------------------|--|
|                                                                                                            |                                                                                                                                     | (a) Book Value                                                                                              | (b) Book Value | (c) Fair Market Value |  |
| Assets                                                                                                     | 1 Cash - non-interest-bearing . . . . .                                                                                             | 1,161.                                                                                                      | 1,161.         | 1,161.                |  |
|                                                                                                            | 2 Savings and temporary cash investments . . . . .                                                                                  | 162,518.                                                                                                    | 148,660.       | 148,660.              |  |
|                                                                                                            | 3 Accounts receivable ▶                                                                                                             |                                                                                                             |                |                       |  |
|                                                                                                            | Less: allowance for doubtful accounts ▶                                                                                             |                                                                                                             |                |                       |  |
|                                                                                                            | 4 Pledges receivable ▶                                                                                                              |                                                                                                             |                |                       |  |
|                                                                                                            | Less: allowance for doubtful accounts ▶                                                                                             |                                                                                                             |                |                       |  |
|                                                                                                            | 5 Grants receivable. . . . .                                                                                                        |                                                                                                             |                |                       |  |
|                                                                                                            | 6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . . |                                                                                                             |                |                       |  |
|                                                                                                            | 7 Other notes and loans receivable (attach schedule) ▶                                                                              |                                                                                                             |                |                       |  |
|                                                                                                            | Less: allowance for doubtful accounts ▶                                                                                             |                                                                                                             |                |                       |  |
|                                                                                                            | 8 Inventories for sale or use. . . . .                                                                                              |                                                                                                             |                |                       |  |
|                                                                                                            | 9 Prepaid expenses and deferred charges . . . . .                                                                                   |                                                                                                             |                |                       |  |
|                                                                                                            | 10a Investments - U S and state government obligations (attach schedule). . . . .                                                   |                                                                                                             |                |                       |  |
|                                                                                                            | b Investments - corporate stock (attach schedule) <u>ATCH. 7</u> . . . . .                                                          | 2,837,387.                                                                                                  | 3,125,806.     | 3,911,647.            |  |
|                                                                                                            | c Investments - corporate bonds (attach schedule). . . . .                                                                          |                                                                                                             |                |                       |  |
|                                                                                                            | Liabilities                                                                                                                         | 11 Investments - land, buildings, and equipment basis<br>Less: accumulated depreciation (attach schedule) ▶ |                |                       |  |
| 12 Investments - mortgage loans. . . . .                                                                   |                                                                                                                                     |                                                                                                             |                |                       |  |
| 13 Investments - other (attach schedule) . . . . .                                                         |                                                                                                                                     |                                                                                                             |                |                       |  |
| 14 Land, buildings, and equipment basis<br>Less: accumulated depreciation (attach schedule) ▶              |                                                                                                                                     |                                                                                                             |                |                       |  |
| 15 Other assets (describe ▶ )                                                                              |                                                                                                                                     |                                                                                                             |                |                       |  |
| 16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I) . . . . . |                                                                                                                                     | 3,001,066.                                                                                                  | 3,275,627.     | 4,061,468.            |  |
| 17 Accounts payable and accrued expenses . . . . .                                                         |                                                                                                                                     |                                                                                                             |                |                       |  |
| 18 Grants payable. . . . .                                                                                 |                                                                                                                                     |                                                                                                             |                |                       |  |
| 19 Deferred revenue. . . . .                                                                               |                                                                                                                                     |                                                                                                             |                |                       |  |
| 20 Loans from officers, directors, trustees, and other disqualified persons. . . . .                       |                                                                                                                                     |                                                                                                             |                |                       |  |
| 21 Mortgages and other notes payable (attach schedule) . . . . .                                           |                                                                                                                                     |                                                                                                             |                |                       |  |
| 22 Other liabilities (describe ▶ )                                                                         |                                                                                                                                     |                                                                                                             |                |                       |  |
| 23 Total liabilities (add lines 17 through 22) . . . . .                                                   | 0.                                                                                                                                  | 0.                                                                                                          |                |                       |  |
| Net Assets or Fund Balances                                                                                | Foundations that follow SFAS 117, check here. ▶ <input type="checkbox"/>                                                            |                                                                                                             |                |                       |  |
|                                                                                                            | and complete lines 24 through 26 and lines 30 and 31.                                                                               |                                                                                                             |                |                       |  |
|                                                                                                            | 24 Unrestricted . . . . .                                                                                                           |                                                                                                             |                |                       |  |
|                                                                                                            | 25 Temporarily restricted . . . . .                                                                                                 |                                                                                                             |                |                       |  |
|                                                                                                            | 26 Permanently restricted . . . . .                                                                                                 |                                                                                                             |                |                       |  |
|                                                                                                            | Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/>         |                                                                                                             |                |                       |  |
|                                                                                                            | 27 Capital stock, trust principal, or current funds . . . . .                                                                       | 3,001,066.                                                                                                  | 3,275,627.     |                       |  |
|                                                                                                            | 28 Paid-in or capital surplus, or land, bldg. and equipment fund. . . . .                                                           |                                                                                                             |                |                       |  |
| 29 Retained earnings, accumulated income, endowment, or other funds . . . . .                              |                                                                                                                                     |                                                                                                             |                |                       |  |
| 30 Total net assets or fund balances (see instructions). . . . .                                           | 3,001,066.                                                                                                                          | 3,275,627.                                                                                                  |                |                       |  |
| 31 Total liabilities and net assets/fund balances (see instructions) . . . . .                             | 3,001,066.                                                                                                                          | 3,275,627.                                                                                                  |                |                       |  |

## Part III Analysis of Changes in Net Assets or Fund Balances

|                                                                                                                                                                       |   |            |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|------------|
| 1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return). . . . . | 1 | 3,001,066. |
| 2 Enter amount from Part I, line 27a. . . . .                                                                                                                         | 2 | 274,561.   |
| 3 Other increases not included in line 2 (itemize) ▶                                                                                                                  | 3 |            |
| 4 Add lines 1, 2, and 3 . . . . .                                                                                                                                     | 4 | 3,275,627. |
| 5 Decreases not included in line 2 (itemize) ▶                                                                                                                        | 5 |            |
| 6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 . . . . .                                                     | 6 | 3,275,627. |

**Part IV Capital Gains and Losses for Tax on Investment Income**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)                                                                       |                                            |                                                  | (b) How acquired<br>P - Purchase<br>D - Donation                                                | (c) Date acquired<br>(mo., day, yr.) | (d) Date sold<br>(mo., day, yr.) |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------|--------------------------------------------------|-------------------------------------------------------------------------------------------------|--------------------------------------|----------------------------------|
| <b>1a</b> SEE PART IV SCHEDULE                                                                                                                                                                          |                                            |                                                  |                                                                                                 |                                      |                                  |
| <b>b</b>                                                                                                                                                                                                |                                            |                                                  |                                                                                                 |                                      |                                  |
| <b>c</b>                                                                                                                                                                                                |                                            |                                                  |                                                                                                 |                                      |                                  |
| <b>d</b>                                                                                                                                                                                                |                                            |                                                  |                                                                                                 |                                      |                                  |
| <b>e</b>                                                                                                                                                                                                |                                            |                                                  |                                                                                                 |                                      |                                  |
| (e) Gross sales price                                                                                                                                                                                   | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale  | (h) Gain or (loss)<br>(e) plus (f) minus (g)                                                    |                                      |                                  |
| <b>a</b>                                                                                                                                                                                                |                                            |                                                  |                                                                                                 |                                      |                                  |
| <b>b</b>                                                                                                                                                                                                |                                            |                                                  |                                                                                                 |                                      |                                  |
| <b>c</b>                                                                                                                                                                                                |                                            |                                                  |                                                                                                 |                                      |                                  |
| <b>d</b>                                                                                                                                                                                                |                                            |                                                  |                                                                                                 |                                      |                                  |
| <b>e</b>                                                                                                                                                                                                |                                            |                                                  |                                                                                                 |                                      |                                  |
| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69                                                                                                             |                                            |                                                  |                                                                                                 |                                      |                                  |
| (i) F M V as of 12/31/69                                                                                                                                                                                | (j) Adjusted basis<br>as of 12/31/69       | (k) Excess of col. (i)<br>over col. (j), if any, | (l) Gains (Col. (h) gain minus<br>col. (k), but not less than -0-) or<br>Losses (from col. (h)) |                                      |                                  |
| <b>a</b>                                                                                                                                                                                                |                                            |                                                  |                                                                                                 |                                      |                                  |
| <b>b</b>                                                                                                                                                                                                |                                            |                                                  |                                                                                                 |                                      |                                  |
| <b>c</b>                                                                                                                                                                                                |                                            |                                                  |                                                                                                 |                                      |                                  |
| <b>d</b>                                                                                                                                                                                                |                                            |                                                  |                                                                                                 |                                      |                                  |
| <b>e</b>                                                                                                                                                                                                |                                            |                                                  |                                                                                                 |                                      |                                  |
| <b>2</b> Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7<br>If (loss), enter -0- in Part I, line 7 }                                                              |                                            |                                                  | <b>2</b>                                                                                        | 461,631.                             |                                  |
| <b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)<br>If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in<br>Part I, line 8 |                                            |                                                  | <b>3</b>                                                                                        | 0.                                   |                                  |

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year, see the instructions before making any entries

| (a)<br>Base period years<br>Calendar year (or tax year beginning in)                                                                                                                                                       | (b)<br>Adjusted qualifying distributions | (c)<br>Net value of noncharitable-use assets | (d)<br>Distribution ratio<br>(col. (b) divided by col. (c)) |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------|----------------------------------------------|-------------------------------------------------------------|
| 2014                                                                                                                                                                                                                       | 197,735.                                 | 4,100,373.                                   | 0.048224                                                    |
| 2013                                                                                                                                                                                                                       | 155,490.                                 | 3,600,274.                                   | 0.043188                                                    |
| 2012                                                                                                                                                                                                                       | 98,365.                                  | 3,043,117.                                   | 0.032324                                                    |
| 2011                                                                                                                                                                                                                       | 157,411.                                 | 2,993,831.                                   | 0.052578                                                    |
| 2010                                                                                                                                                                                                                       | 149,889.                                 | 2,817,680.                                   | 0.053196                                                    |
| <b>2</b> Total of line 1, column (d)                                                                                                                                                                                       |                                          |                                              | <b>2</b> 0.229510                                           |
| <b>3</b> Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years                                      |                                          |                                              | <b>3</b> 0.045902                                           |
| <b>4</b> Enter the net value of noncharitable-use assets for 2015 from Part X, line 5                                                                                                                                      |                                          |                                              | <b>4</b> 4,181,648.                                         |
| <b>5</b> Multiply line 4 by line 3                                                                                                                                                                                         |                                          |                                              | <b>5</b> 191,946.                                           |
| <b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)                                                                                                                                                        |                                          |                                              | <b>6</b> 4,972.                                             |
| <b>7</b> Add lines 5 and 6                                                                                                                                                                                                 |                                          |                                              | <b>7</b> 196,918.                                           |
| <b>8</b> Enter qualifying distributions from Part XII, line 4<br>If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions |                                          |                                              | <b>8</b> 215,285.                                           |

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

|                                                                                                                                                                                                                                                         |           |         |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|---------|
| <b>1a</b> Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 . . . . .<br>Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions) | <b>1</b>  | 4,972.  |
| <b>b</b> Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b . . . . .                                                                           |           |         |
| <b>c</b> All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)                                                                                                                         |           |         |
| <b>2</b> Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) . . . . .                                                                                                                            | <b>2</b>  |         |
| <b>3</b> Add lines 1 and 2 . . . . .                                                                                                                                                                                                                    | <b>3</b>  | 4,972.  |
| <b>4</b> Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) . . . . .                                                                                                                          | <b>4</b>  | 0.      |
| <b>5</b> Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- . . . . .                                                                                                                                              | <b>5</b>  | 4,972.  |
| <b>6</b> Credits/Payments.                                                                                                                                                                                                                              |           |         |
| <b>a</b> 2015 estimated tax payments and 2014 overpayment credited to 2015 . . . . .                                                                                                                                                                    | <b>6a</b> | 4,800.  |
| <b>b</b> Exempt foreign organizations - tax withheld at source . . . . .                                                                                                                                                                                | <b>6b</b> |         |
| <b>c</b> Tax paid with application for extension of time to file (Form 8868) . . . . .                                                                                                                                                                  | <b>6c</b> | 11,000. |
| <b>d</b> Backup withholding erroneously withheld . . . . .                                                                                                                                                                                              | <b>6d</b> |         |
| <b>7</b> Total credits and payments. Add lines 6a through 6d . . . . .                                                                                                                                                                                  | <b>7</b>  | 15,800. |
| <b>8</b> Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached . . . . .                                                                                                                    | <b>8</b>  |         |
| <b>9</b> Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed . . . . .                                                                                                                                                        | <b>9</b>  |         |
| <b>10</b> Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid . . . . .                                                                                                                                           | <b>10</b> | 10,828. |
| <b>11</b> Enter the amount of line 10 to be Credited to 2016 estimated tax <input type="checkbox"/> 10,828. Refunded <input type="checkbox"/> <b>11</b>                                                                                                 | <b>11</b> |         |

**Part VII-A Statements Regarding Activities**

|                                                                                                                                                                                                                                                                                                                                                               | Yes | No  |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|-----|
| <b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .                                                                                                                                                                      |     | X   |
| <b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? . . . . .<br>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities |     | X   |
| <b>c</b> Did the foundation file Form 1120-POL for this year? . . . . .                                                                                                                                                                                                                                                                                       |     | X   |
| <b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:<br>(1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____                                                                                                                           |     |     |
| <b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____                                                                                                                                                                               |     |     |
| <b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . .<br>If "Yes," attach a detailed description of the activities                                                                                                                                                                               |     | X   |
| <b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes . . . . .                                                                                                                 |     | X   |
| <b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .                                                                                                                                                                                                                                               |     | X   |
| <b>b</b> If "Yes," has it filed a tax return on Form 990-T for this year? . . . . .                                                                                                                                                                                                                                                                           |     | N/A |
| <b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . .<br>If "Yes," attach the statement required by General Instruction T                                                                                                                                                                         |     | X   |
| <b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:<br>• By language in the governing instrument, or<br>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .         | X   |     |
| <b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV                                                                                                                                                                                                                     | X   |     |
| <b>8a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> CA, _____                                                                                                                                                                                                               |     |     |
| <b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation . . . . .                                                                                                                                | X   |     |
| <b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? If "Yes," complete Part XIV . . . . .                                                                                       |     | X   |
| <b>10</b> Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses . . . . .                                                                                                                                                                                                        |     | X   |

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**Part VII-A Statements Regarding Activities (continued)**

|    |                                                                                                                                                                                                                                                                                                                                    |    |     |    |
|----|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|-----|----|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).                                                                                                                                           | 11 | Yes | No |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions).                                                                                                                                          | 12 |     | X  |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>                                                                                                                                                                                     | 13 | X   |    |
| 14 | The books are in care of <u>ERNST &amp; YOUNG US, LLP</u> Telephone no. <u>212-440-0800</u><br>Located at <u>77 WATER STREET, 9TH FL; NEW YORK, NY</u> ZIP+4 <u>10005</u>                                                                                                                                                          |    |     |    |
| 15 | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year <u>15</u>                                                                                                             |    |     |    |
| 16 | At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country <u></u> | 16 | Yes | No |
|    |                                                                                                                                                                                                                                                                                                                                    |    |     | X  |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

|     |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                              |                                        |     |
|-----|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------|----------------------------------------|-----|
| 1a  | During the year did the foundation (either directly or indirectly)                                                                                                                                                                                                                                                                                                                                                                                                                             |                              | Yes                                    | No  |
| (1) | Engage in the sale or exchange, or leasing of property with a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                             | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |     |
| (2) | Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                     | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |     |
| (3) | Furnish goods, services, or facilities to (or accept them from) a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                         | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |     |
| (4) | Pay compensation to, or pay or reimburse the expenses of, a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                               | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |     |
| (5) | Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?                                                                                                                                                                                                                                                                                                                                                      | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |     |
| (6) | Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)                                                                                                                                                                                                                                                    | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |     |
| b   | If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>                                                                                                                                                               | 1b                           |                                        | N/A |
| c   | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?                                                                                                                                                                                                                                                                                                        | 1c                           |                                        | N/A |
| 2   | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))                                                                                                                                                                                                                                                                                                                  |                              |                                        |     |
| a   | At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? If "Yes," list the years <u></u>                                                                                                                                                                                                                                                                                                             | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |     |
| b   | Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)                                                                                                                                                                                       | 2b                           |                                        | N/A |
| c   | If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u></u>                                                                                                                                                                                                                                                                                                                                                                        |                              |                                        |     |
| 3a  | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?                                                                                                                                                                                                                                                                                                                                                                     | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |     |
| b   | If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015) | 3b                           |                                        | N/A |
| 4a  | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?                                                                                                                                                                                                                                                                                                                                                                                | 4a                           |                                        | X   |
| b   | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?                                                                                                                                                                                                                                                              | 4b                           |                                        | X   |

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ **5b** **N/A**

Organizations relying on a current notice regarding disaster assistance check here: ☐

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No **N/A**

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No **6b** **X**

If "Yes" to 6b, file Form 8870

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No **7b** **N/A**

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (if not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|----------------------|-----------------------------------------------------------|-------------------------------------------|-----------------------------------------------------------------------|---------------------------------------|
| ATCH 8               |                                                           | 0.                                        | 0.                                                                    | 0.                                    |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |

**2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."**

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---------------------------------------------------------------|-----------------------------------------------------------|------------------|-----------------------------------------------------------------------|---------------------------------------|
| NONE                                                          |                                                           |                  |                                                                       | 0.                                    |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |

**Total number of other employees paid over \$50,000.** ☐

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**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
|-------------------------------------------------------------|---------------------|------------------|
| NONE                                                        | NONE                | NONE             |
|                                                             |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |

Total number of others receiving over \$50,000 for professional services . . . . . ▶

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

|                                                                                                                                                                                                                          | Expenses |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|
| <b>1</b> PLEASE NOTE, THE FOUNDATION IS NOT INVOLVED IN ANY DIRECT CHARITABLE ACTIVITIES. ITS PRIMARY PURPOSE IS TO SUPPORT, BY CONTRIBUTIONS, OTHER ORGANIZATIONS EXEMPT UNDER INTERNAL REVENUE CODE SECTION 501(C)(3). | NONE     |
| <b>2</b> N/A                                                                                                                                                                                                             |          |
| <b>3</b> N/A                                                                                                                                                                                                             |          |
| <b>4</b> N/A                                                                                                                                                                                                             |          |

**Part IX-B Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

|                                                        | Amount |
|--------------------------------------------------------|--------|
| <b>1</b> N/A                                           | NONE   |
| <b>2</b> N/A                                           |        |
| All other program-related investments See instructions |        |
| <b>3</b> N/A                                           |        |
| <b>Total.</b> Add lines 1 through 3 . . . . . ▶        |        |

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**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

|          |                                                                                                                               |           |            |
|----------|-------------------------------------------------------------------------------------------------------------------------------|-----------|------------|
| <b>1</b> | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes                    |           |            |
| <b>a</b> | Average monthly fair market value of securities . . . . .                                                                     | <b>1a</b> | 4,033,996. |
| <b>b</b> | Average of monthly cash balances . . . . .                                                                                    | <b>1b</b> | 211,332.   |
| <b>c</b> | Fair market value of all other assets (see instructions). . . . .                                                             | <b>1c</b> | 0.         |
| <b>d</b> | <b>Total</b> (add lines 1a, b, and c) . . . . .                                                                               | <b>1d</b> | 4,245,328. |
| <b>e</b> | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) . . . . . <b>1e</b> |           |            |
| <b>2</b> | Acquisition indebtedness applicable to line 1 assets . . . . .                                                                | <b>2</b>  |            |
| <b>3</b> | Subtract line 2 from line 1d . . . . .                                                                                        | <b>3</b>  | 4,245,328. |
| <b>4</b> | Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see instructions). . . . .            | <b>4</b>  | 63,680.    |
| <b>5</b> | <b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3 Enter here and on Part V, line 4                    | <b>5</b>  | 4,181,648. |
| <b>6</b> | <b>Minimum investment return.</b> Enter 5% of line 5 . . . . .                                                                | <b>6</b>  | 209,082.   |

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

|           |                                                                                                                   |           |          |
|-----------|-------------------------------------------------------------------------------------------------------------------|-----------|----------|
| <b>1</b>  | Minimum investment return from Part X, line 6 . . . . .                                                           | <b>1</b>  | 209,082. |
| <b>2a</b> | Tax on investment income for 2015 from Part VI, line 5 . . . . . <b>2a</b>                                        |           | 4,972.   |
| <b>b</b>  | Income tax for 2015 (This does not include the tax from Part VI). . . . . <b>2b</b>                               |           |          |
| <b>c</b>  | Add lines 2a and 2b . . . . .                                                                                     | <b>2c</b> | 4,972.   |
| <b>3</b>  | <b>Distributable amount before adjustments</b> Subtract line 2c from line 1 . . . . .                             | <b>3</b>  | 204,110. |
| <b>4</b>  | Recoveries of amounts treated as qualifying distributions . . . . .                                               | <b>4</b>  |          |
| <b>5</b>  | Add lines 3 and 4 . . . . .                                                                                       | <b>5</b>  | 204,110. |
| <b>6</b>  | Deduction from distributable amount (see instructions). . . . .                                                   | <b>6</b>  |          |
| <b>7</b>  | <b>Distributable amount as adjusted</b> Subtract line 6 from line 5 Enter here and on Part XIII, line 1 . . . . . | <b>7</b>  | 204,110. |

**Part XII Qualifying Distributions** (see instructions)

|          |                                                                                                                                                               |           |          |
|----------|---------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|----------|
| <b>1</b> | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes                                                                     |           |          |
| <b>a</b> | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 . . . . .                                                                       | <b>1a</b> | 215,285. |
| <b>b</b> | Program-related investments - total from Part IX-B . . . . .                                                                                                  | <b>1b</b> |          |
| <b>2</b> | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes . . . . .                                           | <b>2</b>  |          |
| <b>3</b> | Amounts set aside for specific charitable projects that satisfy the                                                                                           |           |          |
| <b>a</b> | Suitability test (prior IRS approval required) . . . . .                                                                                                      | <b>3a</b> |          |
| <b>b</b> | Cash distribution test (attach the required schedule) . . . . .                                                                                               | <b>3b</b> |          |
| <b>4</b> | <b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4                                             | <b>4</b>  | 215,285. |
| <b>5</b> | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions) . . . . . | <b>5</b>  | 4,972.   |
| <b>6</b> | <b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4 . . . . .                                                                               | <b>6</b>  | 210,313. |

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

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Page **9****Part XIII Undistributed Income** (see instructions)

|                                                                                                                                                                                             | (a)<br>Corpus | (b)<br>Years prior to 2014 | (c)<br>2014 | (d)<br>2015 |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------------------------|-------------|-------------|
| <b>1</b> Distributable amount for 2015 from Part XI, line 7 . . . . .                                                                                                                       |               |                            |             | 204,110.    |
| <b>2</b> Undistributed income, if any, as of the end of 2015                                                                                                                                |               |                            |             |             |
| <b>a</b> Enter amount for 2014 only. . . . .                                                                                                                                                |               |                            | 19,967.     |             |
| <b>b</b> Total for prior years 20____, 20____, 20____                                                                                                                                       |               | NONE                       |             |             |
| <b>3</b> Excess distributions carryover, if any, to 2015:                                                                                                                                   |               |                            |             |             |
| <b>a</b> From 2010 . . . . .                                                                                                                                                                |               |                            |             |             |
| <b>b</b> From 2011 . . . . .                                                                                                                                                                |               |                            |             |             |
| <b>c</b> From 2012 . . . . .                                                                                                                                                                |               |                            |             |             |
| <b>d</b> From 2013 . . . . .                                                                                                                                                                |               |                            |             |             |
| <b>e</b> From 2014 . . . . .                                                                                                                                                                |               |                            |             |             |
| <b>f</b> Total of lines 3a through e . . . . .                                                                                                                                              | 0.            |                            |             |             |
| <b>4</b> Qualifying distributions for 2015 from Part XII, line 4: ► \$ 215,285.                                                                                                             |               |                            |             |             |
| <b>a</b> Applied to 2014, but not more than line 2a . . . . .                                                                                                                               |               |                            | 19,967.     |             |
| <b>b</b> Applied to undistributed income of prior years (Election required - see instructions) . . . . .                                                                                    |               |                            |             |             |
| <b>c</b> Treated as distributions out of corpus (Election required - see instructions) . . . . .                                                                                            |               |                            |             |             |
| <b>d</b> Applied to 2015 distributable amount. . . . .                                                                                                                                      |               |                            |             | 195,318.    |
| <b>e</b> Remaining amount distributed out of corpus. . . . .                                                                                                                                |               |                            |             |             |
| <b>5</b> Excess distributions carryover applied to 2015. (If an amount appears in column (d), the same amount must be shown in column (a) )                                                 |               |                            |             |             |
| <b>6</b> Enter the net total of each column as indicated below:                                                                                                                             |               |                            |             |             |
| <b>a</b> Corpus Add lines 3f, 4c, and 4e. Subtract line 5                                                                                                                                   |               |                            |             |             |
| <b>b</b> Prior years' undistributed income Subtract line 4b from line 2b. . . . .                                                                                                           |               |                            |             |             |
| <b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . . |               |                            |             |             |
| <b>d</b> Subtract line 6c from line 6b Taxable amount - see instructions . . . . .                                                                                                          |               |                            |             |             |
| <b>e</b> Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount - see instructions . . . . .                                                                            |               |                            |             |             |
| <b>f</b> Undistributed income for 2015 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2016. . . . .                                                                 |               |                            |             | 8,792.      |
| <b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) . . . . .       |               |                            |             |             |
| <b>8</b> Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions) . . . . .                                                                              |               |                            |             |             |
| <b>9</b> Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a . . . . .                                                                                              | 0.            |                            |             |             |
| <b>10</b> Analysis of line 9                                                                                                                                                                |               |                            |             |             |
| <b>a</b> Excess from 2011 . . . . .                                                                                                                                                         |               |                            |             |             |
| <b>b</b> Excess from 2012 . . . . .                                                                                                                                                         |               |                            |             |             |
| <b>c</b> Excess from 2013 . . . . .                                                                                                                                                         |               |                            |             |             |
| <b>d</b> Excess from 2014 . . . . .                                                                                                                                                         |               |                            |             |             |
| <b>e</b> Excess from 2015 . . . . .                                                                                                                                                         |               |                            |             |             |

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**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

NOT APPLICABLE

**1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling . . . . . ▶

**b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

|                                                                                                                                                                    | Tax year | Prior 3 years |          |          | (e) Total |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|---------------|----------|----------|-----------|
|                                                                                                                                                                    | (a) 2015 | (b) 2014      | (c) 2013 | (d) 2012 |           |
| <b>2 a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .                     |          |               |          |          |           |
| <b>b</b> 85% of line 2a . . . . .                                                                                                                                  |          |               |          |          |           |
| <b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .                                                                             |          |               |          |          |           |
| <b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .                                                           |          |               |          |          |           |
| <b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .                                   |          |               |          |          |           |
| <b>3</b> Complete 3a, b, or c for the alternative test relied upon                                                                                                 |          |               |          |          |           |
| <b>a</b> "Assets" alternative test - enter                                                                                                                         |          |               |          |          |           |
| <b>(1)</b> Value of all assets . . . . .                                                                                                                           |          |               |          |          |           |
| <b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i) . . . . .                                                                                     |          |               |          |          |           |
| <b>b</b> "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . . . .                              |          |               |          |          |           |
| <b>c</b> "Support" alternative test - enter                                                                                                                        |          |               |          |          |           |
| <b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . . |          |               |          |          |           |
| <b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) . . . . .                                      |          |               |          |          |           |
| <b>(3)</b> Largest amount of support from an exempt organization . . . . .                                                                                         |          |               |          |          |           |
| <b>(4)</b> Gross investment income . . . . .                                                                                                                       |          |               |          |          |           |

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2) )

ATTACHMENT 9

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

**b** The form in which applications should be submitted and information and materials they should include

**c** Any submission deadlines

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient                                    | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount   |
|----------------------------------------------|-----------------------------------------------------------------------------------------------------------|--------------------------------|----------------------------------|----------|
| Name and address (home or business)          |                                                                                                           |                                |                                  |          |
| <b>a Paid during the year</b><br><br>ATCH 10 |                                                                                                           |                                |                                  | 212,450. |
| <b>Total</b> .....                           |                                                                                                           |                                | <b>3a</b>                        | 212,450. |
| <b>b Approved for future payment</b>         |                                                                                                           |                                |                                  |          |
| <b>Total</b> .....                           |                                                                                                           |                                | <b>3b</b>                        |          |

**Part XVI-A Analysis of Income-Producing Activities**

**Enter gross amounts unless otherwise indicated**

| Enter gross amounts unless otherwise indicated                    |  | Unrelated business income |               | Excluded by section 512, 513, or 514 |               | (e)<br>Related or exempt<br>function income<br>(See instructions.) |
|-------------------------------------------------------------------|--|---------------------------|---------------|--------------------------------------|---------------|--------------------------------------------------------------------|
|                                                                   |  | (a)<br>Business code      | (b)<br>Amount | (c)<br>Exclusion code                | (d)<br>Amount |                                                                    |
| <b>1</b> Program service revenue                                  |  |                           |               |                                      |               |                                                                    |
| a _____                                                           |  |                           |               |                                      |               |                                                                    |
| b _____                                                           |  |                           |               |                                      |               |                                                                    |
| c _____                                                           |  |                           |               |                                      |               |                                                                    |
| d _____                                                           |  |                           |               |                                      |               |                                                                    |
| e _____                                                           |  |                           |               |                                      |               |                                                                    |
| f _____                                                           |  |                           |               |                                      |               |                                                                    |
| g Fees and contracts from government agencies                     |  |                           |               |                                      |               |                                                                    |
| <b>2</b> Membership dues and assessments . . . . .                |  |                           |               |                                      |               |                                                                    |
| <b>3</b> Interest on savings and temporary cash investments .     |  |                           |               | 14                                   | 257.          |                                                                    |
| <b>4</b> Dividends and interest from securities . . . . .         |  |                           |               | 14                                   | 69,788.       |                                                                    |
| <b>5</b> Net rental income or (loss) from real estate             |  |                           |               |                                      |               |                                                                    |
| a Debt-financed property . . . . .                                |  |                           |               |                                      |               |                                                                    |
| b Not debt-financed property . . . . .                            |  |                           |               |                                      |               |                                                                    |
| <b>6</b> Net rental income or (loss) from personal property. .    |  |                           |               |                                      |               |                                                                    |
| <b>7</b> Other investment income . . . . .                        |  |                           |               |                                      |               |                                                                    |
| <b>8</b> Gain or (loss) from sales of assets other than inventory |  |                           |               | 18                                   | 461,631.      |                                                                    |
| <b>9</b> Net income or (loss) from special events . . . . .       |  |                           |               |                                      |               |                                                                    |
| <b>10</b> Gross profit or (loss) from sales of inventory. . .     |  |                           |               |                                      |               |                                                                    |
| <b>11</b> Other revenue a _____                                   |  |                           |               |                                      |               |                                                                    |
| b _____                                                           |  |                           |               |                                      |               |                                                                    |
| c _____                                                           |  |                           |               |                                      |               |                                                                    |
| d _____                                                           |  |                           |               |                                      |               |                                                                    |
| e _____                                                           |  |                           |               |                                      |               |                                                                    |
| <b>12</b> Subtotal. Add columns (b), (d), and (e) . . . . .       |  |                           |               |                                      | 531,676.      |                                                                    |
| <b>13</b> Total. Add line 12, columns (b), (d), and (e) . . . . . |  |                           |               |                                      |               | 531,676.                                                           |

(See worksheet in line 13 instructions to verify calculations.)

| Part XVI-B | Relationship of Activities to the Accomplishment of Exempt Purposes |
|------------|---------------------------------------------------------------------|
|------------|---------------------------------------------------------------------|

[illegible]



**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                             |                                       | Description                                    |                          |                                 |                                     | P<br>or<br>D | Date<br>acquired     | Date sold |
|----------------------------------------------|---------------------------------------|------------------------------------------------|--------------------------|---------------------------------|-------------------------------------|--------------|----------------------|-----------|
| Gross sale<br>price less<br>expenses of sale | Depreciation<br>allowed/<br>allowable | Cost or<br>other<br>basis                      | FMV<br>as of<br>12/31/69 | Adj. basis<br>as of<br>12/31/69 | Excess of<br>FMV over<br>adj. basis |              | Gain<br>or<br>(loss) |           |
| 135,035.                                     |                                       | STC(L) - SEE REALIZED G/L REPORT I<br>157,906. |                          |                                 |                                     |              | VAR<br>-21,863.      | VAR       |
| 260,192.                                     |                                       | LTCG - SEE REALIZED G/L REPORT I<br>194,834.   |                          |                                 |                                     |              | VAR<br>65,358.       | VAR       |
| 315,572.                                     |                                       | LTCG - SEE REALIZED G/L REPORT I<br>82,739.    |                          |                                 |                                     |              | VAR<br>232,833.      | VAR       |
| 959,272.                                     |                                       | STCG - SEE REALIZED G/L REPORT II<br>900,740.  |                          |                                 |                                     |              | VAR<br>64,981.       | VAR       |
| 419,094.                                     |                                       | LTCG - SEE REALIZED G/L REPORT II<br>324,700.  |                          |                                 |                                     |              | VAR<br>94,394.       | VAR       |
| 66,862.                                      |                                       | LTCG - SEE REALIZED G/L REPORT II<br>40,934.   |                          |                                 |                                     |              | VAR<br>25,928.       | VAR       |
| TOTAL GAIN(LOSS) .....                       |                                       |                                                |                          |                                 |                                     |              | <u>461,631.</u>      |           |

**PETER K. BARKER FOUNDATION**

**13-3198247**

**SUPPLEMENTARY STATEMENTS**

**PART: XV**

**LINE: 2**

**SUPPLEMENTAL INFORMATION**

THE ORGANIZATION'S PRIMARY ACTIVITY IS TO SUPPORT, BY CONTRIBUTIONS, OTHER ORGANIZATIONS QUALIFYING FOR EXEMPTION UNDER SECTION 501(c)(3) OF THE INTERNAL REVENUE CODE. THE TRUSTEES CHOOSE THESE ORGANIZATIONS BASED UPON THEIR KNOWLEDGE OF THE ORGANIZATIONS' ACTIVITIES. NO CONTRIBUTIONS, GRANTS, GIFTS, LOANS OR SCHOLARSHIPS ARE MADE TO INDIVIDUALS. THE ORGANIZATION DOES NOT PRESENTLY RECEIVE APPLICATIONS OR CONDUCT PROGRAMS FOR DONATIONS IN ITS ACTIVITIES.

ATTACHMENT 1

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

| DESCRIPTION          | REVENUE<br>AND<br>EXPENSES<br>PER BOOKS | NET<br>INVESTMENT<br>INCOME | ADJUSTED<br>NET<br>INCOME |
|----------------------|-----------------------------------------|-----------------------------|---------------------------|
| INTEREST ON CHECKING | 109.                                    | 109.                        |                           |
| INTEREST ON BDA      | 148.                                    | 148.                        |                           |
| TOTAL                | 257.                                    | 257.                        |                           |

ATTACHMENT 2

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

| <u>DESCRIPTION</u> | <u>REVENUE<br/>AND<br/>EXPENSES<br/>PER BOOKS</u> | <u>NET<br/>INVESTMENT<br/>INCOME</u> | <u>ADJUSTED<br/>NET<br/>INCOME</u> |
|--------------------|---------------------------------------------------|--------------------------------------|------------------------------------|
| DIVIDENDS          | 69,788.                                           | 69,788.                              |                                    |
| TOTAL              | <u>69,788.</u>                                    | <u>69,788.</u>                       |                                    |

ATTACHMENT 3

FORM 990PF, PART I - ACCOUNTING FEES

| <u>DESCRIPTION</u>   | <u>REVENUE<br/>AND<br/>EXPENSES<br/>PER BOOKS</u> | <u>NET<br/>INVESTMENT<br/>INCOME</u> | <u>ADJUSTED<br/>NET<br/>INCOME</u> | <u>CHARITABLE<br/>PURPOSES</u> |
|----------------------|---------------------------------------------------|--------------------------------------|------------------------------------|--------------------------------|
| BCRS ASSOCIATES, LLC | 5,500.                                            | 2,750.                               |                                    | 2,750.                         |
| TOTALS               | <u>5,500.</u>                                     | <u>2,750.</u>                        |                                    | <u>2,750.</u>                  |

ATTACHMENT 4

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

| <u>DESCRIPTION</u>       | <u>REVENUE<br/>AND<br/>EXPENSES<br/>PER BOOKS</u> | <u>NET<br/>INVESTMENT<br/>INCOME</u> | <u>ADJUSTED<br/>NET<br/>INCOME</u> | <u>CHARITABLE<br/>PURPOSES</u> |
|--------------------------|---------------------------------------------------|--------------------------------------|------------------------------------|--------------------------------|
| MANAGEMENT FEES- GS & CO | 31,520.                                           | 31,520.                              |                                    |                                |
| TOTALS                   | <u>31,520.</u>                                    | <u>31,520.</u>                       |                                    |                                |

ATTACHMENT 5

FORM 990PF, PART I - TAXES

| <u>DESCRIPTION</u>                              | <u>REVENUE<br/>AND<br/>EXPENSES<br/>PER BOOKS</u> | <u>NET<br/>INVESTMENT<br/>INCOME</u> | <u>ADJUSTED<br/>NET<br/>INCOME</u> | <u>CHARITABLE<br/>PURPOSES</u> |
|-------------------------------------------------|---------------------------------------------------|--------------------------------------|------------------------------------|--------------------------------|
| TAX PAYMENT - FYE 12/31/2014                    | 2,214.                                            |                                      |                                    |                                |
| TAX PAYMENT - FYE 12/31/2014                    | 365.                                              |                                      |                                    |                                |
| 1ST QTR ESTIMATED TAX PAYMENT<br>FYE 12/31/2015 | 4,800.                                            |                                      |                                    |                                |
| TOTALS                                          | <u>7,379.</u>                                     |                                      |                                    |                                |

ATTACHMENT 6

FORM 990PF, PART I - OTHER EXPENSES

| DESCRIPTION               | REVENUE<br>AND<br>EXPENSES<br>PER BOOKS | NET<br>INVESTMENT<br>INCOME | ADJUSTED<br>NET<br>INCOME | CHARITABLE<br>PURPOSES |
|---------------------------|-----------------------------------------|-----------------------------|---------------------------|------------------------|
| FOREIGN TAXES WITHHELD    | 176.                                    | 176.                        |                           |                        |
| ADR FEES                  | 5.                                      | 5.                          |                           | 75.                    |
| ATTORNEY GENERAL OF CA    | 75.                                     |                             |                           | 10.                    |
| FRANCHISE TAX BOARD OF CA | 10.                                     |                             |                           |                        |
| TOTALS                    | 266.                                    | 181.                        |                           | 85.                    |

ATTACHMENT 7

FORM 990PF, PART II - CORPORATE STOCK

| <u>DESCRIPTION</u>             | <u>BEGINNING<br/>BOOK VALUE</u> | <u>ENDING<br/>BOOK VALUE</u> | <u>ENDING<br/>FMV</u> |
|--------------------------------|---------------------------------|------------------------------|-----------------------|
| SEE LONG POSITION ATTACHED I   | 585,235.                        | 589,724.                     | 964,404.              |
| SEE LONG POSITION ATTACHED II  | 979,792.                        | 1,152,461.                   | 1,644,824.            |
| SEE LONG POSITION ATTACHED III | 1,272,360.                      | 1,383,621.                   | 1,302,419.            |
| TOTALS                         | <u>2,837,387.</u>               | <u>3,125,806.</u>            | <u>3,911,647.</u>     |

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 8

TITLE AND AVERAGE HOURS PER  
WEEK DEVOTED TO POSITION

NAME AND ADDRESS

PETER K. BARKER  
C/O ERNST & YOUNG US, LLP  
77 WATER STREET - 9TH FLOOR  
NEW YORK, NY 10005

TRUSTEE/PARTTIME

ROBIN B. BARKER  
C/O ERNST & YOUNG US, LLP  
77 WATER STREET - 9TH FLOOR  
NEW YORK, NY 10005

TRUSTEE/PARTTIME

FORM 990PF, PART XV - INFORMATION REGARDING FOUNDATION MANAGERS

PETER K. BARKER; C/O ERNST & YOUNG US, LLP  
77 WATER STREET, 9TH FL., NEW YORK, NY 10005

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 10

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

FOUNDATION STATUS OF RECIPIENT

PURPOSE OF GRANT OR CONTRIBUTION

AMOUNT

SEE STATEMENT ATTACHED

77 WATER ST

9TH FLOOR

NEW YORK, NY 10005

CHARITABLE CONTRIBUTIONS USE.

212,450

TOTAL CONTRIBUTIONS PAID

212,450

PETER K. BARKER FOUNDATION  
 CHARITABLE CONTRIBUTIONS  
 FYE 12/31/2015  
 EIN: 13-3198247

| DATE       | CONTRIBUTION/CITY, STATE                                                        | AMOUNT              |
|------------|---------------------------------------------------------------------------------|---------------------|
| 1/8/2015   | DESERT CLASSIC CHARITIES<br>RANCHO MIRAGE, CA                                   | \$3,250.00          |
| 2/18/2015  | OUR LADY OF MOUNT CARMEL PARISH<br>SANTA BARBARA, CA                            | \$12,000.00         |
| 2/8/2015   | PEBBLE BEACH COMPANY FOUNDATION<br>PEBBLE BEACH, CA                             | \$1,200 00          |
| 3/13/2015  | CARMEL IDEAS FOUNDATION<br>SANTA BARBARA, CA                                    | \$5,000.00          |
| 5/19/2015  | LA SALLE HIGH SCHOOL<br>PASADENA, CA                                            | \$10,000.00         |
| 5/19/2015  | FRIENDS OF MONTECITO LIBRARY<br>SANTA BARBARA, CA                               | \$5,000.00          |
| 5/28/2015  | NANTZ NATIONAL ALZHEIMERS CENTER [HOUSTON<br>METHODIST HOSPITAL]<br>HOUSTON, TX | \$5,000 00          |
| 5/29/2015  | CASA DEL HERRERO FOUNDATION<br>SANTA BARBARA, CA                                | \$2,500 00          |
| 6/12/2015  | J PAUL GETTY TRUST<br>LOS ANGELES, CA                                           | \$20,000 00         |
| 7/20/2015  | U.C. REGENTS SCHOLARSHIP FUND<br>IRVINE, CA                                     | \$10,000.00         |
| 9/22/2015  | COLGATE UNIVERSITY<br>HAMILTON, NY                                              | \$5,000 00          |
| 9/22/2015  | TRINITY SCHOOL<br>ATLANTA, GA                                                   | \$5,000 00          |
| 9/22/2015  | COTTAGE HOSPITAL FOUNDATION<br>SANTA BARBARA, CA                                | \$50,000 00         |
| 9/22/2015  | CLAREMONT MCKENNA COLLEGE<br>CLAREMONT, CA                                      | \$30,000 00         |
| 9/28/2015  | ADDARIO LUNG CANCER FOUNDATION<br>SAN CARLOS, CA                                | \$10,000.00         |
| 11/13/2015 | OUR LADY OF MOUNT CARMEL PARISH<br>SANTA BARBARA, CA                            | \$23,500 00         |
| 11/16/2015 | CENTER FOR THE ARTS<br>JAKSON, WY                                               | \$5,000 00          |
| 12/11/2015 | COLGATE UNIVERSITY<br>HAMILTON, NY                                              | \$10,000.00         |
|            |                                                                                 | <u>\$212,450.00</u> |

\*ALL CONTRIBUTIONS WERE MADE TO THE GENERAL PURPOSE  
 FUND OF PUBLIC CHARITABLE ORGANIZATIONS THAT WERE  
 CLASSIFIED UNDER SECTION 501 C (3) OF THE INTERNAL REVENUE  
 CODE

**THE PETER K. BARKER FOUNDATION  
REALIZED GAIN & LOSS REPORT I  
FYE 12/31/2015**

**SHORT-TERM GAINS (LOSSES)**

| Description (Product Identifier)               | Date Acquired<br>or Sold Short | Date Sold<br>or Covered | Quantity | Sale Proceeds * | Total accretion<br>(Amortization) | Cost Basis * | Total<br>Gain (Loss) |
|------------------------------------------------|--------------------------------|-------------------------|----------|-----------------|-----------------------------------|--------------|----------------------|
| <b>Covered Short-Term Gains (Losses)</b>       |                                |                         |          |                 |                                   |              |                      |
| THE WILLIAMS COMPANIES, INC. CMN (969457100)   | 05/30/2014                     | 02/02/2015              | 88.00    | 3,875.71        | 0.00                              | 4,129.85     | (254.14)             |
| PERRIGO CO PLC CMN (G97822103)                 | 02/02/2015                     | 05/04/2015              | 31.00    | 5,837.94        | 0.00                              | 4,709.89     | 1,128.05             |
| ALLERGAN PLC CMN (G00838108)                   | 03/17/2015                     | 06/08/2015              | 3.00     | 901.27          | 0.00                              | 915.00       | (13.73)              |
| ALLERGAN PLC CMN (G00838108)                   | 03/17/2015                     | 06/08/2015              | 14.00    | 4,205.94        | 0.00                              | 4,270.00     | (64.06)              |
| BIOMER INC CMN (09062X103)                     | 03/24/2015                     | 06/08/2015              | 13.00    | 5,031.94        | 0.00                              | 6,039.82     | (1,007.88)           |
| BIOMER INC CMN (09062X103) Disallowed Loss     |                                |                         |          |                 |                                   | 1,007.88     |                      |
| DISCOVERY COMMUNICATIONS, INC. CMN (25470F302) | 08/18/2014                     | 06/08/2015              | 42.00    | 1,321.07        | 0.00                              | 1,774.71     | (453.64)             |
| DISCOVERY COMMUNICATIONS, INC. CMN (25470F302) | 08/19/2014                     | 06/08/2015              | 149.00   | 4,686.67        | 0.00                              | 6,335.55     | (1,648.88)           |
| ELECTRONIC ARTS CMN (285512109)                | 11/25/2014                     | 06/08/2015              | 215.00   | 13,325.45       | 0.00                              | 9,507.73     | 3,817.72             |
| VALEANT PHARMACEUTICALS INTL CMN (91911K102)   | 02/03/2015                     | 06/08/2015              | 61.00    | 14,202.97       | 0.00                              | 9,642.74     | 4,560.23             |
| PRICELINE GROUP INC/THE CMN (741503403)        | 10/16/2014                     | 07/20/2015              | 1.00     | 1,247.45        | 0.00                              | 1,063.94     | 183.51               |
| PRICELINE GROUP INC/THE CMN (741503403)        | 10/16/2014                     | 07/22/2015              | 5.00     | 6,187.88        | 0.00                              | 5,319.68     | 868.20               |
| KINDER MORGAN INC CMN CLASS P (49456B101)      | 08/20/2014                     | 07/23/2015              | 242.00   | 8,546.43        | 0.00                              | 10,092.05    | (1,545.62)           |
| EOG RESOURCES INC CMN (26875P101)              | 10/16/2014                     | 07/21/2015              | 31.00    | 2,293.57        | 0.00                              | 2,840.28     | (546.71)             |
| KINDER MORGAN INC CMN CLASS P (49456B101)      | 08/20/2014                     | 07/29/2015              | 251.00   | 8,846.22        | 0.00                              | 10,467.38    | (1,621.16)           |
| KINDER MORGAN INC CMN CLASS P (49456B101)      | 10/20/2014                     | 08/04/2015              | 92.00    | 3,098.15        | 0.00                              | 3,427.50     | (329.35)             |

**REALIZED GAINS AND LOSSES (Continued)****SHORT-TERM GAINS (LOSSES)**

| Description (Product Identifier)                | Date Acquired<br>or Sold Short | Date Sold<br>or Covered | Quantity | Sale Proceeds <sup>5</sup> | Total accretion<br>(Amortization) | Cost Basis <sup>4</sup> | Total<br>Gain (Loss) |
|-------------------------------------------------|--------------------------------|-------------------------|----------|----------------------------|-----------------------------------|-------------------------|----------------------|
| <b>Covered Short-Term Gains (Losses)</b>        |                                |                         |          |                            |                                   |                         |                      |
| KINDER MORGAN INC CMN CLASS P (49456B101)       | 02/03/2015                     | 08/04/2015              | 111.00   | 3,737.99                   | 0.00                              | 4,608.26                | (870.27)             |
| KINDER MORGAN INC CMN CLASS P (49456B101)       | 08/20/2014                     | 08/04/2015              | 284.00   | 9,563.86                   | 0.00                              | 11,843.57               | (2,279.71)           |
| DISCOVERY COMMUNICATIONS, INC. CMN (25470F302)  | 08/19/2014                     | 08/07/2015              | 136.00   | 3,757.19                   | 0.00                              | 5,782.79                | (2,025.60)           |
| DISCOVERY COMMUNICATIONS, INC. CMN (25470F302)  | 09/05/2014                     | 08/07/2015              | 197.00   | 5,442.40                   | 0.00                              | 8,408.53                | (2,966.13)           |
| TRIPADVISOR, INC. CMN (896945201)               | 03/03/2015                     | 08/27/2015              | 38.00    | 2,852.28                   | 0.00                              | 3,436.24                | (783.96)             |
| THE WILLIAMS COMPANIES, INC. CMN (969457100)    | 10/20/2014                     | 09/01/2015              | 82.00    | 3,784.91                   | 0.00                              | 4,291.13                | (506.22)             |
| TRIPADVISOR, INC. CMN (896945201)               | 03/03/2015                     | 09/11/2015              | 49.00    | 3,230.78                   | 0.00                              | 4,430.94                | (1,200.16)           |
| TRIPADVISOR, INC. CMN (896945201)               | 03/04/2015                     | 09/11/2015              | 74.00    | 4,879.15                   | 0.00                              | 6,616.36                | (1,737.21)           |
| WYNN RESORTS, LIMITED CMN (983134107)           | 07/27/2015                     | 09/14/2015              | 14.00    | 949.45                     | 0.00                              | 1,403.69                | (454.24)             |
| WYNN RESORTS, LIMITED CMN (983134107)           | 06/05/2015                     | 09/14/2015              | 26.00    | 1,763.26                   | 0.00                              | 2,838.10                | (1,074.84)           |
| WYNN RESORTS, LIMITED CMN (983134107)           | 03/23/2015                     | 09/14/2015              | 28.00    | 1,898.90                   | 0.00                              | 3,773.29                | (1,874.39)           |
| WYNN RESORTS, LIMITED CMN (983134107)           | 08/04/2015                     | 09/14/2015              | 33.00    | 2,237.98                   | 0.00                              | 3,351.07                | (1,113.09)           |
| WYNN RESORTS, LIMITED CMN (983134107)           | 01/30/2015                     | 09/14/2015              | 111.00   | 7,527.77                   | 0.00                              | 16,586.33               | (9,058.56)           |
| <b>Net Covered Short-Term Disallowed Losses</b> |                                |                         |          |                            |                                   | <b>1,007.88</b>         |                      |
| <b>Net Covered Short-Term Gains (Losses)</b>    |                                |                         |          | <b>135,034.58</b>          | <b>0.00</b>                       | <b>157,906.42</b>       | <b>(21,863.96)</b>   |

**LONG-TERM GAINS (LOSSES)**

| Description (Product Identifier)        | Date Acquired<br>or Sold Short | Date Sold<br>or Covered | Quantity | Sale Proceeds <sup>5</sup> | Total accretion<br>(Amortization) | Cost Basis <sup>4</sup> | Total<br>Gain (Loss) |
|-----------------------------------------|--------------------------------|-------------------------|----------|----------------------------|-----------------------------------|-------------------------|----------------------|
| <b>Covered Long-Term Gains (Losses)</b> |                                |                         |          |                            |                                   |                         |                      |
| L BRANDS, INC. CMN (501797104)          | 06/14/2013                     | 01/23/2015              | 33.00    | 2,790.91                   | 0.00                              | 1,681.79                | 1,109.12             |
| EOG RESOURCES INC CMN (26875P101)       | 01/07/2011                     | 02/24/2015              | 28.00    | 2,580.21                   | 0.00                              | 1,312.81                | 1,267.40             |
| L BRANDS, INC. CMN (501797104)          | 06/14/2013                     | 02/24/2015              | 41.00    | 3,738.17                   | 0.00                              | 2,089.50                | 1,648.67             |
| MICROSOFT CORPORATION CMN (594918104)   | 07/17/2013                     | 03/03/2015              | 153.00   | 6,652.00                   | 0.00                              | 5,506.09                | 1,145.91             |
| ALLERGAN, INC. CMN (018490102)          | 06/26/2013                     | 03/17/2015              | 38.00    | 9,180.36                   | 0.00                              | 3,279.53                | 5,900.83             |

## REALIZED GAINS AND LOSSES (Continued)

## LONG-TERM GAINS (LOSSES)

| Description (Product Identifier)              | Date Acquired<br>or Sold Short | Date Sold<br>or Covered | Quantity | Sale Proceeds * | Total accretion<br>(Amortization) | Cost Basis * | Total<br>Gain (Loss) |
|-----------------------------------------------|--------------------------------|-------------------------|----------|-----------------|-----------------------------------|--------------|----------------------|
| <b>Covered Long-Term Gains (Losses)</b>       |                                |                         |          |                 |                                   |              |                      |
| ALLERGAN, INC. CMN (018490102)                | 06/28/2013                     | 03/17/2015              | 44.00    | 10,585.68       | 0.00                              | 3,752.83     | 6,812.85             |
| MICROSOFT CORPORATION CMN (594918104)         | 07/19/2013                     | 03/23/2015              | 62.00    | 2,656.52        | 0.00                              | 1,955.65     | 700.87               |
| MICROSOFT CORPORATION CMN (594918104)         | 07/17/2013                     | 03/23/2015              | 82.00    | 3,513.47        | 0.00                              | 2,950.98     | 552.49               |
| MICROSOFT CORPORATION CMN (594918104)         | 07/19/2013                     | 03/31/2015              | 59.00    | 2,398.62        | 0.00                              | 1,861.02     | 537.60               |
| MICROSOFT CORPORATION CMN (594918104)         | 08/27/2013                     | 03/31/2015              | 141.00   | 5,732.29        | 0.00                              | 4,755.83     | 976.46               |
| L BRANDS, INC. CMN (501797104)                | 06/14/2013                     | 04/01/2015              | 26.00    | 2,455.13        | 0.00                              | 1,325.05     | 1,130.08             |
| PERRIGO CO PLC CMN (G97822103)                | 12/19/2013                     | 04/13/2015              | 39.00    | 7,874.36        | 0.00                              | 6,058.24     | 1,816.12             |
| PERRIGO CO PLC CMN (G97822103)                | 12/19/2013                     | 05/04/2015              | 8.00     | 1,506.57        | 0.00                              | 1,242.72     | 263.85               |
| PERRIGO CO PLC CMN (G97822103)                | 12/19/2013                     | 05/04/2015              | 46.00    | 8,662.74        | 0.00                              | 7,145.62     | 1,517.12             |
| PERRIGO CO PLC CMN (G97822103)                | 12/19/2013                     | 05/04/2015              | 93.00    | 17,513.80       | 0.00                              | 14,446.58    | 3,067.22             |
| YELP INC. CMN (985817105)                     | 02/11/2014                     | 05/12/2015              | 85.00    | 4,170.39        | 0.00                              | 7,909.06     | (3,738.67)           |
| ADOBE SYSTEMS INC CMN (00724F101)             | 02/28/2013                     | 06/08/2015              | 9.00     | 703.42          | 0.00                              | 354.34       | 349.08               |
| ADOBE SYSTEMS INC CMN (00724F101)             | 04/09/2012                     | 06/08/2015              | 42.00    | 3,282.66        | 0.00                              | 1,397.58     | 1,885.08             |
| EQUINIX, INC. REIT (29444U700)                | 04/15/2014                     | 06/08/2015              | 5.00     | 1,315.38        | 0.00                              | 856.12       | 459.26               |
| EQUINIX, INC. REIT (29444U700)                | 02/19/2014                     | 06/08/2015              | 44.00    | 11,575.30       | 0.00                              | 8,410.45     | 3,164.85             |
| MICROSOFT CORPORATION CMN (594918104)         | 08/27/2013                     | 06/08/2015              | 36.00    | 1,649.85        | 0.00                              | 1,198.26     | 451.59               |
| MICROSOFT CORPORATION CMN (594918104)         | 08/27/2013                     | 06/08/2015              | 197.00   | 9,028.34        | 0.00                              | 6,644.67     | 2,383.67             |
| THE WILLIAMS COMPANIES, INC. CMN (969457100)  | 05/30/2014                     | 06/08/2015              | 86.00    | 4,173.50        | 0.00                              | 4,035.99     | 137.51               |
| WALGREENS BOOTS ALLIANCE, INC CMN (931427108) | 01/07/2011                     | 06/11/2015              | 30.00    | 2,570.95        | 0.00                              | 1,204.04     | 1,366.91             |
| EOG RESOURCES INC CMN (26875P101)             | 08/16/2011                     | 07/27/2015              | 26.00    | 1,923.64        | 0.00                              | 1,234.60     | 689.04               |
| EOG RESOURCES INC CMN (26875P101)             | 01/07/2011                     | 07/27/2015              | 28.00    | 2,071.61        | 0.00                              | 1,312.81     | 758.80               |
| EOG RESOURCES INC CMN (26875P101)             | 01/19/2011                     | 07/27/2015              | 42.00    | 3,107.41        | 0.00                              | 2,144.15     | 963.26               |
| EOG RESOURCES INC CMN (26875P101)             | 01/22/2013                     | 07/27/2015              | 52.00    | 3,847.27        | 0.00                              | 3,256.92     | 590.35               |
| EOG RESOURCES INC CMN (26875P101)             | 10/06/2011                     | 07/27/2015              | 90.00    | 6,658.74        | 0.00                              | 3,481.59     | 3,177.15             |
| EOG RESOURCES INC CMN (26875P101)             | 07/26/2011                     | 07/27/2015              | 158.00   | 11,689.79       | 0.00                              | 8,384.72     | 3,305.07             |

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Legal Name

PETER K.BARKER FOUNDATION

## REALIZED GAINS AND LOSSES (Continued)

## LONG-TERM GAINS (LOSSES)

| Description (Product Identifier)             | Date Acquired<br>or Sold Short | Date Sold<br>or Covered | Quantity | Sale Proceeds *   | Total accretion<br>(Amortization) | Cost Basis *      | Total<br>Gain (Loss) |
|----------------------------------------------|--------------------------------|-------------------------|----------|-------------------|-----------------------------------|-------------------|----------------------|
| <b>Covered Long-Term Gains (Losses)</b>      |                                |                         |          |                   |                                   |                   |                      |
| ADOBE SYSTEMS INC CMN (00724F101)            | 03/21/2013                     | 07/29/2015              | 143.00   | 11,472.12         | 0.00                              | 6,051.82          | 5,420.30             |
| ADOBE SYSTEMS INC CMN (00724F101)            | 02/28/2013                     | 07/29/2015              | 273.00   | 21,901.32         | 0.00                              | 10,748.39         | 11,152.93            |
| SALLY BEAUTY HOLDINGS, INC. CMN (79546E104)  | 11/14/2012                     | 08/17/2015              | 88.00    | 2,257.16          | 0.00                              | 2,203.84          | 53.32                |
| SALLY BEAUTY HOLDINGS, INC. CMN (79546E104)  | 02/22/2013                     | 08/17/2015              | 100.00   | 2,564.95          | 0.00                              | 2,748.42          | (183.47)             |
| SALLY BEAUTY HOLDINGS, INC. CMN (79546E104)  | 11/26/2012                     | 08/17/2015              | 272.00   | 6,976.67          | 0.00                              | 6,697.97          | 278.70               |
| THE WILLIAMS COMPANIES, INC. CMN (969457100) | 05/30/2014                     | 08/25/2015              | 140.00   | 6,627.54          | 0.00                              | 6,570.21          | 57.33                |
| THE WILLIAMS COMPANIES, INC. CMN (969457100) | 06/19/2014                     | 09/01/2015              | 176.00   | 8,123.71          | 0.00                              | 10,123.26         | (1,999.55)           |
| THE WILLIAMS COMPANIES, INC. CMN (969457100) | 05/30/2014                     | 09/01/2015              | 404.00   | 18,647.60         | 0.00                              | 18,959.76         | (312.16)             |
| SALLY BEAUTY HOLDINGS, INC. CMN (79546E104)  | 09/12/2013                     | 09/17/2015              | 61.00    | 1,589.23          | 0.00                              | 1,565.18          | 24.05                |
| SALLY BEAUTY HOLDINGS, INC. CMN (79546E104)  | 08/15/2013                     | 09/17/2015              | 76.00    | 1,980.03          | 0.00                              | 2,060.03          | (80.00)              |
| SALLY BEAUTY HOLDINGS, INC. CMN (79546E104)  | 02/22/2013                     | 09/17/2015              | 114.00   | 2,970.04          | 0.00                              | 3,133.20          | (163.16)             |
| SALLY BEAUTY HOLDINGS, INC. CMN (79546E104)  | 01/31/2014                     | 09/17/2015              | 146.00   | 3,803.74          | 0.00                              | 4,151.76          | (348.02)             |
| EQUINIX, INC. REIT (29444U700)               | 04/15/2014                     | 10/29/2015              | 10.00    | 2,932.47          | 0.00                              | 1,712.24          | 1,220.23             |
| EQUINIX, INC. REIT (29444U700)               | 04/15/2014                     | 10/30/2015              | 11.00    | 3,207.76          | 0.00                              | 1,883.46          | 1,324.30             |
| EQUINIX, INC. REIT (29444U700)               | 04/15/2014                     | 11/02/2015              | 5.00     | 1,506.60          | 0.00                              | 856.12            | 650.48               |
| L BRANDS, INC. CMN (501797104)               | 06/14/2013                     | 11/06/2015              | 82.00    | 8,041.93          | 0.00                              | 4,179.00          | 3,862.93             |
| <b>Net Covered Long-Term Gains (Losses)</b>  |                                |                         |          | <b>260,191.95</b> | <b>0.00</b>                       | <b>194,834.20</b> | <b>65,357.75</b>     |

## NonCovered Long-Term Gains (Losses)

|                                        |            |            |       |          |      |          |          |
|----------------------------------------|------------|------------|-------|----------|------|----------|----------|
| ALLERGAN, INC. CMN (018490102)         | 02/08/2005 | 01/22/2015 | 28.00 | 6,232.06 | 0.00 | 1,033.48 | 5,198.58 |
| CROWN CASTLE INTL CORP CMN (22822V101) | 10/29/2008 | 01/23/2015 | 51.00 | 4,427.10 | 0.00 | 1,015.27 | 3,411.83 |
| CROWN CASTLE INTL CORP CMN (22822V101) | 10/07/2008 | 01/23/2015 | 71.00 | 6,163.21 | 0.00 | 1,612.76 | 4,550.45 |
| EOG RESOURCES INC CMN (26875P101)      | 04/08/2010 | 01/23/2015 | 4.00  | 367.47   | 0.00 | 212.52   | 154.95   |
| EOG RESOURCES INC CMN (26875P101)      | 06/30/2009 | 01/23/2015 | 73.00 | 6,706.34 | 0.00 | 2,475.75 | 4,230.59 |
| INTUIT INC CMN (461202103)             | 02/08/2005 | 01/23/2015 | 77.00 | 6,873.00 | 0.00 | 1,463.00 | 5,410.00 |

### LONG-TERM GAINS (LOSSES)

| Description (Product Identifier)                | Date Acquired<br>or Sold Short | Date Sold<br>or Covered | Quantity | Sale Proceeds <sup>e</sup> | Total accretion<br>(Amortization) | Cost Basis <sup>e</sup> | Total<br>Gain (Loss) |
|-------------------------------------------------|--------------------------------|-------------------------|----------|----------------------------|-----------------------------------|-------------------------|----------------------|
| <b>NonCovered Long-Term Gains (Losses)</b>      |                                |                         |          |                            |                                   |                         |                      |
| WALGREENS BOOTS ALLIANCE, INC CMN (931427108)   | 12/13/2006                     | 01/23/2015              | 47.00    | 3,553.07                   | 0.00                              | 2,072.23                | 1,480.84             |
| WALGREENS BOOTS ALLIANCE, INC CMN (931427108)   | 02/08/2005                     | 01/23/2015              | 64.00    | 4,838.22                   | 0.00                              | 2,796.16                | 2,042.06             |
| WALGREENS BOOTS ALLIANCE, INC. CMN (931427108)  | 04/28/2005                     | 01/23/2015              | 71.00    | 5,367.40                   | 0.00                              | 3,059.50                | 2,307.90             |
| CROWN CASTLE INTL CORP CMN (22822V101)          | 10/29/2008                     | 01/26/2015              | 19.00    | 1,657.12                   | 0.00                              | 378.24                  | 1,278.88             |
| VISA INC. CMN CLASS A (92826C839)               | 10/06/2008                     | 01/30/2015              | 37.00    | 9,603.58                   | 0.00                              | 2,048.42                | 7,555.16             |
| EOG RESOURCES INC CMN (26875P101)               | 11/04/2010                     | 02/02/2015              | 13.00    | 1,170.52                   | 0.00                              | 572.35                  | 598.17               |
| EOG RESOURCES INC CMN (26875P101)               | 09/28/2010                     | 02/02/2015              | 58.00    | 5,222.29                   | 0.00                              | 2,643.67                | 2,578.62             |
| EOG RESOURCES INC CMN (26875P101)               | 04/08/2010                     | 02/02/2015              | 66.00    | 5,942.61                   | 0.00                              | 3,506.61                | 2,436.00             |
| EOG RESOURCES INC CMN (26875P101)               | 08/06/2010                     | 02/02/2015              | 66.00    | 5,942.61                   | 0.00                              | 3,271.85                | 2,670.76             |
| MASTERCARD INCORPORATED CMN CLASS A (57636Q104) | 09/15/2008                     | 02/02/2015              | 27.00    | 2,195.67                   | 0.00                              | 570.19                  | 1,625.48             |
| MASTERCARD INCORPORATED CMN CLASS A (57636Q104) | 10/28/2008                     | 02/02/2015              | 53.00    | 4,310.01                   | 0.00                              | 664.90                  | 3,645.11             |
| INTUIT INC CMN (461202103)                      | 02/08/2005                     | 02/19/2015              | 104.00   | 9,399.00                   | 0.00                              | 1,976.00                | 7,423.00             |
| EOG RESOURCES INC CMN (26875P101)               | 11/04/2010                     | 02/24/2015              | 3.00     | 276.45                     | 0.00                              | 132.08                  | 144.37               |
| NIKE CLASS-B CMN CLASS B (654106103)            | 04/23/2009                     | 02/24/2015              | 33.00    | 3,138.80                   | 0.00                              | 888.42                  | 2,250.38             |
| PRICELINE GROUP INC/THE CMN (741503403)         | 02/19/2010                     | 02/25/2015              | 11.00    | 13,703.51                  | 0.00                              | 2,549.79                | 11,153.72            |
| CROWN CASTLE INTL CORP CMN (22822V101)          | 11/24/2008                     | 03/04/2015              | 18.00    | 1,545.48                   | 0.00                              | 213.27                  | 1,332.21             |
| CROWN CASTLE INTL CORP CMN (22822V101)          | 10/29/2008                     | 03/04/2015              | 22.00    | 1,888.93                   | 0.00                              | 437.96                  | 1,450.97             |
| INTERCONTINENTAL EXCHANGE INC CMN (45866F104)   | 02/05/2008                     | 03/10/2015              | 11.00    | 2,533.21                   | 0.00                              | 1,481.39                | 1,051.82             |
| INTERCONTINENTAL EXCHANGE INC CMN (45866F104)   | 11/07/2006                     | 03/10/2015              | 24.00    | 5,527.00                   | 0.00                              | 2,122.11                | 3,404.89             |
| WALGREENS BOOTS ALLIANCE, INC. CMN (931427108)  | 12/13/2006                     | 03/10/2015              | 39.00    | 3,239.76                   | 0.00                              | 1,719.51                | 1,520.25             |
| WALGREENS BOOTS ALLIANCE, INC CMN (931427108)   | 12/14/2006                     | 03/10/2015              | 64.00    | 5,316.54                   | 0.00                              | 2,852.41                | 2,464.13             |
| ALLERGAN, INC. CMN (018490102)                  | 12/14/2006                     | 03/17/2015              | 22.00    | 5,282.84                   | 0.00                              | 1,330.07                | 3,952.77             |
| ALLERGAN, INC. CMN (018490102)                  | 03/16/2007                     | 03/17/2015              | 36.00    | 8,616.92                   | 0.00                              | 1,994.64                | 6,622.28             |
| ALLERGAN, INC. CMN (018490102)                  | 07/06/2009                     | 03/17/2015              | 42.00    | 10,307.24                  | 0.00                              | 1,984.07                | 8,323.17             |
| ALLERGAN, INC. CMN (018490102)                  | 04/29/2008                     | 03/17/2015              | 50.00    | 11,951.00                  | 0.00                              | 2,839.90                | 9,111.10             |

Tax Year  
2015

Legal Name  
PETER K.BARKER FOUNDATION

# REALIZED GAINS AND LOSSES (Continued)

## LONG-TERM GAINS (LOSSES)

| Description (Product Identifier)                | Date Acquired<br>or Sold Short | Date Sold<br>or Covered | Quantity | Sale Proceeds <sup>s</sup> | Total accretion<br>(Amortization) | Cost Basis <sup>t</sup> | Total<br>Gain (Loss) |
|-------------------------------------------------|--------------------------------|-------------------------|----------|----------------------------|-----------------------------------|-------------------------|----------------------|
| <b>NonCovered Long-Term Gains (Losses)</b>      |                                |                         |          |                            |                                   |                         |                      |
| ALLERGAN, INC. CMN (018490102)                  | 12/13/2006                     | 03/17/2015              | 50.00    | 11,951.00                  | 0.00                              | 3,000.26                | 8,950.74             |
| ALLERGAN, INC. CMN (018490102)                  | 02/08/2005                     | 03/17/2015              | 67.00    | 16,282.74                  | 0.00                              | 2,472.97                | 13,809.77            |
| ALLERGAN, INC. CMN (018490102)                  | 10/28/2008                     | 03/17/2015              | 69.00    | 16,541.18                  | 0.00                              | 2,322.02                | 14,219.16            |
| INTERCONTINENTAL EXCHANGE INC CMN (45866F104)   | 02/08/2008                     | 03/23/2015              | 8.00     | 1,884.23                   | 0.00                              | 1,021.66                | 862.57               |
| INTERCONTINENTAL EXCHANGE INC CMN (45866F104)   | 02/05/2008                     | 03/23/2015              | 10.00    | 2,355.28                   | 0.00                              | 1,346.71                | 1,008.57             |
| WALGREENS BOOTS ALLIANCE, INC CMN (931427108)   | 12/14/2006                     | 03/24/2015              | 26.00    | 2,276.57                   | 0.00                              | 1,158.79                | 1,117.78             |
| INTUIT INC CMN (461202103)                      | 02/08/2005                     | 04/01/2015              | 26.00    | 2,521.77                   | 0.00                              | 494.00                  | 2,027.77             |
| PRICELINE GROUP INC/THE CMN (741503403)         | 02/19/2010                     | 04/01/2015              | 2.00     | 2,300.76                   | 0.00                              | 463.60                  | 1,837.16             |
| PRICELINE GROUP INC/THE CMN (741503403)         | 05/12/2010                     | 04/01/2015              | 6.00     | 6,902.27                   | 0.00                              | 1,313.04                | 5,589.23             |
| ALLERGAN PLC CMN (G0083B108)                    |                                | 04/23/2015              | 0.00     | 280.87                     | 0.00                              | No Cost                 | 280.87 <sup>a</sup>  |
| GOOGLE INC CMN CLASS C (38259P706)              |                                | 05/04/2015              | 0.00     | 103.93                     | 0.00                              | No Cost                 | 103.93 <sup>a</sup>  |
| INTERCONTINENTAL EXCHANGE INC CMN (45866F104)   | 07/15/2008                     | 06/08/2015              | 2.00     | 471.11                     | 0.00                              | 174.64                  | 296.47               |
| INTERCONTINENTAL EXCHANGE INC CMN (45866F104)   | 02/08/2008                     | 06/08/2015              | 15.00    | 3,533.33                   | 0.00                              | 1,915.60                | 1,617.73             |
| INTUIT INC CMN (461202103)                      | 02/08/2005                     | 06/08/2015              | 50.00    | 5,237.90                   | 0.00                              | 950.00                  | 4,287.90             |
| MASTERCARD INCORPORATED CMN CLASS A (57636Q104) | 10/28/2008                     | 06/08/2015              | 100.00   | 9,197.83                   | 0.00                              | 1,254.54                | 7,943.29             |
| NIKE CLASS-B CMN CLASS B (654106103)            | 04/23/2009                     | 06/08/2015              | 5.00     | 507.89 <sup>d</sup>        | 0.00                              | 134.61                  | 373.28               |
| NIKE CLASS-B CMN CLASS B (654106103)            | 06/30/2009                     | 06/08/2015              | 16.00    | 1,625.25                   | 0.00                              | 413.26                  | 1,211.99             |
| NIKE CLASS-B CMN CLASS B (654106103)            | 05/26/2009                     | 06/08/2015              | 36.00    | 3,656.81                   | 0.00                              | 975.79                  | 2,681.02             |
| NOVO-NORDISK A/S ADR CMN (670100205)            | 04/23/2009                     | 06/08/2015              | 118.00   | 6,599.61                   | 0.00                              | 1,171.14                | 5,428.47             |
| VISA INC. CMN CLASS A (92826C839)               | 10/06/2008                     | 06/08/2015              | 63.00    | 4,276.99                   | 0.00                              | 871.96                  | 3,405.03             |
| PRICELINE GROUP INC/THE CMN (741503403)         | 06/17/2010                     | 06/11/2015              | 3.00     | 3,550.23                   | 0.00                              | 584.22                  | 2,966.01             |
| PRICELINE GROUP INC/THE CMN (741503403)         | 05/12/2010                     | 06/11/2015              | 5.00     | 5,910.77                   | 0.00                              | 1,094.20                | 4,816.57             |
| PRICELINE GROUP INC/THE CMN (741503403)         | 06/17/2010                     | 06/11/2015              | 16.00    | 18,914.47                  | 0.00                              | 3,115.81                | 15,798.66            |
| WALGREENS BOOTS ALLIANCE, INC. CMN (931427108)  | 12/14/2006                     | 06/11/2015              | 13.00    | 1,114.08                   | 0.00                              | 579.40                  | 534.68               |

**LONG-TERM GAINS (LOSSES)**

| Description (Product Identifier)                | Date Acquired<br>or Sold Short | Date Sold<br>or Covered | Quantity | Sale Proceeds *   | Total accretion<br>(Amortization) | Cost Basis *     | Total<br>Gain (Loss) |
|-------------------------------------------------|--------------------------------|-------------------------|----------|-------------------|-----------------------------------|------------------|----------------------|
| <b>NonCovered Long-Term Gains (Losses)</b>      |                                |                         |          |                   |                                   |                  |                      |
| PRICELINE GROUP INC/THE CMN (741503403)         | 06/17/2010                     | 07/20/2015              | 4.00     | 4,989.79          | 0.00                              | 778.95           | 4,210.84             |
| PRICELINE GROUP INC/THE CMN (741503403)         | 06/24/2010                     | 07/20/2015              | 4.00     | 4,989.79          | 0.00                              | 739.28           | 4,250.51             |
| NIKE CLASS-B CMN CLASS B (654106103)            | 06/30/2009                     | 10/28/2015              | 12.00    | 1,578.16          | 0.00                              | 309.94           | 1,268.22             |
| NIKE CLASS-B CMN CLASS B (654106103)            | 06/30/2009                     | 11/10/2015              | 36.00    | 4,683.06          | 0.00                              | 929.83           | 3,753.23             |
| MASTERCARD INCORPORATED CMN CLASS A (57636Q104) | 10/28/2008                     | 11/20/2015              | 49.00    | 4,890.98          | 0.00                              | 614.72           | 4,276.26             |
| NIKE CLASS-B CMN CLASS B (654106103)            | 06/30/2009                     | 11/20/2015              | 24.00    | 3,144.01          | 0.00                              | 619.89           | 2,524.12             |
| <b>Net NonCovered Long-Term Gains (Losses)</b>  |                                |                         |          | <b>315,571.62</b> | <b>0.00</b>                       | <b>82,739.35</b> | <b>232,832.27</b>    |

**PETER K. BARKER FOUNDATION  
REALIZED GAIN & LOSS REPORT II  
FYE 12/31/2015**

**SHORT-TERM GAINS (LOSSES)**

| Description (Product Identifier)         | Date Acquired<br>or Sold Short | Date Sold<br>or Covered | Quantity | Sale Proceeds * | Total accretion<br>(Amortization) | Cost Basis * | Total<br>Gain (Loss) |
|------------------------------------------|--------------------------------|-------------------------|----------|-----------------|-----------------------------------|--------------|----------------------|
| <b>Covered Short-Term Gains (Losses)</b> |                                |                         |          |                 |                                   |              |                      |
| CBS CORPORATION CMN CLASS B (124857202)  | 06/24/2014                     | 01/22/2015              | 243.00   | 13,632.41       | 0.00                              | 14,321.77    | (689.36)             |
| GAP INC CMN (364760108)                  | 03/13/2014                     | 01/22/2015              | 341.00   | 14,208.30       | 0.00                              | 14,074.73    | 133.57               |
| MEDTRONIC, INC. CMN (585055106)          | 09/22/2014                     | 01/22/2015              | 297.00   | 21,870.13       | 0.00                              | 19,529.60    | 2,340.53             |
| MEDTRONIC, INC. CMN (585055106)          | 09/04/2014                     | 01/22/2015              | 689.00   | 50,735.77       | 0.00                              | 44,113.02    | 6,622.75             |
| CBS CORPORATION CMN CLASS B (124857202)  | 06/24/2014                     | 01/30/2015              | 63.00    | 3,484.43        | 0.00                              | 3,713.05     | (228.62)             |
| CBS CORPORATION CMN CLASS B (124857202)  | 12/12/2014                     | 01/30/2015              | 94.00    | 5,199.00        | 0.00                              | 5,048.32     | 150.68               |
| CBS CORPORATION CMN CLASS B (124857202)  | 10/02/2014                     | 01/30/2015              | 1131.00  | 7,245.41        | 0.00                              | 6,826.44     | 418.97               |
| CBS CORPORATION CMN CLASS B (124857202)  | 10/03/2014                     | 01/30/2015              | 263.00   | 14,546.13       | 0.00                              | 14,020.44    | 525.69               |
| CBS CORPORATION CMN CLASS B (124857202)  | 06/25/2014                     | 01/30/2015              | 321.00   | 17,754.02       | 0.00                              | 18,873.70    | (1,119.68)           |
| CISCO SYSTEMS, INC CMN (17275102)        | 08/13/2014                     | 02/06/2015              | 244.00   | 6,722.09        | 0.00                              | 6,123.01     | 599.08               |
| MORGAN STANLEY CMN (617446448)           | 04/03/2014                     | 02/26/2015              | 159.00   | 5,739.94        | 0.00                              | 4,936.61     | 803.33               |
| MORGAN STANLEY CMN (617446448)           | 04/03/2014                     | 02/27/2015              | 304.00   | 10,943.29       | 0.00                              | 9,438.56     | 1,504.73             |
| MYLAN INC CMN (628530107)                | 09/04/2014                     | 03/02/2015              | 322.00   | 18,580.17       | 0.00                              | 15,351.95    | 3,228.22             |
| MYLAN INC CMN (628530107)                | 08/26/2014                     | 03/02/2015              | 416.00   | 24,004.20       | 0.00                              | 20,238.05    | 3,766.15             |
| MYLAN INC CMN (628530107)                | 08/25/2014                     | 03/02/2015              | 418.00   | 24,119.60       | 0.00                              | 20,269.11    | 3,850.49             |
| MYLAN NV CMN (N59465109)                 | 03/02/2015                     | 03/12/2015              | 176.00   | 10,701.32       | 0.00                              | 10,155.62    | 545.70               |

## REALIZED GAINS AND LOSSES (Continued)

## SHORT-TERM GAINS (LOSSES)

| Description (Product Identifier)                        | Date Acquired<br>or Sold Short | Date Sold<br>or Covered | Quantity | Sale Proceeds * | Total accretion<br>(Amortization) | Cost Basis * | Total<br>Gain (Loss) |
|---------------------------------------------------------|--------------------------------|-------------------------|----------|-----------------|-----------------------------------|--------------|----------------------|
| <b>Covered Short-Term Gains (Losses)</b>                |                                |                         |          |                 |                                   |              |                      |
| STARWOOD HOTELS & RESORTS CMN (85590A401)               | 01/22/2015                     | 03/25/2015              | 122.00   | 9,987.12        | 0.00                              | 9,149.03     | 838.09               |
| BOEING COMPANY CMN (097023105)                          | 04/03/2014                     | 03/31/2015              | 25.00    | 3,752.29        | 0.00                              | 3,219.27     | 533.02               |
| STARWOOD HOTELS & RESORTS CMN (85590A401)               | 01/22/2015                     | 03/31/2015              | 274.00   | 22,878.07       | 0.00                              | 20,547.81    | 2,330.26             |
| MYLAN NV CMN (N59465109)                                | 03/02/2015                     | 04/08/2015              | 61.00    | 4,199.05        | 0.00                              | 3,519.85     | 679.20               |
| MYLAN NV CMN (N59465109)                                | 03/02/2015                     | 04/08/2015              | 242.00   | 16,658.49       | 0.00                              | 13,963.98    | 2,694.51             |
| MYLAN NV CMN (N59465109)                                | 03/02/2015                     | 04/08/2015              | 416.00   | 28,636.08       | 0.00                              | 24,004.20    | 4,631.88             |
| GENERAL MOTORS COMPANY CMN (37045V100)                  | 12/22/2014                     | 05/04/2015              | 1,372.00 | 48,832.10       | 0.00                              | 45,560.72    | 3,271.38             |
| SOUTHWESTERN ENERGY CO CMN (845467109)                  | 08/14/2014                     | 05/04/2015              | 594.00   | 17,209.07       | 0.00                              | 23,158.09    | (5,949.02)           |
| VIACOM INC CMN CLASS B (92553P201)                      | 01/30/2015                     | 05/04/2015              | 195.00   | 13,546.37       | 0.00                              | 12,793.98    | 752.39               |
| VERIZON COMMUNICATIONS INC CMN (92343V104)              | 07/02/2014                     | 06/04/2015              | 165.00   | 7,969.04        | 0.00                              | 8,185.10     | (216.06)             |
| AT&T INC CMN (00206R102)                                | 06/04/2015                     | 06/08/2015              | 139.00   | 4,821.82        | 0.00                              | 4,900.96     | (79.14)              |
| CONAGRA INC CMN (205887102)                             | 07/01/2014                     | 06/08/2015              | 210.00   | 7,889.55        | 0.00                              | 6,316.12     | 1,573.43             |
| GOOGLE INC. CMN CLASS A (38259P508)                     | 12/03/2014                     | 06/08/2015              | 7.00     | 3,825.14        | 0.00                              | 3,760.48     | 64.66                |
| HERTZ GLOBAL HOLDINGS, INC. CMN (42805T105)             | 10/06/2014                     | 06/08/2015              | 207.00   | 4,197.88        | 0.00                              | 4,922.59     | (724.71)             |
| PFIZER INC. CMN (717081103)                             | 06/24/2014                     | 06/08/2015              | 208.00   | 7,082.26        | 0.00                              | 6,103.35     | 978.91               |
| SOUTHWESTERN ENERGY CO. CMN (845467109)                 | 08/14/2014                     | 06/08/2015              | 89.00    | 2,161.76        | 0.00                              | 3,469.81     | (1,308.05)           |
| SOUTHWESTERN ENERGY CO. CMN (845467109) Disallowed Loss |                                |                         |          |                 |                                   | 1,308.04     |                      |
| SOUTHWESTERN ENERGY CO. CMN (845467109)                 | 08/15/2014                     | 06/08/2015              | 136.00   | 3,303.38        | 0.00                              | 5,307.96     | (2,004.58)           |
| SOUTHWESTERN ENERGY CO. CMN (845467109) Disallowed Loss |                                |                         |          |                 |                                   | 2,004.59     |                      |
| TIME WARNER CABLE INC. CMN (88732J207)                  | 05/04/2015                     | 06/08/2015              | 24.00    | 4,257.76        | 0.00                              | 3,813.33     | 444.43               |
| VIACOM INC CMN CLASS B (92553P201)                      | 01/30/2015                     | 06/08/2015              | 55.00    | 3,703.63        | 0.00                              | 3,608.56     | 95.07                |
| ZIONS BANCORP CMN (989701107)                           | 02/23/2015                     | 06/08/2015              | 168.00   | 5,362.46        | 0.00                              | 4,400.01     | 962.45               |
| CONAGRA INC CMN (205887102)                             | 07/02/2014                     | 06/22/2015              | 337.00   | 14,514.61       | 0.00                              | 10,240.48    | 4,274.13             |
| CONAGRA INC CMN (205887102)                             | 07/07/2014                     | 06/22/2015              | 493.00   | 21,233.53       | 0.00                              | 15,278.64    | 5,954.89             |
| CONAGRA INC CMN (205887102)                             | 07/01/2014                     | 06/22/2015              | 703.00   | 30,278.25       | 0.00                              | 21,143.98    | 9,134.27             |

## REALIZED GAINS AND LOSSES (Continued)

S

## SHORT-TERM GAINS (LOSSES)

| Description (Product Identifier)                           | Date Acquired<br>or Sold Short | Date Sold<br>or Covered | Quantity | Sale Proceeds <sup>s</sup> | Total accretion<br>(Amortization) | Cost Basis <sup>a</sup> | Total<br>Gain (Loss) |
|------------------------------------------------------------|--------------------------------|-------------------------|----------|----------------------------|-----------------------------------|-------------------------|----------------------|
| <b>Covered Short-Term Gains (Losses)</b>                   |                                |                         |          |                            |                                   |                         |                      |
| TIME WARNER CABLE INC. CMN (88732J207)                     | 05/04/2015                     | 07/28/2015              | 275.00   | 51,925.71                  | 0.00                              | 43,694.42               | 8,231.29             |
| ZIONS BANCORP CMN (989701107)                              | 04/08/2015                     | 09/30/2015              | 570.00   | 15,573.59                  | 0.00                              | 15,517.44               | 56.15                |
| ZIONS BANCORP CMN (989701107)                              | 02/23/2015                     | 09/30/2015              | 1,277.00 | 34,890.31                  | 0.00                              | 33,445.30               | 1,445.01             |
| CREDIT SUISSE GROUP SPON ADR SPONSORED ADR CMN (225401108) | 02/26/2015                     | 10/02/2015              | 283.00   | 6,905.30                   | 0.00                              | 7,014.51                | (109.21)             |
| CREDIT SUISSE GROUP SPON ADR SPONSORED ADR CMN (225401108) | 02/25/2015                     | 10/02/2015              | 549.00   | 13,395.79                  | 0.00                              | 13,795.25               | (399.46)             |
| CREDIT SUISSE GROUP SPON ADR SPONSORED ADR CMN (225401108) | 02/24/2015                     | 10/02/2015              | 688.00   | 16,787.44                  | 0.00                              | 17,120.77               | (333.33)             |
| LOWES COMPANIES INC CMN (548661107)                        | 07/16/2015                     | 10/16/2015              | 164.00   | 11,909.66                  | 0.00                              | 11,186.69               | 722.97               |
| ALPHABET INC. CMN CLASS A (02079K305)                      | 12/03/2014                     | 10/20/2015              | 20.00    | 13,591.55                  | 0.00                              | 10,744.23               | 2,847.32             |
| BOEING COMPANY CMN (097023105)                             | 05/28/2015                     | 11/02/2015              | 70.00    | 10,395.02                  | 0.00                              | 9,973.53                | 421.49               |
| MGM RESORTS INTERNATIONAL CMN (552953101)                  | 05/04/2015                     | 11/02/2015              | 1,465.00 | 34,828.41                  | 0.00                              | 30,737.80               | 4,090.61             |
| EXXON MOBIL CORPORATION CMN (30231G102)                    | 08/26/2015                     | 11/19/2015              | 97.00    | 7,811.25                   | 0.00                              | 6,926.16                | 885.09               |
| EXXON MOBIL CORPORATION CMN (30231G102)                    | 07/28/2015                     | 11/19/2015              | 621.00   | 50,008.07                  | 0.00                              | 50,883.00               | (874.93)             |
| MYLAN NV CMN (N59465109)                                   | 03/31/2015                     | 11/19/2015              | 171.00   | 8,735.60                   | 0.00                              | 10,145.42               | (1,409.82)           |
| MYLAN NV CMN (N59465109) Disallowed Loss                   |                                |                         |          |                            |                                   |                         | 1,409.81             |
| MYLAN NV CMN (N59465109)                                   | 03/02/2015                     | 11/19/2015              | 261.00   | 13,333.30                  | 0.00                              | 15,060.33               | (1,727.03)           |
| MYLAN NV CMN (N59465109) Disallowed Loss                   |                                |                         |          |                            |                                   |                         | 1,727.04             |
| RAYMOND JAMES FINANCIAL INC CMN (754730109)                | 10/02/2015                     | 11/19/2015              | 659.00   | 37,286.08                  | 0.00                              | 32,040.58               | 5,245.50             |
| MYLAN NV CMN (N59465109)                                   | 09/09/2015                     | 11/25/2015              | 3.00     | 151.57                     | 0.00                              | 146.41                  | 5.16                 |
| MYLAN NV CMN (N59465109)                                   | 03/31/2015                     | 11/25/2015              | 111.00   | 5,608.20                   | 0.00                              | 6,585.63                | (977.43)             |
| SYNCHRONY FINANCIAL CMN (871658103)                        | 12/02/2015                     | 12/09/2015              | 368.00   | 11,276.05                  | 0.00                              | 11,811.40               | (535.35)             |
| EMERSON ELECTRIC CO. CMN (291011104)                       | 11/03/2015                     | 12/11/2015              | 581.00   | 26,574.88                  | 0.00                              | 28,818.70               | (2,243.82)           |
| STAPLES, INC. CMN (855030102)                              | 11/02/2015                     | 12/14/2015              | 2,672.00 | 24,295.88                  | 0.00                              | 35,042.76               | (10,747.08)          |

### SHORT-TERM GAINS (LOSSES)

| Description (Product Identifier)                | Date Acquired<br>or Sold Short | Date Sold<br>or Covered | Quantity | Sale Proceeds *   | Total accretion<br>(Amortization) | Cost Basis *      | Total<br>Gain (Loss) |
|-------------------------------------------------|--------------------------------|-------------------------|----------|-------------------|-----------------------------------|-------------------|----------------------|
| <b>Covered Short-Term Gains (Losses)</b>        |                                |                         |          |                   |                                   |                   |                      |
| LOWES COMPANIES INC CMN (548661107)             | 07/16/2015                     | 12/16/2015              | 376.00   | 28,202.51         | 0.00                              | 25,647.54         | 2,554.97             |
| <b>Net Covered Short-Term Disallowed Losses</b> |                                |                         |          |                   |                                   |                   |                      |
| <b>Net Covered Short-Term Gains (Losses)</b>    |                                |                         |          | <b>959,271.89</b> | <b>0.00</b>                       | <b>900,740.15</b> | <b>64,981.22</b>     |

### LONG-TERM GAINS (LOSSES)

| Description (Product Identifier)               | Date Acquired<br>or Sold Short | Date Sold<br>or Covered | Quantity | Sale Proceeds * | Total accretion<br>(Amortization) | Cost Basis * | Total<br>Gain (Loss) |
|------------------------------------------------|--------------------------------|-------------------------|----------|-----------------|-----------------------------------|--------------|----------------------|
| <b>Covered Long-Term Gains (Losses)</b>        |                                |                         |          |                 |                                   |              |                      |
| SUNTRUST BANKS INC CMN (867914103)             | 01/12/2012                     | 02/23/2015              | 191.00   | 7,809.44        | 0.00                              | 4,002.93     | 3,806.51             |
| SUNTRUST BANKS INC CMN (867914103)             | 03/21/2011                     | 02/23/2015              | 214.00   | 8,749.84        | 0.00                              | 6,336.35     | 2,413.49             |
| SUNTRUST BANKS INC CMN (867914103)             | 06/04/2012                     | 02/23/2015              | 225.00   | 9,199.60        | 0.00                              | 4,741.02     | 4,458.58             |
| SUNTRUST BANKS INC CMN (867914103)             | 05/16/2011                     | 02/23/2015              | 261.00   | 10,671.53       | 0.00                              | 7,310.61     | 3,360.92             |
| BOEING COMPANY CMN (097023105)                 | 10/25/2012                     | 02/24/2015              | 58.00    | 8,933.30        | 0.00                              | 4,119.21     | 4,814.09             |
| HARTFORD FINANCIAL SVCS GROUP CMN (416515104)  | 06/13/2013                     | 02/24/2015              | 726.00   | 29,917.29       | 0.00                              | 21,583.29    | 8,334.00             |
| MORGAN STANLEY CMN (617446448)                 | 02/18/2014                     | 02/26/2015              | 168.00   | 6,064.84        | 0.00                              | 4,994.58     | 1,070.26             |
| MORGAN STANLEY CMN (617446448)                 | 01/28/2014                     | 02/26/2015              | 268.00   | 9,674.87        | 0.00                              | 8,039.11     | 1,635.76             |
| MORGAN STANLEY CMN (617446448)                 | 12/16/2013                     | 02/26/2015              | 288.00   | 10,396.87       | 0.00                              | 8,999.27     | 1,397.60             |
| BOEING COMPANY CMN (097023105)                 | 10/25/2012                     | 03/31/2015              | 41.00    | 6,153.76        | 0.00                              | 2,911.86     | 3,241.90             |
| BOEING COMPANY CMN (097023105)                 | 03/04/2013                     | 03/31/2015              | 80.00    | 12,007.33       | 0.00                              | 6,169.89     | 5,837.44             |
| LIBERTY GLOBAL, PLC. CMN CLASS C (G5480U120)   | 03/21/2014                     | 05/04/2015              | 324.00   | 16,663.04       | 0.00                              | 13,311.03    | 3,352.01             |
| VERIZON COMMUNICATIONS INC. CMN (92343V104)    | 05/05/2014                     | 06/04/2015              | 981.00   | 47,379.59       | 0.00                              | 46,488.95    | 890.64               |
| AMERICAN INTL GROUP, INC. CMN (026874784)      | 12/11/2012                     | 06/08/2015              | 97.00    | 5,820.86        | 0.00                              | 3,354.79     | 2,466.07             |
| BANK OF AMERICA CORP CMN (060505104)           | 01/23/2012                     | 06/08/2015              | 470.00   | 8,069.76        | 0.00                              | 3,419.82     | 4,649.94             |
| DEVON ENERGY CORPORATION (NEW) CMN (25179M103) | 08/17/2012                     | 06/08/2015              | 11.00    | 693.10          | 0.00                              | 646.65       | 46.45                |
| DEVON ENERGY CORPORATION (NEW) CMN (25179M103) | 07/16/2012                     | 06/08/2015              | 66.00    | 4,158.59        | 0.00                              | 3,652.77     | 505.82               |

**LONG-TERM GAINS (LOSSES)**

| Description (Product Identifier)                        | Date Acquired<br>or Sold Short | Date Sold<br>or Covered | Quantity | Sale Proceeds <sup>a</sup> | Total accretion<br>(Amortization) | Cost Basis <sup>a</sup> | Total<br>Gain (Loss) |
|---------------------------------------------------------|--------------------------------|-------------------------|----------|----------------------------|-----------------------------------|-------------------------|----------------------|
| <b>Covered Long-Term Gains (Losses)</b>                 |                                |                         |          |                            |                                   |                         |                      |
| FIRSTENERGY CORP CMN (337932107)                        | 01/31/2014                     | 06/08/2015              | 182.00   | 6,271.60                   | 0.00                              | 5,743.43                | 528.17               |
| GAP INC CMN (364760108)                                 | 03/13/2014                     | 06/08/2015              | 116.00   | 4,441.55                   | 0.00                              | 4,787.88                | (346.33)             |
| JPMORGAN CHASE & CO CMN (46625H100)                     | 02/27/2013                     | 06/08/2015              | 109.00   | 7,322.48                   | 0.00                              | 5,312.61                | 2,009.87             |
| LIBERTY GLOBAL PLC-LILAC GROUP CMN SERIES C (G5480U153) | 04/03/2014                     | 07/13/2015              | 17.00    | 745.38                     | 0.00                              | 648.27                  | 97.11                |
| LIBERTY GLOBAL PLC-LILAC GROUP CMN SERIES C (G5480U153) | 03/21/2014                     | 07/13/2015              | 21.00    | 920.76                     | 0.00                              | 847.75                  | 73.01                |
| EBAY INC CMN (278642103)                                | 12/16/2013                     | 07/16/2015              | 4.00     | 264.13                     | 0.00                              | 211.69                  | 52.44                |
| EBAY INC. CMN (278642103)                               | 04/03/2014                     | 07/16/2015              | 110.00   | 7,263.66                   | 0.00                              | 6,028.41                | 1,235.25             |
| EBAY INC. CMN (278642103)                               | 01/28/2014                     | 07/16/2015              | 112.00   | 7,395.73                   | 0.00                              | 5,944.81                | 1,450.92             |
| EBAY INC. CMN (278642103)                               | 07/02/2014                     | 07/16/2015              | 119.00   | 7,857.96                   | 0.00                              | 6,036.81                | 1,821.15             |
| EBAY INC. CMN (278642103)                               | 01/17/2014                     | 07/16/2015              | 138.00   | 9,112.60                   | 0.00                              | 7,372.61                | 1,739.99             |
| EBAY INC. CMN (278642103)                               | 02/18/2014                     | 07/16/2015              | 179.00   | 11,819.96                  | 0.00                              | 9,919.94                | 1,900.02             |
| BANK OF AMERICA CORP CMN (060505104)                    | 01/23/2012                     | 07/30/2015              | 38.00    | 691.73                     | 0.00                              | 276.50                  | 415.23               |
| BANK OF AMERICA CORP CMN (060505104)                    | 02/15/2012                     | 07/30/2015              | 440.00   | 8,009.49                   | 0.00                              | 3,537.91                | 4,471.58             |
| BANK OF AMERICA CORP CMN (060505104)                    | 06/06/2012                     | 07/30/2015              | 494.00   | 8,992.47                   | 0.00                              | 3,651.31                | 5,341.16             |
| BANK OF AMERICA CORP CMN (060505104)                    | 02/13/2012                     | 07/30/2015              | 606.00   | 11,031.25                  | 0.00                              | 5,017.36                | 6,013.89             |
| JPMORGAN CHASE & CO CMN (46625H100) <sup>f</sup>        | 02/27/2013                     | 07/30/2015              | 127.00   | 8,783.44                   | 0.00                              | 6,189.92                | 2,593.52             |
| LIBERTY GLOBAL, PLC CMN CLASS C (G5480U120)             | 04/03/2014                     | 08/26/2015              | 333.00   | 14,410.96                  | 0.00                              | 12,829.30               | 1,581.66             |
| LIBERTY GLOBAL, PLC CMN CLASS C (G5480U120)             | 03/21/2014                     | 08/26/2015              | 429.00   | 18,565.47                  | 0.00                              | 16,777.03               | 1,788.44             |
| BOEING COMPANY CMN (097023105)                          | 04/03/2014                     | 11/02/2015              | 73.00    | 10,840.53                  | 0.00                              | 9,400.28                | 1,440.25             |
| BOEING COMPANY CMN (097023105)                          | 07/24/2014                     | 11/02/2015              | 77.00    | 11,434.53                  | 0.00                              | 9,578.65                | 1,855.88             |
| PFIZER INC. CMN (717081103)                             | 06/25/2014                     | 11/02/2015              | 234.00   | 8,204.40                   | 0.00                              | 6,956.79                | 1,247.61             |
| PFIZER INC. CMN (717081103)                             | 06/24/2014                     | 11/02/2015              | 340.00   | 11,920.93                  | 0.00                              | 9,976.64                | 1,944.29             |
| DEVON ENERGY CORPORATION (NEW) CMN (25179M103)          | 03/14/2013                     | 11/16/2015              | 33.00    | 1,526.13                   | 0.00                              | 1,858.19                | (332.06)             |
| DEVON ENERGY CORPORATION (NEW) CMN (25179M103)          | 08/17/2012                     | 11/16/2015              | 97.00    | 4,485.90                   | 0.00                              | 5,702.27                | (1,216.37)           |
| CISCO SYSTEMS, INC. CMN (17275R102)                     | 08/13/2014                     | 11/24/2015              | 1,032.00 | 28,116.12                  | 0.00                              | 25,897.33               | 2,218.79             |

### LONG-TERM GAINS (LOSSES)

| Description (Product Identifier)                        | Date Acquired<br>or Sold Short | Date Sold<br>or Covered | Quantity | Sale Proceeds <sup>s</sup> | Total accretion<br>(Amortization) | Cost Basis <sup>4</sup> | Total<br>Gain (Loss) |
|---------------------------------------------------------|--------------------------------|-------------------------|----------|----------------------------|-----------------------------------|-------------------------|----------------------|
| <b>Covered Long-Term Gains (Losses)</b>                 |                                |                         |          |                            |                                   |                         |                      |
| GENERAL ELECTRIC CO CMN (369604103)                     | 07/22/2011                     | 12/02/2015              | 75.00    | 2,250.35                   | 0.00                              | 1,434.08                | 816.27               |
| GENERAL ELECTRIC CO CMN (369604103)                     | 05/16/2011                     | 12/02/2015              | 135.00   | 4,050.63                   | 0.00                              | 2,679.75                | 1,370.88             |
| <b>Net Covered Long-Term Gains (Losses)</b>             |                                |                         |          | <b>419,093.75</b>          | <b>0.00</b>                       | <b>324,699.65</b>       | <b>94,394.10</b>     |
| <b>NonCovered Long-Term Gains (Losses)</b>              |                                |                         |          |                            |                                   |                         |                      |
| SUNTRUST BANKS INC CMN (867914103)                      | 12/22/2010                     | 02/23/2015              | 19.00    | 776.86                     | 0.00                              | 547.75                  | 229.11               |
| SUNTRUST BANKS INC CMN (867914103)                      | 12/23/2010                     | 02/23/2015              | 126.00   | 5,151.78                   | 0.00                              | 3,627.02                | 1,524.76             |
| EMC CORPORATION MASS CMN (268648102)                    | 11/19/2010                     | 06/08/2015              | 179.00   | 4,786.37                   | 0.00                              | 3,893.53                | 892.84               |
| GENERAL ELECTRIC CO CMN (369604103)                     | 12/23/2009                     | 06/08/2015              | 97.00    | 2,647.08                   | 0.00                              | 1,493.63                | 1,153.45             |
| GENERAL ELECTRIC CO CMN (369604103)                     | 02/22/2010                     | 06/08/2015              | 210.00   | 5,730.79                   | 0.00                              | 3,405.68                | 2,325.11             |
| PRUDENTIAL FINANCIAL INC CMN (744320102)                | 10/27/2010                     | 06/08/2015              | 3.00     | 265.01                     | 0.00                              | 158.64                  | 106.37               |
| PRUDENTIAL FINANCIAL INC CMN (744320102)                | 11/19/2010                     | 06/08/2015              | 66.00    | 5,830.33                   | 0.00                              | 3,563.89                | 2,266.44             |
| LIBERTY GLOBAL PLC-LILAC GROUP CMN SERIES C (G5480U153) |                                | 07/02/2015              | 0.00     | 3.80                       | 0.00                              | No Cost                 | 3.80 <sup>a</sup>    |
| GENERAL ELECTRIC CO CMN (369604103)                     | 02/22/2010                     | 10/23/2015              | 21.00    | 619.75                     | 0.00                              | 340.57                  | 279.18               |
| GENERAL ELECTRIC CO CMN (369604103)                     | 11/26/2010                     | 10/23/2015              | 155.00   | 4,574.35                   | 0.00                              | 2,453.07                | 2,121.28             |
| GENERAL ELECTRIC CO CMN (369604103)                     | 04/23/2010                     | 10/23/2015              | 242.00   | 7,141.88                   | 0.00                              | 4,590.36                | 2,551.52             |
| GENERAL ELECTRIC CO CMN (369604103)                     | 04/06/2010                     | 10/23/2015 <sup>b</sup> | 309.00   | 9,119.17                   | 0.00                              | 5,749.23                | 3,369.94             |
| GENERAL ELECTRIC CO CMN (369604103)                     | 11/26/2010                     | 11/12/2015              | 314.00   | 9,608.75                   | 0.00                              | 4,969.44                | 4,639.31             |
| GENERAL ELECTRIC CO CMN (369604103)                     | 11/26/2010                     | 11/13/2015              | 88.00    | 2,670.84                   | 0.00                              | 1,392.71                | 1,278.13             |
| GENERAL ELECTRIC CO CMN (369604103)                     | 12/23/2010                     | 11/13/2015              | 126.00   | 3,824.15                   | 0.00                              | 2,274.95                | 1,549.20             |
| GENERAL ELECTRIC CO CMN (369604103)                     | 12/23/2010                     | 12/02/2015              | 137.00   | 4,110.64                   | 0.00                              | 2,473.55                | 1,637.09             |
| <b>Net NonCovered Long-Term Gains (Losses)</b>          |                                |                         |          | <b>66,861.55</b>           | <b>0.00</b>                       | <b>40,934.02</b>        | <b>25,927.53</b>     |



Period Ended December 31, 2015

**CASH, DEPOSITS & MONEY MARKET FUNDS**

|  | Quantity | Market Price | Market Value /<br>Accrued Income | Unit Cost | Adjusted Cost /<br>Original Cost | Unrealized<br>Gain (Loss) | Yield to Maturity /<br>Current Yield | Estimated<br>Annual Income |
|--|----------|--------------|----------------------------------|-----------|----------------------------------|---------------------------|--------------------------------------|----------------------------|
|--|----------|--------------|----------------------------------|-----------|----------------------------------|---------------------------|--------------------------------------|----------------------------|

**FIXED INCOME**

|  | Quantity /<br>Current Face | Market Price | Market Value /<br>Accrued Income | Unit Cost | Adjusted Cost /<br>Original Cost | Unrealized /<br>Economic<br>Gain (Loss) | Yield to Maturity<br>in Percentage | Estimated<br>Annual Income |
|--|----------------------------|--------------|----------------------------------|-----------|----------------------------------|-----------------------------------------|------------------------------------|----------------------------|
|--|----------------------------|--------------|----------------------------------|-----------|----------------------------------|-----------------------------------------|------------------------------------|----------------------------|

**OTHER FIXED INCOME**

|                                         |            |        |           |        |           |                          |  |          |
|-----------------------------------------|------------|--------|-----------|--------|-----------|--------------------------|--|----------|
| GS HIGH YIELD FUND                      |            |        |           |        |           |                          |  |          |
| GS HIGH YIELD FUND INSTITUTIONAL SHARES | 12,749.136 | 6.0500 | 77,132.27 | 6.7300 | 85,801.69 | (8,669.41)<br>104,462.99 |  | 5,647.87 |

**PUBLIC EQUITY**

|                                         | Quantity | Market Price | Market Value /<br>Accrued Income | Unit Cost | Cost Basis | Unrealized<br>Gain (Loss) | Dividend<br>Yield | Estimated<br>Annual Income |
|-----------------------------------------|----------|--------------|----------------------------------|-----------|------------|---------------------------|-------------------|----------------------------|
| <b>US EQUITY</b>                        |          |              |                                  |           |            |                           |                   |                            |
| <b>US STOCKS</b>                        |          |              |                                  |           |            |                           |                   |                            |
| GOLDMAN SACHS GROUP, INC (THE) CMN (GS) | 1,050.00 | 180.2300     | 189,241.50                       |           | 0.00       | 189,241.50                | 1.4426            | 2,730.00                   |
| WALT DISNEY COMPANY (THE) CMN (DIS)     | 2,200.00 | 105.0800     | 231,176.00                       | 22.2213   | 48,886.96  | 182,289.04                | 1.3514            | 3,124.00                   |
| TOTAL US STOCKS                         |          |              | 420,417.50                       |           | 48,886.96  | 182,289.04                | 1.3924            | 5,854.00                   |



**Statement Detail**  
**BARKER FOUNDATION**  
Holdings (Continued)

Period Ended December 31, 2015

**PUBLIC EQUITY (Continued)**

|                                                                 | Quantity   | Market Price | Market Value /<br>Accrued Income | Unit Cost | Cost Basis | Unrealized /<br>Economic<br>Gain (Loss) | Dividend<br>Yield | Estimated<br>Annual Income |
|-----------------------------------------------------------------|------------|--------------|----------------------------------|-----------|------------|-----------------------------------------|-------------------|----------------------------|
| <b>NON-US EQUITY</b>                                            |            |              |                                  |           |            |                                         |                   |                            |
| GS INTERNATIONAL SMALL CAP FUND                                 |            |              |                                  |           |            |                                         |                   |                            |
| GS INTERNATIONAL SMALL CAP FUND INSTITUTIONAL<br>SHARES (GISIX) | 15,849 021 | 19.4900      | 308,897 42                       | 16 0453   | 254,302.13 | 54,595 29<br>166,312.23                 | 1 9754            | 6,101.87                   |
| MSCI EAFE INDEX FUND (ISHARES)                                  |            |              |                                  |           |            |                                         |                   |                            |
| ISHARES MSCI EAFE ETF (EFA)                                     | 2,690 00   | 58.7200      | 157,956.80                       | 74.6219   | 200,732.91 | (42,776 11)                             | 2.7583            | 4,356 85                   |
| <b>TOTAL NON-US EQUITY</b>                                      |            |              |                                  |           |            |                                         |                   |                            |
| <b>TOTAL PUBLIC EQUITY</b>                                      |            |              |                                  |           |            |                                         |                   |                            |
|                                                                 |            |              | 887,271.72                       |           | 503,922.00 | 383,349.72                              | 1.8385            | 16,312.72                  |
| <b>TOTAL PORTFOLIO</b>                                          |            |              |                                  |           |            |                                         |                   |                            |
|                                                                 |            |              | 964,403.99                       |           | 589,723.69 | 374,680.30                              |                   | 22,071.15                  |



Statement Detail

# BARKER FOUNDATION - DELAWARE

## Holdings

Period Ended December 31, 2015

### PUBLIC EQUITY

|  | Quantity | Market Price | Market Value /<br>Accrued Income | Unit Cost | Adjusted Cost /<br>Original Cost | Unrealized<br>Gain (Loss) | Yield to Maturity /<br>Current Yield | Estimated<br>Annual Income |
|--|----------|--------------|----------------------------------|-----------|----------------------------------|---------------------------|--------------------------------------|----------------------------|
|--|----------|--------------|----------------------------------|-----------|----------------------------------|---------------------------|--------------------------------------|----------------------------|

### US EQUITY

DELAWARE, LARGE CAP GROWTH

|                                                                   | Quantity | Market Price | Market Value /<br>Accrued Income | Unit Cost | Cost Basis | Unrealized<br>Gain (Loss) | Dividend<br>Yield | Estimated<br>Annual Income |
|-------------------------------------------------------------------|----------|--------------|----------------------------------|-----------|------------|---------------------------|-------------------|----------------------------|
| ALPHABET INC. CMN CLASS A (GOOGL)                                 | 79.00    | 778.0100     | 61,462.79                        | 328.6787  | 25,965.62  | 35,497.18                 |                   |                            |
| ALPHABET INC. CMN CLASS C (GOOG)                                  | 68.00    | 758.8800     | 51,603.84                        | 270.2829  | 18,379.24  | 33,224.60                 |                   |                            |
| BIOMER INC. CMN (BIIB)                                            | 191.00   | 306.3500     | 58,512.85                        | 395.0562  | 75,455.73  | (16,942.88)               |                   |                            |
| CELGENE CORPORATION CMN (CELG)                                    | 852.00   | 119.7600     | 102,035.52                       | 52.0205   | 44,321.48  | 57,714.04                 |                   |                            |
| CROWN CASTLE INTL CORP CMN (CCI)                                  | 864.00   | 86.4500      | 74,692.80                        | 43.8382   | 37,876.21  | 36,816.59                 | 3.7941            | 2,833.92                   |
| DENTSPLY INTL INC CMN (XRAY)                                      | 305.00   | 60.8500      | 18,559.25                        | 58.6506   | 17,888.42  | 670.83                    | 0.4766            | 88.45                      |
| DISCOVERY COMMUNICATIONS, INC. CMN (DISCK)                        | 1,219.00 | 25.2200      | 30,743.18                        | 36.1472   | 44,063.45  | (13,320.27)               |                   |                            |
| EBAY INC. CMN (EBAY)                                              | 1,936.00 | 27.4800      | 53,201.28                        | 23.7572   | 45,993.97  | 7,207.31                  |                   |                            |
| ELECTRONIC ARTS CMN (EA)                                          | 901.00   | 68.7200      | 61,916.72                        | 50.8386   | 45,805.62  | 16,111.10                 |                   |                            |
| EQUINIX, INC REIT (EQIX)                                          | 239.00   | 302.4000     | 72,273.60                        | 196.4065  | 46,941.15  | 25,332.45                 |                   |                            |
| FACEBOOK, INC. CMN CLASS A (FB)                                   | 421.00   | 104.6600     | 44,061.86                        | 92.6766   | 39,016.84  | 5,045.02                  |                   |                            |
| INTERCONTINENTAL EXCHANGE INC CMN (ICE)                           | 193.00   | 256.2600     | 49,458.18                        | 104.0463  | 20,080.94  | 29,377.24                 |                   |                            |
| INTUIT INC CMN (INTU)                                             | 310.00   | 96.5000      | 29,915.00                        | 32.9030   | 10,199.93  | 19,715.07                 | 1.2435            | 372.00                     |
| L BRANDS, INC. CMN (LB)                                           | 512.00   | 95.8200      | 49,059.84                        | 57.3124   | 29,343.94  | 19,715.90                 | 2.0872            | 1,024.00                   |
| LIBERTY INTRACTIVE CORP QVC GRP INTERACTIVE CMN<br>CLASS A (QVCA) | 2,650.00 | 27.3200      | 72,398.00                        | 16.6016   | 43,994.11  | 28,403.89                 |                   |                            |
| MASTERCARD INCORPORATED CMN CLASS A (MA)                          | 708.00   | 97.3600      | 68,930.88                        | 21.2293   | 15,030.36  | 53,900.52                 | 0.7806            | 538.08                     |
| MICROSOFT CORPORATION CMN (MSFT)                                  | 1,313.00 | 55.4800      | 72,845.24                        | 38.3571   | 50,362.93  | 22,482.31                 | 2.5955            | 1,890.72                   |
| NIKE CLASS-B CMN CLASS B (NKE)                                    | 576.00   | 62.5000      | 36,000.00                        | 15.0988   | 8,696.88   | 27,303.12                 | 1.0240            | 368.64                     |



Statement Detail  
**BARKER FOUNDATION - DELAWARE**  
Holdings (Continued)

Period Ended December 31, 2015

**PUBLIC EQUITY (Continued)**

|                                         | Quantity | Market Price | Market Value /<br>Accrued Income | Unit Cost | Cost Basis   | Unrealized<br>Gain (Loss) | Dividend<br>Yield | Estimated<br>Annual Income |
|-----------------------------------------|----------|--------------|----------------------------------|-----------|--------------|---------------------------|-------------------|----------------------------|
| <b>US EQUITY</b>                        |          |              |                                  |           |              |                           |                   |                            |
| DELAWARE: LARGE CAP GROWTH              |          |              |                                  |           |              |                           |                   |                            |
| PAYPAL HOLDINGS INC CMN (PYPL)          | 1,787.00 | 36.2000      | 64,689.40                        | 34.7461   | 62,091.26    | 2,598.14                  |                   |                            |
| QUALCOMM INC CMN (QCOM)                 | 1,597.00 | 49.9850      | 79,826.05                        | 51.1283   | 81,651.83    | (1,825.78)                | 3.8412            | 3,066.24                   |
| SIRONA DENTAL SYSTEMS, INC. CMN (SIRO)  | 125.00   | 109.5700     | 13,696.25                        | 103.4077  | 12,925.96    | 770.29                    |                   |                            |
| TRIPADVISOR, INC. CMN (TRIP)            | 586.00   | 85.2500      | 49,956.50                        | 86.4623   | 50,666.90    | (710.40)                  |                   |                            |
| VISA INC CMN CLASS A (V)                | 1,209.00 | 77.5500      | 93,757.95                        | 20.5948   | 24,899.14    | 68,858.81                 | 0.7221            | 677.04                     |
| WALGREENS BOOTS ALLIANCE, INC CMN (WBA) | 894.00   | 85.1550      | 76,128.57                        | 40.7762   | 36,453.92    | 39,674.65                 |                   |                            |
| YELP INC. CMN (YELP)                    | 327.00   | 28.8000      | 9,417.60                         | 62.6832   | 20,497.40    | (11,079.80)               |                   |                            |
| ALLERGAN PLC CMN (AGN)                  | 282.00   | 312.5000     | 88,125.00                        | 311.0930  | 87,728.23    | 396.77                    |                   |                            |
| BAIDU, INC SPONSORED ADR CMN (BIDU)     | 263.00   | 189.0400     | 49,717.52                        | 185.7754  | 48,858.92    | 858.60                    |                   |                            |
| NOVO-NORDISK A/S ADR CMN (NVO)          | 963.00   | 58.0800      | 55,931.04                        | 23.6019   | 22,728.68    | 33,202.36                 | 0.9175            | 513.17                     |
| VALEANT PHARMACEUTICALS INTL CMN (VRX)  | 550.00   | 101.6500     | 55,907.50                        | 153.7123  | 84,541.75    | (28,634.25)               |                   |                            |
| TOTAL DELAWARE: LARGE CAP GROWTH        |          |              | 1,644,824.21                     |           | 1,152,460.81 | 492,363.41                | 1.9348            | 11,395.15                  |
| <b>TOTAL PORTFOLIO</b>                  |          |              |                                  |           |              |                           |                   |                            |
|                                         |          |              | 1,644,824.21                     |           | 1,152,460.81 | 492,363.41                |                   | 11,395.15                  |



Statement Detail

# BARKER FOUNDATION - LCV CONC.

Holdings

Period Ended December 31, 2015

## PUBLIC EQUITY

|                                           | Quantity | Market Price | Market Value /<br>Accrued Income | Unit Cost | Adjusted Cost /<br>Original Cost | Unrealized<br>Gain (Loss) | Yield to Maturity /<br>Current Yield | Estimated<br>Annual Income |
|-------------------------------------------|----------|--------------|----------------------------------|-----------|----------------------------------|---------------------------|--------------------------------------|----------------------------|
| US EQUITY                                 |          |              |                                  |           |                                  |                           |                                      |                            |
|                                           | Quantity | Market Price | Market Value /<br>Accrued Income | Unit Cost | Cost Basis                       | Unrealized<br>Gain (Loss) | Dividend<br>Yield                    | Estimated<br>Annual Income |
| AFFILIATED MANAGERS GROUP INC CMN (AMG)   | 237.00   | 159.7600     | 37,863.12                        | 177.8107  | 42,141.14                        | (4,278.02)                |                                      |                            |
| ALPHABET INC. CMN CLASS A (GOOGL)         | 70.00    | 778.0100     | 54,460.70                        | 537.4496  | 37,621.47                        | 16,839.23                 |                                      |                            |
| AMERICAN INTL GROUP, INC. CMN (AIG)       | 1,095.00 | 61.9700      | 67,857.15                        | 44.5060   | 48,734.02                        | 19,123.13                 | 1.8073                               | 1,226.40                   |
| AT&T INC CMN (T)                          | 1,783.00 | 34.4100      | 61,353.03                        | 35.1937   | 62,750.34                        | (1,397.31)                | 5.5798                               | 3,423.36                   |
| BANK OF AMERICA CORP CMN (BAC)            | 3,966.00 | 16.8300      | 66,747.78                        | 14.3579   | 56,943.56                        | 9,804.22                  | 1.1884                               | 793.20                     |
| BROCADE COMMUNICATIONS SYSTEMS CMN (BRCD) | 4,446.00 | 9.1800       | 40,814.28                        | 9.4268    | 41,911.41                        | (1,097.13)                | 1.9608                               | 800.28                     |
| CITIGROUP INC. CMN (C)                    |          |              |                                  |           |                                  |                           |                                      |                            |
|                                           | 732.00   | 51.7500      | 37,881.00                        | 52.1566   | 38,178.63                        | (297.63)                  |                                      |                            |
| CITIZENS FINANCIAL GROUP INC CMN (CFG)    | 2,120.00 | 26.1900      | 55,522.80                        | 23.6699   | 50,180.19                        | 5,342.61                  | 1.5273                               | 848.00                     |
| DEVON ENERGY CORPORATION (NEW) CMN (DVN)  | 865.00   | 32.0000      | 27,680.00                        | 60.6556   | 52,467.09                        | (24,787.09)               | 3.0000                               | 830.40                     |
| EMC CORPORATION MASS CMN (EMC)            | 2,734.00 | 25.6800      | 70,209.12                        | 24.9325   | 68,165.39                        | 2,043.73                  | 1.7913                               | 1,257.64                   |
| FIRSTENERGY CORP CMN (FE)                 |          |              |                                  |           |                                  |                           |                                      |                            |
|                                           | 1,306.00 | 31.7300      | 41,439.38                        | 31.9917   | 41,781.19                        | (341.81)                  | 4.5383                               | 1,880.64                   |
| GAP INC CMN (GPS)                         | 1,277.00 | 24.7000      | 31,541.90                        | 41.1294   | 52,522.28                        | (20,980.38)               | 3.7247                               | 1,174.84                   |
| GENERAL ELECTRIC CO CMN (GE)              | 1,898.00 | 31.1500      | 59,122.70                        | 24.2724   | 46,068.96                        | 13,053.74                 | 2.9535                               | 1,746.16                   |
| HERTZ GLOBAL HOLDINGS, INC. CMN (HTZ)     |          |              |                                  |           |                                  |                           |                                      |                            |
|                                           | 3,675.00 | 14.2300      | 52,295.25                        | 20.0304   | 73,611.77                        | (21,316.52)               |                                      |                            |
| JPMORGAN CHASE & CO CMN (JPM)             | 1,045.00 | 66.0300      | 69,001.35                        | 51.4582   | 53,773.84                        | 15,227.51                 | 2.6655                               | 1,839.20                   |
| MYLAN NV CMN (MYL)                        | 959.00   | 54.0700      | 51,853.13                        | 48.2361   | 46,258.40                        | 5,594.73                  |                                      |                            |
| PFIZER INC. CMN (PFE)                     | 2,332.00 | 32.2800      | 75,276.96                        | 30.3885   | 70,866.03                        | 4,410.93                  | 3.7175                               | 2,798.40                   |
| PRUDENTIAL FINANCIAL INC CMN (PRU)        | 775.00   | 81.4100      | 63,092.75                        | 63.8245   | 49,463.99                        | 13,628.76                 | 3.4394                               | 2,170.00                   |
| SOUTHWESTERN ENERGY CO. CMN (SWN)         | 4,623.00 | 7.1100       | 32,869.53                        | 24.4892   | 113,213.49                       | (80,343.96)               |                                      |                            |
| UNITED CONTINENTAL HOLDING INC CMN (UAL)  | 724.00   | 57.3000      | 41,485.20                        | 57.3191   | 41,499.06                        | (13.86)                   |                                      |                            |
| URBAN OUTFITTERS INC CMN (URBN)           | 1,712.00 | 22.7500      | 38,948.00                        | 22.9734   | 39,330.42                        | (382.42)                  |                                      |                            |



Statement Detail  
**BARKER FOUNDATION - LCV CONC.**  
Holdings (Continued)

Period Ended December 31, 2015

| <b>PUBLIC EQUITY (Continued)</b> |          |              |                                  |                                    |                      |                                   |                   |                                    |  |
|----------------------------------|----------|--------------|----------------------------------|------------------------------------|----------------------|-----------------------------------|-------------------|------------------------------------|--|
|                                  | Quantity | Market Price | Market Value /<br>Accrued Income | Unit Cost                          | Cost Basis           | Unrealized<br>Gain (Loss)         | Dividend<br>Yield | Estimated<br>Annual Income         |  |
| <b>US EQUITY</b>                 |          |              |                                  |                                    |                      |                                   |                   |                                    |  |
| GSAM: FOCUSED VALUE              |          |              |                                  |                                    |                      |                                   |                   |                                    |  |
| VIACOM INC CMN CLASS B (VIAB)    | 900 00   | 41.1600      | 37,044 00                        | 63.1796                            | 56,861.62            | (19,817.62)                       | 3 8873            | 1,440 00                           |  |
| WAL MART STORES INC CMN (WMT)    | 1,145 00 | 61.3000      | 70,188 50                        | 60 8804                            | 69,708 08            | 480.42                            | 3.1974            | 2,244 20                           |  |
| WHOLE FOODS MARKET INC CMN (WFM) | 2,312 00 | 33 5000      | 77,452.00                        | 38.6817                            | 89,432.00            | (11,980.00)                       | 1.6119            | 1,248.48                           |  |
| BP P.L.C SPONSORED ADR CMN (BP)  | 1,293 00 | 31.2600      | 40,419.18                        | 31.0418                            | 40,137.05            | 282 13                            | 7.6136            | 3,077.34                           |  |
| TOTAL GSAM: FOCUSED VALUE        |          |              | <b>1,302,418.81</b>              |                                    | <b>1,383,621.42</b>  | <b>(81,202.61)</b>                | <b>2.9262</b>     | <b>28,878.32</b>                   |  |
| <b>TOTAL PORTFOLIO</b>           |          |              |                                  |                                    |                      |                                   |                   |                                    |  |
|                                  |          |              | <b>Market Value</b>              | <b>Adjusted Cost /<sup>a</sup></b> | <b>Original Cost</b> | <b>Unrealized<br/>Gain (Loss)</b> |                   | <b>Estimated<br/>Annual Income</b> |  |
|                                  |          |              | <b>1,302,418.81</b>              |                                    | <b>1,383,621.42</b>  | <b>(81,202.61)</b>                |                   | <b>28,878.32</b>                   |  |