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Form **990-PF**

Department of the Treasury  
Internal Revenue Service

**Return of Private Foundation  
or Section 4947(a)(1) Trust Treated as Private Foundation**

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Information about Form 990-PF and its instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

OMB No 1545-0052

**2015**

**Open to Public Inspection**

**For calendar year 2015, or tax year beginning 01-01-2015, and ending 12-31-2015**

Name of foundation LAWRENCE RUBEN FOUNDATION c/o RUBEN COMPANIES % DANTE MALIWAT		<b>A Employer identification number</b> 13-3124700	
Number and street (or P O box number if mail is not delivered to street address) Room/suite 600 MADISON AVENUE		<b>B Telephone number (see instructions)</b> (212) 293-9400	
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10022		<b>C</b> If exemption application is pending, check here <input type="checkbox"/>	
<b>G</b> Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> A amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		<b>D 1.</b> Foreign organizations, check here <input type="checkbox"/> <b>2.</b> Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
<b>H</b> Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		<b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
<b>I</b> Fair market value of all assets at end of year (from Part II, col. (c), line 16) <b>\$</b> 4,405,966		<b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
<b>J</b> Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)			

<b>Part I Analysis of Revenue and Expenses</b> <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</i>		Revenue and expenses per books <b>(a)</b>	Net investment income <b>(b)</b>	Adjusted net income <b>(c)</b>	Disbursements for charitable purposes <b>(d)</b> (cash basis only)
<b>Revenue</b>	<b>1</b> Contributions, gifts, grants, etc., received (attach schedule) . . . . .	0			
	<b>2</b> Check <input type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B . . . . .				
	<b>3</b> Interest on savings and temporary cash investments . . . . .	31	31		
	<b>4</b> Dividends and interest from securities . . . . .	225,472	225,472		
	<b>5a</b> Gross rents . . . . .				
	<b>b</b> Net rental income or (loss) _____				
	<b>6a</b> Net gain or (loss) from sale of assets not on line 10 _____	18,487			
	<b>b</b> Gross sales price for all assets on line 6a 2,357,198				
	<b>7</b> Capital gain net income (from Part IV, line 2) . . . . .		18,487		
	<b>8</b> Net short-term capital gain . . . . .				
	<b>9</b> Income modifications . . . . .				
	<b>10a</b> Gross sales less returns and allowances _____				
<b>b</b> Less Cost of goods sold . . . . .					
<b>c</b> Gross profit or (loss) (attach schedule) . . . . .					
<b>11</b> Other income (attach schedule) . . . . .					
<b>12 Total.</b> Add lines 1 through 11 . . . . .	243,990	243,990			
<b>Operating and Administrative Expenses</b>	<b>13</b> Compensation of officers, directors, trustees, etc . . . . .	0			
	<b>14</b> Other employee salaries and wages . . . . .				
	<b>15</b> Pension plans, employee benefits . . . . .				
	<b>16a</b> Legal fees (attach schedule). . . . .				
	<b>b</b> Accounting fees (attach schedule). . . . .	10,167	0	0	10,167
	<b>c</b> Other professional fees (attach schedule) . . . . .				
	<b>17</b> Interest . . . . .				
	<b>18</b> Taxes (attach schedule) (see instructions) . . . . .	4,639	1,855		
	<b>19</b> Depreciation (attach schedule) and depletion . . . . .				
	<b>20</b> Occupancy . . . . .				
	<b>21</b> Travel, conferences, and meetings. . . . .				
	<b>22</b> Printing and publications . . . . .				
	<b>23</b> Other expenses (attach schedule). . . . .	12,122	11,727		395
	<b>24 Total operating and administrative expenses.</b> Add lines 13 through 23 . . . . .	26,928	13,582	0	10,562
	<b>25</b> Contributions, gifts, grants paid . . . . .	156,250			156,250
<b>26 Total expenses and disbursements.</b> Add lines 24 and 25 . . . . .	183,178	13,582	0	166,812	
<b>27</b> Subtract line 26 from line 12					
<b>a Excess of revenue over expenses and disbursements</b>	60,812				
<b>b Net investment income</b> (if negative, enter -0-)		230,408			
<b>c Adjusted net income</b> (if negative, enter -0-)					

<b>Part II Balance Sheets</b> Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	<b>1</b> Cash—non-interest-bearing . . . . .			
	<b>2</b> Savings and temporary cash investments . . . . .	586,341	1,243,554	1,243,554
	<b>3</b> Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	<b>4</b> Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	<b>5</b> Grants receivable . . . . .			
	<b>6</b> Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions). . . . .			
	<b>7</b> Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	<b>8</b> Inventories for sale or use . . . . .			
	<b>9</b> Prepaid expenses and deferred charges . . . . .			
	<b>10a</b> Investments—U S and state government obligations (attach schedule)			
	<b>b</b> Investments—corporate stock (attach schedule) . . . . .	968,007 <input checked="" type="checkbox"/>	1,097,668	1,738,984
	<b>c</b> Investments—corporate bonds (attach schedule) . . . . .	2,117,332 <input checked="" type="checkbox"/>	1,391,270	1,423,428
	<b>11</b> Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	<b>12</b> Investments—mortgage loans. . . . .			
	<b>13</b> Investments—other (attach schedule) . . . . .			
	<b>14</b> Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
<b>15</b> Other assets (describe ▶ _____)				
<b>16 Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)	3,671,680	3,732,492	4,405,966	
<b>Liabilities</b>	<b>17</b> Accounts payable and accrued expenses . . . . .			
	<b>18</b> Grants payable . . . . .			
	<b>19</b> Deferred revenue . . . . .			
	<b>20</b> Loans from officers, directors, trustees, and other disqualified persons			
	<b>21</b> Mortgages and other notes payable (attach schedule). . . . .			
	<b>22</b> Other liabilities (describe ▶ _____)			
	<b>23 Total liabilities</b> (add lines 17 through 22) . . . . .		0	
<b>Net Assets or Fund Balances</b>	<b>Foundations that follow SFAS 117, check here</b> <input type="checkbox"/> <b>and complete lines 24 through 26 and lines 30 and 31.</b>			
	<b>24</b> Unrestricted . . . . .			
	<b>25</b> Temporarily restricted . . . . .			
	<b>26</b> Permanently restricted . . . . .			
	<b>Foundations that do not follow SFAS 117, check here</b> <input checked="" type="checkbox"/> <b>and complete lines 27 through 31.</b>			
	<b>27</b> Capital stock, trust principal, or current funds . . . . .	3,671,680	3,732,492	
	<b>28</b> Paid-in or capital surplus, or land, bldg, and equipment fund			
	<b>29</b> Retained earnings, accumulated income, endowment, or other funds			
<b>30 Total net assets or fund balances</b> (see instructions) . . . . .	3,671,680	3,732,492		
<b>31 Total liabilities and net assets/fund balances</b> (see instructions) . . . . .	3,671,680	3,732,492		

<b>Part III Analysis of Changes in Net Assets or Fund Balances</b>			
<b>1</b>	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	<b>1</b>	3,671,680
<b>2</b>	Enter amount from Part I, line 27a . . . . .	<b>2</b>	60,812
<b>3</b>	Other increases not included in line 2 (itemize) ▶ _____	<b>3</b>	
<b>4</b>	Add lines 1, 2, and 3 . . . . .	<b>4</b>	3,732,492
<b>5</b>	Decreases not included in line 2 (itemize) ▶ _____	<b>5</b>	
<b>6</b>	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 . . . . .	<b>6</b>	3,732,492

**Part IV Capital Gains and Losses for Tax on Investment Income**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse, or common stock, 200 shs MLC Co )		How acquired <b>(b)</b> P—Purchase D—Donation	Date acquired <b>(c)</b> (mo, day, yr)	Date sold <b>(d)</b> (mo, day, yr)
<b>1 a</b>	SEE ATTACHED STATEMENT A	P		
<b>b</b>	SEE ATTACHED STATEMENT B	P		
<b>c</b>	SEE ATTACHED STATEMENT B	P		
<b>d</b>	50 SHS WAL-MART STORE		2011-06-27	2015-07-23
<b>e</b>				

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
<b>a</b> 256,365		175,294	81,071
<b>b</b> 1,533,097		1,580,914	-47,817
<b>c</b> 564,098		579,775	-15,677
<b>d</b> 3,638		2,728	910
<b>e</b>			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
<b>(i)</b> FMV as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col (i) over col (j), if any	
<b>a</b>			81,071
<b>b</b>			-47,817
<b>c</b>			-15,677
<b>d</b>			910
<b>e</b>			

<b>2</b>	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	<b>2</b>	18,487
<b>3</b>	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		}	<b>3</b>

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )  
 If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
 If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

**1** Enter the appropriate amount in each column for each year, see instructions before making any entries

<b>(a)</b> Base period years Calendar year (or tax year beginning in)	<b>(b)</b> Adjusted qualifying distributions	<b>(c)</b> Net value of noncharitable-use assets	<b>(d)</b> Distribution ratio (col (b) divided by col (c))
2014	655,848	4,670,283	0.14043
2013	628,706	4,926,183	0.127625
2012	632,096	5,424,191	0.116533
2011	635,615	5,761,056	0.11033
2010	918,825	5,781,766	0.158918

<b>2</b>	Total of line 1, column (d).	<b>2</b>	0.653836
<b>3</b>	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	<b>3</b>	0.130767
<b>4</b>	Enter the net value of noncharitable-use assets for 2015 from Part X, line 5.	<b>4</b>	4,350,864
<b>5</b>	Multiply line 4 by line 3.	<b>5</b>	568,949
<b>6</b>	Enter 1% of net investment income (1% of Part I, line 27b).	<b>6</b>	2,304
<b>7</b>	Add lines 5 and 6.	<b>7</b>	571,253
<b>8</b>	Enter qualifying distributions from Part XII, line 4.	<b>8</b>	166,812

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

Table with 11 rows for excise tax calculation. Includes fields for exempt foundations, domestic foundations, tax under section 511, and credits/payments. Total tax due is 2,858.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Includes questions about political campaigns, political expenditures, unrelated business income, and substantial contributors. Includes a 'Yes/No' column.

**Part VII-A Statements Regarding Activities (continued)**

**11** At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions). . . . . 

<b>11</b>		<b>No</b>
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**12** Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) . . . . . 

<b>12</b>		<b>No</b>
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**13** Did the foundation comply with the public inspection requirements for its annual returns and exemption application? 

<b>13</b>	<b>Yes</b>	
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Website address ▶ \_\_\_\_\_

**14** The books are in care of ▶ DANTE MALIWAT Telephone no ▶ (212) 293-9400  
Located at ▶ RUBEN COMPANIES-600 MADISON AVE New York NY ZIP+4 ▶ 10022

**15** Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**—Check here . . . . . ▶   
and enter the amount of tax-exempt interest received or accrued during the year . . . . . ▶ 

<b>15</b>	
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**16** At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? 

<b>16</b>	<b>Yes</b>	<b>No</b>

  
See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶ \_\_\_\_\_

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

		<b>Yes</b>	<b>No</b>			
<b>1a</b> During the year did the foundation (either directly or indirectly)						
<b>(1)</b> Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> <b>Yes</b> <input checked="" type="checkbox"/> <b>No</b>						
<b>(2)</b> Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . . <input type="checkbox"/> <b>Yes</b> <input checked="" type="checkbox"/> <b>No</b>						
<b>(3)</b> Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> <b>Yes</b> <input checked="" type="checkbox"/> <b>No</b>						
<b>(4)</b> Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> <b>Yes</b> <input type="checkbox"/> <b>No</b>						
<b>(5)</b> Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . . <input type="checkbox"/> <b>Yes</b> <input checked="" type="checkbox"/> <b>No</b>						
<b>(6)</b> Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) . . . . . <input type="checkbox"/> <b>Yes</b> <input checked="" type="checkbox"/> <b>No</b>						
<b>b</b> If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? . . . . . <table border="1" style="float: right;"><tr><td><b>1b</b></td><td></td><td><b>No</b></td></tr></table> Organizations relying on a current notice regarding disaster assistance check here. . . . . ▶ <input type="checkbox"/>	<b>1b</b>		<b>No</b>			
<b>1b</b>		<b>No</b>				
<b>c</b> Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015? . . . . . <table border="1" style="float: right;"><tr><td><b>1c</b></td><td></td><td><b>No</b></td></tr></table>	<b>1c</b>		<b>No</b>			
<b>1c</b>		<b>No</b>				
<b>2</b> Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))						
<b>a</b> At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? . . . . . <input type="checkbox"/> <b>Yes</b> <input checked="" type="checkbox"/> <b>No</b> If "Yes," list the years ▶ 20____, 20____, 20____, 20____						
<b>b</b> Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions) . . . . . <table border="1" style="float: right;"><tr><td><b>2b</b></td><td></td><td></td></tr></table>	<b>2b</b>					
<b>2b</b>						
<b>c</b> If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____						
<b>3a</b> Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . <input type="checkbox"/> <b>Yes</b> <input checked="" type="checkbox"/> <b>No</b>						
<b>b</b> If "Yes," did it have excess business holdings in 2015 as a result of <b>(1)</b> any purchase by the foundation or disqualified persons after May 26, 1969, <b>(2)</b> the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or <b>(3)</b> the lapse of the 10-, 15-, or 20-year first phase holding period? ( <i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.</i> ) . . . . . <table border="1" style="float: right;"><tr><td><b>3b</b></td><td></td><td></td></tr></table>	<b>3b</b>					
<b>3b</b>						
<b>4a</b> Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? <table border="1" style="float: right;"><tr><td><b>4a</b></td><td></td><td><b>No</b></td></tr></table>	<b>4a</b>		<b>No</b>			
<b>4a</b>		<b>No</b>				
<b>b</b> Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015? <table border="1" style="float: right;"><tr><td><b>4b</b></td><td></td><td><b>No</b></td></tr></table>	<b>4b</b>		<b>No</b>			
<b>4b</b>		<b>No</b>				

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)**

**5a** During the year did the foundation pay or incur any amount to

**(1)** Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No

**(2)** Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?  Yes  No

**(3)** Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No

**(4)** Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions).  Yes  No

**(5)** Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

**b** If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?  Yes  No  
 Organizations relying on a current notice regarding disaster assistance check here.

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?  Yes  No  
 If "Yes," attach the statement required by Regulations section 53.4945–5(d).

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  Yes  No  
 If "Yes" to 6b, file Form 8870.

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No

**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?  Yes  No

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
LAWRENCE RUBEN THE CROSSING PURCHASE STREET purchase, NY 10577	DIRECTOR AS REQ'D 0	0	0	0
RICHARD RUBEN 10 GRACIE SQUARE new york, NY 10028	DIRECTOR AS REQ'D 0	0	0	0
LENORE RUBEN 175 RIVERSIDE DRIVE APT 10E new york, NY 10024	DIRECTOR AS REQ'D 0	0	0	0
ROCHELLE KIVELL 760 PARK AVENUE APT 4 NEW YORK, NY 10021	DIRECTOR AS REQ'D 0	0	0	0

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances

**Total** number of other employees paid over \$50,000.

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation

**Total** number of others receiving over \$50,000 for professional services. . . . . ▶

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
<b>1</b>	
<b>2</b>	
<b>3</b>	
<b>4</b>	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
<b>1</b>	
<b>2</b>	
All other program-related investments. See instructions.	
<b>3</b>	

**Total.** Add lines 1 through 3 . . . . . ▶

**Part X Minimum Investment Return**

(All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
<b>a</b>	Average monthly fair market value of securities. . . . .	<b>1a</b>	3,380,301
<b>b</b>	Average of monthly cash balances. . . . .	<b>1b</b>	1,036,820
<b>c</b>	Fair market value of all other assets (see instructions). . . . .	<b>1c</b>	0
<b>d</b>	<b>Total</b> (add lines 1a, b, and c). . . . .	<b>1d</b>	4,417,121
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets. . . . .	<b>2</b>	0
<b>3</b>	Subtract line 2 from line 1d. . . . .	<b>3</b>	4,417,121
<b>4</b>	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	<b>4</b>	66,257
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3 Enter here and on Part V, line 4	<b>5</b>	4,350,864
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5. . . . .	<b>6</b>	217,543

**Part XI Distributable Amount**

(see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6. . . . .	<b>1</b>	217,543
<b>2a</b>	Tax on investment income for 2015 from Part VI, line 5. . . . .	<b>2a</b>	4,608
<b>b</b>	Income tax for 2015 (This does not include the tax from Part VI ). . . . .	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b. . . . .	<b>2c</b>	4,608
<b>3</b>	Distributable amount before adjustments Subtract line 2c from line 1. . . . .	<b>3</b>	212,935
<b>4</b>	Recoveries of amounts treated as qualifying distributions. . . . .	<b>4</b>	
<b>5</b>	Add lines 3 and 4. . . . .	<b>5</b>	212,935
<b>6</b>	Deduction from distributable amount (see instructions). . . . .	<b>6</b>	
<b>7</b>	<b>Distributable amount</b> as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . . .	<b>7</b>	212,935

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
<b>a</b>	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. . . . .	<b>1a</b>	166,812
<b>b</b>	Program-related investments—total from Part IX-B. . . . .	<b>1b</b>	0
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. . . . .	<b>2</b>	0
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required). . . . .	<b>3a</b>	0
<b>b</b>	Cash distribution test (attach the required schedule). . . . .	<b>3b</b>	0
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	166,812
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions). . . . .	<b>5</b>	
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4. . . . .	<b>6</b>	166,812

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
<b>1</b> Distributable amount for 2015 from Part XI, line 7				212,935
<b>2</b> Undistributed income, if any, as of the end of 2015				
<b>a</b> Enter amount for 2014 only. . . . .			0	
<b>b</b> Total for prior years 2013, 2012, 2011		0		
<b>3</b> Excess distributions carryover, if any, to 2015				
<b>a</b> From 2010. . . . .	632,941			
<b>b</b> From 2011. . . . .	352,963			
<b>c</b> From 2012. . . . .	362,362			
<b>d</b> From 2013. . . . .	383,927			
<b>e</b> From 2014. . . . .	425,810			
<b>f Total</b> of lines 3a through e. . . . .	2,158,003			
<b>4</b> Qualifying distributions for 2015 from Part XII, line 4 $\blacktriangleright$ \$ <u>166,812</u>				
<b>a</b> Applied to 2014, but not more than line 2a			0	
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .				
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .				
<b>d</b> Applied to 2015 distributable amount. . . . .				166,812
<b>e</b> Remaining amount distributed out of corpus	0			
<b>5</b> Excess distributions carryover applied to 2015 <i>(If an amount appears in column (d), the same amount must be shown in column (a).)</i>	46,123			46,123
<b>6 Enter the net total of each column as indicated below:</b>				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	2,111,880			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b. . . . .		0		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .				
<b>d</b> Subtract line 6c from line 6b Taxable amount—see instructions. . . . .		0		
<b>e</b> Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount—see instructions. . . . .			0	
<b>f</b> Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015. . . . .				0
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . .				
<b>8</b> Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions). . . . .	586,818			
<b>9 Excess distributions carryover to 2016.</b> Subtract lines 7 and 8 from line 6a. . . . .	1,525,062			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2011. . . . .	352,963			
<b>b</b> Excess from 2012. . . . .	362,362			
<b>c</b> Excess from 2013. . . . .	383,927			
<b>d</b> Excess from 2014. . . . .	425,810			
<b>e</b> Excess from 2015. . . . .				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling. . . . .

**b** Check box to indicate whether the organization is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

**2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .

	Tax year	Prior 3 years			<b>(e) Total</b>
	<b>(a) 2015</b>	<b>(b) 2014</b>	<b>(c) 2013</b>	<b>(d) 2012</b>	
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon					
<b>a</b> "Assets" alternative test—enter					
<b>(1)</b> Value of all assets . . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b> "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . . .					
<b>c</b> "Support" alternative test—enter					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

**Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)**

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

LAWRENCE RUBEN

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

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**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

**a** The name, address, and telephone number or email address of the person to whom applications should be addressed

---

**b** The form in which applications should be submitted and information and materials they should include

---

**c** Any submission deadlines

---

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV Supplementary Information**(continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a</b> <i>Paid during the year</i> Weill Medical College 1300 york avenue box 123 new york, NY 10065	none		GENERAL CHARITABLE	156,250
<b>Total . . . . .</b>				<b>3a</b> 156,250
<b>b</b> <i>Approved for future payment</i>				
<b>Total . . . . .</b>				<b>3b</b>





## TY 2015 Accounting Fees Schedule

**Name:** LAWRENCE RUBEN FOUNDATION

c/o RUBEN COMPANIES

**EIN:** 13-3124700

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	10,167			10,167

**Note:** To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

## **TY 2015 Depreciation Schedule**

**Name:** LAWRENCE RUBEN FOUNDATION

c/o RUBEN COMPANIES

**EIN:** 13-3124700

## TY 2015 Investments Corporate Bonds Schedule

**Name:** LAWRENCE RUBEN FOUNDATION

c/o RUBEN COMPANIES

**EIN:** 13-3124700

Name of Bond	End of Year Book Value	End of Year Fair Market Value
SEE ATTACHED STATEMENT C	1,391,270	1,423,428

## TY 2015 Investments Corporate Stock Schedule

**Name:** LAWRENCE RUBEN FOUNDATION

c/o RUBEN COMPANIES

**EIN:** 13-3124700

Name of Stock	End of Year Book Value	End of Year Fair Market Value
SEE ATTACHED STATEMENT C	1,097,668	1,738,984

## TY 2015 Other Expenses Schedule

**Name:** LAWRENCE RUBEN FOUNDATION

c/o RUBEN COMPANIES

**EIN:** 13-3124700

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
NYS DEPT OF LAW - FILING FEE	145			145
NYS FILING FEE	250			250
MANAGEMENT FEE	11,727	11,727		

## TY 2015 Taxes Schedule

**Name:** LAWRENCE RUBEN FOUNDATION

c/o RUBEN COMPANIES

**EIN:** 13-3124700

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAX	1,855	1,855		
FEDERAL TAX	2,784			

THE LAWRENCE RUBEN FOUNDATION  
 FEIN: 13-3124700  
 12/31/2015

Quantity	Security	Broker	Purchase Date	Unit Cost	Total Cost	Price	Market Value
American Depository Receipts							
	300 SABMILLER PLC SP ADR	none	8/28/2012	44 68	13,405 24	59 98	17,994 30
					13,405 24		17,994 30
Collateralized Mtg (CMO) Bonds							
	71433 37603 GOVERNMENT NATL MTG ASSN SERIES 2004-22 CLASS SH 7 884% Due 04-20-34		4/1/2004	84 25	60,179 19	108 71	77,658 08
	53173 85697 GOVERNMENT NATL MTG ASSN SERIES 2004-22 CLASS SH 7 884% Due 04-20-34		10/13/2006	77 18	41,041 02	108 71	57,807 43
	1000592 353 GNMA REMIC TRUST SER 2015-159 CL YS 8 03663% 9/20/2045 8 037% Due 09-20-45	none	10/20/2015	99 63	996,844 96	99 63	996,840 13
					1,098,065 17		1,132,305 64
FNMA							
	6634 FEDERAL HOME LOAN MTG CORP SER 4363 CL SM DUE 03/15/204 11 594% Due 03-15-44	none	6/30/2014	104 50	6,932 92	100 70	6,680 62
	261116 GOVT NATL MTG ASSN SER 2014-058 CL MS 13 4256% 4/16/2044 13 426% Due 04-16-44	none	11/7/2014	104 50	272,866 81	102 04	266,447 65
					279,799 73		273,128 27
<b>TOTAL CORPORATE BONDS</b>					<b>1,391,270.14</b>		<b>1,423,428.21</b>
Domestic Common Stock							
	200 ABBOTT LABS		1/22/1999	19 34	3,867 22	44 91	8,982 00
	100 ABBOTT LABS		5/28/1999	20 18	2,017 76	44 91	4,491 00
	100 ABBOTT LABS	none	5/28/2010	22 74	2,273 94	44 91	4,491 00
	100 ABBOTT LABS	none	8/28/2012	31 28	3,128 39	44 91	4,491 00
	200 ABBVIE INC		1/22/1999	21 78	4,356 13	59 24	11,848 00

THE LAWRENCE RUBEN FOUNDATION  
 FEIN: 13-3124700  
 12/31/2015

Quantity	Security	Broker	Purchase Date	Unit Cost	Total Cost	Price	Market Value
100	ABBVIE INC		5/28/1999	22 69	2,269 33	59 24	5,924 00
100	ABBVIE INC		5/28/2010	25 47	2,547 14	59 24	5,924 00
100	ABBVIE INC		8/28/2012	34 74	3,473 70	59 24	5,924 00
175	ACE LIMITED	none	6/9/2014	105 12	18,396 47	116 85	20,448 75
25	ACE LIMITED	none	10/8/2014	105 67	2,641 75	116 85	2,921 25
150	ACE LIMITED	none	7/23/2015	106 39	15,958 50	116 85	17,527 50
50	AIR PRODUCTS&CHEM	none	11/11/2010	86 68	4,334 07	130 11	6,505 50
50	AIR PRODUCTS&CHEM	none	6/27/2011	94 77	4,738 50	130 11	6,505 50
90	ALEXION PHARMACEUTICAL	none	8/28/2015	177 62	15,985 78	190 75	17,167 50
10	ALPHABET INC CLASS C		8/27/2015	636 59	6,365 94	758 88	7,588 80
25	ALPHABET INC CLASS C		9/2/2015	606 54	15,163 61	758 88	18,972 00
300	ALTRIA GROUP INC		1/22/1999	10 85	3,254 39	58 21	17,463 00
100	ALTRIA GROUP INC		2/9/1999	10 88	1,088 40	58 21	5,821 00
200	ALTRIA GROUP INC	gs	1/10/2001	10 20	2,040 18	58 21	11,642 00
100	ALTRIA GROUP INC	none	4/13/2009	17 13	1,712 76	58 21	5,821 00
100	ALTRIA GROUP INC	none	10/21/2009	19 16	1,916 00	58 21	5,821 00
250	AMERICAN EXPRESS CO CMN	none	11/23/2012	56 34	14,084 20	69 55	17,387 50
50	AMERICAN EXPRESS CO CMN	none	10/8/2014	85 68	4,283 85	69 55	3,477 50
200	AMERICAN EXPRESS CO CMN	none	5/4/2015	78 32	15,664 74	69 55	13,910 00
175	ANHEUSER BUSCH COS INC CMN	none	6/9/2014	110 86	19,401 29	125 00	21,875 00
60	ANHEUSER BUSCH COS INC CMN	none	8/27/2015	110 26	6,615 80	125 00	7,500 00
350	APPLE INC	none	3/25/2008	20 05	7,016 50	105 26	36,841 00
175	APPLE INC	none	6/27/2011	47 88	8,378 50	105 26	18,420 50
150	ASML HOLDING NV NY REG	none	7/23/2015	102 05	15,307 62	88 77	13,315 50
60	AUTOMATIC DATA PROCESSING INC CMN	gold	4/17/2006	36 71	2,202 67	84 72	5,083 20
50	AUTOMATIC DATA PROCESSING INC CMN	gold	1/16/2007	40 12	2,006 08	84 72	4,236 00
100	BLACKROCK INC	none	11/8/2011	162 95	16,294 80	340 52	34,052 00
160	CALIFORNIA RESOURCES CORP		10/14/2004	2 44	390 13	2 33	372 80
50	CANADIAN PACIFIC RAILWAY	none	6/9/2015	165 29	8,264 25	127 60	6,380 00
175	CELGENE CORP COM	none	5/4/2015	110 35	19,311 25	119 76	20,958 00
25	CELGENE CORP COM	none	6/9/2015	110 45	2,761 17	119 76	2,994 00
300	CHEVRON CORP		9/10/1999	49 11	14,734 38	89 96	26,988 00
200	CHEVRON CORP		6/16/2000	47 72	9,543 75	89 96	17,992 00
800	COCA-COLA COMPANY (THE) CMN		1/22/1999	30 34	24,275 00	42 96	34,368 00
200	COCA-COLA COMPANY (THE) CMN		5/25/1999	34 53	6,906 25	42 96	8,592 00
400	COCA-COLA COMPANY (THE) CMN	none	11/11/2010	31 47	12,588 00	42 96	17,184 00

THE LAWRENCE RUBEN FOUNDATION  
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Quantity	Security	Broker	Purchase Date	Unit Cost	Total Cost	Price	Market Value
200	COCA-COLA COMPANY (THE) CMN	none	3/8/2012	35 22	7,044 00	42 96	8,592 00
300	COMCAST CORP NEW CL A	none	8/5/2013	45 87	13,761 15	56 43	16,929 00
325	COMCAST CORP NEW CL A	none	6/9/2015	57 24	18,602 22	56 43	18,339 75
400	CONOCOPHILLIPS	gold	7/2/2004	29 62	11,846 83	46 69	18,676 00
25	CONOCOPHILLIPS	none	11/23/2012	56 95	1,423 86	46 69	1,167 25
200	DIAGEO PLC SPON ADR (NEW) SPONSORED ADR CM	gold	2/2/2005	56 54	11,308 00	109 07	21,814 00
200	DISNEY (WALT) CO COM STK	none	8/28/2012	50 04	10,008 00	105 08	21,016 00
100	DISNEY (WALT) CO COM STK	none	5/4/2015	110 82	11,082 00	105 08	10,508 00
200	ESTEE LAUDER COS INC CL-A CMN CLASS A	gold	6/20/2005	20 08	4,016 00	88 06	17,612 00
528	EXXON MOBIL CORP COM		5/25/1999	38 80	20,485 17	77 95	41,157 60
200	EXXON MOBIL CORP COM		1/25/2000	42 75	8,550 00	77 95	15,590 00
200	EXXON MOBIL CORP COM		4/17/2000	39 94	7,987 50	77 95	15,590 00
100	EXXON MOBIL CORP COM		10/25/2000	45 35	4,535 00	77 95	7,795 00
200	EXXON MOBIL CORP COM	gold	9/24/2003	37 85	7,570 00	77 95	15,590 00
200	FACEBOOK INC	none	5/4/2015	78 82	15,764 98	104 66	20,932 00
200	FACEBOOK INC	none	12/10/2015	105 88	21,175 30	104 66	20,932 00
225	FRANKLIN RES INC	none	11/11/2010	40 14	9,031 70	36 82	8,284 50
200	GILEAD SCIENCES INC COM	none	6/9/2014	79 31	15,861 88	101 19	20,238 00
200	HOME DEPOT INC	gold	10/14/2004	40 20	8,040 00	132 25	26,450 00
100	HOME DEPOT INC	gold	2/2/2005	42 18	4,218 00	132 25	13,225 00
75	INTERNATIONAL EXCHANGE INC	none	7/24/2015	230 95	17,321 25	256 26	19,219 50
125	INTL BUSINESS MACHINES CORP IBM	none	3/24/2010	130 64	16,329 43	137 62	17,202 50
50	INTL BUSINESS MACHINES CORP IBM	none	11/11/2010	146 00	7,300 00	137 62	6,881 00
25	INTL BUSINESS MACHINES CORP IBM	none	11/23/2012	192 64	4,816 00	137 62	3,440 50
200	JPMORGAN CHASE & CO		1/22/1999	48 29	9,658 33	66 03	13,206 00
150	JPMORGAN CHASE & CO		5/25/1999	50 08	7,512 50	66 03	9,904 50
100	JPMORGAN CHASE & CO	none	5/28/2010	41 17	4,117 00	66 03	6,603 00
150	JPMORGAN CHASE & CO	none	3/14/2011	45 84	6,876 73	66 03	9,904 50
100	JPMORGAN CHASE & CO	none	3/8/2012	41 51	4,151 00	66 03	6,603 00
300	KINDER MORGAN INC	none	6/12/2013	38 21	11,462 91	14 92	4,476 00
33	KRAFT HEINZ CO		7/6/2015	72 65	2,421 50	72 76	2,425 33
46	KRAFT HEINZ CO		7/6/2015	72 65	3,341 67	72 76	3,346 96
23	KRAFT HEINZ CO		7/6/2015	72 64	1,670 83	72 76	1,673 48
48	KRAFT HEINZ CO		7/6/2015	72 65	3,535 39	72 76	3,540 99
100	MCDONALDS CORP COM		6/29/1999	41 94	4,193 75	118 14	11,814 00
200	MCDONALDS CORP COM		6/16/2000	32 94	6,587 50	118 14	23,628 00

THE LAWRENCE RUBEN FOUNDATION  
 FEIN: 13-3124700  
 12/31/2015

Quantity	Security	Broker	Purchase Date	Unit Cost	Total Cost	Price	Market Value
	50 MERCK AND CO INC SHS		1/22/1999	69 82	3,491 14	52 82	2,641 00
	100 MERCK AND CO INC SHS		5/21/1999	68 47	6,846 99	52 82	5,282 00
	200 MERCK AND CO INC SHS	none	10/21/2009	34 13	6,826 00	52 82	10,564 00
	390 MICROSOFT CORPORATION CMN	none	8/27/2015	43 73	17,055 44	55 48	21,637 20
	146 MONDELEZ INTERNATIONAL, INC		1/22/1999	9 36	1,366 49	44 84	6,546 64
	69 MONDELEZ INTERNATIONAL, INC		2/9/1999	9 40	648 47	44 84	3,093 96
	138 MONDELEZ INTERNATIONAL, INC		1/10/2001	8 41	1,160 50	44 84	6,187 92
	100 MONDELEZ INTERNATIONAL, INC		11/11/2010	24 72	2,472 15	44 84	4,484 00
	300 NIKE INC CL B	none	8/27/2015	56 26	16,876 77	62 50	18,750 00
	200 NOVARTIS AG-SPONS ADR	none	6/9/2014	89 02	17,803 50	86 04	17,208 00
	1000 NOVO INDUSTRIES A/S	none	3/25/2008	13 76	13,760 00	58 08	58,080 00
	400 OCCIDENTAL PETE CORP CAL	gold	10/14/2004	27 00	10,799 87	67 61	27,044 00
	450 ORACLE CORP	none	10/8/2014	38 40	17,278 61	36 53	16,438 50
	300 PEPSICO INC		1/22/1999	38 90	11,668 75	99 92	29,976 00
	100 PEPSICO INC		5/25/1999	39 38	3,937 50	99 92	9,992 00
	500 PHILIP MORRIS INTL INC		1/22/1999	24 72	12,359 57	87 91	43,955 00
	100 PHILIP MORRIS INTL INC		2/9/1999	24 80	2,480 11	87 91	8,791 00
	200 PHILIP MORRIS INTL INC		1/10/2001	23 24	4,648 92	87 91	17,582 00
	200 PHILIP MORRIS INTL INC	none	4/13/2009	37 78	7,556 00	87 91	17,582 00
	100 PRAXAIR INC CMN	gold	4/7/2005	49 25	4,925 00	102 40	10,240 00
	100 PRAXAIR INC CMN	gold	7/10/2006	55 24	5,524 00	102 40	10,240 00
	50 PROCTER & GAMBLE CO		1/22/1999	42 72	2,135 96	79 41	3,970 50
	150 PROCTER & GAMBLE CO		6/29/1999	43 17	6,475 46	79 41	11,911 50
	200 PROCTER & GAMBLE CO		4/17/2000	32 62	6,523 52	79 41	15,882 00
	100 QUALCOMM INC	gold	1/16/2007	40 90	4,089 56	49 99	4,998 50
	100 QUALCOMM INC	none	3/8/2012	64 24	6,424 00	49 99	4,998 50
	350 ROCHE HOLDING AG ADR B SHS(NOM CHF 100) VA	none	1/28/2013	27 39	9,586 50	34 52	12,080 60
	250 STATE STREET BOSTON CORP	none	10/8/2014	70 81	17,703 13	66 36	16,590 00
	100 STATE STREET BOSTON CORP	none	7/24/2015	76 36	7,636 00	66 36	6,636 00
	300 TARGET CORP COM		1/25/2000	32 63	9,787 50	72 61	21,783 00
	150 TEXAS INSTRUMENTS INC CMN	gold	5/21/2007	36 52	5,478 43	54 81	8,221 50
	150 TEXAS INSTRUMENTS INC CMN	gold	9/25/2007	37 11	5,566 00	54 81	8,221 50
	200 TEXAS INSTRUMENTS INC CMN	none	3/14/2011	35 06	7,012 00	54 81	10,962 00
	250 TIME WARNER CABLE INC SHS	none	11/8/2011	61 86	15,466 10	185 59	46,397 50
	300 TWENTY FIRST CENTURY FOX INC	none	5/4/2015	33 55	10,065 00	27 23	8,169 00
	508 TWENTY FIRST CENTURY FOX INC		7/2/2004	14 67	7,451 91	27 16	13,797 28

THE LAWRENCE RUBEN FOUNDATION  
 FEIN: 13-3124700  
 12/31/2015

Quantity	Security	Broker	Purchase Date	Unit Cost	Total Cost	Price	Market Value
200	TWENTY FIRST CENTURY FOX INC		10/14/2004	14 54	2,907 97	27 16	5,432 00
75	UNION PACIFIC CORP	none	10/8/2014	105 59	7,919 25	78 20	5,865 00
200	UNION PACIFIC CORP	none	6/9/2015	100 53	20,106 88	78 20	15,640 00
150	UNITED TECHS CORP COM	gold	7/10/2006	63 97	9,595 00	96 07	14,410 50
50	UNITED TECHS CORP COM	gold	10/19/2007	78 95	3,947 50	96 07	4,803 50
130	VERISIGN INC	none	7/23/2015	66 02	8,582 08	87 36	11,356 80
300	VISA INC CL A SHRS	none	5/4/2015	65 82	19,747 47	77 55	23,265 00
200	WALGREEN BOOTS ALLIANCE INC		1/22/1999	29 34	5,868 75	85 16	17,031 00
200	WALGREEN BOOTS ALLIANCE INC		9/10/1999	24 25	4,850 00	85 16	17,031 00
325	WELLS FARGO & CO NEW DEL	none	6/9/2014	52 35	17,013 75	54 36	17,667 00
150	WELLS FARGO & CO NEW DEL	none	9/2/2015	51 72	7,757 69	54 36	8,154 00
					1,050,350 76		1,674,104 56
Foreign Stock							
400	NESTLE S A REP RG SH ADR	none	12/9/2008	36 09	14,436 00	74 42	29,768 00
200	NESTLE S A REP RG SH ADR	none	12/19/2008	37 59	7,518 00	74 42	14,884 00
100	TOTAL SA SPONSORED ADR CMN	gold	4/7/2005	59 79	5,978 95	44 95	4,495 00
200	TOTAL SA SPONSORED ADR CMN	gold	6/20/2005	58 67	11,733 32	44 95	8,990 00
100	TOTAL SA SPONSORED ADR CMN	none	5/28/2010	48 27	4,827 00	44 95	4,495 00
50	TOTAL SA SPONSORED ADR CMN	none	6/27/2011	56 48	2,824 00	44 95	2,247 50
					47,317 27		64,879 50
<b>TOTAL CORPORATE STOCKS</b>					<b>1,097,668.03</b>		<b>1,738,984.06</b>

**The Lawrence Ruben Foundation**

STATEMENT A

A/C # 001-27141-0

FEIN 13-3124700

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss	
						Short Term	Long Term
4/17/2006	5/4/2015	49 33	CDK GLOBAL, INC	771 83	2,408 74		1,636 91
1/16/2007	5/4/2015	16 67	CDK GLOBAL, INC	284 97	813 76		528 79
1/22/1999	5/4/2015	50	GENERAL ELECTRIC	1,640 63	1,364 57		(276 06)
5/21/1999	5/4/2015	300	GENERAL ELECTRIC	10,581 25	8,187 42		(2,393 83)
9/24/2003	5/4/2015	200	GENERAL ELECTRIC	6,410 00	5,458 28		(951 72)
10/5/2005	5/4/2015	100	GENERAL ELECTRIC	3,390 00	2,729 14		(660 86)
1/22/1999	5/4/2015	200	JOHNSON & JOHNSON CMN	7,893 75	20,041 63		12,147 88
2/11/2000	5/4/2015	100	JOHNSON & JOHNSON CMN	3,962 50	10,020 82		6,058 32
1/22/1999	5/4/2015	200	MCDONALDS CORP COM	7,681 25	19,406 04		11,724 79
1/22/1999	5/4/2015	67 91	MEAD JOHNSON NUTRITI	6,184 64	6,544 35		359 71
8/6/1999	5/4/2015	34 09	MEAD JOHNSON NUTRITI	3,456 38	3,285 21		(171 17)
3/25/2008	6/9/2015	100	APPLE INC	2,004 71	12,592 77		10,588 06
1/22/1999	6/9/2015	200	MERCK AND CO INC SHS	13,964 56	11,829 78		(2,134 78)
7/2/2004	6/9/2015	127	NEWS CORP (2013)	1,004 60	1,855 80		851 20
10/14/2004	6/9/2015	50	NEWS CORP (2013)	392 03	730 63		338 60
5/21/1999	7/23/2015	300	INTEL CORP	8,634 38	8,591 93		(42 45)
4/17/2000	7/23/2015	200	INTEL CORP	11,600 00	5,727 95		(5,872 05)
9/24/2003	7/23/2015	100	INTEL CORP	2,970 70	2,863 98		(106 72)
5/25/1999	7/23/2015	300	WAL-MART STORES INC	12,943 75	21,827 21		8,883 46
11/8/2000	7/23/2015	50	WAL-MART STORES INC	2,581 25	3,637 87		1,056 62
4/17/2006	8/27/2015	90	AUTOMATIC DATA PROCESSING INC CMN	3,304 01	6,988 87		3,684 86
7/10/2006	8/27/2015	200	CATERPILLAR INC DEL	14,650 00	15,108 42		458 42
2/11/2000	8/27/2015	100	JOHNSON & JOHNSON CMN	3,962 50	9,587 17		5,624 67
4/13/2009	8/27/2015	100	JOHNSON & JOHNSON CMN	5,245 50	9,587 17		4,341 67
6/9/2009	8/27/2015	75	JOHNSON & JOHNSON CMN	4,303 00	7,190 38		2,887 38
7/2/2004	8/27/2015	200	PHILLIPS 66	3,687 00	14,969 96		11,282 96
1/22/1999	9/2/2015	200	ALTRIA GROUP INC	2,169 59	10,548 80		8,379 21
1/22/1999	9/2/2015	200	PROCTER & GAMBLE CO	8,543 82	13,872 10		5,328 28
12/9/2008	12/10/2015	300	ROYAL DUTCH SHELL PLC SPONS ADR A	15,661 00	13,946 08		(1,714 92)
5/28/2010	12/10/2015	100	ROYAL DUTCH SHELL PLC SPONS ADR A	5,414 00	4,648 69		(765 31)
TOTAL GAINS							-
TOTAL LOSSES							-
TOTAL LONG-TERM SALES				175,293 60	256,365 52	-	81,071 92

**The Lawrence Ruben Foundation**

STATEMENT B  
A/C # 80618023  
FEIN 13-3124700

Gain Or Loss

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Short Term	Long Term
6/30/2014	1/15/2015	16270	FEDERAL HOME LOAN MTG CORP SER 4363 CL SM DUE 03/15/2044 11 594% 11 594% Due 03-15-44	17,002 61	16,270 29	(732 32)	
11/7/2014	1/16/2015	49668	GOVT NATL MTG ASSN SER 2014-058 CL MS 13 4256% 4/16/2044 13 426% Due 04-16-44	51,902 98	49,667 75	(2,235 23)	
4/1/2004	1/20/2015	2875 54276	GOVERNMENT NATL MTG ASSN SERIES 2004-22 CLASS SH 7 884% Due 04-20-34	2,909 03	2,875 54		(33 49)
10/13/2006	1/20/2015	2462 49724	GOVERNMENT NATL MTG ASSN SERIES 2004-22 CLASS SH 7 884% Due 04-20-34	2,317 26	2,462 50		145 24
1/8/2014	1/20/2015	32468	GOVERNMENT NATIONAL MTG ASSN SER 2014-7 CL GS DUE 02/20/2042 11 466% 11 466% Due 02-20-42	32,203 00	32,468 40		265 40
9/27/2013	1/20/2015	8746	GOVERNMENT NATIONAL MTG ASSN SER 2013-151 CL SB DUE 08/01/2043 10 602% 10 602% Due 08-01-43	8,833 35	8,745 85		(87 50)
9/27/2013	1/29/2015	0	GOVERNMENT NATIONAL MTG ASSN SER 2013-151 CL SB DUE 08/01/2043 10 602% 10 602% Due 08-01-43	0 01	-		(0 01)
6/30/2014	2/17/2015	24100	FEDERAL HOME LOAN MTG CORP SER 4363 CL SM DUE 03/15/2044 11 594% 11 594% Due 03-15-44	25,184 56	24,099 83	(1,084 73)	
1/22/2015	2/17/2015	9182	FEDL HOME LN MTG CRP SER 4417 CL SY 8 87512% 12/15/2043 8 875% Due 12-15-43	9,503 12	9,181 64	(321 48)	
11/7/2014	2/19/2015	51664	GOVT NATL MTG ASSN SER 2014-058 CL MS 13 4256% 4/16/2044 13 426% Due 04-16-44	53,989 21	51,664 14	(2,325 07)	
4/1/2004	2/20/2015	2312 95226	GOVERNMENT NATL MTG ASSN SERIES 2004-22 CLASS SH 7 884% Due 04-20-34	2,339 89	2,312 95		(26 94)
10/13/2006	2/20/2015	1980 71774	GOVERNMENT NATL MTG ASSN SERIES 2004-22 CLASS SH 7 884% Due 04-20-34	1,863 90	1,980 72		116 82
1/8/2014	2/20/2015	35526	GOVERNMENT NATIONAL MTG ASSN SER 2014-7 CL GS DUE 02/20/2042 11 466% 11 466% Due 02-20-42	35,235 17	35,525 56		290 39
6/30/2014	3/16/2015	21856	FEDERAL HOME LOAN MTG CORP SER 4363 CL SM DUE 03/15/2044 11 594% 11 594% Due 03-15-44	22,839 26	21,855 55	(983 71)	
1/22/2015	3/16/2015	14385	FEDL HOME LN MTG CRP SER 4417 CL SY 8 87512% 12/15/2043 8 875% Due 12-15-43	14,888 63	14,384 97	(503 66)	
11/7/2014	3/16/2015	18349	GOVT NATL MTG ASSN SER 2014-058 CL MS 13 4256% 4/16/2044 13 426% Due 04-16-44	19,174 89	18,349 11	(825 78)	
4/1/2004	3/20/2015	2575 93478	GOVERNMENT NATL MTG ASSN SERIES 2004-22 CLASS SH 7 884% Due 04-20-34	2,605 94	2,575 93		(30 01)
10/13/2006	3/20/2015	2205 92522	GOVERNMENT NATL MTG ASSN SERIES 2004-22 CLASS SH 7 884% Due 04-20-34	2,075 82	2,205 93		130 11
1/8/2014	3/20/2015	41039	GOVERNMENT NATIONAL MTG ASSN SER 2014-7 CL GS DUE 02/20/2042 11 466% 11 466% Due 02-20-42	40,703 71	41,039 17		335 46
1/22/2015	4/13/2015	330393	FEDL HOME LN MTG CRP SER 4417 CL SY 8 87512% 12/15/2043 8 875% Due 12-15-43	341,960 99	345,255 62	3,294 63	
1/22/2015	4/13/2015	30424	FEDL HOME LN MTG CRP SER 4417 CL SY 8 87512% 12/15/2043 8 875% Due 12-15-43	31,489 55	30,424 30	(1,065 25)	
6/30/2014	4/15/2015	57851	FEDERAL HOME LOAN MTG CORP SER 4363 CL SM DUE 03/15/2044 11 594% 11 594% Due 03-15-44	60,454 38	57,850 54	(2,603 84)	
11/7/2014	4/16/2015	92467	GOVT NATL MTG ASSN SER 2014-058 CL MS 13 4256% 4/16/2044 13 426% Due 04-16-44	96,627 98	92,466 65	(4,161 33)	
4/1/2004	4/20/2015	2543 17711	GOVERNMENT NATL MTG ASSN SERIES 2004-22 CLASS SH 7 884% Due 04-20-34	2,572 80	2,543 18		(29 62)
10/13/2006	4/20/2015	2177 87289	GOVERNMENT NATL MTG ASSN SERIES 2004-22 CLASS SH 7 884% Due 04-20-34	2,049 43	2,177 87		128 44
6/30/2014	5/15/2015	36850	FEDERAL HOME LOAN MTG CORP SER 4363 CL SM DUE 03/15/2044 11 594% 11 594% Due 03-15-44	38,509 02	36,850 39	(1,658 63)	
11/7/2014	5/18/2015	101744	GOVT NATL MTG ASSN SER 2014-058 CL MS 13 4256% 4/16/2044 13 426% Due 04-16-44	106,322 40	101,743 58	(4,578 82)	
4/1/2004	5/20/2015	3062 74319	GOVERNMENT NATL MTG ASSN SERIES 2004-22 CLASS SH 7 884% Due 04-20-34	3,098 41	3,062 74		(35 67)
10/13/2006	5/20/2015	2279 85681	GOVERNMENT NATL MTG ASSN SERIES 2004-22 CLASS SH 7 884% Due 04-20-34	2,145 39	2,279 86		134 47
6/30/2014	6/15/2015	43570	FEDERAL HOME LOAN MTG CORP SER 4363 CL SM DUE 03/15/2044 11 594% 11 594% Due 03-15-44	45,530 55	43,569 50	(1,961 05)	
11/7/2014	6/16/2015	140534	GOVT NATL MTG ASSN SER 2014-058 CL MS 13 4256% 4/16/2044 13 426% Due 04-16-44	146,859 01	140,534 46	(6,324 55)	
4/1/2004	6/22/2015	2819 77489	GOVERNMENT NATL MTG ASSN SERIES 2004-22 CLASS SH	2,852 62	2,819 77		(32 85)

**The Lawrence Ruben Foundation**  
 STATEMENT B  
 A/C # 80618023  
 FEIN 13-3124700

Gain Or Loss

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Short Term	Long Term
10/13/2006	6/22/2015	2098 99511	7 884% Due 04-20-34 GOVERNMENT NATL MTG ASSN SERIES 2004-22 CLASS SH	1,975 20	2,099 00		123 80
6/30/2014	7/15/2015	39189	7 884% Due 04-20-34 FEDERAL HOME LOAN MTG CORP SER 4363 CL SM DUE 03/15/2044 11 594%	40,952 98	39,189 09		(1,763 89)
11/7/2014	7/16/2015	121958	11 594% Due 03-15-44 GOV'T NATL MTG ASSN SER 2014-058 CL MS 13 4256% 4/16/2044	127,446 45	121,957 91	(5,488 54)	
4/1/2004	7/20/2015	2755 6663	13 426% Due 04-16-44 GOVERNMENT NATL MTG ASSN SERIES 2004-22 CLASS SH	2,787 76	2,755 67		(32 09)
10/13/2006	7/20/2015	2051 2737	7 884% Due 04-20-34 GOVERNMENT NATL MTG ASSN SERIES 2004-22 CLASS SH	1,930 29	2,051 27		120 98
6/30/2014	8/17/2015	12948	7 884% Due 04-20-34 FEDERAL HOME LOAN MTG CORP SER 4363 CL SM DUE 03/15/2044 11 594%	13,530 96	12,948 17		(582 79)
11/7/2014	8/17/2015	119235	11 594% Due 03-15-44 GOV'T NATL MTG ASSN SER 2014-058 CL MS 13 4256% 4/16/2044	124,601 12	119,235 11	(5,366 01)	
4/1/2004	8/20/2015	2553 26819	13 426% Due 04-16-44 GOVERNMENT NATL MTG ASSN SERIES 2004-22 CLASS SH	2,583 00	2,553 27		(29 73)
10/13/2006	8/20/2015	1900 61181	7 884% Due 04-20-34 GOVERNMENT NATL MTG ASSN SERIES 2004-22 CLASS SH	1,788 52	1,900 61		112 09
6/30/2014	9/16/2015	37598	7 884% Due 04-20-34 FEDERAL HOME LOAN MTG CORP SER 4363 CL SM DUE 03/15/2044 11 594%	39,290 37	37,598 09		(1,692 28)
11/7/2014	9/16/2015	97081	11 594% Due 03-15-44 GOV'T NATL MTG ASSN SER 2014-058 CL MS 13 4256% 4/16/2044	101,450 37	97,081 36	(4,369 01)	
4/1/2004	9/22/2015	2622 55483	13 426% Due 04-16-44 GOVERNMENT NATL MTG ASSN SERIES 2004-22 CLASS SH	2,653 10	2,622 91		(30 19)
10/13/2006	9/22/2015	1952 18767	7 884% Due 04-20-34 GOVERNMENT NATL MTG ASSN SERIES 2004-22 CLASS SH	1,837 05	1,952 46		115 41
6/30/2014	10/15/2015	19584	7 884% Due 04-20-34 FEDERAL HOME LOAN MTG CORP SER 4363 CL SM DUE 03/15/2044 11 594%	20,465 85	19,584 36		(881 49)
11/7/2014	10/16/2015	103581	11 594% Due 03-15-44 GOV'T NATL MTG ASSN SER 2014-058 CL MS 13 4256% 4/16/2044	108,243 03	103,581 49	(4,661 54)	
4/1/2004	10/20/2015	2659 80194	13 426% Due 04-16-44 GOVERNMENT NATL MTG ASSN SERIES 2004-22 CLASS SH	2,690 78	2,659 80		(30 98)
10/13/2006	10/20/2015	1979 91381	7 884% Due 04-20-34 GOVERNMENT NATL MTG ASSN SERIES 2004-22 CLASS SH	1,863 14	1,979 92		116 78
6/30/2014	11/16/2015	29172	7 884% Due 04-20-34 FEDERAL HOME LOAN MTG CORP SER 4363 CL SM DUE 03/15/2044 11 594%	30,485 23	29,172 20		(1,313 03)
11/7/2014	11/16/2015	183473	11 594% Due 03-15-44 GOV'T NATL MTG ASSN SER 2014-058 CL MS 13 4256% 4/16/2044	191,730 01	183,473 07		(8,256 94)
4/1/2004	11/20/2015	2171 93299	13 426% Due 04-16-44 GOVERNMENT NATL MTG ASSN SERIES 2004-22 CLASS SH	2,197 23	2,171 94		(25 29)
10/13/2006	11/20/2015	1616 75201	7 884% Due 04-20-34 GOVERNMENT NATL MTG ASSN SERIES 2004-22 CLASS SH	1,521 40	1,616 75		95 35
6/30/2014	12/15/2015	16541	7 884% Due 04-20-34 FEDERAL HOME LOAN MTG CORP SER 4363 CL SM DUE 03/15/2044 11 594%	17,285 37	16,540 87		(744 50)
11/7/2014	12/16/2015	51752	11 594% Due 03-15-44 GOV'T NATL MTG ASSN SER 2014-058 CL MS 13 4256% 4/16/2044	54,080 50	51,751 50		(2,329 00)
4/1/2004	12/21/2015	2523 22535	13 426% Due 04-16-44 GOVERNMENT NATL MTG ASSN SERIES 2004-22 CLASS SH	2,552 61	2,523 22		(29 39)
10/13/2006	12/21/2015	1878 2484	7 884% Due 04-20-34 GOVERNMENT NATL MTG ASSN SERIES 2004-22 CLASS SH	1,767 47	1,878 25		110 78
10/20/2015	12/21/2015	37072 6471	7 884% Due 04-20-34 GNMA REMIC TRUST SER 2015-159 CL YS 8 03663% 9/20/2045	36,933 80	37,072 65	138 85	
			8 037% Due 09-20-45				
TOTAL GAINS						3,433 48	2,341 52
TOTAL LOSSES						(51,250 54)	(18,017 69)
						<u>2,160,688 46</u>	<u>2,097,195 23</u>
						(47,817 06)	(15,676 17)

COST	PROCEEDS	GAIN/(LOSS)
1,580,914 00	1,533,097 00	(47,817)
579,775 00	564,098 00	(15,677)

SHORT-TERM SALES  
 LONG-TERM SALES