Department of the Treasury

Internal Revenue Service

DLN: 93491222006446

OMB No 1545-0052

2015

Open to Public Inspection

Return of Private Foundation or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
 ▶ Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

For calendar year 2015, or tax year beginning 01-01-2015 , and ending 12-31-2015

	of foun		A Employer ide	A Employer identification number				
	SENE V A ARITABLI	ND CLARE E THAW E TRUST		13-3081491				
Numl	per and s	street (or P O box number if mail is not delivered to street address)	Room/suite	BTelephone num	ber (see instructions	s)		
	BOX 242		,	(505) 982-7023				
Cıty	or town	state or province, country, and ZIP or foreign postal code		C If evenntion a	innlication is nending	a, check here ►		
		1 875042422		C ii exemption a	C If exemption application is pending, check here			
G Ch	eck all	that apply Initial return Initial return of a fo	rmer public charity	D 1. Foreign org	anızatıons, check he	ere 🕨 🗀		
		Final return A mended return Address change Name change		1	anizations meeting	•		
I.C.h		pe of organization	£	test, check	here and attach con Idation status was t	mputation		
		947(a)(1) nonexempt charitable trust \[\int Other taxable		'	507(b)(1)(A), chec	. —		
[Fair	marke	t value of all assets at end JAccounting method	Cash 🔽 Accrual	F If the foundat	ion is in a 60-month			
		om Part II, col. (c),	be on cash basis.)	under section	507(b)(1)(B), chec	k here 🕨 📗		
		- 10,002,000	,					
Pai	tΙ	Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions)	Revenue and expenses per (a) books	Net investment (b) income	Adjusted net (c) income	Disbursements for charitable purposes (d) (cash basis only)		
	1	Contributions, gifts, grants, etc , received (attach				(u) (dasii basis siii))		
	_	schedule)	7,500,000					
	2	Check F if the foundation is not required to attach	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,					
		Sch B						
	3	Interest on savings and temporary cash investments	287	287				
	4	Dividends and interest from securities	86,438	86,438				
	5a	Gross rents						
	b	Net rental income or (loss)						
ψ.	6a	Net gain or (loss) from sale of assets not on line 10	3,714,329					
Revenue	b	Gross sales price for all assets on line 6a 11,279,062						
	7	Capital gain net income (from Part IV, line 2)		3,714,329				
	8	Net short-term capital gain						
	9	Income modifications						
	10a	Gross sales less returns and allowances						
	b	Less Cost of goods sold						
	C	Gross profit or (loss) (attach schedule)						
	11	Other income (attach schedule)						
	12	Total.Add lines 1 through 11	11,301,054	3,801,054				
	13	Compensation of officers, directors, trustees, etc	173,812	139,049		34,763		
	14	Other employee salaries and wages						
φ	15	Pension plans, employee benefits	14,881	11,905		2,976		
e Š	16a	Legal fees (attach schedule)	6,859	5,829		1,029		
Expenses	b		25,213	21,430		3,783		
<u>e</u>	C	•	31,719	31,719		0		
Admini strative	17	Interest	9	9		0		
ž	18	Taxes (attach schedule) (see instructions)	81,679	8,544		2,135		
	19	Depreciation (attach schedule) and depletion	17,434	0				
등	20	Occupancy	7,462	6,342		1,119		
and #	21	Travel, conferences, and meetings	13,164	11,189		1,974		
<u>ਲ</u>	22	Printing and publications	65 67.007	FF 024		11.200		
Operating	23	· · · · · ·	67,097	55,831		11,266		
ਨ ਨ	24	Total operating and administrative expenses.	420, 220	204 047		E0 045		
<u>ă</u>	25	Add lines 13 through 23	439,329 1,633,300	291,847		59,045 1,633,300		
-	25 26	Contributions, gifts, grants paid	1,033,300			1,033,300		
	26	Total expenses and disbursements. Add lines 24 and 25	2,072,629	291,847		1,692,345		
	27	Subtract line 26 from line 12						
	а	Excess of revenue over expenses and disbursements	9,228,425					
	b	Net investment income (if negative, enter -0-)		3,509,207				
	С	Adjusted net income(If negative, enter -0-)						

Pa	rt II	Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of	·
		Cash—non-interest-bearing	(a) Book Value 945	(b) Book Value 110,484	(c) Fair Market Value 110,484
	1	Savings and temporary cash investments			5,125,782
	2		883,404	3,123,762	3,123,782
	3	Accounts receivable			
	_	Less allowance for doubtful accounts			
	4	Pledges receivable			
	_	Less allowance for doubtful accounts -			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other			
		disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule)			
		Less allowance for doubtful accounts -			
2	8	Inventories for sale or use			
Assets	9	Prepaid expenses and deferred charges	1,036		
As	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)		-	4,695,271
	С	Investments—corporate bonds (attach schedule)	3,043,346	993,634	993,634
	11	Investments—land, buildings, and equipment basis 🕨			
		Less accumulated depreciation (attach schedule) 🟲			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis 🕨829,472			
		Less accumulated depreciation (attach schedule) 🟲 388,643	458,263	440,829	440,829
	15	O ther assets (describe 🟲)	1,966,300	4,966,300	4,966,300
	16	Total assets(to be completed by all filers—see the			
		ınstructions Also, see page 1, item I)	11,931,551	16,332,300	16,332,300
	17	Accounts payable and accrued expenses	19,429	57,935	
	18	Grants payable	2,393,800	1,709,600	
les	19	Deferred revenue			
abilities	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
_	22	O ther liabilities (describe 🟲)	264,373	264,373	
	23	Total liabilities(add lines 17 through 22)	2,677,602	2,031,908	
_		Foundations that follow SFAS 117, check here 🕨 🔽			
æs		and complete lines 24 through 26 and lines 30 and 31.			
Balance	24	Unrestricted	9,253,949	14,300,392	
89	25	Temporarily restricted			
Ā	26	Permanently restricted			
Fund		Foundations that do not follow SFAS 117, check here 🕨 🦵			
orl		and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
Assets	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
Net	30	Total net assets or fund balances(see instructions)	9,253,949	14,300,392	
_	31	Total liabilities and net assets/fund balances(see instructions)	11,931,551	16,332,300	
Pa	rt III	Analysis of Changes in Net Assets or Fund Balances		<u> </u>	
1		Total net assets or fund balances at beginning of year—Part II, column	ı (a), lıne 30 (must a	gree	
_		with end-of-year figure reported on prior year's return)	, ,,	·	9,253,949
2		Enter amount from Part I, line 27a			9,228,425
3		Other increases not included in line 2 (itemize)		3	0
4		Add lines 1, 2, and 3		4	18,482,374
5		Decreases not included in line 2 (itemize) ►		% 5	4,181,982
6		Total net assets or fund balances at end of year (line 4 minus line 5)—			14,300,392

the Part VI instructions

Part I	V Capital Gains a	and Losses for Tax on Inve	stment Income	1			
		the kınd(s) of property sold (e g , re house, or common stock, 200 shs		How acquired P—Purchase (b) D—Donation	(a) (Pate acquired mo , day, yr)	Date sold (d) (mo , day, yr)
1a	See Addıtıonal Data T	able					
b							
С							
d							
е е							
(e) Gross sales price	Depreciation allowe (f) (or allowable)		or other basis expense of sale			n or (loss) (f) mınus (g)
а	See Addıtıonal Data T	able					
ь							
С							
d							
е							
Co	mplete only for assets s	howing gain in column (h) and owne	ed by the foundation	on 12/31/69		Gains (Col	(h) gaın mınus
	F M V as of 12/31/69	A djusted basis (j) as of 12/31/69	Exc	cess of col (ı) r col (ı), ıf any	(1)	col (k), but no	ot less than -0-) or (from col (h))
a	See Additional Data T	able					
b							
d							
е							
2		ne or (net capital loss) {	If gain, also enter i If (loss), enter -0- ns 1222(5) and (6)	in Part I, line 7	2		3,714,329
	If gain, also enter in P in Part I, line 8 .	art I, line 8, column (c) (see instru	ctions) If (loss), er	nter -0 -	3		
Part '	V Qualification U	Inder Section 4940(e) for R	teduced Tax on	Net Investme	ent Ir	ncome	
	ional use by domestic p on 4940(d)(2) applies, lo	rivate foundations subject to the so	ection 4940(a) tax	on net investment	ıncom	ne)	
		e section 4942 tax on the distribut ot qualify under section 4940(e) D			erıod?		┌ Yes ┌ No
1 Ent	er the appropriate amou	ınt ın each column for each year, s	ee instructions befo	ore making any en	ries		
	(a) period years Calendar or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of nonchar	ritable-use assets		(d) Distributio (col (b) divided	n ratio
	2014	2,032,172		10,517,068		. ,	0 193226
	2013	2,475,853		12,570,325			0 196960
	2012	13,264,329		21,310,787			0 622423
	2011	4,023,303		27,597,260			0 145786
	2010	4,276,242		28,222,093			0 151521
2 3	·	(d)		_	2		1 309916
	the number of years tl	he foundation has been in existenc	e ıf less than 5 year	rs	3		0 261983
4		noncharitable-use assets for 201!		⊢	4		8,441,345
5		3		 	5		2,211,489
6		tment income (1% of Part I, line 2	•	<u> </u>	6		35,092
7					7		2,246,581
8	Enter qualıfyıng dıstrı	butions from Part XII, line 4	8		1,692,345		

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See

	990-PF (2015) t VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4	1040(a)	or 4948—see n	200	18 of	the incl	ructic		age 4
	Exempt operating foundations described in section 4940(d)(2), check here		, or 4540 See pe	uge ۱	10 01	the ms	ractic	113)	
1a	and enter "N/A" on line 1	-							
	Date of ruling or determination letter			\mathbf{A}					
	(attach copy of letter if necessary-see instructions)	/ abaal:			4				0.101
b	Domestic foundations that meet the section 4940(e) requirements in Part V	, cneck		1	1			70	0,184
	here ► Tand enter 1% of Part I, line 27b								
С	All other domestic foundations enter 2% of line 27b Exempt foreign organiz Part I, line 12, col (b)	zations 6	enter 4% of						
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable fou	ındatıon	s only Others						
	enter -0-)			-	2				0
3	Add lines 1 and 2			-	3			70	0,184
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable for enter -0-)	undatior	is only Others		4				0
5	Tax based on investment income. Subtract line 4 from line 3 If zero or less,	enter-C)		5			7(0,184
6	Credits/Payments								
а	2015 estimated tax payments and 2014 overpayment credited to 2015	6a	10,12	20					
b	Exempt foreign organizations—tax withheld at source	6b							
C	Tax paid with application for extension of time to file (Form 8868)	6c	27,00	00					
d	Backup withholding erroneously withheld	6d							
7	Total credits and payments Add lines 6a through 6d		_	L	7			3 7	7,120
8	Enter any penalty for underpayment of estimated tax. Check here $\overline{\wp}$ if Form			L	8				33
9	Tax due.If the total of lines 5 and 8 is more than line 7, enter amount owed .			`	9			3	3,097
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amou	ınt over	_	<u> </u>	10				
11	Enter the amount of line 10 to be Credited to 2015 estimated tax		Refunded		11				
	t VII-A Statements Regarding Activities		!!					V	N.
1a	During the tax year, did the foundation attempt to influence any national, stat it participate or intervene in any political campaign?	e, or loc	cal legislation of	ala			1a	Yes	No No
b	Did it spend more than \$100 during the year (either directly or indirectly) for	nolitica	I nurnoses (see	Inc	tructi	one	10	\vdash	140
	for definition)?						1b		No
	If the answer is "Yes" to 1a or 1b , attach a detailed description of the activitie.	s and co	opies of any mate	erials	5				
	published or distributed by the foundation in connection with the activities.								
С	Did the foundation file Form 1120-POL for this year?						1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) impo	osed du	rıng the year						
	(1) On the foundation \blacktriangleright \$ 0 (2) On foundation managers	- \$ _		0					
е	Enter the reimbursement (if any) paid by the foundation during the year for po	litical e	xpenditure tax i	mpo	sed				
	on foundation managers 🕨 \$0								
2	Has the foundation engaged in any activities that have not previously been re	eported	to the IRS? .	•			2		No
	If "Yes," attach a detailed description of the activities.								
3	Has the foundation made any changes, not previously reported to the IRS, in	_	=			5	_	V	
4a	of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a con</i> Did the foundation have unrelated business gross income of \$1,000 or more						3 4a	Yes	No
b	If "Yes," has it filed a tax return on Form 990-T for this year?						4b	\vdash	140
5	Was there a liquidation, termination, dissolution, or substantial contraction di						5		No
_	If "Yes," attach the statement required by General Instruction T.	g	-,	-					
6	Are the requirements of section 508(e) (relating to sections 4941 through 49	945)sa	tisfied either						
	By language in the governing instrument, or								
	ullet By state legislation that effectively amends the governing instrument so t	hat no r	nandatory dırect	tion	s				
	that conflict with the state law remain in the governing instrument? . $$.						6	Yes	
7	Did the foundation have at least $$5,000$ in assets at any time during the year	r? <i>If "Ye</i> s	s," complete Part	II,	col. (c),			
	and Part XV.						7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered	(see ins	structions)						
ı.	► NM If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 9	000 05	to the Attainer	•					
D	General (or designate) of each state as required by General Instruction G? If						8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the)(3)		00	- c3	
,	or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (_						
	If "Yes," complete Part XIV						9		No
10	Did any persons become substantial contributors during the tax year? If "Yes	s," attach	n a schedule listi	ng t	heir n	ames			
	and addresses.						10		No

Pai	Statements Regarding Activities (continued)			
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the			
	meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had			
	advisory privileges? If "Yes," attach statement (see instructions)	12	↓	No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
	Website address ► <u>N/A</u>			
14	The books are in care of ►RICCI COMPANY LLC Telephone no ►(505)) 338	-0800	
	Located at ▶6200 UPTOWN BLVD NE SUITE 400 ALBUQUERQUE NM ZIP+4 ▶87110			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here		▶ □	-
13	and enter the amount of tax-exempt interest received or accrued during the year	•	- ,	
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over	مدا	Yes	No
	a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country			
Pai	t VII-B Statements Regarding Activities for Which Form 4720 May Be Required			
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1 a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes V No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from)			
	a disqualified person?			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes V No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? Yes No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available			
	for the benefit or use of a disqualified person)?			
	(6) Agree to pay money or property to a government official? (Exception. Check "No"			
	if the foundation agreed to make a grant to or to employ the official for a period			
L	after termination of government service, if terminating within 90 days) Yes V No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations	1b		No
	section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	10		NO
_	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts,			
С	that were not corrected before the first day of the tax year beginning in 2015?	1c		No
2		10		NO
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section $4942(j)(3)$ or $4942(j)(5)$)			
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d			
•	and 6e, Part XIII) for tax year(s) beginning before 2015? Yes Vo			
	If "Yes," list the years > 20			
ь	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2)			
_	(relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2)			
	to allyears listed, answer "No" and attach statement—see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here			
	▶ 20, 20, 20			
За	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at			
	any time during the year?			
b	If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation			
	or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved			
	by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3)			
	the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine			
	ıf the foundation had excess business holdings in 2015.).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its			
	charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b		No

Pa	rt VII-B	Statements Reg	ardii	ng Activities for	Wh	ich Form 4720	Мау	Be Required <i>(Cont</i>	inue	d)
5a	During th	e year did the foundation	on pay	or incur any amoun	t to					
	(1) Carry	on propaganda, or oth	erwise	attempt to influenc	e leg	ıslatıon (section 49	45(e)) [?]	No	
	(2) Influe	nce the outcome of an	y spec	ific public election (see	section 4955), or to	carr	-у		
	on, dı	rectly or indirectly, any	vote	registration drive?.				⊤ Yes 🔽	No	
	(3) Provid	de a grant to an individ	ual for	travel, study, or oth	ners	mılar purposes?		☐ Yes 🔽	. No	
	(4) Provid	de a grant to an organi	zation	other than a charita	ble,	etc , organization de	scrib	ed		
	ın sec	tion 4945(d)(4)(A)? (see in	structions)				⊤ Yes ▽	No	
	(5) Provid	de for any purpose othe	r thar	ı religious, charitabl	e, sc	ientific, literary, or				
	educa	tional purposes, or for	the pr	evention of cruelty t	to ch	ıldren or anımals?.			No	
b	If any ans	werıs "Yes" to 5a(1)-	·(5), d	ıd any of the transac	tions	fail to qualify under	the	exceptions described in		
	Regulatio	ns section 53 4945 or	ınac	urrent notice regard	ing c	lisaster assistance	(see	ınstructions)?		5b
	O rganızat	nons relying on a curre	nt not	ice regarding disast	eras	sistance check here	≥		_	
c	If the ans	wer is "Yes" to question	n 5a(4), does the foundat	ıon c	laım exemption from	the			
	tax becau	se it maintained exper	nditure	responsibility for th	ne gr	ant?		Yes F	- No	
	If "Yes," a	ttach the statement req	uired l	y Regulations section	n 53.	4945-5(d).				
6a	Did the fo	undation, during the ye	ar, re	ceive any funds, dire	ectly	or indirectly, to pay	pren	niums on		
	a persona	l benefit contract?							No	
b	Did the fo	undation, during the ye	ar, pa	y premiums, directly	yorı	ndırectly, on a perso	nal b	penefit contract?		6b No
	If "Yes" to	6b, file Form 8870.								
7a	At any tır	ne during the tax year,	was t	ne foundation a party	y to a	prohibited tax shel	ter tr	ansaction? TYes V	No No	
ь	If yes, did	the foundation receiv	e any	proceeds or have an	y ne	t income attributable	e to t	he transaction?		7b
Do	rt VIII	Information Abou	ıt Of	ficers, Directors	s, Tı	ustees, Founda	tior	n Managers, Highly	Paid	Employees,
		and Contractors								
	List all off	icers, directors, truste	es, foi	indation managers a	ind t	heir compensation (see II			
				Title, and average	(c)	Compensation(If		(d) Contributions to	l E	Expense account,
	(a) Nai	me and address	(h)	hours per week devoted to position		not paid, enter -0-)		mployee benefit plans		other allowances
	NE LECTOR T		_			•	and	deferred compensation		
	NE VICTOR T	HAW	TRU	STEE		0		0		0
	BOX 2422 TA FE,NM	87501	1, ,	,						
	E E THAW	0,501	TRU	STEE		0		0		0
	30 X 2422		1 00			ŭ		Ü		ŭ
SAN	TA FE,NM	87501								
KATH	LEEN FLANAG	AN		STEE &		173,812		14,881		0
PO I	30 X 2422			CUTIVE ECTOR						
SAN	TA FE, NM	87501	50 (
2	Compensat	tion of five highest-pa			thos	e included on line 1–	-see	instructions). If none, er	ıter "l	NONE."
		(a)		Title, and aver	ane			Contributions to		
Naı	me and add	ress of each employee	paıd	hours per we		(c) Compensatio	n	employee benefit		Expense account,
	mo	re than \$50,000		(b) devoted to pos	ition			plans and deferred (d) compensation	(6)	other allowances
NON	JE							,		
				1						
				1						
				1						
Tota	ı l number of	f other employees paıd	over:	\$50,000				🕨		0

	(see instructions). If none, enter "NO!	VE".
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE	\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	
otal number of others receiving over \$50,000 for professional services		▶ 0
Part IX-A Summary of Direct Charitable Activities		
st the foundation's four largest direct charitable activities during the tax year Include re rganizations and other beneficiaries served, conferences convened, research papers pro		er of Expenses
1	duced, etc	
2		
3		
4		
Part IX-B Summary of Program-Related Investments	•	
Part IX-B Summary of Program-Related Investments Describe the two largest program-related investments made by the foundation during	•	Amount
Part IX-B Summary of Program-Related Investments	•	A mount
Part IX-B Summary of Program-Related Investments Describe the two largest program-related investments made by the foundation during	•	Amount
Part IX-B Summary of Program-Related Investments Describe the two largest program-related investments made by the foundation during	•	A mount
Part IX-B Summary of Program-Related Investments Describe the two largest program-related investments made by the foundation during 1	•	A mount
Part IX-B Summary of Program-Related Investments Describe the two largest program-related investments made by the foundation during 1 2	•	Amount
Part IX-B Summary of Program-Related Investments Describe the two largest program-related investments made by the foundation during 1 2 All other program-related investments See instructions	•	Amount
Part IX-B Summary of Program-Related Investments Describe the two largest program-related investments made by the foundation during 1 2	•	Amount

Part X Minimum Investment Return

Pa	(All domestic foundations must complete this part. Foreign foundations, see instruction	s.)	
1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc ,		
	purposes		
а	Average monthly fair market value of securities	1a	7,658,101
b	Average of monthly cash balances	1b	911,792
С	Fair market value of all other assets (see instructions)	1c	0
d	Total (add lines 1a, b, and c)	1d	8,569,893
е	Reduction claimed for blockage or other factors reported on lines 1a and		
	1c (attach detailed explanation)		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	8,569,893
4	Cash deemed held for charitable activities $$ Enter 1 $$ 1/2 $$ 0 of line 3 (for greater amount, see		
	ınstructions)	4	128,548
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	8,441,345
6	Minimum investment return. Enter 5% of line 5	6	422,067
Pai	t XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and cer check here ► □ and do not complete this part.)	taın f	oreign organizations
1	Mınımum ınvestment return from Part X, line 6	1	422,067
2a	Tax on investment income for 2015 from Part VI, line 5 2a 70,184		
b	Income tax for 2015 (This does not include the tax from Part VI) 2b		
С	Add lines 2a and 2b	2c	70,184
3	Distributable amount before adjustments Subtract line 2c from line 1	3	351,883
4	Recoveries of amounts treated as qualifying distributions	4	0
5	Add lines 3 and 4	5	351,883
6	Deduction from distributable amount (see instructions)	6	0
7	Distributable amountas adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	351,883
	Qualifying Distributions (see instructions)		
1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	1,692,345
	Program-related investments—total from Part IX-B	1b	0
2	A mounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc ,		
	purposes	2	
3	A mounts set aside for specific charitable projects that satisfy the		
а	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	1,692,345
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment		
	income Enter 1% of Part I, line 27b (see instructions)	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,692,345
	Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating wheth the section 4940(e) reduction of tax in those years	er the	toundation qualifies for

P	art XIIII Undistributed	l Income (see ınstr	uctions)			
			(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1	Distributable amount for 2015	from Part XI, line 7				351,883
2	Undistributed income, if any, a	as of the end of 2015				
а	Enter amount for 2014 only.				0	
b	Total for prior years 20	_, 20, 20		0		
3	Excess distributions carryove					
а	From 2010	2,877,293				
b	From 2011	2,657,436				
C	From 2012	12,204,994				
d	From 2013	1,858,555				
е	From 2014	1,516,422				
f	Total of lines 3a through e		21,114,700			
4	Qualifying distributions for 201					
	XII, line 4 🕨 \$					
	Applied to 2014, but not more				0	
b	Applied to undistributed incom			0		
	(Election required—see instruc		0			
С	Treated as distributions out of required—see instructions).		U			
d	Applied to 2015 distributable					351,883
	Remaining amount distributed		1,340,462			
	Excess distributions carryove	·	0			0
•	(If an amount appears in column	• •				
	same amount must be show	\ //				
6	Enter the net total of each co	` ′ ′				
	indicated below:					
а	Corpus Add lines 3f, 4c, and 4	le Subtract line 5	22,455,162			
b	Prior years' undistributed incor			0		
	line 4b from line 2b			0		
С	Enter the amount of prior years					
	income for which a notice of de been issued, or on which the se	•				
	tax has been previously asses	, ,		0		
d	Subtract line 6c from line 6b 7	Taxable amount				
	—see instructions			0		
е	Undistributed income for 2014					
	4a from line 2a Taxable amou	nt—see			0	
f	Undistributed income for 2016					
•	lines 4d and 5 from line 1. This					
	be distributed in 2015					0
7	Amounts treated as distribution	ons out of				
	corpus to satisfy requirements					
	section 170(b)(1)(F) or 4942(be required - see instructions)		0			
Q	Excess distributions carryove					
0	applied on line 5 or line 7 (see		2,877,293			
9	Excess distributions carryover	· · · · · · · · · · · · · · · · · · ·				
	Subtract lines 7 and 8 from line		19,577,869			
10	Analysis of line 9					
	Excess from 2011	2,657,436				
b	Excess from 2012	12,204,994				
	Excess from 2013	1,858,555				
	Excess from 2014	1,516,422				
е	Excess from 2015	1,340,462				

- c Any submission deadlines
- **d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information(continued) 3 Grants and Contributions Paid During the Year or Approved for Future Payment If recipient is an individual, Recipient Foundation Purpose of grant or show any relationship to status of A mount any foundation manager contribution recipient Name and address (home or business) or substantial contributor a Paid during the year See Additional Data Table 1,633,300 **b** Approved for future payment

art XVI-A Analysis of Income-Produc			Excluded by section	512 512 or 514	(e)
nter gross amounts unless otherwise indicated	(a)	(b)	(c)	(d)	Related or exempt function income (See
a	Business code	A mount	Exclusion code	A mount	instructions)
b					
c d					
e f					
g Fees and contracts from government agencies					
Membership dues and assessments Interest on savings and temporary cash investments			14	287	
Dividends and interest from securities			14	86,438	
Net rental income or (loss) from real estate a Debt-financed property					
b Not debt-financed property Net rental income or (loss) from personal					
property					
O ther investment income					
inventory			18	3,714,329	
Net income or (loss) from special events Gross profit or (loss) from sales of inventory					
•					
Other revenue a b					
_	I				
c					
deSubtotal Add columns (b), (d), and (e)		C		3,801,054	
deSubtotal Add columns (b), (d), and (e) Total.Add line 12, columns (b), (d), and (e) (See worksheet in line 13 instructions to verify a	calculations)		1	13	
de	calculations) o the Accomp	 Dishment of E	Exempt Purpos	es	3,801,054
deSubtotal Add columns (b), (d), and (e) Total.Add line 12, columns (b), (d), and (e)	calculations) o the Accomp ich income is rep	olishment of E	Exempt Purpos (e) of Part XVI-A c	es ontributed importa	3,801,054 ntly to
d	calculations) o the Accomp ich income is rep	olishment of E	Exempt Purpos (e) of Part XVI-A c	es ontributed importa	3,801,054 ntly to
Subtotal Add columns (b), (d), and (e) Total.Add line 12, columns (b), (d), and (e) (See worksheet in line 13 instructions to verify or t XVI-B Relationship of Activities to be accomplishment of the foundation's	calculations) o the Accomp ich income is rep	olishment of E	Exempt Purpos (e) of Part XVI-A c	es ontributed importa	3,801,054 ntly to
Subtotal Add columns (b), (d), and (e) Total.Add line 12, columns (b), (d), and (e) (See worksheet in line 13 instructions to verify or t XVI-B Relationship of Activities to be accomplishment of the foundation's	calculations) o the Accomp ich income is rep	olishment of E	Exempt Purpos (e) of Part XVI-A c	es ontributed importa	3,801,054 ntly to
Subtotal Add columns (b), (d), and (e) Total.Add line 12, columns (b), (d), and (e) (See worksheet in line 13 instructions to verify or t XVI-B Relationship of Activities to be accomplishment of the foundation's	calculations) o the Accomp ich income is rep	olishment of E	Exempt Purpos (e) of Part XVI-A c	es ontributed importa	3,801,054 ntly to
Subtotal Add columns (b), (d), and (e) Total.Add line 12, columns (b), (d), and (e) (See worksheet in line 13 instructions to verify or t XVI-B Relationship of Activities to be accomplishment of the foundation's	calculations) o the Accomp ich income is rep	olishment of E	Exempt Purpos (e) of Part XVI-A c	es ontributed importa	3,801,054 ntly to
d	calculations) o the Accomp ich income is rep	olishment of E	Exempt Purpos (e) of Part XVI-A c	es ontributed importa	3,801,054 ntly to
d	calculations) o the Accomp ich income is rep	olishment of E	Exempt Purpos (e) of Part XVI-A c	es ontributed importa	3,801,054 ntly to
d	calculations) o the Accomp ich income is rep	olishment of E	Exempt Purpos (e) of Part XVI-A c	es ontributed importa	3,801,054 ntly to
d	calculations) o the Accomp ich income is rep	olishment of E	Exempt Purpos (e) of Part XVI-A c	es ontributed importa	3,801,054
Subtotal Add columns (b), (d), and (e) Total.Add line 12, columns (b), (d), and (e) (See worksheet in line 13 instructions to verify or t XVI-B Relationship of Activities to the accomplishment of the foundation's	calculations) o the Accomp ich income is rep	olishment of E	Exempt Purpos (e) of Part XVI-A c	es ontributed importa	3,801,054 ntly to
d	calculations) o the Accomp ich income is rep	olishment of E	Exempt Purpos (e) of Part XVI-A c	es ontributed importa	3,801,054 ntly to
d	calculations) o the Accomp ich income is rep	olishment of E	Exempt Purpos (e) of Part XVI-A c	es ontributed importa	3,801,054 ntly to
d	calculations) o the Accomp ich income is rep	olishment of E	Exempt Purpos (e) of Part XVI-A c	es ontributed importa	3,801,054 ntly to
d	calculations) o the Accomp ich income is rep	olishment of E	Exempt Purpos (e) of Part XVI-A c	es ontributed importa	3,801,054 ntly to
d	calculations) o the Accomp ich income is rep	olishment of E	Exempt Purpos (e) of Part XVI-A c	es ontributed importa	3,801,054 ntly to

Part XVI	Information Re Noncharitable					action	is and I	Relationship	s With		
	organization directly or i	ndırectly er	ngage in any	ofth	he following wit					Yes	No No
organızat	tions?										
a Transfers	s from the reporting four	ndation to a	noncharital	ble e	xempt organiza	tion of					
(1) Cas	h									1a(1)	No
(2) Othe	erassets									1a(2)	No
b Other tra	ansactions										
(1) Sale	es of assets to a noncha	rıtable exer	npt organıza	atıon						1b(1)	No
(2) Purc	chases of assets from a	noncharital	ole exempt o	orgar	nization					1b(2)	No
(3) Rent	tal of facilities, equipme	nt, or other	assets							1b(3)	No
(4) Rein	nbursement arrangemer	nts								1b(4)	No
(5) Loar	ns or loan guarantees.									1b(5)	No
(6)Perfor	rmance of services or m	embership	or fundraısır	ngsc	olicitations					1b(6)	No
c Sharing o	of facilities, equipment,	mailing list:	s, other ass	ets,	or paid employe	ees				1c	No
of the god in any tra	swer to any of the above ods, other assets, or se ansaction or sharing arr	rvices give angement, s	n by the rep show in colu	ortin imn (g foundation I' (d) the value of	the fou the god	indation r ods, other	eceived less th assets, or serv	an fair mark vices receive	et value ed	
(a) Line No	(b) Amount involved	(c) Name o	f noncharitable	e exer	npt organization	(d)	Description	of transfers, transa	actions, and sha	aring arrangem	ents
+											
describe	undation directly or indi d in section 501(c) of th complete the following (a) Name of organizatio	ne Code (ot schedule		ction		ın secti			cription of relati	·	F No
the	der penalties of perjury, best of my knowledge a ormation of which prepai *****	ınd belief, it	is true, cor						han taxpaye May t returr with t	r) is based of the IRS discuss the preparer sh	n all
	Signature of officer or t	rustee			Date		Title	_	below (see	nstr)? 🔽 Yes	No
	Print/Type preparer's TIMOTHY R REYNOLDS	name	Preparer's S	Signa	iture	Date		Check if self- employed	PTIN P	00535985	
Paid Preparer Use		.LC				•		Firm's EIN ▶	20-594953	32	
Only	Firm's address F 6200 UPTOWN BLVD NM 87110	NE STE 40	OO ALBUQU	JERÇ	QUE,			Phone no (50	05)338-080	00	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
32486 371 SHRS DOUBLELINE CORE FIXED INC FD		2014-10-01	2015-05-11
4332 502 SHRS FAM VALUE FD		2013-09-13	2015-05-11
6509 038 SHRS IVY ASSET STRAT FD		2013-02-11	2015-05-11
31629 43 SHRS LEGG MASON BW GL OP FD		2011-12-29	2015-06-12
12598 556 SHRS MAINGATE MLP FD		2013-02-12	2015-05-11
9606 347 SHRS TOUCHSTONE GROWTH OPP FD		2013-12-30	2015-05-11
42444 324 SHRS LEGG MASON BW GLOBAL OPP BD FD		2015-05-11	2015-11-05
24466 763 SHRS TOUCHSTONE GROWTH OPP FD		2014-11-17	2015-11-17
4394 317 SHRS FAM VALUE FD		2013-09-13	2015-11-25
70622 864 SHRS LEGG MASON BW GLOBAL OP FD		2011-12-29	2015-11-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
357,600		356,725	875
295,260		260,860	34,400
172,740		177,721	-4,981
329,820		342,239	-12,419
166,100		141,627	24,473
328,270		313,195	15,075
422,321		453,396	-31,075
787,827		856,742	-68,915
300,000		264,582	35,418
702,697		766,993	-64,296

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - I

	Gains (Col (h) gain minu	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69		
	col (k), but not less than -0 (I) Losses (from col (h))	Excess of col (ı) (k) over col (j), ıf any	Adjusted basis (j) as of 12/31/69	(i) F M V as of 12/31/69
875				
4,400	34			
4,981	-4			
2,419	-12			
4,473	24			
5,075	15			-
1,075	-31			
8,915	-68			
5,418	35			
4,296	-64			

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
15611 751 SHRS TOUCHSTONE GROWTH OPP FD		2013-12-30	2015-11-17
196 04 SHRS DOUBLELINE CORE FX FD		2015-10-30	2015-11-02
21464 393 SHRS BLACKROCK STRATEGIC INC OP FD		2014-11-17	2015-11-02
6891 761 SHRS DOUBLELINE CORE FX FD		2014-11-17	2015-11-02
7370 353 SHRS IVY ASSET STRATEGY FD		2014-12-11	2015-11-10
67986 894 SHRS DOUBLELINE CORE FX FD		2014-10-01	2015-11-02
31479 914 SHRS IVY ASSET STRATEGY FD		2014-12-11	2015-11-10
31789 282 SHRS MAINGATE MLP FD INST		2013-02-12	2015-10-12
SALE OF DONATED PAINTING	D	2015-01-12	2015-12-10
CAPITAL GAINS DIVIDENDS	Р		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
502,697		508,989	-6,292
2,125		2,127	-2
213,996		220,246	-6,250
74,706		75,943	-1,237
180,719		191,555	-10,836
736,974		746,555	-9,581
765,659		927,876	-162,217
349,996		357,362	-7,366
4,500,000		600,000	3,900,000
89,555			89,555

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - I

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus	
(i) F M V as of 12/31/69	A djusted basis (j) as of 12/31/69	Excess of col (1) (k) over col (1), If any	col (k), but not less than -0-) or (I) Losses (from col (h))	
			-6,292	
			-2	
			-6,250	
			-1,237	
			-10,836	
			-9,581	
			-162,217	
			-7,366	
			3,900,000	
			89,555	

Form 990PF Part XV Line 1a - List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000).

EUGENE VICTOR THAW

CLARE E THAW

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	A mount
a Paid during the year				
FAIRBANKS MUSEUM & PLANETARIUM 1302 MAIN ST ST JOHNSBURY,VT 05819	N/A	PUBLIC CHARITY	TOWARDS THE ENDOWMENT OF THE WILLIAM EDDY LECTURE SERIES	50,000
FENIMORE ART MUSEUMNYSHA PO BOX 800 COOPERSTOWN,NY 13326	N/A	PUBLIC CHARITY	FOR REPRINTING OF A MUSEUM COLLECTION CATALOGUE, GENERAL SUPPORT, & THE OTSEGO INSTITUTE CONFERENCE	180,000
FOUNDATION TO PROTECT NM WILDLIFE 128 GRANT AVE STE 301 SANTA FE,NM 87501	N/A	PUBLIC CHARITY	FOR FEEDING HORSES IN NEW MEXICO	50,000
FRICK COLLECTION 1 E 70TH ST NEW YORK, NY 10021	N/A	PUBLIC CHARITY	TOWARDS THE EXHIBITION ANDREA DEL SANTO THE RENAISSANCE WORKSHOP IN ACTION"	25,000
GLIMMERGLASS FESTIVAL PO BOX 191 COOPERSTOWN,NY 13326	N/A	PUBLIC CHARITY	TOWARDS THE OPERA PRODUCTION OF "MACBETH"	200,000
HISTORIC SANTA FE FOUNDATION 545 CANYON RD 2 SANTA FE,NM 87501	N/A	PUBLIC CHARITY	GENERAL SUPPORT	2,500
HUMANE SOCIETY OF THE UNITED STATES 2100 L STREET NW WASHINGTON, DC 20037	N/A	PUBLIC CHARITY	FOR MANAGING WILD HORSE POPULATIONS IN THE WEST	50,000
MENIL COLLECTION 1515 BRANARD HOUSTON,TX 77006	N/A	PUBLIC CHARITY	GENERAL SUPPORT	10,000
MORGAN LIBRARY & MUSEUM 225 MADISEN AVE NEW YORK, NY 10016	N/A	PUBLIC CHARITY	ENDOWMENT OF THE THAW CURATOR OF DRAWINGS AND PRINTS, AND DIRECTOR'S ROUNDTABLE	90,800
NM SCHOOL FOR THE ARTS - ART INSTITUTE 275 E ALAMEDA ST SANTA FE,NM 87501	N/A	PUBLIC CHARITY	GENERAL SUPPORT	200,000
SANTA FE INSTITUTE 1399 HYDE PARK RD SANTA FE,NM 87501	N/A	PUBLIC CHARITY	TOWARDS "GRAND UNIFIED THEORY OF SUSTAINABILITY" RESEARCH PROJECT	250,000
WHEELWRIGHT MUSEUM OF THE AMERICAN INDIAN 704 CAMINO LEJO SANTA FE,NM 87505	N/A	PUBLIC CHARITY	TOWARDS THE EXHIBITION "CONNOISSEURSHIP AND GOOD PIE TED COE AND COLLECTING NATIVE ART"	25,000
WORLD MONUMENTS FUND 350 FIFTH AVE SUITE 2412 NEW YORK,NY 10118	N/A	PUBLIC CHARITY	FOR THE WORLD MONUMENTS INSTITUTE PROGRAM IN PARTNERSHIP WITH HARVARD AND COLUMBIA UNIVERSITY	500,000
Total				1,633,300

TY 2015 Accounting Fees Schedule

Name: EUGENE V AND CLARE E THAW

CHARITABLE TRUST

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	25,213	21,430		3,783

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TY 2015 Investments Corporate Bonds Schedule

Name: EUGENE V AND CLARE E THAW

CHARITABLE TRUST

Name of Bond	End of Year Book Value	End of Year Fair Market Value
BLACKROCK STRAT INC OPP FD	993,634	993,634
DOUBLELINE CORE FIXED INC FD	0	0
LEGG MASON BW GLOBAL OPP FD	0	0

TY 2015 Investments Corporate Stock Schedule

Name: EUGENE V AND CLARE E THAW

CHARITABLE TRUST

Name of Stock	End of Year Book Value	End of Year Fair Market Value
FAM VALUE FUND	1,024,516	1,024,516
IVY ASSET STRATEGY FUND	0	0
MAINGATE MLP FUND	415,576	415,576
TOUCHSTONE GROWTH OPPTY	0	0
VANGUARD BALANCED INX FD	787,625	787,625
ACCENTURE PLC IRELAND	104,500	104,500
AMGEN INC	48,699	48,699
AMTRUST FINL SVCS INC	49,264	49,264
ANSYS INC	37,000	37,000
BROADRIDGE FINL SOL INC	64,476	64,476
CHURCH & DWIGHT CO INC	67,904	67,904
CONCHO RES INC	46,430	46,430
COSTCO WHOLESALE CORP	40,375	40,375
DEVON ENERGY CORP	32,000	32,000
EOG RES INC	35,395	35,395
MANHATTAN ASSOC INC	66,170	66,170
NASDAQ INC	34,902	34,902
SMITH A O CORP	45,966	45,966
STRYKER CORP	46,470	46,470
UNDER ARMOUR INC	40,305	40,305
UNITEDHEALTH GROUP INC	47,056	47,056
VISA INC	93,060	93,060
DIGITAL RLTY TR INC	90,744	90,744
T ROWE PRICE DIV SM CAP GR	253,763	253,763
VANGUARD TOTAL INTL INX FD	146,241	146,241
VANGUARD GLOBAL MIN VOL FD	151,746	151,746
VANGUARD TOTAL STOCK MKT INX FD	753,532	753,532
SPDR SER S&P AEROSPACE	68,748	68,748
SELECT SECTOR SPDR TR FIN	47,660	47,660
VANGUARD WORLD FDS	55,148	55,148

TY 2015 Legal Fees Schedule

Name: EUGENE V AND CLARE E THAW

CHARITABLE TRUST

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES	6,859	5,829		1,029

TY 2015 Other Assets Schedule

Name: EUGENE V AND CLARE E THAW

CHARITABLE TRUST

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
ARTWORK	16,300	16,300	16,300
DONATED ARTWORK	1,950,000	4,950,000	4,950,000

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TY 2015 Other Decreases Schedule

Name: EUGENE V AND CLARE E THAW

CHARITABLE TRUST

Description	Amount
CHANGE IN UNREALIZED GAINS & LOSSES	281,982
BOOK/TAX GAIN RECOGNITION DIFFERENCE	3,900,000

DLN: 93491222006446

TY 2015 Other Expenses Schedule

Name: EUGENE V AND CLARE E THAW

CHARITABLE TRUST

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INSURANCE	31,519	25,593		5,926
OFFICE SUPPLIES	2,045	1,739		307
POSTAGE	2,090	1,776		313
REPAIRS	31,438	26,723		4,715
MISCELLANEOUS	5	0		5

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491222006446

TY 2015 Other Liabilities Schedule

Name: EUGENE V AND CLARE E THAW

CHARITABLE TRUST

Description	Beginning of Year - Book Value	End of Year - Book Value
DEFERRED COMPENSATION PAYABLE	264,373	264,373

TY 2015 Other Professional Fees Schedule

Name: EUGENE V AND CLARE E THAW

CHARITABLE TRUST

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MANAGEMENT FEES	31,719	31,719		0

TY 2015 Taxes Schedule

Name: EUGENE V AND CLARE E THAW

CHARITABLE TRUST

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PAYROLL TAXES	10,679	8,544		2,135
EXCISE TAX	71,000	0		0

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DLN: 93491222006446

OMB No 1545-0047

Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury Internal Revenue Service

Name of the organization

EUGENE V AND CLARE E THAW

Schedule of Contributors

► Attach to Form 990, 990-EZ, or 990-PF. ▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990.

2015

Employer identification number

CHAR	TIABLE IRUSI	13-3081491
Organ	nization type (check	cone)
Filers	of:	Section:
Form 9	990 or 990-EZ	☐ 501(c)() (enter number) organization
Form 9	990-PF	√ 501(c)(3) exempt private foundation
Note.	Only a section 501(c ral Rule For an organization	s covered by the General Rule or a Special Rule . (7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions on filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or om any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions
Speci	al Rules	
Γ	under sections 509 received from any	described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 ¹ /3% support test of the regulations (a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990 (ii) Form 990-EZ, line 1 Complete Parts I and II
Γ	during the year, tota	described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, all contributions of more than \$1,000 <i>exclusively</i> for religious, charitable, scientific, literary, or educational purposes, or of cruelty to children or animals. Complete Parts I, II, and III
Γ	during the year, coi this box is checked purpose Do not co	described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, intributions exclusively for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If a center here the total contributions that were received during the year for an exclusively religious, charitable, etc., implete any of the parts unless the General Rule applies to this organization because it received nonexclusively etc., etc., contributions totaling \$5,000 or more during the year

990-EZ, or 990-PF)

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990,

Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990,

990-EZ, or 990-PF), but it must answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its

Name of organization EUGENE V AND CLARE E THAW CHARITABLE TRUST Employer identification number 13-3081491

Contributors (see instructions) Use duplicate copies of Part I if additional space is needed Part I (a) (d) (b) (c) Name, address, and ZIP + 4 **Total contributions** Type of contribution No. Person **EUGENE CLARE THAW Payroll** PO BOX 268 \$ 4,500,000 Noncash CHERRY VALLEY, NY 13320 (Complete Part II for noncash contributions) (b) (c) (d) (a) No. Name, address, and ZIP + 4 **Total contributions** Type of contribution Person **EUGENE CLARE THAW Payroll** PO BOX 268 \$ 3,000,000 Noncash CHERRY VALLEY, NY 13320 (Complete Part II for noncash contributions) (b) (c) (d) (a) No. Name, address, and ZIP + 4 **Total contributions** Type of contribution Person **Payroll** Noncash (Complete Part II for noncash contributions) (a) (b) (c) (d) No. Name, address, and ZIP + 4 **Total contributions** Type of contribution Person **Payroll** Noncash (Complete Part II for noncash contributions) (a) (b) (c) (d) No. Name, address, and ZIP + 4 **Total contributions** Type of contribution Person **Payroll** Noncash (Complete Part II for noncash contributions) (b) (c) (d) (a) Name, address, and ZIP + 4 **Total contributions** Type of contribution No. Person **Payroll** Noncash (Complete Part II for noncash contributions)

Employer identification number

EUGENE V AND		Employer identification	ilulibei
CHARITABLE TE		13-3081491	
Part II	Noncash Property		
	(see instructions) Use duplicate copies of Part II if additional space is needed		
(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	JACKSON POLLOCK "NUMBER 23" 1949 OIL AND ENAMEL ON CANVAS 26 1/2" X 12 1/8"	\$ 4,500,000	2015-01-12
(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
2	PAUL CEZANNE "CINQ POMMES" 1877-78 OIL ON CANVAS 4 3/4" X 10 1/4"	\$ 3,000,000	2015-01-12
(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$_	
(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$_	
(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	

	Schedule B	(Form 990,	990-EZ, o	r 990-PF)	(2015	(د
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chedule B (Form 990, 9	990-EZ, or 990-PF) (2015)		Page 4
ame of organization UGENE V AND CLAR HARITABLE TRUST	E E THAW		Employer identification number
Part III Exclusively total more line entry. I of \$1,000 or	than \$1,000 for the year from any c	utions to organizations descril one contributor. Complete colu III, enter the total of exclusivel mation once. See instructions	ped in section 501(c)(7), (8), or (10) that imns (a) through (e) and the following y religious, charitable, etc., contributions
(a) No.from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
. =			
-	Transferee's name, address, an	(e) Transfer of gift	Relationship of transferor to transferee
(a) No.from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	Transferee's name, address, an	(e) Transfer of gift	Relationship of transferor to transferee
(a) lo.from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-	Transferee's name, address, an	(e) Transfer of gift	Relationship of transferor to transferee
(a) No.from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
. =			
	Transferee's name, address, an	(e) Transfer of gift	Relationship of transferor to transferee